IAM®

INNOVATION ACCESS METHOD

USER DOCUMENTATION

INNOVATION® DATA PROCESSING

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IAM USER MANUAL

VERSION V7.0

PURPOSE OF THE GUIDE

This Users Guide outlines capabilities, describes the basic features of these capabilities and provides direction on their use. It was written primarily for technical personnel who have responsibilities related to the design and implementation of systems which will use IAM. This manual is intended to give those personnel a reference that will help them use IAM as a simple, efficient, reliable alternative to VSAM KSDS and ESDS processing in batch and online applications.

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00.02 SUMMARY OF MODIFICATIONS AND ENHANCEMENTS IAM V7.0

AIX Support

IAM Version 7.0 has a new optional feature available for an additional cost, of Alternate Index Support. Customers can now define and use alternate index data sets and paths to enhanced format IAM KSDS and IAM ESDS types of files. VSAM data sets that previously had to be excluded from use of IAM due to having one or more alternate index data sets can now be converted to IAM. This enhancement will bring the significant performance benefits and IAM data compression to those data sets. IAM also provides support for over 4 gigabytes of data within a data set, which may be a major benefit to customers that are not using DFSMS 1.3 or higher to manage their VSAM data sets. No changes are required to application programs or CICS to use the IAM alternate index data sets. As a general rule, no JCL changes are required either. Just simply indicate on the IDCAMS define of the base cluster that the data set is to be an IAM data set. Some changes to data set management utility processing may be necessary to properly handle IAM alternate index data sets, particularly if an IAM data set that is part of an alternate index association is renamed.

Whenever a define of an alternate index or path is done, IAM will examine the request to see if the related data set is an IAM data set. If it is, then IAM will handle the file definition, otherwise normal VSAM processing continues. The IAM alternate index is created as an enhanced format IAM KSDS type of file. The record format within the IAM alternate index is fully compatible with the VSAM format. IAM alternate index data sets can be built by either the IDCAMS BLDINDEX function, or by any program designed to create a VSAM alternate index. IAM provides complete support for alternate indexes defined with either UNIQUE keys or NONUNIQUE keys. IAM also provides complete support for upgradeable alternate index data sets.

The IAM path is created as a one-track data set to contain the necessary association information. IAM provides support for paths on alternate index data sets and on the base cluster itself. IAM honors the upgradeable attribute of the path.

The alternate index support has required changes to the following functions of IAM:

- DEFINE alternate index and paths, and DEFINE RECATALOG requests.
- File load support for alternate index data sets.
- New Open, Close, and logical I/O request processing modules to handle I/O activity using alternate index to access a base cluster, and to provide the alternate index upgrade support.
- Enhanced SHOWCAT and catalog LOCATE support for alternate index data sets and paths, plus support of the associations.
- Enhancements to List Cat (IAMPRINT) report for alternate index data sets and paths.
- Delete support for IAM data sets with associated alternate index and paths.
- IAM ISPF panels to support utility functions.

Spanned Record Support

IAM Version 7.0 supports spanned records. This capability allows record sizes that exceed the amount of data that can be kept in a single block. A spanned record in an IAM file can now reside in up to 256 data blocks. This support will eliminate the DASD space that is wasted for files that have a maximum record length that exceeds the half-track block size that previously forced IAM to use a block size of 32760. IAM can now support larger record sizes than IAM was previously able to handle. Spanned record support will also allow customers to have alternate index files that may need to have many records with the same alternate key. To enable spanned record support for a file, simply specify the SPANNED attribute when the file is defined. Please be aware that while IAM will support record sizes in excess of 32760 bytes, many utility programs, including IDCAMS and the SORT packages do not support records in excess of 32760 bytes.

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AIX Performance

As can be seen from the example data provided below, IAM can provide significant performance benefits over using VSAM. The performance benefits of using IAM for any particular application will vary from the results shown here, as there are many variables. Such variables include the number of upgradeable alternate index data sets, the data reference pattern, the amount of VSAM tuning that has been done, plus many others. The jobs were run on a 7060-P30 CPU under OS/390 2.9 and DFSMS 1.5.

The following numbers are from a base cluster with 2,500,000 records with two upgradeable alternate index data sets. One of the alternate index data sets has UNIQUE keys, the other has NONUNIQUE keys, and both were upgradeable. All of the measurements were done with minimal other activity on the system.

The IAM run was performed with the default options and the following buffer overrides:

```
ACCESS  DD=&ALLDD,MINBUFNO=60,MAXBUFNO=100
```

Three different VSAM runs were done, one with no buffering specified, one with DFSMS System Managed Buffering (SMB), and one with the VSAM files in using an explicit LSR buffer pool. The LSR pool had 256 data buffers, and 384 index buffers.

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Random Update I/O Test

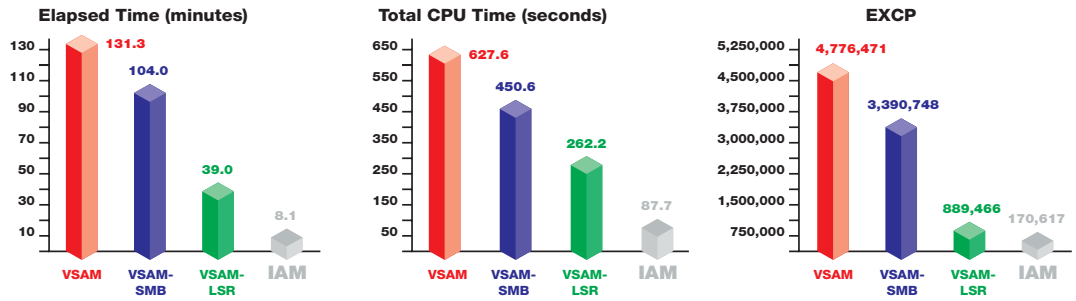
This job step performed a variety of read and update requests through one of the alternate index data sets. The following type of I/O requests were issued against the path:

- 256,000, Random Read
- 160,000 Updates, 1/2 of which required an update to the other alternate index.
- 80,000 Inserts
- 16,000 Deletes.
- 16,001 Points (Start browses) with 80,000 sequential reads. (5 records read per start browse).

The results were:

- **IAM used 67% to 86% less CPU time than VSAM.**
- **IAM performed 81% to 96% less EXCP's than VSAM.**
- **IAM ran in 79% to 94% less Elapsed Time than VSAM.**

The performance data is from the SMF data for the jobs that were run. The total CPU time figure includes both TCB and SRB time.



IAM took 8 min. versus 39 min. for VSAM tuned with LSR

	IAM	VSAM	VSAM – SMB	VSAM – LSR
Total CPU Time (seconds)	87.7	627.6	450.6	262.2
EXCP	170,617	4,776,471	3,390,748	889,466
Elapsed Time (minutes)	8.1	131.3	104.0	39.0

Figure 1: Results of Random I/O Performance Test

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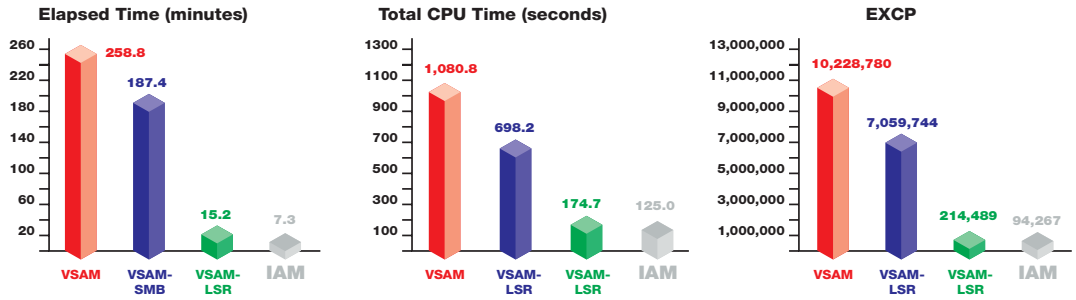
Sequential I/O Test

After updating the files with the above Random I/O test, a second test was performed. This test read the file sequentially using the NONUNIQUE key alternate index.

The results were:

- **IAM used 28% to 88% less CPU time than VSAM.**
- **IAM performed 56% to 99% less EXCP's than VSAM.**
- **IAM ran in 52% to 97% less Elapsed Time than VSAM.**



IAM took 7 min. versus 15 min. for VSAM tuned with LSR

	IAM	VSAM	VSAM – SMB	VSAM – LSR
Total CPU Time (seconds)	125.0	1,080.8	698.2	174.7
EXCP	94,267	10,228,780	7,059,744	214,489
Elapsed Time (minutes)	7.3	258.8	187.4	15.2

Figure 2: Results of Sequential I/O Test

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ADDITIONAL ENHANCEMENTS TO IAM V7.0

IAMOVRID Enhancements

A new keyword, DSN=, has been added to the CREATE override for both compatible and enhanced format files and to the ACCESS override for enhanced format files. With this enhancement, data sets can be identified by either data set name or DD name on the IAM override card. Additionally, the maximum value that can be specified for **MINBUFNO** and **MAXBUFNO** has been increased to 2048.

Test VIF Enhancement

The VIF testing capability has been enhanced to support up to 5 different job names or job name prefixes. In prior versions, the user could only have one job name or job name prefix active at any time.

IAM ISPF Panel Enhancement

In addition to the new support in IAM ISPF for alternate index data sets and paths, the copy function now supports sequential files. This means that either an IAM or VSAM data set can be copied into a sequential data set, or that a sequential data set can be copied into an IAM or VSAM data set. Also, the IAMXMON facility for monitoring I/O activity of IAM enhanced format files can now be invoked through the IAM ISPF panels for CICS regions running under the same CPU or LPAR.

New IAM Global Option

Two new IAM Global Options, **CICSBUFSP=** and **REORGWTO**, have been added for IAM V7.0. The **CICSBUFSP** parameter specifies the default maximum amount of storage to use for buffer space when IAM files are used under CICS. This will help situations where customers would prefer different defaults for batch buffering versus online buffering. The default value is 262,144 (256K). The **REORGWTO** provides the capability for customers to turn off the IAMW22 message that is an informational message suggesting that particular IAM files should be reorganized.

Changed Global Options

The following Global Option default values have been changed for IAM Version 7.0. Please review them carefully, particularly if you are upgrading from IAM Version 6.3 or earlier.

- **The BUFSP value has been increased to 875K (896,000).** This will allow IAM to set MAXBUFNO to a value large enough to hold slightly more than one cylinder of data. The value had previously defaulted to 256K. CICS will use the new Global Option CICSBUFSP for this calculation.
- **The CRBUFOPT default has been changed to MCYL.** This will automatically provide the best buffering possible for file loads. The value previously had defaulted to CYL.
- **The DATASPACE value has been increased to 256 megabytes.** This change was done to allow for larger Index Space storage to be obtained. The previous default was 128 megabytes.
- **The LOADABWO option is now defaulting to YES.** A value of YES is required for customers running CICS Transaction Server. Customers running earlier levels of CICS should change this back to NO.
- **The MAXREGION default value has been increased to 512 megabytes.** The value had previously been set to 128 megabytes. The increase was made because many customers already had CICS regions in excess of 128 megabytes, which eliminated the benefit of this feature.
- **The VAROVERFLOW default has now been set to YES.** Customers that are upgrading to this version directly from Version 6.3 should change this to the prior default of NO, until this version of IAM has been accepted in a production environment. This is because use of variable overflow is not downward compatible with Version 6.3 Enhanced format files.

YEAR 2000 Support

IAM Version 7.0 is fully compliant for the Year 2000 support, and has been tested on a system with TOD clock settings for the year 2000 and above.

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00.02 WHAT IS NEW IN IAM VERSION 6.4

Variable Overflow

IAM Version 6.4 now has the capability to manage Extended Overflow blocks with variable maximum number of records in each block. In prior versions, IAM established a maximum fixed number of records in each overflow block, where that number equaled the number of maximum length records that could fit within the block size established for the data set. While that concept provided improved data integrity, by not moving a record once it was placed in an overflow block, it did not make as effective use of the DASD space as is possible particularly when the IAM Data Compression feature was being used. With IAM Version 6.4, IAM will now fit as many records as possible into each Extended Overflow block. This feature will provide additional DASD space savings, along with potentially reducing physical I/O for the Extended Overflow area.

When a record that is in an Extended Overflow block is updated with an increase in length, such that the record will no longer fit within the overflow block that it currently resides in, IAM will move the record to another overflow block that is a higher relative block than where it currently resides. The process will be to first write out the Extended Overflow block with the updated record. Subsequently, the Extended Overflow block that originally had the record will be rewritten with the deleted old copy of the record. Because the updated record is always written to a higher overflow block, should a failure occur preventing completion of the second write, IAM will know to always use the version of the record that is found in the highest relative block of the data set.

Variable Overflow can be enabled or disabled on a file by file basis, and optionally set as the default through the IAM Global Options during a file define or load. The default Global Option is not to use Variable Overflow, so that customers can be able to coexist with prior versions of IAM. While IAM Version 6.3 will be able to read IAM data sets with Variable Overflow, updates to records in overflow may fail. An IAM Enhanced format file that has previously been loaded can have variable overflow dynamically enabled through the use of the VAROVERFLOW=YES IAM ACCESS Override when a data set is opened for update. This will automatically free up any previously reserved space in overflow blocks for use, but does not cause any movement of existing records already existing in overflow blocks. Once Variable Overflow has been enabled for a file, it can not be turned off until the file is reorganized.

Extended Overflow Index Structure

The structure of the Overflow Index in virtual storage has been changed for Enhanced Format files with IAM Version 6.4. This change was required for IAM to be able to properly handle older version(s) of Overflow records with the Variable Overflow feature. Rather than indexing overflow at the file level, overflow is indexed at the prime block level. Each prime block that has records in overflow will have an index to the associated overflow records. This change will reduce the CPU time for retrieving records, both randomly and sequentially, when the overflow area has a very significant amount of records. To help minimize the increase in virtual storage that this index structure requires, IAM is compressing the keys in the overflow index.

The new Overflow Index structure requires no changes to the Enhanced format IAM file structure. Use of this structure is automatic when Enhanced Format files are processed by IAM Version 6.4.

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Virtual Storage Constraint Relief

The virtual storage requirements for the index to IAM files can be quite substantial. To help alleviate the virtual storage problems that can occur when processing many large IAM data sets, IAM Version 6.4 can now optionally keep most of the index structure in a Data Space. For Enhanced Format files, IAM can keep the index to the prime area, and the index to the overflow area in a data space. (The index to Extended PE blocks is not kept in the data space.) IAM will create only one Index Space per address space that is using IAM data sets, and will share that Data Space with the other IAM data sets opened for access. Use of the Data Space is controllable by the IAM Access Override of INDEXSPACE, and also by the IAM Global Option of INDEXSPACE. By default, IAM will use the index data space for IAM data sets opened under CICS.

To use the Index Data Space, customers must be running OS/390 or MVS/ESA 4.2.2 or higher.

The size of the Data Space that IAM will obtain for the index space is taken from the value for DATASPACE in the Global Options table, which defaults to 128 megabytes. IAM will request a Data Space of that size, and set a maximum of four times that size as the limit for expansion. The data space will be expanded as necessary up to that maximum, or a lower quantity as the installation may choose to limit data space usage. IAM will report on the use of the data space in the IAMINFO reports, which will include the amount of the Data Space used for that file, as well as the maximum data space use by the job step for all IAM files using the data space.

YEAR 2000 Support

IAM Version 6.4 is fully compliant for the Year 2000 support, and has been tested on a system with TOD clock settings for the year 2000 and above. This support was also available in IAM Version 6.3 Level 20 and above with PTF P-63.0254 and P-63.0275 applied.

WHAT HAS CHANGED IN IAM VERSION 6.4

Changes to Default Global Options

A few of the IAM Global Option default values have been changed for IAM Version 6.4 to aid in utilizing the full capabilities of IAM.

- The default file format has been changed to ENHANCED.
- IAM's use of the owner field in the catalog entry is now disabled. IAM will now place in owner field whatever value, if any, is coded by the user.
- The default value for DATACOMPRESSION is now set to 75 tracks. Any data set allocated with 75 tracks or more space is now eligible for IAM Data Compression.

For complete information on the IAM Global Options, refer to the Installation section of the IAM manual.

Overflow Override

The value for the Overflow override, which was dropped after the first file load for Enhanced Format files, will now be saved. IAM will use this value when reporting on the amount of overflow space used, and as a trigger for issuing warning messages that a file reorganization should be performed.

IAMINFO Changes

In addition to reporting on Index Space usage, the IAMINFO report will now also report on any IAM Overrides that have been requested for each file. The various override values will appear as a new third column on the report. The Override keyword, along with the overridden value will be printed. Whenever possible, the override will appear to the right of the statistical value that is most affected by the override.

User Manual Changes

The IAM manual has been significantly revised for IAM Version 6.4. The IDCAMS section is replaced with a new Users Guide. The Users Guide is intended to provide extensive usage information and examples. The examples that are in the Users Guide have all been tested, and are contained in a new JCL example library provided with the product.

The Messages and Codes section has also been significantly revised. The messages from the native and ISAM IAM interfaces have been removed. Those messages are available in the IAM Installation Control Library (ICL) which is copied from the product distribution tape as part of the install procedure. The IAM messages prefixed with IAMW descriptions and actions have been revised to provide improved diagnostic information. The section on VSAM return codes has been enhanced to provide more detailed information, and there is information included on the COBOL File Status Codes that can be encountered when using IAM.

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00.02 SUMMARY OF MODIFICATIONS AND ENHANCEMENTS IAM V6.4 LEVEL 4

ESDS Extended Addressability

IAM has added support for 8-byte RBA values, also known as Extended Addressability, for ESDS files. This will allow ESDS files to exceed 4 gigabytes of user data while using a VSAM compatible RBA value. IBM has announced support of Extended Addressability for VSAM ESDS files starting with DFSMS 1.5. The IAM support for Extended Addressability for ESDS files does not require DFSMS 1.5, nor does it require the data set to be SMS managed. This support is triggered by specifying the XESDS keyword on the IAM CREATE Override when the ESDS file is defined or loaded.

IAM Journal and Recovery

A journalling and recovery feature has been added to IAM. This will allow users to journal all the updates to selected IAM files, and provide recovery of user data from the journal. This capability may improve data availability by reducing data set backup frequency, in addition to potentially saving recovery time when a batch job abends. Instead of backing up entire data sets, only the journal data set needs to be backed up. This can save the long backup times for very large files. For example, rather then spending an hour or more each day backing up a large data set, back it up once a week. Replace the daily file backups with backups of the smaller journal file that contains just the records that have been updated. If the data set needs to be recovered, first restore it from the last backup, then use IAMJREST to update the file from the IAM journal for that data set.

The IAM Journalling and Recovery facility also provides for backing out changes made by batch job steps. This may help improve recovery time when a job abends, by being able to back out the changes that were made, rather than performing a restore and rerunning a set of batch jobs.

Details on using this capability are provided in Section 10.88 IAM Journal and Recovery, and Section 47 IAMJREST – IAM Journal Restore.

SUMMARY OF MODIFICATIONS AND ENHANCEMENTS IAM V6.4 LEVEL 07

Virtual Storage Constraint Relief

As of V6.4/07, IAM is increasing the use of the Index Space to include the extended PE index. In levels prior to V6.4/07, the Index Space, when enabled, was used for the Prime Key Index and the Overflow Index only. This new support will further reduce IAM's consumption of virtual storage within CICS address spaces, providing an increase in virtual storage available for transaction processing.

SUMMARY OF MODIFICATIONS AND ENHANCEMENTS IAM V6.4 LEVEL 11

Open Performance

An improvement to the algorithm used for building the index to the extended overflow area has been made in IAM V6.4/11. This enhancement will significantly reduce the CPU time, and elapsed time to open IAM files that have a large number of records in overflow.

00.03 CONTROL STATEMENT FORMAT

General

The IAM control statements consist of 80-character logical records. The general format of these records is:

```
Column                                                    Column                Column
  1                                                         72                    80
 ---------------------------------------------------------------------------------------------------------------
  |                                                       |   |                   |    |
  |  COMMAND   OPERANDS        COMMENTS                        |    |
  |                                                       |   |        |   |
WHERE:
```

Columns 1 to 71 - contain the command, operands, and comments fields, except when continued to subsequent logical records.

Column 72 - must be blank if the command or operands extend to column 71.

Columns 73 to 80 - not used by IAM. We suggest you use them as an identification or sequence field.

Figure 1: General Control Statement Format

Command Field

The command field identifies the control statement and consists of a one or more character command word. It may appear anywhere within columns 1 to 71 and may only be preceded by blanks. The command word must appear in its entirety within columns 1 to 71; it may not be continued.

Operand Field

The operand field, if present, follows the command field and is separated from it by at least one blank. The operand field consists of one or more keywords and/or positional parameters, separated by commas. It may not contain embedded blanks except within quoted strings. Operand fields may be continued onto subsequent logical records. If operands are to be used with a command, at least one operand must be on the logical record containing the command.

Comments Field

The comments field, if present, follows the operand field(s) and is separated by one or more blanks. It may contain any information deemed helpful by the person who codes the control statement. Comments fields may not be continued, i.e., they must end at or before column 71. Comments are not permitted on a control statement that allows operands but on which no operands have been specified.

Coding an Operand Field

An operand field consists of one or more positional or keyword parameters separated by commas.

Positional Parameters

Positional parameters must be coded in a specific order relative to one another. This means that the variable data you substitute for positional parameter 1 must precede the variable data for positional parameter 2, and so on. The absence of variable data to be substituted for a positional parameter is indicated by coding a comma in its place. However, you may omit the commas when the absent parameter is the last one, or if all following positional parameters are absent.

00.03 CONTINUED

Keyword Parameters

Keyword parameters are position independent, and consist of either a keyword alone or a keyword followed by an equal sign (=) followed by user-specified variable information. When both positional and keyword parameters are to be coded in an operand, keyword parameters must precede positional parameters.

Sub-parameters

Both positional and keyword parameters may consist of a list of subparameters. Such a list is composed of positional parameters that follow the usual rules for that type. A subparameter list must be enclosed within parentheses, unless the list reduces to a single subparameter, in which case the parentheses may be omitted.

Quoted Strings

When the variable data you specify for a parameter contains certain special characters, defined below, you must enclose the data with apostrophes. This is called a 'quoted string'. Within a quoted string, all characters, including blanks, may appear; if an apostrophe is to be part of the string, it must be coded as two apostrophes.

NOTE: Special characters are parentheses, commas, equal signs, apostrophes, and blanks.

Continuing an Operand Field

When the total length of an operand field exceeds the available columns in a logical record, it must be continued onto one or more following logical records. To continue an operand, interrupt the operand field after a complete parameter or subparameter, including the following comma. Do this at or before column 71. Leave the next column blank.

Continue the operand field starting anywhere between columns 1-71 on the following logical record.

Any number of logical record continuations may be present.

Comment Statement

If you really have a lot to say, you may code a comment statement, which are control statements with nothing but remarks contained within columns 2 to 71. Comment statements are identified by an asterisk (*) in column 1. They may appear anywhere within a group of control statements, even between continued logical records.

Example Continued Statement

```
REPORT  MAXDSNS=6000,              EXAMPLE OF A
        DETAIL,                    CONTINUED OPERAND
        GROUPNAMES=(CICS,ABC),     FIELD, WITH
        MAXREPORTS=5000            COMMENTS
```

Figure 2: Example of a Continued Control Statement

CONTINUED . . .

00.04 DOCUMENTATION NOTATION FORMAT

Notation The following notation is used in this manual to define control statement formats:

- **Uppercase letters and words** must be coded exactly as shown in a format description.
- **Lowercase letters and words** represent variables for which you must substitute specific information.
- **Brackets** are never coded. They indicate that the enclosed item is optional, and you can code one or none of the items.

  For Example: [**,MAXDSNS**=nnnn]
- **An ellipsis** . . . (3 consecutive periods) is never coded. It indicates that the preceding item can be coded more than once.

  For Example: **DSN=(dsname,dsname . . .)**
- **An underscore** _____ is never coded. It indicates that the underscored item is the abbreviation for the value.

  For Example: **<u>DATAC</u>OMPRESS=** YES | NO
- **A vertical bar** | is never coded. It indicates that there is a choice between the values specified.

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01.01 IAM VERSION 7.0 INTRODUCTION

What IAM IS

IAM is a reliable high performance disk file manager that can be used in place of VSAM KSDS or VSAM ESDS data sets for batch, TSO and online processing. Customers with the Alternate Index optional feature can use IAM for alternate indexes and their associated base clusters. IAM offers a level of performance and reduction in the use of computer system resources that provides substantial savings for most applications that utilize VSAM data sets. IAM drastically reduces DASD space requirements through maximizing the storage capabilities of each DASD device and a Data Compression feature that does not consume excessive CPU time. IAM utilizes a sophisticated buffering mechanism, called Real Time Tuning that responds quickly to the I/O demands of application programs, resulting in substantial decreases in physical I/O. Because of IAM's innovative file structure and software design, IAM offers the following capabilities:

- Support for base KSDS and ESDS files, and any associated Alternate Indexes.
- Capability to handle significantly more than 4.3 gigabytes of user data within a single data set.
- Data Compression facility that can be used for both KSDS and ESDS types of data sets, without the significant CPU overhead that is typical of most data compression techniques.
- Significant reductions in batch processing time and online response time that are achieved through reducing physical I/O and CPU time to access the data.
- A transparent VSAM interface that requires no changes to application programs, and generally no changes to JCL or CICS regions.

IAM provides a unique set of features that are not found in other products. IAM's Real Time Tuning offers dynamic buffer management techniques that are unsurpassed. IAM's Data Compression algorithm offers significant disk space savings for many files, without using a lot of CPU time. These space savings have a secondary benefit of reducing I/O, because there are fewer blocks within each data set, and each of those blocks contains larger quantities of actual user records. IAM can even compress your ESDS data sets. IAM offers a run time report, IAMINFO, which fully describes each IAM data set processed by a job step, along with a complete processing profile, including resource usage. This data can also be captured by SMF, and IAM even provides an SMF reporting capability. IAM offers a dynamic tabling of records facility, which maintains a table of the most frequently referenced records in virtual storage, ready for random retrieval. This dynamic tabling drastically reduces I/O for certain applications.

Other IAM features include IAM's automatic space release at the end of the first load, which will prevent wasting disk space due to over allocation. IAM also provides a capability, called backup compressed, to backup and reload IAM data sets without the need for decompressing or compressing the data saving CPU time and data transfer time. IAM has the capability to dynamically increase the amount of DASD space allocated, commonly referred to as taking extents. To further improve DASD space savings manages the overflow area with true variable length records. This capability will reduce the amount of space used for many large VSAM data sets. IAM can also dynamically alter the secondary space requests, when it appears that a data set was substantially under allocated.

01.10 HIGHLIGHTS OF IAM VERSION 7.0 ENHANCEMENTS

Alternate Index Support

The major enhancement for IAM Version 7.0 is the optional Alternate Index Support. With this new feature, Enhanced Format IAM data sets (both KSDS and ESDS) can have associated alternate index data sets, which will also be IAM data sets. All of the features and benefits of IAM are available to base clusters and all of their related alternate indexes. There are no programming changes necessary to use the IAM Alternate Index support. No changes are required to CICS. Simply change the define of the base cluster to include either OWNER($IAM) or include $IAM in the base cluster name, or use a DATACLAS or STORCLAS with $IAM in the class name. Subsequent define steps for any related alternate index data sets or paths will be automatically converted to IAM, when the base cluster is an IAM data set. The alternate index support is designed to provide the same high level of compatibility with VSAM alternate indexes that IAM has been providing for KSDS and ESDS types of data sets. Some data set management utility jobs for backing up, restoring, and renaming data sets involved in an alternate index relationship may need to be revised.

The IAM Alternate Index

An alternate index provides an additional index to an indexed type of data set (KSDS), or an index to an entry sequence data set (ESDS). Users can define one or more alternate indexes to any base data set. The alternate index data set itself is an IAM KSDS enhanced format type of data set that is indexed by the alternate key. The records in the alternate index contain some control information, the alternate key, and the primary key value for the record in the base data set with the corresponding alternate key. An alternate index is defined as containing UNIQUE keys when there is only one base record with any specific alternate key. Alternate indexes can also be defined as containing NONUNIQUE keys, where any particular alternate key can be contained in multiple base records. As with VSAM, the alternate index data set can be explicitly processed by programs without referencing the base cluster, or can be used to access the records in the base cluster. To use an alternate index data set to access the records in the base cluster, a PATH must be defined.

An additional attribute of alternate index data sets is whether or not they are upgradeable. When an alternate index is defined with the UPGRADE attribute, IAM will automatically update the alternate index as needed whenever updates are made to the base cluster, either through the primary key or when accessed through an alternate key. Any alternate index defined with the NOUPGRADE attribute will not be automatically updated by IAM, and it is the application programs responsibility to ensure that the alternate index is updated in a manner to remain synchronized with the base data set.

The IAM Path

A Path provides the mechanism to access a base cluster through an alternate index. When a path is defined, one must provide the name of the path and the name of the related alternate index to be used to access the base cluster, or the base cluster to be processed whenever the path itself is referenced. The UPDATE or NOUPDATE attribute is specified for each path defined. When a path is defined with the NOUPDATE attribute, any upgradeable alternate indexes will not be updated automatically when this path is used to access a base cluster either directly or through an alternate index.

For VSAM data sets, a PATH is only a catalog entry that is quite similar to an ALIAS entry. With IAM, a PATH will become a one-track data set containing the name of the related alternate index or base cluster and the UPDATE or NOUPDATE attribute of the PATH. A single track data set was chosen instead of an ALIAS type of catalog entry because of concerns about there not being adequate data set management utility program support for ALIAS entries, which might result in the loss of the entry.

CONTINUED . . .

01.10 CONTINUED

Using an IAM Alternate Index

The procedure for defining and using IAM data sets with alternate indexes is identical to the VSAM process. The only difference is to specify on the DEFINE that the base cluster is to be an IAM data set. This specification is typically done by either adding the OWNER($IAM) parameter to the define parameters, changing the name to include the $IAM literal, or using a DATACLAS or STORCLAS with $IAM in the class name. Most applications that are going to be using IAM for their alternate index already have the general procedures established. To convert to IAM, the only change needed is on the define step of the base cluster. An overview of the process for using IAM alternate indexes is described below. All of these steps are covered in detail in the User's Guide (Section 10) of this manual.

- Defining the base cluster.
- Loading the base cluster with data.
- Defining the alternate index(es)
- Building the alternate index(es), usually with IDCAMS BLDINDEX command.
- Defining paths to the alternate index(es) and if used, paths to the base cluster.

In general, there are no JCL changes required when using IAM Alternate Indexes and Paths. To access a base cluster through an alternate index, simply specify the name of the Path on the DD statement, just as is done with VSAM. When the Path is opened, IAM will dynamically allocate and open all of the required alternate indexes and the associated base cluster using the relation information stored within the IAM file structure. When the Path is closed, IAM will close and de-allocate all of the alternate indexes and the base cluster that were allocated during the open process.

Because the IAM alternate indexes and paths are non-VSAM data sets, they will not be automatically grouped together in a sphere with their associated base cluster, as is typically done by many data set management utility programs. Some changes to your data set management procedures may be necessary when using IAM Alternate Indexes. After renaming a component of an IAM sphere, or performing a restore or copy to a new name, a define recatalog will be necessary.

Spanned Record Support

Enhanced format IAM files can now contain spanned records. A spanned record is one that is larger than the physical block size of the IAM data set, and will require multiple physical blocks to store it. With IAM, the record size limitation is the amount of data that IAM can fit within 256 data blocks. With a maximum block size of 32760, and IAM file can now have a maximum record length of approximately 8 megabytes. Spanned record support will allow IAM Alternate Index customers to have large numbers of records in a base cluster to have the same alternate key. The spanned record support also eliminates the dasd space waste that occurs on files where the record size requires a physical block size that exceeds the half-track block size, that resulted in IAM using a block size of 32760.

Please be aware that many utilities used to process IAM (and VSAM) files do not support record sizes of approximately 32760 bytes or larger, including IDCAMS and the various SORT utilities. The IAM file recovery utility program, IAMRECVR, does provide support for these large records. Prior versions of IAM will NOT be able to process the spanned records.

IAM Override Enhancement

With prior versions of IAM, the IAM overrides had to indicate which DD names the particular overrides applied to. IAM Version 7.0 now supports identifying the data set to be overridden by data set name (DSN=) on the CREATE override, and for enhanced format files on the ACCESS override. This new support will enable users to provide IAM overrides for the IAM alternate indexes and base clusters that are being accessed through a Path, where the DD name is not known prior to the job execution. Review Section 30, IAM Overrides for additional details.

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01.10 CONTINUED

IAM ISPF Enhancements

The IAM ISPF panels have been enhanced with new functions for IAM Version 7.0. These enhancements include the ability to define alternate indexes and paths, and the ability to build the alternate indexes. There are also new display panels for the attributes of alternate indexes and paths. Additional new functions include the ability to copy from or into sequential data sets.

New and Changed Global Options

There are two new IAM Global options. The first one is **CICSBUFSP** that will enable Enhanced Format files to have a different default for the maximum buffers for CICS than for batch jobs. Associated with this new option is a change to the default for **BUFSP** to 875K (896,000) from 256K. This change will allow greater default buffering for batch jobs, without impacting CICS regions. The second new global option is **REORGWTO**, which allows users to disable the IAMW22 WTO messages indicating that file reorganizations are recommended.

Other changed Global Option defaults include **VAROVERFLOW=YES**, making variable overflow the default. Customers migrating from versions prior to V6.4 should consider setting this to NO for the transition period. Also changed is **CRUBOPT** to **MCYL**, which will provide for maximum buffering on file loads. For other changes, review sections 90 and 91 of the IAM Manual.

01.11 Review of IAM Version 6.4 Enhancements

Enhanced Format File Structure

IAM Version 6.4 continues the process of building on the IAM Enhanced file structure, and is completely Year 2000 compliant. The IAM Enhanced file structure was first utilized for ESDS type of files in IAM Version 6.2. With IAM Version 6.3, the Enhanced file structure was introduced for KSDS files. The IAM Enhanced file structure offers dynamic file expansion capability during file updates, eliminating the pre-formatted, fixed size overflow areas, i.e. IAM Enhanced format files can take extents. The Enhanced format files also build upon IAM's successful Real Time Tuning feature, by greatly increasing the number of buffers supported, adding the capability to write multiple blocks per I/O on sequential update applications, and providing for concurrent I/O for multivolume data sets. Enhanced format files also offer true concurrent asynchronous I/O request handling that provides consistently fast response time for online transactions.

Variable Overflow

New with IAM Version 6.4 is the Variable Overflow capability for Enhanced Format files. In prior versions, IAM had treated the Independent Overflow area as having fixed maximum length records. This technique was used to enhance file integrity by eliminating the movement of data records within the overflow area. The down side to the fixed length overflow was that there could be large portions of overflow data blocks that would not be utilized, resulting in more disk space being used than was actually necessary. IAM Version 6.4 now offers the capability to treat overflow blocks as containing variable length records, so that the disk space will be better utilized. Each overflow block will be filled to its actual capacity with user data. If an overflow record is updated, resulting in an increase in record length such that it no longer fits within the overflow block that it resided in, the updated record will be moved to another, higher overflow block, then the old version will be deleted freeing that space for use.

Overflow Index Structure

One of the keys to providing Variable Overflow is a major change to the index structure for the overflow area. In prior versions, the overflow area was indexed at the entire file level. That index would be arbitrarily broken into segments to enhance manageability and to reduce overhead. Due to the segmentation of that index structure, open processing could not easily detect if there were multiple keys of the same value within the overflow area. This made it difficult to implement a structure where records were moved to different overflow blocks, which is required for Variable Overflow. With Version 6.4, the overflow index is now based on the prime block or extended prime block index entry. For each prime block that has associated overflow records, there is an overflow index structure with entries for only those keys that are associated with that particular prime block. IAM can now easily detect during OPEN if there are duplicate keys within the overflow index. If so, IAM will always use the record with the highest overflow block, which will represent the most recently updated version of the record. Because the overflow index resides only in virtual storage, i.e. it is built during OPEN, there are no changes to the underlying file structure. With this new overflow index structure, the CPU time involved in processing files with a very large quantity of records in overflow (hundreds of thousands or millions of records) will be significantly reduced from prior versions. The savings of CPU time will be seen during inserts and deletions of records in overflow, during sequential processing of files with a large overflow area, and when IAM is searching the index structure.

IAM Index Data Space

The nature of this new index structure is that it will require more virtual storage than the old overflow index structure. To help minimize the increased storage requirements, IAM is now using a compressed key format for the overflow index, reducing the size of almost all of the entries in the overflow index. Additionally, IAM will automatically for CICS, and optionally for batch jobs, store the prime index and overflow index in a Data Space. IAM will create only one Index Data Space per job, sharing the Index Data Space storage for all open Enhanced Format IAM files. This Index Space will significantly reduce IAM's use of private address space virtual storage, relieving some of the virtual storage constraint problems particularly for CICS regions with hundreds of open IAM data sets. Data space usage will be monitored and reported by the IAMXMON transaction, and also in the IAMINFO reports. To use the new IAM Index Data Space, customers must be running OS/390 or MVS/ESA 4.2.2 or higher.

01.11 CONTINUED

Other Enhancements

A few of the default values, as specified in the IAM Global Options table, have been changed for Version 6.4. In particular, IAM now defaults to the Enhanced format file structure, and defaults to Data Compression for all files that are defined as being 75 tracks or larger. Additionally, IAM will no longer store hexadecimal information in the OWNER field within the catalog entry, but rather will store whatever value the user had specified. The Overflow area size override, which was dropped in Version 6.3 for Enhanced format files, is now retained to provide users with a way to monitor overflow usage, and decide when IAM data sets need to be reorganized. The IAMINFO report, along with the IAM SMF records, will now include information on which IAM overrides were specified and used for each IAM data set.

New Users Manual

The IAM Users Manual has been completely revised and updated for Version 6.4, with substantial changes to most of the sections. The primary focus of the IAM Version 6.4 manual is on using IAM Enhanced Format files through the IAM VSAM Interface. The IDCAMS SUPPORT section has been replaced with a User's Guide section. The User's Guide section provides extensive "How To" information for many of the variety of tasks that need to be done on IAM files, from defining to deleting, reorganizing or moving IAM data sets, and from backup through recovery of IAM data sets. Numerous examples are provided for accomplishing these tasks, which are also contained in a new JCL library that is provided on the IAM product tape. In the Messages section, many of the IAM messages and codes have expanded descriptions and diagnosis information. The messages and codes for the older IAM interfaces have been removed from the manual, however they are available on the ICL library in members OLDMSGS and OLDABEND. The Installation section has been substantially rewritten, with additional details provided for many of the steps, along with procedures for placing new versions or levels of IAM into production without performing an IPL.

With the IAM User's Manual now being available on a CD-ROM, it is no longer being distributed on the IAM product tape.

IAM Journal and Recovery

As of Version 6.4/04P, IAM has a journaling and associated recovery feature. This enhancement will allow users to journal all updates to selected IAM files, and provide recovery of user data from the journal. This capability may improve data availability by reducing data set backup frequency, in addition to potentially saving recovery time when a batch job abends. For full details on this facility, please read Section 10.88 IAM Journal and Recovery, and Section 47 IAMJREST – IAM Journal Restore of the IAM Manual.

01.15 YEAR 2000 SUPPORT

IAM Version 6.4 is Year 2000 compliant with all documented interfaces and utilities. IAM has been reviewed and tested for all known areas where date processing is done within the IAM product code. The base code of the access method itself has no date processing or dependencies. The primary impact to IAM is cosmetic in nature, affecting printed report headers, and contents of some reports, which included the year as a 2-digit field. These have been changed to print a 4-digit field. The IAM SMF report programs that provide record selection based on dates have been changed to handle 4-digit year values as input parameters. Described below are the various components of IAM that have been identified as having date processing and it is noted at what level of IAM the Year 2000 support was provided.

Expiration Dates

During file definition, IAM will set the expiration dates as specified on the IDCAMS DEFINE parameters. IAM has supported the 4-digit year specification for expiration dates since IAM V6.1. A problem in the IAMISPF panels that did not allow an expiration date of 99.365 after 1/1/2000 is fixed by P-64.0069.

Creation Dates

The creation dates in the catalog and VTOC for IAM data sets are generally provided by the operating system. There is one exception, which is for IAM data sets that are allocated through the VSAM JCL definition capability. For those data sets, the Year 2000 support is available with IAM V6.3/28P, or V6.3/15P through V6.3/27P with the PTF P-63.0275.

IAMPRINT

This is the report produced from a LISTCAT request against an IAM file. The Year 2000 support changed the report header to print out a 4-digit year, and also to print out 4-digit years in the report's content for the various time stamps stored in the IAM file. Completed for IAM V6.3/02P.

IAMINFO

This is the run time report produced whenever an IAM file is closed, provided that an IAMINFO DD card was specified. This report is also produced by running the IAMSMF program with the IAMINFO command. The Year 2000 support changed the report headers, including the date that the file was opened and closed to print the year as 4-digits. Also will print out the internal IAM file time stamps with a 4-digit year format. Initial support completed for IAM V6.3/14P. Requires PTF P-63.0254 for levels prior to V6.3/27P.

IAMSMF
IAMSMFVS

These are utility programs that print out reports from SMF data. The Year 2000 support includes printing a 4-digit year in the headers and within the various report contents. Also, the FROMDATE and TODATE keywords providing record selection criteria were enhanced to accept a 4-digit year value, required for dates in the Year 2000 and beyond. Support completed for IAM V6.3/14P. The IAMSMF program requires PTF P-63.0254 for levels prior to V6.3/27P. The IAMSMFVS program in V6.3 requires PTF P-63.0307, and V6.4/03 or earlier P-64.0004.

IAMRECVR
IAMSIMVS
IAMTSEL
IAMZAPOP

The Year 2000 support for these utility programs involved changing the header line to display a 4-digit year, instead of 2-digits. This support was completed for IAM V6.3/14P. The IAMSIMVS program requires PTF P-63.0254 for levels prior to V6.3/27P.

IAMUTIL
IAMZAP

The Year 2000 support for these utility programs involved changing the header line to display a 4-digit year, instead of 2-digits. The IAMUTIL utility program has not been documented for several years, as it applies to the older native IAM interface, however support was added for those customers still using this utility. This is available as PTF P-63.0297 in Version 6.3. The IAMZAP utility assists in applying fixes to the IAM VIF modules. The support was completed for IAM V6.4.

Year 2000 Summary

IAM V6.3/28P is required for Year 2000 compliance with all documented IAM interfaces and utilities with PTF's P-63.0297 and P-63.0307. Users with IAM V6.3/20P through V6.3/27P will achieve that same compliance with additional PTF's P-63.0254 and P-63.0275 applied. Users of IAM V6.4/10P or earlier need P-64.0069, and V6.4/03p or earlier require P-64.0004.

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01.20 SAMPLE VENDOR PRODUCTS THAT HAVE CONVERTED VSAM FILES TO USE IAM

This is a sample of some of the Vendors' products that Customers have converted VSAM files to use IAM

American Software – Materials Management

American Management System – CUFS, ACAPS

Arthur Andersen – DCS

Credit Card Software – Banking Applications

Computer Applications Services – Abend Catcher

Computer Associates – CA-7, C-11, Activator, UFO, Intertest, Netman, Easytrieve+

Cyborg – Payroll System

Datalink Systems – Fast Teller System

Dun & Bradstreet Software – (GEAC) Accounts Payable, General Ledger, Millennium Series Products

Dyatron – Employee Benefits

Erisco – Claim Facts

First Bankcard System – TBS, TCS

Global – CARMS

Group 1 – Code 1/PLUS, Mailsort

H&W Computer – Wizard, Mail, SYSM, SYSB2

Healthquest (HBO) – Patient Information File

Hogan Software – Demand Deposit

IBM – SMP/E, RMDS

ISS America – SICS

Impel Pacific – Power Plant Maintenance

Information Builders – Focus

Information System of America – Prism

Integral Systems – Human Resources/Payroll, HRMS

Kirchman (Florida Software) – Installment Loan, CIF, TESAREC, Dealer Floor Plan

LPC – Mailers, Finalist, Choice

Legent – Bundl

MacKinney Systems – Job and Syslog Facility

Mobius – INFOPAC

Newtrend – Infopoint

Policy Management Systems – APS

SAP – RF (Financial Accounting), RV (Sales and Distribution), RM-PPS, RM-MAT

Shared Medical Systems (SMS) – Invision (Active Patient Database file)

Shaw – Installment Loan, Commercial Loan

Sterling – NetMaster

Stockholders Systems – PEP+, CSS II

Systematics –

VIPS – Medical Part B

Vantage – Vantage 1 Annuities

Walker – General Ledger, CARMS, Materials Management

Xycor – XY-CARD, XY-CLAIMS AND XY-ADMIN

01.21 SAMPLE VENDOR PRODUCTS THAT WORK WITH IAM

IAM works with many system management software products that are used with VSAM today. Below is a list of products known to work with IAM. In many circumstances, these vendors have made enhancements to their products so that they can be used with IAM files. This is by no means a complete list of all the products that do work with IAM files.

JOURNALING AND RECOVERY:

FILE SAVE	(CA)
DRS	(BMC)
CICS/VR	(IBM)

SHARING PACKAGES:

SHARE OPTION 5	(CA)
SYSB	(H & W)
VSAM ACCESS/CICS	(BMC)

MISCELLANEOUS PRODUCTS:

FILE-AID	(COMPUWARE)
ABEND-AID	(COMPUWARE)
CICS	(IBM)
ISPF	(IBM)
NETWORK DATA MOVER (NDM)	(STERLING)
SHRINK	(STERLING)

DASD MANAGEMENT SOFTWARE:

FDR/ABR	(INNOVATION DATA PROCESSING)
FDRREPORT	(INNOVATION DATA PROCESSING)
FDRREORG	(INNOVATION DATA PROCESSING)
DF/SMS	(IBM)
DF/HSM	(IBM)
DF/DSS	(IBM)
VAM	(STERLING)
DMS/OS	(STERLING)
POOLDASD	(BOOLE & BABBAGE)
STOPX37	(BOOLE & BABBAGE)

SORT PRODUCTS:

SYNCSORT	(SYNCSORT)
DF/SORT	(IBM)
CA/SORT	(CA)

SECURITY PRODUCTS:

RACF	(IBM)
ACF/2	(CA)
TOPSECRET	(CA)

PERFORMANCE MONITORS:

OMEGAMON	(CANDLE)
THE MONITOR TMON	(LANDMARK)
STROBE	(PROGRAM ART)

PROGRAMMING LANGUAGES:

VS/COBOL	(IBM)
COBOL II	(IBM)
FORTRAN	(IBM)
PL/1	(IBM)
BAL	(IBM)
CA/OPTIMIZER	(CA)
SAS	(SAS INSTITUTE)

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02.01 IAM Concepts and Facilities

IAM Basics

IAM is a high performance indexed access method for OS/390 and MVS/ESA operating systems, which offers numerous advantages over the IBM provided VSAM access method. IAM files exist on DASD as non-VSAM data sets, with IAM providing a VSAM compatible API (Application Programming Interface) for KSDS and ESDS file types, and any associated alternate indexes. Existing as non-VSAM data sets provides IAM with capabilities to eliminate the 4.3 gigabyte file size restriction in VSAM prior to DFSMS 1.3, and to choose a block size that will optimize space utilization on each of the different type of DASD devices and architectures available. Along with IAM's unique file structure, described in a subsequent section, and IAM's Data Compression feature, user data stored in an IAM file typically requires substantially less DASD space then when stored in a VSAM cluster.

The basic principle of IAM is to intelligently utilize virtual storage to reduce the need to perform physical I/O to look up and retrieve data. This is accomplished by using the sophisticated IAM Real Time Tuning concept to manage buffers, and by keeping the index for files in virtual storage while they are being processed. IAM requires at most one I/O to retrieve any explicitly identified record within the IAM data set. All of the index I/O's and index buffers used by VSAM are eliminated.

Dynamic File Expansion

With the IAM Enhanced File Structure, IAM files can acquire additional DASD extents when the file is being updated, in addition to the prior capability to take extents during file loads. This will eliminate many of the usability problems encountered with Compatible Format files due to their fixed overflow area size, and also eliminates the maximum overflow area size of 64,000 blocks. Because IAM files are stored on DASD as a non-VSAM file type, IAM files can only take 16 extents per volume, in contrast to the 123 extents that VSAM can take. To compensate for that limitation, IAM Enhanced Format files offer dynamic secondary space adjustment, described below. IAM files are limited to a total of 255 extents, across multiple volumes, due to the structure of the MVS DEB.

Maximum File Size

The maximum size of an IAM file is determined by a set of limitations imposed by DFSMS, MVS, and the architecture of the DASD devices. IAM's file size limitation based on the IBM 3390 DASD architecture is approximately 201 gigabytes of compressed user data, where a gigabyte is equal to 1024^3 bytes. This figure is based on the following calculations. The basic limit imposed by OS/390, or MVS/ESA, is based on the DEB control block. This is a limit of 255 extents, with a maximum extent size of 65,535 tracks, which equates to 4,369 cylinders on a 3390 model 9. The maximum extent size is also the largest amount of space that can be allocated to a non-VSAM data set per DASD volume. IAM data sets are further limited by the MVS TIOT structure to a maximum of 59 volumes. The maximum data storage for an IAM file can be obtained by using 1/2 track blocking, which is a block size 27,998 bytes, times 30 blocks per cylinder, which yields 820 KB per cylinder. Multiply the 820 KB per cylinder times 4,369 cylinders yields 3,499 MB maximum per 3390-9 device. (Note that this does not use the full capacity of the device.) The final maximum capacity of an IAM file is then calculated by multiplying 3,499 MB times 59 volumes which yields 201 gigabytes. For all 3390-3 type of DASD volumes, the size limitation is 154 gigabytes, and for all 3390-2 DASD volumes, the limitation is 102 gigabytes.

The above size limitation applies to IAM KSDS file types, and to IAM ESDS file types defined with the PSEUDORBA attribute. For applications that require a true 4-byte VSAM RBA value, such as the SAP product, the size limitation is 4 gigabytes of user data.

02.10 IAM DATA COMPRESSION

Advantages of IAM Data Compression

IAM can compress the data in its files and IAM is completely transparent to the programs that create and use those files. Most data records contain unused fields and repeating sets of characters. IAM compresses all of that type of data in each record that follows the key. IAM's proprietary Data Compression option typically gains an additional 20 to 50% reduction in file size over the 20% to 40% savings that results from simply converting to IAM.

A variety of data compression algorithms have been evaluated for use by IAM. Innovation selected a proprietary algorithm that is optimized for minimal CPU processing requirements which also provides good space savings for most files. IAM's data compression does not rely on a compression table, which is one less exposure to potential data loss. While it is possible for alternative data compression algorithms to achieve a greater amount of record size reduction, the CPU time to achieve such results can be excessive. IAM's CPU time to perform data compression is significantly less than the VSAM compression technique that utilizes the CPU hardware assisted data compression instruction. **In fact for many files, IAM's CPU time with data compression is still less than VSAM's CPU time without data compression!** Also, because of VSAM's use of generic compression tables, IAM still, for many files, is able to achieve similar or better space savings.

Data compression not only provides disk space saving it also reduces overall processing time. IAM's Data Compression is unique because it reduces the amount of data transferred to and from the disk without materially increasing CPU overhead.

RVA Compression

DASD devices that provide data compression, such as RVA devices, can be used with IAM files. However, there generally will not be additional space savings by using both compression techniques. Innovation recommends that IAM compression be used because of the advantages of reduced I/O transfer time, reduced physical I/O's, and reduced virtual storage which are only possible with an access method data compression technique.

Eligibility for Data Compression

IAM considers KSDS type of files that are 75 tracks or larger, and all ESDS files as candidates for data compression. Setting the IAM DATACOMPRESS Global Option can easily change the file size that determines eligibility for IAM Data Compression. The automatic setting of IAM's Data Compression can always be altered through the use of the IAM Override facility.

To be eligible for IAM Data Compression, a file must be defined with a maximum record size that is at least 10 bytes more than its key length plus the relative key position (RKP). If Data Compression is enabled for a file, IAM will only compress individual records when the data following the record key exceeds ten (10) bytes in length. If compression would make a record larger than the original, IAM leaves the record uncompressed. Subsequent updates to an uncompressed record will keep the record uncompressed.

Data Compression can be used on all your IAM files. If a particular file is found by IAM to be uncompressible, there is no penalty in CPU time to process that file after the load. It is as if compression had never been requested for that file. There may also be a few files that just do not show much of a benefit from data compression. For example, SMP/E CSI files have an average record length that is just a bit larger than their key. When there is not much data to work with, there is little Data compression can do to reduce a file's size. In these cases IAM's Data Compression may show little saving, beyond the space reduction that comes with simply converting to IAM. If a specific file shows only marginal compression there will likewise be only a marginal increase in IAM's CPU time to process that file.

A report on the estimated disk space saving that a conversion of your VSAM clusters to IAM file's with Data Compression is available using the IAM VSAM Space Savings Analysis program IAMSIMVS. (See Section 42 - IAM Space Savings Analysis Program).

02.11 Backing up Compressed IAM Data

Backup Compressed Data

With the IAM Enhanced File Format, IAM offers the capability to backup and reload compressed data within an IAM file without decompressing or compressing the data. For large files, this is anticipated to allow IAM files to be backed up and reorganized faster than can be done today. Even when the data is compressed at the 3480/3490 control unit level, there is still the overhead of transferring all that data to the controller. With this new feature, both the CPU overhead and that I/O overhead is eliminated. FDRREORG V5.2/50 or higher from Innovation, will automatically use this IAM feature.

The backup and reload of compressed data is specified for other programs, such as IDCAMS, by the use of the IAM Override facility. The override will have to be specified on both the backup and reload process, because IAM needs to know to not decompress the data on the backup side, and that the data is already compressed on the input side. Simply specifying the keyword BACKUPCOMPRESSED on the ACCESS and CREATE IAM overrides does the job. IAM adds four bytes to each record when performing this function, so any output file created will have to contain either variable (RECFM=VB) or undefined (RECFM=U) type of file. For variable output files, the record length for the output file (LRECL) will need to be at least 8 bytes more than the defined maximum record length for the file. For example, if the maximum record length for the file is 100, then the output LRECL must be at least 108. For undefined type of records, the maximum LRECL is 104, only 4 bytes more than the file maximum record size. Innovation recommends using RECFM=VB type of output to provide the best output device utilization.

Data that is in an IAM data compressed format on tape can be easily converted to an uncompressed format. Either reload the data with BACKUPCOMPRESSED into an IAM file, or use the IAMRECVR DECOMPRESS command to make a sequential copy of the data set with uncompressed data. Note that you will need to know the original key length and key offset (RKP) to perform the DECOMPRESS function. IAM also provides a callable interface to read and perform the decompression from a data compressed sequential data set that can be used by application programs.

For examples of using the BACKUPCOMPRESSED feature and using FDRREORG to reorganize IAM data sets, refer to the Users Guide Section 10.81.

02.12 IAM vs. VSAM Hardware Compression

The Significant Value of IAM

IAM has been in the MVS marketplace for over 20 years, providing an outstanding level of performance compared to VSAM. IAM offers CPU time savings, along with reductions in EXCPs that result in reduced elapsed times for batch jobs and improved response times for online systems. In the past few years, the most important features of IAM, for many customers, have been IAM's ability to support VSAM data sets that have exceeded 4.3 gigabytes in size, and the DASD space savings of IAM's data compression. With the advent of VSAM support for data compression and over 4.3 gigabyte file size as DFSMS 1.3 or OS/390 is installed, Innovation and customers have run tests comparing IAM to VSAM's Hardware Compression.

Innovation has done some comparisons with the new features provided by VSAM, using files from production applications of customers' systems. It might be supposed that the IBM CPU hardware compression facility used by VSAM would offer improved data compression over the technique used by IAM, as hardware compression utilizes a more sophisticated algorithm. The IBM hardware data compression facility requires the use of a data dictionary for compressing the data, so the data compression results for any particular data stream are dependent on how reflective the dictionary is of the data. Under ideal usage, such a data compression algorithm requires that the data be previewed so that a data dictionary can be built that will provide maximum compression. The generation of such a data dictionary is an expensive process. IBM's implementation of compression for VSAM examines the first several records passed during a file load, and then builds a dictionary from internal generic tables. While this technique minimizes the overhead of building a dictionary, it also reduces the potential effectiveness of the compression algorithm.

From tests done on customer data and from customer reports, we have found that while VSAM's compression does reduce DASD space requirements when compared to an uncompressed VSAM file format, IAM still frequently requires less DASD space than VSAM. For example, for an 11 gigabyte VSAM file (test A1) from a customer production system, IAM reduced the file size by 79%, compared to VSAM uncompressed. VSAM compression yielded a 76% DASD space savings. The net result was that the IAM used 6,664 fewer tracks than VSAM, such that the IAM compressed file used 13% less DASD space than compressed VSAM.

On a 1.4 gigabyte file from a different customer (test B1), the IAM compressed file used 38% less DASD space than the VSAM compressed file, a savings of 6,371 tracks over the VSAM compression.

So, with somewhat similar DASD space savings for KSDS VSAM data sets, where is the value of IAM? The answer is in the resource requirement to achieve those results. On stand alone performance tests done on the 11 gigabyte file, IAM used 83% less CPU time than VSAM, and ran in 70% less elapsed time to load the file with an IDCAMS REPRO. With IAM's BACKUPCOMPRESSED feature, the file load time is reduced by an additional 52%, with an additional CPU time savings of 80%. IAM with the default of 30 buffers did 79% fewer EXCPs than VSAM with the same number of buffers (31)!

For the smaller 1.4 gigabyte file, IAM used 65% less CPU time than VSAM for compression, and ran in 46% less elapsed time for a file load to a 3380. IAM used 93% fewer EXCPs with IAM buffering set to the maximum buffering, and VSAM buffering set to the maximum IBM recommendation.

IAM also provides value by using less resources to read the data. For example, doing an IDCAMS REPRO read of the 11 gigabyte file (test A2), IAM used 42% less CPU time than VSAM, and ran in 59% less elapsed time, with 55% fewer EXCPs using a comparable number of buffers (32 and 31). IAM's BACKUPCOMPRESSED feature further reduced the elapsed time to read this file by an additional 13%, with a CPU time reduction of 53%. For the 1.4 gigabyte file (test B2), IAM used 32% less CPU time than VSAM, and ran in 47% less elapsed time, with 68% fewer EXCPs.

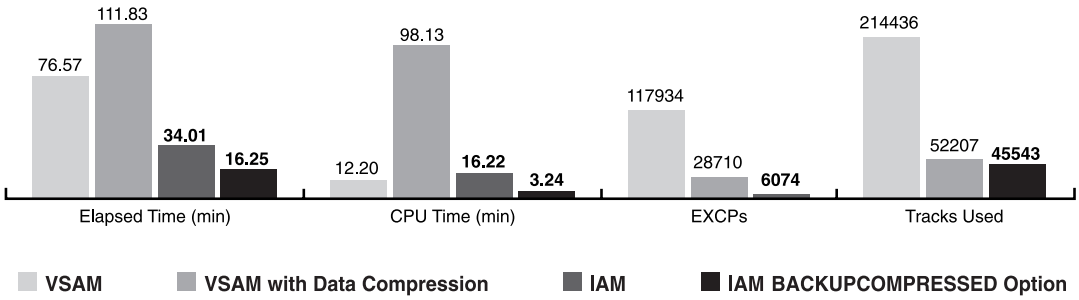
02.12 CONTINUED

IAM versus Hardware Compression

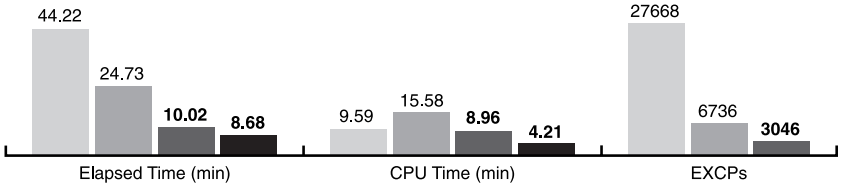
SUMMARY: IDRC on tape drives provides very effective compression with no cost in CPU time, because the compression is done in the tape control unit. The data compression used by VSAM is different, because it is done in the CPU. Hardware compression can reduce the required space, but at a substantial increase in CPU time. The tests show that IAM's file structure and software compression technique is significantly faster than VSAM's hardware compression, while giving comparable or better space reduction. IAM delivers superior performance and better space savings while using less CPU time. IAM compression is available for both KSDS and ESDS files. VSAM Hardware compression is only available for KSDS files.

Test A1 Load (REPRO From Tape)

File Description LRECL: 177, 1697, Allocation: Cyl, Primary 300, Secondary 200
IAM Blocksize: 27998, VSAM CISIZE: 26624, Records: 6,689,887
Bytes: 11GB, DASD: 3390, CPU: 9672-RA2, Tape: 3590-1 (MAGSTAR)

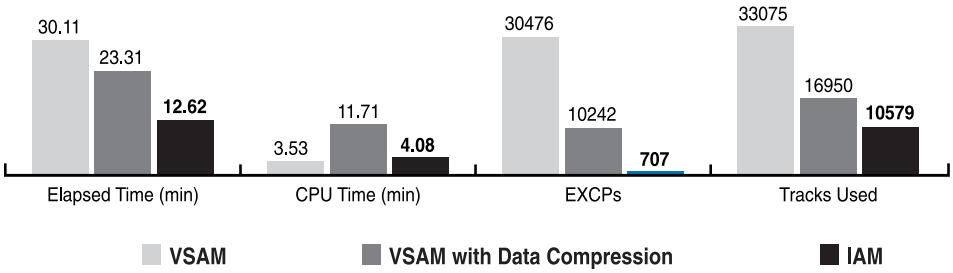


Test A2 Sequential Read (All Records)

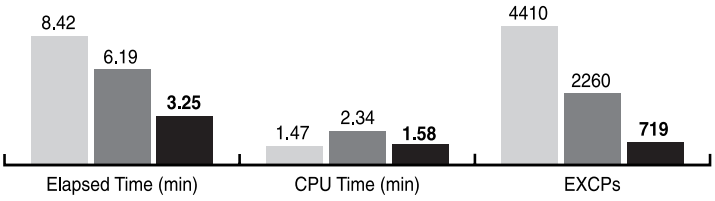


Test B1 Load (REPRO From Tape)

File Description LRECL: 100, 6900, Allocation: Cyl, Primary 500, Secondary 100
IAM Blocksize: 23476, VSAM CISIZE: 22528, Records: 1,476,242
Bytes: 1.4GB, DASD: 3380, CPU: 9672-RA2, Tape: 3590-1 (MAGSTAR)



Test B2 Sequential Read (All Records)



02.20 IAM's Real Time Tuning

With the introduction of the IAM VSAM Interface over ten years ago, IAM introduced a unique buffer management capability called Real Time Tuning. Real Time Tuning is a concept that IAM uses to dynamically manage the buffering for IAM files in response to the processing requirements. This capability allows IAM to adjust the number of buffers being used for any particular file, along with dynamically selecting appropriate buffer management and I/O techniques. This innovative and unique buffering technique has proven itself to provide extraordinary improvements in elapsed time to process batch jobs, as well as reductions in online transaction response times. IAM Real Time Tuning works within a range called MINBUFNO and MAXBUFNO values for the number of buffers, which can optionally be specified on a file by file basis by the user.

IAM buffering during a file load does not utilize the Real Time Tuning concept, because the file load I/O process is a sequential output process. The buffering technique used by IAM for a file load is described later in this section.

Benefits of Real Time Tuning

The benefit of Real Time Tuning is the ability to easily achieve a level of performance that is difficult or impossible to reach with VSAM, along with a significant reduction in the manual effort and data gathering required to tune your indexed files to meet the processing requirements. IAM's Real Time Tuning eliminates the need for deciding which buffering technique to use. With VSAM you have to choose between NSR and LSR buffering. IAM also has eliminated the need for index buffers, because IAM automatically keeps the index in virtual storage. For most data sets and applications, the default buffering provides for a very high level of performance. The IAMINFO run time report typically provides more than sufficient information to make any manual buffering adjustments that may be desired. This report, which is produced whenever an IAM data set is closed provided that there is an IAMINFO DD card allocated to the job step, will even indicate with the IAM368 message if more buffers would have improved performance. The IAMINFO report can also be obtained from the IAM SMF records, if they are being collected, with program IAMSMF. In fact, that program can be used to print just the IAMINFO reports for those data sets for which IAM indicated that more buffers would have improved performance. This can be done by using the IAMINFO command with the ATTRIBUTE=MOREBUFFER keyword.

Real Time Tuning for Enhanced Format Files

The Real Time Tuning capability was significantly improved with the IAM Enhanced File format, which included:

1. The capability to manage larger number of buffers per file than with Compatible format. As shipped, IAM can have up to 2048 buffers per Enhanced format file. This capability can be easily increased if necessary. The limit for Compatible format files is 32 buffers.
2. The capability to handle concurrent I/O requests, both at the logical level and at the physical I/O level. This improves IAM responsiveness for online systems, and for multivolume data sets.
3. Incorporate elapsed time into buffer adjustment algorithms. This will prevent the slow increase in buffers to lightly used files in an online system that is opened for several hours.
4. Provide sequential I/O read ahead, with I/O and processing overlap capabilities for synchronous mode (batch jobs) I/O processing. Up to a full cylinder's worth of data can be read in one physical I/O.
5. For mass sequential updates, IAM will write out multiple updated blocks per physical I/O.
6. IAM buffers are always requested to be in storage above the 16-megabyte line. However, should a buffer end up in below the line storage, IAM will freemain that buffer, to help avoid a potentially critical virtual storage shortage, unless that is the only buffer that IAM has available.

02.20 CONTINUED

7. For Share Option 1 or 2 files, IAM also has incorporated empty block detection, which will prevent subsequent physical I/O for such empty blocks. This eliminates a problem encountered with rereading empty blocks when there had been large quantities of records deleted from the file.
8. The IAM I/O driver will also take advantage of the IBM Record Level Caching, for random I/O's being done on 3990-6 controller, and indicate sequential processing for the 3990-3 and 3990-6 controllers when applicable.
9. The IAM channel programs completely support the ESCON channels, utilizing the ECKD channel commands, for files on control units that support that capability. IAM continues to provide optimal use of the latest hardware in the market, by using block sizes that fully utilize the device's capability, and by using the latest features and capabilities when performing I/O.

Buffering for Random I/O

For random processing, IAM dynamically acquires additional buffers up to the MAXBUFNO value, so that the most frequently referenced blocks can be retained in memory. IAM continually monitors what the added benefit would be for up to 32 additional buffers at any point in time by tracking contents and frequency of use. By monitoring requests in this way IAM can recognize patterns. After every 128 physical I/O's, IAM will evaluate the buffering. When IAM finds that a sufficient number of requests could have been satisfied without I/O to the disk within the past few minutes if more buffers had been available, an additional buffer is acquired. This continues until the maximum number of buffers allowed is reached.

IAM's buffer management techniques also provide for the release of buffers when it determines they are not providing any benefit in terms of reducing I/O within the past several minutes.

Buffering for Sequential I/O

Buffer management for sequential processing differs from random in that IAM attempts to determine how many blocks it should be reading ahead in anticipation, to efficiently service the user. The goal is to be able to read as many useful blocks as possible with each real disk I/O. To accomplish this IAM monitors the number of blocks that are read consecutively, increasing or decreasing the number of consecutively chained buffers as appropriate. More buffers are acquired as necessary, at the rate of at most one per physical I/O, up to the MAXBUFNO value. Once processing has been completed for each block read sequentially, rather than aging the block out of the buffer pool, it is immediately treated as being the buffer with the least recent reference, because it is not expected that the block will be needed in the near future. For synchronous sequential I/O, which is typically done by batch jobs, when reading multiple blocks per physical I/O, IAM will make the data from each block available to the application program as soon as it is in storage. On mass sequential updates, when multiple consecutive data blocks have been updated, IAM will write out multiple blocks per physical I/O. This process is done asynchronously whenever possible, to allow for I/O and processing overlap.

The advantage of performing multiple blocks per I/O is improved performance due to a reduction in resource utilization. The primary savings are at the device level. This type of I/O will eliminate DASD seek and search time, as well as rotational positioning time because the device is already positioned to process the next block. This device time can frequently be well over 50% of the total device time needed when performing a single block I/O. Also eliminated is the CPU overhead involved with issuing an EXCP, scheduling the I/O, plus the CPU time involved with the I/O completion. Also, for batch jobs, IAM will attempt whenever possible to allow the sequential I/O to be active while allowing continued processing of the data that is already residing in IAM's data buffers.

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Mix of Random and Sequential

Multiple random and / or sequential I/O operations can occur concurrently against any file. The use of the buffers will be balanced between the different requests based on the buffering needs of each request. The request with the highest buffer requirements will tend to utilize the most buffers. IAM does not lock out a request from a data block if another request is also using the block, such as VSAM does with the CI level lockout. A request may be temporarily delayed access to a block of data only if there is an actual physical I/O occurring, or during the actual processing time to move a record into or out of the buffer. This delay is managed internally by IAM, and does not result in deadly lockouts. The CI lock out problem caused by VSAM resulted in many installations not being able to use VSAM LSR buffering for some files under CICS, or forced files to small CI sizes to minimize the occurrence of the lock out problem. IAM eliminates these VSAM problems. IAM does perform the necessary record level lockout for concurrent updates to the same record to maintain data integrity.

Synchronous vs. Asynchronous I/O

For IAM data sets defined with Share Option 1 or 2 that are opened for update, IAM does distinguish the differences between synchronous and asynchronous processing. Synchronous processing is indicated by the RPL OPTCD=SYN, and is typically used by batch jobs. Asynchronous processing is indicated by the RPL OPTCD=ASY or OPTCD=WAITX, which is typically used by online systems, such as CICS. To improve batch performance IAM defers the rewrite to disk of a randomly updated buffer until the block residing in the buffer is forced out of the buffer pool. This technique reduces I/O whenever multiple rewrites are requested against data blocks in the buffer pool. Under Online systems (e.g. CICS), to insure integrity after a random update, buffers are always immediately rewritten. For sequential processing, the updates are deferred regardless of processing mode until IAM has several blocks to chain write, until the caller issues an ENDREQ request, or until the buffer is needed for another data block.

With sequential synchronous I/O, IAM is very aggressive with increasing the number of blocks that are being read per I/O, as well as being very quick to increase the number of buffers up to MAXBUNO. This is done to improve elapsed time for batch jobs. When asynchronous type of I/O is being done, IAM is less aggressive with sequential chaining. This is done to prevent tying up too many buffers at one time for online systems. Also, this will prevent issuing an I/O request which will monopolize a device for a relatively long period of time by reading many blocks per I/O, which could impact the responsiveness of the online system for other transactions.

Setting MINBUFNO and MAXBUFNO

IAM's Real Time Tuning works within an optionally specified range for determining the number of buffers called MINBUFNO and MAXBUFNO. MINBUFNO defaults to 1, unless explicitly overridden on an IAM Override statement. The value for MAXBUFNO can come from a number of sources, as indicated below in precedence order:

1. From the IAM ACCESS override value specified for MAXBUFNO.
2. From the IAM CREATE override value specified for MAXBUFNO when the file was loaded or defined.
3. The buffer space value specified on the IDCAMS DEFINE of the data set, providing that it is greater than the IAM Global Options BUFSP value.
4. The higher of BUFND, BUFSP, or STRNO specified in either the JCL AMP parameter or explicitly in the ACB, provided that it is larger than the default MAXBUFNO determined from the IAM Global Options Table. (Note that JCL specification of one of these values takes precedence over the value specified in the ACB control block itself.)
5. A default value from the IAM Global Options Table, which will be the higher of either the MAXBUFNO Global Option, or the BUFSP Global Option (CICS will use CICSBUFSP) value divided by the file's block size.

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02.20 CONTINUED

For a typical 1/4 track blocked IAM data set on a 3390 device, using the default Global Option values, IAM will set the MAXBUFNO value to 65 for batch, and 19 for CICS.

When an IAM data set is opened, IAM will determine the values that it will use for MINBUFNO and MAXBUFNO as described above. It will then decide on a starting value. If MINBUFNO has been overridden, then IAM will initially acquire that number of buffers. Otherwise, IAM will initially acquire a number of buffers equal to the maximum of the number of blocks per track, or the IAM Global Option value specified by BUFOPNO, which defaults to 4, whichever is higher. If either the MINBUFNO value or the BUFOPNO value exceeds MAXBUFNO, they will be reduced to the MAXBUFNO value being used. The number of buffers that are in existence when the open processing completes may be higher than the original value. This is particularly true for files with a large index or large overflow area, both of which are read by IAM during open processing.

As a general rule, there should be very little necessity to specify a MINBUFNO value. The only reason for doing so would be to force IAM open processing to start at a higher number of buffers then the default values, which may speed up OPEN processing for extraordinarily large data sets. MAXBUFNO can be changed as desired, and generally should be increased when IAMINFO indicates that more buffers will be helpful, and you need to improve the performance for the data set.

Statistics from IAMINFO

The IAMINFO report, which is produced when an IAM file is closed, will provide a variety of statistics relating to IAM Real Time Tuning and it's effectiveness. These fields include the following:

DISK BLOCKS READ - Indicates the number of physical I/Os (EXCPs) that were done to read data into the IAM buffer pool.

DISK BLOCKS WRITTEN - Indicates the number of physical I/Os (EXCPs) that were done to write data out to the file.

The TOTAL EXCP's issued by IAM for this data set can be calculated by adding the DISK BLOCKS READ plus the DISK BLOCKS WRITTEN. That number will be equal to the EXCP value reported by SMF for this data set, except for a file load with a temporary work data set. For a file load with a temporary work data set, the IAMINFO values are inflated by the I/O's done to the temporary data set.

DYNAMIC BUFFER RETRIEVALS - Displays the number of I/O requests satisfied from the IAM buffers without performing a physical I/O. This statistic essentially identifies the savings of I/O due to IAM's Real Time Tuning.

MAXIMUM BUFFERS USED - Indicates the maximum number of buffers that were used to process this file.

MINIMUM BUFFERS USED - Indicates the MINBUFNO value in effect for this file.

MAXIMUM BUFFERS AVAILABLE - Indicates the MAXBUFNO value in effect for this file.

SEQ CHAINED BLOCKS READ - Indicates the number of data blocks that were read in as part of a sequential multiple blocks per I/O. These blocks were read as part of IAM's Real Time Tuning anticipation that the application program will use the blocks. This value plus the DISK BLOCKS READ value indicates the total number of blocks physically read into storage for this data set.

SEQ CHAINED BLOCKS WRITTEN - Indicates the number of data blocks that were written as part of a sequential multiple block output I/O. This indicates the savings of physical I/O (EXCPs) due to IAM's Real Time Tuning accumulation of updated data blocks. This value plus the DISK BLOCKS WRITTEN value equals the total number of blocks written out to the data set.

02.20 CONTINUED

Do I need more buffers?

While all of the above information is useful in evaluating the benefits of IAM's Real Time Tuning, there may still be a question as to whether or not more buffers would have been useful. The IAMINFO report will come out and tell you via an IAM attention message, message id IAM368, that is displayed in the run time INFO report. A flag is also stored in the IAM SMF record.

The IAM368 message is just an indication that the I/O performance for the specified file could potentially be improved by providing a larger MAXBUFNO value. It may very well be that the performance level of the job processing this data set is quite acceptable, and if so there is no need to increase buffering. However, if you are looking for ways to improve the performance, then this message is an indicator of one of the possibilities for improving performance.

If the IAM368 message does not appear, then providing more buffers is very unlikely to change the performance.

For more information on tuning and getting the most out of IAM, refer to the Tuning Section in the IAM User's Guide portion of the manual.

File Load Buffering

As indicated at the top of the Real Time Tuning section, a file load does not make use of the Real Time Tuning concept. This is because a file load is exclusively a sequential output process. A fixed number of buffers are acquired at open time, based on the value for the CRBUFOPT Global Option or IAM Override. The required channel programs and I/O control blocks are built and initialized during open based on the quantity of buffers acquired. By default, for files that are allocated on cylinder boundaries, IAM will use enough buffer space to hold two cylinders, and write out a full cylinder per physical I/O. The default for track allocated files is buffer space for two tracks, and writing out one track per I/O. This buffering concept provides for I/O overlap, even for programs using synchronous processing. The EXCP counts will reflect the actual EXCP (physical I/O) operations done not the block count.

02.30 Automatic Space Release and Space Reserve

When IAM files are defined with a secondary space value, IAM will automatically release over allocated space after the first load of an IAM file. This feature is provided for both Enhanced format and Compatible format files, with some differences in method of operation.

Automatic Space Release

For Compatible format files, with their preallocated and preformatted overflow areas, upon completion of the file load process, the data set is using as much DASD space as it will use, until the file is reloaded. Because this over allocated space is not likely to be used, IAM will automatically release that space. This feature is provided because IAM data sets typically require substantially less DASD space than VSAM, plus there is a tendency in many installations to over allocate data sets, particularly VSAM data sets.

Release with Space Reserve

The situation is a bit different with Enhanced format files, which have the ability to dynamically acquire additional DASD space as needed, and therefore have eliminated the allocation and formatting of blocks during the load process to accommodate file expansion. If IAM were to release all of the unused DASD space at the end of the file load, a data set would very quickly end up taking extents as the file expands. Rather than eliminate all of the unused space, an automatic space reserve feature was developed. With this feature, some of the over allocated space will be retained rather than released at the end of the first load to allow for file growth without having to immediately go into secondary extents. The space reserved will be contained within the allocated extents after the file has been loaded. No additional extents will be obtained for the space reserve.

The desired amount of space to reserve will be calculated by using either the value specified for Overflow records on a CREATE IAM Override card, or by using the CA% Freespace value specified on the Define of the file. The calculation when CA% Freespace is used is similar to the calculation of the size of Overflow for the Compatible file format. Basically, the CA% Freespace value is cut in half, and then that percentage of the total DASD space required for the data set as loaded will be set as the desired reserve quantity.

When the program loading the file issues the close, IAM looks at how much DASD space is allocated to the data set, but is not yet used. If the allocated but unused space is less than the desired reserve quantity, then no space will be released. However, if the allocated but unused space is greater than the desired reserve quantity, then space will be released down to the desired reserve quantity. In all cases, the end of file is set at the end of the used area, and is indicated so in the VTOC LSTAR field. This will allow a DASD space management utility, such as Innovation's FDR/CPK product, to release the unused space that was reserved if it has not yet been used.

Space Reserve and Release Example

For example, consider a file where CA% Freespace is defined as 20%, and the file ends up using 300 tracks of space. A DASD reserve value of 30 tracks is calculated by taking half of the CA% Freespace, or 10% of the 300 tracks. Any allocated space over 330 tracks will be released. If the data set is allocated to less than 330 tracks, then no space will be released. If the file was allocated initially with 600 tracks, then 270 tracks of space will be released, leaving a total of 330 tracks allocated to the IAM data set.

If CA% Freespace is 0 and there is no Overflow override, then no space reserve will be done, and all excess allocated space will be released. In the example cited above, the data set would end up with only 300 tracks allocated. If the desired space reserve quantity is less than one cylinder, then no space reserve will be done, because most files are cylinder allocated, and the space release is done at a cylinder boundary.

Automatic Space Release Summary

RELEASE is an especially important IAM feature. IAM files generally take 30 to 70% less disk space than VSAM. If the original VSAM IDCAMS DEFINE space allocation values are left unchanged without auto- RELEASE a lot of disk space would continue to go to waste.

RELEASE is IAM's default and like most other IAM defaults it can be changed in the IAM Global Options table using the program IAMZAPOP (RELEASE= see Section 91).

02.40 Dynamic Secondary Space Adjustment

To compensate for IAM being able to acquire only sixteen extents per volume, with the new Enhanced File Format IAM will dynamically adjust the secondary space quantity. This algorithm will take effect once a file has used five extents on a volume. At that point in time, IAM will increase the secondary space allocation by a multiplication factor specified in the Global Options Table, or from IAM Overrides. The default secondary space multiplication factor as IAM is shipped is ten for file loads, and five for file updates. The Secondary Space Adjustment feature is subject to the following rules:

1. The secondary space quantity will not be increased to value that exceeds the original primary space quantity.
2. The secondary space quantity will not be increased to a value that exceeds the size of the largest contiguous available extent on the volume. Note this is only effective once the file has obtained five extents.
3. If the original secondary space quantity is higher than the original primary space quantity, the secondary space quantity will not be adjusted.
4. For single volume files, the original secondary may be decreased from the original value to the largest extent available on the volume, just to try to keep it running as long as possible.

For example, if a file is Defined with a primary quantity of 500 cylinders, and a secondary of 10 cylinders, after five extents have been acquired, the secondary during a file load will be adjusted up to 100 cylinders. During a file load, the maximum space that will be used for this file is:

- 1 extent of 500 cylinders
- 4 extents of 10 cylinders each
- 11 extents of 100 cylinders

This will be bring the total maximum space up to 1,640 cylinders, which is slightly less than the VSAM maximum of 1,720 cylinders. Although IAM allocated less DASD space than VSAM, the amount of user data kept in the IAM file will generally be larger due to IAM's space savings capabilities. This is due to IAM's efficient use of DASD devices and Data Compression feature. So, by providing the Dynamic Secondary Space Adjustment feature, IAM files have the potential to grow in size as large as VSAM files will, although it will be done in fewer extents.

For this same allocation, the secondary will be adjusted up to 50 cylinders during a file update run. However, if the primary space is 20 cylinders, and the secondary is 10 cylinders, then the maximum value that will be used for the secondary is limited to 20 cylinders. The maximum secondary space quantity that will be requested for any particular file is included on the IAMPRINT LISTCAT output.

Dynamic Secondary Space Adjustment Overrides

The secondary extent multiplication factor can be changed through the use of the IAM Overrides on a file by file basis, using the MAXSECONDARY keyword. Regardless of the value set, the basic rules for modifying the secondary space quantity remain as explained above. The factor can be specified on the IAM CREATE override during the file definition or file load, and on file updates with an IAM ACCESS override. The values permitted are from zero to ten. Values of zero or one will prevent IAM from increasing secondary allocation. The secondary allocation value may be reduced for single volume files when there is insufficient space for the secondary. When the secondary factor is specified on a CREATE override during file definition, the value is stored with the file. That value will be used for subsequent file loading and file updates, unless overridden at run time.

02.41 Multivolume Considerations

With the IAM Dynamic Secondary Space Adjustment feature, there is an additional option for Enhanced format multivolume files that are defined without guaranteed space. When it appears to IAM that the EOV request will result in the next volume being allocated, IAM will request the primary space value, rather than the normal secondary quantity that is used for non-VSAM files. This feature can be controlled by either IAM Overrides, or by the IAM Global Options table. This feature is provided to offer an alternative so that IAM space allocations will be similar to VSAM. The IAM Override keyword is:

1. MULTIVOLUME=PRIMARY or
2. MULTIVOLUME=SECONDARY

These IAM Override keywords can be used on the CREATE Override statements. When specified on the CREATE keyword during file definition, the value specified is saved with the file control information and will remain the applicable option, unless overridden by a particular job step.

The rules for IAM files defined on DFSMS managed volumes with the Guaranteed Space attribute are different. This is because DFSMS will automatically allocate the primary space quantity on each volume when the file is defined. The secondary allocation quantity will be modified as described above for single volume files.

Another special multivolume circumstance is when a file is defined with no secondary space specified. For most circumstances, IAM will set the secondary allocation value to the primary value. For data sets on DFSMS managed volumes with Guaranteed Space, the secondary is left as zero, with the primary being allocated on each volume when the file is defined. For systems where DFSMS is active, but the data sets are on non-SMS managed volumes, IAM will leave the secondary as zero, and allocate the primary space on each volume when the file is defined. This is to mimic the DFSMS Guaranteed Space, and will prevent any secondary extents from being taken.

02.50 IAM's DYNAMIC TABLING: 'DATA RECORDS-IN-VIRTUAL'

IAM has another feature that can potentially reduce physical I/O's for files that are randomly read. This feature, called IAM's Dynamic Tabling, offers significant performance benefits for some applications. With this feature activated, IAM tables records retrieved randomly from a file in virtual storage, without any programming changes to existing applications. Then, on subsequent random reads, IAM checks to see if the key requested is for a record contained in the virtual table. If the record exists in the table, IAM passes it back to the user, eliminating the I/O to the disk. If the record does not exist in the table, it will be moved into the table.

NOTE: Random reads which are eligible to use the dynamic tabling feature are identified in the IAMINFO report as R. (READ) commands for Compatible format files, and as GET RANDOM commands for Enhanced format files. Other types of retrievals, such as GET commands and Read-or-GetNext cannot use the dynamic table because the precise key being sought is unknown.

To enable this option, use the IAM ACCESS Override statement. The keyword on the control statement is DYNCORE= (See Section 30). The DYNCORE value is specified in 1024 byte (1K) increments. The following example will reserve 200K of storage for the Dynamic Table.

```
ACCESS DD=iamfile,DYNCORE=200
```

IAM's Dynamic Tabling of data records is similar to the Data-In-Virtual concept IBM introduced with Linear Data Sets, without requiring any programming or file format changes. IAM's concept of tabling is more efficient than IBM's because it's oriented to individual records instead of 4K sections. A smaller amount of memory is used requiring fewer real pages to back up the virtual pages.

If the key being requested is not currently in the table, IAM reads the record from the file. If found, the record is passed to the user and tabled for subsequent retrievals. If the record is updated, IAM changes the record in the table and on disk.

Applications which will benefit the most from Dynamic Tabling are those with high file activity where a subset of records in the file are repeatedly being read, with few ever updated. Small files with high random activity and few updates become in core tables without the need for any programming changes.

Under MVS/ESA and OS/390, Dynamic Table storage is in extended private.

IAM's run time INFO report reflects the way an application uses the file. The report includes statistics on requests processed, I/Os to disk, Dynamic Table usage and the number of records retrieved from the Dynamic Table.

DYNAMIC TABLE RETRIEVALS - displays the number of record requests satisfied from IAM's Dynamic Table.

DYNAMIC TABLE RECORDS - displays the maximum number of records in the table.

NOTE: IAM Dynamic Tabling facility will not be used for files that contain spanned records, due to the very large amount of storage that would be required.

02.60 IAM's VSAM Transparency

IAM's system level VSAM Interface (VIF) provides transparency. VIF allows an unaltered application program executing under MVS/ESA or OS/390 to access IAM files in place of VSAM KSDS or VSAM ESDS files. VIF can be used in conjunction with the common programming languages COBOL II, COBOL, assembler, PL/1, RPG, etc. and any higher level language products which support keyed access to VSAM files.

IAM supports programs executing in AMODE(31) and VSAM control blocks (ex: ACB, RPL) residing above the 16MB line.

VIF supports the full range of VSAM file access commands GET, PUT, INSERT, GETPREV, ERASE, POINT, etc. and the file status commands SHOWCB, TESTCB, GENCB, and the VSAM catalog lookup macro, SHOWCAT.

IAM supports the following functions of IDCAMS, as they relate to VSAM KSDS or ESDS file processing: BLDINDEX, DEFINE, DELETE, LISTCAT, PRINT, REPRO and VERIFY. DELETE, PRINT, REPRO and VERIFY provide the same services for IAM files as they would VSAM clusters.

IDCAMS DEFINE will create an IAM file whenever the OWNER($IAM) parameter is specified, '$IAM' is placed somewhere in the data set name, or $IAM is part of the Data Class or Storage Class name.

LISTCAT ALL displays IAM files as non-VSAM in its standard SYSPRINT report. LISTCAT ALL also displays the file's IAM characteristics in an IAMPRINT DD report, which will be dynamically allocated if necessary.

IAM has full support for the SMS environment. This support includes recognizing and using the SMS classes for allocation, honoring file attributes specified in the Data Class, and support for JCL allocation of IAM files; including temporary data set support. Simply place $IAM in the SMS Data Class or Storage Class name to define a file as IAM. When using the SMS JCL allocation feature specifying $IAM in the data set name, in the Storage Class name or in the Data Class name on the DD Statement results in an IAM file being allocated.

Activating VIF is a simple procedure. While evaluating IAM, you can activate and deactivate VIF at any time. To start VIF all you need to do is submit the procedure, 'VIFSTART', supplied in the IAM Installation Control Library. Within a few seconds VIF will be active in the system. Once testing has been completed and IAM is in production, you can activate VIF automatically each time the system is IPL'd.

Section 90.10 of this manual documents activating the VIF Interface.

CONTINUED . . .

02.70 SMS Support in IAM

Overview

IAM provides support for SMS that is equivalent to the VSAM support, including support for JCL file definition, and temporary data sets. By definition, to be eligible for an SMS managed volume, the file must be assigned a Storage Class. The Storage Class, along with optionally a Data Class and/or Management Class, can be explicitly specified on the DEFINE command, by JCL parameters for JCL defined files, or selected by the ACS routines. IAM files on SMS managed volumes will be cataloged with the class names. As a part of the SMS support, an additional method of triggering an IAM DEFINE is available. Files will be defined as IAM files if the Data Class or Storage Class name contain the $IAM literal.

SMS Automatic Class Selection Routines

For both IDCAMS DEFINE's and VSAM JCL allocations, the ACS (Automatic Class Selection) routines are called prior to the IAM DEFINE intercept. When IAM intercepts the request, the SMS classes, the SMS Storage Group, and the SMS volumes have already been selected. IAM will then screen the request and determine if the file should use the IAM format. If $IAM, in the Data Class (DATACLAS) or Storage Class (STORCLAS) name, is being used as the criteria for determining IAM format files, then the class name(s) must have $IAM in them at this point in the process. They contain either the explicit names from the DEFINE request, or the name(s) selected by the ACS routines. This allows the installation the possibility of controlling IAM files, and IAM usage through the ACS routines. For JCL allocation, these are the classes and volume(s) that will be used.

For IDCAMS DEFINE requests, the ACS routines will be re-entered when IAM issues the dynamic allocation of the file as a non-VSAM data set. The request will specify the SMS classes as received from the intercepted DEFINE request, and the volume(s) that had initially been selected by SMS. While Innovation does not recommend this, the ACS routines can change the SMS classes and the Storage Group, which will change the volume(s) on which the file is placed. The ACS routines can check the &DSORG value, which will be VS (VSAM) on the DEFINE, and PS on the IAM dynamic allocation. At this point, the file must not be switched to a non-SMS volume, because the allocation will fail. However, it can be switched from an unmanaged volume to an SMS managed volume. Changing the DATACLAS at this time will have no effect on the file characteristics, as they were determined by IAM prior to the dynamic allocation. The MGMTCLAS, STORCLAS, and Storage Group can all be effectively changed by the ACS routines on the dynamic allocation request.

SMS Classes

IAM provides full support for IDCAMS defines under SMS. The DATACLAS, STORCLAS, and MGMTCLAS can either be explicitly provided on the DEFINE command, or selected by the ACS routines. The Data Class can provide file characteristics for the file being defined, including record length, key length, key offset, share options, free space, and others, eliminating the need to specify those values explicitly on the DEFINE. As per SMS rules, the options in the Data Class will be used, unless explicitly overridden on the DEFINE command.

Allocation Errors

If the IAM allocation encounters any errors, the error messages will appear on the JES job log, with the MVS allocation messages (SYSMSGS) and also on the IDCAMS SYSPRINT, if it is available. Due to the manner in which IDCAMS prints messages on SYSPRINT, the error messages from IAM will precede the actual DEFINE command. IDCAMS will also print out additional error messages after the DEFINE, performing an analysis on the return codes set by IAM. Whenever possible, IAM uses the VSAM return codes that most clearly indicate the actual problem, although that is not always possible. Always refer to the IAM and related allocation error messages for the most precise problem determination possible.

02.70 CONTINUED

JCL Allocations

VSAM files being defined through JCL can also be easily converted to IAM files. This is done by either putting $IAM in the data set name (DSN), or by using a Storage Class (STORCLAS) or Data Class (DATACLAS) with $IAM in the name. Both permanent and temporary data sets can be defined, with the restriction that temporary data sets cannot be multivolume, same as with VSAM. The use of a Data Class (DATACLAS) is highly recommended for JCL defined files. By using a Data Class, values for Freespace, CI Size, and Share Options can be specified, which are not available through JCL parameters. All files defined in JCL will, by default, be capable of handling variable length records, up to the maximum length specified in the DATACLAS or LRECL field.

To allocate IAM files through JCL, IAM must be in the link list. STEPLIB and JOBLIB are ineffective in this case, because it is the initiator that is issuing the allocation, and IAM must have access to various load modules for the define.

If the IAM allocation encounters any errors, the error messages will appear on the JES job log and with the MVS allocation messages (SYSMSGS). SMS will also print out additional error messages appearing with the MVS allocation messages, performing an analysis on the return codes set by IAM. Whenever possible, IAM uses the VSAM return codes that most clearly indicate the actual problem, although that is not always possible. Always refer to the IAM and related allocation error messages for the most precise problem determination possible.

Any CREATE overrides for JCL allocated files must be in the job step that loads the file, not necessarily the step allocating the file. The define process does not access the IAMOVRID DD for JCL defines. For example, if the file is allocated in an IEFBR14 step, and then subsequently loaded by an IDCAMS REPRO, the IAM create overrides must be in the IDCAMS REPRO step.

Dynamic Allocation

The TSO ALLOC command, and the MVS DYNALLOC service, has also been enhanced to provide for allocation of new and temporary VSAM files. These requests will also be screened by IAM, and can be converted to an IAM file in the same manner as a JCL allocation can. IAM treats the request just like a JCL request. The new ALLOC keywords are the same as the new JCL keywords, and dynamic allocation has the equivalent text units.

Multivolume

IAM files can be spread across multiple SMS managed volumes, both with and without Guaranteed Space. Note that IBM restricts temporary VSAM files to a single volume, this also applies to IAM. When an IAM file is defined with a Storage Class that specifies Guaranteed Space, the primary allocation quantity is allocated on each volume at DEFINE time, as per the SMS non-VSAM rules. When Guaranteed Space is NOT specified, only the first volume is selected at DEFINE, and the subsequent volumes are cataloged as an '*'. During file load or reorganization, if additional volumes are needed, they will be selected by SMS. SMS has a restriction that within a job step, if a file defined without guaranteed space uses additional volumes, only one DD statement can be used, because any other DD's are not updated to indicate the additional volumes. For IAM users, this is only of concern for job steps loading or reorganizing files and being accessed within the same step.

CONTINUED . . .

02.80 Other Features of IAM

Mass Sequential Deletes

For Enhanced Format files, IAM has incorporated an ability to temporarily logically delete a record from a data block. Then, when that data block is about to be written out to DASD, the records are physically deleted from the data block. This eliminates the overhead of constantly moving records within a data block, as prior records are deleted, only to end up being deleted as well. In the case where every single record is deleted from a data block, this enhancement eliminates the data movement entirely from that process.

A further difficulty has been rarely encountered after such mass deletes, where certain types of I/O requests result in the empty data blocks being read repeatedly, often unnecessarily. IAM does sequential processing without referring to any index structure, because the internal structure of the file does not require such overhead. IAM has no way to know that a prime data block is empty, without actually reading that data block. With IAM Enhanced Format files, that are defined with Share Option 1, or that are opened for update with Share Option 2, will now keep track of those empty blocks, and not reread such blocks in sequential modes of processing. This support is limited to particular circumstances, because in other situations there could be another ACB opened for UPDATE against the same file, and therefore there can be no presumption about the contents of a data block.

Dynamic Region Size Adjustment

As customers have converted files to IAM, they occasionally hit the MVS default limit of 32 megabytes extended private storage or their REGION size limit. This has in the past necessitated the modification, or in many circumstances writing, and supplying an IEFUSI exit. Innovation has developed, and distributed a sample IEFUSI exit, that frequently can be used with minor modifications. This exit is distributed in the ICL (Installation Control Library) provided with IAM Version 7.0.

Because many customers have unexpectedly hit this limitation, IAM Version 7.0 has the ability to dynamically increase the extended private region limit. When IAM is opening an Enhanced Format File, it estimates the amount of storage that will be required to open the file. Included in that estimate is storage for the prime and overflow indexes, control information, and buffers. IAM then checks to see if that amount of virtual storage is available. If not, IAM will then attempt to increase the extended private storage limit by the quantity required to open the file, rounded to 4 megabytes. By default, IAM will not increase the extended private region to greater than 512 megabytes. This maximum value can be either increased or decreased through the IAM Override facility, or by changing the IAM default in the IAM Global Options Table.

While processing a file, if a critical storage acquisition fails, that will also drive the dynamic region adjustment, with the same limitations as above. A storage acquisition is considered critical if it is required to successfully complete a request. For example, one critical storage acquisition is to expand the size of the overflow index to complete an insert or update request. If storage can not be obtained, then the insert or update request will fail with a File Full VSAM logical error. With Dynamic Region Adjustment, when a critical getmain fails, IAM performs the region adjustment. IAM then retries the failing getmain, and if it fails again, then the request is failed. Dynamic Region Adjustment will not be invoked to increase buffers, because processing can continue without failing requests.

Dynamic region adjustment affects only normal file access; it does not function during a file load process. The value can be changed in the IAM Global Options Table, by using the keyword is MAXREGION. Refer to Section 91 for information on changing IAM Global Options. The region adjustment can also be controlled with an IAM Override. The IAM ACCESS override keyword is MAXREGION, and it specifies the upper limit for the above the line storage, in megabytes. Note that by specifying the keyword DD=&ALLDD, the value will be effective for all Enhanced Format IAM files, unless there is a specific override.

02.80 CONTINUED

Multiple ACB Support

For Enhanced Format Files, IAM will recognize that an ACB has already been opened for a file, within the same task, and share the index structure and buffers. For applications, or CICS regions, where a file was opened under one DDNAME or ACB for read only, and the other for UPDATE, they occasionally experienced an inability to retrieve an updated data record. This will no longer be the case. This support also reduces the storage requirements for having multiple ACB's opened to the same file.

A special feature is available when the opened ACB is read only, and an ACB is subsequently being opened for update in the same address space. When that occurs, the overflow index is completely rebuilt, and all the buffers refreshed so that any updates that may have been done by batch jobs will be automatically and immediately available once the update ACB has completed the open process. For online systems that utilize two ACB's, where one is read only and the other update, the update ACB can be closed to allow batch updating. The read only ACB can remain open for processing, however it may not have access to all of the records updated by the batch job(s). When the batch updating terminates, the update ACB can be reopened, and access to all of the batch updates will be immediately available.

Pseudo Logical Record Length Support

IAM support of spanned records eliminates the need for the PSEUDOLRECL feature. The documentation of this feature is for compatibility purposes only with prior versions of IAM. The PSEUDOLRECL will continue being a supported feature, however users are urged to convert to the spanned record support.

For files that have a large theoretical maximum record size, but in actuality never have records that size, the file can be defined with the smaller record size. With the Pseudo LRECL support, the larger maximum record size is specified on an IAM CREATE override, PSEUDOLRECL, when the file is defined. Then, whenever the file is opened, the application program will be told that the file can support the larger record size. This allows COBOL programs to access the file, while at the same time reducing the DASD space required for the file.

With the Variable Overflow feature, the Pseudo Logical Record Length is now primarily useful only for files that have maximum record lengths that exceed 1/2 track block size on the device that the IAM data set resides on. For 3390 devices, this would apply to maximum record lengths in excess of 27,993. The Pseudo Logical Record Length will primarily help those files that have been forced into a 32K blocksize, resulting in inefficient use of DASD space. If they never contain such large records, they may now be able to use a blocksize that will better utilize the device. Note that any attempts to actually write out a record with a size greater than the defined size will be failed.

For example, a file has a maximum theoretical record size of 32,000 based on the COBOL record layout. However, let's say that the actual largest record in the file is 8,000 bytes. The file can be defined with a maximum record size of 8,000, and a pseudo record size (PSEUDOLRECL) of 32,000. IAM will use a more efficient block size, for example 27,998 on a 3390, which will allow full utilization of each track, instead of a blocksize of 32,760, which will waste 23,236 bytes per track, or 41% of the DASD space. The following example shows how to define this file with the Pseudo LRECL feature:

02.80 CONTINUED

Pseudo Logical Record Length Support (continued)

```
//DEFINE      EXEC    PGM=IDCAMS
//SYSPRINT    DD      SYSOUT=*
//IAMOVRID    DD      *
CREATE        DD=&ALLDD,PSEUDOLRECL=32000
/*
//SYSIN       DD      *
DEFINE  CLUSTER( NAME (my.iam.cluster) -
              OWNER($IAM) -
              RECORDSIZE(2000,8000) -
              KEYS(12,8) -
              FREESPACE(10,10) -
              VOL(myvol)    -
              CYL( 10,2) -
              SHAREOPTIONS(2,3)  )
/*
```

Figure 1: Defining an IAM File with PSEUDOLRECL

The Pseudo LRECL feature can be used with either Enhanced Format or Compatible Format files. This capability was available on V6.2 and prior releases with a custom PTF. The PTF for V6.2 was C-62.0140, and for V6.1 was C-61.0140. For customers that have that PTF, please note that the affected file(s) will have to be redefined with the PSEUDOLRECL option.

ESDS Extended Addressability

IAM has added support for 8-byte RBA values in the IAM ESDS type of files, which IBM has announced for DFSMS 1.5. This is referred to by IBM as Extended Addressability which allows the VSAM ESDS file to exceed 4 gigabytes. An IAM 8-byte RBA file is created by either specifying the XESDS keyword on the IAM CREATE override, or through specification in the DFSMS Data Class. Such IAM ESDS files do not have to reside on DFSMS managed volumes, as do VSAM ESDS files. Likewise, IAM can support these 8-byte RBA files from DFSMS 1.3 and above, however your application programs may not be able to take advantage of that until the languages provide support for the 8-byte RBA values.

02.80 CONTINUED

IAM Journalling

IAM provides an optional automatic journalling capability on file updates for Enhanced format IAM KSDS and ESDS types of files. This capability is provided to assist in improving data availability, particularly for the very large multivolume data sets. The improved availability of the data is accomplished by the reducing the frequency of data set backups, and providing a speedier recovery facility for failing batch jobs.

An example of the intended use is as follows. Let's say there is a multivolume IAM data set that is updated every day, from both online and batch. Because of this, the data set is backed up every day. With IAM journalling AFTER images, the file may now need to be backed up only once a week, however the smaller log data set containing the updates will be backed up daily. If a recovery is needed, the data set is first restored from the last back up, and subsequently all of the updates are reapplied from the IAM journal to the data set using the IAM journal recovery program IAMJREST. The data availability is improved by elimination of the time spent backing up the data set every day, which may take well over a couple of hours.

The other intended use is to provide a backout mechanism of updates performed by batch jobs. To utilize the backout capability, the user must indicate that the BEFORE images of updated records are to be included in the journal. Should a batch job abend, rather than restoring the file and rerunning prior batch updates, all that needs to be done is to backout the updates from the batch job step (or entire job, and other jobs). This is accomplished by using the IAM journal recovery program, IAMJREST.

The IAM journalling feature is activated through the JRNAD override keyword, with the appropriate value of BOTH, BEFORE or AFTER indicated for the types of journal records required. As stated above, the AFTER images are necessary for performing a recovery from a data set that has been restored. BEFORE images are needed when backing out data set updates. The BOTH keyword enables collection of both the BEFORE and AFTER images. When specified on the CREATE override during a file definition or load, IAM will automatically journal all updates made to the data set. When specified during file updates on the ACCESS override card, the specified journal options are only applicable to that job step. Additionally, the user must allocate a sequential data set to contain the journal records, with an adequate amount of space. The name of the data set must be the same as the IAM data set name (i.e. cluster name) appended with '.LOG'. If the data set name is over 40 characters long already, and if there is a '.' in position 40, then the data set name will be 43 characters long, ending with the '.LOG" string. If the data set name is over 40 characters long, and position 40 is not a '.', then the log file name will be 44 characters long, ending with the '.LOG' appendix. The user is also responsible for the management of the IAM journal data set(s). They must be backed up as necessary, and also emptied out at various points. The log data set can be emptied by deleting and reallocating the data set, or by using an IEBGENER to copy into the log data set form a DD DUMMY.

IAM journalling is not intended as a substitute for CICS journalling and the CICS transaction backout capability. Those capabilities must still be utilized if required.

Full information and examples on using the IAM Journalling capability are provided in section 10.88 of the Users Guide.

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03.01 IAM DATA SET STRUCTURE OVERVIEW

File Structure Overview

IAM provides improved levels of performance, efficiency and reliability unsurpassed by any other index file processor. To gain these advantages, rather than attempting to manage a VSAM structure more expertly, IAM establishes its own uniquely structured data set.

The organization of an IAM data set is structurally simpler than its VSAM equivalent. An IAM data set is a relative block non-VSAM (DSORG=PS) organized and managed by IAM using the EXCP access technique. Note that in V6.2 and earlier, the default for IAM was DSORG=DA, which could have been optionally set to DSORG=PS. There has been no change in the underlying structure of the file, the reason for using DSORG=PS was that the various DASD backup and management software products encountered difficulties with handling DSORG=DA types of files.

Complementing overflow structures within an IAM file can accommodate any type of file growth. A percentage of every block in an IAM file can be left free at load time to accommodate randomly distributed additions. IAM establishes free records within the file to be used when inserts are not randomly distributed but are clustered into groups. For Enhanced format files, free space can be reserved during file load to accommodate file expansion. For Compatible format files, free blocks can be established during file load to accommodate inserts to the file.

Programs that create IAM files are device independent. IAM automatically determines the target device type and, using the file's record length and CISIZE, calculates the best blocksize for that device. Programs which process IAM files are totally insulated from the blocking and structure of an IAM file.

IAM File Allocation

An IAM file is allocated on the disk and cataloged using an IDCAMS DEFINE or functional equivalent operation, such as VSAM JCL allocation, or various TSO ISPF panels offered by various vendors. The IAM file is created when the file is opened for OUTPUT and loaded with one or more records sequentially by key.

IAM files can be loaded into a new allocation or an existing file allocation with a disposition of OLD or SHR as appropriate. IAM files are always considered to be reusable, unless the IAM Global Option ENABLE=NOREUSE is set, and the file is defined with the NOREUS attribute.

IAM files are simple non-VSAM space allocations managed via the EXCP access technique. IAM data sets contain fixed length blocks, within which IAM manages the data, index, and file description information. IAM data sets can be defined across multiple volumes. They make full use of secondary space allocation and do not require contiguous (CONTIG) extents. Space for an IAM file can be defined in records, tracks or cylinders.

For Compatible format files, the amount of space requested should be sufficient to accommodate all of the records to be loaded and the overflow defined for the file. If a secondary allocation value is defined, during a load procedure, should the primary allocation be insufficient IAM will acquire additional extents. VSAM's 4.3 Gigabyte (GB) file size limitation does not apply to IAM files.

IAM File Integrity

IAM files have been designed to provide the highest level of both file and data integrity. Some of the design factors that enhance file integrity include a prime index structure which will never be updated, minimizing as much as possible the need to update other control information about the file structure, designing a structure of adding to the existing structure, as opposed to modifying the existing file structure. The dynamically extended portion of the file structure is validated during open, with efforts made to recover from most anticipated type of structure errors that may occur. Every effort has been made to provide an expandable, non-destructible file structure that provides an outstanding level of performance and responsiveness.

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As with all prior versions of IAM, the access method will never pass back to an application program the address of an actual buffer. This is done to prevent inadvertent corruption of the data contained within the buffers, as much as possible. Even for requests indicating OPTCD=LOC (locate) mode processing, IAM will always make a copy of the record in another storage area, rather than pass back the address of the data record within the buffer. Also, with the IAM Enhanced File Format code, all of the critical data areas are acquired within their own 4K pages, to prevent the inadvertent storage overlays that sometimes occur when there are multiple programs using the same page of virtual storage.

In spite of all these efforts, should a problem occur within the IAM file structure, IAM provides a recovery program, IAMRECVR, which will recover all the data that it is possible to recover for the file. This program reads the data blocks on it's own, without going through the index. This program can be used for recovering from physical I/O errors, or structural errors that may have occurred.

Specifying File Structure Type With Overrides

For KSDS type of files, the user has the option to specify either the Enhanced file structure, or the Compatible file structure. File structure is determined when the file is defined, either with the IAM CREATE override, or defaulted based on the IAM Global Options Table. IAM is shipped with the IAM Global Options Table default set for the Enhanced File Structure. Existing files that are reorganized without being deleted and redefined will retain their previously existing type of file structure. Files that are deleted and defined will default to the file structure specified in the IAM Global Option table.

ESDS type of IAM files is always in the Enhanced File Format.

The file structure can be specified on the IAM CREATE Override control statements using one of the following keywords:

1. **ENHANCED** For Enhanced format files, or
2. **COMPATIBLE** For a format compatible with prior versions of IAM.

While the file structure type override can be specified on the file load, it is **highly recommended** that it be specified for the file definition. Some decisions are made during file definition that may result in certain capabilities not being fully functional. For example, if a file is defined as an Enhanced format file, but then changed to Compatible format, then no value for the size of the Independent Overflow area has been determined, which can lead to the file immediately becoming full. On the other side, if a file is defined as Compatible and has a space allocation specified in records, then if changed to Enhanced format on the file load, the Dynamic Secondary Space Adjustment feature may not function, due to how the space allocation is saved.

03.02 Adding Records to an IAM File

VSAM Concept

VSAM uses two concepts to manage inserts in a VSAM KSDS file:

FREESPACE CI% - Specifies the percentage of each control interval that is left empty for future inserts. IAM's Integrated Overflow is basically the same concept, and utilizes the value specified for CI% Free Space.

FREESPACE CA% - Specifies the percentage of CIs within each CA to be left empty. Its purpose is to establish free space areas throughout the file for CIs that are filled. These areas are tied to the file's existing index structure. If an insert does not fit within the CI where it should be placed, VSAM will split the CI, using one of the free Cis within the same CA. If a CA has no remaining free CIs, VSAM splits the CA into an area at the end of the file.

The VSAM technique of splitting record areas has serious drawbacks. If you are adding many records with similar keys (mass inserts), VSAM is forced to split many times. CI and especially CA splits are very time consuming. A file can become unusable if a split does not complete (ex: system crash). If you try to reduce CA splits by increasing CA%, you waste large amounts of disk space as most of the free CIs will never be used.

IAM Concept

IAM's concept of Overflow is far superior to VSAM's. The IAM Overflow area is used for inserts, or record updates with an increase in length, which do not fit in the blocks where they should be added. If a block is full, IAM adds the record to Overflow. The IAM Overflow area is a record based area, which means that as records are deleted from the overflow area, the space can be reused for any other record, regardless of the key. An index to the Overflow area is kept in virtual storage, separate from the prime index. This concept makes much more efficient use of disk space because it is not tied to the file's existing index structure. For Enhanced format files, this Extended Overflow area is dynamically acquired on an as needed basis, acquiring and using additional DASD extents. Further, with the new Variable Overflow feature available for Enhanced format files, IAM will make even more efficient use of the DASD space that is assigned to the Extended Overflow area.

For Compatible format files, the Independent Overflow area is allocated and formatted during the file load. The size of this area, which can be specified either by CA% free space, or by the OVERFLOW override, must be large enough to handle the volume of records anticipated to be inserted between file reorganizations.

IAM never moves data records once they have been placed on the file, unless the record increases in size and no longer fits in the block. In this case, IAM puts the record into Overflow first, before deleting it from the prime area. If an abend occurs, IAM retrieves the correct copy of the record bypassing the duplicate record on subsequent processing.

IAM files do not become unusable because of system crashes or job cancellation. Inserts into IAM files are much faster than VSAM.

It is very easy to tell how many Independent Overflow records have been used or remaining. The IAMINFO report gives you the exact number of records currently in Independent Overflow and how many records are left for additions. It is next to impossible to get this information from VSAM.

03.10 Enhanced Format File Structure

File Structure Overview

The IAM Enhanced File Structure has been designed to provide a base for the future enhancements and capabilities. The Enhanced File Structure first appeared in IAM Version 6.2, to provide the base for the IAM ESDS file type support. Then, in IAM Version 6.3, the structure was further enhanced to support the KSDS file type. One of the major features of this structure is that it provides the capability for dynamic file expansion, with the ability to take additional DASD extents as additional space is needed for inserts or updates to the data set. With IAM Version 6.4 the Variable Overflow feature was added as an option with Enhanced Format files. This feature provides for more efficient use of DASD space within the overflow areas of the file, which is the area designed to handle the file expansion. New with Version 7.0 is the Alternate Index support, that is built upon the Enhanced Format file structure. As will be seen below, the concept of an Overflow area and Prime Extension (PE) area exist for dealing with the need to expand the file size. These areas are dynamically acquired and formatted as needed. The size restriction is based on OS/390 restrictions of 16 extents per volume, a maximum extent size of 64K tracks, and a maximum of 255 extents for the IAM data set. While IAM itself is not imposing any specific size limitation on file expansion, the virtual storage resources required to index the file expansion areas will typically be the factor to drive file reorganizations.

All records contained within the IAM Enhanced File Structure are treated internally as variable length records. Additionally, all files that are defined as 75 tracks or larger will be automatically eligible for the IAM data compression, which helps reduce DASD space and provides I/O performance benefits.

IAM files are self-defined, non-VSAM files. This means that the information about the file structure is contained within the file itself, rather than in the system catalog and VVDS, as VSAM files are. The information kept within these system control areas, the system catalog, the VTOC, and the VVDS for DFSMS managed volumes is the same as for any other non-VSAM file. IAM files are treated as non-VSAM files by most DASD management products, including the FDR family of products.

An IAM file, as it appears on DASD, consists of a set of unique areas. Each area will be explained in further detail below. The basic structure is:

1. File Definition and Structure Data (Two blocks)
2. Prime Data Area
3. Index to Prime Data Area
4. Extended File Area
   - •Extended area index and contents description
   - •Extended Overflow and/or Extended PE blocks

File Definition and Structure Data

The first block is initialized when an IAM file is defined with the basic file definition information, such as record length, key size, key offset, and so forth. With the IAM Alternate Index support, the information on related data sets (alternate indexes and paths) is also kept in the first block. After a file has been successfully loaded, the last I/O done to the file is to store the file structure data in the first block. Included is an indication that the file has been successfully loaded, and of course information about where the index begins, size of index, plus additional required data. The only updates done to this block after a file load are for statistical information that is written during file close processing, including information on number of inserts, deletes, and updates. The bulk of the information that is presented on the IAM Listcat report is taken from this control block. The statistical information, and information about the extended file area that are kept in the first block may not be accurate, and do not have to be accurate as they are for informational purposes only. A listcat that is done while the file is open to any application program will not reflect the exact status of the file, in much the same way as a listcat on an open VSAM file will also not reflect the exact status and statistics for the file. The statistical information can become inaccurate if a system or address space fails while the file is opened for update processing, and has not been successfully closed.

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The second block contains information about the extended file area, including maximum size, and the location, by relative block, of where the information about the extended area is stored. This block is typically read during open processing, and is updated immediately after a new DASD extent has been acquired, and during close processing. If the file has not been successfully closed, then open processing will detect any inconsistencies, and update this data with the correct information.

Prime Data Area

The Prime Data Area is built as the file is being loaded. This area contains the data records that were passed to IAM during the file load process. There is included some imbedded freespace within each block, called the Integrated Overflow area. This area is similar in concept to the VSAM CI freespace, and the size is indicated by the CI% Freespace parameter on the IDCAMS file DEFINE. Every loaded IAM file has a Prime Data Area, except for files that have been loaded with a single record.

Index to Prime Data Area

This index is built during close processing for a file load. This index consists of the high key in each prime data block, and may be in a compressed format. Once a file is loaded, this index structure is never changed, until the file is reorganized or reloaded.

Extended File Area

The Extended File Area consists of the data that is added to the file, either as inserted records or from updates that increased the size of data records, which could not fit into the Prime Data Area of the file. This area consists of blocks containing control information about the Extended file area, and extended data blocks, which can be either Extended Overflow, or Prime Extension (PE). The control information for the Extended File area is based on the internal logical structure of the file, and is not necessarily tied to actual DASD extents. This way, IAM files can have their extents merged and eliminated by products such as FDR/CPK without impacting the integrity of the IAM file structure or the data it contains.

Dynamic File Expansion

The Extended File Area is acquired and formatted as needed. When there is a need to acquire an additional data block, for either Overflow or PE, a segment of the allocated and unused space is formatted. Normally, for batch processing, up to one cylinder will be formatted with empty blocks. For online processing, normally only one track will be preformatted at a time, to reduce the impact on response time. Blocks are then assigned as needed to either Extended Overflow, or PE. Once all of the allocated space is used, additional DASD space will be requested through the normal MVS EOV service.

If an error condition occurs during the EOV processing, such as an X37 abend condition, it is captured by the IAM DCB ABEND exit to prevent the job from actually abending. The request requiring the additional DASD space is failed, with a file full logical error. The avoidance of the abend is done to be compatible with VSAM, which will not abend either. The user will see the IBM error messages relating to the error condition encountered and an IAMW13 File full error message.

When a new extent is acquired, additional Extended Area control blocks are formatted and written as necessary, and up to one track of empty blocks will also be formatted. The control information for the Extended File area consists of identifying the blocks that are assigned to Extended Overflow, and the blocks that are assigned to PE. Additionally, the high key for each assigned PE block is retained as the index for the PE area.

Extended PE Blocks

As records are being added to the logical end of the file, defined as having keys higher than what have been previously loaded or added to the data set, PE blocks are assigned from the Extended File Area. Once a PE block is considered full, then the high key in that block is used as the index entry. Just as with the Prime Data blocks, Integrated Freespace, or CI% Freespace, is left in each block. This will allow for records increasing in size, as well as for later record insertions. Once a block is assigned as PE, it will remain as a PE block until the file is reorganized or reloaded. A PE block is only able to hold records that fall into the established index key range. If records are deleted from the PE block, the freespace is available for expansion of existing records within that block, or for new records added to the file within the established key range.

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Extended Overflow Blocks

The IAM Extended Overflow area is a record based overflow area, similar to the Independent Overflow area of the Compatible File Format. Extended Overflow blocks are used to handle records that are being inserted within the file when there is insufficient space within the Prime Data or Prime Extension block that the record would have been assigned to, based on the established index. Extended Overflow space will also be used when an updated data record increases in size, and there is insufficient room within the block that it currently resides in for the larger record. The format of the data in the Extended Overflow block is identical to the data in the prime blocks. With Variable Overflow enabled, IAM will fully utilize the space available within each block. Without Variable Overflow, the number of records actually stored in the block will be limited to the number of maximum size records that will fit. When a record is deleted from Extended Overflow, the space it occupied is immediately available for reuse by ANY inserted or updated record, regardless of the key value. This eliminates the unusable lost space condition that can occur within VSAM files taking CI/CA splits, as records are deleted from certain key ranges, and new records are added in different key ranges.

The index to the Extended Overflow area is record based, that is each record in Extended Overflow has an entry in the index, consisting of the key and the block number of the Extended Overflow block containing the record. There will be an index to overflow for each of the prime data or extended PE blocks that have associated records in overflow. This enables IAM to use a compressed key structure for the overflow area, as well as potential reductions in CPU time to build and manage the overflow index when there are a very large number of records in the overflow area. The index is built when the file is opened, by reading all of the used Extended Overflow blocks, as indicated by the control information.

03.20 Compatible Format File Structure

This section describes the Compatible format IAM file. An IAM file does not exist until a load process has initialized the space allocated for the file. Within the space allocated for a file, IAM establishes five distinct areas. These areas are the foundation for management of the file. The following is a description of how these areas are structured.

Control Area

The first area established is IAM's file definition and control area. Control information within an IAM file includes such things as:

1. The file characteristics (blocksize, number of blocks in the file, etc.)
2. Logical record description (record length, relative key position, etc.)
3. Overflow specifications (type of overflow, number of records, etc.)
4. Execution time options (core usage, key compression, I/O buffering etc.)
5. A control area ID, which serves as an indication that the file has been successfully loaded.

Independent Overflow Area

The next area established is Independent Overflow. During file load, IAM establishes an area in the file capable of accepting the Independent Overflow records this file was defined to accommodate. The size of this area is calculated based on the number of Independent Overflow records requested and the block size of the IAM file.

When an IAM file is defined, the FREESPACE CA% value is used to calculate the size of Independent Overflow. IAM calculates its Independent Overflow value using the CA% and primary allocation values. Since IAM makes more efficient use of overflow, the CA% specified is cut in half for files with more than 10 cylinders.

Based on the size of the file's primary allocation, IAM will not reduce the CA% below the values shown in the following table:

Primary Allocation	Minimum CA%
1 cylinder or less	10%
2 to 3 cylinders	9%
4 to 5 cylinders	8%
6 to 7 cylinders	7%
8 to 9 cylinders	6%
10 cylinders or more	5%

Figure 2: Independent Overflow and CA% Freespace

IAM calculates the approximate number of maximum size records that will fit in the primary allocation and multiplies the result by CA percent. This value will become the number of records in Independent Overflow.

The maximum number of records that IAM will reserve based on CA% freespace is from the IAM Global Options Table MAXOVERFLOW value, which defaults to 50,000. The minimum is the lower of 500 records, or the number of records that will fit in half of the primary allocation.. The number of Overflow records IAM is to reserve in a file can be overridden, when it is defined and at execution time when it is loaded, using an IAM CREATE Override Statement.

IAM recognizes SMP/E CSI files by their cluster name (CSI in the last index level) and will use a default of 20% Integrated Overflow and 50,000 Independent Overflow records.

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Independent Overflow Area (continued)

It is very easy to tell how many Independent Overflow records are used or remaining in an IAM file. The IAMINFO report or a LISTCAT ALL with IAMPRINT gives you the exact number of records currently in Independent Overflow and how many records are left for additions. It is next to impossible to get this information from VSAM.

If an application loads just one (1) record to a file, IAM formats additional space for Independent Overflow within the primary space allocation. The total combined space for Prime Extension (described below) and Independent overflow is approximately 90% of the primary space requested.

Prime Data Area

The next area is called the Prime Data area. When the file is loaded (or created), IAM accepts records to build the file's prime data area. Records passed to IAM load must be in ascending key sequence and are placed into the prime data blocks. During the file load IAM reserves, as free space within every prime data block, the percentage of Integrated Overflow (CI%) specified for the file. IAM continues the prime area load until the user application or IDCAMS REPRO stops passing records and Closes the file.

Prime Extension Area

The Prime Extension area is established following the prime data blocks. It is used to accept inserts to the file with keys higher than the previously existing high key on the file. Prime Extension is specified in blocks. Use the keyword PE= in the IAM Override statement to change the Prime Extension value.

If an application loads just one (1) record to a file, IAM formats additional space for Prime Extension within the primary space allocation. The total combined space for Prime Extension and Independent Overflow will be approximately 90% of the primary space requested.

Index Area

The next area established is for the Index blocks. IAM establishes a high key value for each prime data block during file load. IAM uses one key per block for the prime index. These key values are written out to a data space under OS/390 or MVS/ESA, or as a temporary work file under MVS/XA, as each prime block is loaded. During CLOSE processing, these key values are read from the data space and used to create an index for the IAM file. When a data space is not used, IAM dynamically allocates the temporary work file.

IAM compresses the keys in the index using a proprietary compression technique on each key, which results in a higher compression ratio than VSAM. If the size of the compressed index is at least 10% smaller than the uncompressed index, the compressed key structure is stored in the file after the uncompressed index.

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10.01 USERS GUIDE INTRODUCTION

Overview

Welcome to the IAM Version 7.0 Users Guide. This guide is designed to explain how to use IAM Enhanced Format data sets. It is primarily a task oriented guide. For each task, general guidance and reference information is provided, along with numerous examples to aid in the understanding and use of IAM.

This guide assumes that the reader has a general understanding of VSAM and the related terminology, has a working knowledge of OS/390 JCL, and the Access Method Services (IDCAMS) utility program. Additionally, the reader should be familiar with the IAM Features and Capabilities as described previously in this manual. The primary focus of this Users Guide is on how to, as opposed to explaining each IAM or VSAM feature and concept.

VSAM Compatibility

IAM is a high performance indexed access method, providing random and sequential access to user data with minimal computer resources. IAM provides an application program interface that is fully compatible to the OS/390 VSAM access method, supporting the most commonly used features and capabilities. IAM can be used in place of VSAM KSDS files, which are processed sequentially or randomly by key, and VSAM ESDS files, that are accessed sequentially or randomly by relative byte address, or by control interval. IAM files can be used in place of VSAM KSDS or ESDS files that utilize the IAM supported functions without modification. IAM, with the optional alternate index feature, can be used for any alternate indexes related to VSAM KSDS or ESDS types of file that have been converted to IAM. While IAM does not use the VSAM LSR buffer pool, IAM can be used by applications that indicate usage of the VSAM LSR buffering, including Batch LSR and CICS. IAM files can be processed by system utility programs, including IDCAMS and any of the many SORT software products. IAM provides support for the VSAM exit routines, as specified in the ACB EXLST, including the SYNAD, LERAD, EODAD, JRNAD, and UPAD exit types.

IAM does not support accessing KSDS type of files by VSAM relative byte addressing or by control interval processing (VSAM RPL OPTCD=ADR or OPTCD=CNV). IAM does not support control interval updates for ESDS type of files, although control interval reads and file load processing by control interval are supported.

Using IAM Data Sets

IAM files are processed in a manner that is identical to VSAM. First, IAM files must be defined. IAM files can be defined with the IDCAMS DEFINE utility, through MVS JCL VSAM allocation, or by the IAM ISPF panels. Once defined, IAM files must be initialized with user data. IAM files can be loaded with application programs, IDCAMS REPRO, or as system SORT output (SORTOUT). After a successful file load, IAM files can then be processed and updated. Alternate indexes and paths can be defined and built using IDCAMS. After significant update activity, an IAM file may need to be reorganized, just as VSAM KSDS files. The frequency of file reorganization of IAM files may be either less or more than what was required with VSAM. Innovation offers a file reorganization product, called FDRREORG™, which can automate the file reorganization process for IAM files, as well as VSAM and PDS type of files.

The subsequent sections will present information and examples on how to perform all of those tasks, as well as other data set management tasks. Before getting into the specific tasks, some general JCL guidelines are presented. While there are usually no JCL changes required to utilize IAM files, there are some additional IAM unique JCL statements that, when used, can enhance the overall IAM file usage. Such JCL changes provide for overriding various IAM default options, can provide IAM unique reports, and IAM tracing and debugging capabilities.

10.10 JCL CONSIDERATIONS

As a general rule, there are no JCL changes needed to use IAM files. JCL parameters for VSAM, such as the VSAM AMP parameters can remain without any need for change. IAM will ignore any value for BUFNI. IAM will honor the STRNO parameter, which specifies the number of place holders. IAM will use the value specified by the BUFND parameter for the maximum number of buffers, MAXBUFNO, unless it is less than the default maximum buffers, or a MAXBUFNO override has been specified. Files with JCL for Batch LSR can remain so specified, although IAM will not use the LSR buffer pool.

IAM Unique DD Cards

There are some unique IAM DD statements, which are described below. The use of the below mentioned DD names is generally optional, however they may be requested by IAM Technical Support for gathering additional data for problem diagnosis.

DDNAME	Description
IAMDEBUG	When specified as a DD DUMMY, will result in IAM issuing a U0184 ABEND for various error conditions. This is done to provide a simple mechanism to obtain a dump for problem diagnosis. This DD statement will also cause various IAM error messages to appear, which normally would not appear because of commonly encountered error conditions that are typically handled by return codes. This DD statement should only be provided when requested by IAM Technical Support, otherwise a normally running job may abend unexpectedly.
IAMDUMP	An optional DD card, that when specified, will be used for dumping the IAM index data space when an IAM file is being closed under a task that is abending. This will normally be a SYSOUT data set.
IAMINFO	Identifies a sequential output data set, which is normally SYSOUT. IAM will set the required DCB characteristics for this data set, so specification of a DCB should NOT be done. Presently, IAM produces records with a RECFM=FBA and an LRECL=121 for the IAMINFO report. Caution should be used when specifying large block sizes for this data set. A typical IAMINFO report contains about 41 lines or records, so block sizes larger than 4961 are not useful. This data set will contain a report that is produced by IAM every time an IAM file is closed. The report contains file description information, along with statistics on file usage and resource requirements to process the data set. Use of this feature is highly recommended, and may be requested by IAM Technical Support for problem resolution, particularly for performance related questions. These IAMINFO reports can also be generated by the IAMSMF utility program, provided that the installation is collecting and saving the optional IAM SMF records.
IAMNOLIC	When specified as a DD DUMMY on job steps that perform an IDCAMS LISTCAT requests, will prevent the production of the IAMPRINT reports for IAM files. This is primarily intended for use when a job is doing a LISTCAT of an entire catalog, and it is desirable to eliminate the overhead of IAM processing, which can be significant when thousands of data sets are being processed.

10.10 CONTINUED

DDNAME	Description
IAMOVRID	Specifies a card image data set, which contains the control cards and keywords to use or modify the use of various IAM features. This is normally a DD * (SYSIN type) of data set, however it can also be a sequential data set, or a member of a partitioned data set. Refer to the section on IAM Overrides for complete information on using this facility.
IAMPRINT	Identifies a sequential output data set, normally SYSOUT, that will contain a report on IAM file characteristics whenever a LISTCAT ALL is done for an IAM file. IAM will normally dynamically allocate this file to the default SYSOUT class (SYSOUT=*) . For TSO users, the output is automatically routed to the user's terminal. The DD card can be optionally provided by the user, to capture the output to a data set, or route the output to a different SYSOUT class. The user need not provide any DCB information, however should be aware that the file will have an LRECL=121 and a RECFM=FBA.
IAMWKDD	An optional DD statement that provides a temporary data set to be used during an IAM file load to hold the index. Normally, IAM will default to using a Data Space of up to 128 megabytes for this purpose which is adequate for all except the extremely large data set. For example data sets that are using 1/4 track for a block size, with a 64 byte key, the data space is sufficient for up to 128 gigabytes of compressed data. To force the use of the work file, the CREATE Override DATASPACE=0 must be specified.
$NOVIF	When specified within a job step, indicates that IAM will not flag dynamically allocated IAM data sets as DSORG=VS in the JFCB for the data set. This is primarily for use by data set management utility software, such as DMS.

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10.20 DEFINING IAM FILES

Overview

Before using an IAM data set, it must be defined. This define process is identical to what is required for VSAM data sets. During the define, IAM allocates the DASD space for the data set, catalogs the data set, and stores the file attributes within the data set itself. IAM data sets can be defined through the use of IDCAMS, through JCL DD cards, or using a variety of methods under TSO, including through the IAM ISPF panels. Many other software products that are used to define VSAM data sets will generally also be able to define IAM data sets. This section will provide information on parameters and examples to define IAM data sets using with IDCAMS, through JCL, and under TSO.

How to IAM a Data Set

For a data set to become IAM instead of VSAM, an indication must be provided on the file definition indicating that the file is to be an IAM file. The ways to indicate this are:

1. Add the parameter OWNER($IAM) to the IDCAMS DEFINE command, or
2. Change the data set name to include the literal $IAM somewhere within the name, or
3. For SMS managed IAM data sets, use an SMS Data Class or Storage Class with the literal $IAM as part of the class name.

For most data sets, all that is required to implement IAM is to change the file definition using one of the above techniques. Any of the above methods can be specified on an IDCAMS DEFINE. For JCL definition of an IAM data set, the OWNER parameter is not available, so either the data set name has to include $IAM, or it must be a part of the data set's Data Class or Storage Class name. Most installations select one method as their preferred method, based upon their internal data set management or accounting requirements. While many installations have chosen the OWNER($IAM) technique, the alternative of placing $IAM within the data set name has the advantage of making identification of IAM data sets very easy and many installations have chosen this route as well.

Basic Define Parameters

For any IAM data set, there is certain basic information that must be provided. This is usually provided through keywords specified either on the IDCAMS DEFINE command, or as JCL. Other sources of these attributes are an SMS Data Class, or from using another IAM or VSAM data set as a model. The basic required information for all types of IAM data sets include:

- Data Set Name
- Indication that file is to be an IAM file, e.g. OWNER($IAM)
- Volume(s) on which data set is to reside
- Quantity of DASD space required
- Maximum record size
- Type of data set (i.e., KSDS or ESDS)
- Key length and offset (RKP) for KSDS (INDEXED) type of files

Additional information that can be provided includes free space, share options, and expiration date. Several of the other VSAM file attributes can be specified, such as IMBED, REPLICATE, SPEED, etc., however they are not relevant to an IAM data set and will be ignored. Certain attributes unique to IAM can be specified via IAM overrides, which include data compression, IAM file format, and default buffering range. The various unique IAM attributes can also be set as installation defaults in the IAM Global Options Table.

10.20 CONTINUED

Basic Define Parameters (continued)

If the IAM allocation encounters any errors, the error messages will appear on the JES job log, with the MVS allocation messages (SYSMSGS) and also on the IDCAMS SYSPRINT, if it is available. Due to the manner in which IDCAMS prints messages on SYSPRINT, the error messages from IAM will precede the actual DEFINE command. IDCAMS will also print out additional error messages after the DEFINE, performing an analysis on the return codes set by IAM. Whenever possible, IAM uses the VSAM return codes that most clearly indicate the actual problem, although that is not always possible. Always refer to the IAM and related allocation error messages for the most precise problem determination possible.

CONSIDERATIONS FOR DEFINING AN IAM DATA SET

In general one can easily convert a VSAM cluster to IAM just by modifying the DEFINE, as described above. Because IAM has a different file structure, and is allocated as a non-VSAM data set, there are some differences between IAM data set allocations and VSAM allocations that may affect a few of your data sets.

DASD Space Consideration

IAM data sets typically require from 30 to 70% less disk space than your existing VSAM clusters. IAM data sets make more efficient use of DASD space. Their super compressed index and advanced internal structure usually result in about a 20 to 40% reduction in the amount of disk space compared to a similar VSAM cluster. IAM's optional Data Compression, in most circumstances, will provide an additional 20 to 50% reduction in disk space. A data compressed file requires more CPU time to process than an uncompressed file. IAM's Data Compression techniques have proven themselves so efficient, IAM data compressed files usually take less system CPU resources to manage than normal VSAM clusters. IAM's data compression requires significantly less CPU time than VSAM data compression. To request that IAM compress data records, see IAM Override statements. The keyword is DATACOMPRESS=YES.

In an effort to conserve disk space and prevent over allocation, IAM releases space that is unused and not being reserved after the file is initially loaded. This is done automatically when secondary space is specified. If you want to override IAM's default of releasing the over allocated space, see IAM Override statements. The keyword is RELEASE=NO. After a file has been loaded, a LISTCAT ALL will show you the exact number of tracks an IAM file is using and has allocated. As a general rule, when converting VSAM files to IAM, initially retain the original VSAM space allocation values. After observing the IAM space requirements, the space allocation can be adjusted.

Because IAM data sets exist on DASD as a non-VSAM type, they abide by non-VSAM rules for secondary allocations. One major difference is that IAM files can only have a maximum of sixteen extents per volume. To compensate for this limitation, IAM has a capability to automatically increase the secondary space value. This can be controlled through the MAXSECONDARY IAM Override and Global Option, which acts as a multiplication factor on the specified secondary space quantity. Using this, IAM will increase the secondary space allocation up to the limit set by MAXSECONDARY, so that IAM should be able to obtain approximately the same amount of DASD space as VSAM.

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Multivolume Consideration

IAM utilizes standard MVS services to acquire additional DASD space. Because IAM data sets are non-VSAM, the rules and mechanisms for acquiring additional space for multivolume data sets are different than VSAM. When IAM needs additional space, it issues the MVS EOV (End of Volume) service to acquire additional DASD space. The only input IAM can provide is a space quantity, by specifying the desired secondary quantity. IAM will attempt adjustments on the secondary quantity, as per the MAXSECONDARY and MULTIVOLUME parameters.

The basic rules for IAM data sets are that the primary space quantity has to be available on the first volume. Then, as new extents are acquired, generally additional space will be acquired on the current volume, until the data set has sixteen extents on that volume, or there is insufficient space to satisfy the request. Then, MVS will switch to the next candidate volume. When the next candidate volume is explicitly named, which is typical for data sets not managed by SMS, there must be sufficient space on the next candidate volume for the requested secondary quantity. In other words, MVS non-VSAM EOV can not skip over a "candidate" volume due to insufficient space and go on to the next. Attempting to do so will cause a SE37-08, resulting in an IAMW13 File full message.

There are some exceptions to the above described processing. If the file is SMS managed in a guaranteed space Storage Class, then the primary allocation is made on each volume when the data set is defined. If the secondary space is zero, then once the primary allocation is used on one volume, IAM will be switched to the next volume. When all the allocated space is used, attempts to add more data will fail due to a file full error. When a secondary quantity is specified, then additional extents will be acquired on the current volume, providing there is space to do so, until the data set has reached either the limit of 16 extents on that volume, or has run out of space. Then, IAM will be switched to the next volume. IAM data sets can not exceed a total of 255 extents.

Another exception is when the file is defined as multivolume, not SMS managed and the user has specified a secondary quantity of zero. For systems that have SMS active, IAM will treat this type of allocation like an SMS guaranteed space request. The primary quantity is allocated on each volume when the file is defined. When all the allocated space is used on one volume, then the allocated space on the next volume will be used. Unfortunately, this technique does not work on systems that do not have SMS active. So, for those systems, IAM will set the secondary to be the same as the primary. This usually results in only the primary space being allocated on the first volume, and then potentially multiple extents on the second and subsequent volumes.

Reorganizing, or reloading a multivolume IAM data set without deleting and redefining is not recommended because it can result in what may seem to be some strange space distribution across the volumes. This is because of the processing done by MVS EOV when using a previously existing file. What happens is MVS will cause all of the currently allocated extents to be used first. MVS will not acquire new extents on any volume which has extents already, unless it is on the last volume. New extents, if needed, start with the first unused candidate volume. This can result in some volumes not having as much space utilized as desired or needed, causing unnecessary file full conditions.

DFSMS Support

IAM provides full support for SMS managed IAM data sets. By definition, to be eligible for an SMS managed volume, the data set must be assigned a Storage Class. The DATACLAS, MGMTCLAS, and STORCLAS can be explicitly provided on the DEFINE command, or selected by the ACS routines. The Data Class can provide file characteristics for the file being defined, including record length, key length, key offset, share options, free space, and others, eliminating the need to specify those values explicitly on the DEFINE. As per SMS rules, the options in the Data Class will be used, unless explicitly overridden on the DEFINE command. IAM files on SMS managed volumes will be cataloged with the class names.

10.20 CONTINUED

DFSMS ACS Routines

One special consideration for IAM files in an SMS environment is that generally the ACS routines will be invoked twice for the same request. The exceptions to this are for files being allocated through JCL, and files being allocated through the IAM ISPF panels. This is because IAM DEFINE intercept occurs after IDCAMS has invoked SMS to analyze the DEFINE request. Subsequently, if the file is going to become an IAM file, IAM will issue a dynamic allocation for the data set, which will cause the ACS routines to be invoked again. When IAM issues the dynamic allocation request, IAM will specify whatever SMS classes have been assigned to the data set at the point in time that the intercept occurred.

One of the problems that can occur is if an installation has established an SMS Storage class, such as STORCLAS(NONSMS), which users can code to prevent a data set from being SMS managed. That storage class name is nullified on the first pass through the ACS routines, causing the file to be unmanaged and also preventing IAM form seeing that original storage class specification. When the ACS routines are called again out of the dynamic allocation issue by IAM, because the STORCLAS is null, the ACS routines assign the data set to an SMS managed STORCLAS. This problem is resolved through the use of the IAM STORCLAS Global Option. By setting that option to be the non-managed storage class, (e.g. NONSMS), IAM will pass that as the STORCLAS on any allocations which do not have one at the time IAM intercepts the request.

Another problem that can occur is that the ACS routines, on the second pass, decide that the data set is not to be an SMS managed data set. This will cause the dynamic allocation to fail, because the volumes that are passed are SMS managed volumes. To prevent this from occurring, the ACS routine must not nullify that STORCLAS for an IAM file which already has a STORCLAS specified. The STORCLAS can be changed however to a different STORCLAS if desired.

A third consideration for the ACS routines is that some installations have set their ACS routines to perform different actions if some of the classes are already specified on entry to the routine. This is usually due to installations wanting to limit the external use of SMS classes by their users. Because of this, ACS routines with code that checks for the preexistence of SMS classes, and performing different actions, could result in IAM files being assigned to a different classes than expected or desired.

The main point here is that the developer of the ACS routines, particularly the Storage Class routine, must be aware of how IAM file allocations work, and to code the routines to achieve their installation's desired results with the above considerations in mind. Establishing an installation standard to use $IAM in the data set name, or as part of a user specified DATACLAS will make it much easier to identify IAM files in the ACS routines. (Note that the OWNER parameter from the DEFINE is NOT accessible to the ACS routines.) The IAM technical support team is available to help review ACS routines, and make suggestions on revising them to meet their objectives for IAM files.

Non-Specific Volume Allocation

For data sets that are not SMS managed, IAM offers allocation to non-specific volumes. If you wish to use the IAM non-specific allocation, specify VOLUME(ANYVOL). For multivolume non-specific allocation, specify VOLUME(ANYVOL ANYV01 ANYV02 ...). This will result in IAM issuing a non-specific dynamic allocation request for the IAM file. The first volume will be selected by MVS allocation. Any additional volumes are selected by IAM, which will select volumes from the specified UNIT name that are of the same device type as the first volume selected. IAM builds a list of the eligible volumes, then selects those volumes that have the largest quantity of available contiguous space. All of the volumes must be mounted as STORAGE to be eligible for selection.

Volume selection is done at the time the data set is defined, and a subsequent LISTCAT will show the volumes selected. The default UNIT name used is SYSDA. To change to a different UNIT, use the IAM CREATE override keyword UNIT=, or change the IAM Global Option WORKUNIT.

When using the IAM non-specific allocation, do NOT specify UNIQUE. Doing so will cause IDCAMS to attempt to allocate the nonexistent volumes. Similarly, if the MODEL parameter is specified, also specify the SUBALLOCATION parameter, because the MODEL parameter causes the UNIQUE attribute to be assigned.

Customers that are using the Sterling Software product SAMS (VAM) can not use the non-specific volume allocation feature of IAM.

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IAM OVERRIDES FOR IAM DATA SET DEFINITION

Define Overrides

There are various unique attributes for IAM data sets that can optionally be set when the data set is defined through the use of the IAM Override facility. The override facility is provided because there is no mechanism within the DEFINE CLUSTER command to pass this information. Included amongst the attributes that can be specified are IAM Data Compression, the IAM file format, and enabling variable overflow. Most of these attributes are based on defaults from the IAM Global Options Table, which are normally set up during the IAM product installation. With appropriate choices made during installation, there should be a very infrequent need to use the IAM Overrides during the file define. An overview of the IAM Override facility is presented here, while complete information is provided in the reference section of the manual.

IAMOVRID DD Statement

The use of the IAM Override facility is triggered by providing an IAMOVRID DD card in the JCL, which usually consists of in-stream card image input (i.e. //IAMOVRID DD *). This DD can also reference a card image sequential file on DASD, or a member of a PDS. Each control card contains a word indicating the type of override (i.e., CREATE or ACCESS), then after a blank is followed by one or more operands. For a file definition, use the CREATE override statement. The CREATE override requires either the DD= or the DSN= operand, which identifies the data set to which the override is applicable. A value of DD=&ALLDD will apply the override to any IAM data set that is not explicitly overridden.

The IAM Override facility can be used for the Define Cluster commands issued under IDCAMS or TSO. It does not work for defines done through JCL DD cards or the TSO ALLOC command. Various IAM overrides can be specified directly on the IAM ISPF panel. The IAM Override process will most likely work with other software products that can Define VSAM files. Examples of using the IAM Override facility for file definition are included in with the IDCAMS Define Cluster examples later in this chapter.

CREATE Override Operands

The following list contains the CREATE Override keywords which are applicable to the file definition process. For the most part, the CREATE Override keywords have the same meaning and implication when used during the file load, as they do during the file define. The underscored portion of the keywords indicates their minimum abbreviation. The keywords applicable to the define process are:

Keyword	Brief Description
BLKSIZE=nn	Specifies the block factor (1 - 15) or block size of the IAM data set. The default value is based on the specified CI Size and maximum record size. Typically the default is the maximum block size that can be used to obtain 4 blocks per track.
COMPATIBLE	Specifies that IAM is to create a compatible format data set. A compatible file format has overflow areas that are formatted when the file is loaded, and are fixed in size until the file is redefined or reorganized. The default is taken from the IAM Global Options Table, which as shipped is Enhanced format.
DATACOMPRESS= [YES|NO]	Indicates whether or not IAM is to data compress this data set. The default is based on the IAM Global Options Table, which as shipped is any data set that is 75 tracks (5 cylinders) or larger is eligible for data compression.

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Keyword	Brief Description
DDNAME=	Specifies which data set the override is applied to. When used during a DEFINE process, the value specified MUST match the value specified for the FILE parameter. If this value is set to &ALLDD, then the overrides are applicable to any IAM file that is not otherwise explicitly overridden.
DSN=	Specifies the name of the data set that the override is applied to. Either DDNAME= or DSN= must be specified.
ENHANCED	Specifies that IAM is to create an Enhanced format IAM data set. This type of data set uses a dynamic overflow area, which can acquire additional DASD extents during file updates, as needed. The default is taken from the IAM Global Options Table, which is shipped as Enhanced format.
INTEGRATED=nn	Specifies CI% free space, especially useful for data compressed ESDS data sets.
MAXBUFNO=nnnn	Specifies the default maximum number of buffers to be used during file access for this data set.
MAXSECONDARY=nn	Specifies a default value from 0 - 10 as a multiplication factor for the secondary space quantity, when the data set exceeds five extents on a volume. When overridden on the DEFINE, this will be the default for both file load and file access for this data set.
MINBUFNO=nnn	Specifies the default minimum number of buffers to be used during file access for this data set.
MULTIVOLUME= [PRIMARY | SECONDARY]	Specifies space quantity to request when IAM believes that the next extent will be placed on the next candidate volume. The default is specified in the IAM Global Options Table, and as shipped is MULTIVOLUME=PRIMARY.
PSEUDOLRECL=nnnnn	Used when a file layout has a very large maximum length record, but in fact has a much smaller maximum length records within the data set. This value is the layout maximum record length, the actual maximum is from the RECORDSIZE parameter. *Note: If this option is to be used, it MUST be specified on the file definition.*
PSEUDORBA	For ESDS files, indicates that the file can exceed 4 gigabytes of user data. IAM generates RBA values that are different than normal VSAM values. This attribute cannot be used with SAP ESDS files, or other software that depends on the normal VSAM values.

10.20 CONTINUED

Keyword	Brief Description
RELEASE=[YES|NO]	Indicates whether or not IAM is to release unused and unreserved DASD space whenever the file is loaded. Default is to release space on the first load only, and only when a secondary space value is specified.
UNIT=	Specifies the unit name to use for IAM non-specific volume allocation feature. Default is SYSDA.
VAROVERFLOW= [YES|NO]	For Enhanced format files, indicates that IAM can use true variable length records in the Extended Overflow area. This will provide for more effective use of DASD space. The default is from the IAM Global Options table, which is shipped set to YES.
XESDS	For ESDS files, indicate that IAM is to use an 8-byte RBA value. This is consistent with the VSAM Extended Addressability support. Default is that IAM will use a 4-byte RBA. The 8-byte RBA is a way for ESDS files to exceed 4 gigabytes of data, but application programs must be able to handle the 8-byte RBA.

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DEFINING IAM DATASETS WITH IDCAMS

IDCAMS Define

When the IAM VSAM Interface (VIF) is active in the system, every DEFINE is analyzed by VIF. If the DEFINE contains the $IAM parameter, the file is created as an IAM data set. If the $IAM parameter is not coded, a VSAM cluster is created.

IAM allocates a non-VSAM file on disk with a DSORG of PS. This data set contains the index, the data records and the file characteristics all incorporated into a single data set. IAM data set must be cataloged in a VSAM or ICF Catalog.

The parameters specified in the DEFINE statement are applied to the IAM data set. Because the IAM file and overflow structures are different from VSAM's, some of the parameters specified may be changed or ignored.

When the DEFINE is for an IAM data set the following rules will apply:

1. The cluster name will be the name of the IAM data set.
2. The data and index component names will be ignored.
3. Index component attributes are not needed and will be ignored.
4. Attribute parameters will be used or ignored as documented in the following paragraphs.

IDCAMS JCL Considerations

There are normally no JCL changes to run IDCAMS to define IAM data sets, when converted from VSAM, unless IAM is not in the Link List or is using the IAM Override facility. If IAM is not in the Link List, then a JOBLIB or STEPLIB DD card will be necessary. Because IDCAMS operates as an APF authorized program, the IAM load library referenced by the JOBLIB or STEPLIB must be explicitly APF authorized in the IEAAPFxx member of SYS1.PARMLIB. If the library is not authorized, then MVS will not even look in the library for the IAM modules, which will result in a VSAM file being defined instead of an IAM file. The only other DD statements required are SYSIN DD for the control card input, and a SYSPRINT DD to SYSOUT. If, as in the examples provided, you do a LISTCAT ALL, then IAM will dynamically allocate an IAMPRINT DD to SYSOUT=*. If you do not want the IAMPRINT output to go to SYSOUT=*, then provide an explicit IAMPRINT DD card.

Define with REPRO

One word of caution must be given about specifying the IAM data set on a DD card within the job step that the data set is being defined. If the file is deleted and defined within the same execution, and is defined on different volume(s) than it was on originally, then the DD card will not reflect the newly defined allocation. The volume(s) for the DD card are allocated by MVS when the step is initiated, so if the data set is moved to different volume(s) as a result of the define, attempting to use the DD card for I/O will result in errors. For example, if under a single execution of IDCAMS you have the following sequence of commands:

- REPRO INFILE(iamfile) OUTFILE(seqfile)
- DELETE my.iam.file
- DEFINE CLUSTER(NAME(my.iam.file) - etc..
- REPRO INFILE(seqfile) OUTFILE(iamfile)

you may experience errors when the second REPRO is done to reload the IAM file. This is particularly true if the IAM file is an SMS managed data set, which will likely change the volume(s) on which the data set resides. The circumvention for this problem is to change the second REPRO to use the OUTDATSET or ODS parameter instead of OUTFILE, and the first REPRO to use INDATASET or IDS instead of INFILE. This will result in IDCAMS dynamically allocating the data set at the point in time the REPRO is being done, so the correct volume information will be available. Another alternative is to execute the REPRO in a subsequent job step. This problem is not unique to IAM, it can occur with VSAM as well.

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Basic Parameters

Most VSAM DEFINE parameters are applicable to IAM. The following paragraphs will document the parameters that are necessary for IAM, the ones that do not apply and any differences between IAM and VSAM.

The following parameters are for the essential information. Generally, these will be explicitly provided on the DEFINE, however the information other than the name can be filled in by using a MODEL of an IAM or VSAM data set, or from an SMS Data Class.

Essential Keyword	Description
CLUSTER(	Identifies that a VSAM type data set is to be created. This is a required parameter for an IAM data set.
NAME(dsname)	This is a required parameter for an IAM data set. The entry name specified for the cluster will be the name of the IAM data set. The data and index component names are ignored. If '$IAM' appears anywhere in the name the data set will be created as an IAM data set.
OWNERID($IAM)	If OWNER($IAM) is coded on the CLUSTER statement, the file will be created as an IAM data set. When '$IAM' is not present in the cluster name, and the $IAM parameter is not otherwise specified, the file will be created as a VSAM cluster. NOTE: IAM Version 7.0 by default, unless changed in the Global Option Table, IAM stores the specified OWNER value n the OWNER field in the catalog.
CYLINDERS(xx yy) **TRACKS(xx yy)** **RECORDS(xx yy)** **MEGABYTES(xx yy)** **KILOBYTES(xx yy)**	Required information that indicates the amount of DASD space to be allocated for the IAM data set. The unit of allocation is based on the keyword specified. The first value provided indicates the amount of space to be allocated during the file definition process. For IAM files, the primary quantity MUST be available on the first volume, otherwise the request will fail. The second value, which is optional, indicates the amount of additional DASD space to request in case the primary quantity is insufficient. The secondary quantity will be used to acquire additional extents during file loads or reorganizations. The secondary quantity will also be used to acquire additional space for Enhanced format IAM data sets as needed to handle record updates and inserts after the file load. Because IAM data sets are non-VSAM, they are limited to 16 extents per volume, and a total maximum of 255 extents. The maximum extent size is 64K-1 tracks (65,535), or 4,369 cylinders per volume, which is also the maximum amount of space that can be used by IAM on any single volume.
VOLUMES(volser….)	Specifies the volume(s) on which IAM is to allocate the data set.

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Essential Keyword	Description
KEYS(length offset)	For KSDS files, required parameter that specifies the length of the key, and the relative position of the key within the record. The maximum key length supported by IAM is 249. The maximum key offset is 4091. Because IAM does not data compress the bytes preceeding the key, it is beneficial to place the key as close to an offset of 0 as possible. The IDCAMS default values are: 64,0.
RECORDSIZE (average ,maximum)	The first value specifies the average record length in bytes. The second value is the maximum record length. The maximum record size supported by IAM Enhanced Format files is almost 8 megabytes. There is not a specific maximum record size supported by IAM, as the maximum size possible is dependent on several factors, including the block size being used for the file, the key length, and the key offset. The DEFINE request will fail if IAM calculates that it can not support the specified maximum record size. Files with large record sizes that exceed the half-track block size on the type of device the file is being defined on must be defined with the SPANNED attribute. The average record length is primarily used when the define request specifies that the space is to be allocated by RECORDS. Then IAM (and VSAM) will use the average record length when converting the space value to a device specific quantity. Users of compatible format IAM data sets should review the section on considerations for compatible mode files for additional information on the implications on the file structure of this parameter. Because compatible mode files do not support spanned records, the maximum record size is 32,755 for variable length record files, and 32,760 for fixed length record files. IDCAMS default values are: average=4089 maximum=4089
INDEXED NONINDEXED	Specifies the type of data set that is being defined. INDEXED indicates that a KSDS type of data set is being defined, and NONINDEXED indicates an ESDS type of data set. IDCAMS default is INDEXED.

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Optional Parameters

While the following keywords are all optional, in various situations they may be required or quite beneficial. Some of the keywords listed here can not be used for IAM files, and are presented for that reason. For ease of reference, they are presented in alphabetical sequence.

Optional Keyword	Description
BUFFERSPACE(bytes)	Specifies the maximum amount of virtual storage to be used for buffers for this data set. IAM will use this value to calculate the effective MAXBUFNO for accessing the data set, providing it does not go below the default value from the IAM Global Options Table.
CONTROL-INTERVALSIZE(size)	For VSAM, this controls the logical and physical block size on DASD for the file. VSAM restricts the size to multiples of 512 or 2048 that usually results underutilized disk space. IAM takes the size specified and based on the device type being allocated to and the record size, calculates a larger blocksize for the data set that is a proportion of the track capacity. If the blocksize developed would result in more blocks per track than the default block factor, which is shipped as 4 blocks on a track, IAM will increase the blocksize until only 4 blocks will fit on a track. The blocksize may be further adjusted if the block cannot contain at least 4 maximum size records. The default block factor can be changed through the use of IAMZAPOP, by setting the VSAMBLOCKF parameter. The block factor or size can also be set through the use of the B= operand of the IAM CREATE Override. For IAM ESDS files, IAM will use the specified CI SIZE in the calculations for the RBA of each record. When reorganizing an IAM ESDS, users should be careful not to change the CI SIZE, because it can result in different RBA values for the records. This may cause problems for applications that have an index into the ESDS file by RBA. IAM does store the specified CI SIZE, so that it is available information if the data set is converted back to VSAM. EXAMPLE OF BLOCK SIZE ON A 3390: CISZ(4096) IAM's BLKSIZE will be 13682 - 4 blocks per track CISZ(20480) IAM's BLKSIZE will be 27998 - 2 blocks per track
DATACLAS(dataclas)	For SMS installations, this parameter specifies the name of the SMS DATA CLASS construct, which provides the allocation attributes for the new data set. The DATACLAS name must have been previously established by the Storage Administrator. Attributes from the DATACLAS will be used, unless otherwise explicitly specified on the DEFINE statement. If the DATACLAS name contains the literal $IAM, and the data set is SMS managed, then the data set will be defined as an IAM data set. Values provided by a Data Class include type of data set, ESDS or KSDS, maximum record size, key length and key offset, space allocation values, free space, share options, CI size, and volume count.

10.20 CONTINUED

Optional Keyword	Description
FILE(ddname)	Optional keyword which specifies a ddname that allocates the volume(s) on which the IAM file is to be allocated. For IAM files, the primary use of this parameter is to provide a mechanism for relating IAM overrides, which are specified on a DDNAME level, with the specific file being defined. For example, if you specify an IAM CREATE Override with a DDNAME=FILE1, then to relate those overrides to the desired file, specify FILE(FILE1) on the DEFINE CLUSTER command. With IAM, there is no need for there to actually be a DD card in the step defining the file with that DDNAME. An alternataive to using the file parameter is to code the CREATE override specifying the DSN= (data set name) parameter. If you are defining only one file in a particular job step, or want the same override for all of the files being defined, on the IAM Create Override statement specify DD=&ALLDD, and do not provide any FILE parameter on the define.
FREESPACE (CI%, CA%)	For KSDS type of files, specifies the amount of space to be reserved for future inserts or updates when the file is being loaded. CI%: Specifies the amount, as a percentage, of space to be left available in each prime block of the IAM file. For IAM, this value is used to calculate an Integrated Overflow percent. IAM's concept of Integrated Overflow is essentially the same as VSAM. IAM takes the value coded and leaves this percentage of each block empty, to accommodate adds (inserts) to the block. Unless a file is never updated and never has records added to it, some CI free space should be specified. This is of particular importance to data compressed files that are updated, because even if the application does not change the length, the stored record may end up being longer after compression. CA%: For Enhanced format IAM data sets, this controls how much DASD space is released at the end of a file load. Using 1/2 of the specified percentage, a target amount of DASD space to be reserved for future expansion is computed. If the amount of available DASD space within the file extent(s) is equal to or less than the amount to be reserved, then no space is released. IAM will not go after additional extents to meet the space reservation. If the amount of DASD space exceeds the reserved value, then the excess will be released. Users of compatible format IAM data sets should review the section on considerations for compatible mode files for additional information on the implications on the file structure of this parameter.
KEYRANGES(low high)	IAM will not split the data into key ranges. However if specified, IAM will make the secondary allocation quantity equal to the primary. This is because VSAM would use the primary for each key range, which IAM is not able to do.
LINEAR	Specifies that a LINEAR type of CLUSTER that is being defined. At the present time, IAM does not support this type of VSAM data set. Specification of LINEAR for an IAM data set will result in the DEFINE failing.

CONTINUED . . .

10.20 CONTINUED

Optional Keyword	Description
MGMTCLAS(management class name)	For SMS installations, this parameter specifies the name of the SMS Management Class for the new data set.
MODEL(datasetname)	Specifies that the attributes of the data set being defined are to be copied from an existing VSAM or IAM data set. This capability is only relevant for basic file characteristics, such as record size, key length and offset, space allocation values, volumes, and free space values. Any IAM Overrides are NOT picked up by the MODEL parameter. Likewise, any VSAM file attributes which are ignored by IAM are not available either, such as IMBED, SPEED, REPLICATE, KEYREANGES, etc. If you are trying to define a VSAM file using an IAM file as a model, you MUST provide an OWNER parameter, with a value that does not contain $IAM. NOTE: When using MODEL with ANYVOL, the SUBALLOCATION parameter must also be specified. Although it is ignored by IAM, it prevents IDCAMS from trying to allocate ANYVOL.
NUMBERED	Specifies that an RRDS type of CLUSTER that is being defined. At the present time, IAM does not support this type of VSAM cluster. Specification of NUMBERED for an IAM data set will result in the DEFINE failing.
RECATALOG	Is an optional keyword for existing IAM data sets to reestablish the catalog entry. Requires the user to specify the data set name, the volume(s), and OWNER($IAM) if $IAM is not in the data set name. Recatalog is also used after renaming one or more components of an alternate index sphere, to reset the data set relation names to the new names.
REUSE **NOREUSE**	Specifies whether or not the file being defined can be reloaded (or reorganized) without being redefined. IAM defaults to REUSE, which is that any IAM file can be reloaded without having to be deleted and redefined. To use this feature with IDCAMS REPRO, specify the REUSE keyword. IAM does provide a Global Option, ENABLE=NOREUSE, which if set will cause IAM to honor the specification of REUSE or NOREUSE. If that Global Option has been set, then IAM will honor the NOREUSE setting just like VSAM. While quite rare, there are a few application programs that rely on the NOREUSE setting. NOREUSE will not allow a file to be reloaded without being deleted and redefined. An exception to this is made if the program issuing the OPEN is FDRREORG, in which case it will be allowed. If any other attempt is made to do so, the OPEN will fail with a return code of 8, and the ACB error flag set to 232, or x'E8'.

10.20 CONTINUED

Optional Keyword	Description
SHAREOPTIONS (cross-region ,cross-system)	Specifies the level of protection provided by the access method to prevent or allow sharing of data within the file. The protection mechanisms include the OS/390 ENQ service, and the internal IAM buffering techniques. The first parameter specifies how a file can be shared in the same system (CPU). The second parameter specifies how a file is shared between systems. NOTE: IAM supports the cross-region share options with the MVS ENQ service, the same as VSAM. IAM does not support the cross-system share options. IAM issues an ENQ with a major name (QNAME) of IAMENQ and the data set name plus first volume serial as the minor name (RNAME). If you need to enforce ENQ protection cross-system then you must add the major name of IAMENQ to your CA-MIM or GRS control files or whatever ENQ control product you use. Cross Region Share Option Values: 1 Any number of users for read **OR** one user for update. The file's structure, data integrity, and read integrity are fully preserved. 2 Any number of users for read **AND** one user for update. The file's structure and data integrity are fully preserved. If the file is currently opened for update, other users reading the file do not have read integrity. They may not be able to access inserted or updated records, if such records were added to the overflow areas of the file, without closing and reopening the data set. 3 Any number of users for read or update and users are responsible for integrity. Updated blocks are immediately written back out to DASD. Use of this share option for IAM files is strongly discouraged. Due to the nature and structure of the index to the IAM overflow area, the data integrity of IAM files is compromised by use of this share option value. 4 Any number of users for read or update, and users are responsible for integrity. IAM will use only a single buffer, and each logical I/O request will cause the buffer to be refreshed, and subsequently rewritten if the record is updated. Use of this share option for IAM files is strongly discouraged. Due to the nature and structure of the index to the IAM overflow area, the data integrity of IAM files is compromised by use of this share option value.
SPANNED	IAM supports SPANNED records on Enhanced Format files, with a maximum record size of almost 8 megabytes.
STORCLAS(Storage class name)	For SMS installations, this parameter specifies the name of the SMS Storage Class construct. For data sets that are to be placed on SMS volumes, Storage Class must be either implicitly specified by the ACS routines, or explicitly specified on the DEFINE command. If the Storage Class Name contains the literal $IAM, the file will be defined as an IAM file.

CONTINUED . . .

10.20 CONTINUED

Optional Keyword	Description
SUBALLOCATION	IAM files are always allocated as if they were unique clusters. However it may be necessary to specify this parameter when using the IAM non-specific allocation (ANYVOL) and the MODEL parameter. SUBALLOCATION will prevent IDCAMS from allocating the volumes indicated in the VOLUME parameter when the MODEL parameter is specified.
TO(date) **FOR(days)**	Specifies the retention period for the file being defined. This parameter has the same meaning for an IAM file as a VSAM file. The expiration date is placed in the VTOC for the data set, and generally is also placed in the catalog entry. The keyword PURGE must be specified on the DELETE to cause the file to be scratched. TO(date) - gives the date in the form YYYYDDD (four or two digit year and three digit Julian date), through which the IAM file defined is to be date protected. FOR(days) - gives the number of days up to 9998, through which the IAM file being defined is to be date protected. A value of 9999 results in permanent retention. Default: Data set is not date protected. NOTE: Due to the nature of the extend processing for Enhanced format files, the retention period values are ignored during file definition if the customer is at a pre-DFSMS 1.2 level system. Support can be enabled through a custom fix, if desired. This is only recommended when the customer has software that will automatically reply to the expiration date messages that are issued by MVS when the file is opened for output.
UNIQUE	This parameter has no relevance for IAM files, as IAM files are always unique. *However, the user should be aware that specification of this keyword results in IDCAMS allocating the specified volumes prior to issuing the actual define request. For this reason, it is recommended that this parameter not be specified for IAM files.* If the customer is using the IAM non-specific device allocation, i.e. ANYVOL, then this parameter must not be specified.

CONTINUED . . .

10.20 CONTINUED

Examples of Defining IAM Data Sets with IDCAMS

The following is a set of examples demonstrating how to define IAM data sets using IDCAMS. The first example demonstrates how to convert a DEFINE for a VSAM data set to an IAM data set. The subsequent examples demonstrate various different ways of using IDCAMS to define IAM data sets. All of the included examples have a LISTCAT after the define. When a LISTCAT ALL is done for an IAM data set, IAM will dynamically allocated a IAMPRINT DD to SYSOUT which will contain detailed information on the IAM data set. The output from IDCAMS itself will indicate that the IAM file is a non-VSAM data set.

Example A: Conversion of VSAM Define to IAM Define

This side by side example demonstrates how simple it is to convert a VSAM cluster definition to an IAM data set definition. The only change required was adding the parameter OWNER($IAM) under the CLUSTER level of the Define control statement. For many VSAM KSDS or ESDS types of files, this is all that is necessary to convert the file to IAM. Starting with the next file load, all of the performance advantages and features of IAM are available for this data set.

```
                    Original VSAM Define                IAM DEFINE

//DEFINE            EXEC PGM=IDCAMS        //DEFINE     EXEC  PGM=IDCAMS
//SYSPRINT          DD     SYSOUT=*        //SYSPRINT  DD     SYSOUT=*
//SYSIN             DD     *               //SYSIN      DD     *
  DEFINE CLUSTER                -                DEFINE CLUSTER            -
     (NAME(EXAMPLE1.DATASET) -                      (NAME(EXAMPLE1.DATASET) -
     VOLUMES(VOL001)      -                         VOLUME(VOL001)            -
     CYL(10 1)                 -                    CYL(10 1)                 -
                                      ADD -->       OWNER($IAM)               -   <--ADD
     SPEED REUSE )             -                    SPEED REUSE )             -
  DATA(                        -                DATA(                         -
     NAME(EXAMPLE.DATASET.DATA) -                   NAME(EXAMPLE.DATASET.DATA) -
     RECORDSIZE(200 256)              -             RECORDSIZE(200 256)              -
     KEYS(16 0)                          -          KEYS(16 0)                          -
     CISZ(4096)                          -          CISZ(4096)                          -
     FREESPACE(10 10)  )                 -          FREESPACE(10 10)  )                 -
  INDEX(            -                           INDEX(                    -
     NAME(EXAMPLE.DATASET.INDEX)-                   NAME(EXAMPLE.DATASET.INDEX)-
     CISZ(1024) IMBED)  )                           CISZ(1024) IMBED)   )

  LISTCAT ENT(EXAMPLE1.DATASET) ALL             LISTCAT ENT(EXAMPLE1.DATASET) ALL
/*
```

Figure 1: Example Conversion of Define from VSAM to IAM (EX1020A)

10.20 CONTINUED

Example B: Basic IAM KSDS Define

This example demonstrates a basic IAM data set definition. Note, in comparison to the above example, that the DATA and INDEX component sections are eliminated. There is no need for them to exist for IAM data set, as IAM consists of a single physical data set, which uses the name specified for the CLUSTER as it's data set name. This example includes the optional parameters of FREESPACE, SHAREOPTIONS, and REUSE. While in general REUSE is not necessary, as it is the default for IAM data sets, it is specified just in case the installation has changed the IAM Global Options to ENABLE=NOREUSE, in which case the IDCAMS default of NOREUSE takes effect without the explicit specification of REUSE.

```
//IAMDEFIN  EXEC PGM=IDCAMS
//SYSPRINT  DD   SYSOUT=*
//SYSIN     DD   *
  DEFINE CLUSTER                        -
    (NAME(MY.IAM.KSD)    -
    OWNER($IAM)                         -
    VOLUMES(MYVOL1)      -
    CYL(10 2)                           -
    RECORDSIZE(100 1000)-
    KEYS(24 8)                          -
    FREESPACE(5 20)      -
    SHAREOPTIONS(2 3)    -
    REUSE  )
   LISTCAT ENT(MY.IAM.KSD) ALL
/*
```

Figure 2: Example Basic IAM KSDS Definition (EX1020B)

Example C: Basic IAM ESDS File Define with $IAM in Data Set Name

This example demonstrates a basic ESDS data set definition. The file will be defined as an IAM data set due to the literal '$IAM' included in the data set name. Also note that the keyword NONINDEXED has been included in the define to indicate that the file is to be an ESDS type of file.

```
//DEFINESD EXEC PGM=IDCAMS
//SYSPRINT DD   SYSOUT=*
//SYSIN    DD   *
  DEFINE CLUSTER -
    (NAME(MY.ESDS$IAM.FILE)-
      VOLUMES(MYVOL1)-
      CYL(200 50)-
      RECORDSIZE(80 100)-
      CISZ(4096)-
      SHAREOPTIONS(2 3)-
      NONINDEXED
  LISTCAT ENT(MY.ESDS$IAM.FILE) ALL
```

Figure 3: Basic IAM ESDS Define (EX1020C)

10.20 CONTINUED

Example D: Define of an IAM ESDS for > 4 Gigabyte

IAM ESDS data sets can exceed 4 gigabytes, providing that the application has no dependency on the RBA's (Relative Byte Addresses) of each record being the identical value as VSAM's. To use this capability specify the IAM CREATE Override keyword PSEUDORBA. This indicates to IAM that it can generate a 4 byte RBA which is different than the one VSAM would use for the same record. In effect, IAM will be returning a 4 byte relative record number. Amongst the applications that can not use this feature is SAP, which is dependent upon the normal VSAM RBA values being returned.

```
//BIGESDS  EXEC PGM=IDCAMS
//SYSPRINT  DD  SYSOUT=*
//IAMOVRID  DD  *
  CREATE    DD=&ALLDD,PSEUDORBA
/*
//SYSIN     DD   *
  DEFINE CLUSTER                    -
    (NAME(MY.BIG.ESDS)              -
    OWNER($IAM)                     -
    CYL(1100 1100)                  -
    NONINDEXED                      -
    RECORDSIZE(200 4089)            -
    CISZ(4096)                      -
    VOLUMES(V33901 V33902 V33903 V33904)  )
  LISTCAT ENT(MY.BIG.ESDS) ALL
/*
```

Figure 4: Define of > 4 GIGABYTE IAM ESDS File (EX1020D)

Example E: Define an IAM Data Set Using a Model Data Set

In the example below, an IAM data set is defined using a model data set. The model data set can be either VSAM or IAM. Amongst the attributes that will be used from the model, unless otherwise explicitly specified on the define request, are file format (KSDS or ESDS), record sizes, key length and offset, free space values, volume, and control interval size.

```
//DEFMODEL EXEC PGM=IDCAMS
//SYSPRINT DD   SYSOUT=*
//SYSIN    DD   *
  DEFINE CLUSTER                    -
    (NAME(MY.NEW.IAMFILE)           -
    OWNER($IAM)                     -
    MODEL(MY.INDEXED.FILE) )
  LISTCAT ENT(MY.NEW.IAMFILE)
/*
```

Figure 5: Example of an IAM Define with Model (EX1020E)

10.20 CONTINUED

Example F: Define of an SMS Managed IAM Data Set

In the example below, an IAM data set is being defined that will be SMS managed. The SMS classes are explicitly specified by the user. One or more of the SMS classes could be automatically selected by the ACS routines. All of the data set attributes are being determined by SMS from the appropriate SMS classes. The following attributes are taken from the specified Data Class:

- Type of data set (KSDS or ESDS)
- Maximum Record Size (LRECL)
- Key length and offset (for KSDS data sets)
- Free Space and Share Options
- Space allocation parameters
- Control Interval Size

The data set will become an IAM data set because the $IAM literal is contained within the Data Class name. Note that while a Data Class can be specified for data sets not managed by SMS, for IAM to pick up the Data Class name the data set MUST be SMS managed. Otherwise, IDCAMS does not pass the Data Class value on the DEFINE, although the other Data Class attributes are included.

```
//DEFSMSDS EXEC PGM=IDCAMS
//SYSPRINT DD   SYSOUT=*
//SYSIN    DD   *
  DEFINE CLUSTER        -
    (NAME(MY.SMS.FILE)  -
    DATACLAS(MY$IAM1)   -
    MGMTCLAS(DBSTNDRD)  -
    STORCLAS(BASE) )
  LISTCAT ENT(MY.SMS.FILE) ALL
/*
```

Figure 6: Define of an SMS Managed IAM Data Set (EX1020F)

10.20 CONTINUED

Example G: Defining Multiple IAM Data Sets with Overrides

This is an example of defining multiple IAM data sets within the same IDCAMS job step. Each IAM data set has it's own unique overrides. Each of the overrides identifies the related data set by using the DSN= (data set name) operand. The first data set has very high I/O activity, so it is being defined with a MAXBUFNO override to increase buffering. The second data set is used by COBOL programs with a variable length record lay out giving a maximum record size of 32,200, however the largest record in the file is only 8,000 bytes. It is defined as containing SPANNED records, which IAM will ignore. So, to allow the file to OPEN by those COBOL programs, but not waste DASD space, it is defined with a maximum record size of 8,000 and a PSEUDOLRECL of 32,200.

```
//DEF2FILE EXEC PGM=IDCAMS
//SYSPRINT DD   SYSOUT=*
//IAMOVRID DD   *
  CREATE  DSN=MY.HEAVYIO.CLUSTER,MAXBUFNO=512
  CREATE  DSN=MY.BIGREC.CLUSTER,PSEUDOLRECL=32200
/*
//SYSIN    DD   *
  DEFINE CLUSTER                      -
     (NAME(MY.HEAVYIO.CLUSTER)        -
     OWNER($IAM)                      -
     RECORDSIZE(1000 2000)            -
     KEYS(24 0)                       -
     VOLUMES(MYVOL1 MYVOL2)           -
     CYL(500 100)                     -
     FREESPACE(10 10)                 -
     SHAREOPTIONS(2 3)                -
     REUSE  )
  LISTCAT ENT(MY.HEAVYIO.CLUSTER) ALL
  DEFINE CLUSTER                      -
    (NAME(MY.BIGREC.CLUSTER)          -
    OWNER($IAM)                       -
    RECORDSIZE(500 8000)              - <--Actual maximum record
    KEYS(32 0)                        -
    VOLUMES(MYVOL3 MYVOL4)            -
    CYL(1000 200)                     -
    FREESPACE(10 10)                  -
    SHAREOPTIONS(2 3)                 -
    CISZ(8192)                        -
    REUSE  SPANNED )
  LISTCAT ENT(MY.BIGREC.CLUSTER) ALL
/*
```

Figure 7: Multiple Data Set Define (EX1020G)

10.20 CONTINUED

DEFINING IAM FILES IN JCL

JCL Allocation

IAM data sets can be defined in JCL, just as VSAM data sets can. SMS must be active within the system to use this facility, however the data set does not have to be SMS managed. Similar to VSAM, only a subset of the attributes can be specified in JCL. Use of a DATACLAS is encouraged, because that will pick up the free space and share option values, which can not be specified in JCL. Also, because the JCL defines are actually done under the initiator TCB, IAM does not have access to any IAM Overrides that may be included in the JCL for the define process. The overrides can be specified on the job step that loads the data set, which may or may not be the step which allocates the IAM data set. Because there is no mechanism to pass an OWNER parameter, the data set must either have the literal '$IAM' within the data set name, or be an SMS managed data set with '$IAM' in either the STORCLAS or DATACLAS name.

IAM files can also be allocated as temporary data sets, with the same restrictions as temporary VSAM data sets. The data set will not be cataloged, and it is restricted to a single volume.

To use the IAM JCL define capability, IAM MUST BE IN LINKLIST! Because the define is running under the initiator TCB, there is no access to the STEPLIB or JOBLIB to do the allocation. If you have multiple levels of the IAM VIF active, then the one in the link list will be then one to perform the define.

JCL Keywords for IAM Data Set Definition

The following is a list, with a brief description of the JCL DD card keywords that are used when allocating an IAM data set through JCL.

Keyword	Description
DATACLAS=	Specifies the name of the SMS Data Class being requested for this data set. The file attributes will be obtained from this class. For SMS managed data sets, if the name includes the literal $IAM, the data set will be an IAM data set.
DSN=	Specifies the 1 to 44 character data set name. For IAM data sets that are not SMS managed, the literal $IAM must be part of the data set name. Temporary data sets begin with a single or double &.
DISP=	Specifies the disposition of the data set. All VSAM and IAM data sets, except temporary data sets, must be cataloged, and will be cataloged during step initiation when the data set is allocated. Valid values include: (NEW,CATLG) for permanent data sets, or (NEW,PASS) for temporary data sets.
KEYLEN=	For KSDS type of files, specifies the length of the key. This will override any value determined from a DATACLAS or MODEL if specified. For IAM, valid values are 1 to 249.
KEYOFF=	For KSDS type of files, specifies the offset of the key within the record (RKP). For IAM files, this must be less than or equal to 4091.
LIKE	Specifies the name of an existing IAM or VSAM data set from which the RECORG, KEYLEN, KEYOFF, LRECL, and space attributes will be obtained.
LRECL=	Specifies the maximum record length. For KSDS type of files, this must be at least the value of KEYLEN + KEYOFF.

10.20 CONTINUED

Keyword	Description
MGMTCLAS=	Specifies the SMS Management Class that is being requested for this data set.
RECORG= **[KS | ES]**	Indicates the type of data set to define. Valid values for IAM are **KS** for a KSDS or **ES** for an ESDS. IAM does not support the RR or LS type of VSAM data sets.
STORCLAS=	Specifies the SMS Storage Class that is being requested for the data set.
UNIT=	Specifies the type of device to which the data set is being allocated.
VOL=SER=	Specifies the volume(s) to which the data set is being allocated. Note that temporary data sets are limited to on volume. This is not required for SMS managed data sets.

10.20 CONTINUED

Examples of Defining IAM Data Sets with JCL

The following are some examples of how to define IAM files through JCL. For clarity in the examples, the program being executed is IEFBR14. However, any program could be executed, including programs that may load and access the data set.

Example H: Basic JCL Define of an IAM file

The example below is a basic example of the define of an IAM KSDS type of file through JCL. The data set eligiblility for an SMS managed volume will be determined by the installations ACS routines.

```
//JCLDEFIN EXEC PGM=IEFBR14
//NEWIAMFL DD   DSN=new.my$IAM.file,DISP=(NEW,CATLG),
//     RECORG=KS,KEYLEN=8,KEYOFF=0,LRECL=128,
//     UNIT=SYSDA,VOL=SER=MYVOL1,
//     SPACE=(CYL,(20,2))
```

Figure 8: Basic JCL Define of an IAM File (EX1020H)

Example I: Define of an IAM Data Set with SMS Classes

In the following example, the file attributes are picked up from the DATACLAS, as well as the request to make the file an IAM file.

```
//SMSDEFIN EXEC PGM=IEFBR14
//NEWIAMFL DD    DSN=new.myfile.cluster,DISP=(,CATLG),
//    DATACLAS=(MY$IAMF1),STORCLAS=(PERMVSAM)
```

Figure 9: JCL Define of an SMS Managed IAM Data Set (EX1020I)

Example J: Define of a Temporary IAM Data Set

The example below allocates a temporary IAM data set, whose attributes are being taken from an existing IAM or VSAM data set.

```
//TEMPIAM  EXEC PGM=IEFBR14
//TEMPFILE DD   DSN=&&TEMP.$IAMFIL,DISP=(,PASS),
//     LIKE=my.indexed.cluster,
//     UNIT=SYSDA
```

Figure 10: JCL Define of Temporary IAM Data Set (EX1020J)

10.20 CONTINUED

Example K: Define of an IAM ESDS Data Set

In the following example, an IAM ESDS type of file is being defined.

```
//ESDSDEF1 EXEC PGM=IEFBR14
//ESDSFILE DD   DSN=my.esds$IAM.cluster,DISP=(,CATLG),
//     RECORG=ES,LRECL=1020,
//     UNIT=SYSDA,VOL=SER=MYVOL1,SPACE=(CYL,(2,1))
```

Figure 11: JCL Example Define of an IAM ESDS File (EX1020K)

10.20 CONTINUED

DEFINING IAM DATA SETS UNDER TSO

TSO Defines

IAM data sets can be defined under TSO, using a variety of methods. TSO offers an IDCAMS Define process through the DEFINE CLUSTER command. TSO also has a method similar to the JCL define, by using the TSO ALLOC command. IAM provides a set of ISPF panels, and the underlying software, which includes the capability to define an IAM data set through an easy to use fill in the blanks method. Many other products offering various capabilities under ISPF for VSAM files will also work with IAM files.

The one major difference under TSO is that all of the above methods utilize the standard TSO data set naming conventions. If the data set name is not specified within apostrophes, then the data set name will be prefixed. This prefix is normally your TSO user id, but can be changed.

Defining IAM Data Sets with the IAM ISPF Panels

One of the easiest ways to allocate an IAM data set is through the IAM ISPF panels. They feature a fill in the blanks mechanism, along with providing easy specification of various IAM overrides all on one screen. To get to the IAM Define panel, select option **I** on the IAM Primary Option Menu. Be sure to fill in the data set name field and the data set type field. Optionally, you can specify an existing IAM or VSAM data set as a model for the data set attributes. Below is an example of the IAM Primary Option Menu with the user provided text highlighted.

IAM ISPF PRIMARY OPTION MENU

```
--------------------------- IAM PRIMARY OPTION MENU ---------------------------
OPTION ===> I

 I       - Allocate (DEFINE) a new IAM Dataset
 V       - Allocate (DEFINE) a new VSAM Cluster
 D       - Delete a Dataset, Cluster, Path, or Alternate Index
 C       - Copy an IAM Dataset, VSAM KSDS, or VSAM ESDS
 M       - Move an IAM Dataset, VSAM KSDS, or VSAM ESDS
 R       - Rename a Dataset, Cluster, Path, or Alternate Index
 U       - Invoke an IAM Utility program
blank    - Display IAM Dataset or VSAM Cluster Information


Enter dataset name (required for all options except U)
Dataset Name          ===>  myiam.cluster
Dataset Type          ===> C  C=Cluster X=AIX P=Path (options I, V Only
Enter Model or New dsname (optional for options I and V, required for option R)
Modell Newname        ===>


Delete Confirmation   ===> YES  Yes I No
```

Figure 12: IAM Primary Options ISPF Panel

Once you hit enter, the IAM Definition panel is displayed. If you had specified a MODEL data set, the information from the model is filled in on the definition panel, any of which can be changed. This panel includes the various parameters and attributes that can be specified for the file. Note that this panel displays the fully qualified data set name at the top left. The attributes essentially match those that must be specified on an IDCAMS Define Cluster request. Additionally, on the right side of the panel is an area to specify the various IAM Overrides that affect the file definition. Once the necessary attributes are specified, then hit enter to allocate the file. Note that the data entered on the panel is highlighted text in the example.

10.20 CONTINUED

IAM ISPF DEFINE PANEL

```
------------------------------ DEFINE AN IAM FILE -----------------------------
COMMAND ===>

Data Set Name: RAM2.MYIAM.CLUSTER
                                                Multi-Volume Allocation ===> NO
ALLOCATION
Volume              ===>    junk01              IAM OVERRIDES
SMS Storage Class   ===>                        ANYVOL Unit          ===>
SMS Data Class      ===>                        Blocking Factor      ===>          1-15,>300
SMS Mgmt Class      ===>                        Overflow Records     ===>          0-2000000
CylslRecslTrks      ===>    cyls                Var. Overflow        ===> YES      YeslNo
Primary Space       ===>    10                  Prime Extension      ===>          0-32767
Secondary Space     ===>     2                  Space Release        ===>          YeslNo
Recatalog           ===>    NO                  Data Compress        ===>          YeslNo
ATTRIBUTES                                      Enhanced Format      ===> YES      YeslNo
KSDSlESDS           ===>    KSDS                Minbufno             ===>          1-32
Max Recordsize      ===>    256                 Maxbufno             ===>          1-32
Avg Recordsize      ===>    100                 PSEUDORBA (ESDS)     ===>          YeslNo
Key Length          ===>    8                   Extended ESDS        ===>          YeslNo
Key Offset          ===>    8
CI Size             ===>    4096                RETENTION
CI/CA Free %        ===>    10  / 10            DAYS                 ===>          0-9999
Shareoption         ===>    2                   EXPIRATION DATE      ===>          YYYY.DDD
```

Figure 13: IAM Data Set Define Panel

If all the required parameters have been specified, and the allocation is successful, then the IAM Primary Option Menu will be redisplayed, with the status message in the top right corner. Notice the highlighted feedback, which indicates that the IAM data set was successfully allocated. To verify that the file has been define how you want it, press enter to have the IAM data set attribute ISPF panel displayed.

```
--------------------------- IAM PRIMARY OPTION MENU -------- DATASET ALLOCATED
OPTION ===>

I       - Allocate (DEFINE) a new IAM Dataset
V       - Allocate (DEFINE) a new VSAM Cluster
D       - Delete a Dataset, Cluster, Path, or Alternate Index
C       - Copy an IAM Dataset, VSAM KSDS, or VSAM ESDS
M       - Move an IAM Dataset, VSAM KSDS, or VSAM ESDS
R       - Rename a Dataset, Cluster, Path, or Alternate Index
U       - Invoke an IAM Utility program
blank   - Display IAM Dataset or VSAM Cluster Information


Enter dataset name (required for all options except U)
Dataset Name        ===> MYIAM.CLUSTER
Dataset Type        ===> C  C=Cluster X=AIX P=Path (options I, V Only

Enter Model or New dsname (optional for options I and V, required for option R)
Modell Newname    ===>


Delete Confirmation ===> YES  Yes I No
```

Figure 14: IAM Data Set Define Confirmation

10.20 CONTINUED

IAM File Characteristics Display

IAM responds with the IAM File Characteristics display, with the various attributes filled in. The format of the display is based on the format of the file being displayed. There are displays for IAM Enhanced format files, as seen below, a display for IAM Compatible format files, and a display for VSAM files.

```
---------------------------- IAM FILE CHARACTERISTICS --------------------------
COMMAND ===>
Dataset Name: RAM2.MYIAM.CLUSTER

Definition                                  Allocation
    Record Length:          256                 Volume:            JUNK01
    Record Format:     VARIABLE                 Device Type:         3380
    Key Length:                8                 Tracks in use:          0
    Key Offset:                8                 Block Size:          4096
    Dataset Type:           KSDS                 Blocking Factor:     4096
    Share Option:              2                 Alloc Type:    CYLINDERS
    Release:                 YES                 Primary Alloc:         10
    Storage Class:                               Secondary Alloc:        2
    Data Class:                                  Compressed Keys:       NO
    Mgmt Class:                                  Compressed Data:       NO

    Statistics                              Extended Area
    Creation:           2000.329                 Overflow Records:       0
    Expiration:         0000.000                 Blocks Allocated:       0
    Last Reference:     2000.329                 Blocks Used:            0
    Records:                   0                 Overflow Blocks:        0
    Deletes:                   0                 PE Blocks:              0
    Inserts:                   0                 Blocks Available:       0
    Updates:                   0                 Variable Overflow:    YES
    Minimum Buffers:
    Maximum Buffers:
    Extended File Fmt:       YES

Associations
```

Figure 15: IAM ISPF Data Set Attributes Display

10.20 CONTINUED

Defining IAM Data Sets with the TSO Define Cluster Command

IAM data sets can also be defined with the TSO Define Cluster command. While this does not provide the same ease of use as the IAM ISPF panels, it is an option if the IAM ISPF panels have not been installed. This command essentially is identical to the IDCAMS Define Cluster command, with the same format and parameters. Some of the abbreviations may be slightly different. Refer to the IDCAMS Define section for information relating to the parameters required for IAM data sets. Also, you can issue the TSO command: "HELP DEFCL" to get the information about the TSO DEFINE CLUSTER command.

Remember that the data set name fields use the TSO naming conventions. The names will be prefixed unless enclosed within apostrophes.

Example of TSO Define Cluster

```
Menu  List  Mode  Functions  Utilities  Help
--------------------------------------------------------------------------------
                                ISPF Command Shell
ISPF Command ===>

Enter TSO or Workstation commands below:

===> define cluster(name(myiam.cluster) owner($iam) keys(8 0) recordsize(100
256) cylinders(10 1) vol(scr083) freespace(10 10) shareoptions(2 3) reuse)

Place cursor on choice and press enter to Retrieve command

=> help defcl
=>
```

Figure 16: Example of a TSO Define Cluster Command for an IAM Data Set

TSO responds with the following messages:

```
IDC0508I DATA ALLOCATION STATUS FOR VOLUME SCR083 IS 0
IDC0509I INDEX ALLOCATION STATUS FOR VOLUME SCR083 IS 0
***
```

Figure 17: TSO Response to Define Cluster Command

After the file has been defined, you can use the TSO LISTCAT ALL command to see the file attributes. The following example shows how to issue the LISTCAT and the results.

```
Menu  List  Mode  Functions  Utilities  Help
--------------------------------------------------------------------------------
                                ISPF Command Shell
ISPF Command ===>

Enter TSO or Workstation commands below:

===> listcat ent(myiam.cluster) all

Place cursor on choice and press enter to Retrieve command

=> define cluster(name(myiam.file) owner($iam) keys(8 0) recsz(100 256) vol(sc
=> help defcl
```

Figure 18: Example TSO LISTCAT Command

10.20 CONTINUED

TSO LISTCAT ALL Output

As a result of the LISTCAT ALL, IAM produces what is called an IAMPRINT Report, which is written directly to the TSO terminal, which is followed by the standard IDCAMS SYSPRINT output. Refer to the section on IAM Reports for complete details on the IAMPRINT Report. Note that depending on your terminal, the output may require multiple screens, and spacing may appear slightly different.

```
    IAM FILE ANALYSIS - DSN=RAM.MYIAM.CLUSTER
-----------------------------------------------------------------------------------------------------------------
FILE FORMAT --     =      ENHANCED        -  FILE STATUS -----------          =  UNLOADED
RECORD SIZE --     =           256        -  FREESPACE - CI% -------          =          10
CI SIZE ------     =           512        -  FREESPACE - CA% -------          =          10
BLOCK FACTOR -     =             0        -  EXTENDED OVERFLOW -----          =           0      BLOCKS
KEY SIZE -----     =             8        -  EXTENDED OVERFLOW USED           =           0      %
KEY OFFSET ---     =             0        -  EXTENDED PE ----------  =                       0      BLOCKS
FILE TYPE ----     =          KSDS        -  EXTENDED ALLOCATED ----     =                0      BLOCKS
DEVICE TYPE --     =          3380        -  EXTENDED AVAILABLE ----     =                0      BLOCKS
VOLUME COUNT -     =             1        -  SPACE USED ------------ =                          N/ATRACKS
VOLSER -------     =        SCR083        -  SPACE ALLOCATED -------          =         150      TRACKS
TOTAL EXTENTS      =             1        -  TOTAL SPACE ALLOCATED -          =         150      TRACKS
PRIMARY SPACE      =            10        -  SECONDARY SPACE -------          =           1      CYL
MULTIVOLUME --     =       PRIMARY        -  MAX SECONDARY ---------          =          10      CYL
RELEASE ------     =           YES        -  SHARE OPTIONS ---------          =           2
FILE DEFINED -     =      1999.238        -  08/26/1999 -  1:41 PM -          =    13:41:06
-----------------------------------------------------------------------------------------------------------------
IAM499 IAMLISTC(7.0/01P ) PROCESSING COMPLETED
NONVSAM ------- RAM.MYIAM.CLUSTER
    IN-CAT --- CATALOG.TSOUSER
    HISTORY
      DATASET-OWNER---........      CREATION--------1999.238
      RELEASE----------------------2    EXPIRATION------0000.000
    VOLUMES
      VOLSER------------SCR083      DEVTYPE------X'3010200E'    FSEQN--------0
ASSOCIATIONS--------(NULL)
    ATTRIBUTES
```

Figure 19: TSO LISTCAT Output

Defining IAM Data Sets with the TSO ALLOC Command

IAM files can also be defined with the TSO ALLOC Command. This is similar to defining IAM files through JCL. This command provides far fewer specifications than the DEFINE Command, allowing on the basic essentials to be specified. The ALLOC Command has the same parameters as added to the JCL DD card: RECORG, LRECL, KEYLEN, and KEYOFF. The use of a DATACLAS will add a few more fields, such as share options and free space. The highlighted text indicates the command and the operands. For complete information on the ALLOC command, use HELP ALLOC.

```
Menu  List  Mode  Functions  Utilities  Help
-------------------------------------------------------------------------------
                                   ISPF Command Shell
ISPF Command ===>

Enter TSO or Workstation commands below:

===> alloc f(iamfile) da(my$iam.cluster) recorg(ks) lrecl(256) keylen(8)
keyoff(0)  cyl space(1 1) vol(scr083) unit(3380)


Place cursor on choice and press enter to Retrieve command

=> del myiam.cluster
=>
```

Figure 20: Example of TSO ALLOC Command

The ALLOC Command will either respond with error messages, or return with no messages at all, which indicates success. As with the prior DEFINE CLUSTER Command, you can issue a LISTCAT ALL just to validate the allocation.

10.20 CONTINUED

SUMMARY OF DEFINING IAM FILES

Summary As you can see, there are several methods available for defining IAM files. The most common method is to use the IDCAMS DEFINE CLUSTER command, however there are other choices. The other methods include through JCL DD cards, or through various mechanisms under TSO. The key ingredient is that you have to indicate that the file is to be an IAM file instead of a VSAM file. This is done by using OWNER($IAM), or by placing $IAM within the data set name, or by using a DATACLAS or STORCLAS with $IAM in the class name. For many files, this is the only change required to use IAM instead of VSAM.

10.30 LOADING IAM DATA SETS

IAM File Load Process

After successfully defining an IAM Data Set, the next step is to load data into the data set. Generally, the file load process is where the data set is populated with records, and the index structure is built. For IAM data sets, this portion of the index is called the prime index. Once the load is completed, the prime index for the data set is established, and will not change. The load process is restrictive in that the only I/O operation allowed is to write new records into the data set. For KSDS type of files, the records must be written in ascending key sequence. The file load must write one or more records to the data set, and subsequently successfully close the data set for it to be considered a loaded data set. Once loaded, the data set can be processed by the full range of I/O operations.

IAM data sets can be loaded by any program designed to load VSAM clusters. This includes system utility programs, such as IDCAMS REPRO and the SORT, as well as application programs. Each I/O request must be checked for successful completion, including the OPEN and the CLOSE. As will be explained in the subsequent paragraphs, critical file structure related processing occurs during the Open and the Close processing. If these processes do not complete successfully the data set can not be used. Failures during the file load process will generally result in the data set having to be reloaded.

Open Processing

When the IAM data set is opened for the file load, IAM reads in the attributes, parameters and overrides that were specified when the file was defined, and checks for current overrides. Using that merged information set, all of the file attributes are validated and established. The basic precedence order is that overrides specified during the load will be used first, followed by any overrides from the file definition, followed by parameters specified on the define, and then last will be default values. Conflicts and errors within the merged attribute set will cause the OPEN to fail with a return code of 8, and an appropriate error code. Because most of the errors will be caught during the define process, file attribute errors are very rare during the open process. If one does occur, there will be an error message in the Job Log indicating the reason for the error. After the Open process successfully completes, the program can begin writing records into the data set.

Record Processing

As each record is written, IAM validates the length, and for KSDS type files does key sequence checking. A key sequence error is not considered by IAM to be a fatal error for a file load, although it may indicate a fatal error for the application. For ESDS type of files, IAM calculates an RBA value to be used as the internal key. The RBA value is based on the uncompressed size of the record, the RBA of the prior record, and the requested CI size. If the IAM data compression feature is enabled for this file, IAM will compress the record. As long as the compressed length is less than the uncompressed length, the compressed form of the record is written to the file. As each block is filled, leaving room for the Integrated Overflow (CI% free space), the block is scheduled to be written, and the highest key in each block is temporarily saved in either a data space, or in a dynamically allocated work file. Additional DASD space for the IAM data set is acquired as necessary.

Close Processing

After all the data has been written to the file, the application program must explicitly Close the data set. When the Close is issued, IAM will then read the through the temporarily saved high key structure, and format the index section of the file. When this process is successfully completed. IAM returns to the calling program, and the data set is now ready for use. Because errors can occur during this index build process, in particular there being insufficient DASD space to hold the index, it is important to check for a successful completion of the Close. An error during Close will result in a return code of 4, and an appropriate error code returned in the ACB.

10.30 CONTINUED

Buffering

The file load process is essentially a sequential output process. To optimize the I/O process, IAM uses a different buffering technique than the Real Time Tuning that is used on normal file access and update. During open processing, IAM acquires a pool of buffers to be used, based on the default or overridden value for CRBUFOPT. The buffer pool is split into two pieces. When the first set of buffers have been filled with data, IAM then issues the I/O for those buffers. Processing continues with the application passing records, which are placed into the second set of buffers. IAM is effectively providing I/O and processing overlap. When the second set of buffers is filled, IAM issues the I/O request to write all of those buffers, and waits if necessary for the I/O on the prior buffer set to complete. When that I/O completes, IAM continues accepting records into the first set of buffers. For efficient physical I/O, IAM always writes out data in full track increments. The largest number of buffers that will be used for a file load is specified by CRBUFOPT=MCYL. In that case, IAM acquires enough storage for two cylinders worth of data, and will write out a complete cylinder per physical I/O. For the fastest file load, use the CRBUFOPT=MCYL override, but also remember to supply buffers for the input file as well.

File Full, or Sx37 Error

A file full error during the load process is considered to be a fatal error by IAM. Because the index is written at the end of the data set, an out of space condition will prevent the index from being properly written out to DASD. There will usually be messages indicating some type of Sx37 error condition. To insure that IAM is able to properly clean up after such a failure, in particular to release the ENQ that are issued, IAM will attempt to avoid an actual Sx37 abend under CICS. In that case, the request will fail with a file full logical error.

A file full error will also be raised if the area being used for the temporary storage of the high keys is filled. This is considered a fatal error to the file load. Either increase the storage available for the data space, by using the DATASPACE override, or if not using the data space, increase the allocation values for the work file in the IAM Global Options Table, with the WORKPRIMARY and WORKSECONDARY values.

10.30 CONTINUED

SPECIAL CONSIDERATIONS

Single Record Loads

Some applications use a technique for loading files which consists of loading a single record, followed by a mass insert. In some instances this technique is obvious due to an IDCAMS REPRO of a special record into the file. Other times it is hidden. In particular, this process occurs when a COBOL program does an OPEN OUTPUT with ACCESS IS DYNAMIC or ACCESS IS RANDOM specified. What COBOL does in this circumstance is load a record with a key of binary zeros, then close the file. It then reopens the file and deletes the record. This effectively places the file in a loaded but empty state.

While this situation is handled better by IAM Enhanced format files with variable overflow, than it was handled with Compatible format files, the basic problem still exists in that the records that are mass inserted into the file are contained within the overflow areas, Extended Overflow and Extended PE. The storage required for the index to these overflow areas can be substantially more than if the file had been fully loaded rather than single record loaded. If the single record load process can not be changed, then it is recommended that the data set be reorganized after the mass inserts are done. This will reduce overall virtual storage requirements, and provide for more efficient processing.

JCL

In general, there are no required JCL changes from file loads that were using VSAM clusters. The DD card for the IAM data set should specify only the DSN= (Data set name) and a DISP=OLD (disposition). A DISP=SHR is allowed as well, although DISP=OLD is recommended because only the program loading the data set is allowed to have the data set open while the load is in progress. IAM will enforce this restriction using an ENQ mechanism with the major name of IAMENQ. The AMP parameters are permitted to be specified, however there definitely must not be any DCB parameters. The VOL=SER and UNIT parameters should not be specified either.

When the IAM data set is defined and loaded in the same IDCAMS step, the REPRO should use the OUTDATASET (ODS) parameter, not the OUTFILE parameter to reference the IAM data set. This is because if the IAM data set was defined to a different volume than the one it existed on when the job step was started, then the DD card is no longer valid because it has the old volume(s) allocated This guideline has to particularly be followed by installations that are using DFSMS managed data sets, or some other allocation products such as POOLDASD, VAM, or ACC/SRS.

The IAMINFO DD is optional, but highly recommended. A report will be produced to that DD when the IAM file is closed, containing the data set attributes and statistics about the load. The IAMOVRID DD statement is only necessary when there are IAM overrides for this job step. The IAM Overrides that are applicable to the file load are described below.

10.30 CONTINUED

Overrides for Data Set Load

There should only be an infrequent need to override the file load process. The main reasons for using an override for the load process are to use the IAM feature of reorganizing the file without decompressing the data, to alter the buffering for the file load, to increase the size of the data space used to temporarily hold the index, or to specify certain attributes if the data set had been defined through JCL. The BACKUPCOMPRESSED, CRBUFOPT, and the DATASPACE keywords are only applicable to the file load process, and when needed must be specified for the load.

The following list contains the CREATE Override keywords which are applicable to the file load process. For the most part, the CREATE Override keywords have the same meaning and implication when used during the file load, as they do during the file define. The underscored portion of the keywords indicates their minimum abbreviation. The keywords applicable to the define process are:

Keyword	Brief Description
BACKUPCOMPRESSED	Specifies that the input data is already in an IAM Data Compressed format. This can only be specified on a file load step with data that was created with the IAM ACCESS Override of BACKUPCOMPRESSED.
BLKSIZE=nn	Specifies the block factor (1 - 15) or block size of the IAM data set.
COMPATIBLE	Specifies that IAM is to create a compatible format data set. A compatible file format has overflow areas that are formatted when the file is loaded, and are fixed in size until the file is redefined or reorganized.
CRBUFOPT=	Specifies the buffering option to be used for the file load. **CYL** - Buffers for one cylinder's worth of blocks is acquired, approximately 1/2 cylinder is written per I/O. **MCYL** -Buffers for two cylinder's worth of blocks is acquired, one cylinder is written per I/O. **MTRK** -Buffers for ten tracks are acquired, five are written per I/O. **TRK** - Buffers for two tracks are acquired, one track is written per I/O. Default value is MCYL
DATACOMPRESS= [YES|NO]	Indicates whether or not IAM is to data compress this data set. Innovation recommends that data compression be used for data sets that exceed 5 cylinders of DASD space which is the default in the IAM Global Options Table.
DATASPACE=	Specifies the size, in megabytes, of the Data Space to be used for the temporary storage of the index to the IAM file that is being loaded. Valid values are from 0 to 2048. A value of 0 results in the use of a dynamically allocated temporary data set. The default value is 256.
DDNAME=	Specifies which data set the override is applied to. This will indicate the name of the DD statement being opened for the file load. If this value is set to &ALLDD, then the overrides are applicable to any IAM file that is not otherwise explicitly overridden.
DSN=	As an alternative to DDNAME=, specifies the data set name that the override data is to be applied to. Either DSN= or DDNAME= must be specified.

CONTINUED . . .

10.30 CONTINUED

Keyword	Brief Description
ENHANCED	Specifies that IAM is to create an Enhanced format IAM data set. This type of data set uses a dynamic overflow area, which can acquire additional DASD extents during file updates, as needed. This is the default value, unless otherwise specified or changed in the IAM Global Options Table.
INTEGRATED=nn	Specifies CI% free space, especially useful for data compressed ESDS data sets.
MAXBUFNO=nnnn	Specifies the default maximum number of buffers to be used during file access for this data set.
MAXSECONDARY=nn	Specifies a default value from 0 - 10 as a multiplication factor for the secondary space quantity, when the data set exceeds five extents on a volume. When overridden on the load, this will be the value for extents taken only during the file load.
MINBUFNO=nnn	Specifies the default minimum number of buffers to be used during file access for this data set.
MULTIVOLUME= [PRIMARY | SECONDARY]	Specifies space quantity to request when IAM believes that the next extent will be placed on the next candidate volume.
PSEUDORBA	For ESDS files, indicates that the file can exceed 4 gigabytes of user data. IAM generates RBA values that are different than normal VSAM values. Can not be used with SAP, or other software that depends on the normal VSAM values.
RELEASE=[YES|NO]	Indicates whether or not IAM is to release unused and unreserved DASD space whenever the file is loaded. Default is to release space on the first load only, and only when a secondary space value is specified. COMPAKTOR will also release unused space for IAM enhanced format data sets until the file has expanded into the extended overflow areas of the file.
VAROVERFLOW= [YES|NO]	For Enhanced format files, indicates that IAM can use true variable length records in the Extended Overflow area. This will provide for more effective use of DASD space. The default value is YES.
XESDS	For ESDS files, indicate that IAM is to use an 8-byte RBA value. This is consistent with the VSAM Extended Addressability support. Default is that IAM will use a 4-byte RBA. The 8-byte RBA is a way for ESDS files to exceed 4 gigabytes of data, but application programs must be able to handle the 8-byte RBA.

10.30 CONTINUED

EXAMPLES OF LOADING AN IAM DATA SET

Below are a couple of examples using IDCAMS to load an IAM data set. As stated above, IAM data sets can be loaded by any program that can load a VSAM cluster. For conversions from VSAM clusters to IAM data sets, there rarely will be any need to change the JCL, except perhaps to add an IAMINFO DD for SYSOUT to get the detailed report of the file load.

Example A: Loading an IAM file with an Override

In the example below, an IAM data set is loaded with IDCAMS. An IAMINFO DD statement has been added to obtain the run time file load report from IAM. The OUTFILE parameter is used to specify the output IAM file, which also corresponds to the ddname specified on the CREATE Override statement provided. The override is used to specify the maximum buffering for the file load, so that it will run as fast as possible. Also note the REUSE is specified on the REPRO command, which will cause the data set to always be reloaded, even if it previously had been loaded.

```
//LOADFILE EXEC PGM=IDCAMS
//SYSPRINT DD   SYSOUT=*
//IAMINFO  DD   SYSOUT=*
//INFILE   DD   DSN=my.seqfile,DISP=SHR
//IAMFILE  DD   DSN=my.iamfile,DISP=OLD
//IAMOVRID DD   *
  CREATE   DD=IAMFILE,CRBUFOPT=MCYL
/*
//SYSIN    DD    *
    REPRO INFILE(INFILE) OUTFILE(IAMFILE) REUSE
/*
```

Figure 21: Example of Loading an IAM Data Set (EX1030A)

Example B: Loading Compressed Data into an IAM Data Set

In this example, the OUTDATASET (ODS) is used instead of OUTFILE on the IDCAMS REPRO. This will cause IDCAMS to dynamically allocate the IAM data set. Because the ddname is not known in advance, the CREATE override specifies the data set name (DSN=). In this example, the sequential input data set was previously created by copying an IAM compressed data set with the BACKUPCOMPRESSED override, so it must be reloaded with that same override specified on the CREATE override. A large BUFNO value is specified on the DCB for the input file to provide for faster processing, along with the CRBUFOPT override.

```
//LOADCOMP EXEC PGM=IDCAMS
//SYSPRINT DD   SYSOUT=*
//IAMINFO  DD   SYSOUT=*
//SEQFILE  DD   DSN=my.compresd.seqfile,DISP=SHR,DCB=BUFNO=60
//IAMOVRID DD   *
  CREATE   DSN=my.iamfile,BACKUPCOMPRESSED,CRBUFOPT=MCYL
/*
//SYSIN    DD   *
  REPRO INFILE(SEQFILE) ODS(my.iamfile) REUSE
/*
```

Figure 22: Example of Loading an IAM data set with compressed data (EX1030B)

10.40 PROCESSING IAM DATASETS

Overview

After the IAM data set has been defined and subsequently loaded with data, the IAM data set is ready to be used for typical online and batch processing. Processing an IAM data set instead of a VSAM cluster will generally significantly reduce CPU times, physical I/O's, and elapsed times for most programs. The IAM VSAM interface was developed with the intent of eliminating, as much as possible, the need to change any program, JCL, or CICS tables. On rare occasion, there may be a need for a change, which can frequently be accomplished with an IAM override. IAM supports almost all of the typical VSAM processing options, including specification of LSR pools, user exits specified via the VSAM EXLST macro, asynchronous I/O, and so forth.

IAM does not support RBA or control interval access of KSDS types of files, and does not support control interval updates for ESDS type files.

Open Processing

As part of the functioning of IAM's VSAM Interface (VIF), IAM will screen all open requests for VSAM ACB's. If the ACB being opened is for an IAM data set, VIF routes control to the appropriate IAM module to perform the OPEN processing. Otherwise VIF routes control to the IBM VSAM open processing modules, and does not further screen or interfere with VSAM open or I/O processing.

One of IAM's major features is that the index to the file is kept in virtual storage while the file is open. This feature not only eliminates I/O for the index component, but also provides for savings in CPU time. IAM can always get to any specifically requested record with no more than one I/O. Because the index is read into storage at open time, open processing for an IAM data set can take longer than a VSAM open. An IAM open also involves acquiring virtual storage required for processing, acquiring buffers, and setting up the I/O control blocks, and loading the necessary IAM load modules. IAM's self-defining file structure eliminates the need for retrieving information about the data set from the system catalog, which eliminates that VSAM overhead.

Reading the index to the prime area and the prime extension is a straightforward process. Building the overflow index involves more processing, because the overflow index itself is never saved within the IAM data set. The overflow index contains one entry for each record in overflow, unlike the prime and prime extension which contain the high key in each block. To build the overflow index, IAM must read all of the used overflow blocks, determine the key of each overflow record, and insert the key into the proper position in the overflow index. As more and more records are put into overflow, this process will take longer to complete. Regular file reorganizations will help to keep the open processing time at a minimum.

IAM Open processing also automatically performs an implicit verify operation. On files that have been opened for update, IAM will update the extended index and end of file information if necessary.

Once the file open process has completed, IAM is ready to handle the application I/O requests.

I/O Request Processing

I/O to an IAM data set is performed by using the standard VSAM application programming interface (API). Standard VSAM protocol is used by IAM, including error reporting. IAM does not abend when a I/O request fails, the appropriate return codes and failure codes are provided, and the appropriate error exit routine is also invoked if so specified by the calling program. As with VSAM, it is the responsibility of the application program to determine the appropriate action for any particular type of error. The various error codes set by IAM are documented in section 80.21 of the IAM manual.

For KSDS types of files, IAM handles all requests except those that specify Relative Byte Addressing (RBA) or Control Interval access. Such requests are failed with an error code of 104 (x'68') and a return code of 8. For ESDS files, IAM does not support Control Interval updates, which will fail with an error code of 68 (x'44') and a return code of 8.

10.40 CONTINUED

Buffering

IAM's dynamic buffer management technique, Real Time Tuning, is automatically working in response to the application I/O requests. The IAM buffering technique dynamically adjusts buffering and I/O techniques, along with adjusting the number of buffers, based on the types of requests being issued by the application. IAM takes the guesswork out of the tuning process. No need to be concerned about whether or not to use LSR type of buffering, or how many buffers are needed for optimum I/O performance. IAM will select the buffering technique and quantity, within specified or defaulted limits, automatically. Buffering and I/O techniques are adjusted dynamically in response to the application requests.

Real Time Tuning works within a range for quantity of buffers, referred to as MINBUFNO and MAXBUFNO. These ranges can be specified through the use of the IAM Override facility, using the MINBUFNO and MAXBUFNO keywords. Default values for MINBUFNO and MAXBUFNO are determined from the IAM Global Options Table. Using the recommended Global Options values discussed in Section 90.04 will eliminate the need for increasing the MAXBUFNO value for all but the most heavily accessed data sets. The MAXBUFNO value can also be increased through the use of the BUFND parameter specified in JCL as a subparameter of the AMP parameter, or within the program in the ACB.

For more information on IAM's Real Time Tuning capability, refer to section 2.20 of the IAM Manual.

Dynamic Tabling

IAM has a special feature that keeps the most frequently referenced randomly read records in virtual storage. This facility provides substantial benefit to some applications, by eliminating physical I/O as records are repeatedly retrieved from the table. Applications that may potentially benefit from this feature are those that have a high volume of GET RANDOM requests, combined with a very low quantity of PUT UPDATE and ERASE requests. The update requests are detrimental because they will force IAM to read the data block into storage, to perform the update. The other request types will not benefit from nor hinder the use of the dynamic table.

This capability is easily used. Simply specify the table size, in kilobytes, using the IAM DYNCORE override. Statistics on the DYNCORE usage are provided in the IAMINFO report.

File Expansion

IAM Enhanced Format files are dynamically expandable, through the use of secondary extents. IAM files can be extended up to a maximum of 16 extents per volume, for a total of 255 extents across multiple volumes. As records are added, or updated records increase in length, additional DASD space is acquired and formatted as needed. This expansion area is called the IAM Extended Area, and consists of Extended Overflow blocks, and Extended PE blocks. The Extended PE blocks are used to handle the addition of records with key values higher than the highest key value in the data set, while the Extended Overflow blocks will handle all of the other file expansion needs.

For data sets that experience larger than anticipated growth, IAM can dynamically increase the size of the secondary space being requested. Once a data set has five extents on any particular volume, IAM will increase the secondary space by the MAXSECONDARY factor, providing that the value does not exceed the original primary space requested, and that there is sufficient space on the volume for the desired quantity.

Variable Overflow

As a further enhancement to optimize space utilization within the file expansion areas, IAM has an optional Variable Overflow feature. When enabled, rather than reserving space for the maximum record length of each record in Extended Overflow, IAM will fully utilize the available space based on the actual data compressed size of each record. If an overflow record increases in size such that it will no longer fit within the current Extended Overflow block, the record will be moved to another Extended Overflow block, freeing the previously used space for other records.

Index Space

For customers running MVS/ESA 4.2.2 or higher, or OS/390, IAM has the optional capability to place the bulk of the index for IAM data sets in a data space. This can result in a significant reduction in virtual storage requirements within the address spaces using IAM data sets, and is especially helpful for large online systems. This feature defaults to being active for CICS regions, which is controllable through the IAM Global Options Table and IAM ACCESS Overrides.

10.40 CONTINUED

Close Processing

Once processing has been completed for the IAM data set, the application program should explicitly close the data set. If the data set is not explicitly closed, then OS/390 task termination will close the data set when the task that opened the data set completes. The basic functions of close processing are to:

- Freemain storage used to access the data set.
- Delete the processing modules used for accessing the data set.
- On files opened for update, close processing will write out any updated blocks to the data set that have not yet been written, and update the file statistics and control information.
- Close the internal DCB used by IAM to access the data set. If an IAMINFO DD is present, format and produce the IAMINFO report.
- Produce an SMF record of file activity, if so enabled by the IAM Global Options.
- Disconnect the ACB from the control blocks built, and from the system.

Once the data set is closed, no further I/O activity can take place. The application program should check the return code from the close to make sure that it was successful. A return code of 4 indicates that the close failed. It is rare that a close will fail, but it can happen. The main reason for a close to fail is that there is insufficient storage for the close to process. This is indicated by an error code of 136 (x'88'), that is returned in the ACB error code field. If this error occurs, the application should freemain some storage, or close other files, then reattempt to close the IAM file.

File Full or Sx37 Error

On IAM files opened for update, a file full error is not considered by IAM to be a fatal error. The Sx37 abends will be masked out by IAM for Enhanced Format IAM data sets, and treated as a file full logical error. The updated or inserted record will not be written out to the data set. For updated records, the non-updated version of the record will still remain in the data set. The error conditions will be reported back to the calling program using standard VSAM logical error codes, and exits where applicable. To prevent getting into a reoccurring Sx37 loop, IAM will not try to take any additional extents for a file once such an error occurs, unless the data set is closed and reopened.

File full errors can also occur when IAM is unable to obtain additional virtual storage for the expanding index structure. This type of file full condition is accompanied by an IAMW03 error message.

SPECIAL CONSIDERATIONS

JCL

In general there are no JCL changes required to access IAM files in place of VSAM. The only required JCL parameters on the DD card for an IAM file are the DSN and DISP. If the JCL was set up to use Batch LSR for VSAM, that can be left in place for IAM, although IAM will not use any of the VSAM LSR buffers. Likewise any AMP parameters can also be left in place. The BUFNI value will always be ignored by IAM, because it does not need or use index buffers. The BUFND value will be looked at and used as the value for MAXBUFNO, providing that there was no MAXBUFNO override, and that the value exceeds the default value for MAXBUFNO. STRNO will be used to establish the initial number of place holders, as done by VSAM. With IAM Enhanced format data sets, IAM now has dynamic string acquisition so STRNO is not as critical as it was for Compatible Format IAM data sets.

While optional, users are highly encouraged to add an IAMINFO DD statement allocated to SYSOUT to job steps that are using IAM data sets. When this DD statement is present, a one-page report will be produced each time an IAM data set is closed, providing file characteristics and statistics from the execution, including logical and physical I/O counts, and storage requirements.

10.40 CONTINUED

Multiple ACB

IAM data sets can be processed by multiple ACB's opened within the same address space, either with the same or different DD names. If the ACB's are using the same DD name, then IAM will share the control block structure and the index between the different ACB's, as long as both ACB's are opened under the same task. If different DD names are being used, then IAM will only share the control block structure and index if the ACB indicates that sharing is done on data set name. This is specified by ACB MACRF=DSN. For CICS users, this sharing is indicated by specifying DSNSHARING=ALLREQS. Once again, sharing will only be done if the ACB's are opened under the same TCB.

The advantages of sharing the control block structure and index are that doing so significantly reduces the storage required to process the data set with the multiple ACB's, plus it provides for complete data integrity between the different ACB's. If the sharing is not done, then each ACB is completely independent, and will be subject to the standard Cross Region sharing considerations. This means that unless a file is defined with Share Option 3 or 4, only one of the ACB's can be opened for update.

Another advantage of the sharing is that for CICS, or other long running jobs, there is provision for 24-hour operation. This is achieved by having one of the ACB's opened for read only access, and the other being opened for update. When the update ACB is closed, all of the updated data buffers are written out, and the necessary control information in the data set is updated. The data set can then be updated by other jobs. Then, when the update ACB is reopened, all the buffers are invalidated to insure that only the current blocks from the data set are used, plus the overflow and PE indexes are rebuilt to reflect the current status of the file. This will provide for online read only access to the file while it is being updated by batch processing.

OVERRIDES FOR IAM FILE PROCESSING

IAM ACCESS Overrides

IAM has an override facility that provides easy access to many of the IAM special features. Some of the more common reasons for using IAM Overrides include:

- Adjusting the range of buffers for IAM Real Time Tuning
- Enabling use of the IAM Dynamic Tabling feature
- Enabling use of IAM's Index Space
- Altering values for IAM's Dynamic Region Adjustment
- Activating the BACKUPCOMPRESSED feature
- Altering IAM's Dynamic Secondary Space adjustment feature values

How to Use Overrides

Use of the IAM Override facility is easy. Just add an IAMOVRID DD card to the job steps that are processing IAM data sets, and provide the simple control card image input with the desired parameters. The IAMOVRID DD is normally a DD * that is followed by the control cards within the input job stream. The IAMOVRID could also be a sequential or partitioned data set member that contains the input control cards. The format of the override card for the ACCESS overrides are that they begin with the word ACCESS, which can begin in column one or be preceded by blanks, is followed by one or more blanks, then the override keywords with their values are specified, separated by commas. The keywords and their values can not go beyond column 71. Continuations, if needed, are indicated by leaving a comma after the last keyword on the preceding card, which is followed by other card(s) with the additional keywords. Full details on the IAM Overrides can be found in Section 30 of the IAM User's Manual. Shown below are the commonly used IAM ACCESS Overrides that are applicable to IAM's Enhanced Format Files.

10.40 CONTINUED

Override Keywords

Keyword	Brief Description
BACKUPCOMPRESSED	Indicates that IAM is not to decompress the data when passing the requested records to the requester. The data will remain in an IAM data compressed format, which will not be usable by application programs for purposes other than copying and reloading an IAM data compressed data set.
DDNAME=	Keyword that specifies the DD name(s) of the files to which the override values are to be applied. The special value **&ALLDD** can be used to apply the overrides to all files except those that are otherwise explicitly specified. Either DDNAME or DSN is required.
DSN=	Specifies the name of the data set to which the override values are to be applied. This can be used for Enhanced Format files only. Either DDNAME or DSN is required.
DYNCORE=nnnn	Specifies the amount of virtual storage, in kilobytes, to be used for IAM's Dynamic Tabling facility. Maximum value is 16000.
INDEXSPACE= [YES | NO]	Indicates whether or not IAM is to use a data space for the prime and overflow index structures, rather than extended private.
MAXBUFNO=nnnn	Specifies the maximum number of buffers IAM will use for the specified file. Maximum value allowed is 2048. (Note: maximum for compatible format files is 32.)
MAXREGION=nnnn	Specifies the maximum value, in megabytes, which IAM Dynamic Region Adjustment will allow the Extended Private region to be set to. Maximum value allowed is 2048.
MAXSECONDARY=nn	Specifies the multiplication factor to be used on the secondary quantity when a data set exceeds 5 extents on a volume. Maximum value that can be specified is 10. Note that IAM will never increase the secondary space to a value greater than the primary space.
MINBUFNO=nnnn	Specifies the minimum number of buffers that IAM is to use for the specified data set. If specified, this will also be the number of buffers that IAM initially acquires during open.
REREAD	Indicates that IAM will always reread the overrides each time an IAM file is opened. Normally, IAM will only read the overrides on the first open, and save all the values in virtual storage for subsequent reference. Specifying this keyword allows the overrides to be changed for long running online systems.
SHAREOPTION=n	Overrides the VSAM Cross Region Share Option to use for this execution. Provided as the alternative to performing an ALTER on the file to change the Shareoptions.

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10.40 CONTINUED

EXAMPLES OF ACCESSING AN IAM DATA SET

A few JCL examples of processing an IAM data set are shown below. Essentially, there are no JCL changes to use an IAM data set instead of a VSAM data set, except when one desires to obtain the IAMINFO report, or wants to provide some IAM Overrides.

Example A: Basic Access of an IAM File

This example demonstrates the simplicity of using IAM. The only change made to this job stream when converting to VSAM was the optional addition of an IAMINFO DD card, to obtain the IAM run time reports. Note that the VSAM AMP parameter has been specified for one of the IAM data sets. The BUFNI specification is ignored by IAM, however the BUFND value may be used, if it is higher than the default for MAXBUFNO.

```
//PROCESS EXEC PGM=anypgm
//SYSPRINT DD  SYSOUT=*
//FILEA    DD  DSN=prod.iam.filea,DISP=SHR
//FILEB    DD  DSN=prod.iam.fileb,DISP=SHR
//FILEC    DD  DSN=prod.vsam.filec,DISP=SHR
//MASTER   DD  DSN=prod.iam.master.file,DISP=SHR,
//             AMP=('BUFNI=6','BUFND=60')
//IAMINFO  DD  SYSOUT=*
```

Figure 23: Example of Processing an IAM Data Set (EX1040A)

10.40 CONTINUED

Example B: Using IAM ACCESS Overrides

This example demonstrates how to use the IAM Override facility when processing IAM data sets. For this example, the program being executed performs updates based on a sequential input file, which is an IAM ESDS. This input file is on a 3390 device, and has 1/4 track blocking. To optimize the I/O for reading this data set, we are providing an override to set MAXBUFNO=64, which is the number of blocks on a cylinder plus a few extra in case there are any records in overflow. This will provide the most I/O savings for this file, once the file has acquired the 60 buffers. To speed up the process of increasing the buffers, we will also specify a MINBUFNO of 32.

The master file is an IAM KSDS data set, defined with Share Option 2, that is randomly updated based on the data in the sequential input file. Experience has shown that though the updates are random, there does tend to be an advantage, i.e. reduction of I/O, by keeping a lot of the previously referenced blocks in storage. This also provides an advantage in reducing physical writes to the updated data set, which are automatically deferred because of the share option and that the program is running in a batch environment. An override is provided to set the MAXBUFNO to 48 for this data set. Because the index for this file is extraordinarily large, being several megabytes, the IAM Index Space is also being requested for this data set only.

A third file is a read only reference KSDS data set that is used for data validation. The records in this data set tend to be on the small side, less than 100 bytes, and processing is random against a subset of the records, which tend to be referenced many times. For this data set, the IAM Dynamic Table Facility is quite useful. An IAM Override is being used to enable IAM's Dynamic Tabling Facility, with a table size of 128K.

The IAM data sets for DD statements FILEA, FILEB, and FILEC are also involved in the processing, and do benefit by having some additional buffers. The MAXBUFNO value for those files will be set by the &ALLDD override. Note that the value(s) specified on the &ALLDD override which are not specified on other overrides will NOT be carried over to the other files.

```
//MASTRUPD EXEC PGM=updpgm
//SYSPRINT DD   SYSOUT=*
//MASTER   DD   DISP=SHR,DSN=prod.master.iam.file
//SEQFILE  DD   DISP=OLD,DSN=prod.seqfile.iam.esds
//TABLE    DD   DISP=SHR,DSN=prod.table.iam.file
//FILEA    DD  DSN=prod.iam.filea,DISP=SHR
//FILEB    DD  DSN=prod.iam.fileb,DISP=SHR
//FILEC    DD  DSN=prod.iam.filec,DISP=SHR
//IAMOVRID DD   *
  ACCESS   DD=SEQFILE,MINBUFNO=32,MAXBUFNO=64
  ACCESS   DD=MASTER,MAXBUFNO=48,INDEXSPACE=YES
  ACCESS   DD=TABLE,DYNCORE=128
  ACCESS   DD=&ALLDD,MAXBUFNO=32
/*
//IAMINFO  DD   SYSOUT=*
```

Figure 24: Example of Processing IAM Data Sets with Overrides (EX1040B)

10.50 IAM TUNING GUIDELINES

Overview

For the great majority of data sets converted to IAM, there is no real need to perform any tuning. This is because IAM, with its Real Time Tuning capability, can generally provide an outstanding level of performance, without the need for any manual intervention. The tuning guide is being provided for those installations that want to make sure that they are getting the best possible performance from IAM. It is also being written to address those few files that just seem to require a bit of extra effort. The last reason is to provide an aid for installations that are having a resource constraint, particularly with real or virtual storage.

Just what do we mean by performance? With an access method, such as IAM, performance is retrieving and storing data faster while using less computing system resources. Nothing is free however, and frequently there are tradeoffs involved, such as using more of one resource to use less of another. Just what resources are we talking about? They include the utilization of the processor, referred to as CPU time, DASD space utilization, utilization of channels, control units, and physical devices to move the data between processor storage and the device, and the use of both virtual and real storage. An access method needs to use a portion of all of these resources to provide the service. Frequently tuning involves adjusting resource use from a constrained resource to an unconstrained resource.

The longest portion of any I/O operation is the time it takes to transfer data from the storage device into processor storage. It therefore follows that the fastest logical I/O is one where there is no physical I/O. IAM's goal is to satisfy as many logical I/O requests as possible without performing any physical I/O. IAM generally utilizes virtual storage, and of course the underlying real storage, to obtain the high performance. The two primary storage areas to accomplish that are the index area and the buffers. IAM retains the entire index, normally in an internally compressed format, for the data set in virtual storage while the data set is open. This eliminates any need for physical I/O to read the index. Any record can be retrieved with no more than 1 physical I/O. With VSAM, assuming the required control intervals are not within the buffer pool, a typical random read will generally require at least 2 physical I/O's, one for the index and the other for the data. Depending on the VSAM index structure, more I/O's may be required for the index component.

The other way IAM reduces physical I/O's is through it's proven Real Time Tuning buffer management algorithms. Using dynamic buffer management techniques, IAM is able to reduce physical I/O to a level that is quite difficult to achieve with VSAM, even with extensive manual tuning. IAM will dynamically adjust the number of buffers, the buffer management algorithms, and physical I/O techniques used to match the application programs current requirements. The result with IAM is better performance, resulting in reduced elapsed times for batch jobs, reduced response times for online transactions, and less time investment required on tuning to achieve those goals.

RECOMMENDED GLOBAL OPTION SETTINGS

The easiest way to minimize the need for manual tuning is to use a good base of default parameters. With IAM, these parameters are provided through the IAM Global Options Table. The default options will provide a high level of performance for the great majority of IAM data sets. Even better performance may be easily achievable by making some changes to the default options. The recommended values below will generally improve performance for many installations, providing that they are not constrained with various resources, as identified with each recommendation.

Data Compression

IAM defaults to using data compression for any data set that is defined as being 75 tracks (5 cylinders on a 3380 / 3390 device) or larger. This is one of the best IAM facilities and we strongly recommend that data compression be left enabled. The benefits are reduced DASD space requirements, reduced virtual storage requirements for indexing the data set, and reductions in physical I/O because there is effectively more data in each block. The cost is additional CPU time to process the data set. For many data sets, IAM CPU time with data compression is still lower than VSAM without compression. IAM's data compression uses significantly less CPU time than IBM's hardware compression used by VSAM. Unless CPU processing time is very constrained, Data Compression should be left enabled.

10.50 CONTINUED

Buffer Space

In Version 7.0, IAM now defaults to **BUFSP=896000**. IAM calculates the default MAXBUFNO from this Global Options Value. The recommended value will cause MAXBUFNO to go up to just over one cylinders worth of buffers on both 3380 and 3390 types of devices. This will be of particular benefit to batch jobs that read IAM data sets sequentially, in that they will be able to read in a full cylinder worth of blocks per I/O, plus have some extra buffers to handle Extended Overflow blocks. This may also provide benefits to other jobs by increasing the default MAXBUFNO. This should reduce the need to provide IAM Overrides to increase MAXBUFNO for many data sets. The cost is more virtual storage usage for those data sets that can take advantage of the larger MAXBUFNO.

New in Version 7.0 is the CICSBUFSP parameter, which defaults to 262144 (256K). This is the value that IAM will use to set MAXBUFNO when running under CICS. This new Global Option was added so that customers could give their batch work a larger quantity of buffers automatically, while not giving as many to CICS due to the frequent virtual storage constraints.

File Load Buffering

The next recommendation is to leave CRBUFOPT=MCYL, to cause a file load to buffer up to one cylinder worth of data, while physically writing a full cylinder of data. This is the optimal buffer setting for file loads.

ESDS Processing

If your installation is using IAM ESDS types of data sets that are updated, then be sure to set ESDSINTEGRATED=5 or higher. This will allow some room for record updates that require more space after data compression without having to use the Extended Overflow area. The cost is more DASD space usage to load the data set initially, but if the data set is updated, this will prevent the use of DASD space, virtual storage, and I/O for the Extended Overflow area.

Variable Overflow

The other change is to leave VAROVERFLOW=YES. This enables IAM's variable overflow that will result in more data records in each overflow block. This should reduce DASD space requirements for the overflow area, plus may also help reduce physical I/O's to the overflow area. The savings, particularly for data sets defined with very large maximum record lengths can be substantial. The disadvantage is that records that are repeatedly updated may have to be moved to a different overflow block from time to time if the record length increases. If you are migrating from Version 6.3, then <u>this option should not be set until you have IAM Version 7.0 in production on all of the systems using IAM</u>.

10.50 CONTINUED

GENERAL CONSIDERATIONS FOR OPTIMUM PERFORMANCE

Most of the IAM data sets will achieve outstanding performance results, particularly with the recommended Global Options settings. If you want to make sure that you are receiving the best performance possible with all of your data sets, or are having some type of performance problem, then consider the following general guidelines.

1. Make sure that you have a way to obtain IAMINFO reports. This can be done either by adding an IAMINFO DD card to the JCL for job steps using IAM files, or by collecting the IAM SMF records, then post processing the data with the IAMINFO command of the IAMSMF utility program. These reports contain critical information for detailed tuning. Become familiar with the contents of these reports, as they provide a lot of useful information. By activating and collecting the IAM SMF records, you can also utilize the IAMSMFVS reports for a more concise report format that will make it easy to find those data sets that might require additional attention.

2. Periodically review the IAMINFO reports. If more buffers would have helped reduce physical I/O's, the IAMINFO report will contain an IAM368 message indicating so. In fact, you can request that IAMSMF print off only those IAMINFO reports where that message appears, with the keyword ATTRIBUTE=MOREBUFFER. If this message is appearing for several data sets, then perhaps the BUFSP Global Option should be increased to avoid the need for several overrides.

3. Use data compression. This will help reduce physical I/O's, reduce virtual storage for the prime index, and reduce DASD space requirements.

4. Make sure that heavily updated files are regularly reorganized. This will help prevent virtual storage problems, long open times, and high physical I/O activity.

5. For data sets with large maximum record sizes, be sure to activate the Variable Overflow feature. This feature can result in significant savings of DASD space and I/O by increasing the number of records that are stored within each overflow block.

6. Avoid the specification of Share Options 3 or 4 for IAM data sets. IAM does not support sharing files for update, and specification of those share options will force additional I/O, which can be substantial.

7. Investigate increasing the block size for data sets with a large Prime Index structure, particularly if the data set has relatively large record sizes.

10.50 CONTINUED

Buffering

IAM makes it easy to determine when more buffers could have reduced I/O by providing the IAM368 message in the IAMINFO report. Unless there is a concern about storage there is no reason to be concerned about being overly aggressive at setting MAXBUFNO. IAM's Real Time Tuning will carefully adjust the buffering for the data set as processing needs vary. For programs that do all sequential processing the maximum number of buffers used for the file will be the number of blocks per cylinder plus a few additional buffers to handle Extended Overflow blocks. Usually providing one or two tracks worth of buffers for overflow will be sufficient, unless a data set has a very extensive use of overflow. Using the default BUFSP Global Option setting will handle setting the defaults to maximize the buffering for sequential processing, eliminating the need to increase buffers for most batch jobs.

For programs that do all random I/O, a mix of random and sequential I/O, or short strings of sequential I/O requests, then the MAXBUFNO value should be increased by a quantity that you feel comfortable with. If you are not concerned about virtual storage usage or paging, then by all means use a large quantity. If however storage is of a concern, then increase the value by 4 or 8, and see how that helps. The methods of increasing MAXBUFNO for any particular file include:

- Providing an IAM ACCESS MAXBUFNO override for the job step and data set.
- Specify the BUFND parameter, either within the ACB or as part of the AMP parameter on the DD card for the data set, e.g. AMP=('BUFND=nnn'). For CICS files not in an LSR pool, the resource definition for the data buffers will result in changing the BUFND value in the ACB that is used by CICS.
- To raise buffering for all jobs that use this data set, provide an IAM CREATE MAXBUFNO override when the data set is defined, loaded, or reorganized. The specified MAXBUFNO value will be applied whenever the data set is accessed.
- Specify a value for BUFSPACE on the IDCAMS define control statement for the data set.

The two circumstances where you might not want to increase buffers for the job are:

1. When the job is performing sequential processing against a data set that is concurrently open to online systems. This is because the batch job could end up dominating the file, resulting in poor response times for users of the data set on the online system. In fact, you will probably want to reduce MAXBUFNO for such jobs.
2. When the job has a virtual storage constraint. Refer to the section on Storage Usage for adjusting buffers with jobs that have storage constraints.

Otherwise, it is perfectly fine to increase the MAXBUFNO value.

10.50 CONTINUED

Extended Overflow

Excessively large Extended Overflow usage can result in a deterioration of performance that can usually be avoided. These problems are avoided by the periodic reorganization of files when they are using some large quantity of extended overflow. VSAM data sets also require reorganizations due to performance deterioration and space usage. Because of that, many application job streams that were converted to IAM from VSAM already have regularly scheduled file reorganizations, which will generally be sufficient for IAM data sets. Depending on the data set and application activity, the reorganizations may be done daily, weekly, monthly, or even quarterly.

Some of the symptoms of an excessively large usage of extended overflow are:

- Long elapsed time to open the data set.
- Excessive use of virtual storage or the IAM Index Space.
- High I/O rates when processing the data set sequentially.
- Potential inability to open the data set or other data sets due to virtual storage constraints.

It can be difficult to predict the level of extended overflow usage at which serious performance deterioration will occur. For example, one file could have well over a million records in overflow, and not be experiencing any noticeable performance difficulties, whereas another data set may only have a few hundred thousand records in overflow and be experiencing severe symptoms. The key factors are the key length and the general placement of records in the overflow area. For example, if a file has a key length of 4 with a million records in overflow, the storage used for that index is going to be substantially less than if the file had a key length of 64. If the records in overflow are in a generally ascending key sequence, or in clusters of ascending key sequence, then the I/O impact and processing time to open the data set will most likely not be seriously impacted. A very random pattern of records through out overflow can have a serious impact on sequential I/O performance, and the processing time to open a data set.

One of the cautions is for reorganizations that are done by application programs. Some application programs reorganizations are done by a single record load followed by a mass insert. This is not a reorganization from the access method point of view. The resulting data set will frequently be in a less than optimum status after the application reorganization. If such a technique is used, the application reorganization should be followed by a file reorganization that is done by FDRREORG or an IDCAMS REPRO.

The other thing to watch out for on these scheduled reorganizations is where within the batch job stream they occur. For example, some applications reorganize a data set after they are closed online, and then execute a large batch update process. The batch update process can result in heavy overflow use, so that when the data set is subsequently opened for online processing, it is in a less than optimum state. By simply scheduling the reorganization after the update processing, the file will be in the best possible organizational state when it is opened for online processing.

10.50 CONTINUED

Guidelines for Reorganizing

Some guidelines for determining when an IAM data set should be reorganized include the following:

- When more than 5 to 10 percent of the records in the data set are in extended overflow.
- When the size of the Extended Overflow Index exceeds some storage quantity, such as 16 megabytes.
- When the Overflow area exceeds a quantity of DASD space, such as 1,000 cylinders.
- When a single volume data set is approaching sixteen extents.
- When the number of overflow records for a particular data set approaches or exceeds a predetermined number of records. IAM can assist in monitoring this if the file is defined with an Overflow (O=) override of that specified value.

For some of the above guidelines, IAM will issue an informational message, IAMW22, indicating that reorganization is recommended along with the reason. The IAMINFO reports will also include an IAM373 message indicating that reorganization is recommended. Several of these factors are available as selection criteria on FDRREORG, which provides an automatic method for reorganizing files only when needed. Other methods of automating file reorganizations include using the reports generated from the IAM SMF records, by IAMSMF or IAMSMFVS. Full information on IAM data set reorganizations is provided in Section 10.81 of the IAM Manual.

Large Prime Index

Data sets that have a prime index structure that exceed one megabyte are considered to have a large prime index. The amount of storage required for the Prime Index, and whether or not it is compressed, is provided in both the IAMINFO reports and the IAMPRINT reports. Having a large prime index structure will not necessarily cause any type of performance problem, however such files may realize improved performance by reducing the prime index size. There are a number of factors to consider. The potential advantages of reducing the prime index size include faster index search time and reduced virtual storage requirements. The reduction in virtual storage may be partially, or in some cases entirely, offset by an increase in buffer size when the block size is increased. The costs are increased search time for records within each data block and increased physical I/O time.

The prime index size is based on the number of prime blocks, the key length, and the compressibility of the key structure. From a tuning perspective, the one thing that you may have some control over is the number of prime blocks. The first thing to make sure of is that the data compression is enabled for the data set. This can help reduce the number of prime blocks by fitting more data within each prime data block. The next factor to check is for an excessively large CI freespace area. Make sure that such a large CI freespace area is warranted based on insert or record growth activity to avoid overflow growth. Reducing an excessively large CI freespace will result in fewer prime blocks. Increasing the block size for a data set with a large CI freespace area may not be very beneficial. Next, if the file is at less than 1/2 track blocking, increasing the block size will reduce the prime index storage. Changing the block size requires some caution, unless the data set is quite predominately sequentially processed. Random processing, or short sequential browses that are typical of online systems may incur increased response times when using a larger block size, due to the increase in data transfer time. Plus, they are also subject to increased CPU time to search the data block for the required record.

CONTINUED . . .

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When to Increase the Block Size

So, when is it beneficial to increase the block size? There are two factors to consider. The first is the average record size as the data is stored, and the second is the benefit of buffering. As record sizes increase, there will be more benefit to increasing the block size providing that buffering is reducing physical I/O. The average stored record size is provided on the IAMINFO report for the file load. If that is not readily available, then the approximate value can be calculated with data from an IAMINFO or IAMPRINT report as follows:

$$\frac{\text{Blocksize} * ((100 - \text{CI Freespace}) / 100)}{(\text{Total Records} + \text{Inserted Records} - \text{Deleted Records}) / (\text{Number IAM Data Blocks} - 2)}$$

Figure 25: Calculation for Approximate Average Record Length

The benefit of buffering can be easily determined from data in the IAMINFO report by dividing the Disk Blocks Read by Requests Processed. This presumes of course that an adequate number of buffers are being provided. As this percentage of requests requiring I/O gets smaller, the benefit of buffering is increasing. The larger the benefit of buffering, the more likely it is to achieve benefit by increasing the block size. There may not be much benefit, from the physical I/O perspective if more than 50% of the logical requests require I/O. The I/O benefit is likely to be larger as the percentage drops to 25%, 10%, or even lower.

How to Increase the Block Size

As a general rule, if the average record size is 1K or more (1024 bytes), and there is some beneficial buffering, there should be no hesitancy about increasing the block size. The block size, or blocking factor, can be changed by either using the CREATE IAM Override B= during the file define, load, or reorganization to specify a block factor. The alternative is to increase the CI size on the Define statements. For example, specifying a B=2 override will force half-track blocking. A blocking factor of 1 is not recommended on most current DASD devices because there will be a considerable amount of DASD space wasted due to the limitation of the IAM data set block size of 32K.

```
//IAMOVRID DD  *
       CREATE DD=&ALLDD,B=2
/*
```

Figure 26: Example of IAM Override to set 1/2 Track Blocking

For data sets with smaller average record sizes, increasing the block size can be considered and will be beneficial with larger prime index structures as long as there has been beneficial buffering. There probably is not much benefit to increase the block size for files with average record sizes of less than 500 bytes, unless the I/O activity is predominately sequential, or there is a severe virtual storage constraint, which is discussed in Section 10.51.

10.50 CONTINUED

High I/O Rates

This section will discuss some of the common causes of higher than expected physical I/O's, commonly referred to as EXCP count. The IAMINFO report is a necessity to understand such a problem. The key statistical fields from the IAMINFO report that are used include the following:

- **DISK BLOCKS READ:** The number of physical I/O's (EXCP's) that were issued to read data from the IAM data set.
- **DISK BLOCKS WRITTEN:** The number of physical I/O's (EXCP's) that were issued to write data to the IAM data set.
- **SEQ CHAINED BLOCKS READ:** The number of additional data blocks read in as part of a sequential I/O. This number plus the **DISK BLOCKS READ** is the total number of blocks read into storage.
- **SEQ CHAINED BLOCKS WRITTEN:** The number of additional data blocks written out as part of a sequential I/O. This number plus the **DISK BLOCKS WRITTEN** is the total number of blocks written out to DASD.

The total EXCP count for the IAM data set can be easily calculated by adding the **DISK BLOCKS READ** and **DISK BLOCKS WRITTEN** values. It is quite useful to have the two separate values, as they will help in our search for what is going on with the data set. Some of the circumstances and potential actions are described below.

If the value for Disk Blocks Written is very high, then most likely what is happening is IAM is not deferring the writes for random updates. This situation occurs when the data set is defined with Share Option 3 or when a Share Option 1 or 2 data set is processed asynchronously, as is done by CICS. For online systems, this generally is a desired action so no change is recommended. For data sets defined with Share Option 3, they can be redefined with Share Option 2 because of the very high risk associated with sharing an IAM data set for update.

If both the Disk Blocks Written and Disk Blocks Read are very high, such that they equal or exceed the total requests, the most likely cause is that the file is defined with Share Option 4. Setting Share Option 4 forces IAM to use only 1 buffer, and IAM will always reread a data block whenever it is requested, even if it is already in the buffer. Plus, IAM will always immediately write out any updated data block, including sequentially updated data blocks. The data set should be redefined with a Share Option of 2, because sharing an IAM data set for update is most likely going to result in a corrupted data set, and lost data.

If both Disk Blocks Read and Seq Chained Blocks Read are exceedingly high, the problem is most likely that IAM is rereading empty prime or PE blocks. This can result due to an application having mass deleted a large group of records that occupied contiguous blocks, followed by attempts to retrieve records using a key greater or equal type of search. Depending on the Share Options and how the data set was opened, IAM is able to avoid this type of processing for prime data blocks, but not for PE blocks. The affected data set should be reorganized to resolve the problem, particularly if the data set has a lot of empty PE blocks. If the data set does not have many PE blocks, try using the REREADEMPTY=NO IAM ACCESS override which may prevent the high I/O rate.

If Disk Blocks Read is quite high for a basic sequential I/O type of job, then the most likely cause is that there are a lot of records in key sequence that are scattered through many different Extended Overflow blocks. Such a situation is also likely to be coupled with a long time to OPEN the data set, due to the Extended Overflow index build process. The solution to this problem is to reorganize the data set.

10.50 CONTINUED

Using Multiple Volumes for Performance

For data sets that have an unusually high I/O activity, it may be quite beneficial to spread the data set across multiple volumes. By so doing with Enhanced Format IAM data sets, there can be concurrent physical I/O scheduled to each DASD volume, which may result in significantly improved online response times. With a little bit of planning, this is easy to accomplish by setting up proper space allocation parameters. IAM does not support key ranges, so a data set can not be spread across volumes in that manner. Two different techniques for accomplishing this will be shown. For both examples, it has been determined that the data set requires approximately 2,000 cylinders of space, excluding overflow requirements. The bulk of the data set will be split across 4 DASD volumes, however a fifth volume will be used to handle any potential growth into the IAM Extended areas.

The first example can be used for installations that have DFSMS active on their system. Note that the data set does not have to be SMS managed for this technique to work, just have to have DFSMS active. If the data set is going to be on SMS managed volumes, then the data set must be defined with Guaranteed Space. If the data set is being allocated to non-SMS managed volumes, then IAM allocates the data set as if it were being defined with Guaranteed Space under DFSMS. That is, IAM will allocate the primary space quantity on each volume when the data set is defined. For this technique to work, the secondary space quantity must be 0, which will prevent the usage of secondary extents. File expansion is accommodated by utilization of the space on the fifth volume.

```
//DEFMULTV  EXEC PGM=IDCAMS
//SYSPRINT  DD   SYSOUT=*
//SYSIN     DD   *
DEFINE CLUSTER          -
    (NAME(MY.IAM.KSD)   -
    OWNER($IAM)                        -
    VOLUMES(MYVOL1 MYVOL2 MYVOL3 MYVOL4 MYVOL5) -
    CYL(500)           RECORDSIZE(100 1000)       -
    KEYS(24 8)         FREESPACE(5 20)            -
    SHAREOPTIONS(2 3) REUSE  )
   LISTCAT ENT(MY.IAM.KSD) ALL
/*
```

Figure 27: Example of Spreading IAM Data Set across Multiple Volumes

10.50 CONTINUED

Using Multiple Volumes for Performance (continued)

In the next example, a different technique is used in that the data set will be allowed to take secondary extents. To achieve the desired split of 500 cylinders across 4 volumes, a primary of 200 cylinders is being requested, with a secondary of 20 cylinders. The secondary results in a total of 300 cylinders, being 15 extents times 20 cylinders. The IAM overrides of MAXSECONDARY=1 is specified to prevent IAM from increasing the secondary allocation, and an override of MULTIVOLUME=PRIMARY is specified to cause IAM to allocate the primary on the next volume for the first extent.

```
//DEFMULTV  EXEC PGM=IDCAMS
//SYSPRINT  DD   SYSOUT=*
//IAMOVRID  DD   *
   CREATE  DD=&ALLDD,MAXSECONDARY=1,MULTIVOLUME=PRIMARY
/*
//SYSIN     DD   *
  DEFINE CLUSTER    -
    (NAME(MY.IAM.KSD)  -
    OWNER($IAM)         -
    VOLUMES(MYVOL1 MYVOL2 MYVOL3 MYVOL4 MYVOL5) -
    CYL(200 20)  RECORDSIZE(100 1000)           -
    KEYS(24 8)  FREESPACE(5 20)                 -
    SHAREOPTIONS(2 3) REUSE  )
   LISTCAT ENT(MY.IAM.KSD) ALL
/*
```

Figure 28: Example of Spreading IAM Data Set Across Volumes

10.51 STORAGE USAGE

Storage Overview

One of the frequent questions is how much storage does IAM use, and what can be done to reduce the virtual storage requirements. IAM does require virtual storage to provide the services requested by application programs. IAM uses virtual storage to reduce I/O and the CPU time required to process an indexed data set. One of the features of IAM is that it keeps the index to the file in virtual storage. There are no index buffers, or index I/O after the file has been opened. The other major component of IAM's storage requirements are for the data buffers. These large storage areas are always requested from the 31-bit addressable area of memory (above the line). This use of storage seldom is a problem for batch jobs. However, large online regions that have hundreds of files open at any point in time may run into virtual storage constraints. This section will attempt to explain IAM's storage usage, and what potential there is to reduce the virtual storage requirements.

Virtual Storage Management Features

To help minimize the need for virtual and real storage tuning, IAM has several special features to aid in the dynamic management of virtual storage for jobs using Enhanced Format IAM data sets. IAM can put large portions of the index for an open IAM data set into a data space, which is referred to as an Index Space. This feature alleviates virtual storage contention by moving a lot of IAM storage into it's own data space. IAM can also dynamically increase the above the line storage region based on values from the IAM Global Options Table. This provides for a quick way to dynamically adjust to unexpected storage requirements without having to change the IEFUSI exit or the job's REGION parameter. When acquiring a non-critical area of storage, such as acquiring an additional buffer, if the storage was acquired below the 16-megabyte line, IAM will release that storage. This way, once the above the line region is filled, IAM will not unnecessarily use below the line storage, which could quickly disappear. IAM also monitors buffer usage, as a part of the Real Time Tuning, and will release infrequently referenced buffers.

Base Storage Requirements

The actual amount of storage used to process each file, excluding load modules is provided on the IAMINFO report. This includes the amount of the total storage required and the amount of that storage which was above the line. For the Enhanced format files, IAM will always allocate virtual storage in multiples of 4K size areas, and manage the allocation of that storage. This is done to help prevent storage fragmentation and to improve reliability by reducing the chances of storage corruption that can easily occur when multiple software products are sharing the same page of virtual storage.

To best explain the concern about storage fragmentation, an example may be helpful. In this example, we will assume that the IAM file has 1/4 track block size on a 3390, which is 13682. Whenever OS/390 or MVS/ESA allocates storage for a request that is for an area size that requires multiple pages, virtual storage is always acquired by assigning a new set of contiguous available pages. If IAM were to issue the getmain for the exact block size, OS/390 will always acquire 4 pages, or 16K bytes on a page boundary. The unallocated portion of that storage will be available for other smaller storage requests. In our scenario, during open IAM typically will acquire four buffers of 13682, resulting in MVS using 64K of virtual storage, with fragments of a little over 2K free scattered in that 64K area. Now, it is quite likely that another program or perhaps IAM for another file if IAM did not round the size requested, will use portions of those free areas. When one or more of those buffers are released, MVS will release only 3 full pages, and the fourth page will remain allocated to the subpool. So, when the file is closed that results in freemaining the buffer storage, the result will be four 12K areas of free storage, with storage allocated to the subpool being interspersed. When the file is reopened and the buffers are reacquired, OS/390 will look for 4 contiguous pages for each buffer. The previous storage area that was used for the buffers can not be used because of the 4K areas still allocated to the subpool; hence new 16K areas are allocated for each buffer. This can and has resulted in the effective loss of most of the original 64K used for the buffers. For an online system, where files can be opened and closed multiple times, this fragmentation will eventually result in running out of usable virtual storage. To help prevent this occurrence, IAM will always issue the getmain for each buffer rounded up to a 4K boundary. While some of that virtual storage will not be used, when IAM releases the buffers, the entire area will be available for reacquisition of the buffer. So, instead of using 128K of virtual storage after one closing and reopening the data set, only 64K will be used.

10.51 CONTINUED

Base Storage Requirements (continued)

The minimum storage requirement per open Enhanced format file is 20K, which is divided into five separate areas, not including the index, buffers, and load module storage. The typical average for most moderately sized data sets is probably in the range of 28K to 32K. Amongst the variables that can cause an increase in the base storage requirements are:

- Need for a Data Compression work area, size is calculated as follows: (Maximum Record Length * 2) + 1024.
- Need for an Index Compression work area, size is calculated as follows: (Key Length + 1024)
- The maximum number of buffers (MAXBUFNO)
- The number of VSAM Strings requested (STRNO), the size of each PLH is calculated: (Key Length * 2) + 544
- Maximum Record Length and Key Length
- Number of prime blocks with records in Extended Overflow

Below the Line Storage

IAM limits usage of 24-bit addressable memory (below the line) as much as possible. IAM generally requires only 4K of storage below the line to handle the I/O control blocks and channel programs. This amount may be larger if more IOB's are needed, or if the file has a very large number of extents. Note that the initial number of IOB's obtained is based on the STRNO value provided.

IAM requires approximately 4K of virtual storage to hold the simulated VSAM control block structure, which may reside either above or below the line, depending on what was specified in the ACB. The base VSAM control block area is 2352 bytes for a KSDS type of file, or 752 bytes for an ESDS plus the storage required for each string, or place holder. This area can also exceed 4K, if a larger value is specified for STRNO, which indicates the number of place holders. The place holder size is also impacted by the key length, as described above. For CICS, the VSAM control block area is above the line in 31-bit addressable storage.

Above the Line Storage

IAM keeps all the rest of the required control information, buffers, and index above the line. The base IAM control block area requires 4K. IAM has a buffer table that will fit within an additional 4K as long as the MAXBUFNO value does not exceed 128. There is the prime block to overflow table, which has a minimum size of 4K, and may be larger depending on the file size. There are some work areas for data compression, index decompression, and the high level extended index which depending on their size requirements may fit within the other IAM storage areas.

Buffer Storage

The buffer storage is broken down into single block areas, the size of each is the block size rounded up to a 4K value. A buffer for a typical 1/4 track blocked IAM data set on a 3390 type of device requires 16K. The maximum buffer storage used is easily calculated by taking the buffer size value, and multiplying it by the maximum number of buffers used from an IAMINFO report. Whenever IAM is acquiring a buffer, if the storage assigned is below the 16-megabyte line, IAM will release the storage.

Index Storage

Three are three different index areas for an IAM data set. The first is the prime index, which is created when the file was loaded. The second is the index for the Extended PE area, and the third is for the Extended Overflow area. Both the second and third index areas are dynamic, and will change as the file is updated. The Extended PE index is saved within the data set, but the Extended Overflow index is always dynamically built during open processing.

10.51 CONTINUED

Prime Index

The prime area index is fixed in size at completion of the file load or reorganization, and will never be updated. This index is based on the high key in each prime block. IAM provides the capability to compress the prime index in a proprietary format that can greatly reduce the amount of storage required for the index. Index compression is an automatic feature that will be used whenever the prime index exceeds 8000 bytes, and the attributes of the key fit within the compression criteria. The amount of storage required for the prime index is provided in the IAMINFO report and on the LISTCAT IAMPRINT report. Take the indicated value from one of those reports, and round it up to 4K to determine the amount of storage that will be used for the prime index.

The storage for the prime index will come out of either the extended private area of the job opening the data set, or optionally in a data space created by IAM just for the particular job step, which is called an Index Space. The Index Space can be activated either through the IAM override facility, or set in the IAM Global Options Table. By default, the prime index will reside in an Index Space for files that are opened under CICS.

Extended PE Index

The next index storage area is for the Extended PE blocks. This index, like the Prime Index, is based on utilizing the high key within each data block. The size of each entry is the key length plus four bytes, with an entry for each Extended PE block. Due to the internal structure of the Extended PE index, which is organized based on an internal grouping of the extended index blocks, the total storage used for the Extended PE is difficult to predict. Files with large quantities of Extended PE blocks, which are clustered together may not necessarily use any more storage than a file with a few Extended PE blocks that are sporadically space throughout the Extended area of the file. While this index is not compressed, it is still a relatively efficient format especially because only a very few files actually have need for this index. The Extended PE index will also use the Index Space, if one is available.

Extended Overflow Index

The last segment of the index storage is for the Extended Overflow blocks. This is a record based index structure, consisting of an entry for each record in overflow. As of Version 6.4 of IAM, this index is now subset into smaller groups, where each grouping consists of the overflow records from a particular prime block. An overflow index search is only done once it has been determined that the prime (or Extended PE) block that should contain the record has associated overflow records. This type of structure is expected to reduce the number of overflow index searches, reduce the number of entries any single search has to scan, and reduce the IAM CPU time for many functions related with overflow.

Estimating the actual storage requirements for the overflow index is difficult. The entries within each subset have compressed key format, but each subset also has header information. Each subset may have some empty entries. As a rough estimate, add four to the key length, and multiply that by the number of records in overflow. The result is the size of the overflow index prior to compressing, which may reduce the storage requirement, although the headers for the subsets will increase the storage requirement.

The Overflow index will by default reside in the Index Space when used by CICS, otherwise by default it will reside in Extended Private area of virtual storage.

10.51 CONTINUED

Index Space

As discussed above, IAM can place the Prime Index, the Extended PE Index and the Extended Overflow Index in a data space. By relocating these potentially large index areas into a data space, there is more virtual storage available within the job step region. This is expected to be of benefit to large online regions, which may have several large IAM files open. In order to use this capability, customers must be running OS/390, or MVS/ESA SP4.2.2 or higher. This data space is dynamically created by IAM when the first file is opened that will be using the Index Space, and is retained until the job step terminates. For any job step, there will be only one IAM Index Space, with all open IAM files using the same Index Space.

By default, IAM will only use an Index Space when running under CICS. This can be changed by either changing the IAM Global Options, or on a job step and file by file basis with the IAM ACCESS Override INDEXSPACE. The reasoning behind the default is that using the Index Space does cause a small increase in the CPU time for IAM processing. However, the Index Space is really of benefit to large CICS regions, which may have a very large number of open IAM data sets, requiring lots of virtual storage. So, to avoid the potential increase in CPU time when there may be no benefit of using the Index Space, we have chosen to set the default to use the Index Space for CICS.

The size of the data space requested for the Index Space is taken from the IAM Global Options Table, using the value specified for DATASPACE. Note that this is the same value that is used for the data space obtained for a file load. The Index Space is created to be extendable, with the maximum size set to four times the DATASPACE value. The default value for the data space size is 256 megabytes. The IAMINFO report has been enhanced to include information on the data space usage. This includes the data space storage required for the particular data set, in addition to the total data space usage for the job. By monitoring these values, you can determine if the default data space size has to be increased.

Reducing IAM Storage Usage

Now that we have an understanding as to how IAM uses storage, we can look at some of the different ways to reduce storage use. Certainly the easiest thing to do is to reduce the number of IAM buffers. For the best results, resist that temptation, and do the homework. With IAM's Real Time Tuning capabilities, IAM generally does an excellent job at buffer management, dynamically adjusting the number of buffers being used, so as to minimize delay and optimize resource usage. Frequently, the problem is more with the amount of storage used for the various index pieces, rather than with the number of buffers.

The first step is to make sure that you have adequate data on which to base your decisions. The IAMINFO reports from several days should provide the necessary information. Other data may be necessary to determine the whole virtual storage picture for the job or CICS region. The second key factor is why do you need to reduce the virtual storage being used. Some of the typical reasons are being unable to open IAM files due to insufficient storage, insufficient virtual storage left for application or system use, or to reduce the amount of paging being done.

Using the Index Space feature of IAM will significantly help reduce virtual storage contention within a CICS or batch region, and should resolve most of the typical storage problems encountered. One of the things that might easily be missed when converting VSAM files to IAM is reducing the number of buffers for the VSAM LSR buffer pools. As a usability feature, Enhanced format IAM data sets can be opened while still residing in a VSAM LSR buffer pool, however IAM does not use any of the buffers in the LSR pool. The number of buffers in the LSR buffer pool should be reduced by the number that are typically used for the converted files. Failure to do this could also cause a problem with paging, if the LSR buffer pool(s) are shared with other files that have not been converted to IAM. If the size of the pool remains unchanged, now more buffers are available to the other files, which may be significantly more than needed to meet response time requirements, resulting in increased real storage and virtual storage contention.

The two areas in which it may be possible to reduce the storage requirements for IAM files are buffers and index. With IAM's Real Time Tuning that includes dynamic buffer adjustment, reducing buffers will generally have an adverse impact on overall performance for the data set, and buffers frequently are not the major area of storage problems. In most situations, it is the index areas that utilize the bulk of the virtual storage. So, we will start by looking at some things that might be able to be done to reduce the storage requirements for the index.

10.51 CONTINUED

Reducing Prime Index Size

The Prime Index and the Extended PE index are based on the high key in the each block. These index structures can be reduced in size by reducing the number of blocks in the prime and Extended PE area of the file. The best way to accomplish that goal is to use IAM Data Compression on the file. This reduces the number of blocks by providing the ability to store more data records within each individual block, assuming that data compression is effective for the data within this file. This may also provide the additional benefit of reducing physical I/O (EXCP's) to the data set, because there is more data within a block.

Another alternative for reducing the number of prime blocks is to increase the block size. This is a beneficial approach for very large files with large record lengths. For example, if a file is using the typical 1/4 track block size, the prime index storage can be cut approximately in half by using 1/2 track blocking. Care must be taken when considering this alternative. The general rule of thumb is to only consider files that have at least an average record length of 500, and that require at least 512K or preferably 1024K of storage for the prime index. There are several reasons to proceed down this path with some caution. First, for jobs or online systems that do a lot of random processing, increasing the block size but keeping the same number of buffers will double the virtual storage requirements for the buffers. The same number of buffers may be required to achieve the desired I/O performance. Also, the actual physical I/O performance will be affected due to the longer data transfer time of the larger blocks of data. The third potential problem is also for random types of requests, where searching each data block for the desired record will use more CPU time than with the smaller block sizes. These factors have to be considered, along with the benefits of reducing the virtual storage for the index.

For example, if you have a file that is using eight megabytes of storage for the prime index, with a record size for many records being in the 1,000 byte range, there should be no hesitation to use 1/2 track blocking on that file. However, if a file has 512K storage requirement for the prime index, and an average record length of 50, then increasing the block size could be quite detrimental to performance, particularly if there is a large volume of random I/O activity for that file.

Reducing Extended Overflow Index Size

Because the Extended Overflow Index is a record based index structure, the only way to reduce the size of the index is to have fewer records in it. This is normally accomplished by performing file reorganizations. While there may be a need for more frequent reorganizations, the timing of the reorganizations can also play a role. For example, it is not uncommon for installations after closing a file for online usage, to perform a file reorganization that is followed by batch job that does mass updates. After the mass update, which may have added a lot of records into overflow, the file is then reopened for online processing with a large overflow index. By changing the scenario to perform the reorganization after the mass update, the result will be that the file can be opened online without that massive overflow index structure.

One thing to watch out for are file reorganizations that are done by application programs. Some times such reorganizations do not result in a reorganized file from the file structure point of view. This is because they may do a single record load, followed by a mass insert. Such file reorganizations result in the file consisting entirely of Extended PE and Extended Overflow records. Some COBOL programs may be inadvertently doing this when they open a file for OUTPUT with ACCESS IS DYNAMIC or ACCESS IS RANDOM. If your application performs this type of file initialization, then it is highly recommended that you reorganize the file with FDRREORG or an IDCAMS REPRO after it has been loaded through such a mechanism, to reduce virtual storage requirements for the index structure.

If regularly scheduled reorganizations are not part of the production job streams, then the FDRREORG product offered from Innovation can be used to automate the file reorganization when needed, using various criteria. Other automation ideas would be to write programs to read the IAM SMF records, or to read the output of the IAMSMF or IAMSMFVS programs.

The other way to reduce the Extended Overflow Index is to prevent records from going into the Extended Overflow area to begin with. This can be a difficult task to accomplish. In some cases, it can however be as easy as increasing the CI freespace, which can particularly be successful if the insert records are distributed across the entire key range of the file. This may require some experimentation to determine what free space values will provide the file with the most benefit. Another way to reduce records in overflow is to preload the file with dummy records with key values similar to expected inserts, then delete the dummy records leaving empty space for file growth.

10.51 CONTINUED

Reducing IAM Buffers

As a general rule, unless there is a problem with real storage contention, the IAM buffering requires no adjustment, except perhaps to increase MAXBUFNO for heavily accessed files. Some symptoms of real storage contention include:

- Increasing transaction response times even though I/O and CPU times have decreased
- Increased working set size for online system
- Increased demand paging
- Increased paging and / or swapping on host OS/390 system
- Overall deterioration of system through put

When there is a real storage constraint, it may be necessary to impose tighter restrictions on IAM buffering. The intent of this section is to provide some guidelines for reducing IAM buffers when there is a storage constraint in online systems. This same technique can be used to reduce buffers for a virtual storage constraint, although reducing buffers should only be done in that circumstance as a last resort. It would be preferable to increase the region for the affected jobs rather than reducing buffering, as long as the system has none of the symptoms of a real storage problem cited above.

The general approach is to select general criteria of MINBUFNO and MAXBUFNO for most files, and then provide some specific higher values for the heaviest accessed files. Before adjusting the buffering, the storage analysis described above should be done, and make whatever adjustments are possible to reduce index sizes. Then after making the reductions in index storage requirements, obtain a new set of IAMINFO reports to consider buffer adjustments.

The first step in this approach is to sort the IAMINFO reports, in descending order of requests processed. Normally, files can be placed into three categories of heavily accessed, moderately accessed, and lightly accessed. From the information available on the IAMINFO reports, the value of the buffers needs to be determined. To aid in that understanding, an explanation of some of the fields in the IAMINFO reports may help.

- **DISK BLOCKS READ:** This figure represents the number of read EXCP's that were issued. If sequential chaining has occurred, there may have been multiple blocks read per each EXCP, although this is rare in an online environment.
- **DISK BLOCKS WRITTEN:** This figure represents the number of write EXCP's that were issued. For an online environment, and for batch jobs with SHAREOPTIONS of 3 or 4, IAM always immediately writes out any randomly updated block.
- **DYNAMIC BUFFER RETRIEVALS:** This figure represents the number of read I/O's that were avoided due to IAM using more than one buffer. This is the raw number representing the I/O savings of having multiple buffers.

In determining the value of buffers, the critical question is whether or not the I/O savings is worth the additional storage requirements. To help determine that, there are two key indicators that are useful. These key indicators are approximations, and certainly do not reveal the entire picture of what is occurring. The underlying assumptions that the file activity for these files is proportional to overall online activity may be entirely false. However, for this level of tuning they should be sufficient for the vast majority of applications. It is important to have some understanding of application activity, because it does have a bearing on tuning decisions. Certain files may have the bulk of their activity occur during start up, or at end of day when storage resources are not constrained. Tuning buffers for those types of files will have almost no impact on the bulk of the daily load. File activity profiles over a several hour period are not revealed by the IAMINFO reports.

10.51 CONTINUED

Calculating Percent of I/O Saved

The first key indicator is what percentage of the READ I/O's is actually being saved. That is calculated by the following formula:

$$\frac{\text{DYNAMIC BUFFER RETRIEVALS}}{\text{(DISK BLOCKS READ + DYNAMIC BUFFER RETRIEVALS)}}$$

Figure 29: Calculating Percentage I/O Saved

The higher this value is, the more effective use of buffers is being made. Low values indicate less effective use of storage in a constrained environment. The implications of low values are that a lot of storage is being used to hold highly transient data, which has a low probability of being reused. Certainly physical I/O's are being saved, which is a key objective of IAM, however the price may be too high.

Calculating Buffer Retrievals per Minute

The second key indicator, although it is admittedly a gross approximation, is the number of I/O's per minute that have been saved. The intent is to determine approximately whether the data in the buffers are being reused before the page(s) containing the buffers are paged out, and also to include the I/O activity rate in buffer considerations. To calculate this figure, use an approximation of the length of time that most activity occurs. For example, if the online system is up for twelve to sixteen hours, typically the bulk of the activity occurs during a seven or eight hour period. So, for this level of tuning, look at the I/O counts as if they occurred during an eight-hour period instead of the total length of time that the online system was active. Take the Dynamic Buffer Retrievals and divide it by the primary active period in minutes, resulting in buffer retrievals per minute.

A lot of judgment, coupled with the knowledge about file activity, is required to decide where buffers should be reduced. In certain cases, it may be very clear that buffers can be reduced without a significant impact. For other files, it is more difficult. It helps to have the key figures for each file as a line or column on a single sheet, so that comparisons can be easily made. The priority for reducing files should be on the files that have the least benefit from the buffers, while trying to retain or perhaps increase buffers for files that are receiving the most benefit.

10.51 CONTINUED

Buffer Analysis Example

As a starting point, if read I/O's saved is less than 25% and buffer retrievals per minute is less than the maximum number of buffers, then reduce the number of buffers. As a further criteria, if the buffer retrievals per minute is less than half the Maximum number of buffers, even with a high percentage of I/O requests saved, then reduce buffers. If the decision is made to reduce buffers, set MAXBUFNO to the buffer retrievals per minute. If there is a severe storage constraint, then more stringent criteria needs to be used. If there is only a slight storage constraint, then less stringent criteria can be used. A few examples may help. In the figures presented, assume that the bulk of the activity occurs during an eight-hour period.

	FILE 1	FILE 2	FILE 3
DISK BLOCKS READ	4930	3038	2389
BUFFER RETRIEVALS	11297	6736	1625
MAXIMUM BUFFERS	22	21	15
% I/O Saved	69.6	68.9	40.5
Buff. Retrievals / Min	23.5	14.0	3.4

Figure 30: Example Data for Buffer Analysis

File 1 Analysis

File 1 is making very effective use of the buffers, plus the activity rate is high enough that the probability is excellent that the required pages will remain in storage, unless the system is seriously constrained. Even though an IAM368 message indicating more buffers could have been used did appear, the activity level does not seem high enough to warrant additional buffers unless storage constraints are relieved.

File 2 Analysis

This file is also making effective use of the buffers, but has a lower activity rate than File 1. The decision on buffers for this file clearly requires some judgment, and weighing how much storage savings is needed versus the savings from I/O. While references to these buffers are probably not causing page faults, the storage tied up for these buffers may be better put to use for other storage needs. If this file's activity rate is moderate when compared to other files, then buffers should be reduced. However if this file's activity rate is relatively high compared to the other files, then buffers should be left as is, or only reduced by one or two.

File 3 Analysis

This file has beneficial savings in I/O's from the buffers, however the buffer retrieval rate is very low. Unless a system has no memory constraints, the MAXBUFNO should be reduced for this file. It seems almost to be certain that most buffer retrievals will result in page faults. As a starting point, reducing MAXBUFNO to six or seven seems reasonable, unless there is a big storage constraint problem, in which case lowering MAXBUFNO even more would seem reasonable. This file may be considered a candidate for the base buffer range selected for the light to moderate activity files.

Buffers for Light and Moderately Accessed Files

Lightly accessed files have very low file activity, and seldom use more buffers than were originally obtained at open, which is generally four. Almost every buffer access, including I/O requests result in page faults for these buffers. Moderately used files have file activity rates that may increase the buffers to the eight to twelve range. Once again, in storage constrained systems access to those buffers typically result in page faults. In fact moderate activity files may be more of a problem than light activity files, because IAM will select the least recently used buffer for input I/O, which is the buffer that is most likely to be paged out. If the file only had a couple of buffers, then the likelihood of it being in storage is better.

Generally, the recommendation is to set MINBUFNO=2 for light and moderate activity files. The setting for MAXBUFNO depends on how active the files are, and how effectively they use buffers. Generally, the recommendation is to set MAXBUFNO at 8, or perhaps down to 6. For seriously constrained systems, using 4 or 5 may be appropriate.

10.51 CONTINUED

Implementing Buffer Values

IAM offers several methods to change the buffer ranges. These include changing the Global Options Table, providing the MINBUFNO and MAXBUFNO overrides when file is defined, or providing the overrides for CICS. The recommended way is to provide an override for CICS, so that batch processing will be able to use buffers to their full advantage. The overrides can be used even if the IAM files are dynamically allocated by CICS. The CICS override method involves adding an IAMOVRID DD card to the CICS JCL, specifying a card image file. The file normally is only read once, when the first IAM file is opened. With the specification of REREAD on the override cards, the override cards will be read for each IAM file OPEN. This provides the capability to change the override values without having to bring down CICS for the new values to be read. Otherwise, any changes to the overrides after an IAM file is opened will not be picked up until CICS is restarted.

Example of IAMOVRID DD card:

```
//IAMOVRID  DD  DISP=SHR,DSN=cics.prod.cntl(IAMOVRID)
```

Example of contents of member IAMOVRID in cics.prod.cntl:

```
ACCESS  DD=FILE1,REREAD,MAXBUFNO=24
ACCESS  DD=FILE2,REREAD,MAXBUFNO=15
ACCESS  DD=FILE3,REREAD,MINBUFNO=2,MAXBUFNO=4
```

Figure 31: Example of IAM Overrides to Change Buffering for Storage Constrained Systems

Load Module Storage Requirements

Shown below is a list of the modules required for accessing Enhanced Format IAM data sets, with their approximate virtual storage requirements. Only one copy of each module is loaded, as required, regardless of the number of IAM data sets opened by a task. Most of these IAM modules are reentrant, and can be placed in LPA, although for ease of maintainability, that is not recommended. The two most frequent problems encountered with placing IAM in LPA are that an ineligible module is placed in LPA, and sometimes a customer forgets that IAM modules were placed in LPA, so they run into problems when installing a new version or maintenance level of the product. If there is a significant amount of IAM usage, then placing these modules in LPA may be of benefit, at least to the extent that it will reduce the time to load the modules when an IAM file is opened, and it will reduce private area storage requirements.

Module Name	Storage Required	RMODE	LPA Eligible	Description
IAMABUFR	21K	ANY	YES	IAM buffer manager and physical I/O driver.
IAMACCIX	13K	ANY	YES	IAM AIX and Path Logical I/O Request Handler
IAMACCKS	44K	ANY	YES	IAM Logical I/O Request Handler.
IAMADNAC	1K	ANY	NO	IAM Anchor.
IAMAPTOC	17K	ANY	YES	IAM Path and AIX Open and Close

10.51 CONTINUED

Module Name	Storage Required	RMODE	LPA Eligible	Description
IAMAVSOC	42K	ANY	YES	IAM Open, Close and support subroutines.
IAMAVS24	3K	24	YES	IAM interface to application program and user exits.
IAMAXTND	10K	ANY	YES	IAM routine to acquire an extent. Loaded on an as needed basis.
IAMCOMPO	7K	ANY	YES	IAM Data Compression Routine is loaded only when the IAM file(s) are data compressed.
IAMCRT	39K	24	YES	IAM File load processor is only loaded when IAM files are being loaded or reorganized.
IAMNINFO	21K	24	YES	IAMINFO Report Generator is loaded during close processing only if there is an IAMINFO DD card.
IAMOPT	2K	24	NO	IAM Global Options Table is not recommended for LPA.
IAMOVRID	13K	24	NO	IAM Override Processor for Compatible format files, is only loaded when there is an IAMOVRID DD card and Compatible Format files. Acquires a 24K table in above the line storage to hold the overrides.
IAMOVRIX	11K	24	NO	IAM Override Processor used for Enhanced Format files. Will only be loaded when there is an IAMOVRID DD card and Enhanced format files are opened. Acquires a 48K table in above the line storage to hold the overrides.

Figure 32: Table of IAM Load Module Storage Requirements

For processing typical data compressed Enhanced format files, without any IAM overrides, there is a requirement of approximately 5K of below the line storage, and 112K above the line for the IAM load modules. If there are overrides, then the below the line storage will increase to 18K, and above the line storage to 136K. Additional storage will be necessary during file open, close, and extend processing.

10.60 USING IAM ALTERNATE INDEX SUPPORT

Overview

The optional IAM Alternate Index support allows you to define and use IAM Alternate Indexes and Paths to Enhanced Format IAM KSDS or ESDS type of files. As with standard IAM support, there are no changes required to application programs and generally no changes to JCL, other than to indicate on the definition that the base cluster is to be an IAM data set. The DEFINE ALTERNATEINDEX and DEFINE PATH can be changed similarly, but there is no requirement to do so. All the rest of the alternate index processing is essentially the same as is being done with the VSAM alternate indexes today. Some changes may be required when renaming or creating copies with different names, to synchronize all the related data sets with the new names.

Alternate Index

An alternate index provides an additional index to an indexed type of data set (KSDS), or an index to an entry sequence data set (ESDS). Users can define one or more alternate indexes to any base data set. The alternate index data set itself is an IAM KSDS enhanced format type of data set that is indexed by the alternate key. The records in the alternate index contain some control information, the alternate key, and the primary key value for the record in the base data set with the corresponding alternate key. An alternate index is defined as containing UNIQUE keys when there is only one base record with any specific alternate key. Alternate indexes can also be defined as containing NONUNIQUE keys, where any particular alternate key can be contained in multiple base records. As with VSAM, the alternate index data set can be explicitly processed by programs without referencing the base cluster, or can be used to access the records in the base cluster. To use an alternate index data set to access the records in the base cluster, a PATH must be defined.

An additional attribute of alternate index data sets is whether or not they are upgradeable. When an alternate index is defined with the UPGRADE attribute, IAM will automatically update the alternate index as needed whenever updates are made to the base cluster, either through the primary key or when accessed through an alternate key. Any alternate index defined with the NOUPGRADE attribute will not be automatically updated by IAM, and it is the application programs responsibility to ensure that the alternate index is updated in a manner to remain synchronized with the base data set.

Path

A PATH provides the mechanism to access a base cluster through an alternate index. When a path is defined, one must provide the name of the path and the name of the related alternate index to be used to access the base cluster, or the base cluster to be processed whenever the path itself is referenced. The UPDATE or NOUPDATE attribute is specified for each path defined. When a path is defined with the NOUPDATE attribute, any upgradeable alternate indexes will not be updated automatically when this path is used to access a base cluster either directly or through an alternate index.

For VSAM data sets, a PATH is only a catalog entry that is quite similar to an ALIAS entry. With IAM, a PATH will become a one-track data set containing the name of the related alternate index or base cluster and the UPDATE or NOUPDATE attribute of the PATH. A single track data set was chosen instead of an ALIAS type of catalog entry because of concerns about there not being adequate data set management utility program support for ALIAS entries, which might result in the loss of the entry.

10.60 CONTINUED

Alternate Index Associations

For VSAM data sets, the associated data set(s) in an alternate index sphere are linked together by an association cell within the catalog entry for the data set. Because IAM data sets are cataloged as non-VSAM data sets, there are no association cells within the non-VSAM catalog entry. So, IAM saves the association information within each of the associated IAM data sets. The association information consists of the data set name and type (Base, AIX, or Path) of data set, and includes the update or upgrade attribute. Whenever a LISTCAT is requested (or an IAM ISPF display) for an IAM data set that is part of an alternate index sphere, the association information will be included in the output. The information in the association cell is critical, because it will direct the processing during an open of a component of the sphere, and also when deleting an alternate index sphere. Note that each type of data set includes within the associated information a self-describing association entry. The associations are always listed in the order that each was defined, which will always begin with the base cluster.

Base Cluster Associations

For a base cluster, the associations will include in addition to it's self entry, all of the alternate indexes that have been defined for that base cluster, and all of the paths that have the base cluster as it's path entry data set. Not included in the base cluster associations are the paths that have the alternate indexes as path entries. A base cluster could have several associations. The number of associations is limited by the block size of the IAM data set. For a typical 1/4 track blocked IAM data set, it can have a maximum of 204 associated data sets.

Alternate Index Associations

For an alternate index, the associations will include the related base cluster, it's own self-entry, and any paths that have been defined with this particular alternate index as it's path entry.

Path Associations

The path associations will consist of the base cluster, the path itself, and if the path entry is an alternate index, then also the alternate index.

Association Maintenance

In general, data set management utility software products treat IAM files as non-VSAM data sets. Therefore, these products are not going to know about the associations between these non-VSAM data sets, which will require some additional effort on the part of users. The main areas of concern are renaming one or more of the data sets in an alternate index sphere, or performing a copy or restore to a new name of such a data set. After changing the name of one or more components of an IAM alternate index sphere, it will be necessary to perform a DEFINE RECATALOG on all of the components affected to insure that the data set names are all properly reflected in the saved association information. IAM includes special processing on a DEFINE RECATALOG to perform the renames on the internal association data. Procedures for performing this recatalog process are described later in this section.

10.60 CONTINUED

Using an IAM Alternate Index

The procedure for defining and using IAM data sets with alternate indexes is identical to the VSAM process. The only difference is to specify on the DEFINE that the base cluster is to be an IAM data set. This specification is typically done by either adding an OWNER($IAM) parameter to the define parameters, or changing the name to include the $IAM literal. Most applications that are going to be using IAM for their alternate index already have the general procedures established. To convert to IAM, the only change needed is on the define step of the base cluster. An overview of the process for using an IAM alternate index include the following steps:

- Defining the base cluster.(Section 10.20)
- Loading the base cluster with data (Section 10.30)
- Defining the alternate index(es) (Section 10.61)
- Building the alternate index(es), usually with IDCAMS BLDINDEX command.(Section 10.62)
- Defining paths to the alternate index(es) and if used, paths to the base cluster (Section 10.63)
- Using Paths to access data (Section 10.64)
- Special Considerations with IAM Alternate Indexes (Section 10.65)

The first two steps of defining and loading the base cluster are described in prior sections the IAM manual. Details and examples of those steps will not be presented here. This section will describe the rest of the procedures for using IAM Alternate Indexes.

10.61 DEFINING AN IAM ALTERNATE INDEX

Overview

The process of defining an IAM alternate index is virtually identical to defining a VSAM alternate index. IAM will automatically determine if the base cluster is an IAM data set, so there is no need to specify an OWNER($IAM) on the DEFINE ALTERNATEINDEX. The definition of an alternate index is quite similar to the definition of any other KSDS type of file, with the major difference being the addition of the RELATE(base cluster name) parameter to identify the base cluster. The KEYS parameter will specify the key length of the alternate key, and the position of the alternate key in the base data set record. The RECORDSIZE parameter specifies the average and maximum size of the records within the alternate index itself. The minimum size for this record will be (5 + alternate key length + base key length). When the base cluster is an ESDS, the base key length will be the RBA length of either 4 or 8. The maximum record size of an IAM alternate index record is 32755 bytes. This will limit the number of records in the base cluster that have the same alternate key to the quantity that can fit within that record size.

Two additional alternate index definition parameters are UNIQUEKEY | NONUNIQUEKEY and UPGRAGE | NOUPGRADE. The UNIQUEKEY and it's opposite NONUNIQUEKEY indicate whether or not there is only one record in the base cluster with any particular alternate key (UNIQUEKEY), or if an alternate key can have multiple records in the base cluster with that key value (NONUNIQUEKEY). The IDCAMS default is NONUNIQUEKEY. The UPGRADE and it's opposite NOUPGRADE indicate whether or not IAM will automatically update the alternate index whenever the base cluster is updated in a way that would change the alternate index. The IDCAMS default is UPGRADE.

As with VSAM, an SMS managed alternate index will be given the same STORCLASS and MGMTCLAS as the base cluster. The user can specify a DATACLAS if desired.

DEFINING AN IAM ALTERNATE INDEX WITH IDCAMS

IDCAMS Alternate Index Define

The most common method of defining an alternate index is by using the IDCAMS utility program. The name of the base cluster must be included in the alternate index definition, specified by the RELATE parameter. When IAM intercepts an alternate index define, it will look at the base cluster to see if it s an IAM data set, and if so an IAM alternate index will be defined. Specifying the OWNER($IAM) parameter will force the define process through IAM, which will fail if the base cluster is not an IAM data set.

The IAM alternate index is an enhanced format IAM KSDS type of data set, which resides on disk as a non-VSAM file type, with a DSORG of PS set. The data and index component of the alternate index all reside within the single data set. IAM CREATE overrides can be used when defining an alternate index as described as described in sections 10.20 and 30.02 of this manual.

10.61 CONTINUED

Basic Parameters

There is a base of required information that must be provided to define an IAM Alternate Index. Below are the IDCAMS keywords that can be used to provide that information. Please note that you can not provide an SMS STORCLAS or MGMTCLAS value on the define of the Alternate Index. This is a VSAM restriction that the alternate index must be in the same STORCLAS and MGMTCLAS as the base cluster.

Essential Keyword	Description
ALTERNATEINDEX(	Identifies that an alternate index data set is to be created.
NAME(dsname)	This is a required parameter. The entry name specified for the alternate index will be the name of the IAM data set. The data and index component names are ignored.
RELATE(dsname)	Required parameter that specifies the name of the base cluster that this data set will be an alternate index to. To have an IAM alternate index, the base cluster must be an IAM Enhanced Format KSDS or ESDS type of file.
KEYS(length offset)	Required parameter that specifies the length of the alternate key, and the relative position of the alternate key within the base cluster. For IAM, the maximum length of the alternate key is 249. Note that each record in the base cluster must be long enough to contain the alternate key. The IDCAMS default values are: 64,0.
RECORDSIZE (average ,maximum)	Specifies the average and maximum lengths of the records in the alternate index data set. The minimum record length is 5 plus the alternate key length plus the length of the key for the base cluster. Note for ESDS files the base key length is 4 bytes, or if defined with extended addressability (XESDS), it will be 8 bytes. For an alternate index defined with the UNIQUE attribute, the maximum length will be equal to the minimum length record. For an alternate index defined with NONUNIQUE keys, the maximum length will be the based on the maximum number of NONUNIQUE keys there could be for any alternate key. The formula is (5 + alternate key length + (maximum number of base records with same alternate key * primary key length)). IDCAMS default values are: average=4086 maximum=32600.

10.61 CONTINUED

Essential Keyword	Description
CYLINDERS(xx yy) **TRACKS(xx yy)** **RECORDS(xx yy)** **MEGABYTES(xx yy)** **KILOBYTES(xx yy)**	Required information that indicates the amount of DASD space to be allocated for the IAM data set. The unit of allocation is based on the keyword specified. The first value provided indicates the amount of space to be allocated during the file definition process. For IAM files, the primary quantity MUST be available on the first volume, otherwise the request will fail. The second value, which is optional, indicates the amount of additional DASD space to request in case the primary quantity is insufficient. The secondary quantity will be used to acquire additional extents during the BLDINDEX or reorganizations. The secondary quantity will also be used to acquire additional space as needed to handle updates and inserts after the BLDINDEX. Because IAM data sets are non-VSAM, they are limited to 16 extents per volume, and a total maximum of 255 extents. The maximum extent size is 64K-1 tracks (65,535), or 4,369 cylinders per volume, which is also the maximum amount of space that can be used by IAM on any single volume.
VOLUMES(volser….)	Specifies the volume(s) on which IAM is to allocate the data set.

Optional Parameters

While the following keywords are all optional, in various situations they may be required or quite beneficial. Some of the keywords listed here are not relevant to IAM files, and are presented for that reason. For ease of reference, they are presented in alphabetical sequence.

Optional Keyword	Description
BUFFERSPACE(bytes)	Specifies the maximum amount of virtual storage to be used for buffers for this data set. IAM will use this value to calculate the effective MAXBUFNO for accessing the data set, providing it does not go below the default value from the IAM Global Options Table.
CONTROLINTERVAL-SIZE(size)	For VSAM, this controls the logical and physical block size on DASD for the file. IAM will refer to this value when calculating the block size it is going to use for the data set, generally increasing the value to one that will maximize utilization on the device type to which the data set is allocated. IAM does store the specified CI SIZE, so that it is available information if the data set is converted back to VSAM.
DATACLAS(dataclas)	For SMS installations, this parameter specifies the name of the SMS DATA CLASS construct, which provides the allocation attributes for the new data set. Attributes from the DATACLAS will be used, unless otherwise explicitly specified on the DEFINE statement. Values provided by a Data Class include maximum record size, key length and key offset, space allocation values, free space, share options, CI size, and volume count.
FILE(ddname)	Optional keyword that specifies a DDNAME that allocates the volume(s) on which the IAM file is to be allocated. This keyword can also be used to relate a particular IAM CREATE Override statement with a matching DDN= specification.

10.61 CONTINUED

Optional Keyword	Description
FREESPACE (CI%, CA%)	Specifies the amount of space to be reserved for future inserts or updates when the file is being loaded. **CI%:** Specifies the amount, as a percentage, of space to be left available in each prime block of the IAM file. Unless a file is never updated and never has records added to it, some CI free space should be specified. This is of particular importance to data compressed files that are updated, because even if the application does not change the length, the stored record may end up being longer after compression. **CA%:** Controls how much DASD space is released at the end of a file load. Using 1/2 of the specified percentage, a target amount of DASD space to be reserved for future expansion is computed. If the amount of available DASD space within the file extent(s) is equal to or less than the amount to be reserved, then no space is released. IAM will not go after additional extents to meet the space reservation. If the amount of DASD space exceeds the reserved value, then the excess will be released.
KEYRANGES(low high)	IAM will not split the data into key ranges. However if specified, IAM will make the secondary allocation quantity equal to the primary. This is because VSAM would use the primary for each key range, which IAM is not able to do.
MODEL(datasetname)	Specifies that the attributes of the data set being defined are to be copied from an existing VSAM or IAM alternate index. This capability is only relevant for basic file characteristics, such as record size, key length and offset, space allocation values, volumes, and free space values. Any IAM Overrides are NOT picked up by the MODEL parameter. Likewise, any VSAM file attributes that are ignored by IAM are not available either, such as IMBED, SPEED, REPLICATE, KEYRANGES, etc. If you are trying to define a VSAM file using an IAM file as a model, you MUST provide an OWNER parameter, with a value that does not contain $IAM. NOTE: When using MODEL with ANYVOL, the SUBALLOCATION parameter must also be specified. Although it is ignored by IAM, it prevents IDCAMS from trying to allocate ANYVOL.
OWNER($IAM)	Optional keyword that indicates that the alternate index is to be an IAM data set. IAM will automatically assume that an alternate index is to be an IAM data set when the base cluster is also an IAM data set. If no value has been specified for OWNER, IAM will set it to $IAM in the catalog entry.
RECATALOG	Is an optional keyword for existing IAM data sets to reestablish the catalog entry, and update internal alternate index relationship information. Requires the user to specify the data set name, the volume(s) on which the data set resides, and the name of the related base cluster. Recatalog is also used after renaming one or more components of an alternate index sphere, to reset the associated data set names to the new names.

10.61 CONTINUED

Optional Keyword	Description
REUSE **NOREUSE**	Specifies whether or not the file being defined can be reloaded (or reorganized) without being redefined. IAM defaults to REUSE, which is that any IAM file can be reloaded without having to be deleted and redefined. To use this feature with IDCAMS REPRO, specify the REUSE keyword. IAM does provide a Global Option, ENABLE=NOREUSE, which if set will cause IAM to honor the specification of REUSE or NOREUSE. If that Global Option has been set, then IAM will honor the NOREUSE setting just like VSAM. While quite rare, there are a few application programs that rely on the NOREUSE setting. NOREUSE will not allow a file to be reloaded without being deleted and redefined. An exception to this is made if the program issuing the OPEN is FDRREORG, in which case it will be allowed. If any other attempt is made to do so, the OPEN will fail with a return code of 8, and the ACB error flag set to 232, or x'E8'.

10.61 CONTINUED

Optional Keyword	Description
SHAREOPTIONS (cross-region,cross-system)	Specifies the level of protection provided by the access method to prevent or allow sharing of data within the file. The protection mechanisms include the OS/390 ENQ service, and the internal IAM buffering techniques. The first parameter specifies how a file can be shared in the same system (CPU). The second parameter specifies how a file is shared between systems. NOTE: IAM supports the cross-region share options with the MVS ENQ service, the same as VSAM. IAM does not support the cross-system share options. IAM issues an ENQ with a major name (QNAME) of IAMENQ and the data set name plus first volume serial as the minor name (RNAME). If you need to enforce ENQ protection cross-system then you must add the major name of IAMENQ to your CA-MIM or GRS control files or whatever ENQ control product you use. Cross Region Share Option Values: 1. Any number of users for read OR one user for update. The file's structure, data integrity, and read integrity are fully preserved. 2. Any number of users for read AND one user for update. The file's structure and data integrity are fully preserved. If the file is currently opened for update, other users reading the file do not have read integrity. They may not be able to access inserted or updated records, if such records were added to the overflow areas of the file, without closing and reopening the data set. 3. Any number of users for read or update and users are responsible for integrity. Updated blocks are immediately written back out to DASD. **Use of this share option for IAM files is strongly discouraged.** Due to the nature and structure of the index to the IAM overflow area, the data integrity of IAM files is compromised by use of this share option value. 4. Any number of users for read or update, and users are responsible for integrity. IAM will use only a single buffer, and each logical I/O request will cause the buffer to be refreshed, and subsequently rewritten if the record is updated. **Use of this share option for IAM files is strongly discouraged.** Due to the nature and structure of the index to the IAM overflow area, the data integrity of IAM files is compromised by use of this share option value.
SPANNED	IAM supports SPANNED records for alternate index data sets, with a maximum size of almost 8 megabytes. Files with record sizes in excess of 1/2 track block size should be defined as SPANNED.
SUBALLOCATION	IAM files are always allocated as if they were unique clusters. However it may be necessary to specify this parameter when using the IAM non-specific allocation (ANYVOL) and the MODEL parameter. SUBALLOCATION will prevent IDCAMS from allocating the volumes indicated in the VOLUME parameter when the MODEL parameter is specified.

10.61 CONTINUED

Optional Keyword	Description
TO(date) **FOR(days)**	Specifies the retention period for the file being defined. This parameter has the same meaning for an IAM file as a VSAM file. The expiration date is placed in the VTOC for the data set, and generally is also placed in the catalog entry. The keyword PURGE must be specified on the DELETE to cause the file to be scratched. TO(date) - gives the date in the form YYYYDDD (four or two digit year and three digit Julian date), through which the IAM file defined is to be date protected. FOR(days) - gives the number of days up to 9998, through which the IAM file being defined is to be date protected. A value of 9999 results in permanent retention. Default: Data set is not date protected.
UNIQUE	This parameter has no relevance for IAM files, as IAM files are always unique. *However, the user should be aware that specification of this keyword results in IDCAMS allocating the specified volumes prior to issuing the actual define request. For this reason, it is recommended that this parameter not be specified for IAM files.* If the customer is using the IAM non-specific device allocation, i.e. ANYVOL, then this parameter must not be specified.
UNIQUEKEY | **NONUNIQUEKEY**	Specifies whether more than one record can have the same alternate key. When UNIQUEKEY is specified, each alternate key can only index one data record. With NONUNIQUEKEY, each alternate key can index one or more data records. The number of nonunique keys that can be contained in a single record is limited by the IAM maximum record size of 32755. Default is NONUNIQUEKEY.
UPGRADE | **NOUPGRADE**	Specifies whether or not IAM will upgrade (update) the alternate index as the base cluster is modified. UPGRADE indicates that IAM will automatically update the alternate index whenever records are updated, inserted, or deleted from the base cluster. NOUPGRADE indicates that IAM will not automatically update the alternate index. Default is UPGRADE.

10.61 CONTINUED

Example A: Basic Alternate Index Define

Shown below is an example of using IDCAMS to define an alternate index for an IAM base cluster. For ease of conversion from VSAM to IAM, the need to supply an OWNER($IAM) in the define has been eliminated for alternate indexes and paths. When defining the alternate index, if the base cluster is a VSAM data set, then the define is passed to VSAM, as long as OWNER($IAM) is not specified. If the base cluster is an IAM data set, then IAM will automatically define the alternate index as an IAM data set.

```
//AIXDEFIN    EXEC    PGM=IDCAMS
//SYSPRINT    DD      SYSOUT=*
//SYSIN       DD      *
        DEFINE ALTERNATEINDEX -
               (NAME(example.iam.aix) -
               RELATE(example.iam.cluster)-
               KEYS(    len  offset) -
               RECORDSIZE(avg   max) -
               SHAREOPTIONS(2 3)-
               FREESPACE( ci%  ca%) -
               VOLUMES(myvol1)-
               CYLINDERS(primary secondary)-
               NONUNIQUEKEY-
               UPGRADE )
        LISTCAT ENT(example.iam.aix) ALL
/*
```

Figure 33: Example of defining an IAM Alternate Index (EX1061A)

10.61 CONTINUED

DEFINING AN IAM ALTERNATE INDEX WITH IAM ISPF PANELS

IAM ISPF Panel

Customers that have installed the IAM ISPF panels are able to define the IAM Alternate Index data sets using the IAM ISPF panels. The panels feature a fill in the blanks mechanism, along with being able to provide various relevant IAM overrides. After displaying the IAM Primary Option Menu, select option I for defining an IAM data set. Be sure to fill in the data set name of your alternate index using standard TSO naming conventions. Indicate that you are defining an alternate index by placing an X in the data set type field. Optionally, you can specifiy an existing IAM or VSAM alternate index as a model for the data set attributes. Below is an example of the IAM Primary Option Menu with parameters set for defining an IAM alternate index.

```
--------------------------- IAM PRIMARY OPTION MENU ---------------------------
OPTION ===> i

  I       -   Allocate (DEFINE) a new IAM Dataset                Ver 7.0/01P
  V       -   Allocate (DEFINE) a new VSAM Cluster
  D       -   Delete a Dataset, Cluster, Path, or Alternate Index
  C       -   Copy an IAM Dataset, VSAM KSDS, or VSAM ESDS
  M       -   Move an IAM Dataset, VSAM KSDS, or VSAM ESDS
  R       -   Rename a Dataset, Cluster, Path, or Alternate Index
  U       - I nvoke an IAM Utility program
  blank   -   Display IAM Dataset or VSAM Cluster Information


Enter dataset name (required for all options except U)
 Dataset Name          ===>    myiam.aix
 Dataset Type          ===> x  C=Cluster X=AIX P=Path (options I, V Only)

Enter Model or New dsname (optional for options I and V, required for option R)
 ModellNewname         ===>


Delete Confirmation   ===> YES  YeslNo
```

Figure 34: IAM Primary Options Panel set for AIX Definition

10.61 CONTINUED

IAM ALTERNATE INDEX DEFINITION ISPF PANEL

When you hit enter, the IAM Alternate Index Definition panel is displayed. If you had specified a MODEL data set, the information from the model is filled in on the definition panel. You can set or change any of the attributes by filling in the blanks. Note that the fully qualified data set name of the alternate index is displayed at the top left corner. Just below the alternate index name is a place for you to fill in the fully qualified name of the base cluster for this alternate index.

```
---------------------- DEFINE AN IAM ALTERNATE INDEX ------------------------
 COMMAND ===>

 IAM Alternate Index DSN: RAM2.MYIAM.AIX
 Related IAM Cluster DSN: ram2.myiam.cluster
                          Multi-Volume Allocation ===> NO
 ALLOCATION
  Volume            ===> JUNK01      IAM OVERRIDES
  SMS Data Class    ===>              ANYVOL Unit        ===>
  CylslRecslTrks    ===> cyls         Blocking Factor    ===>          1-15,>300
  Primary Space     ===> 2            Var. Overflow      ===> YES      YeslNo
  Secondary Space   ===> 1            Space Release      ===>          YeslNo
  Recatalog         ===> NO           Data Compress      ===>          YeslNo
                                      Minbufno           ===>          1-255
 ATTRIBUTES                           Maxbufno           ===>          1-255
  Max Recordsize    ===> 49
  Avg Recordsize    ===> 13           Upgrade            ===> yes      YeslNo
  Key Length        ===> 4            Unique Keys        ===> no       YeslNo
  Key Offset        ===> 16
  CI Size           ===> 4096        RETENTION
  CI/CA Free %      ===> 10  / 5      DAYS               ===>          0-9999
  Shareoption       ===> 2            EXPIRATION DATE    ===>          YYYY.DDD
```

Figure 35: Define an IAM Alternate Index ISPF Panel

In the above example, the information displayed in bold print represents what was provided by the user. Once all of the information is complete, you can hit enter, and IAM will allocate the alternate index. When completed, IAM will automatically return to the IAM Primary Option Panel, with the message DATASET ALLOCATED in the upper right hand corner. By hitting enter again, you can display the newly defined alternate index's attributes.

10.61 CONTINUED

IAM ALTERNATE INDEX FILE CHARACTERISTICS DISPLAY

IAM responds with the IAM Alternate Index File Characteristics display, as shown below. The various attributes, where applicable, are filled in. At the bottom left is a section labeled Associations. This will contain related base cluster and the alternate index data set itself. Once one or more paths have been defined for this alternate index, they will also be included under the associations.

```
----------------- IAM Alternate Index File Characteristics ------------------
 COMMAND ===>
 Dataset Name: RAM2.MYIAM.AIX

 Definition Information                    Allocation Information
   Record Length:          49              Volume:              JUNK01
   Record Format:  VARIABLE                Device Type:           3380
   Key Length:              4              Tracks in use:            1
   Key Offset:              5              Block Size:           11476
   Key Offset(BASE):       16              Blocking Factor:          4
   Unique Keys:           YES              Alloc Type:     CYLINDERS
   Share Option:            2              Primary Alloc:            1
   Release:                NO              Secondary Alloc:          1
   Storage Class:
   Data Class:
   Mgmt Class:

 Statistics                                Extended Area Information
   Creation:          2002.315             Overflow Records:       0
   Expiration:        0000.000             Blocks Allocated:       0
   Last Reference:    2002.315             Blocks Used:            0
   Records:              256               Overflow Blocks:        0
   Deletes:                0               PE Blocks:              0
   Inserts:                0               Blocks Available:       0
   Updates:                0               Variable Overflow:    YES
   Minimum Buffers:
   Maximum Buffers:

 Associations
   CLUSTER-RAM2.MYIAM.CLUSTER
         AIX-RAM2.MYIAM.AIX
         PATH-RAM2.MYIAM.PATH
```

Figure 36: IAM Alternate Index File Characteristics Panel

10.62 BUILDING AN IAM ALTERNATE INDEX

The process of building an IAM alternate index is identical to the VSAM process. The alternate index is usually built by use of the IDCAMS BLDINDEX command. However, other programs that have been written to build alternate indexes for VSAM can be used to build them for IAM. The internal record format of the IAM alternate index record is identical to the VSAM format.

Using IDCAMS BLDINDEX

The BLDINDEX command for IDCAMS has two main operands, specifying the input file, or data set, which is the base cluster, and specifying the output file or data set, which is the alternate index. As long as there is sufficient virtual storage to sort the alternate key / prime key pairs, or if you have DFSORT, the example shown below of using IDCAMS BLDINDEX can be used. For complete information on using the IDCAMS BLDINDEX please refer to the IBM manual "DFSMS/MVS ACCESS METHOD SERVICES for the Integrated Catalog Facility", number SC26-4906.

```
//BLDINDEX   EXEC      PGM=IDCAMS
//SYSPRINT   DD        SYSOUT=*
//IAMINFO    DD        SYSOUT=*
//BASE       DD        DISP=OLD,DSN=example.iam.cluster
//AIX        DD        DISP=OLD,DSN=example.iam.aix
//SYSIN      DD        *
        BLDINDEX       INFILE(BASE)OUTFILE(AIX)
/*
```

Figure 37: Example of IDCAMS BLDINDEX (EX1062A)

There are a few things to notice on the above example. First, the base cluster is allocated with a disposition of OLD. This is done to prevent updates from occurring while the alternate index is being built that could cause an out of synch condition with the alternate index. Second, there is an optional IAMINFO DD card in the JCL. This will cause IAM to produce an activity report for each IAM data set that has been used.

If you do not have DFSORT and do not have enough virtual storage available for an internal sort, then you will need to add two work files for the BLDINDEX to work. The space requirements will depend on the size of your files, and up to five volumes can be specified for each work file. IDCAMS will define two ESDS type of files to these DD statements to use for sorting the data. The default DD names used by IDCAMS are IDCUT1 and IDCUT2. An example is shown below of using the work files.

```
//BLDINDEX EXEC  PGM=IDCAMS
//SYSPRINT DD    SYSOUT=*
//IAMINFO  DD    SYSOUT=*
//IDCUT1   DD    DSN=workfile1,VOL=SER=volser,UNIT=unitname,
//               DISP=OLD,AMP='AMORG'
//IDCUT2   DD    DSN=workfile2,VOL=SER=volser,UNIT=unitname,
//               DISP=OLD,AMP='AMORG'
//BASE     DD    DISP=OLD,DSN=example.iam.cluster
//AIX        DD  DISP=OLD,DSN=example.iam.aix
//SYSIN    DD    *
         BLDINDEX INFILE(BASE)OUTFILE(AIX)
/*
```

Figure 38: Example of IDCAMS BLDINDEX with work files (EX1062B)

10.62 CONTINUED

Using IAM ISPF Panels to Build an Alternate IndexThe IAM ISPF panels offer a build index function as well, that will invoke the IDCAMS BLDINDEX function. To go to the build index function from the IAM Primary Options panel select option U for the utilities panel. From the IAM Utility Program Menu, as shown below, select option I to build the alternate index.

```
---------------------- IAM UTILITY PROGRAM SELECTION MENU ----------------------

OPTION ===> i

      S  Specify utility print dataset allocation parameters

      I  BLDINDEX     -     Build Alternate Index
      R  IAMRECVR     -     IAM file diagnostic and recovery utility
      V  IAMSTATS     -     IAM VSAM Interface (VIF) module information
      X  IAMXMONI     -     IAM Execution Monitor/ISPF for CICS Regions
      Z  IAMZAPOP     -     IAM options table utility
```

Figure 39: IAM ISPF Utility Selection Menu

After hitting enter, IAM will display the Build Index panel. On this panel, enter the name of the base cluster and the name of the alternate index you want to build. After entering that information, press the enter key.

```
---------------------------- BLDINDEX - Datasets ----------------------------
COMMAND ===>


  Base Cluster ===> myiam.cluster

Alternate Index ===> myiam.aix


Specify BASE and AIX dataset names and hit ENTER
```

Figure 40: Example of IAM ISPF Build Index Panel

When the Build Index function is complete, IAM ISPF will open a browse panel of the output from the IDCAMS BLDINDEX function. An example is shown below:

```
Menu  Utilities  Compilers  Help
BROWSE    SYS02312.T164235.RA000.RAM2.R0100091          Line 00000000 Col 001 120
Command ===>                                                   Scroll ===> CSR
************************************** Top of Data **************************************
 IDCAMS  SYSTEM SERVICES              TIME: 16:42:37          11/08/02        PAGE    1
BLDINDEX INFILE(DISKIN) OUTFILE(DISKOUT)
IDC0652I RAM2.MYIAM.AIX SUCCESSFULLY BUILT
IDC0001I FUNCTION COMPLETED, HIGHEST CONDITION CODE WAS 0
IDC0002I IDCAMS PROCESSING COMPLETE. MAXIMUM CONDITION CODE WAS 0
************************************** Bottom of Data ************************************
```

Figure 41: Sample Output from BLDINDEX Function

10.63 DEFINING A PATH

The process of defining a PATH to an IAM alternate index or base cluster is essentially the same as with VSAM. IAM will automatically determine if the PATHENTRY is an IAM data set, so use of the OWNER($IAM) parameter is optional. An IAM path is a one track data set. The allocation request will be made for the same volume on which the path entry data set resides. If the path entry data set is SMS managed, the path data set will be allocated with the same SMS classes as the path entry data set. IAM uses the one track data set to store information about the relationship of the path to it's alternate index or base cluster, rather than using a catalog entry. The IAM path data set does not have to be on the same volume with the related data set.

IDCAMS Define Path

IDCAMS can be used to define a path. On the DEFINE PATH command, the PATHENTRY keyword indicates the related data set. To access a base cluster through an alternate index, the PATHENTRY will be the name of the alternate index. The attribute UPDATE (or NOUPDATE) indicates whether or not the upgrade set of the alternate indexes will also be opened. The upgrade set includes all alternate indexes defined with the UPGRAD attribute.

If only the base cluster is being opened for update, any upgradeable alternate index data sets will also be opened. To prevent the alternate index upgrades from being done, define a PATH for the base cluster with the NOUPDATE attribute.

An example of defining an IAM PATH with IDCAMS is shown below.

```
//DEFPATH  EXEC   PGM=IDCAMS
//SYSPRINT DD     SYSOUT=*
//SYSIN    DD     *
           DEFINE PATH                          -
           (NAME(example.iam.path) -            -
                  PATHENTRY(example.iam.aix)    -
           UPDATE )
/*
```

Figure 42: Example of Using IDCAMS to Define a Path (EX1063A)

10.63 CONTINUED

IAM ISPF Define Path

If you have the IAM ISPF panels installed, they also provide a Define Path function. Starting at the IAM Primary Options menu, as shown below, select option I to define an IAM data set, then enter the name of the path that you want to define, and indicate a P for data set type, indicating a path. An example of the completed panel is shown below.

```
-------------------------- IAM PRIMARY OPTION MENU --------------------------
OPTION ===> i

  I     -  Allocate (DEFINE) a new IAM Dataset              Ver 7.0/01P
  V     -  Allocate (DEFINE) a new VSAM Cluster
  D     -  Delete a Dataset, Cluster, Path, or Alternate Index
  C     -  Copy an IAM Dataset, VSAM KSDS, or VSAM ESDS
  M     -  Move an IAM Dataset, VSAM KSDS, or VSAM ESDS
  R     -  Rename a Dataset, Cluster, Path, or Alternate Index
  U     -  Invoke an IAM Utility program
  blank -  Display IAM Dataset or VSAM Cluster Information


Enter dataset name (required for all options except U)
 Dataset Name          ===> MYIAM.PATH
 Dataset Type          ===> P  C=Cluster X=AIX P=Path (options I, V Only)

Enter Model or New dsname (optional for options I and V, required for option R)
 Model|Newname         ===>


Delete Confirmation    ===> YES  Yes|No
```

Figure 43: IAM ISPF Define of a Path

After hitting enter, IAM will disply the IAM Define Path panel as shown below. On this panel, enter the fully qualified name of the path entry data set, which is either an alternate index or a base cluster. Also enter whether or not the upgrade set is to be opened if the path is opened for update. While a specification of NO will eliminate the overhead of updating all of the alternate indexes in the upgrade set, the alternate indexes will not properly reflect the contents of the base cluster.

```
----------------------------- DEFINE AN IAM PATH -----------------------------
COMMAND ===>

 Path Name    ===> RAM2.MYIAM.PATH
Path Entry    ===> ram2.myiam.aix


   Update     ===> YES  Yes =   Open all UPGRADE sets when PATH opens
                        No =   Open only BASE CLUSTER when PATH opens
```

Figure 44: IAM ISPF Define an IAM Path Panel

After hitting enter, IAM will return to the IAM Primary Options panel, with a message in the upper right hand corner indicating that the path was successfully allocated. To display the attributes of the Path, hit enter again, with the Path name in the data set name field.

10.63 CONTINUED

IAM Path Characteristics

The IAM Path Characteristics panel displays basic information about the actual data record that would be retrieved from the base cluster through this path. Also, because the IAM Path data set is an actual one track data set, volser and device type information is displayed. At the bottom is the associations indicating the data sets related to this path.

```
------------------------- IAM Path Characteristics -------------------------
COMMAND ===>
Dataset Name: RAM2.MYIAM.PATH

Definition Information          Allocation Information
  Base Record Length:   128     Volume:              JUNK01
  Base Key Offset:        8     Device Type:           3380
  AIX Key Length          4     Tracks in use:            1
  Update:               YES     Blocking Factor:       4096
                                Alloc Type:       RECORDS

Statistics
  Creation:        2002.315
  Expiration:      0000.000
  Last Reference: 2002.315

Associations
  CLUSTER-RAM2.MYIAM.CLUSTER
    AIX-RAM2.MYIAM.AIX
      PATH-RAM2.MYIAM.PATH
```

Figure 45: IAM Path Characteristics Panel

10.64 ACCESSING DATA THROUGH AN IAM ALTERNATE INDEX

Now that you have a base cluster defined and loaded, an alternate index defined and built, and a path defined, you are ready to start accessing your data through the alternate index. Just as with VSAM, all you need to do is to allocate (dynamically or with a DD card) the path to the alternate index, open it and you are ready to go. When your program opens the path, IAM will dynamically allocate and open all of the necessary components of the alternate index sphere. For example, if you were to just be opening the path for read only access, IAM will dynamically allocate the alternate index and the base cluster data sets, open them up, and set up the control blocks and routines necessary for your program to access the data. If the program opens up the path for update, then any associated upgradeable alternate indexes will also be dynamically allocated and opened. IAM will use the system generated DD names for the files that are dynamically allocated, which have the format SYSnnnnn. When you close the path, IAM will close and deallocate all of the component data sets that had been opened.

Because the DD names used to access the component IAM data sets in the alternate index sphere (cannot be known in advance), the IAM override processor has been enhanced to handle specification of overrides by data set name (DSN=) in addition to the DD name. Using the DSN= parameter on an IAM ACCESS override is valid for any enhanced format IAM file. The DD= override can still be specified on other override cards, which can be intermixed with the new DSN= parameter. Information on the IAM ACCESS overrides is in section 10.40 or section 30.03. An example of using a path, along with IAM ACCESS overrides by DSN= is shown below:

```
//UPDATAIX   EXEC        PGM=mypgm
//PATH       DD          DISP=SHR,DSN=example.iam.path
//IAMINFO    DD          SYSOUT=*
//IAMOVRID   DD          *
       ACCESS             DSN=example.iam.base,MAXBUFNO=32
       ACCESS             DSN=example.iam.aix,DYNCORE=1024
/*
```

Figure 46: Example of JCL to use a Path with IAM Overrides

10.65 SPECIAL CONSIDERATIONS WITH IAM ALTERNATE INDEXES

Renaming and Copying

There are some considerations when any associated data set, or all of the associated data sets, in an alternate index sphere are renamed. This same consideration exists if the data sets are copied or restored with a new name. Because IAM data sets appear to the utilities performing such functions as non-VSAM data sets, the association information is not automatically updated when a data set name is changed. To update the association information, a DEFINE RECATALOG must be done on all of the affected alternate index and path data sets. First, the base cluster should be recataloged, followed by the alternate index data set(s), and then the path data sets. The information that must be provided includes the new data set name, the new related or path entry data set name, and for base clusters and alternate index data sets, the volume(s). The base cluster RECATALOG must also include the OWNER($IAM) parameter, which is optional for the Alternate Index and Path recatalog. The IAM alternate index support intercept for the DEFINE RECATALOG function has processing to reset the association names. An example of a DEFINE RECATALOG is shown below.

```
//RECAT    EXEC     PGM=IDCAMS
//SYSPRINT DD       SYSOUT=*
//SYSIN    DD       *
        DEFINE CLUSTER                                  -
                      (NAME(nename.iam.cluster)         -
                      OWNER($IAM)                       -
                      VOLUME(volser)                    -
                      RECATALOG                         )
        DEFINE ALTERNATEINDEX                           -
                      (NAME(newname.iam.aix)            -
                      RELATE(newname.iam.cluster)       -
                      VOLUMES(volser)                   -
                      RECATALOG                         )
        DEFINE PATH                                     -
                      (NAME(newname.iam.path)           -
                      PATHENTRY(newname.iam.aix)        -
                      RECATALOG                         )
        LISTCAT ENT(newname.iam.cluster) ALL
        LISTCAT ENT(newname.iam.aix)  ALL
        LISTCAT ENT(newname.iam.path)ALL
/*
```

Figure 47: Example of Recatalog after a Rename (EX1065A)

Delete Support

IAM alternate index support includes special processing for data set deletion. As with VSAM, when the base cluster is deleted, all related alternate index and path data sets will also be deleted, providing that the SVC 26 DELETE interface is used. This interface also requires that the related data sets be cataloged. If a related data set is not cataloged, it will be skipped over by the delete process. This is the interface that is used by IDCAMS, the TSO DELETE command, and the IAM ISPF panels. If the SCRATCH SVC interface is used on non-SMS managed volumes, then IAM will not intercept the request, and only the specified data set will be deleted.

If a delete is issued for an alternate index, then the alternate index and any related paths will be deleted. If the delete is issued for a path, only the path will be deleted. These actions are consistent with VSAM delete processing.

10.70 IAM REPORTS

Reporting Overview

IAM offers a variety of reports to assist in the management of IAM data sets. The two primary reports are the IAMPRINT report and the IAMINFO report. The IAMPRINT report is automatically produced when a LISTCAT ALL is done on an IAM data set. The IAMINFO report is a run time report that is produced whenever an IAM data set is closed, providing that there is an IAMINFO DD card allocated to the job. The IAMINFO reports can also be generated from the IAM SMF records, if they are collected, using the IAMSMF program. The IAMSMFVS program produces a more compact set of reports, based on an accumulation of the IAM SMF records for each data set. There are three different reports produced by IAMSMFVS, all of which have one line per data set.

10.71 IAMPRINT REPORT

LISTCAT Report

The IAMPRINT report is produced by an IDCAMS LISTCAT ALL command. This report contains information about the data set attributes, the DASD volumes being used, along with the amount of space on each volume, plus various statistics about the data set. The statistics that are presented in the IAMPRINT report are generally only updated when the data set is closed. If the data set is currently open for update the statistics may not accurately reflect the current status of the data set. A message will appear at the bottom of the report if this is determined to be true by the IAM LISTCAT processor. The statistics can also be invalid due to a system failure that prevented the data set from being properly closed, or if the data set was being concurrently shared by multiple jobs for update processing. **IAM does not support or provide for data integrity when a data set is shared for concurrent update purposes. Doing so may result in the loss of data.**

LISTCAT Example

Specification of the IAMPRINT DD is optional. If the IAMPRINT DD is not specified, then IAM will allocate one to SYSOUT=* for batch jobs, providing that the SYSPRINT DD is also allocated to a SYSOUT class. For TSO users, the IAM output will be displayed directly on the screen. In both cases, the report will be produced on the IAMPRINT DD data set, if the file was explicitly specified by the user. The IAM LISTCAT processing can be disabled by placing an //IAMNOLIC DD DUMMY card in the job step performing the LISTCAT(s).

```
//LISTCAT  EXEC PGM=IDCAMS
//SYSPRINT DD   SYSOUT=*
//SYSIN    DD   *
  LISTCAT ENT(IAMV.VAR100.CLUSTER) ALL
/*
```

Figure 48: JCL to Obtain IAM Listcat (IAMPRINT) Output (EX1071A)

LISTCAT Output

The results of running the above job on an IAM data set is two printed reports. The first report is produced by IDCAMS on SYSPRINT, and lists out information on the actual non-VSAM catalog entry for the IAM data set. The second report is produced by IAM on IAMPRINT, and contains the attributes and other information about the data set itself. The two reports are shown below.

```
IDCAMS  SYSTEM SERVICES                    TIME: 10:34:29     09/24/99    PAGE    1

   LISTCAT ENTRIES(IAMV.VAR100.CLUSTER) ALL
NONVSAM -------    IAMV.VAR100.CLUSTER
          IN-CAT --- IAMVT.CATALOG
          HISTORY
             DATASET-OWNER-------$IAM   CREATION--------1999.267
             RELEASE----------------2   EXPIRATION------0000.000
          VOLUMES
             VOLSER------------SCR092   DEVTYPE------X'3010200F'   FSEQN-----------------0
   ASSOCIATIONS--------(NULL)
   ATTRIBUTES
```

Figure 49: IDCAMS LISTCAT Output from SYSPRINT

As you can see, the IDCAMS output indicates that the data set type is non-VSAM. Note that the OWNER field is set to $IAM. For IAM files defined without the NOOWNID option enabled, IAM stores binary data in the OWNER field, so it frequently is displayed as all periods (….) with some extraneous characters interspersed on occasion. Starting with IAM Version 6.4, the default has been changed for IAM to save the actual value specified by the user for the OWNER field.

10.71 CONTINUED

IAMPRINT Output

Below is an example of the IAMPRINT Report for an Enhanced Format IAM data set. The fields displayed on the report will vary, depending on type and status of the IAM file.

```
IAM400 IAM CATALOG INFORMATION SERVICE -- VER 7.0/01P  DATE 1999.267 TIME 10:34:30
IAM100 IAM FILE ANALYSIS - DSN=IAMV.VAR100.CLUSTER
-----------------------------------------------------------------------------------------------------------------------
FILE FORMAT --      =  ENHANCED  -   FILE STATUS -----------      =        LOADED                  -
RECORD SIZE --      =       2040  -   FREESPACE - CI% -------      =            10                  -
CI SIZE ------      =       2048  -   FREESPACE - CA% -------      =            10                  -
BLOCK SIZE ---      =      13682  -   EXTENDED OVERFLOW -----     =          7901   RECS         -
BLOCK FACTOR -      =          4  -   REQUESTED OVERFLOW ----     =         50000   RECS         -
VAR OVERFLOW -      =        YES  -   EXTENDED OVERFLOW -----     =          1317   BLOCKS       -
KEY SIZE -----      =         58  -   EXTENDED OVERFLOW USED  =              16%                 -
KEY OFFSET ---      =          8  -   EXTENDED PE -----------      =             0   BLOCKS       -
FILE TYPE ----      =       KSDS  -   EXTENDED ALLOCATED ----     =          8348   BLOCKS       -
DEVICE TYPE --      =       3390  -   EXTENDED AVAILABLE ----     =          6992   BLOCKS       -
VOLUME COUNT -      =          1  -   SPACE USED ------------      =          1387   TRACKS       -
VOLSER -------      =     SCR092  -   SPACE ALLOCATED -------     =          3135   TRACKS       -
TOTAL EXTENTS       =          1  -   TOTAL SPACE ALLOCATED -      =          3135   TRACKS       -
PRIMARY SPACE       =        500  -   SECONDARY SPACE -------     =           100   CYL          -
MULTIVOLUME --      =    PRIMARY  -   MAX SECONDARY ---------     =           500   CYL          -
RELEASE ------      =         NO  -   SHARE OPTIONS ---------     =             2                  -
DATA COMPRESS       =    ENABLED  -   INDEX COMPRESS --------     =           YES                  -
TOTAL RECORDS       =      46000  -   INSERTS ---------------      =         10000                  -
UPDATES ------      =          0  -   DELETES ---------------      =             0                  -
HIGH USED RBA       =   75907736  -   HIGH ALLOCATED RBA ----     =     171572280                  -
FILE DEFINED -      =   1999.267  -   09/24/1999 - 10:31 AM -     =      10:31:24                  -
FILE LOADED --      =   1999.267  -   09/24/1999 - 10:31 AM -     =      10:31:46                  -
LAST UPDATED -      =   1999.267  -   09/24/1999 - 10:33 AM -     =      10:33:42                  -
STORAGE REQUIRED FOR COMPRESSED PRIME INDEX -- =          138646                  -
NUMBER OF IAM DATA BLOCKS ------------------- =            4163                  -
EXTENDED HIGH ALLOCATED RBN ----------------- =           12539                  -
-----------------------------------------------------------------------------------------------------------------------

IAM499 IAMLISTC(7.0/01P ) PROCESSING COMPLETED
```

Figure 50: Example of IAMPRINT Output For an Enhanced Format Data Set

10.71 CONTINUED

IAMPRINT Output for an Alternate Index

The IAMPRINT output for an alternate index is almost identical to the report for a base cluster. An IAM Alternate Index is essentially an IAM KSDS type of file. The file type indicates that this is an AIX (Alternate Index) data set. Just below the DATA COMPRESS line is an additional line, that includes the alternate key offset in the base record, and to the right of that is the indication of whether or not the alternate index keys are unique. At the bottom of the report is the associations. The associations will also appear on a base cluster once there are defined alternate indexes and paths to that base cluster.

```
IAM400   IAM CATALOG INFORMATION SERVICE -- VER 7.0/01P  DATE 1999.313 TIME 09:47:23
IAM100   IAM FILE ANALYSIS - DSN=IAMV.AIX112.AIX1
    ---------------------------------------------------------------------------------------------------------------------------
        FILE FORMAT     --= ENHANCED    -    FILE STATUS----------------------  =     LOADED                 -
        RECORD SIZE     --=       733   -    FREESPACE - CI% ---------------  =        10                  -
        CI SIZE        ------=   1024   -    FREESPACE - CA% ---------------  =        10                  -
        BLOCK SIZE      ---=    11476   -    EXTENDED OVERFLOW ---------  =         0      RECS         -
        BLOCK FACTOR  -=          4   -    REQUESTED OVERFLOW -------  =         0      RECS         -
        VAR OVERFLOW  -=        YES   -    EXTENDED OVERFLOW----------  =         0    BLOCKS         -
        KEY SIZE       -----=     4   -    EXTENDED OVERFLOW USED  -=         0         %           -
        KEY OFFSET      ---=       5   -    EXTENDED PE --------------------  =         0    BLOCKS         -
        FILE TYPE       ----=    AIX   -    EXTENDED ALLOCATED -------  =         0    BLOCKS         -
        DEVICE TYPE     --=     3380   -    EXTENDED AVAILABLE --------  =         0    BLOCKS         -
        VOLUME COUNT -=           1   -    SPACE USED ----------------------  =         5    TRACKS         -
        VOLSER         ------=  SCR081   -    SPACE ALLOCATED -----------  =        15    TRACKS         -
        TOTAL EXTENTS    =        1   -    TOTAL SPACE ALLOCATED -  =        15    TRACKS         -
        PRIMARY SPACE    =        5   -    SECONDARY SPACE -----------  =         1       CYL         -
        MULTIVOLUME    --=  PRIMARY   -    MAX SECONDARY -------------  =         5       CYL         -
        RELEASE        ------=    NO   -    SHARE OPTIONS ---------------  =         1                  -
        DATA COMPRESS  =  ENABLED   -    INDEX COMPRESS ---------------  =        NO                  -
        AIX KEY OFFSET    =      16   -    UNIQUE KEYS --------------------  =        NO                  -
        TOTAL RECORDS  =   10000   -    INSERTS ----------------------------  =         0                  -
        UPDATES        ------=     0   -    DELETES ---------------------------  =         0                  -
        HIGH USED RBA    =  229520   -    HIGH ALLOCATED RBA ----  =    229520                  -
        FILE DEFINED      -=  1999.313   -    11/09/1999 -  9:46 AM -  =  09:46:41                  -
        FILE LOADED     --=  1999.313   -    11/09/1999 -  9:46 AM -  =  09:46:55                  -
        STORAGE REQUIRED FOR PRIME INDEX ------------ ------                    =  68                    -
        NUMBER OF IAM DATA BLOCKS ------------------------- - ------            =  19                    -
        EXTENDED HIGH ALLOCATED RBN ---------------------- -                    =   0                    -
        ---------------------------------------------------------------------------------------------------------------------------

        ASSOCIATIONS -
        CLUSTER ---------     =    IAMV.AIX112.CLUSTER
        AIX ----------------  =    IAMV.AIX112.AIX1                UPGRADE
         PATH -------------   =    IAMV.AIX112.PATH1               UPDATE
IAM499 IAMLISTC(7.0/01P ) PROCESSING COMPLETED
```

Figure 51: Example of IAMPRINT for an IAM Alternate Index

10.71 CONTINUED

IAMPRINT Report on a Path

The report for an IAM Path data set is similar in format to the above report, only much shorter. An IAM Path is a 1-track data set, which is used to provide access to a base cluster through an alternate index, or to the base cluster directly. The information of most interest and concern in this report is the associations. The Path serves as a connector that is used by OPEN processing to determine what data sets need to be allocated and opened.

The file attributes displayed, including record size, alternate key size, and alternate key offset will all reflect the data record as retrieved through the path. The record size will always be the base cluster maximum record size. If the Path is for an alternate index, then the alternate key size and alternate key offset will be as defined for the alternate index. If the Path is for a base cluster, then the alternate key size and alternate key offset will be the base cluster's key size and offset.

An example of an IAMPRINT report on a PATH is shown below.

```
IAM400 IAM CATALOG INFORMATION SERVICE -- VER 7.0/01P  DATE 1999.313    TIME 09:47:23
IAM100 IAM FILE ANALYSIS - DSN=IAMV.AIX112.PATH1
        ---------------------------------------------------------------------------------------------------------------
        FILE TYPE --------      =    PATH   - ALTERNATE KEY SIZE ----     =          4                 -
        RECORD SIZE -----       =     500   - ALTERNATE KEY OFFSET --     =         16                 -
        VOLUME COUNT            =       1   - SPACE USED  ------------     =        N/A     TRACKS -
        VOLSER    --------      = SCR081    - SPACE ALLOCATED -------     =          1     TRACKS -
        TOTAL EXTENTS           =       1   - TOTAL SPACE ALLOCATED - =          1     TRACKS -
        FILE DEFINED ----       =1999.313   - 11/09/1999 -  9:47 AM -     =  09:47:06                 -
        ---------------------------------------------------------------------------------------------------------------

          ASSOCIATIONS -
          CLUSTER ------     = IAMV.AIX112.CLUSTER
          AIX        ---------- = IAMV.AIX112.AIX1                          UPGRADE
          PATH       --------- = IAMV.AIX112.PATH1                          UPDATE
IAM499 IAMLISTC(7.0/01P ) PROCESSING COMPLETED
```

Figure 52: Example of an LISTCAT Output for an IAM Path

10.71 CONTINUED

IAMPRINT Field Descriptions

The fields on the IAMPRINT report for an Enhanced format file are described below. The table starts with the fields on the left side of the report, and those are followed by all of the fields on the right side of the report. The last portion describes the fields that appear as a single column at the bottom of the report. Note that not all fields are present in all variations of the report.

The header of the report has an IAM400 message followed by the IAM100 message. The message numbers are not displayed when the output is directed at a TSO terminal. The IAM400 message indicates that the report is from a catalog information request (i.e., LISTCAT) along with the version and level of IAM producing the report. The date and time of the report is included in that message. The data set name is indicated on the IAM100 message.

Left Column Fields

IAMPRINT Field (Left Column)	Description of Field Contents:
File Format	Indicates whether the IAM file is an **ENHANCED** format structure, or a **COMPATIBLE** format structure.
Record Size	The maximum amount of data that can be contained in a single record. Value is from the RECORDSIZE parameter on the IDCAMS DEFINE statement.
CI Size	The specified Control Interval (CI) size from the DEFINE. If CI size was not specified on the Define, IAM calculates a valid VSAM CI size based on the maximum record size.
Block Size	The physical block size IAM is using for the file. A block is the amount of data transferred in an I/O operation, and that is stored in a single contiguous stream of data on the actual device
Block Factor	Indicates the number of blocks per track, or the user specified block size from an IAM CREATE Override.
Var Overflow	Indicates if IAM is using variable length overflow for this file. If the value is **YES**, IAM will put as many records as can fit within each overflow block. If the value is **NO**, IAM will only place in each overflow block the number of maximum length records that will fit in an overflow block.
Key Size	For KSDS type of files, indicates the user defined length for the key of each data record.
Key Offset	Specifies the relative position of the key, as an offset from the beginning of the record, where a value of 0 indicates the first byte. For an AIX type of data set, this is the key offset within the alternate index data sest itself, and will always be 5.
File Type	Indicates the file type. Possible values are: • **KSDS** - Keyed sequence data set • **ESDS** - Entry sequence data set. • **ESDS/P** - Entry sequence data set with PSEUDORBA. • **ESDS/EA** – Entry sequence data set with 8-byte RBA values. • **AIX** – An IAM Alternate Index data set • **PATH** – An IAM Path data set.

10.71 CONTINUED

IAMPRINT Field (Left Column)	Description of Field Contents:
Device Type	Indicates the type of DASD device architecture on which the data set currently resides, for example a 3380 or a 3390.
Volume Count	The number of volumes contained in the catalog entry for this file.
Volser	This indicates the volume(s) to which the data set is cataloged. This line is repeated for each volume that is in the catalog entry for the file, except for SMS candidate volumes.
Total Extents	Indicates the total DASD extents allocated to the file. An extent is a contiguous area of space on the device being used by the data set.
Primary Space	Indicates the requested primary space quantity that was specified when the file was originally defined.
Multivolume	Has values of **PRIMARY** or **SECONDARY**, which indicates from which space parameter the size of the first extent on each DASD volume is allocated.
Release	Indicates if DASD space will be released when the file is loaded again. Generally this is **YES** before a file has been loaded, and is set to **NO** after the first load.
Data Compress	Indicates whether or not this file can contain IAM data compressed records.
AIX Key Offset	For an Alternate Index type of data set, indicates the offset of the alternate key within the base cluster.
Total Records	The number of user data records in the file, as of the last close.
Updates	The number of user data records that have been updated since the file was last loaded.
High Used RBA	For IAM KSDS files, indicates the amount of space used for the file, in bytes. For IAM ESDS files, indicates the amount of actual user data contained within the file.

10.71 CONTINUED

Right Column Fields

IAMPRINT Field (Right Column)	Description of Field Contents:
File Status	Indicates if the file is **LOADED** or **UNLOADED**. A file will be in the UNLOADED state if it has been defined but has not had a successful load, or if a file load or reorganization has failed or is in progress.
Freespace - CI%	The amount of space to be left free in each block, as a percentage of the block size, as the file is being loaded or extended. Specified on the file definition. For IAM files, this is referred to as the Integrated Overflow Area.
Freespace - CA%	The amount of CA% freespace specified on the file definition. This is used by IAM to calculate an amount of allocated but unused space that is to be retained by the file after the initial load.
Extended Overflow - Recs	The number of records in the Extended Overflow area of the file, as of the last time the file was closed.
Requested Overflow - Recs	This is the overridden value for the size of the overflow area, in records, when the file was defined or loaded. This value, if provided, will be used when calculating the percentage of overflow used.
Extended Overflow - Blocks	The number of blocks assigned to the Extended Overflow area.
Extended Overflow Used	Indicates, as a percentage, the amount of overflow space used. If a value for overflow records is provided as an override (O=), then the used percentage is based on that value. Otherwise, this is the percentage of the currently allocated extended blocks that are being used.
Extended PE	The number of Extended blocks assigned as Prime Extension (PE) blocks.
Extended Allocated	The total number of extended area blocks that the file can contain within the currently allocated extents.
Extended Available	The number of extended area blocks that are available for use, which could be assigned to either Extended Overflow, or Extended PE.
Space Used	The amount of DASD space currently required for the file. (Does not include space required for Extended Available blocks.)
Space Allocated	For each volume, indicates the amount of DASD space allocated, in tracks.
Total Space Allocated	Total DASD space allocated, for all volumes.
Secondary Space	Indicates the amount of space to be requested when a secondary extent is required, as specified on the file define.
Max Secondary	The maximum amount of DASD space IAM will request, when additional DASD space is needed for this data set.
Share Options	Indicates the defined cross region share option.
Index Compress	Indicates whether or not a compressed index structure exists for this file.

10.71 CONTINUED

IAMPRINT Field (Right Column)	Description of Field Contents:
Unique Keys	Indicates if the alternate index keys are UNIQUE (YES) or NONUNIQUE(NO). Unique keys means that each alternate key can only index one data record in the base cluster. A value of NO means non-unique keys, where each alternate key can index multiple data records in the base cluster.
Inserts	Indicates the number of records added since the file was last loaded.
Deletes	Indicates the number of records deleted since the file was last loaded.
High Allocated RBA	Total number of bytes of DASD storage allocated to the file. For ESDS type files, this value can actually be lower than the High Used RBA field. The reason is that the High Used is based on the length of the actual user data. If the file is data compressed, the high allocated could be substantially lower.
Alternate Key Size	Field is printed for a Path type of data set only, indicates the size of the key for the data accessed via this path. For a path on an alternate index, this will be the alternate key size. For a path on a base cluster, this will be the primary key size.
Alternate Key Offset	Field is printed for a Path type of data set only. This is the key offset for the data as accessed via this path. For a path on an alternate index, this is the offset of the alternate key within the prime record. For a path on a base cluster, this is the offset of the primary key.

10.71 CONTINUED

Center Column Fields

IAMPRINT Field (Center Column)	Description of Field Contents:
File Defined	The date and time when the file was last defined. The date can be in either mm/dd/yyyy format, or if the EURODATE Global Option is set, will be in the dd/mm/yyyy format.
File Loaded	The date and time that the last file load was completed. However, if a file load had started, but is not yet complete, this time will be the starting time of the last attempted file load.
Last Updated	The date and time of the last close of the file from a program that updated the file.
Pseudo Maximum Logical Record Length	If a PSEUDOLRECL value was specified when the file was defined, this field will appear with the value that was specified. Programs doing a SHOWCB for the LRECL field, or a SHOWCAT will be returned this value for the maximum record length in the data set.
Storage Required for (Compressed) Prime Index	Indicates the amount of virtual storage that is required to contain the index to the Prime Data area of the file, and whether or not the index has been compressed.
Number of IAM Data Blocks	Indicates the number of blocks in the data set preceding the index area. This value may need to be supplied via the MAXBLKS parameter to IAMRECVR on a RECOVER operation.
Extended High Allocated RBN	Indicates the high allocated block number, to be used when it is necessary to run IAMRECVR to recover a file after it has been damaged. Value is specified via the XTNDEDHARBN keyword.

There are a few additional informational messages that may appear based on the status of the file at the time the LISTCAT was done. Unless they do not reflect the actual file status, they generally are of no major concern. These messages include:

- FILE HAS NOREUSE ATTRIBUTE, CAN NOT BE RELOADED
- FILE REORG HAS STARTED, AND HAS NOT COMPLETED
- FILE REORGANIZATION IS RECOMMENDED
- FILE IS OPEN, STATISTICS MAY BE INACCURATE

Associations

For IAM data sets that are part of an Alternate Index Sphere, there will be an Associations section of the report. This section will follow all of the above described sections, and include an entry for each associated data set. The data set name will be preceded by the type of data set (Cluster, AIX, or Path). Then after each AIX entry name, the UPGRADE or NOUPGRADE attribute will be displayed. For Path's, the UPDATE or NOUPDATE attribute will be displayed. The data set names displayed are those that have been defined. If one or more of the associated data sets has been renamed, copied to a new name, or restored with a new name, then a DEFINE RECATALOG must be performed to update the association information. See Section 10.65 for information on performing a Define Recatalog.

10.72 IAMINFO REPORT

IAMINFO Reports

IAM will provide a one page run time report on data set usage, which is produced each time an IAM data set is closed providing that the job has an IAMINFO DD card. IAM will also optionally produce an SMF record with the same information, if so enabled by the IAM Global Options table. These reports contain information on the data set attributes, various statistics about the content of the data set, statistics on the resource usage, and statistics on the different types of I/O requests processed. The IAM Overrides used for each data set are also included in the report, adjacent to the statistic that would be most influenced by the override. The IAMINFO reports are the primary information source for what is going on with each data set. Many of the questions about the resource usage or performance on any particular IAM data set can be answered with the IAMINFO reports.

The IAMINFO reports are easily obtained by providing an IAMINFO DD card in each job step that processes an IAM data set. Normally this DD statement indicates a SYSOUT file, but can indicate an actual sequential data set if desired. The only additional overhead when using IAMINFO is the overhead of actually formatting and writing out the report. IAM always maintains the various statistics that are reported on with IAMINFO, regardless of whether or not the DD statement is in the JCL.

IAM SMF Records

IAM can also optionally produce SMF records which contain all the information that appears on the IAMINFO reports. To enable this facility, the IAM Global Option SMF=YES must be specified, along with indicating the SMF record type to use by specifying RECTYPE=nnn. IAM provides the IAMSMF program which can produce IAMINFO reports from the SMF data. There is also the IAMSMFVS program, which produces more of a summarization set of reports from the IAM SMF data. Additionally, customers can provide their own programs to report on the IAM SMF data.

Example of an IAMINFO DD Card

As can be seen from the example below, all that needs to be done to obtain IAMINFO reports for job steps that process IAM data sets is to add an IAMINFO DD card.

```
    //PROCESS  EXEC PGM=anypgm
    //SYSPRINT DD   SYSOUT=*
    //iamfile  DD   DISP=SHR,DSN=my.iam.dataset
--> //IAMINFO  DD   SYSOUT=*                <--added DD card
```

Figure 53: Example of adding an IAMINFO DD card (EX1072A)

10.72 CONTINUED

IAMINFO for File Load

There are two basic IAMINFO reports. The first is for a file load, for which a sample is provided below. The file load is indicated in the IAM361 message, where it indicates that the data set was opened for FILE CREATION. Amongst the unique features of the IAMINFO file load report are that the average and maximum record lengths are reported on. These lengths are recorded after data compression, if any. Also reported on, under the IAM365 message, is the amount of data space used as a temporary work area for the index. If the DISK BLOCKS READ is not zero, then a temporary data set was used for the work area for the index, instead of a Data Space.

```
IAM400   INNOVATION IAM INFORMATION PRINT ROUTINE -- IAMNINFO VER 7.0/01P  -- INNOVATION DATA PROCESSING   DATE-1999.267

IAM360   STEP - VAR100D    DDNAME - VSAMCRT1   DATA SET MONITORED - IAMV.VAR100.CLUSTER
IAM361   INFO REQUESTED BY PROGRAM IAMTVSAM FOR FILE CREATION      OPENED-1999.267.13:47:32  CLOSED-1999.267.13:47:53
IAM362   IAM DATA CHARACTERISTICS -                                                                  OVERRIDES IN EFFECT:
         IAM FILE FORMAT----------        =   ENHANCED   -   IAM FILE STATUS----------        =   LOADED
         LOGICAL RECORD LENGTH-----       =        2040   -   CI SIZE------------------       =     2048
         KEY SIZE-----------------        =          58   -   KEY OFFSET---------------       =        8
         AVERAGE RECORD LENGTH-----       =        1338   -   LARGEST RECORD PROCESSED--     =     2000

IAM363   IAM FILE CHARACTERISTICS -
         BLOCKING FACTOR-----------       =           4   -   BLOCK SIZE---------------      =    13682
         TRACKS IN USE-------------       =        1048   -   VARIABLE LENGTH OVERFLOW--     =      YES
         DATASET TYPE--------------       =        KSDS   -   SHARE OPTIONS------------     =        2
         NUMBER OF IAM DATA BLOCKS-       =        4163   -   HIGH ALLOCATED RBN--------    =        0   RELEASE=NO
         INTEGRATED OVERFLOW (CI%)-       =          10   -   DASD RESERVE (CA%)--------    =       10
         FILE DEFINED DATE---------       =    1999.267   -   FILE DEFINED TIME---------    =  13:47:30
         FILE LOADED DATE----------       =    1999.267   -   FILE LOADED TIME----------    =  13:47:53

IAM372   IAM EXTENDED AREA CHARACTERISTICS -
         EXT. OVERFLOW RECORDS-----       =           0   -   EXT. OVERFLOW BLOCKS------    =        0
         EXTENDED BLOCKS ALLOCATED-       =           0   -   EXTENDED PE BLOCKS--------    =        0
         EXTENDED BLOCKS USED -----       =           0   -   EXTENDED BLOCKS AVAILABLE-    =        0

IAM365   IAM EXECUTION STATISTICS -
         TOTAL STORAGE REQUIRED----       =     1996024   -   PRIME INDEX(COMPRESSED)---    =   138646
         STORAGE ABOVE THE LINE----       =     1971192   -   COMPRESSED DATA STRUCTURE-    =      YES
         REQUESTS PROCESSED--------       =       36002   -   REQUESTS FAILED-----------    =        0
         DISK BLOCKS READ----------       =           0   -   DISK BLOCKS WRITTEN-------    =       71   CRBUFOPT=MCYL
         DYNAMIC BUFFER RETRIEVALS-       =           0   -   MAXIMUM BUFFERS USED------    =      120
         MINIMUM BUFFERS USED------       =           0   -   MAXIMUM BUFFERS AVAILABLE-    =        0
         DATA SPACE USED(M BYTES)--       =           1   -   DATA SPACE SIZE(M BYTES)--    =      128

IAM366   IAM COMMAND EXECUTION SUMMARY -
         GET RANDOM----------------       =           0   -   PUT UPDATE----------------    =        0
         GET SEQUENTIAL------------       =           0   -   PUT ADD------------------    =    36000
         GET PREVIOUS--------------       =           0   -   POINT (START BROWSE)------    =        0
         GET KGE/GENERIC-----------       =           0   -   POINT KGE/GENERIC---------    =        0
         GET (SKIP SEQUENTIAL)-----       =           0   -   ERASE--------------------    =        0
         ENDREQ--------------------       =           0   -   WRTBFR-------------------    =        0
         IAM STATISTICS------------       =           0   -   IAM FLUSH BUFFER----------    =        0
         CLOSE---------------------       =           1   -   OPEN---------------------    =        1
         CLOSE TYPE=T--------------       =           0   -   VERIFY-------------------    =        0
         INVALID REQUESTS----------       =           0   -   RECORD LENGTH CHANGES-----    =        0
         SEQ CHAINED BLOCKS READ---       =           0   -   SEQ CHAINED BLOCKS WRITTEN    =     4122
```

Figure 54: Sample of an IAMINFO Report for a File Load

10.72 CONTINUED

IAMINFO for File Access

A sample IAMINFO report for normal file access is shown below. A file access is indicated on the IAM361 message, where it will indicate either that the file is opened for INPUT or UPDATE processing. Other differences from the file load report include statistics for IAM's Dynamic Tabling feature, and statistics for the Index Space, which is a Data Space used to hold the index for the data set.

```
IAM400   INNOVATION IAM INFORMATION PRINT ROUTINE -- IAMNINFO VER 7.0/01P  -- INNOVATION DATA PROCESSING   DATE-1999.267
IAM360   STEP - VAR100F    DDNAME - VSAMCRT1   DATA SET MONITORED - IAMV.VAR100.CLUSTER
IAM361   INFO REQUESTED BY PROGRAM IAMTVSAM FOR UPDATE PROCESSING   OPENED-1999.267.13:50:43  CLOSED-1999.267.13:51:40

IAM362   IAM DATA CHARACTERISTICS -                                                                   OVERRIDES IN EFFECT:
         IAM FILE FORMAT----------        =  ENHANCED    -  IAM FILE STATUS----------        =        LOADED
         LOGICAL RECORD LENGTH-----       =       2040   -  CI SIZE------------------        =          2048
         KEY SIZE-----------------        =         58   -  KEY OFFSET----------------       =             8
         TOTAL RECORDS-------------       =      51000   -  TOTAL RECORDS DELETED-----       =             0
         TOTAL RECORDS UPDATED-----       =          0   -  TOTAL RECORDS INSERTED----       =         15000

IAM363   IAM FILE CHARACTERISTICS -
         BLOCKING FACTOR-----------       '=          4   -  BLOCK SIZE---------------       =         13682
         TRACKS IN USE-------------       =       1571   -  VARIABLE LENGTH OVERFLOW--       =           YES
         DATASET TYPE--------------       =       KSDS   -  SHARE OPTIONS-------------       =             2
         NUMBER OF IAM DATA BLOCKS-       =       4163   -  HIGH ALLOCATED RBN--------       =         29999
         INTEGRATED OVERFLOW (CI%)-       =         10   -  DASD RESERVE (CA%)-------       =            10
         FILE DEFINED DATE---------       =   1999.267   -  FILE DEFINED TIME---------       =      13:47:30
         FILE LOADED DATE----------       =   1999.267   -  FILE LOADED TIME----------       =      13:47:53
         FILE UPDATE DATE----------       =   1999.267   -  FILE UPDATE TIME----------       =      13:50:42

IAM372   IAM EXTENDED AREA CHARACTERISTICS -
         EXT. OVERFLOW RECORDS-----       =       11831  -  EXT. OVERFLOW BLOCKS------       =          1972
         EXTENDED BLOCKS ALLOCATED-       =       25808  -  EXTENDED PE BLOCKS--------       =             0
         EXTENDED BLOCKS USED -----       =        2091  -  EXTENDED BLOCKS AVAILABLE-       =         23717

IAM365   IAM EXECUTION STATISTICS -
         TOTAL STORAGE REQUIRED----       =      397312  -  PRIME INDEX(COMPRESSED)---       =        138646
         STORAGE ABOVE THE LINE----       =      389120  -  COMPRESSED DATA STRUCTURE-       =           YES
         INDEX SPACE USED (K)------       =        1152  -  TOTAL JOB INDEX SPACE USED       =          3776     INDEXSPACE=YES
         REQUESTS PROCESSED--------       =       51003  -  REQUESTS FAILED-----------       =             1
         DISK BLOCKS READ----------       =        2556  -  DISK BLOCKS WRITTEN-------       =             0
         DYNAMIC BUFFER RETRIEVALS-       =       26952  -  MAXIMUM BUFFERS USED------       =            19
         MINIMUM BUFFERS USED------       =           1  -  MAXIMUM BUFFERS AVAILABLE-       =            19
         DYNAMIC TABLE RETRIEVALS--       =           0  -  DYNAMIC TABLE RECORDS-----       =             0

IAM368   SPECIFYING A BUFNO VALUE GREATER THAN  19 MAY IMPROVE PERFORMANCE

IAM366   IAM COMMAND EXECUTION SUMMARY -
         GET RANDOM----------------       =           0  -  PUT UPDATE----------------       =             0
         GET SEQUENTIAL------------       =       51001  -  PUT ADD------------------       =             0
         GET PREVIOUS--------------       =           0  -  POINT (START BROWSE)------       =             0
         GET KGE/GENERIC-----------       =           0  -  POINT KGE/GENERIC---------       =             0
         GET (SKIP SEQUENTIAL)-----       =           0  -  ERASE--------------------       =             0
         ENDREQ--------------------       =           0  -  WRTBFR--------------------       =             0
         IAM STATISTICS------------       =           0  -  IAM FLUSH BUFFER----------       =             0
         CLOSE---------------------       =           1  -  OPEN----------------------       =             1
         CLOSE TYPE=T--------------       =           0  -  VERIFY--------------------       =             0
         INVALID REQUESTS----------       =           0  -  RECORD LENGTH CHANGES-----       =             0
         SEQ CHAINED BLOCKS READ---       =        6163  -  SEQ CHAINED BLOCKS WRITTEN       =             0
```

Figure 55: Sample IAMINFO Report for File Access

10.72 CONTINUED

IAMINFO Report Description

The following tables will describe the various fields that appear on the IAMINFO Report. The first header line, IAM400 indicates the version and level of IAM that processed the data set, and the date of the report. The second header line, IAM360, provides the Step Name, the DD Name of the file being processed, and the Data Set Name. The third header line, IAM361, indicates the name of the program that opened the IAM data set, file processing mode (i.e. Creation, Input, or Update), and the open and close time stamps.

IAM362 Data Characteristics

IAM FILE FORMAT	Indicates if the file is an **ENHANCED** format IAM data set, or a **COMPATIBLE** format IAM data set.
IAM FILE STATUS	Indicates whether the file is in a **LOADED** state, or an **UNLOADED** state.
LOGICAL RECORD LENGTH	The maximum record length, as specified when the data set was defined..
CI SIZE	The control interval (CI) size specified when file was defined. If no explicit CI size was provided, then IAM calculates a value based on the maximum record size.
KEY SIZE	The length of the key, specified when file was defined. The maximum key length for an IAM data set is 249 bytes.
KEY OFFSET	The relative position of the key within the user data record. (RKP) The key must be positioned within the first 4K of the data record.
AVERAGE RECORD LENGTH	During a load operation IAM calculates an average length for the records that were loaded into the file. For data compressed files, the length is after compression. NOTE: This field only appears for a file CREATION report.
LARGEST RECORD PROCESSED	During a load operation IAM reports the length of the largest record that was written to the file. NOTE: This field only appears for a file CREATION report.
TOTAL RECORDS	The total number of records in the IAM file. NOTE: This field does not appear on a file CREATION report.
TOTAL RECORDS DELETED	The total number of records deleted from the IAM file since creation. NOTE: This field does notappear on a file CREATION report.
TOTAL RECORDS UPDATED	The total number of records updated in the IAM file since creation. NOTE: This field does not appear on a file CREATION report.
TOTAL RECORDS INSERTED	The total number of records inserted into the IAM file since creation. NOTE: This field does not appear on a file CREATION report.

CONTINUED . . .

10.72 CONTINUED

IAM363 File Characteristics

BLOCKING FACTOR	A value of 1 to 15 is the number of blocks per track (as blocking factor). A larger value indicates the requested block size from the IAM CREATE B= override.
BLOCKSIZE	Physical blocksize of the IAM file. This is the actual blocksize developed and used by IAM. NOTE: IAM will determine the optimal blocksize for a file based upon the file's record length, the blocking factor requested and the track capacity of the device. The IAM blocksize is transparent to application programs even when the blocksize is altered or the file is relocated to a different device type.
TRACKS IN USE	Number of tracks currently being used by IAM for the file. Does not include unused (available) Extended blocks.
VARIABLE LENGTH OVERFLOW	A **YES** value indicates that IAM will fit as many records as possible into an extended overflow block. A **NO** value indicates that IAM will only put in the number of maximum size records that will fit within an overflow block.
DATASET TYPE	Describes the type of data set IAM is simulating. Possible values include: **KSDS**, for key sequenced data set, **ESDS** for entry sequence, (i.e. sequential), **ESDS/P** for ESDS files defined with PSEUDORBA specified, or **AIX** for an alternate index.
SHARE OPTIONS	Indicates the defined cross region share option for this file. Possible values are: **1, 2, 3,** or **4**. The use of IAM files with Share Option 3 or 4 is not recommended, as possible data loss can occur.
NUMBER OF IAM DATA BLOCKS	The number of blocks in the file up to where the prime index begins. This field may be needed to run IAMRECVR for recovery if the first block in the file has been damaged.
HIGH ALLOCATED RBN	This the highest allocated block number currently in the IAM file. This field may be needed to run IAMRECVR for recovery if the control information about the file has been damaged.
INTEGRATED OVERFLOW (CI%)	The amount of space, as a percentage, left free in each prime data as the file is being loaded. The space can subsequently be used for file expansion. This value is specified and is similar in concept to CI Freespace.
DASD RESERVE (CA%)	Indicates the CA Freespace value that was specified when the file was defined. This is used to by IAM to reserve some space for future expansion during automatic space release, which occurs during the first file load.
FILE DEFINED DATE / TIME	The date and time that the file was defined.
FILE LOADED DATE / TIME	The date and time of the completion of the last file load or reorganization for this file.
FILE UPDATE DATE / TIME	The date and time the file was last closed by a program that updated the file.

10.72 CONTINUED

IAM372 Extended Area Characteristics

EXT. OVERFLOW RECORDS	Number of records currently contained in extended overflow blocks.
EXT. OVERFLOW BLOCKS	Number of extended overflow blocks.
EXTENDED BLOCKS ALLOCATED	Number of extended overflow blocks that will fit within the current amount of DASD space allocated to the file.
EXTENDED PE BLOCKS	Number of extended blocks assigned as Prime Extension (PE) blocks.
EXTENDED BLOCKS USED	Total number of extended overflow blocks in use. This includes Overflow, PE, and Extended Index blocks.
EXTENDED BLOCKS AVAILABLE	The number of extended blocks that are available for use within the currently allocated DASD space.

IAM365 Execution Statistics

TOTAL STORAGE REQUIRED	Total virtual storage from the job's address space acquired by IAM during this execution for this data set.
PRIME INDEX [COMPRESSED]	Indicates the amount of virtual storage required for the index to the prime data area of this file. Also, if the index is in a compressed format, the word COMPRESSED appears.
STORAGE ABOVE THE LINE	Total amount of virtual storage acquired above the 16MB line.
COMPRESSED DATA STRUCTURE	Indicates whether data compression was used for this file.
INDEX SPACE USED (K)	The amount of virtual storage, in KB, used by this data set in the Data Space that was acquired for IAM index structures.
TOTAL JOB INDEX SPACE USED	The total amount of virtual storage, in KB, used so far by IAM in this job step in the Data Space that was acquired for the IAM Index Structure.
REQUESTS PROCESSED	Number of requests made against the file, since last OPEN, by the application (a breakdown by command type follows in the command execution summary).
REQUESTS FAILED	Number of requests made to IAM which did not complete normally (i.e. EOF on sequential read, no record found on random read)
DISK BLOCKS READ	Number of physical I/O's used to read blocks from the file.
DISK BLOCKS WRITTEN	Number of physical I/O's used to write blocks to the file.
DYNAMIC BUFFER RETRIEVALS	Number of times that IAM was able to retrieve a block from the buffer pool without the need for a physical I/O.
MAXIMUM BUFFERS USED	The maximum number of data buffers acquired by IAM's Real Time Tuning during this execution. This may be accompanied by an IAM367 or IAM368 informational message indicating that if IAM was allowed to acquire more buffers the number of I/O's required to service the requests against the file could have been reduced. All buffers are acquired above the 16MB line.

10.72 CONTINUED

DYNAMIC TABLE RETRIEVALS	Number of random read requests satisfied by IAM's Dynamic Tabling of data records in virtual. IAM's Dynamic Table (DYNCORE) is maintained in virtual storage above the 16MB line.
DYNAMIC TABLE RECORDS	The total number of data records IAM placed into the Dynamic Table.
DATA SPACE USED (M BYTES)	The amount of area actually used in the data space during file load.
DATA SPACE SIZE (M BYTES)	The size of the data space created during file load.

IAM366 Command Execution Summary

GET RANDOM	Number of random READ requests with an exact key specified.
PUT UPDATE	Number of UPDATE requests.
GET SEQUENTIAL	Number of sequential GET requests.
PUT ADD	Number of INSERT requests.
GET PREVIOUS	Number of GET PREVIOUS requests.
POINT (START BROWSE)	Number of POINT requests.
GET CI (SEQUENTIAL	Number of sequential control interval GETS. (ESDS only)
PUT CI (UPDATE)	Number of CONTROL INTERVAL UPDATES (ESDS only)
GET CI (RANDOM)	Number of CONTROL INTERVAL GETS (ESDS only)
PUT CI (ADD)	Number of CONTROL INTERVAL ADDS (ESDS only)
GET KGE/GENERIC	Number of random or skip sequential reads, indicating search for key greater or equal, and /or partial key search. (KSDS only)
POINT KGE/GENERIC	Number of POINT, or START BROWSE, requests indicating a search for key greater or equal, or partial key search. (KSDS only)
GET (SKIP SEQUENTIAL)	Number of GET's issued in Skip Sequential mode, with an exact key specified. (KSDS only)
ERASE	Number of requests to delete records. (KSDS only)
ENDREQ	Number of LSR ENDREQ requests
WRTBFR	Number of LSR WRTBFR requests
IAM STATISTICS	Number of IAM statistic requests
IAM FLUSH BUFFER	Number of IAM flush buffer requests
CLOSE	Number of CLOSE requests
OPEN	Number of OPEN requests
CLOSE TYPE=T	Number of TEMPORARY CLOSE requests
VERIFY	Number of VERIFYs
INVALID REQUESTS	Number of requests with RPL error's
RECORD LENGTH CHANGES	Number of records with length changes

10.72 CONTINUED

SEQ CHAINED BLOCKS READ Number of chained buffers during READ I/O.

SEQ CHAIN WRITTEN Number of chained buffers during WRITE I/O.

IAMINFO Reports from IAMSMF

As indicated previously, if the IAM Global Options have been set to enable the recording of IAM SMF records, then the IAMSMF program can be used to print IAMINFO reports from the SMF data. This is a handy way to make sure that the information will be available, without having to put IAMINFO DD cards in all of the jobs using IAM data sets. IAMSMF can be run either against the live SMF data set, or SMF history data sets. To obtain the reports, use the IAMINFO command of IAMSMF.

The IAMINFO command offers a variety of selection criteria, so that you can limit the number of reports produced, if desired. Amongst the criteria that can be specified are job names, data set names, by dates, or by various data set attributes or activity levels. Full details on the various options and keywords are available in the System Analysis Utilities section of the manual.

Example of IAMSMF JCL for IAMINFO Reports

An example of running the IAMSMF utility to print IAMINFO reports is shown below. The DD cards required are SYSPRINT for the printed report(s), SYSIN for the control card input, and SYSMF which is the data set containing the SMF data. In the example, IAMSMF will print out IAMINFO reports only for the specified job name, plus MERGE=NO means that a report is produced for each IAM data set close.

```
//IAMINFO   EXEC PGM=IAMSMF,REGION=0M
//SYSPRINT  DD   SYSOUT=*
//SYSMF     DD   DISP=OLD,DSN=my.smf.data
//SYSIN     DD   *
   IAMINFO  JOBNAME=myjob,MERGE=NO
/*
```

Figure 56: Example JCL for IAMIFNO Reports from IAMSMF (EX1072B)

10.72 CONTINUED

The IAMINFO reports that are produced by IAMSMF have the IAM360 and IAM361 messages replaced by an IAM370 Job Characteristics Section. The fields in that section are described below.

IAM370 Job Characteristics

JOB NAME	Indicates the name of the job that processed the IAM data set.
STEP NAME	Indicates the job step name that processed the IAM data set.
PROGRAM NAME	The name of the program that opened the IAM data set.
FUNCTION	Indicates the function, which can be File Creation, Input Processing, or Update Processing.
DDNAME	The DD name that was processed.
DSNAME	The name of the IAM data set processed.
DATE OPENED	The date that the data set was opened.
TIME OPENED	The time that the data set was opened.
DATE CLOSED	The date that the data set was closed.
TIME CLOSED	The time that the data set was closed.

CONTINUED . . .

10.73 IAMSMFVS REPORTS

IAMSMFVS

Another reporting alternative, if the IAM SMF records are being recorded, is to use the IAMSMFVS program. IAMSMFVS provides a handy way to monitor and track the use of IAM within an installation, or by particular applications. This program produces three reports, an EXCP report, a Data Set Report, and a Size Report. Each report summarizes activity with one line per data set and reports on different statistics and attributes. The EXCP report and the Size report by default report on the top 100 data sets, while the Data Set Report contains all of the data sets that were processed. Some customers have used the IAMSMFVS report to watch and determine when various IAM data sets may need to be reorganized based on percentage of the overflow area in use.

Example IAMSMFVS JCL

An example of the JCL and control card to run IAMSMFVS are shown below. Full details on running IAMSMFVS and on the control card input are provided in the System Analysis Utilities section of the manual. Please note that IAMSMFVS requires SMF type 30, subtype 4 records or SMF type 4 records to produce reports. There is one keyword specified on the example REPORT control card, which is DSORG=IAM. By default, IAMSMFVS will produce reports on IAM and VSAM. (Note: For VSAM only, specify DSORG=AM).

```
//IAMSMFVS   EXEC PGM=IAMSMFVS,REGION=4M
//SYSMF      DD   DISP=SHR,DSN=my.smf.data
//SYSPRINT   DD   SYSOUT=*
//SORTIN     DD   UNIT=SYSDA,SPACE=(CYL,(15,5))
//SORTOUT    DD   UNIT=SYSDA,SPACE=(CYL,(15,5))
//SORTWK01   DD   UNIT=SYSDA,SPACE=(CYL,(15,10))
//SORTWK02   DD   UNIT=SYSDA,SPACE=(CYL,(15,10))
//SORTWK03   DD   UNIT=SYSDA,SPACE=(CYL,(15,10))
//SYSOUT     DD   SYSOUT=*
//SYSIN DD *
   REPORT  DSORG=IAM
/*
```

Figure 57: Example of JCL to run IAMSMFVS (EX1073A)

A few additional keywords for the REPORT control card that you might find useful for IAM reporting include:

- **CURRENT** - Specifies that the current overflow usage values be reported on, rather than the maximum amount encountered in the data.
- **DETAIL** - The EXCP report will contain a breakdown for each use of the file, including job, step, and program name information.
- **DSG** - Specifies only data sets that begin with the value(s) specified will be included in the reports. For example, DSG=PROD, or DSG=(PROD1,PROD2.AP,PROD3.AR)
- **MAXDSNS** - Specifies the maximum number of data sets that IAMSMFVS will accumulate statistics for. The default value is 1500. Note that for VSAM, each component counts as a data set, plus the cluster name. So, a KSDS will require 3 entries, and an ESDS will require 2 entries. IAM data sets only require 1 entry each.
- **MAXREPORTS** - Specifies the number of data sets that will be included in the EXCP and the SIZE reports. Default is 100.

10.73 CONTINUED

Sample IAMSMFVS Summary Report

The first page of the IAMSMFVS output consists of a summarization of all of the data that was selected for reporting, including totals for data set names, job names, total EXCP counts by access method, and DASD space used by access method (i.e., IAM and VSAM). Below is sample output of the first page.

```
IAM400 SMF REPORT/DATA EXTRACT PROGRAM-IAMSMFVS VER 7.0/01P-INNOVATION DATA PROCESSING DATE-1999.268
IAM303   CARD IMAGE - *   REPORT  DSORG=IAM                                    00120035*
IAM491   SMF REPORT       FUNCTION STARTED - 08.54.31

IAM601 SMF RECORDS -- READ......4763  USED.......392  DROPPED.........0
       22 DATA SETS TABLED REPRESENTING    2 JOBS --  DATED  1999.268 07:38  THRU  1999.268 08:31
         SPACE UTILIZATION SUMMARY -

            DEVICE TYPE.....3380              VSAMCYL/TRK........0/00      IAM CYL/TRK......139/02
            DEVICE TYPE.....3390              VSAMCYL/TRK........0/00      IAM CYL/TRK......274/08
     TOTAL DISK EXCPS.......36336             VSAMEXCPS.................0      IAM EXCPS..........30112
```

Figure 58: Sample IAMSMFVS Summary Report

Sample IAMSMFVS IAM EXCP Report

After the Summary Report, IAMSMFVS produces the EXCP report. There is a separate EXCP report for IAM data sets and VSAM data sets, if both types of data sets are being reported on. In our sample case, only IAM data sets are being reported on, so there is only an IAM EXCP report. Below is a sample of an IAM EXCP report, as produced by IAMSMFVS. Entries are sorted by largest to smallest EXCP count. As stated previously, only the top 100 data sets are included, unless MAXREPORTS has been specified with a different value.

IAM400 SMF REPORT/DATA EXTRACT PROGRAM-IAMSMFVS VER 7.0/01P-INNOVATION DATA PROCESSING DATE-1999.268

IAM EXCP REPORT

DATA SET NAME	USE COUNT	TOTAL EXCPS	RECORDS	READS	INSERTS	UPDATES	DELETES	OVRFLW RECS	OVRFLW %	USED TRKS
IAMV.DCV209.CLUSTER	2	9923	40960	164867	0	1024	0	140	10	704
IAMV.KSD985.CLUSTER	7	7031	167213	160022	3630	0	0	3606	11	4118
IAMV.DCV207.CLUSTER	2	3490	8192	34819	0	2048	0	252	20	80
IAMV.DCV205.CLUSTER	2	2628	5824	19139	864	1024	0	449	33	100
IAMV.DCV211.CLUSTER	2	2344	20000	28193	0	0	0	0	100	346
IAMV.DCV202.CLUSTER	2	1674	5120	22083	512	64	0	256	11	47
IAMV.DCV204.CLUSTER	2	1309	5824	19139	864	1024	0	462	17	51
IAMV.DCV203.CLUSTER	3	752	6172	11794	1038	1524	0	655	35	24
IAMV.DCV210.CLUSTER	2	381	100000	108193	0	0	0	0	100	228
IAMV.DCV208B.CLUSTER	3	185	10800	21601	0	0	0	0	100	41
IAMV.DCV201.CLUSTER	2	126	4096	17411	0	1024	0	79	1	7
IAMV.DCV213.CLUSTER	2	116	10000	192	0	60	4	0	100	178
IAMV.DCV208A.CLUSTER	3	46	10800	21602	0	0	0	0	100	49
IAMV.DCV212.CLUSTER	1	25	10000	0	0	0	0	10	100	178
IAMV.DCV402.CLUSTER	3	18	42	9	4	0	0	0	0	4
IAMV.DCV206.CLUSTER	2	8	4096	4097	0	0	0	0	100	6

END OF IAM EXCP REPORT

Figure 59: Sample IAMSMFVS IAM EXCP Report

The Use Count is the number of SMF records processed for the data set. The following fields represent the accumulation of data from all of the records: EXCPS, READS, INSERTS, UPDATES, and DELETES. If the keyword CURRENT is included on the REPORT request, then the Overflow statistics are from the most recent record, otherwise they are the maximum encountered. The RECORDS and TRACKS USED are the maximum encountered.

10.73 CONTINUED

Sample IAMSMFVS Data Set Report

The next report is the Data Set Summary Report. This report is sorted by data set name, and includes all of the data sets selected for this execution of IAMSMFVS. The information presented includes basic data set attribute information, such as record length, key length, relative key position, and the block size or CI size.

IAM400 SMF REPORT/DATA EXTRACT PROGRAM-IAMSMFVS VER 7.0/01P-INNOVATION DATA PROCESSING DATE-1999.268
DATA SET SUMMARY REPORT

DATA SET NAME	USE COUNT	TOTAL EXCPS	DSORG	RECFM	AVG LRECL	MAX LRECL	KEY LEN	RKP	BLKOR CISIZE	FRSPC CI%	FRSPC CA%
IAMV.DCV201.CLUSTER	2	126	IAM	VB-DC	46	64	4	12	11476	10	10
IAMV.DCV202.CLUSTER	2	1674	IAM	VE-DC	429	670	4	8	11476	6	10
IAMV.DCV203.CLUSTER	3	752	IAM	VO-DC	166	256	4	8	11476	10	20
IAMV.DCV204.CLUSTER	2	1309	IAM	VO-DC	418	512	4	252	11476	10	10
IAMV.DCV205.CLUSTER	2	2628	IAM	VE-DC	838	1024	4	516	11476	10	10
IAMV.DCV206.CLUSTER	2	8	IAM	VE-DC	46	64	4	12	11476	10	10
IAMV.DCV207.CLUSTER	2	3490	IAM	VB-DC	350	1040	16	8	11476	10	10
IAMV.DCV208A.CLUSTER	3	46	IAM	VE	175	175	75	8	11476	10	10
IAMV.DCV208B.CLUSTER	3	185	IAM	VB-DC	147	175	75	8	11476	10	10
IAMV.DCV209.CLUSTER	2	9923	IAM	VB-DC	669	1040	24	8	11476	10	10
IAMV.DCV210.CLUSTER	2	381	IAM	VB-DC	89	128	12	12	11476	10	10
IAMV.DCV211.CLUSTER	2	2344	IAM	VB-DC	677	1039	12	12	11476	10	10
IAMV.DCV212.CLUSTER	1	25	IAM	VB-DC	704	1024	12	12	11476	10	10
IAMV.DCV213.CLUSTER	2	116	IAM	VE-DC	704	1024	12	12	11476	10	10
IAMV.DCV402.CLUSTER	3	18	IAM	VE-DC	4680	2340	4	8	23476	10	10
IAMV.KSD985.CLUSTER	7	7031	IAM	VB	1300	1300	60	8	13682	0	

Figure 60: Sample IAMSMFVS Data Set Report

The RECFM values for IAM data sets have the following meanings:

FB: Compatible format, fixed length records

VB: Compatible format, variable length records

VE: Enhanced format, variable length records

VO: Enhanced format, variable overflow

DC: Data Compressed

Also note that for IAM data sets, the average record length is based only on records initially loaded into the data set, and if data compression was being used, will be based on the record lengths after compression.

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10.73 CONTINUED

Sample IAMSMFVS IAM Size Report

The Size report is broken out in a manner similar to the EXCP report. There are separate reports for IAM and VSAM data sets, and the reports consist of the largest 100 data sets, or whatever value was specified on the MAXREPORTS operand. This report contains the most information about the overflow area use, so it would be the one to use for a determination as to whether or not a reorganization is needed.

IAM400 SMF REPORT/DATA EXTRACT PROGRAM-IAMSMFVS VER 7.0/01P-INNOVATION DATA PROCESSING DATE-1999.268

IAM SIZE REPORT

DATA SET NAME	TRACKS USED	TOTAL EXCPS	USE COUNT	TOTAL RECORDS	INDEPENDENT MAXREC	USEREC	OVERFLOW %USE	PRIME EXT	CI%
IAMV.KSD985.CLUSTER	4118	7031	7	167213	30290	3606	11	0	0
IAMV.DCV209.CLUSTER	704	9923	2	40960	1400	140	10	0	10
IAMV.DCV211.CLUSTER	346	2344	2	20000	0	0	0	0	10
IAMV.DCV210.CLUSTER	228	381	2	100000	0	0	0	0	10
IAMV.DCV213.CLUSTER	178	116	2	10000	0	0	0	0	10
IAMV.DCV212.CLUSTER	178	25	1	10000	0	0	0	0	10
IAMV.DCV205.CLUSTER	100	2628	2	5824	1342	449	33	7	10
IAMV.DCV207.CLUSTER	80	3490	2	8192	1260	252	20	0	10
IAMV.DCV204.CLUSTER	51	1309	2	5824	2640	462	17	4	10
IAMV.DCV208A.CLUSTER	49	46	3	10800	0	0	0	0	10
IAMV.DCV202.CLUSTER	47	1674	2	5120	2176	256	11	0	6
IAMV.DCV208B.CLUSTER	41	185	3	10800	0	0	0	0	10
IAMV.DCV203.CLUSTER	24	752	3	6172	1848	655	35	4	10
IAMV.DCV201.CLUSTER	7	126	2	4096	5712	79	1	0	10
IAMV.DCV206.CLUSTER	6	8	2	4096	0	0	0	0	10
IAMV.DCV402.CLUSTER	4	18	3	42	227	0	0	4	10

Figure 61: Sample IAMSMFVS IAM Size Report

For Enhanced Format files, the Maximum Overflow Records are based on either the user specified number of overflow records, from the CREATE O= override. The O= override value is retained for informational purposes to aid in making decisions about when the data set should be reorganized. IAM makes no guarantee that there is sufficient DASD space for IAM to actually keep that number of records in the overflow area. Also, the overflow may be able to hold more records than the number specified, so the overflow used percentage can exceed 100.

If no override had been provided, then maximum overflow records is calculated based on the number of extended blocks currently allocated to the data set.

10.74 REPORTING ON IAM DATA SETS WITH FDREPORT

FDREPORT

Customers who have the ABR product from Innovation Data Processing can use FDREPORT to find and provide information on IAM data sets. FDREPORT is a very powerful and flexible reporting tool, that enables the user to customize reports with information about data sets residing on their DASD volumes. FDREPORT can pull information from the VTOC, the catalog, the VVDS, and for IAM data sets, statistical and attribute information from the data set itself, and merge that information into a single report. Using FDREPORT, you can find out where all of your IAM data sets are, which data sets are IAM data sets, how much space is being used by IAM, does a particular volume or volume group have any IAM data sets, plus many data set management other questions.

Full information on using FDREPORT is in Section 53.20 of the FDR V5.2 manual, and Section 54 of the FDR V5.3 manual. It is quite easy to use. First, you specify what data sets you want to report on using the SELECT or XSELECT cards. You can also exclude various data sets with the EXCLUDE or XEXCLUDE control cards. Then, using the REPORT card, you specify what fields you want included in your report. Next, you can provide your own report title using the TITLE card. Then, with the PRINT card, FDREPORT goes to work for you, and very quickly you will have your own customized report.

Example 1: FDREPORT JCL and Control Card

Shown below is a sample of the JCL and control cards that can be used to find all of the IAM data sets in your installation. The information being requested includes:

1. **SPLDSN** - The data set name, split across two lines if it is very long.
2. **VOL** - The volume containing the data set.
3. **SIZE** - The space allocated to the data set, in tracks.
4. **%FREE** - The percentage of unused space.
5. **NOEXTENT** - The number of extents.
6. **BLKSIZE** - The block size of the data set.
7. **MAXLRECL** - The maximum record length.
8. **KEYLEN** - The key length of the data set.
9. **RKP** - The relative key position.
10. **RECORDS** - The number of records in the data set.
11. **OVERUSED** - The number of records in the IAM Overflow Area

```
//KSD972C EXEC PGM=FDREPORT,REGION=4M
//SYSPRINT  DD  SYSOUT=*
//SYSIN     DD  *
 XSELECT DSORG=IAM
 REPORT FIELDS=(SPLDSN,VOL,SIZE,%FREE,NOEXTENT,
                BLKSIZE,MAXLRECL,KEYLEN,RKP,RECORDS,OVERUSED)
 TITLE LINE='IAM DATA SET REPORT'
 PRINT ENABLE=IAM,SORT=COMBINE,SORTALLOC=(SORTWORK,CYL),ONLINE
/*
```

Figure 62: Sample JCL to run FDREPORT (EX1074A)

10.74 CONTINUED

Sample FDREPORT Output

The report produced by the JCL and control cards above looks like this:

```
FDR400 FDRABR CUSTOM REPORTS - FDREPORT VER 5.2/65P INNOVATION DATA PROCESSING DATE-97.269 PAGE-0001
                                IAM DATA SET REPORT

                                                                                  KEY
DATA SET NAME                VOLSER  ALLOC    %FR   EXT    BKSIZ    MAXLR   LEN   RKP   RECORDSOVERUSED
-------------                ------- -------- ----- ----- -------- -------- ----- ----- ------------- -------------
GFM.P.EMBOX                  IDPLB5   7500     0     3    32760    32750    55     4     651727        5178
IAMV.$IAM.GAMA.KSDS2         IDPLB2     15     0     1    13682      500     8     4        500         100
IAMV.$IAM.GAMA0008.CLUSTER   IDPLB2     15    86     1    13682       80     8     4        185           0
IAMV.$IAM.GAMA8.CLUSTER      IDPLB2     15    86     1    13682       80     8     4        185           0
IAMV.KSD972A.CLUSTER         SCR092    750    79     1    13682     1300     4    12       6000           0
IAMV.KSD972B.CLUSTER         SCR092    750    86     1    13682     1300     4    12       4000           0
IAMV.KSD972C.CLUSTER         SCR092    750    69     1    13682     1300     4    12       9000           0
IAMV.VIT212A.CLUSTER         SCR083     30    46     1    23476     2340     4    12        256           0
MET1.MAILFILE                SCR083    960     0    12    11476     2260     8     4      42587           0
SYSP.USTPROD.$USTCAT         IDPLB4    150    76     1    11476      504    18     4        686           0
SYSP.USTPROD.$USTINFO        IDPLB4   6900     4     5    15476      354    50     4    1557834           0
```

Figure 63: Sample Report from FDREPORT

Example 2: FDREPORT Selective Criteria

A more selective example is shown below. For this report request, selection criteria has been added to look only for data sets that begin with the specified character string, as identified by the DSG= parameter, that are on volumes that begin with the character string identified by VOLG=.

```
//KSD972C EXEC PGM=FDREPORT,REGION=4M
//SYSPRINT  DD  SYSOUT=*
//SYSIN     DD  *
 XSELECT DSORG=IAM,DSG=prod.ordent,VOLG=PROD
 REPORT FIELDS=(DSN,VOL,SIZE,NOEXTENT,IAMINFO,KEYLEN)
 TITLE LINE='IAM ORDER ENTRY DATA SET REPORT'
 PRINT ENABLE=IAM,SORT=COMBINE,SORTALLOC=(SORTWORK,CYL),ONLINE
/*
```

Figure 64: Example FDREPORT for IAM Data Sets (EX1074B)

An example of the output is shown below.

```
FDR400 FDRABR CUSTOM REPORTS-FDREPORT VER 5.2/65P INNOVATION DATA PROCESSING DATE-97.272 PAGE - 0001
                                IAM ORDER ENTRY DATA SET REPORT

                                                                                                  KEY
DATA SET NAME                VOLSER     ALLOC     EXT    D/S ORG   RECFM    MAXLR   LRECL   BKSIZ   CISIZ   LEN
-------------                -----------  -----------  ------  --------  ---------- -----------  ---------  ---------  --------  --------
PROD.ORDENT.MEPFILE          IDPLB5        15      1     IAM     FB          16      16   11472       0     6
PROD.ORDENT.NAMEFILE         IDPLB2        60      1     IAM     FB          99      99   13662       0    69
PROD.ORDENT.PRICE.FILE       IDPLB2         5      1     IAM     FB          30      30   13680   11460    14
PROD.ORDENT.PROD.LEVEL       IDPLB2        77      2     IAM     FB          17      17   13668   11475    13
PROD.ORDENT.FILE             IDPLB2      1026      3     IAM     VB        2260     810   13682   11476     8
```

Figure 65: Example 2 of FDREPORT Output

10.80 IAM DATA SET MAINTENANCE

Overview As with any indexed data set, IAM data sets require periodic maintenance. This includes such chores as periodic reorganizations, renaming, recataloging, backup and restore, moving, and on rare occasion a recovery may be necessary. This section will explain why and when such chores are necessary, and provide plenty of examples on how to accomplish each chore to meet your processing requirements.

10.81 REORGANIZING IAM DATA SETS

Why Reorganize

With the enhancements in IAM Version 6.3 to dynamically increase the size of the overflow areas by obtaining additional DASD space, and with support in IAM Version 6.4 of variable length records in overflow, some of the more obvious reasons to reorganize an IAM data set have disappeared. Data sets may now be able to exist for quite a long length of time before reorganization is necessary due to increased DASD storage requirements for the Overflow area. However, as with any indexed data set type, IAM data sets that are updated will have to be reorganized for optimum performance and DASD space usage. As records are added or updated with record length increases, these records are placed into the Extended areas (Extended Overflow / Extended PE) of the IAM data set. This causes the amount of virtual storage required to index the file to increase. As the overflow index grows, there will also be additional CPU time required to open the data set, and to search and maintain position within the index. Additionally, for sequential processing, keys in ascending key sequence can end up scattered throughout the extended overflow area causing excessive I/O when reading or updating the data set. Data sets are still subject to running out of extents or DASD space. Deleted records may leave significant portions of the prime area empty and not reusable because the original prime index is no longer reflective of the data in the file. For these reasons, updated files are going to need periodic reorganization.

When to Reorganize

Many applications that have been using VSAM or IAM data sets already have regularly scheduled data set reorganizations. Generally, there should be no need to change the schedule. For data sets that are only reorganized when needed, the difficulty is in determining when an IAM data set should be reorganized. With Compatible format IAM files, it was relatively easy because of the fixed size of the overflow area. With Enhanced format files the ability to acquire extents and now with variable overflow, it is not quite as clear cut. Amongst the criteria to consider are:

1. Amount of virtual storage required for the Extended Overflow Index. This can be estimated by multiplying the number of records in Overflow by the key length plus 4.
2. Percentage of records that are in Extended Overflow. For example, if more than 10% of the records in the data set are in Extended Overflow, then the data set should be reorganized.
3. Number of extents being used for single volume data set.
4. The Extended area of the file exceeding a specified quantity of DASD space.
5. Exceeding specified quantity of records in Extended Overflow.

To aid in determining when a data set should be reorganized, IAM will produce a warning message, IAMW22, during open or close if certain conditions are detected for which a reorganization might be warranted. These messages are meant to bring to attention that a reorganization should be considered for the indicated data sets. Certainly some data sets may continue processing for several days or weeks without a reorganization after hitting a threshold condition, but only experience will be able to indicate if that is true. Other data sets, such as small data sets with very high activity, may need to be reorganized more frequently. The IAM thresholds include the Extended Overflow index exceeding sixteen megabytes of storage, using thirteen or more extents for a single volume data set, or when the overflow area exceeds one thousand cylinders. The Overflow override can be used to monitor how much overflow space is being used, similar to what was done for Compatible format IAM files, except that the limit is no longer the absolute limit. By providing the override, IAM will monitor and inform you via an IAMW22 message that a reorganization is recommended because the Extended Overflow area exceeds the number of records specified.

Reorganization can be done automatically. Innovation Data Processing offers a software package, FDRREORG, which can automate file reorganizations for IAM, VSAM, and PDS types of data sets. Some customers have used the IAM SMF reports or the IAM SMF records directly to trigger data set reorganizations, and the IAMW22 message has also been used. Also the IAMPRINT report produced by a LISTCAT can trigger a data set reorganization.

10.81 CONTINUED

How To Reorganize

IAM data sets can be easily reorganized with the FDRREORG product from Innovation Data Processing. FDRREORG can search out all or just the selected IAM data sets, and if they meet the specified criteria, will be automatically reorganized. FDRREORG maximizes buffering for fast reorganizations, can reorganize multiple data sets concurrently, and also has a feature to DELETE and DEFINE the data sets that are being reorganized. FDRREORG also provides the capability to read from each volume of a multivolume IAM data set concurrently (the MAXPARALLELBACKUPS= keyword), which can result in faster reorganization times for the very large data sets.

The other common way to reorganize an IAM data set is through the use of IDCAMS REPRO. With appropriate buffering, IDCAMS REPRO can perform the reorganization quickly. The general technique is to REPRO the data from the IAM data set into a sequential data set, then optionally delete and redefine the IAM data set, followed by an IDCAMS REPRO REUSE from the sequential data set into the IAM data set. An alternative with IDCAMS is to REPRO from one IAM data set into another IAM data set without going to a sequential data set. This could save considerable time, providing that there is the DASD space available for both copies of the data set, and that a sequential back up of the data is not required. Some installations have written programs to read the IAMSMFVS report for IAM data sets or to read the optional IAM SMF records directly, and then build and submit job(s) to reorganize the selected files. The IAMPRINT LISTCAT output has also been used for this purpose.

Some application software packages provide their own data set reorganization utility. The one thing to watch out for with such utilities is to determine if they are doing a single record load followed by a mass insert. Such a process will result in the data records all being placed within the extended areas of the file, which may adversely impact the performance of the file in subsequent executions. If such is the case, then an additional reorganization by IDCAMS should be done following the application reorganization to ensure optimum performance.

Alternate Index Considerations

IAM base cluster types of data sets with alternate indexes are marked as non-reusable by IAM, same as with VSAM. The base cluster can not be reorganized without deleting and redefining the base, and all of the related components except by FDRREORG. This is to help insure that the alternate index files retain their integrity with the base cluster. The IAM alternate index data sets can be reorganized as needed, or they can just be rebuilt. FDRREORG V5.3/50 is required to reorganize IAM Alternate Index data sets.

Backup of Compressed Data

An IAM feature that may help speed up the reorganization is to not decompress the data while it is being read, and to accept the IAM compressed data format on the reload. This feature will eliminate the CPU time spent decompressing and compressing the data, and also eliminate I/O transfer time to the output device. If the output is a tape device that offers compression, there normally will not be any savings in tape usage because the data is already compressed. FDRREORG will automatically invoke this facility. For IDCAMS REPRO, the IAM ACCESS and CREATE Override of BACKUPCOMPRESSED must be specified on both the backup and the reload portion of the reorganization. Note that the sequential file record length must be at least eight bytes larger than the defined maximum record size for the data set being copied, and should also have a record format of variable blocked (RECFM=VB). This facility is not recommended for use when the sequential backup is read by other application programs, as the data is not in a usable form. The compressed backup file can be converted to an uncompressed sequential file through the use of the IAMRECVR DECOMPRESS command. For the adventuresome, IAM provides a callable service that will read and decompress a sequential file with compressed IAM data, and can also write out user data to a sequential file in IAM compressed format. For further information on using the callable service, contact Innovation.

10.81 CONTINUED

Example A: Multiple IAM Data Set Reorg with FDRREORG

The first example of reorganizing IAM data sets is with FDRREORG. In this example, all cataloged IAM data sets that have a high level index of MYIAM will be considered for reorganization. The criteria being specified is that if any of the following are true, the data set will be reorganized:

- The Overflow Index exceeds 4 megabytes.
- More than 10% of the records in the file are in the Extended Overflow area.
- There are more than 1,000,000 records in Overflow.

Defaults for the backup data sets and for the maximum tasks will be used.

```
//REORGIAM EXEC PGM=FDRREORG,REGION=7M
//SYSPRINT DD   SYSOUT=*
//REORGPRT DD   SYSOUT=*
//REORGRPT DD   SYSOUT=*
//IAMINFO  DD   SYSOUT=*
//SYSIN    DD   *
  REORG DSTYPE=IAM
  SELECT CATDSN=(MYIAM.**),IFANY,
            OVERFLOWINDEX>4194304,
            PCTTRECO>10,
            ORECS>1000000
/*
```

Figure 66: Reorganization of Multiple IAM Data Sets Selected by FDRREORG (EX1081A)

Example B: Parallel Volume I/O with FDRREORG

In this example, a single multivolume IAM data set is reorganized in parallel mode. This feature allows concurrent reading of the different volumes on which the IAM data set resides, which can reduce reorganization time depending on the I/O configuration for both the source IAM data set, and the backup data sets. Note that as required by FDRREORG, the BACKUPINDEX is specified with a ? embedded so that each volume backup has a unique name.

```
//REORGIAM EXEC PGM=FDRREORG,REGION=7M
//SYSPRINT DD   SYSOUT=*
//REORGPRT DD   SYSOUT=*
//REORGRPT DD   SYSOUT=*
//IAMINFO  DD   SYSOUT=*
//SYSIN    DD   *
  REORG MODE=P,MAXP=4,BACKUPI=++BACKUP?
  SELECT CATDSN=(MYIAM.DATASET)
/*
```

Figure 67: Reorganization with Multiple Volume Parallel Read (EX1081B)

10.81 CONTINUED

Example C: IDCAMS Reorg with Delete and Define

In this example, the IAM data set is reorganized with an IDCAMS REPRO. IAM Overrides are used to maximize buffering, and to not decompress the data. The sequential data set is being written to a 3390 type of DASD volume, where 1/4 track blocking is being specified. Note that a permanent data set name is being given for the sequential file, and that the data set is being kept and cataloged, even if the job abends. It can be deleted once the reorganization has been verified as successful. Extreme caution must be used to prevent the loss of valuable data. Note the use of the IF MAXCC conditional operation under IDCAMS, which will stop the reorganization if any errors occur. This is done to provide maximum protection for the data being reorganized.

Warning: Do NOT use a temporary data set for the sequential output file! Doing so may result in data loss should the file reload portion of the REPRO fail for any reason!

Note that the IAM data set is referenced by the IDS and ODS parameters. This will cause IDCAMS to dynamically allocate the IAM data set, which is being done just in case the define is changed at some time to place the IAM data set on a different volume.

```
//REORG    EXEC PGM=IDCAMS,REGION=4M
//SYSPRINT DD   SYSOUT=*
//IAMINFO  DD   SYSOUT=*
//IAMPRINT DD   SYSOUT=*
//BACKUP   DD   DSN=my.backup.iam.dataset,
//              DISP=(NEW,CATLG,CATLG),
//              UNIT=3390,VOL=SER=MY3390,
//              SPACE=(CYL,(100,50),RLSE),
//              DCB=(RECFM=VB,LRECL=108,BLKSIZE=27998,BUFNO=30)
//IAMOVRID DD   *
   ACCESS  DD=&ALLDD,MINBUFNO=32,MAXBUFNO=64,BACKUPCOMPRESSED
   CREATE  DD=&ALLDD,CRBUFOPT=MCYL,BACKUPCOMPRESSED
/*
//SYSIN    DD   *
  LISTCAT ENT(my.iam.dataset) ALL
  REPRO IDS(my.iam.dataset) OUTFILE(BACKUP)
  IF MAXCC NE 0 THEN CANCEL
  DELETE my.iam.dataset CLUSTER
  IF MAXCC NE 0 THEN CANCEL
  DEFINE CLUSTER  -
     (NAME(my.iam.dataset)        -
             OWNER($IAM)          -
             VOL(myvol)           -
             CYL(100 50)          -
             RECSZ(64 100)        -
             KEYS(16 0)           -
             FREESPACE(5 10)      -
             SHAREOPTIONS(2 3)    -
             REUSE        )
   IF MAXCC NE 0 THEN CANCEL
   REPRO  INFILE(BACKUP) ODS(my.iam.dataset)
   LISTCAT ENT(my.iam.dataset) ALL
/*
```

Figure 68: Example of using IDCAMS to reorganize an IAM data set.

10.81 CONTINUED

Example D: IDCAMS Reorg with REUSE

In this next IDCAMS example, the IAM data set is reorganized without doing a DELETE and DEFINE. Because of that, the IAM data set can be specified in JCL without any concerns. Also note in this example that the keyword REUSE has to be specified when reloading the data set. If this is not done, then IDCAMS does an update processing instead of load processing, and the data set will not be reorganized.

```
//REORG    EXEC PGM=IDCAMS,REGION=4M
//SYSPRINT DD   SYSOUT=*
//IAMINFO  DD   SYSOUT=*
//IAMPRINT DD   SYSOUT=*
//MYIAMDS  DD   DSN=my.iam.dataset,DISP=OLD
//BACKUP   DD   DSN=my.backup.iam.dataset,
//              DISP=(NEW,CATLG,CATLG),
//              UNIT=3390,VOL=SER=MY3390,
//              SPACE=(CYL,(100,50),RLSE),
//              DCB=(RECFM=VB,LRECL=108,BLKSIZE=27998,BUFNO=30)
//IAMOVRID DD   *
   ACCESS  DD=MYIAMDS,MINBUFNO=32,MAXBUFNO=64,BACKUPCOMPRESSED
   CREATE  DD=MYIAMDS,CRBUFOPT=MCYL,BACKUPCOMPRESSED
/*
//SYSIN    DD   *
  LISTCAT ENT(my.iam.dataset) ALL
  REPRO INFILE(MYIAMDS) OUTFILE(BACKUP)
  IF MAXCC NE 0 THEN CANCEL
  REPRO  INFILE(BACKUP) OUTFILE(MYIAMDS) REUSE
  LISTCAT ENT(my.iam.dataset) ALL
/*
//
```

Figure 69: Example of Reorganization with IDCAMS, without a Delete and Define (EX1081D)

10.81 CONTINUED

Example E: IDCAMS REORG into a second IAM data set

The following example demonstrates a different approach. In this example, one IAM data set is copied over another IAM data set with IDCAMS REPRO REUSE, resulting in a reorganized image of the original data set. This will reduce the reorganization time by eliminating the writing to and reading from the sequential backup file. After the reorganization, renames of the data sets are done. Using this type of procedure will enable a fast reorganization to minimize the time that the data is not available. The original copy of the data set can be used for subsequent backup or for read only processing, while normal update processing can then be resumed on the receiving data set.

```
//REORG    EXEC PGM=IDCAMS,REGION=4M
//SYSPRINT DD   SYSOUT=*
//IAMINFO  DD   SYSOUT=*
//IAMPRINT DD   SYSOUT=*
//MYIAMDS  DD   DSN=my.iam.master.dataset,DISP=OLD
//ALTIAMDS DD   DSN=my.iam.alternat.dataset,DISP=OLD
//IAMOVRID DD   *
   ACCESS  DD=MYIAMDS,MINBUFNO=32,MAXBUFNO=64,BACKUPCOMPRESSED
   CREATE  DD=ALTIAMDS,CRBUFOPT=MCYL,BACKUPCOMPRESSED
/*
//SYSIN    DD   *
  LISTCAT ENT(my.iam.dataset) ALL
  LISTCAT ENT(my.iam.alternat.dataset) ALL
  IF MAXCC NE 0 THEN CANCEL
  REPRO INFILE(MYIAMDS) OUTFILE(ALTIAMDS) REUSE
  IF MAXCC NE 0 THEN CANCEL
  ALTER my.iam.dataset NEWNAME(my.iam.tempname.dataset)
  IF MAXCC NE 0 THEN CANCEL
  ALTER my.iam.alternat.dataset NEWNAME(my.iam.dataset)
  IF MAXCC NE 0 THEN CANCEL
  ALTER my.iam.tempname.dataset NEWNAME(my.iam.alternat.dataset)
  LISTCAT ENT(my.iam.dataset) ALL
  LISTCAT ENT(my.iam.alternat.dataset) ALL
/*
//
```

Figure 70: IDCAMS Reorganization to another IAM Data Set (EX1081E)

10.82 DELETING IAM DATA SETS

IAM data sets that are not members of an alternate index sphere can be deleted through any manner used to delete non-VSAM data sets, and generally any manner to delete VSAM data sets. This includes through JCL disposition (DISP) processing, various ISPF panels, including the IAM panel, the TSO DELETE command, and the IDCAMS DELETE command.

The IAM DELETE function has been enhanced as part of the alternate index support to perform data set deletion in a manner that is compatible with VSAM. For example, when deleting a base cluster with alternate index and path associations, all of the associated data sets will be deleted. To use this function, a standard VSAM deletion be performed, rather than a non-VSAM scratch, particularly if the data sets reside on non-SMS managed volumes. Examples of VSAM deletion include the IDCAMS DELETE command, the TSO DELETE command, and the IAM ISPF panel delete function. Examples of functions that use the non-VSAM scratch macro include the ISPF 3.2 and ISPF 3.4 function panels. Performing an ISPF 3.2 deletion of a component of an IAM alternate index sphere will just delete that single data set, and not the dependent associated data sets.

IDCAMS Delete

IAM will intercept any IDCAMS DELETE request to determine if the data set is IAM or VSAM. If the data set is an IAM data set, then IAM will issue the system services to perform the delete request. With this feature, existing IDCAMS job steps that issue DELETE commands do not have to be changed when converting data sets to IAM. IAM makes every attempt to follow an appropriate protocol to prevent the recalling of archived or migrated data sets that are being deleted. As with any expiration date protected data set, the PURGE keyword must be specified if the data set is so protected. The ERASE parameter on the DELETE CLUSTER is also honored by IAM.

One difference in delete processing from VSAM is that the data set must not be allocated to any other job or user, otherwise the delete will fail. This is because the deletion or scratch of a non-VSAM data set internally results in MVS/ESA or OS/390 issuing an exclusive ENQ.

Example of Deleting an IAM Data Set

In the example below, two IAM data sets are deleted with IDCAMS. The first one has the keyword CLUSTER specified explicitly, so IAM will handle the deletion. The second file is an IAM alternate index data set. Even though no type is specified, IAM will still process the delete request. In addition to the alternate index, IAM will delete any path data sets that are associated with the alternate index that is being deleted. Both files are allocated in JCL with a DISP=OLD to ensure that this is the only job that is using those data sets. While those DD cards are not necessary, they may prevent deletion failures due to some other job having the data set(s) allocated.

```
//DELETE   EXEC PGM=IDCAMS
//SYSPRINT DD   SYSOUT=*
//FILE1    DD   DSN=my.iam.dataset.file1,DISP=OLD
//FILE2    DD   DSN=my.iam.dataset.file2,DISP=OLD
//SYSIN    DD   *
  DELETE    my.iam.dataset.file1 CLUSTER PURGE
  DELETE     my.iam.dataset.file2 PURGE
/*
```

Figure 71: Example of IDCAMS Delete of an IAM Data Set (EX1082A)

10.83 RENAMING IAM DATA SETS

Renaming IAM Data Sets

IAM data sets can be easily renamed by using the IDCAMS ALTER command, the TSO ALTER command, or from the IAM ISPF panels. For Non-SMS managed data sets, the new data set name remains cataloged in the same catalog as the original data set name, even if the new high level index is an alias to a different catalog. The NONVSAM version of the ALTER command must be used for IAM data sets.

Alternate Index Considerations

IAM data sets that have alternate indexes, are alternate indexes, or are paths have special considerations due to the internally stored association information that is based on the data set name. The association information is not automatically updated when a rename is performed. Having the correct association information is necessary to prevent failures during OPEN processing, and DELETE processing. To update the association information, it will be necessary to perform an IDCAMS DEFINE RECATALOG on one or more of the associated data sets. IAM has special processing for DEFINE RECATALOG requests to update any data set associations. The association information can be verified by performing an IDCAMS LISTCAT ALL on the associated data sets. If you are performing renames on more than one of the associated data sets, the DEFINE RECATALOG should be executed after all of the renaming is completed.

The following rules for recataloging must be followed. First, if renaming multiple associated data sets, wait until all the renames are done before performing the recatalogs. Then, the recatalogs can be performed as indicated based on the type(s) of data sets that were renamed. After renaming a base cluster, all of the associated alternate indexes and paths must be recataloged. After renaming an alternate index, the alternate index and any associated paths must be recataloged. After renaming a path, only the path has to be recataloged. The recatalogs must first be performed on the alternate index data sets, and then on the path data sets. Additional information on IDCAMS RECATALOG is in section 10.84.

The IAM ISPF panels have a RENAME function, which will perform the necessary DEFINE RECATALOGS automatically, if consent is given to do so.

Example A: Rename with IDCAMS ALTER

This first example demonstrates the use of IDCAMS ALTER to rename an IAM data set. The high level qualifier is unchanged, so the data set will remain in the same catalog, unless you are using multilevel alias support.

```
//RENAME   EXEC PGM=IDCAMS
//SYSPRINT DD   SYSOUT=*
//IAMPRINT DD   SYSOUT=*
//SYSIN    DD   *
  LISTCAT ENT(myiam.origname.dataset) ALL
  ALTER   myiam.origname.dataset       -
            NEWNAME(myiam.newname.dataset)
  LISTCAT ENT(myiam.newname.dataset) ALL
/*
```

Figure 72: Using IDCAMS ALTER to Rename an IAM Data Set (EX1083A)

10.83 CONTINUED

Example B: Rename into Different Catalog

In this next example, an IDCAMS ALTER is again used to change the data set name. However, in this case the data set is a non-SMS managed data set, and the new high level index is an alias in a different catalog than the original high level index. This problem is resolved by doing a DEFINE RECATALOG with the new data set name, followed by deleting the new entry out of the old catalog.

```
//RENAME   EXEC PGM=IDCAMS
//SYSPRINT DD   SYSOUT=*
//IAMPRINT DD   SYSOUT=*
//SYSIN    DD   *
  LISTCAT ENT(myiam.origname.dataset) ALL
  ALTER myiam.origname.dataset -
        NEWNAME(test.newname.dataset)          -
  DEFINE CLUSTER(NAME(test.newname.dataset)    -
             OWNER($IAM)                       -
             VOL(volser)                       -
             RECATALOG )                       -
        CATALOG(test.catname)
  DELETE test.newname.dataset NOSCRATCH NONVSAM-
        CATALOG(myiam.catname)
  LISTCAT ENT(test.newname.dataset) ALL
/*
```

Figure 73: Rename into a different catalog (EX1083B)

Example C: Renaming an Alternate Index

In this example, a base cluster, the associated alternate index, and the associated path are all renamed using the IDCAMS ALTER command. Subsequent to the rename, DEFINE REATALOG is issued on the alternate index and the path to update the association information with the new names.

```
//RENAME   EXEC  PGM=IDCAMS
//SYSPRINT DD    SYSOUT=*
//SYSIN    DD    *
        ALTER example.myiam.cluster                  -
                  NEWNAME(example.testiam.cluster)
        ALTER example.myiam.aix                      -
                  NEWNAME(example.testiam.aix)
        ALTER     example.myiam.path                 -
                  NEWNAME(example.testiam.path)
        DEFINE ALTERNATEINDEX                        -
                  (NAME(example.testiam.aix)         -
                  RELATE(example.testiam.cluster)    -
                  VOLUME(iamvol)                     -
                  RECATALOG )
        DEFINE PATH                                  -
                  (NAME(example.testiam.path)        -
                  PATHENTRY(example.testiam.aix) -
                  RECATALOG )
        LISTCAT ENT(example.testiam.cluster) ALL
        LISTCAT ENT(example.testiam.aix)     ALL
        LISTCAT ENT(example.testiam.path)    ALL
 /*
```

Figure 74: Example of Rename an Alternate Index (EX1083C)

CONTINUED . . .

10.83 CONTINUED

Example D: Rename Using IAM ISPF Panels

The IAM ISPF panels can also be used to rename IAM data sets, and will automatically perform the necessary recatalogs. The rename function is used by selecting option R on the primary IAM panels, and indicating the name of the data set to be renamed, along with the new name. An example of a completed rename panel is shown below, which will rename the dataset MYIAM.CLUSTER to TESTIAM.CLUSTER.

```
--------------------------- IAM PRIMARY OPTION MENU ---------------------------
OPTION ===> r

   I       -    Allocate (DEFINE) a new IAM Dataset          Ver 7.0/01P
   V       -    Allocate (DEFINE) a new VSAM Cluster
   D       -    Delete a Dataset, Cluster, Path, or Alternate Index
   C       -    Copy an IAM Dataset, VSAM KSDS, or VSAM ESDS
   M       -    Move an IAM Dataset, VSAM KSDS, or VSAM ESDS
   R       -    Rename a Dataset, Cluster, Path, or Alternate Index
   U       -    Invoke an IAM Utility program
   blank   -    Display IAM Dataset or VSAM Cluster Information


 Enter dataset name (required for all options except U)
  Dataset Name        ===> myiam.cluster
  Dataset Type        ===>   C=Cluster X=AIX P=Path (options I, V Only)

 Enter Model or New dsname (optional for options I and V, required for option R)
  ModellNewname       ===> testiam.cluster


 Delete Confirmation     ===> YES     YeslNo
```

Figure 75: Example of IAM ISPF Rename

For IAM files that are part of an alternate index association, the following panel will then appear, requesting confirmation to proceed with the recatalog function. Note that in the upper right hand corner the message that the rename is completed. To proceed with the recatalog function, make sure that the Perform Recatalog is set to YES.

```
---------------------------- RECATALOG Components ----------- DATASET RENAMED
COMMAND ===>

      New Dataset Name... RAM2.TESTIAM.CLUSTER

      The above dataset that has been renamed is associated with an IAM
      Alternate Index or Path and needs to be RECATALOGED so IAM can
      maintain the correct relationships with associated datasets.

      Perform RECATALOG ===> YES              (Yes/No)
```

Figure 76:Example of IAM ISPF Recatalog Confirmation Panel

Upon completion of the recatalog, IAM will redisplay the primary IAM panel, with a message in the upper right corner that the recatalog was ok. You can display the renamed data set and associated components for the association information to verify that the new associations were updated properly.

10.84 RECATALOGING IAM DATA SETS

Recataloging IAM Data Sets

There are two main reasons for recataloging IAM data sets. The first reason is to rebuild the catalog entry for the data set, if for some reason it has become uncataloged. The second reason is to update the associated data set names for data sets that are part of an IAM alternate index sphere, if one or more of the data sets has been renamed, or copied / restored to a new data set name. The recatalog process is invoked by specifying RECATALOG on the DEFINE CLUSTER, ALTERNATE INDEX, or PATH. In general, only a subset of the operands are required for the recatalog process. The examples of each below will explain the required operands for each type of recatalog.

If you only need to update the catalog entry, an IDCAMS DEFINE NONVSAM request can be used. In this case, if the data set is SMS managed, then the RECATALOG keyword must be specified, otherwise it should be left off.

Example A: Recatalog of an IAM Base Cluster Data Set

In the first recatalog example, an IAM base cluster data set is being recataloged. For this circumstance, specify the DEFINE CLUSTER command, with the following subparameters:

- Name
- OWNER($IAM), unless $IAM is in the data set name.
- Volumes on which the data set resides, and RECATALOG.

```
//RECATLOG EXEC PGM=IDCAMS
//SYSPRINT DD   SYSOUT=*
//IAMPRINT DD   SYSOUT=*
//SYSIN    DD   *
        DEFINE CLUSTER(NAME(my.notcat.iam.dataset)-
              OWNER($IAM)                          -
              VOLUMES(iam001 iam002)               -
              RECATALOG)
        LISTCAT ENT(my.notcat.iam.dataset) ALL
/*
```

Figure 77: Recatalog an IAM Data Set that is non-SMS managed (EX1084A)

10.84 CONTINUED

Example B: Recatalog using Define NONVSAM

In this example, an SMS managed IAM data set is recataloged using a DEFINE NONVSAM. The following subparameters are used for this request:

- NAME – identifies the data set name.
- VOLUMES – identifies each volume that the data set resides on.
- DEVT – for each volume in the above list, a device type must be specified.
- OWNER($IAM) – The OWNER parameter is optional on the DEFINE NONVSAM, but is recommended so that the catalog entry will resemble the one that IAM builds.
- RECATALOG – This keyword must be provided if the data set is SMS managed, otherwise it must not be specified.

```
//RECATSMS EXEC PGM=IDCAMS
//SYSPRINT DD   SYSOUT=*
//IAMPRINT DD   SYSOUT=*
//SYSIN    DD   *
       DEFINE NONVSAM(NAME(my.notcat.iam.dataset)        -
              OWNER($IAM)                                -
              VOLUMES(iam001 iam002)                     -
              DEVT(3390 3390)                            -
              RECATALOG)
       LISTCAT ENT(my.notcat.iam.dataset) ALL
/*
```

Figure 78: Recatalog of an SMS Managed IAM Data Set (EX1084B)

10.84 CONTINUED

Example C: Recatalog an IAM Alternate Index

In this example, an IAM Alternate Index is being recataloged. The operation is quite similar to the recatalog of a base cluster, but with a few differences in the operands. The related base cluster must be specified, and the OWNER($IAM) operand is completely optional. When recataloging an alternate index, the association with the base cluster information is also updated both in the IAM alternate index data set, and also in the IAM base cluster. The following operands are used:

- NAME – Indicates the name of the IAM Alternate Index.
- RELATE – Indicates the name of the base cluster. If the base cluster has been renamed, use the new name here. The base cluster must be cataloged prior to recataloging the alternate index.
- VOLUMES – Identifies the volume(s) on which the alternate index resides.
- RECATALOG – Indicates that the data set is already existing, and that the catalog entry is just being rebuilt, along with updating the base cluster association information.

```
//RECATAIX EXEC   PGM=IDCAMS
//SYSPRINT DD     SYSOUT=*
//SYSIN           DD     *
        DEFINE ALTERNATEINDEX                        -
                   (NAME(example.testiam.aix)        -
                   RELATE(example.testiam.cluster) -
                   VOLUME(myvol1)                    -
                   RECATALOG )
        LISTCAT ENT(RAM2.TESTIAM.AIX)      ALL
/*
```

Figure 79: Example of Recataloging an IAM Alternate Index (EX1084C)

10.84 CONTINUED

Example D: Recataloging an IAM Path

Because an IAM PATH is an actual data set, rather than just a catalog entry, the recatalog of an IAM PATH does have a special consideration, in that the PATH must be cataloged for the RECATALOG to work. This is because IDCAMS provides no mechanism to pass a volume on the DEFINE PATH command. So, if the PATH is not cataloged, it must be preceded by a DEFINE NONVSAM of the PATH, followed by the DEFINE RECATALOG. In this case, the need for the RECATALOG is to update the association information in the path itself, and the path entry data set. The example below demonstrates how this is done. First, a LISTCAT is done on the path to see if it is cataloged. If it is not cataloged, then a DEFINE NONVSAM is issued to catalog the path. Then the DEFINE PATH RECATALOG is done.

```
      //RECATPTH    EXEC   PGM=IDCAMS
      //SYSPRINT    DD     SYSOUT=*
      //SYSIN       DD     *
            LISTC ENT(RAM2.TESTIAM.PATH)
            IF LASTCC NE 0 THEN DO
                      SET   MAXCC=0
                      DEFINE NONVSAM                      -
                             (NAME(RAM2.TESTIAM.PATH)     -
                             VOLUMES(JUNK01)              -
                             DEVT(3380)                   -
                             OWNER($IAM) )
                      END
                      ELSE
            DEFINE PATH                                   -
                      (NAME(RAM2.TESTIAM.PATH)     -
                      PATHENTRY(RAM2.TESTIAM.AIX) -
                      RECATALOG )
            LISTCAT ENT(RAM2.TESTIAM.PATH)    ALL
/*
```

Figure 80:Example of Recatalog an IAM Path (EX1084D)

10.85 BACKING UP AND RESTORING IAM DATA SETS

Backup Overview

Frequently, IAM data sets are incorporated into normal DASD management procedures for backup, restore, and archival (or migration) purposes. Software products that provide such services can easily handle IAM data sets within the normal functionality that they provide. The major considerations are first, that IAM data sets are treated as non-VSAM data sets by these products, and second that the default DSORG of PS for IAM files is highly recommended to provide the most flexibility when managing IAM data sets with such products. Whatever functionality and limitations that these products have for non-VSAM data sets will apply for IAM data sets.

Many application job streams incorporate their own backup up of their related data sets in scheduled time frames that are more relevant to the application, and provide for improved recoverability of application data. As an aid to those responsible for maintaining recoverability of application data contained in IAM data sets, this section will present some of the considerations with the various methods of backing up and restoring at the data set level, along with some examples. The examples are intended to demonstrate basic functionality of the various backup and restore methods that are applicable to IAM data sets.

Alternate Index Considerations

For IAM data sets in an associated alternate index sphere, as with VSAM, the most critical piece is the base cluster data set. After restoring a base cluster, the alternate indexes and paths can always be recreated if backups are not readily available. The major concern with IAM alternate indexes and their associated data sets is that DASD management utility programs will treat each data set as a separate entity. With VSAM, some of these utilities have developed processing to recognize the associations, and handle the base cluster and all associated alternate indexes and paths as one entity. Using data set naming conventions within a set of alternate index associated data sets where the only name difference is in the last index level of the name will help ease the data set management difficulties. By doing so, you can treat any set of associated data sets by a data set group name in the control cards for the utility. Information on how to do this will be provided in some of the subsequent examples.

Backup with IDCAMS

The most common method for backing up IAM data sets by application job streams is through the use of an IDCAMS REPRO. This method copies the data at the record level into a sequential output data set. The main advantages of using such a backup are that application programs can directly read the data from the backup sequential data set, a restore will reorganize the data set, and when moved to a different device type, IAM will adjust the block size automatically to obtain the best possible device utilization. Performance of such a backup can be very fast through the use of buffering. If the sequential copy of the data is only used for backup purposes, then the IAM Backup Compressed feature can be used. This feature prevents the decompressing of the data during backup, and the compressing of data when it is used to reload the data set. Using the IAM Backup Compressed feature saves CPU time, as well as I/O time transferring data to and from the backup media. There is unlikely to be any savings in terms of the number of tapes required to backup the IAM data set when the tape devices that also offer compression, because the data is already compressed. The main disadvantage of IDCAMS REPRO is that the attributes used to define the data set are not retained with the output data set. While IDCAMS does offer a function for VSAM data sets to save the data set attributes, called EXPORT, and the corresponding restoration of data set and attributes with IMPORT, IAM does not provide support for the use of those functions.

10.85 CONTINUED

Backup and Restore Utilities

An alternative to using IDCAMS is to use a software product that provides DASD data set management functions, including backing up and restoring data sets. Examples of such products include FDR, DFSMSdss, DFSMShsm, and DMS/OS. These products offer the fastest possible data movement, along with various data set management capabilities. A major advantage of using one of these products is that the file attribute information is saved with the backup, along with an exact image of the data set. The disadvantages are that application programs cannot directly access the data because of the format it is stored in, the software is not as easy to use as an IDCAMS REPRO, when restored, the data set is not reorganized, and restoration to an unlike device can cause inefficient usage of DASD space. However, when fast backup times are required, and when the backup copy of the data is not being used by the application, then these DASD utilities will meet that requirement.

Other Backup Options

There are other utility software products that also provide a data movement capability for VSAM data sets, that can also be used for IAM data sets. Examples include using the system SORT, or using DITTO. These and similar software products essentially work like an IDCAMS REPRO, in that they process the data at the logical record level using standard access method I/O requests. Software packages that use control interval (CI) access to process VSAM data sets or do their own I/O rather than using VSAM, can not be used with IAM data sets.

Using IDCAMS REPRO

The use of IDCAMS REPRO for backing up and restoring IAM data sets is straight forward. Jobs that have been set up to backup VSAM data sets with this method should not require any changes. One thing to be careful of is that IDCAMS defaults sequential output files to RECFM=U, which forces each output record to become a physical block on the storage media. This can waste media and backup time. By assigning the output data set a RECFM=VB, and a block size of 27998 for 3390 DASD, or 32760 for tape, plus providing a large number of buffers, will speed up the REPRO process substantially. Also, when used with IAM, providing an IAM override to increase buffering will likewise boost the speed of the REPRO.

10.85 CONTINUED

Example A: IDCAMS Backup

In this example, a large multivolume IAM data set is backed up by an IDCAMS REPRO. The output media is tape. Note that the DCB information that is provided including BUFNO. The LRECL for the output tape volume is the maximum record size defined for the IAM data set plus four for the RDW added for variable format records and an additional four for IAM compression data. So, assuming that the IAM file has a maximum record length of 1016, a value of at least 1024 must be used for the LRECL on the output data set. For sequential input processing, the most buffers IAM will use is the number of blocks per cylinder. A few additional buffers are beneficial when the file has some overflow blocks. We start the file with a minimum of 32 buffers, which is about all that is needed for 1/2 track blocking, and set the maximum to 64 buffers for the normal 1/4 track blocking. The BACKUPCOMPRESSED override is specified because the sequential output file will not be used for any purpose other than to reload the data set should a restore be needed. A volume count of 20 is provided for the output tape data set to provide enough tape volumes to hold the data. A LISTCAT ALL is included so that information on what is needed to define the data set, if necessary, is readily available.

```
//BACKUP   EXEC PGM=IDCAMS
//SYSPRINT DD   SYSOUT=*
//IAMINFO  DD   SYSOUT=*
//IAMPRINT DD   SYSOUT=*
//BACKUP   DD   DSN=my.iam.dataset.backup,DISP=(,CATLG),
//     DCB=(RECFM=VB,LRECL=1024,BLKSIZE=32760,BUFNO=30),
//     UNIT=3490,VOL=(,,,20)
//IAMFILE  DD   DSN=my.iam.dataset,DISP=OLD
//IAMOVRID DD   *
   ACCESS  DD=&ALLDD,MINBUFNO=32,MAXBUFNO=64,BACKUPCOMPRESSED
/*
//SYSIN    DD   *
  LISTCAT ENT(my.iam.dataset) ALL
  REPRO INFILE(IAMFILE) OUTFILE(BACKUP)
/*
```

Figure 81: Example of IDCAMS Backup (EX1085A)

10.85 CONTINUED

Example B: IDCAMS Restore

The following example demonstrates how to restore the data set that was backed up in the prior example. The IAM data set is not being deleted and redefined, so the REUSE parameter is required on the REPRO statement. A BUFNO is provided on the tape input to speed up reading the data. A CREATE override indicating BACKUPCOMPRESSED is required because the backup tape contains IAM compressed data. The CRUBFOPT=MCYL is also specified to maximize buffering for the IAM file load process. A LISTCAT ALL is done after the REPRO to verify the new file structure.

```
//RESTORE  EXEC PGM=IDCAMS
//SYSPRINT DD   SYSOUT=*
//IAMPRINT DD   SYSOUT=*
//IAMINFO  DD   SYSOUT=*
//BACKUP   DD   DSN=my.iam.dataset.backup,DISP=OLD,
//     DCB=BUFNO=30,UNIT=3490
//IAMFILE  DD   DSN=my.iam.dataset,DISP=OLD
//IAMOVRID DD   *
   CREATE  DD=&ALLDD,CRBUFOPT=MCYL,BACKUPCOMPRESSED
/*
//SYSIN    DD   *
  REPRO INFILE(BACKUP) OUTFILE(IAMFILE) REUSE
  LISTCAT ENT(my.iam.dataset) ALL
/*
```

Figure 82: Example of an IDCAMS Restore with BACKUPCOMPRESSED (EX1085B)

Example C: Decompress the Backup Data Set

If ever needed, the IAMRECVR utility program can be used to create a sequential uncompressed file from the sequential file with records in an IAM data compressed format, as created by using the BACKUPCOMPRESSED override. The new file will contain uncompressed records from the original data set, ready to be used by application or other processing needs. To perform this function, you must know the key length and key offset (RKP) for the file being processed. These values can be obtained from a LISTCAT or an IAMINFO report from the original data set. In the example below, a new sequential backup with uncompressed records is created using the sequential data set created in Example 1. The DISKIN DD specifies the original backup data set, and the TAPEOUT DD specifies the new uncompressed data set.

```
//DECOMPRS EXEC PGM=IAMRECVR,REGION=4M
//SYSPRINT DD   SYSOUT=*
//DISKIN   DD   DSN=my.iam.dataset.backup,DISP=OLD
//TAPEOUT  DD   DSN=my.iam.dataset.uncomp,UNIT=TAPE,
//              DISP=(,CATLG),
//              DCB=(RECFM=VB,LRECL=1020,BLKSIZE=32760)
//SYSIN    DD   *
  DECOMPRESS    KEYLEN=16,RKP=0
/*
```

Figure 83: Example of decompressing a BACKUPCOMPRESSED Data Set (EX1085C).

10.85 CONTINUED

Single Volume

For the DASD backup and restore utilities, the examples will be split into single volume data sets, and multivolume data sets. Single volume IAM data sets are very easily dumped and restored with either FDR or DFSMSdss. While normally not necessary, it is recommended that the original data set be deleted prior to doing the restore if you are replacing the original data set. When the delete is done, the restore will allocate the data set attempting to obtain all the required space in one extent which can be a benefit by allowing potentially more file expansion.

The single volume examples shown below are all set to handle either a single IAM data set, or all associated IAM data sets in an alternate index sphere, if they are all on the same volume. If the various associated data sets are on different volumes, then use the multivolume procedures. For these examples, each associated data set in the alternate index sphere has an identical high level quailifier(s), with only the last level being different. Assume the following names:

- Base Cluster DSN=my.iam.dataset.cluster
- Alternate Index DSN=my.iam.dataset.aix
- Path DSN=my.iam.dataset.path

If you are using different naming conventions, refer to the manual for the product you use for further information on building a data set name mask.

Example D: FDR/DSF BACKUP

In this first example, FDR is being used to backup an IAM data set to tape. The JCL requirements are for a DISKx DD statement which specifies the volume of the data set being backed up. Then a corresponding TAPEx DD statement is required for the tape to contain the backup data.

```
//BACKUP   EXEC PGM=FDRDSF,REGION=4M
//SYSPRINT DD   SYSOUT=*
//DISK1    DD   DSN=my.iam.dataset.cluster,DISP=OLD
//TAPE1    DD   DSN=my.iam.dataset.backup,DISP=(,CATLG),UNIT=TAPE
//SYSIN    DD   *
 DUMP TYPE=DSF
 S DSN=my.iam.dataset.**
/*
```

Figure 84: Example of FDR/DSF Backup of Single Volume IAM Data Set (EX1085D)

Example E: FDR/DSF Restore

The restore of the data set is just as easy. This example works whether or not the original data set had been deleted. The DISK1 DD specifies the receiving volume, which does not have to be the same as the data set originally resided on. The TAPE1 DD specifies the backup tape created in the prior backup example. The backup tape could also be from a full volume backup as well.

```
//RESTORE  EXEC PGM=FDRDSF,REGION=4M
//SYSPRINT DD   SYSOUT=*
//DISK1    DD   UNIT=3390,VOL=SER=iamvol,DISP=OLD
//TAPE1    DD   DSN=my.iam.dataset.backup,DISP=OLD
//SYSIN    DD   *
 RESTORE TYPE=DSF
 S DSN=my.iam.dataset.**
/*
```

Figure 85: Example of an FDR/DSF restore of a Single Volume IAM Data Set (EX1085E)

10.85 CONTINUED

Example F: DFSMSdss Backup

In this next example, DFSMSdss is being used to backup an IAM data set. The output tape is specified by the OUTDD parameter, and use of an input DD is optional, and not shown in this example.

```
//BACKUP   EXEC PGM=ADRDSSU,REGION=4M
//SYSPRINT DD   SYSOUT=*
//TAPE1    DD   DSN=my.iam.dataset.backup,DISP=(,CATLG),UNIT=TAPE
//SYSIN    DD   *
  DUMP OUTDD(TAPE1) DS(INCL(my.iam.dataset.**))
/*
```

Figure 86: Example of DFSMSdss Dump of a Single Volume IAM Data Set (EX1085F)

Example G: DFSMSdss Restore

This corresponding example demonstrates how to restore the IAM data set. The output volume could be the same as the original, or different.

```
//RESTORE  EXEC PGM=ADRDSSU,REGION=4M
//SYSPRINT DD   SYSOUT=*
//DISK1    DD   UNIT=3390,VOL=iamvol,DISP=OLD
//TAPE1    DD   DSN=my.iam.dataset.backup,DISP=OLD
//SYSIN    DD   *
  RESTORE DS(INCL(my.iam.dataset.**)) -
   INDD(TAPE1) OUTDD(DISK1) REPLACE CATALOG
/*
```

Figure 87: Example of DFSMSdss Restore of a Single Volume IAM Data Set (EX1085G)

10.85 CONTINUED

Multivolume Backup & Restore

Multivolume backup and restore operations require a little more effort. The best overall process for the combined backup and restore effort seems to be using the application backup capabilities of ABR. While this does require an additional job step on both the backup and restore jobs, the data set is restored across the same number of volumes as it had originally, along with the same amount of space on each volume. If the ABR product is not available, then the same results can still be achieved with FDR/DSF with a little more effort on the JCL. DFSMSdss can also be used for multivolume backup and restore, although it may be difficult to restore the data set spread across multiple volumes as it was originally.

The multivolume backup and restore process can also be used when backing up an IAM alternate index sphere, where the data sets reside on different volumes, or one or more of the data sets resides on multiple volumes. These set of examples will continue to assume use of the data set naming conventions from the single volume examples.

Example H: ABR Backup

In this example, a multivolume IAM data set will be backed up using ABR application backup and recovery capability. While the example given here is just a single data set, multiple data sets for the entire application can be backed up and restored as necessary with this technique. Basically, this process involves creating a temporary archive control file, which is used to store information about the data set(s) backed up, and is subsequently also backed up to the same tape as the data set(s). If this type of backup job is being set up to run on a regular basis, then GDG dataset names can be used for both the Archive control file, and the backup of the Archive control file. Note that ABR requires that the word ARCHIVE be included within the data set name for the Archive control file. The name of the backup of the Archive control file will be similar, only with ARCBKUP in place of ARCHIVE. The Archive control file can be deleted after this job runs. Full details on using this capability of ABR can be found in section 51.20 of the FDR Version 5.2 manual, or section 52 of the FDR Version 5.3 manual.

```
//INITARCH EXEC PGM=FDRARCH
//SYSPRINT DD   SYSOUT=*
//ARCHIVE  DD   DSN=my.ARCHIVE.dataset,UNIT=SYSDA,DISP=(,CATLG),
//   SPACE=(TRK,(5,5),RLSE)
//SYSIN    DD   *
 FORMAT RECS=nnnn,USERINDEX=YES  <--set nnnn to number of datasets
/*
//BACKUP   EXEC PGM=FDRABR,REGION=4M
//SYSPRINT DD   SYSOUT=*
//SYSPRINA DD   SYSOUT=*
//ARCHIVE  DD   DSN=my.ARCHIVE.dataset,DISP=OLD
//TAPEA    DD   DSN=my.backup.dataset,UNIT=TAPE,DISP=(,KEEP)
//SYSIN    DD   *
  DUMP     TYPE=APPL,COMPRESS=ALL,ONLVOL,
           ARCBACKUP=DSF,DSNENQ=HAVE
  SELECT   CATDSN=my.iam.dataset.**
/*
```

Figure 88: Example of FDR/ABR Backup of Multivolume IAM Data Set (EX1085H)

10.85 CONTINUED

Example I: ABR Restore

The restore process is a two step process as well. The first step restores the copy of the archive control file that was backed up, then the next step restores the IAM data set. In this example the data set is being restored to the original volumes, however there are various options to change the volumes that it is being restored to, as well as to give the data set a new name. When restoring as the original data set, it is highly recommended that the data set be deleted first, so that ABR can allocate it as it existed previously.

```
//DELETE   EXEC PGM=IDCAMS
//SYSPRINT DD   SYSOUT=*
//SYSIN    DD   *
  DELETE my.iam.dataset.cluster
  DELETE my.iam.dataset.cluster NOSCRATCH
  SET MAXCC=0
/*
//RESTARC  EXEC PGM=FDRABR,REGION=4M
//SYSPRINT DD   SYSOUT=*
//SYSPRINA DD   SYSOUT=*
//TAPEA    DD   DSN=my.ARCBKUP.dataset,VOL=(,RETAIN),DISP=OLD
//SYSIN    DD   *
  RESTORE  TYPE=ABR,RECAT
  SELECT   DSG=my.ARCHIVE,TAPEDD=A
/*
//RESTDATA EXEC PGM=FDRABR,REGION=4M
//SYSPRINT DD   SYSOUT=*
//ARCHIVE  DD   DSN=my.ARCHIVE.dataset,DISP=OLD
//TAPE1    DD   DSN=my.FDR,DISP=OLD,VOL=REF=*.RESTARC.TAPEA
//SYSIN    DD   *
  RESTORE TYPE=APPL,RECAT
  SELECT  DSN=my.iam.dataset.**
/*
```

Figure 89: Example of FDR/ABR Restore of Multivolume IAM Data Set (EX1085I)

Example J: FDR/DSF Multivolume Backup

FDR/DSF can also be used to backup and restore a multivolume IAM data set, although the use of ABR Application backup is strongly recommended. A three volume IAM data set is being backed up in this example. Each volume is effectively a separate backup, but can and should be done in one job step. For each DASD volume, there will be a DISKx and corresponding TAPEx DD card. As long as you make sure that you get all the pieces on both the backup and restore, this process will work fine.

```
//BACKUP   EXEC PGM=FDRDSF,REGION=4M
//SYSPRINT DD   SYSOUT=*
//DISK1    DD   UNIT=3390,DISP=OLD,VOL=SER=iam001
//DISK2    DD   UNIT=3390,DISP=OLD,VOL=SER=iam002
//DISK3    DD   UNIT=3390,DISP=OLD,VOL=SER=iam003
//TAPE1    DD   DSN=my.iam.backup.vol1,UNIT=TAPE,DISP=(,CATLG)
//TAPE2    DD   DSN=my.iam.backup.vol2,UNIT=TAPE,DISP=(,CATLG)
//TAPE3    DD   DSN=my.iam.backup.vol3,UNIT=TAPE,DISP=(,CATLG)
//SYSIN    DD   *
  DUMP     TYPE=DSF
  SELECT   DSN=my.iam.dataset.**
/*
```

Figure 90: Example of using FDR/DSF to Backup a Multivolume IAM Data Set (EX1085J)

10.85 CONTINUED

Example K: FDR/DSF Multivolume Restore

To restore the data set, the procedure is almost identical. In this example, the data set is being restored to the same three volumes, however different volumes could be used, and the data set could be given a new name. If the data set is being restored to replace the existing version, it is highly recommended that the data set be deleted prior to performing the restore. To insure that the data set does not exist, the restore is preceded by an IDCAMS step to delete the data set.

```
//DELETE   EXEC PGM=IDCAMS
//SYSPRINT DD   SYSOUT=*
//SYSIN    DD   *
  DELETE my.iam.dataset.cluster
  DELETE my.iam.dataset.cluster NOSCRATCH
  SET MAXCC=0
/*
//RESTORE  EXEC PGM=FDRDSF,REGION=4M
//SYSPRINT DD   SYSOUT=*
//DISK1    DD   UNIT=3390,DISP=OLD,VOL=SER=iam001
//DISK2    DD   UNIT=3390,DISP=OLD,VOL=SER=iam002
//DISK3    DD   UNIT=3390,DISP=OLD,VOL=SER=iam003
//TAPE1    DD   DSN=my.iam.backup.vol1,DISP=OLD
//TAPE2    DD   DSN=my.iam.backup.vol2,DISP=OLD
//TAPE3    DD   DSN=my.iam.backup.vol3,DISP=OLD
//SYSIN    DD   *
  RESTORE  TYPE=DSF
  SELECT   DSN=my.iam.dataset.**
/*
```

Figure 91: Example of Using FDR/DSF to Restore a Multivolume IAM Data Set (EX1085K)

Example L: DFSMSdss Multivolume Backup

In this last pair of examples, DFSMSdss is being used to backup and subsequently restore a multivolume IAM data set. As can be seen, the backup portion of this example is essentially identical to the backup of a single volume IAM data set.

```
//BACKUP   EXEC PGM=ADRDSSU,REGION=4M
//SYSPRINT DD   SYSOUT=*
//TAPE1    DD   DSN=my.iam.backup,UNIT=TAPE,DISP=(,CATLG)
//SYSIN    DD   *
  DUMP     OUTDD(TAPE1) DS(INCL(my.iam.dataset.**))
/*
```

Figure 92: Example of DFSMSdss Backup of a Multivolume IAM Data Set (EX1085L)

10.85 CONTINUED

Example M: DFSMSdss Multivolume Restore

Now, in this example DFSMSdss will be used to restore the data set backed up in the prior example. Unless you can be certain that the IAM data set was not deleted and defined or reorganized since the backup was done, the data set should be deleted prior to attempting the restore. This is to prevent a possible restore failure. To force the data set to be split across the three volumes, temporary data sets are allocated on all of the DASD volumes so that DFSMSdss will not find enough space to restore the whole data set on any particular volume. The manual part of this process involves checking each volume for how much space it has available, and determining how much space is needed by the data set being restored, and how much space should be used on each volume for the data set being restored. Then, set up the JCL to allocate a temporary data set on each volume with the remaining free space.

```
//DELETE   EXEC PGM=IDCAMS
//SYSPRINT DD   SYSOUT=*
//SYSIN    DD   *
  DELETE my.iam.dataset.cluster
  DELETE my.iam.dataset.cluster NOSCRATCH
  SET MAXCC=0
/*
//RESTORE  EXEC PGM=ADRDSSU,REGION=4M
//SYSPRINT DD   SYSOUT=*
//TEMP1    DD   UNIT=3390,VOL=SER=iam001,SPACE=(CYL,2000)
//TEMP2    DD   UNIT=3390,VOL=SER=iam002,SPACE=(CYL,1500)
//TEMP3    DD   UNIT=3390,VOL=SER=iam003,SPACE=(CYL,400)
//TAPE1    DD   DSN=my.iam.backup,DISP=OLD
//SYSIN    DD   *
  RESTORE  DATASET(INCLUDE(my.iam.dataset.**)) -
  INDD(TAPE1) ODY((iam001),(iam002),(iam003)) -
      REPLACE CATALOG
/*
```

Figure 93: Example of DFSMSdss Restore of a Multivolume IAM Data Set (EX1085M)

10.86 MOVING IAM DATA SETS

Moving IAM Data Sets

There are several different software utilities that can be used to move IAM data sets. When IAM data sets are being moved along with many other data sets, for example as part of a reconfiguration, DASD backup and restore software, such as FDR from Innovation or DFSMSdss from IBM are frequently used. Other related options are to move the data set(s) with FDRCOPY, or with DFSMShsm. These utilities will accomplish the data set movement easily, providing that the DSORG of the IAM data sets is PS. The problem with using these products, particularly when moving IAM data sets to devices with a different geometry, are that the moved data set(s) may not make as effective or efficient use of DASD space as is possible. For example, moving an IAM data set that is blocked at the typical 1/4 track block size on a 3380, to a 3390 will result in not using 16% of the track capacity. Even when moving to the same device type, the data sets will not be reorganized resulting in the movement of unused areas within the data set.

Moving with FDRREORG

The best way from an internal data set structure and DASD space view point, is to move IAM data sets by performing a data set reorganization. FDRREORG provides an excellent tool for moving IAM data set(s) to a different volume(s). FDRREORG not only knows the basic file attributes, it also knows what IAM Overrides were used to create the original data set, and will pass those override values on the define of the new data set. The other major alternative is to use IDCAMS REPRO, although that does involve more manual effort than FDRREORG.

Example A: Using FDRREORG to Move IAM Data Sets

Shown below is an example of moving all of the IAM data sets from one volume to another. The NODEFAULTS keyword means that the selection criteria is based entirely on the control card input. The NOUPDATES=YES causes the data sets to be reorganized, even if there were no updates, inserts, or deletes. The IAMDEFINE=YES forces the delete and define of the IAM data sets. On the Select card, all IAM data sets are selected from one volume, and moved to another volume. The NEWALL keyword is required so that the new volume will be applied to all of the selected data sets.

```
//IAMDSMOV EXEC PGM=FDRREORG,REGION=4M
//SYSPRINT DD   SYSOUT=*
//REORGPRT DD   SYSOUT=*
//REORGRPT DD   SYSOUT=*
//IAMINFO  DD   SYSOUT=*
//SYSIN    DD   *
  REORG    NODEFAULTS,NOUPDATES=YES,IAMDEFINE=YES
  SELECT   ALLDSN,DSTYPE=IAM,VOL=oldvol,
            NEWVOLSDATA=newvol,NEWALL
/*
```

Figure 94: Example of Using FDRREORG to Move IAM Data Sets (EX1086A)

10.86 **CONTINUED**

Example B: Using FDREPORT to Generate IDCAMS Control Cards

Another alternative automation technique for moving of IAM data sets by reorganization is to use FDREPORT. As demonstrated below, FDREPORT can be used to create a data set containing control cards for IDCAMS. The following functions will be performed by the IDCAMS control cards:

1. Define an IAM data set on the new volume, modeled from the original data set.
2. Copy the original data set into the new data set.
3. Delete the original data set.
4. Rename the new data set to the original data set name.
5. Do a LISTCAT on the moved data set.

If an error occurs, then the IDCAMS execution is stopped. The model control cards for each data set is specified by the data in the MASK DD input stream. By control card specifications to FDREPORT, only IAM data sets are selected that are single volume data sets cataloged to the volume that has been selected. One could get more sophisticated with the IF logic control cards created for IDCAMS, so that processing will resume with the next data set rather than stopping completely on the first error.

```
//SELECTDS EXEC PGM=FDREPORT,REGION=4M
//SYSPRINT  DD  SYSOUT=*
//SYSPUNCH  DD  DSN=&&CAMS,UNIT=SYSDA,SPACE=(CYL,(2,1)),
//              DISP=(,PASS),
//              DCB=(RECFM=FB,LRECL=80,BLKSIZE=23440)
//SYSIN     DD  *
 XSELECT DSORG=IAM,VOL=oldvol,CATALOG=YES,CATVOLCT=1
 PUNCH FDRLIB=MASK
 PRINT ENABLE=IAM,RPTYPE=SELPCH,SORT=NO
/*
//MASK      DD  *
 DEFINE CLUSTER(NAME(<DSN>.NEW) -
      MODEL(<DSN>)     -
      OWNER($IAM)      -
      VOL(newvol)  )
 IF MAXCC NE 0 THEN CANCEL
 REPRO IDS(<DSN>) ODS(<DSN>.NEW)
 IF MAXCC NE 0 THEN CANCEL
 DELETE <DSN>
 ALTER <DSN>.NEW NEWNAME(<DSN>)
 IF MAXCC NE 0 THEN CANCEL
 LISTCAT ENT(<DSN>) ALL
)SUFFIX
 IF MAXCC NE 0 THEN CANCEL
/*
//MOVEIAM EXEC PGM=IDCAMS,COND=(0,NE)
//SYSPRINT DD  SYSOUT=*
//IAMPRINT DD  SYSOUT=*
//IAMINFO  DD  SYSOUT=*
//SYSIN    DD  DSN=&&CAMS,DISP=OLD
```

Figure 95: Example of using FDREPORT to Generate Control Cards for IDCAMS (EX1086B)

10.86 CONTINUED

Example C: Moving Data Sets with FDRCOPY

FDRCOPY can also be used to move IAM data sets. The advantage of using FDRCOPY is that all or a selected subset of data sets can be copied, regardless of type, in one job step. The disadvantage, as discussed above, is that IAM data sets will not be reorganized or reblocked. However, it is quite easy to use. In the following example all non-VSAM data sets are being moved, which will include any IAM data sets.

```
//MOVENVSM EXEC PGM=FDRCOPY,REGION=4M
//SYSPRINT DD    SYSOUT=*
//SYSIN    DD    *
  MOVE     TYPE=DSF
  SELECT   ALLDSN,VOL=oldvol,NVOL=newvol
/*
```

Figure 96: Example of Moving Data Sets with FDRCOPY (EX1086C)

Example D: Moving an IAM Data Set with FDRCOPY

You can also use FDRCOPY to move a single or group of IAM data sets. In the example below, a single IAM data set is moved with FDRCOPY to a new volume, as identified by the NVOL parameter.

```
//MOVEDS   EXEC PGM=FDRCOPY,REGION=4M
//SYSPRINT DD    SYSOUT=*
//SYSIN    DD    *
  MOVE     TYPE=DSF
  SELECT   CATDSN=my.iam.dataset,,NVOL=newvol
/*
```

Figure 97: Example of Moving Data Sets with FDRCOPY (EX1086D)

Example E: Moving Data Sets with DFSMSdss

A similar type of data set move function can also be accomplished with DFSMSdss. The move operation is effected by specifying the DELETE and RECATALOG(*) keywords on the control card. Non-VSAM data sets with organizations of sequential, partitioned, or direct are being moved. The AUTORELBLKA keyword is specified to insure that IAM data sets with DSORG=DA are copied properly.

```
//KSD972C EXEC PGM=ADRDSSU,REGION=4M,COND=EVEN
//SYSPRINT  DD  SYSOUT=*
//SYSIN     DD  *
 COPY DATASET (INCLUDE(**)     -
   BY((DSORG EQ (SAM,PDS,BDAM)) (CATLG EQ YES)) ) -
   LIDY((oldvol)) ODY((newvol))    -
   DELETE RECATALOG(*) AUTORELBLKA
/*
```

Figure 98: Example of Moving Data Sets with DFSMSdss (EX1086E)

10.87 RECOVERING IAM DATA SETS

Recovery

As with any data set containing critical data, it is important to have established data set recovery procedures in place. This includes having proper data set backups and a way to restore any potential data that may be lost due to a hardware or software failure. Most software packages that provide logging and recovery for VSAM files can also be used with IAM data sets. To assist in data set recovery, IAM provides a utility program, IAMRECVR, that may be of assistance in the recovery process. IAMRECVR is not intended to take the place of established data set recovery procedures, but may aid in reducing the time required to recover a data set, or prevent the use of such procedures in some circumstances. IAMRECVR can only retrieve the data that physically exists on the DASD device in a readable form. Data that is inaccessible due to media or other failures are not recoverable with this utility. Likewise, data that has been overwritten or never written out to the storage media can not be recovered by IAMRECVR.

IAM Alternate index data sets can be recovered with either the IAMRECVR utility, or by rebuilding the alternate index using the IDCAMS BLDINDEX function. Using IDCAMS may be preferable, particularly when there is potential data loss, such as may occur with a hardware data check, or other media failure.

IAMRECVR

Should you suspect any type of problem with an IAM file, either physical (I/O errors), or software failures, IAMRECVR can be used to aid in diagnosing and recovering IAM data sets from such types of errors. IAMRECVR offers a set of services that includes the following commands:

1. DIAGNOSE - Read the entire data set to validate general data set integrity.
2. PRINT - Print selected portions of the IAM data set to provide diagnostic information.
3. RECOVER - Reads all of the data in the IAM data set, and copies the readable data to a sequential output data set that can be used to reload the file. Records with duplicate keys are optionally written to a separate sequential data set.
4. APPLY - Copies data from the duplicate log file into the newly loaded recovered data set.

IAMRECVR has knowledge about underlying structure of an IAM data set, and reads IAM files without using the IAM access method. IAMRECVR utilizes high performance I/O, reading up to an entire cylinder per physical I/O. With the information about each particular data set, which is usually retrieved from the data set itself, IAMRECVR pulls out data records from the file. While the entire data set will be read, data blocks containing the index structure are not processed.

This section will explain how to validate data set integrity, how to collect any diagnostic information that may be requested, and how to recover the data set from various error situations through the use of IAMRECVR and various other utilities. Complete information on all of the functions and operands available with IAMRECVR are provided in the Section 45 of the manual. The majority of data set integrity problems are the result of improper data set sharing. In those circumstances, there may quite likely be some data lost because it may have been overwritten, and can not be retrieved because it is not there. Complete data recovery will require the use of additional recovery procedures beyond those provided by IAM.

There are two general categories of failures. The first category consists of errors encountered processing the data set. For example, you may have a program that is receiving an IAMW12 message indicating a data decompression error, or perhaps an IAMW37 I/O error message, or an IAMW17 error message indicating concurrent updates. Or perhaps you are receiving unexpected errors or results from the application, which can include receiving unexpected error codes from IAM while processing the data set. These types of errors may indicate a problem with the data set itself, however they more frequently arise due to software failures such as inadvertent storage corruption. Such errors frequently do not corrupt the file. For these situations, one would normally start by running an IAMRECVR DIAGNOSE operation to validate general data set integrity, which then may be followed with a recovery or a reorganization of the data set. If you suspect that IAM is not returning all of the records that are expected, it is critical to run an IAMRECVR RECOVER on the data set before reorganizing the file or otherwise copying the data. Depending on the nature of the problem, IAMRECVR may be able to retrieve records that can not be retrieved by normal IAM processing that utilizes the index structure of the data set.

10.87 CONTINUED

IAMRECVR (continued)

The second category of failure is where IAM is unable to open the data set. Generally, an IAM error message will be displayed indicating the cause of the failure to open. If the problem is other than environmental, such as insufficient storage, then a data set recovery will be necessary. Generally, this type of error is accompanied by an IAMW79 or an IAMW01 with an IAMW37 error message. These messages contain information about the point of failure during the open, so it is critical to save this message for diagnostic purposes. For this category of errors, it is best to start out with obtaining initial diagnostic information, and then proceed directly to use the IAMRECVR RECOVER command to copy the data records out of the file.

IAMRECVR will provide a report on any errors it may find, plus it will indicate how many records that it actually read. This record count can be used to compare with the count from other sources to determine if all the records have been retrieved. There may be some circumstances where an IAM data set can not be opened for which IAMRECVR successfully performs the recovery, however finds no detectable errors. This is because IAMRECVR is not using the index structure of the data set to read the data, so it may not be detecting the error condition.

DIAGNOSE

When errors are encountered when processing an IAM data set, generally the first step is to validate the general data set integrity with an IAMRECVR DIAGNOSE function. This is most useful for circumstances where you suspect that there might be a problem with an IAM data set. For example, if a job has received an IAMW12 data decompress error, or an IAMW17 indicating concurrent updating, or an I/O error message processing the IAM data set. Or, perhaps there has been a system failure and you just want to verify the integrity of the IAM data set. This is done with the DIAGNOSE command.

DIAGNOSE will read the entire IAM data set, validating basic file integrity. It will verify the following:

1. All data blocks can be physically read.
2. Records are in ascending key sequence within each data block.
3. All data blocks have valid structure.
4. All compressed records can be decompressed.
5. Records are in ascending key sequence within the prime area of the data set.
6. Verify that there are no duplicate records within a data block.

DIAGNOSE will provide information on any errors it detects, along with a count of the number of records it was able to read from the data set. The output will also include a report with basic information about the data set that is quite similar to the IAMPRINT LISTCAT report. This information will help you identify if there is actually a problem within the data set itself, and if so how much of the data will be recoverable.

10.87 CONTINUED

Example A: IAMRECVR DIAGNOSE

The example below demonstrates how to run an IAMRECVR DIAGNOSE. The main requirements are providing a control card input on SYSIN that specifies the DIAGNOSE command, a DD statement with the name of DISKIN that allocates the IAM data set to be diagnosed, and a SYSPRINT DD card for the printed output.

```
//DIAGNOSE EXEC PGM=IAMRECVR,REGION=4M
//SYSPRINT DD   SYSOUT=*
//DISKIN   DD   DSN=my.iam.dataset,DISP=SHR
//SYSIN    DD   *
       DIAGNOSE
/*
```

Figure 99: Example of an IAMRECVR DIAGNOSE (EX1087A)

The results of the DIAGNOSE process will provide information on the status of the data set, and how many of the data records can be recovered. If problems were found, or difficulties are continuing with the data set, then a recovery must be performed. Based on the information provided by the DIAGNOSE run, you can then determine what the best recovery method will be for this particular data set. If it looks like IAMRECVR can retrieve all of the data records, or there are no other alternative recovery procedures available, then the IAMRECVR RECOVER command can be used to create a sequential output file containing the data.

Example B: Multiple DIAGNOSE

IAMRECVR can also DIAGNOSE multiple data sets in a single execution. This is achieved by specifying different DD names on separate DIAGNOSE commands using the FROMDDNAME keyword on the DIAGNOSE command, as illustrated by the following example.

```
//MULTDIAG EXEC PGM=IAMRECVR,REGION=4M
//SYSPRINT DD   SYSOUT=*
//FILE1    DD   DISP=SHR,DSN=my.iam.file1
//FILE2    DD   DISP=SHR,DSN=my.iam.file2
//FILE3    DD   DISP=SHR,DSN=my.iam.file3
//SYSIN    DD   *
  DIAGNOSE FROMDD=FILE1
  DIAGNOSE FROMDD=FILE2
  DIAGNOSE FROMDD=FILE3
/*
```

Figure 100: Example of Diagnosing multiple files (EX1087B)

10.87 CONTINUED

Obtaining Diagnostic Information

One of the important considerations in recovering an IAM data set is that the corrupted data set may be needed for problem determination and resolution. If it is at all possible, it is best to save the existing problem data set where it is, and recover into a new data set. If that is not possible, then the next best choice is to back up the data set with FDR from Innovation Data Processing, or a comparable software product, such as DFSMSdss from IBM. Refer to the section on backing up IAM data sets for information and examples of how to obtain a backup copy with one of those products. Other types of backup copies may not preserve the exact image of the data set, which can result in not being able to perform problem determination. An IDCAMS REPRO, or the output data set from IAMRECVR RECOVER command will not be adequate for performing problem resolution.

Example C: PRINT IDPINQ

Equally important is to obtain some diagnostic information. If you call for assistance due to a potentially damaged file, you will frequently will be asked to obtain various diagnostic information. The IAMRECVR PRINT command is used to help obtain some of this information. It will be quite helpful to run the job below prior to calling for technical assistance, as this is generally a diagnosis starting point. This job uses the PRINT command of IAMRECVR to print out the blocks within the data set that describe the characteristics and physical structure of the file. A LISTCAT ALL is also being performed, as that will print out additional information on the volume(s) that the data set resides.

```
//PRINTIDP EXEC PGM=IAMRECVR,REGION=4096K
//SYSPRINT DD   SYSOUT=*
//DISKIN   DD   DSN=my.iam.dataset,DISP=SHR
//SYSIN    DD   *
  PRINT    IDPINQ
/*
//LISTCAT  EXEC PGM=IDCAMS
//SYSPRINT DD   SYSOUT=*
//IAMPRINT DD   SYSOUT=*
//SYSIN    DD   *
  LISTCAT ENT(my.iam.dataset) ALL
/*
```

Figure 101: PRINT IDPINQ Example (EX1087C)

10.87 CONTINUED

Example D: Printing Blocks

With information from the above PRINT or DIAGNOSE, you may also be asked to print out selected blocks from the IAM data set. The IAM Technical Support representative will inform you if this is needed, and provide you with the block range(s) to be printed. This is accomplished with a different flavor of the PRINT command. In this case, a starting block number is specified by the FBLK= (from block) keyword, and the number of blocks to print is specified with the MAXBLKS= keyword. You can specify multiple PRINT commands in the same execution of IAMRECVR, each of which will specify different ranges of blocks to be printed. The example below shows how this is done.

```
//PRINTBLK EXEC PGM=IAMRECVR,REGION=4096K
//SYSPRINT DD   SYSOUT=*
//DISKIN   DD   DSN=my.iam.dataset,DISP=SHR
//SYSIN    DD   *
  PRINT FBLK=65,MAXBLKS=4
  PRINT FBLK=100,MAXBLKS=1
/*
```

Figure 102: Example of printing out blocks of an IAM Data Set (EX1087D)

RECOVER

To perform the recovery, the IAMRECVR RECOVER command is used to obtain a sequential copy of the data. If there are records in the Extended Overflow (or Independent Overflow for Compatible format files), the sequential output file must be sorted. The RECOVER command will invoke the sort product you have installed at your installation. The JCL you provide must specify whatever DD cards are needed for the sort. Frequently this requires specification of sort work space, with three or more SORTWK0x DD cards. This sort work space must be adequate enough to handle the amount of data that is contained within the data set. The recover step is followed by a step to define a new cluster and reload the data with IDCAMS REPRO. The reload step also includes renaming the data sets.

Spanned Records

To perform a recovery on files with spanned records, there are additional considerations. On the step executing the IAMRECVR RECOVER command, an additional DD statement is required. That DD statement is SPANOUT. This DD statement defines a file on tape or disk that will contain those records that are actually spanned, that is too large to fit within a single block. After performing the reload of the data set from the TAPEOUT file, an additional step is required for the spanned records. That step is to run the IAMRECVR APPLY command with the SPANNED keyword. That will update the recover file with the spanned records from the SPANOUT file. Further information on using these keywords is in section 45.

10.87 CONTINUED

Example E: Basic Recover

The example below performs both the RECOVER step and the REPRO step. First, the RECOVER command of IAMRECVR is executed to create a sequential file containing all of the data records from the IAM data set. If that process is successful, then IDCAMS is executed. With IDCAMS, a new data set is defined, using the original data set as a model, and then loaded with the recovered data. This is followed by renames of the data sets. Note the use of the IDCAMS IF and CANCEL commands, which is done to preserve the original data set in case a failure occurs during the IDCAMS processing.

For the execution of the IAMRECVR program, the DISKIN DD specifies the IAM data set, and the TAPEOUT DD specifies the new sequential data set. The SYSPRINT and SYSIN DD cards are required by IAMRECVR. The SORTWK0x and SYSOUT DD statements are provided for the SORT.

For the execution of the IDCAMS step, the SYSPRINT and SYSIN DD are required. The sequential file created by the RECOVER process in the prior step is included with a DD name of INFILE. The IAMINFO DD is optional, but recommended to obtain the run time report for the file load. Note that there is a DD statement, OLDIAMDS, for the original IAM data set that is otherwise not referenced. This is done to hold the ENQ on the original data set name until the recovery process is complete. Proper caution should be used in constructing the job stream to insure that the data is preserved.

WARNING: If you are running with a version of IAMRECVR prior to Version 6.4 and you need to Delete and Define the IAM data set, use the JCL for Example F. If there are duplicate keys in the data set, the records will be lost when the data set is deleted. In Version 6.4, IAMRECVR will set a return code of 8 when duplicate keys are found, and you are not logging or ignoring the duplicate keys.

```
//RECOVER  EXEC PGM=IAMRECVR,REGION=4096K
//SYSPRINT DD   SYSOUT=*
//SYSOUT   DD   SYSOUT=*
//DISKIN   DD   DISP=OLD,DSNAME=my.iam.dataset
//TAPEOUT  DD   DSN=my.seq.dataset,DISP=(,CATLG),
//     UNIT=SYSDA,SPACE=(CYL,(20,10))
//SORTWK01 DD   UNIT=SYSDA,SPACE=(CYL,(20,10))
//SORTWK02 DD   UNIT=SYSDA,SPACE=(CYL,(20,10))
//SORTWK03 DD   UNIT=SYSDA,SPACE=(CYL,(20,10))
//SYSIN    DD   *
  RECOVER
/*
//LOADNEW  EXEC PGM=IDCAMS,COND=(0,NE)
//SYSPRINT DD   SYSOUT=*
//IAMINFO  DD   SYSOUT=*
//INFILE   DD   DSN=my.seq.dataset,DISP=OLD
//OLDIAMDS DD   DSN=my.iam.dataset,DISP=OLD
//SYSIN    DD   *
  DELETE my.newiam.dataset
  DELETE my.newiam.dataset NOSCRATCH
  SET MAXCC=0
  DEFINE CLUSTER(NAME(my.newiam.dataset)   -
        OWNER($IAM)                        -
        MODEL(my.iam.dataset))
  IF MAXCC NE 0 THEN CANCEL
  REPRO INFILE(INFILE) ODS(my.newiam.dataset)
  IF MAXCC NE 0 THEN CANCEL
  ALTER my.iam.dataset NEWNAME(my.badiam.dataset)
  IF MAXCC NE 0 THEN CANCEL
  ALTER my.newiam.dataset NEWNAME(my.iam.dataset)
  LISTCAT ENT(my.iam.dataset) ALL
  LISTCAT ENT(my.badiam.dataset) ALL
/*
```

Figure 103: Basic Data Set Recovery Example (EX1087E)

10.87 CONTINUED

Duplicate Keys

One of the circumstances that may occur is that records with duplicate keys are discovered by the RECOVER process after the sort has been done, while the output sequential data set is being written. This circumstance does not necessarily represent a data integrity problem with the file. When a record is updated, the length of the record may be changed either by the application program itself, or by IAM if the updated record compresses differently. If there was an increase in the record length as a result of the update, the record may no longer fit within the current block that it resides, so it is moved by IAM to Overflow. Without Variable Overflow because the maximum length is reserved for a record once it is moved to an overflow block it will stay in that block. With Variable Overflow, the record may need to be moved to a different overflow block. IAM will first write out the updated record within the block it was moved to, and then subsequently write out the original block with the old record deleted. If a failure occurs that prevents the proper closing of the data set, the second write might not yet have been done resulting with the record existing in both blocks. Failures that may result in this condition include MVS failures resulting in an IPL without proper application shutdown, using the MVS FORCE command to cancel an updating job from the system, or other types of address space failures, or power outage. Files that were opened for update during such a failure should be reorganized or recovered as soon as possible after such a failure. Unfortunately, such failures also prevent the file statistics from being updated as well, so accurate information may not be reflected in the statistics particularly for the actual record count.

Other possibilities for duplicate keys include sharing the IAM data set for concurrent updating or software failures that caused storage corruption. For these type of duplicates, you may need to examine which of the duplicate records you want to have in the recovered data set. This can be accomplished by editing the LOG data set that is created by IAMRECVR, and then running the APPLY step.

During normal IAM processing, the first duplicate record condition is not a problem as long as the record is not deleted. This is because with Enhanced format files, the record will always be moved to a higher relative block than it existed in before the update. So, the valid record will always be the record in the highest block. (Note that for Compatible format files, the situation is reversed because the Overflow area is at the physical beginning of the data set.) For a recovery using IAMRECVR, a different procedure than the basic one shown in the preceding example must be used.

10.87 CONTINUED

Example F: Recover with Duplicate keys

The first change to the original example is that the SORT must be told to pass records with equal keys back in the same order that they were passed to the SORT. This is done with the EQUALS option for DFSORT and SYNCSORT. For DFSORT, this option is specified by a control card input using the DFSPARM DD. For SYNCSORT, a $ORTPARM DD card is used. In the example, both are included. The next change for Enhanced format files is to specify a LOG data set and indicating on the RECOVER command that records with duplicate keys are to be logged, (i.e. specify DUP=LOG on the RECOVER command). The first record of any specific key value will always be written to the normal sequential output data set. Any subsequent records with the same key will be written out to the LOG data set. Then, the normal reload is done for the data set.

Then, for Enhanced format files only, the records in the LOG data set are copied into the IAM data set with an IAMRECVR APPLY statement. Note that you could also use an IDCAMS with the REPRO REPLACE statement. See Example G for using the REPRO REPLACE instead of the IAMRECVR APPLY. The advantage of using the IAMRECVR APPLY is that it will print out the keys of the records that are being replaced by the apply operation.

10.87 CONTINUED

```
//RECOVER  EXEC PGM=IAMRECVR,REGION=4096K
//SYSPRINT DD   SYSOUT=*
//SYSOUT   DD   SYSOUT=*
//DISKIN   DD   DISP=OLD,DSNAME=my.iam.dataset
//TAPEOUT  DD   DSN=my.seq.dataset,DISP=(,CATLG),
//    UNIT=SYSDA,SPACE=(CYL,(20,10))
//LOG      DD   DSN=my.duprec.dataset,DISP=(,CATLG),
//    UNIT=SYSDA,SPACE=(CYL,(2,1))
//SORTWK01 DD   UNIT=SYSDA,SPACE=(CYL,(20,10))
//SORTWK02 DD   UNIT=SYSDA,SPACE=(CYL,(20,10))
//SORTWK03 DD   UNIT=SYSDA,SPACE=(CYL,(20,10))
//$ORTPARM DD   *
EQUALS
/*
//DFSPARM  DD   *
EQUALS
/*
//SYSIN    DD   *
  RECOVER  DUP=LOG
/*
//LOADNEW  EXEC PGM=IDCAMS,COND=(0,NE)
//SYSPRINT DD   SYSOUT=*
//IAMINFO  DD   SYSOUT=*
//INFILE   DD   DSN=my.seq.dataset,DISP=OLD
//SYSIN    DD   *
  DELETE my.iam.dataset
  IF MAXCC NE 0 THEN CANCEL
  DEFINE CLUSTER(NAME(my.iam.dataset)      -
         OWNER($IAM)                       -
         VOL(myvol) CYL(20 10)             -
         RECORDSIZE(300 1000)              -
         KEYS(16 0)                        -
         FREESPACE(10 10)                  -
         SHAREOPTIONS(2 3))
  IF MAXCC NE 0 THEN CANCEL
  REPRO INFILE(INFILE) ODS(my.iam.dataset)
  LISTCAT ENT(my.iam.dataset) ALL
/*
//APPLY    EXEC PGM=IAMRECVR,REGION=4M
//SYSPRINT DD   SYSOUT=*
//IAMINFO  DD   SYSOUT=*
//LOG      DD   DSN=my.duprec.dataset,DISP=OLD
//VSAMOUT  DD   DSN=my.iam.dataset,DISP=OLD
//SYSIN    DD   *
 APPLY OUT=VSAM
/*
```

Figure 104: Example of Recovering Data Set With Duplicate Keys (EX1087F)

10.87 CONTINUED

Example G: Recover with REUSE

In this next example, rather than deleting and redefining the data set, it is copied into with a REPRO REUSE. If that is successful, then the duplicates, if any, are copied into the IAM data set with another REPRO, but this time with REPLACE.

```
//RECOVER  EXEC PGM=IAMRECVR,REGION=4096K
//SYSPRINT DD   SYSOUT=*
//SYSOUT   DD   SYSOUT=*
//DISKIN   DD   DISP=OLD,DSNAME=my.iam.dataset
//TAPEOUT  DD   DSN=my.seq.dataset,DISP=(,CATLG),
//     UNIT=SYSDA,SPACE=(CYL,(20,10))
//LOG      DD   DSN=my.duprec.dataset,DISP=(,CATLG),
//     UNIT=SYSDA,SPACE=(CYL,(2,1))
//SORTWK01 DD   UNIT=SYSDA,SPACE=(CYL,(20,10))
//SORTWK02 DD   UNIT=SYSDA,SPACE=(CYL,(20,10))
//SORTWK03 DD   UNIT=SYSDA,SPACE=(CYL,(20,10))
//$ORTPARM DD   *
EQUALS
/*
//DFSPARM  DD   *
EQUALS
/*
//SYSIN    DD   *
  RECOVER  DUP=LOG
/*
//LOADNEW  EXEC PGM=IDCAMS,COND=(0,NE)
//SYSPRINT DD   SYSOUT=*
//IAMINFO  DD   SYSOUT=*
//IAMFILE  DD   DSN=my.iam.dataset,DISP=OLD
//INFILE   DD   DSN=my.seq.dataset,DISP=OLD
//DUPFILE  DD   DSN=my.duprec.dataset,DISP=OLD
//SYSIN    DD   *
  REPRO INFILE(INFILE) OUTFILE(IAMFILE) REUSE
  IF MAXCC NE 0 CANCEL
  REPRO INFILE(DUPFILE) OUTFILE(IAMFILE) REPLACE
  LISTCAT ENT(my.iam.dataset) ALL
/*
```

Figure 105: Example of recover with REPRO (EX1087G)

10.88 IAM JOURNAL AND RECOVERY

Overview

For Enhanced Format IAM data sets without spaqnned records, IAM offers an optional journalling facility along with an associated recovery capability. IAM journalling is activated through IAM Overrides for the data sets that you select. The IAM journalling facility provides a tool to capture in a separate data set the images of records prior to being updated, called before images, and / or images of records after being updated, called after images. Once a journal has been created, it can be used by the provided utility program IAMJREST to recover the IAM data set to a particular point in time. There are two types of recovery possible, called forward recovery and backward (or backout) recovery. Forward recovery is done by restoring a backup copy of the affected IAM data set, then applying the after images from the journal data set(s) to the IAM data set. In other words, the recovery proceeds forward from a particular point in time to an identified point in time, prior to the failure. A backout recovery does the opposite function. Starting with a data set that has been updated by various jobs, it provides a capability to backout the updates from selected jobs. This is accomplished by updating the data set from the log using the before images.

Why Use IAM Journaling

The main reason to use the IAM journalling feature is to improve data availability for very large data sets. This is accomplished because the enhanced recovery capabilities can reduce the frequency of backing up the entire data set. Rather than backing up large IAM data sets every day, they can be backed up less frequently, perhaps just once a week. Only the journal containing the updated data is backed up on a daily basis. By backing up less data, the amount of time a data set is not available for update processing is reduced. The amount of time saved by not doing full backups each night can be quite substantial.

In case of a media failure, the data set is recovered by restoring the data set from the last full backup, then executing a journal forward recovery using IAMJREST. For failures when a batch job abends, or otherwise fails, the updates made by the failing batch job can be backed out by IAMJREST, then the batch job processing can be restarted. If need be, the updates from multiple jobs steps and jobs can be backed out. The backout facility may be able to save a lot of time when recovering from typical job abends, by eliminating the need to restore data sets and rerun an entire sequence of batch jobs. Additional savings are possible by eliminating the need for batch jobs to perform separate backup of critical files prior to starting an update process.

Preparing for Journalling

Before turning on IAM journalling for a data set, you need to take a few steps in preparation. First, you must decide what types of recoveries you are going to want to perform, as this choice impacts the type of records you will need to have written to the journal data set. If you are planning on both the forward and backward recoveries, you will need to be collecting both before and after images. If you are planning on just doing forward recoveries, then only the after image records are collected. Conversely, if you are only planning on only backout recoveries, then you only need to collect before images. You can also choose between journalling all update activity against a file, or only journalling for some selected jobs. If you are journalling for all or most of the update activity on the IAM data set, then you should activate journalling by specifying the IAM CREATE JRNAD= override when the file is defined or loaded. The journalling option you select will be retained with the data set, and used on each access to the data set. If you are only planning on using the journalling for a few selected jobs to be able to backout their updates, then the journalling can be specified on the selected jobs steps using the IAM ACCESS JRNAD= override. As operands on the JRNAD keyword, you specify the types of records that you need to have written to the journal. Valid values are:

- BEFORE for before images,
- AFTER for only updated record images, or
- BOTH to collect BEFORE and AFTER images.

Using BOTH will provide the most flexibility, but also incurs the largest overhead in terms of space required and journal I/O activity. When estimating the space, keep in mind that IAM does NOT journal during file load or reorganization, so there will not be a “second copy” in the journal of the entire data set.

10.88 CONTINUED

Managing the Journal Data Set

You are responsible for allocating and managing the journal data set. So, the next step is to decide how you want to manage your IAM journal data sets. The IAM Journal data sets must reside on DASD while they are being actively used for journalling. They can reside on either tape or disk when performing the recovery phase. If you are opting for the full journalling, then you will need permanent DASD data sets for the journals. Typically, the journal data sets will be backed up daily using IEBGENER. The reason for using IEBGENER is so that the back up copy of the LOG data set can be used directly as input to the IAMJREST utility. That would not be possible if the data set was backed up by DASD management software products, such as FDR/ABR. The backup copy can take the form of an accumulation data set, or as a separate data set each day, perhaps by using a GDG.

To help minimize both the amount of DASD space for the journal and the journal backup time, you will probably want to empty the journal data set(s) after they are backed up. This can be easily accomplished by running program IEBGENER with an empty input data set. Or, you might decide that it is more critical to minimize the time it takes to set up the recovery. In that case you would want to accumulate the journal data within the journal data set itself, containing all the data since the last full data set backup.

If you are just going to do journalling for batch update jobs to provide a backout recovery capability, you have some different choices. You can either go with a permanently allocated journal data set, or allocate one at the beginning of the batch job stream. In either case, you will most likely want to backup the journal data set at the end of the batch stream. If you are using a permanent journal data set, you will want to empty it prior to or at the beginning of the job stream that is being journalled.

Estimating Journal DASD Space

With the above decisions made, you can next estimate the amount of DASD space that will be needed for your journal data set. To make the estimate, you will need some statistical information that can be found in IAM LISTCAT or IAMINFO reports. These reports should cover the typical length of time that the data will be residing in the journal, whether that is just for a day, a week, or through a batch job stream. The important numbers are the number of inserts, updates and deletes for the length of time in question, as this will be used to calculate the number of records that are written to the journal. To estimate the number of records, which we will call **R**, select one of the following calculations based on the types of records that are being collected:

- For both BEFORE and AFTER Images: **R** = (2 * (updates + deletes)) + inserts.
- For only BEFORE or AFTER Images: **R** = updates+deletes+inserts.

The IAM journal will typically use 1/2 track blocking, which will be 27998 when residing on a 3390, or 23476 when residing on a 3380, and using variable length records and blocks. The maximum record length is the defined user record size plus 44 bytes for the header information on each journal record. If the maximum record size exceeds the 1/2 track block size, then a block size of 32760 will be used. To play it absolutely safe, you should estimate your journal space requirements using the maximum record length. However, for files that have a very large maximum record length, for which you know that the actual record length is considerably smaller, you can use the smaller record length. Select the record size that seems most appropriate, and add 44 bytes to that length for the header information. Divide the expected block size-4 by the record size to get the average number of journal records per block, dropping any fraction. Multiply the result by 30 if using 1/2 track blocking, or by 15 if the larger block size of 32760 must be used. That will yield the number of journal records per cylinder, which we will call **C**.

The estimated number of cylinders will be **R / C,** which is to be rounded up. Or in words, the estimated number of log records divided by the number of records per cylinder yields the number of cylinders. Use this value for the primary space allocation of the journal data set. Then use some fraction in the range of 10% to 25% as the secondary space value for your journal data set allocation.

10.88 CONTINUED

Example of Journal Space Calculation

As an example, let's say that we have a file that typically has 15,000 updates, 1,000 inserts, and 500 deletes in a day. Our plan is to collect both before and after images, enabling both forward and backout recovery. The journal will be backed up and then emptied each day after the online system comes down, prior to starting the batch update runs. The estimated number of daily journal records will be:

R = (2 ∗ (15,000 + 500)) + 1,000 = 32,000.

The maximum defined user record length is 256, so the maximum journal record length is (256 + 44) = 300. The journal is going to reside on a 3390, which has a 1/2 block size of 27,998. Dividing (27,998-4) by 300 = 93 log records per block. Next, multiply the number of log records per block times the number of blocks per cylinder (which is 30), to come up with 2,790 log records per cylinder.

C = ((27998-4) / (256 + 44)) ∗ 30 = 2,790.

The number of cylinders required is **R / C** or 32,000 / 2,790 = 12.

Journal Data Set Name

The name of the journal data set is the data set name (or cluster name) of the IAM data set, with the characters '.LOG' appended to the end. If the length of the data set name exceeds 40 bytes, then the end will be overlaid with the '.LOG' character string and be 44 bytes long, except when the 40th byte is a '.'. In that case, the data set name will only be 43 bytes long, still ending with the '.LOG' literal.

Journal Allocation Considerations

There are a few considerations for doing the actual journal allocation. First, to maximize the recovery potential, and to avoid I/O contention between the journal and the actual data set, the journal data set must be on a different volume. It would be best for the journal data set to be on a device that is on a different channel and controller if that is possible. Secondly, make sure to pick a volume that has sufficient DASD space available to allow the journal data set to go into extents if necessary. Thirdly, do not specify any DCB characteristics, such as LRECL, BLKSIZE, or RECFM. The IAM journal program will set those values automatically the first time the journal data set is used.

10.88 CONTINUED

Example A: Defining an IAM file with Journalling and a Log Data Set

The example JCL below demonstrates defining an IAM data set with the journalling option requested on the IAM CREATE Override statement. Note that JRNAD=BOTH is specified, indicating journalling of both before and after images. This option provides for both forward and backout recoveries. The journal data set is also being allocated in the same job step, using the SPACE value calculated in the above example calculation.

```
//IAMDEFIN    EXEC      PGM=IDCAMS
//SYSPRINT    DD        SYSOUT=*
//JOURNAL     DD        DSN=MY.IAM.KSD.LOG,UNIT=SYSDA,DISP=(,CATLG),
//            SPACE=(CYL,(12,6)),VOL=SER=MYVOL2
//IAMOVRID    DD        *
       CREATE DD=&ALLDD,JRNAD=BOTH
/*
//SYSIN       DD        *
  DEFINE CLUSTER       -
   (NAME(MY.IAM.KSD)   -
   OWNER($IAM)         -
   VOLUMES(MYVOL1)     -
   CYL(60 6)           -
   RECORDSIZE(100 256)-
   KEYS(24 8)          -
   FREESPACE(5 20)     -
   SHAREOPTIONS(2 3)   -
   REUSE  )
  LISTCAT ENT(MY.IAM.KSD) ALL
/*
```

Figure 106: Example of Defining an IAM Data Set with Journalling (EX1088A)

Example B: Setting up GDG for Journal Backups

To continue with the above example, the next step is setting up whatever JCL is needed for management of the log data. For the IAM data set being journalled, the backup frequency had been once a day. With the IAM journal being active for this data set, the backup frequency will be changed to once a week. The journal will be backed up daily, to a GDS, and then emptied. As part of the weekly data set backup, we will accumulate the daily journals for the past week, into a single data set on tape, which will be the weekly journal GDS. Step 2 is then to set up the GDG for the journal backups, which can be done as shown below:

```
//IAMDEFIN  EXEC    PGM=IDCAMS
//SYSPRINT  DD      SYSOUT=*
//SYSIN     DD      *
  DEFINE GENERATIONDATAGROUP            -
    (NAME(MY.IAM.KSD.DAILY.JOURNAL)     -
    LIMIT(7) SCRATCH )
  DEFINE GENERATIONDATAGROUP            -
     NAME(MY.IAM.KSD.WEEKLY.JOURNAL)    -
     LIMIT(54))
/*
```

Figure 107: Defining GDG for Journal Backups (EX1088B)

10.88 CONTINUED

Example C: Daily Journal Backup Job

The daily journal backup job will run six days a week. This job will not only make a copy of the journal data set to the new daily journal backup GDG, but will also empty the journal file so that the most it will contain is one day's worth of data. The following example will show how this can be accomplished:

```
//BKUPJRNL EXEC        PGM=IEBGENER
//SYSPRINT DD          SYSOUT=*
//SYSIN    DD          DUMMY
//SYSUT2   DD          DSN=MY.IAM.KSD.DAILY.JOURNAL(+1),
//     DISP=(,CATLG),SPACE=(CYL,(12,6)),UNIT=SYSDA,
//     DCB=(MY.IAM.KSD.LOG),VOL=SER=MYVOL3
//SYSUT1   DD          DSN=MY.IAM.KSD.LOG,DISP=OLD
//EMPTYJRN EXEC        PGM=IEBGENER,COND=(0,NE,BKUPJRNL)
//SYSPRINT DD          SYSOUT=*
//SYSIN    DD          DUMMY
//SYSUT1   DD          DUMMY,DCB=(MY.IAM.KSD.LOG)
//SYSUT2   DD          DSN=MY.IAM.KSD.LOG,DISP=OLD
```

Figure 108: Example of Daily Journal Backup (EX1088C)

Example D: Weekly Journal Backup

The weekly journal backup job should be run as part of the same job that performs the backup of the base IAM data set. Preferably as the step immediately after the data set backup. If time is critical, the weekly backup of the journal data sets can be done concurrent with the data set backup. This job will copy the previous six daily backups along with any current data in the journal, and subsequently empty the journal data set itself.

```
//WBKUPJRN EXEC        PGM=IEBGENER
//SYSPRINT DD          SYSOUT=*
//SYSIN    DD          DUMMY
//SYSUT2   DD          DSN=MY.IAM.KSD.WEEKLY.JOURNAL(+1),
//         DISP=(,CATLG),UNIT=CART
//SYSUT1   DD          DSN=MY.IAM.KSD.DAILY.JOURNAL(-5),DISP=OLD
//         DD          DSN=MY.IAM.KSD.DAILY.JOURNAL(-4),DISP=OLD
//         DD          DSN=MY.IAM.KSD.DAILY.JOURNAL(-3),DISP=OLD
//         DD          DSN=MY.IAM.KSD.DAILY.JOURNAL(-2),DISP=OLD
//         DD          DSN=MY.IAM.KSD.DAILY.JOURNAL(-1),DISP=OLD
//         DD          DSN=MY.IAM.KSD.DAILY.JOURNAL(0),DISP=OLD
//         DD          DSN=MY.IAM.KSD.LOG,DISP=OLD
//EMPTYJRN EXEC        PGM=IEBGENER,COND=(0,NE,WBKUPJRN)
//SYSPRINT DD          SYSOUT=*
//SYSIN    DD          DUMMY
//SYSUT1   DD          DUMMY,DCB=(MY.IAM.KSD.LOG)
//SYSUT2   DD          DSN=MY.IAM.KSD.LOG,DISP=OLD
```

Figure 109: Weekly Backup to Tape of Journal Data (EX1088D)

10.88 CONTINUED

Journal Recovery Procedures

Now that the journalling is all in place, along with the proper backup procedures, the recovery process from the IAM journal can be examined. Recovery with the journal is provided for by the IAMJREST program. The IAMJREST program uses as input the journal data set(s), the base IAM data set, and some control parameters directing its operation. The output of IAMJREST is the updated IAM data set. This section will provide the basics of using IAMJREST, along with examples. For additional information in IAMJREST, refer to section 47 of the manual, which has a complete description of the IAMJREST program.

Forward Recovery

There are two different types of recovery procedures that can be performed by IAMJREST. The first type is a forward recovery. A forward recovery will typically be used to recover a data set if the device it resides on is damaged or not operational, or if the data set has been seriously corrupted. A forward recovery is one where first the base data set is restored, then the IAMJREST utility is executed to apply the updates, inserts, and deletes from the journal to the base data set. For a forward recovery to occur, the journal must contain AFTER images for all the jobs that updated the data set. This is accomplished by specifying JRNAD=BOTH or JRNAD=AFTER on the IAM CREATE override statement.

10.88 CONTINUED

Example E: Forward Recovery with Restore

Shown below is an example of performing a forward recovery. In the example, it is presumed that a failure occurred on the third day after the last backup. So, there are the two daily backups of the journal file, plus the current journal file that will be used for the recovery. These three data sets will be input to the recovery procedure. The data set being recovered will first be deleted and defined on a new volume, then it will be reloaded from the backup tape. After the restore, IAMJREST is executed with the three input files to perform the forward recovery. The failure occurred will running the job BATCHUP2. The recovery will be performed for all update jobs that ran up to and including job BATCHUP1. Once any other data sets are recovered, the BATCHUP2 job can be restarted.

```
//DELDEFIN    EXEC       PGM=IDCAMS
//SYSPRINT    DD         SYSOUT=*
//IAMOVRID    DD         *
       CREATE DD=&ALLDD,JRNAD=BOTH
/*
//SYSIN       DD         *
  DELETE MY.IAM.KSD CLUSTER
  IF MAXCC NE 0 THEN    -
       DELETE MY.IAM.KSD NOSCRATCH
       SET MAXCC = 0
  END
  DEFINE CLUSTER    -
       (NAME(MY.IAM.KSD)   -
       OWNER($IAM)         -
       VOLUMES(NEWVOL)   -
       CYL(60 6)           -
       RECORDSIZE(100 256) -
       KEYS(24 8)          -
       FREESPACE(5 20)    -
       SHAREOPTIONS(2 3)   -
       REUSE  )
  LISTCAT ENT(MY.IAM.KSD) ALL
/*
//RESTORE     EXEC       PGM=IDCAMS,COND=(0,NE)
//SYSPRINT    DD         SYSOUT=*
//BACKUP      DD         DSN=MY.IAM.KSD.BACKUP,DISP=OLD
//IAMFILE     DD         DSN=MY.IAM.KSD,DISP=OLD
//IAMINFO     DD         SYSOUT=*
//SYSIN       DD         *
       REPRO INFILE(BACKUP) OUTFILE(IAMFILE) REUSE
       LISTCAT ENT(MY.IAM.KSD) ALL
/*
//RECOVER     EXEC       PGM=IAMJREST,REGION=64M,COND=(0,NE)
//SYSPRINT    DD         SYSOUT=*
//SYSOUT      DD         SYSOUT=*
//SORTWK01    DD         UNIT=SYSDA,SPACE=(CYL,(50,10))
//SORTWK02    DD         UNIT=SYSDA,SPACE=(CYL,(50,10))
//SORTWK03    DD         UNIT=SYSDA,SPACE=(CYL,(50,10))
//IAMFILE     DD         DSN=MY.IAM.KSD,DISP=OLD
//IAMJRNL     DD         DSN=MY.IAM.KSD.DAILY.JOURNAL(-1),DISP=OLD
//            DD         DSN=MY.IAM.KSD.DAILY.JOURNAL(0),DISP=OLD
//            DD         DSN=MY.IAM.KSD.LOG,DISP=OLD
//IAMINFO     DD         SYSOUT=*
//SYSIN       DD         *
                         RESTORE FORWARD,TOJOB=BATCHUP1,TODATE=1998182
/*
```

Figure 110: Forward Recovery Preceded by a Restore (EX1088E)

10.88 CONTINUED

Backup Recovery

The other type of recovery that can be performed is a backout (or backwards) recovery. This type of recovery backs out updates to a data set from the specified jobs or job steps. To perform a backout recovery, the journal must include BEFORE images. BEFORE images are written to the journal when either JRNAD=BOTH or JRNAD=BEFORE have been specified on the IAM overrides. A forward recovery can be done to restore a data set to the same point that can be done with a backout recovery. The backout recovery however will generally be much faster than a forward recovery. This is because the data set does not have to be restored, and eliminates having to apply updates from potentially several days. Backout recoveries are also ideal if you only need to use IAM journalling to provide recovery for a few jobs or a job stream.

Example F: Backout Recovery

Shown below is an example of a backout recovery. In this recovery, the all updates for job BATCHUPD that was run on June 30, 1998 (1998.181) are removed from the IAM data set.

```
//RECOVER  EXEC    PGM=IAMJREST,REGION=64M,COND=(0,NE)
//SYSPRINT DD      SYSOUT=*
//SYSOUT   DD      SYSOUT=*
//SORTWK01 DD      UNIT=SYSDA,SPACE=(CYL,(50,10))
//SORTWK02 DD      UNIT=SYSDA,SPACE=(CYL,(50,10))
//SORTWK03 DD      UNIT=SYSDA,SPACE=(CYL,(50,10))
//IAMFILE  DD      DSN=MY.IAM.KSD,DISP=OLD
//IAMJRNL  DD      DSN=MY.IAM.KSD.DAILY.JOURNAL(-1),DISP=OLD
//         DD      DSN=MY.IAM.KSD.DAILY.JOURNAL(0),DISP=OLD
//         DD      DSN=MY.IAM.KSD.LOG,DISP=OLD
//IAMINFO  DD      SYSOUT=*
//SYSIN    DD      *
      RESTORE BACKOUT,JOBNAME=BATCHUPD,FROMDATE=1998181
/*
```

Figure 111: An Example of a Backout Recovery (EX1088F)

Example G: Identifying the Contents of a Journal

If you are unsure of what jobs or job steps have been journalled, the IAMJREST provides the SCAN capability. This command will read the specified journal data set, and print a line identifying each of the job steps that have records on the file. Included with that information is the time and date. This information may be helpful when setting up to run a recovery. The SCAN command also has a DETAIL operand, which provides a way to determine the complete contents of the journal data set, should that be needed. Normally though, it is expected that the summary information identifying all the job steps that have updated the data set will be sufficient information.

```
//RECOVER  EXEC    PGM=IAMJREST
//SYSPRINT DD      SYSOUT=*
//IAMJRNL  DD      DSN=MY.IAM.KSD.LOG,DISP=OLD
//SYSIN    DD      *
      SCAN  SUMMARY
/*
```

Figure 112: Example of Identifying Contents of Journal (EX1088G)

30.01 IAM OVERRIDE STATEMENTS OVERVIEW

Overview

IAM offers various features and capabilities that are not available with VSAM. One of the ways to indicate to IAM what features and capabilities are to be used with any specific file is through the IAM Override facility. Many features can be activated or deactivated as defaults through the IAM Global Options facility. Others, such as IAM's Dynamic Tabling, are available only through an override specification. There could also be circumstances where the user does not want the default values from the IAM Global Options Table, or wants to make sure a certain capability is being used. For example, with a very highly accessed IAM file, the user may want to increase the maximum and minimum number of buffers that IAM is to use for processing that file.

This section is intended to provide in one place, a reference for all of the IAM Overrides that are applicable with IAM Version 7.0 using the IAM VSAM (VIF) interface. The various facilities and capabilities of IAM are described in other places of the manual. Descriptions of the override keywords for the older interfaces, i.e. Native or ISAM, along with overrides that are not recommended for use, are contained in the Installation Control Library (ICL) as member OLDOVRID. This library was downloaded as part of the install process. Contact your systems programmer if you need to reference that material.

IAM offers the user two types of override statements, the CREATE override and the ACCESS override.

CREATE Statement

The CREATE statement can be used when a file is defined, loaded or reorganized to specify file characteristics and special features. Using the CREATE statement, an IAM user can tailor file processing by changing or overriding file characteristics and options that would otherwise be based on an IDCAMS DEFINE and the defaults in IAM's Global Option table. In some cases IAM's default values may not be sufficient. For example, for a file that has very heavy access, which could benefit from using more than the default number of buffers use the CREATE statement to override the defaults for MAXBUFNO and MINBUFNO on the file define or load, which will eliminate the need to override the file by every job that accesses that file.

ACCESS Statement

The ACCESS statement can be used when a loaded file is processed for input or update to override IAM run time options for a specific job. Using the ACCESS statement an IAM user, at execution time, can tailor a file's processing. The user can specify options that do not exist in VSAM (ex: Dynamic Tabling of Data Records) or adjust IAM's Real Time Tuning (ex: MAXBUFNO, MINBUFNO). The overrides specified on an ACCESS statement are used only for that particular jobs step, and are not saved with the file as certain CREATE override values are.

IAMOVRID DD Card

The IAMOVRID DD card specifies the Override control data set. This data set is in card image format, and typically an input stream (i.e. DD *) data set. IAMOVRID may be used in any job step that processes IAM files (IDCAMS, CICS, batch applications, etc.). The IAMOVRID file is only read once per job step, unless the REREAD keyword is specified. The file is read when the first IAM file in the job step is opened. A table of the overrides is built in extended private storage, and is referenced for other IAM file opens. The table remains in storage until step termination. Up to two hundred override statements can be provided.

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30.01 CONTINUED

Override Statement Format

IAM Override statements are contained on one or more 80-character records coded in positions 1 through 71. Each control statement starts with a command (ex: CREATE or ACCESS). The command can begin in the first column, or can be preceded by some blanks. The command is immediately followed by one or more blanks, then one or more optional keywords with their values, separated by commas. The last keyword in a statement must be followed by one or more blanks. Anything following the blank will be treated as comments. Comments are not permitted prior to the first keyword in a statement. The entire line will be treated as a comment when a '*' is placed in the first column.

To continue a statement on an additional line the last keyword on the line must be followed by a comma and at least one blank. The next keyword is then begun on the following line. When a keyword requires an optional variable, that keyword and its variable must start and end on the same line.

Each override statement indicates the file(s) to which it applies through the DD= or DSN= keyword. Up to 40 different DD names or one data set name (DSN) can be specified for any particular override statement. Additionally, an override command can be made applicable to all IAM files in that job step by coding DD=&ALLDD. Such an override will be effective for all IAM files except those that have their own explicit override. If multiple overrides of the same type (i.e., CREATE or ACCESS) are specified for the same DD name, then the overrides on the last one will be used for that execution, with ALL values from prior cards being replaced. The DD name field has precedence over the data set name (DSN). The file(s) and data sets referenced on the overrides do NOT have to be included in the JCL, they can be dynamically allocated. This is frequently the case with CICS systems, and when using IAM Alternate Index support. When an IAM file is opened, IAM scans through the table of overrides to see if there is a match for the DD name of the file being opened. If so, the specified overrides are used. If no matching DD name is found, then IAM scans through the DSN= overrides looking for a match. If none is found, and the &ALLDD override has not been specified, then the file will be processed using defaults from the IAM Global Options Table.

The various override keywords can be abbreviated, if desired. The minimum abbreviation is indicated by the underscored portion of the keyword when it is described.

30.02 IAM CREATE OVERRIDE STATEMENT FORMAT

The CREATE statement can be used to override file characteristics that are stored in a file and processing options that take effect when a file is defined or loaded. This override statement can be used either on the file definition or on the file load step. When used on a file load, the overridden file attributes will have precedence over attributes from the file definition.

Keywords that are related to file load, including BACKUPCOMPRESSED, CRBUFOPT, DATASPACE and TRACEDDNAME are only relevant to an actual file load. Conversely, the UNIT keyword is only relevant during a DEFINE. Note that the overrides will not be referenced for files being defined through JCL allocation. If overrides are desired for such files, they must be specified on the job step that is actually loading the file.

The following CREATE Override keywords are no longer documented in this manual. Information can be found on these keywords in the ICL library member OLDOVRID, that was downloaded as part of the installation procedure. The keywords are: BUFNO, CORELIMIT, DESCRIPTCODE, FIXED, INDEXCOMPRESS, INFO, KEYLEN, LIMITKEYS, LOG, LRECL, MINCOMPRESS, RKP, ROUTCODE, SMF, and WKDDNAME.

CREATE Statement Operands

CREATE	DDNAME=ddname | DSN=dataset name
[BACKUPCOMPRESSED]	[,BLKSIZE=nnnnn]
[,COMPATIBLE]	[,CRBUFOPT= MCYL | CYL | MTRK | TRK | BSAM]
[,DATACOMPRESS= YES | NO]	[,DATASPACE=nnnn]
[,ENHANCED]	[,INTEGRATED=nn]
[,JRNAD=BOTH | BEFORE | AFTER |NONE]	
[,MAXBUFNO=nnnn]	[,MAXSECONDARY=nn]
[,MINBUFNO=nnnn]	[,MULTIVOLUME= PRIMARY | SECONDARY]
[,OCOREO%=xx]	[,OCOREX%=xx]
[,OVERFLOW=nnnnnnn]	[,PE=nnnnn]
[,PSEUDOLRECL=nnnnn]	[,PSEUDORBA]
[RELEASE= YES | NO]	[,TRACEDDNAME=ddname]
[,UNIT=unitname]	[,VARIABLE]
[,VAROVERFLOW= YES | NO]	[,XESDS]

Figure 1: CREATE Override Statement

CONTINUED . . .

30.02 CONTINUED

DDNAME= Specifies the DD name of the IAM data set that the override is to be applied to. Up to 40 DD names may be specified. Multiple DD names can be specified which must be enclosed in parenthesis.

DD=&ALLDD will result in this override being applied as a global override to all IAM files being defined or loaded in this step, unless otherwise explicitly overridden.

When used during an IDCAMS DEFINE, it is recommended that DD=&ALLDD or the DSN= keyword be used. If using the DD= on the define, the value MUST match the value specified for the FILE(ddname) keyword on the IDCAMS DEFINE control statement. In this circumstance, there is no need for the actual DD statement as specified by FILE(ddname) or DDNAME= on the CREATE override to be present in the JCL.

Default is none, either DDNAME or DSN must be specified.

DSN= Specifies the data set name of the IAM data set that the override is to be applied to. One data set name per override control statement is permitted.

Default is none, either DDNAME or DSN must be specified.

BACKUPCOMPRESSED Specifies that the input data is already in an IAM Data Compressed format. This can only be specified on a file load step with data that was created with the IAM ACCESS Override of BACKUPCOMPRESSED. Specification of this option forces the output file to have a data compressed format.

Default is that data is treated as standard, uncompressed data.

BLKSIZE= Specifies a blocking factor or an explicit block size for the IAM file. For values 1 to 15, IAM will use a block size that will result in the specified number of blocks per track for the device type on which the data set is being allocated to. For values of 300 or larger, IAM will use that value as an explicit block size. Values between 15 and 300 are invalid.

Default is generally 4 blocks per track, based on the IAM Global Option VSAMBLOCKF, the default blocking factor for IAM files defined under the VSAM interface. A larger block size may be used however if the DEFINE indicates a larger value for a Control Interval Size, or fewer than 5 records will fit in the default block size.

COMPATIBLE Specifies that the IAM file being defined or loaded is to have a format that is compatible with versions 6.2 of IAM or earlier. This will result in the file having a preformatted and allocated Independent Overflow area, and Prime Extension area. The opposite keyword value is ENHANCED, as described below.

Default is based on the IAM Global Options Table, which is shipped as Enhanced.

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30.02 CONTINUED

CRBUFOPT=

Specifies the buffering option to be used for the file load. Because the file load is essentially a sequential output process, the buffering is different than the normal IAM Real Time Tuning Buffering. The MINBUFNO and MAXBUFNO values are not used during the file load. During the file load, IAM acquires a number of buffers based on this parameter. Once half the buffers are filled with data, then they are written out to the device in a single I/O operation. When the second group of buffers are filled, IAM will wait for the prior I/O to complete, then start the I/O for the second group, and let the application begin filling up the first group of buffers. The buffers are requested from extended private storage. This keyword value is only utilized for a file load step, it is ignored for a file define. The values that can be specified are:

BSAM - Use the BSAM Access Method macros to load the file. This value can only be used for Compatible format files. The number of buffers acquired are 12, unless overridden by the BUFNO override. These buffers are all acquired in below the line storage.

CYL - Buffers for one cylinder's worth of blocks is acquired, approximately 1/2 cylinder is written per I/O.

MCYL - Buffers for two cylinder's worth of blocks is acquired, one cylinder is written per I/O.

MTRK - Buffers for ten tracks are acquired, five are written per I/O.

TRK - Buffers for two tracks are acquired, one track is written per I/O.

Default value is MCYL, or as otherwise specified in the IAM Global Options Table.

DATACOMPRESS=

Specifies whether or not IAM is to attempt to compress the data content of each record. Using IAM data compression will usually result in significant savings of DASD space for the file, reduce virtual storage requirements to process the file, and reduce physical I/O (EXCP's) for the file. Files must have at least 10 bytes of data after the key to be eligible for data compression. If the IAM data compression does not reduce the size of a record, it is placed in the file uncompressed.

Default is files that are defined with a primary space of 75 tracks (5 cylinders) or larger will automatically be eligible for data compression. This file size can be changed in the IAM Global Options Table.

DATASPACE=

Specifies the size, in megabytes, of the Data Space to be used for the temporary storage of the index to the IAM file which is being loaded. This override is ignored during file definition, and is only valid on MVS/ESA and OS/390 systems. Valid values are from 0 to 2048. A value of 0 results in the use of a dynamically allocated temporary data set.

Default value is 256 megabytes, or as otherwise specified in the IAM Global Options Table.

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30.02 CONTINUED

ENHANCED

Specifies that IAM is to create this file in the Enhanced format. This format eliminates the preallocated and preformatted Independent Overflow area, and Prime Extension area. Enhanced format KSDS files can only be used under IAM Version 6.3 or above, and offer various processing enhancements including the ability to dynamically acquire additional DASD space for updates and inserts that occur after the file is loaded.

Default is Enhanced format file, unless otherwise specified in the IAM Global Options Table.

INTEGRATED=

Specifies the amount of space, as a percentage, that is to be reserved for future growth within each prime data block during file load. This field is identical to the VSAM CI Freespace parameter. This value is also used for prime extension blocks as records are being added to the logical end of the file. Valid values are from 0 to 99.

Default, for KSDS files, is from the CI FREESPACE value specified during the file define. For ESDS files, the default is 0, or as otherwise specified in the IAM Global Options Table for ESDSINTEGRATED.

MAXBUFNO=

Specifies the maximum number of buffers IAM will acquire during file processing. IAM's Real Time Tuning dynamically adjusts the number of buffers used for a file as I/O demands change, up to the limit specified or default to for MAXBUFNO. While this has no effect during the file load, the value is saved within the file, and will be used as a default value for the file when opened for input or update processing. Valid values are from 1 to 1024. For Compatible format files, the maximum that will be used is 32.

Default is that no maximum number of buffers will be associated with the file.

MAXSECONDARY=

For Enhanced format files, specifies a multiplication factor to be used by the IAM Dynamic Secondary Space Adjustment feature. Once a file has five or more extents on a volume, the secondary space value will be increased by the MAXSECONDARY factor, unless it exceeds the primary space value or the amount of space available on the volume. Valid values are from 0 to 10. A value of 0 will disable this feature.

Default value is 10 during file load, unless otherwise specified in the IAM Global Options table.

MINBUFNO=

Specifies the minimum number of buffers that IAM will maintain during file processing. IAM's Real Time Tuning dynamically adjusts the number of buffers used for a file as I/O demands change, but will never use less than the specified or defaulted value for MINBUFNO. Valid values are from 1 to 1024. Note that for Compatible format files, the maximum is 32. Specifying an equivalent number of buffers for MAXBUFNO will effectively disable IAM's Real Time Tuning algorithm. If MINBUFNO is overridden, then that value will be used as the initial number of buffers to acquire during Open processing.

Default is that no minimum number of buffers will be associated with the file.

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30.02 CONTINUED

MULTIVOLUME= For Enhanced format files, indicates whether IAM is to use the PRIMARY or SECONDARY space allocation value, when IAM anticipates that the next extent will be obtained on another DASD volume. Valid values are PRIMARY or SECONDARY.

Default value is PRIMARY, based on the IAM Global Options Table.

OCOREO% = For **Compatible** format files, specifies the percentage of the total virtual storage required for the index to the Independent Overflow that will be acquired during file open for update. IAM acquires sufficient storage to contain the index for the number of records in Independent Overflow at Open plus this percentage of the total capacity. Valid values are from 0 to 100. When a large number of updates and inserts are anticipated, then this value should be increased to guarantee that the virtual storage will be available when needed. If virtual storage is not constrained, then smaller values may be of benefit for greater efficiencies in storage usage and processor cycles. If this keyword is specified on the CREATE override, then the value will become the default OCOREO% value for this file whenever it is opened for update.

Default is 10%, unless specified otherwise in the IAM Global Options Table.

OCOREX% = For **Compatible** format files, specifies the percentage of the total virtual storage required for the index to the Independent Overflow that will be acquired when additional storage is needed. Values from 1 to 100 may be specified. IAM will never acquire more than the total virtual storage required for the entire Independent Overflow area. If this keyword is specified on the CREATE override, then the value will be used as the default value for the file.

Default is 10%, unless otherwise overridden in the Global Options Table.

JRNAD= For Enhanced format files, indicates that the type of journalling, if any, that IAM will perform. When specified on the CREATE override, either during file definition or file load, the journalling specification wil be used for any file update operation. This can be overridden by an ACCESS override statement. Users must pre-allocate and catalog a log data set to be used for the journalling which is requred to be the name of the IAM data set / cluster, appended with the charaters ".LOG". IAM journalling will not be active during file loads, reorganizations, or during recovery from the journal. One of the following values can be specified:

BOTH - The IAM log data set will contain both before and after images. This will enable the user to perform either a forward recovery, or a backward (backout) type of recovery.

BEFORE - The IAM log data set will contain only before images of updated records. This optioin allows forward recoveries only.

AFTER - The IAM log data set will cdontain only the after images of updated records. This option allows backwards (backout) recvoeries only.

NONE - The IAM journalling feature will not be used for this IAM data set.

Default value is NONE.

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30.02 CONTINUED

OVERFLOW=

For **Compatible** format files, specifies the size of the Independent Overflow area in records. The Independent Overflow area is preformatted by IAM when the file is being loaded or reorganized. The Overflow area is restricted to 64,000 blocks . Because the override processor accepts the value in records, and does not know how many records will be placed within a block, it will accept a value of up to 3,000,000 records. If the specified value results in requiring more than 64,000 blocks, the file load will fail.

Default value is based on %CA Freespace and primary space allocation.

For **Enhanced** format files, this value will be used to calculate the target amount of DASD space to reserve for future expansion at the end of the file load. Unlike the function for Compatible format files, this space will not be formatted, and will not guarantee that the overflow area can actually hold this quantity of records. DASD space will only be reserved within the confines of the currently allocated space, no additional space will be acquired to meet this target. Additionally, the Overflow override will be used when providing information about available space for SHOWCB requests. When a file reaches the number of specified overflow records, the available space value will be zero, however records may still be able to be added to the file if there is DASD space available.

Default value is based on %CA Freespace and the amount of DASD space actually used for the file at completion of the load.

PE=

For **Compatible** format files only, specifies the number of blocks that IAM is to reserve at the end of the file. This area acts as an extension to the prime area, which will handle inserts with keys higher than the existing prime records. Valid values are from 0 to 32,767. IAM will always increase the value specified by 1, except for the case where a value of PE=0 is specified, and the file requires only one prime data block. In that case, no PE blocks are allocated so that the file will use only one track with a blocking factor of 4. Also, for a single record load, IAM will dynamically increase the size of the Prime Extension area, except when PE=0 is specified.

For **ALL** IAM file types, specification of PE=0 will circumvent normal VSAM rules by allowing a file to be loaded with 0 records. Standard VSAM requires at least one record to be inserted into a file before it is considered to be in the loaded state.

Default is 3, which results in 4 PE blocks.

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30.02 CONTINUED

PSEUDOLRECL=

Specifies the record length which IAM will pass back on SHOWCAT and SHOWCB requests as the maximum record length for this file. This is useful for applications that have a very large theoretical maximum record size, but have no records that are of the maximum length. Specify the smaller, actual maximum record size on the DEFINE parameters. Then, specify the larger theoretical maximum record size with the PSEUDOLRECL override. This will allow programs with the larger record layout to successfully open and process the file, while helping to conserve DASD space. This override ***MUST*** be specified on the job step that defines the file to take effect, and can be used on either Compatible or Enhanced format files.

With the use of the new Variable Length Overflow support with Version 6.4, this override is not as critical for large record sizes, unless the maximum record length exceeds 23,470 bytes for 3380 devices, or 27,990 for 3390 devices. In which case, using this override and a smaller actual maximum record size will save DASD space because the blocksize required for the file will be smaller.

Default is to return the defined maximum record length.

PSEUDORBA

Specifies that an IAM ESDS file can exceed 4 gigabytes in size, through the use of non-standard RBA's (Relative Byte Addresses). If the application has dependencies on the RBA to be identical to VSAM, then this parameter must not be used.

RELEASE=

Specifies whether IAM is to release unused space after the file is loaded, or reorganized. By default, IAM will release unused space in a file's allocation after the initial load, providing that secondary space was specified. For Compatible format files, the space required for Independent Overflow and PE is incorporated into the used area so that space will NOT be released. For Enhanced format files, IAM will reserve some, if any is available, of the over allocated space based on CA% Freespace, or the Overflow override if it is specified. Valid values are:

YES - IAM will release unused, unreserved space after each load or reorganization.

NO - IAM will not release unused DASD space. This may be useful for Enhanced format files, to hold on to DASD space for future expansion.

Default is to release unused, unreserved DASD space on the first load only, and only if a secondary space quantity has been specified. The default can be changed in the IAM Global Options Table.

TRACEDDNAME=

Activates the IAM request trace capability, and indicates where the trace data is to be written to. Normally, it is written to SYSOUT, however it can also be written to a disk or tape file. To generate a printed report, specify a DD name of IAMTRPRx, where 'x' is any valid character. If 'x' is a 'C', then the key of each record is printed in character format, otherwise the key is printed in hexadecimal format.

Default is no trace output is produced.

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30.02 CONTINUED

UNIT=

For a file define request, specifies a generic unit name for non-specific volume allocation. Disk volume(s) associated with the specified generic name must be mounted as STORAGE, and the VOLUMES parameter must specify 'ANYVOL' to activate IAM's non-specific volume allocation. This feature can not be used for DFSMS managed data sets, or for Sterling's SAMS (VAM) managed data sets.

Default is SYSDA, unless otherwise specified by the WORKUNIT field in the IAM Global Options Table.

VARIABLE

A keyword that specifies variable length record format for Compatible format files. Note that IAM Data Compressed files and Enhanced format files always have variable length records. Variable length records can also be set as the default in the IAM Global Options Table with the RECFM keyword.

VAROVERFLOW=

For Enhanced format files, specifies whether or not IAM is to enable variable length record support for the overflow area. **Once a file has variable overflow enabled, it will not be disabled without doing a reorganization.** While files with variable overflow can be read by version 6.3, problems may be encountered attempting to update them that could impact data integrity. To update files under version 6.3 that have variable overflow, the file must be reloaded with variable overflow turned off. Valid values are YES or NO.

Default is YES, unless specified differently in the IAM Global Options Table.

XESDS

For ESDS type of files, enables the use of 8 byte RBA values. This will allow ESDS files to exceed the 4 gigabyte file size with compatibility to DFSMS 1.5. If the Extended Addressability option has been set in the DFSMS Data Class for this ESDS file, then 8 byte RBA support is automatic.

Default value is that IAM will generate a 4 byte RBA.

30.03 IAM ACCESS OVERRIDE STATEMENT FORMAT

The ACCESS statement is used to override IAM execution time defaults, for a specific step. The ACCESS override statement applies to file access processing only, it does not apply to file define, load, or create.

The following ACCESS Override keywords are no longer documented in this manual. Information can be found on these keywords in the ICL library member OLDOVRID, that was downloaded as part of the installation procedure. The keywords are: BROWSE, CORE, DESCRIPTCODE, INDEXCOMPRESS, INFO, LOG, LSR, MODE, OPTCD, ROUTCODE, and SMF.

ACCESS Statement Operands

ACCESS	DDNAME=ddname | DSN=dataset name
[,BACKUPCOMPRESSED]	[,DYNCORE=nnnn]
[,INDEXSPACE= YES | NO]	[,JRNAD=BOTH | BEFORE | AFTER | NONE]
[,MAXBUFNO=nn]	[,MAXREGION=nn]
[,MAXSECONDARY=nn]	[,MINBUFNO=nn]
[,OCOREO%=nn]	[,OCOREX%=nn]
[,REREAD]	[,REREADEMPTY= YES | NO]
[,SHAREOPTION = 1 | 2 | 3 | 4]	[,TRACEDDNAME=ddname]
[,TRACEREQUEST= xxxxxxx]	[,UPDATENQ= EXCL | SHR | NONE]
[,VAROVERFLOW= YES | NO]	

Figure 2: Access Override Statement

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30.03 CONTINUED

DDNAME=

Specifies the DD name of the IAM data set that the override is to be applied to. Up to 40 DD names may be specified. Multiple DD names can be specified and must be enclosed in parenthesis.

DD=&ALLDD will result in this override being applied as a global override to all IAM files being accessed in this step, unless otherwise explicitly overridden.

Default is none, either DDNAME or DSN must be specified.

DSN=

For ENHANCED format files only, specifies the data set name of the IAM data set that the override is to be applied to. One data set name per override control statement is permitted. Compatible format files are supported only by DDNAME on the ACCESS override.

Default is none, either DDNAME or DSN must be specified.

BACKUPCOMPRESSED

For Enhanced format files, specifies that IAM is not to decompress the data when passing it back to the requester. The data will be passed back to the requester in an IAM data compressed format. The data can be used for backup and reorganization purposes, but it is not usable by application programs. The data must be reloaded into an IAM file with the BACKUPCOMPRESSED IAM CREATE override specified, or the data must be decompressed with the IAMRECVR utility.

Default is that the data is returned in normal, uncompressed format.

DYNCORE=

Specifies an amount of memory for IAM's Dynamic Table. The value is specified in 1K (1024 byte) increments. IAM will attempt to GETMAIN the requested quantity during open, and if successful, will use that storage as a cache for randomly read records. On all random requests, where the complete key is specified, IAM will search the table for the record. These requests are identified on the IAMINFO report by R.(READ) requests for Compatible format files, or by GET RANDOM requests for Enhanced format files. If found, the record is returned without any physical I/O. If the record is not currently in the table, it will be read from disk, and placed in the table after it is retrieved.

Updates are always made both to the table and to the file. Variable length records are maintained as maximum length entries.

Valid values are from 0 to 16000 (i.e. Dynamic Table up to 16,000K bytes). In MVS/ESA and OS/390, the storage is requested from extended private.

Default value is 0, the Dynamic Table is disabled.

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30.03 CONTINUED

INDEXSPACE=

For Enhanced format files, specifies whether or not IAM is to use an MVS Data Space to contain the prime and overflow index for this file. Use of this capability will, for most IAM files, substantially reduce the amount of extended private region used by IAM. The size of the Data Space is taken from the IAM Global Options Table. Only one Index Space will be obtained per job step, however multiple IAM files are able to utilize the Index Space. (Note: This capability requires MVS/ESA SP 4.2.2 or higher.) Valid values are YES or NO.

Default is YES for CICS and NO for batch jobs, unless the default IAM Global Option has been changed.

JRNAD=

For Enhanced format files, specifies the IAM journalling capabilities to be used, if any. When specified on the ACCESS override statement, if the value specified enables journalling, the value will be combined with any specification from the CREATE override when the file was defined or loaded. The ACCESS override only changes the journalling value for the job step on which it is specified. Valid values are:

BOTH - The IAM log data set will contain both before and after images. This will enable the user to perform either a forward recovery, or a backward (backout) type of recovery.

BEFORE - The IAM log data set will contain before images of updated records. This option allows backward (backout) recoveries only. If AFTER images were specified on a CREATE override, then AFTER images will still be logged to the journal.

AFTER - The IAM log data set will contain after images of updated records. This option allows forward recoveries only. If BEFORE images were specified on a CREATE override they will still be logged to the journal.

NONE - The IAM journalling feature will not be used for this IAM data set. This will turn off any journalling for this job step, even if journalling had been specified on a CREATE override.

Default value is NONE, unless otherwise specified when the file was defined or loaded.

MAXBUFNO=

Specifies the maximum number of buffers IAM will acquire during file processing. IAM's Real Time Tuning dynamically adjusts the number of buffers used for a file as I/O demands change, up to the limit specified or default to for MAXBUFNO. Valid values are from 1 to 2048. Note that for Compatible format files, the maximum that will be used is 32.

Default is based on the larger of MAXBUFNO or BUFSP, or for CICS the larger of MAXBUFNO or CICISBUFSP from the IAM Global Options Table. As shipped, the default is the number of buffers that will fit within 875K of virtual storage, except for CICS where the default is 256K. For an Enhanced mode IAM file on a 3390, with quarter track blocking, the default MAXBUFNO is 65, except under CICS where it is 19.

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30.03 CONTINUED

MAXREGION=

For Enhanced format files, specifies the maximum value, in megabytes, to which IAM Dynamic Region Adjustment will set the extended private region. This feature permits processing of files with large virtual storage requirements without the need to modify an installation's IEFUSI exit. Valid values are from 0 to 1024. A value of 0 will disable the Dynamic Region Adjustment feature.

Default value is 512 megabytes, or as otherwise specified in the IAM Global Options Table.

MAXSECONDARY=

For Enhanced format files, specifies a multiplication factor to be used by the IAM Dynamic Secondary Space Adjustment feature. Once a file has five or more extents on a volume, the secondary space value will be increased by the MAXSECONDARY factor, providing that it does not exceed the primary space value, or the amount of space available on the volume. Valid values are from 0 to 10. A value of 0 will disable this feature.

Default value is 5 during file access, or as otherwise specified in the IAM Global Options Table.

MINBUFNO=

Specifies the minimum number of buffers that IAM will maintain during file processing. IAM's Real Time Tuning dynamically adjusts the number of buffers used for a file as I/O demands change, but will never use less than the specified or defaulted value for MINBUFNO. Valid values are from 1 to 2048. Note that for Compatible format files, the maximum is 32. Specifying an equivalent number of buffers for MAXBUFNO will effectively disable IAM's Real Time Tuning algorithm. If MINBUFNO is overridden, then that value will be used as the initial number of buffers to acquire during Open processing.

Default value is 1.

OCOREO% =

For Compatible format files, specifies the percentage of the total virtual storage required for the index to the Independent Overflow that will be acquired during file open for update. IAM acquires sufficient storage to contain the index for the number of records in Independent Overflow at Open plus this percentage of the total capacity. Valid values are from 0 to 100. When a large number of updates and inserts are anticipated, then this value should be increased to guarantee that the virtual storage will be available when needed. If virtual storage is not constrained, then smaller values may be of benefit for greater efficiencies in storage usage and processor cycles.

Default is 10%, unless specified otherwise in the IAM Global Options Table or overridden by an IAM CREATE Override.

OCOREX% =

For Compatible format files, specifies the percentage of the total virtual storage required for the index to the Independent Overflow that will be acquired when additional storage is needed. Values from 1 to 100 may be specified. IAM will never acquire more than the total virtual storage required for the entire Independent Overflow area.

Default is 10%, unless specified otherwise in the IAM Global Options Table or overridden by an IAM CREATE Override.

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30.03 CONTINUED

REREAD

When specified, indicates that IAM will reread the overrides each time an IAM file is opened. If specified, this keyword should appear on all of the ACCESS override cards supplied in the file. This is primarily intended to be used by long running jobs, such as CICS regions, where the IAMOVRID points to a sequential data set or PDS member. This provides a mechanism for users to change overrides, and have them take effect by closing and reopening the IAM file(s).

Default is that the IAM override parameters are read when the first IAM file is opened, and kept in a table in virtual storage.

REREADEMPTY

Indicates whether or not IAM is to reread empty prime blocks when processing the file. When IAM is processing a file with REREADEMPTY=NO being specified or defaulted to, IAM will track the blocks that are detected as containing no data. Subsequent requests for those blocks will assume that they are empty, and therefore not do any I/O to read them. With REREADEMPTY=YES, IAM does not keep track of empty blocks, and will always read into storage the requested block.

Default action is based on the Cross Region Share Option, and the type of OPEN. For files defined with Share Option 1 opened for INPUT or UPDATE, and for files defined with Share Option 2 opened for UPDATE, the default is REREADEMPTY=NO. For all other cases, REREADEMPTY defaults to YES.

SHAREOPTION=

For Enhanced format files, specifies the VSAM Cross Region Share Option to be used for this execution. This provides a mechanism for accessing the file with a different Share Option than it had been defined with. Share Options affects the Enqueues that are done to protect the file from concurrent access, and will also impact the buffering for the file. Refer to the IDCAMS section for a description of the various Share Options. Valid values are 1, 2, 3, or 4.

NOTE: SHARING IAM FILES FOR UPDATE IS STRONGLY DISCOURAGED, WITHOUT THE USE OF SOFTWARE THAT FACILITATES SHARING! SUCH SHARING MAY ADVERSELY AFFECT THE DATA INTEGRITY OF THE FILE WITHOUT THE PROPER SOFTWARE PROTECTION!

Default is that the file will be opened based on the Share Option specified when the file was defined.

TRACEDDNAME=

Activates the IAM request trace capability, and indicates where the trace data is to be written to. Normally, it is written to SYSOUT, however it can also be written to a disk or tape file. To generate a printed report, specify a DD name of IAMTRPRx, where ‘x’ is any valid character. If ‘x’ is a ‘C’, then the key of each record is printed in character format, otherwise the key is printed in hexadecimal format.

Default is no trace output is produced.

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TRACEREQUEST= Specifies the type of tracing to be done.

For **Enhanced** format files, allowable values are:

TRALL - Activates all of the trace points.
TRIOS - Trace start of each logical I/O request.
TRIOE - Trace at end of each logical I/O request.
TRBFR - Trace internal IAM calls to the IAM Buffer Manager.
TREXCP - Trace physical I/O requests.
TRXTND - Trace file expansion (extend) calls.

For Enhanced format files, multiple values for TRACEREQUEST can be specified by enclosing the desired keywords within parenthesis.

Default value is TRIOS.

For **Compatible** format files, allowable values are:
TRACE - Write a single TRACE record for each logical I/O Request. If the TRACEDDNAME specifies a DD name other than IAMTRPRx, then the output is assumed to be on tape or disk, and must be printed with the IAM IAMONRPT utility.

MONITOR - Write multiple MONITOR records for each request. The TRACEDDNAME must specify a tape or disk data set. The data must be printed by the IAM utility IAMONRPT. Note that MONITOR mode tracing is only supported for asynchronous I/O requests, such as issued by online systems, including CICS.

Default value is TRACE.

UPDATENQ= Overrides the ENQ processing that IAM will perform for the specified file. Normally, IAM will base the ENQ on the defined cross region share option. Valid values are:
EXCL - Indicates that IAM is to perform an exclusive ENQ on the file. This will prevent any other OPEN for this IAM file, within the scope of the ENQ capabilities provided on the host system.

SHR - Indicates that IAM is to perform a shared ENQ on the file. If specified for all users of the file, it will permit multiple jobs to have update capability to the same IAM file.

NONE - Prevents IAM from issuing any ENQ for the file being opened. The file will not be protected from concurrent update or even from a concurrent attempt to reload the file, while this application has the file open. This value should be specified when the file is being used by a job that is utilizing MVS Checkpoint / Restart facilities.

The default ENQ processing is based on the cross region share options, and the type of OPEN being issued for the data set.

30.03 CONTINUED

VAROVERFLOW= For Enhanced format files, specifies whether or not IAM is to enable variable length record support for the overflow area. This override will only take effect when the file is opened for update. **Once a file has variable overflow enabled, it can not be turned off until the file is reorganized.** While files with variable overflow can be read by version 6.3, problems may be encountered attempting to update them which could impact data integrity. To update files under version 6.3 that have variable overflow, the file must be reloaded with variable overflow turned off. Valid values are YES or NO.

Default is the value set when the file is defined or loaded, which is defaulting to YES, unless changed in the IAM Global Options Table.

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30.04 IAM OVERRIDE STATEMENT EXAMPLES

The examples in this section are intended to help demonstrate how to use the IAM Override capability. There are other examples of using IAM Overrides throughout the manual, as the various features and capabilities of IAM are presented.

Example 1: Define with Override

The following example shows how an IAM Override statement is used during an IDCAMS DEFINE of an IAM file, to request the file's data records be compressed. The IAM file's DEFINE will use the attributes of an existing VSAM cluster.

```
//DEFINE      EXEC    PGM=IDCAMS
//SYSPRINT    DD      SYSOUT=*
//IAMOVRID    DD      *
        CREATE          DD=&ALLDD,DATACOMPRESS=YES
/*
//SYSIN       DD      *
        DEFINE                CLUSTER -
              (NAME(CICS.MASTER.$IAM) -
              MODEL(CICS.MASTER.CLUSTER) -
              VOLUMES(MVS001) )
/*
```

Figure 3: Example of IAM Override on Define

30.04 CONTINUED

Example 2: Multiple Overrides on Define

The following example shows an IDCAMS DEFINE of multiple IAM files, each with a different IAM Override values. As seen, this can easily be done through the use of the DSN parameter on the IAM Create Override statement.

The data set name "iam.master" will be a data compressed file, with Automatic Space Release turned off, due to anticipated file expansion. The data set's block size will be based on the IAM default value.

The data set named "iam.test" will use 1/2 track blocking, as indicated by the B=2 override. It will use the default for data compression and space release, as indicated in the IAM Options Table.

```
//DEFINE      EXEC    PGM=IDCAMS
//SYSPRINT    DD      SYSOUT=*
//IAMOVRID    DD      *
          CREATE          DD=iam.master,DATACOMPRESS=YES,RELEASE=NO
          CREATE          DD=iam.test,B=2
/*
//SYSIN       DD      *
          DEFINE        CLUSTER -
              (NAME(my.iam.master -
              OWNER($IAM) ) -
              FILE(IAMFILE1) -
              CYLINDERS(100 20) -
              FREESPACE(10 5) -
              KEYS(24 8) -
              RECORDSIZE(250 480) -
              VOLUMES(IAM001) )
          DEFINE        CLUSTER -
              (NAME(my.iam.test) -
              OWNER($IAM) ) -
              CYLINDERS(10 5) -
              FREESPACE(10 5) -
              KEYS(8 8) -
              RECORDSIZE(100 1250) -
              VOLUMES(IAM001) )
/*
```

Figure 4: Example of Multiple Overrides on IDCAMS Define of Multiple Data Sets

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30.04 CONTINUED

Example 3: File Load Override

The following example demonstrates the use of an IAM Override for a file load, which is being done through IDCAMS. In particular, the CRBUFOPT override is specified to provide maximum buffering on the IAM file.

```
//LOADIAM     EXEC    PGM=IDCAMS
//SYSPRINT    DD      SYSOUT=*
//IAMINFO     DD      SYSOUT=*
//IAMOVRID    DD      *
      CREATE          DD=IAMFILE,CRBUFOPT=MCYL
/*
//IAMFILE     DD      DISP=OLD,DSN=my.iam.file
//INPUTFIL    DD      DSN=my.sequential.file,DISP=OLD,DCB=BUFNO=30
//SYSIN       DD      *
      REPRO           INFILE(INPUTFIL) OUTFILE(IAMFILE) REUSE
/*
```

Figure 5: Example of Overriding a File Load

Using IAM Overrides with CICS

The following example shows IAM Overrides for files being processed under CICS. The IAM override cards are contained within a partitioned data set (PDS). The PDS must be defined with fixed length records of 80 bytes. The data set can be blocked at any valid block size for that record size. Please note that while IAM will request that the buffers that are acquired by MVS for reading the override to reside in extended private. If the level of DFP you are running does not support that capability, then the buffers will be acquired below the line. For a file on a 3390 for example, with a 27K block size, and MVS default of five (5) buffers, a total of 135K will be required just for the buffers. While this area will be released at completion of reading the overrides, it may still pose a problem for CICS systems with OSCORE constraints. Because of that virtual storage concern, it is recommended that the block size for the data set containing the IAM overrides be kept small, and if further savings are necessary, specify DCB=BUFNO=1 on the IAMOVRID DD statement.

In order to provide increased flexibility, all of the ACCESS override cards contain the REREAD keyword. This will cause IAM to reread the override control file each time an IAM file is open, rather than just the first time an IAM file is opened. This provides the capability to change the override value(s), and have the new values take effect just by closing and reopening the particular IAM file. While REREAD only needs to be specified on one of the cards, by specifying it on all of the cards, any of them can be removed and still have the REREAD be effective. If the override cards are ever processed without the REREAD option being specified, then the file will not be reread. The implication of not specifying REREAD for CICS is that CICS will have to be shut down and restarted to utilize new override values.

The following override values are being specified. The MAXBUFNO value for files other than those otherwise overridden, is being set to 16. Two of the files will have their maximum buffers increased to 64 buffers. Another file will use the Dynamic Table (DYNCORE) option to table records in memory. A size of 1024K of memory is specified for the table. Also note that an IAM CREATE override is provided specifying CRBUFOPT=TRK. This is recommended to minimize the amount of storage used just in case an IAM file is opened empty under CICS, because the file load may use significant virtual storage resources. The contents of the control card file, for the above mentioned overrides is as follows:

30.04 CONTINUED

Example 4: CICS Overrides in a PDS

```
DSN=my.iam.override(cics1):
ACCESS        DD=(FILE1,FILE2),MAXBUFNO=64,REREAD
ACCESS        DD=FILE3,DYNCORE=1024,REREAD
CREATE        DD=&ALLDD,CRBUFOPT=TRK
ACCESS        DD=&ALLDD,MAXBUFNO=16,REREAD
```

Figure 6: Example of IAM Override cards in a partitioned data set

Below is an example of the JCL that could be used by CICS to utilize the above IAM Overrides. CICS can be executed here as either a started task, or as a batch job. Note that for started tasks, the IAM Overrides can not reside in an instream data set, i.e. (DD *) is not allowed. Also note that the IAMOVRID is specified with a DISP=SHR, which will allow the file to be updated while CICS is running. While the IAM files here are shown as being allocated at CICS startup, the files could also be dynamically allocated by CICS.

Example 5: CICS JCL with Overrides

```
//CICS1       EXEC    PGM=DFHSIP
//.....
//FILE1       DD      DSN=IAM.FILE1,DISP=SHR
//FILE2       DD      DSN=IAM.FILE2,DISP=SHR
//FILE3       DD      DSN=IAM.FILE3,DISP=SHR
//....
//IAMINFO     DD      SYSOUT=*
//IAMOVRID    DD      DISP=SHR,DSN=my.iam.override(cics1)
```

Figure 7: Example of a CICS PROC/JCL with IAM Overrides in a PDS

Using Overrides with Alternate Index

When using IAM data sets with alternate indexes and paths, the DSN= parameter must be used, instead of the DDN= parameter. This is because the actual IAM data sets that are used will be dynamically allocated with system generated DD names, so the DD names are not known in advance. The only meaningful override for a PATH is the trace information. If tracing is specified for a PATH, tracing will be propagated to all of the data sets opened because of the PATH open. Other overrides on the PATH will be ignored.

Example 6: Overrides when using a Path

```
//UPDATEAIX EXECPGM=UPDATE
//MASTERPTHDDDSN=iam.master.path,DISP=OLD
//IAMOVRIDDD*
        ACCESS DSN=iam.master.cluster,MAXBUFNO=64
        ACCESSDSN=iam.master.aix,DYNCORE=1024
/*
```

Figure 8: Example of Overrides with an IAM Alternate Index

IAM

INNOVATION ACCESS METHOD

SYSTEMS ANALYSIS UTILITIES

SYSTEMS ANALYSIS UTILITIES

INNOVATION®
DATA PROCESSING

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40.01 IAMSMFVS - DATA SET ANALYSIS PROGRAM OVERVIEW

Overview

IAMSMFVS is a special purpose SMF data analysis program, intended to provide useful information in a concise format about indexed data set activity. The reports can be used to:

1. Identify VSAM Clusters that are candidates for conversion to IAM.
2. Compare the results once the files are converted to IAM.
3. As a source for tracking IAM data set activity to monitor IAM data set usage.
4. Determine when IAM files need to be reorganized.

IAMSMFVS provides 4 different reports. The first report is a Summary report, which includes a summarization of VSAM and IAM activity so it is easy to tell the DASD space and I/O totals for each of the indexed access methods. The second report is an EXCP report, which is broken down by access method. There is a VSAM EXCP Report, and an IAM EXCP Report. Each one has the top 100 data sets for I/O activity for that access method. There is an option, DETAIL, which will provide a line for each SMF record for each of the data sets included in the EXCP report, with a breakdown of activity for that job step. The third report is a Data Set Summary Report, which contains information about each data set for which information was gathered by IAMSMFVS sorted by the data set name. The last report is based on data set size, here again split by access method. Each size report has the largest 100 data sets for the specified access method.

SMF Data Requirements

The IAMSMFVS program analyzes data set usage statistics gathered primarily from the Systems Management Facility (SMF records), with supporting data from the system catalog. SMF data may be taken from either the active SMF data sets or sequential SMF history data sets. To operate, IAMSMFVS requires SMF type 30, subtype 4 records, or type 4 records. For VSAM data set reports, the SMF type 64 records must be collected. For the reports to include IAM data sets, the IAM SMF recording must be enabled and an SMF record type selected in the IAM Global Options table. IAMSMFVS does require the matching Step Termination record for each data set record; otherwise the data set record will not be processed. If the required SMF record types are not present, or have been modified from the standard MVS/ESA or OS/390 format, then IAMSMFVS will not be able to produce any reports.

IAMSMFVS reads the provided SMF data, and builds a table in virtual storage containing the extracted and accumulated data for each data set. An IAM data set requires one entry in the table. A VSAM data set requires multiple entries, with one for the cluster level, and then an additional entry for each component. A typical VSAM KSDS will require 3 entries. The size of this table is controlled by the MAXDSNS keyword, which defaults to 1500. Once the data set table is filled, no more data sets will be added to the table, however information on the data sets already in the table will continue to be accumulated.

If a VSAM data set is not cataloged when IAMSMFVS is running then IAMSMFVS will not be able to associate the component names with a cluster name. Those VSAM components will be reported on just by the component name. To eliminate these stray VSAM components from the report, specify the CATONLY keyword.

40.02 IAMSMFVS JCL REQUIREMENTS

The JCL statements required to execute IAMSMFVS are as follows:

EXEC Statement

Specifies the IAM VSAM SMF ANALYSIS program name - IAMSMFVS. A large region parameter may need to be provided, based on the number of data sets that are being tracked. IAMSMFVS presently uses only below the 16-megabyte line storage.

DD Statements

The following table describes the required DD statements for running IAMSMFVS. The amount of DASD space required for the temporary work files of SORTIN, SORTOUT, and SORTWKxx will depend entirely on the amount of SMF data that is being processed. A month's history tape is going to require much more DASD space than just a daily snapshot.

DD Name	Description
STEPLIB or JOBLIB	Specifies the IAM Load Module Library. This statement may be omitted if the IAM modules are in a link list, as is recommended.
SYSPRINT	Specifies where the IAMSMFVS reports are to be printed. Usually a SYSOUT data set.
SYSMF	Identifies the file containing the input SMF data. This may be an active SMF dataset or any file containing off loaded SMF data.
SYSIN	Specifies the control card input data set. Usually a DD * data set.
SORTIN	Specifies a work file that contains extracted SMF data that is used by IAMSMFVS to create the reports. This data will be passed to the external sort.
SORTOUT	Specifies a work file, which will be returned from the external sort routine.
SORTWKnn SORTLIB	If there are any DD statements needed by your sort program (i.e. SORTLIB, SORTWKnn, etc.), they must be included. Refer to documentation for your sort.
SYSPUNCH	IAMSMFVS can optionally generate IAMSIMVS SELECT statements. Whenever IAMSMFVS finds a SYSPUNCH DD statement is present in the JCL it will use the cluster names provided in the Cluster Size Report and create IAMSIMVS SELECT command statements. This DD statement should specify a sequential data set or a member in a PDS capable of accepting 80 character records.

```
//SYSPUNCH    DD   DSN=SIMVS.INPUT,DISP=(,CATLG),
//              UNIT=SYSDA,SPACE=(TRK,(15,15))
```

40.03 IAMSMFVS - REPORT COMMAND

REPORT COMMAND

The REPORT command is used to initiate IAMSMFVS processing. There are various options that can be specified, as described below. The input can be an SMF history file (RECFM=VBS) or a system SMF data set. It is recommended that initially no operands be specified. The default reports would then list the 100 data sets, by data set organization, with the most EXCP activity, and the 100 largest data sets, again by data set organization.

```
REPORT
    [CATONLY]                        JOBNAMES= ccccc ]
    [,CHECKLENTH]                    [,MAXDSNS= nnnn ]
    [,CURRENT]                       [,MAXJOBS= nnnn ]
    [,DETAIL]                        [,MAXRECLENGTH= nnnnn ]
    [,DFEFERRPRT= ccc ]              [,MAXREPORTS= nnnn ]
    [,DSGROUPS= ccccc ]              [,PRTLENGTH= nnnnn ]
    [,DSNAMES= ccccc ]               [,RECSIZE(rrr)= nnnnn ]
    [,DSORG= ccc ]                   [,SORTCORE= nnnnn ]
    [,ERRORPRINT ]                   [,SORTMSG= cc ]
    [,FROMDATE= yyyyddd ]            [,SORTPFX= ccccc ]
    [,FROMDDNAME= ddname ]           [,TEMPDSNAMES ]
    [,FROMTIME= hhmmss ]             [,TODATE= yyyyddd ]
    [,GROUPNAMES= ccccc ]            [,TOTIME= hhmmss ]
```

Figure 1: Report Command Operands

Operands

The following operands may be specified on the REPORT command. The underscored portion indicates the minimum abbreviation for the keyword.

Operand	Description
CATONLY	Specifies that IAMSMFVS will only report on VSAM data sets that are cataloged. This will eliminate from the report stray component entries for VSAM data sets that are not currently cataloged.
CHECKLENGTH	Specifies that SMF data records are to be validated against a table of minimum record lengths. If the user has modified the minimum record length or is executing in a non-compatible system, the correct record lengths may be specified by the RECSIZE operand. By default, the length of an SMF record is not validated.
CURRENT	Specifies that only the most recent values for overflow use in IAM files will be reported on, rather than the maximum amount used. This may be useful for determining when an IAM file needs to be reorganized. By default, the maximum amount of overflow use is reported on.
DETAIL	The EXCP Reports are to include detailed statistics by job step. By default, only summary data set activity is reported.

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Operand	Description
DFEFERRPRT=	Indicates whether or not error messages from the VSAM ICF identification processor should be printed. Valid values are: **AC** - All messages to the console. **NO** - Error messages are not to be printed. **YES** - Error messages are to be printed. The default is NO.
DSGROUPS=	Specifies that only records having data set names which begin with the given character string(s) will be processed. This operand specifies a partial dataset name from 1 to 44 characters in length. Up to 100 data set groups may be specified for a single command if entered as follows: **DSGROUPS**=(dsg1,dsg2,...,dsgn) If neither the DSGROUPS nor DSNAMES operand is specified, data set selection will be based upon the value specified for DSORG (or its default).
DSNAMES=	Specifies that only records having a data set name which match the dataset name(s) specified will be processed. This operand specifies a complete data set name from 1 to 44 characters in length. Up to 100 dataset names may be specified for a single command if entered as follows: **DSNAMES**=(c...c,...,c...c) If neither the DSGROUPS nor DSNAMES operand is specified, data set selection will be based upon the value specified for DSORG (or its default).
DSORG=	Identifies the data set organization that is to be processed. Valid values are: **AM** - only VSAM clusters. **IAM** - only IAM data sets. The default is AM (VSAM) and IAM. NOTE: To extract data for IAM data sets, SMF recording of IAM SMF records must be enabled, as described in the IAM Users Manual in section 91.
ERRORPRINT	Specifies that any SMF data record that causes an error during processing or fails length verification is to be printed. The default is records in error are not printed.
FROMDATE=	Specifies the lower date limit of the SMF records that are to be analyzed, in the form 'yyyyddd' or 'yyddd'. The long form must be used for dates from the year 2000 and above. The short form is prefixed with '19'. The default is that there is no lower limit on the date for record selection.
FROMDDNAME=	Specifies the DDNAME of the SMF file that is to be used as input to IAMSMF. The default input DDNAME is SYSMF.

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Operand	Description
FROMTIME=	Specifies the lower time limit of the SMF records that are to be analyzed, in the form of 'hhmmss'. When used with FROMDATE, forms a combined starting point of date and time. When used without FROMDATE, the FROMTIME applies to all days for which SMF records are being processed. The default is there is no lower time limit on the record selection.
GROUPNAMES=	Specifies that only those SMF records having a job name which begin with the specified character string(s) will be analyzed. This operand specifies a partial job name from 1 to 8 characters in length. Up to 50 job groups and/or names may be specified for a single command if entered as follows: **GROUPNAMES**=(jobn1,jobn2,jobn3,....,jobnx) The default, if neither the GROUPNAMES nor JOBNAMES operand is specified, is that the job name will not participate in SMF record selection.
JOBNAMES=	Specifies those only records having a jobname which match the jobname(s) specified will be copied. This operand specifies a complete jobname from 1 to 8 characters in length. Up to 50 names may be specified in a single command if entered as follows: **JOBNAMES**=(jobname1,jobname2,...,jobnamex) The default, if neither the GROUPNAMES nor JOBNAMES operand is specified, is that the job name will not participate in SMF record selection.
MAXDSNS=	Specifies the maximum number of unique data sets and/or clusters that will be tabled during this execution. The number can be any value from 20 to 32000, inclusive. The default is 1500 data set table entries. NOTE: Each IAM data set takes one (1) entry while each VSAM cluster takes three (3) or more entries, depending upon the number of components.
MAXJOBS=	Specifies the maximum number of unique job names that will be tabled during this execution. The number can be any value from 20 to 32000, inclusive. The default is 5000 job name table entries.
MAXRECLENGTH=	Specifies the largest SMF record that the program will process. The number may be any value from 16384 to 65536, inclusive. The default is 16384 bytes.
MAXREPORTS=	Specifies the maximum number of data set and/or cluster names to be included in the EXCP Activity Report(s) and the Size Report(s). The number can be any value from 20 to 32000, inclusive. All data sets are included in the data set report. The default is 100.

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Operand	Description
PRTLENGTH=	Limits the number of bytes of data to be printed if ERRORPRINT is indicated. The number may be any value from 32 to 65536, inclusive. The default is 32768 bytes.
RECSIZE(rrr)=	Establishes the minimum length of the SMF record type 'rrr' as the value 'nnnn'. The default minimum record lengths for system generated SMF records are documented in the IBM Systems Management Facilities manual.
SORTCORE=	Specifies the amount of storage for the SORT to use. The number maybe any value from 10000 to 8000000 inclusive. The default is 100000.
SORTMSG=	Specifies the message option to be used by the SORT. Valid values are: **AC** - All messages to the console **AP** - All messages to the printer (SYSOUT) **CC** - Critical messages to the console **CP** - Critical messages to the printer **NO** - No messages to be produced **PC** - Critical messages to both console and printer The default is CC.
SORTPFX=	Specifies the DDNAME prefix to be used by the SORT. If the string specified is less than 4 characters, a dollar sign ($) fill character will be used. The default is SORT.
TEMPDSNAMES	Specifies that reports produced by the REPORT command will contain information on temporary as well as permanent data sets. By default, temporary data sets are ignored.
TODATE=	Specifies the upper date limit of the SMF records that are to be analyzed. Must be in the form 'yyyyddd' or 'yyddd'. The shorter form implies a prefix of 19. The default is that there is no upper date limitation for record selection.
TOTIME=	Specifies the upper time limit of the SMF records that are to be analyzed, in the format of 'hhmmss'. When used with the TODATE keyword, this forms a combined ending point of the date and time specified. When used without the TODATE keyword, the 'TOTIME' is applied to each day for which SMF records are being processed. The default is that there is no maximum time.

40.04 IAMSMFVS -Usage Examples

Shown below are some examples of how to run IAMSMFVS, which include JCL and the control card input. Examples and descriptions of the reports produced are in the following sections.

Example A: Basic IAMSMFVS Report

The first example demonstrates how to run IAMSMFVS to obtain the basic reports, which will include the 100 busiest VSAM data sets, and the 100 largest VSAM data sets. IAM data set reports will also be produced, providing that the IAM SMF recording has been activated.

```
//REPORT      EXEC     PGM=IAMSMFVS,REGION=1M
//STEPLIB     DD       DISP=SHR,DSN=iam load library
//SYSPRINT    DD       SYSOUT=*
//SYSMF       DD       DISP=SHR,DSN=smf data
//SORTIN      DD       UNIT=SYSDA,SPACE=(CYL,(10,10))
//SORTOUT     DD       UNIT=SYSDA,SPACE=(CYL,(10,10))
//SORTWK01    DD       UNIT=SYSDA,SPACE=(CYL,(10,10))
//SORTWK02    DD       UNIT=SYSDA,SPACE=(CYL,(10,10))
//SORTWK03    DD       UNIT=SYSDA,SPACE=(CYL,(10,10))
//SYSIN       DD       *
       REPORT
/*
```

Figure 2: Example of Using IAMSMFVS

Example B: Reporting on More Data Sets

This next example demonstrates obtaining the IAMSMFVS reports with providing a larger capacity both in the number of data sets tabled (MAXDSNS=3000), and in the number of data sets included in the EXCP and Size Reports, (MAXREPORTS=300). By specifying MAXDSNS=3000, that should allow for up to 1000 VSAM KSDS clusters. DSORG=AM is specified to only report on VSAM data sets. Also, because there are some large step termination records, SMF Type 30 Subtype 4, the maximum SMF record length is being increased.

```
//REPORT      EXEC     PGM=IAMSMFVS,REGION=4M
//STEPLIB     DD       DISP=SHR,DSN=iam load library
//SYSPRINT    DD       SYSOUT=*
//SYSMF       DD       DISP=SHR,DSN=smf data
//SORTIN      DD       UNIT=SYSDA,SPACE=(CYL,(10,10))
//SORTOUT     DD       UNIT=SYSDA,SPACE=(CYL,(10,10))
//SORTWK01    DD       UNIT=SYSDA,SPACE=(CYL,(10,10))
//SORTWK02    DD       UNIT=SYSDA,SPACE=(CYL,(10,10))
//SORTWK03    DD       UNIT=SYSDA,SPACE=(CYL,(10,10))
//SYSIN       DD       *
       REPORT    MAXDSNS=3000,MAXREPORTS=300,MAXRECLENGTH=32768,
                 DSORG=AM
/*
```

Figure 3: Example of Reporting on More VSAM Data Sets

CONTINUED . . .

40.04 CONTINUED

Example C: Using a Date Range

In the next example, an SMF history tape is being processed. The FROMDATE and TODATE keywords are specified, to limit the report to only one particular week's worth of data. The space parameters for the temporary work data sets have been increased due to the volume of data that is being processed.

```
//REPORT      EXEC     PGM=IAMSMFVS,REGION=4M
//STEPLIB     DD       DISP=SHR,DSN=iam load library
//SYSPRINT    DD       SYSOUT=*
//SYSMF       DD       DISP=SHR,DSN=smf history data
//SORTIN      DD       UNIT=SYSDA,SPACE=(CYL,(100,10))
//SORTOUT     DD       UNIT=SYSDA,SPACE=(CYL,(100,10))
//SORTWK01    DD       UNIT=SYSDA,SPACE=(CYL,(100,10))
//SORTWK02    DD       UNIT=SYSDA,SPACE=(CYL,(100,10))
//SORTWK03    DD       UNIT=SYSDA,SPACE=(CYL,(100,10))
//SYSIN       DD       *
       REPORT           FROMDATE=1997312,TODATE=1997319
/*
```

Figure 4: IAMSMFVS Example with FROMDATE and TODATE

Example D: Reporting on IAM Data Sets

The following example demonstrates how to generate a report to help determine which IAM data sets need to be reorganized. The DSORG=IAM keyword is specified, because only IAM data sets are going to be examined. The IAM SMF recording must have been enabled so that there are records to report on. Make sure that the SMF parameters will actually store the specified record number, and that they are also collected to your SMF history tapes. To make sure that the most recent information is being used, the CURRENT keyword is specified. A FROMDATE is also being specified, as the input is an SMF history tape. All SMF records from the date specified will be considered in the analysis. That date probably would be the last date the report was run. The report that will be most useful in determining if reorganization is necessary is the IAM Size report. MAXREPORTS is being specified, because there are more than 100 IAM data sets in the shop.

```
//REPORT      EXEC     PGM=IAMSMFVS,REGION=4M
//STEPLIB     DD       DISP=SHR,DSN=iam load library
//SYSPRINT    DD       SYSOUT=*
//SYSMF       DD       DISP=SHR,DSN=smf history data
//SORTIN      DD       UNIT=SYSDA,SPACE=(CYL,(50,10))
//SORTOUT     DD       UNIT=SYSDA,SPACE=(CYL,(50,10))
//SORTWK01    DD       UNIT=SYSDA,SPACE=(CYL,(50,10))
//SORTWK02    DD       UNIT=SYSDA,SPACE=(CYL,(50,10))
//SORTWK03    DD       UNIT=SYSDA,SPACE=(CYL,(50,10))
//SYSIN       DD       *
       REPORT           FROMDATE=1997314,DSORG=IAM,CURRENT,
                        MAXREPORTS=500
/*
```

Figure 5: Reporting on IAM Data Sets

CONTINUED . . .

40.04 CONTINUED

Example E: IAMSMFVS Detail Report

Another useful way to run IAMSMFVS is with the DETAIL option. With that option, you can find out which jobs, steps, and programs are using the VSAM or IAM data sets, and what the level of I/O activity is. One of the main reasons for doing this is in planning the conversion of data sets from VSAM to IAM. This will help identify the job(s) that are actively using the data set, and can serve as a basis for comparison after the conversion.

The example below shows the JCL and control card required to obtain a detailed report. This example also demonstrates the use of the DSG= operand, which is used to limit the number of data sets being reported on to only those that are of most interest. Using such a selection criteria will be helpful in reducing the amount of output from the execution, as well as to make sure that the report includes all of the data sets that you need to analyze

.

```
//DETAIL      EXEC     PGM=IAMSMFVS,REGION=4M
//STEPLIB     DD       DISP=SHR,DSN=iam load library
//SYSPRINT    DD       SYSOUT=*
//SYSMF       DD       DISP=SHR,DSN=smf history data
//SORTIN      DD       UNIT=SYSDA,SPACE=(CYL,(50,10))
//SORTOUT     DD       UNIT=SYSDA,SPACE=(CYL,(50,10))
//SORTWK01    DD       UNIT=SYSDA,SPACE=(CYL,(50,10))
//SORTWK02    DD       UNIT=SYSDA,SPACE=(CYL,(50,10))
//SORTWK03    DD       UNIT=SYSDA,SPACE=(CYL,(50,10))
//SYSIN       DD       *
      REPORT           DSG=PROD.ORDERENT,DETAIL,MAXREPORTS=200
/*
```

Figure 6: IAMSMFVS Example for a DETAIL Report

40.05 IAMSMFVS SUMMARY REPORT

IAMSMFVS supplies the user with a SUMMARY and three reports. Those reports are the EXCP Report, the Data Set Summary Report, and the Size Report. Examples of each of the reports, along with explanations of the fields are presented below.

Summary Report

On the very first page, the REPORT control card being processed is printed. That is followed by summary information for the run.

```
IAM400 SMF REPORT/DATA EXTRACT PROGRAM-IAMSMFVS VER 6.4/01P-INNOVATION DATA PROCESSING DATE-1997.317
IAM303       CARD IMAGE - *         REPORT                                00120036*
IAM491       SMF REPORT             FUNCTION STARTED - 13.29.13
IAM601       SMF RECORDS -- READ.....17741  USED......2364  DROPPED.........0
             149 DATA SETS TABLED REPRESENTING  14 JOBS -- DATED 1997.316 18:21 THRU  1997.317
             SPACE UTILIZATION SUMMARY -
               DEVICE TYPE.....3380    VSAM CYL/TRK......342/03  IAM CYL/TRK.....8907/13
               DEVICE TYPE.....3390    VSAM CYL/TRK........4/13  IAM CYL/TRK....18196/11
        TOTAL DISK EXCPS......888896  VSAM EXCPS..........7671  IAM EXCPS........711058
```

Figure 7: Sample IAMSMFVS Summary Report

IAM601 Message

The IAM601 message presents a summary of the input SMF data. This includes the number of records read from the input SMF file, the number of records used, and the number of records dropped. Used records indicate the number of Step termination records (type 30 subtype 4 or type 4) and the number of data set records (IAM records, VSAM type 64 records) that met the selection criteria for this execution. The number of records dropped indicates the number of records that exceeded the maximum record length.

The following line indicates the number of data sets (VSAM and/or IAM) that were included in the table for analysis and the number of unique job names that were found. (Note that this is not an actual count of number of jobs, only of the number of unique job names.) This is followed by the date and time period from the SMF records that was found on the input file.

Space Utilization Summary

The next set of information provided is a space utilization summary, based on DASD device type and data set organization. This section will only include data for the data set organizations that IAMSMFVS was processing data for. So, for example if you had requested only VSAM data sets (DSORG=AM), then no values would be provided for IAM data sets. The amount of space figure is based upon the maximum space for each data set, as determined from the information in the SMF records.

Total Disk EXCP's

Then an EXCP summary is provided. The Total Disk EXCP's comes from an accumulation of the information from the step termination records for all non-temporary DASD data sets. The figures for each access method are the totals from the actual data set SMF records.

40.06 IAMSMFVS EXCP REPORTS

After the summary report appear the EXCP reports. These reports are organized by access method, and contain the data sets with the most EXCP's. The maximum number of data sets in each access method report is based on the MAXREPORTS keyword of the REPORT command, which defaults to 100. The different access method reports are quite similar, so samples of VSAM and IAM EXCP Reports will be shown, followed by descriptions of the various fields contained in the report.

VSAM EXCP Report

IAM400 SMF REPORT/DATA EXTRACT PROGRAM-IAMSMFVS VER 6.4/01P-INNOVATION DATA PROCESSING 1997.317

VSAM EXCP REPORT

DATA SET NAME	USE COUNT	TOTAL EXCPS	RECORDS	READS	INSERTS	UPDATES	DELETES	SPLITS CI	SPLITS CA	ALLOC TRKS
SYSPCICS.CICSIDP1.DFHGCD	7	1727								
SYSPCICS.CICSIDP1.DFHGCD.DATA	7	1552	655	5116	1968	394	1963	27	0	15
SYSPCICS.CICSIDP1.DFHGCD.INDEX	7	175	1	0	0	66	0	0	0	1
SYSPSMF.CPUB.MAN1	5	900								
SYSPSMF.CPUB.MAN1.DATA	5	900	0	72546	0	0	0	0	0	900
SYSPCICS.CICSIDP1.DFHLCD	7	580								
SYSPCICS.CICSIDP1.DFHLCD.DATA	7	566	260	2008	0	81	0	0	0	4
SYSPCICS.CICSIDP1.DFHLCD.INDEX	7	14	1	0	0	0	0	0	0	1
AJM.UPSTREAM.CATALOG	2	373								
AJM.UPSTREAM.CATALOG.DATA	2	277	7017	13517	71	0	27	0	0	90
AJM.UPSTREAM.CATALOG.INDEX	2	96	7	0	0	0	0	0	0	1
SYSPRMM.MASTER	2	360								
SYSPRMM.MASTER.DATA	2	344	4015	6092	0	0	0	0	0	285
SYSPRMM.MASTER.INDEX	2	16	9	0	0	0	0	0	0	1
IAM63.VSAMTEST.DATA	81	243								
IAM63.VSAMTEST.DATA.DATA	81	162	1172	81	0	81	0	0	0	45
IAM63.VSAMTEST.DATA.INDEX	81	81	1	0	0	0	0	0	0	1

Figure 8: Sample VSAM EXCP Report

40.06 CONTINUED

IAM EXCP Report

IAM400 SMF REPORT/DATA EXTRACT PROGRAM-IAMSMFVS VER 6.4/01P-INNOVATION DATA PROCESSING 1997.317

IAM EXCP REPORT

DATA SET NAME	USE COUNT	TOTAL EXCPS	RECORDS	READS	INSERTS	UPDATES	DELETES	OVRFLW RECS	OVRFLW %	USED TRKS
IAMV.VIT551.CLUSTER	2	203352	2000000	528000	0	0	0	0	100	50086
IAMV.VIT552.CLUSTER	2	135086	2000000	2462001	0	0	0	0	100	50366
IAMV.P630232.$IAM.CLUSTER	2	65429	5040000	280000	20000	40000	0	15761	1	8803
IAMV.P630176.CLUSTER	2	62520	64801	21000	32111	0	0	22750	95	813
IAMV.P630119.CLUSTER	2	13704	1716000	1716001	0	1716000	0	0	100	33016
IAMV.VXA517.CLUSTER	2	13613	26892	41310	1385	1237	266	725	14	162
IAMV.VIT194.CLUSTER	6	12796	23000	52002	8000	0	0	4000	80	107
IAMV.P630060.CLUSTER	3	12608	10000	39993	4000	0	8000	0	100	251
IAMV.VIT548.CLUSTER	2	11747	27150	41311	1385	1237	137	725	14	162
IAMV.P630131.CLUSTER	3	10922	215000	115001	100000	0	0	0	0	2887
IAMV.P630231A.CLUSTER	2	8479	50000	4599	0	4599	0	0	100	1252
IAMV.P630231.CLUSTER	2	8451	50000	4599	0	4599	0	0	100	1252
IAMV.P630076.CLUSTER	4	7846	50001	25002	17007	0	0	7998	21	1693
IAMV.VIT547.CLUSTER2	1	7393	50000	16000	0	0	0	0	100	1253
IAMV.VIT194CP.CLUSTER	3	6398	23000	26001	4000	0	0	4000	62	107
IAMV.P630075.CLUSTER	3	5521	50001	0	17007	0	0	7998	21	1693
IAMV.P630187.CLUSTER	3	4788	72000	500	6396	0	0	24000	16	1020
IAMV.P630162A.CLUSTER	3	4788	72000	500	6396	0	0	24000	16	1020

Figure 9: Sample IAM EXCP Report

EXCP Report Field Descriptions

A description of the fields appearing on the EXCP reports is provided below. Note that some fields represent an accumulated value, whereas other fields indicate a maximum value. For IAM, maximum values for overflow are replaced with the most recent values if the keyword CURRENT is specified on the REPORT command.

Field Name	Description
Data Set Name	Specifies the name of the data set. For cataloged VSAM data sets, this will have the cluster name on the first line, followed by the component names on subsequent lines, which are indented. For uncataloged VSAM data sets, only the component name will be printed.
Use Count	Indicates the number of SMF records processed for this data set. This will usually be the number of times the data set was closed during the time interval of the report.
Total EXCPS	The accumulated total EXCP counts from all of the SMF records processed for this data set. For cataloged VSAM clusters, this number will be the total for all of the components, with the component value given on the subsequent lines.
Records	Contains the maximum number of records that were in the file.
Reads	Accumulated total from all of the SMF records found for the specified data set, of the records read.
Inserts	Accumulated total number of records inserted. This value does NOT include the count of records from an initial file load. Also, for VSAM clusters, does not include records added to the end of the file.

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40.06 CONTINUED

Field Name	Description
Updates	Accumulated total number of records updated.
Deletes	Accumulated total number of records deleted.
Splits - CI	For VSAM components, the accumulated total number of CI splits that occurred.
Splits - CA	For VSAM components, the accumulated total number of CA splits that occurred.
Alloc Tracks	For VSAM components, the maximum number of tracks allocated to the component.
Overflow Recs	For IAM data sets, indicates the maximum number of records in overflow, unless the keyword CURRENT was specified on the REPORT command. In that case, it will contain the value from the most recent SMF record for the data set.
Overflow %	For IAM data sets, indicates the maximum percentage, or most recent value if CURRENT was specified, of the overflow area that was used. If a file has no overflow area, then this number will be 100 even though there are no records in the overflow area. For Enhanced format files that are defined with an Overflow override value, the percentage is based on that number. For Enhanced format files without an Overflow override, value is based on assumption using a total value for overflow from overflow blocks currently used plus all of the unused extended blocks. For Compatible format files, this is the percentage is based on the established size of the Independent Overflow Area.
Used Tracks	For IAM data sets, indicates the maximum amount of DASD space used for this file.

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40.06 CONTINUED

Detail Report

A more detailed EXCP Report on each data set's activity is available by specifying the DETAIL keyword on the REPORT control card. This report will include a line for each SMF record encountered for each data set included on the EXCP report, with the activity statistics plus job name, step name, and program name.

IAM400 SMF REPORT/DATA EXTRACT PROGRAM - IAMSMFVS VER 6.4/00T - INNOVATION DATA PROCESSING DATE - 1997.317 PAGE

IAM EXCP REPORT

DATA SET NAME	USE COUNT	TOTAL EXCPS	RECORDS	READS	INSERTS	UPDATES	DELETES	OVRFLW RECS	OVRFLW %	USED TRKS
IAMV.VIT551.CLUSTER	2	203352	2000000	528000	0	0	0	0	100	50086
1997.316 J=JFMTESTS S=VIT551A P=SUBTMNGR D=VSAMCRT1		3341	2000000	0	0	0	0	0	100	50086
J=JFMTESTS S=VIT551A P=SUBTMNGR D=VSAMCRT9		200011	2000000	528000	0	0	0	0	100	50086
IAMV.VIT552.CLUSTER	2	135086	2000000	2462001	0	0	0	0	100	50366
1997.316 J=JFMTESTS S=VIT552A P=SUBTMNGR D=VSAMCRT1		3359	2000000	0	0	0	0	0	100	50366
J=JFMTESTS S=VIT552A P=SUBTMNGR D=VSAMCRT9		131727	2000000	2462001	0	0	0	0	100	50366
IAMV.P630232.$IAM.CLUSTER	2	65429	5040000	280000	20000	40000	0	15761	1	8803
1997.317 J=JFMTESTS S=P630232B P=IAMTVSAM D=VSAMCRT1		567	5000000	0	0	0	0	0	100	8487
J=JFMTESTS S=P630232B P=IAMTVSAM D=VSAMCRT1		64862	5040000	280000	20000	40000	0	15761	1	8803
IAMV.P630176.CLUSTER	2	62520	64801	21000	32111	0	0	22750	95	813
1997.317 J=JFMTESTS S=P630176D P=SUBTMNGR D=VSAMCRT1		2	1	0	0	0	0	1	2	2
J=JFMTESTS S=P630176D P=SUBTMNGR D=VSAMCRT1		62518	64801	21000	32111	0	0	22750	95	813
IAMV.VXA517.CLUSTER	2	13613	26892	41310	1385	1237	266	725	14	162
1997.316 J=JFMTESTS S=VXA517A P=IAMTVSAM D=VSAMCRT1		22	25000	0	0	0	0	0	0	156
J=JFMTESTS S=VXA517A P=IAMTVSAM D=VSAMCRT1		13591	26892	41310	1385	1237	266	725	14	162

Figure 10: Sample IAMSMFVS Detail Report

The additional fields on the detail report line include:

- **J**= Indicates the job name.
- **S**= Indicates the step name.
- **P**= Indicates the program name.
- **D**= Indicates the DD name.

40.07 IAMSMFVS - DATA SET SUMMARY REPORT

After the EXCP reports IAMSMFVS produces a Data Set Summary Report. This report contains all of the data sets that were encountered in the SMF data, and retained in the data set table built by IAMSMFVS. Data sets appear in ascending name sequence. For cataloged VSAM clusters, they are sorted based on the cluster name.

Data Set Summary Report

IAM400 SMF REPORT/DATA EXTRACT PROGRAM-IAMSMFVS VER 6.4/01P-INNOVATION DATA PROCESSING 1997.317

DATA SET SUMMARY REPORT

DATA SET NAME	USE COUNT	TOTAL EXCPS	DSORG	RECFM	AVG LRECL	MAX LRECL	KEY LEN	RKP	BLK OR CISIZE	FRSPC CI%	FRSPC CA%
AJM.UPSTREAM.CATALOG	2	373	VSAM								
AJM.UPSTREAM.CATALOG.DATA	2	277	VSAM	VB	50	500	18	0	22528	0	0
AJM.UPSTREAM.CATALOG.INDEX	2	96	VSAM	NOIMB		2041	18	0	2048		
IAMV.IAMTST6.CLUSTER	3	45	IAM	VE-DC	619	700	4	0	11476	0	0
IAMV.P630032.CLUSTER	2	4206	IAM	VE	1000	1000	4	8	11476	10	10
IAMV.P630034.CLUSTER	4	1798	IAM	VE	143	143	24	8	11476	0	0
IAMV.P630042A.CLUSTER	3	2675	IAM	VE	2700	2700	4	8	13682	0	15
IAMV.P630049.CLUSTER	2	328	IAM	VE-DC	2763	4096	8	0	11476	5	5
IAMV.P630232.$IAM.CLUSTER	2	65429	IAM	VE-DC	79	1024	4	8	13682	10	25
IAMV.P630234.$IAM.CLUSTER	2	10	IAM	VE-DC	120	1024	4	8	11476	10	25
IAMV.P630248.CLUSTER	4	374	IAM	FB		128	4	8	4096	0	0
IAMV.P630251.KB.CLUSTER	1	9	IAM	VB	1020	1020	4	8	13682	0	0
IAMV.P630251.MB.CLUSTER	1	1946	IAM	VB	1020	1020	4	8	13682	0	0
IAMV.VIT551.CLUSTER	2	203352	IAM	VE	1020	1020	16	8	11476	10	10
IAMV.VIT552.CLUSTER	2	135086	IAM	VE	1020	1020	64	8	11476	10	10
IAMV.VIT600.CLUSTER	2	1710	IAM	VE	4096	4096	4	0	23476	10	10
IAMV.VXA401.CLUSTER	3	9	IAM	F		2340	4	8	23400	10	0
IAMV.VXA402.CLUSTER	3	19	IAM	VE	4680	2340	4	8	23476	10	10
IAMV.VXA531.CLUSTER	2	748	IAM	VE	1040	1040	4	8	11476	10	10
IAM63.VSAMTEST.DATA	81	243	VSAM								
IAM63.VSAMTEST.DATA.DATA	81	162	VSAM	VB	255	300	8	4	22528	25	10
IAM63.VSAMTEST.DATA.INDEX	81	81	VSAM	NOIMB		505	8	4	512		
SYSPRMM.MASTER	2	360	VSAM								
SYSPRMM.MASTER.DATA	2	344	VSAM	VB	512	9216	56	0	10240	20	20
SYSPRMM.MASTER.INDEX	2	16	VSAM	IMBED		2041	56	0	2048		
SYSPSMF.CPUB.MAN1	5	900	VSAM								
SYSPSMF.CPUB.MAN1.DATA	5	900	VSAM	VB	22518	32767			22528		

Figure 11: Sample Data Set Summary Report

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40.07 CONTINUED

Data Set Summary Report Fields

The fields that are unique to the Data Set Summary Report are described below. The Data Set Name, Total Use Count, and Total EXCP's are identical to the fields in the EXCP Report.

Field Name	Description
Data Set Name	Specifies the name of the data set. For cataloged VSAM data sets, this will have the cluster name on the first line, followed by the component names on subsequent lines, which are indented. For uncataloged VSAM data sets, only the component name will be printed.
Use Count	Indicates the number of SMF records processed for this data set. This will usually be the number of times the data set was closed during the time interval of the report.
Total EXCPS	The accumulated total EXCP counts from all of the SMF records processed for this data set. For cataloged VSAM clusters, this number will be the total for all of the components, with the component value given on the subsequent lines.
DSORG	Indicates the data set organization for the file.
RECFM	Indicates the record format. The following values are possible: • **F[B]** - Fixed length record file. For IAM, can only be a Compatible Format data sets. For VSAM, indicates that file was defined with an equal average and maximum record length. • **VB** - Variable length record file, applicable to VSAM or Compatible Format IAM data sets. • **VE** - Variable length record, IAM Enhanced Format file. • **VO** - Variable length record IAM Enhanced Format file with Variable Overflow enabled. • **-DC** - IAM Data Compressed file. • **IMBED** - VSAM Index Component with an imbedded index. • **NOIMB** - VSAM Index Component without an imbedded index.
AVG LRECL	For VSAM data components, indicates the defined average record length. For IAM data sets, indicates the average record length from the file load.
MAX LRECL	Indicates the maximum defined logical record length.
KEY LENGTH	Indicates the defined key length for the data set.
RKP	Indicates the defined Relative Key Position (or key offset) for the data set.
BLK or CI SIZE	Indicates the VSAM CI Size or the IAM block size of the data set.
FREESPACE	Indicates the defined values for CI and CA percent freespace.

40.08 SIZE REPORTS

After the Data Set Summary Report, IAMSMFVS produces size reports. Like the EXCP reports, the Size reports are produced by data set organization, with only the largest data sets included in each report. The number of data sets included in each size report is from the keyword MAXREPORTS, which defaults to 100. If VSAM data sets are being reported on, that VSAM Size Report will appear first. The IAM Size report, if IAM data sets are being reported on, appears after the VSAM Size Report.

VSAM Size Report

IAM400 SMF REPORT/DATA EXTRACT PROGRAM-IAMSMFVS VER 6.4/01P-INNOVATION DATA PROCESSING 1997.317

VSAM SIZE REPORT

DATA SET NAME	ALLOC TRKS	TOTAL EXCPS	USE COUNT	EXTENTS	AVG LRECL	MAX LRECL	KEY LEN	RKP	CISIZE
AJM.UPSTREAM.FILEDATA	2705	0	2						
AJM.UPSTREAM.FILEDATA.DATA	2700	0	2	4	840	6165	21	0	22528
AJM.UPSTREAM.FILEDATA.INDEX	5	0	2	3		505	21	0	512
SYSPSMF.CPUB.MAN1	900	900	5						
SYSPSMF.CPUB.MAN1.DATA	900	900	5	1	22518	32767	0	0	22528
SID.UPSTREAM.FILEDATA	345	0	2						
SID.UPSTREAM.FILEDATA.DATA	330	0	2	3	840	6165	21	0	22528
SID.UPSTREAM.FILEDATA.INDEX	15	0	2	1		4089	21	0	4096
SYSPRMM.MASTER	286	360	2						
SYSPRMM.MASTER.DATA	285	344	2	1	512	9216	56	0	10240
SYSPRMM.MASTER.INDEX	1	16	2	1		2041	56	0	2048
USTEST.UPSTREAM.FILEDATA	151	4	3						
USTEST.UPSTREAM.FILEDATA.DATA	150	1	3	1	840	6165	21	0	22528
USTEST.UPSTREAM.FILEDATA.INDEX	1	3	3	1		4089	21	0	4096
SYSPSMF.CPUB.MAN2	150	600	2						
SYSPSMF.CPUB.MAN2.DATA	150	600	2	1	22518	32767	0	0	22528
AJM.UPSTREAM.CATALOG	91	373	2						
AJM.UPSTREAM.CATALOG.DATA	90	277	2	2	50	500	18	0	22528
AJM.UPSTREAM.CATALOG.INDEX	1	96	2	1		2041	18	0	2048
IAM63.VSAMTEST.DATA	46	243	81						
IAM63.VSAMTEST.DATA.DATA	45	162	81	1	255	300	8	4	22528
IAM63.VSAMTEST.DATA.INDEX	1	81	81	1		505	8	4	512

Figure 12: Example IAMSMFVS VSAM Size Report

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40.08 CONTINUED

IAM Size Report

IAM400 SMF REPORT/DATA EXTRACT PROGRAM-IAMSMFVS VER 6.4/01P-INNOVATION DATA PROCESSING

IAM SIZE REPORT

DATA SET NAME	TRACKS USED	TOTAL EXCPS	USE COUNT	TOTAL RECORDS	INDEPENDENT OVERFLOW MAX REC	INDEPENDENT OVERFLOW USE REC	INDEPENDENT OVERFLOW % USE	PRIME EXT	CI%
IAMV.P630175A.CLUSTER	100048	6713	3	2000020	660	10	1	0	0
IAMV.VIT552.CLUSTER	50366	135086	2	2000000	0	0	0	0	10
IAMV.VIT551.CLUSTER	50086	203352	2	2000000	0	0	0	0	10
IAMV.P630042A.CLUSTER	39238	2675	3	784360	54985	30	0	0	0
IAMV.P630119.CLUSTER	33016	13704	2	1716000	0	0	0	0	0
IAMV.P630180.CLUSTER	32517	2204	3	650020	9670	10	0	0	0
IAMV.P630251.MB.CLUSTER	1458	1946	1	720000	38038	0	0	4	0
IAMV.P630115.CLUSTER	12305	822	1	565800	0	0	0	0	0
IAMV.P630159A.CLUSTER	9007	621	3	360020	72340	10	0	0	0
IAMV.P630147.CLUSTER	7955	1092	2	318000	0	0	0	0	0
IAMV.P630150.CLUSTER	7505	24506	2	240000	0	0	0	0	15
IAMV.P630132.CLUSTER	5366	755	4	32139	0	0	0	0	10
IAMV.P630131.CLUSTER	2887	10922	3	215000	20740	0	0	10000	0
IAMV.P630183.CLUSTER	2504	415	4	200000	0	0	0	0	0
IAMV.P630075.CLUSTER	1693	5521	3	50001	37556	7998	21	1374	10
IAMV.P630076.CLUSTER	1693	7846	4	50001	37556	7998	21	1374	10
IAMV.P630187.CLUSTER	1020	4788	3	72000	144984	24000	16	0	0

Figure 13: Example IAMSMFVS IAM Size Report

Size Report Field Descriptions

Field Name	Description
Data Set Name	Specifies the name of the data set. For cataloged VSAM data sets, this will have the cluster name on the first line, followed by the component names on subsequent lines, which are indented. For uncataloged VSAM data sets, only the component name will be printed.
Alloc Tracks	For VSAM components, the maximum number of tracks allocated to the component.
Tracks Used	For IAM data sets, indicates the maximum amount of DASD space used for this file.
Total EXCPS	The accumulated total EXCP counts from all of the SMF records processed for this data set. For cataloged VSAM clusters, this number will be the total for all of the components, with the component value given on the subsequent lines.
Use Count	Indicates the number of SMF records processed for this data set. This will usually be the number of times the data set was closed during the time interval of the report.
Extents	For VSAM clusters, indicates maximum number of DASD extents.
AVG LRECL	For VSAM data components, indicates the defined average record length. MAX LRECLFor VSAM, indicates the maximum defined logical record length.
KEY LENGTH	For VSAM, indicates the defined key length for the data set.

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Field Name	Description
RKP	For VSAM, indicates the defined Relative Key Position (or key offset) for the data set.
CI SIZE	For VSAM, indicates the VSAM CI Size which is the amount of data transferred per physical I/O.
Total Records	For IAM, contains the maximum records that were in the file, or if CURRENT was specified, the current number of records in the file.
Max Rec	For Enhanced Format files, without an overflow override, the estimated number of records that can fit within the currently allocated extents. For Enhanced Format files with an Overflow override, this will be that value. For Compatible format files, the maximum size of the overflow area.
Use Rec	The maximum records in overflow, or if CURRENT was specified on the REPORT card, in which case it is the most recent value.
% USE	The percentage of the overflow area that has been used.
Prime Ext	The number of Prime Extension Blocks.
CI%	For IAM data sets, the size of Integrated Overflow, normally specified by CI Freespace.

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41.01 SMF JOB RESOURCE USAGE ANALYSIS OVERVIEW

Overview

The IAM product includes a specialized SMF reporting program called IAMSMF. This program is intended to aid customers in converting VSAM data sets to IAM and in using IAM data sets in their installation. Customers can use IAMSMF to:

1. Aid in conversion of data sets from VSAM to IAM, by providing the capability to determine what jobs and job steps are defining the VSAM data sets, as well as processing the selected data sets.
2. Provide reports on SMF job statistics to help evaluate the benefit of IAM. You can obtain reports that include CPU time, EXCP's, and elapsed time for jobs using VSAM data sets. Then, compare the jobs based on those statistics to see the improvements realized after converting the VSAM data sets to IAM.
3. Provide detailed reports on IAM data set activity by producing the IAMINFO reports from the IAM SMF records.
4. Find out what jobs or users are accessing data sets.
5. Print or copy selected SMF records.

Functional Summary

The IAMSMF program has been designed to give users the ability to extract data and statistics from Systems Management Facility (SMF) records. The functions include data set query, printing IAMINFO reports, job reporting, record copy, and record print. IAMSMF is a batch program, which based upon simple control statements, will extract resource utilization information from SMF job/step and data set records. Information can be selected directly from the active system SMF data sets, from SMF format archival files, or from extract files created by the COPY function of the program itself.

Command Summary

IAMSMF has the following commands:

- **COPY:** Copy selected SMF records to a sequential file creating a subset of the data for later use.
- **IAMINFO:** Produce IAMINFO reports from IAM generated SMF records.
- **PRINT:** Print selected SMF records in dump (Hexadecimal) format.
- **QUERY:** Produces a data set oriented report, to find out what job steps use the specified data sets, and how they are used. Can be requested for specific data set name(s) or by data set name prefixes (data set groups).
- **REPORT:** Produces a job oriented report, with a break out or resource and data set utilization by job step. The report is produced in chronological order from the available SMF data. Can be requested for a specific Jobname(s) or by Job Group Name(s).

41.02 JCL Requirements for IAMSMF

The JCL statements required to execute IAMSMF are as follows:

Execute Statement

Specifies the name of the IAM SMF Analysis program: IAMSMF. The region size used by IAMSMF is at least 512K. A typical execute statement would be:

```
//SMFQUERY      EXEC      PGM=IAMSMF,REGION=1M
```

DD Statements

The following table identifies the required DD statements.

DD Name	Description
STEPLIB or JOBLIB	It is recommended that the IAM program load library be included in the system link list. If it is not you must include a STEPLIB/JOBLIB DD statement specifying the IAM load library that contains the IAMSMF program.
SYSPRINT	Specifies where the IAMSMF control statements, messages and reports are to be printed. Usually a SYSOUT data set.
SYSMF	Specifies the SMF source data set. This DD statement may point to one or more of the active SMF data sets on disk (ex: SYS1.MANx), an SMF format archival file, or a sequential file produced by the COPY function of this program. An alternate DD name may be specified via a control statement.
SYSUT2	Specifies the output data set for a COPY operation. Usually a sequential file on tape or disk. An alternate DD name may be specified via a control statement.
SYSIN	Specifies the input control statement card image data set. Usually a DD * data set.

Basic IAMSMF JCL Example

An example of the JCL to run IAMSMF is shown below. The SYSUT2 DD card is required for a COPY command, otherwise it is not needed.

```
//IAMSMF      EXEC    PGM=IAMSMF,REGION=1M
//STEPLIB     DD      DISP=SHR,DSN=iam.load.lib
//SYSPRINT    DD      SYSOUT=*
//SYSMF       DD      DISP=SHR,DSN=smf.data.set
//SYSUT2      DD      DSN=my.smf.records,UNIT=SYSDA,DISP=(,CATLG),
//                    SPACE=(CYL,(10,1))
//SYSIN       DD      *
        IAMSMF Control cards are inserted here
/*
```

Figure 14: Example of Basic JCL to run IAMSMF

41.03 IAMSMF - COPY COMMAND

COPY Command

The COPY command is used to copy selected SMF records to a sequential file from either a history file (RECFM=VBS) or an active SMF data recording file. The COPY command can be used to create a subset of the full SMF data so that reports can be generated at a later point in time for comparisons. You might want to save a subset of the records, such as all of the IAM SMF records, in one place and/or for a longer period of time than the normal SMF data is kept. Another use of copy is to aid in creating reports to find out particular information. For example, if you want to find all the jobs that are defining VSAM or IAM data sets, but did not want them intermixed with other file activity, first copy the record types 61 and 63, which are the VSAM Define SMF records. Then run the IAMSMF Query command from the data subset.

COPY	**[ALLRECORDS]**	**[,JOBNAMES**= jobname**]**
	[,CHECKLENGTH]	**[,MAXRECLENGTH**= nnnn **]**
	[,ERRORPRINT]	**[,PRTLENGTH**= nnn **]**
	[,FROMDATE= yyyyddd **]**	**[,RECSIZE**(rrr)= nnnn **]**
	[,FROMDDNAME= ddname **]**	**[,RECTYPE**= nnn **]**
	[,GROUPNAMES= jobname **]**	**[,TODATE**= yyyyddd **]**
	[,IAMRECORDS]	**[,TODDNAME**= ddname **]**

Figure 15: IAMSMF COPY Command Operands

COPY Command OperandS

Operand	Description
ALLRECORD	Specifies that the SMF record type does not participate in record selection. The default is deferred to the operand RECTYPE. NOTE: This operand conflicts with the operand RECTYPE.
CHECKLENGTH	Specifies that SMF data records are to be validated against a table of minimum record lengths. If the user has modified the minimum record length or is executing in a non-compatible system, the correct record lengths may be specified by the RECSIZE operand. The default is SMF record length is not validated.
ERRORPRINT	Specifies that any SMF data record that causes an error during processing or fails length verification is to be printed. The default is records in error are not printed.
FROMDATE=	Specifies the lower date limit of the SMF records that are to be copied. The date has the format of yyyyddd or yyddd. The shorter format assumes a prefix of 19. The default, if the FROMDATE and/or TODATE operands are not specified, is that the date of the SMF record will not participate in the selection criteria.
FROMDDNAME=	Specifies the DDNAME of the SMF file to be used as input to IAMSMF. The default input DDNAME is SYSMF.

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Operand	Description
GROUPNAMES=	Specifies that only records having a jobname which begin with the specified character string(s) will be copied. This operand specifies a partial jobname from 1 to 8 characters in length. Up to 50 job groups and/or names may be specified for a single command if entered as follows: **GROUPNAMES**=(jobname1,...,jobnamex) The default, if neither the GROUPNAMES nor JOBNAMES operand is specified, is that the jobname will not participate in SMF record selection.
IAMRECORDS	Specifies that SMF records generated by IAM are to be selected. The default is deferred to the operand RECTYPE. NOTE: This operand conflicts with the operands RECTYPE and ALLRECORDS.
JOBNAMES=	Specifies that only records having a jobname which match the jobname(s) specified will be copied. This operand specifies a complete jobname from 1 to 8 characters in length. Up to 50 job names may be specified in a single command if entered as follows: **JOBNAMES**=(jobname1,...,jobnamex) The default, if neither the GROUPNAMES nor JOBNAMES operand is specified, is that the jobname will not participate in SMF record selection.
MAXRECLENGTH=	Specifies the largest SMF record that the program will process. The number may be any value from 16384 to 65536, inclusive. The default is 16384 bytes.
PRTLENGTH=	Limits the amount of data to be printed if the ERRORPRINT keyword is specified. The number may be any value from 32 to 65536, inclusive. The default is 32768 bytes.
RECSIZE(rrr)=	Establishes the minimum length of the SMF record type 'rrr' as the value 'nnnn'. The default minimum record lengths for system generated SMF records are documented in the IBM Systems Management Facilities manual.

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Operand	Description
RECTYPE=	Identifies the specific record type(s) to be copied. Up to 50 record types may be specified for a single command if entered as follows: **RECTYPE**=(rrr,...,rrr) The SMF record types which will be copied by default (if ALLRECORDS is not specified) are as follows: **4** - Step termination **5** - Job termination **14** - NON-VSAM Dataset CLOSEd (input) **15** - NON-VSAM Dataset CLOSEd (output/update) **20** - Job initiation **30** - Common Address Space Work Record **34** - TSO session termination **64** - VSAM Dataset CLOSEd NOTE: This operand conflicts with the operand ALLRECORDS.
TODATE=	Specifies the upper date limit of the SMF records that are to be copied. The format is yyyyddd or yyddd. The shorter format assumes a prefix of 19. The default, if the FROMDATE and/or TODATE operands are not specified, is that the date of the SMF record will not participate in the selection criteria.
TODDNAME=	Specifies the DDNAME of the output file for the copied SMF records. The default output DDNAME is SYSUT2.

Example of Copy Command Usage

This example shows how to create a subset of SMF records that can be used for subsequent input to the IAMSMFVS analysis program. The record types being copied include the Type 30 for the step termination, the Type 64 for VSAM data sets, and the Type 201, which is the IAM SMF record type specified in the IAM Global Options Table. The output data set created by this job can be used as an input data set for IAMSMFVS. The FROMDATE and TODATE keywords are specified indicating to copy data for the month of November 1997. The SYSUT2 DD specifies a DISP=MOD, indicating that records are being added to an already existing file, which contains previously extracted data from prior months. A MAXRECLENGTH keyword was specified to insure that there would be no records dropped.

```
//IAMSMF        EXEC     PGM=IAMSMF,REGION=1M
//STEPLIB       DD       DISP=SHR,DSN=iam.load.lib
//SYSPRINT      DD       SYSOUT=*
//SYSMF         DD       DISP=SHR,DSN=smf.data.set
//SYSUT2        DD       DSN=my.smf.records,DISP=(MOD,KEEP,KEEP)
//SYSIN         DD       *
        COPY RECTYPE=(30,64,201),FROMDATE=1997305,TODATE=1997334,
        MAXRECLENGTH=32768
/*
```

Figure 16: Example of IAMSMF COPY Command

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Sample IAMSMF COPY Output

Below is sample output from running the above job. The IAM601 message indicates that 5,018 records were copied to the output data set, and that no records were dropped because of too long of a record length.

```
IAM400 SMF REPORT/DATA EXTRACT PROGRAM-IAMSMF VER 6.4/01P INNOVATION DATA PROCESSING DATE1997.335
IAM303     CARD IMAGE - *   COPY  RECTYPE=(30,64,201),FROMDATE=1997305,TODATE=1997334,     *
IAM303     CARD IMAGE - *        MAXRECLENGTH=32768                                          *
IAM491     RECORD COPY      FUNCTION STARTED - 11.01.23
IAM601     SMF RECORDS -- READ.....65003  USED......5018  DROPPED.........0
IAM492     RECORD COPY      FUNCTION ENDED   - 11.01.47 - CONDITION CODE 000
IAM499     IAMSMF(6.4/01P ) PROCESSING COMPLETED
```

Figure 17: Sample Output from IAMSMF COPY Command

41.04 IAMSMF - IAMINFO COMMAND

IAMINFO Command

The IAMINFO command is used to produce the detailed IAMINFO reports from IAM generated SMF records. IAM will normally produce an IAMINFO report each time an IAM data set is closed if the job step has an IAMINFO DD card. By collecting the IAM SMF records, you can still get the IAMINFO reports without changing the JCL in every job using IAM data sets. Plus, this offers the advantage of being able to obtain the IAMINFO reports only on an as needed or desired basis, or if the original job output has been discarded.

To obtain a summarization of IAM data set activity, use the IAMSMFVS program. That program provides three distinct reports, consisting of one line per data set rather than multiple full page reports. You could use IAMSMFVS to obtain the summary information, and then use the IAMSMF IAMINFO command to get detailed information about specific files.

Each IAMINFO report is one page in length, providing detailed information about the data set and it's use. An IAMNFO report is generated by IAMSMF as one per data set per job step. Optionally, IAMINFO reports can be printed by IAMSMF for each time the data set is closed, or once per data set per job. From the IAMINFO reports, you can tell how a program is accessing the data set, whether or not more buffers could help improve performance, and if the data set needs to be reorganized.

The IAMINFO command offers a wide variety of selection criteria to provide a lot of flexibility in the reports that end up being printed.

IAMINFO

[ATTRIBUTE=ccccc**]**	**[,MAXSTACK**=nnnnn**]**
[,BLOCKS=nnnnnn**]**	**[,MERGE**=ccccc**]**
[,CYLS=nnnnn**]**	**[,NUMCMDS**=nnnnn**]**
[,DSGROUPS=dsname**]**	**[,NUMRECS**=nnnnn**]**
[,DSNAMES=dsname**]**	**[,OFULL**=nn**]**
[,DYNRET=nnnnn**]**	**[,ORECS**=nnnnn**]**
[,EXCP=nnnnn**]**	**[,PEBLKS**=nnnnn**]**
[,FROMDATE=yyyyddd**]**	**[,PEFULL**=nn**]**
[,FROMDDNAME=ddname**]**	**[,RECTYPE**=nnn**]**
[,GROUPNAMES=jobname**]**	**[,TODATE**=yyyyddd**]**
[,JOBNAMES=jobname**]**	**[,TRACKS**=nnnnn**]**
[,LRECL=nnnnn**]**	**[,TYPECMD[E]**=(a,b,c)**]**

Figure 18: IAMSMF IAMINFO Command Operands

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IAMINFO Command Operands

Operand	Description
ATTRIBUTE=	Identifies which attributes an IAM file must have to participate in record selection. Valid values are: **DATACOMP** - The IAM file contains compressed data. **KEYCOMP** - The IAM file contains compressed keys. **INCPEBLK** - IAM found that a record that could have gone into PRIME EXTENSION, but was placed into INDEPENDENT OVERFLOW because the PRIME EXTENSION was full. Redefining this file with a larger PRIME EXTENSION may improve performance. An IAM371 message was issued if an IAMINFO DD was present during the job's execution. **MOREBUFFER** - IAM found that additional buffers could have been used to improve performance, however the MAXBUF or BUFNO option prevented IAM from acquiring more buffers. An IAM368 message was issued if an IAMINFO DD was present during the job's execution. **NOCORE** - There was not enough storage available in the region for IAM to acquire additional buffers. An IAM367 message was issued if an IAMINFO DD was present during the job's execution. **REORG** - IAM files that have received warning messages recommending that the file be reorganized. By default, the data set attributes do not participate in the selection criteria. This operand supports the following logical operators: =, ¨=.
BLOCKS=	Specifies the size, in blocks, of the files to be selected. By default, the size of the IAM data set does not participate in the selection criteria. This operand supports the following logical operators: =, ¨=, >, > =, <, < =
CYLS=	Specifies the size, in cylinders, of the files to be selected. By default, the size of the IAM data set does not participate in the selection criteria. This operand supports the following logical operators: =, ¨ =, >, > =, <, < =
DSGROUPS=	Specifies that only records having a data set name which begin with the given character string(s) will be processed. This operand specifies a partial dataset name from 1 to 44 characters in length. Up to 50 data set groups may be specified for a single command if entered as follows: **DSGROUPS**=(dsname1,...,dsnamex) By default, the name of the IAM data set does not participate in the selection criteria.

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41.04 CONTINUED

Operand	Description
DSNAMES=	Specifies that only records having a data set name which match the dataset name(s) specified will be processed. This operand specifies a complete data set name from 1 to 44 characters in length. Up to 50 data set names may be specified for a single command if entered as follows: **DSNAMES**=(dsname,...,dsname) By default, the name of the IAM data set does not participate in the selection criteria.
DYNRET=	Establishes the limit of record retrievals from IAM's Dynamic Table. By default, the number of dynamic retrievals does not participate in the selection criteria. This operand supports the following logical operators: =, ¨ =, >, > =, <, < =
EXCP=	Establishes the limit of EXCPs (physical read and writes) to the IAM file. By default, the number of EXCPs does not participate in the selection criteria. This operand supports the following logical operators: =, ¨ =, >, > =, <, < =
FROMDATE=	Specifies the lower date limit of the SMF records that are to be copied. The default, if the FROMDATE and/or TODATE operands are not specified, is that the date of the SMF record will not participate in the selection criteria.
FROMDDNAME=	Specifies the DDNAME of the SMF file to be used as input to IAMSMF. The default input DDNAME is SYSMF.
GROUPNAMES=	Specifies that only those records having a job name which begin with the specified character string(s) will be selected. This operand specifies a partial job name from 1 to 8 characters in length. Up to 50 job groups and/or names may be specified for a single command if entered as follows: **GROUPNAMES**=(jobname1,...,jobnamex) The default, if neither the GROUPNAMES nor JOBNAMES operand is specified, is that the job name will not participate in SMF record selection.
JOBNAMES=	Specifies that only records having a job name which match the jobname(s) specified will be selected. This operand specifies a complete job name from 1 to 8 characters in length. Up to 50 job names may be specified in a single command if entered as follows: **JOBNAMES**=(jobname1,...,jobnamex) The default, if neither the GROUPNAMES nor JOBNAMES operand is specified, is that the job name will not participate in SMF record selection.
LRECL=	Establishes the limit for the length of records (maximum length for variable) within an IAM file. By default, the record length does not participate in the selection criteria. This operand supports the following logical operators: =, ¨ =, >, > =, <, < =

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41.04 CONTINUED

Operand	Description
MAXSTACK=	Specifies the maximum number of compressed SMF records that can be retained in storage. The number can be any value from 100 to 50000, inclusive. The default is 2500 records.
MERGE=	Identifies how IAMSMF will process multiple SMF records created for the same dataset by the same job. Valid values are: • **JOB**: All SMF records referencing the same IAM data set within a job will be merged into a single report. • **NO**: No IAM SMF records are to be merged. An IAMINFO report will be generated for each IAM SMF record found. • **STEP**: All SMF records referencing the same IAM data set within a job step (i.e. multiple OPEN/CLOSE) will be merged into a single report. The default is STEP.
NUMCMDS=	Establishes the limit of the total number of commands issued against the IAM file. When used in conjunction with the TYPECMD operand, the selection will be limited to those files having a command count of that type. By default, the number of commands issued against the IAM file does not participate in the selection criteria. This operand supports the following logical operators: =, ¨ =, >, > =, <, < =
OFULL=	Establishes the percent of overflow used limit within an IAM file. By default, the percent of overflow used does not participate in the selection criteria. This operand supports the following logical operators: =, ¨ =, >, > =, <, < =
ORECS=	Establishes the number of overflow records limit within an IAM file. By default, the number of overflow records does not participate in the selection criteria. This operand supports the following logical operators: =, ¨ =, >, > =, <, < =
PEBLKS=	Establishes the number of prime extension blocks limit within an IAM file. By default, the number of prime extension blocks does not participate in the selection criteria. This operand supports the following logical operators: =, ¨ =, >, > =, <, < =
PEFULL=	Establishes the percent of prime extension blocks used limit within an IAM file. By default, the percent of prime extension blocks used does not participate in the selection criteria. This operand supports the following logical operators: =, ¨ =, >, > =, <, < =
RECTYPE=	Identifies the record type of the IAM generated SMF record. The default record type is that which is defined in the IAM option table. Refer to section 91 for further documentation on the IAM option table.

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41.04 CONTINUED

Operand	Description
TODATE=	Specifies the upper date limit of the SMF records that are to be copied. The default, if the FROMDATE and/or TODATE operands are not specified, is that the date of the SMF record will not participate in the selection criteria.
TRACKS=	Specifies the size, in tracks, of the files to be selected. By default, the size of the IAM data set does not participate in the selection criteria. This operand supports the following logical operators: =, ¨ =, >, > =, <, < =
TYPECMD=	Identifies the type of command(s) that must have been issued against Compatible Format IAM files. If NUMCMD is also specified, the SMF record will be selected if that number of commands of that type was issued. If NUMCMD is not specified, the SMF record will be selected if ANY command of that type was issued. Up to 16 command types may be specified if entered as follows: **TYPECMD**=(c,c,...,c) The following values (command types which correspond to those generated by IAMINFO) are supported: **R** - Read, **W** - Write, **F** - Getnext, **A** - Add, **D** - Delete, **N** - Read or Getnext, **G** - Get, **S** - Start Key Equal, **K** - Start KC or Point, **P** - Get previous, **U** - Read for Update, **E** - Stastics, **C** - Close, **O** - Open, **I** - Information, or **X** - Flush By default, the type of commands issued against the IAM file does not participate in the selection criteria.
TYPECMDE=	Identifies the type of command(s) that must have been issued against Enhanced Format IAM files. If NUMCMD is also specified, the SMF record will be selected if that number of commands of that type was issued. If NUMCMD is not specified, the SMF record will be selected if ANY command of that type was issued. Up to 16 command types may be specified if entered as follows: **TYPECMDE**=(c,c,...,c) The following values (command types which correspond to those generated by IAMINFO) are supported: **A** - Add, **C** - Close, **E** - Erase, **F** - Skip Sequential Get, **G** - Get Sequential, **I** - IAM Statistics, **K** - Point, **L** - Record Length Change, **N** - Point Key Greater or Equal, or Generic, **O** - Open, **P** - Get Previous (Backwards Get), **R** - Random Read with Key Equal, **S** - Random Read with Key Greater or Equal or Generic, **T** - Close Type=T, **U** - Put/ Write for Update, **V** - Verify, or **X** - Buffer Flush Request. By default, the type of commands issued against the IAM file does not participate in the selection criteria.

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41.04 CONTINUED

IAMINFO Output

The IAMINFO output produced by IAMSMF is quite similar to the normal IAMINFO report. The only change has been in the heading messages. When produced by IAMSMF, the IAMINFO reports do not have the IAM360 or IAM361 heading messages. Rather, they have an IAM370 JOB CHARACTERISTICS section. A sample of that portion of the IAMINFO report is shown below. For a complete description of the IAMINFO report, please refer to Section 10.70 IAM Reports.

```
IAM370    JOB CHARACTERISTICS -
          JOB NAME-------------------- =        KSD270  -  STEP NAME------------- =  KSD270B
          PROGRAM NAME---------- =        IAMTVSAM  -  FUNCTION--------------- =  FILE CREATION
          DDNAME---------------------- =        VSAMCRT1  -  DSNAME = IAMV.KSD270.CLUSTER
          DATE OPENED ------------- =        1997.323  -  TIME OPENED---------- =  15:05:11
          DATE CLOSED------------- =        1997.323  -  TIME CLOSED---------- =  15:05:11
```

Figure 19: Sample of IAM370 IAMINFO Output

Job Characteristics Field Description

Field Name	Description of Field
JOB NAME	Indicates the name of the job that has processed this IAM data set.
STEP NAME	Indicates the name of the job step that has processed this IAM data set.
PROGRAM NAME	The name of the program that issued the OPEN for the IAM data set.FUNCTIONThe function being performed. Possible values are: • FILE CREATION - Indicates a file load process. • UPDATE PROCESSING - Indicates that the file was opened for update. • INPUT PROCESSING - Indicates that the file was opened for input processing only.
DDNAME	Indicates the DD name used to OPEN the file.
DSNAME	Indicates the IAM data set name.
DATE OPENED	The date that the data set was opened.
TIME OPENED	The time of the OPEN.
DATE CLOSED	Indicates the date that the data set was closed.
TIME CLOSED	Indicates the time of the CLOSE.

Figure 20: IAM370 Job Characteristics Field Descriptions

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41.04 CONTINUED

Example A: Requesting a Specific Job and Data Set

In this first example of running IAMINFO from IAMSMF, we are after a specific IAMINFO report. The JOBNAME indicates the name of the job, the DSNAME indicates the name of the data set, and the FROMDATE and TODATE indicate the date that the job was run. MERGE=NO is also specified, so the IAMINFO reports come out for each close, rather than being merged by job step.

```
//IAMSMF       EXEC     PGM=IAMSMF,REGION=1M
//STEPLIB      DD       DISP=SHR,DSN=iam.load.lib
//SYSPRINT     DD       SYSOUT=*
//SYSMF        DD       DISP=SHR,DSN=smf.data.set
//SYSIN        DD       *
       IAMINFO DSNAME=IAMV.KSD270.CLUSTER,JOBNAME=KSD270,
       MERGE=NO,FROMDATE=1997323,TODATE=1997323
/*
```

Figure 21: Example A of IAMSMF IAMINFO Command

Example B: IAMINFO Reports for all Data Sets for a Particular Job

This next example is requesting all of the IAMINFO reports for jobs beginning with the specified GROUPNAME, that ran on the specified date.

```
//IAMSMF       EXEC     PGM=IAMSMF,REGION=1M
//STEPLIB      DD       DISP=SHR,DSN=iam.load.lib
//SYSPRINT     DD       SYSOUT=*
//SYSMF        DD       DISP=SHR,DSN=smf.data.set
//SYSIN        DD       *
       IAMINFO GROUPNAME=KSD2,MERGE=NO,
       FROMDATE=1997323,TODATE=1997323
/*
```

Figure 22: Example B of an IAMSMF IAMINFO Command

Example C: Using Selective Comparisons

In this next example, two IAMINFO commands are being issued. To reduce processing time, the IAM SMF records are first copied to a temporary data set, which is then used for input to the IAMINFO commands. The first IAMINFO is requesting all files opened under the various CICS regions that could have used more buffers to be printed. As part of the screening, we are only concerned about those files that have relatively heavy I/O, so EXCP>10000 are included. From this, a determination can be made if the maximum buffer number really should be raised.

The second IAMINFO command will find jobs that have done a large quantity of inserts. This is done by specifying TYPECMD=A, which means ADD requests, and NUMCMDS>10000, which indicates more than 10000 adds.

```
//IAMSMF       EXEC     PGM=IAMSMF,REGION=1M
//STEPLIB      DD       DISP=SHR,DSN=iam.load.lib
//SYSPRINT     DD       SYSOUT=*
//SYSMF        DD       DISP=SHR,DSN=smf.data.set
//SYSUT2       DD       UNIT=SYSDA,SPACE=(CYL,(10,5))
//SYSIN        DD       *
       COPY IAMRECORDS
       IAMINFO  GROUPNAMES=CICS,ATTR=MOREBUFFER,EXCP>10000,
                        FROMDDNAME=SYSUT2
       IAMINFO  NUMCMDS>10000,TYPECMD=A,FROMDD=SYSUT2
/*
```

Figure 23: Example C of IAMSMF IAMINFO Command

41.05 IAMSMF - PRINT COMMAND

PRINT Command

The PRINT command is used to print selected SMF records from either a history file (RECFM=VBS) or an active SMF data recording file. The primary use of the PRINT command is typically to diagnose unexpected results from the IAMSMF or IAMSMFVS analysis programs. The PRINT command is useful for verifying that particular types of SMF records are actually being collected, and also to manually verify the format of the record.

```
PRINT
    [ALLRECORDS]                    [,MAXPRINT= nnn]
    [,CHECKLENGTH]                  [,MAXRECLENGTH= nnnn ]
    [,ERRORPRINT]                   [,PRTLENGTH= nnn ]
    [,FROMDATE= yyyyddd ]           [,RECSIZE(rrr)= nnnnn ]
    [,FROMDDNAME= ddname]           [,RECTYPE= nnn ]
    [,GROUPNAMES= jobname]          [,TODATE= yyyyddd ]
    [,JOBNAMES= jobnames ]
```

Figure 24: IAMSMF Print Command Operands

Print Command Operands

Operand	Description
ALLRECORDS	Specifies that the SMF record type does not participate in record selection. The default is deferred to the operand RECTYPE. NOTE: This operand conflicts with the operand RECTYPE.
CHECKLENGTH	Specifies that SMF data records are to be validated against a table of minimum record lengths. If the user has modified the minimum record length or is executing in a non-compatible system, the correct record lengths may be specified by the RECSIZE operand. By default, the length of an SMF record is not validated.
ERRORPRINT	Specifies that any SMF data record that causes an error during processing or fails length verification is to be printed. The default is records in error are not printed.
FROMDATE=	Specifies the lower date limit of the SMF records that are to be printed. The default is that there is no lower limit on the dates of the SMF records to eligible to be printed.
FROMDDNAME=	Specifies the DDNAME of the SMF file to be used as input to IAMSMF. The default input DDNAME is SYSMF.
GROUPNAMES=	Specifies that only the records having a jobname which begin with the specified character string(s) will be printed. This operand specifies a partial jobname from 1 to 8 characters in length. Up to 50 job groups and/or names may be specified for a single command if entered as follows: **GROUPNAMES**=(jobname1,...,jobnamex) The default, if neither the GROUPNAMES nor JOBNAMES operand is specified, is that the jobname will not participate in SMF record selection.

CONTINUED . . .

41.05 CONTINUED

Operand	Description
JOBNAMES=	Specifies that only the records having a jobname which match the jobname(s) specified will be printed. This operand specifies a complete jobname from 1 to 8 characters in length. Up to 50 jobnames may be specified in a single command if entered as follows: **JOBNAMES**=(jobname1,...,jobnamex) The default, if neither the GROUPNAMES nor JOBNAMES operand is specified, is that the jobname will not participate in SMF record selection.
MAXPRINT=	Specifies the maximum number of records the program will print. The number may be any value from 1 to 65536, inclusive. The default is 20 records.
MAXRECLENGTH=	Specifies the largest SMF record that the program will process. The number may be any value from 16384 to 65536, inclusive. The default is 16384 bytes.
PRTLENGTH=	Limits the amount of data to be printed if ERRORPRINT is indicated. The number may be any value from 32 to 65536, inclusive. The default is 32768 bytes.
RECSIZE(rrr)=	Establishes the minimum length of the SMF record type 'rrr' as the value 'nnnn'. The default minimum record lengths for system generated SMF records are documented in the IBM Systems Management Facilities manual.
RECTYPE=	Identifies the specific record type(s) to be copied. Up to 50 record types may be specified for a single command if entered as follows: RECTYPE=(rrr,...,rrr) The SMF record types which will be copied by default are as follows: **4** - Step termination **5** - Job termination **14** - NON-VSAM Dataset CLOSEd (input) **15** - NON-VSAM Dataset CLOSEd (output/update) **20** - Job initiation **30** - Common Address Space Work Record **34** - TSO session termination **64** - VSAM Dataset CLOSEd NOTE: This operand can NOT be specified if ALLRECORDS has been specified.
TODATE=	Specifies the upper date limit of the SMF records that are to be printed. The default, is that the date of the SMF record will not participate in the selection criteria.

CONTINUED . . .

41.05 CONTINUED

Print Example

An example of running the IAMSMF PRINT command is shown below, followed by sample output. In this example, to make sure that SMF Type 64 records (VSAM Close) are being produced, the PRINT command specifies RECTYPE=64, and this request is being limited to jobs beginning with a U, as specified by the GROUPNAME=U.

```
//IAMSMF      EXEC     PGM=IAMSMF,REGION=1M
//STEPLIB     DD       DISP=SHR,DSN=iam.load.lib
//SYSPRINT    DD       SYSOUT=*
//SYSMF       DD       DISP=SHR,DSN=smf.data.set
//SYSIN       DD       *
        PRINT  RECTYPE=(64),GROUPNAME=U
/*
```

Figure 25: Example IAMSMF PRINT Command JCL

```
IAM400   SMF REPORT/DATA EXTRACT PROGRAM-IAMSMF VER 6.4/01P INNOVATION DATA PROCESSING DATE-1997.324
IAM303   CARD IMAGE - *   PRINT RECTYPE=(64),GROUPNAME=U                                          *
IAM491   RECORD PRINT     FUNCTION STARTED - 16.27.23
RECORD TYPE...64  LENGTH....446  SYSID..OS10  DATE...1997.322  TIME...15.19.02
000000    01BE0000  1E400054  23DF0097  322FD6E2  F1F0E4E2  E3C5E2E3  4040005C  E6870097  *..... .......OS10USTEST  ..W ..............*
000020    321F4040  40404040  40408480  C3C1E3C1  D3D6C74B  E3C9C3C6  E4E2C5D9  40404040  *..       ..CATALOG.TICFUSER............*
000040    40404040  40404040  40404040  40404040  40404040  40404040  E4E2E3C5  E2E34BE4  *                              USTEST.U    *
000060    D7E2E3D9  C5C1D44B  C6C9D3C5  C4C1E3C1  40404040  40404040  40404040  40404040  *PSTREAM.FILEDATA                         *
000080    40404040  0000000A  5000001A  00210000  002A000E  E4E2E3E6  D2F10190  00003030  *   ................USTWK1 ........................*
0000A0    200E0000  00000000  01180000  00000000  00010000  001F0000  00030000  00000000  *..........................................................*
0000C0    00000000  00030066  C8000000  00000000  00000000  00160000  00000000  00000000  *........H .................................................*
0000E0    00000000  00000000  00000000  00000000  00000000  00000000  00000000  00000000  *..........................................................*
000100    00000000  58000000  58000000  18150015  E4E2E3C6  C9D3C5C3  040F0000  00000008  *................USTFILEC.............................*
000120    0006E4E2  E3C5E2E3  4BE4D7E2  E3D9C5C1  D44BC6C9  D3C5C4C1  E3C14040  40404040  *..USTEST.UPSTREAM.FILEDATA......*
000140    40404040  40404040  40404040  40400004  96000400  00000000  00000000  00000000  *                                          *
000160    00000000  00000000  00000000  00000000  00000000  00000000  00000000  00000000  *..........................................................*
LINE 000180 SAME AS ABOVE
0001A0    00000000  00000000  00000000  00000000  00000000  00000000  00000000  0000      *..........................................................*
IAM601   SMF RECORDS --   READ......8319    USED.........1     DROPPED.........0
IAM492   RECORD PRINT     FUNCTION ENDED   - 16.27.27 - CONDITION CODE 000
IAM499   IAMSMF(6.4/01P ) PROCESSING COMPLETED
```

Figure 26: Sample of PRINT Command Output

41.06 IAMSMF - QUERY COMMAND

QUERY Command

The QUERY command is used to report on data set activity as requested by data set name or data set group name from a history file (RECFM=VBS), an active SMF data recording file, or from a sequential file produced by the COPY command of the program itself. This command is quite useful for tracking down the jobs and/of TSO users that have been using a data set, and also the jobs that defined and deleted the data set.

QUERY	
[CHECKLENGTH]	**[,JOBNAMES**=jobname**]**
[,DSGROUPS=dsname**]**	**[,MAXCORE**=nnnn**]**
[,DSNAMES=dsname**]**	**[,MAXRECLENGTH**=nnnnn**]**
[,ERRORPRINT]	**[,MAXSTACK**=nnnnn**]**
[,FROMDATE=yyyyddd**]**	**[,PRTLENGTH**=nnnnn**]**
[,FROMDDNAME=ddname**]**	**[,RECSIZE(rrr)**=nnnnn**]**
[,GROUPNAMES=jobnames**]**	**[,TODATE**=yyyyddd**]**

Figure 27: IAMSMF QUERY Command Operands

QUERY Command Operands

Operand	Description
CHECKLENGTH	Specifies that SMF data records are to be validated against a table of minimum record lengths. If the user has modified the minimum record length or is executing in a non-compatible system, the correct record lengths may be specified by the RECSIZE operand. The default is that the length of an SMF record is not validated.
DSGROUPS=	Specifies that only records having a data set name which begin with the given character string(s) will be processed. This operand specifies a partial dataset name from 1 to 44 characters in length. Up to 50 data set groups may be specified for a single command if entered as follows: **DSGROUPS**=(dsname1,...,dsnamex) NOTE: If neither the DSGROUPS nor DSNAMES operand is specified, the QUERY command will be marked in error.
DSNAMES=	Specifies that only records having a data set name which match the dataset name(s) specified will be processed. This operand specifies a complete data set name from 1 to 44 characters in length. Up to 50 data set names may be specified for a single command if entered as follows: **DSNAMES**=(dsname1,...,dsnamex) NOTE: If neither the DSGROUPS nor DSNAMES operand is specified, the QUERY command will be marked in error.
ERRORPRINT	Specifies that any SMF data record that causes an error during processing or fails length verification is to be printed. By default, records in error are not printed.

CONTINUED . . .

41.06 CONTINUED

Operand	Description
FROMDATE=	Specifies the lower date limit of the SMF records that are to be selected, in the format of yyyyddd or yyddd. The shorter format assumes a prefix of 19. The default, if the FROMDATE and/or TODATE operands are not specified, is that the date of the SMF record will not participate in the selection criteria.
FROMDDNAME=	Specifies the DDNAME of the SMF file to be used as input to IAMSMF. The default input DDNAME is SYSMF.
GROUPNAMES=	Specifies that only records having a jobname which begin with the given character string(s) will be processed. This operand specifies a partial jobname from 1 to 8 characters in length. Up to 50 job groups and/or names may be specified for a single command if entered as follows: **GROUPNAMES**=(jobname1,...,jobnamex) The default, if neither the GROUPNAMES nor JOBNAMES operand is specified, is that the jobname will not participate in SMF record selection.
JOBNAMES=	Specifies that only records having a jobname which match the jobname(s) specified will be processed. This operand specifies a complete jobname from 1 to 8 characters in length. Up to 50 jobnames may be specified for a single command if entered as follows: **JOBNAMES**=(jobname1,...,jobnamex) The default, if neither the GROUPNAMES nor JOBNAMES operand is specified, is that the jobname will not participate in SMF record selection.
MAXCORE=	Specifies the maximum number of bytes of working storage available for various commands. The number may be any value from 1000 to 120000, inclusive. The default is 2400 bytes.
MAXRECLENGTH=	Specifies the largest SMF record that the program will process. The number may be any value from 16384 to 65536, inclusive. The default is 16384 bytes.
MAXSTACK=	Specifies the maximum number of compressed SMF records that can be retained in storage. The number can be any value from 100 to 50000, inclusive. The default is 2500 records.
PRTLENGTH=	Limits to the number of bytes specified to the amount of data to be printed if ERRORPRINT is indicated. The number may be any value from 32 to 65536, inclusive. The default is 32768 bytes.
RECSIZE(rrr)=	Specifies the minimum length of the SMF records type 'rrr' is to be set to the value 'nnnn'. The default minimum record lengths for system generated SMF records are documented in IBM Systems Management Facilities manual.

CONTINUED . . .

41.06 CONTINUED

Operand	Description
TODATE=	Specifies the upper date limit of the SMF records that are to be selected, in the format yyyyddd or yyddd. If the shorter form is used, the value is prefixed with a 19. The default, if the FROMDATE and/or TODATE operands are not specified, is that the date of the SMF record will not participate in the selection criteria.

Query Example

The example below provides sample JCL and control card input to find all of the activity against a group of data sets. Each SMF record encountered for a data set in the specified group will be printed. This enables you to determine all of the jobs that actively used the data set(s), based on the SMF data.

```
//IAMSMF        EXEC      PGM=IAMSMF,REGION=1M
//STEPLIB       DD        DISP=SHR,DSN=iam.load.lib
//SYSPRINT      DD        SYSOUT=*
//SYSMF         DD        DISP=SHR,DSN=smf.data.set
//SYSIN         DD        *
        QUERY             DSG=IAMV
/*
```

Figure 28: Sample JCL for IAMSMF QUERY Command

Sample QUERY Output

```
IAM400 SMF REPORT/DATA EXTRACT PROGRAM-IAMSMF VER 6.4/01P INNOVATION DATA PROCESSING DATE 1997.324
IAM303 CARD IMAGE - *   QUERY DSG=IAMV                                    00120036*
IAM491 DATA SET QUERY   FUNCTION STARTED - 09.44.32
DSN---IAMV.KSD270.CLUSTER                    DATE-------1997.323   TIME-----15.05.08
    JOB------KSD270     DDNAME--**ABSENT     DSORG----------AM   USE--------DEFINE
DSN---IAMV.KSD270.CLUSTER                    DATE-------1997.323   TIME-----15.05.08
   JOB------KSD270     DDNAME--SYS00001      DSORG----------PS   USE--------OUTPUT
   EXCP COUNTS----   DISK----------------------2
DSN---IAMV.KSD270.CLUSTER                    DATE-------1997.323   TIME-----15.05.11
   JOB------KSD270     DDNAME--VSAMCRT1      DSORG----------PS   USE--------OUTPUT
   EXCP COUNTS----   DISK----------------------1
DSN---IAMV.KSD270.CLUSTER                    DATE-------1997.323   TIME-----15.05.13
   JOB------KSD270     DDNAME--VSAMCRT1      DSORG----------DA   USE--------OUTPUT
   EXCP COUNTS----   DISK---------------------43
DSN---IAMV.KSD270.CLUSTER                    DATE-------1997.323   TIME-----15.05.22
   JOB------KSD270     DDNAME--**ABSENT   DSORG----------**   USE-------SCRATCH
IAM601   SMF RECORDS -- READ.....61228  USED.....51390  DROPPED.........0
IAM492   DATA SET QUERY   FUNCTION ENDED   - 09.44.55 - CONDITION CODE 000
IAM499   IAMSMF(6.4/01P ) PROCESSING COMPLETED
```

CONTINUED . . .

41.06 CONTINUED

Example of Selected Function QUERY

The QUERY command can also be used to find just selected functions for a data set, by combining it with the COPY command. To find all the jobs that are defining the data sets that we want to convert, first copy just the VSAM DEFINE SMF records. Then run the QUERY command against the data in the SYSUT2 data set. This results in a report consisting of only the jobs that defined the data set(s).

```
//IAMSMF      EXEC     PGM=IAMSMF,REGION=1M
//STEPLIB     DD       DISP=SHR,DSN=iam.load.lib
//SYSPRINT    DD       SYSOUT=*
//SYSMF       DD       DISP=SHR,DSN=smf.data.set
//SYSUT2      DD       UNIT=SYSDA,SPACE=(CYL,(10,5))
//SYSIN       DD       *
       COPY       RECTYPE=(61,63)
       QUERY      DSG=IAMV,FROMDD=SYSUT2
/*
```

Figure 29: Sample JCL to Find Jobs Defining VSAM Clusters

Sample Query Output

```
IAM400 SMF REPORT/DATA EXTRACT PROGRAM-IAMSMF VER 6.4/01P INNOVATION DATA PROCESSING DATE-1997.324
IAM303   CARD IMAGE - *   COPY  RECTYPE=(61,63)                                    *
IAM491   RECORD COPY      FUNCTION STARTED - 10.31.30
IAM601   SMF RECORDS -- READ.....63660  USED......3415  DROPPED.........0
IAM492   RECORD COPY      FUNCTION ENDED   - 10.31.52 - CONDITION CODE 000
IAM303   CARD IMAGE - *   QUERY DSG=IAMV,FROMDD=SYSUT2                          *
IAM491   DATA SET QUERY   FUNCTION STARTED - 10.31.52
DSN---IAMV.KSD270.CLUSTER                        DATE-------1997.323   TIME-----15.05.08
  JOB------KSD270     DDNAME--**ABSENT           DSORG----------AM   USE--------DEFINE
DSN---IAMV.KSD271.CLUSTER                        DATE-------1997.323   TIME-----15.05.18
  JOB------KSD271     DDNAME--**ABSENT           DSORG----------AM   USE--------DEFINE
DSN---IAMV.KSD272.CLUSTER                        DATE-------1997.323   TIME-----15.05.33
  JOB------KSD272     DDNAME--**ABSENT           DSORG----------AM   USE--------DEFINE
DSN---IAMV.KSD280.CLUSTER                        DATE-------1997.323   TIME-----15.05.41
  JOB------KSD280     DDNAME--**ABSENT           DSORG----------AM   USE--------DEFINE
DSN---IAMV.KSD281.CLUSTER                        DATE-------1997.323   TIME-----15.05.53
  JOB------KSD281     DDNAME--**ABSENT           DSORG----------AM   USE--------DEFINE
IAM601   SMF RECORDS -- READ.....3415  USED......3415  DROPPED.........0
IAM492   DATA SET QUERY   FUNCTION ENDED   - 10.31.53 - CONDITION CODE 000
IAM499   IAMSMF(6.4/01P ) PROCESSING COMPLETED
```

Figure 30: Sample IAMSMF QUERY Report Output

41.07 IAMSMF - REPORT COMMAND

REPORT Command

The REPORT command is used to print job step and/or TSO session related statistics, with optional data set usage information. The reports can be used to obtain some basic performance information about selected jobs, including elapsed time, CPU time, and EXCP counts. This may be useful for comparing job performance between running with VSAM files versus running with IAM files. The reports can be requested by job or job group name from a history file (RECFM=VBS), an active SMF recording file, or from a sequential file produced by the COPY command of the program itself.

REPORT	
[ALLDSNAMES]	**[,MAXRECLENGTH=** nnnn **]**
[,CHECKLENGTH]	**[,MAXSTACK=** nnnn**]**
[,ERRORPRINT]	**[,NODSNAMES]**
[,FROMDATE= yyyyddd**]**	**[,PRTLENGTH=** nnnn**]**
[,FROMDDNAME= ddname **]**	**[,RECSIZE(rrr)=** nnnn **]**
[,GROUPNAME=jobname **]**	**[,TEMPDSNAMES]**
[,JOBNAMES= jobname **]**	**[,TODATE=** yyyyddd **]**

Figure 31: IAMSMF REPORT Command Operands

REPORT Command Operands

Operand	Description
ALLDSNAMES	Specifies that the IAMSMF report will show all the occurrences of any referenced data set name. The EXCP count displayed will reflect each OPEN/CLOSE. The default is that data set usage counts (EXCPs) are accumulated for each permanent data set, and the data set is only shown once. Temporary data sets are ignored. NOTE: This operand conflicts with the operand NODSNAMES.
CHECKLENGTH	Specifies that SMF data records are to be validated against a table of minimum record lengths. If the user has modified the minimum record length or is executing in a non-compatible system, the correct record lengths may be specified by the RECSIZE operand. The default is the length of an SMF record is not validated.
ERRORPRINT	Specifies that any SMF data record that causes an error during processing or fails length verification is to be printed. The default is records in error are not printed.
FROMDATE=	Specifies the lower date limit of the SMF records that are to be selected, in the form yyyyddd or yyyddd. The shorter form is prefixed with a 19. The default if the FROMDATE and/or TODATE operands are not specified, is that the date of the SMF record will not participate in the selection criteria.
FROMDDNAME=	Specifies the DDNAME of the SMF file to be used as input to IAMSMF. The default input DDNAME is SYSMF.

CONTINUED . . .

41.07 CONTINUED

Operand	Description
GROUPNAMES=	Specifies that only those records having a jobname which begin with the specified character string(s) will be processed. This operand specifies a partial jobname from 1 to 8 characters in length. Up to 50 job groups and/or names may be specified for a single command if entered as follows: **GROUPNAMES**=(jobname1,...,jobnamex) The default, if neither the GROUPNAMES nor JOBNAMES operand is specified, is that the jobname will not participate in SMF record selection.
JOBNAMES=	Specifies that only those records having a jobname which match the jobname(s) specified will be processed. This operand specifies a complete jobname from 1 to 8 characters in length. Up to 50 job names may be specified for a single command if entered as follows: **JOBNAMES**=(jobname1,...,jobnamex) The default, if neither the GROUPNAMES nor JOBNAMES operand is specified, is that the jobname will not participate in SMF record selection.
MAXRECLENGTH =	Specifies the largest SMF record that the program will process. The number may be any value from 16384 to 65536, inclusive. The default is 16384 bytes.
MAXSTACK=	Specifies the maximum number of compressed SMF records that can be retained in storage. The number can be any value from 100 to 50000, inclusive. The default is 2500 records.
NODSNAMES	Specifies that the report will not show data set oriented information. By default, data set usage information is displayed only once for each permanent data set with accumulated statistics for multiple OPEN/CLOSE. Temporary data sets are ignored. NOTE: This operand conflicts with the operands ALLDSNAMES and TEMPDSNAMES.
PRTLENGTH=	Limits to the specified amount of data to be printed if ERRORPRINT is indicated. The number may be any value from 32 to 65536, inclusive. The default is 32768 bytes.
RECSIZE(rrr)=	Specifies the minimum length of the specified SMF record type is to be set to the value specified. The default minimum record lengths for system generated SMF records are documented in the IBM Systems Management Facilities manual.
TEMPDSNAMES	Specifies that reports produced will contain information on temporary as well as permanent data sets. By default, temporary data sets are ignored. NOTE: This operand conflicts with the operand NODSNAMES.

CONTINUED . . .

41.07 CONTINUED

Operand	Description
TODATE=	Specifies the upper date limit of the SMF records that are to be selected, in the form yyyyddd or yyddd. The shorter form is prefixed with a 19. The default, is that there is no upper date limit on the SMF records to be processed.

Example of the IAMSMF REPORT Command

The following JCL example demonstrates how to run the IAMSMF REPORT command. For this request, the GROUP keyword is specified with a value of KSD. This will cause IAMSMF to report on all jobs that have a job name beginning with KSD that are contained within the SMF data provided.

```
//IAMSMF      EXEC     PGM=IAMSMF,REGION=1M
//STEPLIB     DD       DISP=SHR,DSN=iam.load.lib
//SYSPRINT    DD       SYSOUT=*
//SYSMF       DD       DISP=SHR,DSN=smf.data.set
//SYSIN       DD       *
       REPORT          GROUP=KSD
/*
```

Figure 32: Example of JCL to run IAMSMF REPORT Command

Sample IAMSMF REPORT Output

Shown below is a sample of what the REPORT output looks like, having been run with the above sample control card and JCL. Explanations of the data fields that appear on this report are described after the report example.

```
IAM400 SMF REPORT/DATA EXTRACT PROGRAM-IAMSMF VER 6.4/01P INNOVATION DATA PROCESSING DATE-1997.324
IAM303   CARD IMAGE - *   REPORT GROUP=KSD                    00120036*
IAM491   SMF REPORT      FUNCTION STARTED - 11.07.54
JOB NAME.....KSD270   DATE.....1997.323
  STEP   NAME..SUBSTEP1   PGM..IEFBR14   REGION REQ..960 REGION USE...504 COMP CODE..C-0000 PERFORM...1
         START.15.05.00   STOP.15.05.03  WALL.00.00.02.79  CPU.00.00.00.02 SRB.00.00.00.00
                                         TAT..00.00.00.11  TNA.00.00.02.68 TRT.00.00.00.11
         DD..JOBLIB       DSNAME...IAMX.MODTEST       PO USE..INPUT    DISK..0
         SUMMARY OF I/O   ACTIVITY.PAGE  IN...0 PAGE OUT...0 TAPE........0 DISK.....0
                                   SWAP  IN...0 SWAP OUT...0   SWAP COUNT..0 SRVU.....259
  STEP   NAME..KSD270B PGM..IAMTVSAM REGION REQ..960 REGION USE....844 COMP CODE..C-0000 PERFORM...1
         START..15.05.09  STOP.15.05.15  WALL.00.00.06.09 CPU.00.00.00.57 SRB.00.00.00.04
                                         TAT..00.00.04.48       TNA.00.00.01.61 TRT.00.00.04.48
         DD..VSAMCRT1     DSNAME...IAMV.KSD270.CLUSTER              DA USE..OUTPUT   DISK..82
              JOBLIB      IAMX.MODTEST                                PO     INPUT    DISK..58
  SUMMARY OF I/O ACTIVITY.PAGE IN...0 PAGE OUT...0 TAPE........0 DISK....140
                              SWAP IN...0 SWAP OUT...0 SWAP COUNT..0 SRVU....12716
```

Figure 33: Sample of an IAMSMF REPORT Command Output

CONTINUED . . .

41.07 CONTINUED

IAMSMF REPORT Field Descriptions

Field Name	Description
Job Name	Provides the name of the JOB being reported on.
Date	The date on which the job ran.Step NameFurther detail is broken down by job step. For each job step, this specifies the name of that step.
PGM	Indicates the name of the program being executed, from the EXEC PGM= card.
Region REQ	Shows the amount of region below the line requested by this job step.
Region Use	Shows the actual amount of virtual storage used by this job step.
Comp Code	Indicates the completion code for the job step.
Perform	Indicates the performance group that the job step ran in.
Start	Starting time of the job step.
Stop	Ending time of the job step.
Wall	Elapsed time of the job step.
CPU	Total TCB time used by the job step.
SRB	Total SRB time used by the job step.
TAT	Transaction Active Time from the SMF record. This is defined as the time that the transaction was swapped in plus the time that the transaction was swapped out but ready to run.
TNA	Transaction Not Active Time, which is calculated by subtracting the Transaction Active Time from the total elapsed time of the job step.
TRT	The Transaction Residence Time from the SMF record. This is defined as being the time that the transaction was swapped in.
DD	Specifies the DD NAME detail. A line is included for each permanent DISK or TAPE data set.
DSNAME	The name of the data set referenced on the indicated DD card.
DSORG	The data set organization.
USE	How the data set was used, e.g. as INPUT or OUTPUT.
DISK or TAPE	Indicates whether the data set was on a DISK or TAPE device, followed by the EXCP count.
Page In	The number of pages of virtual storage that were transferred from the paging data set into real storage.
Page Out	The number of pages of virtual storage that were transferred from real storage to a paging data set.
Tape	The total number of TAPE EXCP's.
Disk	The total number of DISK EXCP's.
Swap In	The total number of pages swapped in.

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Field Name	Description
Swap Out	The total number of pages swapped out.
Swap Count	The number of times that the job step was swapped out.
SRVU	The number of Service Units used by the job step.

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42.01 IAMSIMVS - IAM'S SPACE SAVINGS ANALYSIS PROGRAM

Overview

VSAM data sets usually account for a large portion of the DASD space allocated within any shop. IAM's advanced file structure takes 20% to 40% less disk space than an equivalent VSAM data set. Using IAM's proprietary compression techniques an additional 20% to 50% savings can be realized. IAMSIMVS projects the DASD space savings and resultant cost reductions a VSAM to IAM conversion can provide for your installation. IAMSIMVS's reports give estimated savings for individual data sets and then summarize these savings by device type for the installation as a whole. IAMSIMVS can also be used to help plan a conversion to IAM by identifying data sets whose conversion would result in the greatest savings.

Functional Summary

IAMSIMVS selectively reads the records contained in a VSAM cluster and simulates the conversion of that cluster to an IAM data set. The simulation results in two sets of figures. One showing the results if the file were loaded in IAM's standard (uncompressed) format and the other the results if the file were loaded in IAM's compressed file format. The simulated IAM file is assumed to have been defined using the same IDCAMS parameters (SPACE, RECORDSIZE, FREESPACE, etc.) that would have established the VSAM cluster being analyzed.

Data Compression

IAM's Data Compression technique result in a 20% to 50% reduction in the size of the individual records contained in a file. Compression however, is not accomplished at the expense of increasing the CPU time it would have taken to process the file with VSAM normally. File processing with IAM's Data Compression takes considerably less CPU time than other vendor's VSAM compression packages. In fact, IAM's CPU time is so much less than VSAM's, IAM with data compression typically takes less CPU time than normal VSAM processing without data compression. Compare this to the fact that other data compression packages must add their CPU time to compress and decompress on top of VSAM's processing time. IAM can automatically compress data records based on a qualifying default file size, or individually on request. IAM attempts to compress the data following the key in each record. The record must contain at least 10 bytes of data following the key to qualify for compression. If a compressed record is larger than the original, IAM leaves the record uncompressed.

Data Sampling

The most accurate way to simulate a conversion is of course to read and process every record in a file. Reading every record in a large file however can be relatively time consuming. IAMSIMVS attempts to achieve a balance between exacting accuracy and reasonable run time by using only a sample of the records in a VSAM cluster. IAMSIMVS by default, limits its selection of the control areas it will read records from to a percentage of the control areas in the cluster. This technique limits the records actually read to a relatively small percentage of the total records the file actually contains. IAMSIMVS in this way is able to obtain both a good distribution in its sample of records and maintain an optimum level of performance. The sample rate IAMSIMVS will use is based upon the allocated size of the VSAM cluster whose conversion is to be simulated and may be anywhere from 10% to 100% of the records in the VSAM cluster, as shown in the following table.

Cylinders	Sampling Rate
1 - 9	100%
10 - 49	50%
50 - 99	20%
100-nnnn	10%

In some unique circumstances, sampling may not be appropriate. For example, if a file was created with 100,000 records and all but the last 5,000 have since been deleted, sampling 10% of the file's Control Areas may not give the most accurate picture of the data that this file usually contains (i.e., most control areas are presently empty).

Automatic Release

IAMSIMVS will show you exactly how much of their allocated space your VSAM clusters are using for the data they contain. The remaining space, usually the result of over allocation, is wasted. IAM

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releases unused space when a file is initially loaded, automatically when the file is defined with secondary allocation.

Size Estimates

Care has been taken to give you the most accurate estimate possible. However, IAMSIMVS estimates on the size of a converted IAM file are still only estimates and may even vary by as much as + or - five percent from a true IAM file for the following reasons:

- The VSAM catalog record contains the results of a DEFINE not the exact parameters used for the DEFINE.
- The VSAM cluster may contain records which vary greatly in size.

IAMSIMVS size estimates could be off by more than five percent from a true IAM file for the following reasons:

- The VSAM file varies greatly in size from day to day. When the file is converted to IAM it may contain a different number of records.
- The VSAM file contains a large number of deleted records when compared to the number of records initially loaded or inserted. Since these records are no longer on the file, IAM cannot determine their average record sizes. In addition, the maximum number of records the file may have contained cannot be determined.
- The VSAM file uses a small number of tracks as compared to the allocated space. For example, if the VSAM file was allocated with 1,000 tracks but is using only 20 tracks. IAM does not know if the over allocation was accidental or in anticipation of file growth.

Report Formats

IAMSIMVS's Data Set Report displays for each cluster reported on:

- The number of tracks allocated for the VSAM cluster.
- The number of tracks actually used by the VSAM cluster.
- An estimated number of tracks an equivalent IAM file will occupy.
- An estimated number of tracks a compressed IAM file will occupy.
- An estimated percent of the IAM savings over the VSAM used space. (or optionally the allocated space).
- The average and largest record size encountered sampling the file.
- Various VSAM file attributes (total records, key length, etc.)

IAMSIMVS also produces a Summary Report by DASD device type on:

- The total number of data sets processed.
- The total number of VSAM tracks allocated.
- The total number of VSAM tracks in use.
- VSAM's percent of tracks used as compared to allocated.
- The total savings, in tracks, a conversion to IAM can return.
- The total savings, in tracks, IAM's Data Compression can return.
- The dollar value, of the savings which can be realized by converting to IAM (with and without Data Compression).

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Additional Considerations

IAMSIMVS must open and read records from the specified VSAM clusters. Under a security package (RACF, Top Secret, ACF2, etc.) you must have proper access authorization to select a cluster for conversion simulation. If the IAMSIMVS job does not have authorization to read the specified VSAM clusters it will fail. IAMSIMVS does not need or use IAM's VSAM Interface (VIF).

Command Summary

IAMSIMVS has the following commands:

- **REPORT** - Set processing defaults
- **SELECT** - Identify those data sets which are to be used for simulation.

42.02 IAMSIMVS - JCL Requirements

The JCL statements required to execute IAMSIMVS are as follows:

EXEC Statement

Specifies the name of the IAM simulation program - IAMSIMVS. For optimum VSAM performance, the region size for IAMSIMVS should be at least 8192K. For example:

```
//SIMVS        EXEC      PGM=IAMSIMVS,REGION=8192K
```

DD Statements

DD Name	Description
STEPLIB or JOBLIB	Indicates the library containing the IAM load modules. This statement is optional if the IAM library is in the link list, as recommended.
SYSUDUMP	Specifies the ABEND data set used if major errors are detected. Usually a SYSOUT dataset.
SYSPRINT	Specifies where the IAMSIMVS control statements, messages, and reports are to be printed. Usually a SYSOUT data set.
SYSIN	Specifies the input control statement data set. Usually a DD * data set.

NOTE:The IAMSMFVS VSAM Usage Analysis program can optionally generate IAMSIMVS SELECT command statements corresponding to the VSAM cluster's named in it's Cluster Size Report. (See Section 40.02, IAMSMFVS JCL Requirements regarding the optional SYSPUNCH DD Statement). To have a Space Savings Report produced by IAMSIMVS using these generated SELECT statements simply assign the IAMSIMVS SYSIN DD statement to the data set that was written to by the IAMSMFVS SYSPUNCH DD Statement.

42.03 IAMSIMVS - REPORT COMMAND

REPORT Command

The IAMSIMVS REPORT command is used to override processing defaults.

```
REPORT
    [COSTPERMB= nn ]          [,MAXDSN= nnnn ]
    [,COST3380= nn ]          [,TYPE= cccccccc ]
    [,COST3390= nn ]          [,$SIGN= xxxx ]
    [,ESTIMATE= ccccc ]
```

Figure 34: IAMSIMVS Report Command Operands

Operands

The following operands may be specified on the REPORT command.

Operand	Description
COSTPERMB= COST3380=	Specifies the cost per megabyte for a 3380. In the Summary Report, IAMSIMVS will generate an estimate of the cost savings that could result if the selected VSAM clusters were converted to IAM. The default is $3 per megabyte. This value was derived from the average cost for all 3380 models available from both IBM and the third party market, weighted to reflect controller and operating costs.
COST3390=	Specifies the cost per megabyte for a 3390. In the Summary Report, IAMSIMVS will generate an estimate of the cost savings that could result if the selected VSAM clusters were converted to IAM. The default is $3 per megabyte. This value was derived from the average cost for all 3390 models available from both IBM and the third party market, weighted to reflect controller and operating costs.
ESTIMATE=	Specifies the base from which IAM is to determine its space savings estimates. Valid values are: • **ALLOC** - Savings estimates are to be based upon the number of tracks allocated for the VSAM cluster. IAM automatically releases any unused space within the allocation. • **USED** - Savings estimates are to be based only upon the number of tracks actually used by the data in the VSAM cluster. The default is USED.
MAXDSN=	Specifies the maximum number of data sets IAMSIMVS is prepared to simulate conversions of in one report. NOTE: If the MAXDSN value is exceeded, IAM will split the data sets among multiple reports. The default is 1000 data sets.
TYPE	Identifies the format of IAM file the simulated conversion is to be for. The following options are supported: • **IAM** - Simulate conversion to standard format • **COMPRESS** - Simulate conversion compressed format. • **BOTH** - Simulate conversion to both IAM standard format and IAM compressed formats. The default is BOTH.

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Operand	Description
$SIGN=	Specifies the unit of currency to be used when displaying the value of the disk space saved in IAMSIMVS's summary report. Specify 1 to 4 characters. If you change the currency sign, you should also adjust the cost per MB value to reflect the currency change. The default is '$'.

42.04 IAMSIMVS - SELECT COMMAND

SELECT Command

The IAMSIMVS SELECT command is used to identify those files for which a conversion simulation is to be performed. Additionally the SELECT command can be used to specify IAMSIMVS's sample rate and IAM file definition options. One or more SELECT statements must be specified.

```
SELECT
    DSNAMES= dsname                    [,OVERFLOW= nnnnnn]
    [,DSTYPE= KSDS ] ESDS ] ALL]       [,PE= nnnn]
    [,BLOCKSIZE= nnnnn]                [,SAMPLE= nnn]
    [,BUFND= nnn]                      [,VARIABLE]
    [,INTEGRATED= nn]
```

Figure 35: IAMSIMVS Select Command Operands

Operands

The following operands may be specified on the SELECT command.

Operand	Description
DSNAMES=	Identifies those data sets for which a conversion to IAM is to be simulated. Up to 50 data set names may be specified on each SELECT if entered as follows: **DSN**=(dsn,dsn,...,dsn) There is no default value for DSNAMES and it must be specified. NOTE: Although only 50 dataset names may be identified on each SELECT, all entries are tabled and will appear on the same report (up to the MAXDSN=value).
DSTYPE=	Specifies the type of VSAM files to be processed. **KSDS** - Process VSAM KSDS files only. **ESDS** - Process VSAM ESDS files only. **ALL** - Process KSDS and ESDS files. The default is ALL.
BUFND=	Sets the number of VSAM data buffers to be used when accessing the specified data set. To obtain optimum performance, a default value will be set to a number large enough for VSAM to read an entire control area at a time.
BLOCKSIZE=	Establishes the blocksize or blocking factor to be used when simulating conversion to IAM. By default, IAMSIMVS will base its calculations on the VSAM cluster Control Interval (CI) size. IAM rounds the CI size value up to develop a blocksize that will fit most efficiently on the track a multiple number of times. A minimum of quarter track blocking (i.e. four blocks on a track) is used.
INTEGRATED=	Establishes the amount of free space to be simulated in each block to accommodate record inserts. This keyword is comparable to the IDCAMS FREESPACE(CI% ...) option. The default is to use the VSAM Cluster's Control Interval Percentage Free value.

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Operand	Description
OVERFLOW=	Specifies the simulated number of blocks to be set aside for overflow records. The default overflow value is established by taking the VSAM Cluster's Control Area Percentage Free value (FREESPACE (... CA%)) and multiplying it by the estimated number of records in the cluster's primary allocation.
PE=	Establishes the simulated number of blocks IAM is to set aside for adds to the end of the file. The default is 3 blocks.
SAMPLE=	Specifies the percentage of records to be read from the VSAM cluster when simulating. Any value from 10 to 100 may be used. The default sampling rates are based upon the size of the VSAM cluster as follows:

Cylinders	Sampling Rate
1 - 9	100%
10 - 49	50%
50 - 99	20%
100 - nnnn	10%

VARIABLE Identifies the file as containing variable length records.

Under normal processing, a file is assumed to have variable length records if the average and maximum record lengths (RECORDSIZE) specified for the VSAM file are not equal.

If message IAM318 is presented during processing the file was assumed to contain fixed length records, but the record lengths were not all equal. Should this message appear, rerun the simulation specifying the VARIABLE keyword. The values established by IAMSIMVS (overflow, blocking, etc.) will be different for a file containing fixed length records and one containing variable length records.

NOTE: If you decide to convert this file to a Compatible Format IAM file, the average record length in the IDCAMS DEFINE RECORDSIZE parameter must be changed to a value which is one less than maximum record length. If this is not done the load of the IAM file will fail.

42.05 IAMSIMVS - Sample Reports

IAMSIMVS supplies the user with two basic reports;

- The Conversion Simulation Cluster/Data Set Report
- The Savings Summary Reports.

The Conversion/ Simulation Cluster/Data Set Report

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IAM VS. VSAM ALLOCATION SIMULATION

DATA SET NAME	VSAM ALLOC	TRKS USED	IAM STD	TRKS COMPR	% SAVINGS STD	% SAVINGS COMPR	TOTAL RECORDS	AVERAGE LARGEST	MAX LRECL	KEYLN RKP	CISIZ BLKSZ	FLAGS	CI% CA%	CISPL CASPL
SMALLER.VSAM.DATASET	451	346	270	90	22	74	40496	242	250	20	4096	2	10	0
SAMPLE=50%	250	0	4096	IMBED	10	0								
MID.SIZED.VSAM.KSDS.	1126	1111	780	510	30	54	46118	502	679	15	4096	10	0	
DATASET							SAMPLE=20%	670	1	4096	10	0		
LARGER.VSAM.DATASET	2964	2949	2220	915	25	69	157405	600	600	20	4096	0	0	
SAMPLE=10%	600	1	4096	IMBED	0	0								
VSAM.KSDS.DATASET.	466	176	90	60	49	66	1001	1090	2048	8	1024	4,5	20	0
WITH.SPANNED.RECS	2048	4	1024	IMBED	15	0								

FLAGS:

1) UNABLE TO ESTIMATE STANDARD IAM FILE ALLOCATION.

2) FILE WAS ASSUMED TO BE FIXED BUT WAS FOUND TO CONTAIN VARIABLE LENGTH RECORDS.

3) FILE INELIGIBLE FOR COMPRESSION BECAUSE THE LENGTH OF THE DATA PAST THE KEY WAS NOT MORE THAN 10 BYTES.

4) FILE HAS A HIGH DELETION RATE WHICH MAY RESULT IN THE IAM ESTIMATES BEING UNDERSTATED BY MORE THAN 5%.

5) FILE CONTAINS SPANNED RECORDS, IF SAMPLING WAS DONE, RECORDS WERE READ FROM THE BEGINNING OF THE FILE ONLY.

Figure 36: The Conversion Simulation Cluster/Data Set Report

The Savings Summary Report

IAM VS. VSAM ALLOCATION SUMMARY

DEVICE	TOTAL	VSAM TRACKS			IAM STANDARD TRACKS $				IAM COMPRESSED TRACKS $			
TYPE	DSNS	ALLOCATED	USED	%USED	ALLOCATED	SAVED	%SAVED	SAVED	ALLOCATED	SAVED	%SAVED	SAVED
3380	4	5,007	4,582	92	3,360	1647	36	$264	1,575	3,432	75	$549

NOTE: THE ESTIMATED SAVINGS ARE BASED UPON THE USED NUMBER OF VSAM TRACKS AT A RATE OF $4 PER MB.
THE ACTUAL TRACKS USED BY AN IAM FILE MAY VARY BY + OR - FIVE PERCENT FROM THE ESTIMATES SHOWN.

Figure 37: The Savings Summary Report

42.06 IAMSIMVS - EXAMPLES

Example A Simulate the conversion of a number of VSAM files to IAM. Use the default values for the simulation.

```
//SIMULATE     EXEC     PGM=IAMSIMVS
//STEPLIB      DD       DISP=SHR,DSN=iam.library <==USER CHANGE
//SYSPRINT     DD       SYSOUT=*
//SYSUDUMP     DD       SYSOUT=*
//SYSIN        DD       *
     SELECT DSN=(VSAM.KSDS.FILE1,VSAM.KSDS.FILE2,
     VSAM.KSDS.FILE3,VSAM.KSDS.FILEN)
     SELECT DSN=(PAYROLL.VSAM.FILE,CICS.VSAM.FILE)
     ...
     SELECT DSN=(MASTER.VSAM.FILE,TABLE.VSAM.FILE)
/*
```

Figure 38: Example A of running IAMSIMVS

EXAMPLE B Simulated the conversion of four large VSAM clusters. The default values will be used for the first two clusters. The third cluster if converted to IAM, will need a larger amount of overflow set aside for inserts. Use a sample rate of 100% instead of the default of 10% for the fourth file, which is known to have variable length records which vary greatly in size.

```
//SIMULATE     EXEC     PGM=IAMSIMVS
//STEPLIB      DD       DISP=SHR,DSN=iam.library <==USER CHANGE
//SYSPRINT     DD       SYSOUT=*
//SYSUDUMP     DD       SYSOUT=*
//SYSIN        DD       *
     SELECT DSN=(LARGE.VSAM.KSDS.FILE1,LARGE.VSAM.KSDS.FILE2)
     SELECT DSN=FILE.WITH.MANY.ADDITION,OVERFLOW=100000
     SELECT DSN=LARGE.FILE.WITH.STRANGE.DATA,SAMPLE=100
/*
```

Figure 39: Example B of running IAMSIMVS

Example C Simulate the conversion of two large KSDS clusters. Since IAM normally releases the unused portion of its allocation, have IAMSIMVS estimate its savings based upon the number of the allocated VSAM tracks instead of the IAMSIMVS default value of tracks used. This will result in a maximum savings figure for the amount of disk space IAM can return. Using this savings figure allows a direct comparison of IAM's disk space savings to that provided by any other technique. In addition the cost of the DASD per million bytes (MB) is changed from $4 per MB to $6 per MB. Use the 10% default sampling rate and defaults for all other values.

```
//SIMULATE     EXEC     PGM=IAMSIMVS
//STEPLIB      DD       DISP=SHR,DSN=iam.library <==USER CHANGE
//SYSPRINT     DD       SYSOUT=*
//SYSUDUMP     DD       SYSOUT=*
//SYSIN        DD       *
     REPORT ESTIMATE=ALLOC,COST=6
     SELECT DSN=(CICS.MASTER.FILE1,CICS.MASTER.FILE2)
/*
```

Figure 40: Example C of running IAMSIMVS

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Example D Use IAMSMFVS to produce a Cluster Size Report ranking all (up to 500) of the KSDS Clusters identified in the currently available SMF history file. Then use IAMSIMVS to produce a detail Data Set Report showing the amount of savings a conversion to IAM would produce for each of these clusters and a Summary Saving Report - showing the overall saving the site would receive if all of these clusters were converted to IAM. Since many of the clusters are over allocated and this space can be recovered by IAM, estimate IAM's savings based on tracks allocated instead of tracks used. IAM will RELEASE the unused portion of an allocation.

```
//*
//*       CREATE IAMSIMVS SELECT STATEMENTS
//*
//IAMSMFVS     EXEC   PGM=IAMSMFVS,REGION=2048K
//STEPLIB      DD     DISP=SHR,DSN=iam.library       <==USER CHANGE
//SYSMF        DD     DISP=SHR,DSN=...               <==POINTS TO SMF DATA
//SYSPRINT     DD     SYSOUT=*
//SYSUDUMP     DD     SYSOUT=*
//SORTIN       DD     UNIT=SYSDA,SPACE=(CYL,(25,5))
//SORTOUT      DD     UNIT=SYSDA,SPACE=(CYL,(25,5))
//SORTLIB      DD     DISP=SHR,DSN=SYS1.SORTLIB
//SORTWK01     DD     UNIT=SYSDA,SPACE=(CYL,(25,5))
//SORTWK02     DD     UNIT=SYSDA,SPACE=(CYL,(25,5))
//SORTWK03     DD     UNIT=SYSDA,SPACE=(CYL,(25,5))
//SYSOUT       DD     SYSOUT=*
//SYSPUNCH     DD     DSN=VSAM.CLUSTER.NAMES,DISP=(,CATLG),
//             UNIT=SYSDA,SPACE=(CYL,(5,5))
//SYSIN        DD     *
     REPORT    DSORG=AM,MAXDSNS=6000,MAXREPORT=500
/*
//*
//*            REPORT THE SAVINGS AN IAM CONVERSION WILL PROVIDE
//*
//SAVINGS      EXEC   PGM=IAMSIMVS
//STEPLIB      DD     DISP=SHR,DSN=iam.library <==USER CHANGE
//SYSPRINT     DD     SYSOUT=*
//SYSUDUMP     DD     SYSOUT=*
//SYSIN        DD     *
     REPORT    ESTIMATE=ALLOC
//             DD     DSN=VSAM.CLUSTER.NAMES,DISP=SHR  <==SEE NOTE1
//
```

Figure 41: Example D of running IAMSIMVS using IAMSMFVS to create control cards

NOTE1: The first step executes IAMSMFVS to create a data set containing formatted IAMSIMVS Select Command statements for the 500 largest VSAM clusters. The second step then reads this data set to determine the names of the VSAM clusters to simulate a conversion for this data set is concatenated after the instream REPORT Command Statement, which is used to establish default processing parameters.

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45.01 IAMRECVR - RECOVERY PROGRAM OVERVIEW

Overview

To assist in recovery of valuable data, IAM includes a special function program, IAMRECVR, which can often create a backup copy of the data in an IAM file that is unreadable by normal means. The IAMRECVR program has been designed to aid users in the recovery of the contents of IAM files that have become unusable. Such problems can arise due to hardware or media failures, due to improper sharing of the data set for update, or due to an application or system software error or failure.

IAMRECVR is a tool to assist in the recovery of IAM data sets. IAMRECVR is not a replacement for having established data recovery procedures, but rather is a program that can be used as a part of data recovery. It is quite important to have procedures in place to recover data should unforeseen problems occur, which include periodic backups of the data set, and possibly transaction journals or logs of updates to the data set.

IAMRECVR reads the IAM file using a high performance EXCP technique. Only blocks determined to match the IAM file specifications are processed. Any block determined to be unreadable due to physical damage to the device (e.g.: data check) or due to corruption of the record formatting within the block will be skipped. Appropriate error messages describing any of the errors encountered will be printed.

On a recovery process, if there are records in Independent or Extended Overflow, the sequential output file will need to be sorted. IAMRECVR can do that automatically, and will then report on any duplicate records encountered, which can optionally be written out to a log data set. The sequential output data set from IAMRECVR can subsequently be used to REPRO the data back into the recovered data set.

Other capabilities offered by IAMRECVR include:

- Decompress and write out a file of uncompressed data records from a backup of an IAM file that was done with the BACKUPCOMPRESSED feature.
- Validate the integrity of the data blocks within an IAM data set.
- Print out portions of the IAM data set in a dump format.
- Create an image of the file structure of an IAM data set without copying any data other than the key.
- Produce a report similar to a LISTCAT with the IAM file characteristics and statistics.

If you are preparing to do an IAM file recovery, be sure to read Section 10.87, Recovering IAM Data Sets. That section provides an explanation, a technique, and several examples of using IAMRECVR to recover an IAM data set.

Command Summary

The IAMRECVR program has the following commands / functions.

- **APPLY:** Copy records from a SPANOUT or LOG data set created by the RECOVER command, into an IAM or VSAM data set.
- **DIAGNOSE:** Validates the basic data integrity of an IAM file.
- **IAMSTRUCTURE:** Dump the structure of an IAM data set. The only portion of the data retained in the backup will be the key.
- **LIST:** Display the attributes of an IAM data set.
- **PRINT:** Print out selected portions or an entire IAM data set, in a dump format.
- **RECOVER:** Reads a damaged IAM file, producing a sequential (or IAM / VSAM) data set containing the records that IAMRECVR is able to read.

45.02 IAMRECVR - JCL Requirements

The JCL statements required to execute IAMRECVR are as follows:

Execute Statement

Specifies the name of the IAM recovery program -- IAMRECVR. For a file recovery operation, sufficient storage on the REGION parameter must be specified to include storage for a SORT, which may need to be called if there are records in Extended or Independent Overflow. A typical execute statement would be:

```
//RECOVER      EXEC      PGM=IAMRECVR,REGION=2048K
```

DD Statements

The following table identifies the DD statements required for running IAMRECVR.

DD Name	Description
STEPLIB or JOBLIB	An optional DD statement that specifies the library containing the IAM program load modules. This DD is not necessary if IAM is in the Link List, as is recommended. If a STEPLIB or JOBLIB is used, then the IAM load library must be APF authorized.
SYSPRINT	Specifies where the IAMRECVR messages are to be printed. Usually a SYSOUT data set.
DISKIN	Specifies the IAM data set to be recovered, listed or printed.
DISKOUT	Specifies the new IAM file to be created from the damaged IAM file. The new IAM file will have the same characteristics as the IAM file being recovered.
TAPEOUT	Specifies the sequential recovery file to be created by IAMRECVR on either a tape or direct access device. If a direct access device is used, enough space must be allocated to contain a sequential copy of the IAM file. The use of secondary allocation values is permitted and encouraged.
VSAMOUT	Specifies the new VSAM file to be loaded from the damaged IAM file.
SPANOUT	Specifies a sequential data set used to hold spanned records for recovery. This file can reside on either tape or direct access, and will be used by the APPLY command after reloading the recovered data set containing the non-spanned records from the TAPEOUT file.
LOG	For the RECOVER option, specifies a sequential file where the duplicates, if any, are to be stored. For the APPLY option, specifies the sequential file to be used as input.
SYSIN	Specifies the input control statement data set. Usually a DD * data set.
SORTWKnn SORTLIB	These DD statements may be required if you are running the RECOVER command. Be sure to provide adequate SORT work space for the file that you are recovering. Refer to documentation of your sort for additional information.

45.03 IAMRECVR - APPLY Command

APPLY Command Statement

The APPPLY command reads the LOG data set created by the RECOVER command, and will either add or replace records in the IAM data set from the LOG data set. Apply is similar in function to an IDCAMS REPRO REPLACE. The APPLY command is used when a RECOVER command found duplicate records in the original IAM data set to copy those records into the recovered data set. The duplicate records will be copied from the LOG data set that was created by the RECOVER command if DUPLICATES=LOG was specified.

For more information on how the APPLY command fits into the recovery process for an IAM data set, refer to section 10.87 Recovering IAM Data Sets.

APPLY	
[AUDIT= ccccc**]**	**[,IAMDDNAME**= ddname **]**
[,LOGDDNAME= ddname**]**	**[,OUTPUTFILE**= cccc **]**
[,PRTLENGTH= nnnnn **]**	**[SPANDDNAME=**ddname
[,SPANNED]	**[,VSAMDDNAME**= ddname **]**

Figure 42: IAMRECVR APPLY Command Operands

Apply Command Operands

Operand	Description
AUDIT=	Defines the audit trail requirements for records processed by the APPLY command. Possible values are: **KEY** - Print the key from the data record. **NONE** - No audit trail is produced. **RECORD** - Print the entire data record. The default is KEY.
IAMDDNAME=	Defines the DDNAME of the IAM file that is to be updated. The default is DISKOUT.
LOGDDNAME=	Defines the DD Name of the log file to be used as input to the APPLY operation. This file is from the LOG output of a RECOVER command. The default DD Name is LOG.
OUTPUTFILE=	Specifies the access method to use for the target data set. Valid values are: **IAM** - Use the native mode IAM interface. Can not be used for Enhanced format files, must specify VSAM. **VSAM** - This can be specified for either IAM or VSAM data sets. This option will cause IAMRECVR to use VSAM I/O macros.
SPANDDNAME=	Specifies the DD name of the data set containing the spanned records. This is the data set that was created by the IAMRECVR RECOVER command. The default is SPANOUT.
SPANNED	Specifies that the APPLY command is to apply the spanned records contained in the data set specified by the SPANOUT DD card. The LOG dataset will not be used in the APPLY SPANNED operation

45.03 CONTINUED

Operand	Description
PRTLENGTH=	Limit the amount of information printed in the AUDIT trail to this value or the length of the key or data, whichever is smaller. The default is 32768.
VSAMDDNAME=	Specifies the DD name of the IAM or VSAM data set that is to be updated when OUTPUTFILE=VSAM is specified. The default is VSAMOUT.

Example A: Apply Command

A basic example of using the APPLY command is shown below. For information on how the APPLY command should be used for a file recovery, refer to Section 10.87, Recovering an IAM Data Set.

```
//APPLYLOG     EXEC     PGM=IAMRECVR,REGION=4M
//SYSPRINT     DD       SYSOUT=*
//DISKOUT      DD       DISP=OLD,DSN=my.iam.new.dataset
//LOG          DD       DISP=SHR,DSN=my.iam.log.dataset
//SYSIN        DD       *
  APPLY
 /*
```

Figure 43: Example of JCL to run the APPLY Command

Example B: Apply Spanned Records

Shown below is an example of using the APPLY command to add the spanned records back into the recovered data set. Prior to executing this APPLY command, the file must be reloaded with the non-spanned records from the TAPEOUT file. Subsequently, the following APPLY command can be used to put the spanned records back into the recovered data set.

```
//APPLYSPN     EXEC     PGM=IAMRECVR,REGION=4M
 //SYSPRINT    DD       SYSOUT=*
 //DISKOUT     DD       DISP=OLD,DSN=my.iam.new.dataset
 //SPANOUT     DD       DISP=SHR,DSN=my.iam.spanout.dataset
 //SYSIN       DD       *
   APPLYSPANNED
 /*
```

Figure 44: Example of JCL to run the APPLY SPANNED Command (EX4503B)

45.04 IAMRECVR – DECOMPRESS Command

Recovery of Data that is Compressed

The IAM file recovery program, IAMRECVR is able to read sequential files created with the BACKUPCOMPRESSED feature, and write out a sequential file with the data uncompressed. This may be useful for when an application program needs to read the sequential file from FDRREORG or IDCAMS REPRO of the IAM file. This is facilitated with the new command, DECOMPRESS.

DECOMPRESS Command Statement

The DECOMPRESS command allows a compressed backup of an IAM file to be decompressed in the event that IAM is not available. The IAM VSAM Interface (VIF) does not have to be active.

```
DECOMPRESS
    [ FROMDDNAME= ddname ]        ,KEYLEN= nnn
    ,RKP= nnnnn                   [,SCAN]
    [,TODDNAME= ddname ]
```

Figure 44: IAMRECVR DECOMPRESS Command Operands

DECOMPRESS Command Operands

The table below contains descriptions of the keywords for the DECOMPRESS command of IAMRECVR. The minimal abbreviation for each operand keyword is underlined.

Keyword	Description
FROMDDNAME=	Defines the DDNAME of the compressed IAM backup file. The default is DISKIN.
KEYLEN=	Required operand that specifies the length of the key within the data records in the IAM file. This value can be obtained from an IAMINFO or LISTCAT report on the original IAM data set.
RKP=	Required operand that specifies the relative location of the key within the data record in the IAM file. This value can be obtained from an IAMIFNO or LISTCAT report on the original IAM data set.
SCAN	Allows a compressed backup file to be read and all records decompressed, but an output file will not be created. SCAN can be used to verify a compressed backup file.
TODDNAME=	Defines the DDNAME of the output uncompressed flat file. The default is TAPEOUT.

DECOMPRESS Example

Assume an IAM file with 100 byte records, an 8 byte key length with a relative key position (RKP) of 10 has been backed up by an IDCAMS REPRO with the BACKUPCOMPRESSED option, and is now needed in itís uncompressed state.

```
//DECOMPRS     EXEC      PGM=IAMRECVR
//SYSPRINT     DD        SYSOUT=*
//DISKIN       DD        DSN=my.seqfile,DISP=OLD
//TAPEOUT      DD        DSN=my.uncomp.seqfile,DISP=(,CATLG),
//      DCB=(RECFM=VB,LRECL=104,BLKSIZE=32760),UNIT=TAPE
//SYSIN        DD        *
        DECOMPRESS       KEYLEN=8,RKP=10
/*
```

Figure 45: Example of JCL and Control Card for Decompress

45.05 IAMRECVR - DIAGNOSE Command

DIAGNOSE Command

The DIAGNOSE command will read through the entire IAM file, and report on any errors that are encountered. The DIAGNOSE function reads the IAM data set without using the IAM access method, and verifies the read integrity of each data block. DIAGNOSE can detect physical I/O errors, validate the format of all blocks containing user data, and verify that all records can be uncompressed.

The DIAGNOSE command is not able to detect problems with the control information saved for Independent Overflow, or the Extended Index. The DIAGNOSE will detect out of sequence records and duplicate records contained within any particular data block, however it is not able to detect for duplicate records that may exist in other areas of the file, such as in the Overflow areas.

DIAGNOSE	**[FROMDDNAME**= ddname **]**

Figure 46: IAMRECVR DIAGNOSE Command Operands

DIAGNOSE Command Operands

The following operand may be specified with the DIAGNOSE subcommand.

Operand	Description
FROMDDNAME=	Defines the DDNAME of the IAM file that is to be diagnosed. The default is DISKIN.

Diagnose Example

The following example demonstrates how to run an IAMRECVR DIAGNOSE command.

```
//DIAGNOSE     EXEC      PGM=IAMRECVR
//SYSPRINT     DD        SYSOUT=*
//DISKIN       DD        DISP=SHR,DSN=my.iam.data.set
//SYSIN        DD        *
        DIAGNOSE
/*
```

Figure 47: Example of the IAMRECVR Diagnose Command

45.06 IAMRECVR - IAMSTRUCTURE Command

IAM STRUCTURE Command

The IAMSTRUCTURE command is used to copy all IAM KEY and INDEX information to a sequential output file. This output file can then be sent to Innovation to recreate as closely as possible the users file, without having to send any actual data. IAMSTRUCTURE will copy the entire KEY of each record, and the record length.

IAMSTRUCTURE will allow Innovation to recreate the logical structure of the IAM file to help with problem determination, and for testing of new enhancements to IAM. The output of the IAMSTRUCTURE command is a physical sequential (PS) file, which can be browsed under TSO to verify that no confidential information is being copied.

The IAMSTRUCTURE command has no operands. The input IAM file is specified on the DISKIN DD statement, and the sequential output file is specified on the TAPEOUT DD statement. An example of the JCL and control card to run IAMSTRUCTURE is shown below.

IAM STRUCTURE Example

```
//DUMPSTRC     EXEC     PGM=IAMRECVR,REGION=4M
//SYSPRINT     DD       SYSOUT=*
//DISKIN       DD       DISP=SHR,DSN=my.iam.file
//TAPEOUT      DD       DISP=(,CATLG),DSN=my.iam.structre.file,
//                      UNIT=SYSDA,SPACE=(CYL,(5,2))
//SYSIN        DD       *
       IAMSTRUCTURE
/*
```

Figure 48: Sample JCL for the IAMSTRUCTURE Command

45.07 LIST Command

LIST Command

The LIST command is used to list the characteristics of an IAM file. The format of the report is almost identical to the report on IAMPRINT from a LISTCAT ALL. The LISTCAT ALL will provide more information than the IAMRECVR LIST command, so use of a LISTCAT is recommended above the IAMRECVR LIST command.

LIST	**[FROMDDNAME=** ddname **]**

Figure 49: IAMRECVR LIST Command Operands

LIST Command Operands

The following operand may be specified with the LIST command.

Operand	Description
FROMDDNAME=	Defines the DDNAME of the IAM file that is to be listed. The default is DISKIN.

LIST Command Example

```
//LISTIAMF     EXEC     PGM=IAMRECVR,REGION=1M
//SYSPRINT     DD       SYSOUT=*
//DISKIN       DD       DISP=SHR,DSN=my.iam.file
//SYSIN        DD       *
    LIST
/*
```

Figure 50: Example of JCL for LIST Command

45.08 IAMRECVR - PRINT Command

PRINT Command

The PRINT command is used to print various areas or blocks in dump format from an IAM file. A list of the file characteristics is produced upon completion.

```
PRINT
    [ALLBLKS]                    [ ,DATA ]
    [,FBLK= nnnnnn]              [,FROMDDNAME= ddname ]
    [,IDPINQ ]                   [ ,KEYS ]
    [,MAXBLKS=  nnnnn ]          [,OFLOW ]
    [ ,PE ]                      [,PRTLENGTH= nnnnn ]
    [,TBLK= nnnnn ]
```

Figure 51: IAMRECVR PRINT Command Operands

PRINT Command Operands

Operand	Description
ALLBLKS	Specifies that all (or portions of all) blocks in the IAM file are to be printed. NOTE: Use of this operand conflicts with DATA, FBLK, KEYS, OFLOW, PE, and TBLK.
DATA	Specifies that all (or portions of all) prime data blocks in the IAM file are to be printed. NOTE: Use of this operand conflicts with ALLBLKS, FBLK, and TBLK.
FBLK=	Specifies the From block number, relative to 1, from which printing is to begin. NOTE: Use of this operand conflicts with ALLBLKS, DATA, KEYS, OFLOW, and PE.
FROMDDNAME=	Defines the DDNAME of the IAM file that is to be listed. The default is DISKIN.
IDPINQ	Specifies the IAM control block is to be printed.
KEYS	Specifies that all (or portions of all) key blocks in the IAM file are to be printed. NOTE: Use of this operand conflicts with ALLBLKS, FBLK, and TBLK.
MAXBLKS=	Specifies the maximum number of blocks to be printed from each area selected in the IAM file. The default is the number of blocks in the area selected or the entire file if ALLBLKS is specified, excluding the IAM control block.
OFLOW	Specifies that all (or portions of all) Independent Overflow blocks in the IAM file are to be printed. NOTE: Use of this operand conflicts with 'ALLBLKS', 'FBLK', and 'TBLK'.
PE	Specifies that all (or portions of all) Prime Extension blocks in the IAM file are to be printed. NOTE: Use of this operand conflicts with 'ALLBLKS', 'FBLK', and 'TBLK'.
PRTLENGTH=	Limit the amount of data printed for each block to this value or the length of block, whichever is smaller. The default is 32768.

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Operand	Description
TBLK=	Specifies the block number, relative to 1, at which printing is to end. NOTE: Use of this operand conflicts with 'ALLBLKS', 'DATA', 'KEYS', 'MAXBLKS', 'OFLOW', and 'PE'.

IAMRECVR Print Example

The example below demonstrates two different forms of the PRINT command. The first prints out the IAM control information blocks, in a dump format. The second prints out selected data blocks from the IAM data set. The use of the PRINT command in problem diagnosis and recovery is discussed in Section 10.87, Recovering an IAM Data Set.

```
//PRINTIAM     EXEC      PGM=IAMRECVR,REGION=4M
//SYSPRINT     DD        SYSOUT=*
//DISKIN       DD        DISP=SHR,DSN=my.iam.dataset
//SYSIN        DD        *
       PRINT             IDPINQ
       PRINT             FBLK=100,MAXBLKS=10
/*
```

Figure 52: Example of the Print Command under IAMRECVR

45.09 IAMRECVR - RECOVER Command

RECOVER Command

The RECOVER command is used to read an IAM data set, which may be damaged or corrupted, and copy the records it is able to read into another data set. Any errors encountered reading the input IAM data set will be reported on, and may result in the loss of some data records if the errors cause some of the records to be unreadable. The output data set can be a sequential data set, an IAM data set, a VSAM cluster, or a combination of sequential and IAM or VSAM. While there are several choices for the type of output data set, Innovation recommends using only a sequential output data set. The sequential data set can then be copied into an IAM or VSAM data set using IDCAMS REPRO.

The RECOVER command may not detect some of the errors, particularly if they occur within the overflow control information or extended index areas. Such errors may result in being unable to open the IAM data set through normal programs. IAMRECVR does not rely on that information to open or read the data set. The RECOVER will still work and be valid, even though no errors were detected within the data blocks.

If the input IAM data set has records in Extended Overflow, or for Compatible format files in Independent Overflow, the output file will have to be sorted. The RECOVER command can automatically invoke the SORT, and it is highly recommended that the SORT be done by IAMRECVR. You will need to provide IAMRECVR with sufficient SORT work space based on the size of the file that is being recovered.

For some good examples of procedures to follow when recovering IAM data sets, be sure to read Section 10.87 of the manual, Recovering IAM Data Sets. A full explanation of how to use the RECOVER command is provided there, along with several examples.

RECOVER	
[AUDIT= cccccc **]**	**[,BLKSIZE**= nnnn **]**
[,COMPRESSED]	**[,DUPLICATES**= cccccc **]**
[,FROMDDNAME= ddname **]**	**[,IAMDDNAME**= ddname **]**
[,KEYLEN= nnn **]**	**[,LOGDDNAME**= ddname **]**
[,LRECL= nnnnn **]**	**[,MAXBLKS**= n...n **]**
[,OUTPUTFILES= cccc **]**	**[,OVERFLOW**= nnnnn **]**
[,PRTLENGTH=nnnnn **]**	**[,RKP**= nnnn **]**
[,SORT= ccccc **]**	**[,SORTCORE**= n...n **]**
[,SORTMSG= cc **]**	**[,SORTPFX**= cccc **]**
[,SPANNDDNAME=ddname **]**	**[,TODDNAME**= ddname **]**
[,VARIABLE]	**[,VSAMDDNAME**= ddname **]**

Figure 53: IAMRECVR Recover Command Operands

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45.09 CONTINUED

Recover Command Operands

Operand	Description
AUDIT=	Defines the audit trail requirements for duplicate records processed by the RECOVER command if SORT=IFREQ or SORT=YES and DUPLICATE= APPLY or if DUPLICATE= PRINT or DUPLICATE=LOG are specified. **KEY** - Print the key from the data record. **NONE** - No audit trail is produced. **RECORD** - Print the entire data record. The default is KEY.
BLKSIZE=	Specifies the actual blocksize of the IAM file. This value can be obtained from the run time statistics or a LIST command. NOTE: This value is ignored unless the IAM control record is destroyed, at which time it is required.
COMPRESSED	Identifies the file as containing compressed records. NOTE: This value is ignored unless the IAM control record is destroyed. This value is optional and is only used to request that the new IAM file is to have a compressed data structure. IAMRECVR can always detect a compressed record and decompress it.
DUPLICATES=	Defines the processing requirements for any duplicate records processed by the RECOVER command if SORT=IFREQ or SORT=YES is specified. • **APPLY** - Update the IAM file being created with the duplicate records. Ignored unless 'OUTPUTFILES=IAM' or 'OUTPUTFILES=BOTH' is specified. • **IGNORE** - Ignore duplicate records. • **LOG** - Create a log data set of any duplicate records. This data set may later be used as input the 'APPLY' command. • **PRINT** - Print any duplicate records. The default is PRINT.
FROMDDNAME=	Defines the DDNAME of the IAM file that is to be recovered. The default is DISKIN.
IAMDDNAME=	Defines the DDNAME of the IAM file that is to be created when OUTPUTFILES=IAM or BOTH is specified. The default is DISKOUT. NOTE: For Compatible format files, IAMRECVR will use the native IAM interface. For Enhanced format files, IAMRECVR uses the IAM VIF interface, so the file will have to be defined prior to running the RECOVER.
KEYLEN=	Specifies the length of the key within the data records in the IAM file. This value can be obtained from the run time statistics or a LIST command. NOTE: This value is ignored unless the IAM control record is destroyed, at which time it is required.

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Operand	Description
LOGDDNAME=	Defines the DDNAME of the log file to be created. The default is LOG.
LRECL=	Specifies the logical record length of the data records in the IAM file. This value can be obtained from the run time statistics or a LIST command. NOTE: This value is ignored unless the IAM control record is destroyed, at which time it is required.
MAXBLKS=	Specifies the number of data blocks in the IAM file. This value can be obtained from the run time statistics or a 'LIST' command. NOTE: This value is ignored unless the IAM control record is destroyed, at which time it is required.
OUTPUTFILES=	Defines the output requirements for the RECOVER subcommand. Valid values are: • **BOTH** - Create both an IAM file and a sequential copy. • **BOTHV** - Create both an IAM file (using the VSAM interface) or a VSAM file, and a sequential copy. • **IAM** - Create only an IAM file. • **SEQ** - Create only a sequential copy of the recoverable data remaining in the IAM file. • **VSAM** - Create an IAM file (using the VSAM interface) or a VSAM file. The default is SEQ.
OVERFLOW=	Specifies the number of Independent Overflow blocks in the IAM file. This value can be obtained from the run time statistics or a LIST command. NOTE: This value is ignored unless the IAM control record is destroyed, at which time it is required.
PRTLENGTH=	Limit the amount of data printed for each block to this value or the length of block, which ever is smaller. The default is 32768.
RKP=	Specifies the relative location of the key within a data record in the IAM file. This value can be obtained from the run time statistics or a LIST command. NOTE: This value is ignored unless the IAM control record is destroyed, at which time it is required.

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Operand	Description
SORT=	Defines the output sorting requirements for the RECOVER command. Valid values are: • **IFREQ** - Sort the records only if sequence checks are encountered in the file. • **NO** - Do not sort the records. • **YES** - Sort the records. The default is NO.
SORTCORE=	Specifies the amount of storage the program SORT is to use if external sorting is required. The number maybe from 10000 to 8000000 inclusive. The default is 100000.
SORTMSG=	Specifies the message option to be used by the program SORT if external sorting is required. • **AC** - All messages to the console • **AP** - All messages to the printer (SYSOUT) • **CC** - Critical messages to the console • **CP** - Critical messages to the printer • **NO** - No messages to be produced • **PC** - Critical messages to both console and printer The default is CC.
SORTPFX=	Specifies the DDNAME prefix to be used by the program SORT if external sorting is required. If the string specified is less than 4 characters, a dollar sign ($) fill character will be used. The default is SORT.
SPANDDNAME=	Defines the DDNAME of the sequen tial output data set created during recovery that contains the spanned records. The default is SPANOUT.
TODDNAME=	Defines the DDNAME of the sequential output data set created during recovery. The default is TAPEOUT.
VARIABLE	Identifies the IAM file as having variable length records. NOTE: This value is ignored unless the IAM control record is destroyed, at which time it is required.
VSAMDDNAME=	Defines the DDNAME of the IAM or VSAM file to be created when OUTPUTFILES=VSAM or BOTHV is specified. The default is VSAMOUT.

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Recover Example

The example below shows a basic RECOVER operation to a sequential data set. Subsequent to the RECOVER, the sequential data would be copied into an IAM data set using an IDCAMS REPRO. While it is rare that there will be duplicate records, they do occur on occasion. To save time when such a circumstance occurs, the example below includes logging the duplicate records to a LOG data set. Such a situation does not necessarily indicate a problem with the data set. If a record had to be moved from the block it was in into an overflow block, the overflow block is always immediately rewritten out to the data set. At a subsequent point in time, the original data block is rewritten with the record deleted. So, there is an opportunity for a record with the same key to be duplicated in the data set. IAM is able to handle this circumstance, and return the proper record. If there are duplicates, refer to Section 10.87, Recovering IAM Data Sets for complete instructions and examples of recovering files from that situation.

```
//RECOVER      EXEC     PGM=IAMRECVR,REGION=4M
//SYSPRINT     DD       SYSOUT=*
//SYSOUT       DD       SYSOUT-*
//DISKIN       DD       DISP=OLD,DSN=my.iam.cluster
//TAPEOUT      DD       DSN=my.seq.dataset,DISP=(,CATLG),
//                      UNIT=SYSDA,SPACE=(CYL,(20,10))
//LOG          DD       DSN=my.duprec.dataset,DISP=(,CATLG),
//                      UNIT=SYSDA,SPACE=(CYL,(2,1))
//SORTWK01     DD       UNIT=SYSDA,SPACE=(CYL,(20,10))
//SORTWK02     DD       UNIT=SYSDA,SPACE=(CYL,(20,10))
//SORTWK03     DD       UNIT=SYSDA,SPACE=(CYL,(20,10))
//$ORTPARM     DD       *                      <-- Use for SyncSort
EQUALS
/*
//DFSPARM      DD       *                      <-- Use for DFSORT
EQUALS
/*
//SYSIN        DD       *
  RECOVER               DUP=LOG
/*
```

Figure 54: Example of Running a Recover

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46.01 IAMISPF Overview

IAM includes an optionally installable set of ISPF panels that can be used to perform many utility functions against IAM and most VSAM data sets. The IAM ISPF interface offers an interactive, fill in the blanks type of functionality for various utility functions. The panels also include a complete ISPF tutorial that describes how to use the panels, as well as a complete description of the key IAM OVERFLOW statistics and OVERRIDE parameters.

Data Set Naming Conventions

The following rules will apply in all of the IAM ISPF panels for specifying a data set name. To specify a fully qualified data set name, follow the TSO rules, which are to specify the data set name within apostrophes. If no apostrophes are provided, then the data set name will be automatically prefixed with the current TSO setting for data set prefixing, which is normally the TSO userid. If the provided data set name already has the current TSO prefix as the high level qualifier, then the prefix will not be added to the name.

Features

The key features included in the IAM ISPF panels are:

- Definition of IAM data sets with full Override support.
- Definition of IAM Alternate Indexes and Paths
- Definition of VSAM clusters.
- Definition of VSAM Alternate Indexes and Paths
- Definition using an IAM or VSAM model dataset.
- Multi-Volume dataset support.
- SMS support.
- Building IAM or VSAM Alternate Indexes
- Deletion of Data sets, Clusters, Paths, and Alternate Indexes.
- Renaming of Data sets, Clusters, Paths, and Alternate Indexes.
- Copy/Move support of IAM data sets, VSAM ESDS's and VSAM KSDS's.
- IAM or VSAM data sets can be copied into or from sequential data sets.
- Full IAM dataset information.
- VSAM cluster information.
- Interactive execution of selected IAM utility functions.

Users that are familiar with ISPF and that have knowledge of IAM or VSAM should find the IAM ISPF panels easy to use. To illustrate some of the functions of the IAM ISPF panels, a demonstration is shown below.

46.02 IAMISPF Panels

ISPF/PDF Primary Option Menu

Shown below is an example of how the ISPF/PDF Primary Option Menu can be customized to include a selection for the IAM ISPF Dialog. By selecting option **I**, the user will be presented with the IAM Primary Option Menu.

```
Menu  Utilities  Compilers  Options  Status  Help
-----------------------------------------------------------------------------------------

                                ISPF Primary Option Menu

Option ===>

0   Settings        Terminal and user parameters          User ID .   : RAM2
1   View            Display source data or listings       Time. . .   : 10:59
2   Edit            Create or change source data          Terminal.   : 3278
3   Utilities       Perform utility functions             Screen. .   : 1
4   Foreground      Interactive language processing       Language.   : ENGLISH
5   Batch           Submit job for language processing    Appl ID .   : ISR
6   Command         Enter TSO or Workstation commands     TSO logon   : ISPFPROC
7   Dialog Test     Perform dialog testing                TSO prefix  : RAM2
8   LM Facility     Library administrator functions       System ID   : CPUD
9   IBM Products    IBM program development products      MVS acct.   : MORSE.RI
10  SCLM            SW Configuration Library Manager      Release .   : ISPF 4.4
A   FDR/ABR         FDR/ABR DASD MANAGEMENT
I   IAM         -   IAM utility functions
U   UPSTREAM        FDR/UPSTREAM PC Backup/Recovery
```

Figure 55: Example of ISPF Primary Option Menu with IAM Option

IAM Primary Option Menu

Shown below is the IAM primary option menu. The user specifies the desired function. For most functions, the user must also provide a dataset name, and other fields are appropriate.

```
------------------------- IAM PRIMARY OPTION MENU -------------------------

OPTION ===> _

I          - Allocate (DEFINE) a new IAM Dataset                    Ver 7.01P
V          - Allocate (DEFINE) a new VSAM Cluster
D          - Delete a Dataset, Cluster, Path, or Alternate Index
C          - Copy an IAM Dataset, VSAM KSDS, or VSAM ESDS
M          - Move an IAM Dataset, VSAM KSDS, or VSAM ESDS
R          - Rename a Dataset, Cluster, Path, or Alternate Index
U          - Invoke an IAM Utility program
blank      - Display IAM Dataset or VSAM Cluster Information

Enter dataset name (required for all options except U)
  Dataset Name          ===>
  Dataset Type      ===> C  C=Cluster X=AIX P=Path (options I, V Only)

Enter Model or New dsname (optional for options I and V, required for option R)
  Model|Newname         ===>


  Delete Confirmation   ===> YES  Yes|No
```

Figure 56: IAM Primary Option Panel

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Defining an IAM File

To define an IAM data set perform the following steps:

- Type an I in the Option field of the IAM primary menu
- Fill in the data set name field
- Type a C for the data set type for an IAM ESDS or KSDS type of file
- Optionally, fill in a the model data set name field of an IAM or VSAM KSDS or ESDS
- Then press the ENTER key.

An example of a completed panel is shown below:

```
- - - - - - - - - - - - - - - - - - - - - - - IAM PRIMARY OPTION MENU - - - - - - - - - - - - - - - - - - - - - - -

OPTION ===> i

I          - Allocate (DEFINE) a new IAM Dataset                                   Ver 7.0/01P
V          - Allocate (DEFINE) a new VSAM Cluster
D          - Delete a Dataset, Cluster, Path, or Alternate Index
C          - Copy an IAM Dataset, VSAM KSDS, or VSAM ESDS
M          - Move an IAM Dataset, VSAM KSDS, or VSAM ESDS
R          - Rename a Dataset, Cluster, Path, or Alternate Index
U          - Invoke an IAM Utility program
blank      - Display IAM Dataset or VSAM Cluster Information

Enter dataset name (required for all options except U)
  Dataset Name          ===>
  Dataset Type           ===> C  C=Cluster X=AIX P=Path (options I, V Only)

Enter Model or New dsname (optional for options I and V, required for option R)
  Model|Newname         ===>


 Delete Confirmation    ===> YES  Yes|No
```

Figure 57: Primary Option Panel set for Define

CONTINUED . . .

46.02 CONTINUED

IAM Definition Panel

Shown below is the IAM dataset definition panel that comes up when defining an IAM Cluster. This panel supports both SMS managed and non-SMS managed allocations. Additionally, most IAM overrides can be specified by providing the appropriate values in the fields on the right hand side of the panel. By specifying YES in the Multi-Volume Allocation field, an additional panel will be displayed where additional volumes can be entered. Full information and examples of defining IAM data sets are presented in Section 10.10, Defining IAM Data Sets.

```
------------------------------ DEFINE AN IAM FILE ----------------------------
 COMMAND ===>

 Data Set Name: RAM2.MYIAM.CLUSTER
                                        Multi-Volume Allocation  ===> NO
ALLOCATION
 Volume              ===> junk01   IAM OVERRIDES
 SMS Storage Class   ===>              ANYVOL Unit              ===>
 SMS Data Class      ===>              Blocking Factor          ===>        1-15,>300
 SMS Mgmt Class      ===>              Overflow Records         ===>        0-2000000
 Cyls|Recs|Trks      ===> cyls         Var. Overflow            ===> YES    Yes|No
 Primary Space       ===> 2            Prime Extension          ===>        0-32767
 Secondary Space     ===> 1            Space Release            ===>        Yes|No
 Recatalog           ===> NO           Data Compress            ===>        Yes|No
ATTRIBUTES                             Enhanced Format          ===> YES    Yes|No
 KSDS|ESDS           ===> ksds         Minbufno                 ===>        1-32
 Max Recordsize      ===> 128          Maxbufno                 ===>        1-32
 Avg Recordsize      ===> 100          PSEUDORBA (ESDS)         ===>        Yes|No
 Key Length          ===> 4            Extended ESDS            ===>        Yes|No
 Key Offset          ===> 8
 CI Size             ===> 4096     RETENTION
 CI/CA Free %        ===> 10  / 5      DAYS                     ===>        0-9999
 Shareoption         ===> 2            EXPIRATION DATE          ===>        YYYY.DDD
```

Figure 58: Example of the IAM Define Panel

After filling in the necessary information with the desired values, press enter. IAM will return to the IAM Primary Option Menu, and indicate the status of the define request in the upper right corner. To display the file characteristics, make sure that the desired data set name is filled in, then press enter.

CONTINUED . . .

46.02 CONTINUED

IAM File Characteristics Panel

Shown below is an example of the IAM File Characteristics panel. This display is of the newly defined IAM data set that has not been loaded with any data.

```
--------------------------- IAM FILE CHARACTERISTICS ---------------------------
COMMAND ===>
Dataset Name: RAM2.MYIAM.CLUSTER

Definition                                Allocation
   Record Length:              128           Volume:              JUNK01
   Record Format:         VARIABLE           Device Type:           3380
   Key Length:                   4           Tracks in use:            0
   Key Offset:                   8           Block Size:            4096
   Dataset Type:              KSDS           Blocking Factor:       4096
   Share Option:                 2           Alloc Type:     CYLINDERS
   Release:                    YES           Primary Alloc:            2
   Storage Class:                            Secondary Alloc:          1
   Data Class:                               Compressed Keys:         NO
   Mgmt Class:                               Compressed Data:         NO

Statistics                                Extended Area
   Creation:              2002.321           Overflow Records:         0
   Expiration:            0000.000           Blocks Allocated:         0
   Last Reference:        2002.321           Blocks Used:              0
   Records:                     0            Overflow Blocks:          0
   Deletes:                     0            PE Blocks:                0
   Inserts:                     0            Blocks Available:         0
   Updates:                     0            Variable Overflow:      YES
   Minimum Buffers:
   Maximum Buffers:
   Extended File Fmt:         YES

Associations
```

Figure 59: Sample IAM File Characteristics Panel for Newly Defined File

CONTINUED . . .

46.02 CONTINUED

Copying Data into an IAM File

Now that an IAM data set has been defined, data can be loaded into the new data set. In this example, data will be copied from a pre-existing sequential data set into the new IAM file. Start at the IAM Primary Options panel, and do the following:

- Type a **C** for copy at the OPTION field.
- Type in the input data set name in the dataset name field.
- Press enter.:

```
- - - - - - - - - - - - - - - - - - - - - - - - IAM PRIMARY OPTION MENU - - - - - - - - - - - - - - - - - - - - - - - -

OPTION ===> c

I          - Allocate (DEFINE) a new IAM Dataset                                  Ver 7.0/01P
V          - Allocate (DEFINE) a new VSAM Cluster
D          - Delete a Dataset, Cluster, Path, or Alternate Index
C          - Copy an IAM Dataset, VSAM KSDS, or VSAM ESDS
M          - Move an IAM Dataset, VSAM KSDS, or VSAM ESDS
R          - Rename a Dataset, Cluster, Path, or Alternate Index
U          - Invoke an IAM Utility program
blank      - Display IAM Dataset or VSAM Cluster Information

Enter dataset name (required for all options except U)
  Dataset Name          ===>
  Dataset Type      ===> C  C=Cluster X=AIX P=Path (options I, V Only)

Enter Model or New dsname (optional for options I and V, required for option R)
  Model|Newname         ===>


  Delete Confirmation   ===> YES  Yes|No
```

Figure 60: Primary Option Panel set for Copy

When you press enter, IAM will display the following when the input data set is a sequential file:

:

```
---------------- COPY AN IAM DATASET, VSAM ESDS, or VSAM KSDS -----------------

COMMAND ===>

'Dataset Name ===> RAM2.MYIAM.SEQ


The specified SOURCE dataset is a NON-VSAM file. Would you like to continue  with this REPRO? ===> Y (Y/N)
```

Figure 61: Sequential Input Data Set Confirmation Panel

To proceed with the copy operation, make sure that **Y** is specified, then press enter.

CONTINUED . . .

46.02 CONTINUED

Setting Target Data Set

The next panel displayed is the COPY panel, on which the output (or target) data set name is specified. Some of the other features, that will not be demonstrated here, include the Extended copy functions, where one can specify the number of records or key ranges to be copied. The other function is to drive from within the copy function a define of the target data set. As the target data set has already been defined, just enter the target data set name, then press enter.

```
---------------- COPY AN IAM DATASET, VSAM ESDS, OR VSAM KSDS -----------------
COMMAND ===>
Source Non-VSAM File Name: RAM2.MYIAM.SEQ

Enter target dataset or cluster name
  Target Dataset Name  ===> myiam.cluster
  Extended Copy        ===> NO        (Yes|No      - To define copy selection criteria)

Define Target Dataset  ===> NO        (Yes|No      - If target does not exist)
Target Dataset Type    ===>           (IAM|VSAM    - If target is to be defined)
```

Figure 62: Copy Function Specification of Target Data Set

If the target data set already has data in it, then IAM ISPF will display the following panel to confirm that the data set should be overwritten:

```
-------------------- TARGET DATASET OVERWRITE CONFIRMATION --------------------
OPTION ===>
                    The target dataset you have selected is not empty !!!

DATA SET NAME:        RAM2.MYIAM.CLUSTER
ACCESS METHOD:        IAM
DATASET TYPE:         KSDS
VOLUME:               JUNK01
CREATION DATE:        2002.322

OVERWRITE DATA SET    ===> yes          (YES or NO)
     ENTER YES to overwrite the dataset.
     Enter NO or END command to cancel the COPY request.
```

Figure 63: Copy Overwrite Confirmation Panel

After the copy is completed, the IAM primary options panel is redisplayed, with a message in the upper right corner indicating that the copy is completed. If 10,000 or more records are being copied, there will be a status panel displayed indicating the progress of the copy. The status panel is updated after every 10,000 records have been copied.

CONTINUED . . .

46.02 CONTINUED

IAM AIX Define Panel

To define an IAM Alternate Index data set, start at the IAM Primary Options Panel.

- Type the option **I** in the option field, to define an IAM data set.
- Specify the name of the alternate index in the data set name field.
- Type **X** in the data set type file, for an alternate index.
- Optionally fill in the name of a model alternate index.
- Then press Enter.

Shown below is the Define an IAM Alternate Index panel, which is shown after performing the above directions. Just below the alternate index data set name, you must enter the name of the base IAM data set that this data set is an alternate index to. Then, complete the rest of the information including space allocation, attributes, any desired IAM overrides, and the retention period. Be sure to specify the alternate index attributes of whether or not this is an upgradeable alternate index, and whether or not the alternate index keys are unique. These fields are on the right side of the panel, below the override section.

```
---------------------- DEFINE AN IAM ALTERNATE INDEX -----------------------
COMMAND ===>

IAM Alternate Index DSN: RAM2.MYIAM.AIX
Related IAM Cluster DSN: myiam.cluster
                                        Multi-Volume Allocation ===> NO
ALLOCATION
  Volume            ===>      JUNK01    IAM OVERRIDES
  SMS Data Class    ===>                ANYVOL Unit          ===>
  Cyls|Recs|Trks    ===>  CYLINDERS     Blocking Factor      ===>          1-15,>300
  Primary Space     ===>            2   Var. Overflow        ===> YES      Yes|No
  Secondary Space   ===>            1   Space Release        ===>          Yes|No
  Recatalog         ===>           NO   Data Compress        ===>          Yes|No
                                        Minbufno             ===>          1-255
ATTRIBUTES                Maxbufno      ===>                               1-255
  Max Recordsize    ===>           73
  Avg Recordsize    ===>           13   Upgrade              ===> yes      Yes|No
  Key Length        ===>            4   Unique Keys          ===> no       Yes|No
  Key Offset        ===>           16
  CI Size           ===>         4096   RETENTION
  CI/CA Free %      ===>        10/5    DAYS                 ===>          0-9999
  Shareoption       ===>            2   EXPIRATION DATE      ===>          YYYY.DDD
```

Figure 64: Define an IAM Alternate Index Panel

After filling in the necessary information with the desired values, press enter. IAM will return to the IAM Primary Option Menu, and indicate the status of the define request in the upper right corner. To display the file characteristics, make sure that the desired data set name is filled in, then press enter.

CONTINUED . . .

46.02 CONTINUED

IAM AIX Characteristics Panel

To display the alternate index file characteristics start at the IAM Primary Options Panel. Leave the OPTION field blank, and fill in the alternate index data set name field, then press enter. Shown below is the IAM Alternate Index File characteristics panel for the alternate index that was just defined.

```
---------------- IAM Alternate Index File Characteristics -----------------
COMMAND ===>
Dataset Name: RAM2.MYIAM.AIX

Definition Information                  Allocation Information
  Record Length:            73          Volume:                    JUNK01
  Record Format:    VARIABLE            Device Type:                 3380
  Key Length:                4          Tracks in use:                0
  Key Offset:                5          Block Size:                4096
  Key Offset(BASE):         16          Blocking Factor:           4096
  Unique Keys:              NO          Alloc Type:            CYLINDERS
  Share Option:              2          Primary Alloc:                2
  Release:                 YES          Secondary Alloc:              1
  Storage Class:
  Data Class:
  Mgmt Class:

 Statistics                             ExtendedAreaInformation
  Creation:            2002.321          Overflow Records:             0
  Expiration:          0000.000          Blocks Allocated:             0
  Last Reference:      2002.321          Blocks Used:                  0
  Records:                   0          Overflow Blocks:              0
  Deletes:                   0          PE Blocks:                    0
  Inserts:                   0          Blocks Available:             0
  Updates:                   0          Variable Overflow:          YES
  Minimum Buffers:
  Maximum Buffers:

  Associations
      CLUSTER-RAM2.MYIAM.CLUSTER
            AIX-RAM2.MYIAM.AIX
```

Figure 65: Example of IAMISPF File Characteristics display for an Enhanced Format File

CONTINUED . . .

46.02 CONTINUED

Building the Alternate Index

So far, we have defined and loaded the base IAM cluster, and defined the alternate index. Our next step is to build the alternate index. From the IAM Primary Options Panel, type a U for the option to bring up the IAM utilities panel, and press enter. When the IAM Utility Program Menu is displayed, enter an I for the option to specify the BLDINDEX function, as shown below:

```
---------------------- IAM UTILITY PROGRAM SELECTION MENU --------------------
 OPTION ===> i

   S     Specify utility print dataset allocation parameters

   I     BLDINDEX     -     Build Alternate Index
   R     IAMRECVR     -     IAM file diagnostic and recovery utility
   V     IAMSTATS     -     IAM VSAM Interface (VIF) module information
   X     IAMXMONI     -     IAM Execution Monitor/ISPF for CICS Regions
   Z     IAMZAPOP     -     IAM options table utility
```

Figure 66: Selecting BLDINDEX on the IAM Utility Program Selection Menu

Build Index Panel

On the IAM BLDINDEX panel, enter the names of the base cluster and the alternate index to be built. When ready, press enter to start the BLDINDEX function.

```
---------------------------- BLDINDEX - Datasets ----------------------------
COMMAND ===>

    Base Cluster ===> myiam.cluster

Alternate Index ===> myiam.aix

Specify BASE and AIX dataset names and hit ENTER
```

Figure 67: Example of completed BLDINDEX Panel

After pressing enter, the IAM ISPF panels will invoke the IDCAMS BLDINDEX function to build the alternate index. When completed, IAM ISPF will display the IDCAMS output, an example of which is shown below.

```
BROWSE    SYS02321.T145744.RA000.RAM2.R0100508                    Line 00000000 Col 001 120
Command ===>                                                      Scroll ===> CSR
****************************************Top of Data*********************************************
IDCAMS  SYSTEM SERVICES                          TIME: 14:57:45      11/17/02    PAGE     1
BLDINDEX  INFILE(DISKIN) OUTFILE(DISKOUT)
IDC0652I RAM2.MYIAM.AIX SUCCESSFULLY BUILT
IDC0001I FUNCTION COMPLETED, HIGHEST CONDITION CODE WAS 0
IDC0002I IDCAMS PROCESSING COMPLETE. MAXIMUM CONDITION CODE WAS 0
********************************** Bottom of Data ********************************************
```

Figure 68: Example of Output from BLDINDEX Function

CONTINUED . . .

46.02 CONTINUED

Define an IAM Path

The next step is to define an IAM PATH. Here again, you start out with the IAM Primary Options Panel. The procedure is as follows:

- Type an **I** in the Option field, to define an IAM data set.
- Type in the PATH name in the data set name field.
- Type a **P** in data set type for a Path.
- Press Enter.

Define an IAM Path Panel

The IAM Define Path panel will be displayed. On this panel you need to specify the name of the path entry data set, which will typically be the name of an alternate index. Then indicate whether or not any IAM is to automatically update the upgradeable alternate index data sets when this path is opened for update, by specifying YES or NO to the UPDATE field. Then press enter. An example of a completed Define an IAM Path panel is shown below.

```
---------------------------- DEFINE AN IAM PATH ----------------------------
COMMAND ===>


 Path Name    ===>    RAM2.MYIAM.PATH
Path Entry    ===>    ram2.myiam.aix


Update        ===>    YES        Yes  =   Open all UPGRADE sets when PATH opens
                                 No   =   Open only BASE CLUSTER when PATH opens
```

Figure 69: Example of the Define an IAM Path Panel

46.02 CONTINUED

IAM Path Characteristics Panel

When the path definition is completed, IAM ISPF will redisplay the IAM Primary Options panel, with a message in the upper right hand corner indicating the status of the define request. To display the path characteristics, just hit enter again.

```
------------------------- IAM Path Characteristics -------------------------
COMMAND ===>
Dataset Name: RAM2.MYIAM.PATH

Definition Information                Allocation Information
Base Record Length:        128        Volume:                JUNK01
AIX Key Offset:             16        Device Type:             3380
AIX Key Length               4        Tracks in use:              1
Update:                     NO        Blocking Factor:            4
                                      Alloc Type:          RECORDS

Statistics
Creation:            2002.322
Expiration:          0000.000
Last Reference:      2002.322

Associations
CLUSTER-RAM2.MYIAM.CLUSTER
      AIX-RAM2.MYIAM.AIX
     PATH-RAM2.MYIAM.PATH
```

Figure 70: IAM Path Characteristics Panel

46.02 CONTINUED

Define a VSAM Cluster

The IAM ISPF panels also offer the capability to define VSAM data sets, in a manner similar to the IAM file definition. Starting from the IAM Primary Options Panel, do the following:

- Type a V for VSAM Define in the OPTION field.
- Enter the data set name for the VSAM cluster in the data set name field.
- Indicate type of data set, such as C for cluster.
- Optionally, type in the name of an IAM or VSAM data set with similar attributes in the Model field.
- Press the enter key.

Shown below is a completed IAM Primary Options Panel for a VSAM data set definition.

```
--------------------------- IAM PRIMARY OPTION MENU ---------------------------
OPTION ===> v

I      -   Allocate (DEFINE) a new IAM Dataset                          Ver 7.0/01P
V      -    Allocate (DEFINE) a new VSAM Cluster
D      -   Delete a Dataset, Cluster, Path, or Alternate Index
C      -   Copy an IAM Dataset, VSAM KSDS, or VSAM ESDS
M      -   Move an IAM Dataset, VSAM KSDS, or VSAM ESDS
R      -   Rename a Dataset, Cluster, Path, or Alternate Index
U      -   Invoke an IAM Utility program
blank  -   Display IAM Dataset or VSAM Cluster Information


Enter dataset name (required for all options except U)
 Dataset Name        ===>    myvsam.cluster
 Dataset Type        ===> c  C=Cluster X=AIX P=Path (options I, V Only)

Enter Model or New dsname (optional for options I and V, required for option R)
 Model|Newname       ===>

Delete Confirmation  ===> YES  Yes|No
```

Figure 71: IAM Primary Options Panel set for VSAM Define

46.02 CONTINUED

VSAM Define Panel

After pressing enter, the following VSAM Define panel is displayed. This panel can be used to define a VSAM ESDS, KSDS, LDS, or RRDS. To define a multi-volume cluster, specify YES in the Multi-volume Allocation field. An additional panel will be displayed where the additional volumes can be entered. IAM files can also be defined using this panel by setting the OWNER parameter to $IAM, however the IAM overrides are not available on this panel, as they are on the IAM Define Panel. Fill in the necessary information for the data component of the VSAM cluster, then press enter. An example of a completed panel is displayed below.

```
---------------------------- DEFINE A VSAM CLUSTER ----------------------------
COMMAND ===>

 Cluster Name :  RAM2.MYVSAM.CLUSTER
Data Name ===> 'RAM2.MYVSAM.CLUSTER.DATA'

ALLOCATION                    Multi-Volume Allocation ===> NO
Volume              ===>   junk01
SMS Storage Class   ===>                        MISC. ATTRIBUTES
SMS Data Class      ===>                         Owner                ===>
SMS Mgmt Class      ===>                         X Region Share       ===>    2          1-4
Cyls|Recs|Trks      ===>      cyls               X System Share       ===>    3          3-4
Primary Space       ===>         2               Bufferspace          ===>
Secondary Space     ===>         1               Reuse                ===>    no       Yes|No
ATTRIBUTES                                       Speed                ===>    yes      Yes|No
ESDS|KSDS|RRDS|LDS ===> ksds                     Spanned              ===>    Yes|No
Max Recordsize      ===>       128               Erase                ===>    Yes|No
Avg Recordsize      ===>       100
Key Length          ===>         4
Key Offset          ===>         8
CI Size             ===>      4096            RETENTION
CI Freespace %      ===>        10               DAYS                 ===>             0-9999
CA Freespace %      ===>         5               EXPIRATION DATE ===>                  YYYY.DDD
```

Figure 72: Sample IAM Panel to Define a VSAM Cluster

46.02 CONTINUED

Index Allocation for a KSDS

If you are defining a VSAM KSDS, then the following panel will be. This panel can be used to provide index specific parameters. Complete the necessary information on this panel, then press enter. A sample of a completed panel is shown below.

```
---------------------- INDEX ALLOCATION FOR A VSAM KSDS ----------------------
COMMAND ===>

Cluster Name  :  RAM2.MYVSAM.CLUSTER
Data Name     :  RAM2.MYVSAM.CLUSTER.DATA
Index Name ===> 'RAM2.MYVSAM.CLUSTER.INDEX'

ALLOCATION
Volume(s)          ===>  junk01    ______  ______  ______  ______
Cyls|Recs|Trks     ===>  trks
Primary Space      ===>  2
Secondary Space    ===>  1

ATTRIBUTES
CI Size            ===>  512
Imbed              ===>  no        Yes|No
Replicate          ===>  no        Yes|No
```

Figure 73: Example of VSAM Index Component Define

After pressing enter, IAM will define the data set, then return to the IAM Primary Options Panel. The status of the define will appear in the upper right hand corner of the panel.

46.02 CONTINUED

Copy from IAM to VSAM

Next, the VSAM cluster can be loaded by copying from a data set, such as an IAM file, into the VSAM data set. By starting on the IAM Primary Options Panel, do the following:

- Type C in the Option field for Copy function.
- Enter the name of the source data set in the data set name field.
- Press the enter key.

Shown below is an example of the completed Primary Options Panel for a copy.

```
--------------------------- IAM PRIMARY OPTION MENU -------- DATASET ALLOCATED
OPTION ===> c

I      -   Allocate (DEFINE) a new IAM Dataset                    Ver 7.0/01P
V      -   Allocate (DEFINE) a new VSAM Cluster
D      -   Delete a Dataset, Cluster, Path, or Alternate Index
C      -   Copy an IAM Dataset, VSAM KSDS, or VSAM ESDS
M      -   Move an IAM Dataset, VSAM KSDS, or VSAM ESDS
R      -   Rename a Dataset, Cluster, Path, or Alternate Index
U      -   Invoke an IAM Utility program
blank  -   Display IAM Dataset or VSAM Cluster Information


Enter dataset name (required for all options except U)
 Dataset Name        ===>     myiam.cluster
 Dataset Type        ===>     C  C=Cluster X=AIX P=Path (options I, V Only)

Enter Model or New dsname (optional for options I and V, required for option R)
 Model|Newname       ===>


Delete Confirmation ===> YES      Yes|No
```

Figure 74: Example of Primary Options set for COPY

After pressing enter, IAM will display the panel for the target data set. Here we enter the name of the VSAM data set in the Target data set name field, the press enter to perform the copy.

```
---------------- COPY AN IAM DATASET, VSAM ESDS, OR VSAM KSDS -----------------
COMMAND ===>

Source IAM Dataset Name:   RAM2.MYIAM.CLUSTER


Enter target dataset or cluster name
   Target Dataset Name      ===>  myvsam.cluster
   Extended Copy            ===>  NO      (Yes|No      - To define copy selection criteria)


Define Target Dataset       ===>  NO      (Yes|No      - If target does not exist)
Target Dataset Type         ===>            (IAM|VSAM  - If target is to be defined)
```

Figure 75: Example of Copy Target Specification Panel

46.02 CONTINUED

VSAM Data Component Information

The IAM ISPF panels also have a VSAM data component information panel that is shown below. This is a condensed display of the most relevant fields from the IDCAMS LISTCAT command. For multi-volume data components, an additional panel will be displayed which contains all the volumes the data component has been allocated on. To display information on a VSAM cluster, start at the IAM Primary Options panel. Leave the Option field blank, and fill in the Data Set Name field with the name of the cluster you would like to retrieve information about. Then, press enter. The following is an example of the resulting display.

```
---------------------- VSAM DATA COMPONENT INFORMATION ----------------------
COMMAND ===>

Cluster Name: RAM2.MYVSAM.CLUSTER
Data Name:    RAM2.MYVSAM.CLUSTER.DATA

ATTRIBUTES                    ALLOCATION                    STATISTICS
Dataset Type:          KSDS     Volume:            JUNK01     Records:        10000
CI Size:               4096     Device Type:         3380     Deletes:            0
CI's per CA:            150     Alloc Type:     CYLINDERS     Inserts:            0
Avg Recordsize:         100     Primary Alloc:           2     Updates:            0
Max Recordsize:         128     Secondary Alloc:         1     Retrievals:         0
Key Length:               4     Tracks Alloc:           45     Excps:             30
Key Offset:               8     Tracks Used:            45     CI Splits:          0
Cross Region Shropt:      2     Extents:                 2     CA Splits:          0
Cross System Shropt:      3      Storage Class:
Bufferspace:           9728     Data Class:                   FREE SPACE
Reuse:                   NO     Mgmt Class:                     CI Freespace %:    10
Speed:                  YES                                    CA Freespace %:     5
Spanned:                 NO     CLUSTER HISTORY                 Bytes:              0
Erase:                   NO     Owner:                          Tracks:             0
                                Creation:          2002.322
                                Expiration:        0000.000
```

Figure 76: Example of VSAM Data Component Information Panel

VSAM Index Component Information

For VSAM KSDS files there is an additional VSAM index component information panel. This panel will be displayed by hitting enter from the data component information panel, and will only be displayed for VSAM KSDS's. This is condensed display of the most relevant fields from the IDCAMS LISTCAT command. For multi-volume index components, an additional panel will be displayed which contains all the volumes the index component has been allocated on.

```
---------------------- VSAM INDEX COMPONENT INFORMATION ----------------------
COMMAND ===>

Cluster Name: RAM2.MYVSAM.CLUSTER
Index Name:   RAM2.MYVSAM.CLUSTER.INDEX

ATTRIBUTES                    ALLOCATION                    STATISTICS
CI Size:               1536     Volume:            JUNK01     Records:            4
CI's per CA:             23     Device Type:         3380     Deletes:            0
Max Recordsize:        1529     Alloc Type:        TRACKS     Inserts:            0
Key Length:               4     Primary Alloc:           2     Updates:            0
Key Offset:               8     Secondary Alloc:         1     Retrievals:         0
Imbed:                   NO     Tracks Alloc:            2     Excps:             14
Replicate:               NO     Tracks Used:             1     CI Splits:          0
                                Seq-Set Tracks:          0     CA Splits:          0
CLUSTER HISTORY                 Total Used:              1     Entries/Section:   12
Owner:                          Extents:                 1     Index Levels:       2
Creation:          2002.322     Storage Class:                 Seq-Set RBA:        0
Expiration:        0000.000     Data Class:                    Hi-Level RBA:    3072
                                Mgmt Class:
```

Figure 77: Example of VSAM Index Component Information Panel

46.02 CONTINUED

IAM ISPF Rename Function

The IAM ISPF panels also have a RENAME function, that will rename IAM or VSAM data sets. Starting at the IAM Primary Options Panel, do the following:

- Type an **R** in the Option field.
- Enter the name of the data set to be renamed in the data set name field.
- Enter the new name of the data set in the NEWNAME field.
- Press enter.

Shown below is a completed Primary Options panel to rename an IAM data set.

```
--------------------------- IAM PRIMARY OPTION MENU ---------- COPY SUCCESSFUL
 OPTION ===> r

   I     - Allocate (DEFINE) a new IAM Dataset                  Ver 7.0/01P
   V     - Allocate (DEFINE) a new VSAM Cluster
   D     - Delete a Dataset, Cluster, Path, or Alternate Index
   C     - Copy an IAM Dataset, VSAM KSDS, or VSAM ESDS
   M     - Move an IAM Dataset, VSAM KSDS, or VSAM ESDS
   R     - Rename a Dataset, Cluster, Path, or Alternate Index
   U     - Invoke an IAM Utility program
   blank - Display IAM Dataset or VSAM Cluster Information


 Enter dataset name (required for all options except U)
  Dataset Name       ===> testiam.cluster
  Dataset Type       ===> C  C=Cluster X=AIX P=Path (options I, V Only)

 Enter Model or New dsname (optional for options I and V, required for option R)
  Model|Newname      ===> oldiam.cluster


 Delete Confirmation ===> YES  Yes|No
```

Figure 78: Completed Primary Options Panel for Rename

After pressing enter, for most data set types the IAM Primary Option panel is redisplayed, with the message DATASET RENAMED in the upper right hand corner. However, if the data set is an IAM data set that is part of an alternate index association, then the following panel will appear to cause IAM to automatically recatalog the data set to update all of the related associations. Make sure that the RECATALOG field is set to YES, then press enter.

```
---------------------------- RECATALOG Components ----------- DATASET RENAMED
COMMAND ===>

    New Dataset Name... RAM2.NEWIAM.CLUSTER

    The above dataset that has been renamed is associated with an IAM
    Alternate Index or Path and needs to be RECATALOGED so IAM can
    maintain the correct relationships with associated datasets.

    Perform RECATALOG ===> YES  (Yes/No)
```

Figure 79: Sample RECATALOG Confirmation Panel

46.02 CONTINUED

Deleting Data Sets

The IAM ISPF panels also offer a delete function for IAM and VSAM data sets. For data sets with alternate index and path associations, the delete may cause additional data sets to be deleted. For example, the a delete of the base cluster will cause all associated alternate index data sets and paths to be deleted. The deletion of an alternate index data set will cause the deletion of any associated paths. From the IAM Primary Options Panel, enter the following:

- Type a D in the option field for delete.
- Enter the data set name in the data set name field.
- Set Delete Confirmation to YES or NO. It is recommended that YES be used, which will cause a delete confirmation panel to be displayed.
- Press enter.

Shown below is an example of the completed primary options panel for a delete.

```
--------------------------- IAM PRIMARY OPTION MENU ---------------------------
OPTION ===> d

I       -       Allocate (DEFINE) a new IAM Dataset                    Ver 7.0/01P
V       -       Allocate (DEFINE) a new VSAM Cluster
D       -       Delete a Dataset, Cluster, Path, or Alternate Index
C       -       Copy an IAM Dataset, VSAM KSDS, or VSAM ESDS
M       -       Move an IAM Dataset, VSAM KSDS, or VSAM ESDS
R       -       Rename a Dataset, Cluster, Path, or Alternate Index
U       -       Invoke an IAM Utility program
blank   -       Display IAM Dataset or VSAM Cluster Information


Enter dataset name (required for all options except U)
Dataset Name        ===>    myiam.cluster
Dataset Type        ===> c  C=Cluster X=AIX P=Path (options I, V Only)

Enter Model or New dsname (optional for options I and V, required for option R)
Model|Newname       ===>


Delete Confirmation ===>    YES     Yes|No
```

Figure 80: Completed Primary Options Panel for Delete

46.02 CONTINUED

Delete Confirmation

IAM ISPF will then display the following Delete Confirmation panel. This will include a list of associated data sets from an alternate index sphere that will also be deleted. However, not all of the possible associations will be shown, for example paths to the alternate indexes which will be deleted will not be displayed when deleting a base cluster. To cancel the delete request enter END (or generally press PF3). To allow the data set delete to be performed, press enter.

```
---------------------------- CONFIRM DELETE REQUEST ---------- Row 1 to 1 of 1
COMMAND ===>                                    SCROLL===> PAGE

DATA SET NAME:       RAM2.MYIAM.CLUSTER
DATA SET TYPE:       IAM KSDS
VOLUME:              JUNK01
CREATION DATE:       2000.328
EXPIRATION DATE:     0000.000

        Press ENTER to confirm the delete request.

        Enter END command to cancel the delete request.


The following related objects will also be deleted

TYPE NAME
---- ---------------------------------------------
(G)  RAM2.MYIAM.AIX
****************************** Bottom of data ********************************
```

Figure 81: Data Set Delete Confirmation Panel

Upon completion of the delete process, the Delete Request Results panel will be displayed, which will include a list of all of the data set and related components that were deleted.

```
---------------------------- DELETE REQUEST RESULTS---------- Row 1 to 3 of 3
COMMAND ===>                                    SCROLL===> PAGE

DATA SET NAME:       RAM2.MYIAM.CLUSTER
DATA SET TYPE:       IAM KSDS
VOLUME:              JUNK01
CREATION DATE:       2000.328
EXPIRATION DATE:     0000.000

        Press ENTER to continue

The following objects have been DELETED

TYPE NAME
---- ---------------------------------------------
(A)  RAM2.MYIAM.PATH
(A)  RAM2.MYIAM.AIX
(A)  RAM2.MYIAM.CLUSTER
****************************** Bottom of data ********************************
```

Figure 82: Example of the Delete Request Results Panel

After pressing enter again, the IAM Primary Options panel will be displayed, with a message indicating the status of the delete request.

46.02 CONTINUED

IAM Utility Program Selection Menu

The IAM ISPF panels support some limited access to some of the IAM utilities through the IAM utility selection panel. Since all the IAM utilities are really batch utilities, the additional panels simply build and pass an in storage command stream to the requested utility. The utility output can be directed to either a temporary print dataset or a new print dataset. ISPF BROWSE is called to view the utility print output after the utility executes.

```
---------------------- IAM UTILITY PROGRAM SELECTION MENU ---------------------
OPTION ===>

S   Specify utility print dataset allocation parameters

I   BLDINDEX  -  Build Alternate Index
R   IAMRECVR -  IAM file diagnostic and recovery utility
V   IAMSTATS  -  IAM VSAM Interface (VIF) module information
X   IAMXMONI  -  IAM Execution Monitor/ISPF for CICS Regions
Z   IAMZAPOP  -  IAM options table utility
```

Figure 83: IAM Utility Selection Menu

IAM Utility Print Data Set Allocation Panel

The utility print dataset allocation parms panel specifies the allocation for the output data set from the utilities. The utility print datasets will be allocated using the values specified in this panel. For most utilities, the default parameters should be sufficient. However, if the IAMRECVR PRINT function is used, you may wish to use this panel to provide additional space since this function can generate a significant amount of output depending on the options selected.

```
------------------ IAM UTILITY PRINT DATASET ALLOCATION PANEL -----------------
COMMAND ===> _

Blks|Cyls|Trks        ===> CYLINDERS
Primary Space         ===> 1
Secondary Space       ===> 1

Note - If blocks is specified, an average blksize of 6171 will be used
```

Figure 84: Example of Utility Print Data Set Allocation

IAMRECVR Function Selection Menu

The IAM IAMRECVR function selection panel is shown below. For execution under ISPF, the DIAGNOSE, LIST, and PRINT functions are supported. The other IAMRECVR functions are only supported in batch.

```
---------------------- IAMRECVR FUNCTION SELECTION MENU ----------------------
OPTION ===> _

  D  DIAGNOSE   - Scan an IAM file for errors
  L  LIST       - List file characteristics
  P  PRINT      - Dump selected areas or blocks from an IAM file
```

Figure 85: IAMRECVR Function Selection Menu

46.02 CONTINUED

IAMRECVR DIAGNOSE Options Menu

Shown below is the IAMRECVR DIAGNOSE options menu. DIAGNOSE can be used to validate the structural integrity of an IAM file. As with all of the utility option panels, if you leave the print DSN field blank, the print output will be directed to a temporary print dataset. If you provide a print dataset name, the name must be new name. The print dataset will be allocated for you.

```
---------------------- IAMRECVR - DIAGNOSE OPTIONS MENU ----------------------
COMMAND ===> _

Dataset Name  ===> 'IAMV.MARK$IAM.IAMFILE1'
Print DSN     ===>                                        (optional)
BSAM          ===> NO      (Yes|No - Use BSAM instead of EXCP)
```

Figure 86: Example of IAMRECVR DIAGNOSE Options Menu

IAMRECVR LIST Options Menu

Shown below is the IAMRECVR LIST OPTIONS menu. The LIST function produces output similar to the IAMPRINT report provided by the IDCAMS LISTCAT command.

```
------------------------- IAMRECVR - LIST OPTIONS MENU -------------------------
COMMAND ===> _

Dataset Name  ===> 'IAMV.MARK$IAM.IAMFILE1'
Print DSN     ===>                                        (optional)
```

Figure 87: Example of IAMRECVR List Options Menu

IAMRECVR Print Options Menu

Shown below is the IAMRECVR PRINT OPTIONS menu. The PRINT function produces a formatted dump of areas you specify within an IAM file.

```
------------------------ IAMRECVR - PRINT OPTIONS MENU ------------------------
COMMAND ===> _

Dataset Name    ===> 'IAMV.MARK$IAM.IAMFILE1'
Print DSN       ===>                                (optional)
BSAM            ===>   NO     (Yes|No - Use BSAM instead of EXCP)
IDPINQ          ===>   NO     (Yes|No - Print IAM control block)
PRINT LENGTH    ===>          (32-32768 - Maximum data to print per block)
MAX BLOCKS      ===>          (Maximum blocks to print from each area)
ALL BLOCKS      ===>          (Yes|No - Print blocks from all areas)
        OR
DATA            ===>          (Yes|No - Print data blocks)
KEYS            ===>          (Yes|No - Print key blocks)
OVERFLOW        ===>          (Yes|No - Print overflow blocks)
PE              ===>          (Yes|No - Print prime extension blocks)
        OR
FROM BLOCK      ===>          (First block number to print)
TO BLOCK        ===>          (Last  block number to print)
```

Figure 88: Example of IAMRECVR Print Options Menu

46.02 CONTINUED

IAMZAPOP Function Selection Menu

Shown below is the IAMZAPOP function selection menu. The ZAP function is only supported in batch and not under ISPF.

The LIST function produces a report of the options from the IAM Global Options Table in the IAM library specified.

The RESET function is used to reset the IAM Global Options Table in the library specified back to it's distributed default values.

The COPY function will copy the IAM Global Options Table settings from the IAM Library Name specified to the IAM Global Options Table in the COPY to DSNAME specified. Only the changed options will be copied to the new table.

The AUDIT function will list all of the options in the IAM Global Options Table that have been changed from their distributed default values.

You may provide the name of an optional new print dataset. If this field is left blank, a temporary dataset will be used.

```
---------------------- IAMZAPOP FUNCTION SELECTION MENU ----------------------
OPTION ===> _

  L  LIST          -    List IAM options
  R  RESET         -    Reset IAM options
  C  COPY          -    COPY IAM options
  A  AUDIT         -    AUDIT IAM options

IAM Library Name        ===>
Print DSNAME            ===>                                  (optional)
COPY to DSNAME          ===>                                  (for copy)
```

Figure 89: Example of IAMZAPOP Function Selection Menu

46.02 CONTINUED

IAMXMONI CICS File Statistics

The IAM ISPF panels also offer the capability to retrieve file activity information for IAM files that are being used under CICS under TSO. This is the same information that is available under CICS by using the IAMXMON transaction. To use this capability, you must be logged on to the same CPU/LPAR that CICS is running under. Type X in the option field for the IAM Utility panel, and press enter. IAM ISPF will display the following screen:

```
Innovation Access Method (IAM) Execution Monitor 7.0/01P
Command ===>

          MVS JOBNAME of CICS Region to Monitor ===> GFMTS120


Display DDNAMEs that begin with   ===>
IAM File types to display         ===> ALL        (ALL, KSDS, ESDS, PATH)
DSN list initial sort field       ===> DDNAME     (DDNAME, DSN, STG)
```

Figure 90: Example of IAM ISPF IAMXMON Panel

On the above panel, you must fill in the JOB NAME or started task name of the CICS region from which you want statistical information. There are also a few options that can be specified to customize the output panel. Once the panel is completed as desired, press enter. IAM will then display a summary of the IAM Enhanced format files that are open under CICS, as seen below.

46.02 CONTINUED

IAMXMON Data Set Summary Panel

```
Innovation Access Method (IAM) Execution Monitor 7.0/01P      Row 1 to 3 of 3
  Command ===>

      Region: GFMTS120
      Storage used for displayed IAM files:        1MB          Dataspace Size:        0 MB
      Above 16MB Storage Limit for Region:        32MB          Dataspace Used:        0 MB

      Status Indicators:    K KSDS File      U Open for Update      R REORG Suggested
                            E ESDS File      I Open for Input       S DSN Shared

DDNAME      DSN                                    Storage(K)      Status
-------------  -  ------------------------------------------  ---------------  --------
. AIXPATH    GFM.GAMA.KSDS2.PATH                        12        P U
. SYS00002   GFM.GAMA.KSDS2.BASE                        76        KBU
. SYS00003   GFM.GAMA.KSDS2.AIX                         92        KXU
****************************** Bottom of data ********************************
```

Figure 91: Example of IAMXMON Data Set Summary Panel

For IAM data sets that are opened under multiple DD names and that are sharing the same IAM control block structure will appear only under one of the DD names, which will be the one IAM is actively using at that point in time. IAM data sets that are opened as a result of an alternate index association will have DD names that begin with SYS followed by five numeric digits. To obtain more data about any particular data set, move the cursor to the data set that you want information on, and press enter. IAM will then display detailed statistical information, as shown in the following example.

46.02 CONTINUED

IAMXMON Data Set Detail Panel

```
Innovation Access Method (IAM) Execution Monitor 7.0/00T
COMMAND ===>
DDNAME: SYS00002   DSN: GFM.GAMA.KSDS2.BASE
Region: GFMTS120

IAM Command Execution Summary
   GET Random......  00000000   PUT Update.......  00000000   ENDREQ......  00000000
   GET Sequential..  00000000   PUT Add..........  00000000   WRTBFR......  00000000
   GET Previous....  00000000   Point............  00000000   OPEN........  00000001
   GET KGE.........  00000000   Point KGE........  00000000   CLOSE.......  00000000
   GET (Skip)......  00000000   ERASE............  00000000   CLOSE T.....  00000000
   Verify..........  00000000   Invalid Req......  00000000   Len Change..  00000000
   Seq Chain Read..  00000000   Seq Chain Write..  00000000   Flush Bfr...  00000000

IAM Execution Statistics                  Total Records............  0000000012
   Requests Processed.........  000000000  Requests Failed...........  000000000
   Blocks Read................  000000002  Blocks Written............  000000000
   Dynamic Table Retrievals...  000000000  Dynamic Table Records.....  000000000
   Dynamic Buffer Retrievals..  000000000  Minimum Buffers................  0001
   Storage Above 16M..........  000073728  Maximum Buffers................  0078
   Storage Below 16M..........  000004096  Current Buffers................  0004

Extended Overflow Information
   Available Overflow Blocks..  000000000  Extended PE Blocks Used....  000000000
   Extended Data Blocks.......  000000000  Extended Data Records......  000000000

Controlling Program... DFHKETCB

Processing Options                 Dataset Attributes
   OPENed for UPDATE..  YES          Dataset Type..  KSDS   Record Size...  84
   Immediate WRITE....  NO           Shareoptions..  2      Block Size....  11476
   OPTCD=ASY..........  NO           Extents.......  1      Recs/Block....  136
   OPTCD=WAITX........  NO           Blks/Trk......  4      Record Fmt....  V
   OPTCD=WRITE........  NO           Data Comp.....  NO     Index Comp....  NO
   CI Processing......  NO           Key Length....  8      RKP...........  4
   JRNAD Loaded.......  NO           Integrated Pct  0
   JRNAD Active.......  NO
   UPAD Loaded........  NO
   UPAD Active........  NO
```

Figure 92: Example of IAMXMON Data Set Detail Panel

46.02 CONTINUED

IAMXMON Path Panel

The detail panel for an IAM path is similar to the summary panel. The Path Detail Panel will display the data sets that are the components of the path, from which individual data sets can be selected similar to the data set summary panel. An example of a path panel is shown below.

```
Innovation Access Method (IAM) Execution Monitor 7.0/00T      Row 1 to 2 of 2
   Command ===>

      Path DD: AIXPATH   Path Name: GFM.GAMA.KSDS2.PATH
         Region: GFMTS120

      TYPE      DDNAME    DSN   Storage                                Storage(K)
      ---- --   -------------   ------------------------------------------- -   ---------
      . BASE    SYS00002  GFM.GAMA.KSDS2.BASE                            76
      . AIX     SYS00003  GFM.GAMA.KSDS2.AIX                             92
******************************* Bottom of data ********************************
```

Figure 93: Example of an IAMXMON Path Panel

Results of IAMSTATS Request

Shown below is an example of the results of the IAMSTATS request. The IAM version shows the version of the IAM options table that was found in core. The VIF key is an internal indicator used to allow multiple versions of IAM to run concurrently and not interfere with each other. There is a different VIF key for each IAM version, and sometimes a different key for different releases within the same version.

The IAM Vector Table status can be INSTALLED or NOT INSTALLED. If the status is NOT INSTALLED, the job used at your site to start IAM has NOT been run. The status of IAM itself can be ACTIVE or NOT ACTIVE. If the vector table is NOT INSTALLED, the status will always be NOT ACTIVE. The status may also be NOT ACTIVE if IAM has been started, then stopped. Stopping IAM does not remove the IAM vector table from memory.

The rest of the display lists all the IAM modules that are resident, where they are currently loaded, their length and their version/level information if available. If there are multiple versions and/or levels

46.02 CONTINUED

of IAM active, hitting the ENTER key will display information about each IAM vector table found.

```
--------------------- IAM VSAM Interface (VIF) Statistics ---------------------
OPTION ===>

                                    Module     Address    Len        Version
IAM Version: 7.0                    IAMVECTB   00C938B0   000750     7.0/01P
VIFKEY: FFFE0050                    IAM0192A   00C88470   001B90     7.0/01P
                                    IAM0200T   00C90128   000ED8     7.0/01P
                                    IAM0231T   00C86AA8   001558     7.0/01P
IAM Vector Table is INSTALLED       IGG0CLA0   00C933A8   000508
and IAM is ACTIVE                   IAM0CLA0   80C8D388   000C78     7.0/01P
                                    IAM026DU   85B156A0   004960     7.0/01P
                                    IAMCSMF    00C91988   000678     7.0/01P
Test Version of IAM: NO             IAM0009I   86379730   0004A0     7.0/01P
Jobnames:                           IAM0001I   85B13B60   0014A0     7.0/01P
                                    IAM00020   85BEC3F0   000C10     7.0/01P
IAM AIX Support Active: YES         IGWABWO    863CF648   000220
                                    IAMABWO    86379240   0004F0     7.0/01P
                                    IGWARLS    863CFB10   000258
                                    IAMARLS    85BE9AB0   000550     7.0/01P
```

Figure 94: Example of IAM Stats Output

47.01 IAMJREST – IAM JOURNAL RESTORE PROGRAM OVERVIEW

Overview IAMJREST is used to restore an IAM data set to its desired state using the journal records collected by the optional IAM journal exit. The IAM journal exit is activated through the use of the IAM Override facility, by specifying the JRNAD keyword. IAM journalling is described in Section 10.88 IAM Journal and Recovery. The use of these capabilities can be helpful to improve data availability by reducing the frequency of backing up the complete data set, and enhancing recovery after a batch job abends. For example, rather than backing up the complete data set daily, the complete data set can be backed up less frequently, while backing up the IAM journal data set on a daily basis. This provides the same level of recovery capability, while reducing the backup time presuming that the amount of update activity is low to moderate. Recovery from batch job abends can also be improved by using the ability to backout the file updates that were done by the job step(s), as opposed to restoring the data set and rerunning file updates to get back to where the failure occurred.

The capabilities of IAMJREST include:

- Perform a forward recovery. After an IAM data set has been restored from a backup copy, IAMJREST can be used to apply all of the updates to the data set from the journal file.
- Perform a backout (or backwards) recovery. This type of recovery will back out changes from the specified job step(s), to restore a data set to an image prior to the start of the desired job or job step.
- Print out summary of data that is in the journal data set. This includes the name of each job and job step that has records in the journal, along with the time stamp of the first and last record for each of the job steps.
- Print out the time stamp of the record, and the type of record. This report can also optionally include the key of the record in the base IAM data set.

A forward recovery is a process that will update a file with the updated records that are selected from the journal. The forward recovery process begins by independently restoring the file's contents from a backup copy. After a successful restore, the file is updated using the IAMJREST RESTORE FORWARD command with all of the updated records that are on the journal, up to the specified point in time. Actually, IAMJREST will sort the selected journal records by key (or RBA for ESDS files) and the time stamp. Then IAMJREST will only apply to the IAM file the most recent update for each record.

To be able to perform a forward recovery, the journal file must contain after images. This is accomplished by specifying either JRNAD=AFTER or JRNAD=BOTH on the IAM CREATE override. To be able to perform a forward recovery encompassing all of the file updates, then the IAM journalling must be specified when the file is defined or loaded using the IAM CREATE override. This way there is not a concern about having missed specifying journalling on any of the update jobs.

For a typical journal set up to perform forward recoveries, the journal data set should be backed up and emptied at the same time of the data set backup. This will eliminate the need of identifying any start point for the forward recovery process, as is done by specifying any of the 'FROM' keywords on the restore command. There may be a need however to use the 'TO' keywords to identify an end point.

For example, let's say that there are seven nightly jobs that update a file, called A, B, C, D, E, F, and G. The situation we are setting up a recovery for is a media failure that occurred while job F was running for the third time this week. The job stream can be restarted with job E. We need to restore up to and including job D that ran on the third day. So, the RESTORE command would be:

```
RESTORE  FORWARD,TOJOB=D,TODATE=day3
```

47.01 CONTINUED

This would apply the updates for all seven jobs on the first two days, and up through and including job D on the third day. When completed, the data set is ready for rerunning job E, and moving forward.

Backout Recovery Process

The backout recovery is a process where the most recent updates to a data set are removed. This is accomplished by updating the data set using the before images of records, which are the images of records prior to being updated or deleted. Before images will also include images of inserted records, which will cause a record deletion by a backout recovery. A backout recovery is useful for restoring a data set to the contents it had prior to the start of job or job steps that updated the data set. While a forward recovery could be used if all of the after images have been collected, it will probably be faster just to backout the updates from the failed job rather than reapply what might be several days worth of updates.

To be able to perform a backout recovery process, the journal must contain the before images of the updated records. This is accomplished by specifying either JRNAD=BOTH or JRNAD=BEFORE on either a CREATE or ACCESS override. If you wanted to always be able to backout the updates from any job, then be sure to provide the specification on a CREATE override, just as you would with when using a forward recovery. However, if you only wanted to provide backout ability for selected jobs, the ACCESS override could be specified for the jobs on which you wanted backout ability.

When setting up the control card for a backout recovery, generally you will want to backout the updates from one or more jobs and /or job steps that are at the end of the journal data set. So, you will not need to specify any of the 'TO' keywords to terminate selection, but you would specify some of the 'FROM' keywords, or perhaps just the JOBNAME and/or STEPNAME keywords. For example, if job G was the last update job to run, and it abended in one of the steps updating the IAM file. To backout all of the updates made by job G, the restore command would be:

```
RESTORE BACKOUT,JOBNAME=G
```

If the journal data set may contain multiple jobs by the name of G, the control statement would be changed to:

```
RESTORE BACKOUT,JOBNAME=G,FROMDATE=today,FROMTIME=lasttime
```

Another example would be if you have run jobs A, B, C, D, E, F, and G, with job G abending. For logistical reasons you need to restart with job E. The control statement would look like:

```
RESTORE BACKOUT,FROMJOB=E,FROMDATE=today
```

Command Summary

The IAMJREST program has the following commands / functions:

- **RESTORE:** This will invoke either the forward or backout recovery processing.
- **SCAN:** This command is used to display (print) the contents of the journal data set.

Please note that IAMJREST will only perform one command per execution.

CONTINUED . . .

47.02 IAMJREST - JCL REQUIREMENTS

The JCL statements required to execute IAMJREST are as follows:

Execute Statement

Specifies the name of the IAM journal recovery / restore program – IAMJREST. For a file restore operation, sufficient storage on the REGION parameter must be specified to include storage for your system SORT. The SORT is invoked dynamically for sorting the selected records from the journal data set to expedite recovery processing. A typical execute statement would be:

```
//RESTORE  EXEC PGM=IAMJREST,REGION=64M
```

The following table identifies the DD statements required by IAMJREST.

DD Name	Description
STEPLIB or JOBLIB	An optional DD statement that specifies the library containing the IAM program load modules. This DD is not necessary if IAM is in the Link List, as is recommended.
SYSPRINT	Required DD statement that specifies where the IAMJREST messages are to be printed. This is usually a SYSOUT data set.
SYSOUT	Required DD statement for RESTORE command that specifies the output message data set for the SORT. This is usually a SYSOUT data set.
IAMINFO	Optional DD statement that specifies the output report data set that contains the statistics for the IAM file activity that is performed by the IAMJREST program. This is usually a SYSOUT data set.
IAMJRNL	Required DD statement that specifies the IAM journal data set(s) for the IAM file to be recovered. If the journal data set is backed up daily to a sequential file, the backup copies can be concatenated with the current journal data set. If such concatenation is done, be sure it is done such that the records will be read by IAMJREST in ascending time sequence. The oldest journal data must be first in the concatenation, and the most recent must be the last in the concatenation.
IAMFILE	Required DD statement for RESTORE command that specifies the IAM file to be restored by IAMJREST.
SORTWKnn SORTLIB	These DD statements may be required when performing a RESTORE operation to utilize the system SORT. Be sure to provide adequate SORT work space (as specified on the SORTWKnn DD statements.)
SYSIN	Required DD statement that contains the card image input of the command to be executed by IAMJREST. This is normally a sysin (DD *) type of data set.

47.03 IAMJREST - RESTORE COMMAND

RESTORE Command Statement

The RESTORE command will perform the indicated file recovery process. Optional record selection capability is provided based on JOB and JOB STEP names, along with time and date specifications. The RESTORE command will update the IAM data set specified on the IAMFILE DD card with the data record images from the IAM Journal data set specified on the IAMJRNL DD card. Please note that updates performed by IAMJREST will not be written to the journal by the IAM journalling function.

When multiple selection criteria are specified, all of the indicated conditions must be met for the journal record to be selected for the recovery process.

RESTORE	**FORWARD | BACKOUT**	
	[,FROMDATE = yyyyddd **]**	**[,FROMJOB** = jobname **]**
	[,FROMSTEP = stepname **]**	**[,FROMTIME** = hhmmss **]**
	[,JOBNAME = jobname **]**	**[,LOG]**
	[,SEQUENTIAL]	**[,STEPNAME** = stepname **]**
	[,TODATE = yyyyddd **]**	**[,TOJOB** = jobname **]**
	[,TOSTEP = stepname **]**	**[,TOTIME** = hhmmss **]**

Figure 74: IAMJREST RESTORE Command Operands

RESTORE Command Operands

Operand	Description
FORWARD	Specifies that a forward recovery will be performed. The forward recovery process is where the inserts, updates, and deletes from the selected journal records are performed on the IAM data set. A forward recovery is done after restoring an IAM data set, providing a mechanism to bring the file up to a specified point in time from the journal. To perform a forward recovery, the after images must be captured by the IAM journal, as indicated by specifying either JRNAD=BOTH or JRNAD=AFTER. Default is FORWARD recovery.
BACKOUT	Specifies that a backout (or backwards) recovery will be performed. The backout recovery process is where any updates, inserts, or deletes performed from the selected journal records will be removed from the file by updating the data set with the version of the record prior to the update. Records that were inserted will be deleted, and records that were deleted will be inserted. This process is accomplished by sorting the selected journal before images into a descending time sequence. To perform a backout recovery, the IAM journal must have before images, as indicated by specifying either JRNAD=BOTH or JRNAD=BEFORE. Default is FORWARD recovery.
FROMDATE=	Specifies the lower time limit for journal records to be included in the recovery process. All preceding records are ignored. Must be specified in the form of 'yyyyddd', where yyyy is the 4-digit year value, and ddd is the 3-digit julian day value. Default is none, the date is not used for starting journal record selection.

47.03 CONTINUED

FROMJOB= A 1 to 8 character value for jobname that specifies that journal records will be selected for processing starting with the first journal record found for the specified jobname. All preceding journal records are ignored.

Default is none, the jobname is not used for starting journal record selection.

FROMSTEP= A 1 to 8 character value for step name that specifies that journal records will be selected for processing starting with the first journal record found for the specified step name. All preceding journal records are ignored.

Default is none, the step name is not used for starting journal record selection.

FROMTIME= Specifies that journal records will be selected for processing starting with the first journal record found for the specified time. All preceding journal records are ignored. The time is specified as either a 4 character 'hhmm' or a 6 character 'hhmmss' value, using an 'hh' value based on a 24-hour clock.

Default is none, the time will not be used for starting journal record selection.

JOBNAME= A 1 to 8 character value specifying that only those journal records with a matching jobname will be selected for the recovery process.

Default is none, there is no jobname restriction on journal record selection.

LOG Optional keyword that indicates that IAMJREST will provide a detail printed log of the actions being performed for each journal record processed.

Default is that only a summary of recovery activity is produced.

SEQUENTIAL Optional keyword that indicates that during a FORWARD recovery, all updated images will be applied as they occur in the journal.

Default is that only the last image of a particular record as identified by key or RBA will be used to update the file to save processing time.

STEPNAME= A 1 to 8 character value specifying that only those journal records with a matching step name will be selected for the recovery process.

Default is none, there is no step name restriction on journal record selection.

TODATE= Specifies the journal records up to and including the specified date will be eligible for the recovery process. Must be specified in the form of 'yyyyddd', where yyyy is the 4-digit year value, and ddd is the 3-digit julian day value.

Default is none, there is no date limitation on journal record selection.

TOJOB= A 1 to 8 character value specifying that journal records up to and including the specified job will be eligible for the recovery process. All journal records after those for the specified job are ignored.

Default is none, there is no jobname value for terminating journal record selection.

TOSTEP= A 1 to 8 character value specifying that journal records up to and including the specified step will be eligible for the recovery process. All journal records after those for the specified step are ignored.

Default is none, there is no step name value for terminating journal record selection.

TOTIME= A time value in the form of either a 4 character 'hhmm'or 6 character 'hhmmss' specifying that all journal records up to and including the specified time value are eligible for the recovery process. Journal records with time values higher than the specified time value will be ignored.

Default is none, there is no upper time limit for terminating journal record selection.

CONTINUED . . .

47.04 IAMJREST - SCAN COMMAND

Scan Command Statement

The SCAN command can be used to determine the contents of IAM journal data sets. Normally, a summarization format is printed out, indicating the names of all the jobs and job steps with records in the journal data set, along with a time stamp of the first record and a time stamp of the last record for each job step. This information can be helpful when setting up to perform a recovery and need to specify selection criteria.

A more detailed output report can be obtained, that contains the header information for each record in the journal data set, and optionally the key of the record. The header information includes a time stamp, job and step names, and the type of journal record. The detailed output may be useful if there is any question about the contents of the journal data set.

```
SCAN      SUMMARY | DETAILS [,KEYS]
```

Figure 75: IAMJREST SCAN Command Format

SCAN Command Operands

Operand	Description
SUMMARY	Specifies that a summary report is produced, indicating the name of each job and step that has records on the journal data set, along with the timestamp of the first and last record. Default is SUMMARY.
DETAILS	This option will print information about each journal record within the journal data set. The information displayed includes job name, step name, timestamp, and type of journal record. Default is SUMMARY.
KEYS	Optional keyword that can be specified with the DETAILS option, that indicates the displayed information is to include the key (or for ESDS files the RBA) of the record in each of the journal records. Default is that the key will not be displayed in the detailed report.

47.05 IAMJREST EXAMPLES

A few examples of running IAMJREST are shown below. Additional examples, along with information on setting up and using the IAM Journalling facility are provided in Section 10.88 IAM Journal and Recovery.

Example A: Producting a Summary Report

This example shows how to run a scan of the current journal data set. The report produced will contain a list of all the job/job steps that updated the corresponding IAM data set, along with time stamps of the first and last record for each job step.

```
//SUMMARY   EXEC PGM=IAMJREST
//SYSPRINT DD    SYSOUT=*
//IAMJRNL   DD    DSN=my.iam.ksd.log,DISP=SHR
//SYSIN     DD    *
  SCAN    SUMMARY
/*
```

Figure 76: Example of Obtaining a Summary of Journal Contents (EX4705A)

Example B: Forward Restore Example

This example will show all the JCL and the control card to run the forward recovery that was discussed under Forward Recovery in section 47.01. For this example, we are recovering up to and including all the updates done by 'jobD' that was run on July 8,1998 (julian 1998.189), that had ended by 11:15pm.

```
//FORWARD     EXEC      PGM=IAMJREST,REGION=64M
//SYSPRINT    DD        SYSOUT=*
//SYSOUT      DD        SYSOUT=*
//IAMINFO     DD        SYSOUT=*
//IAMJRNL     DD        DSN=my.iam.ksd.log,DISP=OLD
//IAMFILE     DD        DSN=my.iam.ksd,DISP=OLD
//SORTWK01    DD        UNIT=SYSDA,SPACE=(CYL,(50,10))
//SORTWK02    DD        UNIT=SYSDA,SPACE=(CYL,(50,10))
//SORTWK03    DD        UNIT=SYSDA,SPACE=(CYL,(50,10))
//SYSIN       DD        *
  RESTORE FORWARD,TOJOB=jobD,TODATE=1998189,TOTIME=2315
/*            /*
```

Figure 77: Example of Forward Recovery with TOJOB, TODATE, and TOTIME. (EX4705B)

47.05 CONTINUED

Example C: Backout Restore

This example will show all the JCL and the control card to run a backout recovery. The circumstance is that we want to backout the updates done by job UPDG, which has been run several times. We just want to backout the updates from the last run, which started at 10:30am.

```
//BACKOUT      EXEC     PGM=IAMJREST,REGION=64M
//SYSPRINT     DD       SYSOUT=*
//SYSOUT       DD       SYSOUT=*
//IAMINFO      DD       SYSOUT=*
//IAMJRNL      DD       DSN=my.iam.ksd.log,DISP=OLD
//IAMFILE      DD       DSN=my.iam.ksd,DISP=OLD
//SORTWK01     DD       UNIT=SYSDA,SPACE=(CYL,(50,10))
//SORTWK02     DD       UNIT=SYSDA,SPACE=(CYL,(50,10))
//SORTWK03     DD       UNIT=SYSDA,SPACE=(CYL,(50,10))
//SYSIN        DD       *
  RESTORE BACKOUT,FROMJOB=UPDG,FROMDATE=1998189,FROMTIME=1030
/*FORWARD,TOJOB=jobD,TODATE=1998189,TOTIME=2315
/*
```

Figure 78: Example of a BACKOUT Restore (EX4705C)

MESSAGES & CODES

IAM

INNOVATION ACCESS METHOD

MESSAGES & CODES

INNOVATION®
DATA PROCESSING

80.01 INTRODUCTION

This section describes the various printer, console, and TSO messages which may be output by INNOVATION's IAM product and the various ABEND codes with which it may terminate.

The general foramt of IAM messages and WTORs is as follows:

IAMnnn Message on printed report.

IAMWnn IAM WTO/R message.

IAM Utility Return Codes

IAM utility programs pass a return code at the end of the step, unless they ABEND. A return code of zero indicates that IAM has performed all functions successfully. A return code of four (004) indicates that the testing period for a trial version of the product has expired. The production version of the product library does not contain an expiration date. Any other return code is accompanied by error messages, and indicates that some kind of error has occurred during the execution.

VSAM Return Codes

IAM's VSAM Interface (VIF) will pass return codes comparable to the return codes set by VSAM. The return codes are passed in the RPL for I/O requests, or in the ACB for Open or Close requests. The return codes that IAM will issue are documented in Section 80.21.

ABEND Codes

When using IAM through the VSAM interface, IAM abides by general VSAM rules, which is to pass back error return codes and error codes rather than abending. The exception to that rule is a possible U0184 abend that can occur for diagnostic purposes for various errors when the user has added an //IAMDEBUG DD DUMMY card to a potentially failing job step. This DD statement should only be coded at the request of IAM support personnel to obtain additional documentation for a particular problem. This is because many normally occurring errors may result in a U0184 abend when the DD card is present.

IAM abend codes range from U0100 to U0999. IAM ABENDS are preceded by an IAM error message. For the VIF interface, IAM avoids intentionally abending whenever possible, but will issue appropriate error codes.

80.02 IAM WTO MESSAGES

IAMW01 DD='ddname' I/O ERROR CODE=X'decb iobecb csw cccchhhhrr'

Reason: This message is provided by the IAM processor for Compatible format files, when a file access fails with an I/O error. The message contains the following diagnostic information:

DECB — displays the two error bytes of the BDAM DECB(+1). Possible codes are:

8000 — Block not found on track

4000 — Block length was incorrect

0800 — Media failure - Data or Equipment check

0400 — Physical End of File marker

0200 — Unidentified error

0010 — Requested block not within file extents

IOBECB — displays the first four bytes of the IOB.

CSW — displays the channel status word.

cccchhhhrr—displays the cylinder, head and record head number the error was detected on. The head and record number may not be accurate. IBM's message IEA000I/IOS000I, if present, contains the real track address.

Return Codes: A Return Code of 12, X'0C', and a reason code of X'04' for a read I/O error, or X'10' for an output I/O error, is stored in the RPL. The SYNAD exit will be invoked, if so specified by the program.

Action: If an IBM IEA000I/IOS000I message appears on the JCL LOG, a hardware error has occurred. The IBM message gives the sense information (ex: data check, equipment check). Examine the error information provided in the IBM message to determine the cause of the error. The format of this information is documented in the IBM data management SRL for the operating system in use. The file in question is unreadable in its present state and must be reestablished. This may be the result of a hardware error and if possible the new file should be allocated to a different physical location or volume.

For other I/O errors, those not accompanied by a hardware failure error message, determine the cause of the error. It is recommended that an IAMRECVR DIAGNOSE function be executed on the problem file, to see if there are any problems with the file integrity. Some common reasons for these types of I/O errors include:

File has been improperly moved or restored to a device type different than it was originally loaded on. Frequently, this will fail with block not found I/O error, with a DECB error code of x'8000'.

Multivolume file has been improperly moved, or improperly cataloged. Frequently, this will result in an I/O error of block not within extent, DECB error code of x'0010'.

Storage overlay of IAM I/O control blocks. When this type of error occurs, most jobs and application programs do successfully process the IAM file, as does IAMRECVR. The failure is typically limited to one or a few jobs. A SYSUDUMP will be needed to determine the cause of this type of error.

The utility IAMRECVR may be used to recover a file that is no longer usable due to I/O or logical error conditions. This utility can be used to off load records from those portions of the file that have not been physically damaged. The user program should make a decision on the action to take when this occurs, for example to continue processing without this file or to terminate processing until this file is made available. The appropriate action will depend entirely on the application and the

80.02 CONTINUED

user program's evaluation of the diagnostic information that is returned. If further assistance is needed, contact Innovation Data Processing.

IAMW02 REPLY RETRY WAIT OR CANCEL FOR ENQ WAIT ON 'dsname'

Reason: The IAM file is not available to this job because some other job is currently accessing the file. This WTOR will only be issued when the IAM Global Option VSAMTWO=YES is specified, and is only applicable to Compatible Format files. A load process is always protected against concurrent access (read or update) or from another load. The Share Options specified when the file was defined determine which types of access can concurrently share the file.

Action: The operator reply determines the course of action IAM will take. The following responses are allowed:

RETRY: IAM retries the ENQUEUE for the data set. If the file is still unavailable, the message will be re-issued.

WAIT: IAM waits for the data set to become available. Caution: The job could time out.

CANCEL: IAM fails the OPEN request with a return code indicating the file is not currently available for processing. The ACBERFLG field will be set to x'A8'.

IAMW03 DD='ddname' FILE FULL DUE TO INSUFFICIENT CORE

Reason: This message is displayed by IAM when it finds an insert has failed because of insufficient storage to expand the overflow index. The record that was to be added was not placed in the file. Space may still be available at other locations within the file. Consequently, subsequent inserts may or may not fail depending on where in the file they are placed. Additionally, changes in the storage available within a region may allow a subsequent GETMAIN to succeed.

This message is displayed a maximum of 10 times per execution.

Return Codes: A return code of eight (8) and a reason code of 28 (x'1C') is stored in the feedback field of the RPL. This error code indicates a VSAM file full logical error. The logical error exit, LERAD, will be invoked, if so specified in the program.

Action: This message indicates that there is an insufficient above the line storage region available for IAM to expand the overflow index size. Reorganizing the file may help to reduce the storage needed. For Compatible format files, the definition of the file's OVERFLOW parameters as well as the OCOREO% and OCOREX% parameters should be reviewed. Increasing the OCOREO% value to acquire a larger overflow index area when the file is Opened may correct the problem. The job step region size or, for CICS users, the MVS IEFUSI exit, may also need adjustment so more storage will be available for use by this particular task.

IAMW04 DD='ddname' OPEN ERROR - DATASET NOT AVAILABLE[, IN USE BY JOB jobn]

Reason: IAM was unable to open the specified file because it was already opened by another job. For Enhanced Format files, if IAM is able to determine which JOB or user has the file, it will be identified in the message.

Return Codes: A reason code of 168(x'A8') is stored in the ACB error flags field (ACBERFLG) and the OPEN is failed with a return code of 8.

Action: Rerun the job when the indicated job has terminated or closed the IAM file that was in use.

CONTINUED . . .

80.02 CONTINUED

IAMW05 DD='ddname' OPEN ERROR - MORE THAN 2 OPENS FOR UPDATE IN TASK

Reason: This message is displayed by IAM, for Compatible format files only, when it finds a task has issued multiple Opens for update against the same dataset.

Return Codes: A reason code of x'F8' is stored in the ACB error flags and the OPEN is failed with a return code of 8.

Action: Convert the file to an Enhanced Format file, which supports multiple ACB's within the same address space. Or, change the program to insure that the same file is never opened for update more than once within the processing task without first closing it.

IAMW06 DD='ddname' OPEN ERROR - DD STATEMENT MISSING

Reason: This message is displayed when a task has issued an OPEN, but the 'ddname' the OPEN was issued against did not exist, or some other error in open processing has occurred.

Return Codes: Reason code of x'80' is stored in the ACB error field and the open request is failed with a return code of 8.

Action: Check for other messages that may indicate the cause of the problem. Also, check to see if the missing DD statement is not the result of a misspelling. If not, add the DD statement to the JCL.

IAMW07 DD='ddname' I/O ERROR CODE=X'decb iobecb csw cccchhhhrr'

Reason: This message is displayed for Compatible Format file when a file OPEN fails with an I/O error. The format is the same as the IAMW01 message, please refer to that message for an explanation of the error codes.

Note: This message may also occur when an attempt is made to OPEN a non-IAM or non-VSAM data set as VSAM.

Return Codes: The ACB error flag is set to a value of x'B8', and the open is failed with a return code of 8.

Action: Refer to message IAMW01 for potential causes and corrective action.

IAMW08 DD='ddname' OPEN ERROR - INSUFFICIENT STORAGE IN REGION

Reason: This message is displayed by IAM when a file OPEN fails because storage is not available for data buffers, the index or control information. Typically this message is issued due to insufficient storage above the 16 megabyte line (31-bit addressable storage), although it can also be issued for shortage of storage below the 16 megabyte line. Below the line storage may have become depleted due to insufficient region specified for above the line storage.

Return Codes: Reason code of x'88' is stored n the ACB error flags, and the open is failed with a return code of 8.

Action: This message can occur for any number of reasons, and be corrected in various ways depending on the base cause of the problem. Frequently the problem is that the number of records in Independent or Extended Overflow result has significantly increased, causing a high demand for virtual storage for the index to the Overflow area. That problem can most easily be resolved by reorganizing the file. Please refer to the section on Storage Tuning for complete information on IAM's storage usage, and how that usage can be controlled.

CONTINUED . . .

80.02 CONTINUED

IAMW09 DD='ddname' OPEN ERROR - FILE DEFINED BUT NOT LOADED

Reason: This message is displayed by IAM when a task has issued an OPEN against an IAM file but the dataset (referenced by 'ddname') for input or update processing, but the file had never been loaded as an IAM file, or an attempted load failed.

Return Codes: Reason code of x'A0' is stored in the ACB error flags, and the open request is failed with a return code of 8.

Action: The file must be successfully loaded with data before it can be used for input or update processing.

IAMW10 DD='ddname ' OPEN ERROR - NOT AN IAM/VSAM FILE OR CREATE FAILED

Reason: This message is displayed by IAM when a task has issued a VSAM OPEN for the dataset (referenced by 'ddname') but the file did not contain a valid IAM file descriptor block. The dataset may be the result of a load that failed, may have a misspelled data set name, or perhaps the file was damaged.

Return Codes: Reason code of x'BC' is stored in the ACB error flags, and the Open is failed with a return code of 8.

Action: First, determine if the file being opened is supposed to be an IAM or a VSAM file. If it is supposed to be an IAM file, review the output from the job which created the file for errors.

IAMW11 DD='ddname' DYNCORE DISABLED DUE TO INSUFFICIENT STORAGE

Reason: This message is displayed by IAM when a user requested storage for IAM's Dynamic Table option and the virtual storage is not available. Processing continues as if the request for Dynamic Tabling had not been requested.

Return Codes: No error codes or return codes are set.

Action: The user should increase the region size or decrease the value requested for DYNCORE.

IAMW12 DD='ddname' DATA COMPRESS ERROR xxxxxxxx xxxxxxxxxxxxxx xxxxxxxxxxxxxx

Reason: During a file access of a data compressed IAM file, IAM decompression failed. The first four bytes are the internal RDW, the remaining data is the key.

Return Codes: A logical error code of x'2C' is set in the RPL. If present and active, the program's logical error exit (LERAD) is invoked, otherwise the request is failed with a return code of 8. If the user has placed a //IAMDEBUG DD DUMMY DD card in the JCL, then the task is abended with an abend code of U0184.

Action: Run an IAMRECVR DIAGNOSE function to validate that the file is still valid. If the DIAGNOSE did not find any errors, then the cause is most likely a storage overlay. If the application did not produce a dump, rerun the job with a //IAMDEBUG DD DUMMY DD card to get a dump. If errors were found by DIAGNOSE, then a file recovery is necessary, either with IAMRECVR or other procedures that have been established. Contact Innovation Data Processing for assistance.

CONTINUED . . .

80.02 CONTINUED

IAMW13 DD='ddname' FILE FULL, REORGANIZATION REQUIRED

Reason: IAM was unable to add a record into a file, or unable to accept a larger size updated record. For Compatible format files, the Independent Overflow area has been filled. For Enhanced format files, IAM was not able to obtain additional DASD space to expand the size of the file. A message indicating some type of X37 abend may precede this message.

Return Codes: A reason code of 28(x'1C') is stored in the RPL error flags field (RPLERRCD) and the PUT is failed with a return code of 8. This VSAM logical error code signifies a file full error condition. If the application has provided a logical error exit (LERAD), the exit will be invoked.

Action: Reorganize the file. It may be necessary to increase the space allocation for the file, and / or to move the file to a different volume(s). For Enhanced Format files, it may be possible to free up some DASD space that is allocated to other data sets, and retry the request without doing a file reorganization.

IAMW16 DD='ddname' OPEN ERROR - IAM DOES NOT CURRENTLY SUPPORT LSR

Reason: For Compatible Format files only, this message is displayed by IAM when a task has issued an open for a data set with the Local Shared Resources processing option (MACRF=LSR) specified in the ACB, and a UPAD exit active in the exit list (EXLIST) specified for the ACB. Typically, this will occur for a Compatible Format file opened by CICS, without indicating that the file is not to be in any LSR pool in the CICS FCT. The OPEN fails.

Return Codes: Reason code of x'E4' is stored in the ACB error flag field and the open is failed with a return code of 8.

Action: Either convert the file to an IAM Enhanced Format file, which will support the LSR application, or if the error occurred under CICS, change the CICS FCT table to specify LSRPOOL=NONE.

IAMW17 DD='ddname' ADD FAILED - MORE THAN 1 ACB CONCURRENTLY UPDATING FILE.

Reason: IAM does not support concurrent file update. This message will be displayed when IAM detects an inconsistency between an Overflow block, and the index entries for that block in virtual storage. Generally such inconsistencies should only occur if another job had updated the data set since it was last OPENed by the failing application. IAM expects proper procedures to be used to prevent concurrent update as it will eventually result in damage to the file. This message is displayed a maximum of 10 times per execution.

Return Codes: A return code of eight (8) and a reason code of x'1C' (file full) is stored in the feedback field of the RPL. The logical error exit (LERAD) will be invoked, if so specified in the program.

Action: In a multi-processor environment IAM files must be protected, by a global enqueue facility or manual scheduling, from concurrent update by tasks running on different processors. Within a single processor multiple tasks will be prevented from concurrently opening a file for update by the proper use of SHAREOPTIONS. IAM defaults to a cross region SHAREOPTION 1, multiple read access users or one update user. The use of SHAREOPTIONS 3 and 4 disable IAM's enqueue protection for a file as does the IAMOVRID parameter UPDATENQ=NONE. Use these facilities with great care. Within a single task for Compatible Format files, use of multiple ACB's against an IAM file will defeat IAM's enqueue protection for that file. To preserve the integrity of your IAM files any application program that accesses an IAM Compatible Format file with multiple ACB's must be changed to ensure only one ACB is used to access the file for update, or convert the file to the IAM Enhanced File format. (For further assistance contact INNOVATION).

CONTINUED . . .

80.02 CONTINUED

IAMW18 DD='ddname' USER NOT AUTHORIZED FOR UPDATE

Reason: For Enhanced Format files, IAM issued a RACROUTE to verify that the user had authority to access the data set for the desired mode, and received a return code indicating that the user is not authorized for the requested function.

Return Codes: A reason code of x'98' is stored in the ACB error flags field, and the open request is failed with a return code of 8.

Action: Correct the error and rerun the job. Error could be caused by an incorrect data set name on the DD card, or this most likely is a legitimate error.

IAMW19 THIS VERSION OF THE IAM PROGRAM DOES NOT SUPPORT THE VSAM INTERFACE

Reason: The IAM system level VSAM Interface (VIF) loaded an IAM module but found it to be at a level previous to the ones that support IAM's VSAM Interface (VIF).

Return Codes: Reason code of x'F1' is stored in the ACB error flags field and the open is failed with a return code of 8.

Action: If STEPLIB/JOBLIB was not specified, check the LINKLIST libraries to see if a prior version of IAM is ahead of the current version. If STEPLIB/JOBLIB was specified, verify that the library specified on that DD statement contains IAM Version 5.0 or higher.

IAMW20 DD='ddname' OPEN ERROR -- error description

Reason: The file load process has detected an invalid, unsupported, or inconsistent parameter for the indicated file attribute. The particular attribute that is invalid is indicated in the error description text. The possibilities are:

-- LRECL Specification - Must be at least as long as key offset (RKP) plus the key length, and no larger than 32,755.

-- Key Length Specification - Must be greater than 1, and less than 250.

-- Blocking Factor Specification - Must be between 1 and 15.

-- Blocksize Specification - Must exceed the maximum record length by at least five bytes, and be at least 300 bytes.

-- RKP Specification - Must be less than 4092 bytes.

-- Independent Overflow Specification - For compatible format files, can not be a value that will cause the Overflow area to exceed 64,000 blocks.

-- Integrated Overflow Specification - Must be between 0 and 99.

-- Prime Extension Specification - For Compatible Format files, can not exceed 32,767.

-- Delete Processing Request - Should not occur with the IAM / VSAM Interface.

-- Data Control Area (DCA) Validation - Should not occur with the IAM / VSAM Interface.

-- Blocksize Calculation - The IAM internal blocksize calculation resulted in an value that can not be used on the device that contains the IAM file.

Return Codes: A reason code of 192(X'C0') is stored in the ACB error flags field (ACBERFLG) and the open is failed with a return code of 8.

Action: Correct the invalid specification, and rerun. This error may occur when using VIF if the IAM file was allocated through some means other than an IDCAMS DEFINE and the user failed to correctly provide file specifications through a CREATE Override Control Statement. Additionally, conflicts between the file itself and the user program's internal file definition must be resolved.

CONTINUED . . .

80.02 CONTINUED

IAMW21 DD='ddname' LOAD ERROR -- EXCEEDED 255 EXTENTS

Reason: During the loading of a multivolume file, the file required more than 255 extents, which is more than the number of extents allowable.

Return Codes: If failure occurred on a WRITE or PUT request, the RPL is failed with a Logical Error code of x'1C', file full logical error, and the request will receive a return code of 8. If the error occurred during CLOSE, then the CLOSE will fail with a return code of 4, and the ACB error flags set to x'90'. The file is left marked in an unloaded state.

Action: Delete and redefine the data set, increasing the primary and / or secondary space allocation values so that the file will fit within 255 extents.

IAMW22 DD='ddname' FILE REORGANIZATION RECOMMENDED -

Reason: A file reorganization is being recommended for one of the following reasons, as indicated in the message:

1. OVERFLOW INDEX EXCEEDS 16 MEG
2. OVERFLOW EXCEEDS 1000 CYLINDERS
3. EXCEEDED 13 EXTENTS ON SINGLE VOLUME
4. EXCEEDED REQUESTED OVERFLOW RECORDS

Return Codes: None.

Action: For reasons 1 and 2, performance on the indicated file may be adversely affected due to the size of the overflow area. In particular, it may take several minutes to open the file, and sequential processing may be detrimentally affected. It is therefore recommended that the file be reorganized at the earliest convenient time to prevent further performance deterioration. The file can be quickly reorganized with FDRREORG®, or if that product is not available, then use IDCAMS REPRO.

For reason 3, because IAM files have a non-VSAM file structure, they are limited to 16 extents per volume. The file indicated currently has 14 or more extents, so future growth will be restricted. To prevent an out of space condition, action should be taken at the earliest possible time. If there is sufficient space for the file to expand on the volume that it currently resides, either use COMPAKTOR to merge extents, or reorganize the file doing a DELETE and DEFINE of the data set, specifying a larger space allocation. The current space allocation values can be determined by performing a Listcat All on the data set. If the current volume has insufficient space, and you are unable to free up sufficient space, then the data set should be moved to a different volume, where more DASD space is available.

For reason 4, the file was defined with an Overflow override, and the file has reached or exceeded that number of records in extended overflow. Presumably, the override was provided to indicate when the file should be reorganized. IAM will continue to add records to extended overflow, provided that IAM is able to obtain sufficient DASD space to do so.

CONTINUED . . .

80.02 CONTINUED

IAMW23 DD='ddname' OPEN ERROR -- FILE WAS RELOADED SINCE READ ONLY ACB OPENED

Reason: An attempt was made to open a file with a second ACB (and possibly second DD card) within the same address space for UPDATE processing. The file had previously only been eligible for read only processing. On the open for update, it was determined that the file created time stamp is different from when the file had originally been opened by the READ only ACB. This would indicate that the file has been reloaded, and the index structure can not be updated.

Return Codes: A reason code of 240(x'F0') is stored in the ACB error flags field (ACBERFLG) and the open request fails with a return code of 8.

Action: Close all other ACB's open to that data set, and then reopen.

IAMW24 DD='ddname' OPEN ERROR - PROGRAM CHECK OCCURRED DURING OPEN

Reason: While IAM was opening a file, a program check occurred. The program check is identified by a preceding IAMW73 error message, which contains full information on the program check. Open processing for this file is terminated.

Return Codes: The OPEN fails with a return code of 8 and a reason code of 188(X'BC') in the ACBERFLG field of the ACB being opened.

Action: Contact Innovation Data Processing. Please have all of the available error messages available, along with any dumps to diagnose the problem.

IAMW30 DD='ddname' OPEN ERROR -- FILE IN USE BY JOB jobname

Reason: While attempting to load an IAM file, it was determined that the IAM file is currently in use by some other job or an attempt was made to simultaneously load multiple IAM files to the same data set. IAM attempts to determine the name of the job using the data set, and will display the first job found that has the data set open in this message. If the owning job is not found, then *UNKNOWN is displayed.

Return Codes: A reason code of 192(x'C0') is stored in the ACB error flags field (ACBERFLG) and the open request is failed with a return code of 8.

Action: An IAM load must be executed without any other job accessing the file at the same time. Wait until the file is closed by the other users, then rerun the file load.

CONTINUED . . .

80.02 CONTINUED

IAMW31 DD='ddname' OPEN ERROR - error description

Reason: An unusual error has occurred during the file load. This message should not appear for users of the IAM VSAM interface. If it does appear, it will indicate a serious internal environmental error. The possible errors are:

-- NOT DASD DEVICE - The device containing the IAM file is not a supported device type for IAM files.

-- DD STATEMENT MISSING - The RDJFCB, DEVTYPE, or OPEN for the IAM file failed.

-- WORK FILE IN USE - The DD statement being used for the work file is already in use by this job step for another IAM file being loaded. Most probable reason for this to occur is the specification of a CREATE override, with DDNAME=&ALLDD and a WKDDNAME specified.

-- WORK FILE NOT DASD DEVICE - The work file was allocated on an unsupported device type. Most probable reason for this to occur is the specification of a CREATE override with the WKDDNAME keyword specified, and the indicated DD does not reference a DASD device.

-- WORK FILE DD MISSING - The DD for the work file was not found to be allocated to the job step. Most probable reason for this to occur is the specification of a CREATE override with the WKDDNAME keyword specified, however the DD name has not been allocated to the job step.

Return Codes: A reason code of 192(x'C0') is stored in the ACB error flags field (ACBERFLG) and the open request is failed with a return code of 8.

Action: Check to see that there is no obvious error as indicated by the message. If necessary, contact Innovation Data Processing for further assistance.

IAMW32 DD=DDNAME OPEN ERROR - JOURNALLING INITILIZATION FAILED

Reason: An error has occurred while attempting to open an IAM file that requested the use of the IAM Journalling exit. IAM was unable to complete initialization for journal processing. This message will be preceded by IAMJxx messages indicating the reason for the failure.

Return Codes: A reason code of 188 (x'BC') is stored in the ACB error flags field (ACBERFLG) and the open request is failed with a return code of 8.

Action: Review the accompanying IAMJxx messages for the corrective acdtion that is required.

IAMW33 DD=ddname EMPTY ALTERNATE INDEX, DSN=alternate index name

Reason: This error message occurs when an alternate index is being opened as an object of a PATH, or as part of an upgrade set, and OPEN determined that the specified alternate index had not been loaded. Valid loading of an alternate index is generally accomplished via an IDCAMS BLDINDEX function.

Return Codes: If the alternate index is the object of a PATH that is being opened, the open will fail with a return code of 8, and an error code of 196 (x'C4') in the ACBERFLG field. If the alternate index is being opened as part of an upgrade set, then the open receives a warning return code of 4, and an error code of 100 (x'64') in the ACBERFLG field.

Action: Load the identified alternate index data sets with an IDCAMS BLDINDEX, or other program with a comparable function. If the alternate index is no longer needed, make sure that it has been properly deleted.

CONTINUED . . .

80.02 CONTINUED

IAMW37 DD=ddname I/O ERROR ECB=xx CSW=xxxx SENSE=xxxx [op RBN=block]

Reason: This message is displayed when an I/O error has occurred processing an Enhanced Format IAM file, or during a load of an IAM file. This message may also be accompanied by an IEA000I/IOS000I error message. The message contains the following information:

ECB - displays high order byte of ECB as posted. Possible values include:

-- 41 I/O terminated with error. CSW and / or Sense bytes are useful.

-- 42 Block is not within DASD extents for data set.

CSW - contains the UNIT/CHANNEL status bytes from the CSW. Possible values include:

-- 0C00 Normal Status

-- 0E40 Unit Check and/or Wrong length record

-- 0D40 End of file

SENSE - contains the first two sense bytes from the IAM internal IOB. Possible values include:

-- 8000 Command reject, the device or control unit did not recognize the command

-- 4000 Device requires operator Intervention

-- 1000 Equipment check

-- 0800 Data check

-- 0040 Invalid Track Format

-- 0020 End of cylinder

-- 0008 Block not found

For file loads, the job step will be abended with a U0233 abend code.

For file access, additional information includes:

-- op = RD error occurred on input operation or

-- op = WR error occurred on output operation

-- RBN= the relative block number being read or written

Action: If an IBM IEA000I/IOS000I message appears on the JCL LOG, a hardware error has occurred. The IBM message gives the sense information (ex: data check, equipment check). Examine the error information provided in the IBM message to determine the cause of the error. The format of this information is documented in the IBM data management SRL for the operating system in use. The file in question is unreadable in its present state and must be reestablished. This may be the result of a hardware error and if possible the new file should be allocated to a different physical location or volume.

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80.02 CONTINUED

For other I/O errors, those not accompanied by a hardware failure error message, determine the cause of the error. It is recommended that an IAMRECVR DIAGNOSE function be executed on the problem file, to see if there are any problems with the file integrity. Some common reasons for these types of I/O errors include:

-- File has been improperly moved or restored to a device type different than it was originally loaded on. Frequently, this will fail with block not found I/O error, with a SENSE error code of x'0008'.

-- Multivolume file has been improperly moved, or improperly cataloged. Frequently, this will result in an I/O error of block not within extent, ECB error code of x'42'.

-- Storage overlay of IAM I/O control blocks. When this type of error occurs, most jobs and application programs do successfully process the IAM file, as does IAMRECVR. The failure is typically limited to one or a few jobs. A SYSUDUMP will be needed to determine the cause of this type of error.

The utility IAMRECVR may be used to recover a file that is no longer usable due to I/O or logical error conditions. This utility can be used to off load records from those portions of the file that have not been physically damaged. The user program should make a decision on the action to take when this occurs, for example to continue processing without this file or to terminate processing until this file is made available. The appropriate action will depend entirely on the application and the user program's evaluation of the diagnostic information that is returned. If further assistance is needed, contact Innovation Data Processing.

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80.02 CONTINUED

IAMW38 DD='ddname' DSPSERV CREATE FAILED, RC=xx REAS=nnnnnnnn

Reason: IAM attempted to create a data space for holding the index structure during the file load, however the request was rejected by MVS for the indicated return code and reason code. This is an informational message only, IAM will attempt to continue processing, and utilize a dynamically allocated temporary work file on DASD. For information on the return code and reason code, review in the IBM MVS/ESA Authorize Assembler Services Reference Manual, under the DSPSERV macro.

Return Codes: No error codes are set for this situation.

Action: Contact INNOVATION for assistance to resolve the problem if unable to do so after reviewing the return code and reason code provided. One of the common reasons for this error message is that the installation exit has either disallowed the use of data spaces, or limited the size. If the size is limited, reduce the IAM Global Option value for DATASPACE, or set it to 0 to prevent the use of data spaces.

IAMW39 DD='ddname' ###### RECORDS ACCEPTED PRIOR TO ABEND

Reason: IAM has determined that the task loading the specified file has abended, or hit some other type of error condition, after loading the indicated number of records. This information may be useful for determining how much to adjust the space parameters if some type of Sx37 abend has occurred. The number of records that were actually written to the file may be slightly less than indicated due to buffering. Please note that the IAM file MUST BE RELOADED SUCCESSFULLY before attempting to otherwise access the file.

Action: Correct the error condition as indicated by the abend, and rerun the job. Depending on the cause of the abend, the file may have to be deleted and redefined, for example if a larger space requirement is necessary.

IAMW40 CARD IMAGE --*cc.....cc*

Reason: The input control statement(s) read from the 'IAMOVRID' DD statement is displayed when the control statement(s) contains an error or if requested by the user via the 'LOG=YES' operand.

Action: If any error condition was raised, another message will indicate the reason for the error. Otherwise, no action is necessary.

IAMW41 CONTROL STATEMENT OPEN FAILED -- DDNAME='ddname'

Reason: The DDNAME listed was required as control statement input to the override processor, IAMOVRID. An OPEN was attempted, but failed. Processing of the override service is terminated. Normal processing continues.

Action: Review the execution job log messages for more detail on cause of the failure. Correct the 'ddname' statement and, if necessary, rerun the job.

IAMW42 INVALID CONTINUATION CARD

Reason: User coded a delimiting comma following the last keyword on a control statement input to the override processor, IAMOVRID, and neglected to provide the next logical record. Processing of the override service is terminated. Normal processing continues.

Action: Correct the control statement. The job will continue to run, but may fail or perform unsatisfactorily if the Override data is critical.

CONTINUED . . .

80.02 CONTINUED

IAMW43 I/O ERROR READING CONTROL STATEMENTS -- DDNAME='ddname'

Reason: An I/O error occurred reading the data set referenced by 'ddname'. IAMOVRID is terminated, but normal processing continues.

Action: Examine any system message(s) to determine the cause of the error. The format of system messages is documented in the IBM MESSAGE SRL for the operating system in use. The job will continue to run, but may fail if the Override data is critical.

IAMW44 CONTROL STATEMENT BYPASSED -- 'error description'

Reason: An error was encountered by the override processor, IAMOVRID, during the processing of user supplied Override Control statements. The error description will be from the following list:

-- DDNAME NOT SPECIFIED -- The DDNAME operand was missing or misspelled. DDNAME is required to relate the override to a specific IAM file.

-- MAXIMUM GLOBAL OVERRIDES EXCEEDED -- The in storage table which holds the Overrides is full. A maximum of 200 control statements may be specified.

-- INSUFFICIENT STORAGE -- There was insufficient virtual storage for the IAM override processor to acquire for saving the internal format of the overrides.

Action: Correct the Override statements as follows:

Add a corrected DDNAME operand to the control statement and, if necessary, rerun the job.

Reduce the number of global overrides to 200 control statements or less. If more Override statements are required, contact INNOVATION technical support for a modification to IAMOVRID to expand the in-storage table.

Increase the amount of region, particularly for above the line storage. The override processor needs 48K of storage for the override table. Additional storage will also be required for processing the data set being opened, so just an increase of 48K will most likely not be sufficient.

IAMW46 'ddname' OPEN FAILURE -- IAMNINFO PROCESSING TERMINATED

Reason: The output report 'ddname' statement could not be opened by IAMNINFO. This DDNAME is usually 'IAMINFO', but may have been overridden by the user. Processing continues without interruption with the IAMINFO report bypassed.

Action: Correct the allocation of DDNAME 'ddname' so the next execution of the job will produce the IAMINFO report.

IAMW47 I/O ERROR MONITORING DSN - 'dsname' - IAM MONITOR TERMINATED

Reason: An IAM monitor facility processor encountered an I/O error while writing monitor/ trace data. Monitoring has been discontinued. Normal IAM processing continues.

Action: If a Monitor report is needed, correct the cause of the I/O error and rerun the job.

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80.02 CONTINUED

IAMW48 IAMNINFO PARAMETER LIST ABSENT OR IN ERROR -- PROCESSING TERMINATED

Reason: The parameter list required by the dynamic file status display processor, IAMNINFO, was missing, was overlaid or is in error. This maybe an internal error. Processing continues without the IAMINFO reported printed.

Action: If you are unable to determine the reason for the message, call INNOVATION for further assistance.

IAMW49 IAMREORG PARAMETER LIST ABSENT OR IN ERROR -- PROCESSING TERMINATED

Reason: The parameter list required by the dynamic reorganization processor, IAMREORG, was missing or in error. IAMREORG is not available to VSAM interface programs, it is only for IAM Native Interface, with Compatible Format files. The common errors are:

1. The pointer to the parameter list is missing.
2. The parameter list is not fullword aligned.
3. The parameter list contains invalid data.
4. Reserved bytes in the parameter list are not set to hexadecimal zeros.

A U0590 ABEND is forced.

Action: Correct the processing program calling 'IAMREORG' for dynamic reorganization services. If you are unable to determine the reason for the message, call INNOVATION for further assistance AFTER obtaining a storage dump.

IAMW50 IAM VTOC ACCESS FAILED COMP=xxxx CODE=xxxx CLUSTER=clustername

Reason: During an IDCAMS DEFINE or RECATALOG of an IAM file, an attempt to access or update a VTOC failed. The completion code and return codes from CVAF are displayed in the message.

Action: Refer to the IBM manual 'Common VTOC Access Facility Diagnosis Reference' for the meaning of the codes. Correct the problem and re-submit. If unable to correct the problem, contact INNOVATION for assistance.

IAMW52 IAM SHOWCAT INTERCEPT FAILED

Reason: The IAM SHOWCAT intercept function within VIF failed.

Return Codes: The calling program will be abended with a U0283 abend code. This error would indicate that something has destroyed the IAM VSAM interface table in virtual storage.

Action: Obtain a SYSABEND dump and call INNOVATION for assistance.

IAMW53 RECAT FAILED,DATASET NOT CATALOGED CLUSTER=clustername

DATASET NOT ON VOLUME: volser CLUSTER=clustername

OBTAIN FAILED, VOLUME: volser CLUSTER=clustername

DATASET FAILED IAM VALIDATION CLUSTER=clustername

Reason: An error as indicated by the reason in the message occurred during an IDCAMS DEFINE RECATALOG of an IAM dataset.

Return Codes: The RECATALOG request will be failed with return codes from the failing service.

Action: Make the corrections to the RECATALOG to correct the identified error, and rerun. If unable to determine why the request failed, contact INNOVATION for assistance.

CONTINUED . . .

80.02 CONTINUED

IAMW54 PROBABLE IAM FILE HAS NOT BEEN DEFINED, DSN=dsname

Reason: The processing program issued a SHOWCAT catalog request for a non-VSAM file that is cataloged, but does not have the IAM information (as established by DEFINE or file load) was not returned. This message is for diagnostic purposes, and will only be issued when an IAMDEBUG DD DUMMY DD card is in the job step of the program issuing the SHOWCAT macro.

Return Code: The SHOWCAT is given a return code of 32 (x'20'), indicating that the file can not be accessed through IAM or VSAM.

Action: This is an unexpected error situation, and should be reported to Innovation Data Processing. Please have a LISTCAT ALL output from IDCAMS available when calling.

IAMW55 PROBABLE IAM FILE IS CATALOGED IN CVOL, DSN=dsname

Reason: The processing program issued a SHOWCAT catalog request for a non-VSAM file that is cataloged in a CVOL. This message was issued by IAM's catalog request intercept facility. This message is for diagnostic purposes, and will only be issued when an IAMDEBUG DD DUMMY DD card is in the job step of the program issuing the SHOWCAT macro.

Return Code: The SHOWCAT is given a return code of 32 (x'20'), indicating that the file can not be accessed through IAM or VSAM.

Action: If the file in question is an IAM file, then it must be cataloged in an ICF or VSAM catalog.

IAMW56 IAM DEFINE OF NON-SUPPORTED FILE TYPE CLUSTER=dsname

Reason: An IDCAMS DEFINE was issued with an indication that the file should be an IAM file, but the file type cannot be converted to IAM. Examples are VSAM RRDS (Relative Record data sets) and VSAM Linear data sets.

Return Codes: The DEFINE is failed with a return code of 22, and a reason code of 8. These codes will appear in an IDC3009I message.

Action: Correct the IDCAMS DEFINE to either change the file type to one supported by IAM, or remove the indication that the file is to be an IAM file.

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80.02 CONTINUED

IAMW57 IAM ALLOCATION FAILED COMP=xxxx CODE=xxxx CLUSTER=clustername

Reason: An error occurred during an IDCAMS DEFINE of an IAM file. The codes displayed correspond to the return code and reason codes of the IDC3009I message from IDCAMS, and there will also be a IDC3009I message on SYSPRINT with the same codes. There may also be additional IDC or IAMW messages.

Return Codes: The DEFINE request is failed with the return code and reason code given in this message. Some of the more common return codes include:

-- 8,38 Data set already cataloged

-- 16,0 SMS failed allocation request, refer to IGD messages

-- 22,8 IAM does not support type of VSAM file requested.

-- 42,0 MVS DADSM failed allocation request

-- 56,6 User not RACF authorized to define the file

-- 58,0 Obtain of VTOC entry failed

-- 58,4 Specified DASD volume(s) not online

-- 68,20 No space on selected volume

-- 176,0 No space in VTOC

-- 184,4 Data set is allocated to another job or user

-- 192,0 Exceeded maximum allowable IAM record size

Action: Refer to IDCAMS error message IDC3009I for meaning of the codes. Correct the problem, and resubmit. It may be necessary to issue an IDCAMS DELETE command before attempting to resubmit the DEFINE.

IAMW58 LISTC INTERCEPT FAILED COMP=xxxx CODE=xxxx CLUSTER=UNKNOWN

Reason: A catalog Locate or LISTC request intercepted by IAM failed and received the specified the completion and return codes.

Return Codes: The request is failed with the indicated return code and reason code.

Action: Refer to VSAM errors message IDC3009I for meaning of the codes. Correct the problem and resubmit.

IAMW59 LOCATE FOR AN IAM FILE FAILED CODE=(cc)xxx [DSN=.....]

Reason: Locate for an IAM file failed for one of the following reasons:

1. CODE=S1xxx The IAM SHOWCAT intercept issued a locate which failed with return code xxxx on the specified data set. This form of the message will only appear when there is an IAMDEBUG DD DUMMY coded in the failing job step.
2. CODE=S2xxx The IAM SHOWCAT intercept issued a locate which failed with return code xxxx. The locate was issued by CI number, so the data set name is unknown.

Return Code: The SHOWCAT is given a return code of 32 (x'20'), indicating that the file can not be accessed through IAM or VSAM.

Action: Make sure that the data set is still properly cataloged. If not, an IDCAMS DEFINE RECATALOG must be done. If further assistance is required, contact INNOVATION.

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80.02 CONTINUED

IAMW60 IAM DYNALLOC FAILED 'description'

Reason: During the processing of an IDCAMS DEFINE for an IAM file, IAM's attempted use of Dynamic Allocation failed for the specified reason. This message presents a brief English description of the error code returned by Dynamic Allocation, which is supplied in the IAMW61 error message. Both messages are printed on the system log. There will also be an IDC3009I message on SYSPRINT, with an appropriate error code.

Return Code: The DEFINE request is failed, with a return code and reason code that matches the problem description.

Action: Correct the error situation, as described with the matching text below, and rerun the DEFINE.

Text: **DATA SET NAME IN USE BY ANOTHER JOB/USER**

Reason: The dataset name has been enqueued on by another job/user.

Action: Through whatever software facilities available, determine which job and/or users are enqueued on the data set, and rerun the DEFINE upon the completion of the other job/user.

Text: **VOLUME NOT MOUNTED ON SPECIFIED UNIT**

Reason: The specified volume was either not mounted, or was mounted but not on the unit specified by the UNIT= keyword on the IAM override control statement for this file. For non-specific volume requests, (i.e., with VOL(ANYVOL) coded), there were no volumes mounted as storage for the unit name specified on the IAM Override Control statement, or SYSDA.

Action: Mount the required volume, or change the volume and/or unit specification.

Text: **SPECIFIED UNIT NAME IS UNDEFINED**

Reason: The unit name specified on the IAM Override Control statement for this file does not exist on the system that the define was attempted.

Action: Correct the unit name specification, or run on the proper operating system.

Text: **REQUIRED CATALOG NOT MOUNTED**

Reason: The catalog required for the definition of the IAM data set is on a volume that is not currently mounted.

Action: Insure that the volume containing the user catalog is mounted, and rerun the DEFINE.

Text: **DATA SET ALREADY EXISTS**

Reason: The data set being Defined already exists in the catalog, and may or may not be on the volume it is cataloged to.

Action: Make sure that the cluster name is correct and if not correct it. If it is correct, delete the data set from the catalog (and volume if applicable) and rerun the DEFINE.

Text: **DUPLICATE DATA SET NAME ON VOLUME**

Reason: The data set already exists on the specified volume, and is not cataloged.

Action: Delete the data set from the volume, and rerun the DEFINE.

Text: **NO SPACE IN VTOC**

Reason: There was no space in the VTOC (Volume Table of Contents) for the new data set on the specified or selected volume.

CONTINUED . . .

80.02 CONTINUED

Action: Either correct the error by increasing the size of the VTOC on the volume (this can be done by use of COMPAKTOR), delete unwanted data sets from the volume, or select a different volume.

Text: **VTOC I/O ERROR OR CVAF ERROR**

Reason: An I/O error occurred on the VTOC during file allocation.

Action: Review SYSLOG for other messages indicating a more precise cause of error. Correct the problem and rerun DEFINE.

Text: **REQUESTED SPACE NOT AVAILABLE ON VOLUME**

Reason: The volume specified or selected did not have sufficient space to satisfy the request.

Action: Ensure that the space requested is actually needed, and adjust if possible. (NOTE: IAM files generally require less space than VSAM files.) Otherwise, select a different volume, remove unneeded data sets from the volume, or run COMPAKTOR to consolidate free space.

Text: **USER NOT AUTHORIZED TO ALLOCATE DATA SET**

Reason: The job lacks RACF authorization to DEFINE the data set.

Action: Contact the Security Administrator for assistance.

Text: **INSTALLATION EXIT REJECTED ALLOCATION REQUEST**

Reason: A dynamic allocation exit routine in the system did not allow the allocation request to be processed.

Action: Correct the DEFINE to the installation requirements.

Text: **REQUIRED CATALOG NOT AVAILABLE**

Reason: The user catalog required may have been Deleted or disconnected from the system master catalog, or may have been damaged and is being recovered.

Action: Correct the error with the user catalog, and rerun the DEFINE command.

Text: **DUPLICATE DATA SET NAME IN CATALOG**

Reason: The data set name already exists in the catalog, and may or may not exist on disk.

Action: Make sure the cluster name is correctly specified. If it is delete the current entry from catalog (and disk if applicable).

Text: **NO SPACE IN CATALOG**

Reason: Insufficient space in the catalog to contain the record for the new data set.

Action: Enlarge the catalog, and rerun the DEFINE.

Text: **SMS FAILED REQUEST. REFER TO PRIOR MESSAGE(S)**

Reason: The allocation request was failed by SMS. There should be preceding messages from SMS indicating the reason for the error.

Action: Correct the problem indicated by the SMS error messages, and try request again.

CONTINUED . . .

80.02 CONTINUED

IAMW61 IAM DYNALLOC FAILED COMP=nnnn CODE=nnnn CLUSTER=clustername

Reason: The dynamic allocation requested by IAM to perform the DEFINE operation failed with the printed error codes. This message may be accompanied by an IAMW60 message.

Return Code: The DEFINE request is failed, with a return code and reason code that matches the problem description.

Action: Refer to message IAMW60, if printed, and/or the IDC3009I error message on SYSPRINT. The error codes from Dynamic Allocation are documented in the MVS/XA and MVS/ESA System Macro and Facilities manual, the MVS Job Management SPL, and under the ISPF tutorial. Correct the error condition as indicated by the error codes, and rerun the DEFINE.

IAMW62 IAM OPEN FAILED FOR DDNAME=ddname CLUSTER=clustername

Reason: During DEFINE processing of an IAM file, IAM attempted to OPEN the defined file, however the OPEN failed. Additional IBM messages may appear on the system log.

Return Codes: The DEFINE request is failed with a return code of 62, reason code of 0.

Action: Determine the cause of the OPEN failure, correct the error, and rerun the job. For a new DEFINE (as opposed to RECATALOG), DELETE and redefine the data set.

Note: At this point, the data set has been allocated and cataloged, but is not yet usable by IAM.

IAMW63 IAM I/O ERROR: 'synad message'

Reason: During the processing of a DEFINE command for an IAM file, an I/O error occurred when reading or writing the IAM control information.

Return Codes: The DEFINE request is failed with a return code of 62, reason code of 0. If an IAMDEBUG DD DUMMY is specified, then the program will abend with a U0310.

Action: Using the standard SYNAD message and other messages that may appear on SYSLOG, determine the cause of I/O error and correct it. If this was not a RECATALOG operation, DELETE and DEFINE the IAM data set again.

Note: At this point, the data set has been allocated and cataloged, but is not yet usable by IAM. For RECATALOG operations, the file is either not a previously DEFINED or loaded IAM file, or there is an error with the data set requiring recovery. The recovery can be done by restoring the data set from a good copy or possibly by using program 'IAMRECVR'. Use of the recovery program may result in data loss.

IAMW64 UNEXPECTED END OF FILE READING AN IAM FILE FOR RECATALOG REQUEST -- NOT VALID IAM DATA SET

Reason: During the processing of a DEFINE RECATALOG command for an IAM file, an end of file occurred while attempting to read the file characteristics.

Return Codes: The DEFINE request is failed with a return code of 86, reason code of 4.

Action: The data set is empty. The recatalog request was not performed. Either the file was never an IAM file, in which case no corrective action is required, or the data set has been clobbered. To recover the data set, it can be restored from a good backup, or a recovery attempted with program 'IAMRECVR'. Recover the file, then retry the recatalog processing.

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80.02 CONTINUED

IAMW65 IAM SCRATCH FAILED COMP=nnnn CODE=nnnn VOLSER=vvvvvv
IAM UNCATLG FAILED COMP=nnnn CODE=nnnn CLUSTER=clustername

Reason: After an error attempting to catalog or initialize an IAM file being defined, an attempt to delete or uncatalog the data set failed. The codes are returned from SCRATCH or UNCATLG request, which are documented in the SYSTEM DATA ADMINISTRATION manual.

Return Codes: The return code for the DEFINE is based on the original condition that caused the error.

Action: The data set is still on the specified volume. Refer to an immediately preceding IAMWnn message for the data set name. The data set must be manually scratched from the indicated volume and uncataloged prior to attempting to redefine the data set.

IAMW66 IAM REALLOC FAILED CODE=nnnn INFO=nnnn CLUSTER=clustername

Reason: After successfully defining an IAM file, IAM had determined that the job step had DD cards which were allocated to the file, but were allocated to the wrong volume. The attempt to reallocate the file with dynamic allocation failed, with the indicated error codes.

Return Codes: The DEFINE completes with a return code of 0.

Action: The IAM file has been successfully defined, but attempts to REPRO into the IAM file within the same step may fail. A subsequent REPRO into the IAM file can be done.

IAMW67 IAM SMS ALLOC FAILED RC=X'xx' REAS=X'xxxxxxxx' CLUSTER=clustername

Reason: The define of an IAM file failed using DADSM allocation with the specified return code and reason code. Refer to the DADSM Create (ALLOCATE) Function Return Codes section of the IBM MVS/ESA DADSM/CVAF Diagnostic Aids for a description of the error codes.

Return Codes: The DEFINE request is failed with a return code of 62, reason code of 0.

Action: Take the appropriate corrective action based on the error codes indicated, and retry the define request.

IAMW68 IAM UNIT NAME SEARCH FAILED, RC=xx CLUSTER=clustername

Reason: During the define of a multivolume nonspecific allocation, an IAM call to the MVS Unit Name look up service failed as indicated in the message. The return code, if provided, is documented in the IBM MVS System Modifications Manual.

Return Codes: The DEFINE request fails with a return code of 72, reason 4.

Action: If the condition indicated by the return code cannot be corrected, contact INNOVATION for support. As a circumvention, try a different UNIT override, or switch to specific volume allocation.

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80.02 CONTINUED

IAMW69 IAM xx ELIGIBLE VOLUMES, nn VOLUMES REQUIRED CLUSTER=clustername

Reason: During the define of a multivolume nonspecific allocation, IAM found the indicated number of storage volumes in the specified UNIT name pool, however, more volumes then available were needed to satisfy the allocation request.

Return Codes: The DEFINE request fails with a return code of 72, reason 4.

Action: Change the UNIT override to indicate a UNIT name that has sufficient storage volumes, or reduce the number of volumes requested.

IAMW70 DD='ddname' PUT ERROR -- WORK FILE DATASPACE OVERFLOW

Reason: The size of the data space used to hold the index structure during a file load was insufficient for the file indicated by the ddname.

Return Codes: A reason code of 244(x'F4') is stored in the RPL error flags field (RPLERRCD) and the request fails with a return code of 8. The logical error exit, LERAD, if specified will be invoked. If the error is detected during close, the program is abended with a U0246 abend.

Action: Increase the size of the data space by using the IAM CREATE override, specifying the DATASPACE keyword. As an alternative, specify a DATASPACE=0, which will force the use of a temporary work file.

IAMW71 TRACE DEACTIVATED - text indicating reason

Reason: The IAM trace facility for Enhanced Format files has detected an error during activation. The possible reasons include

-- The DDNAME IAMATR31 is not available

-- There is insufficient storage available to obtain the trace work area.

Action: If the IAMATR31 DD was not specified, add it to the job. If storage was not available for the trace work area, specify a larger REGION size, or a REORG of the IAM file may be required.

IAMW72 IAMASY ESPIE RECOVERY ENTERED FOR ABEND S0Cx

Reason: A program check occurred under the IAM IRB while performing asynchronous processing for an I/O request with RPL OPTCD=ASY. Included in the displayed information are the PSW and registers at time of the error.

Return Codes: The specific request causing the error, if it is identified, will receive a return code of 8 with an RPL error code of 240(X'F0') stored in the RPLERRCD. IAM will internally issue an ENDREQ for that RPL to clean up any resources it may have held.

Action: IAM will attempt to continue processing for the affected file. Contact Innovation Technical Support with the full text of the message for assistance, and save any dumps that may have occurred to aid in diagnosis.

Note: This message will not occur in IAM Version 7.0. It is presented here for documentation compatibility with prior versions.

CONTINUED . . .

80.02 CONTINUED

IAMW73 IAMAVSOC ESTAE ENTERED FOR ABEND Sxxx

Reason: A abend occurred while opening an IAM file. IAM will attempt to free the resources acquired during the open process. Depending on the circumstance, the PSW and registers at time of the error may also be displayed.

Return Codes: The job will abend, except if the open occurred under CICS or for a TSO user. CICS and TSO users will abend with a U0184 only if there is a //IAMDEBUG DD DUMMY DD card allocated. Otherwise, CICS and TSO will have the open fail with a return code of 8, and the ACB that has caused the error will have a reason code of 188(X'BC') set in the ACBERFLG field. If IAM was using a Data Space for this file, it will be included in the dump.

Action: Contact Innovation Technical Support with the full text of the message for assistance, and save any dumps that may have occurred to aid in diagnosis. For CICS, IAM will terminate open processing for this ACB, and will attempt to free all resources used by the failing OPEN request.

IAMW74 UCBLOOK MACRO FAILED R15=xxxx R0=xxxx CLUSTER=clustername

Reason: During IAM file definition, an attempt to find the UCB on which the data set resides, or will reside, using the IBM UCBLOOK service has failed. IAM will continue, if possible, with the define request by utilizing a different UCB lookup technique.

Action: Contact Innovation Technical support with the full text of the message for assistance.

IAMW78 DD='ddname' OPEN ERROR - AN ABEND CONDITION OCCURRED

Reason: While IAM was opening a file to be loaded, some type of system Abend occurred. There should be additional messages indicating the exact abend condition. Open processing for this file is terminated.

Return Codes: The OPEN fails with a return code of 8 and a reason code of 192(X'C0') in the ACBERFLG field of the ACB being opened.

Action: Correct the abend condition, and then reload the file. The most typical abend condition is an X37 abend, in which case delete and redefine the data set with more DASD space.

IAMW79 DD='ddname' OPEN ERROR - text indicating reason

Reason: IAM was unable to open the specified file due to damaged control or index information on the file.

Return Codes: A reason code of 188(X'BC') is stored in the ACB error flags field (ACBERFLG) and the OPEN is failed with a return code of 8. If an IAMDEBUG DD DUMMY is in the job step, then the job will be abended with a U0184.

Action: ***DO NOT ATTEMPT TO REORGANIZE THE DATA SET WITH IDCAMS OR OTHER SOFTWARE!!! Use the IAMRECVR to recreate the file.***

For diagnosis, please do the following:

1. Rerun job with an //IAMDEBUG DD DUMMY card added and a // SYSUDUMP or //SYSABEND. If you have the ABENDAID, please insure that the standard IBM dump will be taken.
2. Save the damaged file, or back it up using FDR/ABR, or DFDSS.
3. Contact Innovation Data Processing for assistance.

CONTINUED . . .

80.02 CONTINUED

IAMW80 MODULE 'modname' INSTALLED AT 'address' - VER nn

Reason: The message is issued in response to a VIF status request when the module 'modname' is in place and is ready to provide IAM services to programs using ACB's to access VSAM files. The 'address' given is the virtual storage location of the named IAM VIF module. The version level number is also given for the module.

Action: None, information message only.

IAMW81 THE IAM SYSTEM MODULES ARE 'status'

Reason: This message is provided by IAMSTART when the IAM VIF modules are installed, or in response to VIF action commands when the IAM system level VSAM interface is already in place. The 'status' of the modules may be:

-- ACTIVE

-- REACTIVATED

-- ALREADY INSTALLED

This message includes the version and release level of the VIF modules installed.

Action: None, information message only.

IAMW82 THE IAM SYSTEM MODULES ARE 'status'

Reason: This message is provided by IAMSTART in response to VIF action commands when the IAM system level VSAM interface is already in place. The status of the modules maybe:

-- NOT ACTIVE (the IAM system level VSAM interface is not in place),

-- INACTIVE (the IAM system level VSAM interface is in place but is not active).

Action: None, information message only.

IAMW84 IAMSTART ESTAE RECOVERY ENTERED FOR ABEND Sxxx Uxxxx AT OFFSET xxxx

Reason: This message is provided by IAMSTART when VIF ABENDS. An attempt is made to provide diagnostic information.

Action: If the problem persists call INNOVATION for assistance.

IAMW85 'ADDRESS' 'HEX core print'----- 'EBCDIC core print'

Reason: This message is provided by IAMSTART when the VIF modules are first installed and is also the response to a VIF STATUS request when VIF is in place and is ready to provide IAM services to programs using ACB's. The 'ADDRESS' given is the virtual storage location of the VIF Vector Table Entry. The remainder of the line is the entry in hex and display format.

Action: None, information message only.

CONTINUED . . .

80.02 CONTINUED

IAMW86 IDPSTART FAILURE - REASON='number' 'reason'

Reason: The activation of the IAM VSAM Interface failed for one of the following reasons:

-- 01 OPERATING SYSTEM NOT MVS OR SP 1.2 OR HIGHER
-- 02 INVALID INPUT PARAMETERS
-- 03 UNABLE TO OBTAIN AUTHORIZATION
-- 04 'vector table name' VECTOR TABLE IS INVALID
-- 05 SYSLIB DD MISSING OR OPEN ERROR
-- 06 'modname' NOT FOUND IN SYSLIB
-- 07 'modname' MODULE LOAD ERROR
-- 08 ERROR MODIFYING THE SVC TABLE
-- 09 modname' NOT FOUND
-- 10 'modname' NOT FOUND IN THE LINKLIST
-- 11 'modname' UNABLE TO DE-INSTALL
-- 12 'modname' CDE OR LPDE ABOVE 16M ERROR
-- 13 'modname' MODULE ABOVE 16M ERROR
-- 14 GETMAIN ERROR
-- 15 'modname' HAS SMP INSTALLED IDP MODULE
-- 16 UNABLE TO OBTAIN DISPATCHER LOCK
-- 17 FREEMAIN ERROR
-- 18 UNABLE TO OBTAIN LOCAL LOCK
-- 19 'modname' CDE NOT FOUND
-- 20 ENQUEUE/DEQUEUE ERROR ON IAM RESOURCE
-- 21 ENQUEUE/DEQUEUE ERROR ON SYSZSVC
-- 22 INVALID VECTOR TABLE STATUS
-- 23 INVALID VECTOR TABLE CDE ADDRESS
-- 24 RC=xxxx FROM SVCUPDTE
-- 27 'modname' and IAMVECTB ARE OUT OF SYNCH
-- 28 VECTOR TABLE CANNOT BE STOPPED
-- 31 HIGHER VERSION OF VIF ALREADY STARTED

Action: If the problem persists call INNOVATION for assistance.

80.02 CONTINUED

IAMW89 IAM - TRIAL VERSION FROM INNOVATION DATA PROCESSING EXPIRES IN 'nnn' DAYS (PLEASE CONTACT INNOVATION)

Reason: This is a trial version of the IAM system. The number of days the trial will remain active is displayed.

Note: This message will never appear if you are a licensed user of IAM. If you are a licensed IAM users, then it is possible that you have in your job a bad STEPLIB pointing to the old trial library. The library that contains the production copy will appear in the heading with a **P** following the version identification. For example, IAM V6.4/xxP.

Action: When there are 10 or fewer days before the trial is due to expire this message will become nondeletable. To prevent the trial from expiring call INNOVATION for an extension PARM= value and use the JCL shown below to extend your trial's expiration date. The JCL to extend the trial is as follows:

```
//EXTEND      EXEC   PGM=IAMEXTND,PARM=xxxx
//STEPLIB     DD     DISP=SHR,DSN=your.user.lib
//SYSLIB      DD     DISP=SHR,DSN=your.user.lib
//@BINDNOT    DD     DUMMY
//SYSDIAG     DD     SYSOUT=A
```

IAMW90 IOSCAPU MACRO FAILED COMP=xxxx CODE=yyyy

Reason: IAM attempted to capture a UCB to initialize the IAM file being defined. The capture of the UCB failed, as indicated in the error message.

Action: IAM terminates processing for the file being defined. The file will need to be deleted and redefined before it can be processed. The error codes are available in the MVS Authorized Assembler Services Reference manual. If assistance is needed with diagnosing the problem, contact Innovation Data Processing. Attempting to define the file an a different volume(s) may circumvent the problem. A dump can be obtained by including an IAMDEBUG DD DUMMY statement in the JCL, which will result in a U0310 abend.

IAMW99 INTERNAL LOGIC ERROR -- JOB TERMINATED

Reason: IAMOVRID has encountered an illogical condition.

Action: Obtain a SYSUDUMP or SYSABEND dump, and call INNOVATION for assistance.

80.03 IAM SYSTEM SYSPRINT MESSAGES

The following general messages are issued by various IAM utility programs. These messages are typically written to SYSPRINT by the originating utility program. These are messages from the IAMRECVR, IAMSIMVS, IAMSMFVS, IAMSMF, and IAMZAPOP utility programs provided with the IAM product.

IAM099 NEAR RELATIVE DATA LOCATION nnnn 'error description'

Reason: The common parsing routine encountered an error in parsing the user specified control statements. The approximate location of the error was position nnnn, counting the first position as 000.

Action: Correct the error and resubmit job.

IAM100 IAM FILE ANALYSIS - DSN= data set name

Reason: This report is produced from an LISTCAT request on the IAMPRINT DD output, and also on SYSPRINT when IAMRECVR is run against an IAM file. The report contains descriptive information and statistics about the IAM file. For a complete description of the output report following this message, please refer to the LISTCAT Report description section in the IAM manual.

Action: None, this is an informational message only.

IAM213 'file type' RECOVERY FILE CREATED DDNAME='ddname'

Reason: IAMRECVR has completed the output of a file to the DDNAME 'ddname'. The 'file type' is as follows:

-- **IAM** - An IAM file created by the RECOVER operation.

-- **DUPLICATE** - A key/log file containing duplicate records found in the IAM file that is being recovered by IAMRECVR.

Action: Refer to the documentation of IAMRECVR for the uses of the different output files it creates.

IAM260 LISTC PROCESSING FAILED FOR DSN='dsname'

Reason: An error occurred during IAM processing of an IDCAMS LISTC command for a possible IAM file. This message will be preceded by one or more error messages describing the error in more detail.

Action: Review other messages for source of error, and correct as necessary. IDCAMS LISTC processing will continue normally, however no information will appear in the IAMPRINT file for the named file.

IAM262 DATA SET IS NOT AN IAM FILE

Reason: During an IDCAMS LISTC IAM attempted to process a data set as an IAM file, however it was determined that the data set was not an IAM file. The data set name is displayed in the IAM260 message.

Action: None, information message only.

80.03 CONTINUED

IAM266 LOAD OF MODULE 'modulename' FAILED

Reason: During an IDCAMS LISTC command, IAM encountered an error loading the named support module. There should also be an accompanying message in SYSLOG indicating the cause of the problem (i.e. S106, S306, or S806 error). The data set name is presented in the accompanying IAM260 message.

Action: Find the IBM error message, and take corrective action. Possibilities are insufficient virtual storage to load the module, or IAM is not in the system LINKLIST and no STEPLIB has been provided.

IAM269 IAM CPL PROCESSING FAILED

Reason: IAM was attempting to process a CATALOG parameter list to determine data set name and volume information, however the CPL did not contain the expected information. There will be no information listed about the IAM file.

Action: Contact INNOVATION for assistance.

IAM303 CARD IMAGE -- * control statement image *

Reason: A display of the SYSIN data set input control statements.

Action: None. Information message only.

IAM316 RECOVERY CAN BE FORCED BY SPECIFYING VALUES FOR THE FOLLOWING -

Reason: An attempt was made to recover an IAM file that has damaged control records. The unreadable control records requires the user to specify key data normally extracted from the file.

Action: The next line(s) displayed will detail the fields required. Use this information to continue the recovery.

IAM318 * WARNING* DATASET-'dsn' DEFINED FIXED CONTAINS VARIABLE LEN RECORDS

Reason: The DEFINE for this cluster shows the average and maximum record lengths to be equal. IAMSIMVS detected one or more records that were not equal to the average record length Defined for this cluster.

Action: IAMSIMVS continues processing as if the file contained fixed length records. Blocking, overflow and other values established for a file however vary depending upon whether record lengths are fixed or variable. To obtain a more accurate estimate of IAM's space savings for this file, include the 'VARIABLE' keyword with the 'SELECT' option.

Note: To properly identify this file as containing variable length records the DEFINE for this file should be changed so the average RECORDSIZE value is less than the maximum. During processing, if the Defined average RECORDSIZE value is not changed, IAM will return a record length error for this file.

IAM319 PREMATURE END OF FILE -- AFTER BLOCK nnnnnnnn

Reason: IAMRECVR detected a premature end of file at block nnnnnnn. Data blocks may have been lost.

Action: Review the contents of the recovered file. A section of the file being recovered may not have been readable.

CONTINUED . . .

80.03 CONTINUED

IAM320 MAXIMUM BLOCKS LOST DUE TO END OF FILE -- nnnnnnnn

Reason: An end of file error erases the remainder of the track. The reported number of blocks could have existed on the track but were not yet read when the end of file was encountered.

Action: Review the contents of the recovered file. A section of the file being recovered may not have been readable.

IAM321 INVALID VARIABLE LENGTH FIELD -- BLOCK nnnnnnnn

Reason: IAMRECVR detected an invalid RDW length field for a given record (i.e.: RDW exceeds maximum LRECL). The block number is printed and the remainder of block is bypassed.

Action: Review the contents of the recovered file. A section of the file being recovered may not have been readable.

IAM322 DROPPED DUE TO I/O ERROR -- BLOCK nnnnnnnn

Reason: IAMRECVR has dropped block nnnnnnnn. The first 24 bytes of the block at the location of the errors is printed in hexadecimal. Processing continues.

Action: Review the contents of the recovered file. A section of the file being recovered may not have been readable.

IAM323 SEQUENCE CHECK -- BLOCK nnnnnnnn

Reason: An out of sequence record was encountered. The IAM block number is printed along with 24 bytes of the key in hexadecimal. Processing continues.

Action: Review the contents of the recovered file. A section of the file being recovered may not have been readable.

IAM324 UNABLE TO CALCULATE LOST BLOCKS - ASSUMING nn MISSING

Reason: An end of file erases the remainder of the track. Any blocks that were on the track at the time the EOF record was created have been destroyed.

Action: Review the contents of the recovered file. A section of the file being recovered may not have been readable.

IAM325 FILE NOT COMPRESSABLE - DATA LEN PAST KEY < 11 BYTES - DSN=

Reason: IAMSIMVS determined that the records contained within the file were not eligible for IAM record compression. IAM only compresses the data in a record located past the end of the key and only if the length of that data is more than 10 bytes. (i.e. MAXLRECL-(RKP+KEYLEN) > 10).

Action: No action is required. IAMSIMVS will continue the simulation for the file as if it were not converted to an IAM file in compressed format.

IAM325 ERROR DECOMPRESSING RECORD - BLOCK nnnn

Reason: IAMRECVR was unable to decompress a compressed record from an IAM data set.

Action: Most probable cause is that a corrupted buffer was written out to the data set. If possible, recover the file through other means. If that is not possible then continue IAMRECVR will recover all the records that it is able to read, but will drop the rest of the records. Backup the data set as described in the Users Guide section of the IAM Manual, and contact Innovation for support.

CONTINUED . . .

80.03 CONTINUED

IAM326 IAMCRTSM ERROR -- 'error description' -- DSN=dsn

Reason: IAMCRTSM was invoked to simulate the conversion of a VSAM file and encountered a logical error. The error description will be in the format: INVALID BLOCKSIZE/BLOCKING FACTOR CODE=nnn. The CODE=nnn translates directly into an IAM create abend code (see IAM user abend, error, and completion codes later in this section).

Action: If the message was issued for invalid blocking, ensure that the blocksize specified is both larger than the record length and compatible with the device. If the CODE= message is issued, refer to the actions for the associated IAM create abend code.

IAM340 'recovery operation' - DSN= 'dsname'

Reason: Describes the user specified IAM file 'recovery operation' and the name of the IAM file being processed.

Action: None, information message only.

IAM341 CONTROL BREAKS IN OVERFLOW BLOCKS -- = nnnnnnnn

Reason: Issued by the DIAGNOSE and RECOVER operations of the IAM file recovery program IAMRECVR, indicating that records within the overflow blocks of the file being processed are out of sequence.

Action: When issued during RECOVER, it indicates that the RECOVER output is out of sequence and must be sorted, either by IAMRECVR or externally prior to an IAM create. When issued during DIAGNOSE, no additional processing is necessary.

IAM342 IAM FILE CONTAINS NO DETECTABLE ERRORS

Reason: Issued by the DIAGNOSE and RECOVER operations of the IAM file recovery program IAMRECVR, indicating that the IAM file is not damaged and is acceptable for IAM processing.

Action: None, information message only.

IAM343 SEQUENCE ERRORS IN DATA BLOCKS -- = nnnnnnnn

Reason: Issued by the DIAGNOSE and RECOVER operations of the IAM file recovery program IAMRECVR, indicating physical damage to the IAM file.

Action: The file must be created again from a suitable backup or recovered and reconstructed. Prior to create, sort the data set output from the RECOVER operation.

IAM344 NUMBER OF DROPPED BLOCKS -- = nnnnnnnn

Reason: Issued by the DIAGNOSE and RECOVER operations of the IAM file recovery program IAMRECVR, indicating physical damage to the IAM file. IAMRECVR encountered I/O errors during the processing of the IAM file and nnnnnnnn blocks were dropped from the file.

Action: The file must be created again from a suitable backup or recovered and reconstructed. Prior to create, sort the data set output from the RECOVER operation.

CONTINUED . . .

80.03 CONTINUED

IAM345 NUMBER OF DUPLICATE RECORDS -- = nnnnnnnn

Reason: Issued by the DIAGNOSE and RECOVER operations of the IAM file recovery program IAMRECVR, indicating that duplicate keys were found in the IAM file. During a RECOVER operation, duplicate records can be ignored, printed, logged for subsequent application to the file, or applied directly if an IAM file is being created as the output of the recovery program.

Action: See the documentation for the RECOVER program, DUPLICATES operand. The file must be created again from a suitable backup or recovered and reconstructed. Prior to create, sort the data set output from the RECOVER operation.

IAM346 SPANNED RECORDS EXTRACTED -- = nnnnnnnn

Reason: Issued by the IAMRECVR RECOVER command when spanned records have been recovered and placed in the dataset identified by the SPANOUT DD statement.

Action: To recover the spanned records, run the IAMRECVR APPLY SPANNED command, which will read the SPANOUT dataset, and insert the records back into the IAM data set. See Section 45.03 for additional information.

IAM360 STEP - ssssssss DDNAME - 'ddname' DATA SET MONITORED - 'dsname'

Reason: Identifies the IAM job step name, the DDNAME and, optionally, the data set name of the IAM file being processed by the information service routines of the IAM monitor facility.

Action: None, information message only.

IAM361 INFO REQUESTED BY PROGRAM 'program' PERFORMING 'description' PROCESSING

Reason: Identifies the IAM processing program that requested the printing of the information block, the type of processing being performed, and the time the information block was printed by the information service routines of the IAM INFO report.

Action: None, information message only.

IAM362 DATA CHARACTERISTICS

Reason: Heading line which always precedes the IAM data characteristics when listed by the IAMINFO report.

Action: None, information message only, for further detail on these fields see Section 10.72: IAM RUN TIME STATISTICS.

IAM363 IAM FILE CHARACTERISTICS

Reason: Heading line which always precedes the IAM file characteristics when listed by the IAMINFO report.

Action: None, information message only, for further detail on these fields see Section 10.72: IAM RUN TIME STATISTICS.

IAM364 IAM OVERFLOW CHARACTERISTICS

Reason: Heading line which always precedes the IAM overflow characteristics when listed by the IAMINFO report.

Action: None, information message only, for further detail on these fields see Section 10.72: IAM RUN TIME STATISTICS.

CONTINUED . . .

80.03 CONTINUED

IAM365 IAM EXECUTION STATISTICS

Reason: Heading line which always precedes the IAM execution statistics when listed by the IAMINFO report.

Action: None, information message only, for further detail on these fields see Section 10.72: IAM RUN TIME STATISTICS.

CONTINUED . . .

80.03 CONTINUED

IAM366 IAM COMMAND EXECUTED SUMMARY

Reason: Heading line which always precedes the IAM command summary when listed by the IAMINFO report.

Action: None, information message only, for further detail on these fields see Section 10.72: IAM RUN TIME STATISTICS.

IAM367 THERE WAS INSUFFICIENT STORAGE AVAILABLE TO ACQUIRE ADDITIONAL BUFFERS

Reason: IAM's Real Time Tuning tried to acquire additional data buffers but there was insufficient virtual storage available.

Action: Increase the REGION value on the JOB statement or the EXEC statement so the next execution of the job will have storage available to acquire additional buffers.

IAM368 SPECIFYING A BUFNO VALUE GREATER THAN nn MAY IMPROVE PERFORMANCE

Reason: IAM's Real Time Tuning buffer management found that, for this mix of data and file processing commands, if additional buffers had been available they would have been acquired. Additional buffers were not acquired because it would have exceeded the maximum buffers allowed for this job.

Action: If you wish to increase the maximum buffers for this job, specify the MAXBUFNO=nn parameter on the IAM Override Control statement (Section 30) for this IAM file.

Note: If the number of I/O's (EXCPs) for this file is relatively small, there is no real need to increase the maximum number of buffers.

IAM371 INCREASING THE NUMBER OF PRIME EXTENSION BLOCKS MAY IMPROVE PERFORMANCE

Reason: An attempt to insert a record into prime extension failed because the prime extension was full. The record was inserted into independent overflow instead.

Action: Define the IAM file with a larger prime extension.

IAM372 IAM EXTENDED AREA CHARACTERISTICS

Reason: This heading line always precedes the description of the IAM Extended Area (Enhanced format files only).

IAM373 REORGANIZATION OF THIS FILE IS RECOMMENDED

Reason: During Open and/or Close processing, IAM noticed that the size of the extended overflow area was such that it could cause a performance degradation. As a preventative measure, it is recommended, but not required, that the file be reorganized to move records out of the overflow area. This IAMINFO message should be accompanied with an IAMW22 message, which will indicate the reason that a reorganization is being recommended.

Action: No action is required, but a file reorganization is recommended to be scheduled in the near future.

CONTINUED . . .

80.03 CONTINUED

IAM400 'processing function' - 'progname' - VER v.r. - INNOVATION DATA PROCESSING - DATE: 'yyddd' PAGE: 'nn'

Reason: Generalized page header for the named 'processing functions' which are provided by the 'program' named in the printed title.

Action: None, information message only.

IAM401 PARM DATA - * parm-field-data *

Reason: Displays the program control information specified in the "PARM=" field of the EXEC statement. This data will not be displayed if program is invoked under TSO.

Action: None, information only.

IAM402 INVALID CONTINUATION

Reason: User coded a delimiting comma following the last keyword on a control statement and did not provide the next logical record.

Action: Correct and resubmit job.

IAM403 REQUIRED OPERAND(S) NOT SPECIFIED - cc...cc

Reason: The operand cc...cc is required for the execution of the command. It must be specified; no defaults are available.

Action: Correct and resubmit job.

IAM404 WORKING STORAGE AREA SIZE OF nn BYTES EXCEEDED - SUBCOMMAND IGNORED

Reason: The maximum number of working storage bytes available to the subcommands has been exceeded.

Action: The number of bytes available for subcommand working storage is derived from the 'MAXCORE' operand, which has a lower limit of 1000 bytes and an upper limit of 120000 bytes. If the upper limit has been reached, call INNOVATION for technical support. If the upper limit has not been reached, resubmit the job specifying a value for 'MAXCORE' greater than the number displayed.

IAM405 MAXIMUM CONTINUATION COUNT OF nnnn EXCEEDED - COMMAND FLUSHED

Reason: The user control statement used too many continuations.

Action: Reduce the number of continuations to the value nnnn. Resubmit the job.

IAM407 CONTROL STATEMENT ERROR - 'action taken'

Reason: An error was encountered during the processing of user supplied control statements. Always preceded by one or more messages which define and delimit the error(s). The 'action taken' is one of the following:

1. **JOB TERMINATED** - Processing will stop after the first error has been encountered.
2. **SKIPPING FOR COMMAND** - Processing will continue for all command statements within the SYSIN data set.
3. **RE-ENTER COMMAND OREND** - Message when the SYSIN data set is assigned to a TSO terminal. Re-enter command in error or 'END' to complete the processing.

Action: Correct and resubmit job.

CONTINUED . . .

80.03 CONTINUED

IAM408 NO CONTROL STATEMENTS WERE FOUND JOB TERMINATED

Reason: SYSIN data set is empty or contained only comment statements ('*' in column 1).

IAM410 DSNAME='dsname' -- 'error description' -- PROCESSING BYPASSED

Reason: The 'data set name' printed encountered the 'error' described and subsequent processing was bypassed. The error description can be one of the following:

-- NOT RECOGNIZABLE IAM FILE

-- TRKCALC FUNCTION FAILED

-- NOT KSDS VSAM

-- FILE HAS ALTERNATE INDEX

-- NAMEDS DATA NOT RETURNED

-- UNABLE TO LOCATE DATA/INDEX

-- FILE HAS ALTERNATE INDEX

-- KEYLEN GREATER THAN 250 BYTES

-- RKP GREATER THAN 4096

-- FILE WAS NEVER LOADED

-- IS NOT VSAM OR IAM

Action: Check the data set name for correctness. If the wrong data set name was specified, specify the correct data set name and resubmit the job. If the message indicates the data set is not an IAM file it is because the IAM control record was not present. Use program IAMRECVR specifying the IAM file characteristics on the 'RECOVER' subcommand to facilitate data recovery.

IAM413 DSN/DSG INDEX ERROR - 'error description'

Reason: When using the index level option to select data sets or data set groups the user either:

Specified too many index levels. (The maximum is 22).

Failed to provide significant data. (The leading periods were followed by a comma or blank).

Action: Correct and resubmit.

IAM418 COMMAND PROCESSING DETECTED ERROR -- 'action taken'

Reason: An error was encountered during the processing of the subcommand specified on a user supplied control statement. Always preceded by one or more messages that define and delimit the error(s). The action taken is one of the following:

-- **RE-ENTER COMMAND OR END** - message for user when the SYSIN data set is assigned to a TSO terminal. Re-enter command in error or 'END' to complete the processing.

-- **SKIPPING FOR COMMAND** - processing will continue for all command statements found within the SYSIN data set.

-- **REVERTING TO SYSIN** - the error occurred when reading from an alternate command input source. Processing will continue for commands in the SYSIN data set.

Action: Correct and resubmit job.

CONTINUED . . .

80.03 CONTINUED

IAM421 LOCATE ERROR -- 'error description' - DSN='dsname'

Reason: A LOCATE SVC was issued requesting identification of the component named by DSN='dsname'. The LOCATE either failed or returned a component:cluster name type code that is not currently supported.

If the 'error description' is in the form: RETURN CODE rc - REASON IGGOCLAA - 'reason number' The LOCATE failed. Error codes are documented in the IBM System Messages Manual; under message number IDC3009I.

If the 'error description' is in the form: ENTYPE -- C(X'xx') The program encountered a type of component entry that it does not presently support.

If the 'error description' is in the form: NAMEDS -- C(X'xx') The program encountered a type of cluster entry that it does not presently support.

If the 'error description' is in any other form, an error exists in the catalog.

Note: This message only appears if DFEFERRPRT=YES had been setup earlier with IAMZAPOP or specified on the control statement. This is only a warning message. The program does not associate the component shown by DSN='dsname' with a cluster name, processing continues.

Action: Do one of the following:

For the RETURN CODE type of error, look up the codes under message IDC3009I. The most likely cause is a STEPCAT or JOBCAT DD statement was not supplied for a user catalog that has entries in it for components on the volume(s) being processed, and those entries are not ALIAS'ed.

For any other type of errors, contact INNOVATION technical support for assistance.

IAM465 UNABLE TO OPEN (DDNAME=DSN=)'name' - 'reason'

Reason: The 'ddname' named in the message failed to OPEN for the 'reason' shown in the text. The recognized reasons are:

ABEND exit taken.

VOL='volser'

denied by OPEN exit.

DD='ddname' statement missing/ misspelled or incorrectly specified.

RC=nn ERROR CODE=nnn

Action: If the named 'ddname' is required for the requested operation correct the error and resubmit the job, otherwise the message may be ignored.

IAM471 DDNAME='ddname' I/O ERROR -- SYNAD='message'

Reason: A permanent I/O error was detected on the data set referenced by the DDNAME 'ddname'.

Action: Examine the SYNADAF message to determine the cause of the error. The format of this message is documented in IBM SRL publications. Call INNOVATION for additional assistance.

IAM476 DDNAME='ddname' REFERENCES A DEVICE TYPE OTHER THAN DISK

Reason: The DDNAME 'ddname' listed can only be allocated to a disk device.

Action: Check the unit specification in the JCL for errors, correct and resubmit.

CONTINUED . . .

80.03 CONTINUED

IAM484 INTERNAL LOGIC ERROR -- JOB TERMINATED

Reason: The program has encountered an illogical condition.

Action: Call INNOVATION for additional assistance AFTER obtaining a storage dump.

IAM485 SORT FAILURE HAS OCCURRED RC='nn' - 'action description'

Reason: Your installation's sort product has set a return code other than zero. The return code problem description can be found in the sort manual supplied with the sort program product. In many cases the sort program will provide an error message on DDNAME SYSOUT and or on the system console.

Action: If you cannot correct the problem from this information, call INNOVATION for additional assistance.

IAM491 'function' FUNCTION STARTED TIME=hh.mm.ss

Reason: Identifies type of function and time the function started.

Action: None, information message only.

IAM492 'function' FUNCTION ENDED -- hh.mm.ss CONDITION CODE - nnnn

Reason: Identifies type of function and time the function ended. The return code is printed if it is non-zero.

Action: Review the completion code. If the completion code is greater than zero, check the output for the preceding messages that describe the reason for a non-zero completion.

IAM493 'function' - BYPASSED -- PRIOR FUNCTION TERMINATED WITH KEYWORD OR COMMAND DETECTED ERROR

Reason: A previous command upon which this function may be dependent encountered serious errors.

IAM495 NO RECORDS MATCHED SELECTION CRITERIA

Reason: The selection criteria specified did not cause any records to be selected for processing. If program IAMSMFVS, this message will also appear if the required SMF record types are not being collected.

Action: Make sure the selection criteria is correct.

If IAMSMFVS: Make sure you are collecting SMF records types 4 or 30 subtype 4 and type 64. If you are running against an SMF history tape, make sure that the required record types are being copied to the history tapes.

If IAMSMF: Make sure you are collecting SMF records types 4 or 30 subtype 4 and type 14,15, 64. If you are running against an SMF history tape, make sure that the required record types are being copied to the history tapes.

CONTINUED . . .

80.03 CONTINUED

IAM496 MODULE 'modname' -- NOT USABLE WITH RELEASE v.r. PROGRAMS -- EXECUTION TERMINATED

Reason: Module 'modname' is a release/version that is incompatible with the load module being executed.

Action: Check for a STEPLIB/JOBLIB DD statement pointing to a library other than the correct library for the product level you expect to use. If present, correct the library name and resubmit the job. If there is no STEPLIB/JOBLIB present, the module 'modname' is being obtained from a LINKLIST library. Add a STEPLIB or JOBLIB DD statement specifying the correct load module library and resubmit the job.

IAM497 cc..cc ABNORMALLY TERMINATED DUE TO KEYWORD/COMMAND DETECTED ERRORS

Reason: The common parsing routine encountered errors in parsing the user specified control statements. In addition, the user has set the option KWDCC=ABEND via program IAMZAPOP. Always preceded by one or more error description messages.

Action: Previous message(s) describe the error(s); see those messages for further details.

IAM498 cc...cc PROCESSING COMPLETED WITH ERRORS

Reason: The named program completed the requested processing but encountered abnormalities in the process.

Action: Check the output for preceding messages that may describe the errors in detail.

IAM499 cc...cc PROCESSING COMPLETED

Reason: The named program has completed processing as requested.

Action: Check the output for messages that describe the results.

IAM516 CAMLST REGISTERS R0=nnnnnnnn R1=nnnnnnnn R15=nnnnnnnn

Reason: A CAMLST request failed. The type of CAMLST function (shown in the immediately preceding message) and the registers make diagnosing the problem relatively simple. The return code(s) from catalog management is(are) documented in the IBM SRL SYSTEM PROGRAMMING LIBRARY: DATA MANAGEMENT (for MVS) or CATALOG ADMINISTRATION GUIDE (for MVS/XA) or DATA MANAGEMENT FOR SYSTEM PROGRAMMERS (for non-MVS).

Action: Check the return code(s) and take corrective action if the error is apparent or call INNOVATION for additional assistance.

IAM530 MODULE 'modname' NOT FOUND - 'ddname' - 'dsname'

Reason: A BLDL was issued for the module 'modname' in the dataset 'dsname' referenced by 'ddname' and the module was not found. The module is required to support a requested processing function.

Action: Verify that the library dataset name 'dsname' specified on the 'ddname' statement was correct. If incorrect, correct it and resubmit the job. If the dataset name is correct, check the IAM product install listing to see that all steps executed successfully. If required, rerun the installation steps missed. If necessary contact INNOVATION for additional assistance.

CONTINUED . . .

80.03 CONTINUED

IAM531 MODULE 'modname' CONTAINS NO TEXT RECORDS - 'ddname' - 'dsname'

Reason: When an attempt was made to read the module 'modname' in the dataset 'dsname' referenced by 'ddname' and no text records were found. The module is required to support a requested processing function.

Action: Verify that the library dataset name 'dsname' specified on the 'ddname' statement was the correct one. If incorrect, change and resubmit the job. If the dataset name is correct, check the IAM product install listing to see that all steps executed successfully. If required, rerun the installation steps missed. If necessary contact INNOVATION for additional assistance.

IAM532 MODULE 'modname' I/O ERROR READING - 'ddname' - 'dsname'

Reason: When an attempt was made to read the module 'modname' in the dataset 'dsname' referenced by 'ddname' an I/O error was encountered. The module is required to support a requested processing function.

Action: Check the SYNAD error message(s) and MINI DUMP produced to see if the cause of the error is an obvious one. If so, correct and resubmit the job. If necessary contact INNOVATION for additional assistance.

IAM533 MODULE 'modname' I/O ERROR WRITING - 'ddname' - 'dsname'

Reason: When an attempt was made to write the module 'modname' in the dataset 'dsname' referenced by 'ddname' an I/O error was encountered.

Action: This is a serious error which may result in the load module library referenced by 'dsname' being unusable. If necessary, restore or recreate the library. Check the SYNAD error message(s) and MINI DUMP produced to see if the cause of the error is an obvious one. If so, correct and resubmit the job. If necessary contact INNOVATION for additional assistance.

IAM534 'ddname' OPEN FAILURE -- AVAILABLE COMMANDS: HELP, END

Reason: The required 'ddname' statement could not be OPENed. Commands which access modules in the 'ddname' dataset cannot be executed.

Action: If the 'ddname' dataset is required for the operation being attempted, correct and resubmit the job. Otherwise, none, information only.

IAM535 MODULE 'modname' READ UNSUCCESSFUL -- 'command' BYPASSED

Reason: The user requested function 'command' be performed against module 'modname' but the module was not successfully read. This message will be preceded by message IAM530, IAM531, or IAM532 detailing the reason the read failed.

Action: See message IAM530, IAM531, or IAM532, as required.

IAM536 MODULE IAMOPT CANNOT PERFORM COPY ON THIS VERSION --- SYSLIB='dsname'

Reason: The user requested the COPY function on a version of the options table that is not supported. COPY is not supported with versions of the table prior to 6.1.

Action: Make sure you are only copying to and from a 6.1 or higher level of the IAM options table.

CONTINUED . . .

80.03 CONTINUED

IAM537 MODULE IAMOPT CANNOT PERFORM COPY ON THIS VERSION --- COPYTODD='dsname'

Reason: The user requested the COPY function on a version of the options table that is not supported. COPY is not supported with versions of the table prior to 6.1.

Action: Make sure you are only copying to and from a 6.1 or higher level of the IAM options table.

IAM538 MODULE 'modname' -- WRONG VER/LEVEL 'ddname' - 'dsname'

Reason: Module 'modname' is a release/version that is incompatible with the load module being executed.

Action: Verify that the 'ddname' DD statement is pointing to the correct library for the product level you expect to use. If incorrect, change and resubmit the job. If the data set name is correct, check the IAM product install listing to see that all steps were executed successfully. If required, rerun the installation steps missed. If necessary, contact INNOVATION for additional assistance.

IAM539 MODULE 'modname' 'function' - 'ddname'- 'dsname'

Reason: The module 'modname' from the dataset dsname' referenced by 'ddname' has had function 'function' performed as requested by the user.

Action: None, information only.

IAM540 cccccccc CONTAINS INVALID CHARACTERS -- ZAP REJECTED

Reason: The value specified for the operand 'cccccccc' contained one or more characters that were not A-Z, 0-9, $#@.

Action: Remove the invalid character(s) and resubmit the job.

IAM541 THE FOLLOWING OPTIONS HAVE BEEN CHANGED IN -- 'dsname'

Reason: The AUDIT function has found changed options in the options table. The changed options will be listed.

Action: N/A

IAM542 cccccccc CONTAINS INVALID INDEX STRUCTURE -- ZAP REJECTED

Reason: The value specified for the operand cccccccc contains two (2) or more consecutive periods (..) in violation of operating system dataset naming conventions.

Action: Correct the error(s) and resubmit the job.

IAM543 cccccccc DOES NOT CONTAIN CHARACTER STRING cccccccc -- ZAP REJECTED

Reason: The value specified for the operand cccccccc does not contain the character string cccccccc as the required start of an index level.

Action: Correct the error(s) and resubmit the job.

IAM544 cccccccc REJECTED -- EXCEEDS MODIFIABLE PORTION OF IAMOPT

Reason: The length of the value specified for the operand cccccccc taken in conjunction with the offset operand exceeds the modifiable portion of the IAMOPT table.

Action: Correct the error(s) and resubmit the job.

CONTINUED . . .

80.03 CONTINUED

IAM545 VERIFY FAILED -- CHAR/HEX PRINT FORCED

Reason: The VERIFY of existing contents failed. A character/hexadecimal print of the module IAMOPT is produced. Always preceded by message IAM544.

Action: See message IAM544.

IAM546 AUDIT REQUEST COMPLETE ALL DEFAULTS SET IN -- 'dsname'

Reason: The AUDIT function has found no changed options in the options table.

Action: N/A

IAM547 AUDIT REQUEST COMPLETE FOR -- 'dsname'

Reason: The AUDIT function has completed. Changes were found and listed.

Action: N/A

IAM550 ERRORS ENCOUNTERED DURING EXECUTION -- REWRITE CANCELED

Reason: One or more modules from the SYSLIB data set were scheduled for rewrite at termination or by the execution of a REWRITE command. However, previous commands failed to complete successfully. The errors encountered have been documented by preceding error messages.

Action: Correct the error conditions documented by the preceding error messages and resubmit the job.

IAM561 NON-NUMERIC DATA VALUE SPECIFIED FOR THE [FROMDATE | TODATE] KEYWORD

Reason: An invalid character string was specified for the indicated keyword.

Action: Correct the error condition. For information on valid values, refer to the IAMSMFVS section of the manual.

IAM562 INVALID VALUE SPECIFIED FOR [FROMDATE | TODATE]

Reason: An invalid numeric string was specified for the indicated keyword.

Action: Correct the error condition. For information on valid values, refer to the IAMSMFVS section of the manual.

IAM569 WARNING - cccccccc NOT CATALOGED - DSN='dsname'

Reason: The dataset 'dsname' indicated is the new default for the file type indicated by cccccccc. A CAMLST LOCATE was issued to verify that the dataset is cataloged as required for IAM to dynamically allocate. The data set name was not found by LOCATE. Message IAM516 detailing the CAMLST return code(s) is always printed following this message.

Action: See message IAM516. This is only a warning message. The data set name listed has become the new name of the indicated default.

CONTINUED . . .

80.03 CONTINUED

IAM574 'request' - DDNAME='ddname' - 'action'

Reason: Failed I/O 'request' resulting in the named 'action':

-- ** DD NOT OPEN - EXTRACT REQUEST DENIED

-- GET FAILED - EOF FORCED

-- CLOSE FAILED - JOB TERMINATED

-- OPEN FAILED - COPY REQUEST DENIED

-- OPEN FAILED - EXTRACT REQUEST DENIED

-- OPEN FAILED - REPORT REQUEST DENIED

-- ** OPEN FAILED - REQUEST DENIED

-- OPEN FAILED - TO DDNAME COPY DENIED

-- RJFCB FAILED - REQUEST DENIED

The 'ddname' shown in the message is required as either INPUT TO or OUTPUT FROM a processing program. An unexpected failing request for an I/O related service against that DDNAME has forced the program to take the remedial action shown in the message text.

Action: Correct the reason for the error and resubmit the job.

IAM600 MAXSTACK VALUE OF nnnnnn EXCEEDED - SMF RCD PROCESSING TERMINATED

Reason: The SMF record processing subcommand being executed utilizes an in storage stack specified by the operand MAXSTACK. This storage stack is full. The specified size is insufficient to allow all of the selected SMF records to be processed. IAMSMF terminates without producing a report.

Action: Re-execute the program and specify the operand MAXSTACK= with a value greater than the value nnnnnn printed in this message.

IAM601 SMF RECORDS -- READ.nnnnnnnn USED.nnnnnnnn DROPPED.nnnnnnnn

Reason: Documents the SMF records processed as follows:

READ - number of SMF records read from the input data set. May not reflect the total number of records in the data set if an IAM600 message was issued.

USED - number of SMF records selected from the input data set by the criteria specified by the user.

DROPPED- number of SMF records dropped from the input data set because of length checking, or other user specified criteria.

Action: None, information only.

IAM602 FOLLOWING SMF RECORD DROPPED - LENGTH CHECK

Reason: User specified the CHECKLENGTH operand, causing all SMF records selected to be checked against a table of minimum lengths. This record failed the length check. The first 32 bytes of the record are printed in hexadecimal.

Action: Use the keyword RECSIZE=nn on the report control statement to specify an appropriate size.

CONTINUED . . .

80.03 CONTINUED

IAM603 VSAM ERROR -- (DDNAME=DSN=)'name' 'error description' -- R15=xxxxxxxx - CODE=xxxxxxxx

Reason: An error occurred during the processing of a VSAM data set. The error description will be one of the following:

-- CLOSE failed -- close of VSAM data set.

-- GENCB failed -- generation of a control block.

-- GET failed -- get next record.

-- OPEN failed -- OPEN of VSAM data set.

Action: Check the values of R15 and CODE against the return codes listed in the 'VSAM REFERENCE FOR MVS/370 DFP', 'VSAM ADMINISTRATION: MACRO INSTRUCTION REFERENCE FOR MVS/XA', or 'OS/VS VIRTUAL STORAGE ACCESS METHOD PROGRAMMER'S GUIDE', to determine the cause of the error. If possible, correct and re-execute the job. Call INNOVATION for additional assistance.

IAM619 MODULE 'modname' TOO LARGE TO PROCESS -- 'ddname' - 'dsname'

Reason: IAMZAPOP attempted to read the module 'modname' in the dataset 'dsname' referenced by the statement 'ddname'. The module was required to support a processing functions but, due to the size of the module (or previously read modules), not enough buffer storage was available to complete the read.

Action: Run IAMZAPOP specifying 'ZAP BUFSIZE=nnnn', where nnnn is the size of the buffer in bytes. Specify a value between 122,880 and 3,145,728. After the buffer size has been changed, rerun the job that failed.

IAM620 MAXJOB VALUE OF nnnnn EXCEEDED - ADDITIONAL JOB NAMES BYPASSED

Reason: The maximum number of unique job names tabled by IAMSMFVS has been exceeded. SMF records that match the specified selection criteria but with job names other than those already tabled will be bypassed and not reported.

Action: Specify a MAXJOB value greater than nnnnn but less than or equal to32,000 and, if required, rerun the job.

IAM621 MAXDSN VALUE OF nnnn EXCEEDED - ADDITIONAL DATA SET NAMES BYPASSED

Reason: The maximum number of unique dataset names tabled by has been exceeded. Records that match the specified selection criteria but with dataset names other than those already tabled will be bypassed and not reported or will be shown in a second report if present.

Action: Specify a MAXDSN value greater than nnnnn but less than or equal to 32,000 and, if required, rerun the job.

CONTINUED . . .

80.03 CONTINUED

IAM622 MAXDSN VALUE OF nnnnn EXCEEDED - ADDITIONAL VSAM CLUSTER RELATIONSHIPS BYPASSED

Reason: IAMSMFVS issues a LOCATE for each ICF/VSAM DATA and/or INDEX component and adds the resulting cluster name to the tabled data set names. Those DATA and/or INDEX components that remain unrelated (I.E.: have not had the cluster name appended) when the value of MAXDSN is exceeded will be printed as unique data sets.

Action: ICF/VSAM clusters can take up to three (3) entries in the dataset name table that is generated from the MAXDSN operand. If you only plan to extract information on ICF/VSAM clusters and you expect to have approximately 1000 clusters listed, specify a MAXDSN no less than 3000. If the error reoccurs, specify a MAXDSN value greater than nnnnn but less than or equal to 32,000 and, if required, rerun the job.

IAM634 SEQUENCE CHECKS FORCE SORTING OF DATA BEFORE IAM CREATION

Reason: The file recovery program found the records it was processing were not in ascending sequential order. An IAM file creation expects the IAM file to be loaded in order. The set of keys the recovery program is processing can not be used as is to load an IAM file. The Independent Overflow records in an IAM file may not be in collating sequence. Recovery of a file with Independent Overflow could result in this message.

Action: The recovered records must be sorted before they can be used to load an IAM file.

IAM635 LOG AND IAM FILE ARE INCOMPATIBLE

Reason: The file recovery program found the log file records it was applying were not compatible with the IAM file it was rebuilding.

Action: The log file being applied and the IAM file in question should be checked to see if they actually represent the same data before attempting to continue with a file recovery.

IAM636 APPLY FAILED -- RECORD KEY FOLLOWS --

Reason: The file recovery program found the record it was applying was not compatible with the IAM file it was rebuilding. Action: The log file being applied and the IAM file in question should be checked to see if they actually represent the same data before attempting to continue with a file recovery.

IAM637 IAM INTERNAL BLOCK --

Reason: The block shown contains file control information.

Action: None, information message only.

IAM638 IAM BLOCK NUMBER nnnnnn --

Reason: The block shown is relative block number 'nnnnnn' in the file.

Action: None, information message only.

IAM700 Innovation Access Method Trace VER nnnnnnnn Date:yyyy.ddd Page:nnn

IAM701 DDNAME:ddname DSN:dataset name

CONTINUED . . .

80.03 CONTINUED

IAM702 Type Time Request RPL/RBN PLH OPTCD RC/RINFO RECLEN Key

IAM703 ---- ---- ------- ------- --- ----- -------- ------ ---

Reason: IAM700 - IAM703 are headings for IAM trace output.

IAM704 Trace Ended

Reason: indicates the end of IAM tracing.

IAM705 There were trace lock failures

Reason: If any trace lock failures occurred during processing, this message will be issued.

IAM710 IOS 08.33.38.1900 PUT 00023A10 00040298 2040 01FE 00002D00

Reason: This is the I/O Start trace detail line. The contents of each field are as follows:

Type - IOS Request - One of the following, depending on the type of I/O requested: GET, PUT, POINT, ERASE, CHECK or ENDREQ.

Time - Time the trace record was generated.

RPL/RBN - The address of the RPL used to request the I/O.

PLH - The address of the active PLH for this I/O.

OPTCD - The values of RPLOPTCD1 and RPLOPTCD2 at the time of the request.

RC/RINFO - blank

RECLEN - The requested record length (if applicable).

Key - The records RBA or key (if applicable).

Notes: When trace is activated on a PATH, the trace output is modified to include a suffix to the message number. When the trace entry is for a path, the suffix is "P", for the alternate index the suffix is "X", and for the base cluster, the suffix is "B"

IAM711 IOE 08.33.39.2200 PUT 00023A10 00040298 0000 01FE 0005A000

Reason: This is the I/O End trace detail line. The contents of each field are as follows:

Type - IOE Request - One of the following, depending on the type of I/O requested: GET, PUT, POINT, ERASE, CHECK or ENDREQ.

Time - Time the trace record was generated.

RPL/RBN - The address of the RPL used for this request.

PLH - The address of the active PLH for this I/O.

OPTCD - N/A

RC/RINFO - The return code from the I/O.

RECLEN - The record length (if applicable).

Key - The records RBA or key (if applicable)

Notes: When trace is activated on a PATH, the trace output is modified to include a suffix to the message number. When the trace entry is for a path, the suffix is "P", for the alternate index the suffix is "X", and for the base cluster, the suffix is "B"

80.03 CONTINUED

IAM712 BFR 08.33.39.2300 WRITE 00000026 00040298 1008 0005A1FE

Reason: This is the Buffer Manager trace detail line. The contents of each field are as follows:

Type - BFR Request - One of the following, depending on the type of processing required: READ, WRITE, RELEASE, FLUSH.

Time - Time the trace record was generated.

RPL/RBN - The Relative Block Number (RBN) of the requested data block.

PLH - The address of the active PLH for this I/O.

OPTCD - PLH option bytes 1/2.

RC/RINFO - 'OV' if record was from/to Independent Overflow.

RECLEN - N/A.

Key - The records RBA or key (if applicable).

IAM713 EXCP 08.33.39.2400 READ 00000024 00040298 0001

Reason: This is the EXCP trace detail line. The contents of each field are as follows:

TYPE - EXCP Request - One of the following, depending on the type of processing required:

PRFMT - Preformat additional blocks

WREOF - Write EOF

WRADD - Write new block

READ - Read single or multiple blocks

WRITE - Write single or multiple blocks

Time - Time the trace record was generated.

RPL/RBN - Relative Block Number (RBN) of first block processed.

PLH - The address of the active PLH for this I/O.

OPTCD - Number of blocks to process.

RC/RINFO - N/A.

RECLEN - N/A.

Key - N/A.

80.03 CONTINUED

IAM714 XTND 08.34.02.0100 EXTEND 00000028 00000000 0102 0000 20980001

Reason: This is the XTND trace detail line. The contents of each field are as follows:

TYPE	-	XTND Request - One of the following, depending on the type of EXTEND processing required:
EXPAND	-	Expand into allocated but unused area.
EXTEND	-	Obtain new extent.
EXP/EXT	-	Expand and obtain new extent.
Time	-	Time the trace record was generated.
RPL/RBN	-	RBN of first new block after EXTEND.
PLH	-	Number of new index blocks after EXTEND.
OPTCD	-	Volume number and extent number of new extent.
RC/RINFO	-	Return code from EXTEND processing.
RECLEN	-	N/A.
Key	-	EXTEND processing internal flags.

CONTINUED . . .

80.04 IAM JOURNAL EXIT WTO MESSAGES

IAMJ01 IAMDD ddname: INITQ BROKEN, JOURNAL PROCESSING TERMINATED.

Reason: An error occurred during the IAM Journal Exit initialization processing. This error can occur when multiple concurrent I/O requests are active and are requiring service from the IAM Journal Exit while it is attempting to allocate and open the log data set.

Action: I/O requests will continue to be serviced to the IAM data set, however journalling is no longer being done by IAM for the indicated IAM data set. Contact Innovation Data Processing Technical Support for assistance.

IAMJ02 IAMDD ddname: ALLOCATION OF LOG FILE FAILED, JOURNAL PROCESSING TERMINATED.

Reason: The dynamic allocation of the log file for the specified IAM data set has failed.

Action: I/O requests will continue to be serviced for the indicated IAM data set, however journalling is not being done. If you are having difficulty determining why the LOG data set is not able to be allocated, contact Innovation Data Processing Technical Support for assistance.

IAMJ03 IAMDD ddname: GETMAIN1 FAILED, JOURNAL PROCESSING TERMINATED.

Reason: There is insufficient below the 16 megabyte line storage available in the region for IAM to acquire the storage required to handle the requested journalling. The amount of storage being requested that resulted in this failure is generally less than 2K. The OPEN of the indicated IAM data set will be failed.

Return Codes: A reason code of 188(x'BC') is stored in the ACB error flags field (ACBERFLG) and the open request is failed with a return code of 8.

Action: Generally increasing the REGION parameter for the job step should correct this problem. If it does not, then contact Innovation Data Processing Technical Support for assistance. This problem can be circumvented by turning journalling off for this file, through the use of the IAM overrides. (i.e., JRNAD=NONE).

IAMJ04 IAMDD ddname: OPEN OF LOG FILE FAILED, JOURNAL PROCESSING TERMINATED.

Reason: The OPEN of the log file has failed. There should be some IBM messages indicating the cause of the failure.

Action: I/O requests will continue to be serviced for the indicated IAM data set, however journalling is not being done. Correct the error condition based on the information available.

IAMJ05 IAMDD ddname: BUFFER GETMAIN FAILED, JOURNAL PROCESSING TERMINATED.

Reason: There is insufficient storage available to obtain the necessary I/O buffers for the IAM journal processing. For systems that are at a high enough level of DFSMS, the buffers are requested above the 16 megabyte line, otherwise the storage is requested from below the 16 megabyte line.

Action: I/O requests will continue to be serviced for the indicated IAM data set, however journalling is not being done. The journal exit requests storage for five (5) buffers, so multiply the block size of the data set by 5 to determine storage requirements, and adjust your region parameter as necessary.

80.04 CONTINUED

IAMJ06 IAMDD ddname: SYNAD MESSAGE GOES HERE.....

Reason: An I/O error has occurred on the IAM Log dataset. Review the I/O error cause from the message displayed.

Action: I/O requests will continue to be serviced for the indicated IAM data set, however journalling is not being done. Correct the problem causing the I/O error.

IAMJ08 IAMDD ddname: LOCATE FAILED FOR THE LOG FILE, JOURNAL PROCESSING TERMINATED.

Reason: In an attempt to prevent allocation and open errors, the IAM Journal exit program will verify that the required log file is in the catalog. If it is not found in the catalog, then the OPEN request for the IAM data set will also fail.

Return Codes: A reason code of 188(x'BC') is stored in the ACB error flags field (ACBERFLG) and the open request is failed with a return code of 8.

Action: Action: The OPEN request for the IAM file is failed. Either correct the error condition, or disable IAM journalling for this file and job step through the ACCESS override keyword JRNAD=NONE.

IAMJ09 IAMDD ddname: OBTAIN FAILED FOR THE LOG FILE, JOURNAL PROCESSING TERMINATED.

Reason: The IAM journal exit will attempt to make sure that the required log data set is allocated on the volume indicated by the catalog prior to attempting an allocation and open of this critical file.. If the required log data set is not found, then the OPEN for the IAM data set will be failed.

Return Codes: A reason code of 188(x'BC') is stored in the ACB error flags field (ACBERFLG) and the open request is failed with a return code of 8.

Action: Make sure that the required log data set is properly allocated and cataloged. Specifying the IAM ACCESS override JRNAD=NONE can be used to turn off journalling until the cause of the error is found.

IAMJ10 IAMDD ddname: DCB ATTRIBUTES OF LOG FILE ARE INCOMPATIBLE, JOURNAL PROCESSING TERMINATED.

Reason: The IAM journal exit will make sure that the attributes (RECFM, LRECL, and BLKSIZE) are appropriate as needed by the file being logged. If they do not meet the required criteria, the OPEN of the IAM data set will fail.

Return Codes: A reason code of 188(x'BC') is stored in the ACB error flags field (ACBERFLG) and the open request is failed with a return code of 8.

Action: Review the documentation on the IAM journalling exit to make sure that the DCB attributes selected for the LOG data set are appropriate, and change them as necessary. It is best to let IAM determine the DCB attributes, by just allocating the DASD space required for the LOG data set without specifying any DCB attributes.

IAMJ11 IAMDD dname: SAVEAREA GETMAIN FAILED, JOURNAL PROCESSING TERMINATED.

Reason: There is insufficient virtual storage available for the IAM Journal Exit. The OPEN of the IAM data set will be failed. The amount of storage being requested is generally less than 1K, and it can reside in either above or below the 16 megabyte line.

Return Codes: A reason code of 188(x'BC') is stored in the ACB error flags field (ACBERFLG) and the open request is failed with a return code of 8.

Action: Increase the REGION parameter of the job step that is failing with this error.

CONTINUED . . .

80.10 IAM ABEND CODES

As a general rule, the IAM access method avoids intentionally abending, but rather passes return codes and error codes back to the calling program. Most of the abend codes listed below are for the various utility programs available with IAM, including IAMRECVR, IAMZAPOP, IAMSMFVS, and IAMSMF.

The abend codes issued by the old IAM Native and ISAM interfaces have been removed from the manual. They are documented in the ICL library, that was loaded as part of the product installation. Refer to member OLDABEND.

ABEND CODE	DESCRIPTION
U0102	The UPAD exit routine returned to IAM with the contents of R1 being either x'00', or not pointing to the parameter area that was passed from IAM to the UPAD exit.
U0184	An error condition occurred during IAM processing, and the user had supplied a //IAMDEBUG DD DUMMY DD statement. For various errors, IAM will check if an IAMDEBUG DD has been supplied, and rather than returning an error code and non-zero return code, IAM will issue an abend. The primary purpose of this capability is to add in problem diagnosis.
U0402	An IAM utility program encountered a failure attempting to open the required SYSPRINT DD statement. Most likely the DD statement is either missing, or incorrectly spelled.
U0502	An IAM utility program encountered an error processing the required control card input. The required SYSIN DD statement is missing or incorrectly spelled, or no control cards were supplied. There should be an error message provided on the SYSPRINT listing indicating the cause of the problem.
U0600	An IAM utility program encountered an error processing an input or output file. Refer to SYSPRINT for messages detailing the error encountered.
U0658	An IAM utility program encountered a module that did not match the level of the utility program being executed. This may indicate an incorrect STEPLIB, or a problem with the product installation.
U0659	An IAM utility program encountered an unexpected logical error during processing. There should be messages on SYSPRINT further explaining the cause of the problem.
U0660	An IAM utility program encountered an internal save area stack overflow. Contact Innovation for assistance.
U0777	IAMSTART encountered an error with the SETLOCK service. Contact Innovation for assistance.
U0900	The IAM utility IAMEXTND, encountered a correctable user error. Refer to the SYSPRINT output for messages detailing the cause of the error. Contact Innovation.
U0901	The IAM utility IAMEXTND encountered a serious error. Refer to the SYSPRINT listing for messages indicating the reason for the failure. Contact Innovation.
U0902	The IAM utility IAMEXTND encountered a serious processing error. Refer to the SYSPRING listing for error messages. Contact Innovation.

80.20 CATALOG RETURN CODES

The following is a list of return codes and reason codes that IAM will set for a file DEFINE. When a file is defined under IDCAMS, these codes appear in the IDC3009I message. IDCAMS may print out other messages that relate to the failure code. When ever possible, IAM uses codes that will have the same or similar meaning for VSAM files, however that is not always possible. There will be an IAM error message, with the IAMW prefix that should identify the error in more detail. Also, for most allocations, there will also be error messages generated by the failed dynamic allocation request, which IAM will print out. Due to the way IDCAMS displays messages, the error messages printed by IAM will actually appear before the card images for the actual DEFINE. Then, IDCAMS will print out it's own error messages based upon the codes that IAM set.

RETURN CODE	REASON CODE	ERROR DESCRIPTION
8	38	Duplicate data set name found in the catalog, or on the volume(s) to which the data set is being defined.
16	0	SMS has failed the allocation request. Refer to the associated SMS error messages for additional information on the exact cause of the error.
22	8	The user attempted to define a cluster of a type not supported by IAM. Presently, IAM only supports KSDS or ESDS type of clusters without any alternate index.
42	nnnn	MVS/ESA DADSM allocation of the data set failed. The reason code is the return code from DADSM.
44	12	The work area provided by the caller of a request for information from the catalog for an IAM file was not large enough to contain all of the requested information.
48	0	The file being defined was attempted to be cataloged in a OS CVOL catalog. IAM files MUST be cataloged in preferably an ICF catalog, or a VSAM catalog.
54	nnnn	MVS/XA DADSM allocation of the data set failed. The reason code is the return code from DADSM.
56	6	User is not authorized to define the data set, according to the security system.
58	nnnn	On a DEFINE RECATALOG request, the attempt to OBTAIN the VTOC information for the specified data set failed. The reason code is the return code from the OBTAIN service.
58	4	A CVAF service request issued by IAM during the define of an IAM file failed. CVAF indicated that the volume on which the data set was defined was not mounted. There should be a corresponding IAMW50 error message.
58	8	A CVAF service request issued by IAM during the define process of an IAM file failed. CVAF indicated that the DSCB for the IAM file was not found on the volume to which the IAM file was defined. There should be a corresponding IAMW50 error message.
58	12	A CVAF service request issued by IAM during the define process of an IAM file failed. The CVAF return code and reason code are on the associated IAMW50 error message.
60	4	A catalog information request was issued (locate SVC) which appeared to be for an IAM file, however either an error occurred during IAM processing, or the file is not an IAM file. Normally, this return code will only be set if an //IAMDEBUG DD DUMMY statement is included in the JCL.

80.20 CONTINUED

RETURN CODE	REASON CODE	ERROR DESCRIPTION
62	0	The initialization of the IAM file being defined failed. There should be IAMWxx messages indicating the cause of the error.
68	2	The define request failed because there was insufficient space on the specified volume(s) to contain the data set.
86	4	During a define recatalog of an IAM data set, IAM encountered a failure attempting to determine the attributes of the file. The file most likely is not an IAM file.
86	6	During a define recatalog of an IAM data set, IAM could not find the data set on the specified volume.
72	4	During a define of an IAM file, IAM was not able to find one or more of the requested volumes online.
80	4	During a define of an alternate index or path, the related data set or path entry data set was not found. Make sure that the related data set name or path entry data set name is specified correctly.
80	10	A define of an alternate index or path was missing the related or path entry name.
80	12	During a define of an alternate index or path, the related data set or path entry specified was invalid for the type of data set being defined. Make sure that the related data set is an IAM data set of the appropriate type (base for an alternate index define, and base or alternate index for a path define.)
86	4	During a define recatalog of an IAM data set, IAM encountered a failure attempting to determine the attributes of the file. The file most likely is not an IAM file.
86	6	During a define recatalog of an IAM data set, IAM could not find the data set on the specified volume.
96	8	The base cluster record size is not large enough to contain the specified alternate key, or the calculated minimum alternate index record size exceeds the record size defined for the alternate index.
132	xx	During the define of an IAM file, the internal parameter list usually generated by IDCAMS was missing data. The reason code indicates the particular field that was not provided. This most likely is not a user error, but rather an error on the part of the software issuing the define.
132	2	No VOLUME FVT was found in the parameter list.
132	4	No AMDSB FVT was found in the parameter list.
132	8	No Average LRECL FVT was found in the parameter list.
132	10	No Space FVT was found in the parameter list.
132	26	No SPACE was found the FPL.
132	34	No attribute FPL was found (RGATTR FPL) on the define of an IAM Path or alternate index.
132	48	No AMDSB in the FPL.
136	2	No VOLUME information length.
136	6	No CLUSTER FVT found.
136	18	No Average LRECL found in the FPL.

80.20 CONTINUED

RETURN CODE	REASON CODE	ERROR DESCRIPTION
168	2	IAMís internal device characteristics table does not contain an entry for the type of device on which the user is attempting to define an IAM file. If the device is a valid DASD device, contact Innovation for support.
176	0	The define of an IAM file failed because there was insufficient room in the VTOC on the specified volume(s) to contain an entry for the new file. The data set will have to be allocated on a different volume, or some data sets will need to be deleted from the target volume. Another option is to enlarge the size of the VTOC.
192	0	During the file define, IAM determined that the maximum record length being requested is longer than IAM Version 6.4 can support. Presently, IAM can support records up to 32,760 bytes long.
240	4	IAM was unable to determine the device characteristics for the volume requested on the file define request.
240	22	On the file define, the required DD statement for the volume(s) on which the data set is to be defined was not found, or was not provided. This return code should normally not be seen by an end user.

CONTINUED . . .

80.21 IAM I/O REQUEST ERROR CODES

IAM adheres to the VSAM application programming interface guidelines. When a request fails, IAM sets a non-zero return code in register 15, and provides a reason code within the RPL. Abends are avoided as much as possible. The appropriate exit routine, EODAD, LERAD, or SYNAD will be given control if so specified by the application program. It is the responsibility of the application program to verify the results of each I/O request, and take the action it deems appropriate in response to any error circumstance. As a result of a failing request, IAM will set the return code and error code to match the VSAM codes as much as is possible.

The table below indicates the return code, which is returned in register 15, and the error code, which is returned in the RPL field RPLERRCD. (The return code is also in the RPL, in field RPLRTNCD.) The error code is returned to an application program through the use of the SHOWCB macro, by requesting the FDBK field of an RPL.

RETURN CODE	ERROR CODE (DECIMAL)	ERROR CODE (HEX)	DESCRIPTION OF ERROR CONDITION
0			Request completed successfully.
0	8	X'08'	Indicates a duplicate alternate key. For GET requests, this means that there are additional base records with the same alternate key. For PUT requests, indicates a duplicate entry for the alternate key was created.
4	0	X'00'	Indicates that the RPL was already active with another request.
08			Logical Error Occurred (See Error Code for Reason)
08	4	X'04'	Logical End of File, there are no records with any higher key value than that of the last record returned. For a POINT, or START BROWSE, the key specified is higher than the highest key on the file.
08	8	X'08'	Duplicate Record. A PUT to add a record was issued, however there was already a record on the file with that same key.
08	12	X'0C'	Out of sequence. On a PUT during file load, the key of the record is lower than the previous record. Records must be loaded in ascending key sequence. On a PUT in sequential mode (OPTCD=SEQ), the key of the record being added is lower than the key of the last record processed (either retrieved or added) by this RPL. On a Skip Sequential request (POINT or GET), the key requested is lower than the key of the record previously retrieved.
08	16	X'10'	No record was found in the file with the specified key.
08	20	X'14'	Record is under Exclusive Control: The same record has been requested for UPDATE by another RPL. The RPL message area, if provided, has the address of the RPL that holds the requested record for update.
08	24	X'18'	ESDS: Control Interval GET of High Allocated RBA..

CONTINUED . . .

80.21 CONTINUED

RETURN CODE	ERROR CODE (DECIMAL)	ERROR CODE (HEX)	DESCRIPTION OF ERROR CONDITION
08	28	X'1C'	For Compatible format files, the Independent Overflow area is filled, the file needs to be reorganized. For Enhanced format files, there either is insufficient DASD space to expand the file, or the file has used up the maximum number of extents it is permitted.
08	32	X'20'	ESDS: RBA supplied does not specify the address of the beginning of a record. No record at the specified relative byte address.
08	40	X'28'	IAM was not able to obtain virtual storage to complete the request. This will normally only occur on a GET request, with OPTCD=LOC, where IAM could not obtain storage for an area to contain the requested record.
08	44	X'2C'	The area provided by the application program was not large enough to contain the requested record. The record size is in the RPL field RPLRLEN.
08	64	X'40'	For Enhanced Format files: IAM was unable to obtain virtual storage for an additional string (place holder). For Compatible Format files, an insufficient number was specified for STRNO, and IAM ran out of place holders.
08	68	X'44'	An UPDATE request was issued, i.e. PUT or ERASE, however the file was opened for INPUT processing only.
08	72	X'48'	Keyed access attempted on an ESDS type of file.
08	80	X'50'	Erase attempted on an ESDS type of file.
08	84	X'54'	PUT with locate mode (OPTCD=LOC) is not permitted.
08	88	X'58'	RPL is not positioned for the specified sequential request. A POINT is required, or a random get with positioning: OPTCD=(DIR,NSP).
08	92	X'5C'	A PUT or ERASE request was issued without a preceding GET for update.
08	96	X'60'	On an update PUT request, the key in the record does not match the key of the record read for update.
08	100	X'64'	ESDS file type: on an update PUT request, the user attempted to change the record length.
08	104	X'68'	Invalid RPL options specified. (OPTCD) KSDS: Relative Byte Address or Control Interval processing is not supported by IAM. (OPTCD=ADR or OPTCD=CNV) or a get previous request is issued in skip sequential mode (RPL OPTCD=(SKP,BWD).
08	108	X'6C'	The record length either is less than the minimum record length, which is (key length + key offset), or exceeds the maximum defined record length. For compatible format IAM files with the FIXED length record attribute, the record length was not equal to the defined length.
08	112	X'70'	The key length in the RPL is greater than the defined length of the key. (RPL OPTCD=GEN type requests only.)

80.21 CONTINUED

RETURN CODE	ERROR CODE (DECIMAL)	ERROR CODE (HEX)	DESCRIPTION OF ERROR CONDITION
08	116	X'74'	The request type (i.e., a GET, POINT, or ERASE) is not valid during a file load.
08	144	X'90'	An invalid pointer was found in an alternate index. While the requested key was found in the alternate index, the associated base record was not found.
08	156	X'9C'	An internal IAM error was encountered while processing the request. IAM found a record with a length of zero, or reached the end of data within a block unexpectedly. This error could be due to a corrupted buffer in storage. Run an IAMRECVR DIAGNOSE to verify that the file is ok.
08	200	X'C8'	A control interval request (OPTCD=CNV) is not permitted when reading an ESDS through an alternate index.
08	208	X'D0'	A CHECK or an ENDREQ request was issued, however there was another task waiting on the RPL ECB.
08	225	X'E1'	Internal IAM error: The buffer pointer in the PLH is 0.
08	241	X'F1'	A invalid type of request was made, the contents of R0 contains a VSAM request type that IAM does not recognize or support.
08	242	X'F2'	The ECB address passed in the RPL is invalid.
08	244	X'F4'	During a file load, the Data Space used to temporarily hold the index was filled. Rerun job with the DATASPACE override, providing a larger value.
0C			Physical I/O error occurred. Message IAMW37, or IAMW01 should be examined to determine the nature of the error.
0C	4	X'04'	An error occurred attempting to READ a data block from DASD.
0C	16	X'10'	An error occurred when IAM was attempting to WRITE a data block to DASD.

80.22 IAM OPEN AND CLOSE ERROR CODES

In keeping with the VSAM application programming interface, most errors that occur during Open or Close will not cause an abend. Rather, a non-zero return code is passed in register 15, and an error code is set in the ACBERFLG field of the ACB. The error code can also be retrieved by the SHOWCB macro, requested for the failing ACB. Request FIELDS=ERROR in the SHOWCB macro to obtain the error code. Most of the IAM Open or Close failures will result in an IAMWxx error message being generated. Refer to the message for additional information.

RETURN CODE	ERROR CODE (DECIMAL)	ERROR CODE (HEX)	DESCRIPTION OF ERROR CONDITION
04			The CLOSE for the IAM file failed. See reason codes below. This could also be returned on OPEN to indicate that there was a warning message issued for one or more files being opened. The ACBERFLG should be checked for each ACB being opened.
04	4	X'04'	A CLOSE was requested for an ACB that was already closed.
04	100	X'64'	This is a warning that on an open for a PATH or base cluster with an alternate index(es) in an upgrade set, one or more of the alternate index data sets were found to be empty.
04	136	X'88'	Insufficient storage in region to close the file. Most likely, there is insufficient below the line storage to obtain work area(s) for the file close. Perhaps by closing other files first, if possible, will correct this circumstance. It may be necessary to raise the value of the REGION parameter for this job step.
04	144	X'90'	The CLOSE for an IAM file being loaded or reorganized failed, due to insufficient DASD space to write out the file's index. Delete and redefine the file with more DASD space.
08			Open Error Occurred. The file is not opened, see reason codes below for further information.
08	128	X'80'	DD Statement for the specified data set is not in the JCL. This also will be issued when one of the macros issued by IAM to OPEN the data set fails, such as OPEN, DEVTYPE, or TRKCALC.
08	136	X'88'	Insufficient storage in region to open the file. Most likely, there is insufficient extended private storage, however there could also be insufficient below the line storage. Check message IEF374I to determine how much storage was used. Most likely increasing the REGION parameter will solve the problem.
08	152	X'98'	RACF, or other system security software, indicated that the user was not allowed the type of access to the file that was being requested by the ACB on the OPEN macro.
08	160	X'A0'	The application attempted to open an IAM file for input processing, however either the file had never been loaded, or an attempted file load or reorganization failed. Or, the application attempted to open an unloaded file with a STRNO value not equal to 1.

80.22 CONTINUED

RETURN CODE	ERROR CODE (DECIMAL)	ERROR CODE (HEX)	DESCRIPTION OF ERROR CONDITION
08	168	X'A8'	The specified file was already being processed by another job or user, and could not be opened for the processing that the application requested. Check for an IAMW04 or IAMW30, which will, when possible, include the name of the job that had the file opened. Rerun the failing job after the identified job terminates.
08	184	X'B8'	An I/O error occurred while trying to open the indicated file. This error code should be accompanied by either an IAMW37 or an IAMW07 error message, indicating the nature of the I/O error.
08	188	X'BC'	Open processing encountered a problem while attempting to Open the file. If the file was being loaded, an invalid or unsupported record length was specified for the file. This error may also be due a problem with the extended data areas of the file. There should be an IAMWxx message indicating the reason for the error.
08	192	X'C0'	Open processing failed for a file load, due to an invalid file attribute being specified. This should be accompanied by an IAMW20 error message, indicating the improperly specified attribute.
08	196	X'C4'	Open processing failed on a PATH because the alternate index object of that PATH was empty.
08	232	X'E8'	An attempt was made to Open a loaded IAM file for reloading with ACB MACRF=RST, however the file was defined with the NOREUSE attribute, and the IAM Global Options Table was set to ENABLE=NOREUSE. The file must be deleted and redefined to be reloaded.
08	240	X'F0'	The file was already open by another ACB (or DD) in this address space, and the current attempt to OPEN the file failed because the time stamp for file load was different than the time stamp in the previously opened ACB. Close all open ACB for this data set in this address space, and then they can be reopened.
08	241	X'F1'	The IAM VSAM Interface was not able to find a proper version of the IAM processing module required to OPEN this file.
08	242	X'F2'	The file was being opened for OUTPUT with an expired trial version of IAM.
08	248	X'F8'	Internal IAM error, the Open request failed.
08	254	X'FE'	The IAM VSAM Interface either was unable to successfully LOAD the required IAM processing module, or a version was loaded that does not match the version of the IAM VSAM Interface (VIF) that is active.

80.23 COBOL FILE STATUS CODES WITH IAM

While the above error codes are available to COBOL programs, more frequently these programs use the COBOL File Status Codes for interpreting error situations. Below are the more frequently encountered File Status Codes which can occur while processing an IAM file.

FILE STATUS	REASON FOR FILE STATUS CODE
00	Successful completion of request.
02	Successful completion of request. There are additional base records with the same alternate key.
04	Successful completion of request, however the record size does not match the fixed length of the record as defined within the COBOL program.
21	On sequential WRITES, the key is lower than the previously written key. Or, on REWRITE of an existing record, the application program changed the key of the record.
22	A WRITE of a new record was attempted for a key that matches a record currently in the file.
23	The requested record (key) was not found in the file.
24	Additional DASD space was required for this request, but it could not be obtained. For Compatible format files, this indicates that the Independent Overflow area has been filled. For Enhanced format files, either there was insufficient DASD space to expand the file, or the file had reached the maximum extents allowed, which is 16 extents per DASD volume. Generally, this error requires that the file be reorganized, possibly requiring a DELETE and DEFINE to allocate more DASD space. For Enhanced Format files, if the cause is insufficient DASD space, if some existing data sets can be deleted from the DASD volume, it might be possible to subsequently retry the request.
34	The file is full. See description for file status code 24 above.
39	This file status generally implies a mismatch between the defined IAM file attributes and the record layout in the COBOL program. For example, possible causes are the key length or offset from the file definition do not match the COBOL record layout. Also, the defined maximum record length for the file is shorter than the maximum possible length for variable length records. COBOL requires that the defined record length be at least as long as the maximum theoretical record size, from the layout. Refer to the IAM PSEUDOLRECL feature for circumventing this restriction.
41	An OPEN was requested for a file that had already been opened.
42	A CLOSE was issued for a file that was already closed.
43	A file update request (i.e., REWRITE or DELETE) was issued without a prior READ for UPDATE.
44	An incorrect record length was specified on the WRITE. Either the length was shorter than the minimum, which is key length plus key offset, or the record was longer than the defined maximum record size for the file.
46	A READ failed because the application had not successfully established a position in the file, or a READ was attempted after the end of file had been reached.
47	A READ request was issued for a file that was not opened for input or I/O.
48	A WRITE request was issued for a file not opened for output or I/O (update).

IAM

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FILE STATUS	REASON FOR FILE STATUS CODE
49	A DELETE or REWRITE request was issued for a file that was not opened for I/O (update).
92	Logic error. The file attributes from the COBOL program, such as key length, relative key position, or record length do not match the IAM file being accessed. (Note that the defined record length for the file must be at least as large as the largest possible record length.)
93	Insufficient virtual storage to process the request. Most likely, the REGION parameter has to be increased for the job. Check the IAM output, IAMPRINT, from a Listcat of the file to determine how much storage will be required to open this file.
94	Not positioned for a sequential READ request.
95	Invalid or incomplete file information.
96	The DD statement is missing for this file.

90.01 IAM INSTALLATION INTRODUCTION

OVERVIEW

IAM is an efficient high performance alternative to using VSAM KSDS or ESDS clusters. With the optional Alternate Index feature, IAM can be used for alternate index data sets and their base clusters. IAM data sets can be used for your SMP/E CSI data sets along with other purchased or in house written applications that run as batch programs, interactive TSO processors or CICS transactions. Programs coded to access KSDS or ESDS VSAM files, and there associated alternate indexes, can transparently use IAM files usually without program or JCL changes. IAM has been designed to provide especially high performance and reliability. In addition, IAM files because of their simplified file structure and optional Data Compression feature usually take 30 to 70% less disk space than VSAM equivalents.

INSTALL PROCESS

The installation of IAM is accomplished by the following procedure:

1. Load the IAM Installation Control Library (Sec. 90.02).
2. Load the IAM program load library (Sec. 90.03).
3. Review and set the IAM Global Options. (Sec. 90.04)
4. (Optional) Load the sample JCL library. (Sec. 90.05).
5. (Optional) Install the IAM ISPF panels and programs. (Sec. 90.06)
6. (Optional) Install the IAM CICS monitor transaction. (Sec 90.07)
7. Activate IAM's system level VSAM Interface (VIF) in your system (Sec 90.10).
8. Develop testing plans and procedures for IAM (Sec. 90.20).
9. Put IAM into production (Sec 90.30).

Upon completion of the above steps, you are ready to use IAM files in place of VSAM clusters. Whenever you specify the $IAM parameter in a DEFINE statement for a KSDS or ESDS cluster, you will create an IAM file instead of a VSAM cluster. For customers with the optional Alternate Index support, defines of alternate index and path data sets will be automatically converted to IAM when the base cluster is an IAM data set. Any program referencing the file will be automatically switched to IAM instead of using VSAM.

Product Tape

The product distribution tape is in a SL format with a volume serial number of IAM70T or IAM70P. The tape volume IAM70T contains a trial version of the library which is date protected and will expire on the date shown on the external tape label. The trial version has the IAM alternate index feature enabled. The tape volume IAM70P contains the production version of the product library. The production version tape will have the alternate index option only if it has been purchased. There are no date protected modules in the production library.

TRIAL CUSTOMER

If you are a trial IAM customer, the trial copy will expire on the date specified on the installation tape, unless it is extended by INNOVATION. You will be supplied a production library when you purchase the product. Reinstall the IAM system from the production tape before your trial expiration date.

CURRENT CUSTOMER

If you are a current customer of IAM, you can install and test this new version of IAM without effecting any of your existing jobs. Programs can continue to use prior versions until you choose to have them use the new version. Refer to Sections 90.10 and 90.20 for information on testing the new level of IAM.

90.02 INSTALLING THE IAM INSTALLATION CONTROL LIBRARY

The first step to install the IAM product is to download the Installation Control Library (ICL) from the tape. This library will contain the JCL necessary to complete the rest of the install, and will reflect any updates to the various jobs that might not have made it into the printed documentation. Also look for any members in the library that begin with NEWS for important product update information. The ICL is contained on file two of the installation tape, and is in IEBCOPY input format.

After copying the ICL to DASD, you should review member '@INDEX' in this library to determine which members might be helpful to you. Be sure to check for any NEWS members as well.

ICL Attributes

The Installation Control Library must be loaded to a partitioned data set on disk. You may load it to an existing data set (if it has sufficient space and proper DCB attributes) or allocate and load a new one. The following table shows the allocation parameters for the Installation Control Library:

DATA SET	RECFM	LRECL	BLKSIZE	BLOCKS	PDS DIR BLOCKS
IDP.ICLIAM64	FB	80	3120	150	23

This data set is allocated in blocks so that the system will calculate the correct number of tracks for your device type. If your installation chooses a different blocksize for this data set, you should adjust the number of blocks accordingly.

How to Install

The JCL example below allocates and loads the Installation Control Library. You must make the following changes to reflect your environment:

1. 'DSN=IDP.ICLIAM70' on the ICLOUT DD statement should be changed to the name you wish to use for the Installation Control Library.
2. 'VOL=SER=vvvvvv' on the ICLOUT DD statement must specify a disk volume where the Installation Control Library will be allocated.
3. 'UNIT=TAPE' on the ICLIN DD statement must specify a tape drive capable of reading the installation tape.
4. 'VOL=SER=IAM70T' on the ICLIN DD statement must be changed to 'VOL=SER=IAM70P' if you are loading from a production installation tape.

After modifying the JCL, submit the job to download the ICL library.

CONTINUED . . .

90.02 CONTINUED

JCL FOR LOADING ICL

```
//ICLLOAD       EXEC      PGM=IEBCOPY,REGION=1024K
//SYSUT3        DD        UNIT=SYSDA,SPACE=(CYL,(1,1))
//SYSUT4        DD        UNIT=SYSDA,SPACE=(CYL,(1,1))
//SYSPRINT      DD        SYSOUT=*
//ICLOUT        DD        DSN=IDP.ICLIAM70,    <--USER-CHANGE
//              VOL=SER=vvvvvv,                <--USER-CHANGE
//              UNIT=SYSDA,DISP=(,CATLG),
//              DCB=(LRECL=80,BLKSIZE=3120,RECFM=FB),
//              SPACE=(3120,(150,15,23),,,ROUND)
//ICLIN         DD        DSN=IAMICL,
//              UNIT=TAPE,                     <--USER-CHANGE
//              DISP=OLD,LABEL=2,
//              VOL=SER=IAM70T          CHANGE T TO P IF PRODUCTION TAPE
//*
//SYSIN          DD        *
        COPY I=((ICLIN,R)),O=ICLOUT
/*
```

Figure 1: JCL to down load the Installation Control Library (ICL)

Member "@INDEX' is the table of contents for this library. Installation Control Library provides the user procedures for:

- Installing the program load library. (Member IAMLOAD)
- Installing the Sample JCL library. (Member JCLIAM70)
- Activating the IAM system level VSAM Interface (VIF).
- Verify the installation of IAM.
- Using IAM's VSAM ANALYSIS programs.
- And many more.

CONTINUED . . .

90.03 IAM PROGRAM LOAD LIBRARY

The second step is to download the IAM load library from the product distribution tape. JCL to perform this step is provided in the member IAMLOAD of the ICL library that was downloaded in the prior step (see Section 90.02).

The IAM program library is distributed on tape in IEBCOPY unloaded format. It is required that an authorized library be used, as certain modules are linked with an authorization code of 1. Note that normal application programs using IAM files do not require authorization. An authorized library is required when IAM is used for the following functions:

- Activation and deactivation of the IAM VSAM Interface (VIF).
- Job steps that use the IDCAMS utility on IAM files. This includes functions such as defining IAM files, listing catalog information, deleting IAM files, and copying IAM files with REPRO.
- Job steps that run the IAMRECVR utility program.
- When being used by other products or programs that require APF authorization.

A library is authorized if it is accessed via the LINKLIST or its name is in SYS1.PARMLIB member IEAAPFxx or PROGxx for OS/390. Under MVS/ESA or OS/390, even a library accessed via the LINKLIST may have to be listed in IEAAPFxx to be authorized.

The IAM program library must be loaded to a partitioned data set on disk. You may load it to an existing data set (if it has sufficient space and proper DCB attributes) or allocate and load a new one. The following table shows the allocation parameters for the product program library:

Load Library Attributes

PRODUCT	RECFM	LRECL	BLKSIZE	BLOCKS	PDS DIR BLOCKS
IAM	U	n/a	6144	360	36

This data set is allocated in blocks so that the system will calculate the correct number of tracks for your device type. If your installation uses a different block size for this data set, you should adjust the number of blocks accordingly.

How to Install

The JCL listed below is supplied on the Installation Control Library (Section 90.02) with a member name of 'IAMLOAD'. Be sure to use the JCL provided on this tape not JCL from prior releases. Also, the provided JCL will have the correct volume for the version of the product that is being installed. Make the following changes to the supplied JCL:

1. 'DSN=IDP.MODIAM70' on the LOADOUT DD statement should be changed to the name you wish to use for the Load Control Library. For customers that have a prior version of IAM installed, **DO NOT INSTALL THE NEW VERSION INTO THE CURRENT PRODUCTION LIBRARY!** Please install the new version into a separate, authorized library. If the library is in the Link List, make sure that it is after the production library.
2. 'VOL=SER=vvvvvv' on the LOADOUT DD statement must specify a disk volume where the Load Library will be allocated.
3. 'UNIT=TAPE' on the LOADIN DD statement must specify a tape drive capable of reading the installation tape.

90.03 CONTINUED

Example JCL to Download the IAM Load Library

```
//IAMLOAD      JOB      (IAM),'IAM-LOAD LIBRARY INSTALL'
//******
//*           THIS JOB INSTALLS THE INNOVATION ACCESS METHOD(IAM)
//*           TAPE VOLUME SERIAL MUST BE IAM70P
//*           USER CHANGES:
//*           CHANGE ONLY WHERE INDICATED BY |<-USER CHANGE|
//*           DSN=     USER LOAD LIBRARY
//*           UNIT=    TAPE DRIVE UNIT NAME
//*           SER=     OUTPUT VOLSER FOR LOAD LIBRARY
//******
//*
//COPY        EXEC     PGM=IEBCOPY,REGION=1024K
//SYSPRINT    DD       SYSOUT=*
//SYSUT3      DD       UNIT=SYSDA,SPACE=(CYL,(1,1))
//SYSUT4      DD       UNIT=SYSDA,SPACE=(CYL,(1,1))
//LOADOUT     DD       DSN=IDP.MODIAM70,           <-USER CHANGE
//            VOL=SER=VVVVVV,                      <-USER CHANGE
//            SPACE=(6144,(360,120,36),,,ROUND),
//            DCB=(LRECL=0,BLKSIZE=6144,RECFM=U),
//            DISP=(,CATLG),UNIT=SYSDA
//LOADIN      DD       DSN=IAMLOAD,
//            UNIT=TAPE,                           <-USER CHANGE
//            DISP=OLD,LABEL=1,
//             VOL=SER=IAM70T         ( Will reflect proper volser
//SYSIN        DD       *
  COPY INDD=((LOADIN,R)),OUTDD=LOADOUT
/*
```

Figure 2: JCL to down load the IAM Product Load Library

How to Install

After making the required changes to the member 'IAMLOAD' , submit the job to load the IAM program library from your installation tape.

***** CAUTION CAUTION CAUTION *****

1. For current IAM customers, we recommend that you install this version in an authorized library separate from your current IAM library. Once you have tested this version, then copy the modules into your production library replacing the previous version, or replace the previous IAM library name with the new one in your system's LINKLIST.
2. Under MVS/ESA and OS/390, if you have installed the modules into a LINKLIST library, the operator may have to issue the command MODIFY LLA,REFRESH to inform the system of the update.

CONTINUED . . .

90.04 Set IAM Global Options

Overview

The third step is to review and make whatever changes are necessary to the IAM Global Options. Many of the various default values for IAM can be changed in the IAM Global Options Table. For example, defaults for buffers, Data Compression, SMF recording and many others can be changed using this capability. The options, or defaults, are changed by running the IAMZAPOP utility program. The use of this program and all of the default values and options are fully described in Section 91 of the IAM User Manual. This section will discuss what is new and changed in this version, how to use the IAM Global Options change capability along with some examples, plus make some recommendations for changing some of the defaults.

New for IAM V7.0

New Global Options for Version 7.0 of IAM include:

- **CICSBUFSP**=nnnnnn - When running under CICS, IAM will use this value for Enhanced mode files to calculate a default MAXBUFNO. This will allow customers to set one value for storage usage for files opened under CICS, and a different value for batch. The reasoning for this is because many customers have much tighter storage limitations under CICS, than under batch jobs, so that batch can default to a larger value than what would be a desirable default for CICS. The default value is 256K (262,144 bytes), which is the same as the old default value for BUFSP.
- **REORGWTO** - This option, which can be enabled or disabled as desired, will control whether or not IAM will display the IAMW22 file reorganization recommended on the JOB log, when such a condition arises. The default value is that the messages will be enabled.

Changed Global Options

The following Global Option default values have been changed for IAM Version 7.0. Please review them carefully, particularly if you are upgrading from IAM Version 6.3 or earlier.

- **The BUFSP value has been increased to 875K (896,000).** This will allow IAM to set MAXBUFNO to a value large enough to hold slightly more than one cylinder of data. The value had previously defaulted to 256K. CICS will use the new Global Option CICSBUFSP for this calculation.
- **The CRBUFOPT default has been changed to MCYL.** This will automatically provide the best buffering possible for file loads. The value previously had defaulted to CYL.
- **The DATASPACE value has been increased to 256 megabytes.** This change was done to allow for larger Index Space storage to be obtained. The previous default was 128 megabytes.
- **The LOADABWO option is now defaulting to YES.** A value of YES is required for customers running CICS Transaction Server. Customers running earlier levels of CICS should change this back to NO.
- **The MAXREGION default value has been increased to 512 megabytes.** The value had previously been set to 128 megabytes. The increase was made because many customers already had CICS regions in excess of 128 megabytes, which eliminated the benefit of this feature.
- **The VAROVERFLOW default has now been set to YES.** Customers that are upgrading to this version directly from Version 6.3 should change this to the prior default of NO, until this version of IAM has been accepted in a production environment. This is because use of variable overflow is not downward compatible with Version 6.3 Enhanced format files.

90.04 CONTINUED

As a review, for users migrating from older IAM versions, the Global Option default values that changed for IAM Version 6.4 are shown below:

- IAM will now default to Enhanced format files, **(ENABLE=ENHANCED)**.
- IAM will now default to using Data Compression for files that are defined as being 75 tracks (5 cylinders) or larger, **(DATACOMPRESS=75)**.
- IAM will eliminate the use of the OWNER field (**ENABLE=NOWNID**) in the catalog for hexadecimal information. IAM will now save in the OWNER field whatever value has been specified on the IDCAMS DEFINE statement, such as $IAM.

User's of Prior Versions of IAM

The first step in determining what, if any, Global Options should be changed is to find out what Global Options were changed previously. User's of prior versions back to IAM V6.1/12P can determine what Global Options they have changed in their current release through the use of the IAMZAPOP AUDIT function. This is a critical part of installing a new release or level of IAM to insure that existing applications will continue to run as they have with the prior levels. Below is an example of running the AUDIT function.

Example of IAMZAPOP AUDIT Command

```
//AUDIT        EXEC     PGM=IAMZAPOP
//STEPLIB      DD       DISP=SHR,DSN=current.iam.loadlib
//SYSLIB       DD       DISP=SHR,DSN=current.iam.loadlib
//SYSPRINT     DD       SYSOUT=*
//SYSIN        DD        *
               AUDIT
/*
```

Figure 3: JCL to run AUDIT Function of IAMZAPOP (EX9004A)

AUDIT Command Output

An example of the output from the AUDIT command for IAM V6.3 follows. Note that it prints the name of the load library. The changed Global Option(s) keywords are displayed, followed by the current value, and also the default value is displayed. From this example, we see that the following Global Options were changed:

- DATACOMPRESS=75
- CRBUFOPT=MCYL
- ENABLE=ENHANCED

All three of these options are important to maintain across releases, as they impact the base IAM file structure, and also file load performance. Failure to carry these options forward will cause problems, particularly with DASD space because of data compression and the enhanced file structure.

```
IAM400 IAM GLOBAL OPTION(S) CHANGE--IAMZAPOP VER 6.3/26P--INNOVATION DATA PROCESSING DATE-1997.283

IAM303     CARD IMAGE - * AUDIT

IAM491     AUDIT OPTIONS    FUNCTION STARTED - 09.20.40

IAM541     THE FOLLOWING OPTIONS HAVE BEEN CHANGED IN -- IAM6.TEMPAUTH
DATACOMPRESS  DATA COMPRESSION THESHOLD----------(TRK)------75            DEFAULT---------N/A
CRBUFOPT   CREATE BUFFERING OPTION--------------------MCYL                DEFAULT---------CYL
ENHANCED   ENHANCED FILE STRUCTURE------------------ENABLED               DEFAULT-----DISABLED
IAM547     AUDIT REQUEST COMPLETE FOR --- IAM6.TEMPAUTH
IAM492     AUDIT OPTIONS    FUNCTION ENDED   - 09.20.40 - CONDITION CODE 000
IAM499     IAMZAPOP(6.3/26P ) PROCESSING COMPLETED
```

Figure 4: Example of Output from AUDIT Command

CONTINUED . . .

90.04 CONTINUED

Recommended Global Option Changes

The next step, after determining what Global Options had been changed for the prior version, is to review the various default values and determine which, if any, would provide a benefit to your installation. Section 91 of the IAM User Manual describes the various options available. Innovation recommends that you consider changing the following Global Option values from their default settings.

- Set **ESDSINTEGRATED=5** or higher to allow for updates to ESDS files to remain in their original block. While VSAM (and IAM) do not allow a record length to change on update processing for ESDS files, the record lengths can change due to data compression. It is better for overall performance to minimize the use of overflow, as much as is possible which is accomplished by defaulting to providing some integrated overflow for updated ESDS files.
- Set **SMF=YES and RECTYPE=201** to enable the IAM SMF records. This will provide a way, through SMF reports, to monitor and track IAM data set usage. Also, with this option enabled, the IAMINFO reports will be available from the IAM SMF records. This may prevent rerunning jobs just to obtain an IAMINFO report, should one be necessary. Valid record types are from 128 to 255. Make sure to select a record type that is not being produced by other software packages.

IAM Global Options and Other Software Products

There are also a few options that you may have to set for compatibility with other software products. In particular, the following options may have to be set as indicated:

- Set **DYNCAT=YES** if you are using the POOLDASD product.
- Set **ENABLE=BIM** if you have any of the VSAM products from B. I. Moyle.
- Set **ENABLE=VAM** if you have SAMS (VAM) from Sterling.
- Set **LOADABWO=NO** if you are running CICS Version 4.1 or earlier.
- Leave **DSORG=PS** set, particularly if you use DFSMSdss or DFSMShsm to backup your data sets. If IAM files have a DSORG of DA set, these products may not be able to restore IAM data sets, particularly if they are multi-volume.

CONTINUED . . .

90.04 CONTINUED

Other Global Option Considerations

There are a few other Global Options that should also be considered, which may need to be set depending on your installation requirements. These include the following:

- Set **VAROVERFLOW=NO** if you are upgrading directly from IAM Version 6.3, and have used IAM Enhanced format files. This will preserve file compatibility with Version 6.3. Once Version 7.0 has been fully implemented in all of your production and test environments, then turn VAROVERFLOW back on.
- Consider increasing the **BUFOPNO** default value from 4 if you have many files with large overflow areas. This will increase the buffers that IAM starts with at OPEN time, and can reduce I/O and elapsed time to open IAM files with very large overflow areas.
- While rather rare, there are some applications which require the setting of the Global Option **ENABLE=NORESUE** for proper function. Such applications require an open error to occur when opening a non-reusable VSAM data set to prevent overlaying a previously loaded file. By setting this Global Option, IAM will follow VSAM rules for reloading data sets without a Delete and Define as based on the Define parameters.
- If you already have CICS regions of 128 megabytes or higher, then consider raising the default value for **MAXREGION** to allow IAM to increase the region of those CICS systems if necessary. With a default value of 128 megabytes, IAM will not be able to increase the region for CICS systems that already have the large region value.
- If X37 abends have been a problem, consider revising the **MAXSECONDARY** default values. This facility acts as a multiplier that IAM will use when requesting another extent. Because IAM files are limited to 16 extents per volume, use of this facility will increase the amount of DASD space requested without having to change the IDCAMS DEFINE.
- Also consider how you prefer allocations to work for multivolume data sets. Depending on this option, IAM will request either the primary or secondary space when it appears that a volume switch will occur. The keyword is **MULTIVOLUME=[PRIMARY | SECONDARY]**. Because IAM does not have control over whether or not a volume switch occurs, this may not always work as desired, particularly when the primary space value is less than the secondary.
- If you have an DFSMS STORCLAS that causes data sets to not be SMS managed, such as NONSMS, then set the IAM Global Option **STORCLAS** to that value.

CONTINUED . . .

90.04 CONTINUED

Setting IAM Global Options

Once you have decided on the Global Options you want to change, use the program IAMZAPOP to change them. Global Options can also be changed subsequent to the product installation as may be needed. The following JCL and control card example demonstrates how to set the recommended Global Option values. Refer to section 91 for complete information on setting and changing the IAM Global Options Table.

```
//SETGLOPT     EXEC PGM=IAMZAPOP
//STEPLIB      DD   DISP=SHR,DSN=new.iam.loadlib
//SYSLIB       DD   DISP=SHR,DSN=new.iam.loadlib
//SYSPRINT     DD   SYSOUT=*
//SYSIN        DD   *
  ZAP           ESDSINTEGRATED=5,SMF=YES,RECTYPE=201
  PRINT
/*
```

Figure 5: Example of setting Recommended Global Option Values (EX9004B)

The above example also includes a PRINT command. This will print out the Global Option settings, and can be done whenever a list is necessary. It is a good idea to review this listing, to make sure that the Global Options are set as you expect them to be. The PRINT command does not need to be preceded by the ZAP command, so a listing of your Global Options can be obtained whenever necessary. This listing of your Global Options can also be obtained through the IAM ISPF panels.

90.05 Install the Sample JCL Library

Sample JCL Library

The fourth step is to download the sample JCL library. Many of the examples of using IAM data sets provided in this manual are available in a JCL library that can be downloaded from the IAM Product Tape. The examples provided should be useful to all the various personnel that work with the IAM product. Installation of this library is optional, but highly recommended.

For ease of use, the member names will be the section number of the manual in which the example is found, suffixed by an alphabetic character. For example, the example in the prior section on setting the Global Options is member EX9004B, as it is in section 90.04, and is the second example. The member @INDEXJ will contain a listing and brief description of the contents of this data set.

The Sample JCL Library must be loaded to a partitioned data set on disk. You may load it to an existing data set (if it has sufficient space and proper DCB attributes) or allocate and load a new one. The following table shows the allocation parameters for the Sample JCL Library:

Sample JCL Library Attributes

DATA SET	RECFM	LRECL	BLKSIZE	BLOCKS	PDS DIR BLOCKS
IDP.JCLIAM70	FB	80	3120	150	23

This data set is allocated in blocks so that the system will calculate the correct number of tracks for your device type. If your installation chooses a different blocksize for this data set, you should adjust the number of blocks accordingly.

How to Install

The JCL below will allocate and load the sample JCL Library. This JCL is also in the ICL library that was down loaded from the tape, as member JCLIAM64. You must make the following changes to reflect your environment:

1. 'DSN=IDP.JCLIAM70' on the JCLOUT DD statement should be changed to the name you wish to use for the Installation Control Library.
2. 'VOL=SER=vvvvvv' on the JCLOUT DD statement must specify a disk volume where the Installation Control Library will be allocated.
3. 'UNIT=TAPE' on the JCLIN DD statement must specify a tape drive capable of reading the installation tape.
4. 'VOL=SER=IAM70T' on the JCLIN DD statement must be changed to 'VOL=SER=IAM70P' if you are loading from a production installation tape.

90.05 CONTINUED

JCL to install Sample JCL Library

```
//JCLIAM64     EXEC     PGM=IEBCOPY,REGION=1024K
//SYSUT3       DD       UNIT=SYSDA,SPACE=(CYL,(1,1))
//SYSUT4       DD       UNIT=SYSDA,SPACE=(CYL,(1,1))
//SYSPRINT     DD       SYSOUT=*
//JCLOUT       DD       DSN=IDP.JCLIAM70,          <--USER-CHANGE
//             VOL=SER=vvvvvv,                     <--USER-CHANGE
//             UNIT=SYSDA,DISP=(,CATLG),
//             DCB=(LRECL=80,BLKSIZE=3120,RECFM=FB),
//             SPACE=(3120,(150,15,23),,,ROUND)
//JCLIN        DD       DSN=IAMJCL,
//             UNIT=TAPE,                          <--USER-CHANGE
//             DISP=OLD,LABEL=3,
//             VOL=SER=IAM70T   <--CHANGE T TO P IF PRODUCTION TAPE
//*
//SYSIN        DD       *
       COPY I=((JCLIN,R)),O=JCLOUT
/*
```

Figure 6: JCL to down load Sample JCL Library

90.06 INSTALLING THE IAM ISPF DIALOG

INSTALLING THE PANELS AND MESSAGES LIBRARIES

The IAM ISPF Dialog Panels and messages are contained in 2 libraries on the installation tape. To install these libraries, submit IAMSPFIN from the Installation Control Library after making the following changes as required for your environment:

1. Update the job card.
2. Change ISPPLIB='ISPF.PANEL.LIBRARY' to the name of the library you wish to contain the IAM panels.
3. Change ISPMLIB='ISPF.MESSAGES.LIBRARY' to the name of the library you wish to contain the IAM messages.
4. Change UNIT=TAPE to specify a tape drive capable of reading the product distribution tape that you received.

If you have installed the IAM panels and messages in libraries that are not specified in the ISPPLIB and ISPMLIB DD statements in the appropriate TSO logon procs, then you must add the dataset name specified for the panel library to the ISPPLIB concatenation, and add the dataset name specified for the message library to the ISPMLIB concatenation.

ADD THE IAM ISPF DIALOG TO AN ISPF OPTIONS MENU

You must add an option to the ISPF/PDF primary option menu (ISR@PRIM), or an option menu of your choice, to invoke the IAM ISPF DIALOG program. Add a line that describes the IAM ISPF DIALOG to the PANEL BODY.

Example:

% I +IAM - IAM Dataset Utilities

Add a line that selects the IAMISPF program to the lines that translate the user entered options into the appropriate panel or program name in the PROC section of the panel definition.

Example:

```
&ZSEL = TRANS( TRUNC (&ZCMD,'.')
0,'PANEL(ISPOPTA)'
1,'PGM(ISRBRO)'
other panel options
.
I,'PGM(IAMISPF)'        <-- Add
.
other panel options
.
'  ' , '  '
   ,
X,'EXIT'
*  ,'?'  )
```

ADD THE IAM LOAD LIBRARY TO THE TSO LOGON PROCS

If IAM has not been installed in a linklist library, you must make the IAMISPF load module, and other required IAM system modules, available under ISPF by adding the IAM load library to the STEPLIB DD statement in the appropriate logon procs. Please note that the use of the ISPLLIB DD for this purpose is strongly discouraged. If any of the IAM utility programs are executed from within IAMISPF via option U, they will NOT be loaded from the ISPLLIB DD. Additionally, some of the IAM utility functions require APF authorization which will require that all libraries specified in the STEPLIB DD be authorized.

90.06 CONTINUED

APF AUTHORIZATION FOR THE IAM ISPF DIALOG

The IAMRECVR DIAGNOSE and PRINT functions available under option U.R utilize system facilities that require APF (Authorized Program Facility) authorization. If any of these functions are used from within the IAM ISPF DIALOG, you must provide authorization for those utility programs as discussed below. If you do not wish to execute these functions under the IAM ISPF DIALOG, you will not have to provide APF authorization for IAMRECVR.

If you have TSO/E release 2 or higher, you can provide APF authorization for IAMRECVR to run under the IAM ISPF DIALOG by updating the appropriate TSO authorized program table, as discussed below.

IEAAPFxx

The IAM load modules must be available to the IAM ISPF DIALOG via an APF authorized library.

The IAM load module library must be either:

(a) a linklist library that is APF authorized, or

(b) allocated to the STEPLIB DD in the LOGON PROC. Additionally, the IAM load module library and any other libraries that may be concatenated under the STEPLIB DD MUST be listed in the IEAAPFxx member of SYS1.PARMLIB even if they are also in the LNKLSTxx member. Please note that you can NOT use the ISPLLIB DD for this purpose.

TSO AUTHORIZED PROGRAM TABLE

Programs that are allowed to run with APF authorization under TSO must be listed in the TSO Authorized Program Table. As discussed below, you must add IAMRECVR to the appropriate table.

Starting with TSO/E release 4, the list of authorized programs may be specified in member IKJTSO00 in SYS1.PARMLIB. The old method of changing the table within a load module in the Link Pack Area is still supported. The authorized program lists are documented in the IBM manual "TSO/E Customization".

UPDATING IKJTSO00

If your installation uses the IKJTSO00 member, then add IAMRECVR to the AUTHTSF NAMES list. If not, then use the table below to determine the CSECT(s) and LOAD module to change. An IPL or PARMLIB command is required in order for the changes to IKJTSO00 to take effect. The CSECT name(s) and load module of the table that must be updated for various levels of MVS and TSO/E are as follows:

Operating System	TSO	Load Module	CSECT(s)
MVS/XA+	TSO/E R3+	IKJTABLS	IKJEFTE8 and IKJEFTAP
MVS/XA+	TSO/E R2.1	IKJTABLS	IKJEFTE8
MVS/XA+	TSO/E R2.0	IKJEFT02	IKJEFTE8

"+" means "or higher"

An IPL with CLPA is required for the updated TSO authorized program table to take effect. The authorized program tables are documented in the IBM manuals "System Programming Library: TSO" and "System Programming Library: TSO/E User Exits and Modifications Volume 2"

90.07 INSTALLING IAM CICS MONITOR

IAMXMON consists of a single program and a single mapset:

Program: IAMXMON language (Assembler) EXECKEY(CICS)

Mapset: IAMXMAP

These modules must be copied from the IAM LOADLIB into a LOADLIB that is part of the DFHRPL concatenation in order to work properly. IAMXMON is a CICS application, not part of the IAM access method code. It must be defined with EXECKEY(CICS). A transaction ID must be assigned to invoke the IAMXMON program, such as IAMX or IMON and be defined with TASKDATAKEY(CICS).

The details of installing IAMXMON are provided below:

1. Copy the modules IAMXMON and IAMXMAP into a load library that is in the DFHRPL concatenation. IAMXMON is the Execution Monitor program and IAMXMAP is the mapset.

JCL to Copy IAMXMON into DFHRPL Library

```
//COPYIAMX     EXEC     PGM=IEBCOPY,REGION=2M
//SYSPRINT     DD       SYSOUT=*
//SYSUT3       DD       UNIT=SYSDA,SPACE=(CYL,(2))
//SYSUT4       DD       UNIT=SYSDA,SPACE=(CYL,(2))
//IAMLIB       DD       DISP=SHR,DSN=iam.loadlib      <-- User Change
//DFHRPL       DD       DISP=SHR,DSN=cics.rpl         <-- User Change
//SYSIN        DD       *
COPYMOD        INDD=((IAMLIB,R)),OUTDD=DFHRPL
SELECT         MEMBER=IAMXMON
SELECT         MEMBER=IAMXMAP
/*
```

Figure 7: Example of JCL to copy IAMXMON to DFHRPL

2. Define new CICS Program Properties Table (PPT) entries for the Execution Monitor mapset which must be called IAMXMAP:

PPT Entry for Mapset: IAMXMAP

```
OBJECT CHARACTERISTICS                          CICS RELEASE = 0410
CEDA  View Mapset( IAMXMAP  )

Mapset          : IAMXMAP
Group           : IAMAPP1
Description     :
REsident        : No          No | Yes
USAge           : Normal      Normal | Transient
USElpacopy      : No          No | Yes
Status          : Enabled     Enabled | Disabled
RSl             : 00          0-24 | Public
```

Figure 8: Example of Defining PPT for the mapset: IAMXMAP

90.07 CONTINUED

PPT Entry for Program: IAMXMON

3. Define a new CICS Program Properties Table (PPT) entry for the Execution Monitor program which must be call IAMXMON:

```
OBJECT CHARACTERISTICS                                CICS RELEASE = 0410
   CEDA  View PROGram( IAMXMON  )
   PROGram      : IAMXMON
   Group        : IAMAPP1
   DEscription :
   Language     : Assembler CObol|Assembler|Le370|C|Pli|Rpg
   RELoad       : No        No | Yes
   RESident     : No        No | Yes
   USAge        : Normal    Normal | Transient
   USElpacopy   : No        No | Yes
   Status       : Enabled   Enabled | Disabled
   RSl          : 00        0-24 | Public
   Cedf         : Yes       Yes | No
   DAtalocation: Below      Below | Any
   EXECKey      : Cics      User | Cics            <-- Note 1
   REMOTE ATTRIBUTES
   REMOTESystem:
   REMOTEName   :
   USElpacopy   : No        No | Yes
   Transid      :
   EXECUtionset: Fullapi    Fullapi | Dplsubset
```

Figure 9: Define PPT Entry for program: IAMXMON

Note 1: If CICS Storage Protection is active, the IAMXMON program **MUST be defined with EXECKEY(CICS).**

90.07 CONTINUED

4. Define a new CICS Program Control Table (PCT) entry defining the Transaction ID (TRANSID) you will use to invoke the IAM Execution Monitor. The selected TRANSID can be any unique 4 character id.

PCT Entry for IAMXMON Transaction

```
OBJECT CHARACTERISTICS                          CICS RELEASE = 0410
 CEDA  View TRANSaction( IAMX )
 TRANSaction            : IAMX
 Group                  : IAMAPP1
 DEscription            :
 PROGram                : IAMXMON
 TWasize                : 00000              0-32767
 PROFile                : DFHCICST
 PArtitionset           :
 STAtus                 : Enabled            Enabled | Disabled
 PRIMedsize             : 00000              0-65520
 TASKDATALoc            : Below              Below | Any
 TASKDATAKey            : Cics               User | Cics<-- Note 2
 STOrageclear           : No                 No | Yes
 RUnaway                : System             System | 0-2700000
 SHutdown               : Disabled           Disabled | Enabled
 ISolate                : Yes                Yes | No
 REMOTE ATTRIBUTES
 DYnamic                : No                 No | Yes
 REMOTESystem     :     :
 REMOTEName       :
 TRProf                 :
 Localq                 :                    No | Yes
 SCHEDULING
 PRIOrity               : 001                0-255
 TClass                 : No                 No | 1-10
 TRANClass              : DFHTCL00
 ALIASES
 Alias                  :
 TASKReq          :
 XTRanid                :
 TPName                 :
 XTPname                :
 RECOVERY
 DTimout                : No                 No | 1-6800
 INdoubt                : Backout            Backout|Commit|Wait
 RESTart                : No                 No | Yes
 SPurge                 : No                 No | Yes
 TPUrge                 : No                 No | Yes
 DUmp                   : Yes                Yes | No
 TRACe                  : Yes                Yes | No
 SECURITY
 RESSec                 : No                 No | Yes
 Cmdsec                 : No                 No | Yes
 Extsec                 : No
 TRANSec                : 01                 1-64
 RSl                    : 00                 0-24 | Public
```

Figure 10: Define PCT Entry for IAMXMON Transaction

Note 2: If CICS Storage Protection is active, the transaction MUST be defined with TASKDATAKEY(CICS).

5. Restart CICS, or INSTALL the transaction, program and map entries using CEDA.

90.10 ACTIVATING THE IAM VSAM INTERFACE (VIF)

IAM provides a system level VSAM interface, referred to as VIF, that provides the capability to use IAM data sets in place of VSAM KSDS or ESDS files generally without any JCL or program changes. To accomplish this, VIF must be activated after each IPL. The activation procedure runs as a batch job or started task which terminates after initializing the VIF interface. This activation effectively loads the IAM VIF interface modules into the Pageable Link Pack Area (PLPA) area of virtual storage. The VIF modules act as a front end to various VSAM services, such as Open and Close. If the data set being processed is an IAM data set, then VIF gives control to the appropriate IAM routines. If the data set is not an IAM data set then control is directed by VIF to the normal VSAM processing routine. Once a data set is opened, I/O requests are handled directly by the access method responsible for the data set, either IAM or VSAM. The IAM VIF interface causes no interference or overhead with the processing of I/O requests to real VSAM files.

- **IAM IS NOT A REPLACEMENT FOR VSAM IN YOUR SYSTEM.**
- **IAM DOES NOT EFFECT THE NORMAL USE OF VSAM IN THE SYSTEM.**
- **VSAM CATALOG MANAGEMENT IS NOT CHANGED.**

Coexistence with Other Software Products

There are a wide variety of other software products that also intercept the various services done for VSAM data sets. IAM can coexist in systems with these other software products installed. Depending on the function of the product and the various interfaces used, there may be a start order dependency between the different products to insure that the expected processing by each product occurs as anticipated. As a general rule if a product provides services and capabilities not applicable to IAM data sets, then they should be activated before the IAM VIF is started. Products which can be used for IAM data sets generally should be started after IAM's VIF. For example, VSAM buffering and performance products should be started before IAM VIF. Other products, such as VSAM recovery and journalling software or VSAM space management packages which can be used for IAM data sets should be started after IAM VIF.

There are a few software products which require a specific setting in the IAM Global Options Table. These have been identified in Section 90.04 of this manual and are also shown in the table below.

Should you have any concerns or questions about IAM coexistence with other software products, contact IAM technical support at Innovation Data Processing.

Start Order of VIF

The start order recommendations of various products as they relate to IAM along with an indication of there being an IAM Global Option setting required are shown in the table below. Care must also be taken to insure that the various products are not starting at the same time because not all products follow the required locking protocol, and for some of the control block updates, there is no established serialization protocol. The order of the various start commands in the SYS1.PARMLIB member COMMNDxx is no guarantee of the order in which the product will actually perform it's initialization since the commands are executed concurrently.

Product	Start Order	Global Option
BIM Products	Before IAM (VIF)	ENABLE=BIM
DMS/OS	Before IAM (VIF)	n/a
HYPERCACHE	Before IAM (VIF)	n/a
POOLDASD		DYNCAT=YES
PROSMS	Before IAM (VIF)	n/a
Recovery Plus	After IAM (VIF)	n/a
SAMS (VAM)	After IAM (VIF)	ENABLE=VAM
Transaction Server		LOADABWO=YES

Figure 11: VIF Start Order with Other Software Products

90.10 CONTINUED

The best way to insure the proper start order is by the use of automated operations software. Such software can determine that a process is complete by such means as checking for a specific WTO message, before initiating another process. For IAM VIF, the message to check for is the IAMW81 THE IAM SYSTEM MODULES ARE ACTIVE.

Another alternative is to start a multiple step PROC, which includes the IAMSTART procedure at the appropriate place. This will work providing that the steps prior to the IAMSTART terminate after the product initialization, as IAMSTART does.

Activating VIF

The IAM Installation Control Library contains six (6) members to control the IAM VSAM Interface in your system.

MEMBER NAME	DESCRIPTION
VIFSTART	A job stream to activate VIF.
VIFSTATS	A job stream to check the status of VIF in the system.
VIFSTOP	A job stream to deactivate VIF.
VIFTEST	A job stream to activate a TEST VIF.
IAMSTART	A procedure which activates VIF.
IAMCOMM	A command to activate VIF at IPL time.

Figure 12: ICL Members to Control the IAM VSAM Interface (VIF)

VIFSTART Job

The VIFSTART job can be used to activate the IAM VSAM Interface. This job requires both a STEPLIB and a SYSLIB DD statement, which specify the APF authorized IAM load library. If you already have a version of VIF active, refer to the section on Concurrent VIF and Test VIF below before starting the new version. Also, before executing this job, if you have any of the software products that are to be started after the IAM VIF that are currently active, shut them down temporarily before starting VIF. After starting VIF, those software products can be restarted.

```
//VIFSTART JOB (IAM),'IAM-VIF'
//******
//*        THIS JOB HAS BEEN CREATED FOR IAM V7.0
//*        IT ACTIVATES THE IAM SYSTEM LEVEL VSAM INTERFACE
//*        THE PURPOSE OF THIS JOB IS TO INSTALL THE IAM SYSTEM
//*        LEVEL VSAM INTERFACE IN AN MVS/ESA OR OS/390 SYSTEM.
//*        USER CHANGES:
//*          CHANGE 'USER.LIB' TO NAME OF IAM LOAD LIBRARY
//******
//START    EXEC PGM=IAMSTART
//STEPLIB  DD DISP=SHR,DSN=USER.LIB            <-- USER CHANGE
//SYSLIB   DD DISP=SHR,DSN=USER.LIB            <-- USER CHANGE
//SYSUDUMP DD SYSOUT=*
//
```

Figure 13: Example of the VIFSTART Job from the ICL

CONTINUED . . .

90.10 CONTINUED

VIFSTATS Job

The VIFSTATS job can be used to verify the status of the IAM VSAM Interface on your system. If you have multiple versions of IAM, always run the VIFSTATS with a STEPLIB to the most recent version. This is because older versions of IAM may not be able to properly display information on the newer versions. This job will provide WTO messages indicating the status of all levels of the IAM VSAM Interface on your system. The JOBLOG also includes messages indicating the names of the various modules IAM has placed in the PLPA, along with their entry point and level. If you have installed the IAM ISPF panels, this same information can be displayed on your terminal using the U.V options from the IAM primary panel.

```
//VIFSTATS JOB (IAM),'IAM-VIF'
//******
//*            THIS JOB HAS BEEN CREATED FOR IAM V7.0
//*            IT REPORTS ON THE STATUS OF THE IAM SYSTEM LEVEL
//             VSAM INTERFACE.
//             USER CHANGES:
//*                CHANGE 'USER.LIB' TO NAME OF IAM LOAD LIBRARY
//******
//STATS        EXEC PGM=IAMSTATS
//STEPLIB      DD DISP=SHR,DSN=USER.LIB          <-- USER CHANGE
//SYSLIB       DD DISP=SHR,DSN=USER.LIB          <-- USER CHANGE
//SYSUDUMP     DD SYSOUT=*
//
```

Figure 14: Example of VIFSTATS Job from the IAM ICL

Deactivating VIF

The IAM VSAM Interface can be deactivated with the VIFSTOP job. This job will not remove the IAM VSAM Interface modules from the PLPA, but will deactivate them. Should you absolutely need to remove the IAM modules from PLPA without doing an IPL, contact Innovation Data Processing for the procedure to follow.

NOTE: To reactivate VIF use the VIFSTART procedure.

WARNING: If you deactivate VIF in a production environment, all OPEN, CLOSE and IDCAMS processing against IAM files will fail.

VIFSTOP Job

```
//VIFSTOP JOB (IAM),'IAM-VIF'
//******
//*            THIS JOB HAS BEEN CREATED FOR IAM V7.0
//*            IT DEACTIVATE THE IAM SYSTEM LEVEL VSAM INTERFACE
//*            USER CHANGES:
//*                CHANGE 'USER.LIB' TO NAME OF IAM LOAD LIBRARY
//******
//STOP         EXEC      PGM=IAMPARE
//STEPLIB      DD        DISP=SHR,DSN=USER.LIB  <-- USER CHANGE
//SYSLIB       DD        DISP=SHR,DSN=USER.LIB  <-- USER CHANGE
//SYSUDUMP     DD        SYSOUT=*
//
```

Figure 15: Example of VIFSTOP Job from the ICL

CONTINUED . . .

90.10 CONTINUED

IAMSTART PROC

Also provided in the ICL is an example of a PROC that can be copied into a system PROCLIB, so that the IAM VSAM Interface can be automatically or manually started by the operator START command. This PROC is set up with one operand, LIB= that can be used to override the default STEPLIB and SYSLIB DD statements, which are required to be in the PROC. The STEPLIB can be removed if the PROC is only used to start the version of IAM that is in the system LINKLIST. If you have a need to be able to activate IAM without any DD cards, contact Innovation Data Processing for custom zap C-70.0009 to enable IAM starting directly from the LINKLIST if no SYSLIB DD is allocated.

```
//IAMSTART  PROC  LIB='USER.LIB'            <-- USER CHANGE
//* MEMBER(IAMSTART)
//******
//*          THIS PROCEDURE HAS BEEN CREATED FOR IAM V7.0
//*          IT ACTIVATES THE IAM SYSTEM LEVEL VSAM INTERFACE.
//*          THE PURPOSE OF THIS PROCEDURE IS TO PROVIDE A MEMBER THAT
//*          CAN BE PLACED IN SYS1.PROCLIB THAT WILL ACTIVATE THE
//*          INTERFACE WHEN IT IS INVOKED BY A SYSTEM START COMMAND.
//*          USER CHANGES:
//*          CHANGE 'USER.LIB' TO NAME OF IAM LOAD LIBRARY
//******
//******
//START      EXEC       PGM=IAMSTART
//STEPLIB    DD         DISP=SHR,DSN=&LIB
//SYSLIB     DD         DISP=SHR,DSN=&LIB
//SYSUDUMP   DD         SYSOUT=*
```

Figure 16: Example of IAMSTART PROC from the IAM ICL

Activating VIF at IPL Time

After you have completed your testing, or possibly before, you will want to start VIF automatically at each IPL. To have the IAM system level VSAM Interface (VIF) started automatically at each IPL, use the following procedure:

1. In member IAMSTART of the Installation Control Library, change the library name specified in the 'LIB=' statement to reflect your IAM load library.
2. Copy the member 'IAMSTART' into SYS1.PROCLIB (or the procedure library used by your installation for system level user procs). This is the start up procedure for the VIF Interface.
3. Copy the member IAMCOMM from the IAM Installation Control Library into the SYS1.PARMLIB member COMMND00 (or the COMMNDxx member used by your installation). This will create a record that will result in a system start command being issued for the IAM start up procedure at IPL time. The record in that member should look like the following statement.

COM='START IAMSTART'

You have now completed the installation of a dynamic IAM system level VSAM interface (VIF) that will automatically be activated each time the operating system is IPL'd.

CONTINUED . . .

90.10 CONTINUED

Testing IAM V7.0 with prior Versions of IAM Active

If you are a current customer of IAM, you can install and test this new version of IAM without affecting any of your existing jobs. Programs can continue to use the prior version(s) until you choose to have them use the new version. Follow the appropriate testing procedure described below. Normally, your production version of IAM will be in a LINKLIST library. The new test version should be installed in a separate APF authorized library. If the library containing the new version is in the LINKLIST, it must be after the current version while testing is in progress.

One consideration with testing multiple levels of VIF is that the only version that can be used to define JCL allocated IAM data sets is the version of IAM that is in the LINKLIST. This is because the JOBLIB or STEPLIB specified in the JCL for the job to be executed is not used by the system initiator when it is performing allocation of the data set. Also, the IAM VIF interface routine that actually calls SMF to write out the IAM SMF record, is installed by the first VIF to be activated. This will result in the new IAM Override information not being written out in the IAM SMF record if versions prior to 6.4 are active.

Running Multiple VIF

If you are installing a new version of IAM, such as Version 7.0 while Version 6.4 is your production IAM, you can run multiple levels of VIF for your testing. The major rule to be followed is that the oldest version of VIF must be started first. There are two different ways to run multiple levels of VIF. IAM uses an internal value, called a VIFKEY, to differentiate between versions of IAM. Normally, the VIFKEY value remains the same across different maintenance levels of the same version, unless there is a change to VIF that makes it not compatible with the prior maintenance level of VIF. In those cases, the VIFKEY will be changed. As long as the VIFKEY has changed, you can use a concurrent VIF. If the VIFKEY remains the same, IAM has no way to differentiate between the two different levels, so the TEST VIF procedure must be used. The TEST VIF procedure can also be used for different versions.

Normally, when running multiple versions of VIF, the primary production version matches the version of IAM that is in the system LINKLIST. The other versions are accessed through the use of JOBLIB or STEPLIB pointing to the alternate IAM load library.

Concurrent VIF

Concurrent VIF works on the basis of comparing the IAM load modules with the level of the VIF interface using the VIFKEY. When a VSAM function is intercepted by VIF, such as DEFINE, LISTCAT, OPEN, or CLOSE, VIF will load the appropriate IAM routine to process the request. If the VIFKEY in the loaded IAM routine matches the VIF currently processing the request, then processing will proceed. If the VIFKEY are not the same, then the current VIF percolates control to the prior level of VIF. If no match is found, VSAM will attempt to process the file, which will most likely result in a failure.

The concurrent VIF can be started using the VIFSTART job or the IAMSTART PROC pointing to the new IAM load library. The one restriction is that the oldest version of IAM must be started first, and the newest version started last. Control over which version of IAM will be used is then based on the JOBLIB or STEPLIB being used by the job step. Any of the concurrent VIF interfaces can be deactivated by using the VIFSTOP job with the appropriate STEPLIB and SYSLIB combination.

CONTINUED . . .

90.10 CONTINUED

TEST VIF

IAM VIF provides a capability to have a TEST version of IAM VIF active. The TEST version can be used to test new versions and new maintenance levels of IAM, while having prior versions or maintenance levels of the IAM VSAM Interface (VIF) active. The TEST IAM VIF interface utilizes a jobname screening mechanism to determine eligibility for using the TEST version. When activating a TEST version of VIF, a PARM of TEST is specified, followed by JOBNAME=jobname. The jobname must match the specified value to utilize the TEST version. The jobs that are utilizing the TEST version of VIF **MUST HAVE A JOBLIB OR STEPLIB** to the new IAM load library. A jobname prefix mask can be specified, by coding the prefix followed by an *. For example, specifying JOBNAME=myid*, all jobs that begin with the literal "myid" will be qualified for the test version. Up to five different jobnames or jobname groups can be specified. Multiple job names are specified by enclosing the names within parenthesis. The jobname(s) can be easily modified by rerunning the startup of the TEST version with a different value(s) for the JOBNAME parameter. Specifying JOBNAME=* will make all jobs eligible for the TEST version.

VIFTEST Job

In the IAM ICL, there is an example job stream to start a TEST version of VIF, as member VIFTEST. The VIFTEST JCL is as follows:

```
//VIFSTEST JOB (IAM),'IAM-VIF'
//******
//*       THIS JOB HAS BEEN CREATED FOR IAM V7.0
//*       IT ACTIVATES THE IAM SYSTEM LEVEL VSAM INTERFACE
//*       THE PURPOSE OF THIS JOB IS TO INSTALL THE IAM SYSTEM
//*       LEVEL VSAM INTERFACE IN AN MVS OR OS/390 SYSTEM
//*       FOR TESTING PURPOSES.
//*       USER CHANGES:
//*            CHANGE 'USER.LIB' TO NAME OF IAM LOAD LIBRARY
//*                   JOBNAME=? TO JOBNAME=
//*                        THE JOBNAME TO BE TESTED, OR
//*                        TO [PREFIX]* FOR JOB NAME PREFIX, OR
//*                        TO * FOR ALL JOBS OR
//*                   JOBNAME=(jobname1,jobname2,...,jobname5) FOR
//*                         MULTIPLE JOBNAMES
//******
//STARTEST    EXEC     PGM=IAMSTART,PARM='TEST,JOBNAME=?'
//STEPLIB     DD       DISP=SHR,DSN=USER.LIB  <-- USER CHANGE
//SYSLIB      DD       DISP=SHR,DSN=USER.LIB  <-- USER CHANGE
//SYSUDUMP    DD       SYSOUT=*
//
```

Figure 17: Example of Starting a TEST VIF

As stated above, to change the name of the job(s) eligible for the TEST VIF version, simply rerun the above job with a new value specified for JOBNAME=.

Deactivating Test VIF

To deactivate the TEST VIF version, use the following JCL:

```
//VIFSTOPT JOB (IAM),'IAM-VIF'
//STARTEST    EXEC     PGM=IAMPARE,PARM='TEST'
//STEPLIB     DD       DISP=SHR,DSN=USER.LIB       <-- USER CHANGE
//SYSLIB      DD       DISP=SHR,DSN=USER.LIB       <-- USER CHANGE
//SYSUDUMP    DD       SYSOUT=*
//
```

Figure 18: Example of JCL to Stop a Test VIF

CONTINUED . . .

90.10 CONTINUED

TEST VIF Considerations

There are a few considerations when using a TEST VIF. The TEST VIF capability merely screens which job(s) are eligible for being processed by the new VIF. To be a valid test, the job must have access to the new IAM load modules via JOBLIB or a STEPLIB. The TEST VIF does not have the capability to dynamically select or force the library from which the IAM modules are loaded. This can cause failures or unexpected results if this capability is not appropriately used.

If the other version(s) of VIF that are active have different VIFKEY values, then the TEST VIF behaves like a concurrent VIF, with the exception that only those jobs whose name matches the specified JOBNAME value will be considered for the TEST VIF. So, for example, if a job has a JOBLIB or STEPLIB with the new IAM load library, but does not have a jobname match, it will end up processing with the real VSAM code, which will most likely cause an IEC161I error message. However, if there is a jobname match but the IAM modules that are accessed by that job are from the prior version, then the prior version of VIF will be used.

When using a TEST VIF to test a new maintenance level, where the VIFKEY values are the same, the TEST VIF controls which version of the IAM VIF will handle the job. For job(s) whose name matches the specified value, they will be handled by the new VIF. Job(s) whose names do not match the specified value will be processed by the other (production) VIF. It is the users responsibility to insure that the correct version of the IAM libraries are used by the TEST and non-TEST jobs. This is easily controlled if the production version is in the system LINKLIST, and the TEST version is accessed by JOBLIB or STEPLIB. If the test job name matches the jobname specified for the TEST VIF, and the job has a JOBLIB or STEPLIB for the new library, it will be processed entirely by the new version. If a jobname does match the TEST VIF parameter, but the job does not have the test JOBLIB or STEPLIB, it will then execute using the new VIF modules, but the old processing modules. If a jobname does not match the TEST VIF parameter, but has the new JOBLIB or STEPLIB, it will execute using the old VIF modules and the new processing modules. Neither of these sequences will cause a problem, because for the VIFKEY values to be the same, both versions of VIF must be compatible. However, this situation may invalidate jobs that are being run to test the new level because they may only be processed with a portion of the new code.

90.10 CONTINUED

Activating Multiple VIF During IPL

When testing a new version or maintenance level of VIF, it will generally be desirable to have the multiple levels of VIF started during IPL. This can be most easily accomplished by modifying the IAMSTART PROC to have multiple steps, each one starting a different version of VIF. Remember that the older versions of VIF must be started before the newer versions. Below is an example of the IAMSTART PROC modified to start up two concurrent versions of the IAM VIF.

```
//IAMSTART  PROC  LIB='SYS1.IAM64.LOADLIB'
//* MEMBER(IAMSTART)
//******
//*   THIS PROCEDURE HAS BEEN CREATED TO START MULTIPLE
//*    VERSIONS OF THE IAM VIF.
//*    USER CHANGES:
//*    CHANGE 'SYS1.IAM64.LOADLIB' TO NAME OF PRODUCTION IAM
//*      CHANGE 'SYS1.IAM70.LOADLIB' TO NAME OF TEST IAM
//******
//******
//START64   EXEC PGM=IAMSTART
//STEPLIB   DD DISP=SHR,DSN=&LIB
//SYSLIB    DD DISP=SHR,DSN=&LIB
//SYSUDUMP  DD SYSOUT=*
//START70   EXEC  PGM=IAMSTART
//STEPLIB   DD    DISP=SHR,DSN=SYS1.IAM70.LOADLIB
//SYSLIB    DD    DISP=SHR,DSN=SYS1.IAM70.LOADLIB
//STATS     EXEC  PGM=IAMSTATS
//STEPLIB   DD    DISP=SHR,DSN=SYS1.IAM70.LOADLIB
//SYSLIB    DD    DISP=SHR,DSN=SYS1.IAM70.LOADLIB
```

Figure 19: Starting Multiple VIF during IPL

90.10 CONTINUED

Activating Multiple VIF with a TEST VIF

You can also start up multiple levels of VIF including one as a TEST VIF. Below is an example of a PROC to do that. Remember that if you need to change the value of the JOBNAME all you need to do is to rerun the test VIF start again, with the new jobname specified.

```
//IAMSTART  PROC  LIB='SYS1.IAM64.LOADLIB'
//* MEMBER(IAMSTART)
//******
//*   THIS PROCEDURE HAS BEEN CREATED TO START MULTIPLE
 //*  VERSIONS OF THE IAM VIF WITH ONE AS A TEST VERSION.
//*   USER CHANGES:
//*    CHANGE 'SYS1.IAM64.LOADLIB' TO NAME OF PRODUCTION IAM
//*      CHANGE 'SYS1.IAM70.LOADLIB' TO NAME OF TEST IAM
//*      CHANGE 'iamtst*' TO THE DESIRED JOB NAME PREFIX FOR THE
//*      TEST VIF.
//******
//******
//IAMPROD   EXEC PGM=IAMSTART
//STEPLIB   DD DISP=SHR,DSN=&LIB
//SYSLIB    DD DISP=SHR,DSN=&LIB
//SYSUDUMP  DD SYSOUT=*
//IAMTEST   EXEC  PGM=IAMSTART,
//                PARM='TEST,JOBNAME=iamtst*'
//STEPLIB   DD    DISP=SHR,DSN=SYS1.IAM70.LOADLIB
//SYSLIB    DD    DISP=SHR,DSN=SYS1.IAM70.LOADLIB
//STATS     EXEC  PGM=IAMSTATS
//STEPLIB   DD    DISP=SHR,DSN=SYS1.IAM70.LOADLIB
//SYSLIB    DD    DISP=SHR,DSN=SYS1.IAM70.LOADLIB
```

Figure 20: Example of PROC to Start Multiple VIF with one as a TEST VIF

90.20 TESTING IAM

Once you have completed the above steps, including activating the IAM system level VSAM Interface (VIF), you are now ready to test IAM.

INSTALLATION VERIFICATION TEST

To make a quick test to see if IAM is properly installed in the system submit the job 'TESTVIF' from the IAM Installation Control Library. This job will execute IDCAMS to DEFINE, REPRO and DELETE an IAM file. A LISTCAT is executed against the IAM file. If IAM is properly installed, LISTCAT will show the file as a non-VSAM file. The IAMPRINT DD statement will display the characteristics of the IAM file. You should also verify from the IAMINFO and IAMPRINT reports that the any of the changed Global Options did in fact take effect.

If LISTCAT shows the file as VSAM, the IAM VIF Interface may not be properly installed. Review the Installation Instructions to make sure that all required tasks have been completed. If there are any questions, contact IAM Technical Support at Innovation Data Processing.

NOTE: Before submitting the test job, review the JCL and control statements, making whatever changes are appropriate.

SMF ANALYSIS

You are now ready to test IAM in your system. The first thing you should do is identify your most active VSAM clusters. To aid you in this function, IAM supplies you with a SMF Analysis program (IAMSMFVS). This program is documented in Section 40.01 of this manual. To use this program you must be collecting SMF type 64 and either SMF type 4 or 30 subtype 4 records.

A sample procedure is supplied as member 'IAMSMFVS' in the IAM Installation Control Library.

Review this procedure and make any changes that are appropriate. You can select from your current SMF data set or from history SMF tapes. It is recommended that you scan from one week to one month's worth of SMF history to get a true picture of your VSAM usage. Submit member 'IAMSMFVS' to display the VSAM files with the most activity in your system. The first report will display the top 100 VSAM files in descending EXCP order. The second report will display the VSAM files in data set name sequence. Converting just a few of your most active VSAM files can give noticeable and immediate benefits to your CICS and batch systems.

NOTE: If IAMSMFVS gives a 'IAM495 NO RECORDS MATCHED SELECTION CRITERIA' message, it probably means that you are not collecting SMF type 64 records. Check SYS1.PARMLIB member SMFPRMxx to see what records SMF is collecting. If type 64 records are not collected, you must change this member. You must issue a SET SMF=xx command to activate the SMF change or wait for your next IPL. After you have collected at least one days worth of data, run the IAMSMFVS program again.

GENERAL TESTING

Once you choose which VSAM files you wish to test with, use IDCAMS to DEFINE the IAM file(s). Take your existing IDCAMS procedure and add the parameter OWNER($IAM) to the DEFINE statement.

Next, use IDCAMS REPRO to copy the VSAM cluster to the IAM file. Run a LISTCAT ALL against the IAM file with a IAMPRINT DD statement specified. The IAMPRINT report will give you the number of tracks used by IAM in addition to all of the IAM characteristics. The number of tracks used includes all of the prime records loaded into. IAM will automatically release a portion, based on CA% Freespace, of the unused space allocated, if secondary allocation has been specified. Compare this to the VSAM cluster. Unfortunately, it is not easy to tell how much space VSAM really used. If the Data Component HI-USED-RBA is close to HI-ALLOC-RBA, the VSAM file is using most of its allocated space.

If you wish to calculate the used space do the following calculation. Use the values found in the DATA component. Divide the HI-USED-RBA by CISIZE. Divide the result by CIs per CA. Multiply this value by the number of tracks per CA. This will yield the number of tracks used by the Data Component and imbedded index (if specified). Add in the tracks for the Index. This will give you the approximate number of tracks used by the VSAM cluster.

90.20 CONTINUED

One of the easiest tests you can make is to compare an IDCAMS REPRO of a VSAM cluster and IAM file to tape. Many applications use REPRO to make backup copies of their VSAM files. When the jobs are completed, compare the wall clock time, CPU time (TCB and SRB) and EXCPs issued by each job. This will give you a comparison of the resources used to sequentially read an entire VSAM cluster versus using an IAM file.

PARALLEL TESTS

You are now ready to run parallel tests of jobs using VSAM clusters compared to IAM file(s). Select an application to test, using the IAMSMFVS report as a guide. Use IDCAMS to DEFINE the IAM file specifying a different cluster name(s) then REPRO the VSAM cluster(s) into the IAM file(s). Use a copy of any file(s) which may be changed by the application. Run the production job against the VSAM cluster(s). Use the same JCL for the parallel run, changing the JCL to point to the new IAM file(s). Insert a STEPLIB pointing to the IAM load library if it is not in the LINKLIST. Re-execute the production run using the IAM file(s). Compare the results from each run. If you do not have the reporting tools necessary to get the SMF data you require, use the IAM supplied program IAMSMF (Section 41.01). Compare the statistics for each job. You will want to measure the wall clock time, CPU time (SRB and TCB), and EXCP counts (DATA and INDEX for VSAM). In addition, you will want to compare the disk space used by IAM versus the VSAM clusters.

IAMINFO DD STATEMENT

Add the following statement to each of the steps using IAM.

```
//IAMINFO      DD        SYSOUT=*
```

If this statement is present IAM will print a Run Time Statistics report each time an IAM file is opened and closed. The report will display the characteristics of the file, run time statistics (memory use, read and write I/Os, etc.), count of each command issued (GETS, PUTS, ADDS, DELETES, POINTS, etc.), number of buffers used and additional information. There is very little overhead associated with the report because all the statistics are kept regardless of whether or not an IAMINFO DD card is present. The information from the IAMINFO report can be very valuable to you and Innovation in determining what each job is doing. (see Section 10.70 IAM Reports for details on using this report.)

Testing a New Version of IAM

Testing a new version of IAM, or a new maintenance level of IAM is straight forward. If you have activated a concurrent VIF, then just add the appropriate STEPLIB or JOBLIB to the job steps or jobs that you want to run as a test with the new version or level of IAM. If you are using a TEST VIF, then the job name(s) must match the value specified when you last started the TEST VIF along with the appropriate STEPLIB or JOBLIB. By having IAMINFO reports and / or IAMPRINT reports, you can verify that the job is running with the new version or level from the heading on those reports.

If you plan on using the same IAM data sets with your old version of IAM, make sure that you do not select Global Options or IAM Overrides that may cause the data set to be unusable under the prior version. In particular, if you are converting from IAM V6.3, do not change the file to allow variable overflow support. Also, if you are converting from IAM V6.2 or earlier, or V6.3 compatible format files, make sure that any data sets that are deleted and redefined will be redefined as compatible format files.

90.21 IAM INSTALLATION QUESTIONS

QUESTIONS REGARDING INSTALLATION

Here are the answers to some common questions that may be encountered after the installation of IAM.

Question: I have placed the parameter OWNER($IAM) in the VSAM DEFINE, but the file is created as a VSAM cluster:

Answer: There are several possibilities:

First, the IAM system level VSAM Interface (VIF) is not active in this system. Run the procedure VIFSTATS in the Installation Control Library to see if VIF is active. If VIF is not active, run VIFSTART to activate VIF.

Second, the IAM load library is not in the LINKLIST. The JCL LOG in this case may indicate a S806 abend for an IAM module name. If you do not wish to put IAM in the LINKLIST, insert a STEPLIB pointing to the IAM library in the IDCAMS DEFINE job.

Third, the IAM library is not authorized. The IAM library must be authorized.

Question: I get VSAM error message IEC161I pointing to an IAM file.

Answer: There are several possibilities:

First, the IAM system level VSAM Interface (VIF) is not active in this system. Run the procedure VIFSTATS in the Installation Control Library to see if VIF is active. If VIF is not active run VIFSTART to activate VIF.

Second, the IAM load library is not in the LINKLIST. If you do not wish to put IAM in the LINKLIST, insert a STEPLIB pointing to the IAM library in the job referencing the IAM file.

Third, the IAM library is not authorized. The IAM library must be authorized.

Question: I converted a file to IAM and all of a sudden it went back to a VSAM cluster.

Answer: There was a job executed against the IAM file which DELETEd and re-DEFINEd the file as VSAM without the OWNER($IAM) parameter specified. You must find this job and change the DEFINE procedure. Use the IAMSMF program copy and query commands to report on all the jobs which have defined the cluster so you can identify the IDCAMS job causing the problem.

Question: Can I test a new version of IAM if I am already using VIF in a product from an older version of IAM.

Answer: Yes, just insure the newest version of IAM's VIF is started after all prior versions of IAM are already active in the system. Normally, your jobs will require a JOBLIB or STEPLIB to the new version of IAM, until it is placed into production.

Question: Can I use IAM and VIF with other software products that do VSAM allocation control or otherwise intercept VSAM.

Answer: Yes, IAM supports or coexists with the majority of the many software products that make decisions based on a file's DSORG and or interface with the same MVS services as IAM's VIF. The main consideration when using IAM in conjunction with one of these decision making facilities, especially one that requires a system interface or started task, is the order in which the product interfaces are activated. As a general rule if a program provides a VSAM only service such as altering VSAM buffering or activating LSR it should be started before IAM's VIF. However, if a product is applicable to IAM files, for example this would include but not be limited to CICS and batch VSAM journaling facilities like Recover Plus from BMC as well as VSAM allocation control products like SAMS (VAM) from Sterling Software of California, then VIF should be activated first.

90.30 MOVING A NEW VERSION OF IAM INTO PRODUCTION

Overview

There are a variety of methods that can be used to place the new version or maintenance release of IAM into production, replacing the previous version or level that you were running. In all cases, it will make for a cleaner process if you can schedule an IPL. However, many installations are not able to do that, so there are procedures presented that will accomplish activating the new version or maintenance release as the level used by all of the jobs in the system without an IPL. Be sure to review the IPL implementation procedure because there are tasks you will need to do so that the new version of IAM will remain in place after an IPL occurs.

It is highly recommended that no matter what procedure you decide to follow, that you keep a copy of your prior version of IAM available in an authorized library. By doing so, you will have quick access to the prior version if it becomes necessary. The recommended procedures provide for the capability of an emergency fall back to the prior version without an IPL, should unexpected problems be encountered. Once you have reached a level where the fall back is no longer necessary, you will be able to remove the old version of IAM from your system.

For certain maintenance releases, where the VIFKEY values have not changed, depending on the prior production level of IAM that you were running, putting the new version into production could be as simple as copying the new IAM modules into the load library over the prior level, and then refreshing LLA. Contact IAM Technical Support to find out if you can follow that procedure. This technique may also be possible if you are installing a production version in place of a trial version of the product, again depending on any differences that exist within the two levels.

Implementation Strategy

During the testing phase of the new level of IAM, you have been running with two versions of VIF active, using JOBLIB or STEPLIB to access the new version, while running out of LINKLIST for the production version. The recommended strategy is to migrate to the new level of IAM in two phases. During the first production phase for the new version, there will still be two levels of VIF started. However, the new level of IAM will be in placed in the LINKLIST, while the prior version of IAM will be accessible, if necessary, through a JOBLIB or STEPLIB. The old version of VIF, while started, will be deactivated. This will leave the prior level of VIF modules in PLPA, however they will be inactive, ready to be reactivated if the need arises.

Once the new version has been completely accepted, then the second phase can be performed. This phase will remove the old level of IAM from the system completely. During this phase, changes will be made to the IPL procedure for activating VIF will be changed to activate just the new production level of VIF. The old library, if it is still in the LINKLIST, it can be removed. Then, after an IPL, the old IAM libraries can be deleted from the system.

In planning for the implementation, it is highly recommended that there be only one load library with any particular level of IAM. There primary reason for this recommendation is to avoid the problems encountered when there are multiple load libraries for the same version. The potential problems are differences in IAM Global Options settings, and also maintenance concerns when applying zaps. If you do have to copy the IAM product from one library to another, be sure to delete the old library as soon as possible to prevent inadvertent use.

Preparation for Production

The method of implementation for the first phase that will be least likely to cause problems is to perform an IPL to put the new version of IAM into production. Because many installations are not able to use this technique, there are alternative procedures provided below to implement the new version of IAM without an IPL. However, many of the tasks described below will have to be done even with the alternative procedures, so please be sure to review this methodology.

There are two steps to prepare for the implementation. First, is to revise the start up procedure(s) for VIF, and second is to change the LINKLIST structure to include the new level of IAM. The changes to the startup procedure for VIF include adding a step to deactivate the old level of VIF after it is started, and if a TEST VIF is being used, change the JOBNAME value to JOBNAME=*, so that all jobs are eligible for the new version. Shown below is the revised multiple step PROC for starting concurrent VIF, with a deactivation step inserted for the old version.

90.30 CONTINUED

```
//IAMSTART  PROC  LIB='SYS1.IAM64.LOADLIB'
//* MEMBER(IAMSTART)
//******
//*    THIS PROCEDURE HAS BEEN CREATED TO START MULTIPLE
//*    VERSIONS OF THE IAM VIF.
//*    USER CHANGES:
//*      CHANGE 'SYS1.IAM64.LOADLIB' TO NAME OF OLD VERSION OF IAM
//*      CHANGE 'SYS1.IAM70.LOADLIB' TO NAME OF NEW VERSION OF IAM
//******
//******
//START64     EXEC     PGM=IAMSTART
//STEPLIB     DD       DISP=SHR,DSN=&LIB
//SYSLIB      DD       DISP=SHR,DSN=&LIB
//SYSUDUMP    DD       SYSOUT=*
//DEACT64     EXEC     PGM=IAMPARE       <-- Deactivate old version
//STEPLIB     DD       DISP=SHR,DSN=&LIB
//SYSLIB      DD       DISP=SHR,DSN=&LIB
//START70     EXEC     PGM=IAMSTART
//STEPLIB     DD       DISP=SHR,DSN=SYS1.IAM70.LOADLIB
//SYSLIB      DD       DISP=SHR,DSN=SYS1.IAM70.LOADLIB
//STATS       EXEC     PGM=IAMSTATS
//STEPLIB     DD       DISP=SHR,DSN=SYS1.IAM70.LOADLIB
//SYSLIB      DD       DISP=SHR,DSN=SYS1.IAM70.LOADLIB
```

Figure 21: Example of PROC to Start Two VIFs, and Deactivate One

The second step is to put the new IAM load library into the LINKLIST (in the appropriate LNKLSTxx or PROGxx member of SYS1.PARMLIB), and to optionally remove the old library from the LINKLIST. The alternative to changing SYS1.PARMLIB is to copy the new version of IAM into an existing LINKLIST library. That job should be run just prior to the IPL. If the plans are to copy the new IAM into the library where the old version resided, make sure that you have a copy of that version of IAM in another APF authorized library. If both the new and the old libraries are in LINKLIST, make sure that the new production version is in front of the old version.

After the IPL, the new version will be the production version. From the IAMSTATS, you will see that the old level of VIF is in storage, but INACTIVE. Should the need arise, the old version can be reactivated by running IAMSTART with that version's libraries as the STEPLIB and SYSLIB. Once the old version is reactivated, it can be accessed by JOBLIB or STEPLIB for selected jobs, or the library can be copied into LINKLIST either in front of or over the new version, followed by an LLA refresh.

90.30 CONTINUED

Using the OS/390 Dynamic LINKLIST

With OS/390 Version 1 Release 2 and above, IBM has provided capabilities to dynamically change the system LINKLIST. This facility will make it possible to put the new version of IAM into production with minimal impact to the ongoing operation of the system. This procedure can also be used to put a new maintenance level of IAM into production, however you should run some tests prior to doing the cut over with running the new level of VIF with the old level of IAM.

1. Make sure that each version of IAM is in it's own unique library, and that each corresponding version of VIF has been activated in the proper sequence with any other potentially interacting software products.
2. If the new IAM VIF is a TEST VIF, set the JOBNAME=* so that it will process all jobs.
3. Issue the following Operator Commands:
   - **SETPROG LNKLST,DEFINE,NAME=NEWIAM,COPYFROM=CURRENT**
     This will build a new LINKLIST based on the currently active LINKLIST.
   - **SETPROG LNKLST,ADD,NAME=NEWIAM,DSNAME=newiam.loadlib,ATTOP**
     This command will place the new IAM library at the top of the LINKLIST concatenation, after SYS1.LINKLIB. Alternatively, instead of ATTOP, you can specify AFTER=dsname to place the new IAM library in a particular location. Be sure that if you do use AFTER= that the new IAM library will still be in front of the old IAM library.
   - **SETPROG LNKLST,DELETE,NAME=NEWIAM,DSNAME=oldiam.loadlib**
     This command is optional, it will remove the old IAM library from the new LINKLIST.
   - **SETPROG LNKLST,ACTIVATE,NAME=NEWIAM**
     This will activate the new LINKLIST for all new jobs and address spaces. Currently executing jobs will continue to use the old version of IAM.
4. Prior to performing an IPL, make sure to update the SYS1.PARMLIB with the LINKLIST changes, as well as changing the IAMSTART PROC if necessary.

When using the above procedure, do not deactivate the old VIF until you are certain that all jobs that were using that VIF have terminated. If you need to back out the new level of IAM, you can either activate the original LINKLIST, if you know the name, or build a new LINKLIST as the commands above do, deleting the new IAM library, and adding the old IAM library if you had deleted it. If you were running a TEST VIF where the prior VIF had the same VIFKEY, then deactivate the TEST VIF with the VIFSTOP JCL.

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90.30 CONTINUED

MVS/ESA or OS/390 without Dynamic LINKLIST

The following procedure to put IAM into production can be used on any MVS/ESA or OS/390 system. Because this procedure does not utilize the dynamic LINKLIST capabilities of OS/390, it will require a brief period of time where all activity against IAM files must be quiesced.

1. The first step is to make sure that there is an APF authorized backup library with the current production version of IAM. This must be separate from the target production LINKLIST library for the new version.
2. The second step is to make sure that both the current production version of VIF and the new version of VIF have been activated in the correct order regarding other software products in your installation. This is best accomplished by using one of the suggested multiple step IAM start procedures.
3. All activity on IAM files must be stopped. All open IAM files must be closed. When that point is reached, the following tasks are to be done:
   - Deactivate the production version of VIF using the VIFSTOP job.
   - Copy the new IAM library into the LINKLIST. The copy can be done into either a library in front of the current production IAM library in LINKLIST, or into the current production library in LINKLIST. Make sure that the library that you copy the new IAM version into does not take any extents. If it does, a re-IPL will be necessary if running a compress does not get the data set back down to it's original size.
   - Refresh the LINKLIST with the F LLA,REFRESH command.
   - If the new version is running as a TEST VIF, set JOBNAME=* by running the VIF START job with a PARM='TEST,JOBNAME=*'.
4. The new IAM is ready for use. IAM file activity can be resumed with the new production version of IAM.
5. When a back out procedure is no longer needed, revise the IAM start procedure for IPL to activate only the new production level of IAM. Then whenever the system is re-IPL'd, the new production version will be the only version of VIF installed on the system, and the backup library for the old version can be deleted.

If you need to backout the new IAM version, reactivate the old VIF, then copy the old IAM library back into the LINKLIST over the new version, and do an LLA Refresh. Once that is complete, you can deactivate the new IAM, then resume processing of your jobs. Be sure to back out any changes you may have made to SYS1.PARMLIB for LINKLIST or the IAMSTART procedure.

Final Steps

The final phase of implementation of the new version or maintenance level of IAM can take place once you are satisfied with the performance and reliability of the product. To accomplish this task, change the start up of IAM during IPL to only start the new production version of IAM. If you are using the recommended multiple step PROC, then remove the start up and deactivation of the prior release. If the new VIF was being run as a TEST VIF, it can now be turned into a regular VIF by removing the parameter fields. (i.e. PARM='TEST,JOBNAME=*') The load library with the old IAM version can now be removed from the LINKLIST, and also from the APF list, presuming that the data set was being used for IAM only. After the system is re-IPL'd, which can be done whenever it is convenient, then the new version of IAM is in full production. At this point, the old libraries can be deleted from your system.

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91.01 GLOBAL OPTION CHANGE FACILITY - OVERVIEW AND JCL

The Global Option Change Facility gives the user a simple method of modifying installation options for executing the IAM system. The installation options include defaults for setting certain file attributes during DEFINE, enabling special features in IAM, and other processing options. This facility supplies the user with the ability determine what options they have changed with the AUDIT command, to PRINT present global option values, change values using the ZAP command, and RESET options to their original values as supplied on the installation tape. You should carefully review the options available for the IAM system.

BATCH EXECUTION

To execute IAMZAPOP as a BATCH job use the following JCL:

```
//IAMZAPOP     EXEC     PGM=IAMZAPOP
//STEPLIB      DD       DISP=SHR,DSN=iam.loadlib
//SYSLIB       DD       DISP=SHR,DSN=iam.loadlib
//SYSPRINT     DD       SYSOUT=*
//SYSIN        DD       *

          put control cards here

/*
```

Figure 22: Example of JCL Skeleton to Execute IAMZAPOP

EXEC PGM= Must specify the program name of the Global Option Change Facility - IAMZAPOP.

STEPLIB DD Be sure that you run with the IAMZAPOP version that matches the Global Options Table that you are modifying by specifying a STEPLIB to the same library as the SYSLIB.

SYSLIB DD Required DD which must specify the load module library in which the IAM Global Option Table resides.

SYSPRINT DD Specifies the output message data set. This is a required statement and usually is a SYSOUT data set.

COPYTODD DD Required DD only for the COPY operation, which specifies the load library containing the target option table for the COPY operation. The changed options in the SYSLIB option table will be copied to the target option table.

SYSIN DD Required DD, which specifies the control statement data set required for all functions. Usually an input stream or DD * data set.

TSO EXECUTION

The GLOBAL OPTION CHANGE FACILITY program (IAMZAPOP) can be executed under TSO. The program will prompt the user for the commands. 'END' will save the new options, if any, and terminate the program. The allocations required to execute IAMZAPOP in the TSO Foreground are as follows:

```
ALLOC          F(SYSLIB)         DA('iam.library')    SHR
ALLOC          F(SYSPRINT)       DA(*)
ALLOC          F(SYSIN)          DA(*)
IAMZAPOP
------- or if the IAM library is not in LINKLIST-------
CALL 'iam.library(IAMZAPOP)'
```

Figure 23: Invoking IAMZAPOP under TSO

91.02 GLOBAL OPTION CHANGE FACILITY - FORMAT AND OPTIONS

AVAILABLE FUNCTIONS

The Global Option Change Facility has the following commands:

AUDIT

Lists the Global Options that have been changed from their distributed defaults. This function is only valid on Version 6.1/12P levels and above of the Global Options table.

The AUDIT command format is: **AUDIT**

CANCEL

Terminates IAMZAPOP without updating the Option Table.

The CANCEL command format is: **CANCEL**

COPY

Copies the changed Global Options from the Option table in the SYSLIB data set to the COPYTODD data set. COPYTODD is a required DD statement for this operation. This function is only valid on Version 6.1 levels and above of the Global Options table.

The COPY command format is: **COPY**

END

Terminates IAMZAPOP processing and rewrites the Option Table if any option was changed. This command is intended for TSO users.

The END command format is: **END**

HELP

The HELP command will print or display a menu of the IAMZAPOP options and related documentation.

The HELP command format is: **HELP ALL**

PRINT

If PRINT is specified, IAM will print or display the current values of the Global Options table.

The PRINT command format is: **PRINT**

RESET

If RESET is specified, IAM will reset the Global Options to the original values on the installation tape.

The RESET command format is: **RESET**

ZAP

Modify the Global Options. This command can be used to enable or disable specified processing options and set DEFINE defaults for the IAM system. The operands for this command are documented by function in the following sections.

The ZAP command format is: **ZAP operand=value, ...operand=value**

91.03 GLOBAL OPTION CHANGE FACILITY - ZAP COMMAND

This section discusses options that apply to most of the programs within the IAM system.

```
ZAP [ BUFOPNO=nn]                [,BUFSP=nnnnnnnn]
    [,CICSBUFSP=nnnnnnnn]        [,CORELIMIT=nnnnnn]
    [,CRBUFOPT= CYL | MCYL | MTRK | TRK]
    [,DATACOMPRESS=nnnnnn]       [,DATASPACE=nnnn]
    [,DESCRIPTCODE=nn]           [,DISABLE=(opt1,..optn)]
    [,DSORG= DAI PS ]            [,DYNCAT= YES | NO ]
    [,ENABLE=(opt1,..optn)]      [,ESDSINTEGRATED=nn]
    [,INDEXSPACE= ALL | CICS | NO ]
    [,LIMITKEYS=nn ]             [,LOADABWO= YES | NO ]
    [,MAXBUFNO=nn]               [,MAXOVERFLOW=nnnnnn]
    [,MAXREGION=nnn]             [,MAXSECONDARY=(x,y)]
    [,MINCOMPRESS=nn]            [,MULTIVOLUME= PRIMARY | SECONDARY ]
    [,OCOREO%=nnn]               [,OCOREX%=nnn]
    [,PE=nnnnn ]                 [,RECFM= F | V]
    [,RECTYPE=nnn]               [,RELEASE= YES | NO ]
    [,ROUTECODE=nn]              [,SMF= YES | NO ]
    [,SORTCORE=nnnnnnn]          [,SORTMSG=xx]
    [,SORTPFX=xxxx]              [,STORCLASS=c......c]
    [,VAROVERFLOW=YES | NO ]     [,VSAMBLOCKF=n]
    [,VSAMWTO=YES | NO ]         [,WORKDDNAME=xxxxxxxx]
    [,WORKPRIMARY=nnn]           [,WORKSECONDARY=nnn]
    [,WORKUNIT=c......c]
```

OPERANDS Following are the operands for the ZAP command.

OPERAND	DESCRIPTION
BUFOPNO	Specifies a default value for the initial number of buffers IAM will acquire when opening a file for processing. IAM will acquire a number of buffers equal to this value or to the number of blocks contained on one track whichever is greater. The initial number of buffers IAM will acquire for a file can be overridden at execution time using the IAM Override Statement keyword MINBUFNO=. IAM's Real Time Tuning starts with this initial number of buffers and during file processing dynamically adjusts the number of buffers actually used for a file up or down based on demand. You may specify a value from 1 to 32 buffers. The default is 4.
BUFSP	Specifies a default, in bytes, for the maximum amount of storage that IAM is to use for buffers when accessing a file in environments other then CICS. IAM divides this value by the file's block size to determine the number of buffers that will fit. For example: When a file with 1/4 track blocking (13,682) on a 3390, IAM can fit 65 buffers in 875K of storage. IAM will use this value, or MAXBUFNO Global Option, which ever is higher, to set the maximum number of buffers for processing a data set, unless overridden. BUFSP can not be specified less than 65,536 bytes (64K). The default is 896,000 (875K), which will yield a value slightly higher than the number of buffers required to hold data from one cylinder.

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OPERAND	DESCRIPTION
CICSBUFSP	Specifies a default, in bytes, for the maximum amount of storage that IAM is to use for buffers when accessing a file under CICS. IAM divides this value by the file's block size to determine the number of buffers that will fit. For example: When a file with 1/4 track blocking (13,682) on a 3390, IAM can fit 19 buffers in 256K of storage. IAM will use this value, or MAXBUFNO Global Option, which ever is higher, to set the maximum number of buffers for processing a data set, unless overridden. CICSBUFSP can not be specified less than 65,536 bytes (64K). The default is 262,144 (256K).
CORELIMIT	Specifies the minimum prime index size required for IAM to consider using a compressed index structure. Any number from 0 to 999999, inclusive, may be specified. The default is 8000 bytes.
CRBUFOPT	Specifies the EXCP buffer option to be used during a file load process. The valid values are: **CYL** — Acquire enough buffers for one full cylinder. Each physical I/O (EXCP) is for one half of a cylinder. **MCYL** — Acquire enough buffers for two full cylinders. Each physical I/O (EXCP) is for a full cylinder. **TRK** — Acquire enough buffers for two tracks. Each physical I/O (EXCP) is for one track. **MTRK** — Acquire enough buffers for ten tracks. Each physical I/O (EXCP) is for five tracks. Default value is MCYL.
DATACOMPRESS	Specifies the smallest size IAM file that will be considered for automatic data compression. This value is the minimum number of tracks that a DEFINE can specify as a file's primary allocation and still qualify for automatic data compression. Any number from 0 to 99999999, inclusive may be specified. The default is 75. IAM data sets that are defined as being 75 tracks or larger will default to being data compressed. **Innovation strongly recommends to leave Data Compression enabled.**
DATASPACE	Specifies the size, in megabytes, of the Data Space to be used for the temporary storage of the index to the IAM file that is being loaded. This parameter is only valid for MVS systems which support the use of Data Spaces. Valid values are from 0 to 2048. A value of 0 results in the use of a dynamically allocated temporary data set. Default is 256 megabytes.
DESCRIPTCODE	Specifies the descriptor code(s) to be used when issuing Write-To-Operator or Write-To-Operator-With-Reply messages. Any number from 1 to 16 inclusive may be specified. Multiple descriptor codes can be entered if specified as DESCRIPTCODE=(nn,...,nn). The default is 0 (X'0000').

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91.03 CONTINUED

OPERAND	DESCRIPTION
DISABLE	Specifies the option(s) coded for this operand shall be deactivated. See the ENABLE operand for the options and their implications.
DSORG	Specifies the DSORG to be used when creating an IAM file. **DA** - Sets a DSORG of DA (direct access) **PS** - Sets a DSORG of PS (physical sequential) The default is PS.
DYNCAT	Specifies whether IAM should allow dynamic allocation to catalog the IAM file. This option is available to enhance IAM's support of POOLDASD. **YES** - Let dynamic allocation catalog file **NO** - IAM will catalog the file The default is NO.
ENABLE	Specifies that the option(s) coded for this operand shall be activated. **BIM** – Enables IAM support for the BIM product. Default value is disabled. Requires a restart VIF to take effect. **ENHANCED** – Specifies that IAM files will default to the Enhanced file format when they are defined. Default is that this option is enabled, which means that IAM files will default to the Enhanced format. **EURODATE** – Changes the format of date fields on IAMINFO and IAMPRINT reports to a European format. Default is disabled. **NOOWNID** – Causes IAM to save the actual value specified on the define in the catalog entry for the OWNER field. If disabled, IAM stores it's own binary data in this field. The default is Enabled. **NOREUSE** – Causes IAM to honor the NOREUSE option if coded in the IDCAMS define statements. The default is Disabled, which sets all IAM data sets as reusable. **REORGWTO** – Causes IAM to display the IAMW22 messages when appropriate. To eliminate those messages, DISABLE this value. The default is Enabled. **VAM** – Enables IAM's enhanced VAM support. The default is Disabled.
ESDSINTEGRATED	Sets the INTEGRATED OVERFLOW percent for ESDS files. Reserves space in each block for record length changes. Recommended to be set to nonzero if you are using IAM ESDS files that are being updated. The default is 0.

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91.03 CONTINUED

OPERAND	DESCRIPTION
INDEXSPACE=	Specifies whether or not IAM is to default to using a Data Space to hold the prime and overflow index for Enhanced format files. Users must be running MVS/ESA 4.2.2 or higher to use this capability. If IAM is using the Index Space, the original size used is the value set for the DATASPACE value in the Global Options Table, and a maximum size of four (4) times that value will be set. Valid values are: **ALL** - All types of jobs that are accessing Enhanced Format files will use the Index Space. **CICS** - Only CICS regions will automatically use an Index Space. **NO** - Index Spaces will not be used automatically. Default is CICS.
LIMITKEYS	Specifies the number of keys taken in a set when creating an IAM file with a compressed index. You may specify any number from 3 to 64, inclusive. The default is 32.
LOADABWO	Specifies that IAMSTART (VIFSTART) will load the IAM interfaces for the DFP BWO and RLS Callable catalog information services. If you use a CICS VSAM recovery package that issues these calls, or are running with Transaction Server 1.2 or above, this option must be set to YES. Valid values are: **YES** - Enable the DFP Callable Services interfaces. **NO** - Do not enable the interfaces. The default is YES.
MAXBUFNO	Specifies the default maximum number of buffers IAM is permitted to acquire during file processing. IAM will use the higher of either this value, or the value for BUFSP. IAM's Real Time Tuning will dynamically adjust the number of buffers used for the file as demand warrants up to this maximum. You may specify a value from 1 to 255 buffers. (Note that the maximum that will be used for Compatible Format files is 32.) The default is 5.
MAXOVERFLOW	For Compatible Format files, sets the maximum amount of overflow that will be allocated based on the CA% freespace value provided in the IDCAMS define. The default is 50,000.
MAXREGION	Specifies the default maximum value, in megabytes, that IAM will dynamically adjust the above the line Region value to. To disable the feature set value to 0. If your CICS regions are already at or above the 512 megabyte size, then increase this value. Default is 512.
MAXSECONDARY= (create,access)	Default multiplication factors for IAM Dynamic Secondary Space Adjustment feature. The first value is for file loads and the second value is for file updates. To disable this feature, set both values to 0. Default values are (10,5).

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91.03 CONTINUED

OPERAND	DESCRIPTION
MINCOMPRESS	Specifies the minimum acceptable percentage of storage reduction achieved when creating an IAM file to determine if the file qualifies for a compressed index. May be any number from 8 to 40, inclusive. The default is 10.
MULTIVOLUME=	Specifies which space allocation value IAM will use when it appears that a data set will take the next extent on the next volume. Valid values are: PRIMARY - Use the original primary allocation value when a volume switch is anticipated. SECONDARY - Use the original secondary allocation value when a volume switch is anticipated. Default is PRIMARY.
OCOREO%	For Compatible format files only, specifies the amount of virtual storage for expansion of the Overflow index, as a percent of the total capacity of Independent Overflow,, to be acquired when an IAM file is OPENed for update processing. 'nnn' may be any number from 1 to 100, inclusive. The default is 10.
OCOREX%	For Compatible format files only, specifies the amount of virtual storage for expansion of the Overflow index, as a percent of the total capacity of Independent Overflow, to be acquired when more memory is required. 'nnn' may be any number from 1 to 100, inclusive. The default is 10.
PE	For Compatible format files, specifies the number of blocks of Prime Extension area to be reserved when creating an IAM file. 'nnnnn' may be any number from 0 to 32767, inclusive. The default is 3.
RECFM	For Compatible format, non-data compressed files, specifies the internal record format IAM is to use for VSAM defines with equal average and maximum record lengths. **F** – Define the file as fixed. **V** – Define the file as variable. The default is F.
RECTYPE	Specifies the SMF 'user' record type to be written if SMF recording is requested for IAM files, nnn may be a number from 128 to 255, inclusive. There is no default value. This is a required field for SMF recording to be requested. Member IAMUSMF in the IAM Installation Control Library is a DSECT of the IAM SMF user record format.
RELEASE	Specifies the default value for automatic release. **YES** – Unused disk space in an IAM file is to be released if Secondary allocation value is specified. **NO** – Unused disk space is not to be released. The default is YES.

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91.03 CONTINUED

OPERAND	DESCRIPTION
ROUTECODE	Specifies the route code(s) to be used when issuing WTO of WTOR messages. Any number from 1 to 16, inclusive, may be specified. Multiple route codes can be entered as ROUTECODE=(nn,...,nn). The default is 11 (X'0020').
SMF	Specifies whether IAM is to write an IAM SMF user record when an IAM file is closed. The IAM SMF user record will contain all of the same information displayed in an IAM INFO Run Time Statistics Report. Member IAMUSMF in the IAM Installation Control Library is a DSECT of the IAM SMF user record's format. **YES** – If a RECTYPE value is specified in IAM's GLOBAL OPTION TABLE an IAM SMF user record will be written whenever an IAM file is closed. **NO** – IAM will not write IAM SMF user records. The default is NO.
SORTCORE	Specifies the amount of storage 'SORT' is to use. You may specify any number from 10000 to 8000000, inclusive. The default is 100000.
SORTMSG	Specifies the message option to be used by the program 'SORT' if external sorting is required. **AC** - all messages to the console **AP** - all messages to the printer (SYSOUT) **CC** - critical messages to the console **CP** - critical messages to the printer **NO** - no messages to be produced **PC** - critical messages to both console and printer The default is CC.
SORTPFX	Specifies the ddname prefix to be used by 'SORT'. If the string specified is less than 4 characters, a dollar sign ($) fill character will be used. The default is SORT.
STORCLASS	Specifies the SMS storage class to be used if SMS is active and a storage class was not specified on the define request, or the installation ACS routines did not assign an SMS storage class. If this option is blanks, no SMS storage group will be assigned. To reset this option to blanks, specify STORCLASS=' ' The default is blanks.
VAROVERFLOW	Specifies whether or not IAM is by default to use variable length overflow for IAM files when they are defined. Innovation recommends setting this value to NO if you are migrating from Version 6.3 until the conversion is complete. Valid values are: **YES** - Set files as being eligible for variable length overflow when they are defined. **NO** - Do not set files as eligible for variable length overflow when they are defined. Default value is YES.

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91.03 CONTINUED

OPERAND	DESCRIPTION
VSAMBLOCKF	Specifies the default blocking factor (number of blocks per track) to be used when an IDCAMS DEFINE does not specify CISIZE. Any value from 1 to 15 inclusive may be specified. The default value is 4 (four blocks per track).
VSAMWTO	For Compatible format files only, specifies the action to be taken under the VSAM interface, when an IAM file is not available. **YES** – An IAMW02 message is generated and the operator must reply Retry, Wait, or Cancel. **NO** – The Open is failed. The default is NO.
WORKDDNAME	Specifies the ddname of the work file used during an IAM load function. The work file will be used if IAM is not using a Data Space to hold the index. The default is IAMWKDD if it is in the JCL, otherwise the file will be dynamically allocated.
WORKPRIMARY	Specifies the primary allocation in tracks of the work file dynamically allocated during an IAM load function. Any value from 1 to 65535, inclusive, may be specified. The default is 30 (tracks).
WORKSECONDARY	Specifies the secondary allocation in tracks for the work file dynamically allocated during an IAM load function. Any value from 1 to 65535, inclusive, may be specified. The default is 30 (tracks).
WORKUNIT	Specifies the unit name to be used when dynamically allocating the work file used during an IAM load function and during a DEFINE of an IAM data set with the IAM ANYVOL support. The default is SYSDA.

91.04 IAMZAPOP JCL EXAMPLES

The following examples illustrate some of the ways of executing the GLOBAL OPTION CHANGE FACILITY.

EXAMPLE 1 The user wishes to display the present Global values.

```
//PRINT        EXEC     PGM=IAMZAPOP
//SYSPRINT     DD       SYSOUT=A
//SYSLIB       DD       DSN=iam.library,DISP=SHR
//SYSIN        DD       *
    PRINT
/*
```

Figure 24: Example of Printing out the IAM Global Options

EXAMPLE 2 The user enables Automatic Data Compression for files that are 150 tracks or larger. The ZAP command will modify the IAM Option Table.

```
//ZAP          EXEC     PGM=IAMZAPOP
//SYSPRINT     DD       SYSOUT=A
//SYSLIB       DD       DSN=iam.library,DISP=SHR
//SYSIN        DD       *
     ZAP       DATACOMPRESS=150
/*
```

Figure 25: Example of Using IAMZAPOP to set Default for Data Compression

EXAMPLE 3 Reset all of the Global Option values to their original values supplied on installation tape.

```
//RESET        EXEC     PGM=IAMZAPOP
//SYSPRINT     DD       SYSOUT=A
//SYSLIB       DD       DSN=iam.library,DISP=SHR
//SYSIN        DD       *
     RESET
/*
```

Figure 26: Example of Resetting IAM Global Options to Initial Values

EXAMPLE 4 Enable the IAM SMF recording option so an SMF user record is written every time an IAM file is CLOSEd. IAM's SMF user record type is to be type 201.

```
//SETSMF       EXEC     PGM=IAMZAPOP
//SYSPRINT     DD       SYSOUT=A
//SYSLIB       DD       DSN=iam.library,DISP=SHR
//SYSIN        DD       *
    ZAP        RECTYPE=201,SMF=YES
/*
```

Figure 27: Example of Using IAMZAPOP to Enable IAM SMF Recording

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EXAMPLE 5 COPY all of the changed Global Option values to a new IAM load library.

```
//COPY          EXEC      PGM=IAMZAPOP
//SYSPRINT      DD        SYSOUT=A
//SYSLIB        DD        DSN=iam.library,DISP=SHR
//COPYTODD      DD        DSN=iam.new.library,DISP=SHR
//SYSIN         DD        *
      COPY
/*
```

Figure 28: Example of Using IAMZAPOP to Copy a Global Options Table

EXAMPLE 6 Using the AUDIT command to list any Global Option values that have been changed from their distributed defaults.

```
//AUDIT         EXEC      PGM=IAMZAPOP
//SYSPRINT      DD        SYSOUT=A
//SYSLIB        DD        DSN=iam.library,DISP=SHR
//SYSIN         DD        *
      AUDIT
/*
```

Figure 29: Example of Using IAMZAPOP AUDIT to Determine Which Global Option Values Have Been Changed

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IAM USERS MANUAL V7.0
INNOVATION DATA PROCESSING

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