# FDR® UPSTREAM®

Unattended
Backup/Restore Software
for PCs and LANs
to MVS Mainframes

# WORKSTATION/SERVER
# Version 3.1.2

## Installation and User's Guide

INNOVATION® DATA PROCESSING

**CORPORATE HEADQUARTERS:** 275 Paterson Ave, Little Falls, NJ 07424 • (973) 890-7300 • Fax: (973) 890-7147
**E-mail: support@fdrinnovation.com • sales@fdrinnovation.com • http://www.innovationdp.fdr.com**

| EUROPEAN OFFICES: | FRANCE | GERMANY | NETHERLANDS | UNITED KINGDOM | NORDIC COUNTRIES |
|---|---|---|---|---|---|
| | 01-49-69-94-02 | 089-489-0210 | 036-534-1660 | 0208-905-1266 | +31-36-534-1660 |

# Table of Contents
# FDR/UPSTREAM Workstation/Server
# Version 3.1.2

**1. INTRODUCTION**
1.1 Summary of Changes in This Release. . . . . 1-1
1.1.1 Version 3.1.2 . . . . . 1-1
1.1.2 Version 3.1.1 . . . . . 1-1
1.1.3 Version 3.1.0 . . . . . 1-2
1.2 Overview . . . . . 1-3
1.3 UPSTREAM Features . . . . . 1-4
1.4 What is FDR/UPSTREAM Workstation. . . . . 1-10
1.4.1 FDR/UPSTREAM (US.EXE) . . . . . 1-10
1.4.2 Configurator (USCFG.EXE) . . . . . 1-10
1.4.3 Unattended Operations (USSTART.EXE) . . . . . 1-10
1.4.4 Automated Novell Logins and ULTra Profiles (SETNOV.EXE) . . . . . 1-11
1.4.5 End-User Restores . . . . . 1-11
1.4.6 FDR/UPSTREAM ULTra (ULTra and LANCOPY) . . . . . 1-11
1.4.7 FDR/UPSTREAM Novell Auto-Recall. . . . . 1-11
1.5 FDR/UPSTREAM's Architecture. . . . . 1-12
1.6 Backup Strategies . . . . . 1-13
1.6.1 Scenario #1: Full and Incremental Backups to Tape . . . . . 1-14
1.6.2 Scenario #2: Full Backups on Tape and Incrementals on Disk . . . . . 1-15
1.6.3 Scenario #3: Full and Incremental Backups on Disk . . . . . 1-16
1.6.4 Duplicate Files . . . . . 1-17
1.7 Communications Architecture. . . . . 1-19
1.7.1 Using APPC . . . . . 1-19
1.7.2 Using TCP/IP . . . . . 1-19
1.8 FDR/UPSTREAM 32-bit Windows . . . . . 1-20
1.9 FDR/UPSTREAM UNIX . . . . . 1-21
1.10 FDR/UPSTREAM OS/2 . . . . . 1-22
1.11 FDR/UPSTREAM 16-bit Windows . . . . . 1-23
1.12 FDR/UPSTREAM for Novell . . . . . 1-24
1.13 FDR/UPSTREAM DOS . . . . . 1-25

**2. How to Use FDR/UPSTREAM**
2.1. Backing up Servers. . . . . 2-2
2.1.1. LAN Attached Workstations. . . . . 2-2
2.1.2. FDR/UPSTREAM on the Server . . . . . 2-4
2.2. Backing up Workstations . . . . . 2-6
2.2.1. FDR/UPSTREAM on Each Workstation . . . . . 2-6
2.2.2. FDR/UPSTREAM ULTra . . . . . 2-6
2.3. Scheduling . . . . . 2-9
2.3.1. Planning . . . . . 2-9
2.3.2. Implementation . . . . . 2-9
2.3.3. PC Scheduled (Initiated). . . . . 2-10
2.3.4. Host Initiated. . . . . 2-10
2.3.5. Remote PC Scheduled . . . . . 2-11
2.4. Parameter Files. . . . . 2-12
2.5. Backup Profiles . . . . . 2-14
2.6. Host Storage Management . . . . . 2-16
2.6.1. Scenario #1: Large Servers/Limited Host Disk . . . . . 2-19
2.6.2. Scenario #2: Servers and Workstations . . . . . 2-20

**3. Windows (32-bit)**
3.1. Overview . . . . . 3-1
3.1.1. Requirements . . . . . 3-1
3.2. Installing FDR/UPSTREAM . . . . . 3-2
3.2.1. Uninstall . . . . . 3-5

3.3. Files Included . . . 3-6
3.4. PC FDR/UPSTREAM Configuration . . . 3-11
3.4.1. Configuring for a SNA Host Connection . . . 3-12
3.4.2. Configuring for a TCP/IP Host Connection . . . 3-12
3.4.3. Completing the Configuration . . . 3-13
3.5. SNA Configuration Overview . . . 3-15
3.6. Pre-PC Configuration Issues . . . 3-16
3.6.1. Configuring VTAM . . . 3-16
3.6.2. Token-Ring Considerations . . . 3-17
3.6.3. FDR/UPSTREAM MVS Issues . . . 3-18
3.7. Configuring SNA Server . . . 3-20
3.8. SNA Server v3.x, v4.x Connection Configuration. . . . 3-21
3.8.1. Server Properties. . . . 3-21
3.8.2. Add a Link Service . . . 3-22
3.8.3. Add a Connection . . . 3-23
3.9. MS SNA Server in FDR/UPSTREAM . . . 3-26
3.10. Transaction Program Definition. . . . 3-29
3.11. Advanced MS SNA Server . . . 3-32
3.11.1. Problems . . . 3-32
3.12. Manually Configuring SNA Server v3, v4. . . . 3-34
3.12.1. Local LU Configuration . . . 3-34
3.12.2. Mode Configuration . . . 3-35
3.12.3. Partner LU Configuration . . . 3-37
3.13. IBM Personal Communications and IBM Communications Server . . . 3-39
3.13.1. Node Entry Definition . . . 3-40
3.13.2. Device Definition . . . 3-41
3.13.3. Connection Definition. . . . 3-41
3.13.4. Partner LU Definition . . . 3-43
3.13.5. Local LU Definition . . . 3-44
3.13.6. Transaction Program Definition . . . 3-45
3.13.7. Saving and Activating. . . . 3-47
3.13.8. Remote Allocated User Account . . . 3-47
3.14. FDR/UPSTREAM and Windows/NT . . . 3-48
3.14.1. Security . . . 3-48
3.14.2. Add the User to the Administrators group . . . 3-49
3.14.3. Authorizing the Security Hive . . . 3-51
3.14.4. Using UPSTREAM to Back up Another Windows NT PC . . . 3-52
3.14.5. Running as a Service. . . . 3-53
3.14.6. Transaction Program Definition for a Service . . . 3-54
3.14.7. Using a Service to Back up Network Drives . . . 3-58

**4. UNIX**
4.1. Overview . . . 4-1
4.1.1. System Requirements . . . 4-1
4.2. Installation . . . 4-2
4.2.1. Unix Systems Services Installation and Configuration . . . 4-7
4.3. Configuration . . . 4-8
4.3.1. Configuring for TCP/IP . . . 4-8
4.3.2. Configuring for SNA/APPC . . . 4-8
4.4. Pre-Server/Workstation Configuration Issues . . . 4-9
4.4.1. Configuring Host Software . . . 4-9
4.4.2. Token-Ring Considerations . . . 4-11
4.4.3. FDR/UPSTREAM MVS Issues . . . 4-11
4.5. Configuring Communications Server. . . . 4-13
4.5.1. Defining a Local LU . . . 4-13
4.5.2. Defining a Side Information Profile . . . 4-14
4.5.3. Defining a Partner LU Profile. . . . 4-15
4.5.4. Defining a Transaction Program Profile . . . 4-16
4.5.5. Defining a Partner LU Location Profile . . . 4-17
4.5.6. Verifying and Testing . . . 4-18
4.6. Configuring FDR/UPSTREAM . . . 4-19
4.6.1. The FDR/UPSTREAM User Interface . . . 4-19
4.6.2. Communications Configuration . . . 4-19
4.6.3. Configuring for a SNA Host Connection . . . 4-20

4.6.4. Configuring for a TCP/IP Host Connection . . . . . 4-21
4.6.5. Completing the Configuration . . . . . 4-21
4.7. Command Line FDR/UPSTREAM (uscmd) . . . . . 4-23
4.8. Host Controlled FDR/UPSTREAM (usdaemon) . . . . . 4-24
4.8.1. AIX Installation . . . . . 4-24
4.8.2. HPUX, Solaris and Tru64 Installation . . . . . 4-24
4.8.3. Unix Systems Services Daemon Installation . . . . . 4-25
4.9. Backups & Restores . . . . . 4-27
4.9.1. Backups. . . . . 4-27
4.9.2. Logical and Physical Volumes . . . . . 4-27
4.9.3. Owners and Groups. . . . . 4-28
4.9.4. Unix Systems Services . . . . . 4-28
4.9.5. UNIX Summary . . . . . 4-28

**5. OS/2**
5.1. Overview . . . . . 5-1
5.1.1. Requirements . . . . . 5-1
5.2. Installing FDR/UPSTREAM . . . . . 5-2
5.2.1. Diskette Installation . . . . . 5-2
5.2.2. CD-ROM Installation . . . . . 5-2
5.2.3. Uninstalling FDR/UPSTREAM . . . . . 5-3
5.3. Files Included . . . . . 5-5
5.4. Configuration Overview. . . . . 5-10
5.4.1. Configuring for TCP/IP . . . . . 5-10
5.4.2. Configuration for APPC - Overview . . . . . 5-10
5.5. Pre-PC Configuration Issues . . . . . 5-11
5.5.1. Configuring VTAM . . . . . 5-11
5.5.2. Token-Ring Considerations . . . . . 5-13
5.5.3. FDR/UPSTREAM MVS Issues . . . . . 5-14
5.6. Configuring the APPC Software . . . . . 5-15
5.7. Configuring Communications Manager/2 . . . . . 5-16
5.7.1. Starting the Setup Program . . . . . 5-16
5.7.2. Profile List Sheet . . . . . 5-17
5.7.3. Token-Ring Adapter Parameters. . . . . 5-18
5.7.4. Local Node Characteristics . . . . . 5-19
5.7.5. Connections List . . . . . 5-20
5.7.6. Connection Dialog . . . . . 5-21
5.7.7. Partner LU Dialog. . . . . 5-22
5.7.8. Local LU Dialog . . . . . 5-23
5.7.9. Mode Definition . . . . . 5-24
5.7.10. Transaction Program Definition . . . . . 5-26
5.7.11. The Final Steps . . . . . 5-27
5.8. Configuring IBM Communications Server and Personal Communications . . . . . 5-28
5.8.1. Starting the Setup Program . . . . . 5-28
5.8.2. Profile List Sheet . . . . . 5-29
5.8.3. Token-Ring Adapter Parameters. . . . . 5-30
5.8.4. Local Node Characteristics . . . . . 5-31
5.8.5. Connections List . . . . . 5-32
5.8.6. Connection Dialog . . . . . 5-34
5.8.7. Partner LU Dialog. . . . . 5-34
5.8.8. Local LU Dialog . . . . . 5-36
5.8.9. Mode Definition . . . . . 5-38
5.8.10. Transaction Program Definition . . . . . 5-39
5.8.11. The Final Steps . . . . . 5-40
5.9. PC FDR/UPSTREAM Configuration . . . . . 5-42
5.9.1. Configuring for an SNA Host Connection . . . . . 5-43
5.9.2. Configuring for a TCP/IP Host Connection . . . . . 5-43
5.9.3. Completing the Configuration . . . . . 5-44

**6. Windows (16-bit)**
6.1. Overview . . . . . 6-1
6.1.1. Requirements . . . . . 6-1
6.2. Installing FDR/UPSTREAM . . . . . 6-2
6.2.1. Uninstall . . . . . 6-4

6.3. Files Included . . . . . 6-5
6.4. Configuration Overview . . . . . 6-9
6.4.1. Configuring for TCP/IP . . . . . 6-9
6.4.2. APPC Configuration Overview . . . . . 6-9
6.5. Pre-PC Configuration Issues . . . . . 6-10
6.5.1. Configuring VTAM . . . . . 6-10
6.5.2. Token-Ring Considerations . . . . . 6-11
6.5.3. Novell Considerations (Windows 3.1) . . . . . 6-13
6.5.4. FDR/UPSTREAM MVS Issues . . . . . 6-13
6.6. Configuring the APPC Software . . . . . 6-15
6.6.1. Remotely Attachable Transaction Program Profiles . . . . . 6-16
6.7. Attachmate/DCA Irma for the Mainframe . . . . . 6-18
6.7.1. Token-Ring Configuration . . . . . 6-18
6.7.2. Local LU Definition . . . . . 6-21
6.7.3. Partner LU Definition . . . . . 6-22
6.7.4. Mode Definition . . . . . 6-24
6.7.5. Invokable TPs . . . . . 6-26
6.7.6. Problems® . . . . . 6-28
6.8. Wall Data RUMBA . . . . . 6-29
6.8.1. The RUMBA Configurator . . . . . 6-29
6.8.2. Token Ring Connection . . . . . 6-29
6.8.3. Mode Configuration . . . . . 6-31
6.8.4. Remote LUs . . . . . 6-32
6.8.5. Local LU . . . . . 6-32
6.8.6. Transaction Program Definition . . . . . 6-33
6.8.7. Running with RUMBA . . . . . 6-35
6.8.8. Problems . . . . . 6-35
6.9. IBM Personal Communications® Configuration . . . . . 6-36
6.9.1. General Configuration . . . . . 6-36
6.9.2. Link Configuration . . . . . 6-37
6.9.3. Remaining Configuration . . . . . 6-38
6.9.4. Some notes on NS-Windows: . . . . . 6-41
6.10. Microsoft SNA Server (Windows Requestors) . . . . . 6-42
6.11. PC FDR/UPSTREAM Configuration . . . . . 6-43
6.11.1. Configuring for a SNA Host Connection . . . . . 6-44
6.11.2. Configuring for a TCP/IP Host Connection . . . . . 6-44
6.11.3. Completing the Configuration . . . . . 6-45

**7. DOS**
7.1. Overview . . . . . 7-1
7.1.1. Requirements . . . . . 7-1
7.2. Installing FDR/UPSTREAM . . . . . 7-2
7.2.1. Installation from Floppies . . . . . 7-2
7.2.2. Installation from CD-ROM . . . . . 7-3
7.3. Files Included . . . . . 7-5
7.4. Configuration Overview . . . . . 7-10
7.4.1. Configuring for TCP/IP . . . . . 7-10
7.4.2. Configuration Overview . . . . . 7-10
7.5. Pre-PC Configuration Issues . . . . . 7-11
7.5.1. Configuring Host Software . . . . . 7-11
7.5.2. Token-Ring Considerations . . . . . 7-13
7.5.3. Novell Considerations . . . . . 7-13
7.5.4. FDR/UPSTREAM MVS Issues . . . . . 7-14
7.6. Configuring the APPC Software . . . . . 7-16
7.7. IBM APPC/PC® Configuration . . . . . 7-17
7.7.1. APPC/PC Token-Ring Configuration . . . . . 7-18
7.8. IBM Networking Services/DOS® Configuration . . . . . 7-20
7.8.1. Installing NS/DOS . . . . . 7-20
7.8.2. Modifying your AUTOEXEC.BAT . . . . . 7-20
7.8.3. Copying the Sample NS/DOS Configuration Files . . . . . 7-21
7.8.4. Modifying CONFIG.NSD . . . . . 7-21
7.8.5. Other .NSD files . . . . . 7-21
7.9. AdaptSNA Token-ring (802.2) Configuration . . . . . 7-23
7.9.1. Performance . . . . . 7-28

7.9.2. SDLC Connection Issues . . . . . 7-28
7.9.3. Coax Connection Issues . . . . . 7-29
7.9.4. AutoSync Connection Issues . . . . . 7-30
7.10. Eicon Configuration . . . . . 7-31
7.10.1. Configure the card. . . . . 7-31
7.10.2. Configure the link (PU) . . . . . 7-32
7.10.3. Configure APPC . . . . . 7-32
7.11. PC FDR/UPSTREAM Configuration . . . . . 7-34
7.11.1. Configuring for a SNA Host Connection . . . . . 7-35
7.11.2. TCP/IP Configuration . . . . . 7-38
7.11.3. Completing your Configuration . . . . . 7-38

**8. YOUR FIRST BACKUP**
8.1. Overview . . . . . 8-1
8.2. Running FDR/UPSTREAM. . . . . 8-2
8.3. Running a Backup . . . . . 8-10
8.4. Command Line UPSTREAM. . . . . 8-12

**9. YOUR FIRST RESTORE**
9.1. Overview . . . . . 9-1
9.2. List and Restore . . . . . 9-1
9.3. List Backups. . . . . 9-4
9.4. Finalize Restore . . . . . 9-8

**10. End-User Restores**
10.1. Introduction . . . . . 10-1
10.2. Installation . . . . . 10-2
10.3. Configuration . . . . . 10-3
10.4. Getting Started . . . . . 10-5
10.5. Using End-User Restores . . . . . 10-9
10.5.1. Security . . . . . 10-15

**11. Performance**
11.1. Overview . . . . . 11-1
11.2. Performance Dialog . . . . . 11-1
11.2.1. CPU Test. . . . . 11-2
11.2.2. Screen I/O Test . . . . . 11-3
11.2.3. File Read Test . . . . . 11-3
11.2.4. Backup - No MVS I/O . . . . . 11-4
11.2.5. Backup - No Communications . . . . . 11-4
11.2.6. VSAM Performance Test . . . . . 11-4
11.2.7. Raw Communications Test - PC Send . . . . . 11-4
11.2.8. Raw Communications Test - MVS Send . . . . . 11-5
11.3. SNA Tuning Parameters. . . . . 11-6
11.3.1. Session Status . . . . . 11-6
11.3.2. Other APPCs. . . . . 11-6
11.3.3. Frame Size . . . . . 11-6
11.3.4. Token-Ring Window Counts . . . . . 11-7
11.4. TCP/IP Tuning . . . . . 11-8
11.4.1. LAN Adapter Tuning . . . . . 11-8
11.4.2. Send and Receive Buffer Sizes. . . . . 11-8
11.4.3. UPSTREAM Record Size. . . . . 11-8
11.4.4. Setting TCP/IP parameters . . . . . 11-9
11.4.5. Increasing MTU size. . . . . 11-9
11.4.6. Window Size. . . . . 11-9
11.4.7. Host Tuning . . . . . 11-9
11.4.8. Other TCP/IP Notes . . . . . 11-9
11.5. Other Performance Improvements . . . . . 11-11
11.5.1. Duplicate Files . . . . . 11-11
11.5.2. Local Backups. . . . . 11-11
11.5.3. Line speed. . . . . 11-11
11.5.4. APPC . . . . . 11-11
11.5.5. Multiple PCs . . . . . 11-12

11.5.6. Bridges and Routers . . . . . 11-12
11.5.7. Test Times. . . . . 11-12
11.5.8. Screen Savers . . . . . 11-12
11.5.9. Server Compression . . . . . 11-12
11.5.10. Record Size Tuning . . . . . 11-12
11.5.11. Careful use of Compression . . . . . 11-13
11.5.12. Token-Ring Adapter Settings . . . . . 11-13

**12. THE FDR/UPSTREAM PROGRAM**
12.1. Overview . . . . . 12-1
12.2. When FDR/UPSTREAM Starts . . . . . 12-2
12.2.1. Read and Verify Files . . . . . 12-2
12.2.2. *(DOS only)* Verify APPC and Start Communications . . . . . 12-2
12.2.3. Check for Failed Backups and/or Restores. . . . . 12-3
12.2.4. Start Unattended Operations . . . . . 12-3
12.2.5. Check for Remote Initiates . . . . . 12-3
12.2.6. Registration . . . . . 12-3
12.2.7. Automatic Update . . . . . 12-3
12.3. The FDR/UPSTREAM Menus. . . . . 12-4
12.3.1. File Menu . . . . . 12-4
12.3.2. Action Menu . . . . . 12-6
12.3.3. Communications Menu . . . . . 12-7
12.3.4. Remote Menu . . . . . 12-7
12.3.5. Management Menu . . . . . 12-8
12.3.6. Security Menu . . . . . 12-8
12.3.7. Physical Menu. . . . . 12-8
12.4. Backup Specs . . . . . 12-9
12.5. Migration . . . . . 12-12
12.5.1. File Migration . . . . . 12-12
12.5.2. Usage notes . . . . . 12-13
12.5.3. File Retention vs. Backup Retention . . . . . 12-14
12.5.4. Last Access Date. . . . . 12-15
12.5.5. Migration as Part of Backups . . . . . 12-15
12.5.6. Migration Inquiries and Restores . . . . . 12-16
12.6. More Backup/Restore Parameters . . . . . 12-17
12.6.1. More... Dialog . . . . . 12-17
12.6.2. Exclude List . . . . . 12-21
12.6.3. Reporting . . . . . 12-22
12.6.4. Local Backups. . . . . 12-23
12.7. More Specification Parameters . . . . . 12-26
12.8. Restore and Inquiry (old) . . . . . 12-29

**13. Automated Backups and Restores**
13.1. Overview . . . . . 13-1
13.2. Concepts . . . . . 13-2
13.3. Guidelines for Automated Backups and Restores . . . . . 13-4
13.3.1. Automated Backups . . . . . 13-6
13.3.2. Automated Restores . . . . . 13-8
13.4. Specifying Schedules in the Configurator . . . . . 13-11
13.5. Running USSTART (Auto Start) . . . . . 13-14
13.5.1. The USSTART Status Display . . . . . 13-15
13.5.2. Problems with USSTART . . . . . 13-15
13.6. Unattended Remote Functions . . . . . 13-17

**14. Novell Considerations**
14.1. Overview . . . . . 14-1
14.2. UNC Access for Novell Servers. . . . . 14-2
14.3. Planning . . . . . 14-3
14.3.1. Planning what and when to backup . . . . . 14-3
14.3.2. Open files . . . . . 14-3
14.3.3. How you use Novell Features . . . . . 14-3
14.4. The Bindery . . . . . 14-5
14.5. Backing Up Novell Information. . . . . 14-6

14.6. Logging on (Novell Profiles) . . . . . 14-8
14.7. NetWare Directory Services. . . . . 14-13
14.7.1. Planning . . . . . 14-13
14.7.2. Security . . . . . 14-14
14.7.3. Installation . . . . . 14-14
14.7.4. Configuration . . . . . 14-15
14.7.5. USNDS Operations . . . . . 14-16
14.7.6. Backups and Restores of NDS . . . . . 14-16
14.8. Restoring a Complete Server . . . . . 14-18
14.8.1. Restoring a NetWare v3.x Server . . . . . 14-18
14.8.2. Restoring a NetWare v4.x or v5.x Server . . . . . 14-18
14.8.3. Backup/Restore Novell Errors . . . . . 14-19
14.9. FDR/UPSTREAM NLM Version . . . . . 14-20
14.9.1. Installation . . . . . 14-20
14.9.2. Configuration . . . . . 14-22
14.9.3. Advanced Configuration . . . . . 14-24
14.9.4. Novell Profiles . . . . . 14-25
14.9.5. Running the UPSTREAM NLM. . . . . 14-25
14.9.6. Using the UPSTREAM NLM. . . . . 14-26
14.9.7. USSTART Scheduler . . . . . 14-27
14.9.8. Jobs . . . . . 14-27
14.9.9. Additional Notes . . . . . 14-28
14.9.10. ListDisk. . . . . 14-28
14.9.11. Other Programs . . . . . 14-29
14.10. Novell SMS . . . . . 14-30
14.11. UPSTREAM NLM with NetWare for SNA . . . . . 14-33
14.11.1. Node Configuration . . . . . 14-33
14.11.2. Data Link Adapters Configuration . . . . . 14-34
14.11.3. Host Link Configuration . . . . . 14-34
14.11.4. Local LU Configuration . . . . . 14-37
14.11.5. Partner LU Configuration . . . . . 14-39
14.11.6. Mode Configuration . . . . . 14-40
14.11.7. Transaction Program Configuration . . . . . 14-42
14.11.8. Completing the Server Configuration. . . . . 14-43
14.11.9. NWADMIN Configuration. . . . . 14-43
14.11.10. Starting the Attach Manager . . . . . 14-45
14.11.11. Configuring FDR/UPSTREAM.. . . . . 14-46
14.11.12. Notes . . . . . 14-46
14.12. Novell Auto-Recall . . . . . 14-48
14.12.1. Introduction. . . . . 14-48
14.12.2. Migration . . . . . 14-49
14.12.3. The Components . . . . . 14-50
14.12.4. Installation and Configuration of the Server Component . . . . . 14-53
14.12.5. Configuration of the recaller for NLM UPSTREAM . . . . . 14-57
14.12.6. Installation and Configuration of the Recall Component (non NLM UPSTREAM)
14-57
14.12.7. The User Component . . . . . 14-59

**15. IBM LAN Server Considerations**
15.1. UNC Names for OS/2 . . . . . 15-2
15.2. Planning . . . . . 15-3
15.2.1. Planning what and when to backup . . . . . 15-3
15.2.2. Open files . . . . . 15-3
15.3. Backing up system files . . . . . 15-4
15.3.1. IBM LAN Server and Microsoft LAN Manager . . . . . 15-4
15.3.2. HPFS 386 . . . . . 15-4
15.4. Restoring system files . . . . . 15-5
15.4.1. Restoring OS/2 system files . . . . . 15-5
15.4.2. Restoring IBM & Microsoft LAN system files . . . . . 15-5
15.4.3. Restoring HPFS 386 ACLs. . . . . 15-6
15.4.4. Disaster Recovery . . . . . 15-6

**16. Windows NT/2000 Considerations**
16.1. UNC Names for Windows NT . . . . . 16-2

16.2. Planning the Backup/Restore Process . . . . . 16-3
16.2.1. Planning what and when to backup . . . . . 16-3
16.2.2. Open files . . . . . 16-4
16.3. NTFS Daylight Savings Time . . . . . 16-5
16.3.1. Introduction. . . . . 16-5
16.3.2. Setting Time Storage in the UPSTREAM Configurator . . . . . 16-5
16.3.3. Overriding Time Storage in UPSTREAM . . . . . 16-6
16.4. Backing Up a Windows NT Machine . . . . . 16-8
16.5. Enhanced NTFS Support . . . . . 16-10
16.5.1. Mount Points. . . . . 16-10
16.5.2. Compressed Files . . . . . 16-11
16.5.3. Sparse Files. . . . . 16-11
16.5.4. Encrypted Files . . . . . 16-11
16.6. Restoring a Windows NT Machine . . . . . 16-13
16.7. Windows 2000 Active Directory . . . . . 16-14
16.7.1. Backups. . . . . 16-14
16.7.2. Host Initiating Backups and Restores. . . . . 16-16
16.7.3. Restores. . . . . 16-17
16.7.4. Authoritative Restore . . . . . 16-20
16.8. Recovering a Windows NT Machine. . . . . 16-22
16.8.1. Preparing the Disaster Recovery Package . . . . . 16-22
16.8.2. Procedure for Recovering a Windows NT Machine. . . . . 16-23
16.8.3. Using TCP/IP to Recover the Windows NT Target System. . . . . 16-24
16.8.4. Using a SNA Product to Recover the Windows NT Target System. . . . . 16-24
16.8.5. After restoring a Windows NT Server . . . . . 16-24
16.9. Windows NT Registry Restore . . . . . 16-26
16.9.1. Overview. . . . . 16-26
16.9.2. Windows NT Registry Restore Restrictions. . . . . 16-26
16.9.3. Registry Modification WARNING! . . . . . 16-27
16.9.4. How It Works . . . . . 16-28
16.9.5. ULTra . . . . . 16-31
16.10. Microsoft Cluster Server . . . . . 16-32
16.10.1. Overview. . . . . 16-32
16.10.2. Restart Scenarios. . . . . 16-32
16.10.3. All Restart Scenarios . . . . . 16-32
16.10.4. Restart for Failed FDR/UPSTREAM Server . . . . . 16-33
16.10.5. Restart for Failed Target Server . . . . . 16-33
16.11. Unicode Characters . . . . . 16-35
16.11.1. UTF-8 . . . . . 16-37

**17. Banyan Considerations**
17.1. Overview . . . . . 17-1
17.2. Planning . . . . . 17-2
17.2.1. StreetTalk . . . . . 17-2
17.2.2. Complete Server Backup . . . . . 17-2
17.2.3. Security. . . . . 17-3
17.2.4. Planning what and when to backup . . . . . 17-3
17.2.5. Open files . . . . . 17-3
17.2.6. Reducing StreetTalk Information . . . . . 17-3
17.2.7. Restrictions and Warnings . . . . . 17-4
17.3. Backups by StreetTalk name . . . . . 17-5
17.4. Restores by StreetTalk name . . . . . 17-8
17.4.1. Introduction. . . . . 17-8
17.4.2. Version Inquiries. . . . . 17-8
17.4.3. File Inquiries. . . . . 17-10
17.4.4. StreetTalk name Restores . . . . . 17-11
17.4.5. List and Restore . . . . . 17-12

**18. Disaster Recovery**
18.1. Introduction . . . . . 18-1
18.2. When a Disaster Occurs. . . . . 18-2
18.2.1. Recovery of MVS. . . . . 18-2
18.2.2. Recovery of FDR/UPSTREAM MVS . . . . . 18-2
18.2.3. Accessibility of UPSTREAM MVS to your PC Data . . . . . 18-2

18.2.4. Recovery of your Communications Environment . . . . . 18-3
18.2.5. Recovering the PC Hardware and Software . . . . . 18-3
18.2.6. Recovery of your PC or File Server . . . . . 18-4
18.3. Recovery Issues . . . . . 18-5
18.3.1. Novell NetWare . . . . . 18-5
18.3.2. IBM or Microsoft LAN Server or OS/2 Workstations . . . . . 18-5
18.3.3. Microsoft NT and NT Advanced Server. . . . . 18-5
18.3.4. Banyan Vines . . . . . 18-6
18.3.5. Windows 95/98 . . . . . 18-6
18.4. Recovery Worksheets. . . . . 18-7

**19. UPSTREAM/SOS**
19.1 Introduction . . . . . 19-1
19.1.1 Why Use FDRSOS and UPSTREAM/SOS. . . . . 19-1
19.1.2 Introducing FDRSOS. . . . . 19-2
19.1.3 FDRSOS - Summary . . . . . 19-3
19.1.4 Introducing UPSTREAM/SOS . . . . . 19-4
19.1.5 UPSTREAM/SOS - Summary. . . . . 19-6
19.2 UPSTREAM/SOS Setup . . . . . 19-7
19.2.1 Planning the Local Backup Disk . . . . . 19-7
19.2.2 Identify and Label the Local Backup Disk . . . . . 19-7
19.2.3 HOSTDISK (Windows NT) . . . . . 19-9
19.2.4 Format the Local Backup Disk . . . . . 19-10
19.2.5 Identify the Local Backup Disk . . . . . 19-14
19.2.6 Test and Automate Your Backups . . . . . 19-16
19.2.7 Performance Tuning. . . . . 19-16
19.3 FDRSOS Local Backup Admin . . . . . 19-18
19.4 UPSTREAM and FDRSOS. . . . . 19-22
19.4.1 Introduction . . . . . 19-22
19.4.2 FDRSOS Timestamp File . . . . . 19-22
19.4.3 Highlighted Back to FDRSOS Full . . . . . 19-23
19.4.4 UPSTREAM and Physical Disks. . . . . 19-23
19.5 FDRSOS Windows NT Considerations . . . . . 19-24
19.5.1 Introduction . . . . . 19-24
19.5.2 Installation . . . . . 19-24
19.6 Windows NT Volume Sets . . . . . 19-26
19.6.1 Restoring to their original location . . . . . 19-26
19.6.2 Restoring to a different machine . . . . . 19-26
19.6.3 Restoring to a different location on the same machine . . . . . 19-30
19.6.4 Notes . . . . . 19-30
19.7 UNMOUNT.EXE . . . . . 19-31
19.8 REMOUNT.EXE . . . . . 19-33
19.9 HOSTDISK.EXE . . . . . 19-34
19.10 FDRSOS NetWare Considerations . . . . . 19-36
19.10.1 Introduction . . . . . 19-36
19.10.2 Programs . . . . . 19-36
19.11 Backups and Restores . . . . . 19-37
19.12 NWMOUNT.EXE. . . . . 19-38
19.13 NWSERVER.EXE . . . . . 19-39
19.14 Automation . . . . . 19-40
19.15 FDRSOS AIX Considerations. . . . . 19-41
19.15.1 Introduction . . . . . 19-41
19.15.2 Recommended Backup Procedure . . . . . 19-41
19.15.3 Restoring one or more physical disks to original locations . . . . . 19-42
19.15.4 Restoring one or more physical disks to different locations and scratching the original disks. 19-42
19.15.5 Restoring a single volume volume group as a copy on the same system. . . . . 19-43
19.15.6 Restoring a multiple volume volume group as a copy on the same system. . . . . 19-43
19.15.7 hostdisk . . . . . 19-44
19.16 FDRSOS HP-UX Considerations . . . . . 19-46
19.16.1 Introduction . . . . . 19-46
19.16.2 Recommended Backup Procedure . . . . . 19-46
19.16.3 Restoring one or more physical disks to original locations . . . . . 19-47
19.16.4 Restoring one or more physical disks to different locations . . . . . 19-47

19.17 FDRSOS Solaris Considerations . . . 19-49
19.17.1 Warning . . . 19-49
19.17.2 Restoring to an alternate volume . . . 19-49
19.17.3 WARNING ON USE OF RESERVE=YES . . . 19-50
19.17.4 Initializing the Local Backup disk on Sun SPARC . . . 19-50

**20. FDR/UPSTREAM ULTra**
20.1. Overview . . . 20-1
20.2. DOS Workstations . . . 20-2
20.2.1. RESET.EXE . . . 20-2
20.3. OS/2 Workstations . . . 20-4
20.4. Windows Workstations . . . 20-6
20.5. Workstation Messages . . . 20-9
20.5.1. TCP/IP Verification file . . . 20-9
20.6. The FDR/UPSTREAM Requestor Component . . . 20-10
20.6.1. Creating an ULTra Profile . . . 20-11
20.6.2. Using ULTra Profiles . . . 20-12
20.7. The LANCOPY Requestor Component . . . 20-14
20.7.1. Copying files . . . 20-14
20.7.2. Directory Listings . . . 20-14
20.8. Tuning . . . 20-16
20.9. Recovering a Failed OS/2 Workstation . . . 20-18
20.9.1. Performing Regular Backups . . . 20-18
20.9.2. Preparing Recovery Diskettes . . . 20-18
20.9.3. Creating the Recovery Diskettes . . . 20-19
20.9.4. Copying NetBIOS Support Files . . . 20-20
20.9.5. Copying IPX Support Files . . . 20-21
20.9.6. Modifying the CONFIG.SYS (NetBIOS) . . . 20-21
20.9.7. Modifying the CONFIG.SYS and NET.CFG (IPX) . . . 20-22
20.9.8. Copy ULTra . . . 20-22
20.9.9. Testing . . . 20-22
20.9.10. Recovering an OS/2 PC . . . 20-23
20.10. Recovering a Windows 95/98 Workstation . . . 20-24
20.10.1. Create a Recovery Diskette . . . 20-24
20.10.2. Perform a Recovery Backup . . . 20-25
20.10.3. Perform Regular Backups . . . 20-25
20.10.4. Recovering Windows 95 . . . 20-26
20.10.5. Restoring Long File Names . . . 20-26
20.10.6. Restore your Remaining Data . . . 20-26
20.11. ULTra Workstation Compression . . . 20-27
20.12. Additional ULTra Features . . . 20-28

**21. Duplicate Files**
21.1. In Backups.... . . . 21-2
21.2. In Restores.... . . . 21-3
21.3. Maintenance and Notes . . . 21-4

**22. Management**
22.1. Profile Management . . . 22-2
22.2. Profile Configuration . . . 22-4
22.3. Status . . . 22-11
22.4. Reporting . . . 22-15
22.4.1. Running Simple Reports . . . 22-15
22.4.2. Limiting Reports . . . 22-16
22.4.3. How Modifiers Work . . . 22-17
22.4.4. Unattended Reporting . . . 22-17
22.5. Personalizing FDR/UPSTREAM . . . 22-21
22.6. Registration . . . 22-26
22.7. Automatic Updates . . . 22-29
22.7.1. FDR/UPSTREAM Updates . . . 22-29
22.7.2. UNIX notes . . . 22-32
22.7.3. ULTra Updates . . . 22-32
22.7.4. Roll-Back . . . 22-34

**23. File Transfer**
23.1. Using File Transfer. . . . . 23-2
23.1.1. Host Setup. . . . . 23-2
23.1.2. Workstation-to-MVS Transfers . . . . . 23-2
23.1.3. MVS-to-Workstation Transfers . . . . . 23-3
23.1.4. File Transfer Security . . . . . 23-3
23.1.5. File Transfer Dialog . . . . . 23-3
23.1.6. Other File Transfer Features . . . . . 23-6

**24. Physical Disk**
24.1 Introduction . . . . . 24-1
24.2 Physical Disks and FDRSOS . . . . . 24-2
24.2.1 Physical Disk Backups. . . . . 24-3
24.2.2 FDRSOS/Physical Disk Restores . . . . . 24-4
24.2.3 System Requirements . . . . . 24-6
24.2.4 Disaster Recovery . . . . . 24-6
24.2.5 Windows NT ULTra Disaster Recovery Boot Disk Creation Procedure . . . . . 24-7
24.2.6 Notes . . . . . 24-12
24.3 Restores with Differing Geometries/Disk Managers . . . . . 24-14
24.3.1 Overview . . . . . 24-14
24.3.2 Disk Management Issues . . . . . 24-14
24.3.3 Ontrack Disk Manager. . . . . 24-15
24.3.4 Micro House EZ-Drive . . . . . 24-16
24.3.5 Using PartFix.exe. . . . . 24-16
24.3.6 An Interesting PartFix.exe Side Effect . . . . . 24-18
24.3.7 SCSI Disks Vs (E)IDE Disks. . . . . 24-18
24.3.8 Performing a Physical Disk Restore . . . . . 24-19
24.3.9 Summary . . . . . 24-20

**25. ADVANCED CONFIGURATION**
25.1. Advanced Configuration Options . . . . . 25-2
25.2. Setting Configuration Parameters . . . . . 25-6

**26. ADVANCED FDR/UPSTREAM**
26.1. Overview . . . . . 26-1
26.2. Program Return Codes . . . . . 26-2
26.2.1. RETCODE.EXE . . . . . 26-3
26.3. Job Execution . . . . . 26-4
26.3.1. Locally Specifying . . . . . 26-4
26.3.2. Job Return Codes . . . . . 26-6
26.3.3. Windows 95 Jobs . . . . . 26-6
26.3.4. Host Initiation . . . . . 26-7
26.3.5. Killing Jobs . . . . . 26-7
26.4. Requesting a Remote UPSTREAM Function . . . . . 26-8
26.5. User Defined Translation Tables . . . . . 26-12
26.5.1. Building Your Own Translation Tables . . . . . 26-13
26.6. Sequential Disk Size Allocation. . . . . 26-14
26.7. Hard Links . . . . . 26-16
26.7.1. Introduction. . . . . 26-16
26.7.2. Backups. . . . . 26-16
26.7.3. Inquires and Restores . . . . . 26-16
26.7.4. Notes and Warnings . . . . . 26-17
26.8. Fixed Fonts. . . . . 26-18
26.9. Customizing UPSTREAM Screens . . . . . 26-19
26.9.1. Creating a Language File . . . . . 26-19
26.10. USMODIFY . . . . . 26-21
26.10.1. Example 1 - Changing Passwords . . . . . 26-21
26.10.2. Example 2 - Changing several parameters . . . . . 26-21
26.10.3. Notes . . . . . 26-22
26.11. FDR/UPSTREAM Tuning . . . . . 26-23
26.11.1. Program Priority (OS/2 and Windows NT only) . . . . . 26-23
26.11.2. Backup fine tuning . . . . . 26-24
26.11.3. Backup file tuning. . . . . 26-24

26.11.4. Record Packing . . . . . 26-25
26.12. FDR/UPSTREAM Parameters . . . . . 26-26
26.13. Environment Variables . . . . . 26-41

**27. SECURITY CONSIDERATIONS**
27.1. Overview . . . . . 27-1
27.2. User IDs and Passwords . . . . . 27-2
27.3. Personalization . . . . . 27-3
27.4. Remote Security . . . . . 27-4
27.4.1. Remote Users . . . . . 27-5
27.4.2. Notes . . . . . 27-7

**28. Running More Than One Copy**
28.1. Separating Multiple Copies . . . . . 28-2
28.1.1. VTAM configuration . . . . . 28-2
28.1.2. Installing the PC software . . . . . 28-2
28.1.3. Communications configuration . . . . . 28-2
28.1.4. FDR/UPSTREAM Configuration . . . . . 28-3
28.1.5. US.EXE Issues . . . . . 28-3
28.2. Enhanced Multi-User Support . . . . . 28-5
28.2.1. Configuration . . . . . 28-5
28.2.2. Concepts . . . . . 28-6
28.2.3. SNA . . . . . 28-6
28.2.4. TCP/IP . . . . . 28-7
28.2.5. TCP/IP Attach Manager . . . . . 28-7
28.2.6. Host Initiation . . . . . 28-10
28.2.7. Management . . . . . 28-10

**29. UPSTREAM SAR**
29.1 Introduction . . . . . 29-1
29.2 Installation and Configuration . . . . . 29-2
29.2.1 Installation . . . . . 29-2
29.2.2 Configuration . . . . . 29-2
29.2.3 Install and Configure the UPSTREAM Client . . . . . 29-5
29.3 Operations . . . . . 29-6
29.3.1 In a Disaster . . . . . 29-8
29.3.2 Problems . . . . . 29-9

**30. BMC SQL-Backtrack**
30.1 Introduction . . . . . 30-1
30.1.1 Backups to Tape . . . . . 30-2
30.2 UNIX . . . . . 30-4
30.2.1 Using UPSTREAM/SOS Local Backup Disks . . . . . 30-6
30.2.2 Automation . . . . . 30-6
30.3 Windows NT . . . . . 30-7

**31. IBM DB2 (Universal Database)**
31.1 Installation and Configuration . . . . . 31-2
31.2 Automation . . . . . 31-6
31.3 Recovery . . . . . 31-7
31.4 (UNIX) Using UPSTREAM/SOS Local Backup Disks . . . . . 31-8

**32. Lotus Notes**
32.1 Creating a Notes Incremental . . . . . 32-2
32.1.1 Process . . . . . 32-2
32.1.2 LNINCR.EXE . . . . . 32-2
32.1.3 An example backup scenario . . . . . 32-4
32.1.4 An example restore scenario . . . . . 32-4
32.1.5 Using Domino . . . . . 32-5
32.1.6 UNIX Domino Servers . . . . . 32-5
32.1.7 UNIX fulls/PC Incrementals . . . . . 32-6
32.1.8 Using the PC for both fulls and incrementals . . . . . 32-6

32.1.9 Monitoring . . . . . 32-7
32.1.10 USEXTPWD.DLL . . . . . 32-7
32.1.11 Migrating Old Notes . . . . . 32-8
32.1.12 Applying Notes Incrementals . . . . . 32-8
32.2 Lotus Notes R5 . . . . . 32-11
32.2.1 Transaction Logging . . . . . 32-11
32.2.2 Steps . . . . . 32-11
32.2.3 Domino Administrator Setup . . . . . 32-12
32.2.4 UPSTREAM Plugins . . . . . 32-13
32.2.5 Restores . . . . . 32-15
32.2.6 Errata . . . . . 32-16

**33. Microsoft Exchange**
33.1 Microsoft Exchange Backups . . . . . 33-2
33.1.1 Overview . . . . . 33-2
33.2 Installation and Configuration . . . . . 33-2
33.2.1 Prerequisites . . . . . 33-2
33.2.2 Planning . . . . . 33-2
33.2.3 Microsoft Exchange Configuration . . . . . 33-3
33.2.4 FDR/UPSTREAM Configuration . . . . . 33-3
33.3 Usage . . . . . 33-7
33.4 Operation . . . . . 33-10
33.5 Host Initiation of MSExch.EXE . . . . . 33-11
33.6 MS Exchange and UPSTREAM/SOS . . . . . 33-13
33.7 Additional Information . . . . . 33-15
33.8 Backup and Restore of Individual Microsoft Exchange Objects . . . . . 33-17
33.8.1 Overview . . . . . 33-17
33.8.2 MSExchMB and FDR/UPSTREAM . . . . . 33-17
33.8.3 The DS, MDB and MSExchMB Hierarchies . . . . . 33-17
33.8.4 MSExchMB Object Hierarchy and Files . . . . . 33-20
33.9 Configuration . . . . . 33-22
33.10 Usage . . . . . 33-26
33.11 Operation . . . . . 33-32
33.12 Host Initiation of MSEXCHMB . . . . . 33-33

**34. Microsoft SQL Server**
34.1 Overview . . . . . 34-1
34.2 Installation and Configuration . . . . . 34-2
34.2.1 Prerequisites . . . . . 34-2
34.2.2 Planning . . . . . 34-2
34.2.3 Microsoft SQL Server Configuration . . . . . 34-4
34.2.4 FDR/UPSTREAM Configuration . . . . . 34-8
34.3 Usage . . . . . 34-12
34.4 Operation . . . . . 34-14
34.5 Host Initiation of MSSQL.EXE . . . . . 34-15
34.6 SQL Server Restores . . . . . 34-17
34.6.1 Restoring the Master Database . . . . . 34-17
34.6.2 Restoring the Msdb Database . . . . . 34-19
34.7 MS SQL Server and UPSTREAM/SOS . . . . . 34-20

**35. Oracle**
35.1 File Level Backups . . . . . 35-2
35.1.1 Overview . . . . . 35-2
35.1.2 Establishing Your Archive Mode . . . . . 35-2
35.1.3 Selecting Your ORACLE BACKUP Mode . . . . . 35-3
35.1.4 Selecting Your UPSTREAM BACKUP Mode . . . . . 35-3
35.1.5 Identifying ORACLE Database Components . . . . . 35-4
35.1.6 Backing Up Your ORACLE Database . . . . . 35-4
35.1.7 Database Backups - Overview . . . . . 35-5
35.1.8 OFFLINE Database Backup . . . . . 35-5
35.1.9 ONLINE Database Backups (ARCHIVELOG Mode ONLY) . . . . . 35-8
35.1.10 Tablespace OFFLINE Backups . . . . . 35-8
35.1.11 Tablespace Online Backups . . . . . 35-12

35.1.12 REDO LOGS and Control File Backup . . . 35-15
35.1.13 Recovering Your ORACLE Database . . . 35-18
35.1.14 NOARCHIVELOG (OFFLINE) Mode Re**covery** . . . 35-18
35.1.15 ARCHIVELOG (Online) Mode Re**covery** . . . 35-20
35.2 Oracle Media Manager Support . . . 35-22
35.2.1 Installation . . . 35-22
35.2.2 Windows NT Installation . . . 35-22
35.2.3 UNIX installation . . . 35-23
35.2.4 Configuring FDR/UPSTREAM for Recovery Manager . . . 35-24
35.2.5 Using Recovery Manager With UPSTREAM . . . 35-25
35.2.6 Error Reporting . . . 35-26
35.3 Backup Management Suite for Oracle databases . . . 35-30
35.3.1 Overview . . . 35-30
35.3.2 FDR/UPSTREAM configuration . . . 35-30
35.3.3 UNIX considerations . . . 35-31
35.3.4 Backup Management Tool (GUI interface) . . . 35-32
35.3.5 Prerequisites . . . 35-32
35.3.6 Start up and configuration . . . 35-32
35.3.7 Database connection parameters . . . 35-33
35.3.8 Configuration settings . . . 35-34
35.3.9 Working with Oracle Backup Management Tool . . . 35-36
35.3.10 Database Backups . . . 35-36
35.3.11 Creating a backup schedule . . . 35-39
35.3.12 Performing a database media recovery . . . 35-41
35.3.13 Open Database Offline-Tablespace Recovery . . . 35-42
35.3.14 Full closed database recovery . . . 35-45
35.3.15 Scheduling utility (USORMGR) . . . 35-46
35.3.16 Backup utility (USORBACK) . . . 35-48
35.3.17 Restore utility (USORREST) . . . 35-50
35.3.18 Database connect parameters (CONNSTR) . . . 35-51
35.3.19 Additional considerations for using command line utilities . . . 35-51
35.3.20 Automation of Oracle Database Backups . . . 35-53
35.3.21 Parallel Backups and Multiple Databases . . . 35-54

**36. SAP R/3 Support**
36.1 Introduction . . . 36-1
36.2 Installation and Configuration . . . 36-2
36.2.1 Host Backup Profile Configuration . . . 36-3
36.3 Backups and Restores . . . 36-5
36.3.1 Automating your backups . . . 36-5
36.3.2 Restores . . . 36-7
36.3.3 Problems . . . 36-7
36.3.4 Internals . . . 36-7

**37. Errors**
37.1. Overview . . . 37-1
37.2. Problem Reporting . . . 37-2
37.3. How Messages are Displayed . . . 37-3
37.4. The Message Log . . . 37-5
37.5. USLOGCLR (Clearing the Log) . . . 37-6
37.6. The Message File . . . 37-7
37.7. DOS Memory . . . 37-9
37.7.1. USCMD.EXE . . . 37-9
37.8. TCP/IP Messages . . . 37-11
37.9. Operating System Messages . . . 37-12
37.10. Novell Errors . . . 37-13
37.11. NetBIOS Errors . . . 37-36

**38. FDR/UPSTREAM Messages**

# 1 INTRODUCTION

## 1.1 Summary of Changes in This Release

The following summarizes the changes in this and the prior release. A complete listing of changes are discussed in the update notes available on the Innovation FTP site (accessible through www.fdr.com). Note that all releases include minor modifications and program fixes.

### 1.1.1 Version 3.1.2

FDR/UPSTREAM Workstation/Server v3.1.2 release is a major release with a number of new features and problem resolutions. Versions 3.1.1a and 3.1.1b were released as beta only.

New features include:

- **Hard link support.** UNIX and NTFS supports the ability to have multiple entries in the file system for a single file on disk - hard links. FDR/UPSTREAM in prior versions would back it up as multiple files. UPSTREAM now recognizes them as hard links and thus performs faster and more correct backups and restores.
- **Enhanced Client Security.** Remote requests can now be filtered by remote IP address/LU name and can also require a login to the system. New installations require that clients performing remote requests must login.
- **Enhanced NTFS Support.** FDR/UPSTREAM now supports a number of Window NT/2000 features including mount points, compressed files, sparse files, and encrypted files

### 1.1.2 Version 3.1.1

Version 3.1.0b was released only in development versions. Version 3.1.1 is a major release of FDR/UPSTREAM which includes a number of major and minor features and bug fixes. Some of the major features include:

- **Windows 2000 Active Directory.**
- **Lotus Notes R5 Support:** FDR/UPSTREAM now supports Notes R5 databases using the Lotus Notes backup API. This means that UPSTREAM can perform on-line full backups of databases as well as backups of Notes transaction logs - essential if you are using transaction logging (which Lotus highly recommends).
- **Oracle Media Manager support:** FDR/UPSTREAM can now operate as an Oracle Media Manager Library product which allows it to be used with Oracle Recovery Manager (Oracle 8) or Oracle Enterprise Backup Utility (Oracle 7).
- **FDR/UPSTREAM Backup Management Suite for Oracle databases.** If you wish to avoid the complexity of Oracle's Recovery Manager but need more control and flexibility than simple file

backups of Oracle databases, the FDR/UPSTREAM Backup Management Suite for Oracle databases may be your solution of choice.

### 1.1.3 Version 3.1.0

Version 3.0.1i was only released in beta. v3.0.1j contained only minor modifications and fixes. v3.0.1k was released only in beta. Significant changes in v3.1.0 include:

- GUI interface for applying Lotus Notes Incrementals. There are new features in the Lotus Notes incremental program as well.

Significant new beta features are documented in the update notes include:

- UPSTREAM SAR. You can now restore your UPSTREAM/MVS created backup tapes using a Windows NT attached SCSI tape drive.
- Microsoft Exchange Backup and Restore of Individual Objects. You can now use FDR/UPSTREAM to backup and restore individual objects, mailboxes and folders.
- Tru64 support (DEC Unix).

# 1.2 Overview

Congratulations! You have purchased security for your workstation or server that is second to none. FDR/UPSTREAM will assure that your valuable data is stored where it is safest; on your MVS mainframe. FDR/UPSTREAM's advanced features also make it ideal for software and data distribution, as well as file transfer.

Enterprise computing is a new far-reaching technological phenomenon. Companies are taking a close look at their "total" computing resources. Those that find ways to get the "parts" that comprise this "total" to work together, will emerge with a dramatic competitive edge.

Innovation Data Processing, with its FDR/UPSTREAM Unattended Backup Software, has taken the practical point of view for integrating open architecture, and internetworking within a cooperative processing environment. Now workstations, network file servers, and UNIX systems can backup and restore their respective hard disks to a MVS mainframe. FDR/UPSTREAM makes disaster recovery for workstations, networks and UNIX systems a reality today.

# 1.3 UPSTREAM Features

FDR/UPSTREAM is a state-of-the-art communications application. Its many features include:

- **Multiprotocol**

  FDR/UPSTREAM can use both SNA/APPC (Advanced Program-to-Program Communications) or TCP/IP to transmit data. APPC is IBM's communications component of SAA (System Applications Architecture), their blueprint for the future. And only APPC applications can take advantage of APPN (Advanced Peer-to-Peer Networking), IBM's networking architecture of the future.

  TCP/IP is the communications architecture for the Internet and is the open protocol of the future. FDR/UPSTREAM gives you the choice of both.

- **Unattended Operation**

  You can set up FDR/UPSTREAM to run at any combination of times. Backups and restores can be workstation scheduled to run daily, weekly, monthly, quarterly, and yearly in virtually unlimited numbers of combinations. If you leave your machine running, FDR/UPSTREAM will run, saving the current application and restoring it as if nothing had happened.

  Backups and restores can be started by host batch jobs which can be integrated into your existing scheduling system allowing totally unattended operation. You can even start backups or restores from other workstations or servers!

- **Fast**

  FDR/UPSTREAM uses APPC and TCP/IP, and due to its unique, efficient architecture, FDR/UPSTREAM is the fastest micro-to-mainframe communications facility available today. Selective levels of compression combined with our unique Merge backup and duplicate file suppression facilities assure fast transfers on even slow links.

  The advanced Merge backup facility allows FDR/UPSTREAM MVS to construct a complete full backup without the workstation having to read or send all the files resulting in extraordinary performance. Using a sophisticated technique, the workstation sends a "picture" of the drive(s) to be backed up to the MVS software which uses prior backups plus changed files to construct the fulls. This technique is completely safe as it properly reflects deleted files, changed files, renamed files, and just about anything else.

  To improve restore performance, FDR/UPSTREAM supports local storage of backup data which allows much faster restores while maintaining the advantages of centralized control.

  FDR/UPSTREAM on MVS is a native VTAM or TCP/IP application written entirely in assembler. This provides the fastest possible transfers as well as MVS CPU efficiency.

- **Duplicate Files**

  Merge backups include a duplicate file transmission suppression facility. Often similar files are duplicated on many machines across your enterprise. These include operating system files, word processors, and other software packages. FDR/UPSTREAM can be set up to recognize certain files as duplicates not transmit them saving transmission time, and optionally reducing host storage requirements.

  Determination of duplicate files can be performed manually, by manually backing up the files which are known to be duplicates to our duplicate file database. You can also activate our unique automated method which will identify duplicate files for you automatically.

If you store your duplicate files in our host repository and have duplicate files across your disk or server, FDR/UPSTREAM MVS will send only one copy of the file to the workstation/server and it will be written to all of its locations on the disk or server. This duplicate file restore facility reduces transmission time and is a unique feature of FDR/UPSTREAM.

### ❑ Efficient

FDR/UPSTREAM can store your workstation data on the mainframe in compressed format. This conserves mainframe disk space. FDR/UPSTREAM can also write your data directly to tape for long term storage. If your mainframe shop has little free disk space available, your data remains safe.

### ❑ Secure

FDR/UPSTREAM interfaces to the most common mainframe security systems including RACF, ACF2 and TOPSECRET. This level of security is unavailable with any pure workstation backup system.

### ❑ LAN Aware

A wide variety of PC LAN server file systems are supported including:

- **Novell NetWare®**: FDR/UPSTREAM provides comprehensive support for NetWare 3.x, 4.x, and 5.x including special NetWare file and directory characteristics, bindery files, NetWare Directory Services, trustee rights, directory restrictions, unattended login and more... It is Novell SMS capable and carries the Yes/Approved label for NetWare v5.
- **Microsoft Windows NT and Windows/2000®**: Support includes Active Directory, backup/restore of long file names, registry hives, system log files, enabling of privileges and more...
- **IBM LAN Server®**: FDR/UPSTREAM provides comprehensive support for all versions of IBM LAN Server with backup/restore capabilities of file and directory extended attributes, HPFS386 ACLs and more...
- **Banyan Vines®**: Banyan support includes backup and restores of StreetTalk®database information as well as file and directory access rights lists support. You can back up StreetTalk names and files within file services with a single specification, using wildcards and StreetTalk names (including entire servers). Restores are simple and user friendly for both StreetTalk names and files. Services, users, profiles, security and more can be backed up and restored easily.

### ❑ PC Workstations

FDR/UPSTREAM can back up your data through your TCP/IP, Novell or NetBIOS LAN. You only need to install the complete FDR/UPSTREAM product on a single workstation to provide *all* of the workstations in your internetwork with the advantages of FDR/UPSTREAM backup. The FDR/UPSTREAM ULTra (UPSTREAM LAN Transport) facility contacts LAN attached workstations using advanced IPX/SPX, NetBIOS or TCP/IP peer-to-peer communications, reducing configuration, installation and training time. Profiles can be set up which allow you to automate backups and restores for groups of workstations with a single workstation or host controlled command. Compression is performed by the workstation to improve performance.

The FDR/UPSTREAM ULTra version also includes a program to perform workstation-to-workstation copies (LANCOPY) with the ease of XCOPY and the advantages of workstation-to-workstation copies not having to go through the server. LANCOPY will also allow directory listings of remote workstations showing *all* files including system and hidden files.

In many cases ULTra is so fast and comprehensive that it can be used to backup your servers as well as workstations.

### ❑ Multi-platform support:

FDR/UPSTREAM operates on a variety of operating systems platforms. Each version supports the base UPSTREAM functions of merge backups, single file, directory and full volume restore and more.

- **Windows NT/2000**: Virtually all of the Windows NT specific features are supported including long file names, HPFS and NTFS file systems, Unicode file names, registry hives, system event logs, security ACLs, alternate data streams and more... You can even run FDR/UPSTREAM as a service.
- **Unix**: FDR/UPSTREAM UNIX, which supports the popular AIX (IBM), HPUX (HP) and Solaris (Sun) variants (SPARC and Intel), Compaq Tru64 as well as IBM's Unix Systems Services on OS/390 platforms, is a native UNIX application allowing backups and restores of applications files and character special devices on all three primary AIX hardware platforms (Power-1, Power-2 and PowerPC), RISC Sun and HP platforms, Intel Solaris and System 390 mainframes. It also contains support for symbolic and hard links, preservation of the last access date, support for SNA Server (AIX) and TCP/IP and more...
- **Novell NLM:** FDR/UPSTREAM can run directly on Novell servers backing up NetWare data using Novell's SMS or with direct calls. FDR/UPSTREAM is NetWare certified and can back up servers from v3.11 through v5.1.
- **DOS**: Besides the base functions of UPSTREAM, the DOS version is optimized for low-memory use, supports Novell, provides a sophisticated scheduler that clears memory before running UPSTREAM, supports FDR/UPSTREAM ULTra and more...
- **OS/2**: FDR/UPSTREAM OS/2 is a 32-bit Presentation Manager product that provides a graphical user interface, backs up and restores system files, includes workstation/server recovery tools, supports virtually every server system that can be connected to OS/2 and more...
- **Windows**: FDR/UPSTREAM Windows supports Windows 3.1, Windows for Workgroups and Windows 95/98. It includes support for backups/restores of Novell and Banyan file servers, the WINSOCK TCP/IP interface as well as virtually all available SNA/APPCs, ULTra clients and servers and more...

### ❑ File Transfer

FDR/UPSTREAM allows you to transfer native files between your workstation/server and your host. Its extensive support includes text and binary files, a choice of host disk or tape, a variety of host file formats including GDGs, PDS members, flat files and more makes FDR/UPSTREAM a powerful tool for interchanging data between your different computer systems.

With its blazing speed and unattended nature, FDR/UPSTREAM allows you to easily integrate data on your different systems into your operational needs.

### ❑ Controllable

FDR/UPSTREAM has 4 ways that file data can be stored on the host:

- Directly to host tape (without having to be staged through a host file)
- SMS controllable disk files
- In a VSAM repository
- Batch archive to tape

Your data, where you want it.

You can also delete backups from a workstation, allowing a workstation administrator total control of his data.

- **Safe**

  With FDR/UPSTREAM your mission critical data is saved on the most safe and secure repository in your company, your MVS mainframe.

- **Data Sharing and Software Distribution**

  When your data is stored in a location common to all users, other users can easily retrieve it (subject to security constraints). Since FDR/UPSTREAM is so fast and easy to use, many of your data sharing and distribution requirements are easily solved.

  FDR/UPSTREAM's fast, secure and unattended nature makes it ideal for software distribution. Since restores can be automated (with local or remote control), workstation users can backup their critical applications nightly, and at the same time retrieve new software and data updates.

  Workstation batch files can be run by remote control, further facilitating distribution and installation of data and software.

  An automatic update facility is included within FDR/UPSTREAM so that it's own workstation software can be automatically updated with fall back and sophisticated administrator control.

- **Restart/Recovery**

  FDR/UPSTREAM can restart a failed backup or restore that was terminated due to communications line failure, host unavailability, or just about anything else... at the point it failed. For backups, FDR/UPSTREAM also can remember files that were unavailable due to LAN data sharing issues, and retry those files as well.

- **Easy to Use**

  Because FDR/UPSTREAM is transparent in normal operation, there is nothing for a user to maintain on a day-to-day basis. A system administrator can set up a FDR/UPSTREAM backup system in a matter of minutes, not hours. The CUA screens are familiar and simple to use with point and shoot operation, thereby allowing you to select the backup or restore versions and files quickly and easily.

- **Intelligent**

  FDR/UPSTREAM always remembers where your data is. If you write your data to tape, FDR/UPSTREAM will remember exactly which tape it is stored on, thereby completely eliminating another user record keeping headache.

  FDR/UPSTREAM can *automatically* restore your files back to a specific date, intelligently combining restores of full and incremental backups.

- **Incremental Backups**

  FDR/UPSTREAM checks the archive bit before sending files from the workstation to MVS, thereby allowing you to send only changed files to the mainframe. UPSTREAM allows you to reset archive bits at your discretion allowing differential backups which provides even greater flexibility.

- **Verifiable**

  FDR/UPSTREAM logs backups, restores, communications failures, failed files, statistics, and just about every other significant event on BOTH sides of the operation. This ensures that both the workstation user and the mainframe administrator can monitor, control and verify every aspect of backups and restores.

  Workstation reporting includes all files backed up and restored, files deleted, as well as version and file information requested from the host.

The FDR/UPSTREAM MVS reporting facility is available from a workstation allowing complex, comprehensive reports showing the status of all operations performed, all backups, sequential disk utilization, and more. Reports are displayed on the PC and written to a text file for later viewing and manipulation.

### ❑ True Migration

FDR/UPSTREAM can be used for true archiving because your data is stored on your company MVS mainframe. As free disk space on workstations and LAN servers become critically low, you can use FDR/UPSTREAM to back up those files which are rarely used and then automatically delete those files which have been successfully backed up. Inquiries and restores of migrated files is easy and trouble-free.

FDR/UPSTREAM can automatically detect those files which have not been accessed for a given amount of time and automatically include them in a backup. Combining this process with the deletion process, you have true Grooming.

FDR/UPSTREAM can even automatically recall files from the host when a user accesses them on your Novell file server. This auto-recall facility gives you true mainframe like storage management on your file servers.

### ❑ FDRSOS Integration

There are a number of powerful features available for FDRSOS licensees:

- FDRSOS Physical Disk Local Backup. If FDR/UPSTREAM on your workstation/server and FDR/UPSTREAM MVS share a common EMC disk, FDR/UPSTREAM can use this physical disk for storage of data immediately available to the host, without transmission over the network. This vastly improves performance and reduces network overhead.
- FDR/UPSTREAM can be used in conjunction with FDRSOS to provide file level restores and restores of incrementals since your last FDRSOS full.
- FDR/UPSTREAM can be used to restore your FDRSOS backups if your disaster site does not have the appropriate hardware/software or you wish to restore your FDRSOS backups to a non-EMC disk.

### ❑ Physical Disk Backups/Restores

FDR/UPSTREAM can perform backups and restores of your entire disk for disaster recovery purposes. When used in conjunction with standard FDR/UPSTREAM backups/restores you have the advantages of high speed complete system disaster recovery. It can even be used with ULTra for a single diskette complete system recovery solution

### ❑ Central Control

With FDR/UPSTREAM storing workstation data centrally on your MVS mainframe, all the advantages of central storage including security, data sharing, and disaster recovery are realized while continuing to enjoy the performance, ease of use and other benefits of decentralized computing.

Backups or restores can be initiated by MVS batch jobs or a central workstation, allowing you control of your environment without user intervention.

You can optionally synchronize your workstation or file server’s date and time to the mainframe’s date and time assuring that all of your computers stay on track.

### ❑ Job Execution

FDR/UPSTREAM can run programs and batch jobs on the workstation/server using local or host control. This allows you to integrate your backup and recovery plans with existing databases and other applications.

Host jobs can also be submitted under workstation/server control. This helps integrate such functions as FDRSOS into FDR/UPSTREAM processing.

- **Databases**

  FDR/UPSTREAM includes support for a number of databases including Oracle, SAP, DB/2, Microsoft SQL Server, Lotus Notes, and Microsoft Exchange. It also supports BMC's SQL-Backtrack allowing UNIX system backups of Oracle, Sybase and Informix as well as Windows NT backups of Oracle.

- **Complete**

  FDR/UPSTREAM maintains all the information about the file. The file date and time, its original size and attributes are all maintained transparently.

# 1.4 What is FDR/UPSTREAM Workstation

FDR/UPSTREAM Workstation consists of several programs, each with their respective functions. Only the most significant programs are mentioned here. As a whole UPSTREAM allows you to perform backups, restores, software distribution, data sharing, file transfer and a variety of other useful benefits.

### 1.4.1 FDR/UPSTREAM (US.EXE)

This is the main FDR/UPSTREAM program. In Windows, Windows NT and OS/2 it is a graphical facility and in DOS and UNIX a full screen character based program with an interface very similar to Microsoft Windows®. It is fully controllable with parameter files and from the command line, and allows you to easily automate to whatever extent you choose, for backups, restores, data inquiry, profile management, configuration and file transfer.

This is the program that provides the main user interface, performs the communications, logs events, allows inquiries and many other features.

The user interface for backups and restores, besides allowing you to perform “ad hoc” functions, also allows you to save this information to parameter files which can be used for later backups or restores, or for unattended backups or restores. With US.EXE you can save as many of these as you wish, and retrieve or modify them at your will.

FDR/UPSTREAM’s inquiry functions allow you to communicate with the mainframe to see exactly the parameters that you specified with a backup. Once you have chosen a backup to view or restore, you can also see which files are actually available for restore with their dates, times and other DOS directory displays. If you have archived your information to tape, a tape mount will not have to be done for inquiries, thus easing the load on the data center operations staff.

### 1.4.2 Configurator (USCFG.EXE)

The configurator provides a way of specifying SNA or TCP/IP parameters for establishing communications connections and various other FDR/UPSTREAM parameters. It also allows you to specify Schedules and Personalization.

Schedules are specific dates and times that automatic backups occur. You can specify a very large number of schedules, thus allowing you to use FDR/UPSTREAM to perform a variety of functions. You could specify some schedules for automatic backups (incremental daily’s, complete weekly’s), some schedules for automatic restores (for software or other data distribution), and other schedules for data sharing. With FDR/UPSTREAM... the control is yours.

Personalization allows you to restrict access to specific UPSTREAM function (backups, restores, etc.), limit access to specific backup profiles or even specific directories. Personalization profiles can be set up to be multi-user aware and follow a user across workstations on a network.

### 1.4.3 Unattended Operations (USSTART.EXE)

Unattended operations are performed in DOS by a TSR (terminate and stay resident) program and in Windows, Windows NT, OS/2 and UNIX by a small control program. We recommend that you include this program in your system startup files (AUTOEXEC.BAT, STARTUP.CMD, etc.).

When USSTART loads, it reads the configuration file created by the configurator and memorizes the schedules specified. It then calculates the next schedule and waits for it to occur. In DOS, there is a hot-key (ALT-U) which allows you to see the next time UPSTREAM will run automatically.

When it comes time to run FDR/UPSTREAM, USSTART displays a screen which allows you to skip this schedule if you are using your machine. Otherwise (in DOS), your ENTIRE application will be saved (including graphics screens) and FDR/UPSTREAM will be run with the parameter file you specified. When FDR/UPSTREAM returns, it calculates the next schedule to run, and restores your application for use.

### 1.4.4 Automated Novell Logins and ULTra Profiles (SETNOV.EXE)

For the LAN version using Novell NetWare® profiles can be defined, which allow you to automate SUPERVISOR access to file servers in a safe, secure manner. SETNOV.EXE is a program which allows you to define multiple server logins.

SETNOV can also be used to define ULTra profiles. These are groups of workstations which can be identified and backed up using a single UPSTREAM request. SETNOV also displays the ULTra workstations which are currently active on the LAN.

### 1.4.5 End-User Restores

FDR/UPSTREAM End-User Restores is a Java based facility which allows inquiry and restores of data stored on a local or remote system. Since it is a Java application it will run on almost any operating system platform and presents an Explorer-like familiar interface.

### 1.4.6 FDR/UPSTREAM ULTra (ULTra and LANCOPY)

If you have a TCP/IP, Novell or NetBIOS LAN, and have purchased the FDR/UPSTREAM ULTra version, you can direct FDR/UPSTREAM requests to go to workstations which have installed ULTra. ULTRA is a small TSR (about 20K) in DOS and a small control program in Windows, OS/2 and Windows NT which services file access requests across the LAN using IPX/SPX, NetBIOS or TCP/IP. Requestors which can use ULTRA's facilities include FDR/UPSTREAM (for backups, restores, as of...restores, clock setting, etc.), and LANCOPY which allows workstation-to-workstation file copies without going through a server.

### 1.4.7 FDR/UPSTREAM Novell Auto-Recall

The FDR/UPSTREAM Auto-Recall facility is a complex set of server programs (USRECALL.NLM), programs on the FDR/UPSTREAM machine (NWRECALL.EXE) and optionally notification programs on the workstation (USNOTIFY.EXE) which provide transparent, unattended automatic recalls of migrated files. See the Novell and Migration chapters for more information.

# 1.5 FDR/UPSTREAM's Architecture

UPSTREAM on MVS maintains a repository for all backups. This repository contains all the backup information that you specified, information about the files (including file dates/times, attributes and the like), and the file data itself.

Backups are separated in several ways, hierarchically:

- Backup Profiles. These are user specified ways of identifying groups of backups. You can have many backup profiles for each machine, or limit it to one.
- Version Dates. Each backup is identified by a version date. This is the date and time (on MVS) that the backup was originally created. Combined with the backup profile, version dates allow you to identify a particular backup.
- File specs. Each backup can have one or more file specs. A file spec is a file specification combined with other information. This allows you to be able to backup whole drives, partial directories, some files, or one file and have them grouped together. File specs can also be used to exclude files or specify migration options.

Backup file data can be stored in four different ways on the host:

- Direct to tape. Each backup is written directly to tape. This allows for the smallest amount of mainframe disk storage at the cost of a limited number of concurrent backups or restores.
- Sequential disk files. Each backup is stored in a separate disk file which can be controlled by SMS or any other migration facility. This provides high backup performance and integration with existing services at the cost of temporary disk space.
- In a VSAM KSDS repository (Keyed/Duplicate). This provides high transfer performance, at the cost of mainframe disk space. This backup type is used with the predefined backup profile name USTDUPFL as the duplicate file database. Except for duplicate file handling, we do not recommend using Keyed backups because it doesn't support the merge facility.
- Archived to tape (Archive). All data is stored in the VSAM repository and then later off-loaded to tape. This provides high backup performance and good tape management at the cost of temporary mainframe disk space. We do not recommend using Archive backups because it doesn't support the merge facility.

Selective or complete inquiries or restore can be retrieved easily by a user from the FDR/UPSTREAM workstation screens.

# 1.6 Backup Strategies

There are virtually an infinite number of ways to integrate FDR/UPSTREAM into your workstation-to-host backup strategy. The merge backup facility is the easiest to use and the most powerful.

Merge backups allow FDR/UPSTREAM workstation to send a fraction of the total data on a disk (only slightly more than an incremental backup) and still end up with a complete full backup. Using a sophisticated technique, the workstation sends a "picture" of the drive(s) to be backed up to the MVS software which uses prior backups plus changed files to construct the fulls.

Advantages:

- A full backup is created with the workstation only having to send a tiny fraction of the total data.
- Easier to use, understand and manage. The beauty of a merge backup is that all the complexity is behind the scenes; it is actually as easy or easier to use than non-merge backups.
- If you are using tapes for incremental backups, you use fewer tapes.

A single backup profile name is used for full and incremental backups. It is recommended that this single profile represent a single, unchanging group of file specs (a single server, a single workstation disk, etc.). The facility is flexible enough for you to be able to add or remove drives, however it is not recommended that you use a profile for more than one entity.

The technique requires that you perform a first-time baseline full backup of the file specifications that you wish to maintain. In this backup you do transmit all the files. Once you have this full backup, you only perform incremental merge and full merge backups.

Incremental merge backups are backups where only the changed files are transmitted to the host. The first incremental merge backup after a full backup begins a new tape or disk file; subsequent incremental merge backups to tape can be appended to previous incremental backups. Subsequent incremental merge backups to disk create new host files.

Full merge backups are appended to the end of the incremental backup file (if the backup is on tape), or a new file is created (if the incremental backups are on disk). The workstation sends all the changed files as well as the directory entries for all files which it does not believe have been changed. FDR/UPSTREAM MVS then examines this list of files, retrieves from old backups (the last full or any of the prior incrementals) files which haven't changed, and requests from the workstation files which it doesn't have.

Before FDR/UPSTREAM will use a file from a previous backup, it will verify a match of the complete, qualified file name, the last modified date and time, and the file size. If any one of these conditions do not match, the host software will request a transmission of the file from the workstation. The result is a complete full backup without the workstation software having to read or send the vast majority of the data, and deleted files are properly reflected.

The following scenarios should help you understand the process.

### 1.6.1 Scenario #1: Full and Incremental Backups to Tape

Figure 2- shows a diagram of how a tape only system would work. This scenario's advantages are :

- No intermediate disk requirements. Data goes directly to tape without having to be staged through disk. This saves on host disk space.
- Good for large volumes of data.
- Only one tape is created per backup cycle (usually weekly). This saves on tape management.

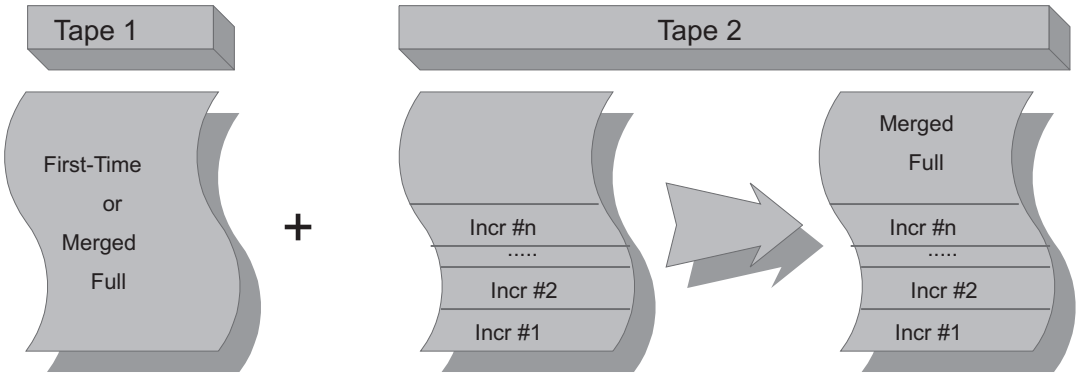



**Figure 1-1**
**Full and Incremental Backups to Tape**

When you run your first-time full backup, a new tape is created which holds all the data on your workstation or server (tape 1 in the figure). The first incremental after a full creates a new tape (tape 2 in the figure). Subsequent incrementals are appended to the end of the tape volume.

After your first-time full backup, subsequent full volume backups are merge full backups. In a merge full backup the workstation sends up all the files changed since the last incremental as well as a directory listing. The tape holding the prior full backup is mounted (tape 1) as well as the tape holding the incremental data (tape 2).

Any files that have not been changed will be copied from tape 1 to tape 2 unless they are in the incremental on tape 2 already. The files which were in the prior incrementals on tape 2 are recorded as being part of both the full and incremental backups. The host software then requests any files which could not be matched.

Note that if you are using retention periods for host tapes, the period begins with the first incremental. You will want to allow for this in your planning.

The result is a new full backup tape which will be used as the source for the next full backup.

### 1.6.2 Scenario #2: Full Backups on Tape and Incrementals on Disk

Figure 2- shows a diagram of how a system where full backups are stored on tape and incremental backups are stored on disk would work. You may want to choose this option if you have sufficient host disk space and do not wish to mount the backup tapes each day. In addition, recovery is quicker for incremental data.

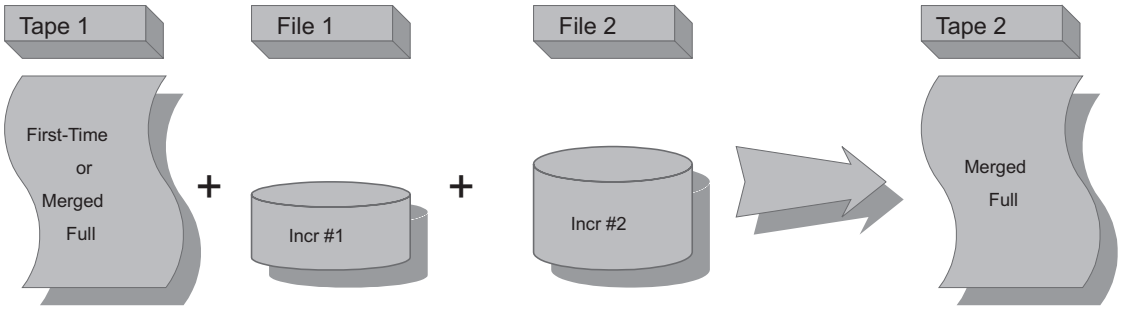



**Figure 1-2**
**Full Backups on Tape, Incrementals on Disk**

When you run your first-time full backup, a new tape is created which holds all the data on your workstation or server (tape 1 in the figure). The first incremental creates a file on disk (file 1). Subsequent incrementals create new files on disk.

When you run a merge full backup, the tape holding the prior full backup is mounted (tape 1) as well as the new tape for output (tape 2). The workstation sends up all the files changed since the last incremental as well as a directory listing.

The host software then copies the workstation files which were requested in the workstation directory listing from the incrementals or its most current backup on the last full (tape 1) to the new full (tape 2). The host software then requests any files which could not be matched.

The result is a new full backup tape which will be used as the source for the next full backup.

### 1.6.3 Scenario #3: Full and Incremental Backups on Disk

Figure 2- shows a diagram of how a disk only system would work. You may want to use this scenario for small backups or where restore speed is important.

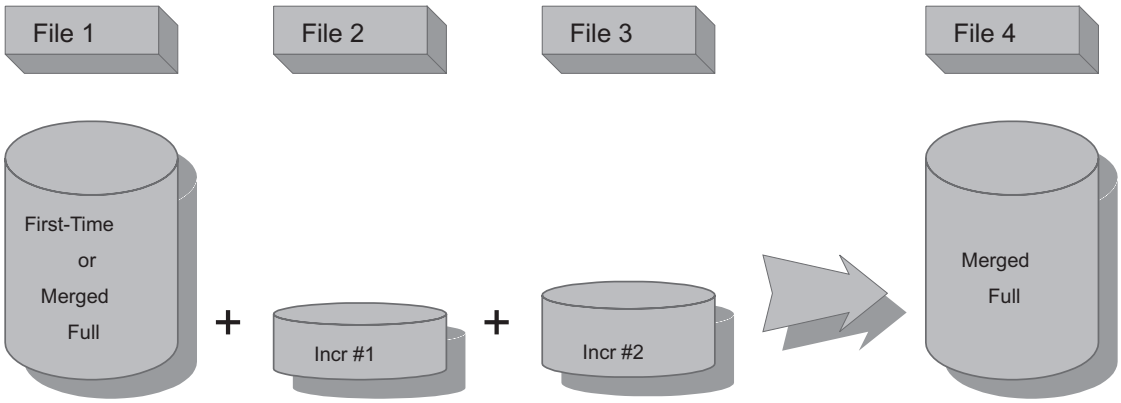



**Figure 1-3**
**Full and Incremental Backups on Disk**

When you run your first-time full backup, a new file is created on the host which holds all the data on your workstation or server (file 1 in the figure). Each incremental afterwards creates a new host file (file 2 and file 3).

When you run a merge full backup, the workstation transmits the changed files and they are written to the new full backup file (file 4). Then the host software takes the directory listing transmitted from the workstation and copies from the incrementals (file 2 and file 3) and the last full (file 1) all of the unchanged files.

The host software then requests any files which could not be matched. The result is a new full backup file which will be used as the source for the next full backup.

### 1.6.4 Duplicate Files

In many cases, software packages such as operating systems, word processors and others must be installed on every workstation or in separate directories. Most of the files associated with this software (executable programs, graphics, screen layouts, etc.) will be identical on each workstation; only configuration and data files are usually unique. Although FDR/UPSTREAM has no problem backing them up from each workstation or directories on the server, the additional overhead to backup many copies of this software when a new version is installed on many workstations or server directories may be considerable.

For example, if you installed Windows 95 on 100 PCs, the next full backup of each of those PCs would have to backup many megabytes of Windows data from each PC, most of which is identical on each PC. The slower the link between the PC and FDR/UPSTREAM MVS, the greater will be the impact of this duplication. This impact could be dramatically reduced if duplicated data can be transmitted to the host just once.

This is what the FDR/UPSTREAM Automatic Duplicate File Support does. Duplicate File Support keeps special backups of files which may be duplicated; during merge backups it will identify files that it already has in that list and include them in the backup without transmitting them. The duplicate backups can be built by UPSTREAM automatically without any effort on your part, or you can manually select duplicate files.

- If you enable the DUPLICATE=AUTO option in the FDR/UPSTREAM MVS configuration (see the FDR/UPSTREAM MVS manual) duplicate files can be identified without doing any special backups. For files with modification date/times over 30 days old, FDR/UPSTREAM MVS will monitor merge backups from all workstation/servers looking for apparent duplicates (same file name, modification date/time and size). When such a file has been backed up twice, a copy of that file will be saved under the USTDUPFL backup profile, just as if a Keyed backup had been done as described below.
- If you wish you can manually identify files which are known to be duplicates and perform Keyed backups using the USTDUPFL backup profile. It is recommended that when you know that files are about to be installed in multiple locations, that you do this thus allowing you to take advantage of duplicate checking immediately.

During a full or incremental merge backup, FDR/UPSTREAM workstation/server will automatically transmit to the host any modified workstation file (based on the archive bit or for UNIX changed since the last backup), unless the modification date/time is greater than 30 days old (or any number of days that you specify). Files not modified or greater than 30 days are not sent; a placeholder record is transmitted. When FDR/UPSTREAM MVS gets to the final step in the backup where it identifies files that must be requested from the workstation since no current copy exists on the host, the duplicate backup support is invoked. Before requesting the unmatched files from the workstation, it will check to see if there is a backup of each such file with a matching name, modification date/time, and file size in the USTDUPFL backup profile. The check does not use the drive/directory qualifier so it will match files which were originally backed up on other drives or directories.

If a match is found under USTDUPFL, the matching file is including in the merge backup using the file as stored in the database. There are two options for processing matched files, controlled by either the **DUPLICATE** option in the host configuration or the **Don't Copy Duplicates to Backup** option in Profile Configuration for the backup profile used in the backup:

- **Copy:** The matching files will be copied from the Keyed backup into the merge backup output file. In subsequent backups, that copy will be carried forward by normal merge backup processing and the file in the Keyed backup will not be used again. This has the advantage that the backup files will be self-contained and the backups under USTDUPFL can be deleted once all workstations that require them has done backups.
- **Don't Copy:** The merge backup output file will be updated with pointers to the duplicated files in the Keyed backup. During any restore that requires those files, the Keyed backups in the FDR/UPSTREAM MVS file data cluster will be read. This has the advantage that the backups will be faster (since there is no data movement required for duplicate files), host storage

requirements are reduced, and you can use duplicate file restores. However it does require that the Keyed backups be retained as long as any workstation backup may point to them; it also requires that the current file data cluster be available during disaster recovery. If you set DUPLICATE=NOCOPY in the USTDUPFL profile definition as well, inadvertent deletion of duplicate files will be prevented. If the duplicates are deleted but are still pointed to by the backups of some workstation, FDR/UPSTREAM MVS will detect this and request the files from the workstation during the next full merge backup.

If you select the Don't Copy option (above), FDR/UPSTREAM MVS will automatically enable duplicate file restores. This facility reduces the amount of data transmitted through the host sending placeholder records to the workstation/server and one copy of a file which is stored on multiple locations in the restore. There can be a significant reduction in the amount of data transmitted if there are a large number of the same files stored on your workstation/server.

You should note:

- Duplicate file support will work only if the duplicate files are installed on the workstation without changing the modification date/time. The installation procedure used for most software will create the files with the same modification date/time on all workstations. This support can be used for files other than those associated with software packages, but the duplicate files must have the same modification date/time on each workstation.
- You may want to do the USTDUPFL backup even for existing software products if you plan to start doing new FDR/UPSTREAM merge backups for existing workstations/servers, so that those duplicate files do not need to be transmitted from each workstation. However, the first time full backup function will transmit all files on the workstation and will not invoke the duplicate file support. FDR/UPSTREAM no longer requires the use of the first time full backup, and we recommend that you perform normal full merge backups even if this is the first time for a workstation/server so that you can take advantage of duplicate file support.
- File names (not including drive or directory qualifiers) must be no longer than 30 characters.
- Files stored in the duplicate file database are stored using high compression if any compression was specified during the backup.

# 1.7 Communications Architecture

FDR/UPSTREAM can use one of two different methods to communicate to the host: SNA/APPC and TCP/IP.

### 1.7.1 Using APPC

When using APPC, FDR/UPSTREAM is a SNA (IBM's Systems Network Architecture) layer 7 APPC (Advanced Program-to-Program Communications) communications application. This means that FDR/UPSTREAM uses the APPC services that are already available on your system.

FDR/UPSTREAM on MVS uses the VTAM APPC services that are available to VTAM programs. This assures that FDR/UPSTREAM MVS will be fast and efficient.

FDR/UPSTREAM workstation operates using the services of virtually every APPC implementation available (and more are being added all the time). In many cases you may have APPC already available, or available for a minimal cost. This allows easy integration of FDR/UPSTREAM into your current SNA communications environment.

### 1.7.2 Using TCP/IP

FDR/UPSTREAM can use most of the commercially available TCP/IP implementations. And there is virtually no vendor specific configuration.

# 1.8 FDR/UPSTREAM 32-bit Windows

FDR/UPSTREAM Windows NT/2000 is a powerful member of the FDR/UPSTREAM product line. Its many features include:

- Support for all standard FDR/UPSTREAM functions. These include standard backups/restores, merge backups, point-and-shoot restore of individual files, directories, and drives, host status and reporting, file transfer, and more...
- Support for virtually every feature of Windows NT/2000 including Active Directory, long file names, registry hives, system event logs, security ACLs, extended attributes, alternate data streams, mount points, compressed files, sparse files, encrypted files and more...
- Support for backup, restore and display of Unicode (non-ASCII) file names.
- Ability to operate as both a Windows NT application and as a service.
- Single diskette recovery utilizing physical disk facilities and the UPSTREAM/ULTra product.
- Windows NT Advanced Server, Novell and Banyan file server support. A FDR/UPSTREAM Windows NT machine can perform complete file server backups for all of these server types.
- Full Novell auto-recall support including notification.
- SNA and TCP/IP. FDR/UPSTREAM Windows NT supports the Microsoft SNA Server and SNA Workstation products as well as the IBM Personal Communications SNA product. TCP/IP support uses the powerful WINSOCK interface, allowing FDR/UPSTREAM to use the TCP/IP implementation included with Windows NT or any other vendor. FDR/UPSTREAM allows simple configuration of SNA Server/Workstation through its own facilities.
- PC Scheduler. FDR/UPSTREAM includes a powerful scheduler that allows PC users or administrators to schedule any combination of backups or restores. The facility can even be used to schedule non-UPSTREAM programs.
- Powerful Host Control. Virtually every FDR/UPSTREAM Windows NT function can be controlled from the host including backups, restores, reporting, restarting failed backups, execution of local programs and host reporting. The SNA vendor supplied attach manager or the supplied UPSTREAM TCP/IP attach manager will even start FDR/UPSTREAM Windows NT if it is not running.

If you are running Windows 95/98 or Windows NT/2000 proceed to chapter 3 for the installation and configuration of FDR/UPSTREAM 32-bit Windows and associated communications facilities.

# 1.9 FDR/UPSTREAM UNIX

FDR/UPSTREAM UNIX is a flexible member of the FDR/UPSTREAM product line. Its many features include:

- FDR/UPSTREAM UNIX will operate on any of the three main AIX hardware platforms (Power/1, Power/2 and Power/PC), Sun Solaris (RISC and Intel), HP HPUX, Compaq Tru64 and Unix Systems Services on System 390 hardware.
- Support for all standard FDR/UPSTREAM functions. These include standard backups/restores, merge backups, point-and-shoot restore of individual files, directories, and drives, host status and reporting, file transfer and more...
- Support is provided for a number of UNIX specific features including long file names, case sensitivity, symbolic links, and more...
- Character special logical and physical volumes.
- FDR/UPSTREAM UNIX provides a full screen interface which will operate from the system console, an X terminal, dumb terminals or TELNET terminal emulation (except OS/390 Unix System Services).
- Command line version of UPSTREAM allows unattended host control or integration into applications.
- Backups and restores can be initiated locally, from the MVS host, another UNIX system, or a PC.
- SNA and TCP/IP. Both SNA Server (AIX) and the native TCP/IP facilities are supported.
- Powerful Host Control. Virtually every FDR/UPSTREAM UNIX function can be controlled from the host including backups, restores, reporting, restarting failed backups, execution of local programs and host reporting.

If you are running UNIX proceed to chapter 4 for the installation and configuration of FDR/UPSTREAM UNIX and associated communications facilities.

# 1.10 FDR/UPSTREAM OS/2

FDR/UPSTREAM OS/2 is perhaps the most full-featured of the entire workstation/server product line. Its many features include:

- Support for all standard FDR/UPSTREAM functions. These include standard backups/restores, merge backups, point-and-shoot restore of individual files, directories, and drives, host status and reporting, file transfer and more...
- IBM, Novell and Banyan file server support. FDR/UPSTREAM offers to ability to perform complete file server backups for IBM LAN Server (all versions) and Novell servers (v3.x and v4.x) and significant backups of Banyan servers.
- Support for a wide variety of OS/2 versions from OS/2 v3, OS/2 v4.x (Warp), Warp Connect and Warp Server.
- Full 32-bit application.
- Full Novell auto-recall support including notification.
- SNA and TCP/IP. SNA support includes all IBM OS/2 SNA products ( CM/2, Personal Communications and Comm. Server). TCP/IP support includes the TCP/IP implementations from both IBM and Novell.
- Presentation Manager interface. All of the FDR/UPSTREAM programs are Presentation Manager programs. Thus it is easy to use and familiar to most users.
- PC Scheduler. FDR/UPSTREAM includes a powerful scheduler that allows PC users or administrators to schedule any combination of backups or restores. The facility can even be used to schedule non-UPSTREAM programs.
- Powerful Host Control. Virtually every FDR/UPSTREAM OS/2 function can be controlled from the host including backups, restores, reporting, restarting failed backups, execution of local programs and host reporting. The SNA attach manager or an included TCP/IP attach manager will even start FDR/UPSTREAM OS/2 if it is not running.
- Multiple, simultaneous backups. OS/2 is a multitasking operating system, and thus you can run multiple copies of FDR/UPSTREAM which will allow better overall throughput when using a single PC to back up multiple servers. Sophisticated, transparent multi-tasking and multi-user facilities allow easy sharing of UPSTREAM facilities or the highest performance backups.
- Very high performance. Added to the high performance and reliability of the OS/2 operating system, FDR/UPSTREAM OS/2 is multithreaded, pre-opening files in advance of their being needed, and reading large blocks of data.
- Reporting of Negotiated CM/2 SNA Performance Values. When FDR/UPSTREAM starts or as requested from a pull down menu, it reports the negotiated RU sizes and pacing values.
- Modification of execution priority. The priority of the running FDR/UPSTREAM program can be modified and saved for later use.

If you are running OS/2 proceed to chapter 5 for the installation and configuration of FDR/UPSTREAM OS/2 and associated communications facilities.

# 1.11 FDR/UPSTREAM 16-bit Windows

FDR/UPSTREAM Windows is a powerful member of the FDR/UPSTREAM product line. Its many features include:

- Support for all standard FDR/UPSTREAM functions. These include standard backups/restores, merge backups, point-and-shoot restore of individual files, directories, and drives, host status and reporting, file transfer, and more...
- Support for both Windows 3.1 and Windows 95 including long file names and Windows 95 Registry entries.
- Novell and Banyan file server support. FDR/UPSTREAM offers the ability to perform complete file server backups for Novell servers (v3.x and v4.x) and significant backups of Banyan servers.
- SNA services are provided by IBM's Personal Communications, Attachmate's Irma for the Mainframe, Rumba, Microsoft SNA Server client, NetWare for SAA, IBM Networking Services/Windows and more... TCP/IP support uses the powerful WINSOCK interface, allowing FDR/UPSTREAM to use the TCP/IP implementations from virtually any vendor.
- PC Scheduler. FDR/UPSTREAM includes a powerful scheduler that allows PC users or administrators to schedule any combination of backups or restores. The facility can even be used to schedule non-UPSTREAM programs.
- Powerful Host Control. Virtually every FDR/UPSTREAM Windows function can be controlled from the host including backups, restores, reporting, restarting failed backups, execution of local programs and host reporting. If you are using SNA, the SNA vendor supplied attach managers will even start FDR/UPSTREAM Windows if it is not running.
- Single diskette recovery for Windows 95 workstations using ULTra.

If you are running Windows proceed to chapter 6 for the installation and configuration of FDR/UPSTREAM Windows and associated communications facilities.

# 1.12 FDR/UPSTREAM for Novell

Novell servers can be backed up:

- From a Windows, Windows NT, or OS/2 PC. In this case FDR/UPSTREAM will use the Novell client tools to back up most Novell features including NDS, binderies, trustees, file and directory information and more.

  If you are using this method you should first proceed to the chapter for the operating system you will be running UPSTREAM on, and then proceed to the Novell chapter (chapter 14).

- From the Novell Server. FDR/UPSTREAM includes a NLM version which allows direct backup from the Novell server to the host. When you are running on the server, you can either use the client facilities to back up Novell specific information (as if it was a client machine), or you can use Novell's Storage Management Services (SMS).

  Novell SMS is recommended when possible as it backs up all Novell features not covered by the client services including Mac and NFS name spaces. There are some limitations described in the Novell chapter.

  You should first install and get UPSTREAM operational on some PC workstation and then see the Novell chapter (chapter 14) for more information on installation, configuration and use of the NLM version.

- From a Windows NT machine using SMS. This is generally not recommended at this time due to poor NetWare performance. If you wish to use this method, see the Windows NT chapter and then the Novell chapter.

# 1.13 FDR/UPSTREAM DOS

FDR/UPSTREAM DOS is a flexible member of the FDR/UPSTREAM product line. Its many features include:

- Support for all standard FDR/UPSTREAM functions. These include standard backups/restores, merge backups, point-and-shoot restore of individual files, directories, and drives, host status and reporting, file transfer and more...
- A CUA “Windows-like” user interface with dialogs, controls and more allowing FDR/UPSTREAM DOS to be used quickly and with minimal training.
- Support for DOS v3.3 and up.
- Low-memory utilization taking advantage of XMS memory with a special, even lower memory command line version included.
- Novell server support. FDR/UPSTREAM offers to ability to perform complete file server backups for Novell servers (v3.x, v4.x and v5.x).
- SNA and TCP/IP. SNA support includes IBM APPC/PC, NetSoft AdaptAPPC, IBM Networking Services/DOS, Novell NetWare for SAA, and more... TCP/IP support is available for both the IBM and Novell TCP/IP implementations.
- PC Scheduler. FDR/UPSTREAM includes a powerful TSR scheduler that allows PC users or administrators to schedule any combination of backups or restores. The facility can even be used to schedule non-UPSTREAM programs.
- Powerful Host Control. Virtually every FDR/UPSTREAM Windows function can be controlled from the host including backups, restores, reporting, restarting failed backups, execution of local programs and host reporting.

If you are running DOS proceed to chapter 7 for the installation and configuration of FDR/UPSTREAM DOS and associated communications facilities.

This page intentionally left blank

# 2 How to Use FDR/UPSTREAM

FDR/UPSTREAM is a powerful and sophisticated backup/restore and software distribution product with tremendous flexibility. This section will help you understand some of the ways that you can use the product.

Some of the important issues in using FDR/UPSTREAM are:

- Methods for backing up file servers
- Methods for backing up user's workstations
- Scheduling backups/restores/software distribution
- FDR/UPSTREAM Parameter Files
- Backup Profiles
- Host storage issues

# 2.1. Backing up Servers

The first decision that you must make when backing up servers is whether FDR/UPSTREAM is to reside on the server or on a LAN attached workstation. In several cases FDR/UPSTREAM works in only one way. You may also choose to mix the two methods.

Issues specific to each server vendor are discussed later in this manual. This section is designed to help you understand the different methods available in FDR/UPSTREAM.

**NOTE: Merge backups are always the recommended method for backing up servers.**

**NOTE: Running UPSTREAM on the server (keeping it close to the data) is usually the fastest method.**

### 2.1.1. LAN Attached Workstations

Using FDR/UPSTREAM on a LAN attached workstation has several advantages:

- **Fault-tolerance:** If the server hardware become inoperable (disk crash, etc.), the system containing FDR/UPSTREAM will still be available and as soon as the server hardware and operating system has been replaced, FDR/UPSTREAM can immediately be available to perform the restore.
- **Server Safety:** Several types of servers (most particularly Novell NLMs) can be crashed by programs running on the server. Backups by LAN attached workstations avoid these problems.
- **Less Server Impact:** By running your backups on another machine, the server's CPU will not be running the backup software, making it more available.
- **Simpler:** Since a single FDR/UPSTREAM LAN attached workstation can back up a number of servers (the Windows, Windows NT and OS/2 versions can even back up more than one server at a time), installation, configuration, and management issues are simplified.
- **Local Backup Storage:** While you can use local backup storage of data if you are running on the server, you must be sure to store your data on another disk to assure recovery in case of disk loss. This is best performed when running UPSTREAM on a workstation other than the server.

If you are using Banyan file servers, FDR/UPSTREAM **must** reside on a LAN attached workstation. But all FDR/UPSTREAM server backups (except UNIX) can be performed by LAN attached workstations.

The most common way that FDR/UPSTREAM is used on LAN attached workstations to back up servers is by placing the FDR/UPSTREAM machine in a central location (like your computer room) as close to the host device that it is communicating through for performance (few if any bridges or routers). Figure 3- shows a sample scenario of a single LAN attached workstation backing up three Novell servers.

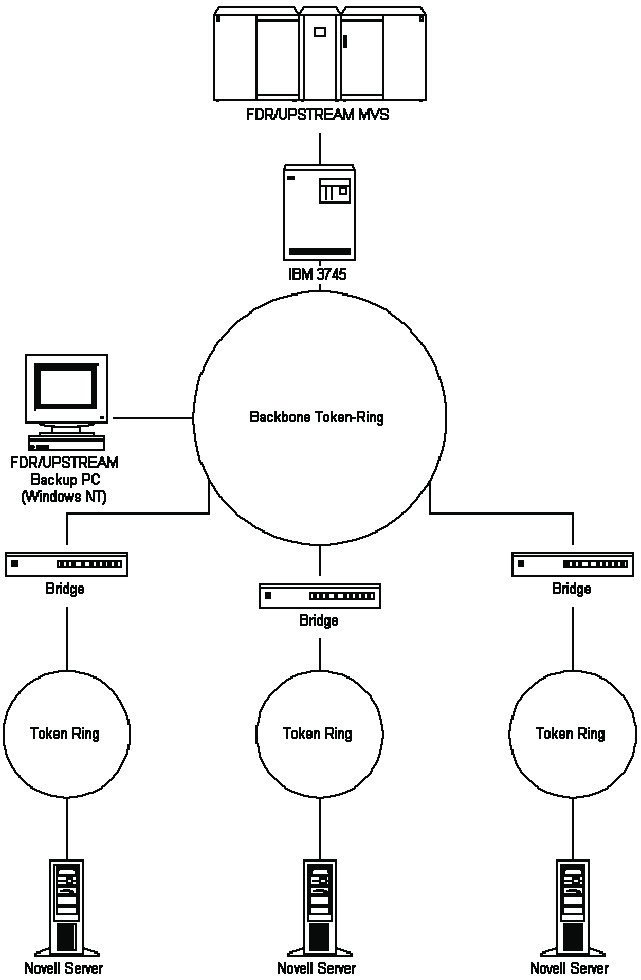



**Figure 2-1**
**Sample Novell Server Backup Scenario**

In this scenario, a single OS/2 workstation running FDR/UPSTREAM will attach to each of the three Novell file servers in sequence, performing merge backups of each. Note that the bridges are effectively transparent.

There are any number of variants to this scenario. For example:

- Different LAN types are quite common; the FDR/UPSTREAM machine may have a Token-Ring card to attach to the host device Token-Ring and an Ethernet card to attach to the server LAN.
- The FDR/UPSTREAM LAN attached workstations may be close to the servers and further from the host (based on the bridge/router distances imposed). You may do this to optimize server disk speed (often the bottleneck) over host connectivity or to take advantage of compression over slow lines. This also helps reduce backbone LAN traffic.
- Using the FDR/UPSTREAM machine to back up workstations or servers using ULTra.

The number of servers that a LAN attached workstation can back up depends upon several issues. The key to this is the backup “window”: This is the amount of time that you are willing to allow a file server to be backed up. Since you want to perform backups when the fewest number of people are using the server, most backups are performed at night. Thus a typical backup window might be 10 hours: 10 PM to 8 AM. Issues affecting the number of servers that can be backed up within the window are:

- **Performance:** This is the total amount of time to perform a full or incremental backup. Simply, how long it takes to back up each server. Host communications, server access are some of the issues affecting performance. There are a number of performance improving techniques (discussed in the Performance chapter) which can help to improve your server backup performance.
- **How you schedule full vs. incremental merge backups:** Full backups (even full merge backups) take longer than incrementals. If you stagger the fulls so that you don't run all the full backups on the same night, you help to increase the number of servers you can back up within your window.

There are few actual limitations to the number of servers that can be backed up with an FDR/UPSTREAM LAN attached workstation. If you use UNC file names (recommended for LAN attached drives), even drive letters are not a concern.

However, the main practical limitation is the number of servers that can be backed up within your backup window. A good rule of thumb is 5 servers per FDR/UPSTREAM PC, but we always recommend testing with your servers in your environment will give you the actual number.

If you have Windows NT or OS/2 File Servers you can also use ULTra to back up these servers. We recommend this in the case where your servers are remote (have a slow connection) to the UPSTREAM PC. ULTra's high compression can actually be faster than Microsoft Networking in local environments; in remote environments the performance differences can be significant.

### 2.1.2. FDR/UPSTREAM on the Server

Using FDR/UPSTREAM on the server is the recommended method for backing up Microsoft, Novell, IBM and UNIX servers. It has several advantages:

- **Performance:** Since performance is so important for both backups and restores, having FDR/UPSTREAM on the server gives you the fastest possible disk read speeds as well as significantly reduced overhead in accessing data. This difference in performance can be up to an order of magnitude.
- **Reducing LAN Traffic:** Since the data does not have to be transmitted over the LAN from the server to the FDR/UPSTREAM machine, the LAN traffic is cut in half.

This method is conceptually quite simple; merely install FDR/UPSTREAM on all the servers you wish to back up; it's virtually identical to backing up a user's workstation (with the added overhead of server specific information). Figure 3- shows a sample using FDR/UPSTREAM to back up three Windows NT Servers.

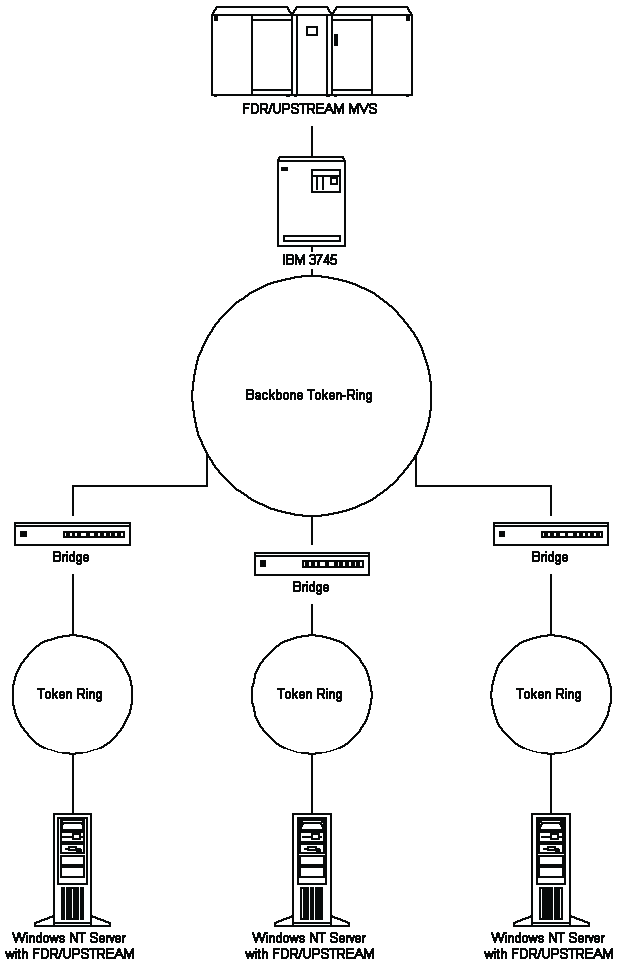



**Figure 2-2**
**Sample Windows NT Server Backup Scenario**

The most common variants used with this method are using ULTra to backup workstations and also using the FDR/UPSTREAM machines to backup other servers through the LAN (the previous method).

# 2.2. Backing up Workstations

FDR/UPSTREAM offers outstanding features for backing up user's workstations. Its wide array of connectivity options, its unique ULTra feature and more make it the method of choice for backing up user workstations and for distributing software.

The two main methods for backing up workstations are either installing FDR/UPSTREAM on each workstation, or using one or more FDR/UPSTREAM machines with ULTra.

### 2.2.1. FDR/UPSTREAM on Each Workstation

This is the simplest method conceptually, but can be the more complex to implement. Basically, you install the complete FDR/UPSTREAM product on each workstation. The advantages of this method are:

- **Users can perform their own restores:** Since they have the entire product a user can perform their own restores. Since virtually any feature can be restricted using the Personalization facility (including which files can be restored), administrators can still effectively manage the facility.
- **Users can control what they backup:** If a user determines that a directory needs to be backed up immediately, they can just do it, without having to go to an administrator.
- **Users can control when they perform backups:** The PC scheduler becomes available to users allowing control of when backups are most desirable to them.
- **Higher performance:** Since data is only transmitted once, the performance is higher than if ULTra was used.
- **Operates in all FDR/UPSTREAM environments:** ULTra can not be used on TCP/IP LANs (unless NetBIOS is available), or on UNIX workstations.

Note that even with all these advantages, Innovation will most often recommend the ULTra method for backing up workstations.

### 2.2.2. FDR/UPSTREAM ULTra

FDR/UPSTREAM ULTra (UPSTREAM LAN Transport) is a powerful facility that allows an FDR/UPSTREAM PC to back up a LAN attached workstation, using LAN protocols. The backup and restore is specified and controlled from the FDR/UPSTREAM PC; the ULTra workstation is basically a slave to the operation.

The process is:

- The FDR/UPSTREAM PC begins the backup (locally or remotely scheduled).
- The specified ULTra LAN Workstation is attached (based on a LAN Workstation Name that is specified when you startup the ULTra software on the workstation).
- The FDR/UPSTREAM PC reads the directory and files as if the disk(s) were directly attached to itself and sends them to the host.

The process is similar for restores.

Figure 3- shows how the method works for a Novell LAN with a single FDR/UPSTREAM PC and several IPX/SPX attached workstations. The process is similar for a NetBIOS LAN.

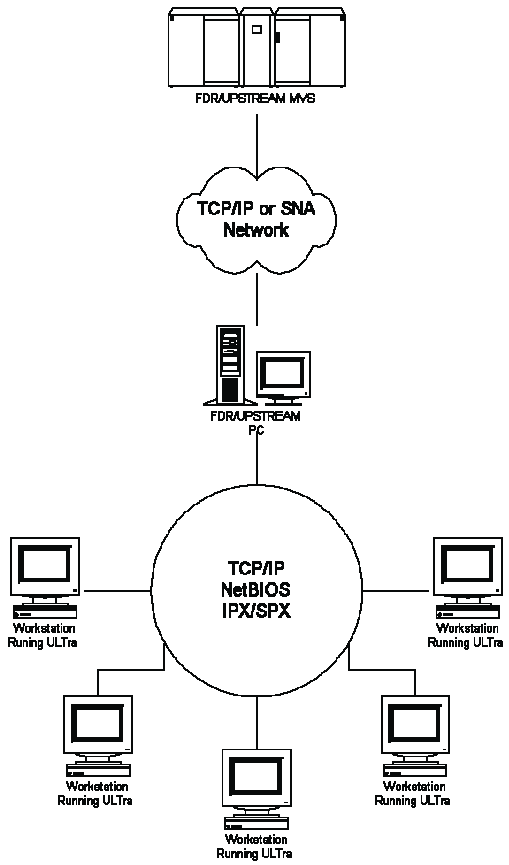



**Figure 2-3**
**FDR/UPSTREAM ULTra for Workstation Backup**

The process is further simplified if you use **ULTra Profiles**. An ULTra Profile is a group of workstations which are similar in configuration (the same number of disk drives, etc.) that you wish to back up or restore to as a group. When you request a backup of several workstations using an ULTra profile, you make a single backup request and FDR/UPSTREAM then performs backups of all the workstations in the profile.

The best part of ULTra Profiles is how easy it is to set up and add a new workstation. The installation of the ULTra software is fast and simple; there are only a few configuration parameters (workstation name, and optional password). And adding a new workstation to the ULTra Profile consists of simple point and shoot commands. ULTra makes backup management of a number of workstations a snap.

Support tools help in ULTra management. The included LANCOPY program allows you to copy files and perform directory listings to and from administrator PCs and ULTra workstations. And the ULTra Profile configuration program can list all active ULTra PCs.

Thus, the advantages of using FDR/UPSTREAM ULTra to backup workstations are:

- **Easy Installation and Configuration:** Adding a new workstation takes only a few minutes; there are no host communications configuration issues.
- **Easy Scheduling:** A single backup or restore request (local or remote) can request any number of workstation backups or restores.
- **Management Tools:** LANCOPY and other ULTra facilities help you to manage workstations, even beyond the scope of backups and restores.

- **Central Control:** Since all backups/restores funnel through a single FDR/UPSTREAM workstation/server, the administration becomes much simpler.
- **Secure:** Since users can’t perform backups or restores, there are no security issues.

These advantages make ULTra the backup method of choice for most workstation backups.

# 2.3. Scheduling

Performing ad-hoc backups and restores is the starting point to using FDR/UPSTREAM. But, backups are generally an unattended, scheduled process. They are something that once setup, just work; when you need to perform a restore, you expect to find the files you need.

Software distribution, the act of sending new software or data files to a number of workstations, is also an unattended process.

Scheduling of FDR/UPSTREAM consists of:

- **Planning.** Determining what your repeatable events are, and building a schedule. For backups, this generally means determining what time your backup window starts, how often you want to perform full vs. incremental backups, etc.
- **Implementation:** Implementing your schedule using FDR/UPSTREAM's powerful scheduling and control facilities.

## 2.3.1. Planning

The *UPSTREAM Automated* chapter in this manual helps you plan your backup or software distribution strategies. Some issues to help begin in implementing your plan are:

- When your backup window begins. What time of day for a given day of the week do you want to begin your backup or software distribution and making sure that your machine and files are available during the window.
- How often you wish to perform full vs. incremental backups. We always recommend using the full merge facility. We recommend performing daily incrementals and periodic full merge backups (weekly, bi-monthly, monthly, etc.).
- Access to host resources. Access to tape drives, disk storage space, etc. may affect when you can perform your backups. We recommend consulting with host administrators before finalizing scheduling with FDR/UPSTREAM.
- The performance of the backups. The time it takes to perform a full and incremental backup will affect your scheduling.
- Infrequent events. These may consist of Migration (see later in this chapter), or non-FDR/UPSTREAM functions.

Most users plan incrementals during the week (Monday-Thursday) and full merge backups over the weekend (starting Friday night).

## 2.3.2. Implementation

Once you have decided what you will be backing up, when it will be backed up, and where it will be backed up to, you must decide on the method you will use in requesting this backup. FDR/UPSTREAM offers three ways backups and restores can be started (**initiated**) automatically:

- **Workstation/Server Scheduled:** FDR/UPSTREAM includes a powerful workstation/server scheduler (USSTART). This scheduler allows you to start virtually any function (even non-UPSTREAM functions) unattended in virtually any combination of daily, weekly, monthly and more times.

- **Host Scheduled:** FDR/UPSTREAM workstation/server functions can be requested through batch jobs which can be started using your existing host scheduling system.
- **Remote PC Scheduled:** A workstation/server can request an FDR/UPSTREAM function of another workstation/server. Since FDR/UPSTREAM includes a scheduler, this function can be fully automated.

### 2.3.3. PC Scheduled (Initiated)

The *UPSTREAM Automated* chapter in this manual describes how to set up Workstation Schedules in the Configurator for initiating FDR/UPSTREAM directly or batch or script files which start FDR/UPSTREAM. Worksheets are provided to help you get this straight.

These schedules can be quite sophisticated, consisting of virtually any number of timed daily, weekly, monthly, quarterly or yearly events.

The USSTART program executes these schedules. This program is tailored to your environment. In DOS it is a TSR, in Windows and Windows NT a standard Windows program, in OS/2 a PM program, and in UNIX a command line utility which can be placed in the background. In all cases USSTART is designed to be as unobtrusive as possible, start FDR/UPSTREAM and non-FDR/UPSTREAM functions, and fit nicely into your environment.

### 2.3.4. Host Initiated

Host initiated backups are run through the USTBATCH program; even backup/restore requests generated using the FDR/UPSTREAM MVS ISPF program run through this facility.

Figure 3- shows how the USTBATCH process operates. While complex in operation, setup and use are really quite simple - and made even simpler if you use the FDR/UPSTREAM MVS ISPF facility to generate JCL which you then integrate into your existing host scheduling system.

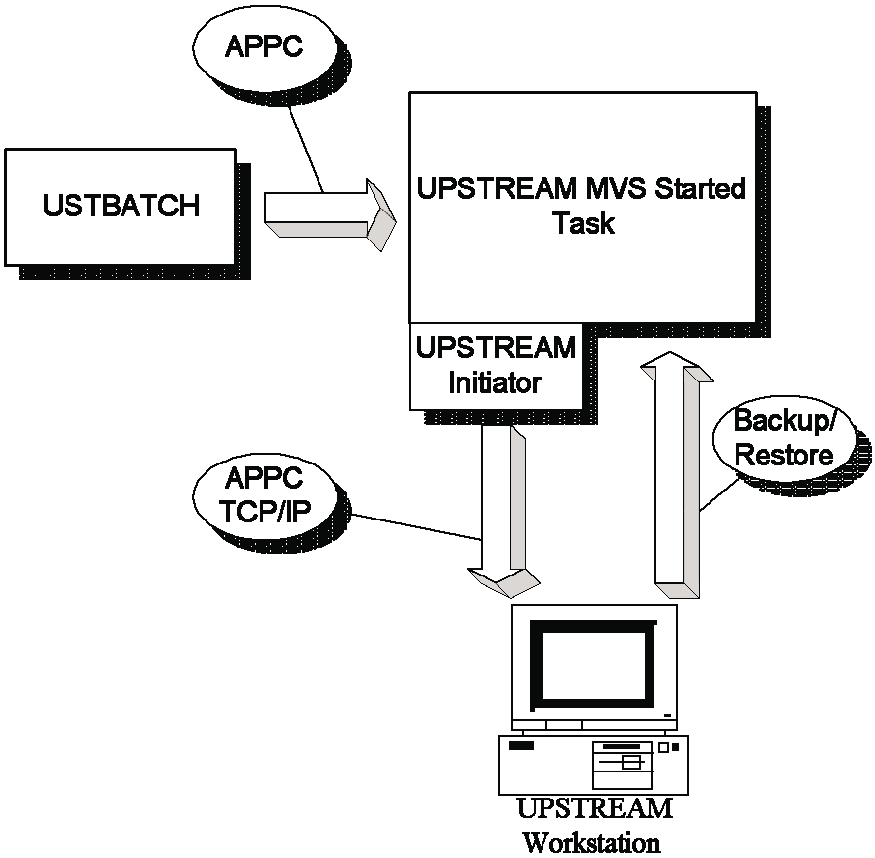



**Figure 2-4**
**USTBATCH Process**

### 2.3.5. Remote PC Scheduled

A workstation/server can request an FDR/UPSTREAM function of another workstation/server either through the mainframe or directly PC-to-PC (see the Advanced Configuration chapter in this manual). Since FDR/UPSTREAM includes a scheduler, this function can be fully automated.

Since this process can be quite complex, we recommend that you use either the host initiated or PC initiated method.

# 2.4. Parameter Files

When you perform many FDR/UPSTREAM functions including backups, restores, unattended functions and the like you are asked if you wish to save your values in an FDR/UPSTREAM parameter file.

Parameter files save all the parameters that you entered: backup profiles, versions, file names, and more. FDR/UPSTREAM's ability to save this information allows you to be able to repeat these functions without having to re-enter the information.

The biggest value of parameter files is in automated, unattended processing. If you wish to run daily incremental and weekly full merge backups, you will create a parameter file for the full backup and a separate parameter file for the incremental.

You can "run" a parameter file in several different ways:

- Select the **Open** option in the **File** menu to read in the values in a parameter file in memory. You can then enter the backup, restore or whatever function dialog was specified and modify the values. You can later either press the <Ok>, or <Save> buttons to leave the dialog and save your parameter changes or pull down the Save option in the File menu to save you parameters after you have left the dialog.
- From the command line when starting FDR/UPSTREAM. This is most commonly used when automating FDR/UPSTREAM for locally initiated functions using the FDR/UPSTREAM local scheduler (USSTART).
- From the host, using the **WSPARM** keyword in USTBATCH processing.

For simplicity sake, most users end up with two parameter files for each entity that they are backing up: a full merge backup and an incremental backup. For example, if you are backing up 3 Novell servers from your FDR/UPSTREAM PC, we would recommend the creation of 6 parameter files as follows:

| Server | Parameter File | Description |
|---|---|---|
| Server1 | SERVER1F.DAT | Full merge backup parameters for Server1. |
| | SERVER1I.DAT | Incremental backup parameters for Server1. |
| Server2 | SERVER2F.DAT | Full merge backup parameters for Server2. |
| | SERVER2I.DAT | Incremental backup parameters for Server2. |
| Server3 | SERVER3F.DAT | Full merge backup parameters for Server3. |
| | SERVER3I.DAT | Incremental backup parameters for Server3. |

| Server | Parameter File | Description |
|---|---|---|
| <none> | RMTPARM.DAT | Supplied parameter file used for handling host initiated backups with SNA in FDR/UPSTREAM Windows, OS/2 and UNIX. |
| <none> | UPSTREAM.DAT | Default UPSTREAM parameter file. Most often used for testing, and ad-hoc backups and restores. |

**Parameter Files on an FDR/UPSTREAM PC**
**Used to Backup up 3 Novell Servers**

Note that the parameter file names include the server name (SERVERn) and have a F or I suffix to indicate that this is either a full or incremental backup parameter file. Most users truncate the server name if it is longer than 6 characters.

Also note **RMTPARM.DAT**. If you are running FDR/UPSTREAM with SNA, the SNA attach manager will start FDR/UPSTREAM for you. RMTPARM.DAT is included to allow FDR/UPSTREAM to wait for host initiates, service them, and terminate after the last one has been serviced.

Most users also have an **UPSTREAM.DAT**. This is the default parameter file and most users will use it only for ad-hoc backups and restores.

**UPSTREAM.DAT will give you a warning if you set it to be unattended. UPSTREAM.DAT should never be used for unattended processing.**

# 2.5. Backup Profiles

Understanding Backup Profiles is fundamental to using FDR/UPSTREAM. A Backup Profile is intended to be used as the key to represent a single, identifiable group of files. Thus you would use a backup profile to represent:

- All the volumes on a server.
- All the disks on a PC.

A backup profile should not be used indiscriminately for more than one PC or more than one server without careful consideration to the implications.

On the workstation/server, the backup profile is specified on one of any number of screens and is stored in the parameter file. In the examples in the previous section (Parameter Files), the backup profile name would be the same as the server name (SERVER1, SERVER2, SERVER3). In each parameter file you would list all the volumes on the server to be backed up (for example, F:*.*, G:*.*, etc.). On a local disk, your backup profile would include file specs for all your drives (for example, C:*.*, D:*.*, etc.).

On the host, backup profiles are used for several purposes:

- As a single identifier to allow workstations/servers to be able to access files on a given PC or server.
- Allowable storage types and backup types.
- File names or prefixes, retention periods, etc.
- Attributes for merge backups.
- ...and more...

Think of the backup profile as the key to the files on your workstation or server. Whenever you specify backups or restores for that PC or server, you should always use the same backup profile.

There are a variety of profile definitions in FDR/UPSTREAM. Each have a specific, but different, purpose:

- **Backup Profiles:** A single identifier which you use to group the files on a given workstation or server.
- **Novell Profiles:** Use to automate the login to a Novell server. These are specified in the SETNOV program and are fully described in the Novell chapter.
- **ULTra Profiles:** Use to group a number of workstations in a single backup or restore request. These are also specified in the SETNOV program and are fully described in the ULTra chapter.

Note that when using ULTra Profiles, each workstation still has a unique Backup Profile.

There are a wide variety of facilities within FDR/UPSTREAM for specifying and modifying Backup Profiles:

- Backup and Restore dialogs. Here you specify the backup profile that you will be using for the backup and restore.
- Profile Management: Here you can view original backup specifications for all backups stored on the host or for a specific profile (with wildcards). You can also delete backups (if you have adequate security).
- Profile Configuration: Here you can view, modify, add or delete profile information as defined on the host. This information includes the types of backups allowed, storage information and more.

The host must have defined to it attributes about the backup. Thus you must either define each backup profile specifically using the host configuration facilities or Profile Configuration, you can use a Profile Prefix, or you can use a **GLOBAL** profile.

The attributes in the profile name **GLOBAL** are used whenever FDR/UPSTREAM MVS does not have the profile name defined explicitly or using a Profile Prefix. Setting up a **GLOBAL** profile allows workstation/server administrators to set up a new FDR/UPSTREAM without changes to FDR/UPSTREAM MVS. Thus, this method is recommended whenever security and storage constraints allow it.

Profile Prefixes are partial Backup Profile names configured within FDR/UPSTREAM MVS. When a profile is received which is not configured, then Profile Prefixes are searched. For example, if you have a group of workstations which will share attributes, you can create a Profile Prefix (for example, WKS) which has these attributes. When you specify backups and restores, you use this name as the prefix for your Backup Profile names (for example, WKSTOM, WKSBOB, etc.).

# 2.6. Host Storage Management

Simplified, host storage consists of disk and tape. Since each installation's storage needs are unique, FDR/UPSTREAM MVS provides a wide variety of ways that you can store your workstation/server data on disk and tape on your MVS host. The workstation/server administrator will need to consult with the host storage administrator to decide the type of storage options to select when specifying backups.

Simplistically, the storage options are:

- A separate flat file on disk or tape for each backup.
- All backups stored on disk or tape GDGs
- Storage in the VSAM repository (Keyed and Archive backups). This method is available, but only recommended for storage of duplicate files.

We recommend for most users that full backups be stored directly to tape, and incrementals be stored on disk (all flat files, managed by tape and DASD management systems when available).

The workstation/server administrator only has to specify whether a specific backup is stored on a disk or tape flat file, or in the VSAM repository in the backup dialog or host or PC parameters. However, the mainframe and workstation/server storage administrators must combine to decide such important issues as:

- Should incrementals be stored on disk or tape? Some factors influencing this decision are the amount of disk space available on the host and the number of tape drives available during the backup window.
- Should disk backups be migrated to tape? You can use the USTMIGRT utility (which is recommended rather than HSM, ABR or a similar host archiving tool) to migrate disk backups to tape or you can use a storage management facility such as ABR or HSM. Some factors include the amount of disk space available and when it is available, and the time and the number of tape mounts necessary for full merge backups.
- How long you need to store your backups for. Auditing, legal requirements and user needs are decision factors. Once you have decided how long to store backups for, you roll off older backups using tape or disk retention periods (with your existing tape or DASD management systems), or GDGs

This is just a partial list. As a workstation/server administrator, we recommend that you fill in the following table of relevant decision factors in determining how to store your backups on the host. The subsequent table should be filled in by the host storage administrator and the last table is the result to be used for FDR/UPSTREAM production implementation.

| Type | Decision Factor | Your Value |
|---|---|---|
| **Servers** | | |
| | How many bytes on the average server? | |
| | How many bytes change daily on the average server? | |
| | How many servers? | |
| | How many bytes in your largest incremental? | |
| | How long do you need to keep backups? | |
| | Will there be a large number of restores (particularly from tape)? | |
| | Duplicate file savings expected (if any)? | |
| **Workstations** | | |
| | How many bytes on the average workstation? | |
| | How many bytes change daily on the average workstation? | |
| | How many workstations? | |
| | How many bytes in your largest incremental? | |
| | How long do you need to keep backups? | |
| | Will there be a large number of restores (particularly from tape)? | |
| | Duplicate file savings expected (if any)? | |

**Workstation/Server**
**Host Storage Decision Factors**

| | Decision Factor | Your Value |
|---|---|---|
| **Disk** | | |
| | Amount of disk space available | |
| | Use USTMIGRT or host storage manager | |
| | GDGs or flat files? | |
| **Tape** | | |
| | Number of tape drives available during the backup window | |
| | Number of tape drives available for restores | |
| | GDGs or flat files? | |

**Host Storage Administrator**
**Host Storage Decision Factors**

| Type | Decision Factor | Your Value |
|---|---|---|
| **Servers** | | |
| | Incrementals on disk or tape? | |
| | Fulls on disk or tape? | |
| | Backup Profile names/prefixes/conventions | |
| | Full and incremental backups scheduled at the same time or staggered | |
| **Workstations** | | |
| | Incrementals on disk or tape? | |
| | Fulls on disk or tape? | |
| | Backup Profile names/prefixes/conventions | |
| | Full and incremental backups scheduled at the same time or staggered | |

**PC Administrator**
**Host Storage Results**

To help you figure out the methods that will work best for you, a number of sample scenarios follow which describe some sample environments.

### 2.6.1. Scenario #1: Large Servers/Limited Host Disk

In this example, the workstation/server administrator has 12 large servers (avg. 4 GB each) for a total of 48 GB. There are few files in the incrementals (avg. less than 1% for a total of 250 MB per day). Workstations are not to be backed up.

The host administrator can allocate up to 2GB for PC server backup on host disk. There are several tape drives available.

Due to the time required to perform a full merge backup and the number of tapes drives required, it is decided to not perform full backups on all the servers at the same time, but to stagger them throughout the week. This also helps to keep the amount of disk space used at a constant value.

It is decided to keep incrementals on disk in flat files, and to use the USTMIGRT facility daily to migrate them to tape after all the backups have completed. Full merge backups will be written to tape in flat files.

### 2.6.2. Scenario #2: Servers and Workstations

In this example, the workstation/server administrator has 5 large servers (avg. 4 GB each) for a total of 20 GB. There are few files in the incrementals (avg. less than 1% for a total of 250 MB per day). There are 40 workstations to be backed up (avg. 500 MB each) for a total of 20 GB.

The host administrator can allocate up to 10GB for PC server backup on host disk. There are several tape drives available.

For server backups, fulls will be performed, staggered, weekly direct to tape using flat files. Incrementals will be stored on disk, again using flat files. No host migration will be performed, but the COPYINCR option will be used to clean up host disk files.

For workstations backups, fulls will be performed, staggered, weekly direct to tape using flat files. Incrementals will be stored on disk, using flat files. No host migration will be performed, but the COPYINCR option will be used to clean up host disk files.

Note that COPYINCR copies the incremental backups onto the full and deletes the original incrementals. This option is only relevant when the incremental backups are stored on disk.

# 3 Windows (32-bit)

## 3.1. Overview

The installation process consists of four steps:

- Determining your system requirements
- Installing the software
- Configuring the communications software
- Configuring FDR/UPSTREAM

We recommend that you install, configure, and make operational your APPC or TCP/IP before installing and configuring FDR/UPSTREAM.

This chapter discusses the installation of the 32-bit version FDR/UPSTREAM for Windows 2000, Windows NT and Windows 95/98. If you are running Windows 95/98 we recommend the 32-bit version in most cases. You should use the 16-bit version (see the Windows 16-bit chapter) only if:

- You need to perform physical disk backups/restores.
- Use ULTra with NetBIOS.
- Have a 16-bit SNA or TCP/IP.

**NOTE: Windows NT users should see page 3-48 for a description Windows NT configuration issues required for successful FDR/UPSTREAM backups.**

### 3.1.1. Requirements

FDR/UPSTREAM Windows NT requires the following:

- 2 megabytes of free hard disk space. If you will be backing up large servers you may need up to 100 MB of free disk space.
- Microsoft Windows NT v3.5.1 or higher
- Communications hardware compatible with your communications software.
- APPC software for an approved vendor, including Microsoft SNA Server or SNA Workstation or IBM Personal Communications.

or

- TCP/IP software from an approved vendor which supports the WINSOCK interface.

# 3.2. Installing FDR/UPSTREAM

FDR/UPSTREAM includes an installation program to help you install it for the first time to your hard disk. You only have to use it if you wish to install End-User restores. Otherwise, all the program does is create a directory for the FDR/UPSTREAM files, copy the contents of the \UPSTREAM\WIN32 directory on the CDROM and optionally create a program group and items within it. If you have any problems with the installation, just copy the files yourself and create a program group (UPSTREAM) on the desktop with the FDR/UPSTREAM programs:

- US.EXE: Named “UPSTREAM”
- USCFG.EXE: Named “Configurator”
- USSTART.EXE: Named “Auto Start”
- SETNOV.EXE: Named “Novell/ULTra”
- USTPCFG.EXE: Named “TP and Service Configurator”

**NOTE: If you do not run the INSTALL program for a first time install, you will need to rename USSER to US.SER.**

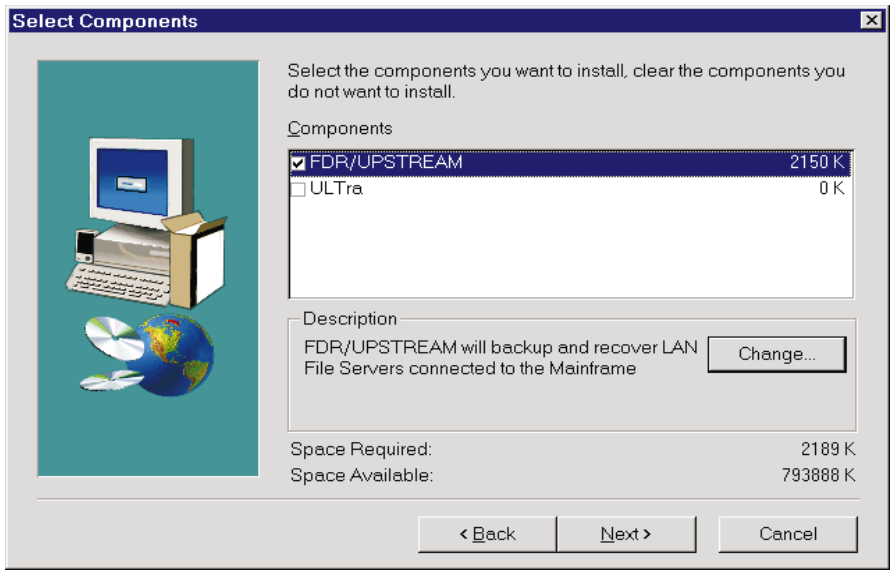
Updates should just be copied over the originals (though the installation program can be run as well).

To begin the installation, press the **Start** button and select **Run**. Enter <drive>:\SETUP. Many users will enter:

```
D:\SETUP
```

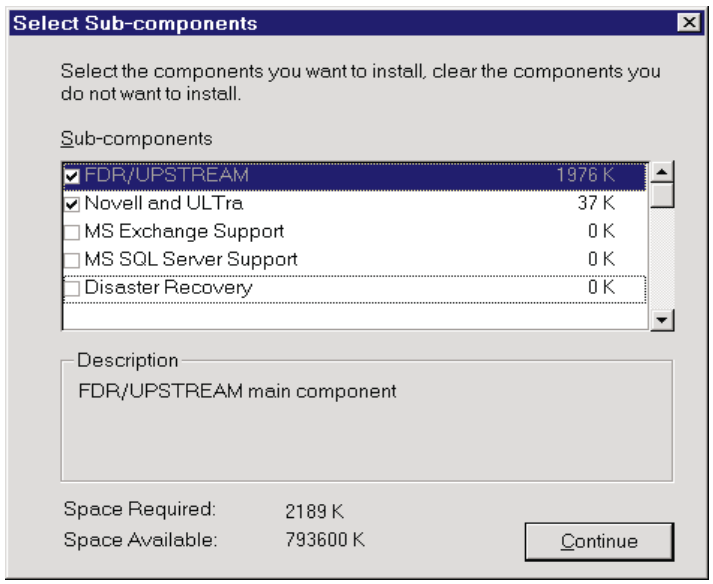
You will see a banner and then be asked for your Name and Company. UPSTREAM does not use this information, but it is required. Press the **Next>** button to continue.

You will then be asked to Choose Destination Location. If you do not wish to use the default directory of C:\UPSTREAM, press the Browse button to specify a different directory. Installing a new version of UPSTREAM over an existing version is safe as configuration, parameter and other important files are preserved. Press the **Next>** button when you are satisfied with the directory for UPSTREAM. This will display the Select Components dialog.




The FDR/UPSTREAM option is checked by default. If you wish to install the ULTra client software, then check that option.

Only some of the full features of FDR/UPSTREAM are installed by default. To view or modify the list of features that will be installed (which most users will need to do), highlight **FDR/UPSTREAM** and press the **Change** button. This will display the Select Sub-components list:




This list is different depending upon the version of Windows you are running. The sub-components are:

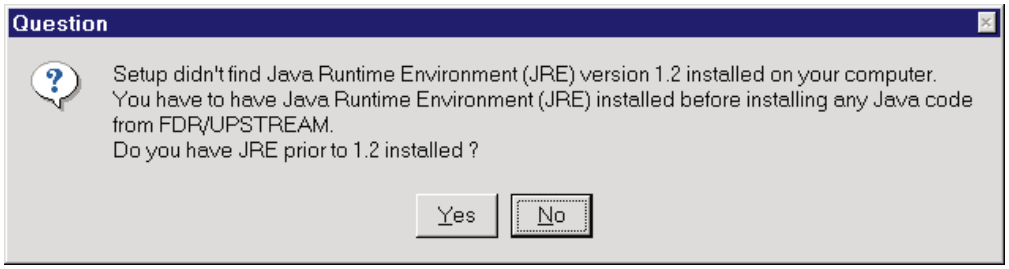
- FDR/UPSTREAM: This is the base product and it cannot be deselected.
- Novell and ULTra: The SETNOV.EXE program, this allows you to specify Novell and ULTra Profiles.
- MS Exchange Server: (Windows NT only) Installs FDR/UPSTREAM Microsoft Exchange Server whole database and individual object support.

- MS SQL Server Support: (Windows NT only) Installs FDR/UPSTREAM Microsoft SQL Server support.
- Disaster Recovery: (Windows NT only) Installs the physical disk disaster recovery programs including MAKULTRA, ULTRAD and PARTFIX.
- DataTools Support: (Windows NT only) Installs the USOBSI.EXE program and associated files which provides FDR/UPSTREAM support of BMC's DataTools SQL-BackTrack database backup product.
- TCP/IP Attach Manager: (Windows NT only) Installs the USATTMGR.EXE program which allows activation of FDR/UPSTREAM by remote request.
- Lotus Notes Support: (Windows NT only) Installs the LNINCR.EXE program which allows incremental backups of Lotus Notes databases as well as the Notes R5 plugin.
- Novell Auto-Recall: Installs both notifier and recaller software for Novell Auto-Recall of migrated files.
- SAP/Oracle external MMS: Installs the FDR/UPSTREAM SAP R/3 BACKINT backup/restore agent.
- DB2 UDB Support: Installs the FDR/UPSTREAM IBM DB2 Universal Database agent.
- End-User Restore: Installs the FDR/UPSTREAM End-User Restore program, an easy to use, Java based, Explorer like facility that eases restores for end-users and enables them for all users for remote restore requests. Installing End-User Restores requires the Java runtime library v1.2 or higher, enclosed with the UPSTREAM distribution (CD based); if you are installing from a single file distributed to you by UPSTREAM technical support, you will need to download the Java runtime separately.
- 16-bit UPSTREAM: (Windows 95/98 only) Installs the 16-bit version of FDR/UPSTREAM instead of the 32-bit version. Use this if you need to perform physical disk backups/restores, use FDRSOS local backup volumes, use ULTra with NetBIOS or have a 16-bit SNA.

When you have selected the options you wish to install, press the **Continue** button to return to the Select Components dialog and press the **Next>** button.

If you requested Novell Auto-Recall, you will be prompted to read the Novell chapter of this manual.

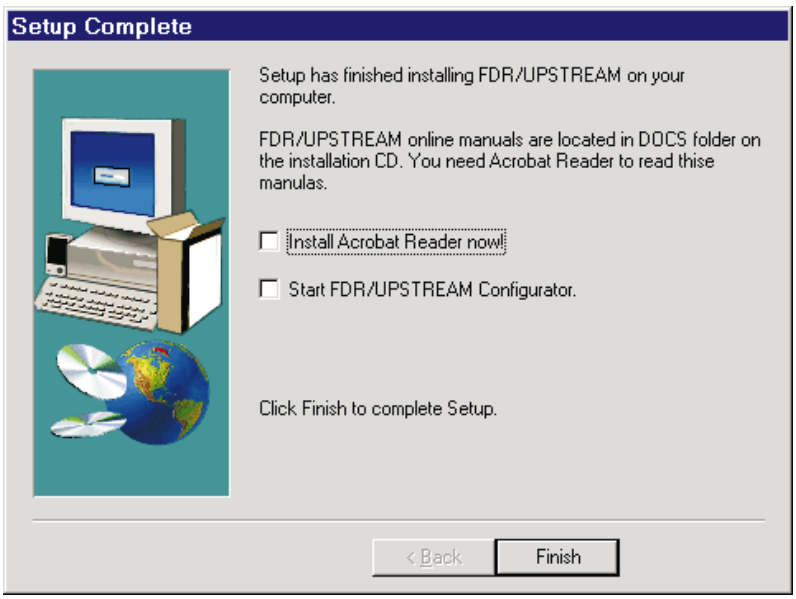
If you selected End-User Restores, the following message will be displayed:




FDR/UPSTREAM is able to detect a v1.2 or greater Java Run-time Environment (JRE); if it finds one it will use it. If you have a prior version of the JRE and wish to preserve it, press Yes. Most users will press **No** to be prompted to install the JRE 1.2 from the FDR/UPSTREAM CD. After several confirmations, the JRE will install in the background. Wait until it has completed before continuing.

Here you can select the Program Group that UPSTREAM will be installed into. The default is FDR_UPSTREAM. Press the **Next>** button to install the selected software.

When the software has been installed, press **Finish** to end the installation process. You do not need to reboot your computer.




If you check the **Install Adobe Acrobat Reader** checkbox, the Acrobat Installer is invoked. Most new users will want to check the **Start FDR/UPSTREAM Configurator** to begin configuring FDR/UPSTREAM.

When you are done, you will see the UPSTREAM program group created. Now proceed to page 3-10 to configure for FDR/UPSTREAM.

### 3.2.1. Uninstall

To uninstall FDR/UPSTREAM, enter the Control Panel and select **Add/Remove Programs**. In the **Install/Uninstall** tab highlight FDR/UPSTREAM and press the **Add/Remove** button. You will be asked if you wish to completely remove FDR/UPSTREAM and all of its components.

If you press the Yes button, you may be asked if you wish Transaction Program definitions removed from the registry. If you have defined UPSTREAM services this also pertains to you. If you wish these entries removed as well press Yes.

You will then be asked if you wish to remove configuration files, parameter files, temporary files and directories. As it says, we recommend this only if you are removing UPSTREAM permanently. Otherwise only the executables are removed.

# 3.3. Files Included

FDR/UPSTREAM consists of several files. Each file name and it's purpose is outlined here.

- Table 3-1 describes the files in the \UPSTREAM\WIN32 directory on the CD-ROM.
- Table 3-2 describes the files in the \UPSTREAM\WIN32\PLUGIN directory on the CD-ROM.
- Table 3-3 describes the files in the \UPSTREAM\WIN32\SAMPLES directory on the CD-ROM.
- Table 3-4 describes the files on the NetWare Program Diskette or the \UPSTREAM\NETWARE directory on the CD-ROM.
- Table 3-5 describes the contents of the FDR/UPSTREAM ULTra Workstation Diskette or the \ULTRA\WIN32 directory on the CD-ROM.

| File Name | Description |
|---|---|
| BACKINT.EXE | SAP R/3 backup/restore agent. |
| DB2UEXT2.EXE | UPSTREAM IBM DB/2 (UDB) agent "user exit" program. |
| DISK2 | An internal installation file. |
| GERMAN.LNG | German language file. |
| GETDISK.EXE | A DOS program to help you determine UPSTREAM disk names during disaster recovery. |
| InitSAP.utl | Sample parameter file for the SAP backup/restore agent. |
| JAPANESE.LNG | Japanese language file. |
| LNINCR.EXE | Lotus Notes Increment program. |
| LNREST.EXE | Applies incrementals taken with LNINCR. |
| MAKULTRA.EXE | A program to create bootable DOS diskettes for a single diskette Windows NT recovery. |
| MAKULTRA.TXT | A description of the process of creating DOS diskettes for a single diskette Windows NT recovery. |
| MSEXCH.EXE | Microsoft Exchange backup facility. |
| MSEXCHMB.EXE | Microsoft Exchange individual object support. |
| MSSQL.EXE | Microsoft SQL Server backup facility. |
| NTFSTIME.TXT | A text file describing the dangers of allowing Windows NT to change your clock for daylight savings time. |

| File Name | Description |
|---|---|
| ORASBT.DLL | Oracle Recovery Manager agent. |
| PARTFIX.EXE | Partition repair facility used for disaster recovery. |
| RETCODE.EXE | Allows text descriptions of the extended program return code returned by FDR/UPSTREAM and re-sets the limited return code. |
| RMTPARM.DAT | Sample parameter file, used when the attach manager (TPSTART) starts FDR/UPSTREAM (when it is not already running). |
| RMTPARM.DAT.OLD | An obsolete version of RMTPARM.DAT. |
| SERIAL.DAT | Required for modification of personalization information of FDR/UPSTREAM. |
| SETNOV.EXE | (Novell & ULTra only) FDR/UPSTREAM Novell security access specification and ULTra Profile specification program. Run this program to specify the Novell user names, servers, etc. you wish to attach to and/or the workstations to be included in an ULTra Profile. |
| SLEEP.EXE | Waits for a given number of seconds. Used for automatic upgrades. |
| ULTRAD.EXE | The DOS version of ULTra used for a single diskette Windows NT recovery. |
| UNINST.DLL | Part of the internal uninstallation facility. |
| UPSTREAM.MSG | The FDR/UPSTREAM predefined message file. This file contains many of the messages that are logged and displayed. You can modify this file to change the message text, or to change the way that it is handled (see section 11). |
| US.EXE | FDR/UPSTREAM main program. Provides the main user interface, performs the communications including backups and restores, logs events, allows inquiries and many other features. |
| US.HLP | The FDR/UPSTREAM help file. This file contains the help text that you see when you press the help (F1) button. You can modify this file to customize the text for your installation or translate it into a foreign language. |
| USATTMGR.EXE | FDR/UPSTREAM TCP/IP attach manager to start UPSTREAM on a received TCP/IP remote request. Particularly useful in a multi-user environment. |
| USCFG.EXE | FDR/UPSTREAM configurator. Use this program to specify communications parameters, system overall parameters and to set up unattended operations. |
| USCFG.HLP | FDR/UPSTREAM configurator help file. As for the FDR/UPSTREAM help file, this file contains the help information when you press the help (F1) button and is user modifiable. |
| USCMD.EXE | Command line only version of FDR/UPSTREAM. |
| USCNTL.EXE | Allows control of UPSTREAM from outside the application (or even another machine) to kill, toggle the trace, etc. |
| USEXTPWD.DLL | For the Lotus Notes incremental facility, allows unattended password entry. |

| File Name | Description |
|---|---|
| USLOGCLR.EXE | FDR/UPSTREAM log and report maintenance program. The FDR/UPSTREAM logs and reports can grow indefinitely, so a program has been provided which will shrink it down, based on a specified number of days. |
| USMODIFY.EXE | Allows command line modification of a number of FDR/UPSTREAM parameter and configuration files. |
| USOBSI.EXE | FDR/UPSTREAM BMC DataTools SQL-BackTrack OBSI for database backup, program. |
| USOBSI.MSG | FDR/UPSTREAM BMC DataTools SQL-BackTrack OBSI for database backup, help message file. |
| USORASBT.CFG | Sample configuration file for using UPSTREAM's Oracle Recovery Manager agent. |
| USSAPINS.EXE | Installation program for SAP backup/restore agent. |
| USSER | The default personalization file. This file must be named US.SER in the UPSTREAM directory or the WORKPATH for UPSTREAM to run. |
| USSTART.EXE | FDR/UPSTREAM unattended operations program. This program operates as a presentation manager program. It waits for a specified time and then starts FDR/UPSTREAM. |
| USTPCFG.EXE | (Windows NT) Assists in the creation of transaction program definitions for Microsoft SNA Server. |
| USTPSERV.EXE | (Windows NT) An optional facility that allows Microsoft SNA Server to start FDR/UPSTREAM as a service rather than an applications program. |
| USUDB.DLL | UPSTREAM IBM DB/2 (UDB) agent "vendor DLL". |
| USVERIFY.EXE | Allows you to verify backed up data with data on your disk. |
| WAIT.DAT | A sample parameter file that wait for remote requests without timing out. |

**Table 3-1**
**FDR/UPSTREAM Program Diskette Contents**

| File Name | Description |
|---|---|
| NotesR5.dll | Lotus Notes R5 plugin. |
| WinAD.dll | Windows 2000 active directory plugin. |

**Table 3-2**
**FDR/UPSTREAM Plugin Directory Contents**

| File Name | Description |
|---|---|
| ANS2ATOE.TAB | ASCII-to-EBCDIC translation table for ANSI systems (Windows NT only) - improved version. |
| ANS2ETOA.TAB | EBCDIC-to-ASCII translation table for ANSI systems (Windows NT only) - improved version. |
| ANSIATOE.TAB | ASCII-to-EBCDIC translation table for ANSI systems (Windows NT only). |
| ANSIETOA.TAB | EBCDIC-to-ASCII translation table for ANSI systems (Windows NT only) |
| AUTOINST.BAT | Automatic update facility sample batch file. |
| AUTOINST.DAT | Automatic update facility sample parameter file. |
| EXCLUDE.LST | Sample exclude list file. |
| OEM2ATOE.TAB | ASCII-to-EBCDIC translation table for all non-ANSI systems (including Windows 95/98) - improved version. |
| OEM2ETOA.TAB | EBCDIC-to-ASCII translation table for all non-ANSI systems (including Windows 95/98) - improved version. |
| OEMATOE.TAB | ASCII-to-EBCDIC translation table for all non-ANSI systems (including Windows 95/98). |
| OEMETOA.TAB | EBCDIC-to-ASCII translation table for all non-ANSI systems (including Windows 95/98) |
| SLEEP.EXE | Waits for a given amount of time before continuing. Useful for auto-upgrade. |
| ULTDOS.DAT | Sample DOS ULTra workstation automatic update parameter file. |
| ULTINST.BAT | Sample ULTra workstation installation batch job. |
| ULTNT.DAT | Sample Windows NT ULTra workstation automatic update parameter file. |
| ULTOS2.DAT | Sample OS/2 ULTra workstation automatic update parameter file. |
| ULTW95.DAT | Sample Windows 95/98 ULTra workstation automatic update parameter file. |
| ULTWIN.DAT | Sample Windows 3.1 ULTra workstation automatic update parameter file. |
| USATOE.TAB | Sample ASCII-to-EBCDIC conversion table (not recommended - use ANS2ATOE.TAB or OEM2ATOE.TAB). |
| USETOA.TAB | Sample EBCDIC-to-ASCII conversion table (not recommended - use ANS2ETOA.TAB or OEM2ETOA.TAB). |

**Table 3-3**
**FDR/UPSTREAM Win32 \SAMPLES Directory**

| File Name | Description |
|---|---|
| USLOGCLR.NLM | (NetWare Directory Services) Clears the USNDS.LOG file. See the Novell chapter for more information. |
| USNDS.NLM | (NetWare Directory Services) Provides access to NDS information for attached FDR/UPSTREAM workstations. See the Novell chapter for more information. |
| USSETUP.NLM | (NetWare Directory Services) Installs the required NLMs on a server. See the Novell chapter for more information. |

**Table 3-4**
**FDR/UPSTREAM NetWare Program Diskette**

| File Name | Description |
|---|---|
| INSTALL.EXE | Installation program for FDR/UPSTREAM ULTra on a workstation. |
| LANCOPY.EXE | Allows PC-to-PC file copies and directory listings across the LAN to PCs which have ULTRA.EXE installed. |
| ULTRA.EXE | Allows remote file access across a Novell IPX/SPX or NetBIOS LAN. |
| UPSTREAM.MSG | UPSTREAM predefined message file. |
| USLOGCLR.EXE | ULTRA.LOG (or UPSTREAM.LOG) log maintenance (shrinking) program. |

**Table 3-5**
**FDR/UPSTREAM Win32 ULTra Workstation Diskette Contents**

# 3.4. PC FDR/UPSTREAM Configuration

This section guides you in configuring FDR/UPSTREAM for your communications environment.

FDR/UPSTREAM Windows is a standard Windows® application. In these applications there are several different modes you can be in:

- A dialog: A dialog box is a box inside the main screen where you may be able to enter values, and always contains one or more buttons. Move from field to field with the TAB key or by selecting the field with a mouse. Leave the screen by pressing one of the buttons (by moving the cursor to the button and pressing the space bar, or by double clicking the mouse on the button), or by pressing [ESC] (which is like moving to the CANCEL button and pressing it).
- The full screen: You get access to FDR/UPSTREAM functions by pressing the [ALT] key in conjunction with the first letter of one of the menu items at the top of the screen. This will pull down one of the menus and allow you to move the cursor with the cursor keys to the function you wish to perform; you [ENTER] to perform that function. You can also select a menu item by clicking the mouse on the menu. Finally, there are keyboard “accelerators” for many of the menu items. When you pull down the menu you can see what they are. You can access a function by just pressing the accelerator combination (like [ALT]B for backup).

The UPSTREAM configurator is a 16-bit application and should be run from the Shortcut we create or from a mapped drive; it won’t work correctly if run directly from the Explorer.

In most places in the program, you can get help about a field or a button by pressing the F1 (help) key. This provides context sensitive help about the field or button. If you need additional help, press the INDEX button to get access to helps about other fields or general subjects.

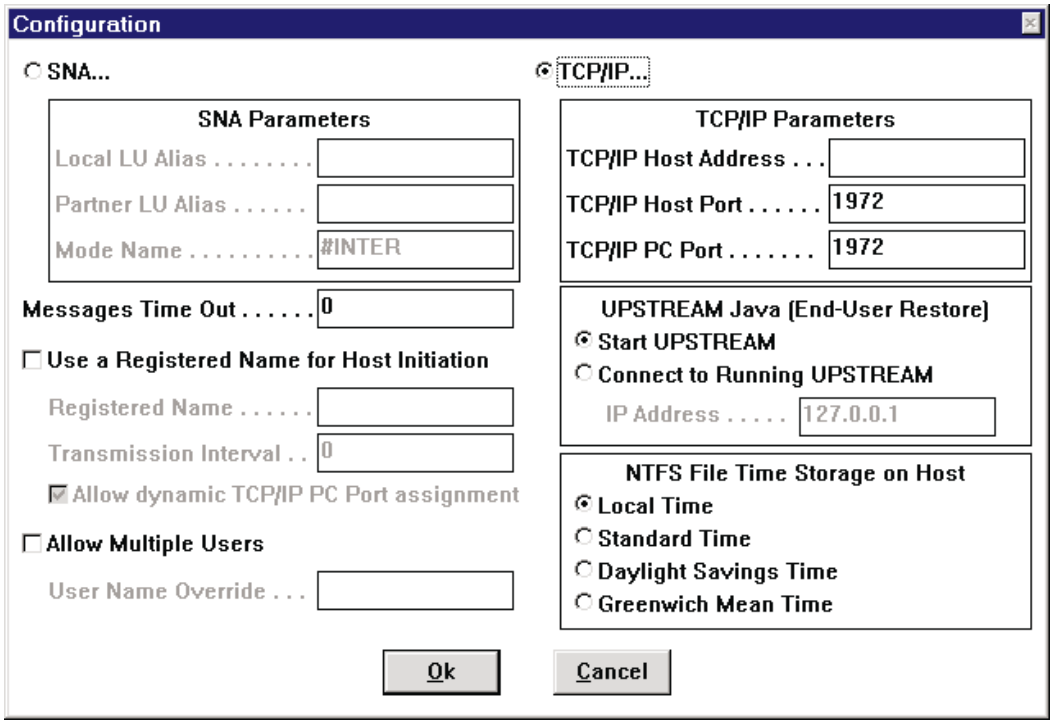
To abort what you are doing in a dialog, press the ESC key. To leave FDR/UPSTREAM from the full screen, pull down the File menu and select Exit, or press the [ALT]X accelerator.

If you feel confused with all these options, don’t worry. It works easier than it sounds. The beginning of this section will walk you slowly through the first screens so that you can get the feel of the interface.

To enter the FDR/UPSTREAM **Configurator**, select it from the FDR/UPSTREAM program group.

If this is the first time you’ve run the configurator, you will see an error message saying “No such file or directory”. This means that when FDR/UPSTREAM searched for the default configuration file it could not find it. Press the space bar or click the mouse on the **<Ok>** button to continue.

In the Configuration screen you enter the host connection parameters.




This screen is a dialog. If you are using SNA/APPC to connect to the host press the **SNA...** radio button and see the following section. If you are using TCP/IP press the **TCP/IP...** radio button and go to section 3.4.2., 3.4.2.

Note that when you press the SNA... radio button the TCP/IP parameter fields are grayed and become unavailable; when you press the TCP/IP... radio button the SNA parameter fields are grayed and become unavailable.

### 3.4.1. Configuring for a SNA Host Connection

Most of the fields are from your communications configuration.

- **Local LU Alias:** Specify up to 8 characters indicating the logical unit profile name as created in the communications configuration. We recommend using the actual local LU name as defined on the host. This is always required.

- **Partner LU Alias:** Specify up to 8 characters indicating the partner logical unit profile name as created in the communications configuration. Most often this is UPSTREAM. This is always required.

- **Mode Name:** Specify up to 8 characters indicating the Mode Name as specified in the communications configuration. Most often this is either USTMODE or #INTER. The default is #INTER. This field is required.

If you are satisfied with these parameters go to section 3.4.3., 3.4.3. to complete your configuration.

### 3.4.2. Configuring for a TCP/IP Host Connection

The following are the TCP/IP specific parameters:

- **TCP/IP Address:** Enter the IP address of the host adapter that you will be connecting to. Enter the doted decimal notation. For example: 130.50.75.1. This field is required and there is no default.

- **TCP/IP Host Port:** Enter the IP port that FDR/UPSTREAM MVS was installed on. Enter a decimal number. This field is required; in most cases you can accept the default of 1972.

- **TCP/IP PC Port:** Enter an IP port that FDR/UPSTREAM on other computers can use to contact your PC. This field is optional; in most cases you can accept the default of 1972.

When you have completed entering the TCP/IP specific information, proceed to the next section to complete your configuration.

### 3.4.3. Completing the Configuration

There are several fields common to both connectivity types in this dialog:

- **Messages Time Out:** FDR/UPSTREAM error messages should be configured in a production (unattended) mode to go away automatically after a given amount of time, or not be displayed at all. The default of 0 is what you should use at first (messages stay on the screen until you press the space bar). When you are in production or performance testing, specify a number of seconds that messages should be displayed. We recommend a value of 3 (seconds). -1 causes messages to not be displayed at all.

- **Use a Registered Name for Host Initiation:** Check this box if you wish to register a name with FDR/UPSTREAM MVS that host and other workstation/server requests can use to find your workstation. You must register a name if you wish to use the auto-update facility. Note that checking this box may cause occasional errors (which can be ignored) if the workstation/server is updating its registration information when a remote request is received. You must enter a Registered Name if you check this box. The default is not checked.

- **Registered Name:** Enter any name, unique within FDR/UPSTREAM MVS, that can be used to allow the host and other PCs to find your workstation. You can enter up to 16 characters which can include embedded spaces. Note that if there are duplicate names no errors are reported; the most recently used registered name is used.

- **Allow Dynamic TCP/IP PC Port Assignment:** Enabled only if you use Registered Names, UPSTREAM will search for an unused port, starting at the 'PC port' (above) before listening on it and then register the port with the host. Recommended unless you must listen on a specific port or don't use registered names for host initiation.

- **Transmission Interval:** Enter a number which indicates how often (in minutes) you will reregister the registration name with FDR/UPSTREAM MVS. Most users will use the default of 0, which causes the registration to happen just once on FDR/UPSTREAM startup. The main reason to specify a non zero value is if you are using TCP/IP with the DHCP facility enabled and your IP address may change from time to time.

- **Allow Multiple Users:** We recommend that you not **check this** box until you are ready to use FDR/UPSTREAM for multiple users or multiple simultaneous backups. See the *Running More than One Copy* chapter for more information.

The options in the **UPSTREAM Java (End-User Restore)** frame a described fully in the *End-User Restore* chapter.

The options in the **NTFS File Time Storage on Host** frame are described fully in the *Windows NT Server Considerations* chapter on page 16-5.

If you are satisfied with these parameters, press the SPACE bar when the **<Ok>** button is highlighted or click on it with the mouse; you will be asked for the file name to save these parameters to.

In this dialog box, you can type the name of the file you want to save your configuration parameters to. The default is UPSTREAM.CFG, but you can use any file name and any directory. If the file and path is too large for the edit field, it will scroll horizontally. Press the **<Ok>** button to save the parameters to the file you specify.

The configuration parameters are saved in text format. You can modify them with a text editor if you choose. The parameters and their values are discussed in the Advanced Configuration options chapter.

If you are using TCP/IP, proceed to page 3-48 to complete setting up your machine for FDR/UPSTREAM backups. If you are using SNA with the Microsoft SNA Server or SNA Workstation, the next section will discuss this configuration.

# 3.5. SNA Configuration Overview

The process of configuring FDR/UPSTREAM for APPC involves several issues:

- Configuring VTAM
- Configuring FDR/UPSTREAM MVS
- Configuring the APPC software for Windows NT

Careful planning is essential in configuring SNA software. You should review the entire process before beginning and fill out the worksheets for each section or have information available.

# 3.6. Pre-PC Configuration Issues

### 3.6.1. Configuring VTAM

You should have your VTAM systems programmer configure the VTAM environment, or modify the existing environment if it is insufficient for FDR/UPSTREAM (i.e. a mode definition that doesn't support LU 6.2). Worksheet 3-1 should be filled out by this person or the information should be obtained from this person. A NCP regeneration is rarely required.

Mode names define certain characteristics about the conversation including pacing counts and RU sizes. Innovation provides a sample mode of USTMODE which will always work. Since it can be difficult to install a new mode entry, you can use the VTAM predefined mode #INTER. #INTER can be dangerous to modify, so if it's values are unacceptable, you may choose to define a mode name of your own (or use USTMODE).

See the FDR/UPSTREAM MVS manual for suggestions on configuring VTAM.

**NOTE: The host mode entry determines values like RU size, and the APPL definition determines the receive pacing which have a significant affect on performance. We recommend that you define a mode entry that initially sets the RU size at 4096, or use USTMODE which is provided as a sample, or the VTAM predefined mode #INTER and an APPL definition which sets receive pacing at 8.**

**NOTE: It is recommended that you use dependent LUs (non-zero LU Local Addresses) for FDR/UPSTREAM PCs. Independent LUs tend to be more difficult to configure and offer few benefits.**

| Name | Description | Your Value |
|---|---|---|
| SNA Network Name | The name of the SNA network to which you belong. This is optional in many environments. | |
| Partner LU Name | The APPLID of FDR/UPSTREAM on the host. Supplied sample: UPSTREAM. | |
| LU Number | The LU local address. Many users will use 2. | |
| Mode Name | The mode table entry name. The supplied sample: USTMODE or you can use the VTAM predefined value of #INTER. | |
| Receive Pacing Size | A number from 1 to 63 of the number of RUs to be received in succession before a low-level acknowledgment. NEVER use 0. We recommend 8 or 10 initially. | |
| Controller LAA (Token-Ring only) | The locally administered address of the 3174, 3172 or 37xx front end. This is a 12 hex digit number usually starting with 4. | |
| PC LAA (Token-Ring only) | The locally administered address of the PC. This value must be unique on the ring and for 3174 connections, must be defined in the controller. | |
| LU Name | The name of the PC LU to be used. | |
| IDBLK (37xx or 3172 only) | The 3 hex digit IDBLK component of the XID. | |
| IDNUM (37xx or 3172 only) | The 5 hex digit IDNUM component of the XID. | |

**Worksheet 3-1**
**VTAM definitions for a FDR/UPSTREAM PC**

### 3.6.2. Token-Ring Considerations

If you have an access to a direct Token-Ring connection to the host, it is strongly recommended that you use it for FDR/UPSTREAM.

If you are using a 37xx front end or a 3172 controller, the configuration is entirely in VTAM. If you are using a 3174 controller, then you will need a device configuration for the PC if one doesn't already exist. Worksheet 3-2 should be filled out by the host personnel who configures or maintains the 3174 cluster controller

| Name | Description | Your Value |
|---|---|---|
| PC LAA | The locally administered address of the PC as known to the controller. | |
| Transmit I-Frame Size | This is 9 bytes greater than the maximum RU size you can support. We recommend that this be 1033 or greater. | |
| SAP | Service Access Point. Should always be 4. | |

**Worksheet 3-2**
**3174-to-UPSTREAM Configuration**

**NOTE: There are two locally administered addresses used: the address of the controller and the address of the PC. You enter the address of the controller in the APPC configuration. You enter the address of the PC in the Windows NT Control Panel.**

### 3.6.3. FDR/UPSTREAM MVS Issues

You will need to have installed FDR/UPSTREAM MVS before beginning the configuration of a FDR/UPSTREAM Windows NT node. The FDR/UPSTREAM MVS configuration defines storage and security attributes to be used in storing backups.

The configuration for each PC on FDR/UPSTREAM MVS, including backup profiles, security, etc. should be complete before beginning the PC configuration.

Worksheet 3-3 contains the information that you will need for FDR/UPSTREAM Windows NT before you can begin testing.

| Name | Description | Your Value |
|---|---|---|
| Backup Profile | An 8 character identifier used as a key for the storage of a group of backups. | |
| User ID & Password | The user ID and password required to access the requested backup profile (may not be required). | |
| Sequential tape backups allowed | Whether direct-to-tape backups are allowed. | |
| Sequential disk backups allowed | Whether sequential disk backups (which can be SMS controlled) are allowed. | |

**Worksheet 3-3**
**FDR/UPSTREAM MVS Configuration for Testing**

See the FDR/UPSTREAM MVS manual for assistance on setting up a FDR/UPSTREAM Windows NT user.

# 3.7. Configuring SNA Server

The following table lists the steps in configuring your version of SNA.

| Section | Page | Version/Step | Notes |
|---|---|---|---|
| 3.8., 3.9. | 3-21 | SNA Server v3.x or v4.x Connection Configuration | Only required if you do not already have a host connection configured. |
| 3.9., 3.10. | 3-26 | MS SNA Server in FDR/UPSTREAM | For SNA Server v2 and SNA Server v3 with a snacfg.exe dates March 18, 1997 or later. Allows LU/PLU/Mode definitions with ease. |
| 3.10., 3.11. | 3-29 | Transaction/Service Program Definition | Required for all versions of SNA Server/Workstation |
| 3.11.1., 3.13. | 3-34 | Manually Configuring SNA Server v2.x | Only required if you do not use the MS SNA Server definition facility in FDR/UPSTREAM. |
| 3.12., 3.14. | 3-34 | Manually Configuring SNA Server v3.x, v4.x | Only required if you do not use the MS SNA Server definition facility in FDR/UPSTREAM or do not have snacfg.exe dated March 18, 1997 or later. |
| 3.13., 3.15. | 3-39 | IBM Personal Communications IBM Communications Server | For configuring IBM Personal Communications or IBM Communications Server for FDR/UPSTREAM. |
| 3.14., 3.16. | 3-48 | FDR/UPSTREAM and Windows NT | Required definitions of your Windows NT environment. |
| 3.11., 3.12. | 3-32 | Advanced SNA Server | Advanced configuration and problem determination issues for SNA Server. |

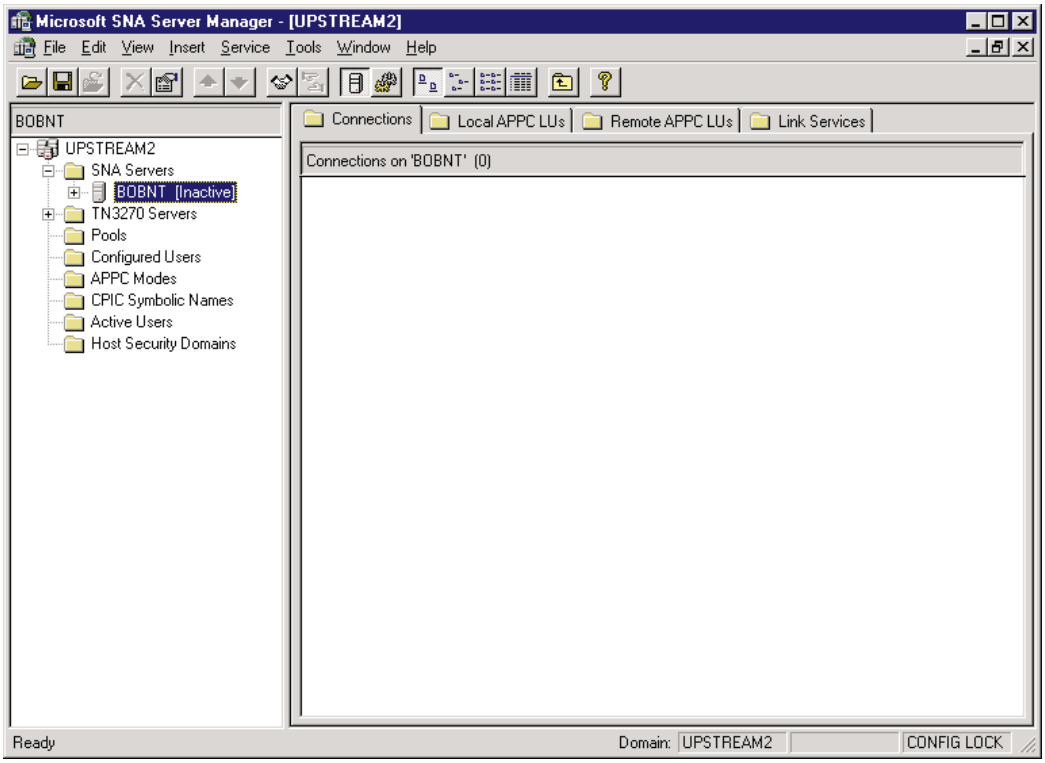
# 3.8. SNA Server v3.x, v4.x Connection Configuration

This section describes the configuration for the Microsoft SNA Server in the Windows NT operating system using Token-Ring as your SNA connection to the host. It is always recommended that you define a 3270 connection first.

If you have 3270 already running you can skip to page 3-29 to your transaction program definition in SNA Server/Workstation for FDR/UPSTREAM. However, we do recommend that you review the parameters suggested below as they may help in improving performance.

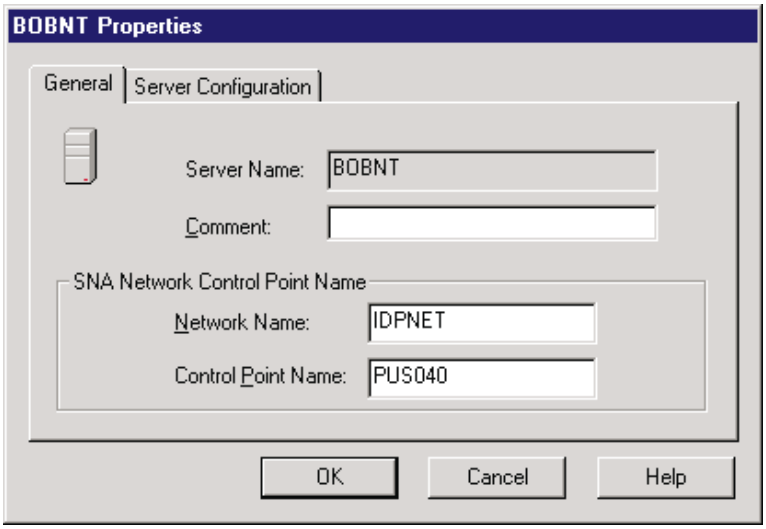
Before you begin configuring SNA Server you should install your LAN Adapter using the Windows NT Network Applet in the Control Panel. Note that you will also need the **DLC protocol** as well.

From the **Microsoft SNA Server** menu, select **Manager.**




### 3.8.1. Server Properties

Highlight the server (BOBNT in the example above), pull down the **View** menu and select **Properties**. This will display the server properties dialog.




In the **General** property tab enter:

- **Network Name:** Enter your SNA network name. This can be obtained from your SNA Network Administrator. Most users cannot use the default.

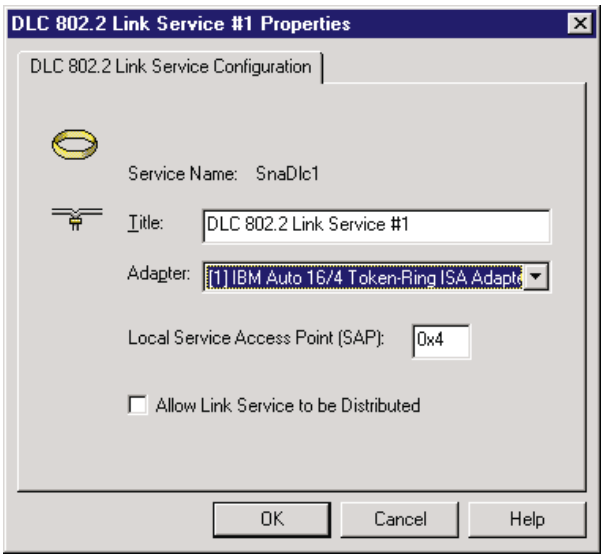
- **Control Point Name:** Enter your physical unit name. This can be obtained by a VTAM programmer from the PU definition on the host.

The server configuration properties only need to be changed for workstations not on the SNA Server.

Press the **Ok** button to return to the Server Manager.

### 3.8.2. Add a Link Service

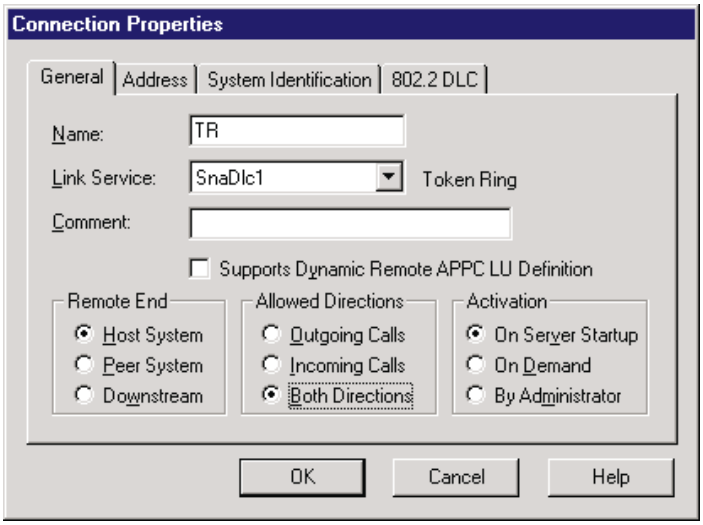
From the SNA Server Manager screen, highlight your server, pull down the **Insert** menu and select **Link Service**. Select **DLC 802.2 Link Service** and press the **Add** button. This will display the DLC properties list.




Select the adapter that you will use for SNA connectivity and press the **Ok** button to return insert dialog; press the **Finish** button to return to the SNA Server Manager screen. Note that it may take some time during which SNA Server inserts the link service.

### 3.8.3. Add a Connection

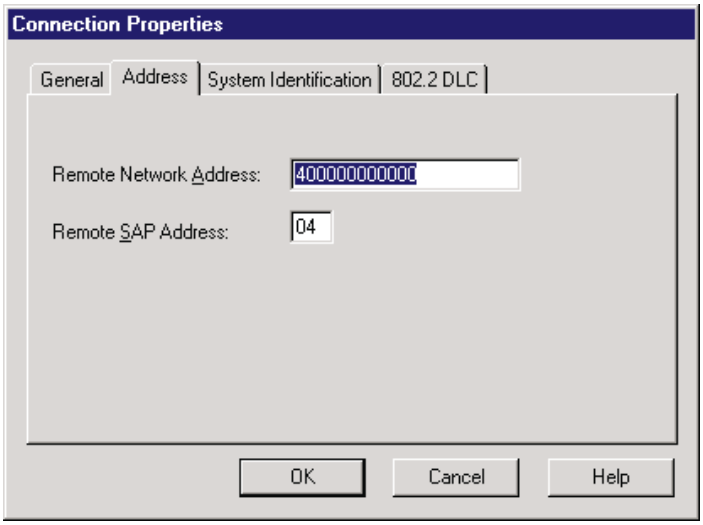
From the SNA Server Manager screen, highlight your server, pull down the **Insert** menu and select **Connection** and then **802.2** from the Connection submenu.. Select **DLC 802.2 Link Service** and press the **Add** button. This will display the connection properties list - general tab.




- **Name:** Enter any name you can remember. We recommend **TR** for Token-Ring and **ETH** for Ethernet.
- **Link Service:** Most users will enter **SnaDlc1**.

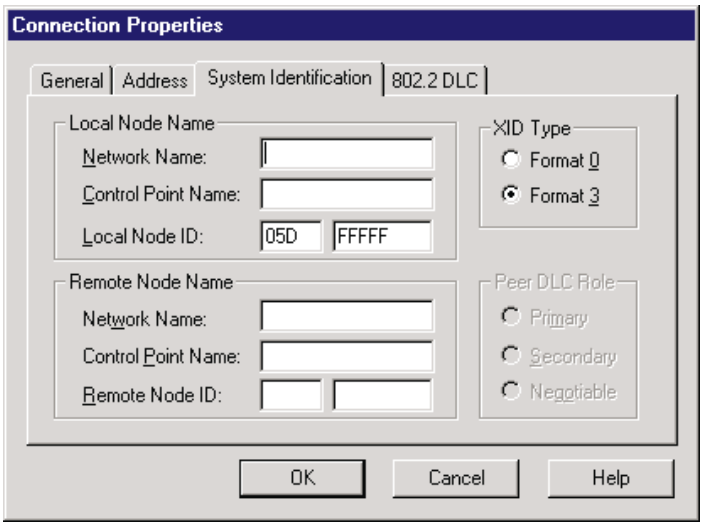
- ☐ **Supports Dynamic Remote APPC LU Definition:** Most users will **not** check this box.
- ☐ **Remote End:** Most users will enter **Host System**.
- ☐ **Allowed Directions:** Select **Both Directions**.
- ☐ **Activation:** Most users will select **On Server Startup**.

Press the **Address** tab in the Property list.




- ☐ **Remote Network Address:** Enter the 12 digit Token-Ring or Ethernet address of your 3174, 3172 or 37xx.
- ☐ **Remote SAP Address:** Most users will use the default of **04.**

Press the System Identification tab.



In the Local Node Name frame:

- ☐ **Network Name:** Enter the SNA network name used to identify VTAM. Obtain this from your VTAM system administrator.
- ☐ **Control Point Name:** Obtain your CPNAME as defined in the local or switched major node definition for your PU from your VTAM administrator.
- ☐ **Local Node ID:** Enter the IDBLK in the first box and IDNUM in the second box as defined in the switched major node definition for your PU. This field is not used for 3174 connections.

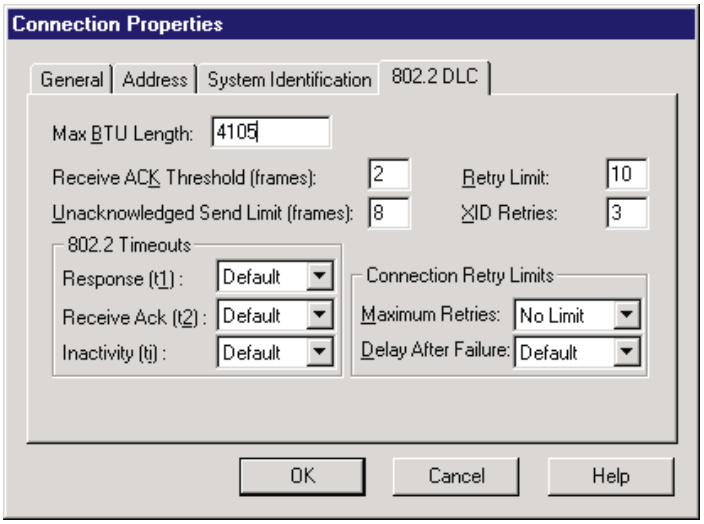
In the XID Type frame:

- ☐ **XID Type:** Most users will select **Format 3** as it allows the most flexibility. However, you must select Format 0 if you are connecting through a 3174 without config support C or any other non-XID negotiable device.

In the Remote Node Name frame:

- ☐ **Network Name:** Enter the SNA network name used to identify VTAM. Obtain this from your VTAM system administrator.
- ☐ **Control Point Name:** Enter your mainframe's control point or PU name. Obtain this from your VTAM system administrator. This is the value specified for the SSCPNAME parameter in the ATCSTR00 member of SYS1.VTAMLST.
- ☐ **Remote Node ID:** Most users will use the default IDNUM of **05D** (in the first box) and IDBLK of **FFFFF** (in the second box).

Press the **802.2 DLC** tab.




- ☐ **Max BTU Length:** Most users will enter **4105** for Token-Ring for best performance.

The remaining fields can be left at defaults. Press the Ok button to return to the SNA Server Manager screen.

Proceed to page 3-29 to configure a transaction program definition for FDR/UPSTREAM.

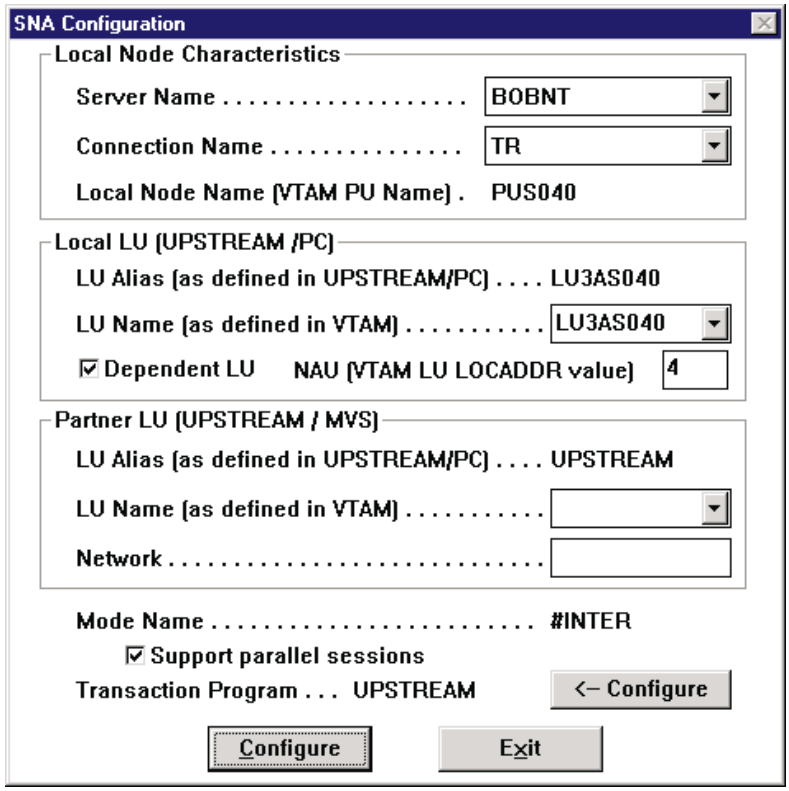
# 3.9. MS SNA Server in FDR/UPSTREAM

The FDR/UPSTREAM Configurator provides a simplified method of configuring Microsoft SNA Server/Workstation for use with UPSTREAM. We recommend using it to create or modify SNA Server definitions for UPSTREAM. If you wish to make these definitions manually, see page which describes the process.

**If you have SNA Server v3 you must have snacfg.exe dated March 18, 1997 or later to successfully use this facility. This file is available on the FDR/UPSTREAM BBS or from Microsoft.**

From the UPSTREAM Program Group, select the UPSTREAM Configurator. Verify that the parameter values that you specified are correct. If they are, press the <Cancel> button followed by the <Yes> button to skip the initial configuration dialog. Pull down the Action menu and select MS SNA Configuration.

You will be asked to open your SNA Server configuration file (defaulting to **c:\sna\system\config\com.cfg**); if your configuration file is in a different directory or drive enter it here. After you open this file, you will see the SNA Configuration dialog.




In the Local Node Characteristics frame:

- **Server Name:** Select the SNA Server you wish to configure from the pull-down menu.

- ☐ **Connection Name:** Select your host connection from the pull-down menu.

- ☐ **Local Node Name:** Automatically filled in from your server/connection definition. This is a display only field.

In the Local LU frame:

- ☐ **LU Alias (as defined in UPSTREAM/PC):** Automatically extracted from your earlier definition. This is a display only field.

- ☐ **LU Name (as defined in VTAM)**: Enter your PC's logical unit name as defined by your VTAM system administrator. You can pull down the menu to see a list of currently defined local LUs; if you select one, you can modify its value.

- ☐ **Dependent LU:** Check this box if your local LU is a dependent LU (has a non-zero LU number). If you check this box you must also enter the NAU (VTAM LU LOCADDR value). It is recommended that you not check this box if you are using the mode #INTER or you want to use parallel sessions and that you check this box if you are using the mode USTMODE.

- ☐ **NAU (VTAM LU LOCADDR value):** Enter the VTAM LOCADDR value as defined by your VTAM system administrator, in the range 1-254; do not enter 0 (which is reserved for independent LUs).

In the Partner LU (UPSTREAM/MVS) frame:

- ☐ **LU Alias (as defined in UPSTREAM PC):** This field is filled in automatically with the value from the UPSTREAM configuration. This is a display only field.

- ☐ **LU Name (as defined in VTAM):** Enter the host logical unit name as defined by your VTAM system administrator. The default as installed on UPSTREAM/MVS is UPSTREAM. You can pull down the menu to see a list of currently defined partner LUs; if you select one, this will modify its value.

- ☐ **Network:** Enter the SNA network that UPSTREAM/MVS is located in. Most users will enter the Network as defined above in Local Node Characteristics.

The parameters not in a frame:

- ☐ **Mode Name:** This field is filled in automatically with the value from the UPSTREAM configuration. This is a display-only field.

- ☐ **Support parallel sessions:** Parallel sessions allow multiple conversations on a single LU. While a single copy of UPSTREAM does not inherently take advantage of this feature, if you run multiple copies of UPSTREAM you can use the same SNA definitions for all of them. Check this box if you wish to support parallel sessions. If you are using the #INTER mode name, this box is checked; if you are using USTMODE you should usually not check this box. You cannot check this box if you are using dependent LUs.

- ☐ **Transaction Program:** This is your transaction program name as defined in the Advanced dialog. This value MUST match the value entered in the transaction program configuration program (USTPCFG). It should be changed if you are using multiple copies of UPSTREAM. See the *Running More Than One Copy* chapter for more information. This is a display only field.

- ☐ **Configure Transaction Program:** Press this button if you wish to run the USTPCFG program automatically, which allows the configuration of transaction program definitions. When configuring initially, you must press this button.

Press the **<Configure>** button to begin the configuration update process.

As the configuration proceeds, you will see several informative messages, such as a reminder to assign mode tables to LUs in VTAM, notification about existing configuration entries, etc. If there are fatal errors, including security failures and the like, you should note them and correct them. If you pressed the <-Configure button, you will be brought into the USTPCFG program to update your transaction program definitions (see section 3.10., 3.11.).

Note that the configuration process works on the configuration file, not the active configuration itself. You will be asked to make sure that SNA Server Admin is not currently running before the final updates are made. If the SNA Server Admin program is running at the time the update is attempted, you will receive the error message "Insufficient privilege or the configuration file is locked for read/write access."

Once the updates have been made, restart SNA Server Admin and activate your connection. Note that when you highlight the service, you will see your new local LU definition in the LU list, and when you highlight the connection, you will see the new partner LU definition in the LU list.

# 3.10. Transaction Program Definition

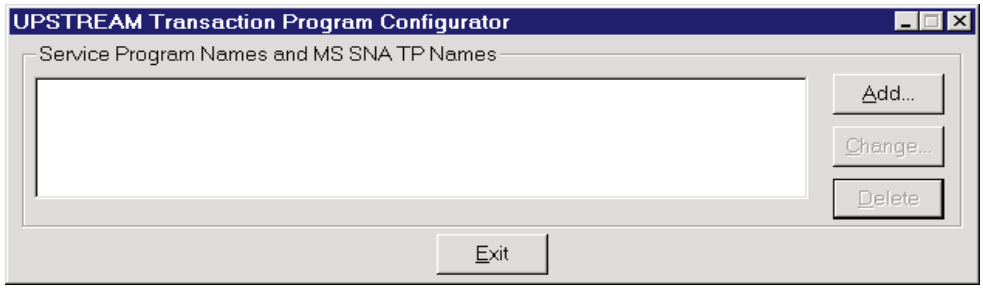
To complete your configuration, you will need to configure transaction program support. This is required even if you do not want to support host initiates as FDR/UPSTREAM will hang if this is not specified correctly. If you are running TCP/IP you must still run this program if you are configuring FDR/UPSTREAM to run as a service.

The description here is for defining FDR/UPSTREAM as an application (which is recommended for initial testing) rather than as a service. To configure FDR/UPSTREAM as a service see page 3-48.

You can run this program in a variety of ways:

- Starting the **TP and Service Configurator** program from the UPSTREAM program group.
- Pressing the **<-Configure** button from the MS SNA Configuration dialog within the FDR/UPSTREAM Configurator (USCFG).
- Selecting **UPSTREAM TP Configuration** from the Action menu of the FDR/UPSTREAM Configurator (USCFG).
- From a command prompt by running the program C:\UPSTREAM\USTPCFG.EXE.

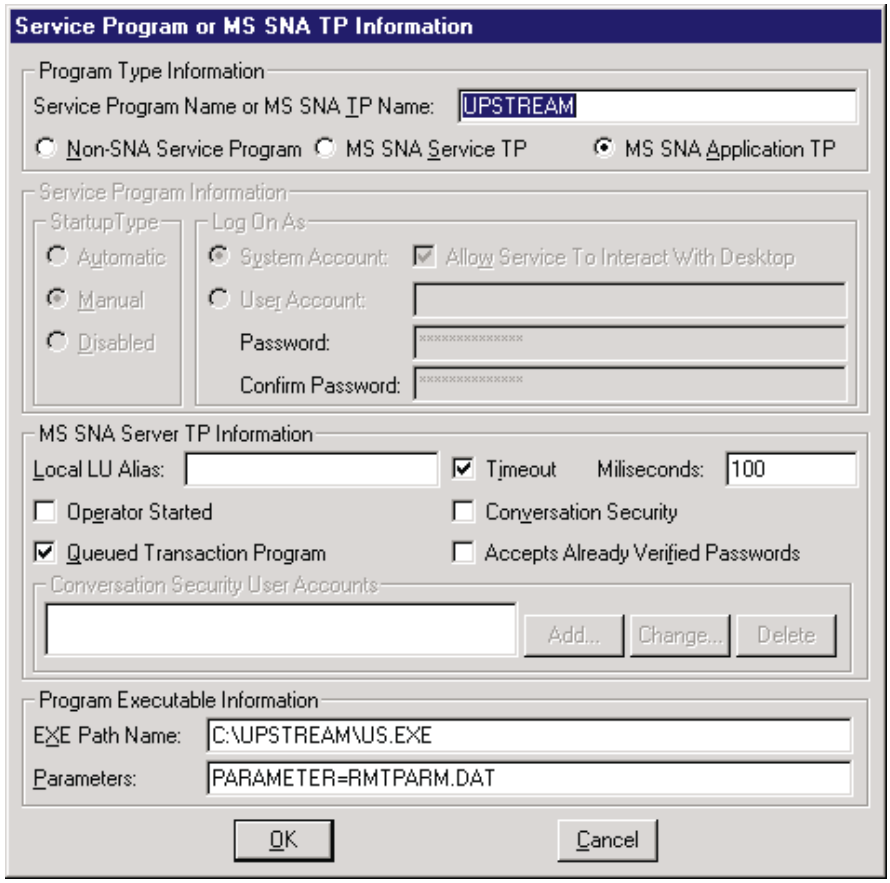
This is an Innovation program designed specifically to modify the registry for APPC Transaction Program definitions.




The FDR/UPSTREAM Transaction Program Configurator examines the Registry entries for SNA Server transaction programs and allows you to add, delete, or change these entries.

When you enter this program you may see a message: **Unable to open a key in the registry.** In most cases this message can be ignored.

When entering for this first time, press the **<Add>** button. The UPSTREAM Transaction Program Information dialog will be displayed.




- **TP Name:** In most cases your transaction program name will be **UPSTREAM**. This must be entered in UPPER case.

The radio buttons select the way that you wish to run UPSTREAM. Note that several fields will be grayed/enabled based on your selection.

- **Non-SNA Service Program.** Generally used when UPSTREAM is run under TCP/IP or if you wish to run some other program (other than UPSTREAM) as a service.

- **MS SNA Service TP:** Press this button if you wish to run UPSTREAM as a service. Many users will press this button once you put UPSTREAM in production. See page for a description of running UPSTREAM as a service.

- **MS SNA Application TP:** Press this button if you wish to run UPSTREAM as an application program. We recommend during your initial installation that you **press** this button.

Section on page describes the service definition parameters.

The MS SNA Server TP Information parameters are:

- **Local LU Alias:** Enter the Local LU Alias (specified in the Local LU definition earlier) which will be used for servicing remote requests for the TP Name.

- **Operator Started:** Check this box if you which to be prompted to start FDR/UPSTREAM when a remote initiate is received or if FDR/UPSTREAM will already be running. Do not check this box if you wish SNA Server to start FDR/UPSTREAM. We recommend that you **not check** this box.

- ☐ **Queued Transaction Program:** We recommend that you always **check** this box for FDR/UPSTREAM.
- ☐ **Timeout:** We recommend that you always **check** this box for FDR/UPSTREAM to avoid hangs.
- ☐ **Milliseconds:** We recommend that you use the default of **100**.
- ☐ **Conversation Security:** We recommend that you **not check** this box for FDR/UPSTREAM.
- ☐ **Accepts Already Verified Passwords:** We recommend that you **not check** this box for FDR/UPSTREAM.

The Program Executable frame contains:

- ☐ **EXE Path Name:** This is the fully qualified name of the program that you wish started when a remote request is received for the given transaction program name. For the application method, most users will enter: **C:\UPSTREAM\US.EXE**.
- ☐ **Parameters:** Enter the command line parameters to the program name specified above. If you are using the application method, we recommend: **PARAMETER=RMTPARM.DAT** which will process received requests and then terminate automatically.

When you have completed this dialog, press the **<Ok>** button to save your information and return to the Transaction Program Configurator main dialog. Press the **<Cancel>** button to exit the program.

On the receipt of a remote request, UPSTREAM can be started by:

- The Microsoft SNA Server/Workstation. It requires that their program **TPSTART.EXE** be running. If you will be host initiating backups/restores, you should place the program C:\SNA\SYSTEM\TPSTART.EXE in your Windows NT Startup group. There are known problems with TPSTART.EXE with a file date prior to December 1, 1995, so we recommend that you check your version and if it is old, pick up the newest one from Microsoft, the UPSTREAM BBS or contact your sales representative.
- IBM Personal Communications or IBM Communications Server. It requires that their services be running.
- FDR/UPSTREAM TCP/IP attach manager (USATTMGR.EXE). See the *Running More Than One Copy* chapter for more information.

Otherwise, UPSTREAM must already be running.

If you used the UPSTREAM Configurator to configure SNA Server this is the last step in the configuration process. If you have reviewed the information on page 3-48 concerning the setup of your Windows NT machine for UPSTREAM, you can now proceed to chapter 8 to begin your first backup.

If you are running SNA Server v3 or v4 and wish to configure it manually for FDR/UPSTREAM go to page 3-34.

# 3.11. Advanced MS SNA Server

You can use the MS SNA Configuration facility in UPSTREAM to configure:

- UPSTREAM on the SNA Server PC.
- UPSTREAM on a workstation (not the SNA Server PC).
- SNA definitions for workstations using UPSTREAM from the server or any other PC.

There are no specific issues with configuring UPSTREAM to run on the SNA Server.

If you are not running UPSTREAM on the SNA Server and wish to use the UPSTREAM configuration facilities, you must have a drive letter connected to the disk on the PC where SNA Server is running so that the configuration file can be read and written to. You are also going to have to be able to shut down SNA Server so that the configuration file can be updated at the end of the process.

If you are defining SNA definitions for another workstation, you must either run the MS SNA Configuration within UPSTREAM or have a drive letter attached to the drive where SNA Server is running from to update the SNA Server configuration. Note that you cannot update the transaction program definitions for another PC, as these entries must be written to the destination PCs registry.

There are a variety of log and informational files created during the MS SNA Configuration:

- **USSNACFG.ERR**: Recreated in the UPSTREAM work path directory every time you run the MS SNA Configuration, this file shows the error messages displayed.
- **USSNACFG.SRC:** Stored in the directory with the configuration file, the original configuration, before any changes you made.
- **USSNACFG.LOG:** Stored in the directory with the configuration file, the history of all modifications made by the UPSTREAM SNA Configurator.
- **USSNACFG.UPD:** Stored in the directory with the configuration file, the updates to the configuration.

### 3.11.1. Problems

There are three useful tools provided by SNA Server for problem determination: the system event viewer, SNACFG.EXE for displaying configuration files and the trace facility.

The first step in problem determination with SNA Server is to check the Application Event Log. SNA Server uses this log for all its logging. To access the event log, run the Event Viewer program in the Administrative Tools program group. Pull down the Log menu and make sure that Application is checked. Double-clicking an entry shows significant detail.

Often, it can be very helpful in getting SNA communications configured properly, to be able to print out the communications definition so that it can be compared to the host switched major node definition. SNA Server includes a program which allows you to display and printout its definition: SNACFG. It has several options, but we recommend the use of the /DISPLAY option, and the output redirected to a file. For example:

```
C:\SNA\SYSTEM> snacfg /display > c:\com.out
```

SNA Server provides a complex trace facility. It is available in the SNA Server program group as SNA Server Trace. The actual program is C:\SNA\SYSTEM\SNATRACE.

In most cases, technical support will need to see the traces generated from the SnaDlc1 service with Data Link Control messages enabled. Note that traces are stored as two files in the \SNA\TRACES directory: LINKMSG1.TRC and LINKMSG2.TRC.

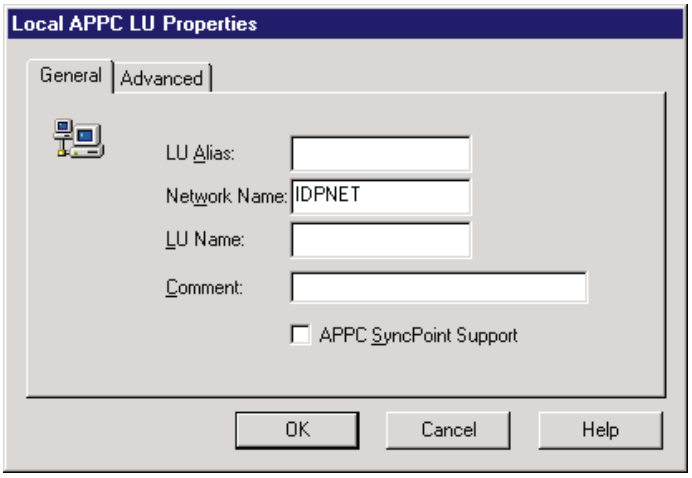
# 3.12. Manually Configuring SNA Server v3, v4

The following are the steps for manually configuring for FDR/UPSTREAM using the SNA Server Manager Program.

### 3.12.1. Local LU Configuration

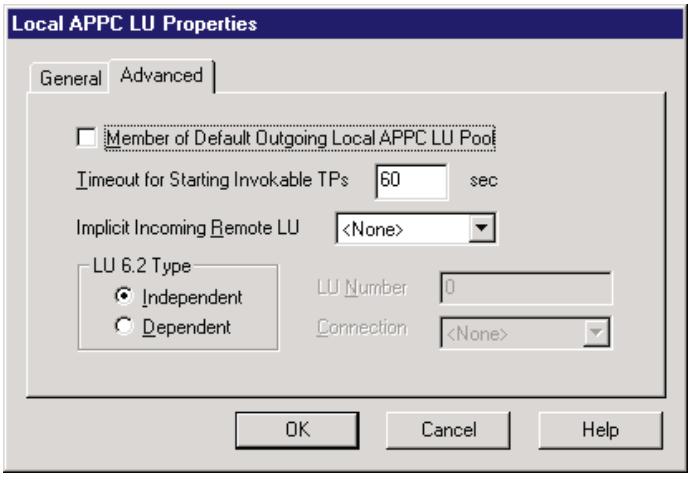
You must configure a local LU for use by APPC regardless of existing 3270 definitions.

Highlight your service name, pull down the **Insert** menu, select the **APPC** menu item and then the **Local LU** submenu. . You will see the General tab in the Local APPC LU properties list.




- ☐ **LU Alias:** Enter any 8 character value. This value must be in UPPER case. You will need to remember this value as the Local LU Alias for the FDR/UPSTREAM configuration. We suggest using the same value as your Local LU Name (below).
- ☐ **Network Name:** Enter your SNA network name. This value can be obtained from your VTAM programmer.
- ☐ **LU Name:** Enter the LU name configured for your PC to use with FDR/UPSTREAM. This value is configured in the same place as the LU Local Address on the host (i.e. the label for the LU definition in VTAM).
- ☐ **Comment:** Enter any text to help you remember this definition.
- ☐ **APPC SyncPoint Support:** We recommend that you **not** check this box.

Press the **Advanced** tab.



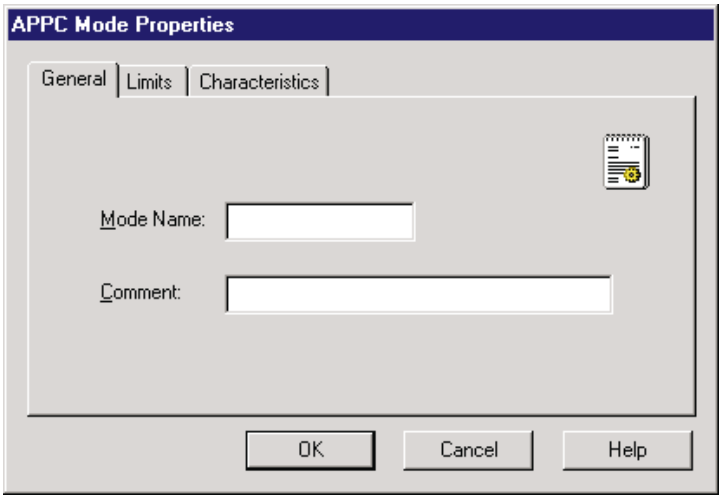

- **Member of Default Outgoing Local APPC LU Pool:** We recommend that you **not** check this box.
- **Timeout for Starting Invokable TPs:** We recommend that you leave the default of **60** seconds.
- **Implicit Incoming Remote LU:** We recommend that you use the default of **<None>**.
- **LU 6.2 Type:** Select Independent if your local LU uses the LU number (LOCADDR) 0. Select dependent if you have a non-zero LU number (LOCADDR).
- **LU Number:** (Dependent LUS only) Enter the LU Number (LOCADDR) of your LU.
- **Connection:** (Dependent LUs only) Pull down and select the connection you entered earlier.

Press **Ok** to save your local LU definition and return to the SNA Server Manager screen.

### 3.12.2. Mode Configuration

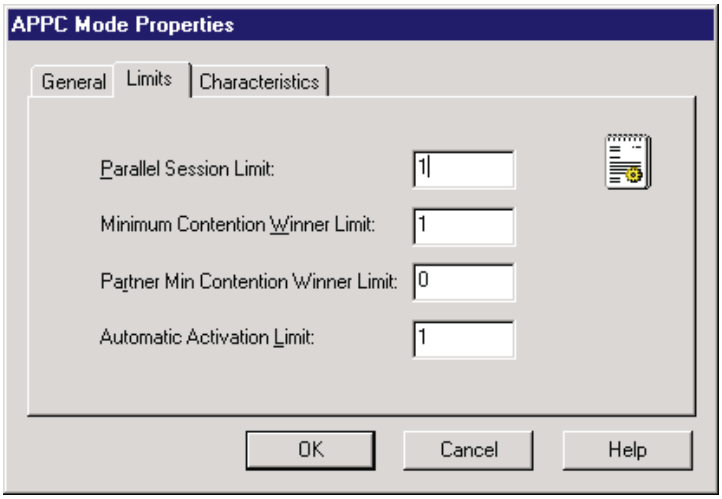
This configuration is only necessary if you are not using one of the standard mode definitions (such as #INTER). If you wish to review or modify #INTER, highlight APPC Modes, select #INTER, pull down the View menu and select Properties.

Highlight your service name, pull down the **Insert** menu, select the **APPC** menu item and then the **Mode Definition** submenu. . You will see the General tab in the APPC mode properties list.



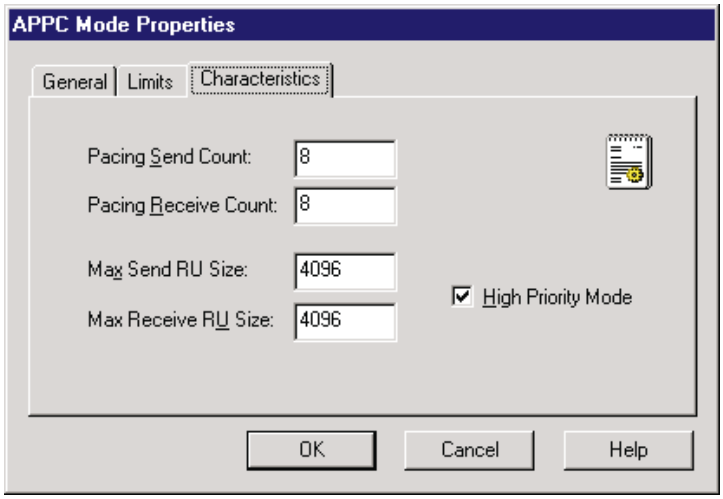

- **Mode Name:** Enter your SNA mode name. Most users will enter **USTMODE**.

  Press the **Limits** tab.




- **Parallel Session Limit:** We recommend using single sessions for simplicity; most users will enter **1**.
- **Minimum Contention Winner Limit:** For single session users we recommend that the PC be the contention winner so enter **1**.
- **Partner Min Contention Winner Limit:** For single session users, we recommend that the PC be the contention winner, the partner LU cannot be, so enter a value of 0.
- **Automatic Activation Limit:** A small performance benefit can be gained by automatically activating the session. For single session users, enter **1** to have the session automatically activated.

  Press the Characteristics tab.

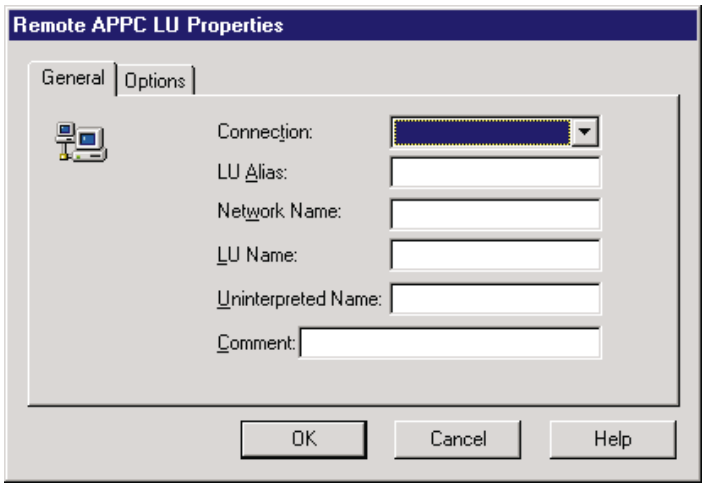



- **High Priority Mode:** We recommend that you **check** this box.
- **Pacing Send Count:** Pacing values must never be 0. A good all-around starting value is **8**.
- **Pacing Receive Count:** You will usually want to use the same value as for the Send Count (we recommend **8**).
- **Max Send RU Size:** RU size has a significant impact on performance. Use the largest value possible, which is usually **4096**.
- **Max Receive RU Size**: You will usually want to use the same value as for the Send RU Size (we recommend **4096**).

When you are done press the **Ok** button to return to the SNA Server Manager screen.

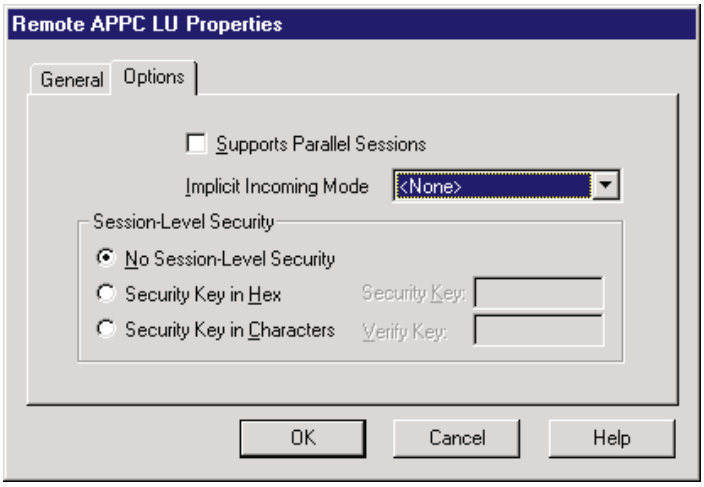
### 3.12.3. Partner LU Configuration

Highlight the service, pull down the Insert menu and select Remote LU.



- ☐ **Connection:** Pull down and select your configured host connection.
- ☐ **LU Alias:** Enter any 8 character value. This value must be in UPPER case. You will need to remember this value as the Partner LU Alias for the FDR/UPSTREAM configuration (later). We recommend that you use the same value as the partner LU Name (below), which is usually **UPSTREAM**.
- ☐ **Network Name:** Enter your SNA network name.
- ☐ **LU Name:** Enter the name of FDR/UPSTREAM MVS (your partner LU). This is usually **UPSTREAM**.
- ☐ **Uninterpreted LU Name:** This is usually the same name as the partner LU Name. This is usually **UPSTREAM**.
- ☐ **Comment:** Enter any text that will help you remember this definition.

Press the **Options** tab.




- ☐ **Supports Parallel Session:** Most users will be single session and will **not check** this box.
- ☐ **Implicit Incoming Mode**: Most users will leave the default of **<None>**.
- ☐ **Session Level Security:** FDR/UPSTREAM does not use session level security. Press the **No Session Level Security** radio button.

Press the **Ok** button to return to the SNA Server Manager screen.

You have completed the configuration of the SNA services. With your service selected, pull down the Service menu and select Start. You may be prompted to save any changes. The host system console may display any errors as well as the Windows NT Application Event log.

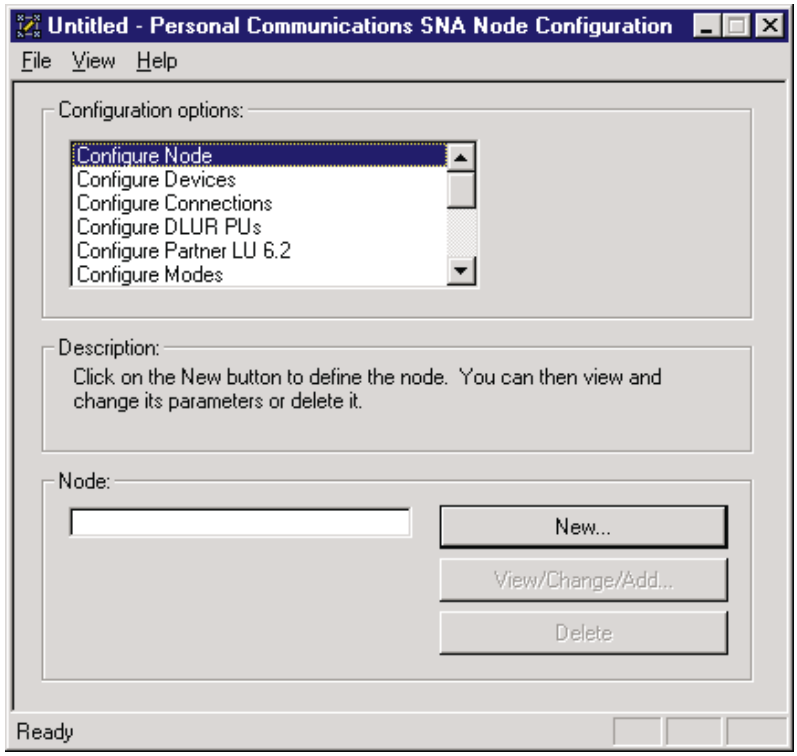
Proceed to page 3-48 to complete your configuration for FDR/UPSTREAM.

# 3.13. IBM Personal Communications and IBM Communications Server

This section describes the APPC configuration for the IBM Personal Communications AS/400 and 3270 product and the IBM Communications Server product in the Windows NT operating system.

Note that IBM Communications Server is a more full-featured version of IBM Personal Communications. However there are few technical differences in the communications environment described here, which is using Token-Ring as your SNA connection to the host. It is always recommended that you define and get operational a 3270 connection first.

Press the Start button or highlight the **IBM Personal Communications** or **IBM Communications Server** program group or menu and run the **SNA Node Configuration** program. This will display the SNA Node Configuration main configuration window.




If you have an existing communications definition (for 3270 or other APPC applications) you should use it an only define new partner LUS, Local LUS and Transaction program definitions. Thus you should pull down the **File** menu, select **Open**, and select your existing configuration file. You should examine the following sections as APPC is more demanding of exact parameter matches, and proceed to section 3.13.4., 3.15.4. to begin the additional configuration for UPSTREAM.

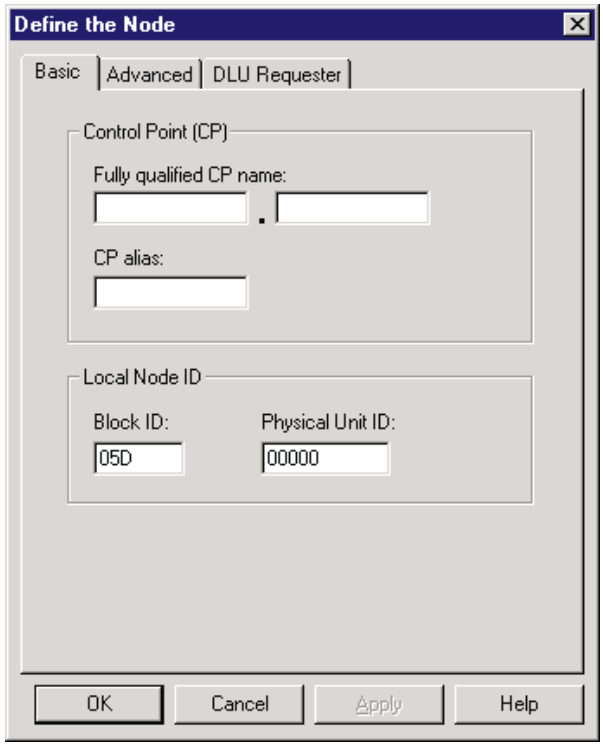
If you are configuring IBM Communications Server you should pull down **the** File menu, **select** New and choose **the CPI-C, APPC or 5250** emulation menu option.

Note that as you highlight entries in the Configuration options list, there may be parameters that are added and require selection in the Configuration options frame.

### 3.13.1. Node Entry Definition

Press the **<New>** button to add a node entry, if you do not already have 3270 operational . If you do have 3270 operational or have an existing definition, you should pull down the **File** menu and **Open** your existing configuration. If there is one already present, press the <View/Change/Add> button to verify it is correct.

This will display the Basic tab of the Define a Node property list.




- **Fully qualified CP name:** Enter your SNA Network name in the first field and your PC's CP name (which is frequently your PU name) second field.
- **CP alias:** Enter your mainframe's CP name (above). UPSTREAM does not use this value
- **Local Node ID:** If you are connecting through a 37xx or 3172 enter your complete XID. The first three digits (Block ID) are the IDBLK and the second 5 digits (Physical Unit ID) are the IDNUM definition on VTAM for your PU.

You do not need to modify any of the parameters in the Advanced or DLU Requester tabs for UPSTREAM. Press the **<Ok>** button to save your changes.

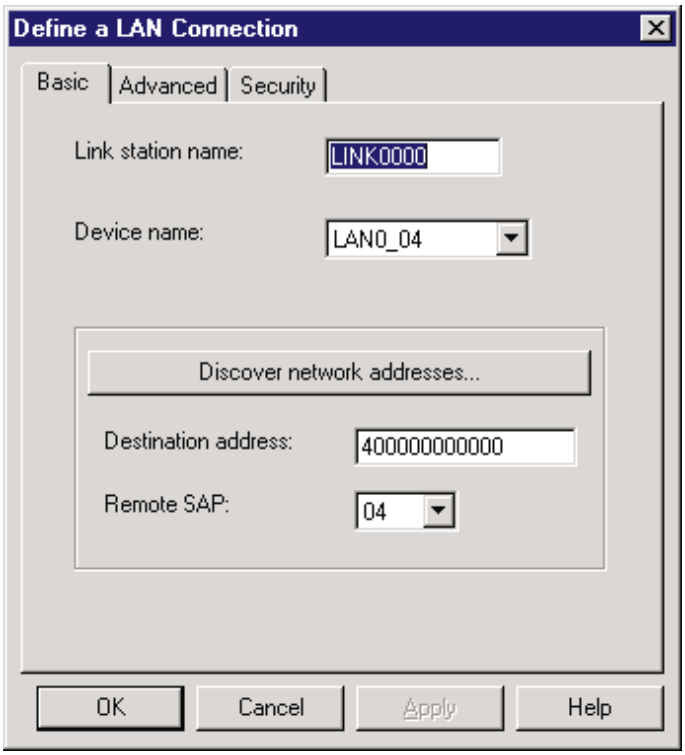
### 3.13.2. Device Definition

Highlight **Configure Devices** from the SNA Node Configuration Window. Note that you will have a selection of devices, the default is LAN. Press the **<New>** button if your are creating the device. This will display the Define a LAN Device property list.

The device defaults will work with FDR/UPSTREAM so press the **<Ok>** button to save the device specifications.

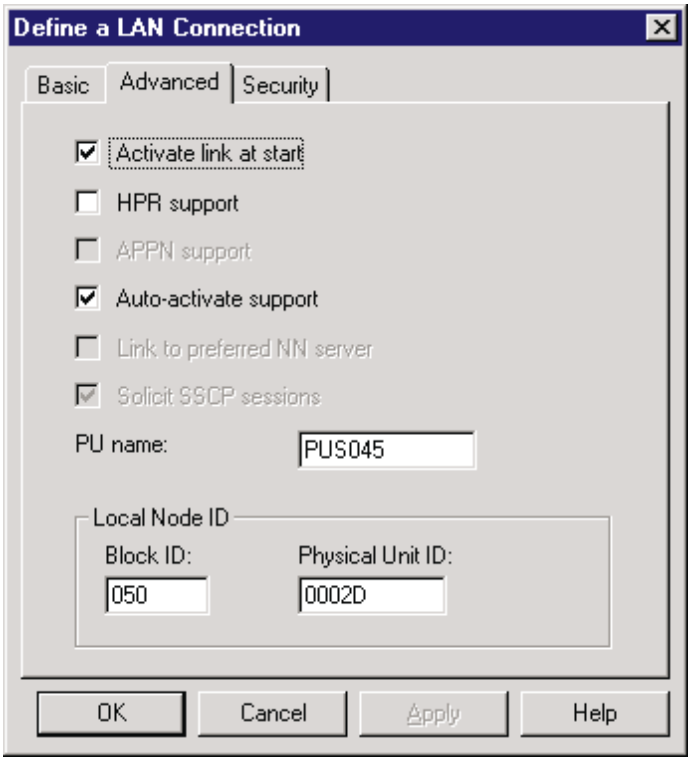
### 3.13.3. Connection Definition

Highlight Configure Connections from the SNA Node Configuration window and press the <New> button if you are creating the device. This will display the Basic tab of the Define a LAN Connection property list.




- **Link station name:** Most users will use the default of **LINK0000**.
- **Device name:** Most users will select the default device **LAN0_04**.
- **Destination address:** Enter the LAN address of your 3174, 3172 or 37xx.
- **Remote SAP:** Most users will use the default of **04**.

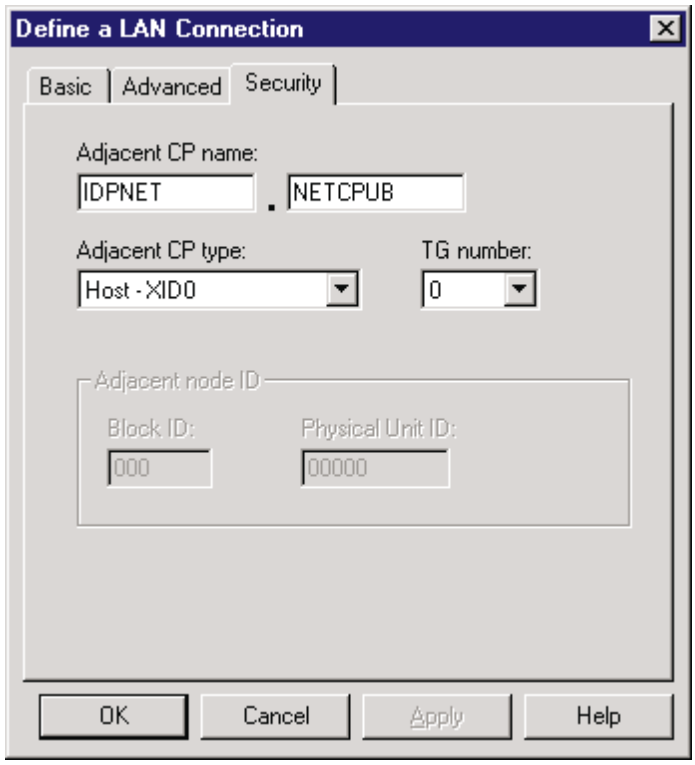
Press the **Advanced** tab.




The parameters are:

- **Activate link at start:** We recommend that you **check this box**.
- **HPR support:** UPSTREAM does not use HPR. Do **not check** this box.
- **APPN support:** We recommend disabling APPN (and its associated facilities) whenever possible for simplicity. Thus, you should **not check** this box.
- **Auto-activate support:** We recommend that you **check this box**.
- **Link to preferred NN server:** We recommend disabling APPN (and its associated facilities) whenever possible for simplicity. Thus, you should **not check** this box.
- **Solicit SSCP session:** We recommend that you **check this box**.
- **PU Name:** Enter your PC's physical unit name.
- **Local Node ID:** If you are connecting through a 37xx or 3172 enter your complete XID. The first three digits (Block ID) are the IDBLK and the second 5 digits (Physical Unit ID) are the IDNUM definition on VTAM for your PU.

Press the **Security** tab.




The parameters are:

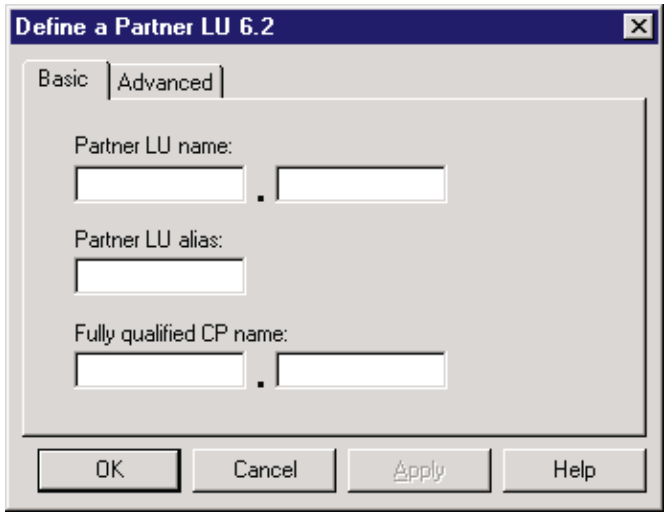
- **Adjacent CP name**: Enter your SNA Network name in the first field and your mainframe's control point name in the second field. The mainframe's control point name is the value specified for the SSCPNAME parameter in the ATCSTR00 member of SYS1.VTAMLST.

- **Adjacent CP Type:** We recommend that whenever possible you use the simplest connection type, **Host - XID0**. In many cases you may find that you must have the advanced features only available when using **Host - XID3**.

Press the **<Ok>** button to save your changes and return.

### 3.13.4. Partner LU Definition

Highlight **Configure Partner LU 6.2** from the SNA Node Configuration window and press the **<New>** button if you are creating the device. This will display the Basic tab of the Define a Partner LU 6.2 property list.




- **Partner LU name:** In the first field, enter the SNA network name where UPSTREAM/MVS resides; in the second field enter the UPSTREAM applid, usually **UPSTREAM**.

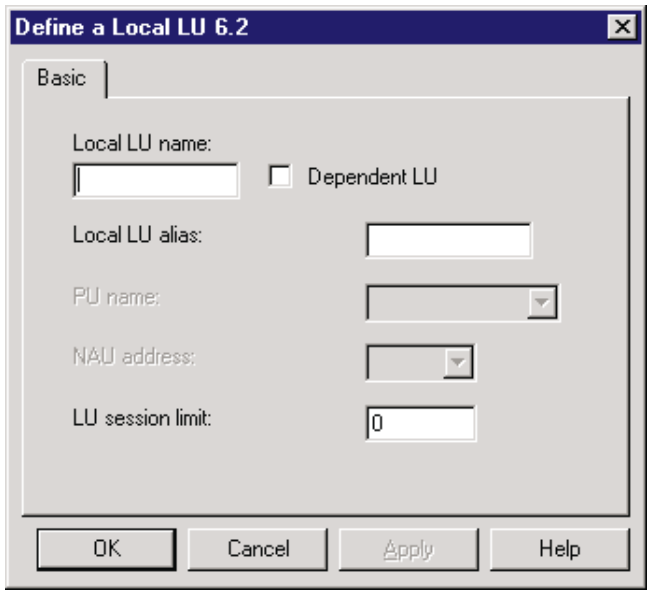
- **Partner LU alias:** Enter the same name as you entered in the second field above, usually **UPSTREAM**, in UPPER case.

- **Fully qualified CP name:** Enter your SNA Network name in the first field and your mainframe's control point name in the second field. The mainframe's control point name is the value specified for the SSCPNAME parameter in the ATCSTR00 member of SYS1.VTAMLST.

Press the **<Ok>** button to save your changes and return.

### 3.13.5. Local LU Definition

Highlight **Configure Local LU 6.2** from the SNA Node Configuration window and press the **<New>** button if you are creating the device. This will display the Basic tab of the Define a Local LU 6.2 LU property list.



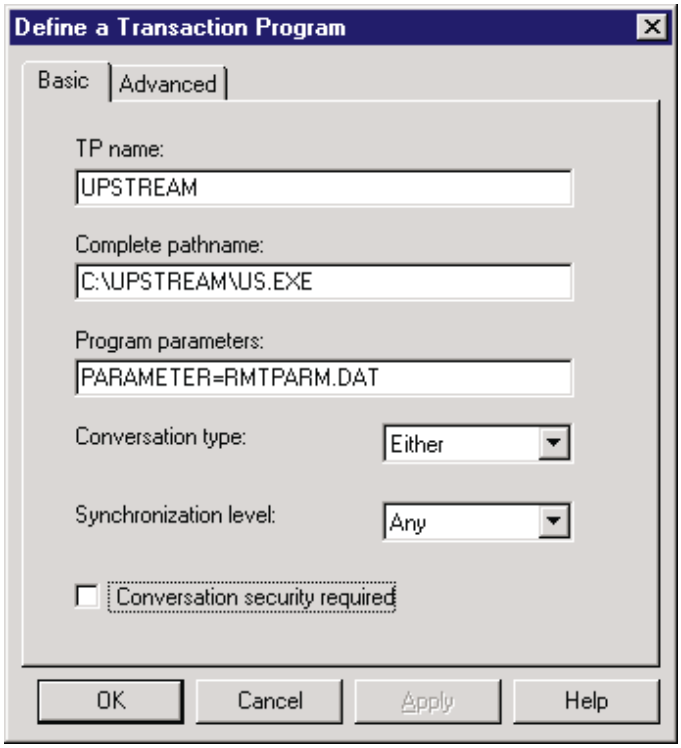
- ☐ **Local LU name:** Enter the LU name configured for your PC to use with FDR/UPSTREAM.
- ☐ **Dependent LU:** Check this box if your local LU as defined in VTAM has a non-zero LOCADDR.
- ☐ **Local LU alias:** We recommend that you enter the same value that you entered for Local LU name. It must be entered in UPPER case.
- ☐ **PU name:** (Dependent LUs only) Select the PU that you wish to use with UPSTREAM.
- ☐ **NAU address:** (Dependent LUs only) Enter the LU number (LOCADDR) configured in VTAM for your LU.
- ☐ **LU session limit:** We recommend that you use the default of 0.

Press the **<Ok>** button to save your changes and return.

### 3.13.6. Transaction Program Definition

Highlight **Configure Transaction Program** from the SNA Node Configuration window and press the **<New>** button if you are creating the device. This will display the Basic tab of the Define a Transaction Program property list.

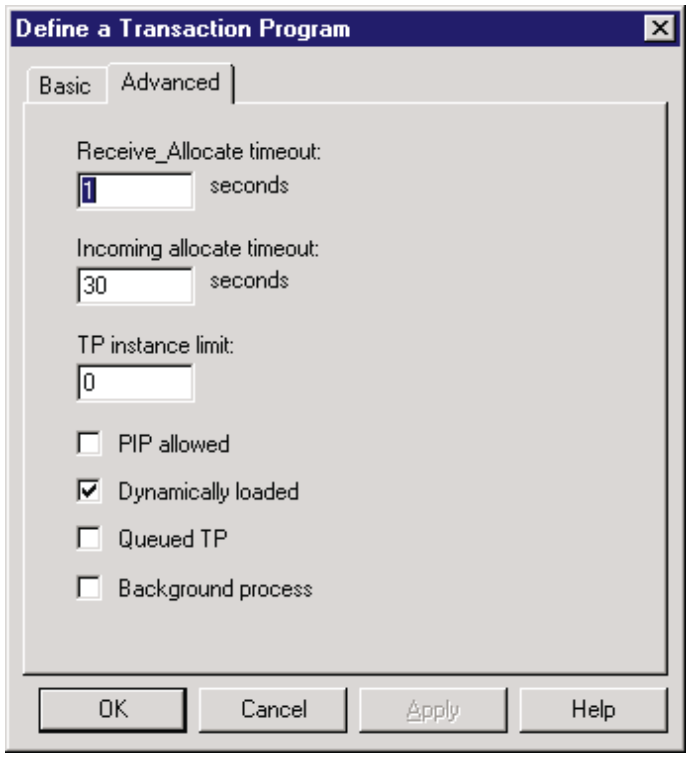
The description that follows is for users who only want to back up local drives. IBM Personal Communications starts up transaction programs under the context of a service using the Local System Account. If you wish to back up LAN attached drives, see section 3.13.8., 3.15.8. on page 3-47.




- ☐ **TP name:** Enter **UPSTREAM** in UPPER case. This value must correspond to the TPNAME parameter specified in your host job.

- ☐ **Complete pathname:** Enter the complete path to FDR/UPSTREAM. Most users will enter **C:\UPSTREAM\US.EXE**.
- ☐ **Program parameters:** We recommend that you enter **PARAMETER=RMTPARM.DAT**.
- ☐ **Conversation type:** We recommend the default of **Either**.
- ☐ **Synchronization level:** We recommend the default of **Any**.
- ☐ **Conversation security required:** We recommend that you **not check** this box.

Press the **<Advanced>** tab to continue.




- ☐ **Receive Allocate timeout:** You MUST enter **1** to avoid UPSTREAM hangs:

  **WARNING:** This field cannot be left at the default; it must be set to 1.
- ☐ **Incoming allocate timeout:** Most users will use the default of **30**.
- ☐ **TP instance limit:** Most users will use the default of **0**.
- ☐ **PIP allowed:** UPSTREAM does not use PIP data; do **not check t**his box.
- ☐ **Dynamically loaded: Check** this box to have the attach manager start UPSTREAM.
- ☐ **Queued TP:** We recommend that you **check** this box.
- ☐ **Background process:** We recommend that UPSTREAM be run with a user interface, so **check** this box.

Press the **<Ok>** button to save your changes and return.

### 3.13.7. Saving and Activating

This completes your configuration. From the SNA Node Configuration window, pull down the **File** menu and select **Save**. If you do not already have a configuration saved, you will be asked for a file name (we recommend UPSTREAM). You can now exit the SNA Node Configuration program.

To activate your configuration, run the **SNA Node Operations** program. Pull down the **Operations** menu and select **Apply new configuration**. Open the configuration file that you specified above. Your configuration should now be active.

**NOTE: The SNA node does not activate automatically when Windows NT is started. To have it automatically activated, place the AUTOSTRT.EXE program in your Startup Window.**

If you will be installing AUTOSTRT.EXE in your Startup group, you should also run the ARTADMIN.EXE program as well (it only needs to be run once) to assure that the registration dialog will not be displayed when the node is activated.

Proceed to page 3-48 to complete your configuration for FDR/UPSTREAM.

### 3.13.8. Remote Allocated User Account

IBM Personal Communications ALWAYS starts the UPSTREAM transaction program in the context of a service using the Local System Account. If you need to back up LAN attached drives you will need to run under a specific user account.

To start UPSTREAM under the context of a user account you need to perform the following steps:

Within the IBM Personal Communications TP definition you configure it to start the UPSTREAM service as follows:

- ☐ **Complete Pathname**: Run the command processor: **C:\WINNT\SYSTEM32\CMD.EXE**, assuming that your SystemRoot is C:\WINNT.

- ☐ **Program Parameters**: Enter the following string: **/c NET START UPSTREAM** This will start the UPSTREAM service.

Use the UPSTREAM TP and Service configurator to configure UPSTREAM as a service (see page ). You MUST configure UPSTREAM as a **Non-SNA Service Program** so that it does not create a dependency for the Microsoft SNA Server. You must specify a User Account that has the **Log on as a service** right.

Proceed to page 3-48 to complete your configuration for FDR/UPSTREAM.

# 3.14. FDR/UPSTREAM and Windows/NT

Backup and recovery of Windows NT machines requires the security and execution definitions described in this chapter. It also requires system familiarity and planning as described in the *Windows NT Resource Guide* and the *Windows NT Server Considerations* chapter of this manual, even if this is not a server.

FDR/UPSTREAM can run as either a Windows NT program, or as a system service. A service is a special program which runs as an extension of the operating system. An application program is simply a standard Windows NT program. There are significant differences in configuring your Windows NT machine when using the two different methods.

Running FDR/UPSTREAM as an application is the recommended method for testing (and is the method described to this point). This is because FDR/UPSTREAM is an applications program and configuration and use is more natural. When you are ready to implement FDR/UPSTREAM in a production mode, you may choose to run it as a service.

Running FDR/UPSTREAM as a service has some of the following properties:

- **Optional user interface.** You can choose to either have a user interface (which appears when a user logs on) or have no user interface at all. In general, control of a service is performed using the NET command (from the command line) or through the control panel. Ascertaining the status of a service is through the Event Log, through the control panel or by using the NET START command from the command line. FDR/UPSTREAM can also be controlled from the host or another PC. Note that you can only have a user interface if running under system security (not as a specific user).
- **Requires no login.** Services are usually started when the system starts and remain running regardless of whether someone is logged on. This allows FDR/UPSTREAM to perform backups or restores irrespective of whether someone is logged in or not.
- **Have system privileges.** Services have the highest access privileges to files in the system. This means that you can guarantee access to all files in the system (if they are not open by another application and users have not specifically disallowed system privileged access).
- **Can become a specific user.** When a service is started it can be configured to attach as a given user. This allows you to back up any non-local drives automatically attached when a user is logged on, or backup files which require specific user privileges.

In most cases, you will choose to run FDR/UPSTREAM as a service when you are controlling your backups from the host, and have FDR/UPSTREAM operating successfully as an application.

If you have questions about whether to run as an application or as a service, contact FDR/UPSTREAM technical support. See page 3-53 for a description of setting up FDR/UPSTREAM as a service.

The following sections discuss security issues with FDR/UPSTREAM. Since security is extremely important in Windows NT we recommend that all users at least skim this section.

### 3.14.1. Security

The first step in the process of integrating FDR/UPSTREAM into your system for backups is to enable security for backups and restores to be run, and to allow updating of shared configuration files. Windows/NT has some unique requirements which you must set up to allow a complete backup of all your files.

Windows/NT has a security system which prevents users or programs from performing tasks that they are not authorized to perform. Authorization for specific tasks is handled through a set of privileges (also referred to as rights). Privileges are assigned to individual users and user groups. A user can be assigned privileges indirectly by including the user in a user group to which the privileges have been assigned. Users and groups are managed by a system administrator.

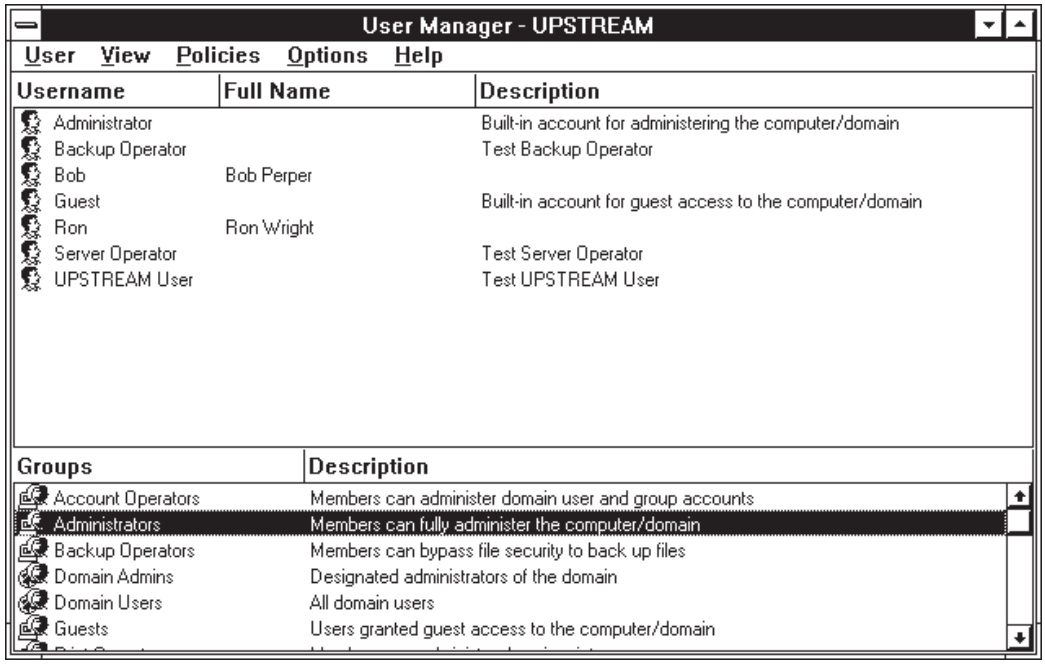
There are three types of user security which are significant for the user performing the backups and restores:

- **User:** If the user performing the backups and restores is a regular user, no security information, extended attributes or alternate data streams will be included in the backup or restore. If you select these options in FDR/UPSTREAM, errors will be logged for those files containing this information.
- **Backup User:** A Backup User can backup and restore security information (without security ACLs), all extended attributes and all alternate data streams. You will not be notified of the failure to obtain security ACLs.
- **Administrator:** An Administrator can backup and restore all types of information.

See the **Windows NT Security Model** chapter in the *Windows NT Resource Guide* for a detailed description of security.

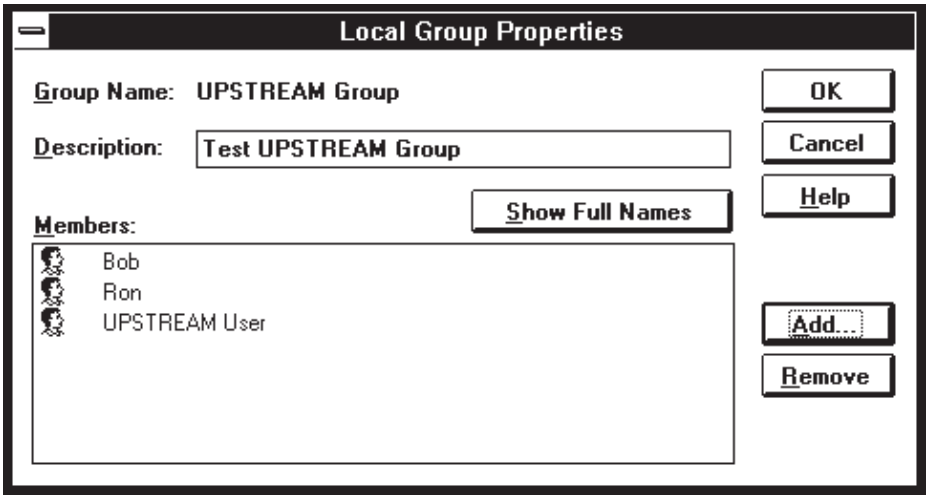
We recommend that the FDR/UPSTREAM user be an Administrator to guarantee that all information requested be properly included in the backups and restores.

The following process will help you create a new Administrator user. The system administrator needs to locate the **Administrative Tools** group and run the **User Manager for Domains** application.

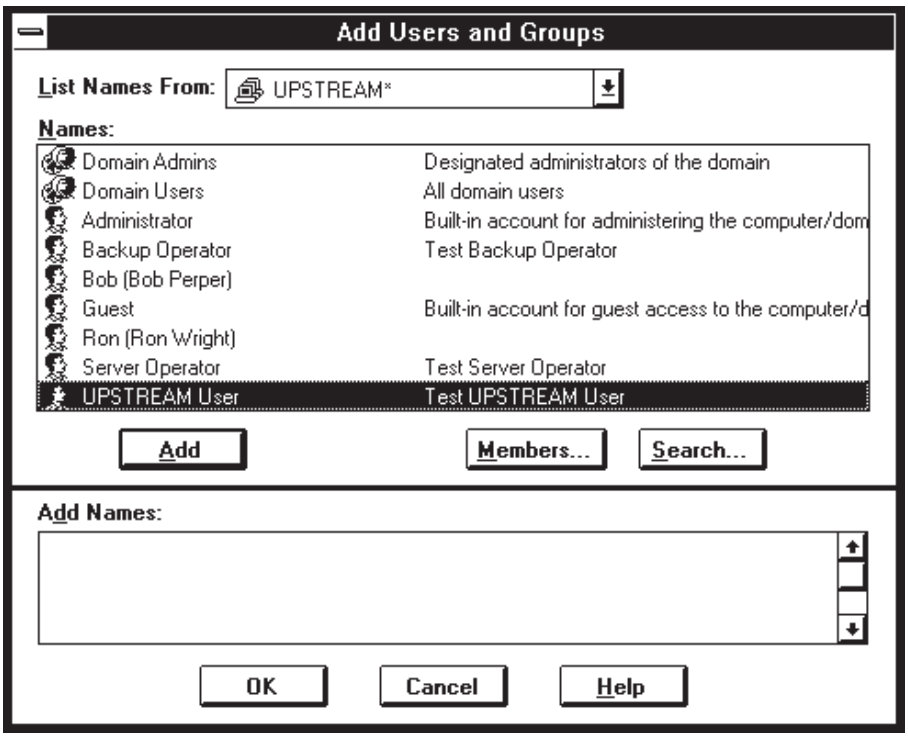



### 3.14.2. Add the User to the Administrators group

From the main window of the User Manager , double-click on **Administrators** in the Groups list box to display the Local Group Properties dialog.




Press the **<Add>** button to display the Add Users and Groups dialog.




Select the user to be included in the Administrators group in the Names list box.

Press the **<Add>** button to add the user to the group.

Press the **<Ok>** button to save your changes.

Once the users who will be using FDR/UPSTREAM have been included in the Administrators group, the users are now authorized for many of the tasks FDR/UPSTREAM will perform. The following sections are for advanced setup and can be skipped until you are ready to perform full system backups. Proceed to chapter 8 to perform your first backup.

If you do wish to have users who are not members of the Administrators group use FDR/UPSTREAM, you will need to manually enable the privileges that FDR/UPSTREAM needs in order to perform certain functions. These are:

- **Back up files and directories.** Required for backing up file security information (Discretionary ACLs, but not security ACLs).
- **Change the system time.** Required for changing the computer's clock.
- **Increase scheduling priority.** Required for backing up and restoring file Security ACLs.
- **Manage auditing and security log.** Required for backing up and restoring file Security ACLs.
- **Restore files and directories.** Required for restoring file security information (discretionary ACLs, but not Security ACLs).

All of these privileges are assigned to the Administrators group by default. Only the *Back up files and directories* and *Restore files and directories* privileges are assigned to the Backup Operators group. Regular users which are not members of the Administrators group or the Backup Operators group, are not assigned any of these privileges.

### 3.14.3. Authorizing the Security Hive

Registry information is maintained in hives, which are simply files. Backing them up is important to backing up your system. Windows NT allows all but one hive file to be backed up by an administrator. This hive file is the Security hive. This process must be performed for Windows 2000 as well as Windows NT.

To authorize this hive, select **Command Prompt** from the Windows NT Program Manager Main folder. Run the Registry Editor:

```
C:\users\default> REGEDT32
```

- Click on the title bar for the **HKEY_LOCAL_MACHINE** on Local Machine window to make it the active window.
- Highlight the hive whose Permissions are to be modified (i.e. **SECURITY**).
- Pull down the **Security** menu and select **Permissions...** You will see the Registry Key Permissions dialog (see figure -).

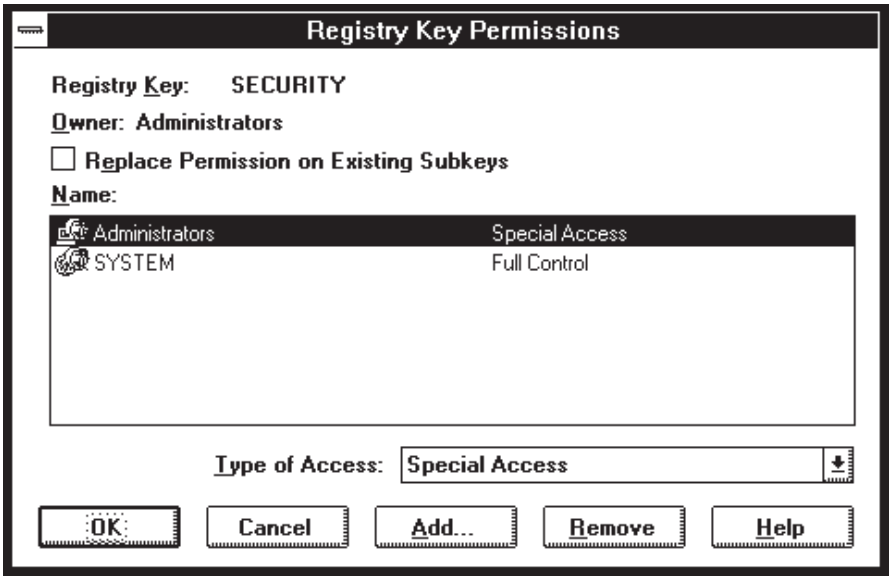



**Figure 3-1**
**Registry Permissions**

You need to change the permissions for Administrators from Special Access to Full Control:

- Check the **Replace Permission on Existing Subkeys** checkbox.
- Select the **Administrators** entry in the list box.
- Select the **Full Control** entry from the Type of Access drop down list box.
- Press the **<Ok>** button.
- You will be asked if you wish to replace the permission on all existing subkeys of the selected registry key. Press the **<Yes>** button.

If you wish to authorize additional registry keys, you can repeat this process. When you are done, close the registry editor.

### 3.14.4. Using UPSTREAM to Back up Another Windows NT PC

You can use drive shares to map drives to other Windows NT PC (both within your domain and outside your domain). You can also use FDR/UPSTREAM UNC names as well.

UNC names are recommend as they are drive letter independent and work correctly when running as a service. The format for FDR/UPSTREAM UNC names is:

```
!:\<Machine name>\<share name>\<directories and files>
```

Thus, to backup all the files on machine TEST on share CDRIVE use the specification:

```
!:\TEST\CDRIVE\*.*
```

UNC names are discussed further in the *Windows NT Server Considerations* chapter.

There are a number of steps that you must perform to assure complete backups.

**Note: Shares must be defined to the root of the volume. You can not use shares which are defined to subdirectories.**

To backup a PC in your domain, you must:

- Make sure that the user you logged in as has Full Control over the entire drive.
- Each hive in the registry must allow the user Full Control.

If you wish to backup a PC in another domain, you must do the following in the domain which UPSTREAM will be run in:

- You must grant the **Log on locally** right to the Domain Admins group of the domain to be backed up.
- The Domain Admins group of the domain to be backed up must be given **Full Control** over the UPSTREAM directory on the machine on which UPSTREAM will run.
- Grant the Domain Admins group of the domain to be backed up the following rights: **Back up files and directories**, **Change the system time**, and **Restore files and directories**.

You must do the following in the domain that you will be backing up:

- Make sure that the user you logged in as has **Full Control** over the entire drive.
- Each hive in the registry must allow the user **Full Control**.
- The user must be a member of the **Domain Admins** group in addition to being a member of the **Administrators** group.

Proceed to chapter 8 to perform your first backup.

### 3.14.5. Running as a Service

FDR/UPSTREAM itself does not run as a service. Innovation provides a separate program USTPSERV.EXE which runs as a service and runs FDR/UPSTREAM as a child program. Thus, when you have FDR/UPSTREAM properly configured as an application, there are few changes required to run it as a service.

However, it cannot be run as an application at the same time it is running as a service. If you wish to do this, follow the steps outlined in the *Running More Than One Copy* chapter of this manual to set up a new LU, transaction program, etc. Note that if you do not have the service running, you can run it as an application, even to the extent of proper support for remote initiated functions.

**NOTE: You should not use drive letters when running as a service. We recommend using FDR/UPSTREAM UNC names. See the *Windows NT Server Considerations* chapter for details in using FDR/UPSTREAM UNC names.**

The first step in configuring to run as a service is to configure (or modify) your transaction program definition. This is required even if you are running FDR/UPSTREAM using TCP/IP as the transaction program configurator facility makes registry changes required for services.

**Note: If you are running IBM Personal Communications or IBM Communications Server it always starts your program as a service using the Local System Account. See the notes on page 3-47 (section 3.13.8., 3.15.8.) for more information.**

### 3.14.6. Transaction Program Definition for a Service

Even if you are running TCP/IP you must configure a transaction program definition using the USTPCFG program, as it not only defines transaction programs for SNA Server, it also adds registry entries required when running as a service.

You can run this program in a variety of ways:

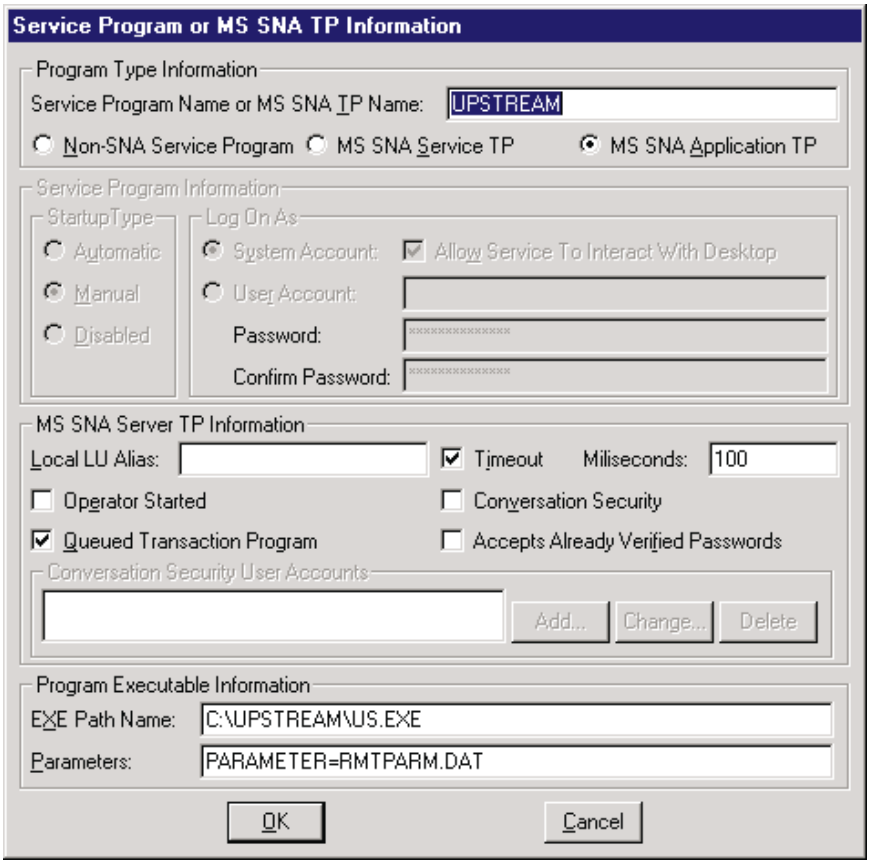
- Starting the **TP and Service Configurator** program from the UPSTREAM program group.
- Pressing the **<-Configure** button from the MS SNA Configuration dialog within the FDR/UPSTREAM Configurator (USCFG).
- Selecting **UPSTREAM TP Configuration** from the Action menu of the FDR/UPSTREAM Configurator (USCFG).
- From a command prompt by running the program C:\UPSTREAM\USTPCFG.EXE.

Note that you may see the message "Unable to open a key in the registry." This message can usually be ignored.

This will display the UPSTREAM Transaction Program Configurator main dialog. Press the <Add> button if you are creating your first transaction program definition or wish to have a separate transaction program definition for this copy of FDR/UPSTREAM; press the <Change> button if you wish to modify your existing definition.

Note that if you are using SNA and are not using the default transaction program name of UPSTREAM, you must change the INTPN definition in the FDR/UPSTREAM advanced configuration as described in the *Running More than One Copy* chapter of this manual.

This will bring you to the UPSTREAM Transaction Program Information dialog.




- ☐ **TP Name:** Enter the transaction program name which you wish to use. For your first TP definition most users will enter **UPSTREAM**. It is case sensitive, so enter in upper case.

The Program Type radio buttons are:

- ☐ **Non-SNA Service Program:** Press this radio button if you are running UPSTREAM using TCP/IP and wish to configure it as a service or configuring a non-UPSTREAM service program.

- ☐ **MS SNA Service TP:** Press this radio button if you are running UPSTREAM using Microsoft SNA Server or Microsoft SNA Workstation and wish to configure it as a service.

- ☐ **MS SNA Application TP:** This non-service option is discussed earlier in this chapter.

The Service Program Information parameters are enabled when you select a service radio button:

- ☐ **Startup Type:** Select one of the following ways of starting the service (and thus FDR/UPSTREAM):
  - **Automatic:** Press this radio button if you wish the service started automatically on system startup. This is the recommended value if this is a Non-SNA Service Program (usually TCP/IP).
  - **Manual:** Press this radio button if you wish the service started by user request or by SNA Server. This is the recommended value for SNA Server.
  - **Disabled:** Press this radio button if you wish to disable the service. Rarely recommended.
- ☐ **Log On As:** This is the user authority you wish the service (FDR/UPSTREAM) to have.

- **System Account:** The system account often (though not always) has the highest level access. Also, only when running under the System Account can FDR/UPSTREAM be visible (see the **Allow Service To Interact With Desktop** checkbox below). This is the default.
- **User Account:** Check this box if you wish the service to run under a specific user's authority. Note that the saved drive mappings for that user are available to FDR/UPSTREAM. Also, when selecting this option, FDR/UPSTREAM will be invisible (not on the desktop). You must enter a User Account name and its password (see below).

Logging on as a specific user is valuable in several ways:

- It allows the saved drive assignments for that user (as saved through NET USE or Network Neighborhood assignments) to be made available for FDR/UPSTREAM.
- It allows you to define an account which has all privileges without having to modify the System Account.

Note though that when running under a user account, FDR/UPSTREAM will not be displayed on the desktop - only when running under the System Account can FDR/UPSTREAM be visible.

When running under a user account there are the following restrictions:

- The account cannot be active/logged onto by a user at the time the service needs to use it. Thus we recommend defining accounts which will not be logged in by a user.
- Either the user account or the group it belongs to must be granted the **Log on as a service** right using the User Manager.

If you selected **System Account** above, you can check the following checkbox:

☐ **Allow Service to Interact With Desktop:** If you check this box, FDR/UPSTREAM will be visible so long as any user is logged on. We recommend checking this in testing and whenever possible in production. You may wish to uncheck this if you are concerned that users may inadvertently take FDR/UPSTREAM down.

If you selected **User Account** above, you must enter the following:

☐ **User Account:** Enter the user account name that you wish to have FDR/UPSTREAM use. This is a required field.

You can specify the domain you wish to login as by specifying it in the form of:

```
<Domain>\<User>
```

If your machine is a member server, you must specify the domain name. If you are a member of a workgroup and wish to backup files on a specific domain you also should specify the domain name. For example, if UPSTREAM is running on a member server of domain SALES and you wish to use the user UPSTREAM you would enter the User Account:

```
SALES\UPSTREAM
```

☐ **Password:** Enter the password that will be used by FDR/UPSTREAM to log on to this account. If the user has no password you must delete all characters in this field.

☐ **Confirm Password:** Reenter the user account's password. It must match the value above.

**NOTE: When running as a service with TCP/IP (or whenever Startup Type is Automatic) you should edit your RMTPARM.DAT to set REMOTETIMEOUT to 0 to keep FDR/UPSTREAM from timing-out.**

The fields in the MS SNA Server TP frame are discussed in the MS SNA Server sections earlier in this chapter.

The fields in the Program Executable Information frame are:

- **EXE Path Name:** Enter the fully qualified path name to the FDR/UPSTREAM service transaction program (USTPSERV). Most users will enter:
  **C:\UPSTREAM\USTPSERV.EXE**.
  Note that this field was modified when you checked one of the service radio buttons above.

- **Parameters:** Enter the command line parameters which will be used when USTPSERV.EXE is started. This field was modified when you checked the Windows NT Service checkbox. For your first definition, you may choose to use:
  **UPSTREAM C:\UPSTREAM\US.EXE PARAMETER=RMTPARM.DAT**

  The format for USTPSERV is:

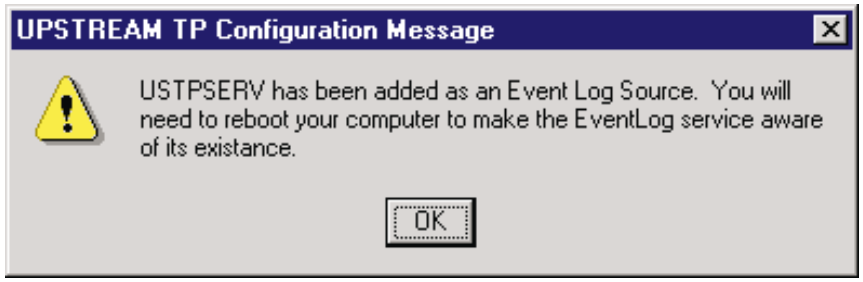
  **<Service Name> [/separate] <Program Name> [<Program Parameters>...]**

  Where:

  - **<Service Name>**: Must be the same as the transaction program name. Usually UPSTREAM.
  - **/separate**: An optional parameter which allows you to start the program in a separate VDM. Recommended when the program is a 16-bit application.
  - **<Program Name>**: The fully qualified program name for FDR/UPSTREAM. Usually C:\UPSTREAM\US.EXE.
  - **<Program Parameters>**: Enter the parameters which you will send to FDR/UPSTREAM. Most users will enter PARAMETER=RMTPARM.DAT.

  Press the **<Ok>** button to save your changes. You will see a message concerning your service definition.

  If you are adding the service definition for the first time, you will see the following message:




  When USTPSERV.EXE has a message to log, it writes it to the Windows NT applications log. If you have unexplained behavior of USTPSERV (for example, not starting UPSTREAM as expected), you can use the **Event Viewer** in the Administrative Tools group to view the **Application** log to view any messages. Note that this is only for USTPSERV messages; FDR/UPSTREAM messages are stored in the UPSTREAM.LOG file.

  If you are using TCP/IP and running FDR/UPSTREAM as a service you should specify in RMTPARM.DAT a **REMOTETIMEOUT** of **0**. By default, this parameter has a value of 1 to cause FDR/UPSTREAM to time out if it does not get a remotely initiated request within one minute (which is appropriate for SNA, as its attach man-

ager will redrive the service when a new request comes in). To have FDR/UPSTREAM not timeout while waiting for remotely initiated requests change this value to 0.

### 3.14.7. Using a Service to Back up Network Drives

To use a Windows NT service to back up network drives (drives on another computer) you must:

- Define a user to Windows NT and give it authority to back up the network drive (see section 3.14.4., 3.16.4.).
- Log in as that user and map the drive as permanent (using the File Manager, Network Neighborhood or NET USE commands). This is optional if you are using UNC names (see chapter 8).
- Perform all transaction program definitions using USTPCFG, test and verify their operations.

# 4 UNIX

## 4.1. Overview

The installation process consists of four steps:

- Determining your system requirements
- Installing the software
- Configuring the communications software
- Configuring FDR/UPSTREAM

We recommend that you install, configure, and make operational your APPC or TCP/IP before installing and configuring FDR/UPSTREAM. In particular getting 3270 fully operational will help you in getting FDR/UPSTREAM working quicker.

### 4.1.1. System Requirements

- AIX 4.3 or above or
  SPARC Solaris 2.5.1 or above or
  Intel Solaris 2.5.1 or above or
  HPUX 10.20 or above or
  Compaq Tru64 v4 or above or
  OS/390 Unix System Services v2.6 or above
- Communications Server (or its equivalent) for AIX SNA connections. SNA is only supported for AIX.
- The built-in TCP/IP facility for TCP/IP connections.

# 4.2. Installation

FDR/UPSTREAM Unix is distributed on several diskettes or on a CD in a separate directory for each operating system type:

- /upstream/aix for AIX
- /upstream/solaris for Sun Solaris (SPARC)
- /upstream/solx86 for Sun Solaris (Intel)
- /upstream/hpux for HPUX
- /upstream/tru64 for Tru64
- /upstream/uss for Unix Systems Services. See page 4-7 for instructions on installing Unix Systems Services.

The files are stored in compressed tar form. When transporting the FDR/UPSTREAM software you must use tar to assure that the directories and hard links that it installs are also correctly transported.

There are two files in each of the FDR/UPSTREAM UNIX directories:

- install: A UNIX script to install FDR/UPSTREAM. This file is not included for Unix Systems Services.
- upstream.tz: All the FDR/UPSTREAM files in compressed tar form

**NOTE: To install FDR/UPSTREAM, you must be logged in or su to root.**

**NOTE: You must shutdown usdaemon before upgrading FDR/UPSTREAM. See page 4-24 for the procedure.**

If you are installing FDR/UPSTREAM for Unix Systems Services, see page 4-7 for installation instructions.

To install FDR/UPSTREAM Unix from CD:

☐ Mount the FDR/UPSTREAM CD. You may need to mount the CD in a different way than you mount other CDs to have the files displayed correctly. We recommend the following:

- **HPUX:**

```
mount -F cdfs -r -o cdcase <device> <mount point>
```

For example, if you are using the /dev/dsk/c1t2d0 device and the /cdrom mount point, enter:

```
mount -F cdfs -r -o cdcase /dev/dsk/c1t2d0 /cdrom
```

- **AIX:**

```
mount -r -v /dev/<cd_device_name>
```

The <cd_device_name> is shown in /etc/filesystems

- **SOLARIS:** No mount command is needed. The auto mounter will automatically mount the CD whenever it is inserted. To remove it, use the eject command.
- **Tru64:**

```
mount -r -o noversion -t cdfs <device> <mount point>
```

For example if you are using the /dev/rz5c device and the /cdrom mount point, enter:

```
mount -r -o noversion -t cdfs /dev/rz5c /cdrom
```

☐ Change to the appropriate FDR/UPSTREAM directory for the operating system you are using and run the install script:

- **AIX**:

```
cd /<CD-ROM mount point>/upstream/aix
./install
```

- **HPUX**:

```
cd/<CD-ROM mount point>/upstream/hpux
./install
```

- **SPARC SOLARIS**: If you are running volume management, CDs are automatically mounted. Thus, with volume management, enter:

```
cd /cdrom/upstream/upstream/solaris
./install
```

If you are not running volume management enter:

```
cd/<CD-ROM mount point>/upstream/solaris
./install
```

- **Intel SOLARIS**: If you are running volume management, CDs are automatically mounted. Thus, with volume management, enter:

```
cd /cdrom/upstream/upstream/solx86
./install
```

If you are not running volume management enter:

```
cd/<CD-ROM mount point>/upstream/solx86
./install
```

- **Tru64**:

```
cd /<CD-ROM mount point>/upstream/tru64
./install
```

The install script will display:

```
Enter FDR/UPSTREAM installation directory
Hit enter key to accept default directory
```

The default directories are:

- (AIX) /usr/lpp/fdrupstream
- (HPUX, Solaris and Tru64) /opt/fdrupstream

NOTE: We strongly recommend using our suggested directories as all samples provided can be used without modification.

```
FDR/UPSTREAM will be installed  in <directrory>
Enter y to continue, n to quit
```

If you enter ‘y’, then the software is installed.

NOTE: If you do not have an existing us.ser, us.ser.default is copied to us.ser. If you are installing the software manually, you will need to make this copy.

The FDR/UPSTREAM software and associated files will be copied into the default directory and the directories and hard links properly installed.

**NOTE: If you plan to run FDR/UPSTREAM from other than the installation directory (i.e. the PATH), you will need to set the environment variable UPSTREAMPATH to point to the UPSTREAM directory. For AIX, we recommend that you add UPSTREAMPATH to /etc/environment. For HPUX and Solaris, we recommend that you add UPSTREAMPATH to /etc/profile or the user's .profile, .login or .dtprofile.**

The files created during installation are shown in the following tables:

- Table 4-1 shows the files in the main UPSTREAM directory.
- Table 4-2 shows the files in the /startup directory (HPUX and Solaris only) which are used to install the FDR/UPSTREAM daemon.

| File Name | Description |
|---|---|
| autoinst.sample.dat | A sample parameter file for use with the FDR/UPSTREAM automatic software update facility. |
| autoinst.sample.script | A sample script file (job) for use with the FDR/UPSTREAM automatic software update facility. |
| backint | SAP R/3 backup/restore agent. |
| db2uext2 | FDR/UPSTREAM DB/2 (UDB) backup agent user exit. |
| inittab.sample.line | (AIX only) Sample line to add to inittab to start the UPSTREAM daemon. |
| InitSAP.utl | Sample parameter file for the SAP backup/restore agent. |
| rmtparm.dat | Sample remote initiation parameter file intended to be used with the command line version (uscmd). |
| serial.dat | Required to perform personalization of FDR/UPSTREAM. Must be in the original directory you were in when you started UPSTREAM (default directory) or the UPSTREAMPATH. |
| upstream.msg | FDR/UPSTREAM predefined message file. This file contains many of the messages that are logged and displayed. |
| upstream.tar.Z | Compressed tar file containing all of FDR/UPSTREAM, which can be used for automatic updates. |
| us | FDR/UPSTREAM main program. Provides the main user interface, backups and restores, logs events and more... |
| us.hlp | FDR/UPSTREAM on-line help file. This file contains the help text that you see when you press the help (F1) key. |
| us.res | FDR/UPSTREAM resource file. This file must be in the default directory or in the UPSTREAMPATH. |
| us.ser.default | FDR/UPSTREAM personalization file, required to run the us program. If you do not have a us.ser, the install script will copy this file to us.ser. |
| us1010 | (HPUX only) Executable for HPUX v10.10 |

| File Name | Description |
|---|---|
| us1020 | (HPUX only) Executable for HPUX v10.20 or higher. |
| us250 | (SPARC Solaris only) Executable for Solaris v2.5.0 |
| us251 | (Solaris only) Executable for Solaris v2.5.1 |
| us260 | (Solaris only) Executable for Solaris v2.6 or higher |
| us40 | (Tru64 only) Executable for Tru64 v4. |
| us41 | (AIX only) Executable for AIX v4.1 |
| us42 | (AIX only) Executable for AIX v4.2 or higher. |
| us50 | (Tru64 only) Executable for Tru64 v5 or higher. |
| uscfg | FDR/UPSTREAM configurator. Use this program to configure your communications parameters and quite a few FDR/UPSTREAM run-time parameters (including those necessary to run FDR/UPSTREAM from another directory). |
| uscfg.hlp | FDR/UPSTREAM configurator help file. |
| uscfg.res | FDR/UPSTREAM configurator resource file. This file must be in the default directory or in the UPSTREAMPATH. |
| uscmd | FDR/UPSTREAM main program, command line oriented. All functions are required to be unattended, either through PC parameter file control or host control. The screen display is the same information as written to the log or report. |
| uscmd1010 | (HPUX only) Executable for HPUX v10.10 |
| uscmd1020 | (HPUX only) Executable for HPUX v10.20 or higher. |
| uscmd250 | (SPARC Solaris only) Executable for Solaris v2.5.0 |
| uscmd251 | (Solaris only) Executable for Solaris v2.5.1 |
| uscmd260 | (Solaris and OS/390 Unix System Services) Executable for v2.6 or higher |
| uscmd40 | (Tru64 only) Executable for Tru64 v4 |
| uscmd41 | (AIX only) Executable for AIX v4.1 |
| uscmd42 | (AIX only) Executable for AIX v4.2 or higher. |
| uscmd50 | (Tru 64 only) Executable for Tru64 v5 or higher. |
| usdaemon | FDR/UPSTREAM daemon. |
| usload | A sample script file which will run uscmd from usstart when a scheduled event occurs. |

| File Name | Description |
|---|---|
| uslogclr | FDR/UPSTREAM log and report maintenance program. The FDR/UPSTREAM logs and reports can grow indefinitely, so this program has been provided which will shrink these files down based by removing entries older than a specified number of days. |
| usobsi | The FDR/UPSTREAM OBSI program to be used with BMC's DataTools SQL-Backtrack database backup facility. |
| usobsi_install | Install script for the OBSI for SQL-Backtrack |
| usobsi.msg | Message file for the OBSI for SQL-Backtrack. |
| ussapins | Installation program for SAP backup/restore agent. |
| usstart | FDR/UPSTREAM unattended scheduling program which you can use to schedule us, uscmd or any other program repeatedly. |
| usudb | FDR/UPSTREAM DB/2 (UDB) backup agent vendor library. |

**Table 4-1**
**Files in UPSTREAM distribution**

| File Name | Description |
|---|---|
| fdrupstream | Startup/shutdown script |
| fdrupstream.config.sample | File use to create fdrupstream.config which is used by the fdrupstream script |
| install | Script used to modify the appropriate system directories to have the daemon start and stop with the system. |
| uninstall | Removes the modification to the system directories installed using the install script. |

**Table 4-2**
**Files in the /startup Directory**

A termcap directory is also created which includes a number of files required for terminal emulation support by the full screen programs (us and uscfg).

Note that if you modify the serialization file us.ser, and subsequently wish to reinstall the software, you should rename the file before the reinstallation and copy it back to us.ser.

Note that if you will be running UPSTREAM from a different directory than the one where the files are stored, you should see the notes at the end of this addendum.

### 4.2.1. Unix Systems Services Installation and Configuration

OS/390 Unix Systems Services (formally OpenEdition/MVS) on MVS is similar to the other UNIX client versions of FDR/UPSTREAM, it supports the following UNIX Systems Services features:

- External Links
- Symbolic and Hard Links
- Auditing flags
- HFS Extended Attributes
- Unix owners and permissions
- Case sensitivity

This is a command line only version of FDR/UPSTREAM with the same characteristics as the other UNIX versions of FDR/UPSTREAM. OS/390 version 2.6 or greater is required.

The UNIX Systems Services version is distributed as a compressed tar file. On the UPSTREAM CD it is in the **/upstream/uss** directory. You will need to use FTP or some other method to send this file to a file system in the UNIX Systems Services address space. You must name it **upstream.tar.Z** (case is important).

From a UNIX Systems Services terminal, su or login as root, change to the directory you wish to install UPSTREAM into (we recommend **/usr/lpp/fdrupstream**), make sure that upstream.tar.Z is copied to this directory and extract the file using the following command (the dash at the end is required):

```
zcat upstream.tar.Z | tar xvpf -
```

If you are not the superuser, you will see errors in setting the uid/gid. These can be ignored.

For most users there is no configuration necessary. It comes supplied with an upstream.cfg file which assumes that the default IP address for the partner is 127.0.0.1 (localhost). If UPSTREAM/MVS is running on a different CPU, you should edit upstream.cfg and modify the TCPADDRESS parameter to point to the IP address of the system you wish to connect to. The default INPORT (listening TCP/IP port) is 2972 so as not to conflict with the default UPSTREAM/MVS listening port.

We have found that in some cases, TCP/IP performance can be improved dramatically by having UPSTREAM use the TCP/IP address of the one of your TCP/IP adapters rather than the local host address (127.0.0.1). Edit the default upstream.cfg to set TCPADDRESS to the address of the MVS system. Note that this only affects conversations initiated in the OS/390 Unix System Services space; for conversations inititiated by USTBATCH, the IP address used is controlled in the batch job (TCPTARG or TARGNAME).

You can always edit upstream.cfg to modify or add any of the other configuration parameters (USETARGETNAME, TARGETNAME, STATUSPORT, etc.).

Proceed to page 4-23 for a description of some of the features of the UPSTREAM Unix product.

# 4.3. Configuration

Configuration of FDR/UPSTREAM Unix to communicate to the host is very different depending upon whether you are running SNA/APPC or TCP/IP. See page 4-7 for a description of Unix Systems Services configuration.

### 4.3.1. Configuring for TCP/IP

Once you have installed the TCP/IP software and tested connectivity to the host (via a standard application such as FTP), you are immediately ready to proceed to the FDR/UPSTREAM configuration. Go to page 4-19 to perform this configuration.

### 4.3.2. Configuring for SNA/APPC

The process of configuring FDR/UPSTREAM for APPC involves several issues:

- Configuring VTAM
- Configuring FDR/UPSTREAM MVS
- Configuring the APPC software
- Configuring FDR/UPSTREAM PC

Careful planning is essential in configuring SNA software. You should review the entire process before beginning and fill out the worksheets for each section or have the information available.

# 4.4. Pre-Server/Workstation Configuration Issues

### 4.4.1. Configuring Host Software

IBM Mainframes have three different types of devices which allow SNA communication to PCs: 3174 cluster controllers, 37xx front end processors and 3172s. PCs can also connect to gateways which talk to one of these three types of devices. Innovation Data Processing recommends that due to the performance requirements of FDR/UPSTREAM, you NOT use a gateway. Innovation Data Processing can help you obtain software which will allow you to connect directly to one of these devices. However, if you do not have a choice, FDR/UPSTREAM works with several types of gateways.

You should have your VTAM system's programmer configure the VTAM environment, or modify the existing environment if it is insufficient for FDR/UPSTREAM (i.e. a mode definition that doesn't support LU 6.2). Worksheet 4-1 should be filled out by this person or the information should be obtained from this person. A NCP regeneration is rarely required.

See the FDR/UPSTREAM MVS manual for suggestions on configuring VTAM.

**NOTE: The host mode entry determines values like RU size. The host APPL definition determines the pacing count. These settings have a significant affect on performance. We recommend that you define a mode entry that sets the RU size at 4096 or use USTMODE which is provided as a sample and a FDR/UPSTREAM APPL definition that sets pacing to 8.**

**NOTE: It is recommended that you use dependent LUs (non-zero LU Local Addresses) for UPSTREAM PCs. Independent LUs tend to be more difficult to configure and offer few benefits.**

| Name | Description | Your Value |
|---|---|---|
| SNA Network Name | The name of the SNA network to which you belong. This is optional in many environments. | |
| Partner LU Name | The APPLID of UPSTREAM on the host. Supplied sample: **UPSTREAM**. | |
| LU Number | The LU local address. Most users will use 2. | |
| Mode Name | The mode table entry name. The supplied sample: **USTMODE**. | |
| Receive Pacing Size | A number from 1 to 63 of the number of RUs to be received in succession before a low-level acknowledgment. NEVER use 0. We recommend 8 initially. | |
| Controller LAA (Token-Ring only) | The locally administered address of the 3174, 3172 or the 37xx front end. This is a 12 hex digit number usually starting with 4. | |
| PC LAA (Token-Ring only) | The locally administered address of the PC. This value must be unique on the ring and for 3174 connections, must be defined in the controller. | |
| LU Name (Independent LUs only) | The name of the PC LU to be used. Not required for users using a cluster controller or a dependent LU. | |
| IDBLK (37xx or 3172 only) | The 3 hex digit block number of the XID. Required to be 050 for APPC/PC. | |
| IDNUM (37xx or 3172 only) | The 5 hex digit number of the XID. | |

**Worksheet 4-1**
**VTAM definitions for FDR/UPSTREAM Unix**

### 4.4.2. Token-Ring Considerations

If you are using a 37xx front end or a 3172, the configuration is entirely in VTAM. If you are using a 3174 controller, then you will need a device configuration for your system. Worksheet 4-2 should be filled out by the host personnel who configures or maintains the 3174 cluster controller.

| Name | Description | Your Value |
|---|---|---|
| PC LAA | The locally administered address of the computer as known to the controller. | |
| Transmit I-Frame Size | This is 9 bytes greater than the maximum RU size you can support. We recommend that this be 4105 or greater. | |
| SAP | Service Access Point. Should always be 4. | |

**Worksheet 4-2**
**3174-to-FDR/UPSTREAM UNIX Configuration**

### 4.4.3. FDR/UPSTREAM MVS Issues

You will need to have installed FDR/UPSTREAM MVS before beginning the configuration of a FDR/UPSTREAM UNIX node. The FDR/UPSTREAM MVS configuration defines storage and security attributes to be used in storing backups. The configuration for each PC on FDR/UPSTREAM MVS, including backup profiles, security, etc. should be complete before beginning the PC configuration.

Worksheet 4-3 contains the information that you will need for FDR/UPSTREAM AIX before you can begin testing. The automation chapter includes expanded worksheets to help you build your production environment.

| Name | Description | Your Value(s) |
|---|---|---|
| Backup Profile | An 8 character identifier used as a key for the storage of a group of backups. | |
| User ID & Password | The user ID and password required to access the requested backup profile (may not be required). | |
| Sequential Tape backups allowed | Whether sequential tape backups are permitted. You may also want to ask about migration procedures. | |
| Sequential Disk backups allowed | Whether sequential disk backups are permitted. You may also want to ask about migration procedures. | |

**Worksheet 4-3**
**FDR/UPSTREAM MVS Configuration for Testing**

See the FDR/UPSTREAM MVS manual for assistance on setting up a FDR/UPSTREAM AIX user.

# 4.5. Configuring Communications Server

We recommend that you have a tested connection to the host (using 3270 or another APPC application) before beginning the configuration of APPC for FDR/UPSTREAM.

This section is intended to help you define a new local LU, partner LU and some other definitions required to run FDR/UPSTREAM. It is assumed that you are familiar with the smit utility and have some SNA experience.

Since quite a few smit modifications require that you be the **root** user, login as root and run the **smit** program. The displays and keystrokes listed here are from the character mode version of smit; the Motif version of smit can also be used, but note that the sequence of events and displays are slightly different.

Note that all fields in smit contain useful help (obtained by pressing [F1]) and many fields contain either values which can be selected from a list. We recommend the reading of help messages thoroughly and use of the selection helps.

### 4.5.1. Defining a Local LU

From the smit main menu select (in sequence):

- **Communications Applications and Services**
- **Communications Server for AIX**
- **Configure SNA Resources**
- **Local Node Resources**
- **LU 6.2 Configuration**
- **LU 6.2**
- Select **Add Dependent LU Type 6.2** if you are adding a dependent LU (non-zero LU local address); select **Add Independent LU Type 6.2** if you are adding an independent LU.

The screen below is the Add Dependent LU 6.2 screen.

```
                          Add Dependent LU Type 6.2

Type or select values in entry fields.
Press Enter AFTER making all desired changes.
  -
                                                        [Entry Fields]
* LU alias                                            []
  List name                                           []                        +
  Description                                         []
* LU name                                             []
* Host LS/DLUR PU                                     []                        +
  LU number                                           [0]                        #
  Member of default LU pool?                           NO                       +
  Support Syncpoint?                                   NO                       +
  Additional LU properties                             NONE                     +
  Restrict SSCP to SSCP id                            [0]
  -
  -
  -
  -
  -
F1=Help             F2=Refresh          F3=Cancel           F4=List
Esc+5=Reset         F6=Command          F7=Edit             F8=Image
F9=Shell            F10=Exit            Enter=Do
```

☐ **Local LU Alias:** For consistency, we recommend that you use your local LU name.

- ☐ **List name:** We recommend that you leave this **blank.**
- ☐ **Description:** An optional text description of this LU.
- ☐ **Local LU Name:** Use the Local LU name as obtained from your VTAM system administrator.
- ☐ **Host LS/DLUR PU:** (dependent LU only) Enter the name of the Comm Server link station or downstream PU. You can press F4 for a list of options.
- ☐ **LU number:** (dependent LU only) Enter the decimal LU number (LU local address) as obtained from your VTAM system administrator.
- ☐ **Member of default LU pool:** We recommend that for testing, you select **NO** to avoid confusion of which LU you are using.
- ☐ **Support Syncpoint?** This must be set to NO for FDR/UPSTREAM.
- ☐ **Additional LU Properties:** Select **DISABLE_PASSWORD_SUBSTITUTION** for FDR/UPSTREAM.
- ☐ **Restrict SSCP to SCCP id:** Most users will use the default of **0**.

When you have completed entering values in this screen, press the **[ENTER]** key to have your parameters checked for validity and saved. If there are errors, carefully read the error description and modify the incorrect values.

Press the **[F3]** key (Cancel button) several times to return to the **LU 6.2 Configuration** menu.

### 4.5.2. Defining a Side Information Profile

A side information profile allows Communications Server to relate conversation start requests to local LU definitions.

If you choose not to use a side information profile, you must do the following:

- The Communications Server control point name must match the CPNAME in the VTAM definition.
- There must be an independent LU defined whose name is the same as the VTAM CPNAME.
- You must use an independent LU (dependent LUs are not supported without a side information profile).

Because of this complexity we recommend the use of side information profiles.

From the LU 6.2 Configuration menu, select:

- **LU 6.2 Side Information**
- **Add Side Information**

You will see the Add Side Information screen.

```
                              Add Side Information

Type or select values in entry fields.
Press Enter AFTER making all desired changes.

                                                      [Entry Fields]
* Symbolic destination name                          []
  Description                                         []
  Local LU Alias                                      []                        +
  Partner LU name                                     []                        +
  Mode                                                []                        +
  TP name type                                         APPLICATION_TP           +
  TP name                                             []                        +
  Conversation security                                NONE                     +
  User ID                                             []
  Password                                            []



F1=Help             F2=Refresh          F3=Cancel           F4=List
Esc+5=Reset         F6=Command          F7=Edit             F8=Image
F9=Shell            F10=Exit            Enter=Do
```

- **Symbolic destination name:** Most users will choose the their partner LU name, which is usually **UPSTREAM**. You'll need to remember this name for the UPSTREAM configuration.
- **Description:** An optional text description of this profile.
- **Local LU Alias:** Press [F4] to display the list of local LUs. Select the one you wish to use for FDR/UPSTREAM.
- **Partner LU Name:** Enter the fully qualified name of UPSTREAM on MVS. The fully qualified name is the VTAM network name and the FDR/UPSTREAM partner LU name separated by a dot. For example, if your VTAM network name was MYNET and FDR/UPSTREAM MVS was named UPSTREAM (as is the default), you would specify MYNET.UPSTREAM.
- **Mode:** Enter the mode name that you will be using as obtained from your VTAM system administrator. In most cases this will be **#INTER**.
- **TP name type:** For FDR/UPSTREAM you must select **APPLICATION_TP**.
- **TP name:** Most users will specify **UPSTREAM**.
- **Conversation security:** For FDR/UPSTREAM you must select NO. Leave User ID and Password blank.
- When you have completed entering values in this screen, press the **[ENTER]** key to have your parameters checked for validity and saved. If there are errors, carefully read the error description and modify the incorrect values.

  Press the **[F3]** key (Cancel button) several times to return to the LU 6.2 Configuration menu.

### 4.5.3. Defining a Partner LU Profile

A partner LU profile allows Communications Server to properly identify the partner LU when a conversation is started.

From the LU 6.2 Configuration menu, select:

- **LU 6.2 Partner LU**
- **Add Partner LU**

You will see the Add Partner LU screen.

```
                                Add Partner LU

Type or select values in entry fields.
Press Enter AFTER making all desired changes.

                                                        [Entry Fields]
* Alias                                                []
  Description                                          []
* Fully-qualified LU name                              []
  Uninterpreted LU name                                []
  Parallel sessions supported?                          YES                      +
  AnyNet routing                                        USE_DEFAULT_PREFERENCE   +

F1=Help             F2=Refresh          F3=Cancel           F4=List
Esc+5=Reset         F6=Command          F7=Edit             F8=Image
F9=Shell            F10=Exit            Enter=Do
```

- ☐ **Alias:** We recommend the same name as the actual partner LU name. For most users this is **UPSTREAM**.
- ☐ **Description:** An optional text description of this profile.
- ☐ **Fully qualified LU name:** The fully qualified name is the VTAM network name and the FDR/UPSTREAM partner LU name separated by a dot. You entered this name in the side profile configuration. For example, if your VTAM network name was MYNET and FDR/UPSTREAM MVS was named UPSTREAM (as is the default), you would specify MYNET.UPSTREAM.
- ☐ **Uninterpreted LU name:** Enter the unqualified partner LU name. For most users this is **UPSTREAM**.
- ☐ **Partner LU Alias:** We recommend that you enter the name of the FDR/UPSTREAM MVS partner LU. For most users this will be **UPSTREAM**.
- ☐ **Parallel sessions supported:** For most users, we recommend that you specify **NO**
- ☐ **AnyNet routing:** Most users will leave the default of **USE_DEFAULT_PREFERENCE**.

When you have completed entering values in this screen, press the **[ENTER]** key to have your parameters checked for validity and saved. If there are errors, carefully read the error description and modify the incorrect values.

Press the **[F3]** key (Cancel button) several times to return to the LU 6.2 Configuration menu.

Note that most users will use the default mode #INTER so that it is unnecessary to define a mode.

### 4.5.4. Defining a Transaction Program Profile

A transaction program definition is used to allow Communications Server to locate the program which is to process a request from a remote computer. FDR/UPSTREAM uses this facility to support host initiation through USTBATCH.

From the LU 6.2 Configuration menu, select:

- **LU 6.2 Transaction Program**
- **Add Transaction Program**

You will see the Add Transaction Program screen.

```
                          Add Transaction Program

Type or select values in entry fields.
Press Enter AFTER making all desired changes.

                                                      [Entry Fields]
* Name                                               []
  Description                                        []
  List name                                          []                        +
  Conversation type(s) supported                      EITHER                   +
  Conversation security is required?                  NO                       +
  Synchronization level supported?                    SYNCPT_NEGOTIABLE        +
  Program Initialization Parameters (PIP) allowed?    YES                      +

F1=Help             F2=Refresh          F3=Cancel           F4=List
Esc+5=Reset         F6=Command          F7=Edit             F8=Image
F9=Shell            F10=Exit            Enter=Do
```

- ☐ **Name:** This must NOT be the actual transaction program name. We recommend **UPSTREAMP**.
- ☐ **Description:** An optional text description of this profile.
- ☐ **List name:** This is the name of the security access list. Since FDR/UPSTREAM does not support these, leave this blank.
- ☐ **Conversation type(s) supported:** FDR/UPSTREAM uses **BASIC**.
- ☐ **Conversation security is required:** Select **NO**.
- ☐ **Synchronization level supported:** Select **CONFIRM_SYNC_LEVEL**
- ☐ **Program Initialization Parameters (PIP) allowed:** Select **NO**

When you have completed entering values in this screen, press the **[ENTER]** key to have your parameters checked for validity and saved. If there are errors, carefully read the error description and modify the incorrect values.

Press the **[F3]** key (Cancel button) several times to return to the LU 6.2 Configuration menu.

### 4.5.5. Defining a Partner LU Location Profile

The final FDR/UPSTREAM specific configuration that you must perform is the specification of the partner LU location.

From the LU 6.2 Configuration menu, select:

- **Partner LU 6.2 Location**
- **Location On Remote Node**
- **Add Partner LU**

You will see the Add Partner LU 6.2 Location Profile screen.

```
                                Add Partner LU

Type or select values in entry fields.
Press Enter AFTER making all desired changes.

                                                        [Entry Fields]
* Resource name                                        []
  Resource type                                         LU_RESOURCE            +
  Description                                           []
  Parent name                                           []
  Parent type                                            ENCP_RESOURCE         +



F1=Help             F2=Refresh          F3=Cancel           F4=List
Esc+5=Reset         F6=Command          F7=Edit             F8=Image
F9=Shell            F10=Exit            Enter=Do
```

- **Resource name:** Enter the fully qualified partner LU name (<network name>.<partner LU name>). For example, for a network name of MYNET and a partner LU name of UPSTREAM, you would enter MYNET.UPSTREAM.
- **Resource type:** Select LU_RESOURCE.
- **Description:** An optional text description of this profile.
- **Parent name:** Enter your mainframe's control point or PU name. Obtain this from your VTAM system administrator. This must be a fully qualified name (<network name>.<Host control point name>). The host control point name is the value specified for the SSCPNAME parameter in the ATCSTR00 member of SYS1.VTAMLST.
- **Parent type:** Select **ENCP_RESOURCE**

When you have completed entering values in this screen, press the **[ENTER]** key to have your parameters checked for validity and saved. If there are errors, carefully read the error description and modify the incorrect values.

Press the **[F3]** key (Cancel button) several times to return to the LU 6.2 Configuration menu.

### 4.5.6. Verifying and Testing

Once you have complete configuration we recommend stopping and starting all of SNA for the changes to be fully applied.

You have now completed the SNA configuration necessary for running FDR/UPSTREAM. The following section will describe the configuration of FDR/UPSTREAM to use the Communications Server configuration that you have just created.

# 4.6. Configuring FDR/UPSTREAM

You must configure FDR/UPSTREAM to let the software know the method you will be using to communicate with the host (SNA or TCP/IP) and some of the specific communications parameters.

To specify these configuration parameters, run the FDR/UPSTREAM configurator, uscfg.

### 4.6.1. The FDR/UPSTREAM User Interface

The FDR/UPSTREAM user interface is functionally quite similar to Motif or Microsoft Windows, but is implemented in character mode, so that it can be used from dumb terminals or TELNET. There are dialogs with push-buttons, check boxes, edit fields and more as well as pull down menus.

The appearance of screens and the user interaction is quite different depending upon your terminal type. The most powerful terminal type with the best appearing screens for FDR/UPSTREAM are the terminals available from the system console or X-Terminals. For all UNIX systems we recommend using CDE and the dtterm application. For AIX, in CDE you may wish to use aixterm instead of dtterm because aixterm provides ALT key support. However, FDR/UPSTREAM will operate with most terminals and terminal emulators.

As there is no mouse support available for generic terminals, certain control and alt or escape key variations have been defined.

- To press a push button, checkbox or radio button, press the [Space] bar.
- To move between fields in a dialog use the [TAB] key.
- To select between radio buttons, use the right and left arrow keys.
- To pull down menus or use accelerators on the HFT, LFT or AIXTERM, use [ALT]+highlighted letter. For example, to pull down the Action menu on the HFT, press [ALT]A.
- To pull down menus or use accelerators on other terminals (including TELNET terminals) press the ESC key immediately followed by the highlighted menu letter or accelerator letter. For example, to pull down the Action menu, press the ESC key immediately followed by the letter A.

Since the HFT or LFT is often the main system console, messages from other facilities (including Communications Server) may be written to the screen on top of FDR/UPSTREAM. You can refresh the screen display by pressing [CTRL]R.

### 4.6.2. Communications Configuration

After you have stared the FDR/UPSTREAM configurator (uscfg), you will see the Configuration dialog.

```
=                          UPSTREAM Configurator
  File  Action                                                    F1=Help

                           Configuration                                v^
  Connection Type
   (x) SNA...                          ( ) TCP/IP...

  SNA Options                         TCP/IP Options
   ( ) Use Side Info Profile           Host TCP/IP Address.[                ]
       Profile Name....[        ]      Host TCP/IP Port....[1972 ]
   (x) Use Partner LU Profile          Your PC Port........[1972 ]
       Partner LU Alias[        ]
       Mode Name.......[#INTER  ]
   TPN Profile...[UPSTREAMP     ]   UPSTREAM Java (End-User Restore)
                                       (x) Start UPSTREAM
   Messages Time Out...[0    ]         ( ) Connect to Running UPSTREAM
   [ ] Use a Registered Name             IP Address.....[127.0.0.1      ]
       Registered Name..[        ]
       Trans. Interval..[0    ]

              <    Ok    >                        <  Cancel  >
```

- **Connection Type:** Select either **TCP/IP** if your connection to the host uses TCP/IP or **SNA** if your connection to the host uses Communications Server. Select the option you wish by using the arrow keys to highlight your selection, and pressing the space bar to place an 'X' in the parens. The default is SNA.

  If you are using SNA/APPC to connect to the host press, the SNA radio button and see the following section. If you are using TCP/IP, press the TCP/IP radio button and go to page 4-21).

  Note, if you select SNA, and press [TAB] you will be brought into the SNA options frame and you can not specify TCP/IP options, contrariwise, if you select TCP/IP you will be brought into the TCP/IP options frame and can not select SNA options.

### 4.6.3. Configuring for a SNA Host Connection

The fields are from your communications configuration.

- **Use Side Info Profile:** Check this if you wish to use a side information profile to contact the host or wish to support remote requests. This is the recommended method.

- **Profile Name:** Enter the side information profile specified during your communications configuration. If you were using the suggested values, this will be the partner LU name which for most users is **UPSTREAM**.

- **Use Partner LU Profile:** Check this only if you will not require remote request support. This is not the recommended method.

- **Partner LU Alias:** Enter the partner LU alias defined in the communications configuration. For most users this is **UPSTREAM**.

- **Mode Name:** Enter the mode name defined in your communications configuration. For most users this is **#INTER**.

- **TPN Profile:** Enter the profile name specified in your communications configuration. For most users this is **UPSTREAMP**.

  If you are satisfied with these parameters go to page 4-21 to complete your configuration.

### 4.6.4. Configuring for a TCP/IP Host Connection

The following are the TCP/IP specific parameters.

- **Host TCP/IP Address:** Enter the IP address of the host adapter that you will be connecting to. Enter the doted decimal notation. For example: 130.50.75.1. This field is required.

- **Host TCP/IP Port:** Enter the IP port that FDR/UPSTREAM MVS was installed on. Enter a decimal number. This field is required; in most cases you can accept the default of **1972**.

- **Your PC Port:** Enter an IP port that FDR/UPSTREAM on other computers can use to contact your PC. Only one instance of an application on a given computer can use a specific port. This field is optional; in most cases you can accept the default of **1972**.

When you have completed entering the TCP/IP specific information proceed to the next section to complete your configuration.

### 4.6.5. Completing the Configuration

There is one field common to both connectivity types in this dialog:

- **Messages Time Out:** FDR/UPSTREAM informational and error messages will remain displayed until a button is pressed or until they time out. The default of **0** indicates that the message will stay displayed until the button is pressed. A positive number indicates the number of seconds until the message times out if a button is not pressed. -1 indicates that messages should not be displayed. For initial testing, we recommend 0. In production we recommend a small number (such as 3 seconds).

- **Use a Registered Name for Host Initiation:** Check this box if you wish to register a name with FDR/UPSTREAM MVS that host and other workstation/server requests can use to find your workstation. A registered name is required if you wish to use the FDR/UPSTREAM automatic update facility. Note that checking this box may cause occasional errors (which can be ignored) if the workstation/server is updating registration information when a remote request is received. You must enter a Registered Name if you check this box. The default is checked.

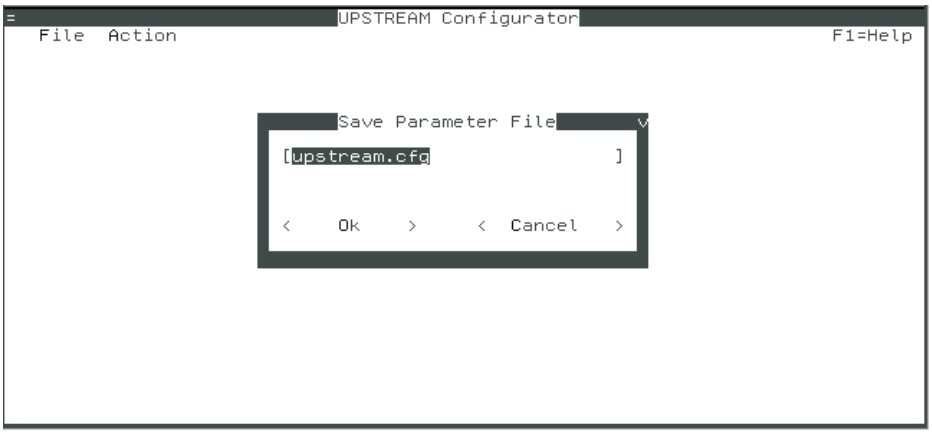
- **Registered Name:** Enter any name, unique within FDR/UPSTREAM MVS, that can be used to allow the host and other workstation/servers to find your workstation. You can enter up to 16 characters which can include embedded spaces. Note that if there are duplicate names no errors are reported and the most recently registered name is used.

- **Transmission Interval:** Enter a number which indicates how often (in minutes) you will reregister your registration name with FDR/UPSTREAM MVS. Most users will use the default of **0**, which causes registration to happen once upon FDR/UPSTREAM startup. The main reason to specify a non-zero value is if you are using TCP/IP with the DHCP facility enabled and your IP address may change.

- **Allow Dynamic TCP/IP PC Port Assignment:** Enabled only if you use Registered Names, UPSTREAM will search for an unused port, starting at the 'PC port' (above) before listening on it and then register the port with the host. Recommended unless you must listen on a specific port or don't use registered names for host initiation.

The options in the **UPSTREAM Java (End-User Restore)** frame a described fully in the *End-User Restore* chapter.

Press the **<Ok>** button (or press the [ENTER] key) to accept your parameters. You will be asked for the file name to save these parameters to.




In this dialog box, you can type the name of the file you want to save your configuration parameters to. The default is upstream.cfg, but you can use any file name and any directory. If the file and path is too long from the displayed edit field, it will scroll horizontally. Press the <Ok> button to save the parameters to the file you specify, or press <Cancel> to not save your changes.

FDR/UPSTREAM is now configured for operation with your communications environment. You can leave the configuration program by pulling down the File menu and selecting Exit (or by [ESC]X or the [ALT]X key on the HFT).

The following section will discuss specific issues under UNIX.

To begin using FDR/UPSTREAM proceed to chapter 8.

# 4.7. Command Line FDR/UPSTREAM (uscmd)

The command line version of FDR/UPSTREAM (uscmd) gives you several advantages over the full screen version:

- Can be placed in the background.
- FDR/UPSTREAM can be run using **cron**.

The command line version writes errors and reporting information (if enabled) to stdout. Since this information is also written to the log and report files, you can redirect its output to the nul file to avoid duplication.

The command line version must be started with enough parameters to perform a function unattended as there are no displays to request parameters and stdin is not supported. Thus, we always recommend that you start uscmd with a command line specified parameter file:

```
uscmd parameter=<parameter file>
```

For example, to process a backup request stored in an FDR/UPSTREAM parameter file testback.dat, you would enter:

```
uscmd parameter=testback.dat
```

**Note: All parameter files used with uscmd must be unattended (ATTENDED=N) since uscmd has no user interface to allow attended operations.**

The uscmd process can be killed in the normal way and will terminate whenever the requested function has completed.

# 4.8. Host Controlled FDR/UPSTREAM (usdaemon)

FDR/UPSTREAM provides a way to have all of it's functions available via remote system control. The usdaemon program is intended to be incorporated into the system startup/shutdown process so that FDR/UPSTREAM functions are continuously available while the system is active.

**When running the daemon we recommend that you explicitly set the Work Path and the paths for the message file and log file in the Advanced options of the UPSTREAM configurator (uscfg). In OS/390 Unix System Services these are the WORKPATH, LOGFILE and MESSAGEFILE parameters in upstream.cfg.**

### 4.8.1. AIX Installation

The following sample line (which can be found in the file inittab.sample.line in the UPSTREAM directory), can be added to /etc/inittab to start FDR/UPSTREAM at boot time. We suggest that you add this line at the end of inittab. In all cases is must be placed after the lines that start TCP/IP (the rctcpip line), and Communications Server (the rcsna line).

```
usdaemon:2:wait:/usr/lpp/fdrupstream/usdaemon
parameter=/usr/lpp/fdrupstream/rmtparm.dat
configfile=/usr/lpp/fdrupstream/upstream.cfg > /dev/console 2>&1
```

Note: Enter this as a single line.

You must also add UPSTREAMPATH to the /etc/environment file. Assuming you have installed FDR/UPSTREAM in the recommended directory named /usr/lpp/fdrupstream, you would add UPSTREAMPATH=/usr/lpp/fdrupstream to /etc/environment. You must reboot after making these changes.

To shutdown the daemon you can use the following commands:

```
ps -e | grep uscmd
kill <process number>
```

To restart the daemon, enter at the command line the command added to /etc/inittab (above)

### 4.8.2. HPUX, Solaris and Tru64 Installation

To install usdaemon, you must first create a file: **fdrupstream.config** which sets variables which are used by the FDR/UPSTREAM startup/shutdown script.

In the <UPSTREAM directory>/startup directory, there is a sample file, fdrupstream.config.sample which can be used as your template. For a new installation, we recommend that you copy this file:

```
cd <UPSTREAM Directory>/startup
cp fdrupstream.config.sample fdrupstream.config
```

Edit fdrupstream.config and modify it to fit your environment. Fdrupstream.config.sample looks like:

```
#!/bin/sh
#
# FDR/UPSTREAM configuration
#
# FDRUPSTREAM:      Set to 1 to start FDR/UPSTREAM daemon
```

```
# UPSTREAMPATH:     The FDR/UPSTREAM directory
# UPSTREAMPARMFILE: The FDR/UPSTREAM parameter file
# UPSTREAMCFGFILE:  The FDR/UPSTREAM configuration file
#
FDRUPSTREAM=1
UPSTREAMPATH=/opt/fdrupstream
UPSTREAMPARMFILE=rmtparm.dat
UPSTREAMCFGFILE=upstream.cfg
```

The variables which must be set are:

- ☐ **FDRUPSTREAM:** Set to **1** to start the FDR/UPSTREAM daemon.
- ☐ **UPSTREAMPATH:** Set it to the directory that UPSTREAM is installed in. For HPUX and Solaris the default is **/opt/fdrupstream**
- ☐ **UPSTREAMPARMFILE:** The FDR/UPSTREAM parameter file which is executed when FDR/UPSTREAM is started. The sample **rmtparm.dat** is recommended.
- ☐ **UPSTREAMCFGFILE:** The FDR/UPSTREAM configuration file. Most users will specify **upstream.cfg**.

After you have created fdrupstream.config, run the install script from the <upstream>/startup directory. You must be logged in as root.

```
./install
```

The install script incorporates the FDR/UPSTREAM startup/shutdown facilities into the operating system startup/shutdown directories.

If, at any time, you wish to temporarily not start the FDR/UPSTREAM daemon, modify:

- (HPUX) /etc/rc.config.d/fdrupstream
- (Solaris and Tru64) /etc/fdrupstream.config

Change the FDRUPSTREAM=1 variable to FDRUPSTREAM=0. On the next reboot the FDR/UPSTREAM daemon will not start.

To permanently remove the FDR/UPSTREAM daemon from your system, run the ./uninstall script.

To manually start the usdaemon:

- (HPUX and Tru64) /sbin/init.d/fdrupstream start
- (Solaris) /etc/init.d/fdrupstream start

To manually stop the usdaemon:

- (HPUX and Tru64) /sbin/init.d/fdrupstream stop
- (Solaris) /etc/init.d/fdrupstream stop

### 4.8.3. Unix Systems Services Daemon Installation

The following sample line can be added to /etc/rc to start FDR/UPSTREAM at boot time. We suggest that you add this line at the next to last line of /etc/rc. It assumes that you have installed FDR/UPSTREAM in the /usr/lpp/fdrupstream directory.

```
/usr/lpp/fdrupstream/usdaemon
parameter=/usr/lpp/fdrupstream/rmtparm.dat
configfile=/usr/lpp/fdrupstream/upstream.cfg
```

Note: Enter this as a single line.

You must also add UPSTREAMPATH to the /etc/init.options file. Assuming you have installed FDR/UPSTREAM in the recommended directory named /usr/lpp/fdrupstream, you would add to /etc/init.options:

```
-e UPSTREAMPATH=/usr/lpp/fdrupstream
```

You must IPL after making these changes.

To shutdown the daemon you can use the following commands:

```
ps -e | grep uscmd
kill <process number>
```

To restart the daemon, enter at the command line the command added to /etc/rc (above)

# 4.9. Backups & Restores

The screens and backup/restore concepts used in FDR/UPSTREAM Unix differ somewhat to those in the PC products.

To enter the FDR/UPSTREAM Unix main program, run **us**.

### 4.9.1. Backups

Note that since UNIX does not have an archive bit, FDR/UPSTREAM determines when a file has changed between backups in a different way. Whenever a backup is performed, FDR/UPSTREAM writes a single line file (<Backup Profile>.inc) which contains the date/time of the backup. When you select Incremental, FDR/UPSTREAM uses the date/time in this file and compares it against the last modification date/time of the selected files. Thus you should be careful to not add or modify file specifications when performing an incremental backup, as this will defeat the mechanism.

FDR/UPSTREAM AIX offers a backup feature not available on any of the PC versions (available in the Backup <More> dialog): **Backup files open for Update**: Check this box if you wish FDR/UPSTREAM to back up files which are open for write. Note that the integrity of the data is not assured. This box is not checked by default. For Solaris and HP this box is ignored; all files are backed up regardless of their open state.

### 4.9.2. Logical and Physical Volumes

Logical and physical character volumes as well as files and directories can also be backed up and restored. This is particularly helpful in backing up databases such as Oracle. In Solaris, HPUX and AIX v4.1 and later, these backups can even be larger than 2GB.

To specify a backup of a logical or physical character volume, simply specify the UNIX path of the character special device (for example, /dev/rlv01). You must not have subdirectories selected. You cannot specify wildcards and these devices will not appear in the Files Available list box to avoid inadvertently selecting them. You must be the root user to use this feature.

When logical or physical volumes are displayed on an inquiry, the device is listed as either <CHR-PV> for a character special physical volume or <CHR-LV> for a character special logical volume along with a short size. Restores of these volumes proceed as for restores of files.

Backup and Restore of logical or physical volumes can be very dangerous as the operating system or application may have cached significant amounts of critical data making it unusable when restored. We recommend that file system volumes be taken off-line before any backups or restores and that applications using raw volumes be shut down normally. When in doubt, contact UPSTREAM technical support for help in planning your backup/recovery scheme.

For physical disk or raw partition access for Tru64 version 5, you must use the /devices/rdisk directory instead of the /dev directory. For example, use /devices/rdisk/dsk0c for the entire disk; /devices/rdisk/dsk0a for the *a* partition. When restoring to the *c* partition (the entire disk), you must use the *disklabel* command to unlabel the disk. See the man page for the *dd* command for more information.

### 4.9.3. Owners and Groups

FDR/UPSTREAM saves the name of the owner user and group for files and directories rather than the ID number. This facilitates cross-system restores in situations where the /etc/passwd and /etc/group files on the two systems are not in-sync.

If these files are not in sync, restores will work correctly if the system which owns the disk is the system which is doing the restore. If you are restoring to a disk owned by another system (via NFS or using a vgexport for example) and the two systems do not have user and group ID numbers in sync, the owner and groups assigned may not be as you would expect. Thus we recommend keeping these ID synchronized or using NIS.

The rules for owner/group assignments are:

- The owner is only restored if the restore is performed by root. Otherwise the owner of the file is the person doing the restore.
- The group is only restored if the restore is performed by root or if the user doing the restore is the original owner of the file or directory.

### 4.9.4. Unix Systems Services

FDR/UPSTREAM for UNIX Systems Services is released with only the command line version (uscmd and usdaemon). This means that all backup and restore requests will have to be performed from UPSTREAM/MVS (using the ISPF panels), from a UNIX system which contains the full-screen version of FDR/UPSTREAM (us) using the “Request a Remote Function” facility or End-User Restores.

uscmd or usdaemon will usually be left running for an extended amount of time and CPU time consumed will accumulate. MVS may have a CPU timelimit for each OS/390 Unix System Services task. This may need to be increased. This is controlled via SYS1.PARMLIB(BPXPRMxx) with the parameter MAXCPUTIME. See the *OS/390 UNIX Systems Services* planning guide.

When running backups, you must be the superuser (uid of 0) to avoid RACF errors during the directory search.

The default INPORT (listening TCP/IP port) is **2972** so as not to conflict with the default UPSTREAM/MVS listening port. All USTBATCH jobs must specify this port (not the default of 1972).

All levels of compression appear to degrade performance significantly. We recommend that your do not use compression in your backups.

We have found that in some cases, TCP/IP performance can be improved dramatically by having UPSTREAM use the TCP/IP address of the one of your TCP/IP adapters rather than the local host address (127.0.0.1). For USTBATCH CONV=WAIT jobs, the IP address used is the one specified in the TARGNAME or TCPTARG parameters. For OS/390 Unix System Services initiated conversations, edit the default **upstream.cfg** to set TCPADDRESS to the address of the MVS system. UPSTREAM performance tests should be used to help isolate performance issues. See the *Performance* chapter or contact Tech support for performance tuning help.

### 4.9.5. UNIX Summary

The following are the main differences between FDR/UPSTREAM Unix and FDR/UPSTREAM PC:

- FDR/UPSTREAM is intended for application and user backup. FDR/UPSTREAM is NOT a replacement for your system backup. FDR/UPSTREAM does not backup special files, named pipes, etc. and requires an operating system with host communications be present. We recommend that you continue to use whatever backup mechanism you are currently using to create

bootable backups of the /(root), /usr, /var, /tmp and any other directories that are part of /home required for minimal system recovery.

However, UPSTREAM is intended to be used as your primary backup facility. Its limitations are predominantly in the area of system disaster recovery.

- Significant FDR/UPSTREAM internal files, used both by us and uscmd, including the help file (us.hlp), the resource file (us.res), the message file (unless fully qualified in the UPSTREAM configuration), the personalization file (us.ser) and the personalization authorization file (serial.dat) are searched for starting with the default directory (the directory you were in when you started UPSTREAM), the WORKPATH (in some cases) then in the directory pointed to in the environment variable UPSTREAMPATH.
- (AIX) We do not recommend using DTTERM when running UPSTREAM from X-Windows; we recommend the more full featured AIXTERM which allows use of ALT keys and is generally more friendly.
- Symbolic and hard links are properly handled for both backups and restores. See the *Advanced FDR/UPSTREAM* chapter for a description of hard link handling.
- Sparse files are not specifically supported.
- Incremental backups are performed by checking the file's last modification date.
- There is no user specifiable non-file data. Owner IDs, access dates and more are stored automatically.
- ACLs are not supported.
- If there are errors in starting a remotely requested job (see the *Advanced FDR/UPSTREAM* chapter), these errors are stored in the file **usjob.out** in the WORKPATH directory.
- (AIX) Whenever there is a SNA error that causes a FDR/UPSTREAM APPC request to be interrupted, there will usually be a Communications Server message at the console and FDR/UPSTREAM will terminate.
- FDR/UPSTREAM will not back up directories that have been mounted over.

This page intentionally left blank

# 5 OS/2

## 5.1. Overview

The installation process consists of four steps:

- Determining your system requirements
- Installing the software
- Configuring the communications software
- Configuring FDR/UPSTREAM

We recommend that you install, configure, and make operational your TCP/IP or APPC software before installing and configuring FDR/UPSTREAM. In particular getting 3270 operational will help in this process.

### 5.1.1. Requirements

FDR/UPSTREAM OS/2 requires the following:

- An IBM AT, PS/2 or compatible
- A diskette or CD-ROM drive.
- 2 megabytes of free hard disk space. If you will be backing up large servers you may need up to 40 MB of free disk space.
- OS/2 v2.x, 3.x or 4.x.
- Communications hardware compatible with your communications software.
- APPC software for an approved vendor, including IBM Communications Server, IBM Communications Manager/2, IBM Personal Communications or IBM OS/2 Extended Services.

or

- TCP/IP software from an approved vendor including IBM or Novell.

# 5.2. Installing FDR/UPSTREAM

FDR/UPSTREAM includes an installation batch file to help you install it for the first time to your hard disk or network drive. But you don't have to use it if you don't want, as all the batch files do is create a directory for the FDR/UPSTREAM files, copy the diskette to a specified drive and directory and optionally create a folder and items within it. If you have any problems with the installation, just copy the files yourself and manually create the folder. You can end the installation process at any prompt by pressing [ESC], or at any other time by pressing [CTRL][BREAK].

**NOTE: If you specify a drive or directory different than C:\UPSTREAM and you intend to perform PC initiated unattended backups or restores, then you must modify the USLOAD.CMD file to reflect the drive and directory.**

**NOTE: If you do not run the INSTALL batch file for a first time install, you will need to rename USSER to US.SER.**

### 5.2.1. Diskette Installation

If you are installing from CD-ROM, proceed to the next section.

To run the installation program, insert the FDR/UPSTREAM program diskette in your floppy drive. Make that drive the default drive and run the INSTALL batch file. For example:

```
C:\>A:
A:\>INSTALL
```

A banner screen is displayed explaining the installation process. You are then asked:

```
Do you wish to install UPSTREAM now (Y or N) : _
```

Press either 'Y' followed by [ENTER] to install it now, or 'N' to not install FDR/UPSTREAM.

You are then asked for the destination path. Specify the drive and directory you wish UPSTREAM to be installed in. The default is C:\UPSTREAM.

```
Destination : C:\UPSTREAM
```

The installation program now creates the specified directory and copies the files on the Program Diskette to that directory. When the files have been copied, you will asked:

```
Do you wish to create an IDP folder (Y or N) : _
```

Enter Y if you are running OS/2 v2.0 or higher and wish an IDP folder which will allow you to select FDR/UPSTREAM programs from the Presentation Manager Desktop. If you enter N, you can always create the folder and icons manually at a later time.

**NOTE: When installing from floppy, you will need to manually copy the files from the Supplemental Diskette to the UPSTREAM directory. This is not necessary if installing from the CD.**

Proceed to page 5-10 to begin the configuration of your communications environment.

### 5.2.2. CD-ROM Installation

To run the installation program, insert the FDR/UPSTREAM CD in your CD-ROM drive. Make that drive the default drive and run the INSTALL program. For example:

```
C:\>D:
D:\>INSTALL
```

An installation screen is displayed:

```
                    FDR/UPSTREAM Installation

UPSTREAM Product (Choose one of the following): U
   U - FDR/UPSTREAM
   W - ULTra (FDR/UPSTREAM for workstations)

Operating System (Choose one of the following): D
   A - AIX        D - DOS
   N - NetWare    O - OS/2
   W - Windows 3.x, Windows 95 and Windows NT

Installation Source Drive (CDROM Drive):        F

Destination Drive:                              C

Destination Directory:                          UPSTREAM_________________

Press the Tab key to move from one field to the next.
Press the Enter key to proceed or the Esc key to exit the installation.
```

In the installation screen, use the TAB key to move from field to field and the ENTER key when you are satisfied with the screen. For an OS/2 installation enter:

- ☐ **UPSTREAM Product**: Enter **U** for FDR/UPSTREAM
- ☐ **Operating System**: Enter **O** for OS/2
- ☐ **Installation Source Drive**: Enter the drive letter that your CD-ROM is running on.
- ☐ **Destination Drive**: Enter the drive letter of where you wish to install UPSTREAM. Most users will enter **C**.
- ☐ **Destination Directory:** Enter the directory where you wish UPSTREAM installed. Most users will enter **UPSTREAM**.

Press the **ENTER** key to move to the next screen.

You will then be asked if you wish to create an **FDR/UPSTREAM for OS/2 folder** to allow easy access to FDR/UPSTREAM. Only answer 'Y' to this if you do not currently have an UPSTREAM folder.

The installation program now creates the specified directory and copies the files on the Program Diskette to that directory.

The supplemental diskette includes samples and files rarely used. You can install these by copying the files manually.

Proceed to page 5-10 to begin the configuration of your communications environment.

### 5.2.3. Uninstalling FDR/UPSTREAM

FDR/UPSTREAM installs no system hooks. Thus the uninstall process is quite straightforward:

- Remove the UPSTREAM icons.
- Delete the UPSTREAM directory.

# 5.3. Files Included

FDR/UPSTREAM consists of several files. Each file name and it's purpose is outlined here.

- Table 5-1 describes the files on the FDR/UPSTREAM Program Diskette or the \UPSTREAM\OS2 directory on the CD-ROM.
- Table 5-2 describes the files on the FDR/UPSTREAM Supplemental Diskette (these files are not installed automatically by the INSTALL program when run from diskette). These files are also contained in the \UPSTREAM\OS2 directory on the CD-ROM.
- Table 5-3 describes the files on the FDR/UPSTREAM Supplemental Diskette \SAMPLES directory or the \UPSTREAM\OS2\SAMPLES directory on the CD-ROM.
- Table 5-4 describes the files on the NetWare Program Diskette or the \UPSTREAM\\NETWARE directory on the CD-ROM.
- Table 5-5 describes the contents of the FDR/UPSTREAM ULTra Workstation Diskette (available as a separate option) or the \ULTRA\OS2 directory on the CD-ROM.

| File Name | Description |
|---|---|
| CHARBAT.EXE | Part of the installation system, this executable is designed to be called by a batch file to allow entry of a single character. |
| COPYD2.CMD | Internal installation batch program. |
| CRT_IDP.CMD | A REXX program which creates the IDP folder and the FDR/UPSTREAM items (OS/2 v2.x and above only). |
| INST2.CMD | Part of the installation system, this is an internal file. |
| INST3.CMD | Part of the installation system, this is an internal file. |
| INSTALL.CMD | Part of the installation system, this is the file that a user calls to install FDR/UPSTREAM. |
| RMTPARM.DAT | Sample parameter file, used when the OS/2 attach manager starts FDR/UPSTREAM (when it is not already running). |
| SERIAL.DAT | Required for modification of personalization information of FDR/UPSTREAM. |
| US.EXE | FDR/UPSTREAM main program. Provides the main user interface, performs the communications including backups and restores, logs events, allows inquiries and many other features. |
| US.HLP | The FDR/UPSTREAM help file. This file contains the help text that you see when you press the help (F1) button. You can modify this file to customize the text for your installation or translate it into a foreign language (see section 12). |
| USSER | The default personalization file. This file must be named US.SER in the UPSTREAM directory or the WORKPATH for UPSTREAM to run. |

| File Name | Description |
|---|---|
| WAIT.DAT | Sample parameter file, usually used for TCP/IP, for starting UPSTREAM and having it wait forever for remote requests. |

**Table 5-1**
**FDR/UPSTREAM Program Diskette Contents**

| File Name | Description |
|---|---|
| DB2UEXIT.EXE | UPSTREAM DB/2 (UDB) agent “user exit” program. |
| DISK2 | Part of the installation facility, an internal file. |
| GERMAN.LNG | Language file for the German language. |
| JAPANESE.LNG | Language file for the Japanese language. |
| LNINCR.EXE | Lotus Notes incremental database generation program. |
| RETCODE.EXE | Allows text descriptions of the extended program return code returned by FDR/UPSTREAM and re-sets the limited return code. |
| SETNOV.EXE | (Novell only) FDR/UPSTREAM Novell security access specification program. Run this program to specify the user names, servers, etc. you wish to attach to. |
| SLEEP.EXE | A simple program to pause for a given number of seconds. Useful for auto-updates. |
| UPSTREAM.MSG | The FDR/UPSTREAM predefined message file. This file contains many of the messages that are logged and displayed. You can modify this file to change the message text, or to change the way that it is handled (see section 11). |
| USATTMGR.EXE | The FDR/UPSTREAM TCP/IP attach manager, used to start FDR/UPSTREAM upon a remote request. |
| USCFG.EXE | FDR/UPSTREAM configurator. Use this program to specify communications parameters, system overall parameters and to set up unattended operations. |
| USCFG.HLP | FDR/UPSTREAM configurator help file. As for the FDR/UPSTREAM help file, this file contains the help information when you press the help (F1) button and is user modifiable. |
| USCNTL.EXE | Allows operations on the running UPSTREAM program including trace toggling, killing, and more... |
| USEXTPWD.DLL | Used by the Lotus Notes Incremental program (LNINCR), allows unattended password entry. |
| USFONT.FON | A sample fixed pitch font. |
| USLOAD.CMD | For unattended operations, loads FDR/UPSTREAM and allows you to perform functions that you configure including software installations. |

| File Name | Description |
|---|---|
| USLOGCLR.EXE | FDR/UPSTREAM log and report maintenance program. The FDR/UPSTREAM logs and reports can grow indefinitely, so a program has been provided which will shrink it down, based on a specified number of days. |
| USMODIFY.EXE | (Available on the Innovation BBS) Allows command line modification of a number of FDR/UPSTREAM parameter and configuration files. |
| USSTART.EXE | FDR/UPSTREAM unattended operations program. This program operates as a presentation manager program. It waits for a specified time and then starts FDR/UPSTREAM. |
| USTCPIP.EXE | (16-bit version only) Internal IBM TCP/IP access program (do not run directly). This program must be in the same directory as US.EXE. |
| USUDB.DLL | UPSTREAM DB/2 (UDB) agent “vendor DLL”. |
| USVERIFY.EXE | Used to verify data on disk to UPSTREAM backups. |

**Table 5-2**
**FDR/UPSTREAM Supplemental Diskette Contents**

| File Name | Description |
|---|---|
| ANS2ATOE.TAB | Improved ANSI ASCII-to-EBCDIC translation tables |
| ANS2ETOA.TAB | Improved ANSI EBCDIC-to-ASCII translation tables |
| ANSIATOE.TAB | ANSI ASCII-to-EBCDIC translation tables |
| ANSIETOA.TAB | ANSI EBCDIC-to-ASCII translation tables |
| AUTOINST.CMD | Sample installation job for the FDR/UPSTREAM auto-update facility. |
| AUTOINST.DAT | Sample installation parameter file for the FDR/UPSTREAM auto-update facility. |
| DATABASE.DAT | Configuration file to be used with SQLUEXIT.CMD |
| EXCLUDE.LST | A sample list of files worth excluding. |
| FULLPWD.CMD | Simple batch file which asks the user to enter their password. |
| OEM2ATOE.TAB | Improved OEM ASCII-to-EBCDIC translation tables (recommended for OS/2). |
| OEM2ETOA.TAB | Improved OEM EBCDIC-to-ASCII translation tables (recommended for OS/2). |
| OEMATOE.TAB | OEM ASCII-to-EBCDIC translation tables (recommended for OS/2). |
| OEMETOA.TAB | OEM EBCDIC-to-ASCII translation tables (recommended for OS/2). |
| READ.ME | Instructions on how to use the IBM Database Manager user exit. |

| File Name | Description |
|---|---|
| SQLUEXIT.CMD | IBM Database Manager backup/restore user exit (no longer recommended) |
| ULTINST.CMD | Sample installation job for the FDR/UPSTREAM ULTra auto-update facility. |
| ULTDOS.DAT | Sample parameter file for automatically updating FDR/UPSTREAM DOS ULTra machines. |
| ULTNT.DAT | Sample parameter file for automatically updating FDR/UPSTREAM Windows NT ULTra machines. |
| ULTOS2.DAT | Sample parameter file for automatically updating FDR/UPSTREAM OS/2 ULTra machines. |
| ULTW95.DAT | Sample parameter file for automatically updating FDR/UPSTREAM Windows 95 ULTra machines. |
| ULTWIN.DAT | Sample parameter file for automatically updating FDR/UPSTREAM Windows 3.1 ULTra machines. |
| USATOE.TAB | Sample ASCII-to-EBCDIC conversion table. |
| USETOA.TAB | Sample EBCDIC-to-ASCII conversion table. |

**Table 5-3**
**FDR/UPSTREAM Supplemental Diskette \SAMPLES Directory**

| File Name | Description |
|---|---|
| USLOGCLR.NLM | (NetWare Directory Services) Clears the USNDS.LOG file. See the Novell chapter for more information. |
| USNDS.NLM | (NetWare Directory Services) Provides access to NDS information for attached FDR/UPSTREAM workstations. See the Novell chapter for more information. |
| USSETUP.NLM | (NetWare Directory Services) Installs the required NLMs on a server. See the Novell chapter for more information. |

**Table 5-4**
**FDR/UPSTREAM NetWare Program Diskette**

| File Name | Description |
|---|---|
| CRT_ULTR.CMD | During installation creates the FDR/UPSTREAM ULTra Icon View. |
| INSTALL.CMD | Simple batch file to install the FDR/UPSTREAM ULTra version on a workstation. |
| LANCOPY.EXE | Allows PC-to-PC file copies and directory listings across the LAN to PCs which have ULTRA.EXE installed. |
| NETBDR.CMD | Sample job for helping you to create NetBIOS disaster recovery diskettes. |

| File Name | Description |
|---|---|
| NOVELLDR.CMD | Sample job for helping you to create Novell IPX/SPX disaster recovery diskettes. |
| ULTRA.EXE | Allows remote file access across a Novell IPX/SPX or NetBIOS LAN. |
| ULTRADR.EXE | Allows remote file access in a disaster recovery mode across LAN connections. |
| UPSTREAM.MSG | UPSTREAM predefined message file (truncated to save space). |
| USLOGCLR.EXE | ULTRA.LOG (or UPSTREAM.LOG) log maintenance (shrinking) program. |

**Table 5-5**
**FDR/UPSTREAM ULTra OS/2 Workstation Diskette Contents**

# 5.4. Configuration Overview

Configuration of FDR/UPSTREAM to communicate to the host is very different depending upon whether you are running SNA/APPC or TCP/IP.

### 5.4.1. Configuring for TCP/IP

Once you have installed the TCP/IP software and tested the connectivity to the host (via a standard application such as FTP), you are immediately ready to proceed to the FDR/UPSTREAM configuration. Go to page 5-42 to perform this configuration.

### 5.4.2. Configuration for APPC - Overview

The process of configuring FDR/UPSTREAM for APPC involves several issues:

- Configuring VTAM
- Configuring FDR/UPSTREAM MVS
- Configuring the APPC software
- Configuring FDR/UPSTREAM PC

Careful planning is essential in configuring SNA software. You should review the entire process before beginning and fill out the worksheets for each section or have information available.

**NOTE: If you have been using a prior version of FDR/UPSTREAM which was full-screen, you will need to change the Communications Manager program type to Presentation Manager.**

# 5.5. Pre-PC Configuration Issues

### 5.5.1. Configuring VTAM

You should have your VTAM system's programmer configure the VTAM environment, or modify the existing environment if it is insufficient for FDR/UPSTREAM (i.e. a mode definition that doesn't support LU 6.2). Worksheet 5-1 should be filled out by this person or the information should be obtained from this person. An NCP regeneration is rarely required.

See the FDR/UPSTREAM MVS manual for suggestions on configuring VTAM.

**NOTE: The host mode entry determines values like RU size and the APPL definition determines the pacing both of which have a significant affect on performance. We recommend that you define a mode entry that initially sets the RU size at 4096 or use USTMODE which is provided as a sample and an APPL definition which sets pacing at 8.**

| Name | Description | Your Value |
|---|---|---|
| SNA Network Name | The name of the SNA network to which you belong. | |
| Partner LU Name | The APPLID of UPSTREAM on the host. Supplied sample: **UPSTREAM**. | |
| LU Number | The LU local address. Most users will use 2. | |
| Mode Name | The mode table entry name. The supplied sample: **USTMODE**. | |
| Receive Pacing Size | A number from 1 to 63 of the number of RUs to be received in succession before a low-level acknowledgment. NEVER use 0. We recommend 8 or 10 initially. | |
| Controller LAA (Token-Ring only) | The locally administered address of the 3174, 3172 or 37xx front end. This is a 12 hex digit number usually starting with 4. | |
| PC LAA (Token-Ring only) | The locally administered address of the PC. This value must be unique on the ring and for 3174 connections, must be defined in the controller. | |
| LU Name | The name of the PC LU to be used. | |
| IDBLK (3172 or 37xx front end only) | Must be 05D for OS/2 EE or ES. | |
| IDNUM (3172 or 37xx front end only) | The 5 hex digit number of the XID. | |

**Worksheet 5-1**
**VTAM definitions for an FDR/UPSTREAM PC**

**NOTE: It is recommended that you use dependent LUs (a non-zero LU number) rather than independent LUs as there are known problems within NCP and VTAM when using independent LUs.**

### 5.5.2. Token-Ring Considerations

If you have a access to a direct Token-Ring connection to the host, it is **strongly** recommended that you use it for FDR/UPSTREAM.

If you are using a 37xx front end or a 3172, the configuration is entirely in VTAM. If you are using a 3174 controller, then you will need a device configuration for the PC if one doesn't already exist. Worksheet 5-2 should be filled out by the host personnel who configures or maintains the 3174 cluster controller

| Name | Description | Your Value |
|---|---|---|
| PC LAA | The locally administered address of the PC as known to the controller. You must modify the LAN Feature Profiles and be sure that the correct configuration file is used in the CONFIG.SYS. | |
| Transmit I-Frame Size | This is 9 bytes greater than the maximum RU size you can support. We recommend that this be 4105. You will need to modify the Transmit buffer size on the PC to support this. | |
| SAP | Service Access Point. Should always be 4. | |

**Worksheet 5-2**
**3174-to-UPSTREAM Configuration**

**NOTE: There are two locally administered addresses used: the address of the controller and the address of the PC. You enter the address of the controller in the Partner LU definition in the Communications Manager. You enter the address of the PC in the LAN feature profiles, LAN Adapter and Protocol Support or NET.CFG file.**

### 5.5.3. FDR/UPSTREAM MVS Issues

You will need to have installed FDR/UPSTREAM MVS before beginning the configuration of an FDR/UPSTREAM OS/2 node. The FDR/UPSTREAM MVS configuration defines storage and security attributes to be used in storing backups.

The configuration for each PC on FDR/UPSTREAM MVS, including backup profiles, security, etc. should be complete before beginning the PC configuration.

Worksheet 5-3 contains the information that you will need for FDR/UPSTREAM OS/2 before you can begin testing. Chapter 7 includes expanded worksheets to help you build your production environment.

| Name | Description | Your Value |
|---|---|---|
| Backup Profile | An 8 character identifier used as a key for the storage of a group of backups. | |
| User ID & Password | The user ID and password required to access the requested backup profile (may not be required) | |
| Sequential Disk | Whether sequential disk backups are enabled. | |
| Sequential Tape | Whether sequential tape backups are enabled. | |

**Worksheet 5-3**
**FDR/UPSTREAM MVS Configuration for Testing**

See the FDR/UPSTREAM MVS manual for assistance on setting up an FDR/UPSTREAM OS/2 user.

# 5.6. Configuring the APPC Software

Select the chapter applicable to your communications environment in the table below for assistance in configuring the APPC software.

| APPC | Page |
|---|---|
| Communications Manager/2 | Page 5-16 |
| IBM Communications Server or IBM Personal Communications | Page 5-28 |

**Configuring APPCs**

**NOTE: You must configure a transaction program definition in your communications software or you will get FDR/UPSTREAM errors, the remote access of FDR/UPSTREAM functions will not work and FDR/UPSTREAM will report error messages.**

# 5.7. Configuring Communications Manager/2

This section discusses configuration of IBM Communications Manager/2 (CM/2) v1.0 or v1.1 for Token-Ring for use with FDR/UPSTREAM. If you will be using a link type other than Token-Ring, see the CM/2 Configuration Guide (part number SC31-6171-00) for specific information regarding the different link types (though you should read this section for FDR/UPSTREAM specifics, regardless). It is recommended that you have this guide available in any case.

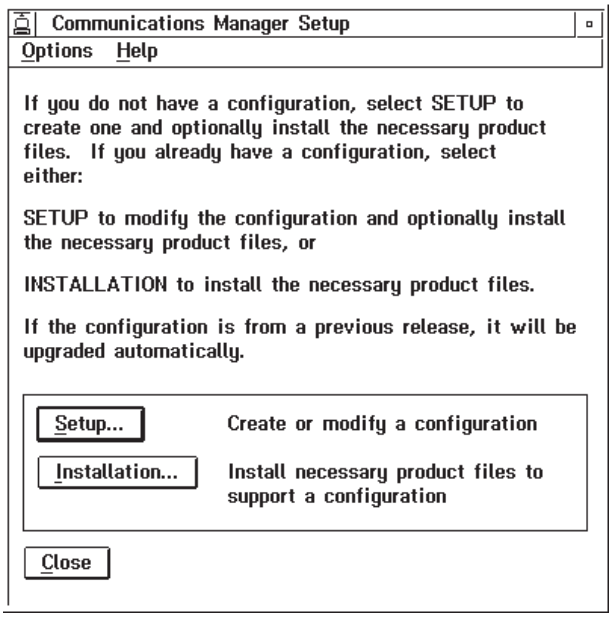
If you are familiar with other APPCs and this is your first experience with CM/2 note that the entire facility is PM based, and while the concepts are the same, the implementation is quite different.

Before beginning configuration for FDR/UPSTREAM, OS/2 and CM/2 should be installed, and 3270 operational. We also recommend that you have all server software (IBM, Novell, Banyan) installed and operational.

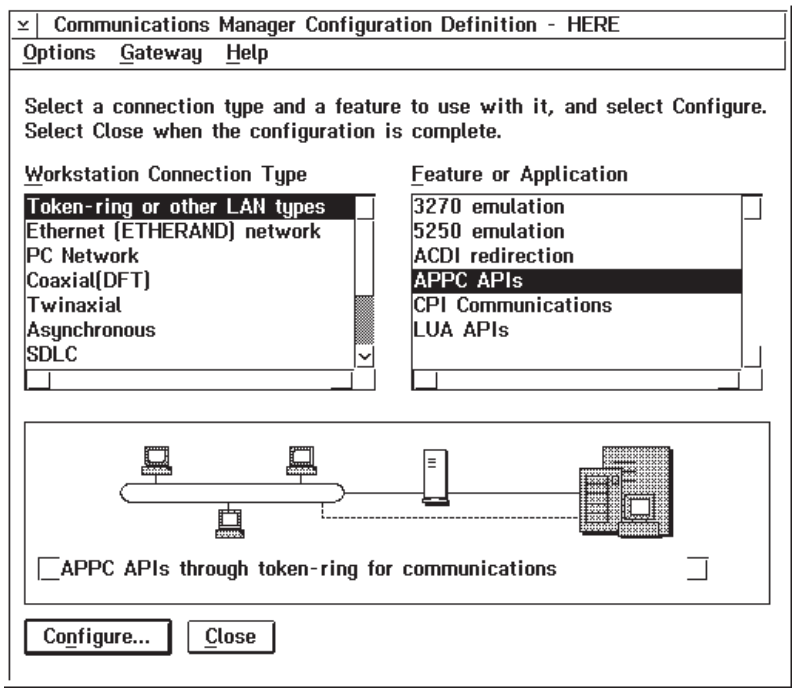
### 5.7.1. Starting the Setup Program

The first step in configuring APPC for FDR/UPSTREAM is to start the Communications Manager Setup program. This program (like most other CM/2 programs) is found in the Communications Manager/2 Program Group. Double-click your mouse or press [ENTER] on the **Communications Manager Setup** icon to start the program.

Once the Setup program is started, you will see an IBM logo screen with a single Ok button. Press the **Ok** button to go to the Communications Manager Setup dialog.



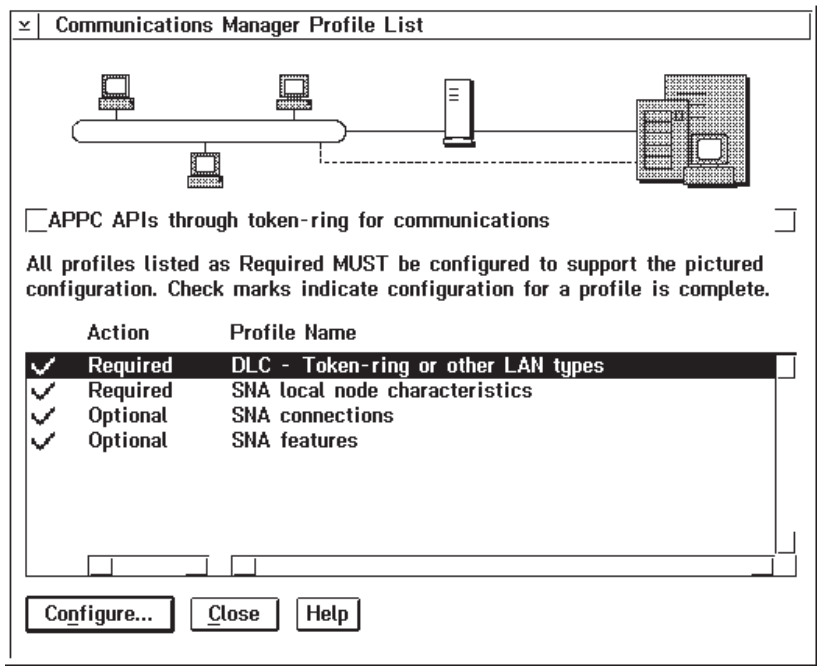

Press the **Setup** button to begin the configuration. You will then see the Open Configuration dialog. Press the **Ok** button to use the configuration file that you set up for 3270. This will bring you to the Communications Manager Configuration Definition dialog.




The configuration definition dialog allows you to select which features over which connection you wish to configure. Highlight the **Token-Ring** workstation connection type and the **APPC APIs** feature type and press the **Configure** button to begin the configuration.

### 5.7.2. Profile List Sheet

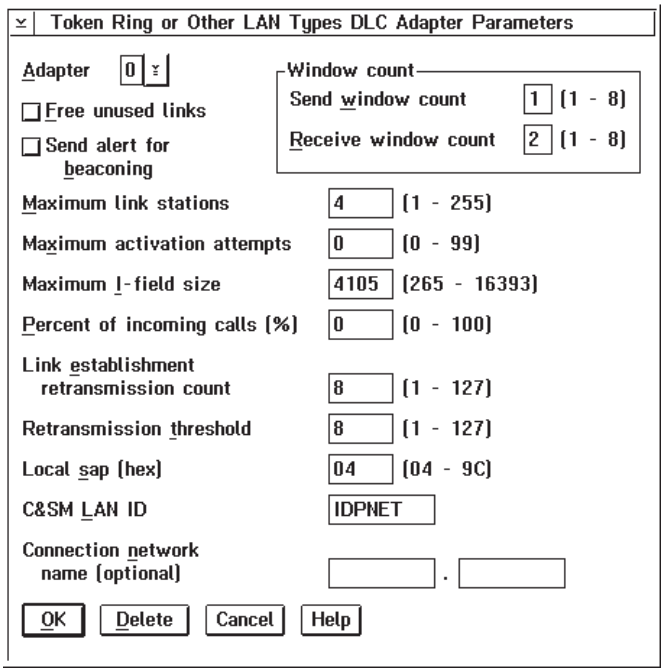
This will bring you to the Communications Manager Profile List Sheet dialog. Use this dialog to specify the APPC definitions for the Token-Ring link type which are required to run FDR/UPSTREAM.



Since you have already configured the DLC for 3270, there is an existing configuration. However, for best performance, we recommend that you examine some of its values. Highlight the **DLC - Token-ring or other LAN types** option and press the **Configure** button.

### 5.7.3. Token-Ring Adapter Parameters

This will display the Token Ring or Other LAN types DLC Adapter Parameters dialog. This dialog is used to specify SNA access to the LAN adapter, and in some cases may adjust your PROTOCOL.INI file.




The fields of most importance to FDR/UPSTREAM users is:

- **Send window count:** The default of 4 will work in most circumstances since this field will usually be negotiated in an XID3. For maximum portability, we recommend the value of **1**.

- **Receive window count:** This value must match the MAXOUT parameter specified in your VTAM PU definition if you are connecting to a 3172 or 3745 or the value specified in question 941 in the 3174 definition. If you are unsure, do not use the default of 4; use **2**.

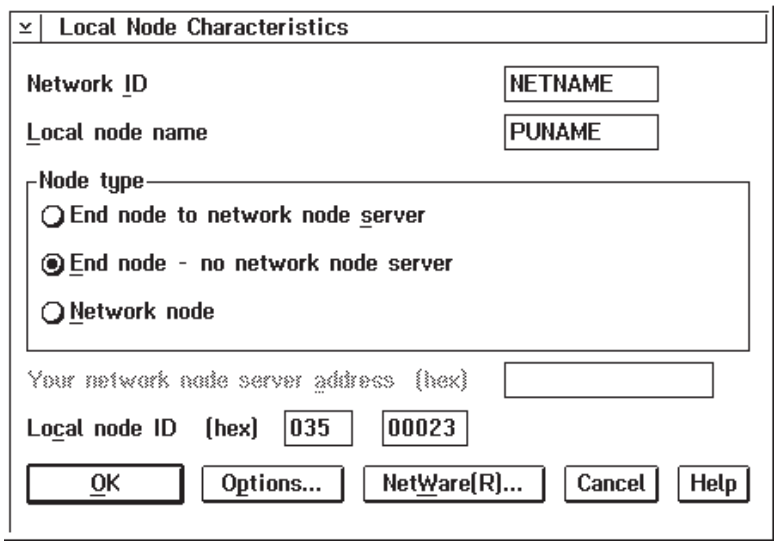
- **Maximum I-field size:** For best performance, we recommend a value of **4105**, which will allow a maximum RU size of 4096.

When you have completed this dialog, press the **Ok** button to return to the Communications Manager Profile List Sheet.

The next step is to specify the Local Node Characteristics. From the Communications Manager Profile List Sheet, highlight **SNA local node characteristics** and press the **Configure** button.

### 5.7.4. Local Node Characteristics

This will display the Local Node Characteristics dialog. This dialog is used to specify physical unit (overall) SNA configuration characteristics for your SNA connection. Most of these parameters have been entered when you configured for 3270.




The meaning of the fields are:

- **Network ID:** This is the SNA network name that your PC belongs to. This can be obtained from your network administrator. It may already be entered. Enter up to 8 characters. It is required.

- **Local node name:** The name of your physical unit. This can be obtained from your network administrator. It may already be entered. Enter up to 8 characters. It is required.

- **Node type:** Defines how you are connecting to your adjacent node. Select one radio button.
  - **End Node to Network Node Server:** Select this radio button if you are connecting through an APPN network node (generally this is not an IBM mainframe, but is another OS/2 PC acting as a network node or an AS/400).
  - **End Node - No Network Node Server:** Select this radio button for most connection types.
  - **Network Node:** Select this radio button if this PC is acting as an APPN network node.

- **Local node ID:** If you are connecting to a 37xx front-end processor, you will need to enter your XID. The first field is the IDBLK portion of the XID. In prior OS/2 configurations this was fixed at 05D. Enter a 3 hex digit number.

  The second field is the IDNUM portion. Enter a 5 hex digit number.

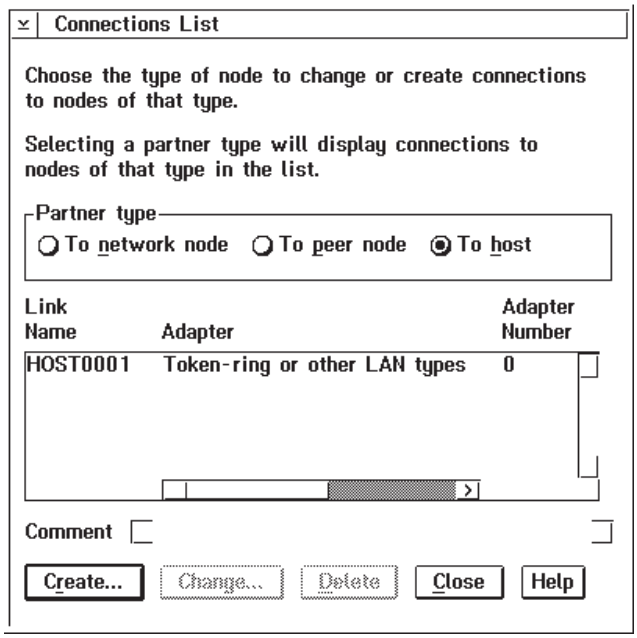
  Obtain these values from your network administrator.

When you have completed this dialog, press the **Ok** button to return to the Communications Manager Profile List Sheet.

The next step is to specify the connection that you will use for APPC transactions. Highlight the **SNA connections** entry and press the **Configure** button. This will take you to the Connections List dialog (see figure 6-).

### 5.7.5. Connections List

This dialog is used to specify the link and type of connection that you will be using.



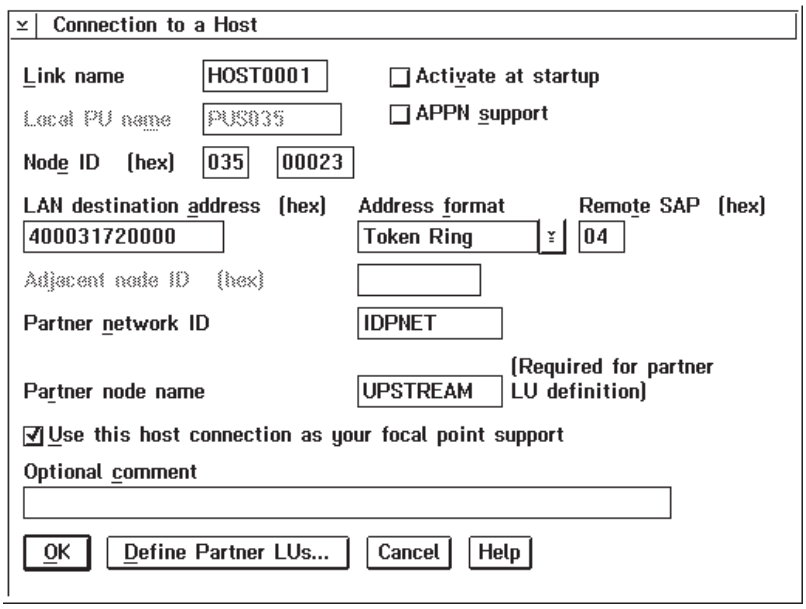

The fields are:

- **Partner type:** This is the Physical Unit type that you will be using to connect to FDR/UPSTREAM MVS. If your connection is to the host through a 3174, 37xx or 3172 select the **To host radio button** (this is most environments). If you will be connecting using Independent LUs you can also select the To peer node option. If you are connecting through an APPN device (AS/400, 3172, etc.) specify To network node. Again, most users will specify To host.

- **Link list box:** This list box allows you to select which link you will be using for your APPC connection. If this box is empty, press the Create button. If this box has the Token-Ring entry that you wish to use, highlight that entry and press the **Change** button.

  You will see the Adapter List selection dialog. Select the Token-Ring adapter entry in the list box and the Adapter number that you will be using (usually 0), and press the **Continue** button.

  This will bring you to the Connection dialog.




### 5.7.6. Connection Dialog

This dialog allows you to specify the relevant information for the connection that you will be using for APPC. The fields are:

- **Link name:** This value is generally suggested by the system, as LINK0001, HOST0001, etc. If you are defining your first link or only want one link and the suggested value is LINK0002 or HOST0002, you should press Cancel and reenter the screen in edit mode.

- **Local PU Name:** This field is grayed out in many environments. Enter the Physical Unit name as specified by your network administrator.

- **Node ID:** This is the XID used to connect to the host. Enter the values that you entered in the Local Node Characteristics dialog.

- **Activate at startup:** Check this box if you wish this connection started when Communications Manager starts. FDR/UPSTREAM will operate correctly regardless.

- **APPN support:** Do **not check** this box unless you will be using APPN facilities. Most users will not check this box.

- **LAN destination address:** Enter the LAN address of the 3174, 37xx, or other node that you are connecting to directly for SNA connections. For Token-ring this is generally a 12 digit locally administered address, beginning with 4.

- **Address Format:** Select the format that the LAN destination address (above) is specified in. Most users will select **Token Ring**.

- **Remote SAP:** Enter the Service Access Point defined for your host connection. Most users will specify **04**.

- **Adjacent node ID:** Enter the 8 digit XID used to connect to the host. This field is used in lieu of destination address and is grayed and unavailable to most users.

- ☐ **Partner network ID**: This is the SNA network name where FDR/UPSTREAM MVS can be found. This value should be obtained from your network administrator. Enter up to 8 characters. It is required.

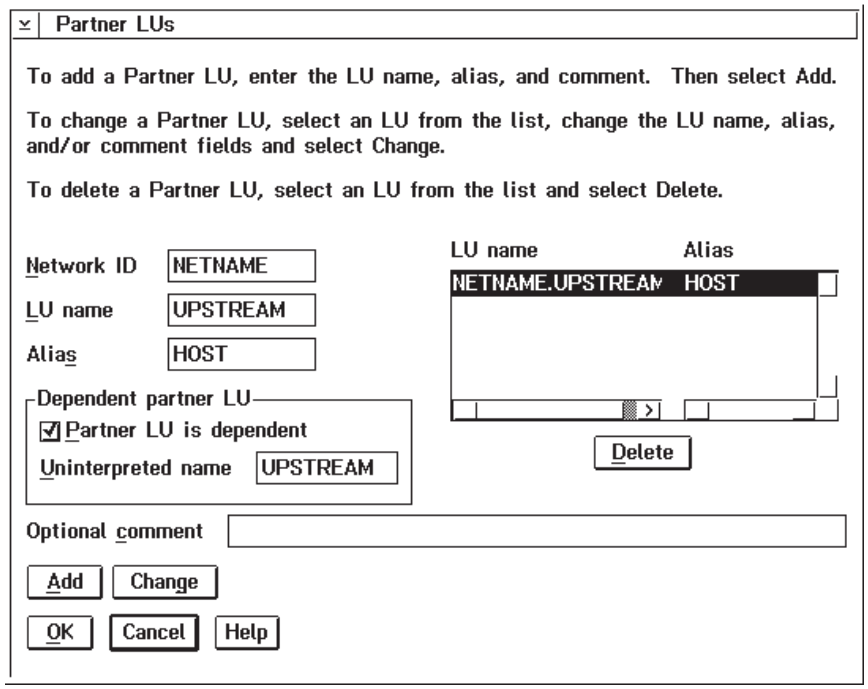
- ☐ **Partner node name:** This is the physical unit name for your adjacent system. For FDR/UPSTREAM MVS, use the APPLID (usually **UPSTREAM**). Enter up to 8 characters. It is required.

- ☐ **Use this host connection as your focal point support:** If you are using this PC to connect to a single host, you should **check** this box (recommended value).

- ☐ **Comment:** Enter any text that will help you remember what this definition is for. It is optional.

### 5.7.7. Partner LU Dialog

When you have completed these values, press the Define Partner LUs button to define the systems and applications you are connecting to.




- ☐ **Partner network ID:** This is the SNA network name where FDR/UPSTREAM MVS can be found. This value should be obtained from your network administrator. Enter up to 8 characters. It is required.

- ☐ **LU name:** This is your partner's LU name. For MVS connections, use the APPLID. For FDR/UPSTREAM MVS, the default is **UPSTREAM**. Enter up to 8 characters. It is required.

- ☐ **Alias:** This value is used by FDR/UPSTREAM OS/2 to find this partner definition. It is entered in the FDR/UPSTREAM configurator (described later in this chapter). The suggested value is **HOST**. Enter up to 8 characters. It is required.

  NOTE: This field is case sensitive and must be entered in UPPER case.

- ☐ **Partner LU is dependent:** If you are using dependent LUs, **check** this box. If you are using independent LUs (note independent LUs are required for parallel sessions), do not check this box.

- **Uninterpreted name:** Use the same entry that you specified for LU Name above. Enter up to 8 characters. For FDR/UPSTREAM MVS, the default is **UPSTREAM**.

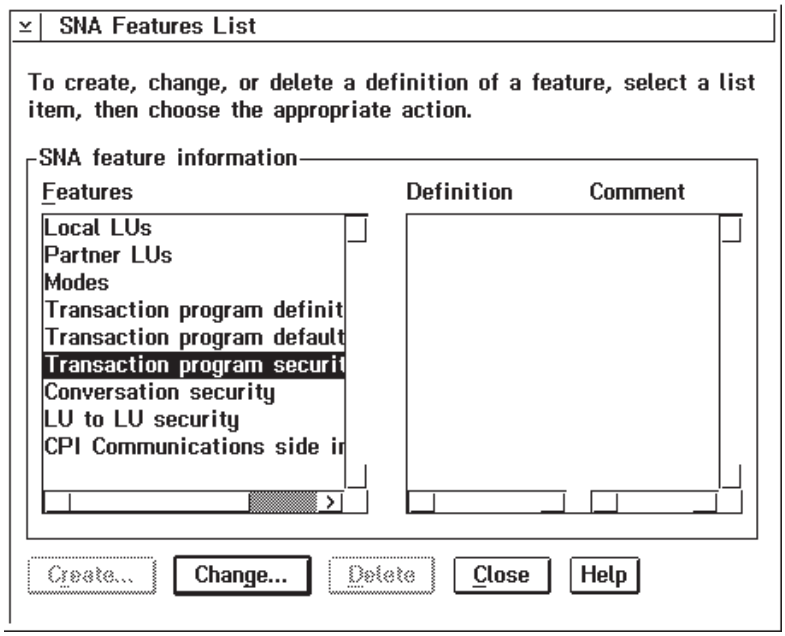
- **Comment**: Enter any text which will help you remember this definition.

Press the **Add** button to add this definition to the LU Name list box which contains all the partner LUs currently defined. When you have completed this screen, press the **Ok** button to return to the Link Definition Dialog.

Press the **Ok** button in the Link Definition Dialog to return to the Connection dialog. Press the **Close** button in the Connection dialog to return to the Profile List Sheet.

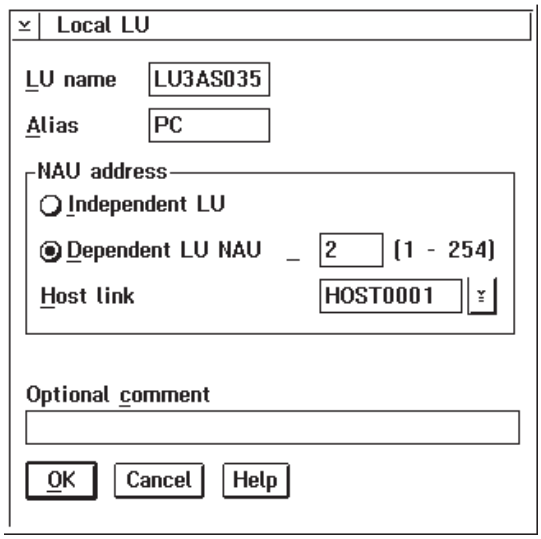
On the Profile List Sheet highlight SNA features and press the **Configure** button. This will bring you to the SNA Features List dialog.

In the SNA Features dialog you will be configuring a variety of features. When a feature has a configuration entry for it defined, you will see in the Definition list box, that entry. The following procedures will describe the creation of each profile. If you are modifying a configured feature, all you need to do is highlight the feature in the Features list box, highlight the definition you wish to modify in the Definition list box and press the Change button. You can also double-click your mouse on the definition you wish to change.




### 5.7.8. Local LU Dialog

From the SNA Features List dialog, highlight the **Local LUs** SNA feature and press the **Create** button. This will display the Local LU dialog.




The Local LU dialog allows you to define the parameters used by the LU (logical unit) defined for FDR/UPSTREAM for APPC access. These parameters must match the VTAM parameters defined on the host for your PC.

- **LU Name:** Enter the local LU name. This name can be obtained from your network administrator. Enter up to 8 characters. It is required.

- **Alias:** This is the name that is used by applications to reference this LU. This value must match the alias configured in the FDR/UPSTREAM configurator (USCFG). The recommended value is **PC**. Enter up to 8 characters. It is required.

  NOTE: This field is case sensitive and must be entered in UPPER case.

- **NAU Address:** Select the independent LU radio button if this is an independent LU (VTAM LU number of 0). Select the dependent LU button if this is a dependent LU (non-zero LU number) and enter the LU Local Address (LU number) in the text box.

  We recommend using dependent LUs unless you require APPN support, to avoid known problems with VTAM and NCP improperly handling adaptive pacing.

- **Host link:** Select the link name of the entry that you defined earlier. Usually, this is LINK0001 or HOST0001.

- **Comment:** Enter any text to help you remember this definition.

When you have completed entering the values in this dialog, press the Ok button to return to the SNA Features dialog.

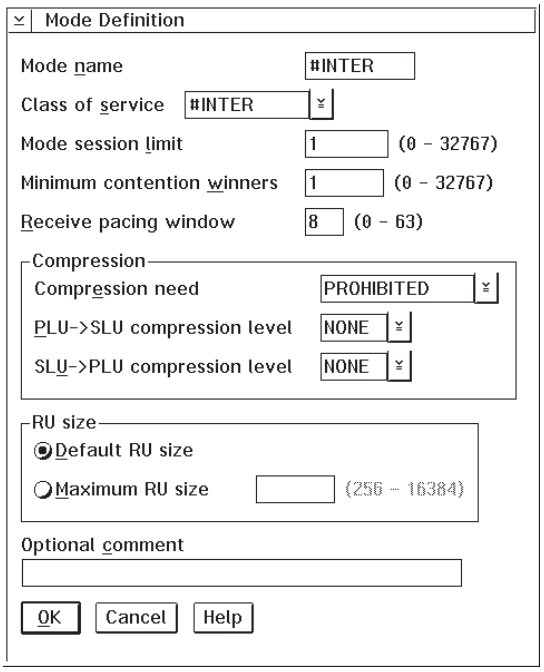
### 5.7.9. Mode Definition

Since you have already defined partner LUs, the next step is to define the mode that you will be using with FDR/UPSTREAM. Modes define certain characteristics that will be used in the communications.

The default mode for FDR/UPSTREAM is #INTER, which is a sample mode entry always available in VTAM. In CM/2 there is a sample entry for #INTER which needs to be accepted or modified to be used. In the examples, we have assumed that UPSTREAM is a single session application and we recommend whenever possible

using it that way. However, that requires modification of the predefined mode entry #INTER. If you can not change #INTER in your environment, you will need to define UPSTREAM for parallel sessions or use the sample provided with UPSTREAM MVS, USTMODE. Most users will be able to modify #INTER and can use the described modifications.

Select **Modes** from the SNA Features dialog, select the definition **#INTER** and press the **Change** button. You will see the Mode Definition dialog.




- **Mode Name:** The name of the mode table entry that you will be using. This value must match the value entered in VTAM. We recommend using **#INTER**.

- **Class of service:** Describes characteristics on how the connection will operate. The default of **#INTER** is generally acceptable.

- **Mode session limit:** The maximum number of sessions that can be utilized. We recommend using single sessions, so code the value of **1**.

- **Minimum contention winners:** The number of contention winner sessions that will be activated. FDR/UPSTREAM PC will operate as either a winner or loser, but we recommend a value of **1** (winner).

- **Receive pacing window:** For fixed pacing (non-adaptive), this is the number of RUs received in succession without an acknowledgment. We recommend a value of **8**. NEVER use 0.

- **RU size:** You can select to either use the default RU size or specify a value. We recommend using the **Default RU size** as this will be as large as the adapter can support. Note that the RU size can still be negotiated downwards by the host mode table entry.

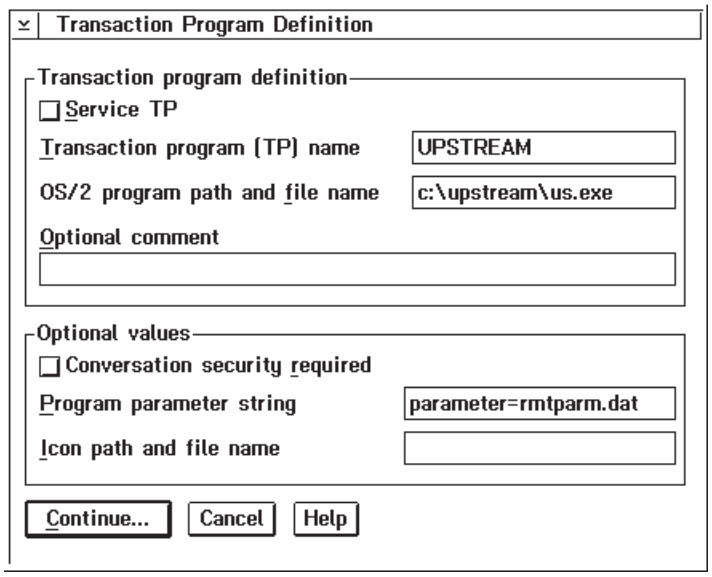
- **Comment:** Enter any text that will help you remember this definition.

When you have finished with this dialog, press the **Ok** button to return to the SNA Features dialog.

### 5.7.10. Transaction Program Definition

The final SNA feature to configure is the transaction program definition. This definition is used when you are going to be controlling FDR/UPSTREAM functions from another computer. However, since it doesn't hurt to define a profile, we recommend that you do it even if you are going to be controlling FDR/UPSTREAM from your PC.

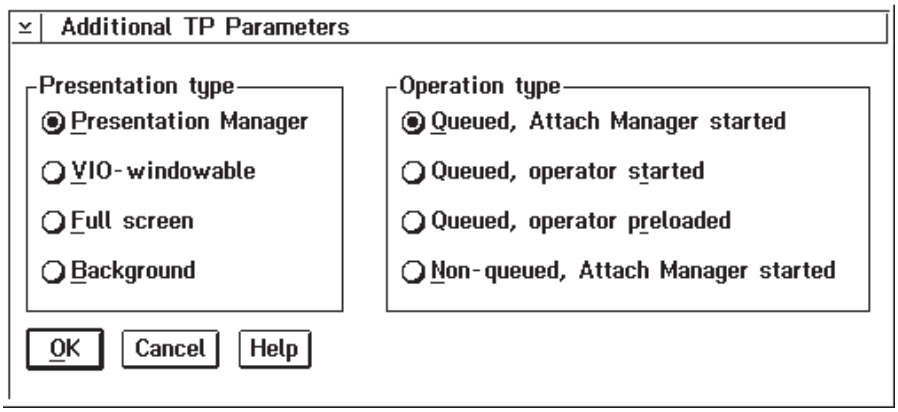
Select **Transaction programs definitions** from the SNA Features dialog and press the **Create** button. This will display the first transaction program definition dialog.




- ☐ **Service TP:** Do **not check** this box for FDR/UPSTREAM.
- ☐ **Transaction program (TP) name:** Enter **UPSTREAM**.

  NOTE: This field is case sensitive and must be entered in UPPER case.
- ☐ **OS/2 program path and file name:** Enter the full drive, path and file name where FDR/UPSTREAM is installed. Most users will enter: **C:\UPSTREAM\US.EXE**.
- ☐ **Comment:** Enter whatever text will help you remember this definition.
- ☐ **Conversation security required:** Do **not check** this box as FDR/UPSTREAM does its own security management.
- ☐ **Program parameter string:** This is the parameters that are passed to FDR/UPSTREAM when it is run. FDR/UPSTREAM comes with a sample remotely initiated parameter file, RMTPARM.DAT. Therefore, the recommended value is:
  **PARAMETER=RMTPARM.DAT**.
- ☐ **Icon path and file name:** FDR/UPSTREAM does not use this field; leave it blank.

When you are satisfied with your entries, press the **Continue** button to go to the second transaction program definition dialog.




This dialog allows you to specify how the program is started. The options are:

- **Presentation type:** This is how the started program is displayed. Select **Presentation Manager** as FDR/UPSTREAM is a PM program.

- **Operation Type:** It is recommended that you use **Queued - attach manager started.** In this case, when a remote request is detected by communications manager, if FDR/UPSTREAM is not running, the attach manager starts it. If FDR/UPSTREAM is running, FDR/UPSTREAM will pick up the request. Do not use Queued-operator pre-loaded or non-queued.

When you are satisfied with your selections press the **Ok** button to return to the SNA Features dialog. Press the **Close** button to return to the Profile List Sheet dialog. Press the **Close** button to return to the Configuration Definition dialog. Press the **Close** button to return to the Communications Manager Setup dialog.

### 5.7.11. The Final Steps

Upon returning to the Communications Manager Setup dialog an automatic verification will take place (unless you have disabled this feature).

If there are errors you will be asked if you wish to run the Message Log Formatter to view the errors. This is recommended as it will usually help you in determining where your errors are. Note that the most common error is using the same LU number for both 3270 and LU 6.2. Exit the Message Log Formatter, return to the Communications Manager Setup and repair any problems. If you can not ascertain what the problem is, feel free to call FDR/UPSTREAM technical support.

When the configuration file has verified successfully, you may be asked if you wish these items dynamically modified. There is generally no harm in this. If there are dynamic update errors, merely ignore them and stop and restart Communications Manager.

You may be asked if you wish your CONFIG.SYS and other startup files modified as well. We recommend that you allow the system to automatically modify these files. The Communications Manager may also suggest that you need to reboot to have these features take effect.

When you have completed your Communications Manager modifications (which may include rebooting the system), make sure that the Communications Manager is active and available.

You are now ready to go to the FDR/UPSTREAM configuration (page 5-42).

# 5.8. Configuring IBM Communications Server and Personal Communications

This section discusses configuration of IBM Communications Server v2.0 or IBM Personal Communications v4.1 for Token-Ring for use with FDR/UPSTREAM. If you will be using a link type other than Token-Ring, see the IBM documentation for specific information regarding the different link types (though you should read this section for FDR/UPSTREAM specifics, regardless). It is recommended that you have this guide available in any case.

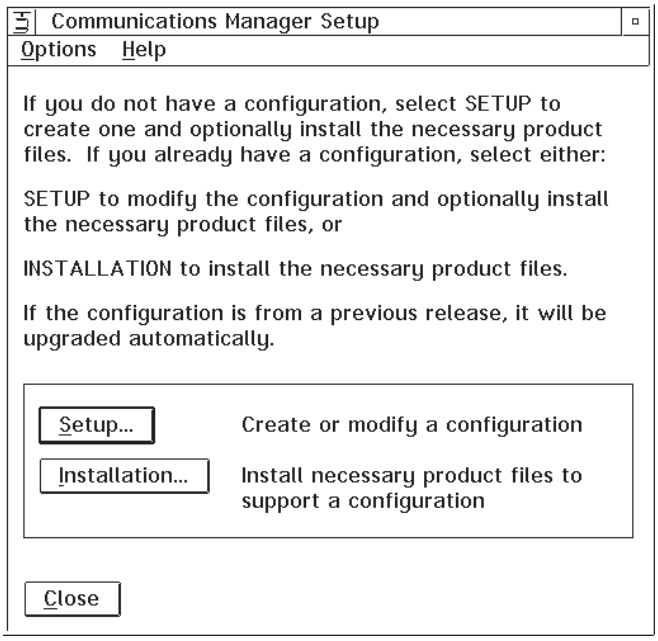
If you are familiar with other APPCs and this is your first experience with Communications Server note that the entire facility is PM based, and while the concepts are the same, the implementation is quite different.

Before beginning configuration for FDR/UPSTREAM, OS/2 and Communications Server should be installed, and 3270 operational. We also recommend that you have all server software (IBM, Novell, Banyan) installed and operational.

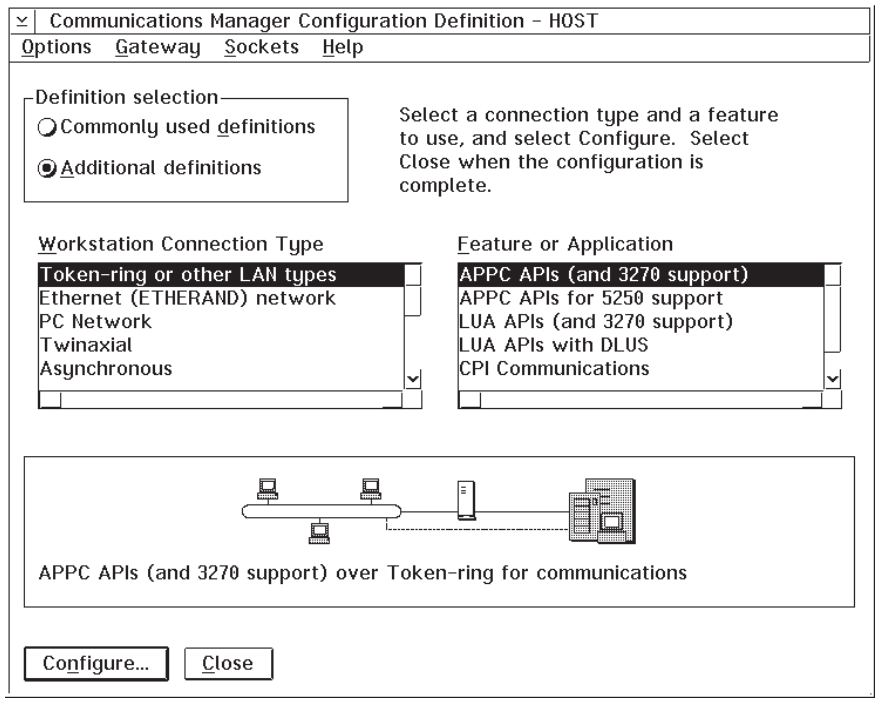
### 5.8.1. Starting the Setup Program

The first step in configuring APPC for FDR/UPSTREAM is to start the Communications Manager Setup program. This program (like most other Communications Server programs) is found in the Comm Server Icon View. Double-click your mouse or press [ENTER] on the **Communications Manager Setup** icon to start the program.

Once the setup program is started, you will see the Communications Manager Setup dialog.



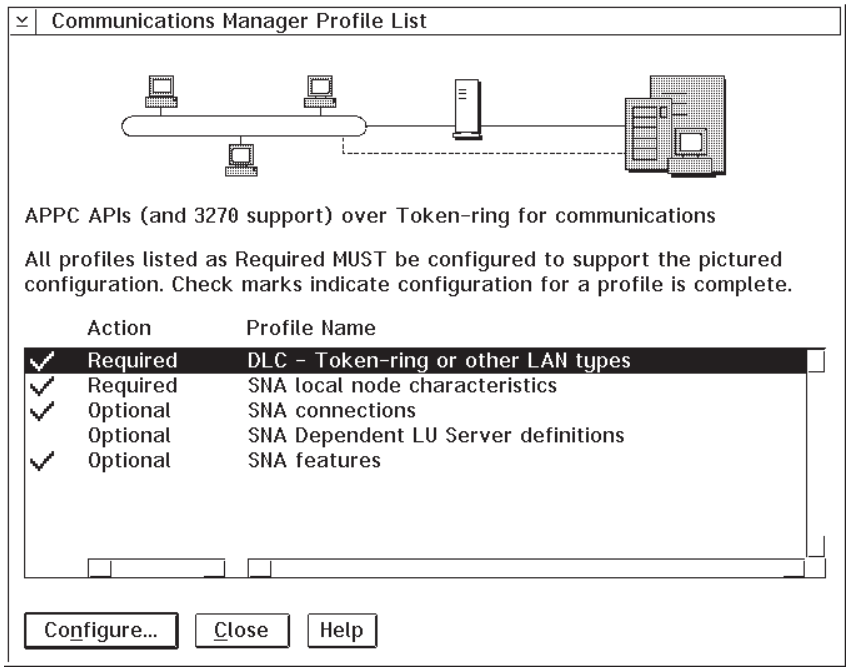

Press the **Setup** button to begin the configuration. You will then see the Open Configuration dialog. Press the **Ok** button to use the configuration file that you set up for 3270. This will bring you to the Communications Manager Configuration Definition dialog .




The configuration definition dialog allows you to select which features over which connection you wish to configure. Press the **Additional definitions** radio button, highlight the **Token-Ring or other LAN types** workstation connection type and the **APPC APIs (and 3270 support)** feature or application type and press the **Configure** button to begin the configuration.

### 5.8.2. Profile List Sheet

This will bring you to the Communications Manager Profile List Sheet dialog. Use this dialog to specify the APPC definitions for the Token-Ring link type which are required to run FDR/UPSTREAM.




Since you have already configured the DLC for 3270, there is an existing configuration. However, for best performance, we recommend that you examine some of its values. Highlight the **DLC - Token-ring or other LAN types** option and press the **Configure** button.

### 5.8.3. Token-Ring Adapter Parameters

This will display the Token Ring or Other LAN types DLC Adapter Parameters dialog. This dialog is used to specify SNA access to the LAN adapter, and in some cases may adjust your PROTOCOL.INI file.

Token Ring or Other LAN Types DLC Adapter Parameters

Adapter 0 (0 - 15)

☐ Free unused links

☐ Send alert for beaconing

☐ Maximum activation attempts (1 - 99)

Window count
Send window count 4 (1 - 8)
Receive window count 4 (1 - 8)

Maximum link stations 4 (1 - 255)

Maximum I-field size 4105 (265 - 16393)

Percent of incoming calls (%) 0 (0 - 100)

Link establishment retransmission count 8 (1 - 127)

Retransmission threshold 8 (1 - 127)

Local SAP (hex) 04 (04 - 9C)

C&SM LAN ID IDPNET

Connection network name (optional) . 

OK Delete Cancel Help

The fields of most importance to FDR/UPSTREAM users is:

- **Send window count:** The default of 4 will work in most circumstances since this field will usually be negotiated in an XID3. For maximum portability, we recommend the value of **1**.

- **Receive window count:** This value must match the MAXOUT parameter specified in your VTAM PU definition if you are connecting to a 3172 or 3745 or the value specified in question 941 in the 3174 definition. If you are unsure, do not use the default of 4; use **2**.

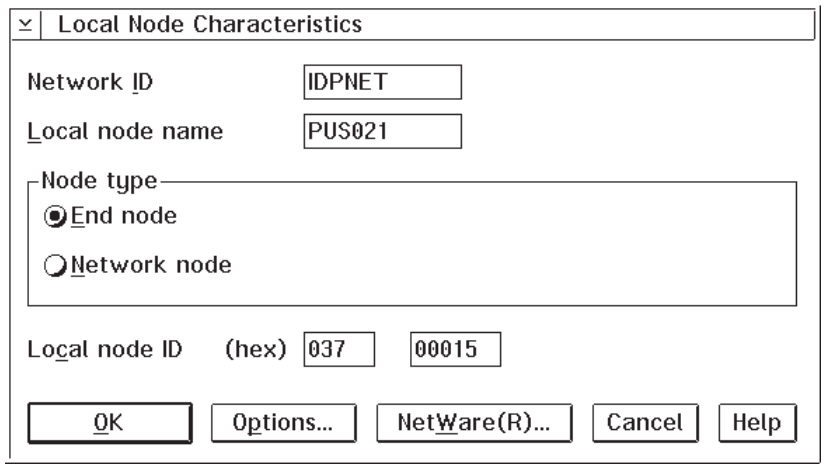
- **Maximum I-field size:** For best performance, we recommend a value of **4105**, which will allow a maximum RU size of 4096.

When you have completed this dialog, press the **Ok** button to return to the Communications Manager Profile List Sheet.

The next step is to specify the Local Node Characteristics. From the Communications Manager Profile List Sheet, highlight **SNA local node characteristics** and press the **Configure** button.

### 5.8.4. Local Node Characteristics

This will display the Local Node Characteristics dialog. This dialog is used to specify physical unit (overall) SNA configuration characteristics for your SNA connection. Most of these parameters have been entered when you configured for 3270.




The meaning of the fields are:

- **Network ID:** This is the SNA network name that your PC belongs to. This can be obtained from your network administrator. It may already be entered. Enter up to 8 characters. It is required.

- **Local node name:** The name of your physical unit. This can be obtained from your network administrator. It may already be entered. Enter up to 8 characters. It is required.

- **Node type:** Defines how you are connecting to your adjacent node. Select one radio button.
  - **End Node:** Select this radio button for most connection types.
  - **Network Node:** Select this radio button if this PC is acting as an APPN network node.

- **Local node ID:** If you are connecting to a 37xx front-end processor or 3172, you will need to enter your XID. The first field is the IDBLK portion of the XID. In prior OS/2 configurations this was fixed at 05D. Enter a 3 hex digit number.

  The second field is the IDNUM portion. Enter a 5 hex digit number.

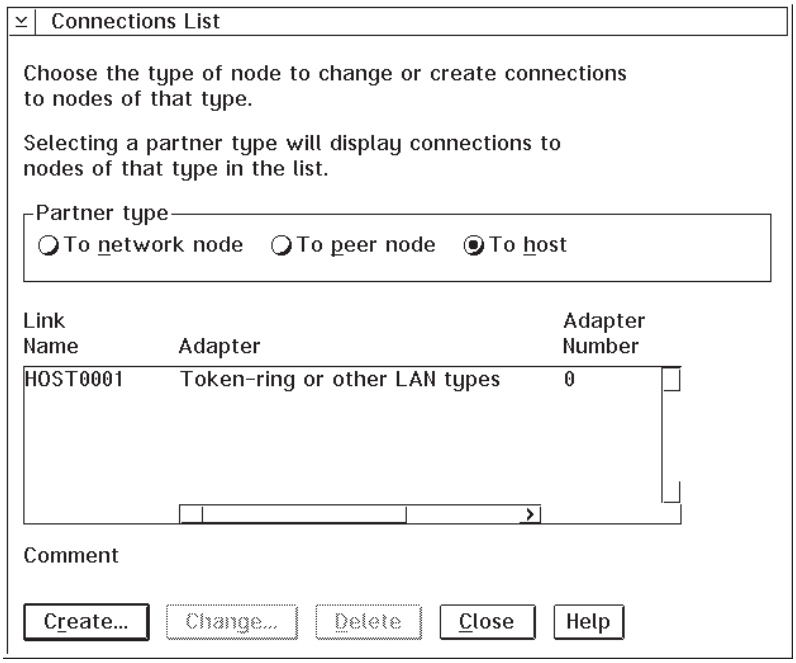
  Obtain these values from your network administrator.

When you have completed this dialog, press the **Ok** button to return to the Communications Manager Profile List Sheet.

The next step is to specify the connection that you will use for APPC transactions. Highlight the **SNA connections** entry and press the **Configure** button. This will take you to the Connections List dialog.

### 5.8.5. Connections List

This dialog is used to specify the link and type of connection that you will be using.



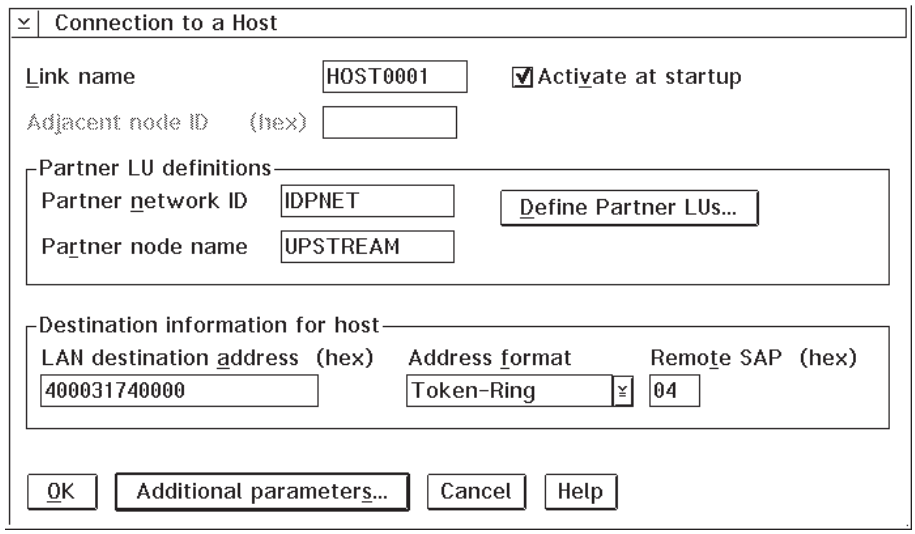

The fields are:

- **Partner type:** This is the Physical Unit type that you will be using to connect to FDR/UPSTREAM MVS. If your connection is to the host through a 3174, 37xx or 3172 select the **To host radio button** (this is most environments). If you will be connecting using Independent LUs you can also select the To peer node option. If you are connecting through an APPN device (AS/400, 3172, etc.) specify To network node. Again, most users will specify To host.

- **Link list box:** This list box allows you to select which link you will be using for your APPC connection. If this box is empty, press the Create button. If this box has the Token-Ring entry that you wish to use, highlight that entry and press the **Change** button.

  You will see the Adapter List selection dialog. Select the Token-Ring adapter entry in the list box and the Adapter number that you will be using (usually 0), and press the **Continue** button.

  This will bring you to the Connection dialog.



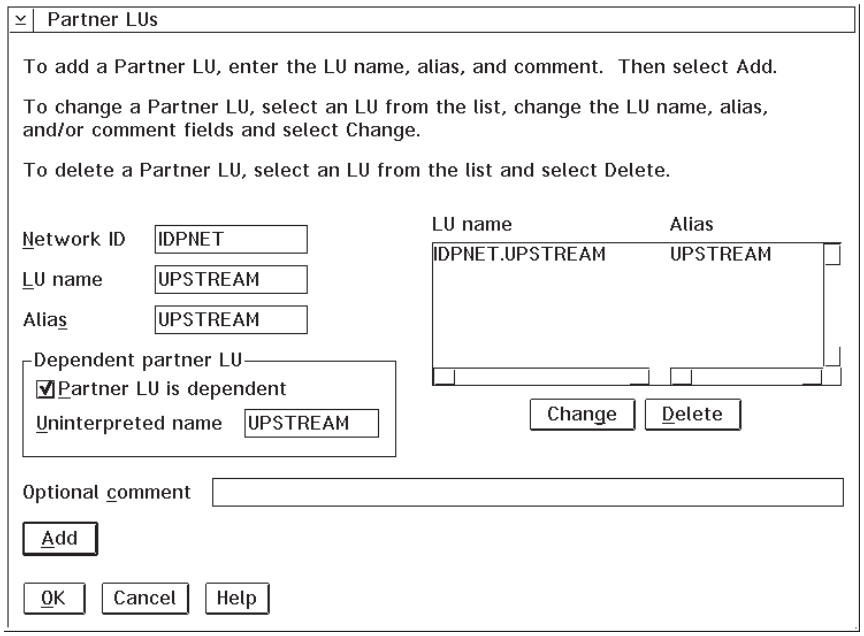

### 5.8.6. Connection Dialog

This dialog allows you to specify the relevant information for the connection that you will be using for APPC. The fields are:

- **Link name:** This value is generally suggested by the system, as LINK0001, **HOST0001**, etc.
- **Adjacent Node ID:** This is the XID used to connect to the host. Enter the values that you entered in the Local Node Characteristics dialog. This field may be grayed.
- **Activate at startup:** Check this box if you wish this connection started when Communications Manager starts. FDR/UPSTREAM will operate correctly regardless.
- **Partner network ID:** This is the SNA network name that your PC belongs to. This can be obtained from your network administrator. It may already be entered. Enter up to 8 characters. It is required.
- **Partner node name:** This is the physical unit name for your adjacent system. For FDR/UPSTREAM MVS, you can use the APPLID (usually **UPSTREAM**). Enter up to 8 characters. It is required.
- **LAN destination address:** Enter the LAN address of the 3174, 37xx, 3172 or other node that you are connecting to directly for SNA connections. For Token-ring this is generally a 12 digit locally administered address, beginning with 4.
- **Address Format:** Select the format that the LAN destination address (above) is specified in. Most users will select **Token Ring**.
- **Remote SAP:** Enter the Service Access Point defined for your host connection. Most users will specify **04**.

### 5.8.7. Partner LU Dialog

When you have completed these values, press the **Define Partner LUs** button to define the systems and applications you are connecting to.




- **Network ID:** This is the SNA network name where FDR/UPSTREAM MVS can be found. This value should be obtained from your network administrator. Enter up to 8 characters. It is required.

- **LU name:** This is your partner's LU name. For MVS connections, use the APPLID. For FDR/UPSTREAM MVS, the default is **UPSTREAM**. Enter up to 8 characters. It is required.

- **Alias:** This value is used by FDR/UPSTREAM OS/2 to find this partner definition. It is entered in the FDR/UPSTREAM configurator (described later in this chapter). The suggested value is **HOST**. Enter up to 8 characters. It is required.

  NOTE: This field is case sensitive and must be entered in UPPER case.

- **Partner LU is dependent:** If you are using dependent LUs, **check** this box. If you are using independent LUs (note independent LUs are required for parallel sessions), do not check this box.

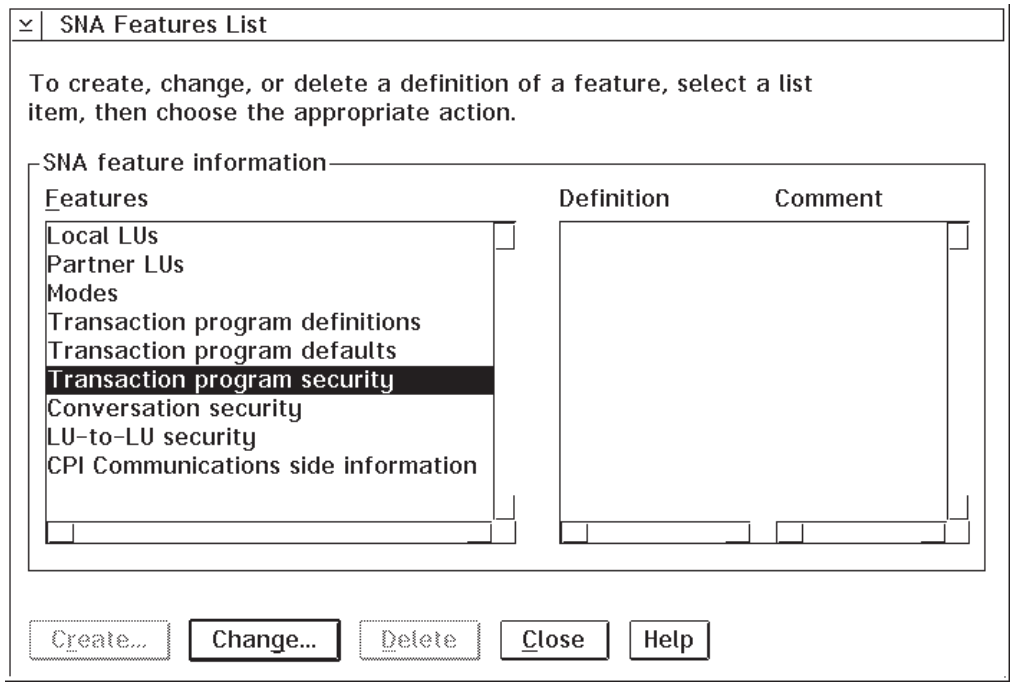
- **Uninterpreted name:** Use the same entry that you specified for LU Name above. Enter up to 8 characters. For FDR/UPSTREAM MVS, the default is **UPSTREAM**.

- **Optional comment**: Enter any text which will help you remember this definition.

  Press the **Add** button to add this definition to the LU Name list box which contains all the partner LUs currently defined. When you have completed this screen, press the **Ok** button to return to the Link Definition Dialog.

  Press the **Ok** button in the Link Definition Dialog to return to the Connection dialog. Press the **Close** button in the Connection dialog to return to the Profile List Sheet.

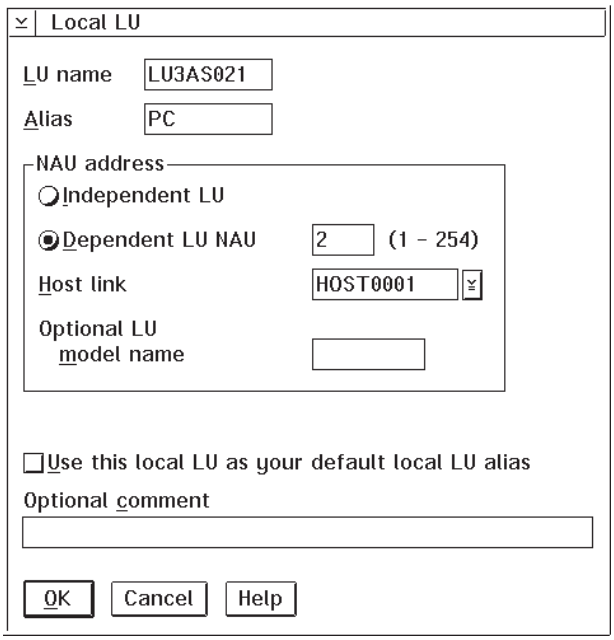
  On the Profile List Sheet highlight **SNA features** and press the **Configure** button. This will bring you to the SNA Features List dialog.




In the SNA Features dialog you will be configuring a variety of features. When a feature has a configuration entry for it defined, you will see in the Definition list box, that entry. The following procedures will describe the creation of each profile. If you are modifying a configured feature, all you need to do is highlight the feature in the Features list box, highlight the definition you wish to modify in the Definition list box and press the Change button. You can also double-click your mouse on the definition you wish to change.

### 5.8.8. Local LU Dialog

From the SNA Features List dialog, highlight the **Local LUs** SNA feature and press the **Create** button. This will display the Local LU dialog.




The Local LU dialog allows you to define the parameters used by the LU (logical unit) defined for FDR/UPSTREAM for APPC access. These parameters must match the VTAM parameters defined on the host for your PC.

- **LU Name:** Enter the local LU name. This name can be obtained from your network administrator. Enter up to 8 characters. It is required.

- **Alias:** This is the name that is used by applications to reference this LU. This value must match the alias configured in the FDR/UPSTREAM configurator (USCFG). The recommended value is **PC**. Enter up to 8 characters. It is required.

  NOTE: This field is case sensitive and must be entered in UPPER case.

- **NAU Address:** Select the independent LU radio button if this is an independent LU (VTAM LU number of 0). Select the dependent LU button if this is a dependent LU (non-zero LU number) and enter the LU Local Address (LU number) in the text box.

  We recommend using dependent LUs unless you require APPN support, to avoid known problems with VTAM and NCP improperly handling adaptive pacing.

- **Host link:** Select the link name of the entry that you defined earlier. Usually, this is LINK0001 or **HOST0001**.

- **Use this local LU as your default local LU alias:** FDR/UPSTREAM does not require that it use the default LU. You can leave it unchecked if you wish.

- **Comment:** Enter any text to help you remember this definition.

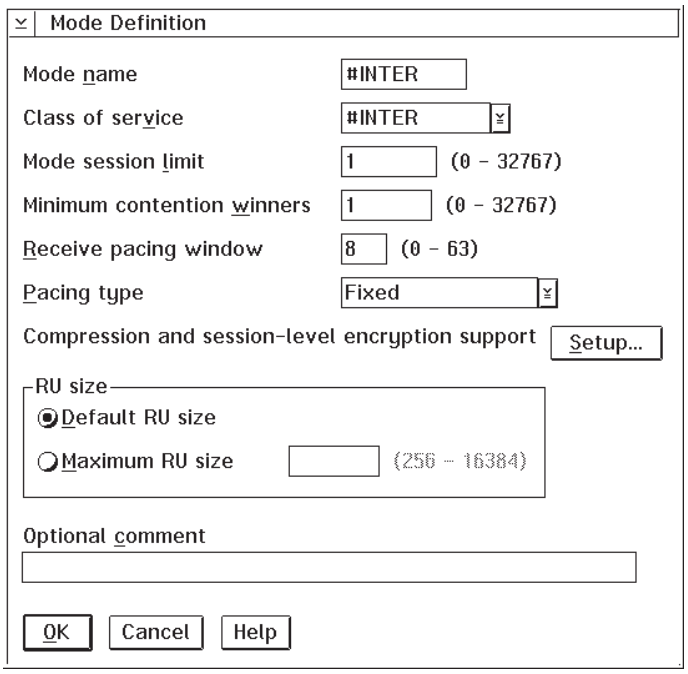
When you have completed entering the values in this dialog, press the **Ok** button to return to the SNA Features dialog.

### 5.8.9. Mode Definition

Since you have already defined partner LUs, the next step is to define the mode that you will be using with FDR/UPSTREAM. Modes define certain characteristics that will be used in the communications.

The default mode for FDR/UPSTREAM is #INTER, which is a sample mode entry always available in VTAM. In Comm. Server there is a sample entry for #INTER which needs to be accepted or modified to be used. In the examples, we have assumed that UPSTREAM is a single session application and we recommend whenever possible using it that way. However, that requires modification of the predefined mode entry #INTER. If you can not change #INTER in your environment, you will need to define UPSTREAM for parallel sessions or use the sample provided with UPSTREAM MVS, USTMODE. Most users will be able to modify #INTER and can use the described modifications.

Select **Modes** from the SNA Features dialog, select the definition **#INTER** and press the **Change** button. You will see the Mode Definition dialog.




- **Mode Name:** The name of the mode table entry that you will be using. This value must match the value entered in VTAM. We recommend using **#INTER**.

- **Class of service:** Describes characteristics on how the connection will operate. The default of **#INTER** is generally acceptable.

- **Mode session limit:** The maximum number of sessions that can be utilized. We recommend using single sessions, so code the value of **1**.

- **Minimum contention winners:** The number of contention winner sessions that will be activated. FDR/UPSTREAM PC will operate as either a winner or loser, but we recommend a value of **1** (winner).

- **Receive pacing window:** For fixed pacing (non-adaptive), this is the number of RUs received in succession without an acknowledgment. We recommend a value of **8**. NEVER use 0.

- **Pacing type:** For good performance and high reliability, we recommend using **Fixed** pacing.

- **RU size:** You can select to either use the default RU size or specify a value. We recommend using the **Default RU size** as this will be as large as the adapter can support. Note that the RU size can still be negotiated downwards by the host mode table entry.

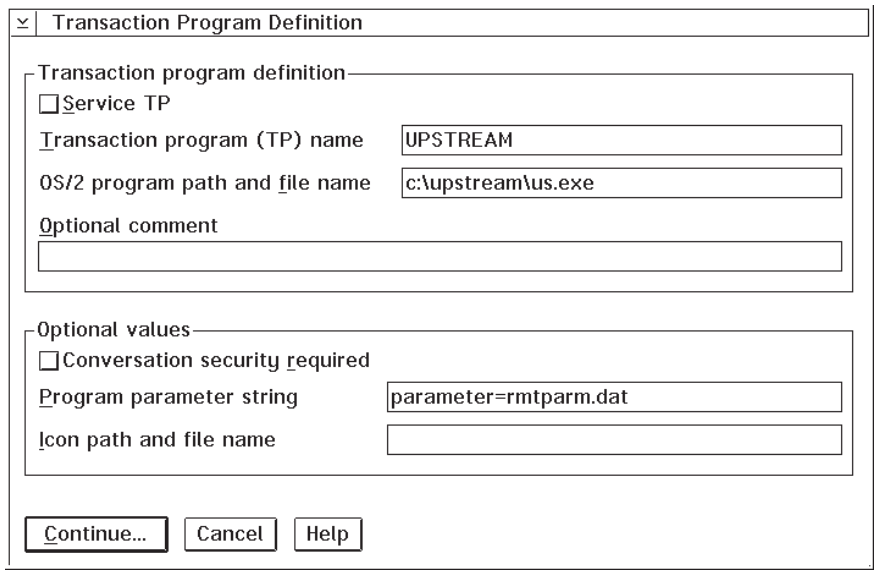
- **Comment:** Enter any text that will help you remember this definition.

When you have finished with this dialog, press the **Ok** button to return to the SNA Features dialog.

### 5.8.10. Transaction Program Definition

The final SNA feature to configure is the transaction program definition. This definition is used when you are going to be controlling FDR/UPSTREAM functions from another computer. However, since it doesn't hurt to define a profile, we recommend that you do it even if you are going to be controlling FDR/UPSTREAM from your PC.

Select **Transaction programs definitions** from the SNA Features dialog and press the **Create** button. This will display the first transaction program definition dialog.




- **Service TP:** Do **not check** this box for FDR/UPSTREAM.

- **Transaction program (TP) name:** Enter **UPSTREAM**.

NOTE: This field is case sensitive and must be entered in UPPER case.

- **OS/2 program path and file name:** Enter the full drive, path and file name where FDR/UPSTREAM is installed. Most users will enter: **C:\UPSTREAM\US.EXE**.

- **Comment:** Enter whatever text will help you remember this definition.

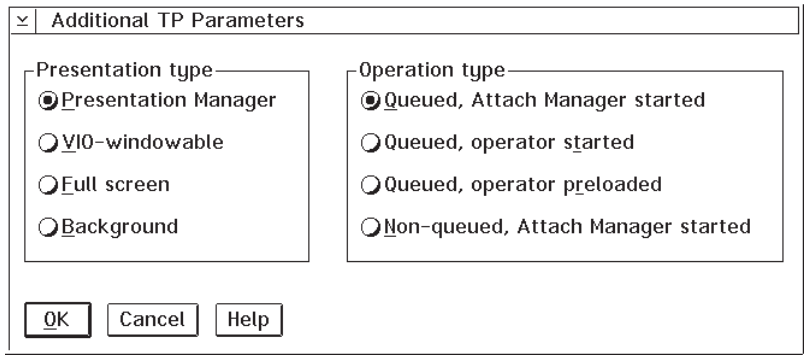
- **Conversation security required:** Do **not check** this box as FDR/UPSTREAM does its own security management.

- **Program parameter string:** This is the parameters that are passed to FDR/UPSTREAM when it is run. FDR/UPSTREAM comes with a sample remotely initiated parameter file, RMTPARM.DAT. Therefore, the recommended

value is:
**PARAMETER=RMTPARM.DAT**.

- **Icon path and file name:** FDR/UPSTREAM does not use this field; leave it blank.

When you are satisfied with your entries, press the **Continue** button to go to the second transaction program definition dialog.




This dialog allows you to specify how the program is started. The options are:

- **Presentation type:** This is how the started program is displayed. Select **Presentation Manager** as FDR/UPSTREAM is a PM program.

- **Operation Type:** It is recommended that you use **Queued - attach manager started.** In this case, when a remote request is detected by communications manager, if FDR/UPSTREAM is not running, the attach manager starts it. If FDR/UPSTREAM is running, FDR/UPSTREAM will pick up the request. Do not use Queued-operator pre-loaded or non-queued.

When you are satisfied with your selections press the **Ok** button to return to the SNA Features dialog. Press the **Close** button to return to the Profile List Sheet dialog. Press the **Close** button to return to the Configuration Definition dialog. Press the **Close** button to return to the Communications Manager Setup dialog.

### 5.8.11. The Final Steps

Upon returning to the Communications Manager Setup dialog an automatic verification will take place (unless you have disabled this feature).

If there are errors you will be asked if you wish to run the Message Log Formatter to view the errors. This is recommended as it will usually help you in determining where your errors are. Note that the most common error is using the same LU number for both 3270 and LU 6.2. Exit the Message Log Formatter, return to the Communications Manager Setup and repair any problems. If you can not ascertain what the problem is, feel free to call FDR/UPSTREAM technical support.

When the configuration file has verified successfully, you may be asked if you wish these items dynamically modified. There is generally no harm in this. If dynamic verification fails, ignore the errors and stop and restart Comm. Server.

You may be asked if you wish your CONFIG.SYS and other startup files modified as well. We recommend that you allow the system to automatically modify these files. The Communications Manager may also suggest that you need to reboot to have these features take effect.

When you have completed your Communications Server modifications (which may include rebooting the system), make sure that the Communications Manager is active and available.

You are now ready to go to the FDR/UPSTREAM configuration (page 5-42).

# 5.9. PC FDR/UPSTREAM Configuration

This section guides you in configuring FDR/UPSTREAM for your environment. Before using this section, if you are using APPC you must have completed the SNA configuration (see the previous section).

FDR/UPSTREAM OS/2 uses the Presentation Manager interface. You can access the OS/2 on-line help facility if you have problems using PM programs.

There are several different modes you can be in:

- A dialog: A dialog box is a box inside the main screen where you may be able to enter values, and always contains one or more buttons. Move from field to field with the TAB key or by selecting the field with a mouse. Leave the screen by pressing one of the buttons (by moving the cursor to the button and pressing the space bar, or by double clicking the mouse on the button), or by pressing [ESC] (which is like moving to the CANCEL button and pressing it).
- The full screen: You get access to FDR/UPSTREAM functions by pressing the [ALT] key in conjunction with the first letter of one of the menu items at the top of the screen. This will pull down one of the menus and allow you to move the cursor with the cursor keys to the function you wish to perform; you [ENTER] to perform that function. You can also select a menu item by clicking the mouse on the menu. Finally, there are keyboard “accelerators” for many of the menu items. When you pull down the menu you can see what they are. You can access a function by just pressing the accelerator combination (like [CTRL]B for backup).

In most places in the program, you can get help about a field or a button by pressing the F1 (help) key. This provides context sensitive help about the field or button. If you need additional help, press the INDEX button to get access to helps about other fields or general subjects.

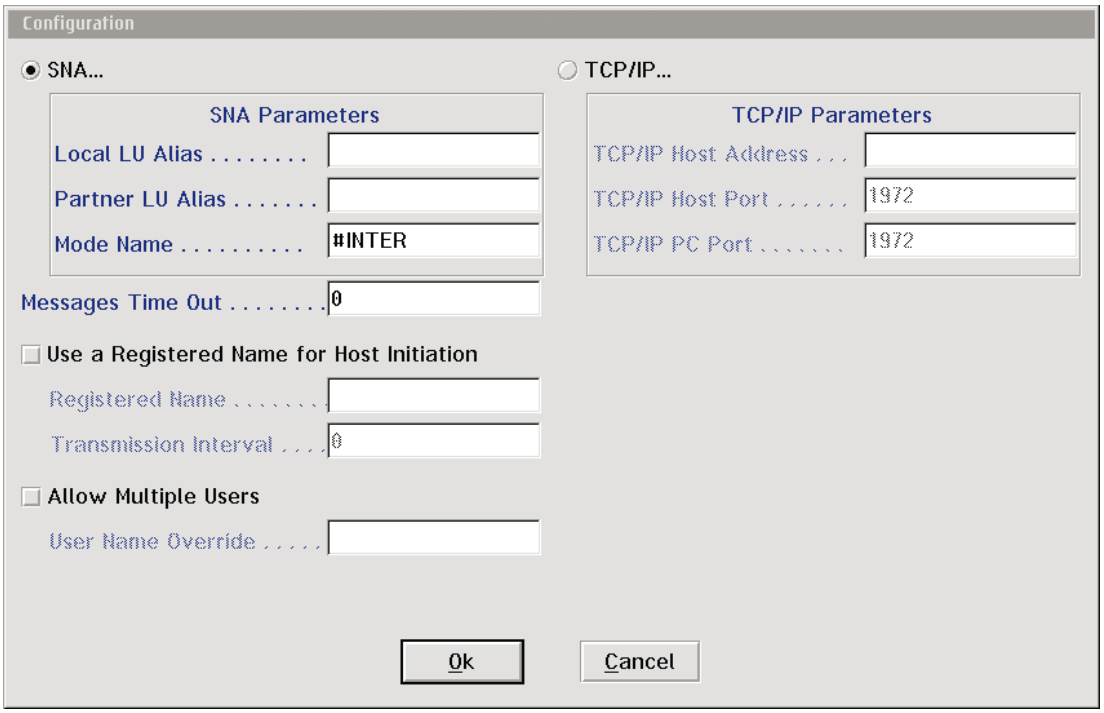
To abort what you are doing in a dialog, press the ESC key. To leave FDR/UPSTREAM from the full screen, pull down the File menu and select Exit, or press the [ALT]X accelerator.

To enter the FDR/UPSTREAM configurator, either double-click the **Configurator** icon in the **FDR/UPSTREAM for OS/2 - Icon View**, or if you haven’t installed the FDR/UPSTREAM icons, go to the FDR/UPSTREAM directory and run:

```
[C:\UPSTREAM] USCFG
```

If this is the first time you’ve run the configurator, you will see an error message saying “No such file or directory”. This means that when FDR/UPSTREAM searched for the default configuration file it could not find it. Press the space bar to continue.

Below is the Configuration screen. Here you enter the SNA parameters that are required by most SNA’s.




This screen is a dialog. If you are using SNA/APPC to connect to the host press the **SNA...** radio button and see the following section. If you are using TCP/IP press the **TCP/IP...** radio button and go to section 5.9.2., 5.9.2.

Note that when you press the SNA... radio button the TCP/IP parameter fields are grayed and become unavailable; when you press the TCP/IP... radio button the SNA parameters fields are grayed and become unavailable.

### 5.9.1. Configuring for an SNA Host Connection

The fields are from your communications configuration.

- ☐ **Local LU Alias:** Specify up to 8 characters indicating the logical unit profile name as created in the communications manager. This is always required. The suggested value is **PC**.

- ☐ **Partner LU Alias:** Specify up to 8 characters indicating the partner logical unit profile name as created in the communications manager. This is always required. The suggested value is **HOST**.

- ☐ **Mode Name:** Specify up to 8 characters indicating the Mode Name as specified in the communications manager. This is always required. The default value is **#INTER**.

If you are satisfied with these parameters go to section 5.9.3., 5.9.3. to complete your configuration.

### 5.9.2. Configuring for a TCP/IP Host Connection

The following are the TCP/IP specific parameters:

- ☐ **TCP/IP Address:** Enter the IP address of the host adapter that you will be connecting to. Enter the doted decimal notation. For example: 130.50.75.1. This field is required and there is no default.

- **TCP/IP Host Port:** Enter the IP port that FDR/UPSTREAM MVS was installed on. Enter a decimal number. This field is required; in most cases you can accept the default which is **1972**.

- **TCP/IP PC Port:** Enter an IP port that FDR/UPSTREAM on other computers can use to contact your PC. This field is optional; in most cases you can accept the default which is **1972**.

When you have completed entering the TCP/IP specific information proceed to the next section to complete your configuration.

### 5.9.3. Completing the Configuration

There are several fields common to both connectivity types in this dialog:

- **Messages Time Out:** FDR/UPSTREAM informational and error messages will remain displayed until a button is pressed or until they time out. The default of **0** indicates that the message will stay displayed until the button is pressed. A positive number indicates the number of seconds until the message times out if a button is not pressed. -1 indicates that messages should not be displayed. For initial testing, we recommend 0. In production we recommend a small number (such as 3 seconds).

- **Use a Registered Name for Host Initiation:** Check this box if you wish to register a name with FDR/UPSTREAM MVS that host and other workstation/server requests can use to find your workstation. You must register a name if you wish to use the auto-update facility. Note that checking this box may cause occasional errors (which can be ignored) if the workstation/server is updating its registration information when a remote request is received. You must enter a Registered Name if you check this box. The default is checked.

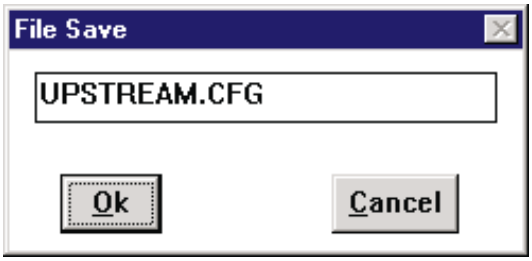
- **Registered Name:** Enter any name, unique within FDR/UPSTREAM MVS, that can be used to allow the host and other PCs to find your workstation. You can enter up to 16 characters which can include embedded spaces. Note that if there are duplicate names no errors are reported; the most recently registered name is used.

- **Transmission Interval:** Enter a number which indicates how often (in minutes) you will re-register your registration name with FDR/UPSTREAM MVS. Most users will use the default of **0**, which causes the registration to happen just once on UPSTREAM startup. The main reason to specify a non-zero value is if you are using TCP/IP with the DHCP facility enabled and your IP address may change from time to time.

- **Allow Dynamic TCP/IP PC Port Assignment:** Enabled only if you use Registered Names, UPSTREAM will search for an unused port, starting at the 'PC port' (above) before listening on it and then register the port with the host. Recommended unless you must listen on a specific port or don't use registered names for host initiation.

- **Allow Multiple Users:** We recommend that you **not check** this box until you are ready to use FDR/UPSTREAM for multiple users or multiple simultaneous backups. See the *Running More than One Copy* chapter for more information.

Press the **Ok** button (or press the [ENTER] key) to accept your parameters. You will be asked for the file name to save these parameters to.




In this dialog box, you can type the name of the file you want to save your configuration parameters to. The default is UPSTREAM.CFG, but you can use any file name and any directory. If the file and path is too large for the edit field, it will scroll horizontally. Press the **Ok** button to save the parameters to the file you specify, or press Cancel to not save your parameters.

FDR/UPSTREAM is now configured for operation with your communications environment. You can leave the configuration program by typing [ALT]X, or by pulling down the File menu and selecting Exit. Proceed to chapter 8 to perform your first backup.

This page intentionally left blank

# 6 Windows (16-bit)

## 6.1. Overview

The installation process consists of four steps:

- Determining your system requirements
- Installing the software
- Configuring the communications software
- Configuring FDR/UPSTREAM

We recommend that you install, configure, and make operational your APPC or TCP/IP before installing and configuring FDR/UPSTREAM. In particular getting 3270 fully operational will help you in getting FDR/UPSTREAM working quicker.

This chapter discusses the installation of the 16-bit version FDR/UPSTREAM. If you are running Windows 95/98 we recommend the 32-bit version (see the Windows NT chapter) unless:

- You need to perform physical disk backups/restores.
- Use ULTra with NetBIOS.
- Have a 16-bit SNA or TCP/IP.

### 6.1.1. Requirements

FDR/UPSTREAM Windows requires the following:

- An IBM AT, PS/2 or compatible
- A diskette or CD-ROM drive.
- 2 megabytes of free hard disk space. If you will be backing up large servers you may need up to 40 MB of free disk space.
- Microsoft Windows v3.1 or higher (including Windows 95)
- Communications hardware compatible with your communications software.
- APPC software for an approved vendor, including Attachmate (Irma Workstation for Windows, Irma for the Mainframe, or Attachmate Personal Client (v6.0 or higher), Wall Data (RUMBA), NetSoft (Dynacomm/Elite APPC), Novell (NetWare for SAA), IBM (IBM Personal Communications or NS/Windows) or Microsoft (SNA Server with Windows requestors).

or

- TCP/IP software from an approved vendor which supports the WINSOCK interface.

# 6.2. Installing FDR/UPSTREAM

FDR/UPSTREAM includes an installation program to help you install it for the first time to your hard disk. But you don't have to use it if you don't want, as all the program does is create a directory for the FDR/UPSTREAM files, copy the diskette to a specified drive and directory and optionally create a program group and items within it. If you have any problems with the installation, just copy the files yourself and create a program group (UPSTREAM) on the desktop with the FDR/UPSTREAM programs:

- US.EXE: Named "UPSTREAM"
- USCFG.EXE: Named "Configurator"
- USSTART.EXE: Named "Auto Start"
- SETNOV.EXE: Named "Novell/ULTra"

**NOTE: If you do not run the SETUP program for a first time install, you will need to rename USSER to US.SER.**

Updates should just be copied over the originals (though the installation program can be run as well).

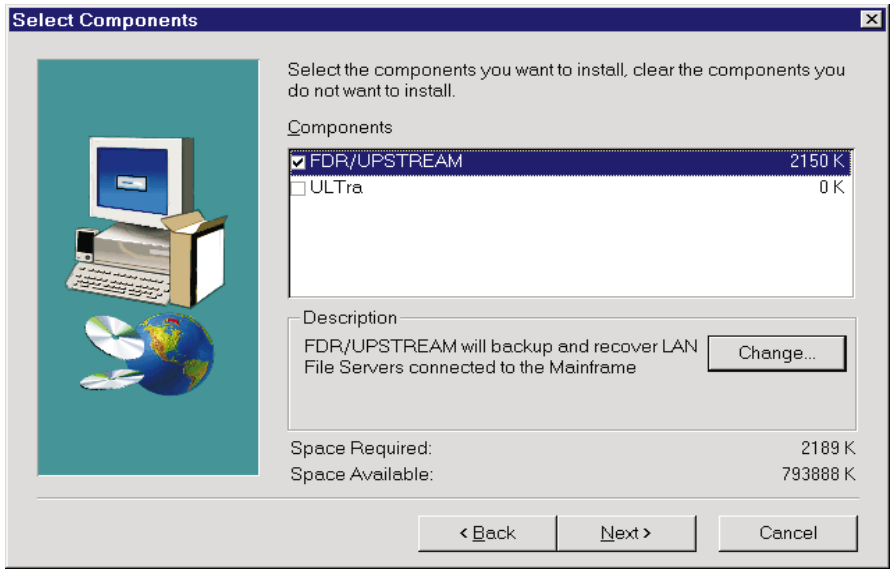
If you are installing from floppies, we recommend either using the INSTALL program, or manually copying the files.

If you are installing from CD, insert the FDR/UPSTREAM Program Diskette in your CD-ROM drive. Go to the Program Manager in Windows, pull down the **File** menu and select **Run**. For Windows 95/98, press the **Start** button and select **Run**.

For a CD install enter <drive>:\SETUP. Many users will enter:
**D:\SETUP**.

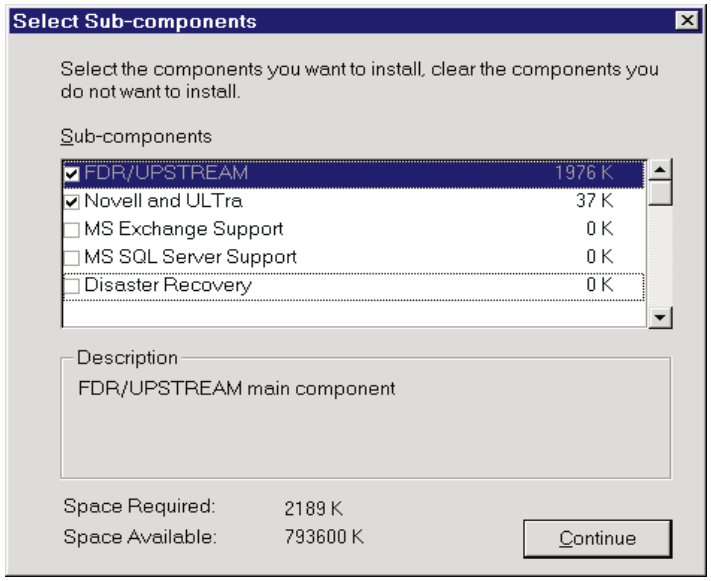
You will see a banner and then be asked for your Name and Company. UPSTREAM does not use this information, but it is required. Press the **Next>** button to continue.

You will then be asked to Choose Destination Location. If you do not wish to use the default directory of C:\UPSTREAM, press the Browse button to specify a different directory. Installing a new version of UPSTREAM over an existing version is safe as configuration, parameter and other important files are preserved. Press the **Next>** button when you are satisfied with the directory for UPSTREAM. This will display the Select Components dialog.




The FDR/UPSTREAM option is checked by default. If you wish to install the ULTra client software, then check that option.

Only some of the full features of FDR/UPSTREAM are installed by default. To view or modify the list of features that will be installed (which most users will need to do), highlight **FDR/UPSTREAM** and press the **Change** button. This will display the Select Sub-components list:




For 16-bit Windows this list contains:

- FDR/UPSTREAM: This is the base product and it cannot be deselected.
- Novell and ULTra: The SETNOV.EXE program, this allows you to specify Novell and ULTra Profiles.
- Novell Auto-Recall: Installs both notifier and recaller software for Novell Auto-Recall of migrated files.

When you have selected the options you wish to install, press the **Continue** button to return to the Select Components dialog and press the **Next>** button to proceed to the Select Program Folder dialog.

Here you can select the Program Group that UPSTREAM will be installed into. The default is FDR_UPSTREAM. Press the **Next>** button to install the selected software.

When the software has been installed, press **Finish** to end the installation process. You do not need to reboot your computer.

### 6.2.1. Uninstall

To uninstall FDR/UPSTREAM, enter the Control Panel and select **Add/Remove Programs**. In the **Install/Uninstall** tab highlight FDR/UPSTREAM and press the **Add/Remove** button. You will be asked if you wish to completely remove FDR/UPSTREAM and all of its components.

If you press the Yes button, you may be asked if you wish Transaction Program definitions removed from the registry. If you have defined UPSTREAM services this also pertains to you. If you wish these entries removed as well press Yes.

You will then be asked if you wish to remove configuration files, parameter files, temporary files and directories. As it says, we recommend this only if you are removing UPSTREAM permanently. Otherwise only the executables are removed.

**NOTE: When installing from floppy, you will need to manually copy the files from the Supplemental Diskette to the UPSTREAM directory. This is not necessary if installing from the CD.**

# 6.3. Files Included

FDR/UPSTREAM consists of several files. Each file name and it's purpose is outlined here.

- Table 4-1 describes the files on the FDR/UPSTREAM Program Diskette or \UPSTREAM\WINDOWS directory on the CD-ROM.
- Table 4-2 describes the files on the FDR/UPSTREAM Supplemental Diskette and are not automatically installed during a diskette installation. These files are contained in the \UPSTREAM\WINDOWS directory on the CD-ROM.
- Table 4-3 describes the files in the \SAMPLES directory of the FDR/UPSTREAM Supplemental Diskette or \UPSTREAM\WINDOWS\SAMPLES directory on the CD-ROM.
- Table 4-4 describes the files on the NetWare Program Diskette or the \UPSTREAM\NETWARE directory on the CD-ROM.
- Table 4-5 describes the contents of the FDR/UPSTREAM Windows ULTra Workstation Diskette (available as a separate option).

| File Name | Description |
|---|---|
| INSTALL.EXE | FDR/UPSTREAM installation program. |
| RMTPARM.DAT | Sample parameter file, used when the attach manager starts FDR/UPSTREAM (when it is not already running). |
| SERIAL.DAT | Required for modification of personalization information of FDR/UPSTREAM. |
| US.EXE | FDR/UPSTREAM main program. Provides the main user interface, performs the communications including backups and restores, logs events, allows inquiries and many other features. |
| US.HLP | The FDR/UPSTREAM help file. This file contains the help text that you see when you press the help (F1) button. You can modify this file to customize the text for your installation or translate it into a foreign language (see section 12). |
| USCFG.EXE | FDR/UPSTREAM configurator. Use this program to specify communications parameters, system overall parameters and to set up unattended operations. |
| USCFG.HLP | FDR/UPSTREAM configurator help file. As for the FDR/UPSTREAM help file, this file contains the help information when you press the help (F1) button and is user modifiable. |
| USSER | The default personalization file. This file must be named US.SER in the UPSTREAM directory or the WORKPATH for UPSTREAM to run. |
| USSTART.EXE | FDR/UPSTREAM unattended operations program. This program operates as a presentation manager program. It waits for a specified time and then starts FDR/UPSTREAM. |
| USWIN32.DLL | (Windows NT & Windows 95) 32-bit DLL used internally by UPSTREAM for access to specific 32 bit Windows features. |

| File Name | Description |
|---|---|
| WAIT.DAT | Sample parameter file which starts UPSTREAM waiting for remote requests and does not timeout. |

**Table 4-1**
**FDR/UPSTREAM Windows Program Diskette**

| File Name | Description |
|---|---|
| APPC.BAT | (NS/DOS) Used to load NS/DOS before starting Windows. |
| APPCUNLD.BAT | (NS/DOS) Used to unload NS/DOS |
| CONFIG.NSD | (NS/DOS) Sample CONFIG.NSD to help get NS/DOS working. |
| DEFINETP.NSD | (NS/DOS) Sample DEFINETP.NSD to help get NS/DOS working. |
| DISK2 | An internal installation file. |
| MODE.NSD | (NS/DOS) Sample MODE.NSD to help get NS/DOS working. |
| RETCODE.EXE | Allows text descriptions of the extended program return code returned by FDR/UPSTREAM and re-sets the limited return code. |
| SETNOV.EXE | (Novell & ULTra only) FDR/UPSTREAM Novell security access specification and ULTra Profile specification program. Run this program to specify the Novell user names, servers, etc. you wish to attach to and/or the workstations to be included in an ULTra Profile. |
| SIDEINFO.NSD | (NS/DOS) Sample SIDEINFO.NSD program to help get NS/DOS working. |
| UPSTREAM.MSG | The FDR/UPSTREAM predefined message file. This file contains many of the messages that are logged and displayed. You can modify this file to change the message text, or to change the way that it is handled (see section 11). |
| USLOGCLR.EXE | FDR/UPSTREAM log and report maintenance program. The FDR/UPSTREAM logs and reports can grow indefinitely, so a program has been provided which will shrink it down, based on a specified number of days. |
| USMODIFY.EXE | Allows command line modification of a number of FDR/UPSTREAM parameter and configuration files. |

**Table 4-2**
**FDR/UPSTREAM Windows Supplemental Diskette**

| File Name | Description |
|---|---|
| AUTOINST.BAT | Sample installation job for the FDR/UPSTREAM auto-update facility. |

| File Name | Description |
|---|---|
| AUTOINST.DAT | Sample installation parameter file for the FDR/UPSTREAM auto-update facility. |
| EXCLUDE.LST | A sample exclude file list. |
| SLEEP.EXE | Waits for a given amount of time before continuing. Useful for auto-upgrade. |
| ULTINST.BAT | Sample installation job for the FDR/UPSTREAM ULTra auto-update facility. |
| ULTDOS.DAT | Sample parameter file for automatically updating FDR/UPSTREAM DOS ULTra workstations. |
| ULTNT.DAT | Sample parameter file for automatically updating FDR/UPSTREAM Windows NT ULTra workstations. |
| ULTOS2.DAT | Sample parameter file for automatically updating FDR/UPSTREAM OS/2 ULTra workstations. |
| ULTW95.DAT | Sample parameter file for automatically updating FDR/UPSTREAM Windows 95 ULTra workstations. |
| ULTWIN.DAT | Sample parameter file for automatically updating FDR/UPSTREAM Windows 3.1 ULTra workstations. |
| USATOE.TAB | Sample ASCII-to-EBCDIC conversion table. |
| USETOA.TAB | Sample EBCDIC-to-ASCII conversion table. |

**Table 4-3**
**FDR/UPSTREAM Windows Supplemental Diskette \SAMPLES Directory**

| File Name | Description |
|---|---|
| USLOGCLR.NLM | (NetWare Directory Services) Clears the USNDS.LOG file. See the Novell chapter for more information. |
| USNDS.NLM | (NetWare Directory Services) Provides access to NDS information for attached FDR/UPSTREAM workstations. See the Novell chapter for more information. |
| USSETUP.NLM | (NetWare Directory Services) Installs the required NLMs on a server. See the Novell chapter for more information. |

**Table 4-4**
**FDR/UPSTREAM NetWare Program Diskette**

| File Name | Description |
|---|---|
| INSTALL.EXE | Simple program to install the FDR/UPSTREAM ULTra version on a workstation. |
| LANCOPY.EXE | Allows PC-to-PC file copies and directory listings across the LAN to PCs which have ULTRA.EXE installed. |
| ULTRA.EXE | Allows remote file access across a Novell IPX/SPX or NetBIOS LAN. |
| UPSTREAM.MSG | UPSTREAM message file. |
| USLOGCLR.EXE | ULTRA.LOG (or UPSTREAM.LOG) log maintenance (shrinking) program. |
| USWIN32.DLL | Access DLL required to run FDR/UPSTREAM ULTra in a Windows 95 or Windows NT environment. |

**Table 4-5**
**FDR/UPSTREAM ULTra Windows Workstation Diskette Contents**

# 6.4. Configuration Overview

Configuration of FDR/UPSTREAM to communicate to the host is very different depending upon whether you are running SNA/APPC or TCP/IP.

### 6.4.1. Configuring for TCP/IP

Once you have installed the TCP/IP software and tested the connectivity to the host (via a standard package such as FTP), you are immediately ready to proceed to the FDR/UPSTREAM configuration. Go to page 6-43 to perform this configuration.

### 6.4.2. APPC Configuration Overview

The process of configuring FDR/UPSTREAM for APPC involves several issues:

- Configuring VTAM
- Configuring FDR/UPSTREAM MVS
- Configuring the APPC software
- Configuring FDR/UPSTREAM Windows

Careful planning is essential in configuring SNA software. You should review the entire process before beginning and fill out the worksheets for each section or have information available.

# 6.5. Pre-PC Configuration Issues

### 6.5.1. Configuring VTAM

You should have your VTAM system's programmer configure the VTAM environment, or modify the existing environment if it is insufficient for FDR/UPSTREAM (i.e. a mode definition that doesn't support LU 6.2). Worksheet 4-1 should be filled out by this person or the information should be obtained from this person. A NCP regeneration is rarely required.

See the FDR/UPSTREAM MVS manual for suggestions on configuring VTAM.

**NOTE: The host mode entry determines values like RU size, and the APPL definition determines the receive pacing which have a significant affect on performance. We recommend that you define a mode entry that initially sets the RU size at 4096 or use USTMODE which is provided as a sample and an APPL definition which sets receive pacing at 8.**

**NOTE: It is recommended that you use dependent LUs (non-zero LU Local Addresses) for FDR/UPSTREAM PCs. Independent LUs tend to be more difficult to continue and offer few benefits.**

| Name | Description | Your Value |
|---|---|---|
| SNA Network Name | The name of the SNA network to which you belong. This is optional in many environments. | |
| Partner LU Name | The APPLID of FDR/UPSTREAM on the host. Supplied sample: **UPSTREAM**. | |
| LU Number | The LU local address. Most users will use 2. NS/DOS MUST be an independent LU. | |
| Mode Name | The mode table entry name. The supplied sample: **USTMODE**. | |
| Receive Pacing Size | A number from 1 to 63 of the number of RUs to be received in succession before a low-level acknowledgment. NEVER use 0. We recommend 8 or 10 initially. | |
| Controller LAA (Token-Ring only) | The locally administered address of the 3174, 3172 or 37xx front end. This is a 12 hex digit number usually starting with 4. | |
| PC LAA (Token-Ring only) | The locally administered address of the PC. This value must be unique on the ring and for 3174 connections, must be defined in the controller. | |
| LU Name | The name of the PC LU to be used. | |
| IDBLK (37xx and 3172 only) | The 3 hex digit IDBLK component of the XID. | |
| IDNUM (37xx and 3172 only) | The 5 hex digit IDNUM component of the XID. | |

**Worksheet 4-1**
**VTAM definitions for a FDR/UPSTREAM PC**

### 6.5.2. Token-Ring Considerations

If you have an access to a direct Token-Ring connection to the host, it is **strongly** recommended that you use it for FDR/UPSTREAM.

If you are using a 37xx front end or a 3172 controller, the configuration is entirely in VTAM. If you are using a 3174 controller, then you will need a device configuration for the PC if one doesn't already exist. Worksheet 4-2 should be filled out by the host personnel who configures or maintains the 3174 cluster controller

| Name | Description | Your Value |
|---|---|---|
| PC LAA | The locally administered address of the PC as known to the controller. You must modify the DXMC0MOD.SYS (or the PROTOCOL.INI file if you are using the DXME0MOD.SYS) device driver used in the CONFIG.SYS | |
| Transmit I-Frame Size | This is 9 bytes greater than the maximum RU size you can support. We recommend that this be 1033 or greater. | |
| SAP | Service Access Point. Should always be 4. | |

**Worksheet 4-2**
**3174-to-UPSTREAM Configuration**

**NOTE: There are two locally administered addresses used: the address of the controller and the address of the PC. You enter the address of the controller in the APPC configuration. You enter the address of the PC in the LAN Support Program device driver program DXMC0MOD.SYS (or the PROTOCOL.INI file if you are using DXME0MOD.SYS).**

**NOTE: If you are using a Novell or Banyan LAN, you must use a LAN driver generated to support the Local Area Network Support Program. Do NOT use the standard Token-Ring driver.**

**NOTE: Novell LAN users should use the ODI or VLM drivers with a NWCALLS.DLL date of 11-02-93 or later.**

**NOTE: NS/DOS requires that a 3174 cluster controller be configured with Config Support C, to allow it access to independent logical units.**

### 6.5.3. Novell Considerations (Windows 3.1)

If you are using FDR/UPSTREAM on a DOS workstation connected to a Novell file server you should plan on using the ODI or VLM drivers (VLM is recommended). You must also have an NWCALLS.DLL in the \WINDOWS or \WINDOWS\SYSTEM directory which is dated 11-02-93 or later. The older IPX/NETX drivers will not operate properly when FDR/UPSTREAM accesses some of the more sophisticated Novell facilities.

If you will be using an APPC which connects directly to a host device (3174, 37xx or 3172), you must use the LANSUP driver, not the TOKEN or OEM LAN vendor driver. APPCs which talk to the host must also have the 802.2 interface available (usually this is the IBM LAN Support Program using the DXM drivers).

You must also modify your NET.CFG. You should have a block similar to the following in it:

```
LINK DRIVER LANSUP
   MAX FRAME SIZE 4208
   LINK STATIONS 6
   SAPS 2
```

The MAX FRAME SIZE parameter allows the best performance available on Token-Ring. Several APPCs require additional Link Stations and SAPs which are determined when the adapter is opened; since Novell is opening the adapter, these values must be defined here.

### 6.5.4. FDR/UPSTREAM MVS Issues

You will need to have installed FDR/UPSTREAM MVS before beginning the configuration of a FDR/UPSTREAM Windows node. The FDR/UPSTREAM MVS configuration defines storage and security attributes to be used in storing backups.

The configuration for each PC on FDR/UPSTREAM MVS, including backup profiles, security, etc. should be complete before beginning the PC configuration.

Worksheet 4-3 contains the information that you will need for FDR/UPSTREAM Windows before you can begin testing.

| Name | Description | Your Value |
|---|---|---|
| Backup Profile | An 8 character identifier used as a key for the storage of a group of backups. | |
| User ID & Password | The user ID and password required to access the requested backup profile (may not be required) | |
| Sequential tape backups allowed | Whether direct-to-tape backups are allowed | |
| Sequential disk backups allowed | Whether sequential disk backups (which can be SMS controlled) are allowed | |

**Worksheet 4-3**
**FDR/UPSTREAM MVS Configuration for Testing**

See the FDR/UPSTREAM MVS manual for assistance on setting up a FDR/UPSTREAM Windows user.

# 6.6. Configuring the APPC Software

You will need to configure the APPC software to operate with FDR/UPSTREAM Windows. See the installation and configuration guides to your APPC software for assistance in configuring your APPC software for FDR/UPSTREAM. Remember to note your LU Alias, Partner LU Alias and Mode Name for entry in FDR/UPSTREAM configuration dialogs.

Table 6 -1 lists the sections in this manual that walk you through configuration of several APPCs. If your APPC is not listed in this table, you still may want to skim it to help you in configuring your APPC. Table 6-2 shows sections that all users should read, as it involves configuration of FDR/UPSTREAM for using the configured APPC and other issues.

| Section | Page | Vendor | Description |
|---|---|---|---|
| 6.7., 6.7. | 6-18 | Attachmate/DCA<br>Irma for the Mainframe<br>Irma Workstation for Windows<br>Attachmate Personal Client version 6 | PCs using Token-Ring, SDLC and other hardware environments. |
| 6.8., 6.8. | 6-29 | WallData<br>RUMBA | PCs using Token-Ring, SDLC and other hardware environments. |
| 6.9., 6.9. | 6-36 | IBM<br>Personal Communications (NS/Windows) | PCs using IBM Token-Ring, SDLC, coax or Twinax cards, Ethernet or async (through an AS/400). You cannot use a 3174 unless you have Config Support C installed. |
| 6.10., 6.10. | 6-42 | Microsoft<br>SNA Server (Windows requestor) | PCs connecting through a Microsoft SNA Server running on Windows NT. |

**Table 6-1**
**APPC Software Configuration Sections (read one)**

| Section | Page | Name | Description |
|---|---|---|---|
| 6.11., 6.11. | 6-43 | FDR/UPSTREAM Configuration | Describes how to configure FDR/UPSTREAM for operation with the SNA software. |

**Table 6-2**
**FDR/UPSTREAM Configuration (Required for all APPCs)**

The next section describes the transaction program definition information required of just about every APPC you should read this section if you will not be configuring your APPC using one of the entries in Table 6-1.

### 6.6.1. Remotely Attachable Transaction Program Profiles

The FDR/UPSTREAM program, US.EXE, cannot accept remote requests unless you configure for them in your APPC software configuration; it will report errors if this configuration is not performed. Your APPC software can even start FDR/UPSTREAM when a remote request is received.

This configuration is done in the remote transaction program facility of your APPC software. Some of the fields that are common, and whose values are specific to FDR/UPSTREAM include:

- ☐ **Transaction Program (TP) filespec**: Enter the fully qualified path name of the FDR/UPSTREAM program. For most installations this will be: **C:\UPSTREAM\US.EXE**.
- ☐ **Service Transaction Program (TP):** Select **No**.
- ☐ **Sync level:** FDR/UPSTREAM uses Confirm, but we recommend for maximum flexibility, **Either**.
- ☐ **Conversation type:** FDR/UPSTREAM uses Basic conversations, but we recommend for maximum flexibility, **Either**.
- ☐ **Conversation security:** FDR/UPSTREAM does not support the generation of FMH-5 security information, so select **No**.
- ☐ **Transaction Program (TP) operation:** FDR/UPSTREAM can be run in two ways:
  - Queued - attach manager started: In this case, when a remote request is detected by communications manager, if FDR/UPSTREAM is not running, the attach manager starts it. If FDR/UPSTREAM is running, FDR/UPSTREAM will pick up the request. This is the recommended method.
  - Queued - operator started: In this case, when a remote request is detected by your communications software, if FDR/UPSTREAM is not running, the user is requested to start it. If UPSTREAM is running, UPSTREAM will pick up the request. Use when you wish to support remote requests, but only at certain times (controlled by USSTART).
  - Do not select non-queued.
- ☐ **Transaction Program (TP) name:** Enter **UPSTREAM**.
- ☐ **Queued allocates timeout:** This is the amount of time that a remote allocate will be held by the communications manager, without it being serviced, before it rejects it. The default is acceptable in most environments.
- ☐ **Transaction Program (TP) receive timeout:** FDR/UPSTREAM requires an immediate time-out. This is usually denoted with a **0 or 1**.
- ☐ **Maximum queue depth:** Used primarily in parallel session and multiple LU environments, the default of **5** is acceptable in most environments.
- ☐ **Transaction Program (TP) startup parameters:** These are command line parameters passed to the application. We recommend that you use a sample remote support parameter file provided. Enter: **PARAMETER=RMTPARM.DAT**.
- ☐ **Program type:** Enter: **Windows Application.**

Go to page 6-43 to configure FDR/UPSTREAM for operation with the APPC configuration you have just created.

# 6.7. Attachmate/DCA Irma for the Mainframe

This section discusses configuration of Attachmate/DCA's Irma for the Mainframe, Irma Workstation for Windows or Attachmate Personal Client version 6 using Token-ring as your SNA hardware for FDR/UPSTREAM. For information on configuring other hardware configurations, see the appropriate Configuration Guide. It is recommended that you first read this section and only make the changes necessary for your hardware configuration.

We recommend that you get 3270 emulation working before configuring for APPC; the initial connection is easier to configure and verify for 3270 than for APPC. However for Attachmate Personal Client version 6, note that they do not have an integrated protocol stack, you cannot run 3270 and APPC at the same time.

### 6.7.1. Token-Ring Configuration

To configure framing and other important general connection facilities, it is different for the 3 software types:

For Irma for the Mainframe, enter 3270 by selecting the Mainframe Sessions icon in the Irma program group. It is not necessary to actually start your 3270 session, but you can configure these options regardless. Pull down the **Settings** menu and select **Select Connection**. Select the connection you will be using (**LAN Attached (802.2)**) and press the **Configure...** button.

For Irma Workstation for Windows this function is performed in the **3270 Configurator**. Select this icon from the IRMA Workstation program group. Press the **Token-Ring** button.

For Attachmate Personal Client, Select the **Node Operator Facility** from the **Attachmate APPC Client** program group. Pull down the **Configure** menu and select **Connection**. Select the connection you will be using (**LAN Attached (802.2)**) and press the **Configure...** button.

In all cases, you will see the Token-Ring Connection dialog (see figure 7-).

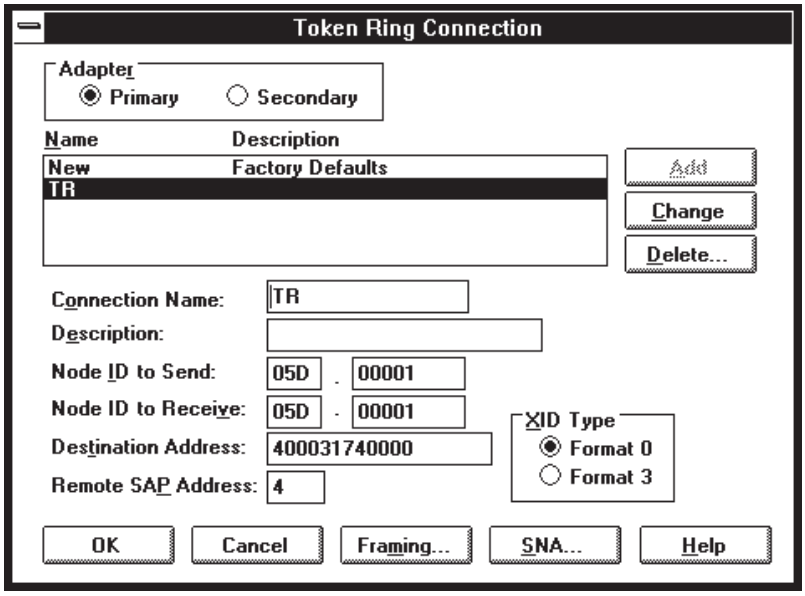



**Figure 6-1**
**Irma Token-Ring Connection**

- **Adapter:** Most users will enter **Primary** as Token-Ring adapters come pre-configured as the Primary adapter.
- **List:** If you already have a Token-Ring entry in the list, highlight it; otherwise highlight the New entry. In most cases it is not necessary to press the <Add> or <Change> buttons.
- **Connection Name:** The default is **TR** and this is the recommended value.
- **Description:** Enter any text which will help you remember this definition.
- **Node ID to Send:** Enter the IDBLK in the first box and the IDNUM in the second box for your PU as defined on the host if you are connecting to a 37xx or 3172; ignored if you are connecting to a 3174. This is a required field and the values can be obtained from your VTAM system administrator.
- **Node ID to Receive:** It is recommended that you specify the same values as for Node ID to Send (above).
- **Destination Address:** Enter the locally administered address of the 3174, 37xx or 3172 LAN adapter. Enter a 12 digit value. This is required and can be obtained from your VTAM system administrator.
- **Remote SAP Address:** Enter the service access point defined for your PU. The default is **4** and is highly recommended.
- **XID Type:** Format **0** is a simpler XID type to get working and is generally recommended. If you have problems connecting 3270 or APPC, you may want to try Format 3.

Once you have completed these parameters, press the **<Framing>** button to modify your framing parameters (see figure 7-).

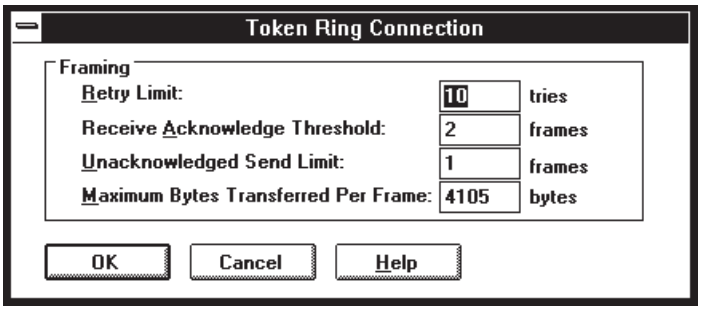



**Figure 6-3**
**Irma Token-Ring Framing**

- **Retry Limit:** The default of **10** will work in most environments.
- **Receive Acknowledge Threshold:** The default of **2** will work in most environments.
- **Unacknowledged Send Limit:** The default of **1** will work in most environments.
- **Maximum Bytes Transferred Per Frame:** This is an important performance tuning parameter. Most users will want to set it to the maximum value of **4105** for best performance, which allows a maximum RU size of 4096. Some versions of Irma have a maximum frame size of 4095; in this case set this value to 3849 and then set the maximum RU size (defined later) to 3840.

  Note: If you increase your frame size, you may need to modify the LAN adapter open parameters (described for Novell earlier in this chapter) and may need to reboot the PC.

When you have completed this dialog, press the **<Ok>** button to return to the Token-Ring dialog.

In the Token-Ring dialog press the **<SNA...>** button to modify some additional SNA parameters (see figure 7-).

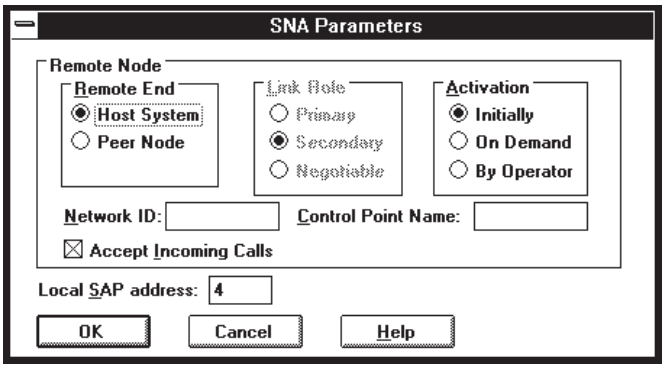



**Figure 6-2**
**Irma Additional SNA Parameters**

- **Remote End:** Most users will specify **Host System**.
- **Link Role:** Most users will find this field grayed and preset to **Secondary**.
- **Activation:** **Initially** is recommended for simplicity of operation.

- ☐ **Accept Incoming Calls:** This box should be **checked** for FDR/UPSTREAM to support remote requests.
- ☐ **Control Point Name:** This field allows you to specify your CP Name. This value can be obtained from your VTAM system administrator and is optional.
- ☐ **Local SAP Address**: The default value of **4** is highly recommended.

Press the **<Ok>** button to return to the Token Ring dialog. Press the **<Ok>** button to save your parameters and return to the Select Connection dialog. Press the **<Cancel>** button to return to the Irma main display.

### 6.7.2. Local LU Definition

If you are running Irma for the Mainframe (v3.x) you will need to return to the IRMA Workstation Program Group in the Program Manager, select **API Support** and press the **<APPC>** button to enter the APPC configuration (see figure 7-).

If you are running Irma v2.x, press the **<APPC>** button.

If you are running Attachmate Personal Client, pull down the **Configure** menu and select **APPC Sessions**.

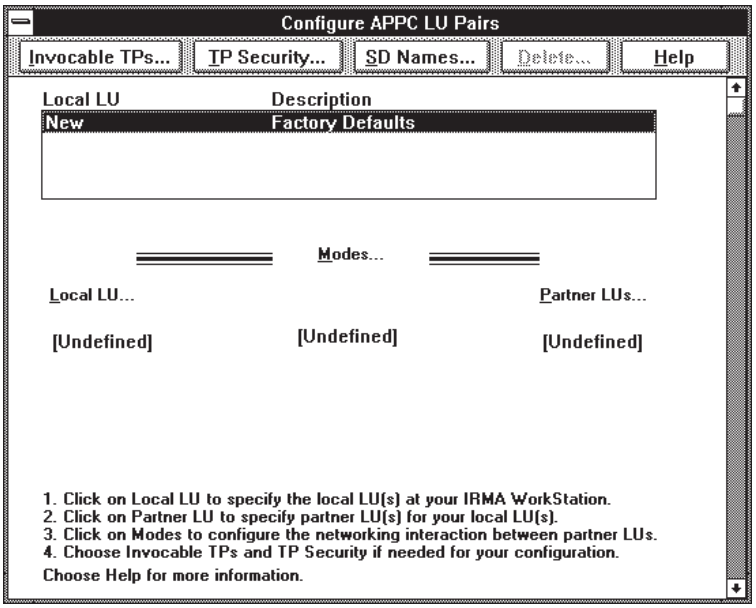



**Figure 6-4**
**Irma APPC Configuration**

The list box contains the list of defined LU/Partner/Mode entries. If you have no entries defined then the list only has New available.

Note that the Local LU, Mode and Partner LU definitions are grayed, but still available. They become color icons when an entry has been defined. Press the **<Local LU...>** button to enter the Local LU dialog (see figure 7-).

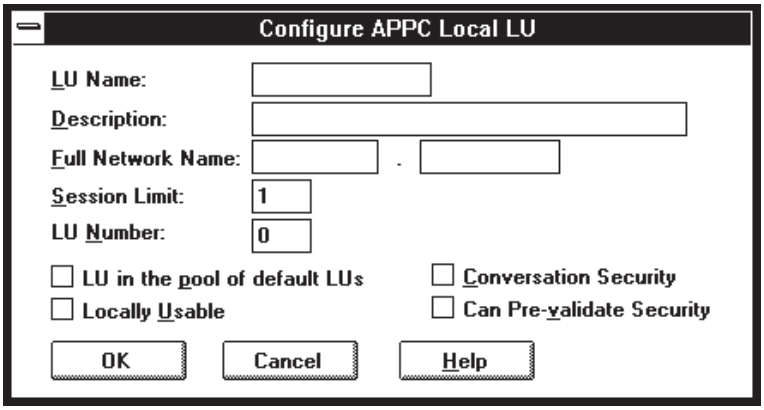



**Figure 6-5**
**Irma LU Dialog**

- **LU Name:** Enter the Logical Unit name as defined in VTAM that you will be using for APPC. This LU must be a different one than used for 3270. This value can be obtained from your VTAM system administrator. You should remember this later, as it is required in the FDR/UPSTREAM configuration (later in this chapter) as it is the **Local LU Alias**.

- **Description:** Enter any text that will help you remember this definition.

- **Full Network Name:** Enter your SNA network name in the first box and your LU Name (from above) in the second box. Your SNA network name can be obtained from your VTAM system administrator.

- **Session Limit:** We recommend that you use **1**.

- **LU Number:** This is your LU Local Address; non-zero numbers denote dependent LUs and zero denotes independent LUs. We recommend that you use dependent LUs. This value is directly related to your Local LU name and can be obtained from your system administrator.

Most users will not check any of the check boxes.

Press the **<Ok>** button to save your definition and return to the APPC screen.

### 6.7.3. Partner LU Definition

Press the **<Partner LUs...>** icon to configure a partner LU definition (see figure 7-).

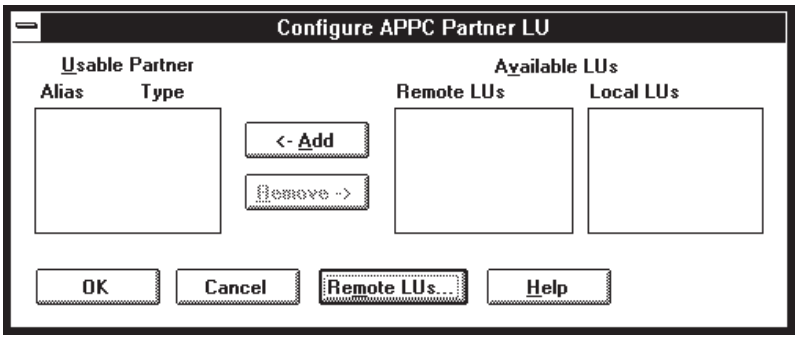



**Figure 6-7**
**Irma Select Partner LU**

Press the **<Remote LUs...>** button to specify a partner LU definition (see figure 7-).

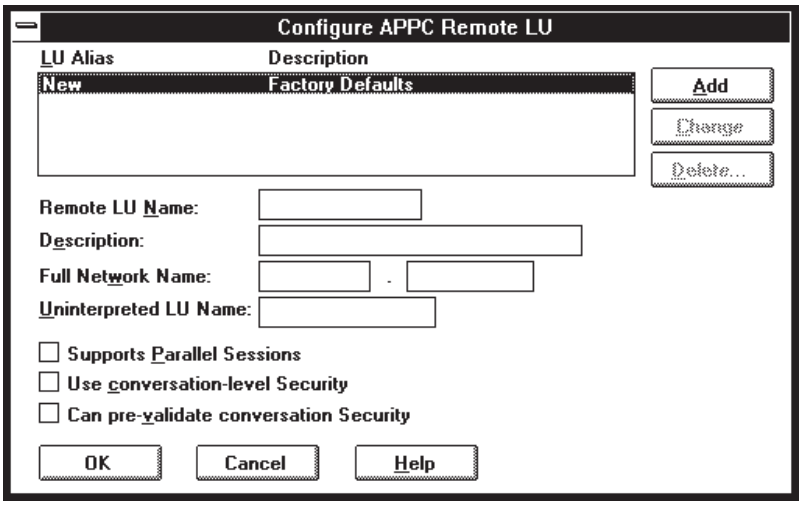



**Figure 6-6**
**Irma Define Partner LU**

The list box contains the list of already defined partner LU definitions. If you are creating a new partner LU, highlight New, otherwise highlight the entry you wish to modify.

- **Remote LU Name:** Enter the partner LU name for FDR/UPSTREAM on the host. Most users will enter **UPSTREAM**. You should remember this later, as it is required in the FDR/UPSTREAM configuration (later in this chapter) as it is the **Partner LU Alias**.

- **Description:** Enter any text that will help you remember this definition.

- **Full Network Name:** Enter your SNA Network Name in the first edit field. In the second edit field, again enter the partner LU name for FDR/UPSTREAM on the host (usually **UPSTREAM**).

- **Uninterpreted LU Name:** Again, enter the partner LU name for FDR/UPSTREAM on the host. Most users will enter **UPSTREAM**.

Most users will not need to check any of the check boxes.

Press the <Ok> button when you have finished entering values in this dialog. You will return to the Partner LU Selection Dialog.

Highlight the partner LU that you just created in the Remote LUs list and press the < Add> button to move the partner LU definition to the Usable Partner list so that this definition will be available for use.

Press the <Ok> button to return to the APPC main screen. You will now see the Partner LU icon is in color.

### 6.7.4. Mode Definition

From the main APPC screen, press the <Modes...> icon. You will see the mode selection dialog (see figure 7-).

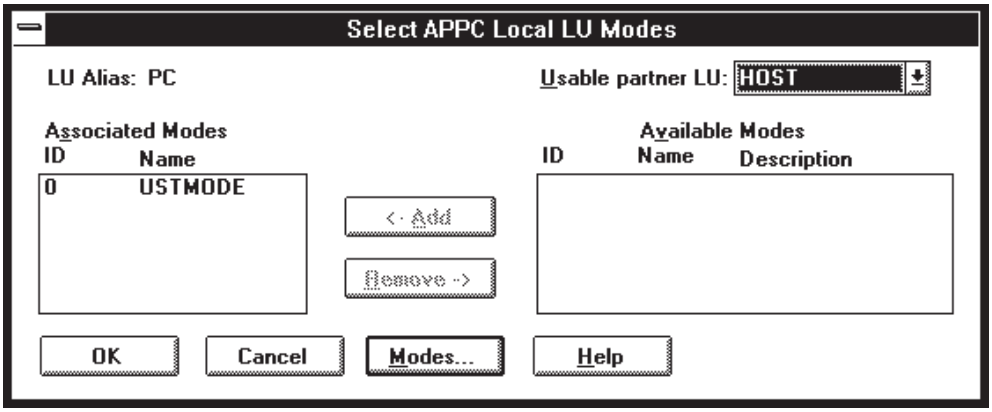



**Figure 6-8**
**Irma Select Mode Dialog**

Press the **<Modes...>** button to specify a mode definition (see figure 7-).

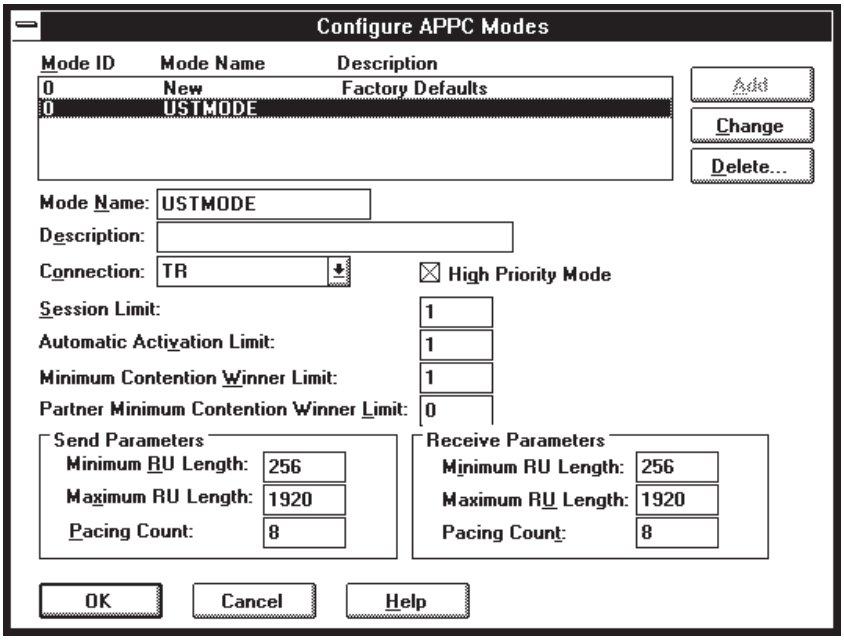



**Figure 6-9**
**Irma Enter Mode Information Dialog**

The list box operates in the same way as the partner LU selection list.

- **Mode Name:** Enter the mode name that you will use for FDR/UPSTREAM. You can either use **#INTER** or **USTMODE**. You should remember this later, as it is required in the FDR/UPSTREAM configuration (later in this chapter) as it is the **Mode Name**.

  Note to network administrators: FDR/UPSTREAM is distributed with an example mode table, MODEUST, with a sample mode entry USTMODE. You can code the table name (MODEUST) on the LU, or place the mode entry (USTMODE) in your current default mode table. If you use #INTER, most users will not have to make VTAM changes.

- **Description:** Enter any text that will help you remember this definition.

- **Connection:** Select from this combo box the link definition that you specified from the main configuration screen that you wish to use for FDR/UPSTREAM. Most users will select **TR**.

- **High Priority Mode**: Most users will **check** this box.

- **Session Limit:** The total number of sessions on a LU. Most users will enter **1** for a single session connection.

- **Automatic Activation Limit:** Most users will enter **1** to automatically activate the session.

- **Minimum Contention Winner Limit:** Most users will enter **1** to have the session a PC contention winner.

- **Partner Minimum Contention Winner Limit:** Most users will enter **0** to have the session a PC contention winner.

  Most users will specify the send and receive parameters with the same values for simplicity.

- **Minimum RU Length:** The default of **256** will allow the RU size to negotiate downwards and still operate in just about all situations.

- **Maximum RU Length:** Most users will specify **4096** which provides the best performance. It is recommended that this value be as large as possible, but no larger than 9 bytes less than the frame size (specified in the Token Ring dialog earlier).

- **Pacing Count:** A good performing value is **8**.

When you have completed this dialog, press the **<Ok>** button to return to the mode selection dialog.

Highlight the mode that you just created in the Available Modes list and press the **< Add>** button to move the mode definition to the Associated Modes list so that this definition will be available for use.

Press the **<Ok>** button to return to the APPC main screen. You will now see the Mode icon is in color.

### 6.7.5. Invokable TPs

FDR/UPSTREAM constantly checks for requests from the host or other computers and you must specify some transaction program parameters to support this. From the Configure APPC LU Pairs screen, press the **<Invokable TPs>** button to display the Configure APPC Invokable TP dialog (see figure 7-).

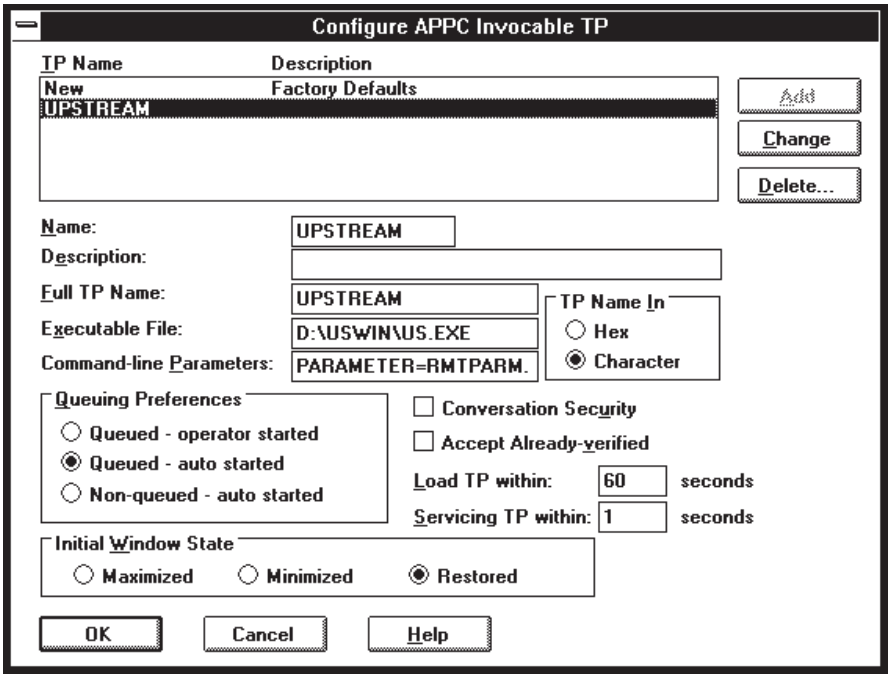



**Figure 6-10**
**Irma Invokable TPs Dialog**

- **Name:** Most users will enter **UPSTREAM** (in upper case). This value must match the INTPN specified in the FDR/UPSTREAM Advanced Configurator.

- **Description:** Enter any text to help you remember this definition.

- ☐ **Full TP Name:** Most users will enter **UPSTREAM** (in upper case). This value must match the transaction program name specified on the remote computer.

- ☐ **Executable File**: The FDR/UPSTREAM main program. Most users will enter: **C:\UPSTREAM\US.EXE**.

- ☐ **Command Line Parameters:** It is recommended that you specify the included parameter file which support remote initiates. Most users will specify: **PARAMETER=RMTPARM.DAT**.

- ☐ **TP Name In:** Most users will press the **Character** radio button.

- ☐ **Queuing Preferences:** Most users will press the **Queued - auto started** radio button to have Irma automatically start FDR/UPSTREAM when a remote request is received and not allow more than one to operate on a given directory at a time.

- ☐ **Conversation Security**: Most users will **not check** this box as FDR/UPSTREAM maintains its own security.

- ☐ **Accept Already verified:** Most users will **not check** this box as FDR/UPSTREAM maintains its own security.

- ☐ **Load TP within:** Most users will use the default of **60 s**econds before a remote request is timed out if not serviced.

- ☐ **Servicing TP within:** You must specify a value of **0** to keep FDR/UPSTREAM from hanging during conversation start, and other places.

> **WARNING: You must specify a Servicing TP within value of 0 to keep FDR/UPSTREAM from hanging when checking for remote initiates.**

- ☐ **Initial Window State:** Most users will accept the default of **Restored**.

Press the **<Ok>** button when you have completed this dialog which returns you to the Configure APPC LU Pairs screen.

Pull down the system menu bar and select **Close**. If you are running Irma for the Mainframe you will be returned to the Configure APIs screen. Press the **<Ok>** button to leave the screen. You will be asked if you wish to save your current configuration changes, if your changes are acceptable, press the **<Yes>** button to return to the Program Manager.

If you are running IWW v2.x, in the main IWW screen, pull down the **File** menu and select **Save** to save your parameters. Pull down the **File** menu and select **Exit** to return to the Program Manager.

If you are running Attachmate Personal Client, when you exit Configuring APPC sessions, you will be asked if you wish to save your changes. Exit the Node Operator Facility.

You have completed the Irma definitions for FDR/UPSTREAM. To activate them, we recommend that you shut down Windows and reboot to assure that all internal tables are refreshed before continuing. Verify that 3270 still operates.

### 6.7.6. Problems

If you receive communications error messages from FDR/UPSTREAM, or Irma itself reports errors, Irma maintains a comprehensive error reporting log, maintained in the Diagnostics facility. In IWW, the Diagnostics facility is a separate icon.

In Irma for the Mainframe, you enter the Diagnostics facility enter the Mainframe Display, pull down the **Help** menu and select **Diagnostics**.

For Attachmate Personal Client you enter Diagnostics from the Node Operator Facility by pulling down the **Trace** menu and selecting **APPC Diagnostics**.

In the Diagnostics window, pull down the **File** menu and select **Open**. In most cases you will open the **com.log** file. The most recent messages are placed at the end of the log and the display will be updated even with the program open.

If you have trouble understanding the messages, feel free to call FDR/UPSTREAM technical support.

If you are using Irma Workstation for Windows (v2.x) and you specified a frame size larger than 2042, you may need to modify your SYSTEM.INI file to tell the TR286 program to use a larger frame size.

In the [SNA_CE] section, add the line:

```
xmitbufsize=4105
```

You must use lower case in the name. Other relevant parameters are **xmitbufs** (number of transmission buffers - maximum of 2), **recvbufsize** (receive buffer size - maximum of 1929) and **recvbufs** (number of receive buffers - maximum of 60). In most cases you will not need to change these parameters.

Proceed to page 6-43 to configure FDR/UPSTREAM.

# 6.8. Wall Data RUMBA®

This section discusses configuration of Wall Data's RUMBA using Token-ring as your SNA hardware for FDR/UPSTREAM. Wall Data sells a number of products which can be used, all of which contain the RUMBA engine. If you are a user of RUMBA for the Mainframe, contact your Wall Data distributor to determine if your version has APPC support available.

For information on configuring other hardware configurations, see the RUMBA documentation. It is recommended that you first read this section and only make the changes necessary for your hardware configuration.

If you are using RUMBA for the Mainframe we recommend that you get 3270 emulation working before configuring for APPC; the initial connection is easier to configure and verify for 3270 than for APPC.

## 6.8.1. The RUMBA Configurator

To start the RUMBA configurator select the **Config Utility** or **APPC Setup** from the RUMBA program group. If there is no such option, from the Program Manager pull down the **File** menu and select **Run**. Run the CONFIG program in your RUMBA directory (most users will enter **C:\RUMBA\CONFIG** or **C:\RUMBA\SYSTEM\CONFIG**). This will bring you to the RUMBA Tools for APPC Configuration main screen (see figure 7-).

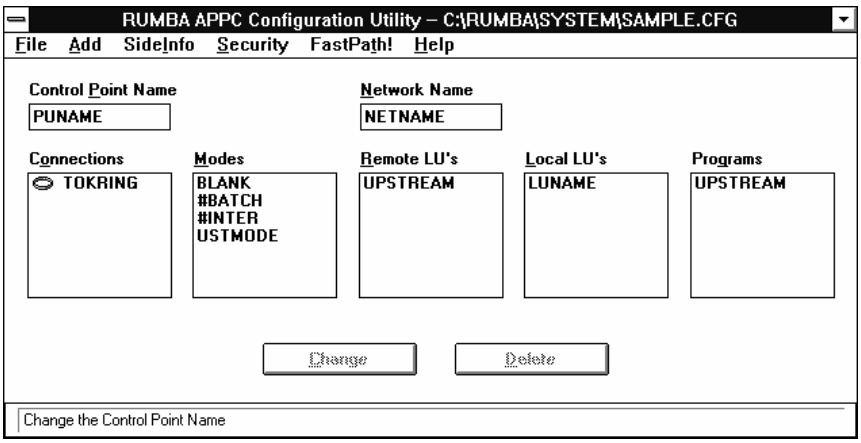



**Figure 6-11**
**RUMBA Configurator**

The first step is to view/modify/create the Token-Ring connection.

## 6.8.2. Token Ring Connection

In the Config utility, if you already have a Token-Ring connection defined, double-click on the existing entry. If you do not, pull down the **Add** menu and select **Connections**. Double-click on the **Token-Ring** connection.

This will bring you to the Token-Ring connection specification dialog (see figure 7-). Note that whenever you enter a RUMBA Config dialog, we recommend that you always press the **<Advanced>** button as advanced features in most cases need to be configured.

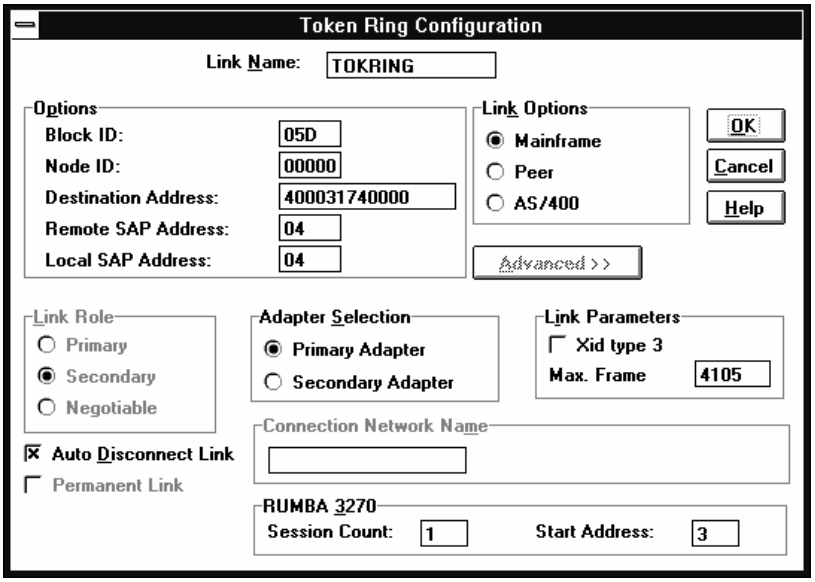



**Figure 6-12**
**RUMBA Token-Ring Connection**

- **Link Name:** We suggest that you use the default which is **TOKRING**.
- **Block ID:** If you are connecting through a 3172 or 37xx, enter the first three digits of the XID (IDBLK as defined on the VTAM PU definition). This parameter is not used for a 3174 connection.
- **Node ID:** If you are connecting through a 3172 or 37xx, enter the last 5 digits of the XID (IDNUM as defined on the VTAM PU definition). This parameter is not used for a 3174 connection.
- **Destination Address:** Enter the 12 digit Token-Ring address of the 3174, 3172 or 37xx you are connecting through.
- **Remote SAP Address:** Most users will enter **4**.
- **Local SAP Address:** Most users will enter **4**.
- **Link Options:** Most FDR/UPSTREAM users will select **Mainframe**.
- **Adapter Selection:** Most users will probably be using the **Primary** (only) Token-Ring adapter.
- XID Type 3: We recommend that you not check this box as XID type 3 is more difficult to get working.
- Max Frame: This is an important performance tuning parameter. Most users will want to set it to the maximum value of 4105 for best performance, which allows a maximum RU size of 4096.

  Note: If you increase your frame size, you may need to modify the LAN adapter open parameters (described for Novell earlier in this chapter) and may need to reboot the PC.

When you have completed the entries in this dialog, press the<Ok> button to return to the Configuration utility main window.

### 6.8.3. Mode Configuration

The mode defines attributes used in the SNA session. To enter the mode configuration, pull down the **Add** menu and select **Mode...** You will see the Configure Modes dialog (see figure 7-).

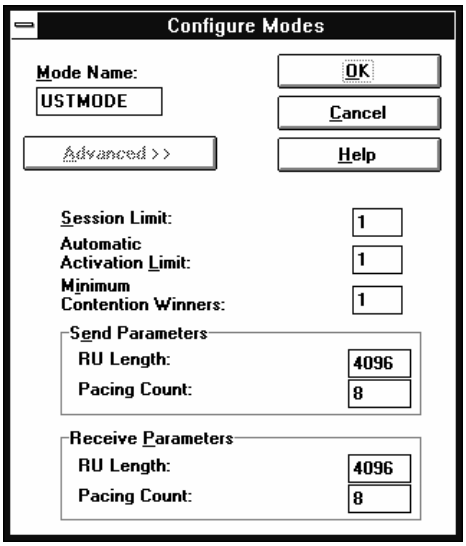



**Figure 6-13**
**RUMBA Mode Configuration**

- **Mode Name:** Enter the mode name that you will use for FDR/UPSTREAM. Most users will use the default of **#INTER** or **USTMODE**. You should remember this later, as it is required in the FDR/UPSTREAM configuration (later in this chapter) as it is the Mode Name.

  **Note to network administrators:** FDR/UPSTREAM is distributed with an example mode table, MODEUST, with a sample mode entry USTMODE. You can code the table name (MODEUST) on the LU, or place the mode entry (USTMODE) in your current default mode table. If you use #INTER, most users will not have to make VTAM changes.

- **Session Limit:** The total number of sessions on a LU. Most users will enter **1** for a single session connection.

- **Automatic Activation Limit:** Most users will enter **1** to automatically activate the session.

- **Minimum Contention Winners:** Most users will enter **1** to have the session a PC contention winner.

- **RU Length:** For best performance, we recommend that the RU size be as large as possible, but not so large as to have to be sent in multiple frames. Thus the RU size should be 9 bytes less than the Frame size. If you specified the recommended frame size of 4105, then the RU size would be **4096**. We recommend that the send and receive RU sizes be the same.

- **Pacing Count:** A good performing value is **8**. We recommend that the send and receive pacing counts be the same.

When you have completed the entries in this dialog, press the**<Ok>** button to return to the Configuration utility main window.

### 6.8.4. Remote LUs

The remote LU, or partner LU, is FDR/UPSTREAM MVS. To add a new remote LU, pull down the Add menu and select Remote LU... (see figure 7-).

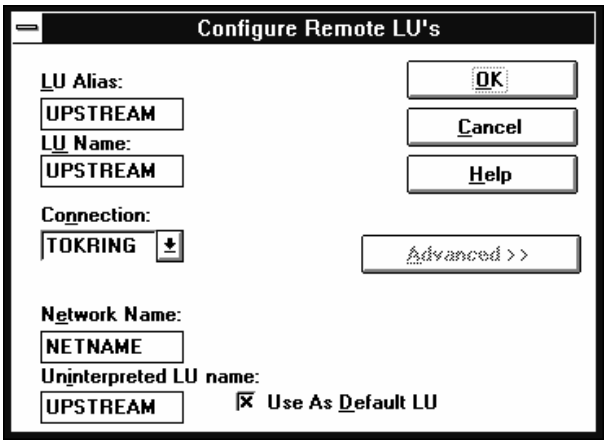



**Figure 6-14**
**RUMBA Partner LU Configuration**

- **LU Alias:** Enter the partner LU name used to denote FDR/UPSTREAM on the host. Most users will enter **UPSTREAM**. You should remember this later, as it is required in the FDR/UPSTREAM configuration (later in this chapter) as it is the **Partner LU Alias**.

- **LU Name:** Enter the partner LU name used to denote FDR/UPSTREAM on the host. Most users will enter the same value as above (which is **UPSTREAM**).

- **Connection:** Enter the Token-Ring connection name that you specified earlier, most users will enter **TOKRING**.

- **Network Name:** Enter your SNA Network Name.

- **Uninterpreted LU Name:** Most users will again enter the name of FDR/UPSTREAM MVS (**UPSTREAM** by default).

When you have completed the entries in this dialog, press the<Ok> button to return to the Configuration utility main window.

### 6.8.5. Local LU

The local LU, is the logical unit, defined in VTAM, that FDR/UPSTREAM Windows uses to communicate on. To add a new local LU, pull down the **Add** menu and select **Local LU..**. (see figure 7-).

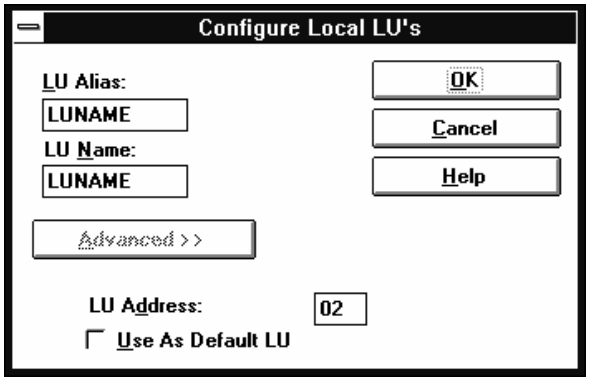



**Figure 6-15**
**RUMBA Local LU**

- **LU Alias:** Enter the Logical Unit name as defined in VTAM that you will be using for APPC. This LU must be a different one than used for 3270. This value can be obtained from your VTAM system administrator. You should remember this later, as it is required in the FDR/UPSTREAM configuration (later in this chapter) as it is the **Local LU Alias**.

- **LU Name:** Enter the same name as you entered for LU Alias.

- **Description:** Enter any text that will help you remember this definition.

- **LU Address:** This is your LU Local Address; non-zero numbers denote dependent LUs and zero denotes independent LUs. We recommend that you use dependent LUs. This value is directly related to your Local LU name and can be obtained from your system administrator.

When you have completed the entries in this dialog, press the**<Ok>** button to return to the Configuration utility main window.

### 6.8.6. Transaction Program Definition

The Transaction Program definition is used to tell RUMBA how to process an incoming request from another computer. To specify the FDR/UPSTREAM transaction program definition, pull down the **Add** menu and select **Transaction Program...** You will see the Transaction Program dialog (see figure 7-).

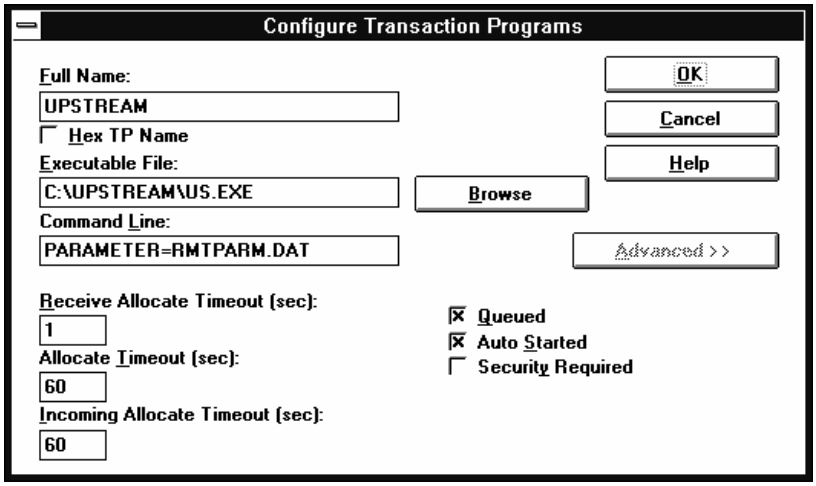



**Figure 6-16**
**RUMBA Transaction Program Definition**

- **Full Name**: Enter the transaction program name. We recommend the name **UPSTREAM** (in upper case). This value must match the INTPN specified in the FDR/UPSTREAM Advanced Configurator.

- **Executable File:** Enter the fully qualified file name of the FDR/UPSTREAM program, so that RUMBA will be able to find it when a remote initiate is received. For most users this will be **C:\UPSTREAM\US.EXE**

- **Command Line:** The FDR/UPSTREAM distribution includes a parameter file which supports remotely requested functions. To enable it, specify: **PARAMETER=RMTPARM.DAT**.

- **Receive Allocate Timeout (sec):** FDR/UPSTREAM checks periodically for remote initiation requests. To limit the amount of time FDR/UPSTREAM is locked waiting, we recommend specifying the smallest value, which for RUMBA is **1** secretary.

**WARNING: You must specify a Receive Allocate Timeout of 1 to keep FDR/UPSTREAM from hanging when checking for remote initiates.**

- Allocate Timeout (sec): This is how long a local initiate will remain pending before RUMBA will time it out. For most users, we recommend **60** secs.

- **Incoming Allocate Timeout (sec):** This is how long a remote initiate will remain pending before RUMBA will time it out. For most users, we recommend **60** secs.

- **Queued:** Checking this box allows RUMBA to queue multiple remote initiates. We recommend that you **check** this box.

- **Auto Started:** Checking this box allows RUMBA to start FDR/UPSTREAM when a remote initiate is received. We recommend that you **check** this box.

- **Security Required:** We recommend that you **not check** this box as FDR/UPSTREAM uses its own security mechanism.

When you have completed the entries in this dialog, press the**<Ok>** button to return to the Configuration utility main window.

This is the last entry in the RUMBA APPC configuration. To save your changes, pull down the **File** menu and select **Save**.

### 6.8.7. Running with RUMBA

To run the RUMBA APPC engine you must either load the WDTOKTSR.EXE TSR driver before entering Windows or load the VWDDLC.386 in your SYSTEM.INI file. See the RUMBA documentation for a complete description of installing and configuring these drivers.

To run the RUMBA APPC engine, either double-click the **APPC Engine** icon in the RUMBA program group, or run the program **WDSNA.EXE** in the RUMBA directory from the Program Manager. When run, this program will display a small dialog as it opens the adapter and then leave an icon on the desktop indicating that it is operating. You may choose to place this icon in your Startup folder to have it running when Windows starts.

Optionally, if the RUMBA engine is not loaded prior to running FDR/UPSTREAM, the first APPC call issued by FDR/UPSTREAM will cause the RUMBA engine to be loaded automatically.

Once the APPC Engine is operational, you can use FDR/UPSTREAM. Proceed to page 6-43 to configure FDR/UPSTREAM.

### 6.8.8. Problems

There are several known problems with the distribution versions of the RUMBA Engine v2.0 which is included in a number of RUMBA products such as RUMBA for the Mainframe and RUMBA Office.

WallData can provide you with several fixes specific to FDR/UPSTREAM. In particular Program Temporary Fix (PTF) #14 is available on the WallData BBS as file ZB0APC.EXE. For more detailed information about the latest PTFs you can reach WallData technical support at (800) 927-8622.

For one of these problems (a FDR/UPSTREAM 1709E error), you can set the environment variable **USNORMT=Y** before entering Windows to disable remote allocate checking within FDR/UPSTREAM. Note that this will prohibit you from receiving host backup/restore requests so we recommend that you get the patches from WallData. To obtain this fix, open a new incident with their technical support group and reference problem #139147.

When calling FDR/UPSTREAM Technical Support with other problems we recommend that you print your RUMBA configuration (a File menu option in the RUMBA APPC Configurator), and have your host PU and LU definitions available. While RUMBA does not maintain a log, it has an excellent trace facility (COMTRACE.EXE) which technical support will ask you to use to help you isolate any problems.

# 6.9. IBM Personal Communications® Configuration

This section discusses configuration of IBM's Personal Communications for Windows which is basically the Networking Services/Windows program (NS/Windows) using Token-ring as your SNA hardware for FDR/UPSTREAM.

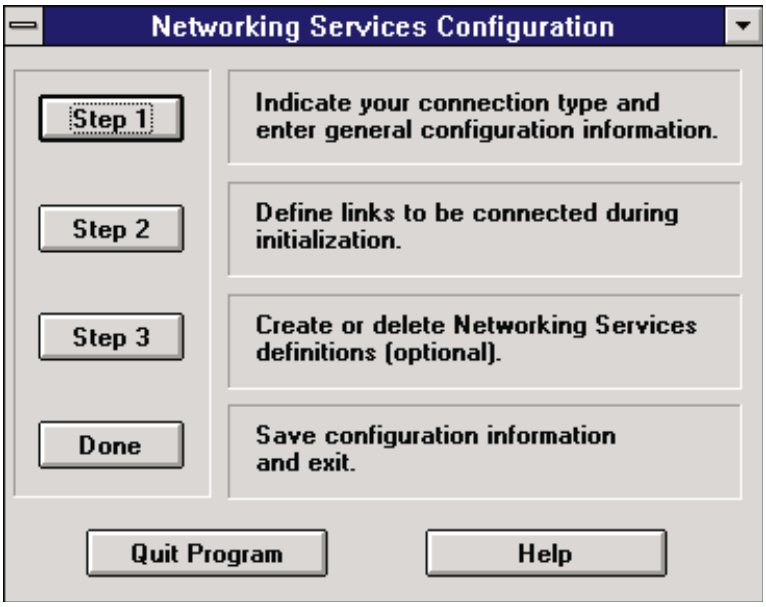
Be sure that you have all hardware installed and operational before attempting this configuration.

**Note: NS/Windows cannot share communications with 3270 emulators - it must own the PU and the SAP.**

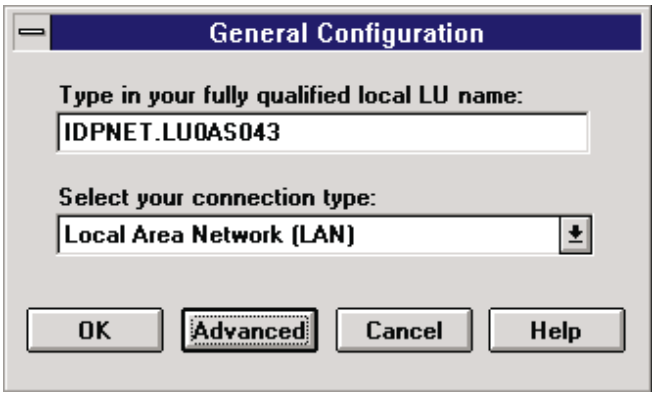
**Note: NS/Windows requires an independent LU (LU number 0).**

### 6.9.1. General Configuration

From the IBM APPC Networking Services program group, select the **Configure** icon:

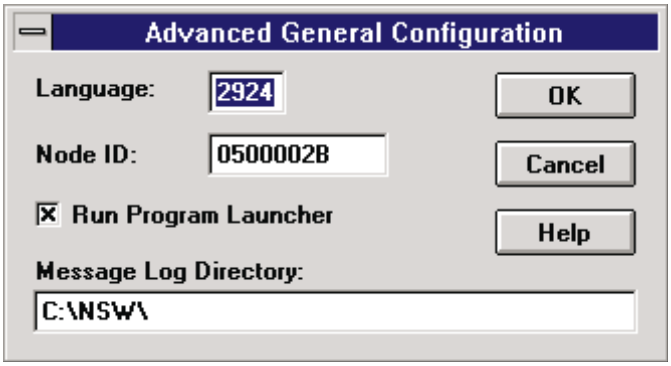



Press the **Step 1** button to configure the connection information.




In the first field, enter your fully qualified INDEPENDENT (LU number 0) LU name, in the form of <Network name>.<Independent LU name>. Note that this product does not support dependent (LU number non-zero) LUs. In the connection type combo-box select the connection you are using; most users will select **Local Area Network (LAN).**

Press the **Advanced** button.

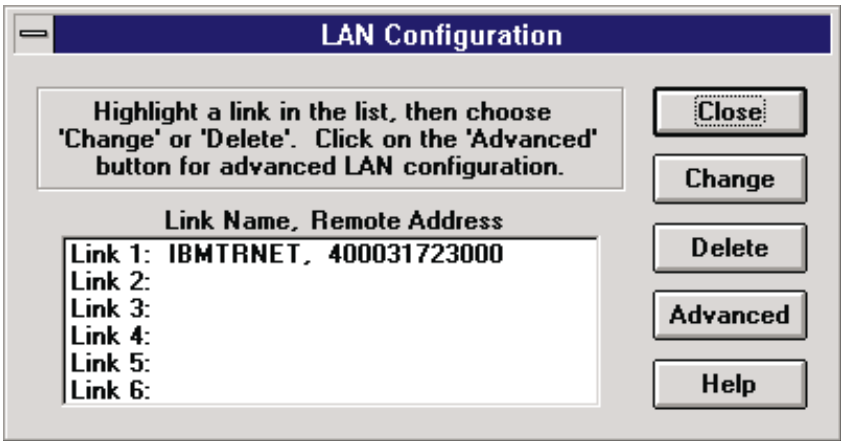



- **Language**: If you know your language number, enter it here. Most users will use **2924**.
- **Node ID**: Enter your 8 digit XID (IDBLK/IDNUM).
- **Run Program Launcher**: Most users will select this option.
- **Message Log Directory**: NS/Windows maintains a comprehensive message log. Most users will leave the default.

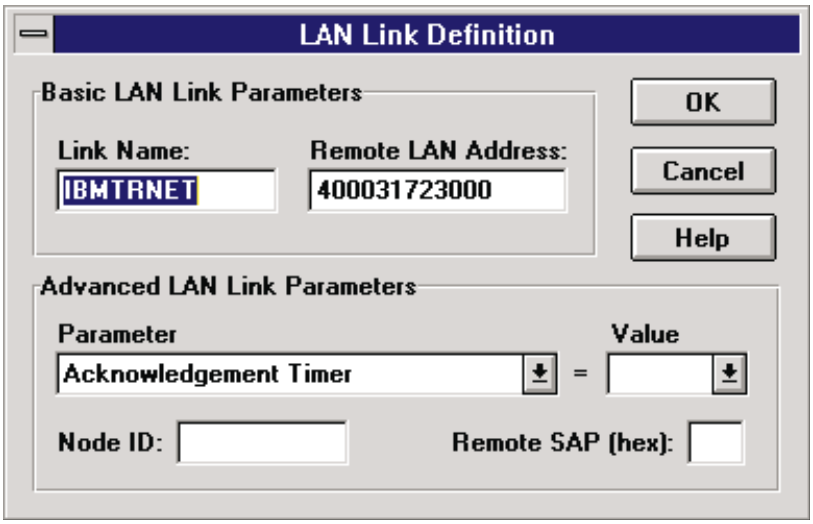
Press the **Ok** button to return to the General Configuration dialog. Press the **Ok** button to return to the Networking Services Configuration dialog.

### 6.9.2. Link Configuration

Press the Step 2 button to define your links.




Most users will highlight **Link 1** and press the **Change** button.



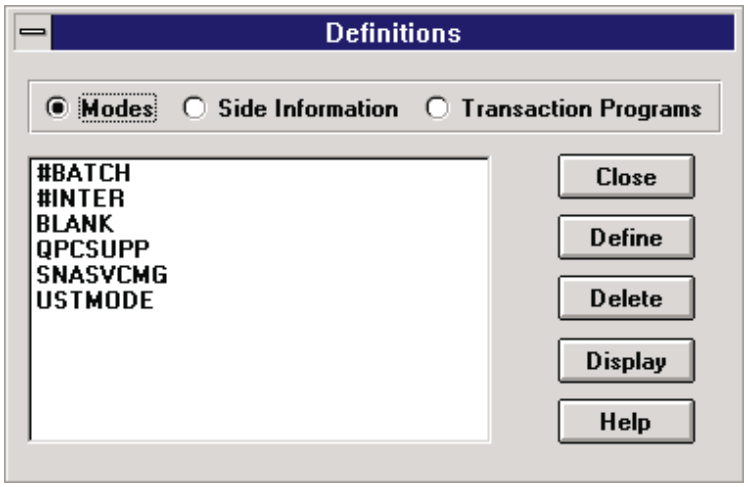

- **Link Name:** Most users will specify **IBMTRNET** for Token-Ring.
- **Remote LAN Address**: Enter the LAN address of your host device (3172, 3174, or 37xx).

The remaining fields can be left at their defaults.

Press the **Ok** button to return to the LAN Configuration dialog. Press the **Ok** button to return to the General Configuration dialog.

### 6.9.3. Remaining Configuration

Press the **Step 3** button to configure the remaining features. In this screen you cannot modify parameters; you can either define new profiles or delete existing ones.

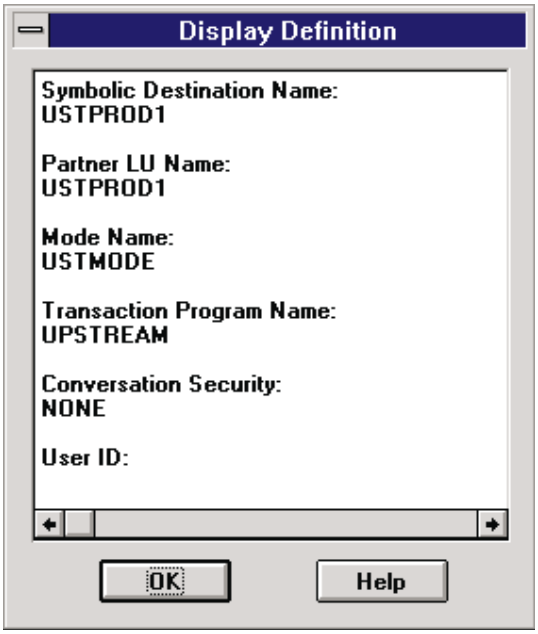



Press the **Modes** radio button to view mode definitions.

The following screen is a display of a sample USTMODE definition.

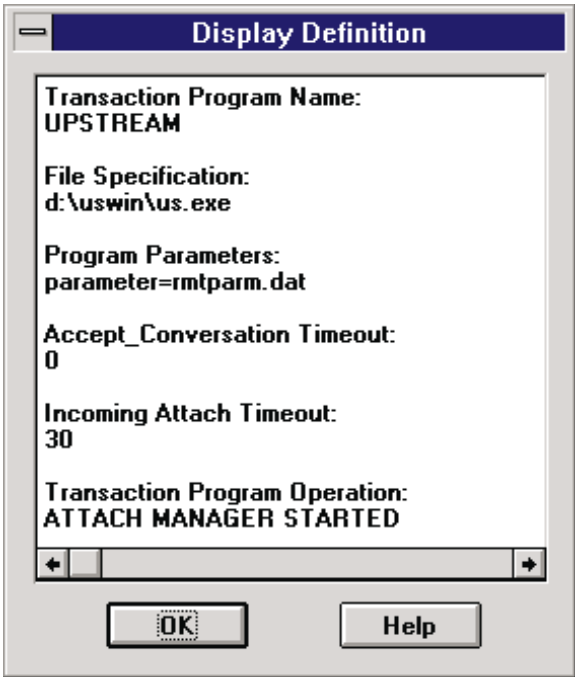
Press the **Define** button to define a new mode definition or press the Display button to verify that the definition for your mode is correct.

Press the **Side Information** radio button to see side information profiles. The following is a sample display, using the partner LU name USTPROD1.




- **Symbolic Destination Name**: This is the name you specify in the Partner LU field in the UPSTREAM configuration. We recommend that you use the real Partner LU name (for most users this is **UPSTREAM**).
- **Partner LU Name**: Enter the partner LU name of UPSTREAM on the host (usually **UPSTREAM**).
- **Mode Name**: Enter the name of mode you're using (most users will enter #INTER or USTMODE).
- **Transaction Program Name**: Most users will enter **UPSTREAM**.
- **Conversation Security**: Enter **NONE**.
- **User ID:** Leave blank.

Press the **Transaction Programs** radio button to see transaction program definitions. The following is a sample display.




- ☐ **Transaction Program Name**: Most users will use **UPSTREAM**.
- ☐ **File Specification**: Enter the fully qualified path to UPSTREAM. Most users will use **C:\UPSTREAM\US.EXE**.
- ☐ **Program Parameters**: For proper remote execution, specify **PARAMETER=RMTPARM.DAT**
- ☐ **Accept Conversation Timeout**: Specify **0**.
- ☐ **Incoming Attach Timeout**: Most users will specify **30**.
- ☐ **Transaction Program Operation**: Most users will specify **ATTACH MANAGER STARTED**.

You have now completed your NS-Windows configuration. In most cases you will have to reboot to activate it.

When Windows is again running, go to page 6-43 to configure FDR/UPSTREAM for operation with the APPC configuration you have just created.

### 6.9.4. Some notes on NS-Windows:

- It uses a nonintegrated protocol stack. This means that you cannot use NS/Windows with 3270 concurrently.
- The **Advanced Operations** program may be helpful in troubleshooting. In particular, select **Local LU Control** and the **Initialize LU** button to manually activate communications.
- The **Autostart Networking Services** icon should be placed in your Startup group to assure that remote initiates are properly handled.
- The **View Message Log** program is essential in helping you isolate communications problems.

# 6.10. Microsoft SNA Server (Windows Requestors)

You can use FDR/UPSTREAM to run on a Windows 3.1 or Windows 95 PC, using the SNA Services provided by Microsoft SNA Server. To configure this facility, we recommend the following procedure:

- Install the Windows SNA Server client on your Windows 3.1 or 95 workstation. If you are using Windows 95, you must use the Windows 3.1 SNA Server Client. Configure and get operational 3270 through SNA Server. Note that Microsoft recommends not using Named Pipes over IPX/SPX (Named Pipes over NetBIOS is fine). If you are using Windows 95 and IPX/SPX you should use NetBIOS over IPX/SPX and disable the DirectHost feature.
- Add a Local LU, Partner LU and Mode Name within the SNA Server Admin program (see the Windows NT chapter for a complete description of this process). Note the LU Alias, Partner LU Alias and Mode Name values for entry in the UPSTREAM configuration.
- Make the following additions to the end of your WIN.INI file (in the WINDOWS directory) for SNA Server configuration of the transaction program definitions. You will need to use a text editor that doesn't add control characters such as the Notepad application.

```
[SNAServerAutoTPs]
UPSTREAM=UPSTREAM

[UPSTREAM]
PathName=C:\UPSTREAM\US.EXE       ; The path name for the UPSTREAM
                                  ; program
LocalLU=[LU Alias]                ; Replace [LU Alias] with The LU
                                  ; Alias for the LU to satisfy
                                  ; an incoming request.
Parameters=PARAMETER=RMTPARM.DAT  ; Parameter for US.EXE
TimeOut=100                       ; RECEIVE_ALLOCATE will timeout in
                                  ; 1/10 seconds.
Queued=yes                        ; Have SNA Server Client queue
                                  ; incoming requests.
ConversationSecurity=no           ; No FMH-5 security.
```

**WIN.INI Changes for MS SNA Server**

If you will be host initiating backups, you will also need to add the attach manager program C:\SNA.WIN\SNASRV.EXE to your Startup program group.

Restart your PC. When Windows is again running, go to page 6-43 to configure FDR/UPSTREAM for operation with the APPC configuration you have just created.

# 6.11. PC FDR/UPSTREAM Configuration

This section guides you in configuring FDR/UPSTREAM for your environment. Before using this section, you must have completed the SNA configuration (if you are not using TCP/IP).

FDR/UPSTREAM Windows is a true Windows® application. In these applications there are several different modes you can be in:

- A dialog: A dialog box is a box inside the main screen where you may be able to enter values, and always contains one or more buttons. Move from field to field with the TAB key or by selecting the field with a mouse. Leave the screen by pressing one of the buttons (by moving the cursor to the button and pressing the space bar, or by double clicking the mouse on the button), or by pressing [ESC] (which is like moving to the CANCEL button and pressing it).
- The full screen: You get access to FDR/UPSTREAM functions by pressing the [ALT] key in conjunction with the first letter of one of the menu items at the top of the screen. This will pull down one of the menus and allow you to move the cursor with the cursor keys to the function you wish to perform; you [ENTER] to perform that function. You can also select a menu item by clicking the mouse on the menu. Finally, there are keyboard “accelerators” for many of the menu items. When you pull down the menu you can see what they are. You can access a function by just pressing the accelerator combination (like [ALT]B for backup).

In most places in the program, you can get help about a field or a button by pressing the F1 (help) key. This provides context sensitive help about the field or button. If you need additional help, press the INDEX button to get access to helps about other fields or general subjects.

To abort what you are doing in a dialog, press the ESC key. To leave FDR/UPSTREAM from the full screen, pull down the File menu and select Exit, or press the [ALT]X accelerator.

If you feel confused with all these options, don’t worry. It works easier than it sounds. The beginning of this section will walk you slowly through the first screens so that you can get the feel of the interface.

To enter the FDR/UPSTREAM configurator, select it from the FDR/UPSTREAM program group.

If this is the first time you’ve run the configurator, you will see an error message saying “No such file or directory”. This means that when FDR/UPSTREAM searched for the default configuration file it could not find it. Press the space bar or click the mouse on the **<Ok>** button to continue.

Figure - shows the Configuration screen. Here you enter the host connection parameters.

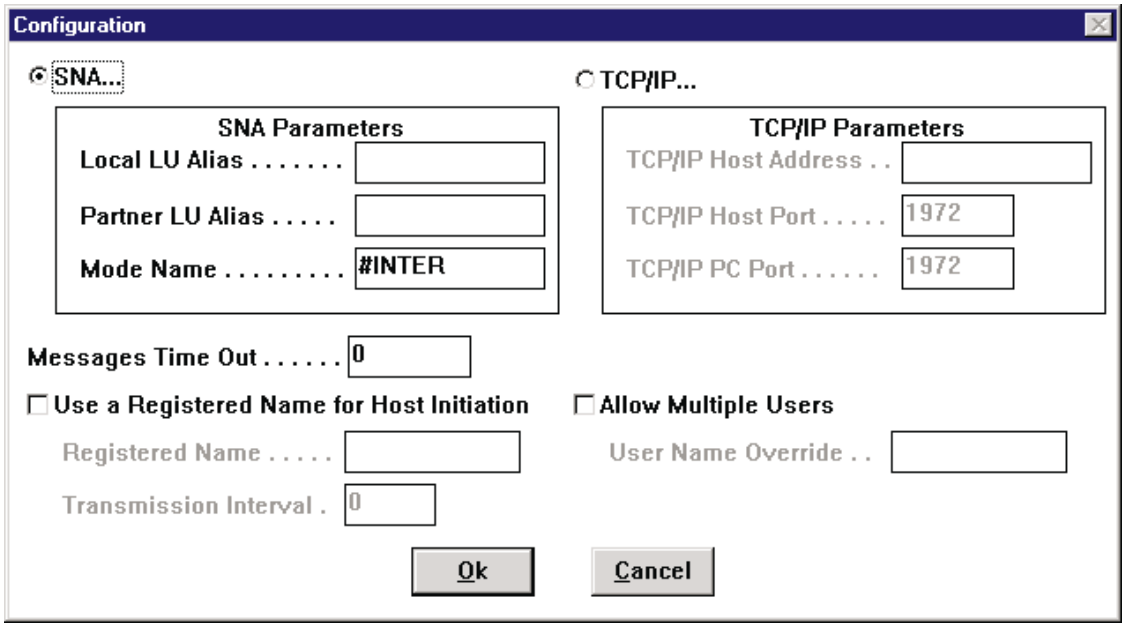



**Figure 6-17**
**FDR/UPSTREAM Configurator**

This screen is a dialog. If you are using SNA/APPC to connect to the host press the **SNA...** radio button and see the following section. If you are using TCP/IP press the **TCP/IP...** radio button and go to section 6.11.2., 6.11.2.

Note that when you press the SNA... radio button the TCP/IP parameter fields are grayed and become unavailable; when you press the TCP/IP... radio button the SNA parameter fields are grayed and become unavailable.

### 6.11.1. Configuring for a SNA Host Connection

Most of the fields are from your communications configuration.

- **Local LU Alias:** Specify up to 8 characters indicating the logical unit profile name as created in the communications configuration (in NS/DOS this should be the real LU name). This is always required.

- **Partner LU Alias**: Specify up to 8 characters indicating the partner logical unit profile name as created in the communications configuration (in NS/DOS this should be the real partner LU name). Most often this is **UPSTREAM**. This is always required.

- **Mode Name:** Specify up to 8 characters indicating the Mode Name as specified in the communications configuration. Most often this is **#INTER** or **USTMODE**. The default is #INTER and is always required.

If you are satisfied with these parameters goto section 6.11.3., 6.11.3. to complete your configuration.

### 6.11.2. Configuring for a TCP/IP Host Connection

The following are the TCP/IP specific parameters:

- **TCP/IP Address:** Enter the IP address of the host adapter that you will be connecting to. Enter the doted decimal notation. For example: 130.50.75.1. This field is required and there is no default.

- **TCP/IP Host Port:** Enter the IP port that FDR/UPSTREAM MVS was installed on. Enter a decimal number. This field is required; in most cases you can accept the default of **1972**.

- **TCP/IP PC Port:** Enter an IP port that FDR/UPSTREAM on other computers can use to contact your PC. This field is optional; in most cases you can accept the default of **1972**.

When you have completed entering the TCP/IP specific information, proceed to the next section to complete your configuration.

### 6.11.3. Completing the Configuration

There are several fields common to both connectivity types in this dialog:

- **Messages Time Out:** FDR/UPSTREAM error messages should be configured in a production (unattended) mode to go away automatically after a given amount of time, or not be displayed at all. The default of **0** is what you should use at first (messages stay on the screen until you press the space bar). When you are in production or performance testing, specify a number of the number of seconds messages should be displayed. We recommend a value of 3 (seconds). -1 causes message s to not be displayed at all.

- **Use a Registered Name for Host Initiation:** Check this box if you wish to register a name with FDR/UPSTREAM MVS that host and other workstation/server requests can use to find your workstation. You must register a name if you wish to use the auto-update facility. Note that checking this box may cause occasional errors (which can be ignored) if the workstation/server is updating its registration information when a remote request is received. You must enter a Registered Name if you check this box. The default is not checked.

- **Registered Name:** Enter any name, unique within FDR/UPSTREAM MVS, that can be used to allow the host and other PCs to find your workstation. You can enter up to 16 characters which can include embedded spaces. Note that if there are duplicate names no errors are reported; the most recently registered name is used.

- **Transmission Interval:** Enter a number which indicates how often (in minutes) you will reregister your registration name with FDR/UPSTREAM MVS. Most users will use the default of **0**, which causes the registration to happen just once on UPSTREAM startup. The main reason to specify a non-zero value is if you are using TCP/IP with the DHCP facility enabled and your IP address may change from time to time.

- **Allow Multiple Users:** We recommend that you **not check** this box until you are ready to use FDR/UPSTREAM for multiple users or multiple simultaneous bnning More than One Copy chapter for more informackups. See the *Ruation.*

If you are satisfied with these parameters, press the SPACE bar when the **<Ok>** button is highlighted or click on it with the mouse; you will be asked for the file name to save these parameters to.

In this dialog box, you can type the name of the file you want to save your configuration parameters to. The default is UPSTREAM.CFG, but you can use any file name and any directory. If the file and path is too large for the edit field, it will scroll horizontally. Press the **<Ok>** button to save the parameters to the file you specify.

The configuration parameters are saved in text format. You can modify them with a text editor if you choose. The parameters and their values are discussed in the Advanced Configuration options chapter.

FDR/UPSTREAM is now configured for operation with most host connections. You can leave the configuration program by typing [ALT]X, or by pulling down the **File** menu and selecting **Exit**.

To begin using FDR/UPSTREAM proceed to chapter 8.

This page intentionally left blank

# 7 DOS

## 7.1. Overview

The installation process consists of four steps:

- Determining your system requirements
- Installing the software
- Configuring the communications software
- Configuring FDR/UPSTREAM

If you purchased or have available an APPC or TCP/IP already, then we recommend that you install, configure it, and make it operational before installing and configuring FDR/UPSTREAM.

### 7.1.1. Requirements

FDR/UPSTREAM DOS requires the following:

- An IBM AT, PS/2 or compatible
- A diskette or CD-ROM drive.
- 2 megabytes of free hard disk space. If you will be backing up large servers you may need up to 40 MB of free disk space.
- IBM, Microsoft or Novell DOS v3.3 or higher.
- Communications hardware compatible with your communications software.
- APPC software for an approved vendor, including IBM (APPC/PC or NS/DOS), NetSoft (AdaptAPPC), Eicon (Access APPC), or Novell (NetWare for SAA).

or

- TCP/IP software from an approved vendor including IBM and Novell.

# 7.2. Installing FDR/UPSTREAM

FDR/UPSTREAM includes a batch file to help you install it to your hard disk. But you don't have to use it if you don't want, as all the batch file does is create a directory for the FDR/UPSTREAM files, and copy the diskettes to a specified drive and directory. If you have any problems with the installation, just copy the files yourself. You can end the installation process at any prompt by pressing [ESC] or at any other time by pressing [CTRL][BREAK].

**NOTE: If you do not run the INSTALL program for a first time install, you will need to rename USSER to US.SER.**

**NOTE: To install ULTra workstation software, see the ULTra chapter.**

Updates should just be copied over the originals (though the installation program can be run as well).

### 7.2.1. Installation from Floppies

If you are installing from CD-ROM, proceed to the next section.

To run the installation batch file, place the FDR/UPSTREAM Program Diskette 1 in your floppy drive. Make that drive the default drive and run the INSTALL batch file. For example:

```
C:\> A:
A:\> INSTALL
```

A banner screen is displayed explaining the installation process. You are then asked:

```
Do you wish to install UPSTREAM now (Y or N): _
```

Press 'Y' followed by [ENTER] to install it now, or 'N' to now install FDR/UPSTREAM.

You are then asked for the destination path. Specify the drive and directory you wish UPSTREAM to be installed in. The default is C:\UPSTREAM.

```
Destination: C:\UPSTREAM
```

The installation program now creates the specified directory and copies the files on the diskette to that directory. You will then be asked to insert additional diskettes. When the files have been copied, you will be asked:

```
Will you be backing up a Banyan server (Y or N) : _
```

If you answer no then you proceed to the next step. If you answer yes, then FDR/UPSTREAM will perform the following file copies in the destination directory to save the non-Banyan version so that you can recover it at a later time. If you are performing the installation manually then enter:

- copy US.EXE USNOBAN.EXE
- copy USBAN.EXE US.EXE

You are next asked:

```
What is your host connection?
   A(PPC
   I(BM TCP/IP
   N(ovell TCP/IP
Select an option: _
```

If you connection to the host will be:

- Any SNA/APPC connection, enter 'A'.
- TCP/IP using IBM TCP/IP enter 'I'.

- TCP/IP using Novell's LAN Workplace, enter 'N'

The installation program will automatically copy:

- If you select APPC: SNA.BAT to U.BAT
- If you select IBM TCP/IP: IBMTCP.BAT to U.BAT
- If you select Novell TCP/IP: NWTCP.BAT to U.BAT

When the files have been copied the installation is complete. Note that to start UPSTREAM, you will run **U** rather than US; this will activate the communications software (APPC or TCP/IP), the UPSTREAM TCP/IP parent program (if required) and UPSTREAM in a single step.

Section 7.3., 7.3. lists the files included on the FDR/UPSTREAM diskettes. Proceed to page 7-10 to begin the configuration of your communications environment.

### 7.2.2. Installation from CD-ROM

To run the installation program, insert the FDR/UPSTREAM CD in your CD-ROM drive. Make that drive the default drive and run the INSTALL program. For example:

```
C:\> D:
D:\> INSTALL
```

An installation screen is displayed:

```
                        FDR/UPSTREAM Installation

UPSTREAM Product (Choose one of the following): U
   U - FDR/UPSTREAM
   W - ULTra (FDR/UPSTREAM for workstations)

Operating System (Choose one of the following): D
   A - AIX        D - DOS
   N - NetWare    O - OS/2
   W - Windows 3.x, Windows 95 and Windows NT

Installation Source Drive (CDROM Drive):        F

Destination Drive:                              C

Destination Directory:                          UPSTREAM_________________

Press the Tab key to move from one field to the next.
Press the Enter key to proceed or the Esc key to exit the installation.
```

**Figure 7-1**
**CD-ROM Installation Screen**

In the installation screen, use the **TAB** key to move from field to field and the **ENTER** key when you are satisfied with the screen. For a DOS installation enter:

- ☐ **UPSTREAM Product:** Enter **U** for FDR/UPSTREAM
- ☐ **Operating System:** Enter **D** for DOS
- ☐ **Installation Source Drive:** Enter the drive letter that your CD-ROM is running on.

- ☐ **Destination Drive:** Enter the drive letter of where you wish to install UPSTREAM. Most users will enter **C**.
- ☐ **Destination Directory:** Enter the directory where you wish UPSTREAM installed. Most users will enter **UPSTREAM**.

Press the **ENTER** key to move to the next screen.

You will then be asked to enter your host connection. Select either:

- **A** - IBM Standard APPC. Select this if you are running IBM's APPC/PC, NetWare for SAA or a compatible product (not for NetSoft).
- **D** - NS/DOS CPIC. Select this if you are running IBM's Networking Services/DOS product.
- **I** - IBM TCP/IP. Select this if you are running IBM's TCP/IP for DOS/Windows product.
- **N** - Novell TCP/IP. Select this if you are running Novell LAN Workplace product.
- **S** - AdaptSNA APPC. Select this if you are running NetSoft's Adapt SNA product for DOS. Note that for AdaptSNA you will need a driver diskette available from Innovation or NetSoft.

The installation program will automatically copy:

- If you select APPC: SNA.BAT to U.BAT
- If you select IBM TCP/IP: IBMTCP.BAT to U.BAT
- If you select Novell TCP/IP: NWTCP.BAT to U.BAT

When the files have been copied the installation is complete. Note that to start UPSTREAM, you will run **U** rather than US; this will activate the communications software (APPC or TCP/IP), the UPSTREAM TCP/IP parent program (if required) and UPSTREAM in a single step.

On the CD-ROM, in the \UPSTREAM directory, there are 3 subdirectories relevant for DOS installations. If you are installing manually, copy the appropriate directory:

- **\DOSNSA** Use this directory if you are using NetSoft's AdaptAPPC. You will need a driver diskette from Innovation or NetSoft.
- **\DOSNSD** Use this directory if you are using IBM's Networking Services/DOS product, or any TCP/IP product.
- **\DOSSTD** Use this directory if you are using IBM's APPC/PC or compatible product.

Note that for a manual installation, you will need to rename USSER to US.SER and you will need to rename the appropriate .BAT file (SNA.BAT, IBMTCP.BAT or NWTCP.BAT) to U.BAT.

Section 7.3., 7.3. lists the files included on the FDR/UPSTREAM diskettes. Proceed to page 7-10 to begin the configuration of your communications environment.

# 7.3. Files Included

FDR/UPSTREAM consists of several files. Each file name and it's purpose is outlined here.

- Table 7-1 describes the files on the FDR/UPSTREAM Install & Program 1 Diskette or is a partial list of files in the DOSNSA, DOSNSD, or DOSSTD directories in the UPSTREAM directory on the CD-ROM.
- Table 7-2 describes the files on the FDR/UPSTREAM Program 2 Diskette or is a partial list of files in the DOSNSA, DOSNSD, or DOSSTD directories in the UPSTREAM directory on the CD-ROM.
- Table 7-3 describes the files on the FDR/UPSTREAM Configuration Diskette is a partial list of files in the DOSNSA, DOSNSD, or DOSSTD directories in the UPSTREAM directory on the CD-ROM.
- Table 7-4 describes the files in the \SAMPLES directory of the FDR/UPSTREAM Configuration Diskette or CD-ROM.
- Table 7-5 describes the files on the NetWare Program Diskette or the \NETWARE directory on the CD-ROM.
- Table 7-6 describes the contents of the FDR/UPSTREAM ULTra DOS Workstation Diskette (available as a separate option).
- Table 7-7 describes the contents of the NetSoft AdaptAPPC Program Diskette (distributed under license from NetSoft).

| File Name | Description |
|---|---|
| APPC.BAT | (NS/DOS) Used to load NS/DOS before starting Windows. |
| APPCUNLD.BAT | (NS/DOS) Used to unload NS/DOS |
| CHARBAT.EXE | Installation batch file helper program. |
| CONFIG.NSD | (NS/DOS) Sample CONFIG.NSD to help get NS/DOS working. |
| DEFINETP.NSD | (NS/DOS) Sample DEFINETP.NSD to help get NS/DOS working. |
| DESTBAT.EXE | Installation batch file helper program. |
| INST2.BAT | Internal batch file used during installation. |
| INST3.BAT | Internal batch file used during installation. |
| INSTALL.BAT | FDR/UPSTREAM installation program. |
| MODE.NSD | (NS/DOS) Sample MODE.NSD to help get NS/DOS working. |

| File Name | Description |
|---|---|
| SIDEINFO.NSD | (NS/DOS) Sample SIDEINFO.NSD program to help get NS/.DOS working. |
| TRACE.EXE | (IBM APPC/PC) Activates/deactivates the install IBM APPC/PC trace. |
| US.EXE | FDR/UPSTREAM main program. Provides the main user interface, performs the communications including backups and restores, logs events, allows inquiries and many other features. Supports Novell LANs and ULTra. |
| US.RES | FDR/UPSTREAM resource file used to hold dialog and string definitions. Required for FDR/UPSTREAM operation. |
| WORKSPC.EXE | (IBM APPC/PC) Helps in calculating the workspace requirements for IBM APPC/PC. |

**Table 7-1**
**FDR/UPSTREAM Install & Program 1 Diskette Contents**

| File Name | Description |
|---|---|
| USCMD.EXE | Command line version of FDR/UPSTREAM.. |

**Table 7-2**
**FDR/UPSTREAM Program 2 Diskette Contents**

| File Name | Description |
|---|---|
| EXCLUDE.LST | A sample exclude list file. |
| IBMTCP.BAT | Batch file used to start the FDR/UPSTREAM IBM TCP/IP parent program and UPSTREAM in a single step. During installation, renamed to U.BAT. |
| IBMTCP.EXE | FDR/UPSTREAM TCP/IP parent program for processing IBM TCP/IP requests. Called automatically by U.BAT, which then calls US.EXE. |
| NWTCP.BAT | Batch file used to start the FDR/UPSTREAM Novell TCP/IP parent program and UPSTREAM in a single step. During installation, renamed to U.BAT. |
| NWTCP.EXE | FDR/UPSTREAM TCP/IP parent program for processing Novell TCP/IP requests. Called automatically by U.BAT, which then calls US.EXE. |
| RESET.EXE | NetBIOS adapter reset and open program. Used for NetBIOS ULTra. |
| RETCODE.EXE | Allows text descriptions of the extended program return code returned by FDR/UPSTREAM and resets the limited return code. |

| File Name | Description |
|---|---|
| RMTPARM.DAT | Sample parameter file, used when the OS/2 attach manager starts FDR/UPSTREAM (when it is not already running). |
| SERIAL.DAT | Required for modification of personalization information of FDR/UPSTREAM. |
| SETNOV.EXE | (Novell & ULTra only) FDR/UPSTREAM Novell security access specification and ULTra Profile specification program. Run this program to specify the Novell user names, servers, etc. you wish to attach to and/or the workstations to be included in an ULTra Profile. |
| SETNOV.RES | Resource file required by SETNOV.EXE |
| SNA.BAT | Batch file to load APPC and UPSTREAM in a single step. Renamed to U.BAT during installation. |
| UPSTREAM.MSG | The FDR/UPSTREAM predefined message file. This file contains many of the messages that are logged and displayed. You can modify this file to change the message text, or to change the way that it is handled (see section 11). |
| US.HLP | The FDR/UPSTREAM help file. This file contains the help text that you see when you press the help (F1) button. You can modify this file to customize the text for your installation or translate it into a foreign language (see section 12). |
| USCFG.EXE | FDR/UPSTREAM configurator. Use this program to specify communications parameters, system overall parameters and to set up unattended operations. |
| USCFG.HLP | FDR/UPSTREAM configurator help file. As for the FDR/UPSTREAM help file, this file contains the help information when you press the help (F1) button and is user modifiable. |
| USCFG.RES | Required resource file for USCFG.EXE |
| USLOAD.BAT | Loads communications, runs FDR/UPSTREAM, and unloads communications. Intended for use with USSTART for unattended operations. |
| USLOGCLR.EXE | FDR/UPSTREAM log and report maintenance program. The FDR/UPSTREAM logs and reports can grow indefinitely, so a program has been provided which will shrink it down, based on a specified number of days. |
| USMODIFY.EXE | Allows command line modification of a number of FDR/UPSTREAM parameter and configuration files. |
| USSER | The default personalization file. This file must be named US.SER in the UPSTREAM directory or the WORKPATH for UPSTREAM to run. |
| USSTART.EXE | FDR/UPSTREAM unattended operations program. This program operates as a terminate-and-stay-resident program. It waits for a specified time and then starts FDR/UPSTREAM. |

**Table 7-3**
**FDR/UPSTREAM Configuration Diskette Contents**

| File Name | Description |
|---|---|
| AUTOINST.BAT | Sample installation job for the FDR/UPSTREAM auto-update facility. |
| AUTOINST.DAT | Sample installation parameter file for the FDR/UPSTREAM auto-update facility. |
| ULTINST.BAT | Sample installation job for the FDR/UPSTREAM ULTra auto-update facility. |
| ULTDOS.DAT | Sample parameter file for automatically updating FDR/UPSTREAM DOS ULTra machines. |
| ULTNT.DAT | Sample parameter file for automatically updating FDR/UPSTREAM Windows NT ULTra machines. |
| ULTOS2.DAT | Sample parameter file for automatically updating FDR/UPSTREAM OS/2 ULTra machines. |
| ULTW95.DAT | Sample parameter file for automatically updating FDR/UPSTREAM Windows 95 machines. |
| ULTWIN.DAT | Sample parameter file for automatically updating FDR/UPSTREAM Windows 3.1 machines. |
| USATOE.TAB | Sample ASCII-to-EBCDIC conversion table. |
| USETOA.TAB | Sample EBCDIC-to-ASCII conversion table. |

**Table 7-4**
**FDR/UPSTREAM Samples Directory**

| File Name | Description |
|---|---|
| USLOGCLR.NLM | (NetWare Directory Services) Clears the USNDS.LOG file. See the Novell chapter for more information. |
| USNDS.NLM | (NetWare Directory Services) Provides access to NDS information for attached FDR/UPSTREAM workstations. See the Novell chapter for more information. |
| USSETUP.NLM | (NetWare Directory Services) Installs the required NLMs on a server. See the Novell chapter for more information. |

**Table 7-5**
**FDR/UPSTREAM NetWare Program Diskette**

| File Name | Description |
|---|---|
| LANCOPY.EXE | Allows PC-to-PC file copies and directory listings across the LAN to PCs which have ULTRA.EXE installed. |

| File Name | Description |
|---|---|
| ULTRAD.EXE | Allows remote file access across a NetBIOS and IPX/SPX LANs. |
| UPSTREAM.MSG | UPSTREAM predefined message file. |
| USLOGCLR.EXE | USIPX.LOG (or UPSTREAM.LOG) log maintenance (shrinking) program. |

**Table 7-6**
**FDR/UPSTREAM ULTra Workstation Diskette Contents**

| File Name | Description |
|---|---|
| <Driver>.EXE | This is a device driver to support a particular device type. The disk you receive may have one or more files. Some examples include 8022.EXE for Token-Ring or Ethernet; SDLCL.EXE for IBM SDLC cards. You may also receive an autodialer program (NSAAT.EXE) for async or AutoSync links or coax microcode for IRMA 2 cards. If you have any questions about these programs call Innovation Data Processing or NetSoft. |
| APPC.BAT | Batch file to start AdaptAPPC. |
| APPCCFG.EXE | APPC configurator. This program allows you to set up SNA parameters to allow AdaptAPPC to operate. See later in this chapter for a description of the use of this program. |
| APPCUNLD.BAT | Batch file to unload AdaptAPPC. |
| MPX4X.EXE | APPC “emulator”. This program provides all the SNA and APPC functions required to allow APPC applications (such as FDR/UPSTREAM to operate). |
| NSACONF6.CFG | The default configuration file for AdaptAPPC for your link type. |
| NSAMGR.EXE | Interrupt arbiter, required to run all AdaptSNA products. |
| NSAUNLD.EXE | Unloads the AdaptAPPC software. You need to add the /p command line parameter to unload APPC. |
| READNAME.EXE | Allows you to inquire the version numbers of the various AdaptAPPC executables. |
| TRACE.EXE | Allows you to generate a link level trace of SNA line traffic. The APPC.BAT file has a call to this REM’ed out so you can see how to use it. It writes to a file called TRACE.LOG. |

**Table 7-7**
**NetSoft AdaptAPPC Diskette (802.2 example)**

# 7.4. Configuration Overview

Configuration of FDR/UPSTREAM to communicate to the host is very different depending upon whether you are running SNA/APPC or TCP/IP.

### 7.4.1. Configuring for TCP/IP

Once you have installed the TCP/IP software and tested the connectivity to the host (via a standard package such as FTP), you are immediately ready to proceed to the FDR/UPSTREAM configuration. Go to page 7-34 to perform this configuration.

**NOTE: The default FDR/UPSTREAM MVS TCP/IP port address is now 1972.**

### 7.4.2. Configuration Overview

The process of configuring FDR/UPSTREAM for APPC involves several issues:

- Configuring VTAM
- Configuring FDR/UPSTREAM MVS
- Configuring the APPC software
- Configuring FDR/UPSTREAM PC

Careful planning is essential in configuring SNA software. You should review the entire process before beginning and fill out the worksheets for each section or have the information available.

**If you are only configuring FDR/UPSTREAM for a workstation using the FDR/UPSTREAM ULTra facility, proceed to the ULTra chapter..**

# 7.5. Pre-PC Configuration Issues

### 7.5.1. Configuring Host Software

IBM Mainframes have three different types of devices which allow SNA communication to PCs: 3174 cluster controllers, 37xx front end processors and 3172s. PCs can also connect to gateways which talk to one of these three types of devices. Innovation Data Processing recommends that due to the performance requirements of FDR/UPSTREAM, you NOT use a gateway. Innovation Data Processing can help you obtain software which will allow you to connect directly to one of these devices. However, if you do not have a choice, FDR/UPSTREAM works with several types of gateways.

You should have your VTAM system's programmer configure the VTAM environment, or modify the existing environment if it is insufficient for FDR/UPSTREAM (i.e. a mode definition that doesn't support LU 6.2). Worksheet 6-1 should be filled out by this person or the information should be obtained from this person. An NCP regeneration is rarely required.

See the FDR/UPSTREAM MVS manual for suggestions on configuring VTAM.

**NOTE: The host mode entry determines values like RU size. The host APPL definition determines the pacing count. These settings have a significant affect on performance. We recommend that you define a mode entry that sets the RU size at 4096 or use USTMODE which is provided as a sample and a FDR/UPSTREAM APPL definition that sets pacing to 8.**

**NOTE: It is recommended that you use dependent LUs (non-zero LU Local Addresses) for UPSTREAM PCs. Independent LUs tend to be more difficult to configure and offer few benefits.**

| Name | Description | Your Value |
|---|---|---|
| SNA Network Name | The name of the SNA network to which you belong. This is optional in many environments. | |
| Partner LU Name | The APPLID of UPSTREAM on the host. Supplied sample: **UPSTREAM**. | |
| LU Number | The LU local address. Most users will use 2. | |
| Mode Name | The mode table entry name. The supplied sample: **USTMODE**. | |
| Receive Pacing Size | A number from 1 to 63 of the number of RUs to be received in succession before a low-level acknowledgment. NEVER use 0. We recommend 8 initially. | |
| Controller LAA (Token-Ring only) | The locally administered address of the 3174, 3172 or the 37xx front end. This is a 12 hex digit number usually starting with 4. | |
| PC LAA (Token-Ring only) | The locally administered address of the PC. This value must be unique on the ring and for 3174 connections, must be defined in the controller. | |
| LU Name (Independent LUs only) | The name of the PC LU to be used. Not required for users using a cluster controller or a dependent LU. | |
| IDBLK (37xx or 3172 only) | The 3 hex digit block number of the XID. Required to be 050 for APPC/PC. | |
| IDNUM (37xx or 3172 only) | The 5 hex digit number of the XID. | |

**Worksheet 6-1**
**VTAM definitions for a FDR/UPSTREAM PC**

### 7.5.2. Token-Ring Considerations

If you have access to a direct Token-Ring connection to the host, it is **strongly** recommended that you use it for FDR/UPSTREAM. You will need IBM's APPC/PC, IBM's Networking Services/DOS or NetSoft's AdaptSNA to do it, and some configuration on the host hardware. Innovation Data Processing can help you in obtaining this software.

If you are using a 37xx front end or a 3172, the configuration is entirely in VTAM. If you are using a 3174 controller, then you will need a device configuration for the PC. Worksheet 6-2 should be filled out by the host personnel who configures or maintains the 3174 cluster controller.

| Name | Description | Your Value |
|---|---|---|
| PC LAA | The locally administered address of the PC as known to the controller. You must modify the PC's CONFIG.SYS entry for DXMC0MOD.SYS to use this value for your PC. | |
| Transmit I-Frame Size | This is 9 bytes greater than the maximum RU size you can support. We recommend that this be 1033 or greater. | |
| SAP | Service Access Point. Should always be 4. | |

**Worksheet 6-2**
**3174-to-FDR/UPSTREAM PC Configuration**

**The IBM Local Area Network Support Program is required for all Token-Ring or direct (non-LAN gateway) Ethernet connections. This program comes with many IBM products including LAN server and NS/DOS or is available directly from IBM. This software includes the DXMA0MOD.SYS and DXMT0MOD.SYS device drivers. DXMT0MOD.SYS (NetBIOS) opens the token-ring card. If you are using DXMT0MOD.SYS (it is not required for UPSTREAM), specify the frame size with the DS= command to be 9 bytes larger than the RU size.**

**NOTE: There are two locally administered addresses used: the address of the controller and the address of the PC. You enter the address of the controller in the FDR/UPSTREAM configurator (or APPCCFG for AdaptSNA users). You enter the address of the PC in the cluster controller definition and in the PC's CONFIG.SYS on the DXMC0MOD.SYS device driver line or the PROTOCOL.INI file if you are using DXME0MOD.SYS.**

**NOTE: If you are using a Novell or Banyan LAN, you must use an LAN workstation driver generated to support the Local Area Network Support Program (TOKREUI). Do NOT use the standard Token-Ring driver.**

### 7.5.3. Novell Considerations

If you are using FDR/UPSTREAM on a DOS workstation connected to a Novell file server you should plan on using the ODI or VLM drivers (VLM is recommended). The older IPX/NETX drivers will not operate properly when FDR/UPSTREAM accesses some of the more sophisticated Novell facilities.

If you will be using an APPC which connects directly to a host device (3174, 37xx or 3172), you must use the LANSUP driver, not the TOKEN or OEM LAN vendor driver. APPCs which talk to the host must have the 802.2 interface available, and the other drivers will interfere with this access.

You must also modify your NET.CFG. You should have a block similar to the following in it:

```
LINK DRIVER LANSUP
   MAX FRAME SIZE 4208
   LINK STATIONS 6
   SAPS 2
```

The MAX FRAME SIZE command allows the best performance available on Token-Ring. Several APPCs require additional Link Stations and SAPs which are determined when the adapter is opened; since Novell is opening the adapter, these values must be defined here.

### 7.5.4. FDR/UPSTREAM MVS Issues

You will need to have installed FDR/UPSTREAM MVS before beginning the configuration of a FDR/UPSTREAM PC node. The FDR/UPSTREAM MVS configuration defines storage and security attributes to be used in storing backups. The configuration for each PC on FDR/UPSTREAM MVS, including backup profiles, security, etc. should be complete before beginning the PC configuration.

Worksheet 6-3 contains the information that you will need for FDR/UPSTREAM PC before you can begin testing. The automation chapter includes expanded worksheets to help you build your production environment.

| Name | Description | Your Value(s) |
|---|---|---|
| Backup Profile | An 8 character identifier used as a key for the storage of a group of backups. | |
| User ID & Password | The user ID and password required to access the requested backup profile (may not be required). | |
| Sequential Tape backups allowed | Whether sequential tape backups are permitted. You may also want to ask about migration procedures. | |
| Sequential Disk backups allowed | Whether sequential disk backups are permitted. You may also want to ask about migration procedures. | |

**Worksheet 6-3**
**FDR/UPSTREAM MVS Configuration for Testing**

See the FDR/UPSTREAM MVS manual for assistance on setting up a FDR/UPSTREAM PC user.

# 7.6. Configuring the APPC Software

This guide will walk you through the steps for configuring the APPC software for almost all FDR/UPSTREAM capable APPC environments. Even if you have configured APPC before, you should at least skim the section appropriate to you.

As most of the rest of this chapter is related to configuring software for other environments than yours, you will need to skip around a bit. Table 6-1 shows which section you should go to for configuring the APPC software, table 6-2 shows you which sections EVERYONE should read, as they involve configuration of FDR/UPSTREAM.

| Section | Page | Device | Vendor | Description |
|---|---|---|---|---|
| 7.7., 7.7. | 7-17 | IBM SDLC or Token-ring cards | IBM (APPC/PC) | PCs using IBM SDLC or IBM Token-ring cards stand-alone or through an IBM OS/2 gateway who have IBM's APPC/PC. |
| 7.8., 7.8. | 7-20 | IBM Token-ring, SDLC, Twinax, or coax cards. Ethernet or async. | IBM (NS/DOS) | PC's using IBM Token-ring, SDLC, coax or Twinax cards, Ethernet (through a 3172) or async (through an AS/400. You cannot go through a 3174 unless you have config support C installed and defined independent LUs. |
| 7.9., 7.9. | 7-23 | Token-ring (and many others) | NetSoft | Use for direct connect 3174 or 37xx stand-alone users who have the AdaptSNA option. |
| 7.10., 7.10. | 7-31 | Eicon cards | Eicon Technology | PCs using an Eicon card (either stand-alone or gateway). |

**Table 6-1**
**APPC Software Configuration Sections (Read one)**

| Section | Page | Name | Description |
|---|---|---|---|
| 7.11., 7.11. | 7-34 | PC FDR/UPSTREAM Configuration | Describes how to configure FDR/UPSTREAM for operation with the SNA software. |

**Table 6-2**
**FDR/UPSTREAM Configuration (Required for all APPCs)**

# 7.7. IBM APPC/PC® Configuration

This section discusses configuration of IBM APPC/PC® using Token-Ring or SDLC as your SNA connection for FDR/UPSTREAM.

As for all configurations, you should first configure VTAM, the 3174 (if installed) and FDR/UPSTREAM, and have completed Worksheets 6-1 and 6-2.

You install APPC/PC by copying the diskette(s) to the FDR/UPSTREAM directory.

**APPC/PC requires a corrective service diskette or version 1.12. Innovation Data Processing may include this diskette in the shipment to you. If so, install APPC/PC and copy the contents of the corrective service diskette over the APPC/PC files.**

You will need to calculate a number to be used for the amount of workspace that APPC/PC will need for its operations. As this formula is quite complex, a program is provided to calculate this number. From the directory where you installed FDR/UPSTREAM, run:

```
C:\UPSTREAM> WORKSPC
```

In this program, you will enter your link type (‘S’ for SDLC or ‘T’ for Token-Ring), your largest pacing count (the default is 8), and your RU size (the default is 1024). It will calculate a 5 digit number, round it up and give you a 2 digit number to use as the workspace size in the APPC/PC configuration program. Note this value for later entry.

From the directory where you installed APPC/PC, enter the configuration program:

```
C:\UPSTREAM> APPCONF
```

The IBM banner is displayed on entry. Press [ENTER] to see the CONFIGURATION TASKS menu (see figure 7-).

```
                    CONFIGURATION TASKS

Select the ID for one of these activities; press ENTER.

ID ACTIVITY

1  Define/Update System Parameters
2  Define/Update IBM Token Ring DLC Parameters
3  Define/Update SDLC DLC Parameters

Select ===>

                    F1=Help   F10=Quit
```

**Figure 7-2**
**APPC/PC Configuration Tasks Menu**

Enter 1 to go to the Define/Update System Parameters screen (see figure 7-).

```
                    DEFINE/UPDATE SYSTEM PARAMETERS

Type in system parameters; press ENTER to save changes.

ITEM                          CHOICE          POSSIBLE CHOICES

Machine Type................  0000            0 - 9999
Machine Serial Number.......  0000000         7 alphanumeric uppercase char
Node ID.....................  00000           1 - 5 hex digits
Translation Table File Name.                  DOS filename
DOS Control Break...........  1               1 = Yes  2 = No
Workspace Size..............  035             18 - 400 Kbytes

                 F1=Help   F10=Quit   ENTER=Save
```

**Figure 7-3**
**APPC/PC Define/Update System Parameters**

The fields used by FDR/UPSTREAM include:

- **Node ID**: This is the IDNUM portion of the XID. The IDBLK portion is fixed at 050. Enter 5 hex digits. Not used for Token-Ring connections to 3174 cluster controllers.

- **Workspace Size**: Enter the value calculated in the WORKSPC program you ran earlier.

When you have completed modifications to this screen, press [ENTER] to save your changes and [F10] to return to the menu.

### 7.7.1. APPC/PC Token-Ring Configuration

From the Configuration Tasks menu, enter 2 for Token-Ring configuration (see Figure 7-).

```
                    DEFINE/UPDATE IBM TOKEN RING DLC PARAMETERS

Type in IBM Token Ring DLC parameters; press ENTER to save changes.

ITEM                                CHOICE          POSSIBLE CHOICES

DLC Name......................... ITRN
Load Option...................... 1               1 = Yes  2 = No
% Incoming Calls................ 050             0 - 100
Congestion Tolerance............ 080             0 - 100
Receive Window Count............ 1               1 - 8
Send Window Count............... 2               1 - 8
Maximum Number of Link Stations.. 06              1 - 32
Local Node Address............... 000000000000    12 hex digits all 0's or
                                                  starting with 4,5,6, or 7
Maximum RU Size................. 0256            256 - 1920
Adapter Number.................. 0               0 = Primary  1 = Secondary
Free Unused Link................ 2               1 = Link Take-down
                                                  2 = No Link Take-down



                     F1=Help   F10=Quit   ENTER=Save
```

**Figure 7-4**
**APPC/PC Token-Ring Parameters**

The parameters used by FDR/UPSTREAM include:

- **Load Option**: Always set to 1 for Yes (load Token-Ring support).
- **Maximum Number of Link Stations**: This number must be 6.
- **Maximum RU Size**: RU size is one of the most important performance tuning parameters for Token-Ring. We recommend an RU size of at least 1024. Remember that if you change this value, then you must change the VTAM and/or controller definitions, the workspace size and the FDR/UPSTREAM configuration minimum and maximum RU sizes to match.

When you have completed your changes, press [ENTER] to save them, [F10] to return to the main menu, and [F10] to save the changes to disk and leave the program.

You have now completed the SNA configuration. Proceed to section 7.11., 7.11. (page 7-34) to configure FDR/UPSTREAM.

# 7.8. IBM Networking Services/DOS® Configuration

This section discusses configuration of IBM's Networking Services/DOS (NS/DOS) using Token-ring as your SNA hardware for FDR/UPSTREAM. For information on configuring other hardware configurations, see the IBM Networking Services/DOS User's Guide and Reference (included with the product). It is recommended that you first read this section and only make the changes in the enclosed sample files that are required for your hardware configuration.

Be sure that you have all hardware installed and operational before attempting this configuration.

NS/DOS configuration requires use of a text editor (EDLIN, EDIT, BRIEF, SPF/PC, etc.) to modify its configuration files. Be sure that if you are using a word processor, that it is running in non-document mode (plain-text).

**Note: For correct operation of FDR/UPSTREAM with NS/DOS v1.0 you must have IBM Corrective Service Diskette #2 installed. Later versions may also require a Corrective Service Diskette. Contact Innovation Data Processing if you have problems getting this disk.**

### 7.8.1. Installing NS/DOS

NS/DOS includes the IBM Local Area Network Support Program and the IBM 3174 Workstation Communications Support Program as well as the NS/DOS program diskettes.

If you are using Token-Ring (or Ethernet through a 3172) you must have the Local Area Network Support Program installed first. You can determine if you already have it installed by checking your CONFIG.SYS and seeing if the device drivers DXMA0MOD.SYS and DXMC0MOD.SYS (or DXMG0MOD.SYS for Ethernet or DXME0MOD.SYS for IBM Adapter II cards) are loaded. If not, you need to install it. Be sure to regenerate (if necessary) any Novell or Banyan drivers which access the LAN card to support the LAN support program.

If you plan to use NS/DOS with coax, you must be sure to install the 3174 Workstation Peer Communication Support Program.

When you are ready to install NS/DOS, insert Diskette 1 in your floppy drive and run:

```
A:\> INSTALL
```

We recommend the following NS/DOS installation options:

- Program files only. These are all that are required for operation with FDR/UPSTREAM.
- You install NS/DOS on the C:\NSD directory (as suggested by the defaults).
- That you NOT have the program modify your AUTOEXEC.BAT. If you boot from a floppy or are short of environment space you may run into problems.

### 7.8.2. Modifying your AUTOEXEC.BAT

You should modify your AUTOEXEC.BAT to include the directory C:\NSD in your path statement (separated from other directories by a semicolon).

### 7.8.3. Copying the Sample NS/DOS Configuration Files

FDR/UPSTREAM includes sample files to help you through the configuration for Token-Ring. Copy the following files from the UPSTREAM directory to the NS/DOS directory (C:\UPSTREAM to C:\NSD):

- *.NSD
- APPC.BAT
- APPCUNLD.BAT

Change your default directory to the NS/DOS directory (CD\NSD) to modify the sample configuration files.

### 7.8.4. Modifying CONFIG.NSD

CONFIG.NSD defines and links SNA and physical addresses. The sample CONFIG.NSD is:

```
NSDC LAN                            // IBM Token Ring Adapter
NSDN NETWORK_NAME.LU_NAME,XID       // NetID.LUName of your LU,
                                    // Your 8 digit XID
TRLD UPSTREAM,PARTNER_TR_ADDRESS    // Link to LU and T/R address
```

Assuming the following parameters:

- SNA Network name: SNANET
- LU name: LOCALLU
- Partner LU name: UPSTREAM
- XID (IDBLK and IDNUM): 05D00001
- Partner Token-ring address: 400037450001

Your modified CONFIG.NSD would be:

```
NSDC LAN                            // IBM Token Ring Adapter
NSDN SNANET.LOCALLU,05D00001        // NetID.LUName of your LU,
                                    // Your 8 digit XID
TRLD UPSTREAM,400037450001          // Link to LU and token ring
                                       address
```

### 7.8.5. Other .NSD files

**SIDEINFO.NSD** is used to relate symbolic destination names to LU names, mode names and transaction programs. It is recommended that you use the same symbolic destination name as the partner LU name to avoid confusion. If you use the default partner LU name of UPSTREAM and the default mode name of USTMODE you do not need to modify this file. The transaction program name must be UPSTREAM (all upper case).

**MODE.NSD** defines performance enhancing parameters. You only have to modify this file if you wish to not use the default mode name (USTMODE), change the RU size, receive pacing, or whether you will be a contention winner or loser session. Note that if you change the RU size to increase performance you will need to add commands to your CONFIG.NSD:

- A TRMF command to increase the frame size (we recommend TRMF 4216 to allow a 4096 byte RU size).
- A NSDS command to increase the internal workspace size (we recommend starting with the maximum which is 64 and working downwards).

It is not recommended that you modify **DEFINETP.NSD** at this time.

APPC.BAT starts NS/DOS so that FDR/UPSTREAM can run. It activates the link, and connects to the defined partner LU using the defined mode name with a single session. If you are using the default partner LU (UPSTREAM) and the default mode name (USTMODE), you do not need to change this file.

It is not necessary to modify APPCUNLD.BAT.

You have now completed the SNA configuration. Change back to the FDR/UPSTREAM directory (CD\UPSTREAM) and proceed to section 7.11., 7.11. (page 3-34) to configure FDR/UPSTREAM.

# 7.9. AdaptSNA Token-ring (802.2) Configuration

This section discusses configuration of AdaptSNA using Token-ring as your SNA hardware for FDR/UPSTREAM.

NetSoft AdaptSNA supports an almost limitless list of host connectivity options including Token-Ring, SDLC, coax, AutoSync, Async, and more. If you are using AdaptSNA for some other type of connection, read this section and then see page 7-28 for SDLC issues, page 7-29 for coax issues, and page 7-30 for AutoSync issues. Be sure that you have all hardware installed and operational before attempting this configuration.

You must run the AdaptSNA configurator to configure the APPC software for communications. To run this program go to the drive and directory where the AdaptSNA software was loaded (usually C:\UPSTREAM) and run:

```
C:\UPSTREAM>APPCCFG
```

You will see figure 7-. Most users will press **[ENTER]** to use the default file name.

```
                    AdaptSNA LU6.2/APPC CONFIGURATOR 4.30
                          READ CONFIGURATION FILE


Use current parameter values from 'NSACONF6.CFG'?          YES   NO









(Make choice with arrow keys - then hit RETURN)

  ESC = Quit without saving changes
```

**Figure 7-5**
**NetSoft - Configuration File Name**

The next screen is acceptance of the link type specified in the file (see figure 7-). Most users will press **[ENTER]** to proceed to the next screen.

```
                    AdaptSNA LU6.2/APPC CONFIGURATOR 4.30

                              PHYSICAL LINK


Current physical link is 802.2
Do you want to change it? YES   NO









(Make choice with arrow keys - then hit RETURN)

  ESC = Quit without saving changes
```

**Figure 7-7**
**NetSoft - Accept Token-Ring Link Type**

The first entry screen that you enter is the PU (Physical Unit) Main Menu. Here you specify hardware specific fields (see figure 7-).

```
                    AdaptSNA LU6.2/APPC CONFIGURATOR 4.30                 802.2

                              PU MAIN MENU


LOCAL PU ADDRESS (SSAP) :        [04]              (Multiples of 04 only)
DEST ADAPTER NODE ADDR(LOCADD) : 400000000000      (Non zero only)
STATION ID (IDNUM) :             00000             (Range 00000 thru FFFFF)
DEVICE TYPE (IDBLK) :            050               (Range 000 thru FFF)
REMOTE DEVICE (PEER):            NO   YES


  F1 = PU Main Menu                        F8 = Miscellaneous Configuration
  F2 = Logical Unit Assignment Menu        F9 = Save changes in Config. File
                                           F10= Hardware Configuration
  ESC = Quit without saving changes
```

**Figure 7-6**
**NetSoft - PU Main Menu**

The meanings of the fields are:

- **LOCAL PU ADDRESS (SSAP):** The source service access point for the Token-ring card. This is only necessary if you have more than one SNA device sharing the Token-ring card. This value must be a multiple of 4; the default is **4**.

- **DEST ADAPTER NODE ADDR (LOCADD):** The locally administered address (LAA) or universally administered address (UAA) of the device that you are connecting to or through that is on the same Token-ring as your PC.

This is a 12 digit hex number beginning with a 4 for a LAA, and 1 for a UAA; the default is 400000000000 (many sites code a single cluster controller as 400000003174).

- **STATION ID (IDNUM):** The IDNUM segment of the XID. This is a 5 digit hex number; the default is 00000. Not used for cluster controller connections.

- **DEVICE TYPE (IDBLK):** The IDBLK segment of the XID. This is a 3 digit hex number; the default is 050. Not used for cluster controller connections.

- **REMOTE DEVICE (PEER):** You change this value by using the cursor keys. YES is usually used for independent LUs, NO is usually used for dependent LUs (which include connections through cluster controllers). The default is **NO**.

When you are finished with this screen, press the **F2** key to go to the Logical Unit Assignment Menu (figure 7-).

```
                    AdaptSNA LU6.2/APPC CONFIGURATOR 4.30                    802.2

                        LOGICAL UNIT ASSIGNMENT MENU

LU TYPE:                          6.2
LU ADDRESS (01 thru FF):          [01]
CONTROL INFORMATION CODE SET:     EBCDIC   ASCII
LOCAL LU NAME:
REMOTE (PARTNER) LU NAME:
MODE NAME:
USER DATA:
RECEIVE PACING_SIZE:              1  2  3  4  5  6  7  8  9  10
ACTION:                           INITIATE   ACCEPT

EMULATOR LOADED AS:               TRANSIENT   RESIDENT(TSR)
PROGRAM CONTROL:                  YES


  F1 = PU Main Menu                       F8 = Miscellaneous Configuration
  F2 = Logical Unit Assignment Menu       F9 = Save changes in Config. File
                                          F10= Hardware Configuration
  ESC = Quit without saving changes
```

**Figure 7-8**
**NetSoft - LU Assignment Menu**

Many of the fields in the Logical Unit Assignment Menu are not used. The fields that are used include:

- **LU ADDRESS (01 thru FF):** This is a hex value indicating the LU Local Address. You enter 00 for independent LUs, 01..FF for dependent LUs. The default is 01. Many sites use 02.

- **RECEIVE PACING_SIZE:** The partner's send pacing count. It is usually best for Token-ring to leave this value as large as possible (10) for best performance. The default is **10**.

- **ACTION**: You can specify that either the PC sends the BIND (INITIATE) or waits for the remote system to send the BIND (ACCEPT) by using the cursor keys. Most PC environments work best with INITIATE. The default is **INITIATE**.

- **EMULATOR LOADED AS:** The "as-delivered" batch files assume that the emulator is loaded TSR (RESIDENT). FDR/UPSTREAM can operate in either mode by merely customizing the startup batch files. The default is **RESIDENT (TSR)**.

When you are finished with this screen press **F8** to go to the Miscellaneous Configuration screen (see figure 7-).

```
                    AdaptSNA LU6.2/APPC CONFIGURATOR 4.30                  802.2

                         MISCELLANEOUS CONFIGURATION


ACTIVATE LINK TIMEOUT (in hex. seconds)  3020     (Range is 0 thru E38)

APPC VERB DEAD-MAN TIMER (in hex mins)   3000     (Range is 0 thru 3C)
DUMP KEY :                              NONE  ALT+BACKSPACE  ALT+TAB









  F1 = PU Main Menu                       F8 = Miscellaneous Configuration
  F2 = Logical Unit Assignment Menu       F9 = Save changes in Config. File
                                          F10= Hardware Configuration
  ESC = Quit without saving changes
```

**Figure 7-9**
**NetSoft - Miscellaneous Configuration**

Only the two timers are used in regular operations:

- **ACTIVATE LINK TIMEOUT (in hex seconds):** Specify the number of seconds (in hex), between when the link attempts to start and when it is given up. Note that the user loses control during this interval, so do not specify too large of a value. 0 indicates never time out. The default is **20** (32 seconds). You can specify smaller values (no lower than 10 decimal)with advanced FDR/UPSTREAM features to allow infinite retries while still allowing control to abort.

- **APPC VERB DEAD MAN TIMER (in hex mins):** Specify the number of minutes (in hex), when APPC will assume that the remote system or application is no longer functioning when the remote does not send anything or respond. 0 indicates that this will be ignored. The default is **0**.

When you are completed with this screen press **F10** for the HARDWARE CONFIGURATION screen (figure 7-).

```
                    AdaptSNA LU6.2/APPC CONFIGURATOR 4.30                 802.2

                          HARDWARE CONFIGURATION

IBM TOKEN RING ADAPTER :      Primary   Secondary


  F1 = PU Main Menu                       F8 = Miscellaneous Configuration
  F2 = Logical Unit Assignment Menu       F9 = Save changes in Config. File
                                          F10= Hardware Configuration
  ESC = Quit without saving changes
```

**Figure 7-11**
**NetSoft - Hardware Configuration**

This screen allows you to specify adapter specific information:

- **IBM TOKEN RING ADAPTER:** Use the cursor keys to specify either **PRIMARY** (default) or secondary Token-ring adapter.

This is the last screen for parameters. Press **F9** to save the parameters you have specified (see figure 7-).

```
                    AdaptSNA LU6.2/APPC CONFIGURATOR 4.30                 SDLC

                     SAVE CHANGES IN CONFIGURATION FILE

Use default file name(s)?     YES   NO

Default file name:  NSACONF6.CFG









(Make choice with arrow keys - then hit RETURN)

  ESC = Quit without saving changes
```

**Figure 7-10**
**NetSoft - Save Changes**

Press **[ENTER]** to save your changed parameters to the default file. Press [ESC] to leave the program without saving your changes.

You have now completed the SNA configuration. Proceed to section 7.11., 7.11. (page 3-34) to configure FDR/UPSTREAM.

### 7.9.1. Performance

You can dramatically increase the performance of AdaptSNA with 802.2 or coax connections by increasing the RU size. The RU size is determined when the device driver is loaded (8022I.EXE, COAXJ.EXE, etc.).

Increase this size by adding the following parameters to the command line of the device driver:

```
<driver name> tx_maxdata=<RU size + 9> rx_maxdata=<RU size + 9>
```

You must add 9 bytes to allow for the RH and TH in the frame.

Acceptable values are: 265, 521, 1033 and 1929. In most cases you should use 1929 once you have verified the connectivity.

An example APPC.BAT file for an 802.2 connection would be:

```
NSAMGR 80
rem TRACE /c
8022i tx_maxdata=1929 rx_maxdata=1929
APPCTP
MPX4X
```

When increasing the RU size in a Novell Token-Ring environment, verify that you have specified a MAX FRAME SIZE in your NET.CFG which is larger than the RU size specified to AdaptSNA.

### 7.9.2. SDLC Connection Issues

If you are using an SDLC card, the following table lists the adapters supported and the default base I/O addresses and interrupt numbers configured in the hardware configuration screen (F10).

| NetSoft Hardware Code | Board Name/Vendor | Default Base I/O Address | Default Interrupt Level |
|---|---|---|---|
| A | AST CC-432 | 300 | 3 |
| B | ABM PS-UC1A/US2A | 300 | 3 |
| C | NetSoft AdaptModem | 300 | 3 |
| E | Fortelink or IRMACOM II SDLC card | 380 | 3 |
| F | Frontier adCOM 2-M | 300 | 3 |
| H | Pathway pcPATH Adapter | 300 | 3 |
| I | IBM SDLC Adapter | 380 | 3 |
| K | IRMACom | 380 | 3 |

| NetSoft Hardware Code | Board Name/Vendor | Default Base I/O Address | Default Interrupt Level |
|---|---|---|---|
| L | IBM Multiprotocol Adapter (SDLC for PS/2s) and IBM SDLC Adapter (full duplex) | 380 | 3 |
| M | Emulex/Persyst MCP or MPC 2 | 300 | 3 |
| N | Intelligent Technologies 330 Adapter Board | 300 | 3 |
| P | NetSoft AdaptCOM | 300 | 3 |
| Q | Barr/HASP Sync adapter board | 280 | 2 |
| S | SSI PC/5251 Adapter card | 300 | 3 |
| T | TECHLAND BLUELYNX remote circuit board | 300 | 3 |
| W | WANG PC multiport communications controller | 300 | 3 |

**SDLC Cards**

### 7.9.3. Coax Connection Issues

Coax cards allow PCs to connect coaxially to IBM 3174, 3274, or compatible cluster controllers for host connectivity. To use APPC coaxially, your controller must support DFT (distributed function terminal). All 3174s do support DFT inherently, and most 3274s as well. Even if you are using terminal emulation in CUT mode, you can probably use FDR/UPSTREAM in DFT mode.

**NOTE: FDR/UPSTREAM can only operate using SNA controllers. If you have problems getting APPC to run, verify with your system administrator that you are using a SNA controller.**

**NOTE: Several board types (notably IRMA 2 & 3 boards and PCOX boards) require microcode be loaded before use. IBM and several other cards do not require microcode.**

All hardware should be installed and connections tested with a DFT terminal emulator package before beginning FDR/UPSTREAM configuration.

The logical unit configuration screen in APPCCFG displays a port assignment instead of a LU Local Address. DFT coax allows up to 5 “terminals” on each coax connection. Here you specify whether you wish to use the primary definition or one of the secondary definitions. Usually you will use the primary definition. The default is primary.

There is no physical unit configuration screen.

The following table lists the adapters supported and the default base I/O addresses and interrupt numbers configured in the hardware configuration screen (F10).

| NetSoft Hardware Code | Board Name/Vendor | Default Base I/O Address | Default Interrupt Level |
|---|---|---|---|
| J | IBM 3278/79 Adapter<br>IBM 3270 Connection<br>Attachmate 3-N-1<br>IRMA 3 (IBM microcode) | 02D0 | 2 |
| O | Forte PJ coax adapter<br>IRMA 2 coax adapter | 0280 | 2 |
| R | IRMA 1 with IRMAX PROM | 0220 | 2 |
| U | CXI M1B | 0220 | 2 |
| V | AdaptCoax<br>PCOX (CXI) BASE | 0220 | 0 |

**Coax cards**

### 7.9.4. AutoSync Connection Issues

AutoSync® allows you to use a Hayes modem in a synchronous mode using a standard PC async (COM) port. Using a COM port on the PC, you can connect to any synchronous modems on a mainframe which support compatible standards. The following table shows a sample of the different configuration of PC and mainframe modems possible.

| PC Modem | Mainframe Modem |
|---|---|
| Hayes 2400 internal<br>Hayes 2400 (V-series)<br>Hayes 2400 external (serial #not beginning with A) | Hayes modem (2400 baud or greater)<br>Any modem supporting V.22 bis |
| Hayes 9600<br>Hayes 9600 (V-series)<br>Hayes Ultra 9600 | Hayes modem (2400 baud or greater)<br>Any modem supporting V.22 bis<br>Any modem supporting V.32. (except for AT&T 2296) |

**Hayes AutoSync® with FDR/UPSTREAM**

Baud rate between the PC and the modem must be specified. You must also specify a base I/O address and interrupt level. Use a base I/O address of 03f0 and interrupt 4 for COM1, and base I/O address of 04f0 and interrupt 3 for COM2.

# 7.10. Eicon Configuration

Eicon Technology offers very high performance SDLC, X.25 and Token-ring SNA connectivity with 3270 emulation and APPC services. This guide will discuss configuring FDR/UPSTREAM using EICON's APPC services

FDR/UPSTREAM will operate using the new EICON SNA Gateway and the older Access products. In both cases you will need to purchase the APPC option which allows IBM APPC/PC emulation.

EICON software works in both a LAN gateway and stand-alone mode. The configuration is similar for both.

You must have the EICON card installed and operational with SNA or X.25 before beginning configuration of FDR/UPSTREAM.

The EICON configuration for the APPC services that FDR/UPSTREAM requires consists of three steps:

- Configure the card. This part of the EICON configuration defines the parameters which relate to hardware definitions and protocols to load. You perform this configuration for each card only once and only on the gateway machine. See section 7.10.1., 7.10.1.
- Configure the link (PU). Here you define the SDLC, X.25 or Token-ring parameters needed to run the communications link. You perform this configuration for each physical unit and only on the gateway machine. See section 7.10.2., 7.10.2.
- Configure APPC. Define APPC specific parameters. You perform this configuration on each workstation. See section 7.10.3., 7.10.3.

### 7.10.1. Configure the card

Go to the EICON subdirectory on the machine where the EICON card is installed and run:

```
C:> ECCFG
```

Press F4 to go through the screens you have configured until you get to the SW Configuration screen. Here you must be sure that you protocol stack reads (for SDLC):

```
SDLC
SNA
APPC
```

For X.25:

```
HDLC
X.25
SNA
APPC
```

For Token-ring:

```
TIC
SNA
APPC
```

Press F4 until you get to the SNA Configuration screen. Here you should check to be sure that you have allocated enough Logical Units (LUs). Each FDR/UPSTREAM PC needs a Logical Unit for APPC. You may also choose to allocate a separate Physical Unit (PU) for APPC.

Press F4 to go to the APPC Configuration. The number of APPC buffers is determined by the following formula:

```
APPC Buffers = Number of Sessions x ((2 x Maximum Pacing Window)
+ 16)
```

FDR/UPSTREAM uses one session per workstation. Thus for a stand-alone PC with a pacing window of 8, you would allocate 32 buffers (1 x ((2 x 8) + 16).

FDR/UPSTREAM uses one partner LU, one mode, one conversation and one transaction program for each workstation. Thus if you have a LAN gateway with 5 workstations, each of the following fields must be at least 5.

- Maximum Number of Remote (Partner) Logical Units
- Maximum Number of Mode Entries
- Maximum Number of Conversations
- Maximum Number of Transaction Programs

After you have completed the configuration press F1 to save your changed configuration and F10 followed by F1 to quit.

### 7.10.2. Configure the link (PU)

Go to the EICON subdirectory on the machine where the EICON card is installed and run:

```
C:> SNA CONFIG
```

You can use an existing physical unit by using the cursor keys to select the physical unit to modify and pressing F3. You can also create a new physical unit by pressing F2.

On the first screen you enter you need to be sure that you have enough logical units for APPC in the Maximum Number of Logical Units field.

You will also need to define some of the logical units as APPC logical units. If the logical units you wish to assign are numbered from 02 to 33 (decimal), then move the cursor to the logical unit number and use the space bar to select their value as O for Other. If the logical unit you wish to modify is not in the above range, press the F4 key to move to one of the two screens which follow this one allowing you to specify logical units numbers up to 254. Note that 01 is on the SECOND of the three screens.

Press F4 again. If you will be using independent logical units make sure this value is Y. If you are unsure, make this value Y.

When you are finished, press F1 to save your changes and then F10 followed by F1 to quit.

### 7.10.3. Configure APPC

Go to the EICON subdirectory on each workstation and run:

```
C:> IBMAPPCF
```

The meanings of the fields are:

- SDLC Local Address: Enter your LU local address as two hex digits. Specify 01..FE for dependent LUs; specify 00.
- IDBLK,IDNUM values to be used in XID frames: Specify the entire XID as 8 hex digits.
- Line Type: Specify 0 if you are using a leased line; specify 1 if you are using this line as remotely dialed or controlled; specify 2 if your computer or the gateway is dialing or controlling the line.
- Station Role: Determines the type of XID sent, and which side will control the polling. Use 0 for dependent LU host connections; use 2 for independent LU connections. 1 is rarely used for FDR/UPSTREAM.

When you have completed entering these parameters, press F1 to save and then F10 followed by F1 to exit.

Innovation Data Processing does not distribute EICON Technology's software. Therefore, there is not a batch file preconfigured to load EICON's APPC. We recommend that you create one and name it APPC.BAT. For gateway's and stand-alone machines, this file would look like:

```
Echo Start APPC for EICON gateway and stand-alone machines.
NABIOS
ECLOAD
REM The following line is for Token-Ring connections.
REM TICDLC START
SNA START [PUName]
IBMAPPC
```

**Example APPC.BAT for EICON SDLC Gateway and Stand-Alone**

For LAN workstations, the APPC.BAT file would look like:

```
Echo Start APPC for EICON LAN workstations.
NABIOS [SPX] RDR UserName
ECUSE Gateway Password
IBMAPPC
```

**Example APPC.BAT for EICON SDLC Gateway and Stand-Alone**

You have now completed the SNA configuration. Proceed to section 7.11., 7.11. (page 3-34) to configure FDR/UPSTREAM.

# 7.11. PC FDR/UPSTREAM Configuration

This section guides you in configuring FDR/UPSTREAM for your environment. Before using this section, you must have completed the SNA configuration (see one of the previous sections).

FDR/UPSTREAM PC uses a character based SAA compatible interface. If you have used Microsoft Windows® you will be familiar with this interface. There are several different modes you can be in:

- A dialog: A dialog box is a box inside the main screen where you may be able to enter values, and always contains one or more buttons. Move from field to field with the TAB key or by selecting the field with a mouse. Leave the screen by pressing one of the buttons (by moving the cursor to the button and pressing the space bar, or by double clicking the mouse on the button), or by pressing [ESC] (which is like moving to the CANCEL button and pressing it).
- The full screen: You get access to FDR/UPSTREAM functions by pressing the [ALT] key in conjunction with the first letter of one of the menu items at the top of the screen. This will pull down one of the menus and allow you to move the cursor with the cursor keys to the function you wish to perform; you [ENTER] to perform that function. You can also select a menu item by clicking the mouse on the menu. Finally, there are keyboard “accelerators” for many of the menu items. When you pull down the menu you can see what they are. You can access a function by just pressing the accelerator combination (like [CTRL]B for backup).

In most places in the program, you can get help about a field or a button by pressing the F1 (help) key. This provides context sensitive help about the field or button. If you need additional help, press the INDEX button to get access to helps about other fields or general subjects.

To abort what you are doing in a dialog, press the ESC key. To leave FDR/UPSTREAM from the full screen, pull down the File menu and select Exit, or press the [ALT]X accelerator.

If you feel confused with all these options, don’t worry. It works easier than it sounds - and you’ve probably seen it (or will soon). The beginning of this section will walk you slowly through the first screens so that you can get the feel of the interface.

To enter the FDR/UPSTREAM configurator, go to the UPSTREAM directory and run:

```
C:\UPSTREAM> USCFG
```

If this is the first time you’ve run the configurator, you will see an error message saying “No such file or directory”. This means that when FDR/UPSTREAM searched for the default configuration file it could not find it. Press the space bar to continue.

Figure - shows the Configuration screen. Here you enter the SNA parameters that are required by most SNA’s.

```
UPSTREAM Configurator
File  Action                                                    F1=Help
                          Configuration

 (X) SNA...
                         SNA Parameters
     Network Name........[        ]        Link type
     PU Name.............[        ]        ( ) Other
     Local LU Name.......[        ]        ( ) IBM APPC/PC SDLC..
     Partner LU Name.....[        ]        (X) IBM APPC/PC T/R..
     LU Local Address....[2  ]             ( ) Novell Custom..
     Mode Name...........[        ]

 ( ) TCP/IP...
                         TCP/IP Parameters
     TCP/IP Address......[                ]
     TCP/IP Host Port....[1972 ]   TCP/IP PC Port......[1972 ]

     Messages Time Out...[0    ]
     [ ] Use a Registered Name for Host Initiation
          Registered Name.....[                ]
          Trans. Interval.....[0    ]

       <    Ok     >                      <  Cancel   >
```

**Figure 7-12**
**DOS Configuration**

This screen is a dialog and it contains three types of entry fields:

- Radio buttons: These fields are X's or blanks surrounded by parentheses. You can only select one of these options. You do this by using the up or down arrow keys.
- Text entry: These fields are delimited with square brackets ([text]). You enter the appropriate text in these fields. You can use the TAB key or the up and down arrows to move between these fields. You can use the left or right arrows to edit the characters in the field. The INSERT key toggles between insert and overstrike modes.
- Push buttons: These are options which allow you to leave this dialog. You move between the fields with the TAB key, and select an option by pressing the SPACE bar. You can also directly press the button by pressing the ALT key and the first letter of the option; for example, to press the <Ok> button, press [ALT]O.

If you are using SNA to connect to the host, press the **SNA...** radio button and see the following section. If you are using TCP/IP press the **TCP/IP...** radio button and goto page 7-38.

Note that when you press the SNA... radio button the TCP/IP parameter fields are grayed and become unavailable; when you press the TCP/IP... radio button the SNA parameter fields are grayed and become unavailable.

### 7.11.1. Configuring for a SNA Host Connection

☐ **Network Name:** Specify up to 8 characters indicating the SNA network that this LU belongs to. This is rarely needed and can be left blank. The default is blank.

☐ **PU Name:** Specify up to 8 characters indicating the physical unit name as assigned by your network administrator. For many APPC implementations, this is not a required parameter. The default is blank.

☐ **Local LU Name:** Specify up to 8 characters indicating the logical unit name as assigned by your network administrator. Required for PU 2.1 connections, not used for all others.

- **Partner LU Name:** Specify up to 8 characters indicating the mainframe logical unit name as assigned by your mainframe administrator. This is usually the application name and is always required. The default on the mainframe is UPSTREAM.

- **LU Local Address:** Specify a number from 0 to 255 (decimal) indicating the logical unit local address as assigned by your network administrator. A value of 0 indicates independent logical units. Several APPC vendors use this value to mean different things. In some environments this value is not used (NS/DOS doesn't use it at all and AdaptSNA does not use FDR/UPSTREAM's LU Local Address), and in some environments a value of 255 means to take a LU from a pool. See your APPC manual for more information. The default is 2.

- **Mode Name:** Specify up to 8 characters indicating the Mode Name as assigned by your network administrator. This is always required. The default is **#INTER**; many users may also use **USTMODE**.

  From the Mode Name field you can press the TAB key to move to the link type field. You use the arrow keys to select a radio button (which is indicated by an X in parens).

- **Link Type:** Select Other in all cases except when you are using IBM's APPC/PC® or a Novell gateway. Select Novell Custom only if you are connecting to a non-PC device which is running NetWare for SAA (like a BusTech 3172 controller). Press the TAB key to move to the push buttons.

  If you are satisfied with these parameters, press the SPACE bar when the **<Ok>** button is highlighted (or the ENTER key from any field). If you are not and do not want to save what you have done, press the <Cancel> button or the ESC key in which case you will be asked if you wish to abandon your changes.

  If you pressed the <Ok> button and you selected IBM APPC/PC SDLC you will see the screen in figure -.

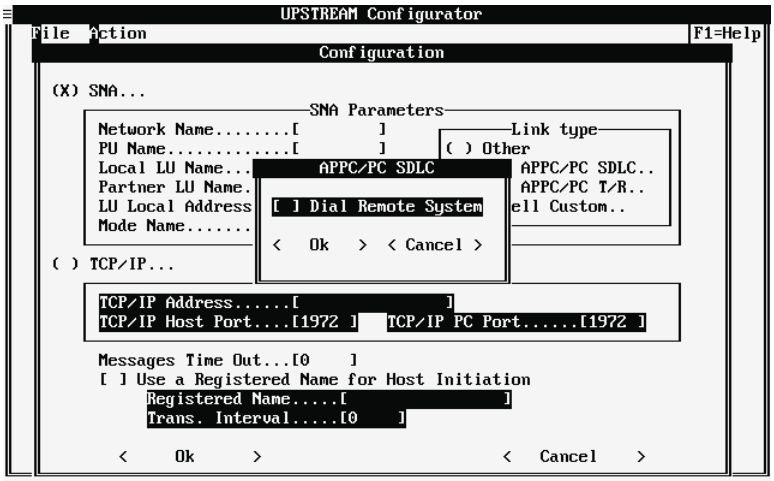



**Figure 7-13**
**DOS SDLC Configuration**

This screen consists of a single check box. You toggle a check box with the space bar.

- **Dial Remote System:** Check the box if you are using a switched link (dial) where you want to be prompted to dial the telephone. Otherwise, do not check the box. The default is not checked.

  If you selected APPC/PC® Token-ring, you will see figure -.

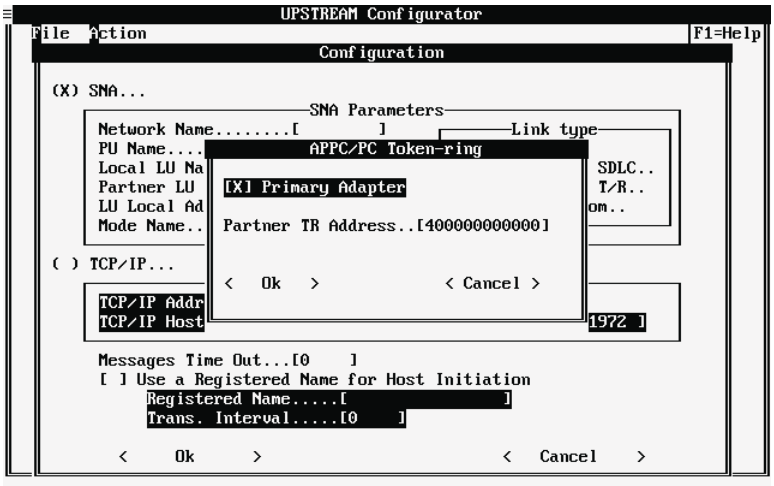



**Figure 7-14**
**DOS Token-Ring Configuration**

This dialog consists of a check box and a text edit.

- **Primary Adapter:** This is a check box. You toggle the box with the space bar and it is selected if there is an X between square brackets ([X]). Press this button if you are using the primary Token-ring adapter in your computer or you only have one adapter. Do not press this button if you are using the secondary adapter.

- **Partner TR Address:** Specify the 12 hex digit locally administered address (LAA), usually beginning with a 4, of the 3174 cluster controller, 37xx front-end processor or 3172. Common values are 400031740000 or 400037450000.

  If you selected Novell Custom (again only select this value for non-PC NetWare for SAA devices like a Bus-Tech 3172 controller), then you will see figure -.

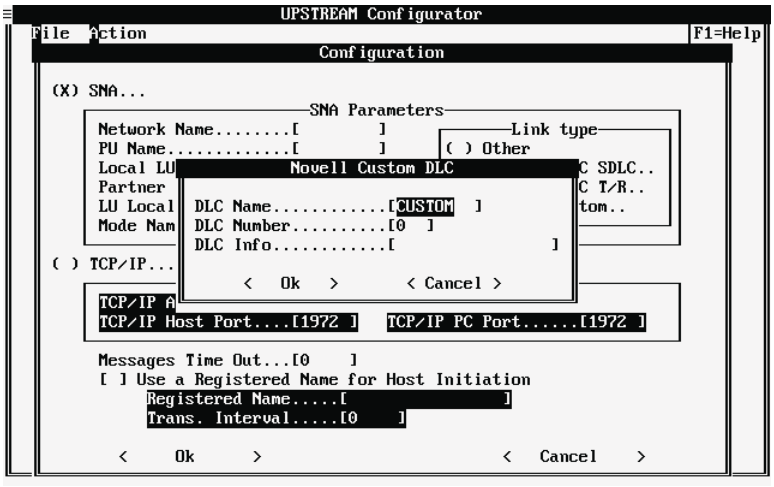



**Figure 7-15**
**DOS Custom Configuration**

The fields are:

- **DLC Name:** This is almost always CUSTOM **indicating** that it is a nonstandard NetWare for SAA configuration.

- **DLC Number:** Enter a number from 0 to 255 indicating which adapter on the NetWare for SAA device you will be using. The default is 0.

- **DLC Info:** Enter any additional information required to address the device. This is typically a channel or other identifier. This is usually required.

When you have completed the configuration dialog and press the **<Ok>** button goto page 7-38 to complete your configuration.

### 7.11.2. TCP/IP Configuration

The following are the TCP/IP specific parameters:

- **TCP/IP Address:** Enter the IP address of the host adapter that you will be connecting to. Enter the doted decimal notation. For example: 130.50.75.1. This field is required and there is no default.

- **TCP/IP Host Port:** Enter the IP port that FDR/UPSTREAM MVS was installed onto. Enter a decimal number. This field is required; in most cases you can accept the default of **1972**.

- **TCP/IP PC Port:** Enter a IP port that FDR/UPSTREAM on other computers can use to contact your PC. This field is optional; in most cases you can accept the default of **1972**.

When you have completed entering the TCP/IP specific parameters proceed to the next section to complete your configuration.

### 7.11.3. Completing your Configuration

There is one field common to both connectivity types on this dialog:

- **Messages Time Out:** FDR/UPSTREAM error messages should be configured in a production (unattended) mode to go away automatically after a given amount of time, or not be displayed at all. The default of 0 is what you should use at first (messages stay on the screen until you press the space bar). When you are in production or performance testing, specify a number for the number of seconds messages should be displayed. We recommend a value of 3 (seconds). -1 causes messages to not be displayed at all.

- **Use a Registered Name for Host Initiation:** Check this box if you wish to register a name with FDR/UPSTREAM MVS that host and other workstation/server requests can use to find your workstation. You must register a unique name to use the FDR/UPSTREAM auto-update facility. Note that checking this box may cause occasional errors (which can be ignored) if the workstation/server is updating its registration information when a remote request is received. You must enter a Registered Name if you check this box. The default is checked.

- **Registered Name:** Enter any name, unique within FDR/UPSTREAM MVS, that can be used to allow the host and other PCs to find your workstation. You can enter up to 16 characters which can include embedded spaces. Note that if there are duplicate names no errors are reported; the most recently registered name is used.

- **Transmission Interval:** Enter a number which indicates how often (in minutes) you will reregister your registration name with FDR/UPSTREAM MVS. Most users will use the default of **0**, which causes the registration to hap-

pen just once on UPSTREAM startup. The main reason to specify a non-zero value is if you are using TCP/IP with the DHCP facility enabled and your IP address may change.

When you pressed the **<Ok>** button, you will be asked for the file name to save these parameters to (see figure -).

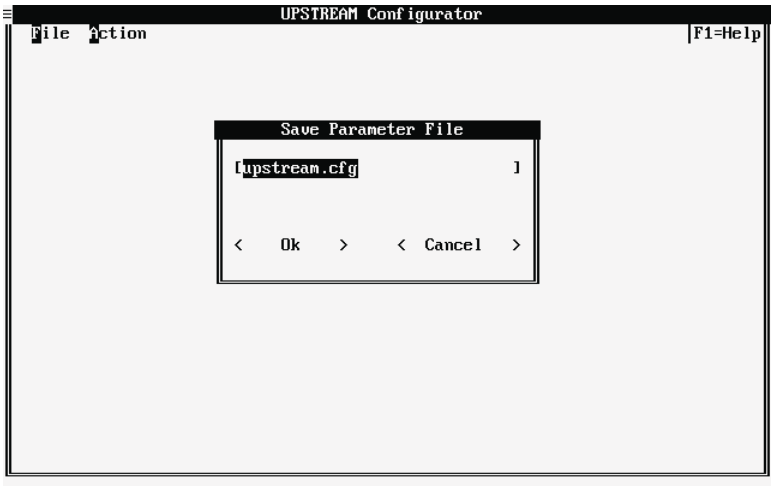



**Figure 7-16**
**DOS Save Configuration Parameters**

In this dialog box, you can type the name of the file you want to save your configuration parameters to. The default is UPSTREAM.CFG, but you can use any file name and any directory. If the file and path is too large for the edit field, it will scroll horizontally. Press the OK button to save the parameters to the file you specify, or press CANCEL to not save your parameters.

FDR/UPSTREAM is now configured for operation with most host connections. You can leave the configuration program by typing [ALT]X, or by pulling down the File menu and selecting Exit.

To begin using FDR/UPSTREAM proceed to chapter 8.

This page intentionally left blank

# 8 YOUR FIRST BACKUP

## 8.1. Overview

This chapter discusses running FDR/UPSTREAM to perform a simple backup. You must have already configured your host connection and run the FDR/UPSTREAM Configurator before you can perform a backup or restore.

You should view this sample backup as just a test. If you are using the Merge Backup facility, you should identify the drives or directories you wish to back up and use a single backup profile name consistently with the same drives or directories.

The steps you follow are:

- Load and start your communications software (if it is not loaded and started already).
- Run FDR/UPSTREAM. FDR/UPSTREAM will start the communications with FDR/UPSTREAM MVS and you can specify and run your backup.
- Exit FDR/UPSTREAM.

**NOTE: This section assumes that you are using a GUI or full-screen version of UPSTREAM. If you are not see page 8-12 for a description of the command line version of UPSTREAM.**

**NOTE: Once you enter FDR/UPSTREAM with communications activated, you are eligible to receive and service remote backup or restore requests.**

**NOTE: There will probably be several fields on your screen which are gray and unavailable. When FDR/UPSTREAM starts it detects if your PC is capable of doing the function set in the field. If not, then the field is grayed. If there are server fields you need, verify that the server software is correctly installed and activated.**

# 8.2. Running FDR/UPSTREAM

The FDR/UPSTREAM PC main program is named US.EXE. You use it in the same way that you use the configurator as it is also a SAA CUA program.

***(Windows, Windows NT/2000, OS/2 only)*** Start FDR/UPSTREAM by selecting the **FDR/UPSTREAM** icon from the FDR/UPSTREAM Program Group.

***(UNIX only)*** To start the FDR/UPSTREAM character mode program, change to the directory you loaded FDR/UPSTREAM into and run the **us** program.

Note that if you installed FDR/UPSTREAM into another directory, or you have multiple users using it, you should see the notes at the end of the Unix configuration chapter.

***(DOS only)*** Start FDR/UPSTREAM by changing to the directory you loaded FDR/UPSTREAM into and running:

```
C:\UPSTREAM> U
```

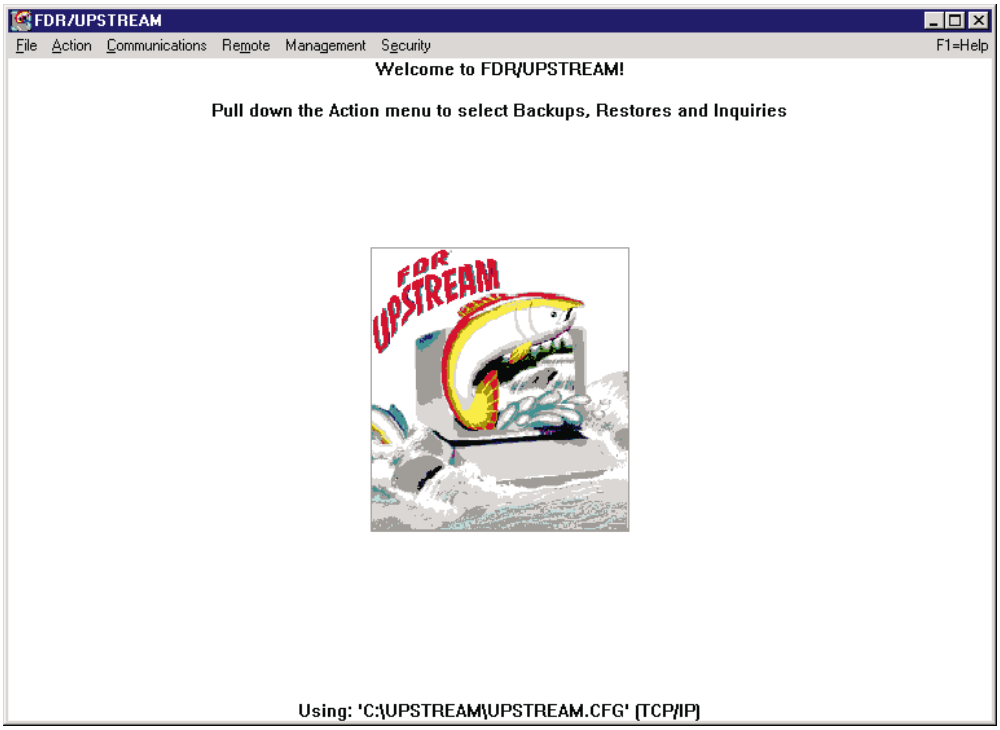
This will run the batch file U.BAT which was installed when you installed FDR/UPSTREAM. For APPC, U.BAT will load APPC, start FDR/UPSTREAM and upon termination, unload APPC. For TCP/IP, U.BAT will load the appropriate FDR/UPSTREAM TCP/IP parent program which will execute FDR/UPSTREAM.

If you requested workstation registration in the FDR/UPSTREAM Configurator, you will see a small window which displays the progress of registration to FDR/UPSTREAM MVS (in DOS, you must have active communications for registration to occur). If there are errors, see the Errors chapter for more information on problem determination and resolution.

**No Msgs Time Out Set** in the lower left corner of an error dialog indicates that you have not set a Messages Time Out in the FDR/UPSTREAM configurator (USCFG). Before running FDR/UPSTREAM in production, you will need to set it to a non-zero value. Once you have done this, there will be a counter on all error dialogs indicating the number of seconds before the message will automatically time out and a <Hold> button which allows you to suspend the timer.

The first screen you will see is the main FDR/UPSTREAM screen. As suggested by the text on the screen you access FDR/UPSTREAM functions with pull down menus. As for the configurator, you can pull down a menu by using the mouse, pressing the [ALT] key followed by the first letter of one of the menu options (like [ALT]A for Action), or by using an accelerator key (like [ALT]B for backup).

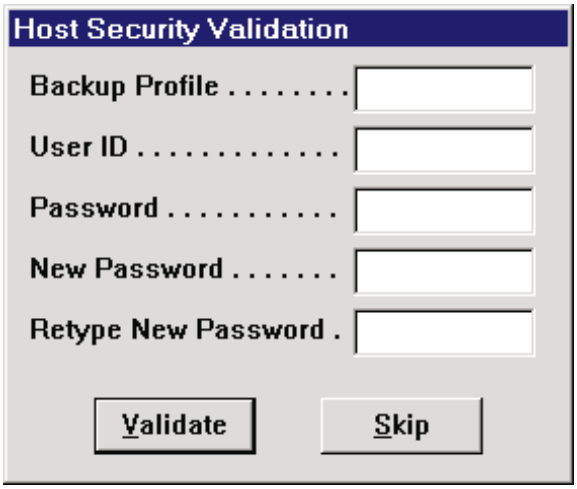
The bottom of the screen indicates the selected configuration file name (usually UPSTREAM.CFG) and the type of host connection (SNA or TCP/IP).




The action to be performed is a backup. Pull down the **Action** menu with the mouse or by pressing [ALT]A and press ENTER on **Backup**.

***(UNIX only)*** Most Unix terminal emulators when run with FDR/UPSTREAM should substitute [ESC] for [ALT]. Thus to pull down the Action menu, press the **[ESC]** key immediately followed by the letter **A**.

Whenever you first enter UPSTREAM and request just about any functions, you will be asked to enter your host security parameters.




The entry fields are:

- **Backup Profile:** All of the backups for a backup profile are stored and are accessible together, and each backup profile has certain attributes which are maintained on the mainframe. The mainframe administrator should assign backup profiles. If you are using the merge backup facility (as recommended) you will assign a single backup profile to each workstation or server. This field has a maximum of 8 characters and is required. Note that you can add to the duplicate file database by performing Keyed/Duplicates backups using the backup profile USTDUPFL.

> **WARNING: Backup Profiles should not be shared between servers or workstations. Each server or workstation should have its own Backup Profile when using merge backups.**

- **User ID:** The user ID is your mainframe security ID as assigned by the mainframe security administrator. Usually a password is required if a user ID is required.

- **Password:** The password is your private identifier associated with your user ID for access to the mainframe. This is assigned by the mainframe security administrator. When you enter values in this field, the cursor will move but what you type is not displayed. When you save parameters to disk the password is encrypted. You need to reenter your password at least once when you enter FDR/UPSTREAM in attended mode.

- **New Password:** If you are running FDR/UPSTREAM MVS v3.1.0 or later, you can change your host password by entering the new password here.

- **Retype New Password:** If you entered a new password, reenter it here for verification.

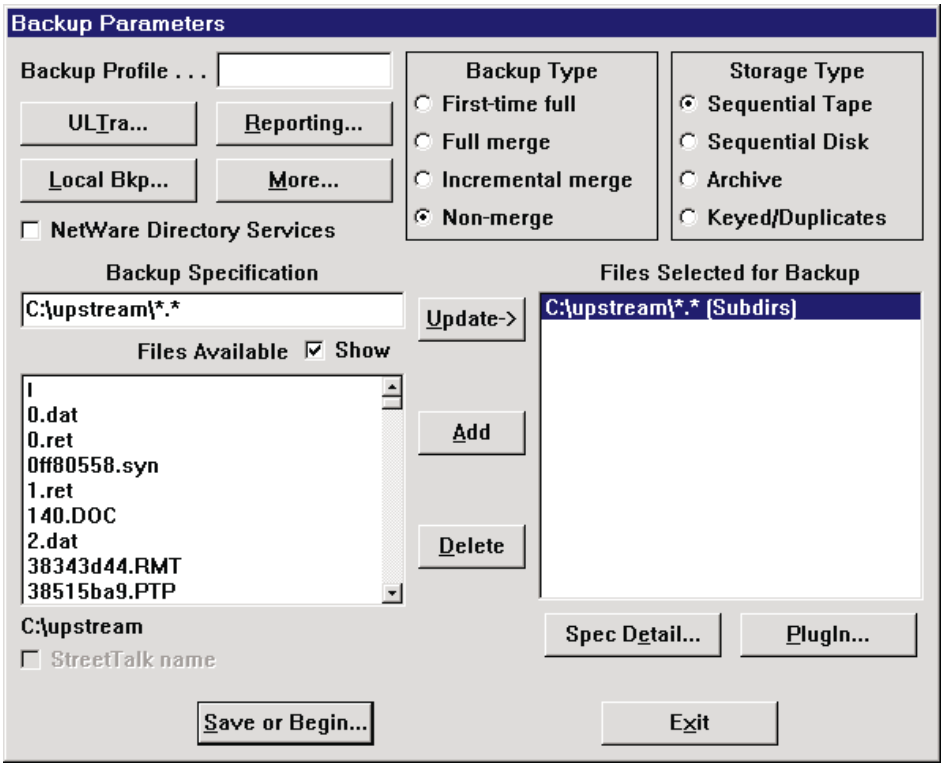
- **Validate:** Press this button to validate your backup profile/user ID/password combination with host security. If you do not validate at this time, validation will be performed at the first host request. Validation can be skipped if you do not have host security enabled or you do not have host communications activated. Note that when you press Validate, communications will be attempted and you may get communications errors (see later in this chapter). Pressing [ENTER] has the same affect as pressing this button.

- **Skip:** Press this button if you wish to skip validation at this time. Pressing [ESC] has the same affect as pressing this button. Note that if you skip validation, your entered parameters are still saved.

  Note that you can bring this dialog back up to change any of these values from the main UPSTREAM window by pulling down the **Security** menu and selecting **Host Security Login**.

  We recommend that you press **<Skip>** until you have completely entered your backup request.

  You will see the backup parameters dialog.




The meanings of these fields are available by pressing the help key, [F1]. The fields are described below. After the field descriptions is a sample for a definition for a test backup.

The meaning of the text field is:

☐ **Backup Profile:** This field will have the value entered in the security validation dialog. You can change its value here.

The push buttons beneath the backup profile allow you to specify a number of parameters which are useful in your backup strategy. These include:

- **ULTra:** Specify parameters relevant to workstation backups. Also see the *ULTra* chapter for more information.
- **Reporting:** Specify workstation reporting options including files backed up and skipped. See *The FDR/UPSTREAM Program* chapter for more information.
- **Local Bkp:** Specify parameters which improve performance on restores as well as FDRSOS Local Backups which improve the performance of backups and reduce network traffic. See *The FDR/UPSTREAM Program* and the *FDRSOS/Physical Disk* chapters for more information.
- **More:** Specify a variety of useful options including Compression, Restart, Novell Automated Login, and more... See *The FDR/UPSTREAM Program* chapter for more information.

The Backup Type radio buttons define the type of backup that you wish to perform. The first three options are for merge type backups. The last option is used when you are performing tests or do not wish to use the merge facility. Pressing the merge backup options has effects on other fields in other dialogs.

☐ **First-time full:** Press this radio button if you intend to use the merge backup facility and you are performing a base-line full backup. Pressing this button causes the Archived and Keyed storage type buttons to be disabled as well as changes in all the file specs:

- Incremental is turned off.
- Reset Archive Bit is turned on.

**Note: First-time full backups are not recommended if you are using the duplicate file suppression facility.**

☐ **Full merge:** Press this radio button to create a full backup using the merge facility. Pressing this button causes the same changes in all the file specs as when you press the First-time full as well as disabling the Incremental and Reset Archive Bit options in the file spec dialogs.

☐ **Incremental merge:** Press this radio button if you have performed a First-time full in the past and wish to create an incremental backup. Pressing this button causes the Archived and Keyed storage type buttons to be disabled as well as changes in all the file specs:

- Incremental is turned on and the field is disabled.
- Reset Archive Bit is turned on and the field is disabled.

☐ **Non-merge:** Press this radio button when you do not wish to use the merge facility, are performing tests, or if you are managing Backup Profiles used for Grooming. For backward compatibility, this is the default.

The storage type radio buttons define the host storage type which is how and where your backup information is stored. The option you select must be coordinated with the host administrator who defines the backup profile in the FDR/UPSTREAM MVS configurator and defines which types of backups are allowed. These options affect the file *data*, not the information about each file. Even if your backups are stored on tape, inquires can be done without a tape mount. The Backup Type may disable some of the options.

☐ **Sequential Tape:** If you press this radio button, then you will be creating a “direct-to-tape” backup. These backups are written immediately to tape rather than stored (even temporarily) to disk. This storage type may be slow on startup, but is then generally fast on backup (with occasional stoppages while tapes are being changed). Restores may be slow while tapes are being mounted. Remember that coordination with host operational staff is important and that you are limited to the number of concurrent backups or restores you can run by the number of tape drives in the system. This is the default option.

☐ **Sequential Disk:** If you press this radio button, then you will be creating a “sequential disk” backup. These backups are stored in a sequential disk (flat) file rather than in the VSAM clusters. This allows the file data to be managed by ABR, SMS or any other host migration system. This storage type allows fast backups but requires temporary disk space to hold the file, coordination with the migration facility, and may be slow on restore due to tape mount delays.

☐ **Archived:** If you press this radio button, then you will be creating an “archive” backup. These backups are staged to a disk file on the host and then later, when the mainframe archive utility is run, stored to tape. This storage type allows fast backup and good tape management, but requires temporary MVS disk storage and may be slow for restores due to tape mount delays. This option is disabled if you are using merge backups.

☐ **Keyed/Duplicates:** If you press this radio button, then you will be creating a “disk” backup or adding to the duplicate file database (you can use the predefined backup profile USTDUPFL to add to the duplicate file database). These backups are stored until rolled off on a host disk file. This storage type allows fast backups and fast restores but requires MVS disk storage for all data. This option is disabled if you are using merge backups.

The check box above the backup spec:

- ☐ ***(non-UNIX only)*** **NetWare Directory. Services.:** Check this box if you wish to backup NetWare Directory Services as one of your backup specifications. Checking this box changes the Backup Spec field to (NDS). This box is grayed unless you have an active NetWare drive mapping to a server running the USNDS NLM. See the Novell chapter for more information. The default is not checked.

The Backup Spec allows you to enter the files to be backed up:

- ☐ **Backup Spec:** Enter the fully qualified file name, with wildcards, of the file, subdirectory or drive that you wish to back up. When you leave this field the Files Available list box is changed to reflect all the files in the directory which you just selected (if you have pressed the <Show> check box and not specified a StreetTalk name or a UNIX character special device).

  To use this specification, press the <Update> button, which causes the change to be reflected in the Files Selected for Backup list box. Pressing the <Ok> , <Add>, <Spec Detail> or <More...> button will also cause this entry to be saved. This value will change as you highlight different entries in the Files Selected for Backup list.

  **NOTE: You must press the <Update>, <Ok>, <Add>, <Spec Detail> or <More...> button to have the Backup Spec saved for use.**

  **NOTE: To backup more than one file, you MUST specify wildcards.**

  (Windows NT, OS/2 and NLM only) You can specify the files to back up using FDR/UPSTREAM UNC (universal naming convention) names. Somewhat similar to the standard UNC naming convention, the format is:

  ```
  \\<Machine>\<share>\directory spec>
  ```

  Or you can use the UPSTREAM UNC form:

  ```
  !:\<Machine>\<share>\<directory spec>
  ```

  Thus to back up all the files in the \TEST directory on the SERVER1 server on the CDRIVE share you would specify:

  ```
  \\SERVER1\CDRIVE\TEST\*.*
  ```

  See the IBM, Novell and Windows NT Server Considerations chapters for more information. Note that we recommend UNC names for LAN attached drives where possible. Note for Windows NT and OS/2 drives it is faster to back up local disks by their drive letters rather than UNC names.

- ☐ ***(non-UNIX only)*** **Show:** Check this box to have the File Available list updated with the files specified in the Backup Spec (either locally, on a server, or via ULTra). The default is checked.

- ☐ **Files Available:** This list box displays a directory listing of the drive and directory specified in the Backup Spec (local files, network drive files, or ULTra attached workstation files). You can use the mouse or keyboard to select entries which causes the Backup Spec to change to reflect the selection, or double click on a file, directory or drive to make that the new entry. To use this specification, press the <Update> button, which causes the change to be reflected in the Files Selected for Backup list.

- ☐ ***(non-UNIX only)*** **StreetTalk name:** Check this box if the Backup Spec is a StreetTalk name. This box can be different for each entry in the Files Selected for Backup list. See the Banyan chapter for more information about Banyan server backup. This box is grayed and unavailable unless you have the Banyan drivers loaded and active.

The buttons between the list boxes allow you to modify the values in the Files Selected for Backup list box.

- ☐ **Update:** Press this button to change the currently highlighted entry in the Files Selected for Backup list to reflect the entry in the Backup Spec field. This also occurs when you press the <Ok>, <Spec Detail>, or <More...> buttons.

- ☐ **Add:** Press this button to create a new entry to be included in the backup using the Backup Spec. The new entry is added to the end of the Files Selected for Backup list box and it becomes the highlighted entry.

- ☐ **Delete:** Press this button to delete the highlighted file spec in the Files Selected for Backup list box. The entry before this one becomes the active spec.

- ☐ **Files Selected for Backup:** The entries in this list box are the file specifications that will be used in the backup. When you highlight an entry, the Backup Spec and StreetTalk entries will change to reflect the value. Double-clicking the mouse on an entry has the same affect as pressing the <Spec Detail> button.

- ☐ **Spec Detail:** Press this button to modify parameters specific to the file specification highlighted in the Files Selected for Backup list box. These parameters include whether subdirectories are included, incremental flags, file server specific information and more. See *The UPSTREAM Program* chapter for more information.

- ☐ **PlugIn:** Press this button to enable processing of the file specification, specific to a database type. Plugins are available for Windows 2000 Active Directory and Lotus Notes R5 for example. See the specific database chapter for descriptions of each plugin. This button is only enabled if there are plugins available for your operating system.

The meaning of the push buttons at the bottom of the dialog are:

- ☐ **Ok:** Press this button to be prompted to exit this dialog, save your changed parameters and optionally begin the backup. Pressing ENTER has the same effect as pressing this button.

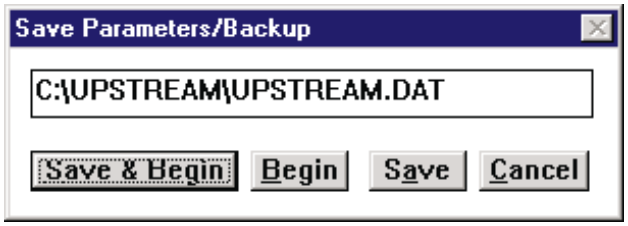
- ☐ **More...:** Press this button to update lesser used parameters such as Record size, Novell Profile, Compression, ULTra options, Reporting and the like. See *The UPSTREAM Program* chapter for more information.

- ☐ **Cancel:** Press this button when you wish to leave this dialog without saving parameters. Pressing this button is the same as pressing the ESC key.

For this example, use the following parameters:

- Backup Profile as assigned by your system administrator.
- Non-merge Backup Type
- Seq. Disk Storage Type
- C:\UPSTREAM*.* Backup Spec.
- All the remaining parameters left at their defaults.

Press the <Save or Begin> button to save these parameters. You will see the Save parameters dialog




In the edit field, enter a name for the file to be used to save the stored parameters in. These parameters are merely text values which can be later viewed in FDR/UPSTREAM, edited with a text editor, overridden from the environment or command line. The recommendation is that all parameter files have the suffix .DAT; the default is UPSTREAM.DAT.

If you press:

- **Save and Begin:** Your parameters will be saved to a file (which you can name in the edit field) and the backup will begin. Pressing the ENTER key has the same affect. You must have started communications.
- **Begin:** The backup will begin, but your parameters will not be saved to disk. You must have started communications.
- **Save:** Your parameters will be saved to a file (which you can name in the edit field). The backup will not be run.
- **Cancel:** Your parameters will not be saved and the backup not run.

You have now created the default parameter file. If you wish, you can exit the program by pressing [ALT]X, [F3], or by pulling down the File menu and selecting Exit.

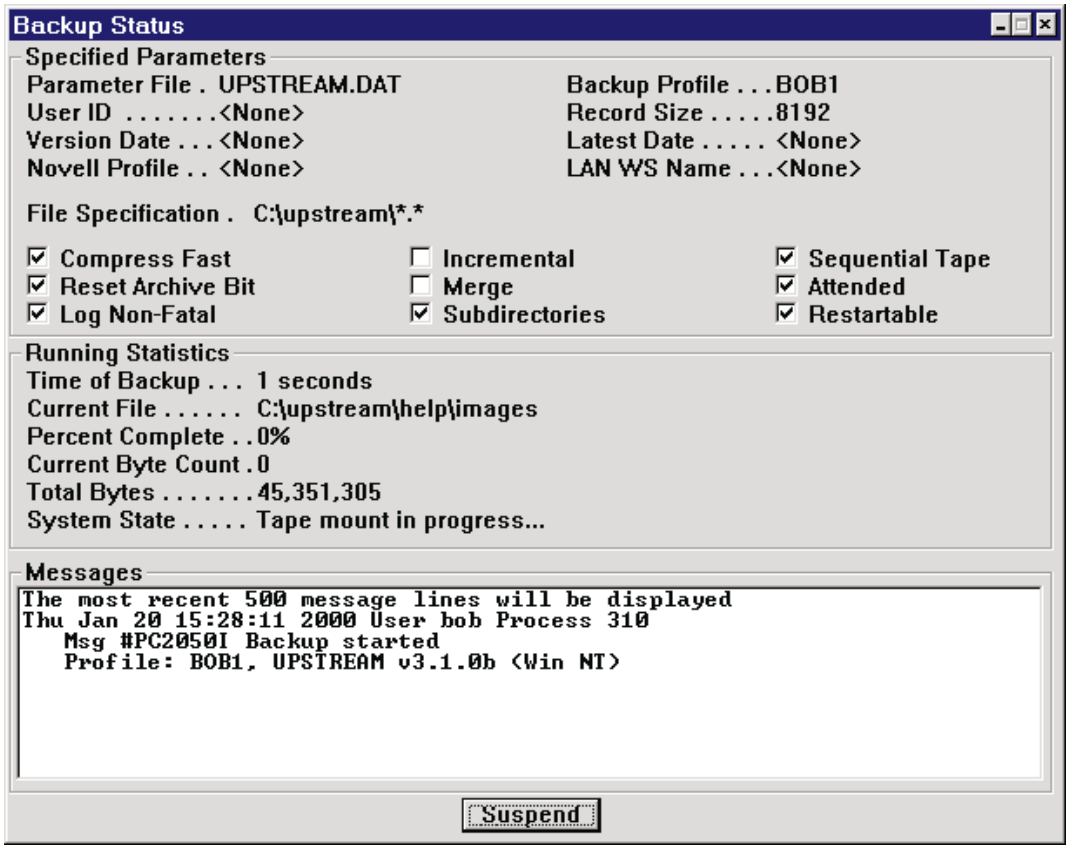
# 8.3. Running a Backup

To run a backup start the FDR/UPSTREAM program as you did above.

***(DOS only)*** If you are using APPC, when FDR/UPSTREAM starts it checks to see if APPC is loaded, and if it is, it then attempts to start a session with FDR/UPSTREAM MVS. If there is an error message box displayed with an error during the APPC session start, see the Messages chapter for FDR/UPSTREAM errors and many of the APPC return codes. APPC return codes are also available in your APPC manual (if you are not an AdaptSNA user). You may have to check with your system administrator for assistance in troubleshooting problems in establishing a session with the remote. If you have problems you can't seem to easily get around, FDR/UPSTREAM support is available.

After you have started with your host communications available, bring up the backup dialog by pressing [ALT]B or by pulling down the **Action** menu and selecting **Backup**. Press the TAB key until you highlight the **<Save or Begin>** button and press it, or click the <Save or Begin> button with the mouse.

You will be asked if you wish to save your parameters (see figure above). If you choose to begin the backup you will see the backup status screen which displays information about the backup that you entered and also shows continually updated running statistics.




As the backup progresses, you can press the <Suspend> button to stop it. If you specified a restartable backup type, the backup can be restarted later. Errors which occur during the backup are written to the Messages list.

When the backup is complete, the status screen is removed and transfer statistics are displayed in a message window and written to the FDR/UPSTREAM log file (**upstream.log**).

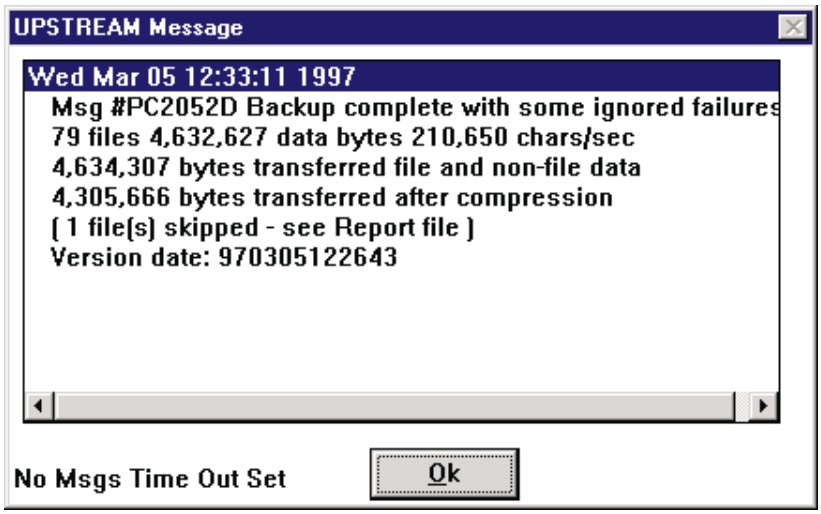



**Figure 8-1**

If you received an error during the backup, the UPSTREAM file viewer will be displayed; it will automatically open the **upstream.log** file and bring you to the end of the file. See the *Errors* and *UPSTREAM Errors* chapters to help you in problem resolution. All messages and errors are written to this log file. Note that in the operating system specific chapters (UNIX, 32-bit Windows, Novell, etc.), there are often suggestions for problem resolution described.

If the backup was not successful, and you specified Restartable in the Specify Backup screen, the information about the backup is retained (in the Backup Description File created at the beginning of the backup). The next time you enter FDR/UPSTREAM, the backup will be retried. If you specify Attended in the Specify screen, then you will be prompted first and have the opportunity to not retry the backup. All files not successfully backed up will be retried.

You have now completed your first backup. The following chapter will walk you through your first restore and inquiry.

# 8.4. Command Line UPSTREAM

As well as the GUI or full-screen version of UPSTREAM, a command line version of UPSTREAM is also provided for the UNIX, Novell (NLM), Windows NT and DOS operating systems. The command line version is a full featured version of UPSTREAM with virtually all functions supported including backups, restores, file transfers, job execution, etc.

Reasons for it's use often depend upon the operating system type:

- UNIX: The command line version does not require a terminal to be connected and can thus be useful for automation.
- NLM: Running the command line version on the Novell server is much faster than running UPSTREAM from GUI capable workstations.
- DOS: Reduced memory.

With the command line version, UPSTREAM functions can be requested:

- From the host. Use the USTBATCH facility to request virtually all UPSTREAM features. See the UPSTREAM MVS manual for the use of USTBATCH.
- Using End-User Restores. See the *End-User Restores* chapter for more information.
- From another PC. This facility is further described in the *Requesting a Remote UPSTREAM Function* section in the *Advanced FDR/UPSTREAM* chapter.
- From the command line. In this case you build parameter files with the full-screen or GUI version of UPSTREAM and request execution of it on the command line. This is usually used in timed automation and is described in the *Automated Backups and Restores* chapter.

If the request is from the host or another PC, UPSTREAM or a communications sub-system must be listening for the request. For SNA environments, the SNA attach manager will often start UPSTREAM (except for UNIX), for Windows NT, there is a TCP/IP Attach Manager provided with FDR/UPSTREAM (see the *Running More Than One Copy* chapter for more information).

To get UPSTREAM to listen automatically depends upon the operating system:

- UNIX: Configure the daemon as described in the *UNIX* chapter.
- NLM: Add the appropriate LOAD command as described in the *Novell Considerations* chapter.
- Windows NT: Most users will want to run the command line version as a service. See the Windows (32-bit) chapter for a description of running UPSTREAM as a service, and replace the program name US.EXE with USCMD.EXE.
- DOS: See the *Advanced UPSTREAM* chapter for parameter file values.

We recommend that your first backup, whenever possible be locally initiated via the GUI or full-screen interface because connectivity and security issues are easier to diagnose if there is only a single step to the process (PC-to-host rather than host-to-PC-to-host).

If this is not possible (for example, with the NLM version), you may want to create a parameter file with the GUI version of UPSTREAM and pass the parameter file as a command line parameter (using the PARAMETER=<parameter file> statement) to the command line version of UPSTREAM. Note that the command line version requires that the ATTENDED parameter be set to N. You can often do this with command line overrides.

Thus, if you have created a parameter file BACK.DAT, you can execute it using uscmd as follows (for the NLM version you must use **us** instead of uscmd and precede it with LOAD):

```
uscmd PARAMETER=BACK.DAT ATTENDED=n
```

This page intentionally left blank

# 9 YOUR FIRST RESTORE

## 9.1. Overview

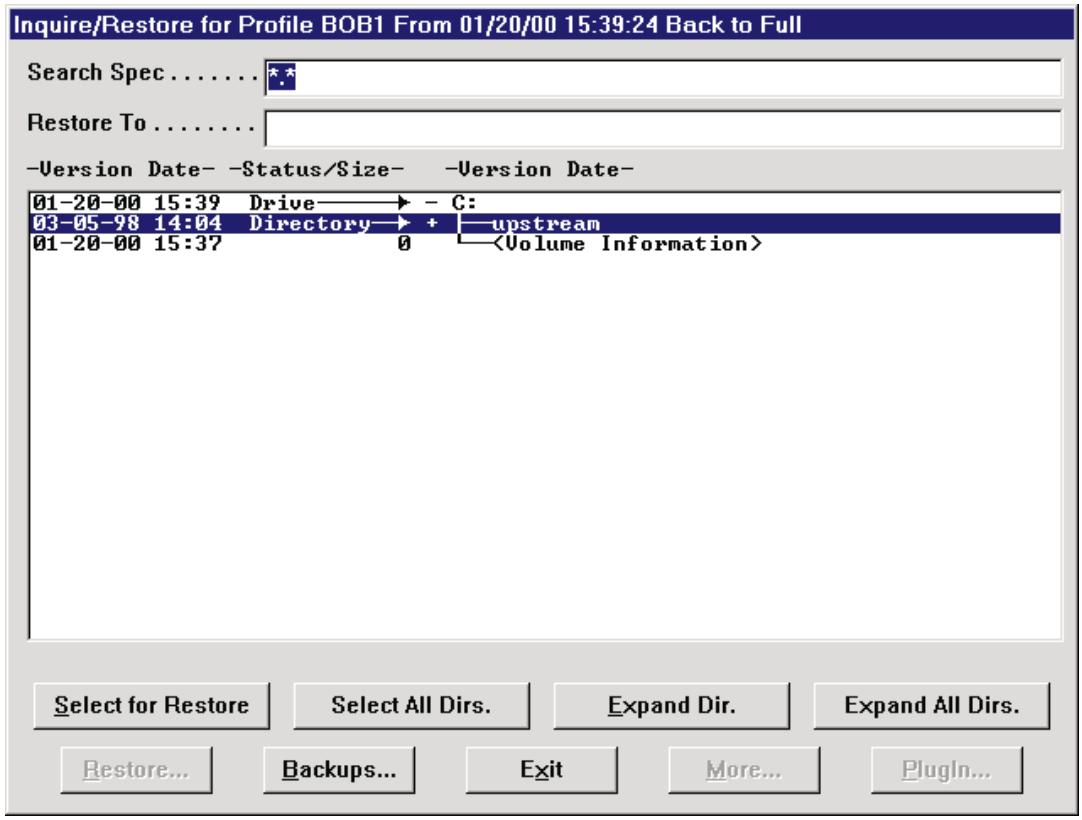
This chapter discusses performing a simple version and file inquiry and restore. A restore assumes that you have first performed a backup, so you should already have installed FDR/UPSTREAM, configured your host connection performed a backup (see the previous chapter).

FDR/UPSTREAM allows you to view, without actually performing a restore, all prior backups (backup versions) stored on the mainframe, as well as all the files in each version, in a format that you are familiar with. This makes it easy for you to restore just the files you need.

## 9.2. List and Restore

To begin a restore you should load your host connectivity software and FDR/UPSTREAM (see the previous chapter). From the FDR/UPSTREAM main screen, either press [ALT]L or pull down the **Action** menu ([ALT]A or use the mouse) and select **List and Restore**. This may cause one or two communications functions to occur (an inquire versions and an inquire files). Either of these may fail. If they do, you will not be able to enter the list and restore facility. See the *Errors* and *UPSTREAM Errors* chapters to help you in problem resolution. If you want to set up restore parameters, see *The UPSTREAM Program* chapter for instructions in using the old restore facilities.

After the communications are complete, you will see the Inquire/Restore dialog.




Note that all drives originally specified are automatically displayed (for UNIX the root is automatically displayed), and if you have only a single drive (or for UNIX) the first level has automatically been expanded.

NetWare Directory Services is abbreviated as (NDS) and can not be expanded.

A special file **<Volume Information>** is automatically created in a number of operating systems for all backups. This special file actually represents data for the volume itself and allows easy restores of this information. It contains:

- Windows NT ACLs for the root of the volume.
- Novell volume restrictions (legacy method)
- Novell volume resource definition (SMS).

While there are few controls in this dialog, each one has many purposes:

☐ **Search Spec:** Enter the file specification that you wish to use in searching for files. This should not be fully qualified. Thus, for all files use (non-UNIX) *.* (or UNIX *). You can enter any combination of wildcards and non-wildcards. For example, you can enter *.TMP to see all the TMP files. After entering a search spec, press the **<Expand>** button to display all the matching entries in the given directory or the **<Expand All>** button to display all the matching entries from the selected entry downward. Note that if you precede the name with two backslashes (\\), then only directories will be displayed and restored (forces the DIRSONLY PC parameter on).

☐ **Restore To:** Leave this field blank if you wish to restore to the same drive/directory as the files were originally backed up from. If you wish to restore to a different drive/directory, enter the fully qualified name of the destination (including drive, path and wildcards), before you select the entry for restore.

- **File list:** This list box shows the results of your requested inquiries and selections. Each item in the list box is a file or directory shown in a tree-like format. The Version Date column indicates the file's last modification date, the Status/Size column indicates one of the following:

  - If it is a file, it's size.
  - Whether it is a directory
  - Whether is has been migrated.
  - Whether it is a symbolic link or a physical or logical volume.
  - It's selection status (selected or excluded).

  If the item has been selected, it will indicate ➔. Note that items can only be deselected at the level they were originally selected at. If an item at a lower level has been selected, it will indicate ➓ to help you locate selected items. If an item has been selected implicitly (with wildcards) it will indicate ➚. Items can be excluded only if they are already selected.

  Note that the buttons change their text and meanings depending upon the state of the item highlighted in the list box. For example, if the item highlighted is an unselected, unexpanded directory, the 4 buttons will say (in sequence):

  - <Select for Restore> (to select the directory),
  - <Select All Dirs> (to select all files in all subdirectories below the highlighted one).
  - <Expand Dir> (to expand and see the contents of the directory).
  - <Expand All Dirs> (to expand and see the contents of all subdirectories below the highlighted one).

- **Select:** If the entry in the list box is unselected, this button will say **<Select for Restore>** and will select the highlighted file or (if this is a drive or directory) the items at the highlighted level. Note that when you select an item, it is included in the restore. You must select at least one item for the <Restore> button to be enabled. If the entry in the list box is selected implicitly (through the selection of a drive/directory at a level above), the button will say **<Exclude>** and allow you to exclude the file or files at the given level. If the item is explicitly selected the button will say **<Deselect>**. If the item is explicitly excluded, it will say **<Exclude Off>**.

- **Select All:** Allows you to select or exclude from the entry highlighted in the list box, down through all subdirectories. Like the regular select button, the value will change depending upon what is highlighted in the list box.

- **Expand:** Press this button to expand (perform and display a file inquiry) the entry highlighted in the list box. If you have a drive or directory highlighted the current drive/directory level will be inquired from the host and displayed on the screen. If you have a file highlighted, then any older versions of the file will be displayed (if any). If the entry has already been expanded (denoted by a minus sign in front of the entry), the button will read **<Collapse>** and you hide displayed files.

- **Expand All:** Allows you to expand/collapse from the entry highlighted in the list box, down through all subdirectories.

- **Restore...:** Press this button to display the screen allowing you to save your parameters and begin a restore. You must select something for restore for this button to be enabled.

- **Backups...:** Press this button to see the backup version information dialog which allows you to select which backup is your starting backup, see details about backups and more...

- **Exit:** Press this button to exit this dialog without saving your changes.

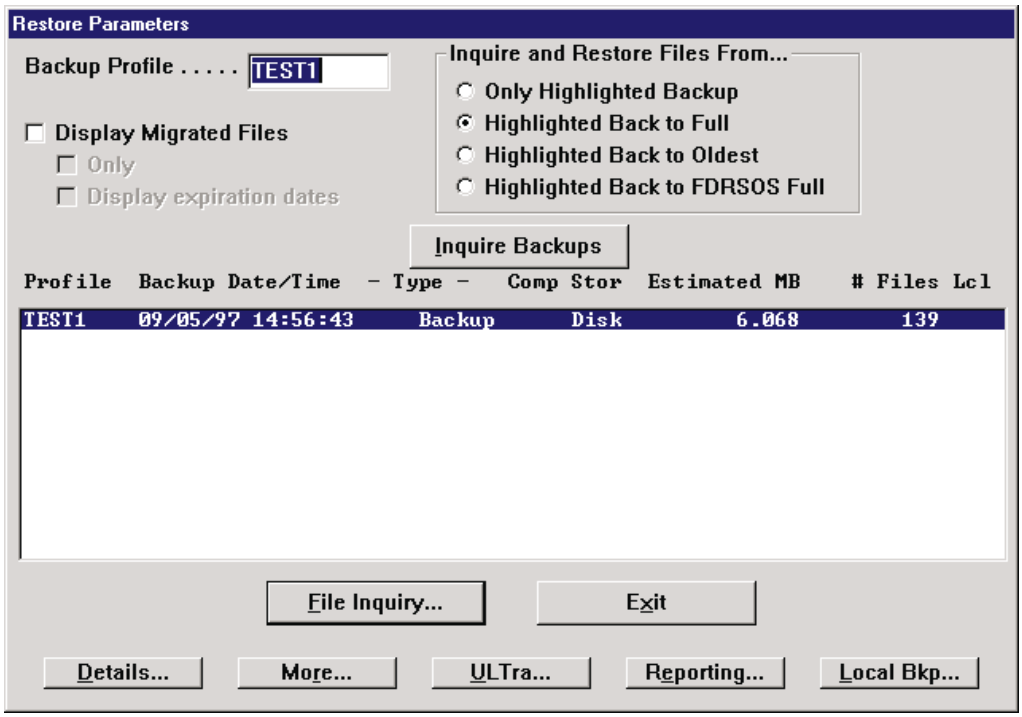
- **More...:** Press this button to specify non-file data and other information about the files to be included in the restore. Note that when you save values in the More... dialog, the values apply to all the restore specifications.

- **PlugIn**: This button is only available if you specified a plugin for the backup. See the database section for your database for more details.

For this example, highlight the UPSTREAM directory and press the **<Expand Dir.>** button. We will restore just the **us.hlp** file, so highlight us.hlp and press the **<Select for Restore>** button. You will see the Status/Size column change to ➔.

## 9.3. List Backups

If you press the **<Backups...>** button you will see the restore parameters dialog.




This dialog allows you to select information relevant to the backup(s) you are restoring from.

- **Backup Profile:** Available so that you can change the backup profile you will use for your restores. When you change this value, the Backups List clears. You can use a wildcard ('*') in the name to help you select from a group of backups. This field is automatically filled in with the value entered in Host Security Validation and is required.

- **Display Migrated Files:** Check this box if you wish to have migrated files included in the file list. If you check this box, you can optionally also check the **Only** box which, when checked, will display only migrated files and not display normal files in the file list. You can also check the **Display expiration dates** which displays expiration dates for migrated files in the file list. The default for all three check boxes is not checked.

The **Inquire and Restore Files From...** radio buttons allow you to select whether file inquiries and restores will use a single version or multiple versions (which may display multiple files). Note that these options are only used when working with Merge backups.

- **Only Highlighted Backup:** File inquiries and restores will use the backup version which is currently highlighted, or the latest version (if you have not pressed the <Inquire Backups> button) File Inquiries will show only the files stored in that one backup.

- **Highlighted Back to Full:** File inquiries and restores will use the backup version which is currently highlighted, or the latest version (if you have not pressed the <Inquire Backups> button) and all versions back to and including the full. If there are multiple copies of a file, all will be displayed. A restore will include the latest copy of each file (regardless of which backup it is on), or any specifically selected files. This is the default option.

- **Highlighted Back to Oldest:** File inquiries and restores will use the backup version which is currently highlighted, or the latest version (if you have not pressed the <Inquire Backups> button) and all versions back to the oldest version stored on the host for this profile. If there are multiple copies of a file, all will be displayed. A restore will include the latest version of each file (regardless of which backup it is on) from the selected backup back to the full, or any specifically selected files (which may be before the full backup).

- **Highlighted Back to FDRSOS Full**: If you have FDRSOS® and if selected, the workstation/server software will extract the modification date/time of the FDRSOS Timestamp file and the host software will transmit files in backups which were performed since that date. If selected, the workstation/server software will extract the modification date/time of the FDRSOS Timestamp file and the host software will transmit files in backups which were performed since that date. See the FDRSOS chapter for more information.

The button and the list box are:

- **Inquire Backups:** Press this button if you wish a listing of the backups stored on the host for the specified backup profile. Pressing this button communicates with the host. Note that UPSTREAM does an automatic Inquire Backups when entering the list and restore facility.

- **Backups List:** This list box contains the results of the Inquire Backups. It is a mono-spaced list box, each row showing an individual backup. The columns are: Backup Profile, date and time the backup was started, the type of backup (merge full, merge incremental, or just backup), whether it was completed (an 'I' in the Comp column), the storage type (disk or tape), the original estimated backup size in megabytes and the number of files. Often the list box is automatically filled in on entry. The highlighted backup is important for determining the information displayed and restored (see above).

The big push buttons are:

- **File Inquiry...:** Press this button to return to the list and restore dialog.

- **Exit:** Press this button to return to the main UPSTREAM window.

The small push buttons are:

- **Details...:** This button allows you to examine the specific information for the backup version highlighted in the Backups list box above. You must press the <Inquire Backups> button to extract versions before viewing their detail.

- **More...:** Press this button to select additional restore parameters such as a Novell Profile, Reporting options, etc.

- **ULTra...:** Press this button to specify the ULTra workstation information to restore the data directly to an ULTra workstation.

- **Reporting...:** Press this button to specify workstation/server reporting options.

- **Local Bkp...:** Press this button to specify local backup options including FDRSOS Local Backup options.

To see detailed information about a given backup, press the **<Details...>** button (see figure 10-).

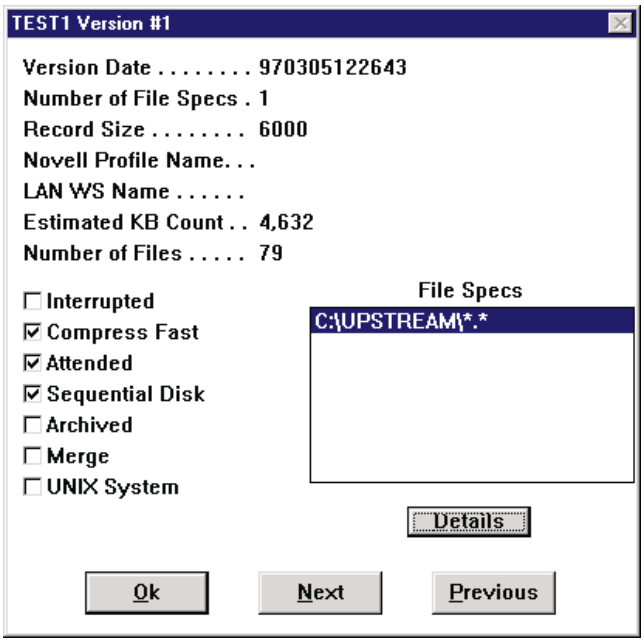



**Figure 9-1**
**Backup Version Detail Display Dialog**

This dialog displays the overall parameters specified when you performed the backup. The controls are:

- **Details:** Highlight a file spec in the File Specs list box (or double-click the file spec) and press this button to see details of the original file specification.

- **Ok:** Press this button to highlight return to the List Backups dialog, highlighting the current backup.

- **Next:** Press this button to see the next backup in the list.

- **Previous:** Press this button to see the previous backup in the list.

If you highlight a File Spec and press the <Details> button you will see the File Specification dialog (see figure 10-).

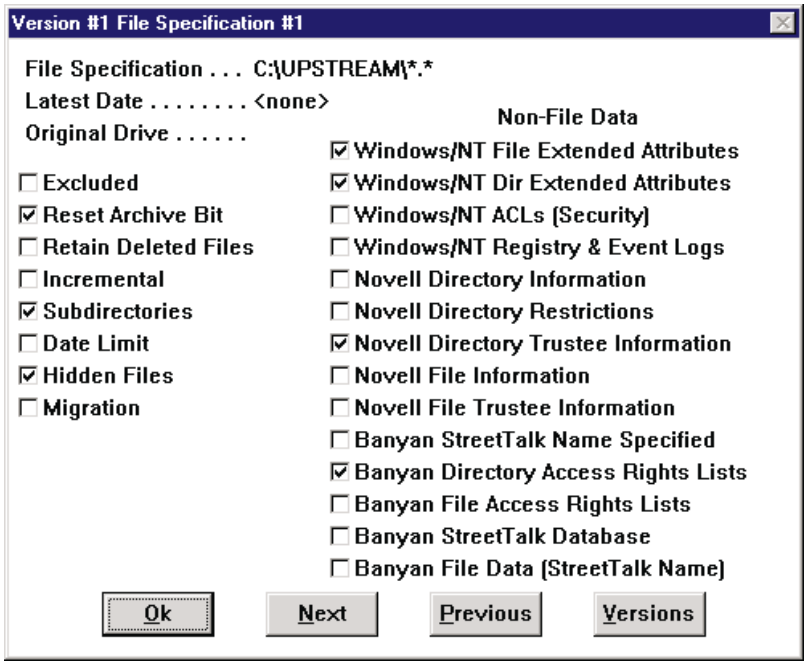
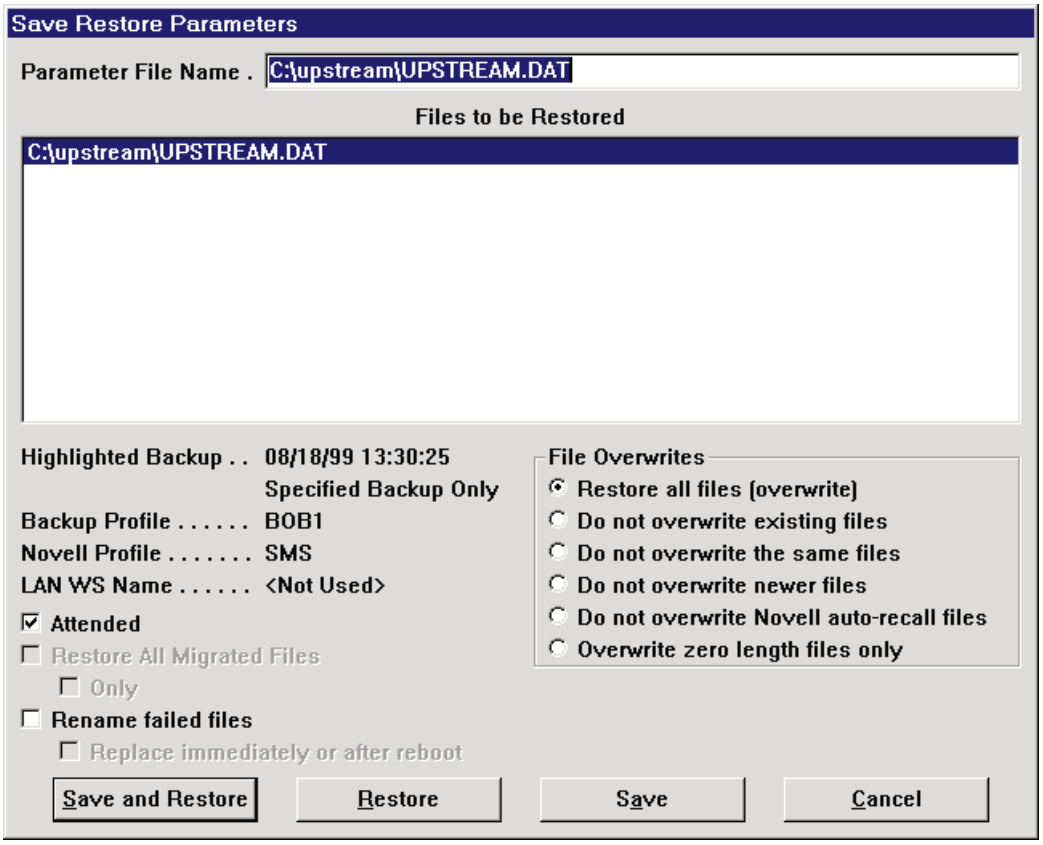



**Figure 9-2**
**Inquire Backups - File Specs Display Dialog**

Press the **<Ok>** button to return to the Backup Version dialog. Press the **<Ok>** button to return to the Restore Parameters dialog. Press the **<File Inquiry...>** button to return to the Inquire/Restore dialog.

# 9.4. Finalize Restore

When you select file(s) to restore and press the **<Restore...>** button, you will see the Save Restore Parameters dialog.




Most of the displayed items on this dialog are to help you to decide if this is the restore that you actually want to perform. There are several modifiable fields including:

- **Parameter File Name:** If you wish to save your restore request, this is the name of the UPSTREAM parameter file that will be used.

- **Attended:** Check this box to have your saved parameter file be attended (recommended). Since restores are generally an attended process (a user specifies the files to be restored), we recommend that you always check this box.

The **Restore All Migrated Files** and **Only** checkboxes are for display purposes only. The radio buttons in the **File Overwrites** frame are:

- **Restore all files (overwrite):** Press this radio button if you wish any existing files to be replaced by files from the backup selected. Thus all received files are written. This is the default.

- **Do not overwrite existing files:** Press this radio button if you wish to preserve the files currently stored on the disk and only write those files which do not already exist.

- ☐ **Do not overwrite the same files:** Press this radio button if you wish to have UPSTREAM write all files received except those which have exactly the same modification date and time. This improves performance slightly as extraneous file I/O is avoided.

- ☐ **Do not overwrite newer files:** Press this radio button if you wish to have UPSTREAM write only those files which are older than the files currently on disk (preserve newer files).

- ☐ **Do not overwrite Novell auto-recall files:** Press this radio button if you wish to have UPSTREAM overwrite all files except those which are tagged for Novell auto-recall. See the *Novell Considerations* chapter for more information.

- ☐ **Overwrite 0 length files only:** This option is intended to deal with viruses/worms which may leave your files on disk but set the length to zero. The PC will request all files from the host, but will only overwrite those whose length on disk is zero.

The failed files buttons are only displayed for Windows NT and only if you have personalized for "Rename restore failed files":

- ☐ **Rename failed files:** (Windows NT only) If this checkbox is checked and UPSTREAM attempts to restore to a file which is locked, it will rename the file to a temporary file name and log the name. The default is not checked.

- ☐ **Replace immediately or after reboot:** (Windows NT only) This checkbox is grayed unless you have checked Renamed failed files above. If this checkbox is checked, the file will be renamed back to the originally specified file name immediately (if it can) or otherwise after the next reboot. This is useful for disaster recovery of a Windows NT machine (particularly in installing service packs). The default is not checked.

The push buttons are:

- ☐ **Save and Restore:** Saves your restore request information (parameters) to the specified parameter file and begins the restore.

- ☐ **Restore:** Begins the restore, the specified parameters being only saved in memory.

- ☐ **Save:** Saves your restore request to a parameter file for later retrieval (locally or by the host).

- ☐ **Cancel:** Returns you to the List and Restore Dialog.

For this example, press the **<Restore>** button to begin your restore. You will see a status display similar to the one you saw for the backup, with a single button, **<Cancel>** allowing you to cancel the restore. When the restore has completed, a completion message with statistics is logged and displayed.

This page intentionally left blank

# 10 End-User Restores

## 10.1. Introduction

End-User Restores are a completely new facility of FDR/UPSTREAM. A separate program, written as a Java application, provides a Windows Explorer-like interface for the selection and monitoring of restores for users. This facility greatly reduces training for user specified restores and allows a GUI restore facility in environments where none existed previously including UNIX systems and the Java console on NetWare file servers. This facility is released as a beta product.

End-User restores is the first in a whole new line of Java-based facilities. Taking advantage of the cross-platform nature of Java, this facility will run on many of the current UPSTREAM platforms including all UNIX systems (with X-Server support), Windows 95/98, Windows NT and Novell file server Java consoles or the Novell X-Client. It performs interprocess communications the UPSTREAM PC or UNIX system client and with the UPSTREAM/MVS server. Thus it can be used to specify restores locally, or on another machine.

As well as specifying restores, this facility also allows you to start the restore, monitor its progress with a number of informative display controls including graphs and progress bars, monitor UPSTREAM messages, and cancel the restore.

Though not full-featured and intended for end-users, it is nonetheless ideal for administrators, help-desk operators and most other users of FDR/UPSTREAM.

This program is a Java application which means that it requires the Java run-time environment be installed on your system to operate it (version 1.1.6 or higher). The Java runtime is included in the install for 32-bit Windows platforms. It does not use or require web browser facilities.

The *Installation*, *Configuration* and *Using End-User Restores* sections is intended for administrators. The *Getting Started* section mirrors the end-user restore help facility section named *Getting Started* and is intended as the primary documentation for casual users.

# 10.2. Installation

For UNIX systems, see below. For NetWare v5 Java Consoles, contact Innovation Technical Support for installation instructions.

For Windows systems, there is a new subcomponent option **End-User Restore** in the UPSTREAM Setup program which is checked by default.

If the setup program does not find a Java Runtime Environment (JRE) version 1.2 installed, it will note that it is required for end-user restores and ask you if you have a prior version installed. If you do not, press the **No** button and you will be asked if you wish the Sun JRE v1.2 installed now. Pressing the **Yes** button will install the JRE.

If you are running the single file version of the Setup program (which you obtained from the Innovation FTP site or via email from technical support), you will need to cancel the restore, retrieve the JRE single file install (jre12i-win32.exe) from the Sun or Innovation FTP site, install it and restart the UPSTREAM Setup program.

If you have a 1.1.6 version or higher installed and you do not wish to add the 1.2 JRE, press the Yes button. The Setup program will warn you that you must obtain a number of jar files from technical support and continue the restore. Proceed to the Configuration section below.

For UNIX you must have a Java Runtime Environment (v1.1.6 or higher) installed. **These are available from your operating system vendor**.

To install the End-User Restore facility for UNIX you must:

- su or login as root.
- Copy from the **/upstream/unixjava** directory to your UPSTREAM directory the files: **usjinst** and **usjrest.tar**.
- Change the mode on the installation script (**usjinst**) to make it executable and execute it with the command line option **install**:

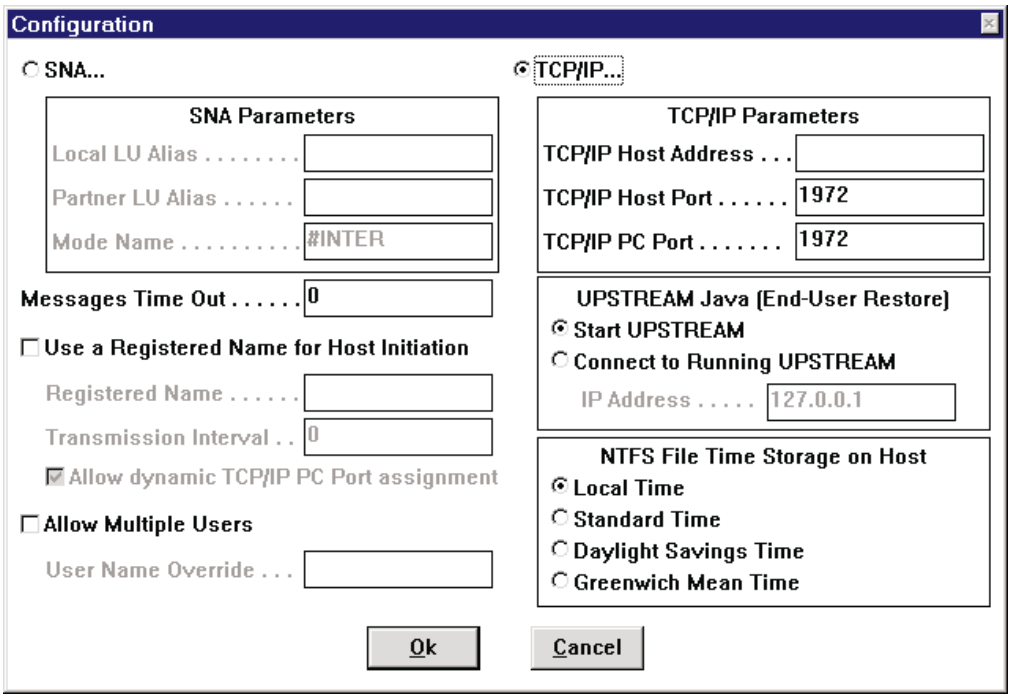
```
chmod 755 usjinst
./usjinst install
```

The installation script will untar the files into the UPSTREAM directory and then it will build the **usjrest** script. To do this it will attempt to find the Java runtime automatically. However, if it can not, you will need to know the directory where it is installed. You should not include the /bin part of the directory, as that is assumed (for example, enter /usr/java rather than /usr/java/bin). The directory you specify can not be a symbolic link but must actually be the directory where Java is installed. When the script completes, proceed to the Configuration section below.

You can always rerun **usjinst** (with no command line parameter) if it asks for information that you don't have at this time and wish to rerun the installation without reextracting the files.

# 10.3. Configuration

Configuration for end-user restores is done primarily within the UPSTREAM configurator. When you enter the configurator, there is a frame on the initial screen: **UPSTREAM Java (End-User Restore)**. This frame is only enabled when you select a TCP/IP connection to UPSTREAM/MVS.




- **Start UPSTREAM:** If you select this radio button, UPSTREAM Java programs will start UPSTREAM when necessary on your local machine. This is the default.

- **Connect to Running UPSTREAM:** Select this radio button if you wish to connect to a running UPSTREAM. This is often used when you wish to connect to the UNIX daemon or UPSTREAM running on a Novell server. If you select this radio button you must enter one or more IP addresses of machines where UPSTREAM is running.

- **IP Address:** If you check *Connect to Running UPSTREAM*, you must enter one or more IP addresses of machines that UPSTREAM is running on. You can enter symbolic names or physical IP addresses. If you enter multiple addresses, they may be comma or blank separated. There is no default and this field is required.

  The UPSTREAM advanced configuration option *Status Port* is used by the Java program to communicate with UPSTREAM. If you are running multiple copies of UPSTREAM, each copy should have its own Status Port. Status Ports should be separated by 2 numbers; UPSTREAM uses Status Port and the subsequent two port numbers.

  When an UPSTREAM Java program starts, it initially searches for a CONFIGFILE=<configfile> on the command line. After that, it searches for the file **useui.cfg** and if that is not found, then **upstream.cfg**. For most environments you can save your configuration parameters to upstream.cfg.

End-User Restores require a template UPSTREAM parameter file. By default it will look for **useui.dat**, if it is not found it will use **upstream.dat**.

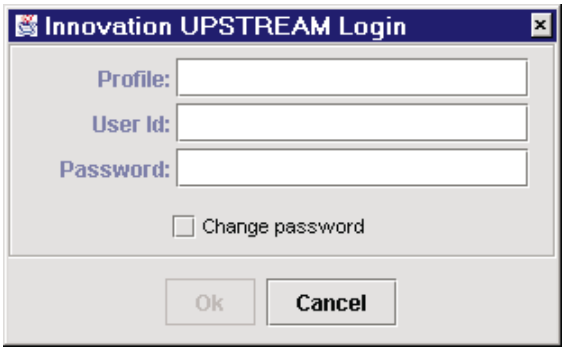
The template parameter file allows you to specify a variety of UPSTREAM parameters which can not be specified in the end-user restore facility including:

- Default backup profile name (end-user restores allows you to specify and switch backup profiles, but the template parameter file allows you to specify the default).
- PC Reporting
- Restartability
- Non-File data
- ...and all other FDR/UPSTREAM features not modified through the End-User Restore interface.

To create a template end-user restore parameter file, use the standard version of UPSTREAM (the **us** executable) and set up a restore, specifying the specific UPSTREAM parameters that you wish to use, then save your parameters to **useui.dat**.

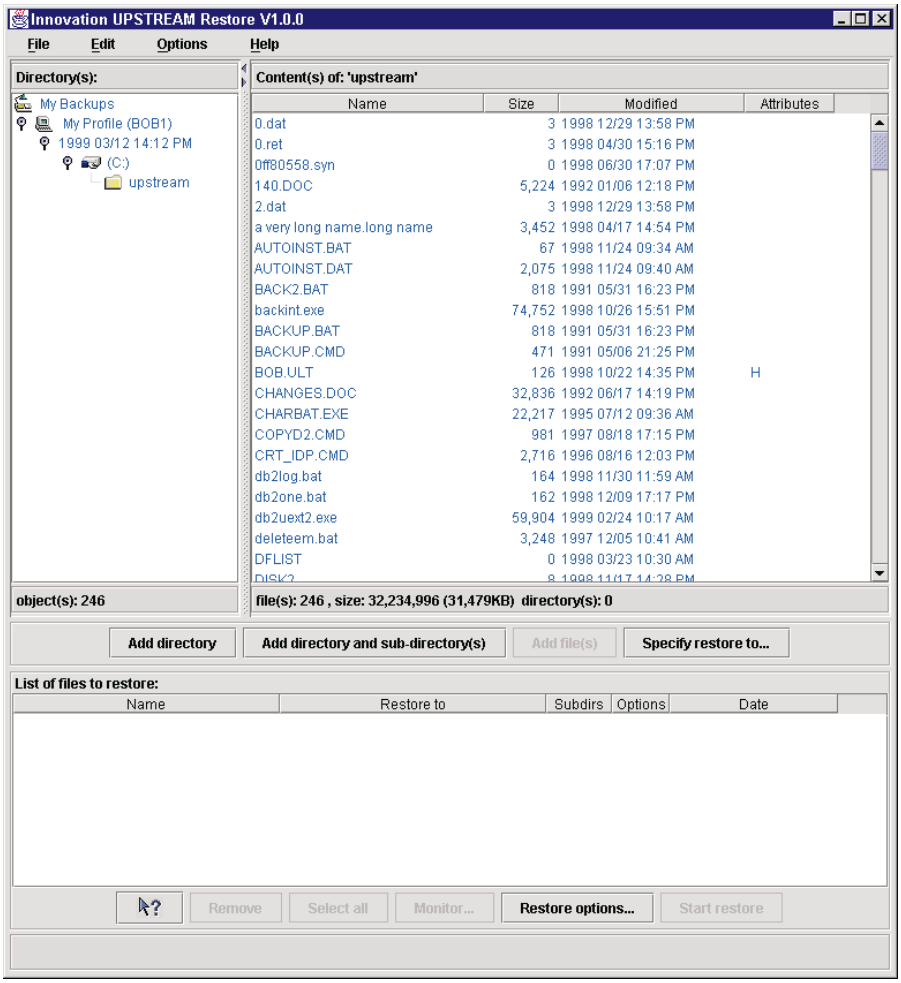
# 10.4. Getting Started

If you are using Windows, the installation program will have created a shortcut named “End-User Restores”; for UNIX or from the command line on all systems run the installed script file **usjrest**. This will display the initial login dialog. Note that for Windows users, this may not be the top level dialog (other windows may be on top of it).




Enter the backup profile you wish to inquire and restore from and if required, enter your host User ID and Password and press the **Ok** button. The End-User Restore facility will perform a version inquiry using the specified backup profile and display the main End-User Restore screen.

You can use this screen to change your host password. Check the **Change password** checkbox and the dialog will be updated with fields allowing you to enter and then re-enter your new password. When you press the Ok button you will be connected and your host password will be changed.

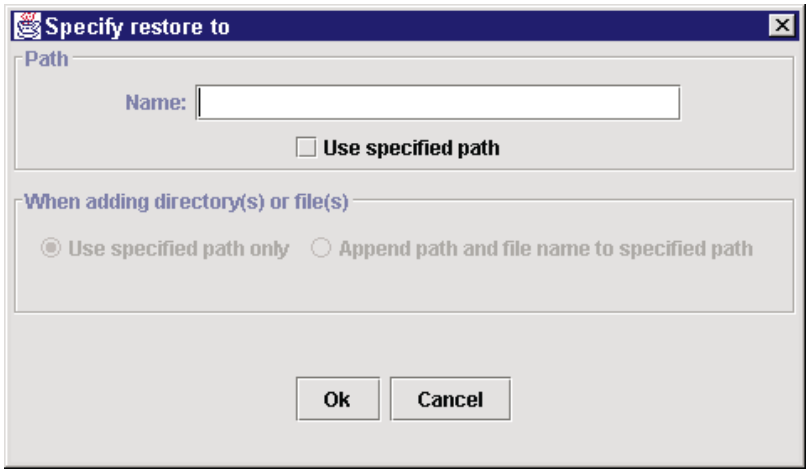



Similar to the Windows Explorer, the top two frames of End-User Restores display a left-hand frame consisting of a high level view titled *Directory(s)*, and on the right hand side, the contents of the currently highlighted level titled *File(s)* or *Contents of:* (when there are entries displayed). You expand a level by double-clicking the entry with the mouse or highlighting it and pressing [ENTER]. The File(s) frame is a multiple selection frame allowing you to highlight more than one file at a time.

The bottom frame consists of the files which you have selected to be restored.

The buttons and mouse selections provide the commonly used features. The following paragraph mirrors the facility in the end-user restore help, also named Getting Started.

☐ **Setting a Destination.** If you wish to restore the files back to their original location, you can skip this step. The first thing you will want to consider is where on your system you want to have the files restored to. You can have them restored to their original drive, directory and name. But probably in most cases, you will want to put them in some other directory where you can test or checkout the version BEFORE you move or restore them to their final destination.
The UPSTREAM restore application provides a way to set a fixed directory name to use whenever a file or directory is added to the restore list. What this does is use a fixed name for the "Destination" column of each entry that you will add to the restore list in the bottom panel. Just click the **Specify restore to...** button in the center panel. You will get a dialog to fill out:




First click on the checkbox: **Use specified path**. This allows you to enter a directory name in the "Name:" text field above it. You can return to this checkbox to turn this behavior on or off at any time as you add to your restore list.

Next you can also choose whether the name specified is used "as is" (the default) which is the "Use specified path only" radio button option or you can choose the **Append path and file name to specified path option**: this will add the directory (or the directory of the file) name to your specified path name as it is added to the restore list. For example, if you chose "C:\TEMP" as your fixed directory and you select the directory "\PROD\FILES" to be added to the restore list, the destination name formed for the restore entry would be "C:\TEMP\PROD\FILES".

At any time you can individually edit the *Restore to* column of a restore list entry by just double-clicking in the row and column cell and change the *Restore to* location/name.

- **Browse a Version.** The next step is to browse through the versions (backups) that are available with the Profile name used at sign on. A version is a date and time name of the backup taken. The most recent to the oldest are in the directory tree in the top left panel for selection. The date of a file you need restored is probably the major selection criteria. As you select a version, then drive and then directory name from the tree in the top left panel, the files and directories along with their dates in the "Modified" column are shown in the panel to the right of it. This is the last modified date of the file when the backup (version) was taken.

- **Select Directory/File(s)**. Now you can start to select those directories or files you want to have restored. The tree in the top left panel is where a directory may be chosen and the top right panel is where files may be chosen.
  To select a directory to be restored, simply highlight it by clicking on the directory (double-click it to expand the directory). Once selected, you can click the **Add directory** button in the middle panel, and the directory will be added to your list of files to restore in the bottom panel.

  You may also use the **Add directory and sub-directory(s)** button, in which case the selected directory and all the subdirectories below it will be restored. Note this option is signified with a check-box option in the "Subdirs" column of the entry added to the restore list. You can un-check this at any time to switch back to restore only that directory and likewise, check it on at any time to include all the subdirectories. Note that switching on or including subdirectories may remove some selected directories in the list if they were subdirectories of the directory since they would be redundant requests.

  To select a file to be restored, simply highlight it by clicking on the file name and hit the **Add file(s)** button which will add it to your list. Multiple files may be selected by simply holding down the control key (Ctrl on the keyboard) while you select each file name with your mouse. A range of files may also be selected by selecting a starting file name, holding down the shift key (Shift on the keyboard), and selecting the ending file name. As before, once selected (highlighted) you can hit the "Add files(s)" button to add the multiple files to your list.

If you have selected a file or directory to be added to your list, and the directory that the file or directory is in is already in your list of items to restore, you will be prompted to add them as *Excludes*. This means that the selection(s) will not be restored when the directory is restored. This will be indicated in each entry in the *Options* column in the list of files to restore if you proceed to allow the add. This is how you exclude file(s) or directory(s) from the restore process: select and add a directory first, and then add the files or directory(s) from that directory you DO NOT want restored - the dialog prompt will come up asking you if want these selections excluded from the restore.

As a shortcut, instead of using the middle panel buttons, after items have been selected (highlighted) in the directory panel on the left or the file panel on the right, you can also use the mouse right-click button. This will pop up a convenient pop-up menu panel containing the same add operations as the middle panel buttons.

- **Update Restore List Table**. The list of things to restore in the list table in the bottom panel can be tailored before the restore is initiated. Items that you do not want in the list may be selected by single clicking the row, using the Ctrl-key for multiple selections or using the Shift-key for a range selection. Once highlighted, you can hit the **Remove** button in the bottom panel to remove the items.
  The *Subdirs* checkbox for any directory entry my be turned on or off to signify whether you want to include the subdirectories under it for the restore. As discussed in the Select Directory/Files(s) help panel, switching this on or off may remove appropriate entries in the list to conform to logical include and exclude operations.

  The *Restore to* column for any entry may be modified by double clicking the cell in the table which will cause it to go into edit mode. Position the cursor anywhere in the text to edit it, and end the operation by hitting the enter key.

- **Start Your Restore.** You are now almost ready to start your restore, but the last thing to consider will be some restore options. These basically are concerned with what to do when a file already exists in a directory where you want it restored to. Press the **Restore options...** button to see the three options: *Overwrite existing* (replaces the file), *Never* (skips the file for restore because it exists), and *If files differ in size or mod time* (only replaces it if the size OR modification times are not the same). Once you decide on your file replace option, you can finally press the *Start restore...* button which will prompt you to start the restore operation.

# 10.5. Using End-User Restores

This section describes the facility in more detail. The frames are:

- **Directory(s).** In the top left-hand frame high level view the hierarchy is:
  - My Backups
  - My Profile
  - The list of versions. This list always assumes *Highlighted Back to Full* and goes from most recent to oldest.
  - Drives or UNC highlevels for PCs or the root directory ('/') for UNIX.
  - Each level of the directory tree.

  As you expand each level, an inquiry is done of UPSTREAM/MVS.

  By using the mouse, you can expand or contract the size of this frame by grabbing the center divider and moving it. You can also completely expand or contract the frame by pressing the arrows at the top of the center divider.

- **Contents of:** The top right-hand frame displays the contents of the highlighted entry in the Directory(s) frame. This frame displays columns of Name, Size, Modified and Attributes. For PC backups, the attributes are the standard PC attributes. For UNIX system backups are the attributes displayed when you perform an ls -l and include the owner and group. This frame is sizable by grabbing the center divider and moving it or pressing the arrows on the center divider.
  The *Modified* column is unique to the End User Restore application because multiple dates of backups (versions) taken may be available to view without going down a different version date expansion of the directories and files to see them. This is indicated with a parenthesized number to the right of the date. When this number appears, the modified dates are available as a drop down combo list box to view and select. Each version date of the file is listed from most recent to oldest with a -1, -2 -3... etc. indicator. Selecting one of these dates sets the version to restore when the file entry itself is selected to be added to your restore list. Please note that the list of versions goes back only to the previous full backup version. You must navigate past that full backup to see even later backup versions of a file. Backup version types and media types (disk or tape) are shown in the Attributes column when the Profile name is selected (highlighted) in the directory tree panel on the left.

  Each column is individually sizable by grabbing the divider for that column with the mouse and moving it right or left. You can reorder the columns by grabbing the title for the column and moving it.

- **List of files to restore:** The bottom frame displays the files or directories which have been selected for restore.
  You can change the destination of a file or directory by double-clicking the mouse in the **Restore to** column of a file or directory entry and entering the destination.

  For directories, you can use the mouse to check or uncheck the **Subdirs** checkbox.

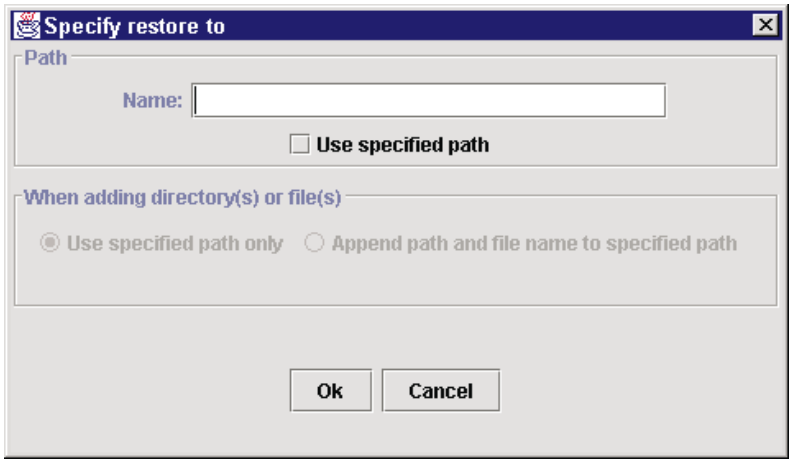
  Each column is individually sizable by grabbing the divider for that column with the mouse and moving it right or left. You can reorder the columns by grabbing the title for the column and moving it.
  The middle set of push-buttons are:

- **Add Directory:** If you press this button, or right mouse click in the Directory(s) frame and select **Add...** the highlighted drive or directory in the Directory(s) frame will be added to the *List of files to restore* frame.

- ☐ **Add directory and sub-directory(s):** If you press this button, or right mouse click in the Directory(s) frame and select **Add with subdirectories...** the highlighted drive or directory in the Directory(s) frame will be added to the *List of files to restore* frame and *Subdirs* checked (indicating that all files and directories subordinate to the selected entry) will be included.

- ☐ **Add file(s):** If you press this button or right mouse click in the File(s) frame and select **Add...** the highlighted files in the File(s) frame will be added to the *List of files to restore* frame.

- ☐ **Specify restore to...:** Allows you to specify the drive/directory destination for directories or files for subsequent adds. When you press this button a dialog is displayed:




  - **Name:** The drive and/or directory of where you wish the added drive/directories/files to be restored to (the destination).
  - **Use specified path:** Check this box to enable the radio buttons below.
  - **Use specified path only:** Select this radio button to add all entries to the Name specified.
  - **Append path and file name to specified path:** Select this radio button to use the Name specified as a prefix and to use the original path as a suffix. This allows you to maintain the original directory structure when restoring files from multiple directories.

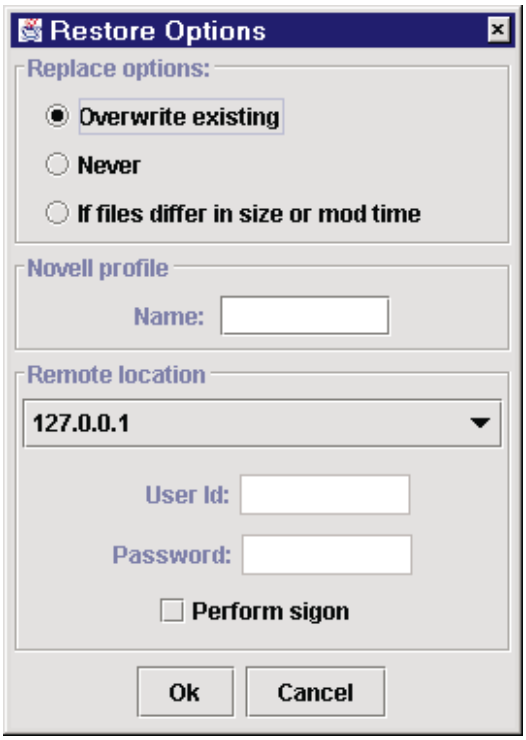
- ☐ : Enables the context sensitive help facility. After pressing this button the mouse cursor is now used to bring up specific help for any control that you click.

- ☐ **Remove:** Removes the highlighted entry in the *List of files to restore* frame.

- ☐ **Select all:** Selects (highlights) all the entries in the *List of files to restore* frame. This is useful when you wish to remove all the entries selected for restore.

- ☐ **Monitor:** This button is grayed unless you have started a restore from this facility and stopped monitoring. Press to resume monitoring.

- ☐ **Restore options...:** Allows you to specify some restore overwrites. Displays the following dialog:




- **Restore options** are:
  - **Overwrite existing:** Any existing files will be overwritten by files from the restore.
  - **Never:** Do not overwrite any existing files.
  - **If files differ in size or mod time:** Do not overwrite existing files from the restore if the files on disk have the same size and modification date/time.
- **Novell Profile:** If you are restoring to a Novell server and need to specify a Novell Profile which is different than the one in the template parameter file, you can specify this here.
- **Remote Location:** If you specified **Connect to Running UPSTREAM** (in the UPSTREAM configurator) and then specified multiple IP Addresses in the UPSTREAM configuration, a list of those addresses is displayed here as a combo box. You can select to which running UPSTREAM you wish this restore directed. The default is the first item in the list.
- **Perform signon:** If the UPSTREAM PC/UNIX system you are connecting is personalized to require local logins, check this box. Then you must fill in the **User Id** and **Password** fields with your security signon for that system.

☐ **Start restore:** Begins the restore if there are files in the *List of files to restore* frame.

The **File** menu consists of:

☐ **New Profile:** Brings up a dialog allowing you to specify a new backup profile.

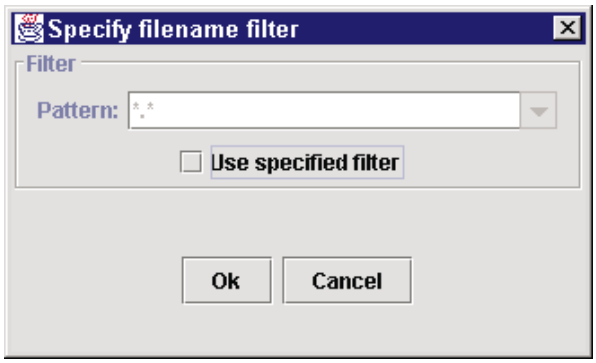
☐ **Exit:** Exits the program. You can also exit by selecting the Close option from system menu, or by pressing the 'X' on the top right of the frame.

The **Edit** menu allows you to cut, copy or paste any highlighted entry to/from the clipboard.

The **Options** menu consists of:

- **Colors:** Displays a pallet of colors for the foreground or background of the display. The colors are not preserved between executions of the program.

- **File Filter:** Displays a dialog which allows you to specify a wildcard mask to be used in selecting files to be displayed. Note that this does not filter files in the restore.

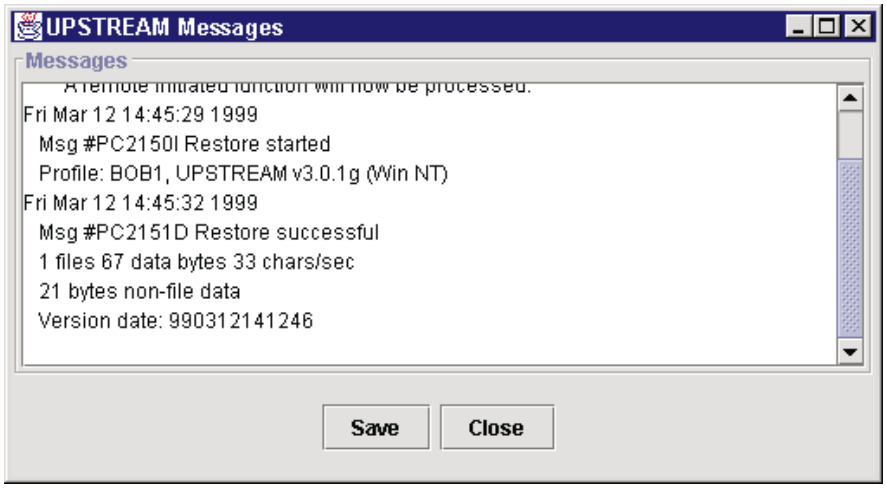



  - **Use specified filter:** If this button is not checked, all files are included.
  - **Pattern:** If Use specified filter is checked, then the specified pattern is used to restrict files displayed. The default for PCs is *.*, for UNIX systems is *. This field includes a pull down menu allowing you to easily recover previously specified patterns.

- **Font:** Allows you to specify the font for virtually all text displayed. The fonts are not preserved between executions of the program.

- **View Messages:** Displays a list displaying all messages written to the UPSTREAM log by UPSTREAM during a restore, most recent at the bottom. The list of messages accumulates between restores. This display is movable, resizeable and can be viewed during the restore process.

  Whenever a restore ends in a non-zero return code or is aborted, this panel of messages should be viewed to see the reasons for failure as well as actions performed.



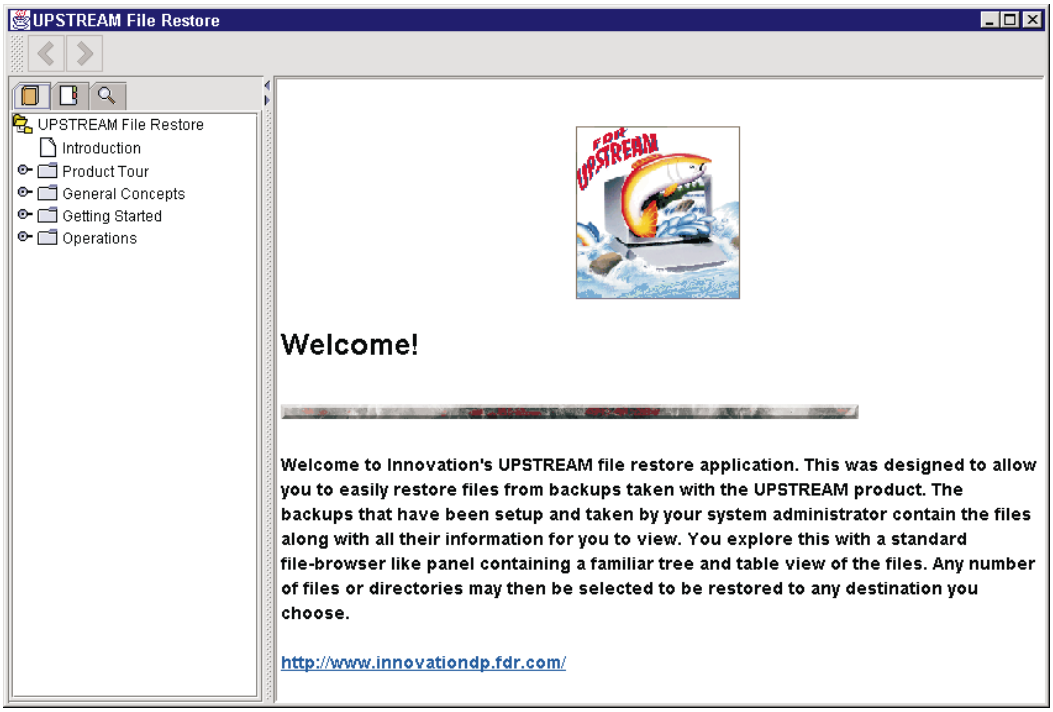
- **Restore options:** Displays the same dialog as the *Restore options...* button.

- **Look and feel** options change the way that the entire facility is displayed: buttons, frames, etc. change in the display to the given form. **Metal** is the default and offers high contrast and easy viewing in most environments. **CDE/Motif** displays the facility in the Motif (UNIX) form. **Windows** displays the facility using Windows conventions.

- **Browser** displays the Innovation Directory Browser which allows you to view the contents of your system using a Windows Explorer-like interface.

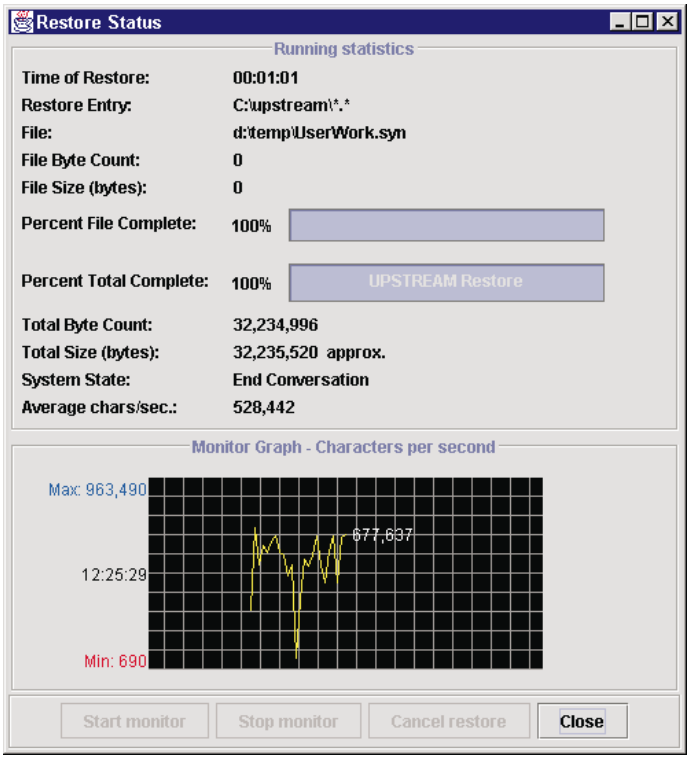
The **Help** menu contains:

- **Help Contents:** Displays the End-User Restore help facility. It consists of two frames, the left a hierarchical list of topics. When you select a topic, the right frame displays its details. The arrows on the top allow you to go back to the last viewed topic to return to a topic. On top of the left frame are three tabs. The left tab (with a book icon) displays the list of topics. The center tab (with a tabbed folder icon) display a list of indexed items and allows you to search on them. The right tab (with a magnifying glass icon) allows you to perform a text search.




- **About:** Displays the version number of this program.

When you begin a restore, a restore status screen is displayed.




The status display shows many of the same items as in a UPSTREAM status display with the addition of two progress bars, one for a given file and one for all the files in the restore. It also shows a graph which shows the instantaneous performance of the restore.

Note that during the restore, to see any UPSTREAM error messages you will want to have the Messages window displayed, which is available in the main display by pulling down the **Options** menu and selecting **View messages...**

This is a non-modal dialog which means that it is independent of the other dialogs in the program. If you close it while a restore is running, you can return by **Monitor...** button in the main display. You can use facilities in the main display while the restore monitor is displayed.

The buttons are:

- **Start Monitor:** Allows you to resume monitoring after pressing the *Stop Monitor* button.
- **Stop Monitor:** Suspends monitoring. Monitoring may slow down the restore slightly so you may wish to stop it for the very fastest restore performance.
- **Cancel Restore:** Allows you to cancel the restore.
- **Close:** Closes the monitor window. You can reopen this with the **Monitor...** button at any time during an active restore.

If you exit the program while a restore is running you will be asked if you wish to terminate the restore. You can not exit the end-user restore program with a restore running.

### 10.5.1. Security

If you configure End-User Restores to start UPSTREAM, it has the same security attributes as UPSTREAM itself. See the Security chapter in the FDR/UPSTREAM Workstation/Server User's Guide for details in securing UPSTREAM for remote client requests.

This page intentionally left blank

# 11 Performance

## 11.1. Overview

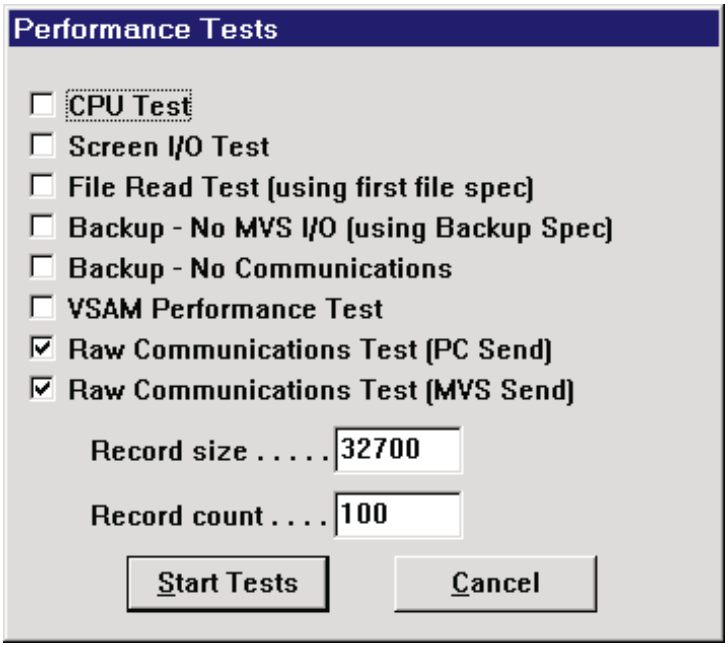
High performance is one of the major features of FDR/UPSTREAM. But, as with any communications product, performance requires some tuning to adjust it for your environment.

You must *scientifically* isolate the performance bottlenecks and then take the actions based on where the bottlenecks actually are. Your goal is to avoid wasting time optimizing areas where performance is not actually bottlenecked.

FDR/UPSTREAM provides performance isolation tools based on where performance bottlenecks generally are.

## 11.2. Performance Dialog

Selecting **Performance** from the **Action** menu of the main FDR/UPSTREAM program displays the Performance dialog.




Use this dialog to help you isolate any issues which are impacting performance. You select an option in this dialog by pressing one or more of the performance testing buttons (with the space bar or the mouse) and then pressing the **Start Tests** button. When all the performance tests are complete, a message box is displayed and logged with all the results.

Each test is described below with performance tuning suggestions. When you are first attempting to isolate performance issues it is recommended that you select all the options and then when fixing each option, perform one fix at a time until acceptable results are obtained. Feel free to contact FDR/UPSTREAM technical support at any step in the process to help you get the best from your environment and to help you set your expectations.

Setting your expectations reasonably is an important step. There is a finite point where you cannot appreciably improve performance. Remember that your goal is to get the best *possible* performance.

You can request Performance tests on other UPSTREAM machines (including Novell servers) by specifying the performance test options you wish to use and pressing the **Cancel** button. UPSTREAM will preserve your options in memory; you can then use **Request a Remote Function** with the **Use your parameters** option to send the Performance test request to another machine. See the *Advanced FDR/UPSTREAM* chapter for more information on sending requests to other UPSTREAM machines.

### 11.2.1. CPU Test

The CPU test returns a number indicating the number of times that a simple compression can be performed on your machine in a given period of time. A result of less than 2500 indicates that fast compression will impact performance on high-speed Token-Ring connections. A number less than 500 indicates that fast compression will impact performance in just about all links.

High compression requires very high CPU performance test numbers when used on high speed links. The general rule of thumb is that the best performance high compression can generate on a high speed link is somewhere around the CPU index * 10 bytes per second. Thus a CPU index of 5000 would have a maximum

performance somewhere around 50,000 bytes per second. Thus, we only recommend high compression in lower-speed links.

CPU performance impacts ALL aspects of FDR/UPSTREAM. Slow machines perform slow file I/O, slow communications, slow screen writes and are generally slow everywhere.

### 11.2.2. Screen I/O Test

The screen write test tests to see how many times a string can be written in a dialog box. This is useful in determining if the status display should be enabled during a backup or restore for best performance. A number less than 2500 indicates that performance will be impacted in high-speed Token-Ring connections. A number less than 500 indicates that performance will be impacted in just about all links.

When optimizing performance it is a good idea in just about every case to limit the status display by setting a Status Screen Time (in the Advanced menu in the UPSTREAM Configurator program) to a value or 2000 or 3000 (2 or 3 seconds).

### 11.2.3. File Read Test

This test reports the performance of reading all the files specified in the first backup file specification screen in characters per second. It does not test subdirectories even if the subdirectory box is checked. The record size is used to determine the blocking of the files read. Note that in many cases a **Backup - No Communications** performance test is more definitive as it reads all non-file data as well as well as traversing subdirectories.

PC file read speeds are critical in backup performance. In many file server environments, file I/O performance is the principal bottleneck. But these numbers can be deceiving. Most operating systems are usually very fast in reading an open file but are slow in the actual file opens. And as the number of files in a directory increase, the file opens get slower. In the same environment it is common to see speeds ranging from 10,000,000 characters per second to 20,000 characters per second depending on the file sizes and the number of files in the directory. So plan on running this test several times on different directories to get a reasonable estimate of aggregate throughput.

The file read/write size in UPSTREAM is not controlled by the record size, but by the **BACKUPBUFFERSIZE** environment variable (see the *Advanced FDR/UPSTREAM* chapter for a description of UPSTREAM environment variables). It's default is 32768 for most environments (262144 for Unix Systems Services).

To improve file read performance there are several things to try:

- If you are accessing files via a LAN, look into adapter tuning. For example, with Ethernet LANs you may need to try changing the duplex (full to half or vice-versa), or explicitly setting the adapter speed (to 100Mb for example).
- If you have many large files, increasing the BACKUPBUFFERSIZE may help to improve performance.
- In some environments (particularly Novell servers), reducing the BACKUPBUFFERSIZE has shown in some tests to improve performance.
- Reorganize your directories to reduce the number of files in a directory. It is faster to open a small number of files in many directories than a large number of files in a single directory.
- Faster CPUs improve performance. If you can, use a faster workstation and/or a faster server. Increasing memory in servers often helps improve performance as well.

### 11.2.4. Backup - No MVS I/O

This test helps you by performing all the functions of a backup without actually writing the data on the host. A normal backup is done using the backup specifications currently defined. The data is transmitted to the host but the host does not record it. You can not use UPSTREAM/SOS (local backup disks) with this test.

It is a good idea that before you run this test you perform a File Read or Backup - No Communications test to determine the file read performance to compare against total throughput reported. You should perform Raw Communications tests before running this test to see how backup performance changes when real data is read.

Thus this test is good to helping you in see the affect of latency (during the read) and slightly different buffer sizes (as UPSTREAM record packing will send slightly varying record sizes) in overall performance.

### 11.2.5. Backup - No Communications

This test does all the functions of a backup, but does no data transmission to the host. This is actually a better test for file access performance as it transverses subdirectories, accesses non-file data and performs all other functions of accessing data that are performed during a backup.

See the File Read test section (section 11.2.3.) for suggestions for tuning based on your results.

### 11.2.6. VSAM Performance Test

This test reports the number of single File Information and 6000 byte File Data cluster writes that can be performed in a second. The results of this test are reported as an error message during the test.

You would get an indication that VSAM performance may be affecting the overall performance of your backups if you see long pauses (5-10 seconds) during the backups.

VSAM performance varies significantly based on MVS CPU utilization and disk pack utilization. Results often vary by large increments even when run within seconds of each other. For the most useful results, run and average several of these tests at the same time of day you will be running the backups.

The VSAM results give you an indication of the maximum amount of data that can be received by the host. For example, 40 file information records and 35 file data records would indicate that no more than 40 small files in a second or more than 35 records (35 * 6000 bytes = 210,000 characters) in a second can be written to disk.

If you are using IAM files for the file information cluster, you will see a vast difference in performance numbers between file information and file data. This is due to the very high performance of IAM versus VSAM; IAM should be used for both the file information and catalog clusters whenever possible. IAM should not be used for the file data cluster.

### 11.2.7. Raw Communications Test - PC Send

The raw communications tests are the most used performance tests as they indicate in a simple step, the ability of the communications network to transfer large quantities of data. All users are encouraged to run these tests when tuning FDR/UPSTREAM as the initial starting point for isolating performance bottlenecks. We also recommend running these tests whenever setting up a new workstation to verify that communications performs as expected.

This test allows the sending of a specified number of blocks of a specified size to MVS. This can be used to determine the overall throughput which can be accomplished in a backup. When this box or the Raw Communications Test - MVS Send box is checked the record size and record count fields become available for entry.

Note that the total number of bytes transmitted is the product of the record size and record count fields. Do not specify values too large as this test cannot be interrupted once begun.

### 11.2.8. Raw Communications Test - MVS Send

Allows the receiving of a specified number of blocks of a specified size from MVS. This can be used to determine the overall throughput which can be accomplished in a restore. When this box or the Raw Communications Test - PC Send box is checked the record size and record count fields become available for entry.

Note that the total number of bytes transmitted is the product of the record size and record count fields. Do not specify values too large as this test cannot be interrupted once begun.

# 11.3. SNA Tuning Parameters

The SNA parameters of RU size and pacing counts are significant in improving performance; in some cases they can improve performance by orders of magnitude. In SNA the values you enter on the PC for RU size and pacing count may be negotiated to lower values without you being notified. The result may be poor performance which can be remedied.

As noted above, you will generally want to increase your RU size to the maximum supported (usually 1920 or 4096), but not beyond 4096. Pacing should be at least 8.

### 11.3.1. Session Status

With most SNA's, you must perform a SNA trace to determine the negotiated values. However, IBM CM/2 or Comm. Server in OS/2 and various Windows and Windows NT SNAs there are tools which indicate the final negotiated values. If you are running the Windows, Windows NT or OS/2 versions of FDR/UPSTREAM, you can pull down the **Communications** menu item and select **Session Status** (it is grayed if your APPC does not support session status). You will see a message box which displays something like the following:

```
Mon Jan 30 16:14:17 1995
   Session ACTIVE
   LU Name:         PC (LU4AS035)
   Partner LU Name: HOST (UPSTREAM)
   Mode name:       USTMODE
   RU size:     send 4096, receive 4096
   Pacing size: send 8, receive 8
```

The same information is also written to the UPSTREAM log when you perform your first host communications after starting the program.

If the message indicates that the session is not active, perform an UPSTREAM function which activates host communications (like a version inquiry) and retry the session status.

### 11.3.2. Other APPCs

To determine your negotiated RU size and pacing counts with other APPCs you will have to perform the SNA trace provided with your APPC. Reading these traces requires quite a bit of expertise. You need to examine the BIND response and check the RU size and pacing counts entries. See the IBM SNA Network Product Formats manual (LY43-0081-1) for a description of the fields in the BIND or call FDR/UPSTREAM technical support.

### 11.3.3. Frame Size

The SNA frame size determines the actual number of bytes that can be transmitted at one time. Many SNA's require that the RU size be at least 9 bytes smaller than the frame size so that RUs fit into frames.

However, some SNA's (Irma for the Mainframe, Rumba, etc.), support segmenting. In this case if the RU size is larger than the frame size, it is segmented to fit into the frame. If you have a small frame size, larger RUs actually degrade performance, as the frame size is the actual transmission value. Thus, you must be sure that the frame size is at least 9 bytes larger than the RU size to guarantee best performance.

### 11.3.4. Token-Ring Window Counts

The send and receive token-ring window counts defines the number of information frames (I-frames) the workstation/server can send/receive before receiving a low-level acknowledgment. These values range from 1 to 8 (or 1 to 127).

These values can impact performance, not just for FDR/UPSTREAM, but for your entire network. Poorly chosen values can cause a significant amount of network thrashing, which when it occurs on multiple workstations, can flood the network.

Since there are a variety of intermediate hardware settings that can affect the optimal values, we recommend that you try various values starting with 1 and moving in powers of 2 (1, 2, 4, 8, etc.) until you see optimal performance.

# 11.4. TCP/IP Tuning

TCP/IP is somewhat more difficult to tune as it is designed to be self-tuning. However, there are some things that can be done to improve your performance. Some of these include:

- LAN Adapter tuning
- Send and receive buffer sizes
- Adjusting your record size
- MTU size
- Window Size
- Host tuning

Note that many of tuning parameters suggested here will affect all of your TCP/IP applications. As a starting point, we recommend that you perform a bulk move with a standard TCP/IP application (such as FTP) to get a benchmark of non-UPSTREAM TCP/IP performance. Then perform the Raw Communications tests using UPSTREAM to see how UPSTREAM performance compares to performance with other TCP/IP applications. As you modify parameters, verify that your changes do not negatively impact performance by comparing them against your initial benchmarks.

### 11.4.1. LAN Adapter Tuning

A poorly tuned LAN adapter can degrade performance significantly for all LAN accesses. Making sure that you have the newest driver from the manufacturer is the first step. Then verify that your settings are correct (full vs. half duplex, LAN speed, etc.). Note that these setting must match your other hardware (such as Ethernet switches or other Token-Ring cards on the LAN). For Ethernet, you may want to try changing the duplex even if you are confident that you have duplex matched with your switch

### 11.4.2. Send and Receive Buffer Sizes

The lower layers in TCP/IP allocate buffers which are used to hold send and received data in transit. Increasing the size of these buffers can, in some cases, make a dramatic difference in performance. The initial sizes are vendor dependent.

You can set these buffer sizes in the advanced options in the UPSTREAM configurator: **TCP/IP Send Buffer** and **TCP/IP Receive Buffer**. We have found that most often the value 65535 seems to work the best.

### 11.4.3. UPSTREAM Record Size

The UPSTREAM transmission record size can, in many cases, be the most significant performance modifier. The actual data record transmitted in a single TCP/IP send command is determined by the structure of the data but will be as close as possible to the **Packing Size** (the PACKRECSIZE parameter), which is specified in the Backup or Restore More... Dialogs. This parameter seems to have the greatest affect when you are using the Interlink TCP/IP product on your host.

To determine your optimal Packing Size, perform multiple UPSTREAM Raw Communications Performance tests, using various record sizes. We recommend that you try 6000, 8192, 12000, 18000, 24000 and 32700 -

don't forget to reduce the record count as you increase the record size to keep the tests from running too long. If you find that your performance is best at smaller record sizes (6000 is much better than 32700), then set your record size to the record size that you had the best performance with and set your packing size to be 0 (to disable packing) or near that size, but slightly larger (say 6200 for example).

### 11.4.4. Setting TCP/IP parameters

Some system specifics for setting overall TCP/IP parameters:

- Windows NT: Virtually all TCP/IP parameters can be modified. Parameters are set using manual registry modifications. Microsoft Knowledge Base article Q120642 describes the process in detail. Note that most parameters are properly negotiated.
- OS/2: The TCPCFG (TCPIPCFG for older versions) allows you to modify the MTU size. No other parameters can be modified.
- Others: Most other systems (Windows 95, many UNIX systems, etc.) provide few if any modifications. See your system administration guides for more information.

### 11.4.5. Increasing MTU size

The maximum transmission unit (MTU) is the largest size that a IP packet can be. It is fixed at 1500 for all forms of Ethernet and should be set to that value.

For Token-Ring you can significantly improve your performance by adjusting the value upwards from the default of 1500. We recommend for most users a value of 4400.

### 11.4.6. Window Size

This parameter determines the maximum TCP receive window size offered by the system. The receive window specifies the number of bytes a sender may transmit without receiving an acknowledgment. In general, larger receive windows will improve performance over high (delay * bandwidth ) networks. For highest efficiency, the receive window should be an even multiple of the TCP Maximum Segment Size (MSS).

### 11.4.7. Host Tuning

Adjusting your MTU size and Windows Sizes on the host may actually improve your performance and reduce CPU utilization for all of your users. However, changes should be made with care as TCP/IP may refuse to come up or have other problems.

### 11.4.8. Other TCP/IP Notes

Some TCP/IP issues you may run into:

- TCP/IP Timeouts during long tape mount delays: If possible, adjust upwards your Maximum Data Retransmission Count (for Windows NT, the parameter is **TcpMaxDataRetransmissions**). Adjusting it upwards slightly (from 5 to 20 for example) will generally get rid of the problem. See Microsoft KnowlegeBase article Q120642 for more information.
- Situations where security validation works, but backups or restores don't can often be caused by MTU or Window Sizes set too large.

Note that we recommend using the FDR/UPSTREAM TCP/IP Attach Manager if you are executing more than one copy of FDR/UPSTREAM. See the *Running More Than One Copy* chapter for more information.

TCP/IP has a number of architected return codes. Some of their more common ones and their likely causes are:

- Broken Pipe: Usually caused by an intermediate system outage such as a lost router, a pulled cable, etc. This can also be caused by the remote system going down completely (crashing).
- Address Already in Use: Usually caused by brining up a 2nd copy of UPSTREAM listening on the same port.
- Connection Reset By Peer: This is the most common error and it can be caused by the remote system or application crashing, or an intermediate software failure (long tape mounts may cause this).
- Connection Refused: Usually caused by UPSTREAM not running on the remote system.

# 11.5. Other Performance Improvements

Besides the issues you can isolate and help improve in the performance facility, other performance improving items include:

- Duplicate files
- Local backups
- Improving Line Speed
- APPC Software
- Using Multiple PCs
- Eliminating or reducing Bridges and/or Routers
- Choosing appropriate test times
- Screen savers
- Disabling server compression
- Record size tuning
- Careful use of compression

### 11.5.1. Duplicate Files

The FDR/UPSTREAM duplicate file facility can be an excellent way to improve performance if you are backing up a number of systems that are logically similar (servers or workstations). See the duplicate file section for a description of the facility and its best usage to improve performance.

### 11.5.2. Local Backups

Local Backups are a technique of FDR/UPSTREAM where some data is stored on the local system as well as being transmitted to the host. In low-communications speed environments this technique can significantly improve restore performance. See the Local Backup section for a more detailed description of this facility.

### 11.5.3. Line speed

Line speed is the most important performance modifier. 2400 baud dial up lines are always slower than 16 megabit Token-ring connections. However, for most users this is the hardest to modify. But, when looking for the largest improvements, this is where you must start.

Line congestion affects line speed. Even Token-ring lines can get congested (though this is rare).

### 11.5.4. APPC

APPC vendors design their products with different goals in mind. For example, gateways are inherently slower than directly connected devices. Experience has shown that the fastest products are IBM APPC/PC® or IBM

Networking Services/DOS for DOS, DCA IRMA Workstation for Windows for Windows, and OS/2 EE® or CM/2 for OS/2.

### 11.5.5. Multiple PCs

Running more than one PC at a time, on the same LAN with the same server will provide better performance than a single machine, due to the advantages of using multiple CPUs and multiple conversations.

The key to doing this is careful planning. For example, if you have two volumes on your file server, you may choose to use two PCs, each backing up one volume. Remember that you must use separate backup profiles.

### 11.5.6. Bridges and Routers

Bridge and/or router hops reduce overall performance. To increase performance, the goal is to place as few intermediate devices as possible between the file server and the backup PC, and the backup PC and the host connected device.

### 11.5.7. Test Times

Test FDR/UPSTREAM when you will actually be performing the backup. File server congestion, host connection congestion, host utilization and other multi-user usage issues will impact performance, and may in fact be less significant at the time the backups will actually be run. If you can, attempt to run your final performance benchmarks at the same time of day and the same day of the week the backups will actually be run.

### 11.5.8. Screen Savers

Screen savers can take an enormous amount of CPU overhead. It is recommended that these always be disabled.

### 11.5.9. Server Compression

Some file server systems (most notably NetWare v4.x) support compression. Since FDR/UPSTREAM uses standard file access methods, the file server system will be constantly working to decompress/recompress files for transmission to the FDR/UPSTREAM machine. It is recommended that file server compression be disabled when optimizing for performance.

### 11.5.10. Record Size Tuning

The FDR/UPSTREAM parameter Record Size is the size of the logical record stored on the host. However, it does not have to be the physical disk read/write record size or the communications send/receive record size.

To change the transmission record size, there is a parameter PACKRECSIZE, whose default is 32700, which defines the TCP/IP or SNA transmission size. In most environments this is the best value, however, you may find that you may be able to improve performance by reducing it.

To change the disk read/write size, specify an appropriate Record Size (usually best done as a power of 2 i.e. 4096, 8192, 16384) and then specify the environment variable parameter BACKUPBUFFERSIZE to be a mul-

tiple of this value (up to 65500). The actual data read size is an even multiple of the Record Size up to the BACKUPBUFFERSIZE. The default is 32768.

### 11.5.11. Careful use of Compression

Compression can help or hurt performance. If the time to process data is more than made up for the fact that you have to send or receive less data, then compression will help. You should try testing all the compression levels on sample data in a realistic environment to determine which one, if any, helps the best.

A good rule of thumb is that high compression is best on slow links (56K bps or slower), fast compression is good with fast machines (486 or faster) on all fast links or on medium links (like coax) with all machines, and that no compression should be used elsewhere. Compression testing should always be done with the MVS storage requirements in mind.

### 11.5.12. Token-Ring Adapter Settings

There are situations where the performance of locally attached drives will be fast, but access to network drives will be slow. Often, by adjusting your Token-Ring adapter settings you can improve overall performance. By experiment, for IBM 16/4 Auto Token-Ring adapters we have found that for OS/2 our best settings are:

```
MAXTRANSMITS            50
RECVBUFS                4
RECVBUFSIZE             2040
XMITBUFS                2
XMITBUFSIZE             17952
```

This page intentionally left blank

# 12 THE FDR/UPSTREAM PROGRAM

## 12.1. Overview

This chapter describes all the options and functions available in the FDR/UPSTREAM main program US.EXE. It is helpful, but not required, to have looked through the previous chapters to get a feel for using FDR/UPSTREAM.

This chapter explains what FDR/UPSTREAM does when it starts, and it explains the menus and functions available when you run FDR/UPSTREAM.

There are additional parameters that can be specified on a backup that are specific to each specification. This chapter describes these parameters.

Migration (or Grooming) is the ability to automatically delete files which have been backed up. FDR/UPSTREAM has the ability to automatically delete files once the files have been successfully backed up and track them properly. If you are backing up a file system that supports file last access date, FDR/UPSTREAM can also automatically detect which files have not been accessed for some specified period of time. This chapter explains how to do this. FDR/UPSTREAM can also auto-recall Novell files as well. See the Novell chapter for a description of that facility.

There are additional parameters that can be specified for backups and restores. These include:

- Novell specific parameters
- Compression levels
- Reporting features
- ...and more...

Each backup or restore specification can also have metadata parameters (server security options) and other options. This chapter describes these parameters.

There is an alternate restore facility to the one described in the previous chapter. This chapter describes that facility.

# 12.2. When FDR/UPSTREAM Starts

When US.EXE starts it performs many different actions, some of them transparently. These include:

- Read and Verify Files
- Verify APPC and Start Communications
- Check for Failed Backups and/or Restores
- Start Unattended Operations
- Check for Remote Initiates
- Register your Registration information
- Perform automatic updates

This section outlines each of these steps to help you understand them.

### 12.2.1. Read and Verify Files

***(non-DOS and non-UNIX only)*** The first thing US.EXE does is load the communications and file systems drivers. If any of these executables or libraries cannot be found, FDR/UPSTREAM displays an error message.

***(DOS and UNIX only)*** The first thing US.EXE does is open its system file. This file US.RES must exist in the default directory. If it does not, you will receive a message stating that FDR/UPSTREAM could not load its resource file.

US.EXE then displays the main screen and reads in the configuration and transfer parameters. *Advanced Configuration* and *Advanced UPSTREAM* chapters describes how to set parameters in other ways than using the automated parameter files.

If there are missing or no parameter files, and the remainder of the startup functions are skipped. If the parameter files are found, then they are read in and checked for correctness and completeness.

### 12.2.2. *(DOS only)* Verify APPC and Start Communications

If you are using the Standard version with IBM APPC/PC or compatible (NetSoft, Novell, etc.) once the system and parameter files are read and are verified, FDR/UPSTREAM checks to see if APPC has been loaded. If it does not it displays a message and skips the remaining steps. If it is found, unless otherwise specified, it will begin starting the communications with the mainframe.

Starting communications can be time consuming so message boxes showing the progress are displayed. These boxes contain a <Cancel> button allowing you to stop the process. If you cancel the communications start, you can attempt a restart when you are in FDR/UPSTREAM through the pull down menus.

If you get an error message during session start, verify your parameters, check to see that the hardware is functioning, and verify that FDR/UPSTREAM MVS is installed and available. Error messages while you are starting communications frequently display a return code. This return can be looked up in your APPC manual or in the *Messages* chapter of this manual.

APPC is not verified for TCP/IP, IBM NS/DOS or UNIX, Windows or OS/2 users. It is assumed that host communications is running when FDR/UPSTREAM is started. You will receive an error message indicating a remote allocate failure if APPC has not been loaded. As these facilities start communications with the mainframe, FDR/UPSTREAM's first communications attempt will be to check remote allocates.

### 12.2.3. Check for Failed Backups and/or Restores

Once the communications services have been started, FDR/UPSTREAM checks to see if there was a restartable failed backup and/or a failed restore. If you are running attended (you checked the Attended box in the backup or restore <More...> dialog), you will be asked if you wish to continue this backup or restore. If you say no, then the backup or restore can be restarted later. If you say yes, then the backup or restore will restart immediately from the first failed file.

If you running unattended and have a failed backup pending, it will be automatically restarted (unless you checked the **Do not automatically restart failed backups** checkbox in the UPSTREAM configurator, or started with the option **NORESTART Y**). Note that setting these options still allows backups to be manually restarted or restarted remotely.

### 12.2.4. Start Unattended Operations

If you specified an unattended backup or restore (by not checking the Attended check box in the backup or restore <More...> dialog), then the backup or restore will immediately begin. When the requested function has completed, FDR/UPSTREAM will terminate.

If the unattended operation that you selected was to wait for host initiates, UPSTREAM will wait for the specified amount of time after the last received request (for RMTPARM.DAT this is 1 minute) and then terminate.

### 12.2.5. Check for Remote Initiates

FDR/UPSTREAM continually checks for remote backup or restore requests every 15 seconds after it is started with communications activated and you are at the main display (not in a dialog). Remote requests are also checked for when you begin a new backup or restore.

### 12.2.6. Registration

Registration is performed when the first remote check request has returned no remote initiates. It will be performed periodically thereafter depending upon how it is configured in the UPSTREAM configurator.

### 12.2.7. Automatic Update

If you have specified that this workstation is to be automatically upgraded, the version of UPSTREAM for your operating system type is checked at registration time. If it is different than the master version, when UPSTREAM is idle (not performing a local or remotely requested function), the automatic update process will be honored. See the Management chapter for a complete description of the process.

# 12.3. The FDR/UPSTREAM Menus

Once you enter FDR/UPSTREAM, you have many options available via pull down menus. This section describes the functionality available beyond the backup and restore specifications described in prior chapters.

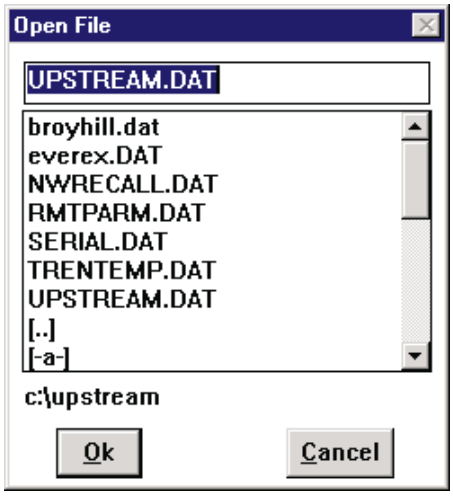
The menus available are:

- File menu. Its functions include parameter file and system options.
- Action Menu: Besides the backup and restore specification functions, it also allows file transfer, the restart of failed backups, performance bottleneck isolation, toggling of the trace for support purposes, and various other functions.
- Communications Menu: (OS/2, Windows and Windows NT) Allows you to see session parameters. (APPC/PC only) Allows you to control the session and to see the current session status.
- Remote Menu: Allows you to set up for unattended remote operation, accept or reject remote requests, perform your own remote request and perform various registration operations.
- Management Menu: Allows an authorized user to perform certain management functions including viewing/deleting of existing backups, modifying host configuration entries, viewing the status of FDR/UPSTREAM MVS, host reporting and duplicate file management.
- Security Menu. Allows you to modify your active security information and invalidate it.

### 12.3.1. File Menu

This menu allows you to perform parameter file options as well as several system functions. The parameter file options include:

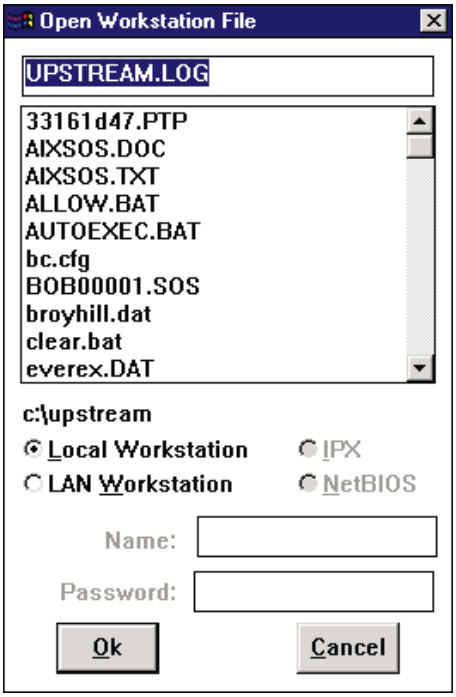
☐ **Open:** This option displays a dialog allowing you to use a previously saved backup or restore parameter file.




Only those files with the .DAT suffix are displayed in the box (though you can enter any file name). When you open a parameter file, the dialog for the function specified in the parameter file is displayed and the fields in the dialog are changed to reflect the new parameters. An accelerator for selecting this option is [ALT]O. Note that passwords are not retrieved from parameter files in attended mode for security reasons.

- **Save:** This allows you to save parameters that you changed in the backup or restore dialog, but did not save when you exited it. It displays the same save dialog as when you press the <Ok> or button in the backup or restore dialog to allow you to specify the file name to save. An accelerator for selecting this option is [ALT]S.

- **View:** This option allows you to view a standard text file without starting another program, and is most often used to viewing the UPSTREAM logs and reports. When you select this option, you see the Open Workstation File dialog .




If you press the <Local Workstation> radio button, the list and the file you view will be a file on your workstation or server. If you press the <LAN Workstation> radio button then you will be viewing a file on an ULTra workstation and you must enter the connection type and workstation name (and optionally the password).

When you select a file, you will see it displayed in a list box. There are <Top of File> and <End of File> push-buttons to move through the file as well as push buttons to open another file and to close the current file. Note that in many cases, using the scroll bars to scroll through the file will not work as it is paged into memory based on the highlighted item in the list box, so we recommend the use of the cursor keys, control keys (i.e. [PgUp], [PgDn]) or control push buttons.

The system options in the file menu include:

- **Exit:** When you select this option a message box is displayed asking if you wish to exit FDR/UPSTREAM at this time. Press <Ok> to exit, or <Cancel> to remain in FDR/UPSTREAM. An accelerator for selecting this option is [ALT]X or [F3].

- (DOS and UNIX only) **DOS/Shell: When** you select this option a message box is displayed asking you to press <Ok> to go to DOS, or <Cancel> to remain in FDR/UPSTREAM. If you press <Ok> to go to DOS (or the command line in UNIX), then the screen will clear and you will see a standard prompt. FDR/UPSTREAM is suspended, but the session with the mainframe remains active.

  When you are ready to return to FDR/UPSTREAM, type EXIT from the command prompt. An accelerator for selecting this option is [ALT]D.

(DOS) Note that this option may not work if you have a limited amount of available DOS memory.

- **About:** Selecting this item displays a dialog providing a simple explanation of FDR/UPSTREAM and the current version number. The only option is the <Ok> button.

### 12.3.2. Action Menu

This menu provides the backup and restore functions described as well as a trace option.

- **Backup:** See chapter 8. An accelerator for selecting this option is [ALT]B.

- **List and Restore:** See chapter 9. An accelerator for selecting this option is [ALT]L.

- **Restart Backup:** This option is grayed and unavailable unless there is a failed backup and communications are currently available. If this option is not grayed, you can restart a failed backup (or a backup where certain files were unavailable) by merely selecting this option.

- **Kill Restartable Backup:** This option is grayed and unavailable unless there is a failed backup pending and communications are currently available. If this option is not grayed, you can specify that a backup never be restarted by selecting this option. You will have to confirm that you really don't ever want to restart the backup and then FDR/UPSTREAM will delete it's restart information for that backup.

- **Restart Restore:** This option is grayed and unavailable unless there is a failed restore pending and communications are currently available. If this option is not grayed, you can restart a failed restore by merely selecting this option.

- **Kill Restartable Restore:** This option is grayed and unavailable unless there is a failed restore pending and communications are currently available. If this option is not grayed, you can specify that a restore never be restarted by selecting this option. You will have to confirm that you really don't ever want to restart the restore and then FDR/UPSTREAM will delete it's restart information for that restore.

- **File Transfer:** This option allows you to exchange files with the host. See the File Transfer chapter for a complete description.

- **File Migration:** A facility designed to migrate (identify, and remove) files off the workstation/server. See later in this chapter for a description of the facility.

- **Trace:** Select this option only on the request of FDR/UPSTREAM technical support.

- **Performance**: This option displays a performance test dialog which allows you to isolate performance bottlenecks. See the performance chapter for a complete description of this option and other suggestions on how to improve performance. An accelerator for selecting this option is [ALT]P.

- **Set Environment:** Allows a method of setting lesser used FDR/UPSTREAM parameters that are usually set through environment variables. See the Advanced FDR/UPSTREAM chapter for a complete description.

- ***(OS/2, Win32 only)*** **Set Priority:** See the Advanced UPSTREAM chapter for a complete description.

- **Run a Job:** See the Advanced FDR/UPSTREAM chapter for a complete description. An accelerator is [ALT]J.

- **Restore and Inquiry (old):** See later in this chapter. An accelerator is [ALT]R.

### 12.3.3. Communications Menu

The communications menu provides services which allow you to view negotiated communications information, and (DOS) control manually your APPC services.

- ☐ ***(DOS only)*** **Activate Session:** This option allows you to activate the APPC if it is not already active. If you select this option, FDR/UPSTREAM goes through the same steps that it goes through when the program starts (see earlier in this chapter).

- ☐ ***(DOS only)*** **Deactivate Session:** This option allows you to deactivate APPC if it is already active. If you select this option, FDR/UPSTREAM disconnects from the APPC services as if the program is terminating.

- ☐ ***(non-UNIX only)*** **Session Status:** This option displays some of the LU 6.2 parameters such as the local LU name, the partner LU name and the mode name. It also displays whether the session is currently active. Even if APPC has been started, the session may still drop. You can activate a lost session by starting a backup or restore, or by deactivating and then reactivating the session.

  **(OS/2, Windows, Windows NT)** This facility will also show you the negotiated session values of RU size and pacing. The values are extremely important for performance optimization. You must have an active session for these value to be displayed - you may have to perform a function such as security validation, list and restore, etc. Some Windows implementations do not support this facility. These values are written to the log as well as the screen.

### 12.3.4. Remote Menu

The remote menu supports functions related to remote initiation of backups and restores.

- ☐ **Unattended Remote Functions:** This option allows you to specify unattended operations where the control of these operations is remote. This is primarily used to have the PC wait a given amount of time for a remote system to request a function. See later in this chapter for more information.

- ☐ **Accept Remote Functions:** This is a single flag which indicates whether you will accept and process remote requests. Remote functions are accepted if there is a check mark next to the option; they are disabled if there is no check mark. You toggle this flag by selecting the option on the menu (with the mouse or by pressing the [ENTER] key when the option is highlighted). Even if remote functions are disabled, FDR/UPSTREAM will continue to look for them, but will send an error message to the remote indicating that they are disabled.

- ☐ **Request Remote Function:** This option allows you to request that a remote function be performed on another PC. These requests can be directed via the FDR/UPSTREAM MVS program or directly (via APPN, LEN or directly). See the Advanced UPSTREAM chapter for more information.

- ☐ **Listen for Remote Functions:** This is a single flag which indicates whether FDR/UPSTREAM will periodically check for remote functions. Since checking for remote functions is very important for avoiding deadlock situations, we recommend unchecking this only when you are sure that your computer will not receive remote requests (either from another workstation/server or from the host).

- ☐ **Registered Names:** Allows an authorized user to modify the host registered name table as well a specify automatic updates. See the Management chapter for more information.

- ☐ **Resend Target Name:** If you have configured to use a target (registered) name, you can resend it at any time by selecting this option.

### 12.3.5. Management Menu

The management menu allows authorized users to perform certain management tasks.

- ☐ **Profile Management:** Allows an authorized user to view backups for all profiles or a selected subset of profiles and delete full backups. See the Management chapter for more information.

- ☐ **Profile Configuration:** Allows an authorized user to view, add, modify or delete host profile configuration entries. Options such as allowing a given type of backup, host file names generic and global profiles and more can be set here. See the Management chapter for more information.

- ☐ **Status of all FDR/UPSTREAM:** Allows an authorized user to view detailed status about the current functions being performed by FDR/UPSTREAM MVS and perform some functions to it. See the Management chapter for more information.

- ☐ **Host Reporting:** Allows a workstation/server user access to the facilities and data of the FDR/UPSTREAM MVS reporting facility. See the Management chapter for more information.

- ☐ **Duplicate Management:** Allows an authorized user the ability to view and delete the files currently in the duplicate file database. See the Duplicate File Support chapter for more information.

- ☐ **FDRSOS Local Backup Admin:** Administration of EMC disks shared between the host and the workstation/server. See the *UPSTREAM/SOS* chapter for more information.

### 12.3.6. Security Menu

The security menu allows a user to modify or invalidate their existing host security logon information.

- ☐ **Host Security Login:** Select this option to display the host security entry dialog. See Your First Backup chapter for the use of this facility.

- ☐ **Logout (Reset Security):** Invalidates your login. We recommend that you select this option if you are leaving your workstation/server and are not terminating FDR/UPSTREAM.

### 12.3.7. Physical Menu

***(Non-UNIX only)*** The physical menu allows physical disk backups and FDRSOS/physical disk restores.

- ☐ **Physical disk backup:** Select this option to specify complete disk backups at the physical level. See the *FDRSOS/Physical Disk* chapter for more information.

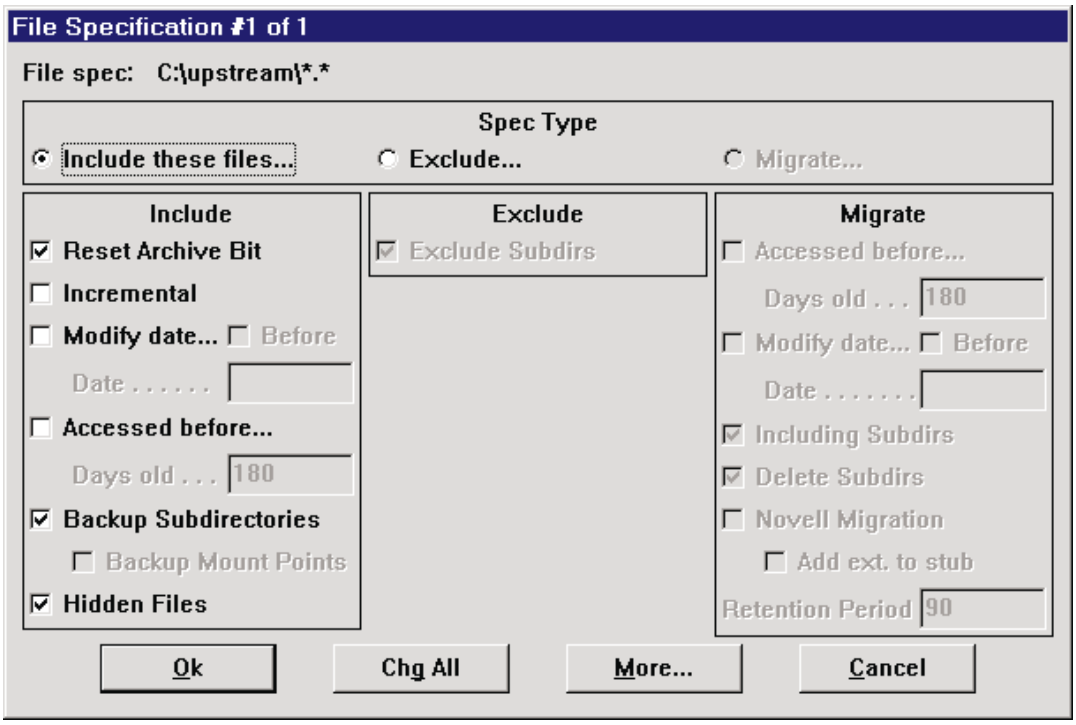
- ☐ **FDRSOS/Physical disk restore:** Select this option to specify complete disk restores of physical disk or FDRSOS backups. See the *FDRSOS/Physical Disk* chapter for more information.

# 12.4. Backup Specs

A specification used in a backup, specified by pressing the <Add> or <Update> buttons in the backup dialog, can be of three types. All of these types have additional options available.

- Include: This is the default. These are specifications where the file(s) specified are included in the backup.
- Exclude: These are specifications where the file(s) are specified in another file spec and you wish to exclude these from the backup.
- Migrate: These are specifications where the file(s) are specified in another file spec, you are performing a full merge backup and wish to have certain files deleted from your PC or server and maintained on the host for a given period of time. Migration is further described later in this chapter.

To specify the type of file specification and to modify the associated parameters, add or update a file spec on the backup dialog (see Your First Backup chapter), highlight the file spec you wish to modify and press the **<Spec Detail>** button. You will then see the File Specification dialog.




When you select the backup type, the options beneath it are available and the others are grayed and unavailable.

The options under Include these files... are:

☐ **Reset Archive Bit:** (non-UNIX) Check this box if you wish the included files to have the archive bit reset after they have been backed up. The archive bit is set by the operating system when a file has been changed and is used specifi-

cally for backups. Use this option for all backups if you wish to perform incremental backups; do not use this option if you wish to perform differential backups except on the full. The default is checked.

- ☐ **Incremental:** Check this box if you wish to perform an incremental backup; i.e.. the files included must have the archive bit set. For UNIX, these are files whose modification date is later than the date of the last backup for this backup profile. This box is grayed if you are performing a full or incremental merge backup.

- ☐ **Modify Date:** Check this box if you wish to include only those files whose modification date/time is before or after a specified date/time. The default is not checked.

- ☐ **Before:** Enabled only if you checked Modify Date above, allows you to include only those files from before the specified date. Otherwise, only those files after the specified date will be included. The default is not checked.

- ☐ **Date:** This field is grayed and unavailable unless you have checked the Modify Date box above. Enter a date (in MM-DD-YY format) which will be used to limit the files backed up. For example, September 1, 1994 would be represented as 9-1-94 (leading zeros are optional).

- ☐ ***(UNIX only)*** **Time:** This field is grayed and unavailable unless you have checked the Modify Date box above. Enter a time (in HH:MM:SS 24-hour clock format) which will be used to limit the files backed up. You must also specify a latest date.

- ☐ **Accessed before...:** Check this box if you wish to include only those files which have a last access date before the specified date. Use this option if you wish to migrate files without using the automatic migration facility and is generally not recommended. You can only use this option in file systems which support Last Access Date (such as Novell), and you should use the non file data option to reset the last access date during backups (see later in this chapter). The default is not checked.

- ☐ **Days old:** This field is grayed and unavailable unless you have checked the Accessed before... check box above. UPSTREAM will include a file if the number of days since it has been accessed is greater than this number. Thus if you wish all files included which have been accessed before today (yesterday and earlier), specify 0. The default is 180 days.

- ☐ **Backup Subdirectories:** Check this option if you wish the files which match the backup specification to be included in the backup in all subdirectories beneath the given one. Thus, if you wish to backup all the files on the F: drive, use a file specification of F:*.* and check the Backup Subdirectories check box. The default is checked.

- ☐ **Backup Mount Points**: A feature of Windows 2000 mount points allow you to associate an entry in the file system (a directory) with another location on disk. Check this if you wish to backup the mount point and all the files beneath it. The default is not checked.

- ☐ **Hidden Files:** Check this box if you wish hidden and system files to be included in the backup. Novell v3.x users must check this box to include the binderies. The default is checked.

The options under Exclude... are:

- ☐ **Exclude Subdirs:** Check this box if you wish the exclusion definition to include subdirectories under the specified one. The default is checked.

The options under Migrate... are:

- ☐ **All Files in Spec:** Press this radio button if you wish all the files in this file spec to be migrated to the host regardless of when they were last accessed. This is the default.

- **Accessed before...:** Press this radio button if you only wish to migrate files in this file spec which have been most recently accessed more than a given number of days ago. You can only use this option in file systems which support Last Access Date (such as Novell), and you should use the non file data option to reset the last access date during backups (see later in this chapter). Pressing this button activates the Days old field below. The default is not pressed.

- **Days old:** This field is grayed and unavailable unless you have checked the Accessed before... check box above. UPSTREAM will include a file if the number of days since it has been accessed is greater than this number. Thus if you wish all files included which have been accessed before today (yesterday and earlier), specify 0. The default is 180 days.

- **Including Subdirs:** Check this box if you wish the migration definition to include subdirectories under the specified one. The default is checked.

- **Delete Subdirs:** Check this box if you wish UPSTREAM to delete subdirectories that it empties during the migration. The default is checked.

- **Novell Migration:** Check this box if you wish to leave a "stub" file which will be used by the FDR/UPSTREAM Auto-Recall facility to automatically restore the file when a user accesses it. See the Novell chapter for more information.

- **Add ext. To stub:** Enabled only if you specified Novell Migration, adds a predefined extension **.UPSTREAM_MIGRATED** to the file to keep the Windows Explorer from recalling files when you browse a directory. The default is not checked. See the Novell chapter for more information.

- **Retention Period:** Enter the number of days that you wish the migrated files to be merged forward by the host software. Note that the files will remain on the backup tapes or disk files until they expire or are scratched, which may extend past the number of days specified here. The default is 90 days.

The buttons at the bottom of the dialog are:

- **Ok:** Press this button to save your changes and return to the backup dialog.

- **More...:** Press this button to specify additional parameters for this specification. These include metadata parameters (file system specific such as security information), and deletion options.

- **Chg All:** Press this button to have the changes that you have made in this specification copied to all the other specifications and then return to the backup dialog. This is not recommended if you have specified Exclude or Migrate file specifications as the type of specification is changed as well.

- **Cancel:** Press this button to abandon your changes and return to the backup dialog.

# 12.5. Migration

Migration is defined as the action of backing up a file and then automatically deleting it (this is also known as Grooming). FDR/UPSTREAM has the capability to do this and more.

Migration backups can be done in several ways:

- Using the File Migration facility. This is the recommended method.
- As part of a full merge backup. This has the added advantage of having the migrated files easily viewed and recovered through standard inquiry and restore facilities and the host will assure that these files are continuously moved forward until the given retention period has expired.
- As part of a separate merge backup, using a separate backup profile. This has the advantage of having the migrated files stored separately from the standard backup (thus saving space), but loses the advantages of easy inquiry and restore.
- As a non-merge backup using a separate profile. This method loses the advantage of real host migration control and it is discussed in the More Specifications section later in this chapter.

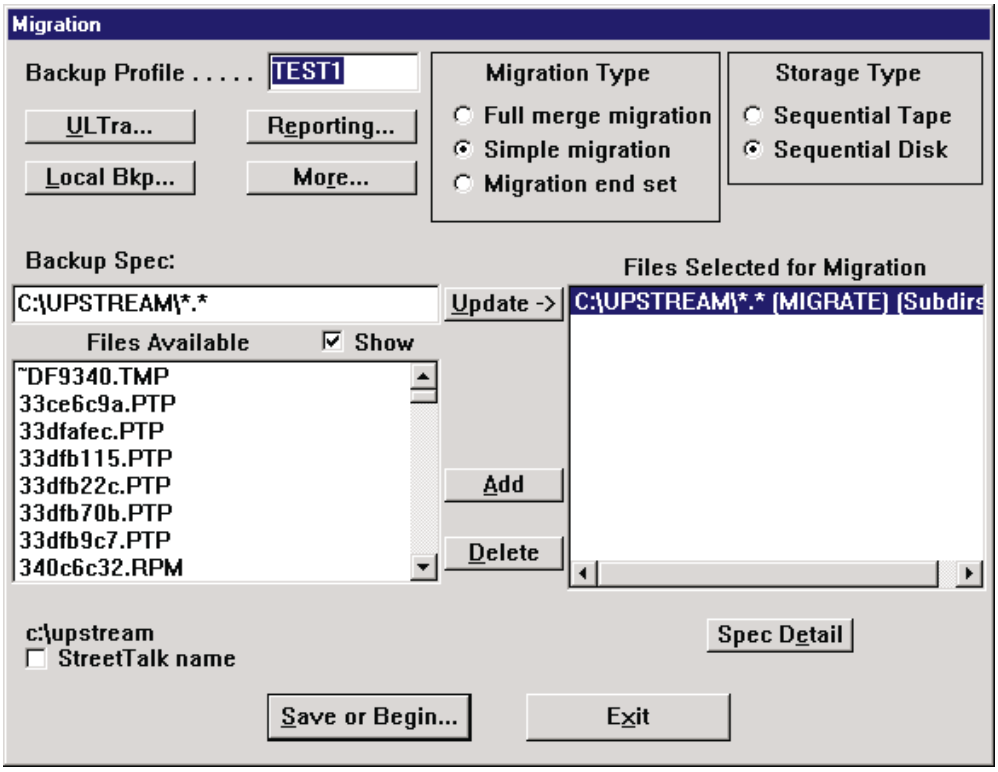
FDR/UPSTREAM can also automatically restore (auto-recall) files on your Novell server whenever a user accesses them. See the Novell chapter for more information.

### 12.5.1. File Migration

If you wish you can integrate your migrated and non-migrated data (see the following section), however, you are encouraged to separate migrated files from your normal production backups by using a **File Migration Only** backup profile.

A File Migration backup profile is a backup profile which is reserved for migrated files only. They cannot be used for regular merge backups and features such as duplicate file checking are specifically disabled. There is a new option in Profile Configuration for setting a profile as **File Migration Profile Only**. The notes at the end of this section denote recommendations for File Migration Profile Only backups.

To perform a migration using the file migration, pull down the **Action** menu and **select File** Migration.




Similar to the standard backup dialog, certain features are disallowed (include file specs, keyed and archived backups, NDS). It also generates a different action type (ACTION=11) which is used to indicate that this is a file migration backup.

- **Full merge migration:** Similar to a full merge backup, this creates a backup of all newly migrated files, plus it copies any unexpired files from previous full migrations and any files that were migrated with Simple Migration since the last Full Merge Migration. The Simple Migration backups will be uncataloged (if on disk, they will also be scratched). This is usually directed to tape.

- **Simple Migration:** Similar to a non-merge backup, this creates a backup of only the newly migrated files. This is usually directed to disk for faster backup and restore. You should not allow DASD management systems (such as FDR/ABR or DFHSM/DFSMShsm) to migrate these backups.

- **Migration End Set:** Identical to a Full Merge Migration, except that it marks the profile so that the **next** Full Merge Migration will not copy forward any migrated files from this (or previous) full migration backups. As the name "end set" implies, this ends a set of migration backups so that the next migration will create the beginning of a new self-contained set for this profile. See Note 3 below. This is usually directed to tape.

### 12.5.2. Usage notes

**1.** Migrated files are not deleted from the workstation until all of them are backed up. For Full Merge Migration, the deletion does not occur until the merge from previous backups is complete. If the migration fails while the workstation is sending files to MVS and the migration is marked restartable, you may restart the migration. However, if the migration fails while it is merging migrated files from previous backups, the backup will be discarded and must be started over. If the merge fails because some expected previous backup is not available (e.g., no longer cataloged or not on the expected disk), you must manually delete the unavailable backup from

FDR/UPSTREAM's records (see removing backups in Profile Management) or recover a vaulted copy of the missing backup before redoing the migration.

**2.** Innovation recommends that you do Simple Migrations on a frequent basis (e.g., daily or weekly) to disk. This allows for fast restores if the data is needed. Full Merge Migrations should be done on an infrequent schedule (perhaps monthly). The data set names used for the Simple Migrations on disk (DASDPREF) and for the Full Merge Migrations on tape (TAPEPREF) should be different. You may want to make the tape backups a GDG, with a limit of at least 3 or 4 generations so that backup copies of the migrated files are retained (but see the notes on backup retention below); the disk backups do not need to be a GDG, but you must insure that they are retained until the next Full Merge Migration (not deleted by a DASD management system).

**3.** "Migration End set" can be used when the amount of unexpired migrated data in the current full migration backup has become so large that merge processing time or the number of tapes required has become too large. The Full Merge Migration backup it creates will be the last one in this "set" of migration backups; subsequent migrations will contain only the files migrated after this point. But this means that this migration end set must be retained until all of the migrated files on it reach their individual expirations; see the notes on backup retention below. Migration End set will probably not be required unless:

- a large amount of data has been migrated under this profile with a long retention.
- the maximum file retention used under this profile exceeds 1 year.
- you do manual migration (not based on date of last usage) of a set of files and need to keep them for an extended period (longer than the usual migration retention).

**4.** Since these migration backups are the only copies of the migrated data sets, Innovation strongly recommends that you create backup copies using the USTVAULT utility (See the FDR/UPSTREAM MVS manual).

### 12.5.3. File Retention vs. Backup Retention

As mentioned earlier, migrated files are given a retention period as part of the file spec used to select them. This retention used to calculate an expiration date associated with each migrated file. When a Full Merge Migration is done, previously migrated files are always copied forward to the new full backup unless they are past their expiration date, so expired files are automatically discarded. If you do not use the Migration End Set option, this means that the latest full migration will contain all unexpired files that have ever been migrated under this profile (we recommend that you retain several previous full migration backups for safety). You can view the expiration dates by checking the **Display expiration dates** checkbox in the Restore parameters dialog from List and Restore.

However, if you do use the Migration End Set option (see Note 3 above), the migrated datasets on the backup created by that option are **not** copied forward to subsequent migration backups. You must insure that this end set backup is retained until every migrated file on it has reached its individual expiration date.

The easiest way to do this is to use the configuration option to include an exclamation mark (!) in the backup dataset prefix in the profile (see DSN Prefix in the description for Profile Configuration); "Migration End set" will replace that with an "E", while it is replaced with a "F" for Full Merge Migration or "N" for Simple Migration. This allows you to create one GDG for the normal Full Merge Migrations (keeping 3 or more generations) and a separate GDG for the Migration End Set backups, allowing them to be kept much longer. Depending on how often you intend to do a Migration End set, you must define this GDG with sufficient generations so that the backups are retained until the migrated files with the highest retentions reach their expiration dates. For example, if you do Migration End set once a quarter, and the highest retention is 365 days (1 year), the end set GDG should have at least 5 generations (5 quarters).

If you are doing migrations as part of MERGE BACKUPs, note that if you do a "First Time Full" backup, this will not copy any previously migrated files from previous full backups, so the previous full merge backup will need to be retained until all of the migrated files expire. There is no convenient mechanism for automating this, so you may need to manually change the retention of that previous full backup in your tape management system. This is one reason why separate migration is now the recommendation.

### 12.5.4. Last Access Date

Some file systems store and maintain much more information than is stored in the DOS FAT file system. Novell, OS/2 HPFS, Banyan using HPFS, UNIX file systems and NTFS will maintain a Last Access Date which is the last date that the given file was opened for any reason.

FDR/UPSTREAM can optionally respect this date on backups. When performing a backup of a file system which supports Last Access Date, FDR/UPSTREAM can save the directory information before opening the file and replace it after closing the file. As you would expect, this is essential for Migration.

**WARNING: If you are using Last Access Date for Migration, you must have all backup products reset it. For FDR/UPSTREAM backups, see the <Spec Detail>, <More...> dialog.**

### 12.5.5. Migration as Part of Backups

In releases of FDR/UPSTREAM prior to V2.5.4, file migration was done as part of regular backups (either MERGE BACKUPs or non-merge backups). This can still be done, but the separate migration described above is now the recommended way of doing this. In this mode, migration file specs are included with the backup file specs and the migrated files are included in the backups. For MERGE BACKUPs, the migrated files are copied forward to each successive full backup until they reach their expiration date.

For example, if you are regularly performing merge backups on the F: drive (F:*.*) and wish to migrate files in the F:\USERS directory which have not been accessed in more than 180 days create the following file specs when you are running a full merge.

```
Spec #1: F:\*.*
            Include these files:    Pressed
            Reset Archive Bit:      Checked
            Incremental:            Not checked
            Date Limit:             Not checked
            Accessed before:        Not checked
            Backup Subdirectories:  Checked
            Hidden Files:           Checked

Spec #2: F:\USERS\*.*
            Migrate:                Pressed
            Accessed before:        Checked
            Days old:               180
            Including Subdirs:      Checked
            Retention Period        90
```

When this backup is run, all the files on the F: drive will be backed up and the files in the F:\USERS directory which are older than 180 days will be deleted. These files will be stored on the host and merged forward onto subsequent full backups until the retention period (180 days) has expired.

### 12.5.6. Migration Inquiries and Restores

Version inquiries will display all backup file specs including Migration file specs as a check box in the version inquiry file spec dialog.

To see migrated files in file inquiries, you need to press the **Display Migrated Files** check box in the Restore Parameters dialog. When a File Inquiry is performed for a migrated file there will be the text [MIGRATED] in the column used to indicate file size.

Next to the Display Migrated Files checkbox are two checkboxes:

- ☐ **Only**. If checked, then only migrated files are displayed; standard (non-migrated) files are not displayed. This can be useful in determining specific migrated files to restore.

- ☐ **Display Expiration Dates:** (List and Restore only) If checked, the file list includes the expiration date for migrated files. Note that this is the last date that files will be merged forward - they will remain on the host until the disk or tape file expires.

There are two ways to restore migrated files:

- Specifically add or update a migrated file in the Inquire Files list box (using the mouse or keyboard)
- Specify wildcards in the Specification field and check the Restore migrated files checkbox in the <More...> dialog.

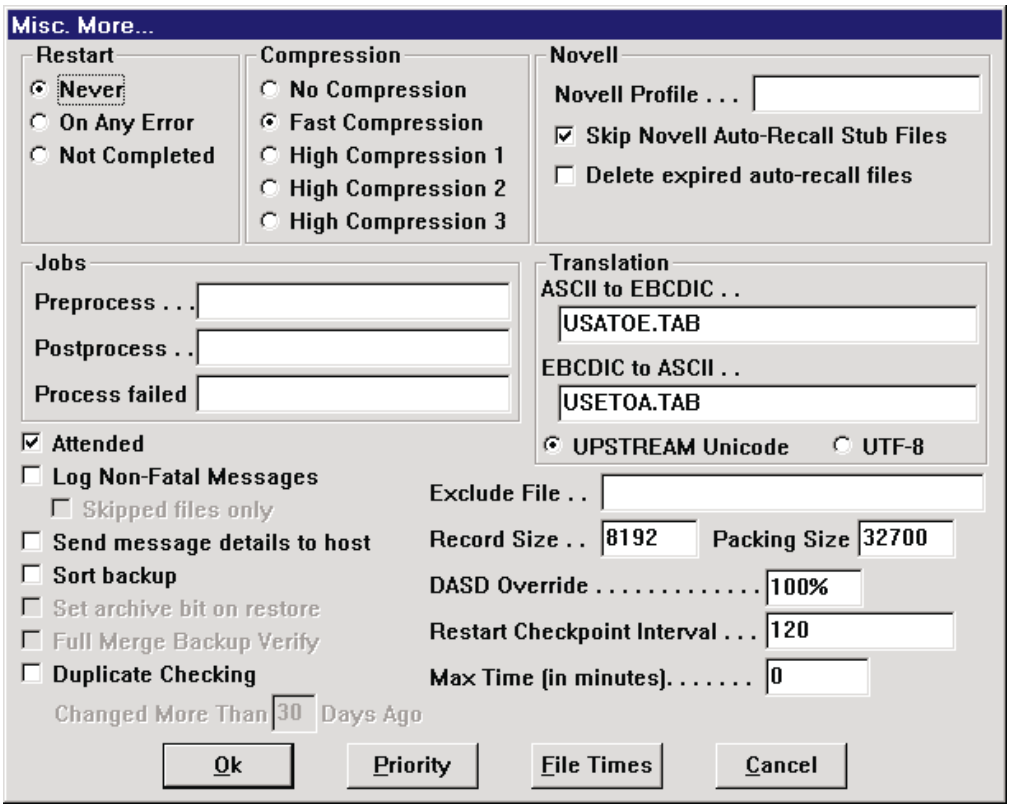
**NOTE: Files which have been migrated, restored and then backed up again will no longer be marked as Migrated and will no longer be carried forward by the host automatically.**

# 12.6. More Backup/Restore Parameters

You can specify several significant parameters for either backups or restores by pressing the <More...>, <Reporting...>, <Local Bkp...> or <ULTra...> buttons from the backup or restore dialogs. Note that several of these fields may be grayed either because server support is not loaded or these functions are not appropriate to your context (for example, backup only parameters will be grayed when you are in a restore dialog). TheULTRAra...> button is discussed in thULTRAra chapter, the other buttons are discussed below.

## 12.6.1. More... Dialog

Below is the <More...> dialog:




The Restart Backup/Restore frame lists radio buttons which allow you to select the situations in which a failed backup or restore will be restarted.

- **Never:** If you press this radio button, and a backups or restores failed for any reason, it will not be restarted.

- **On any error:** If you press this radio button, and a backups fails for any reason or a file is skipped for any reason the backup will restart at the point of failure (if the backup did not complete) and/or skipped files will be retried. This field is grayed for restores.

- ☐ **Not completed:** If you press this radio button, and a backup or restore does not complete, the backup or restore will restart at the point of failure. Failed files during a backup will also be retried. If the backup or restore runs to completion (regardless of whether files were skipped), then it will not be restarted.

The compression radio buttons allow you to specify the type of compression used during the backup. These fields are grayed for restores. Each level or compression has advantages and disadvantages. The default is fast compression.

- ☐ **No Compression**: If you press this radio button, then the data will not be compressed. Select no compression for the highest performance in local Token-Ring environments with medium to slow PCs where reducing data storage is less important than throughput.

- ☐ **Fast Compression:** If you press this radio button, then a high performance compression method will be used. This method if not particularly effective with many types of files in reducing the amount of data transferred. Select this option for high performance in most high speed communications environments.

- ☐ **High Compression:** If you press one of the high compression buttons, then the maximum compression will be used. These methods are very effective on all types of files. However, they significantly impact the performance of the backup and require additional memory. High compression is recommended in low speed environments (56K bps or less), in high speed environments where MVS storage is at a premium and for small backups. The three levels of high compression run at about the same speed, but take increasing amounts of memory and are slightly more effective (1-3% per level).

  The additional memory requirements are:

  - High Compression 1: 26K
  - High Compression 2: 46K
  - High Compression 3: 91K

  **Note If you choose to use high compression, in most cases you should use high compression 3.**

The Novell options are:

- ☐ ***(non-UNIX only)*** **Novell Profile:** (Novell Unattended Login) The Novell Profile references a profile name created using the SETNOV (Novell icon) program. This field is grayed unless you have Novell drivers loaded and active. Novell NetWare users who wish to automate their attachment to a particular server with a given user name and drive mappings should enter the predefined profile name value in this field. All other users should leave this field blank. See the Novell chapter for more information. The default is blank.

- ☐ **Skip Novell Auto-Recall Stub Files:** You must check this box if you are running UPSTREAM on a client (Windows or OS/2 machine) and have used the Novell Auto-Recall facility to avoid spurious recalls. If you are using the NLM version of UPSTREAM with SMS you may choose to not check this box and have the stub files backed up. The default is checked.

**WARNING: You must check the Novell Auto-Recall Profile box if you are using Novell Auto-Recall on a Windows or OS/2 client to prevent all files from being recalled during backups.**

- ☐ **Delete expired auto-recall files:** If checked, FDR/UPSTREAM will check all stubs left by FDR/UPSTREAM Auto-Recall during standard backups to determine if they have expired. If they have expired, the stubs will be deleted. The default is not checked.

The jobs frame allows you to specify jobs to be run before, after and in the event of failure of an UPSTREAM process.

- ☐ **Preprocess:** This is the name of a program, batch file or script file which will be run immediately before the UPSTREAM function. While only displayed for backups and restores, this field is active for all other unattended UPSTREAM functions (file transfers, host reporting, etc.). If the preprocess job fails (can't be run or returns a non-zero return code), the UPSTREAM function will not be performed. If the pre or post process job fails, and you are running CONV=WAIT host jobs, the entire function will return a non-zero return code on the host. Pre, post and failed processing jobs can only be run unattended or from a remote (host) request - they will not be run for attended requests.

- ☐ **Postprocess:** This is the name of a program, batch file or script file which will be run immediately after the UPSTREAM function. While only displayed for backups and restores, this field is active for all other unattended UPSTREAM functions (file transfers, host reporting, etc.). If the postprocess job fails, and you are running CONV=WAIT host jobs, the entire function will return a non-zero return code. Pre, post and failed processing jobs can only be run unattended or from a remote (host) request - they will not be run for attended requests.

- ☐ **Process Failed:** This is the name of a program, batch file or script file which will be run immediately after the UPSTREAM function if it fails. While only displayed for backups and restores, this field is active for all other unattended UPSTREAM functions (file transfers, host reporting, etc.). Pre, post and failed processing jobs can only be run unattended or from a remote (host) request - they will not be run for attended requests.

  Process Failed jobs can be extremely useful in that they can run jobs which will notify support personnel in the event of a backup or other UPSTREAM job failure. For example, you can create a Process Failed job to run the Novell SEND command to notify users that a Novell server backup failed. Note though that if UPSTREAM or the PC crashes, there will be no notification.

The Translation frame contains the file names for user loadable ASCII to EBCDIC translation tables. Note that you may want to use one of the supplied samples tables if you have files with characters outside the simple English alpha-numeric character set. See the *User Defined Translation Tables* section of the *Advanced FDR/UPSTREAM* chapter for more information.

- ☐ **ASCII to EBCDIC:** Enter the file name of the ASCII to EBCDIC translation table if you wish to load your own.

- ☐ **EBCDIC to ASCII:** Enter the file name of the EBCDIC to ASCII translation table if you wish to load your own.

- ☐ **UPSTREAM Unicode:** (Windows NT/2000) By default UPSTREAM will use it's own Unicode encoding for all characters outside the 8-bit ASCII range. See the *Windows NT/2000 Considerations* chapter for more information.

- ☐ **UTF-8:** (Windows NT/2000) A more efficient way to store characters outside the 8-bit ASCII range, but requiring one-to-one translation tables. See the *Windows NT/2000 Considerations* chapter for more information.

The checkboxes are:

- ☐ **Attended:** If you check Attended it is assumed that this is an attended backup or restore. Do not check this box if you are building a parameter file for unattended backups or restores. The default is checked.

**NOTE: Unchecking the Attended button and saving it as the default parameter file will cause FDR/UPSTREAM to automatically perform a backup or restore when it is started. Do not save an unattended parameter file to UPSTREAM.DAT**

- ☐ **Log Non-Fatal Messages:** If you check this box, FDR/UPSTREAM messages that do not terminate a backup will be displayed and written to the log. These messages include detail on why a file was skipped, failure to obtain non-file data and the like. It is recommended that this button be checked during testing and the initial phases of production and be unchecked when the log or reports are regularly checked for backup or restore completeness. The default is unchecked.

**NOTE: Significant warning messages may be missed in backups if the Log Non-Fatal Messages button is not checked.**

- ☐ **Skipped files only:** If Log Non-Fatal Messages above is checked you can reduce the amount of logging by checking this checkbox. Thus only those messages which result in skipped files will be logged; messages which do not result in a file being skipped (inability to access non-file data, resetting the archive bit, etc.), will not be logged. The default is not checked.

- ☐ **Send message details to host:** If you check this box, UPSTREAM will send all message lines (except for the additional text for each message) to the host whenever it reports an error; otherwise UPSTREAM only sends the first line of the message. The default is not checked.

- ☐ **Sort backup:** Will be used for a future feature in UPSTREAM/MVS. Not recommended at this time as it slows down the backups slightly and consumes memory. The default is not checked.

- ☐ **Host Sort:** Check this box if you wish the host sort utility utilized on restores. There is a certain amount of overhead in using the sort, so the default is not checked though you may want to enable it if there are problems in using the standard method. This field is grayed for backups.

- ☐ **Set archive bit on restore:** If checked, the archive bits will be set for files when they are restored. The default is not checked.

- ☐ **Full Merge Backup Verify:** Only enabled for full-merge backups. If checked, UPSTREAM will read, and compress the data, but not send it to the host. A checksum of the data will be compared against a checksum on the host and if a mismatch occurs, the file will be transmitted. As it degrades performance, it is only recommended with slow lines when you wish to verify non-file data. A message warning you about the performance penalty of checking it is displayed if you check this option.

- ☐ **Duplicate Checking:** If you check this box then placeholder records are send instead of the actual file data for files with last modification dates greater than the value specified. See the Duplicate Files chapter for more information. The default is not checked.

The remaining fields are:

- ☐ **Exclude File:** Enter an exclude list file, formatted as described later in this chapter.

- ☐ **Record Size:** The record size determines how the file is blocked during the transfer to the mainframe and is usually considered a tuning parameter. This field is grayed unless you are defining backup parameters. Smaller records require less memory but can be slower in transfer speed. Larger records require more memory and may be inefficient in remote storage utilization. We recommend that you use the default value of 8192.

- **Packing Size:** This is the host communications record packing (blocking) size. The actual records transmitted to the host over communications are an even multiple of the record size, less than or equal to the specified packing size. 0 disables record packing. Records are stored on the host using the record size; this is a communications tuning parameter. For example, a 6000 byte record size and a record packing size of 32700 will result in communications of 30000 byte records (excluding the affects of compression). For some environments, you may actually be able to increase performance by decreasing this value (or disabling record packing entirely). The default is 32700.

- **DASD Override:** See the *Sequential Disk Size* section of the *Advanced FDR/UPSTREAM* chapter for a complete description.

- **Restart Checkpoint Interval:** For restores, this is an interval (in seconds), when a checkpoint is taken. If the restore is restarted, it restarts on checkpoints. The checkpoint interval is also used for physical disk backups as the restart point.

- **Max Time (in minutes):** This allows you to have a backup, migration or restore automatically terminated if it runs for more than the specified number of minutes. The default is 0 which indicates an unlimited amount of time.

The following section describes the exclude list option mentioned above. Section 12.6.3., 12.6.3. describes reporting options. Section 12.6.4., 12.6.4. describes the local backup facility.

### 12.6.2. Exclude List

UPSTREAM supports an exclude list file which can allow you to specify any number of files to be excluded from a backup or restore.

The exclude list is a text file, each line indicating the file(s) to be excluded:

```
"<File name>" <subdirectory flag> <comments>
```

Where:

- "<File name>": The fully qualified file name, surrounded by quotes, of file(s) you wish to exclude from the backup or restore. You may use wildcards in the file name. Non-UNIX systems may use the generic '#' drive letter indicating any drive.

  If you wish to exclude all files in a directory and all files in all directories beneath that you can specify a file name of the directory name itself, without wildcards and without a trailing slash.

  For exclude specifications, wildcards can now be included in the middle of paths. For example, if you wish to exclude all the Novell printer queue entries on the SYS: volume of all servers, specify the exclude list entry below.

  ```
  "!:\*\SYS\QUEUES\*.QDR\*.*" Y
  ```

  Wildcards are operating system specific; use "*.*" to indicate all files for PC operating systems and "*" for UNIX operating systems. Use "?" to indicate single characters or to exclude a given number of characters. For example, "???" would exclude entries with 3 characters.

- <subdirectory flag>: Y indicates that all files in subdirectories beneath the one selected will be excluded. N indicates to only exclude these files in the specified subdirectory.

- <comments>: Any text, to the end of the line, that will help you remember the entry.

You specify the exclude list file name in the <More...> backup or restore dialog. You can also specify it from the command line, environment or the host with the UPSTREAM parameter EXCLUDELISTNAME.

Note that exclude definitions can slow down the process of building the backup file or the restore, in some cases significantly. You should experiment with adding entries and determining the performance impact before actually implementing a long exclude list in your environment.

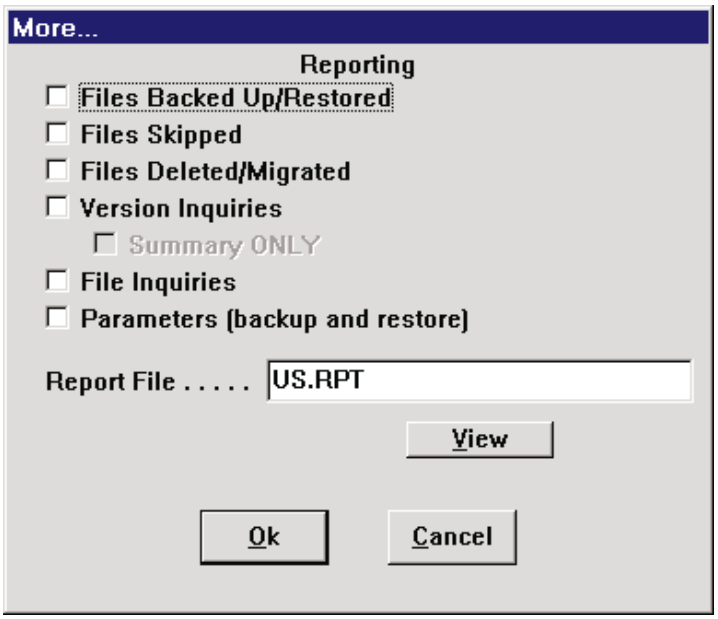
If you do not specify a drive or directory for a file, the '#' (universal) drive and subdirectories flag will be assumed.

(Non-UNIX) A sample EXCLUDE.LST file is provided (see below) which will work with most environments. We recommend deleting lines inappropriate to your environment.

```
"#:\BACKOUT.TTS"  Y   Netware     Transaction Tracking File
"#:\EA DATA. SF"  Y   OS/2        Extended Attribute File
"#:\SWAPPER.DAT"  Y   OS/2        Virtual Storage Paging File
"#:\386SPART.PAR" Y   Windows 3.1 Virtual Storage Paging File
"#:\SPART.PAR"    Y   Windows 3.1 Virtual Storage Paging File
"#:\WIN386.SWP"   Y   Windows 95  Virtual Storage Paging File
"#:\PAGEFILE.SYS" Y   Windows NT  Virtual Storage Paging File
"#:\US1.TRC"      Y   UPSTREAM    Trace File
"#:\US2.TRC"      Y   UPSTREAM    Trace File
"#:\UPSTREAM.BKP" Y   UPSTREAM    Temporary Backup File
```

### 12.6.3. Reporting

When you press the <Reporting...> button from the backup or restore dialogs you will see the following dialog:




☐ **Files Backed Up/Restored:** If checked, file names included in a backup or restore will be written to the report file.

- ☐ **Files Skipped:** If checked, files skipped during the backup are written to the report file as well as written to the local and MVS logs. Note that skipped files are written to the local and MVS logs only if **Log non fatal messages** is checked.

- ☐ **Files Deleted/Migrated:** If checked, all files deleted during a backup (with file deletion or migration options) are written to the report file.

- ☐ **Version Inquiries:** If checked, the results of version inquiries (all version and file specs within the versions) are written to the report file. Check the **Summary ONLY** for a more compact description of each version.

- ☐ **File Inquiries:** If checked, the results of each file inquiry (in directory format) is written to the report file.

- ☐ **Parameters (backup and restore):** If checked, the specified parameters are written to the report file at the beginning of a backup or restore.

- ☐ **Report File:** The file name where the report information will be written. We recommend that it be fully qualified. This is required for reporting.

- ☐ **View:** Press this button to view the report file specified.

The report file is simply a text file on the PC which contains the date and time of each event followed by the specific information for that event. Report files can be displayed with the viewing facility, your favorite text editor, printed, and, like UPSTREAM.LOG, maintained by USLOGCLR (see the *Errors* chapter).

A simple backup report entry might look like:

```
Tue Apr 12 16:05:10 1994
   Backup starting
   Backed up: c:\AUTOEXEC.BAT
   SKIPPED: c:\GO.BAT
   Backed up: c:\CONFIG.SYS
```

A simple inquire files entry might look like:

```
Mon Apr 11 15:21:27 1994
   Inquire files starting:
   Inquire files: g:\test\*.*
                          <DIR>        ..
      01/18/94 17:06:28          3 US.RET
      02/17/93 16:19:15        512 BACK$LOG.000
```

Report options are written to parameter files. Thus, if you wish you can change reporting options with each backup or restore, or control them from the host.

### 12.6.4. Local Backups

Local backups are yet another way to improve restore performance. In a local backup, the files are stored on the workstation/server, in compressed format, in a directory of your choosing, with certain restrictions you can specify as well as being transmitted to the host.

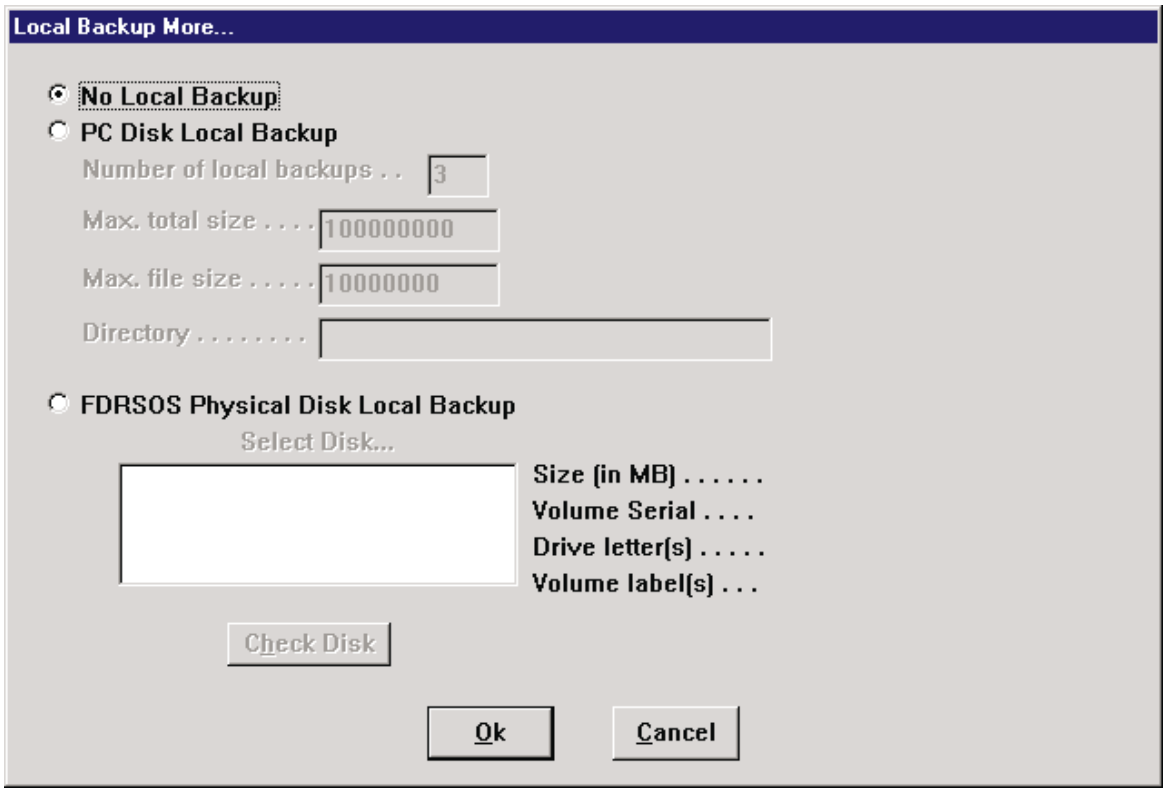
If you have FDRSOS and an EMC disk which can be shared between the workstation/server and the host, the local backup can be written to a shared disk thus eliminating the need for transmission, dramatically improving backup and restore performance and reducing network overhead.

Inquires and restores appear as if the data is stored only on the host, but if enabled, the data is recovered from the local workstation's repository rather than being transmitted from the host. This results in much faster restore

performance, particularly in low-speed communications environments. Management tasks such as merges, profile management, etc. are local backup aware.

Note that if the local workstation's backup information is lost, the files will automatically be transmitted by the host. Furthermore, if you perform a restore where some files are locally stored and some are stored only on the host, FDR/UPSTREAM will recognize this and use local storage whenever possible, and transmit the remainder from the host.

When you press the **<Local Bkp...>**button you will see the Local Backup dialog:




- **No Local Backup:** Press this radio button if you do not wish to use the local backup facility.

- **PC Disk Local Backup:** Press this radio button if you wish to enable local backups to PC disk files to improve restore performance. If you press this radio button you must enter the fields below.

  - **Number of local backups:** Enter a number from 1 to 255 indicating the number of local backup files that will be maintained on the local machine (each backup creates one file). When the number of local backups exceeds this number the oldest is deleted.

  - **Max. total size:** The maximum that a local backup file can be. When this maximum is exceeded, no more is written. The default is 100000000 bytes.

  - **Max. file size:** The maximum number of bytes of a single file that can be stored in the local backup. If a given file is larger, it is not written. The default is 10000000 bytes.

  - **Directory:** The directory where the local backup files will be stored. The default is the WORKPATH directory. If you change the directory and leave this field, and there are local backup files in the original directory, you will be asked if you wish to move your current local backups to that directory. If you answer Yes, then the files are moved. If you answer No, you will be asked if you wish to delete the local backup files in the original directory.

- **FDRSOS Physical Disk Local Backup:** Press this radio button to enable local backup to FDRSOS initialized physical disk volumes. See the *UPSTREAM/SOS* chapter for a complete description.

  Disk local backup files are stored in the specified directory with the name:

  ```
  <Backup Profile>.<number>
  ```

  Where <number> is from 1..255. The number 0 is reserved as a control file. For example, backups using the backup profile SERVER1 will have backup files named SERVER1.000, SERVER1.001, etc.

  The host is notified of all local backups stored on the workstation whenever a merge backup, a restore or profile management is performed. Thus, it is very important that if local backups are enabled, that the local backup directory be correct. If you move files to a new directory, all parameter files (workstation/server or host stored) must be modified to show the new directory.

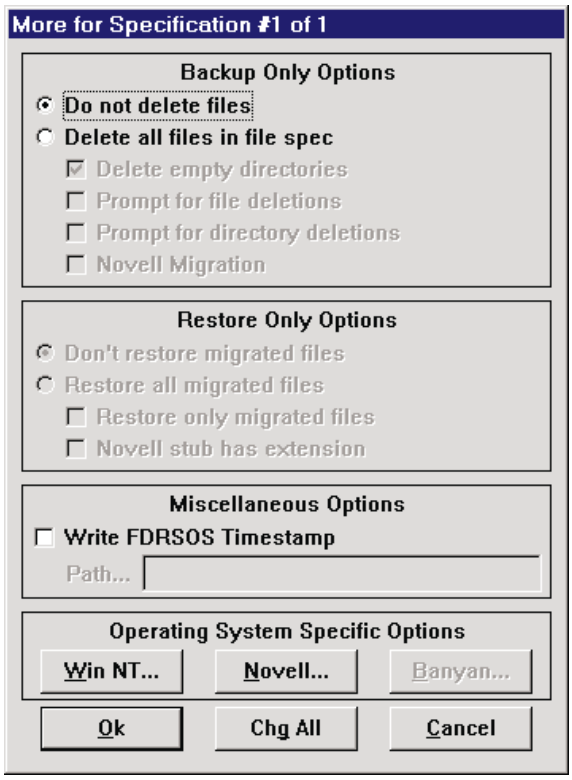
  There is a column in the Profile Management and Restore Parameters dialogs: **Lcl**. This column displays the local backup file number if the local backup is currently known to the host (the host must know about local backups for them to be utilized for restores). If you delete a backup in Profile Management the local backup file is also deleted. See the Management chapter for a more detailed description of Profile Management.

  Local backup support can be Personalized so that only authorized users can use it. See the Advanced Configuration chapter for a detailed description of this facility.

# 12.7. More Specification Parameters

There are additional parameters that can be specified with each backup and restore file specification. These parameters relate to metadata (file system specific features such as security) and rarely used parameters. If you wish additional information about the file system parameters see the appendix associated with your server vendor.

You can access these options by pressing the **<More...>** button in the backup file specification dialog, or the restore file inquiry dialog.




Some fields will be grayed and unavailable in the dialog depending upon whether you are performing a backup or restore. Also, the screen will be somewhat different depending on your operating system (UNIX is substantially different).

The **Operating System Specific Options** buttons display a dialog specific to that Operating System:

- **Win NT...** Lets you specify Windows NT/2000 specific file system options including the registry, ACLs, permissions, mount points, etc. See the *Windows NT/2000 Considerations* chapter for more information.
- **Novell...** Lets you specify Novell specific options such as file and directory information, trustees, etc. You must have the Novell Requester from Novell loaded on your machine. See the *Novell Considerations* chapter for more information.

- **Banyan...** Lets you specify Banyan specific options. You must have the Banyan client loaded on your machine and be logged into a server. See the *Banyan Considerations* chapter for more information.

The Backup File Deletions frame consists of parameters which are used in specifying automatic deletions. You would only use these options if you are performing migration and do not wish to use the automatic migration facilities described earlier in this chapter because you are not using merge backups or wish to store these files in a different backup profile. It is strongly recommended that you use the merge backup migration facility rather than these features.

☐ **Do not delete files:** If this radio button is pressed, when the backup has completed, files are not automatically deleted. This radio button is pressed by default.

☐ **Delete all files in file spec:** If this radio button is pressed, all the files in the backup will be automatically deleted (subject to prompting specifications below). The default is not pressed. When this box is checked the following options are enabled.

The following three checkboxes are enabled only with the Delete all files in file spec button pressed.

☐ **Delete empty dirs:** If you check this box, directories which are emptied through automatic deletions are removed automatically. The default is checked.

☐ **Prompt for file deletions:** If you check this box, you are prompted with a message box asking if you wish to delete each and every file. These messages boxes do not time out and there can be a large number of them so check this box with care. The default is not checked.

☐ **Prompt for dir deletions:** If you check this box, you are prompted with a message box requesting if you wish to delete the files in the displayed directory. Note that this is also a message box which does not time out. The default is checked.

In a backup in which deletions are specified, you are given a chance to abort the deletion process when the backup has completed and before the deletions begin, regardless of whether you have prompting enabled or not. A dialog is displayed with message 2080D which does time out.

If you press the <Ok> button before the time-out, the deletion process will be skipped. The time-out can be configured separately from the Messages Time Out by specifying the environment variable Environment Variable USDELETETIME. The default is 10 seconds.

The UNIX non-file data options displayed on this dialog include:

☐ **Reset Last Access Date:** Check this box if you wish a file's last access date to be reset to its original value after it has been backed up. This is usually required when using migration or planning to use migration. The default is checked.

☐ **Backup files opened for Update:** Check this box if you wish to perform a "dirty" backup - one which the files are not guaranteed to have internal integrity due to application/system buffering. This option is only valid for AIX; all other UNIX systems only support dirty backups. The default is not checked.

The Restore Only Options frame consists of parameters enabled only when specifying restores:

☐ **Don't restore migrated files:** Check this box if you wish migrated files to be excluded from the restore. Non-migrated files are always included if selected. This is the default.

☐ **Restore all migrated files:** Check this box if you wish migrated files included in the restore. Migrated files are automatically included if you select them individually from the Inquire Files list. This check box is only valid if this

file spec includes wildcards. You can press the **Only** checkbox if you wish to not restore standard files (only migrated files).

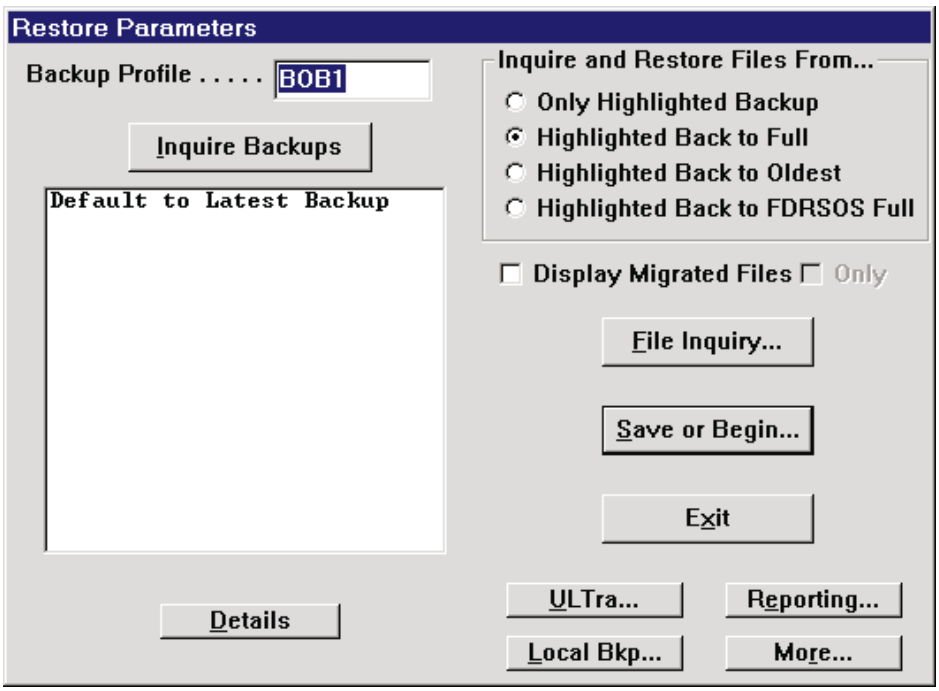
The **FDRSOS Timestamp Options** frame consists of options if you have the FDRSOS product or are using Physical Disk backups/restores. See the *UPSTREAM/SOS* chapter for more information.

# 12.8. Restore and Inquiry (old)

The old Restore and Inquiry facility has several advantages over the List and Restore facility and is thus still included in the product, including:

- Complete Support for Banyan StreetTalk. List and Restore has limited support for the StreetTalk database.
- Available when host connectivity is not functioning. The List and Restore facility requires host connectivity to be used. Thus if you wish to build parameter files or perform other off-line restore preparation functions, you can do this.
- Allows access to drives which are not included in the highlighted file spec.
- Easier to use when mouse support is not available (for the UNIX full-screen versions for example).
- Allows users familiar with older versions of UPSTREAM a familiar user interface.

When you pull down the Action menu and select Restore and Inquiry (old) you see the Restore Specification dialog.




The meanings of the first edit field is:

☐ **Backup Profile:** Specify the same Backup Profile that you specified for the backup. This field has a maximum of 8 characters and is required.

The push button under the edit fields is:

- **Inquire Backups:** This button performs an Inquire Versions. The PC establishes a conversation with the mainframe and receives the information for all backups for the Backup Profile. If you do not press this button, the information you will view and restore will be from the last backup stored for the profile.

- **Backups List:** This list box contains the formatted version dates once you have performed an inquire versions (with the <Inquire Backups> button). If you double click on an entry with the mouse, then details about that backup is available. The entry highlighted is used if you press the <Details> button.

- **Details:** This button allows you to examine the specific information for the backup version highlighted in the Backups list box above. You must press the <Inquire Backups> button to extract versions before viewing their detail.

The Inquire and Restore Files From... radio buttons allow you to select whether file inquiries and restores will use a single version or multiple versions (which may display multiple files). Note that these options are only used when working with Merge backups.

- **Only Highlighted Backup:** File inquiries and restores will use the backup version which is currently highlighted, or the latest version (if you have not pressed the <Inquire Backups> button) File Inquiries will show only the files stored in that one backup.

- **Highlighted Back to Full:** File inquiries and restores will use the backup version which is currently highlighted, or the latest version (if you have not pressed the <Inquire Backups> button) and all versions back to and including the full. If there are multiple copies of a file, all will be displayed. A restore will include the latest copy of each file (regardless of which backup it is on), or any specifically selected files. This is the default option.

- **Highlighted Back to Oldest:** File inquiries and restores will use the backup version which is currently highlighted, or the latest version (if you have not pressed the <Inquire Backups> button) and all versions back to the oldest version stored on the host for this profile. If there are multiple copies of a file, all will be displayed. A restore will include the latest version of each file (regardless of which backup it is on) from the selected backup back to the full, or any specifically selected files (which may be before the full backup).

- **Highlighted Back to FDRSOS Full**: If you have FDRSOS® and if selected, the workstation/server software will extract the modification date/time of the FDRSOS Timestamp file and the host software will transmit files in backups which were performed since that date. If selected, the workstation/server software will extract the modification date/time of the FDRSOS Timestamp file and the host software will transmit files in backups which were performed since that date. See the *FDRSOS/Physical Disk* chapter for more information.

The check boxes are:

- **Display Migrated Files:** Check this box if you are using Merge type backups and you have migrated files stored on the host. Checking this box does not necessarily include the files selected in a restore. See the Migration section in The UPSTREAM Program chapter for more information on migration/grooming. The Only checkbox is available if you check this box. The default is not checked.

- **Only**: Check this box if you wish to display only migrated files; i.e. no regular files. You must check the Display Migrated Files checkbox to check this box. The default is not checked.

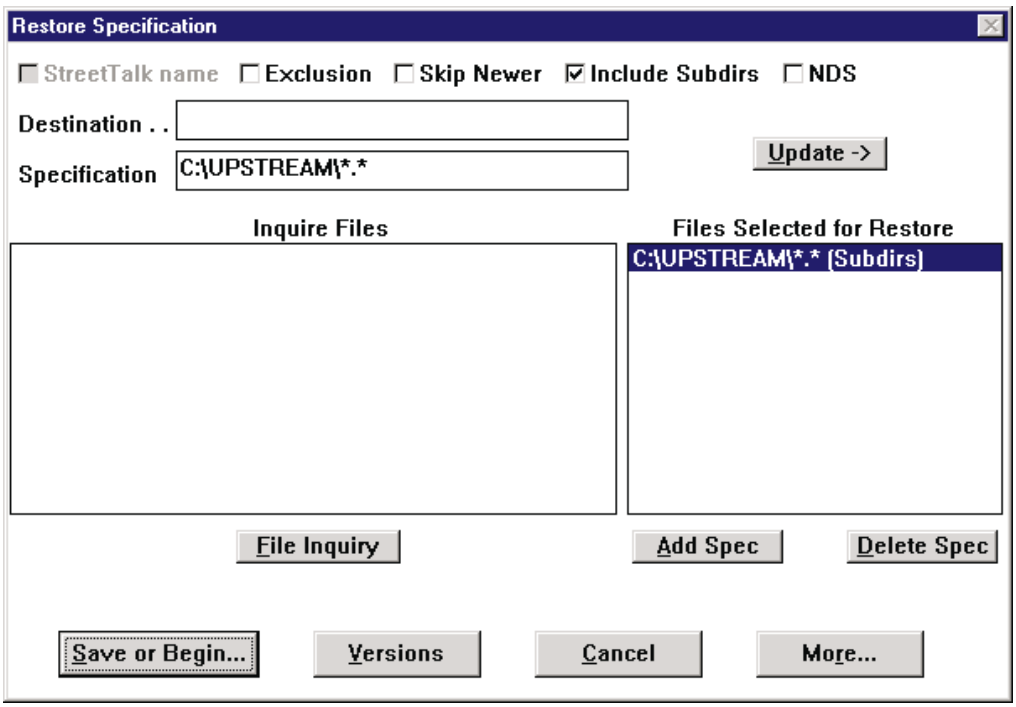
The push buttons at the bottom of the dialog allow you to continue.

- **File Inquiry...:** Press this button to specify which files are to be included in the restore and to perform file inquiries (listings of files stored on the host for each version).

- **Save or Begin:** Press this button to indicate that you are finished with this dialog and may wish to begin the restore now, and/or may wish to save your changes. Pressing ENTER has the same effect as pressing this button.

- **Exit:** Press this button when you wish to leave this screen without saving parameters. Pressing this button is the same as pressing the ESC key.

When you press the **File Inquiry** button, you will see the Restore Specification dialog.




- ***(non-UNIX only)*** **StreetTalk name:** Press this button if the value in the Specification field is a Banyan StreetTalk name. See the Banyan chapter for more information. This value will be grayed if the Banyan drivers are not loaded. The default is not checked.

- **Exclude:** If you have specified in another file spec, a file specification which includes files which you do not want restored, then by checking this box, you can use this file spec to specify files which are excluded. If you check this box, some of the other fields on this screen will become inaccessible because they are not used. The default is not checked. A '#' character can be used in place of the drive letter to exclude files on any drive.

- **Skip Newer:** If you check this box, and FDR/UPSTREAM attempts to restore a file which already exists on disk, it will check the file dates and only overwrite files which FDR/UPSTREAM has stored which carry a later date. Otherwise, all files are restored regardless of date (you would use this if you suspect corrupted files on your disk). The default is checked.

- **Include Subdirs:** If you check this box, all files matching the file specification in directories underneath the one specified will be restored. If you checked Exclude these files, then this field will change to say Exclude Subdirs. The default is checked.

- ***(non-UNIX only)*** **NDS:** Check this box if you wish to restore NetWare Directory Services. Checking this box cause the Specification field to change to (NDS). See the Novell chapter for more information. This field is grayed and unavailable unless you have a server mapping defined to a server running the USNDS NLM. The default is not checked.

The following two edit fields work together in allowing you to specify the source and destination file names:

- **Destination:** Allows you to specify a different drive, directory or file name than the one originally used for the backup. If you leave this field blank, the original drive, directory and path will be used. This is a scrollable field and you can enter up to 256 characters. The default is blank (files restored to their original names). Specify the same number of wildcards in this field as you specify in the Restore Specification, use a path and the generic wildcard *.*, or just a path ending in a backslash ('\').

  For example, if the Restore Specification is C:\Test*.txt and you want to rename all the txt files to doc files, you could enter in the Destination field:

  - C:\Test*.doc or
  - C:\Test*.* or
  - C:\Test\

- **Specification:** Allows you to specify the drive, directory and file name that was used originally to back up the files. This is a scrollable field and you can enter up to 256 characters. This field is required.

The first button is:

- **Update:** Press this button to update the highlighted Selected for Restore entry with the values entered in the Specification, Destination, Subdirs, Skip Newer, Exclusion and StreetTalk fields. Pressing the <Save or Begin> button also updates the Selected for Restore entry.

The first list box is:

- **Inquire Files:** The list box is filled by performing an Inquire Files, which is done by pressing the <File Inquiry> button. Like other list boxes in FDR/UPSTREAM, when you move the cursor into the box (by using the up or down arrow keys), the Restore Specification gets the value currently highlighted in the list.

  If you change drives or directories in the list box, the list will only be updated the next time you press the <File Inquiry> button or double-click the mouse on a directory entry. Double-clicking the mouse on a file the first time will cause the Selected for Restore box to clear and the selected entry added as the only entry. Subsequent double-clicked files will cause the files to be added. Double-clicking on a directory entry has the same effect as selecting the directory entry and pressing the <File Inquiry> button.

  You can tell which directory is currently displayed in the list box by the path specification directly beneath the box.

The buttons are:

- **File Inquiry:** Press this button to perform an inquire files of the file information specified in the restore specification currently stored on the mainframe for this backup profile/version date combination. You must provide the following parameters: backup profile, user ID and password (if required on the mainframe), and a restore specification. It is recommended that you perform an inquire backups before you perform the inquire files. This will fill in the originally specified backup specifications in the Files Selected for Restore list.

- **Add Spec**: Press this button if you wish to have the values entered in the top of the dialog (specification, destination, subdirectories, etc.) to be added as a new Selected for Restore specification and added to the end of the list box.

- **Delete Spec:** Press this button if you wish to delete the highlighted specification in the Selected for Restore list box. The prior entry is highlighted afterwards. You must leave at least one entry in the Selected for Restore list box.

The Selected for Restore list box controls the context of many fields in this dialog.

- **Files Selected for Restore:** Selecting different values in this box will cause the Specification, Destination, subdirs, skip newer, exclusion and StreetTalk name fields to reflect the updated values. If you have performed an inquire files, the list box will either clear (if your most recent file inquiry was not for this specification) or be filled (if your most recent file inquiry was for this specification).

The push buttons at the bottom allow you to leave the dialog:

- **Save or Begin:** Press this button to indicate that you are finished with this dialog and may wish to either save your changes and/or begin a restore. Pressing ENTER has the same effect as pressing this button.

- **Versions:** Press this button to return to the Restore Parameters dialog.

- **Cancel:** Press this button when you wish to leave this screen without saving parameters. Pressing this button is the same as pressing the ESC key.

- **More:** Press this button to determine which non-file data types that were backed up will be restored, as well as some Banyan overrides for the spec highlighted in the Files Selected for Restore list box. See server specific information chapter for more information.

This page intentionally left blank

# 13 Automated Backups and Restores

## 13.1. Overview

Automated backups and restores are one of the most powerful features of UPSTREAM. What they allow you to do include:

- Guarantee that PCs get backed up without the user having to worry about it.
- Support Software Distribution and other Data Distribution
- Support Data Sharing

Automated backups and restores require careful planning. When you create your plan we recommend that you coordinate this with your mainframe UPSTREAM administrator to assure that it meets your PC needs.

This chapter includes worksheets to help you plan your automated backup or restore scheme. We recommend that you use them to assure that all issues have been addressed.

Even if your automated plan is to have all actions controlled from the mainframe, you should read this chapter to understand the concepts and to set up your workstation correctly.

# 13.2. Concepts

In FDR/UPSTREAM, an automated backup or restore can either be run by starting a batch or script file, or by starting UPSTREAM directly. We generally recommend starting a batch or script file as this provides maximum control.

In particular, this allows you to prepare for the backup and clean up afterwards if necessary. For example, you may need to shut down databases before a backup and start them up afterwards. Or you may wish to perform certain cleanup activities, such as run USLOGCLR to keep the log from growing excessively.

The USSTART program (the UPSTREAM automated scheduling program, discussed further in this chapter) can be configured (using the UPSTREAM configurator) to run any type of program, including UPSTREAM. However USSTART handles command line parameters to the program it starts somewhat differently depending upon the operating system.

- ***(DOS, OS/2, UNIX)*** It is assumed that you will always use a starting batch or script file to start UPSTREAM. The command line is:

```
<program> <parameter file name> <config file name>
```

Thus, if USSTART was starting UPSTREAM in OS/2 with the parameter file SERVER1F.DAT and the config file UPSTREAM.CFG, the command line would be:

```
US SERVER1F.DAT UPSTREAM.CFG
```

The sample below shows USLOAD.CMD which is provided with the OS/2 version of UPSTREAM, used to start backups on a schedule.

```
@Echo off
c:
cd\upstream
US PARAMETER=%1 CONFIGFILE=%2 ATTENDED=N
```

- ***(Windows, Win 32)*** Designed to allow you to directly start UPSTREAM or a batch job, the command line is:

```
<program> parameter=<parameters file name> <config file name>
```

Thus, if USSTART was starting UPSTREAM in Windows NT, with the parameter file SERVER1F.DAT and the config file UPSTREAM.CFG, the command line would be:

```
US PARAMETER=SERVER1F.DAT CONFIGFILE=UPSTREAM.CFG
```

The sample below shows a sample batch file USLOAD.BAT which can be use used to start backups on a schedule.

```
C:
cd\upstream
US %1 %2 ATTENDED=N
```

A **Schedule** is a timed event. UPSTREAM supports 6 types of schedules: daily, weekly, weekdays, monthly, quarterly and yearly. Each of these events can start an automated UPSTREAM function. There can be up to 255 schedules per configuration file. To allow you maximum flexibility, each schedule can be defined to start a different shell or batch file and a different parameter file, allowing you to mix backups, with software distribution or other functions.

There are a variety of unattended UPSTREAM functions. To build an unattended UPSTREAM function within UPSTREAM, merely fill out the dialog of the function you wish to perform, and uncheck the attended box. There are several functions for which there are no dialogs for. In those cases, you have to set up the parameters by hand. The primary parameter used to determine the function to be performed is the parameter ACTION (except for requesting a function on a remote workstation/server). See the Advanced UPSTREAM chapter for a more thorough description of these functions and a list of parameter file values.

The unattended functions that can be performed through scheduled or host control are:

- Backups (ACTION = 1)
- Restores (ACTION = 0)
- As of...Restores (ACTION = 2)
- Restart a failed backup only (ACTION = 4)
- Run a program or batch file, optionally on a workstation (ACTION = 5)
- Kill a pending failed backup restart (ACTION = 6)
- Run a host report (ACTION = 7)
- Restart a failed restore only (ACTION=8)
- Kill a pending failed restore restart (ACTION=9)
- Submit a host job (ACTION=10)
- File migration backup (ACTION=11)
- Performance test (ACTION=13)
- Physical disk/FDRSOS restore (ACTION=14)
- Physical disk backup (ACTION=15)
- Delete a backup (ACTION=16)
- Registration (ACTION=17)
- File inquiry (ACTION=18)
- Request a remote function be run on another workstation/server (REMOTEREQUEST = Y)

USSTART.EXE (the UPSTREAM Automated Start icon) is the schedule execution program. It is a separate program and is intended to be as unobtrusive as possible, fit into your environment, and run UPSTREAM (and other) functions on a scheduled basis. In DOS it is a TSR which saves whatever is in memory, runs UPSTREAM and restores memory. In OS/2, Windows, Windows NT and UNIX it is merely a standard program which will run the requested function.

# 13.3. Guidelines for Automated Backups and Restores

Worksheet 13.1 is a blank form for you to fill out to help you plan for your automated backups and restores for the mainframe and PC configurations. Worksheet 13.2 helps you plan your parameter files used for the backups and restores. It allows room for only one file set, but you can copy the worksheet for additional file sets. Later in this section we will fill out several to give you the idea of how it works.

| Sch. # | Count or Ret. Period | Backup or Restore | Batch/Script File | Parameter File | Sched. Type | Date/Time |
|---|---|---|---|---|---|---|
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

**Worksheet 13.1**
**Automated Backup or Restore Plan**

| Parameter | File #1 | File #2 | File #3 |
|---|---|---|---|
| Parameter File Name | | | |
| Storage Type (backups) | | | |
| Restart Type (backups) | | | |
| Novell Profile | | | |
| LAN WS Name | | | |
| Compression | | | |
| Latest Version or Version Date (restores) | | | |
| Number of File Specs | | | |
| **File Spec #1** | | | |
| File name | | | |
| Destination (restores) | | | |
| Exclusion | | | |
| Incremental (backups) | | | |
| Subdirectories | | | |
| Hidden Files (backups) | | | |
| Latest Version or Version Date (restores) | | | |
| Skip Newer/Existing Files (restores) | | | |
| Grooming | | | |

**Worksheet 13.2**
**Planning Parameter Files for Automated Backups and Restores**

### 13.3.1. Automated Backups

Automated backups require different planning from automated restores because they are generally used for different purposes. Automated backups meet the primary needs of preserving your PC disk data for later recovery, either as a disaster recovery tool, or for archiving purposes.

Planning for automated backups requires that you consider some of the following issues:

- Avoiding conflicting with users whom need to use the machine.
- Determining how long you want to preserve data.
- Determining at what point you wish the intervals between data saved to increase (if any).
- Assuring that the important files really get backed up.
- Conserving mainframe disk space.
- Making sure that the more important event is scheduled first.

Figures 13.3 and 13.4 show worksheets completely filled out and are examples of an automated backup scheme.

The assumptions are:

- The PC is available for use for automated facilities at night only.
- Data must be preserved for a maximum of one year.
- All files on the C: drive must be preserved.
- Logarithmic increase in the age of backups is acceptable.
- Long term backups must be archived to tape.

The scheme works as follows:

- ☐ Monday through Thursday we wish to perform incremental backups. These backups are keyed allowing fast retrieval. Each day (Monday, Tuesday, etc.) is managed as one weekday schedule.
- ☐ Each Friday a complete disk backup is done. This assures that ALL data files are saved. These backups are archived and are rolled off on a 5 week schedule.
- ☐ Each month, on the first day, a complete backup is done. These backups are archived and the roll off interval is 12, thus maintaining backups for a year.

| Sched. # | Count or Ret. Period | Backup or Restore | Batch/Script File | Parameter File | Sched. Type | Date/Time |
|---|---|---|---|---|---|---|
| 1 | 5 (GDG) | B | USLOAD.CMD | WEEKLY.DAT | Week | Fri. 00:00:00 |
| 2 | 7 days | B | USLOAD.CMD | DAILY.DAT | Weekday | 00:00:00 |

**Figure 13.3**
**Sample Worksheet for Schedules**

| Parameter | File #1 | File #2 | File #3 |
|---|---|---|---|
| Parameter File Name | DAILY.DAT | WEEKLY.DAT | |
| Storage Type (backups) | Seq. Disk | Seq. Tape | |
| Restart Type (backups) | None | Not Complete | |
| Novell Profile | SERVER1 | SERVER1 | |
| LAN WS Name | | | |
| Compression | Fast | Fast | |
| Latest Version or Version Date (restores) | | | |
| Number of File Specs | 1 | 1 | |
| **File Spec #1** | | | |
| File name | F:*.* | F:*.* | |
| Destination (restores) | | | |
| Exclusion | N | N | |
| Incremental (backups) | Y | N | |
| Subdirectories | Y | Y | |
| Hidden Files (backups) | Y | Y | |
| Latest Version or Version Date (restores) | | | |
| Skip Newer/Existing Files (restores) | | | |
| Grooming | N | N | |

**Worksheet 13.4**
**Sample Worksheet for Parameter Files**

### 13.3.2. Automated Restores

Automated restores are primarily used for software and other data distribution and most users need to use it in a significantly different way than automated backups.

Some of the significant issues to be considered in planning for automated restores are:

- Is there both global (all workstations) and private data (one workstation) to be distributed?
- Do the files need to be staged through a temporary directory?
- Are there special installation issues to be considered?
- How often does data need to be distributed?

The scheme we recommend for distribution of files involves the setting up of a public backup profile and a private backup profile. All PCs should plan to extract data from the public backup profile on a regular basis. The private backup profile is used to allow users or administrators to send files destined for a particular workstation.

For safety, we recommend that for a public backup profile files be carefully prepared by an administrator, and built to be staged through a temporary directory and be compiled with a batch file for installation. The name of this batch file should be put by all workstations into their unattended options batch file started by USSTART.

For example, for a global distribution, a backup profile is defined (GLOBAL). The administrator wishing to distribute files will copy these files to a temporary directory on his disk (C:\TEMP), build a batch file to install these (C:\INSTALL.BAT), and back up the entire distribution directory and the installation batch job.

The workstations will periodically perform an automated restore. They will restore all the files in the latest version (which can be compacted or ZIPed, but don't have to be). When the administrator is confident that the data has been transmitted to the correct locations, the batch job can be run remotely to install the data.

Worksheets 13.5 and 13.6 describe the configuration for this process.

| Sched # | Backup Profile | Roll-off Count | Back/ Rest | Batch File | Parameter File | Sched. Type | Date/Time |
|---|---|---|---|---|---|---|---|
| 1 | GLOBAL | 1 | R | GLOBAL.CMD | GLOBAL.DAT | Week | Sun. 00:00:00 |
| 2 | PC1 | 1 | R | GLOBAL.CMD | PRIVATE.DAT | Daily | 00:00:00 |

**Worksheet 13.5**
**Schedules for Automated Restores**

| Parameter | File #1 | File #2 | File #3 |
|---|---|---|---|
| Parameter File Name | GLOBAL | PRIVATE | |
| Backup Profile | GLOBAL | PC1 | |
| Compression | Y | Y | |
| Storage Type (backups) | | | |
| Restartable (backups) | | | |
| Latest Version or Version Date (restores) | Latest | Latest | |
| Number of File Specs | 1 | 1 | |
| **File Set #1** | | | |
| Reset Archive Bit (backups) | | | |
| Incremental (backups) | | | |
| Date Limit (backups) | | | |
| Subdirectories | Y | Y | |
| Hidden Files (backups) | | | |
| Latest Version or Version Date (restores) | Latest | Latest | |
| Skip Newer/Existing Files (restores) | N | N | |
| File name | C:*.* | C:*.* | |
| Destination (restores) | | | |

**Worksheet 13.6**
**Parameter Files for Automated Restores**

# 13.4. Specifying Schedules in the Configurator

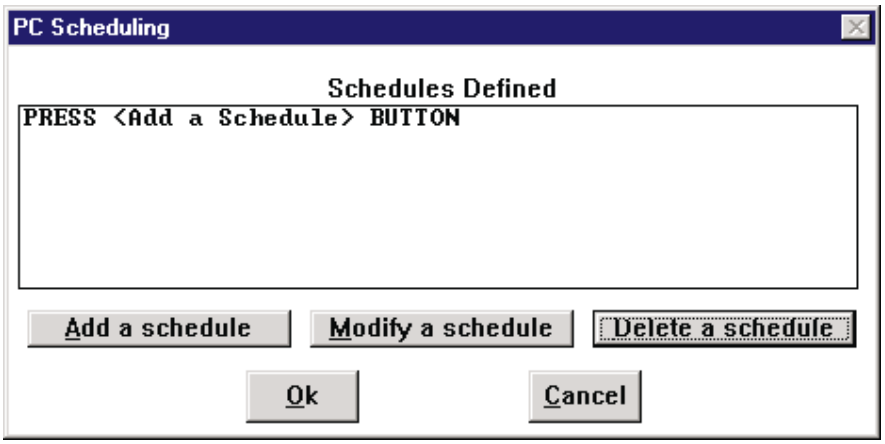
Schedules for unattended backups and restores are specified in the configurator. This section will walk you through the process of building an unattended backup or restore. Remember that it is better to pre-plan, so fill out a worksheet.

You start the configurator by entering:

```
[C:\UPSTREAM] USCFG
```

or by selecting the **Configurator** icon from the UPSTREAM program group.

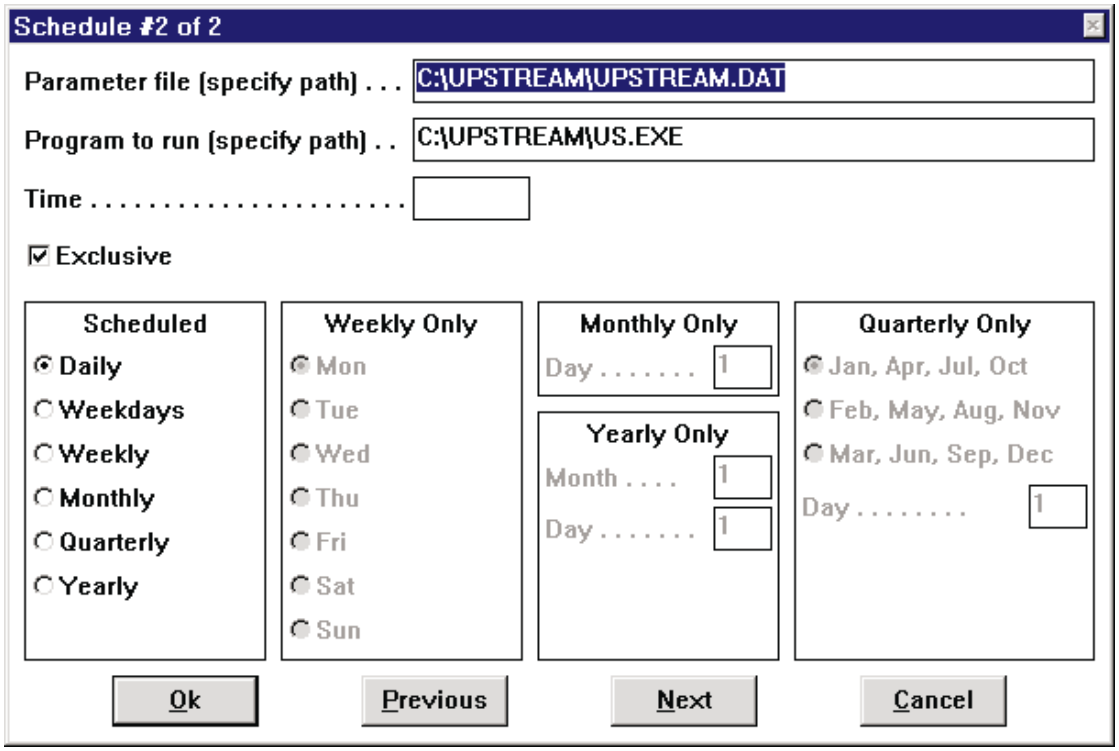
This will display the main configuration dialog. Press [ESC] or the <Cancel> button to go to the configuration main screen. Pull down the **Action** menu and select **PC Schedule** (you can also use the [ALT]P accelerator). You will then see the main schedules dialog.




There is a list box and 5 push buttons in this dialog:

- **Schedules Defined:** This list box contains all the schedules that you define. When you use a new configuration file, the box prompts you to add the first schedule. When you have schedules defined, you can edit a specific schedule by highlighting it in the list box and pressing the <Modify a schedule> button, or double-click the schedule with the mouse.
- **Add a schedule:** This push button allows you to add and define a new schedule.
- **Modify a schedule:** This push button allows you to edit the schedule currently highlighted in this list box. You can perform the same function by double-clicking the entry in the list box you wish to modify with the mouse.
- **Delete a schedule:** Press this button to delete the schedule currently highlighted in the list box.
- **Ok:** Press this button when you have completed editing the schedules and wish to save them to a configuration file.
- **Cancel:** Press this button if you wish to abandon the changes that you have made.

If you press the <Add a schedule> or <Modify a schedule> button, then you will see the Schedules dialog. In this dialog you specify parameters relevant to all types of schedules.




The parameters on this dialog include:

- **Parameter File:** This is the name of the parameter file to be used in the automated backup or restore if you use the standard conventions. However, what this field really identifies is the first parameter to the program automatically started. So you can use it as you choose. We recommend that you enter the complete file specification (including drive and directory). It has a maximum of 128 characters, the default is upstream.dat and it is a required field.

- **Program to Run:** This is the name of the program or batch file to be started automatically by USSTART. The .CMD, .BAT, or .EXE extension is suggested but not required. We recommend that you enter the complete file specification (including drive and path). It has a maximum of 128 characters, the default is the UPSTREAM program and it is required.

- **Time:** This is the time you wish the event to occur. The format is HH:MM:SS using a 24 hour clock. Thus 7:00 PM would be 19:00:00. This is an 8 character field with no default and it is required.

- **Exclusive:** This is a check box. If you specify two schedules which are to occur within 1 hour of each other, this flag determines if the second one should be done. For example, if you specify one schedule for 2:00 AM weekly, and a second for 2:01 AM, and you check this box only the first will be done. This is why you should specify the more important or less frequent schedules before the less important or less frequent ones. If you do not check the box, then ALL schedules scheduled will be performed.

- **Scheduled:** This is a set of radio buttons. Select Daily for a timed schedule that will occur every day. Daily schedules are not often used as they will occur on weekends as well. Weekday schedules only run Monday through Friday (excluding Saturday and Sunday). Weekly schedules occur on a given day of the week. Monthly schedules occur on one day each month. Quarterly schedules occur one day each quarter. Yearly schedules occur one day each year. There is no default and one button must be pressed.

The frames consist of options that are specific for certain schedule types. Daily and Weekday schedules require no additional parameters. Each frame is grayed unless you have pressed the schedule radio button applicable for those parameters.

Weekly options:

- **Day of the week:** This is a series of 7 radio buttons representing the day of the week you wish the unattended operation to occur. The default is Monday and this field is required.

Monthly options:

- **Day:** This is the day of the month that you wish the unattended operation to occur. Legal values are from 1 to 31. Dates after the last day of the month will be run on the last day of the month. This field is required.

Quarterly options:

- **Month:** Select one of the three radio buttons representing which set of months you wish the event to occur: January, April, July, October, or February, May, August, November, or March, June, September, December. One option must be selected.

- **Day:** This is the day of the month that you wish the unattended operation to occur. Legal values are from 1 to 31. This field is required.

Yearly options:

- **Month:** Enter the month (1=January, 2 = February, etc.) of the year you wish the unattended operation to occur. This field is required.

- **Day:** This is the day of the month that you wish the unattended operation to occur. Legal values are from 1 to 31. This field is required.

Push buttons:

- **Ok:** This button acknowledges that you have completed the configuration and you will be asked if you wish to save the configuration. Pressing this button has the same effect as pressing the ENTER key.

- **Previous:** This button allows you to go to the previously specified schedule.

- **Next:** This button allows you to go to the next specified schedule.

- **Cancel:** This button allows you to abandon the changes you have made. Pressing this button has the same effect as pressing the ESC key.

# 13.5. Running USSTART (Auto Start)

USSTART is a presentation manager application (OS/2), Windows program (Windows and Windows NT), a Terminate and Stay Resident application (DOS) or a standard command line utility (UNIX). It is used to start unattended workstation initiated unattended UPSTREAM functions. You do not need to use USSTART if you intend to control FDR/UPSTREAM from the mainframe or another workstation.

USSTART performs the following functions:

- When it is loaded it reads the configuration file that you specified and remembers the schedules, parameter files and batch files that were specified.
- Calculates the exact date and time for the next schedule to run.
- Continually checks the clock for the next schedule to run.
- When the date and time is matched, it maximizes itself, beeps, displays a window in the screen informing you that an unattended event is about to occur, and gives you an opportunity to not perform it (by displaying a CANCEL button).
- (DOS) It saves your current environment (program, data, etc.) and frees as much memory as possible.
- It runs the specified batch or executable file passing the parameter file as the first parameter and the configuration file as the second parameter (see page 13-2 for a description of the USSTART command line).
- When the program returns, it reads the return code file and displays whether FDR/UPSTREAM was run, and whether the any FDR/UPSTREAM functions were performed.
- (DOS) Restores your previously executing program.
- Calculates the next schedule and continues.
- While it is waiting for the next schedule, a status window is available to let you see that USSTART is loaded and when the next schedule is about to occur.

***(OS/2 only)*** When USSTART begins, it starts minimized. You can display the status by double-clicking the icon, or by selecting the Maximize menu option.

USSTART can be run at any time, however, we recommend that once you have tested your environment, that you run USSTART in your AUTOEXEC.BAT, STARTUP.CMD, INITTAB, or Startup program group.

There are command line parameters to USSTART. Specify them on the command line when you run USSTART. These parameters are separated by spaces and are not positional. They include:

- ☐ **CONFIGFILE=<file name>** Specifying a configuration file allows you to use a different one than the default. Most environments will not need more than one configuration file. The default is UPSTREAM.CFG.

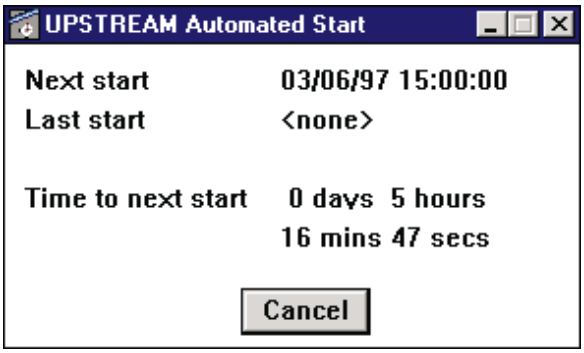
- ☐ **/T** This switch toggles tracing on and should be used only under the guidance of FDR/UPSTREAM technical support.

- ☐ **/D<number>** This switch allows you to specify the delay used to allow you to skip an event before it is actually run. The default is 10 seconds. For example, to specify a 60 second delay, run USSTART as follows:

```
USSTART /D60
```

### 13.5.1. The USSTART Status Display

When USSTART is running, a status display is continually updated. In DOS use [ALT]U to display status.




- ☐ **Next Start**. This is the date and time of the next automatic start.
- ☐ **Last Start**. This is the date and time of the last automatic start.
- ☐ **Time to next start**. This is a continuously updated display of the number of days, hours, minutes and seconds until the next automatic start.
- ☐ **Any events in the last automatic start**. In the line below the time to next start, you will see a display of whether FDR/UPSTREAM was successful or not in the last operation or if there was an error in starting FDR/UPSTREAM.
- ☐ **Cancel**. This button is only available when it is about ready to begin an operation. If you press this button, the operation that was to begin will be skipped. In DOS or UNIX, press the [ESC] key to skip an operation.

When USSTART has calculated that the automatic start should be performed, the display is cleared and a ten second countdown is displayed. If you press the CANCEL button (or [ESC] key) during this time, the automatic start is aborted and the normal display replaces it. Otherwise, after 10 seconds the program requested is automatically started.

While the program requested is running, the USSTART display will show the program running. The program requested to be run will run in a newly created screen group, visible in the Task List display.

When the program that you requested is complete, USSTART will return to its normal monitoring function.

### 13.5.2. Problems with USSTART

Since USSTART runs in its own screen group it can be difficult isolating problems running the batch file when it is time for an automatic operation. We recommend that you test USSTART by setting up your schedules and then modifying the system clock to be the time for each automatic start, and then running USSTART.

USSTART maintains a log, **USSTART.LOG** which contains a list of attempts to start programs, when the next event is scheduled to run, any auto-start errors, etc. Any problem determination should begin by checking USSTART.LOG

If the screen just flashes and USSTART begins its timer for the next automatic operation, check the following:

- Verify that you have specified the COMPLETE path name of the batch file to run (like C:\UPSTREAM\USLOAD.CMD, not just USLOAD.CMD.

- Check to make sure that the batch file is correct by placing PAUSE statements at strategic locations in the file.
- Verify that Attended is NOT checked.
- Verify that the communications manager is running at the time of the automatic operations.

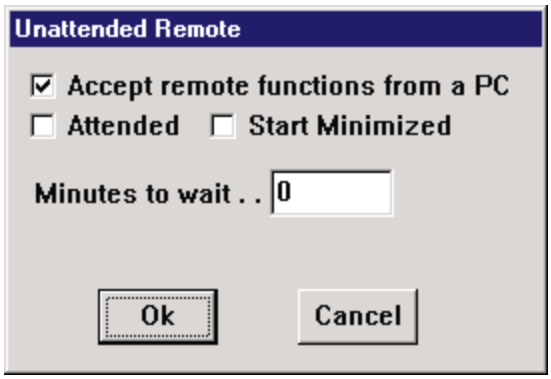
If USSTART just hangs, you may have a pending message waiting for user intervention. Verify that you have specified a Messages Time Out in the configurator with a value other than 0 (a positive number for a message timeout, or -1 if you do not wish messages displayed at all).

# 13.6. Unattended Remote Functions

If all your unattended functions will be controlled by MVS batch jobs or a central PC, you must have your PC listening for these remote requests. If you specified in your APPC that FDR/UPSTREAM is Attach Manager started, then the APPC will start FDR/UPSTREAM. In that case, you must have a parameter file specified which supports remotely initiated requests, specified on the command line. The Unattended Remote Functions option described below creates parameter files that meet this need. The sample RMTPARM.DAT provided was created with the facility described below.

If you specified in your APPC configuration that FDR/UPSTREAM would be operator started, then you must have USSTART or a user start FDR/UPSTREAM and define a parameter file which will wait for a longer period of time.

To define a parameter file to only wait for remote initiates, run FDR/UPSTREAM, pull down the Remote menu and select Unattended Remote Functions. You will see the Unattended Remote dialog.




This dialog allows you to set parameters which are used to create a parameter file that can be used to process remote requests in an unattended fashion. The parameters are:

- **Accept remote functions from a PC:** Check this box is you are willing to have your PC accept remote backup or restore requests from a PC. Do not check this box if you only are willing to accept remote requests from a mainframe batch job. The state of this box affects whether remote requests are serviced even if FDR/UPSTREAM is attended. (it can be used with the “Accept Remote Functions” check). The default is checked. In RMTPARM.DAT it is checked.

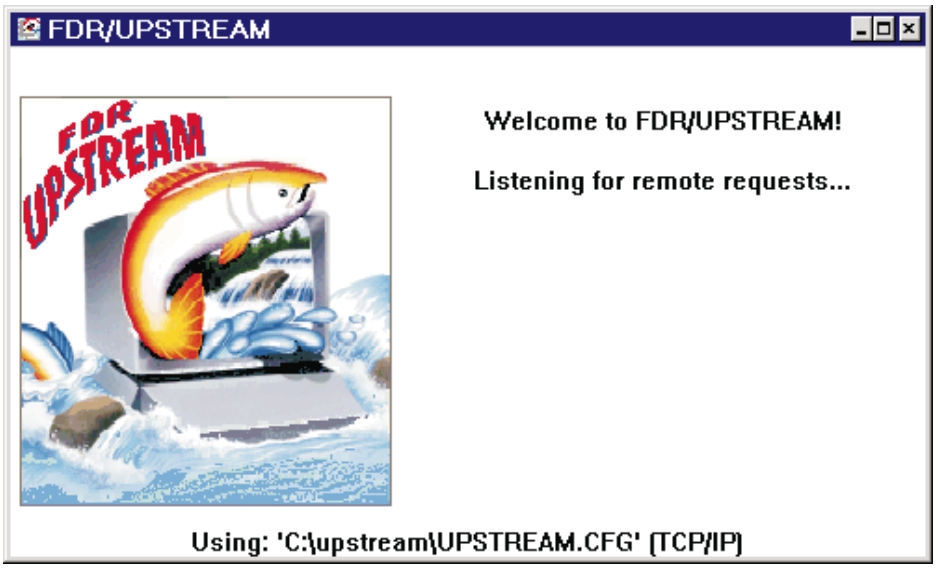
- **Attended:** Do not check this box if you are building a parameter file for unattended operation. The default is checked. In RMTPARM.DAT it is not checked.

- **Start Minimized:** (Windows and OS/2 only) If checked, UPSTREAM will start minimized.

- **Minutes to wait:** Enter the number of minutes that FDR/UPSTREAM will wait in unattended mode for a remote request. You can specify up to 65536 minutes. 0 indicates to wait until a key is pressed. The default is 0. RMTPARM.DAT waits for 1 minute as it is intended to be started from the attach manager, and 1 minute is enough time to begin to service the request.

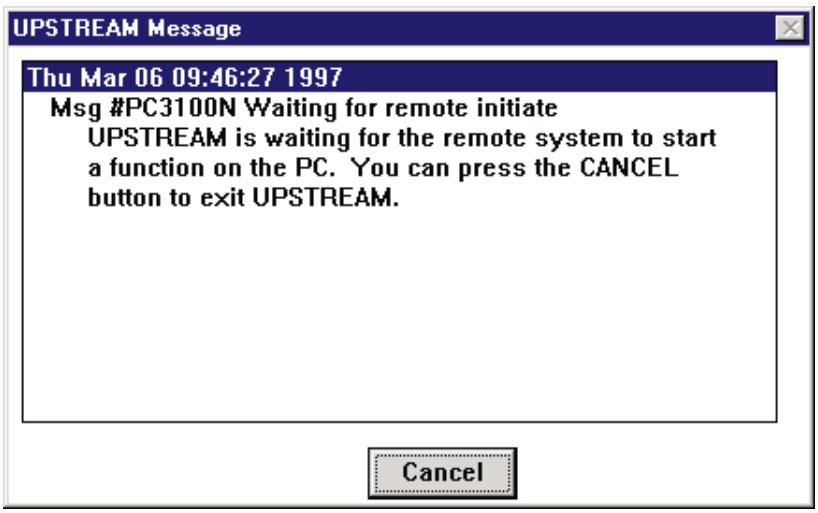
  Press the <Ok> button or the [ENTER] key to be prompted to save your changes to a parameter file, or the <Cancel> button or the [ESC] key to abandon your changes.

When FDR/UPSTREAM is started in unattended mode waiting for a remote initiate in 32-bit Windows you will see the screen below.




Press the mini-icons in the upper right corner to minimize, maximize or close; otherwise FDR/UPSTREAM will terminate automatically after the given number of minutes has expired.

For all other platforms, you'll see the screen below:




You can press the <Cancel> button to exit FDR/UPSTREAM; otherwise FDR/UPSTREAM will terminate automatically after the given number of minutes has expired.

# 14 Novell Considerations

## 14.1. Overview

FDR/UPSTREAM addresses Novell extensively. To use these facilities:

- Use UPSTREAM on a workstation which has full Novell client support (from Novell) including OS/2, Windows, Windows 95 and DOS.
- Use the NLM version. Its installation, configuration and use is described in section 14.9., 14.9., (page 14-20). You should be familiar with the client issues before using the NLM version.

If you are using the NLM version or a Windows NT workstation, you can use Novell's Storage Management Services (SMS) for the backups. See section 14.10., 14.10. (page 14-30) for a complete description of advantages, disadvantages and procedures.

FDR/UPSTREAM carries the Novell *Yes Tested and Approved logo* and was a Novell NetWare 5 and 5.1 Launch Partner. Note that to use the facilities that were Yes Tested and Approved you should use SMS for your backups and restores.

To back up a Novell NetWare file server there are several issues which you must address:

- UNC Names
- Planning.
- Backing up the bindery.
- Backing up Novell information.
- Only the supervisor can back up ALL non-opened files.
- Backing up NetWare Directory Services.

FDR/UPSTREAM can automatically recall migrated files whenever a user accesses them. This chapter also discusses this facility.

**NOTE: This chapter discusses the backing up of a file server. If you wish to use your Novell network to back up workstations, see the FDR/UPSTREAM ULTra chapter.**

**NOTE: If you are using Windows 95 or Windows NT to back up your Novell server you must use the requestor from Novell, NOT the Microsoft Novell requestor.**

# 14.2. UNC Access for Novell Servers

Universal Naming Convention (UNC) names are used within 32-bit Windows and OS/2 to name network resources. For files, this naming convention has the advantages of:

- A single name to represent a file, regardless of where it is in the network.
- It is not drive letter oriented.

UNC names can be used for in virtually any Windows or OS/2 command (TYPE, DEL, COPY, etc.), as well as the network oriented (NET) commands. A UNC name has the form:

```
\\<Machine name>\<Share name>\<directory specification>
```

For Novell drives, the form is:

```
\\<Server name>\<Volume>\<directory specification>
```

FDR/UPSTREAM now allows you to access Novell server files using FDR/UPSTREAM's UNC (universal naming convention) names. You can use the standard naming convention or the modified UPSTREAM method:

```
!:\<Server name>\<volume>\<directories and files>
```

Thus, if you wished to back up all the files in the \USERS directory on the SYS volume of the server NW41, you would specify:

```
\\NW41\SYS\USERS\*.*
```

We recommend that whenever possible you use UNC names as it avoids problems with drive letter contention and uniquely identifies the locations for files.

The SETNOV program allows you to login to a server without mapping drives so that you can use this facility. To do this, check the *UNC Drives only (no drives mapped)* checkbox.

**WARNING: Novell has modified the Novell Requester for Windows NT. You cannot be a service and use mapped drives. If you are currently doing this, you must either run as an application or use UNC names.**

**WARNING: You must use UNC names for all file specifications in the NLM version of UPSTREAM.**

# 14.3. Planning

Novell servers allow PCs to share disks, printers and other resources. FDR/UPSTREAM supports Novell NetWare® 386, v4.x, and v5.x completely.

Backing up or restoring the data files on a Novell server merely requires mapping a drive (with the MAP command) to the file server you wish to back up or restore and running FDR/UPSTREAM. You can backup or restore more than one file server at a time with FDR/UPSTREAM, but we recommend that due to the extreme size of many Novell servers, that each server be a separate backup with a separate backup profile.

To assure that *all* the Novell specific information that you need is backed up as well as managing issues caused by the large size of many Novell server networks, backing up Novell file servers require special considerations not found when backing up single workstations. These issues are discussed below.

### 14.3.1. Planning what and when to backup

Novell file servers tend to be very large. This may require a complex plan. You should consider:

- The real performance of FDR/UPSTREAM. You may need to optimize FDR/UPSTREAM to handle this large amount of data. The performance appendix can help you get the best from your environment.
- What your “window” is. This is the number of hours during which you can do backups. For many users, complete backups are done only on weekends. Effective utilization of your time window helps you get the most from FDR/UPSTREAM.
- How often you *need* to perform complete backups. You may want to perform complete backups daily, but an analysis of your requirements may show that weekly or even monthly complete backups are adequate based on a realistic appraisal of your needs and the use of incrementals. Or you may find that complete backups should be performed over a period of several days (by backing up individual directories).
- How many machines to use in the backup. Multiple servers are often best backed up by multiple PCs.
- The client versions do not get some of the more obscure features of Novell including Mac and NFS name spaces, Volume restrictions and the like. If you need this information, you should use the NLM version.

### 14.3.2. Open files

The second aspect of planning should be to assure that all required files are closed when the backup is performed. This is best done manually be requiring that all users detach from their applications before leaving each night. FDR/UPSTREAM is also certified to work with Open File Manager from St. Bernard Software

### 14.3.3. How you use Novell Features

Novell file servers provide a rich selection of information and security features not available in a base DOS environment. These include:

- File and directory specific information

- Directory restrictions
- Trustee rights
- The bindery files
- NetWare Directory Services

These issues are discussed in the following sections.

# 14.4. The Bindery

The bindery consists of hidden system files on the SYS: drive of your file server in the \SYSTEM directory. The bindery holds security information for each user, file and print server information and other overall system properties. These files are NET$BIND.SYS and NET$BVAL.SYS for NetWare 286 and NET$OBJ.SYS, NET$PROP.SYS and NET$VAL.SYS for NetWare 386.

FDR/UPSTREAM consists of code which can back up the bindery if you request backing up these files, and if you are logged in as the supervisor or a supervisor equivalent. FDR/UPSTREAM lets you see these files in the file specifications dialog. The bindery files have their archive bits set if they have been changed, so they can be included in an incremental backup. See later in this appendix for information about being logged in as the supervisor.

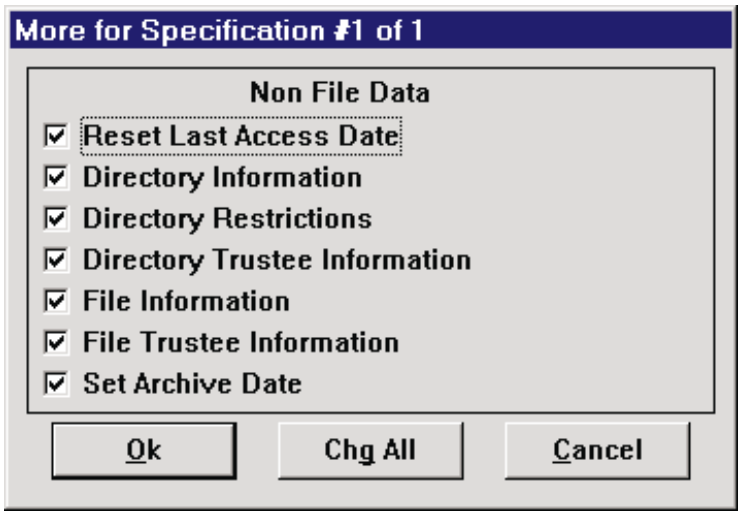
Bindery restores should be done carefully. You should only restore the bindery if there has been a complete system failure and you wish to recover completely. Security and other information can be lost if you restore the bindery and there have been changes made to the system. Call FDR/UPSTREAM technical support if you have any questions on bindery restores.

To back up the bindery you must not use SMS.

**NOTE: To back up the bindery, you must include the specification for the \SYSTEM directory on the SYS: drive (usually F:\SYSTEM) and you must press the HIDDEN FILES check box.**

# 14.5. Backing Up Novell Information

FDR/UPSTREAM includes comprehensive support for selectively backing up and restoring Novell specific information. The selection criteria can be accessed by pressing the **More...** button from the backup or restore file specifications dialog and then pressing the **Novell...** button.




Non-file data is transferred with the data on the backup, and received with the data on the restore. When you select a non-file data option on a backup, FDR/UPSTREAM will attempt to find that attribute and send it with the data. If there is no attribute of that nature (for example, no trustees), then FDR/UPSTREAM will not send any extra bytes. If there is an error accessing an attribute that should be there, then the error is logged and the data part of the file is sent. You may choose to not send many of the non-file data options due to the overhead in retrieving them and the extra transfer and storage overhead.

When you select a non-file data option on a restore, FDR/UPSTREAM will attempt to write that attribute to the file system if it was originally backed up. If there is an error, FDR/UPSTREAM will log the error and still restore the data file, skipping all remaining non-file data options for that file. You may choose not to restore non-file data options if you are restoring to a different file system than the one backed up (like a non-Novell disk), or another file server.

The options on the screen that are not applicable to you can be ignored, as FDR/UPSTREAM will ignore them, even if set, if non-file data of that type cannot be found.

File and directory extended attributes can be combined with Novell information.

The non-file data options for Novell are:

- **Reset Last Access Date:** Selecting this button will cause FDR/UPSTREAM to save the file's information (including last access date and time) before each file accessed during a backup and restore it after the file has been transmitted. If you select Novell Set Archive Date, this facility will be enabled even if not checked. There is additional overhead in using this facility. This is particularly useful if you intend to use Migration (see the *FDR/UPSTREAM Program* chapter) at any time. The default is checked.

- ☐ **Directory Information:** Novell maintains additional information above and beyond what DOS supports about each directory including creation and last modification dates, owner IDs and the like. These can be viewed and set in the FILER or NWADMIN program. The default is checked.

- ☐ **Directory Restrictions:** NetWare supports limiting the amount of space that a user can use based on directory. These are maintained in the NWADMIN program. The default is checked; if you have a large number of directories and do not use directory restrictions, you may choose to uncheck it to improve performance.

- ☐ **Directory Trustee Information:** Security in most Novell environments is maintained based on trustee rights. These are maintained in NWADMIN. Since most Novell LANs use directory level trustee rights for security, the default is checked.

- ☐ **File Information:** Novell maintains additional information above and beyond what many operating systems support about each file including creation and last modification dates, owner IDs and the like. These are viewable and settable in the NWADMIN program. The default is checked.

- ☐ **File Trustee Information:** NetWare supports trustee rights for files as well as directories. The default is checked; if you have a large number of files and do not use file trustees, you may choose to uncheck it to improve performance.

- ☐ **Set Archive Date:** Selecting this button will cause FDR/UPSTREAM to save the file or directory information before each file or directory is accessed during a backup, and setting the last archived date, time and ID of the current user after the information has been transmitted. There is additional overhead in using this facility. The default is checked.

**WARNING: There is a bug in the Novell Windows NT Requestor v4.50 with respect to extended attributes. Enabling any of the following non-file data options when backing up a NetWare 5 server may cause your NT machine to crash: NTFS/OS2 File Extended Attributes, NTFS/OS2 Dir Extended Attributes or NTFS/HPFS386 ACLs (Security)**

# 14.6. Logging on (Novell Profiles)

The Novell version of FDR/UPSTREAM includes a facility to manage unattended, secure login as the supervisor (or equivalent), the **Novell Profile**. This is a field, available on the backup, restore, and "as of...restore" screens and references a profile name defined using the SETNOV.EXE (Novell and ULTra) program.

**NOTE: If you use the NLM version you must use Novell Profiles**

When you specify a valid profile name, FDR/UPSTREAM will perform the following steps before performing the function requested:

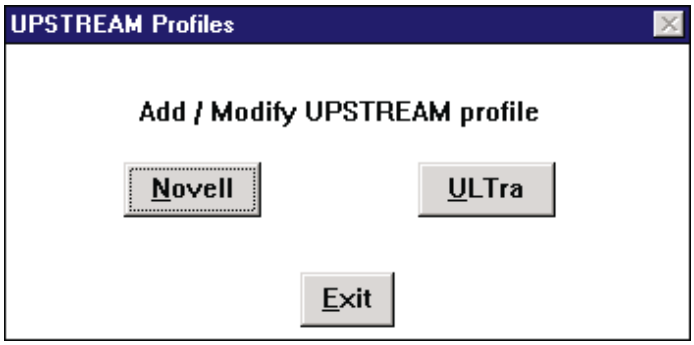
- Attaches the specified server (if you are not already attached).
- Logs on as the user name you specify using the specified password.
- Maps the drives on that server as you have specified.

When the FDR/UPSTREAM function (backup, restore, etc.) has completed, it will perform the following steps:

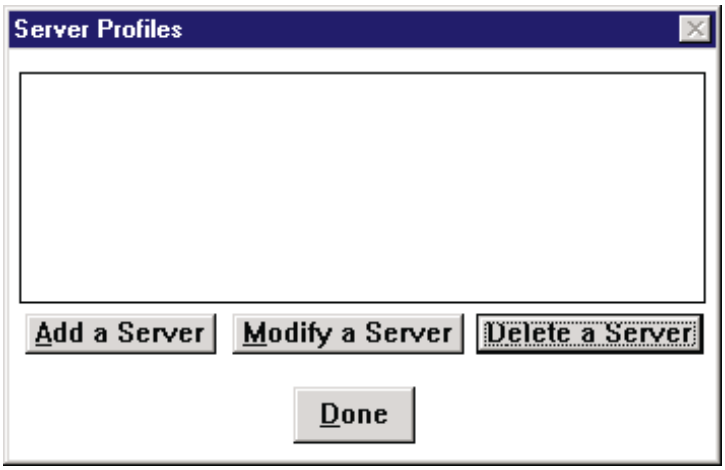
- Either logs off from the file server, or logs a different user on (depending on what you specified).
- If a server or drive was attached to, then that server or drive is detached.

To specify server login information, run the SETNOV.EXE program, or select the **Novell and ULTra** program in the UPSTREAM program group. This is a 16-bit application and should be run from the Shortcut we create or from a mapped drive; it won't work correctly if run directly from the Explorer. When you run the program, you are presented with several buttons.

**NOTE: The SETNOV program can not be run from Explorer; it must be run from the shortcut or in some other way that makes UPSTREAM the default directory.**



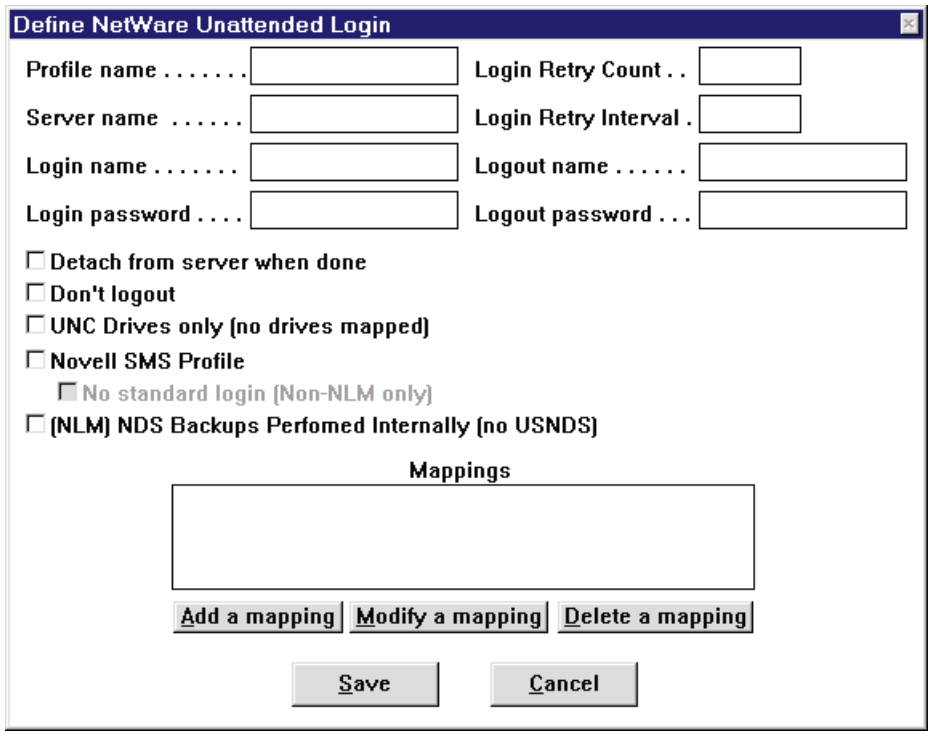

Press the **<Novell>** button to configure Novell profiles. You will then see the Server Profile dialog.




This screen lists the defined Novell Profiles and allows you options to add, modify or delete profiles.

- **Server Profiles:** This list box displays all the profiles currently defined. If you double-click your mouse on an entry, it is the same as pressing the <Modify a server> button. The highlighted entry is the one the Add, Modify or Delete buttons will act upon.
- **Add a server:** Press this button to add a new profile. Press this button when running this program for the first time.
- **Modify a server:** Press this button to edit the profile currently highlighted in the list box.
- **Delete a server:** Press this button to delete the profile currently highlighted in the list box.
- **Done:** Press this button when you wish to exit the program. Pressing the [ESC] key has the same effect.

If you press the <Add a server> or <Modify a server> button, you will see the profile entry screen . All the information maintained by SETNOV is hidden and encrypted.




- **Profile name:** This is any random name which identifies the unattended information you are defining here, generally a server name. Required field. This field is grayed and inaccessible if you are modifying an existing mapping.

- **Server name:** Enter the NetWare name of the server that you are defining.

- **Login name:** The user name used to log in to the server you wish to attach to. Required field. If you are logging into a NetWare v4.x server you must use the complete, dotted, fully qualified name. For example: .CN=ADMIN.O=INNOVATION. If the name is not fully qualified SETNOV will warn you about problems logging into NetWare v4 and v5 servers.

- **Login password:** The password for the user name defined above. Optional field.

- **Login Retry Count:** If you are running UPSTREAM on a Windows or OS/2 client, a login attempt may fail; subsequent attempts may succeed. UPSTREAM will normally retry a failed login once, if you wish you can increase the number of retries.

- **Login Retry Interval:** If a login attempt fails, UPSTREAM will wait for the interval specified here (in seconds) between retries. The default is blank - causing an immediate retry.

- **Logout name**: The user name to log into after FDR/UPSTREAM has completed. This option is rarely used and grayed if you check *Don't logout* or *Detach from server when done*. If this is left blank, FDR/UPSTREAM will log off from the server. Again, for NetWare v4.x servers you must use the complete, dotted, fully qualified name. Optional field. For the NLM version you must leave this field blank.

- **Logout password:** The password for the logout name (if any). Optional field and may be grayed.

- **Detach from server when done:** Check this box if you wish UPSTREAM to forcibly detach (release its connection) from the server at the end of the backup or restore. Otherwise UPSTREAM will only detach from the server when it had to perform an attach (there was no existing connection).

- ☐ **Don't logout:** Check this box if you wish FDR/UPSTREAM to remain logged in after the backup/restore has completed. This is recommended if you are using NDS and are running multiple copies of UPSTREAM on your machine to backup multiple servers. This avoids the logout breaking the connection for other copies of UPSTREAM running.

- ☐ **UNC Drives only (no drives mapped):** Check this box if you are using UNC names to back up your servers and do not wish FDR/UPSTREAM to map drives for you. This is recommended and required if you are running as a service in Windows NT.

- ☐ **Novell SMS Profile**: See the SMS section (below).

- ☐ **No standard login (non-NLM only):** Grayed unless you have checked Novell SMS Profile (above). Check this box if you are running UPSTREAM on a Windows or OS/2 client and you do not want to interfere with an existing login. Do not check this box if you are running the NLM version of UPSTREAM.

- ☐ **(NLM) NDS Backups Performed Internally (no USNDS):** Check this box if you are configuring for the NLM version and when you perform NDS backups, you do not wish to use USNDS, instead you wish to use the NDS backup code internal to the NLM version of UPSTREAM.

  This is strongly recommended for backing up NDS (see *NetWare Directory Services* below). Checking this box has no affect for non-NLM versions or for non-NDS backups. The default is not checked.

The mappings options should not be used if you are running the NLM version.

- ☐ **Mappings:** This list box displays all the mappings currently defined for this profile. The highlighted entry is used when you press the <Modify a mapping> or <Delete a mapping button>. Double-clicking on an entry is the same as pressing the <Modify a mapping button>.

- ☐ **Add a mapping:** Press this button to add a drive mapping. You must have at least one drive mapping defined for the profile to operate correctly. This will display the mapping dialog box.

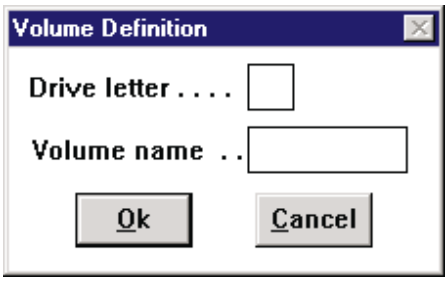
- ☐ **Modify a mapping:** Press this button to edit the drive letter or volume name of the drive mapping currently highlighted in the Mappings list box. This will display the mapping dialog box.

- ☐ **Delete a mapping:** Press this button to delete the mapping currently highlighted in the Mappings list box.

- ☐ **Save:** Saves the profile information defined.

- ☐ **Cancel:** Abandons whatever profile changes you have made.

When you press the <Add a mapping> or <Modify a mapping> button, you will see the mapping dialog box.




- ☐ **Drive Letter:** Enter a letter from A..Z designating which drive you wish the server volume to be mapped to. Required field.

- **Volume Name:** Enter the NetWare volume name of the volume on the server that you wish to map to. Required field.
- **Ok:** Press this button if you are satisfied with your mapping.
- **Cancel:** Press this button if you wish to abandon your changes.

When you have defined profiles with mappings you enter the profile name in the <More..> dialog Novell Profile field.

# 14.7. NetWare Directory Services

Novell's NetWare Directory Services (NDS) is an object oriented database which is used to store server related information including security, printer information, application specific information and much more. It is at the core of your server network. In most environments, there is a single directory for all servers; NetWare propagates changes to all servers, but no single server has responsibility for the directory.

The backup/restore implications of this kind of system are:

- A backup of the directory from any server gets the entire directory. Backups from other servers would produce the same information and would thus be unnecessarily repetitive.
- A restore of the directory impacts all servers.
- Any authorized user can, from any location in the internetwork, accidentally delete or otherwise imprudently modify directory entries.

FDR/UPSTREAM includes comprehensive support for the backup and restore of NetWare Directory Services. Using Novell's architected Storage Management Services (SMS) and transporting the data in System Independent Data Format (SIDF), FDR/UPSTREAM offers complete backup and restore of NetWare Directory Services which will work today and into the future.

### 14.7.1. Planning

Before beginning backups of NetWare Directory Services you should have FDR/UPSTREAM operational on a NetWare attached workstation or the NLM version on a server.

When planning backups of your NetWare servers, if you have even one NetWare v4.x or 5.x server you should plan on backing up the entire directory.

When running FDR/UPSTREAM on a client workstation, it uses a separate component which resides on a server to back up the directory. This component (USNDS.NLM) performs interprocess communications to send or receive directory information to and from a FDR/UPSTREAM workstation which actually performs the backup. Thus you must set up a workstation with FDR/UPSTREAM with host communications and you must also install and configure USNDS on a server.

FDR/UPSTREAM's directory services backup facility uses Novell's SMS services. Since these services are updated regularly, we recommend that you get the latest SMS updates (SMSUPx) from the Novell web site periodically. In particular, TSANDS.NLM should be the latest available.

Since directory services is designed to be a self-repairing distributed database, we recommend the use of Novell replication and other services for recovery in the event of a server crash. FDR/UPSTREAM directory services backups should be viewed as the last resort method of recovery in a disaster situation as it generates significant network and server overhead when you perform a complete directory services restore.

FDR/UPSTREAM directory services backups will automatically backup the schema. For a complete restore, you must be sure to check the USNDS.LOG file to verify that the schema was restored without errors.

Regular backups of the directory can and should be performed from a single FDR/UPSTREAM PC. The server running the NDS backup can be any server; we recommend the server with the lowest utilization (to minimize user impact).

If you have any questions concerning planning or operations of backups or restores of NetWare Directory Services, feel free to call FDR/UPSTREAM technical support.

If you are using the NLM version, USNDS is no longer required or recommended. To enable internal NDS backup without USNDS, you must check an option in your Novell Profile (see page 14-11). There is no specific configuration necessary to use this option, as there is for USNDS; merely specify an NDS backup or restore and the information in the Novell Profile is sufficient to perform the backup or restore. If you are using this method you can skip to section 14.7.6.

### 14.7.2. Security

The USNDS NLM itself must have supervisor equivalent access (ADMIN access to the entire directory) to be able to backup or restore the directory. This access can be obtained in two ways:

- The security information can be saved by USNDS during the setup. All FDR/UPSTREAM workstations can then backup or restore NDS information. This has the advantage that backups and restores are simpler and require no separate security attachment, but any workstation that is running FDR/UPSTREAM can backup or restore any component of NDS. Note that while workstations can backup or restore NDS information, they cannot examine or modify it.
- Workstations must use a Novell Profile which represents an authorized user to backup or restore the NDS. This is more secure, but makes backups and restores (particularly ad-hoc restores) of NDS information more difficult.

Choose the method which fits best the security considerations of your organization.

### 14.7.3. Installation

Support for NetWare Directory Services is provided on the FDR/UPSTREAM NetWare Program diskette or the \UPSTREAM\NDS directory on the CDROM. This diskette contains the following NetWare Loadable Modules:

- USSETUP.NLM: Run this program to install the following NLMs to the SYS:SYSTEM directory.
- USNDS.NLM: This program allows FDR/UPSTREAM workstations NDS access.
- USLOGCLR.NLM: Clears the USNDS.LOG file which is automatically generated by USNDS. Most users will either want to run this program from time to time from the server (usually by installing it in the AUTOEXEC.NCF file) or run USLOGCLR.EXE from any UPSTREAM workstation.

To install the NDS NLMs go to a server where you wish the directory to be backed up from. Note that this can be any NetWare v4.x server that you will be including in your backup plan.

The installation process merely copies the files USNDS.NLM, TSANDS.NLM and USLOGCLR.NLM to the SYS:SYSTEM directory. If you wish you can copy these files from any workstation that has access to that directory and proceed to the configuration step.

To begin the install, put the first Program Diskette in the diskette drive on the server. From the System Console enter:

```
LOAD A:USSETUP
```

You will be asked if you wish to run the install. If you enter ‘Y’, the files will be copied.

The installation program will inform you that you will probably want to modify the AUTOEXEC.NCF to load the USLOGCLR and USNDS NLMs (described below).

### 14.7.4. Configuration

The FDR/UPSTREAM NDS support NLM (USNDS) requires configuration so that it can properly service NDS backup and restore requests. The configuration consists of Novell SMS options that must be defined, security considerations and reporting.

To configure USNDS, load it from the system console at the server it was installed on:

```
LOAD USNDS
```

The first time you run USNDS you are automatically brought into the configuration. USNDS is a NLM which runs in a separate screen. You can toggle through the loaded NLMs by using the [CTRL][ESC] combination.

The first configuration item is the selection of the Target Service Agent (TSA) you wish to use. A TSA entry is displayed and you must choose whether you wish to use the listed one by entering 'Y' or 'N'. As the screen heading suggests, you will probably wish to use a TSA which begins with your server name, and it will usually be the first entry. For example, if you are on server PAYROLL you may see:

```
PAYROLL:NetWare 4.0 Directory.  Use this one (Y or N) ->
```

Most users will enter 'Y' for the first entry.

Next, you must select a Target Service. If your directory is named ORG_NETWARE_SERVERS, you may see:

```
1. ORG_NETWARE_SERVERS
   Service type   :  NetwareDirectoryServices
   Service version: 1.0
Use this service (Y or N) ->
```

Again, most users will select 'Y' for the first entry.

The next step is the entry of security information to continue the configuration. When NDS is backed up or restored, you must be an authorized user (usually this means supervisor equivalent). To determine which SMS facilities are used in the backup, you must now log in. For example, if you are still using the Novell default, enter for User Name: .CN=ADMIN.O=ORG, and the password of ADMIN. We always recommend the use of the dotted, fully qualified name for all NDS entries. Note that when you enter the password, it is not displayed.

If you enter the security information incorrectly, you will see a message written in the messages section on the screen and you will have the opportunity to reenter the values correctly.

After you have successfully entered your security information, you will be asked:

```
Can UPSTREAM workstations use this login (Y or N) ->
```

If you enter 'Y', UPSTREAM workstations will not be required to have used a Novell Profile for the attach. If you wish to restrict the access enter 'N'. See the security section above.

The next step is the select the Resource to use for backups and restores. Again almost all users will select the first resource which is: Full Directory Backup.

The final step is the amount of reporting information that you wish to write to the USNDS.LOG file:

```
Detailed object backed-up and restored reporting (Y or N) ->
```

If you enter 'Y' all objects included or skipped in a backup or restore will be written to the report. In most cases you will want to turn this on for testing and off in production as this can be extensive depending on the size of your directory resulting in very large USNDS.LOG files.

When you have completed the configuration, the screen will clear, “Configuration successfully updated” will be entered in the messages section of the screen and USNDS will be operational.

### 14.7.5. USNDS Operations

There are two sections to the USNDS status screen: the information/update section where you entered configuration information at the top of the screen, and the messages section at the bottom which shows the last three or so messages written to the USNDS.LOG file. The information section of the screen shows the number of workstations currently connected and the status which is the last request serviced. This NLM takes few system resources and can be left running at all times.

Since USNDS must be operational for FDR/UPSTREAM to be able to backup/restore the NDS, you should run the INSTALL utility and update AUTOEXEC.NCF to assure that USNDS is automatically loaded at server start. Add the following lines to the end:

```
LOAD USLOGCLR 30 sys:system\usnds.log
LOAD USNDS
```

Note that the 30 in the USLOGCLR statement is the number of days worth of information to maintain in the USNDS.LOG file (stored in the SYS:SYSTEM directory).

To exit the USNDS program, press the [ESC] key. You will be asked:

```
End program (Y or N) ->
```

If you enter ‘Y’, you will then be asked:

```
Modify configuration (Y or N) ->
```

If you enter ‘Y’, you will reenter the configuration process.

When the program terminates, you will be asked :

```
<Press any key to close screen>
```

This will return you to the system console.

### 14.7.6. Backups and Restores of NDS

There is a checkbox on the FDR/UPSTREAM main backup dialog: **NetWare Directory Services**. If you check this box, the Backup Spec field will change to (NDS).

**This specification is for the entire directory. If you wish to include files on a file server you must specify them in separate file specs.**

If you wish, you can specify any component or groups of components of the directory to include or exclude if you do not wish to back up or restore the entire directory. To do this, add to the end of backup spec a backslash and the component you wish to include or exclude with wildcards. For example, if you only wish to back up the organizational unit TEST in the organization ORG, use a single include spec as follows:

```
(NDS)\*.OU=TEST.O=ORG.[ROOT]
```

You should then check the Include Subdirectories checkbox in the Spec Detail dialog. The trailing .[ROOT] is required. Note that you can use exclude specifications as well to limit the data transferred. For restores you should use the “Restore and Inquiry (old)” rather than “List and Restore”.

You can backup/restore just the Schema as well. Specify in your file specification:

```
(NDS)\Schema
```

Note that if your backups are to mainframe DASD, FDR/UPSTREAM PCs calculation of the size of the backup will be incorrect and may cause FDR/UPSTREAM MVS to be unable to store the data. If you find this is true,

modify your backup parameter file to use a DASDOVERRIDE or CALCDASDSIZE (see the *Advanced FDR/UPSTREAM* chapter).

FDR/UPSTREAM stores the entire directory, regardless of your specification in a single entry (NDS). File inquiries will show the date and time that the NDS information was backed up.

**NOTE: We recommend that you run DSREPAIR before backing up NDS and both before and after a restore of NDS.**

# 14.8. Restoring a Complete Server

Restoring a complete server with FDR/UPSTREAM is quite simple. Since FDR/UPSTREAM runs on attached workstations, the efforts required to install and configure host communications have already been completed. The following lists the process for restoring a complete NetWare server in the event of a server failure.

### 14.8.1. Restoring a NetWare v3.x Server

If you are recreating a completely new PC server (NetWare v3.11 or v3.12) the steps are:

- Go through the normal Novell server installation process, including installing LAN and disk drivers, until it requests you insert the SYSTEM-3 diskette. Abort the process. If this is a CD-ROM installation you should complete the install.
- From the FDR/UPSTREAM PC, log in as the SUPERVISOR (there will be no password).
- Restore the most recent 3 bindery files (restoring F:\SYSTEM\NET$*.SYS is adequate).
- Restore the rest of the server.

Note that on a complete system restore you must restore the bindery files first, before any non-file data will be restored properly. Trustee information must have bindery pointers to restore correctly.

**Note: You must NEVER have two servers on the same network with the same server name. Two servers have the same name if you restore a bindery from one onto another. The entire Novell internetwork will become unstable.**

### 14.8.2. Restoring a NetWare v4.x or v5.x Server

If you are recreating a completely new PC server we recommend NOT restoring the NDS using UPSTREAM if there are existing servers in the directory services tree which contain your server's information. However, if this is the only server in the tree or you recover the server and significant directory services information was lost, you should then plan on restoring directory services. The steps are:

- Go through the normal Novell server installation process, including installing LAN and disk drivers.
- Install any necessary server updates and patches, including SMS updates.
- If USNDS was running on this server, reinstall and configure it (see above).
- From the FDR/UPSTREAM PC, log in. If you lost a some or all of the directory, you may have to use the default login of ADMIN.
- Restore all NDS. We recommend that you run DSREPAIR before and after an NDS restore.
- Restore the rest of the server.

Note that on a complete system restore you must restore NDS first, before any non-file data will be restored properly. Trustee information must have directory pointers to restore correctly.

### 14.8.3. Backup/Restore Novell Errors

FDR/UPSTREAM, with the "log non-fatal" option set, may often report a large number of Novell errors during a backup or restore. Many of these are common and should be expected. These include:

- Printer/mail queue files will be locked. They are in use by the printer/mail application and cannot be accessed by UPSTREAM. This generally only applied to entries in the process of being transferred.
- UPSTREAM PC#2491,Novell #898E. This occurs when UPSTREAM has completed backing up a file and the file is open when it attempts to reset the archive bit or reset the last access date. This is normal and to be expected during a backup when files may be open for read.

However, you may see a large number (in many cases thousands) of messages which are not normal. This is the inevitable affect of a comprehensive backup/restore product - it traverses throughout the entire file system and if there are any inconsistencies, UPSTREAM will be the first to detect them.

The most common errors are UPSTREAM PC messages #2540-2546 where UPSTREAM attempts to resolve NetWare internal identifiers with object names and vice-versa. In general, these occur when UPSTREAM looks up a trustee or owner ID during a backup attempting to resolve it into its name, and then can't get the name. Also, it can happen during a restore when UPSTREAM has the name and attempts to resolve it into an ID.

If this is a restore, you should first verify that you have restored NDS/bindery before you restore any of the files/directories.

Otherwise, these are Novell server inconsistencies which if not fixed will cause you problems down the road. When you begin to see them, you should schedule a DSREPAIR run (NetWare v4,v5) or BINDFIX (NetWare v3). You may need to run DSREPAIR/BINDFIX a number of times to get the entries truly repaired. You may find that you need to upgrade your server fix levels (available on http://support.novell.com).

When running a large restore you should always make it restartable, and you should start with the server at the highest Novell fix level you can get. Note that a large number of errors, besides not restoring the non-file data correctly, will significantly degrade restore performance.

We have seen a restore start with few errors, but as UPSTREAM generates a large number of updates, the server can't keep up and UPSTREAM begins reporting errors. We recommend that if this happens that you suspend the restore, run the DSREPAIR/BINDFIX utilities, and restart the restore.

If you continue to have problems, we recommend that you call UPSTREAM technical support.

# 14.9. FDR/UPSTREAM NLM Version

FDR/UPSTREAM is available as a NLM (NetWare Loadable Module) which allows it to be run on the Novell server that it is backing up. This provides better backup performance and reduced network overhead.

FDR/UPSTREAM is Novell certified for NetWare v5 for your added confidence.

The NLM version supports almost all of the extensive functionality of FDR/UPSTREAM including:

- FDR/UPSTREAM/SOS local backups as well as file level local backups.
- NDS backups and restores.
- Host and local initiation.
- Merge backups and restores
- Physical disk backups and restores.
- ...and much more...

It is the full version of FDR/UPSTREAM with a number of limitations.

- It is a command line version only. All commands must be remote or host initiated or command line or NCF file initiated.
- Only a single instance may be running at one time.
- ULTra is not supported.

FDR/UPSTREAM can run your backups and restores in two ways:

- The legacy method where UPSTREAM backs up and restores files, obtaining the non-file data through separate calls. This method has the advantage of reasonable performance and allows restores to systems on all PC platforms. This section will describe this method.
- Using Novell's SMS. It provides the highest level of performance and non-file data preservation. However, you can only restore to a Novell volume. The following section describes SMS.

System requirements are:

- NetWare version 4.1 or higher

**WARNING: NLMs are by their nature dangerous. If they crash, the server crashes. You should be familiar with NLMs and FDR/UPSTREAM before using the NLM version of the product.**

### 14.9.1. Installation

**WARNING: You should obtain all relevant maintenance for your version of NetWare before proceeding with the installation.**

The FDR/UPSTREAM NLM version is currently stored on the CD in the **\UPSTREAM\NOVELL** directory. You can install it either from a client workstation or from the server console.

To install it from a DOS, Windows 3.1, Windows 95/98/NT or OS/2 workstation, change to the UPSTREAM directory and run the installation program (assuming that your CD drive is d:):

```
d:
cd\upstream\novell
ussetup
```

From the server console, run (assuming that your CD drive is d:):

```
load d:\upstream\novell\ussetup
```

The installation program displays:

```
This program installs FDR/UPSTREAM.  All it does is:
      - Create an UPSTREAM directory
      - Copy the files to that directory
      - Create an UPSTREAM startup script (to add to AUTOEXEC.NCF)
      - Copy newer version of libraries to the SYS:SYSTEM directory
If you have any problems, you can always perform these steps manually
Do you wish to run it (Y or N) ->
```

Enter Y to continue the installation.

If you are running from a client, you will be asked:

```
Specify the UPSTREAM directory. It must be on the SYS: volume.
[ENTER] for F:\UPSTREAM ->
```

Press [ENTER] alone to use F:\UPSTREAM; otherwise you must enter the full path for where you wish UPSTREAM installed (for example, “K:\UPSTREAM”). If the directory is not found, it will prompt for its creation. It will then copy all of the files.

When the copy has completed, a startup script, US.NCF will be created in the SYS:SYSTEM directory. This startup script will load all required NLMs as well as UPSTREAM. If the script already exists, the existing one will not be modified.

The installation program will then note:

```
FDR/UPSTREAM requires the latest version of a number of Novell libraries
The latest version at the time of UPSTREAM shipment is enclosed on the
CD and can be installed now for you.  Only newer versions will be copied
Do you wish to install these now (Y or N) ->
```

We recommend that you always enter ‘Y’ as UPSTREAM will only overwrite older NLMs. If you wish to copy these manually, or inspect the files, they are in subdirectories off of the \UPSTREAM\NOVELL directory, NetWare version specific. Thus, version 4.x updates are in the LIB_4X directory and version 5.x updates are in the LIB_5X directory. At this time there are no version 5 updates.

If you are running from a client, you will be asked for the version of NetWare on the server. If you are unsure, you should enter a number other than 4 or 5, this step will be skipped and you can repeat it at a later time.

Files included in the Novell directory of the CD are:

| File Name | Description |
|---|---|
| GERMAN.LNG | German language files for Windows UPSTREAM programs. |
| JAPANESE.LNG | Japanese language files for Windows UPSTREAM programs. |

| | |
|---|---|
| LISTDISK.NLM | Displays the details of the media and volume manager views of the disks on your system. Particularly useful for locating FDRSOS Local Backup disks. Requires VOLLIB.NLM. |
| LSTDSK2.NLM | Similar to LISTDISK, does not display the volume manager view of disks and does not require VOLLIB.NLM |
| RMTPARM.DAT | UPSTREAM parameter file that wait for remote requests. The default has not timeout. |
| SERIAL.DAT | Required for modification of personalization information. |
| SETNOV.EXE | The Windows version of the Novell and ULTra program included particularly for defining Novell Profiles (which are always required). |
| TRCTCP.EXE | A Windows NT command line program used to catch UPSTREAM NLM traces. Use only under the guidance of UPSTREAM technical support. |
| UPSTREAM.MSG | The UPSTREAM predefined messages file. |
| US.NLM | The main command line UPSTREAM program. |
| US.SER | A predefined personalization file for the UPSTREAM NLM. |
| USATOE.TAB | UPSTREAM ASCII-to-EBCDIC translation table. Note that this is the OEMATOE.TAB file described in the Advanced UPSTREAM chapter. |
| USCFG.EXE | The Windows version of the UPSTREAM configurator. |
| USCFG.HLP | UPSTREAM configurator help file. |
| USETOA.TAB | UPSTREAM EBCDIC-to-ASCII translation table. Note that this is the OEMETOA.TAB file described in the Advanced UPSTREAM chapter. |
| USLOGCLR.NLM | Clears old log and report file entries. |
| USSETUP.EXE | The UPSTREAM NLM installation program to be run from a workstation (a DOS program). |
| USSETUP.NLM | The UPSTREAM NLM installation program to be run from the server console. |
| USSTART.NLM | UPSTREAM scheduler NLM. |
| USSYS.NLM | Allows NCF files and console commands to be executed as jobs. |
| WAIT.DAT | UPSTREAM parameter file to wait for remote requests. Does not timeout. |

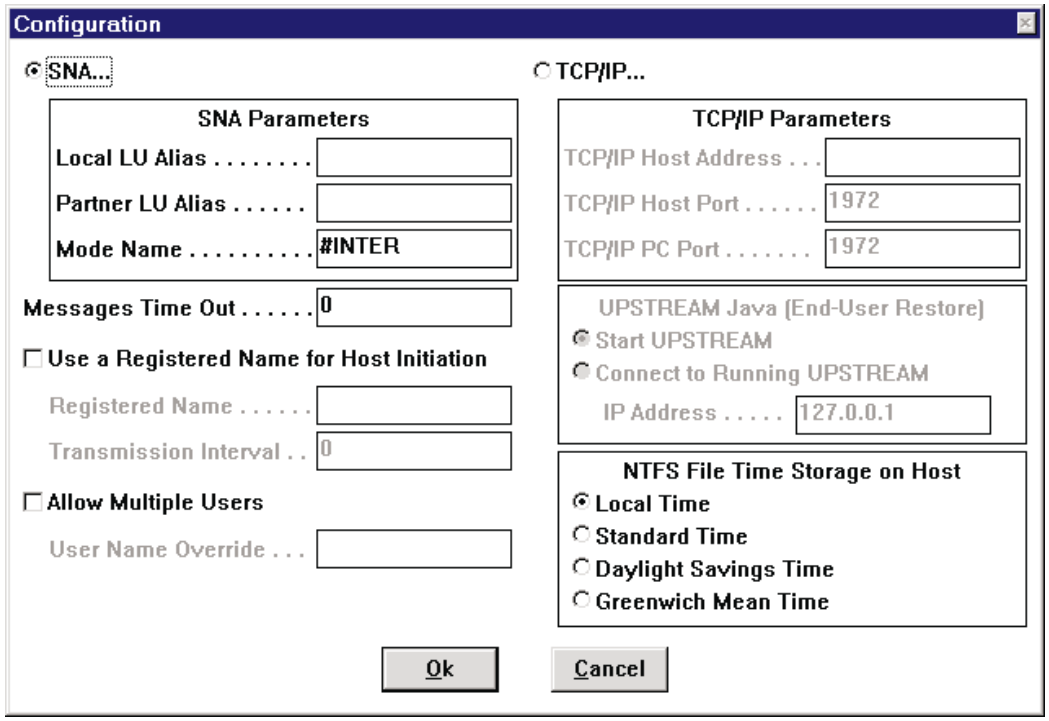
The contents of the \LIB4X directory are copied from the latest Novell SDK CD (currently SDK-15).

### 14.9.2. Configuration

FDR/UPSTREAM must be configured from a client workstation with the standard UPSTREAM configurator. The Windows configurator is copied as part of the installation. If you wish to use the DOS or OS/2 configurator, merely copy them from the UPSTREAM CD.

The following description is for TCP/IP connectivity to the host. If you are using SNA, proceed to page 14-33 which describes the SNA setup of FDR/UPSTREAM.

From the client workstation run USCFG. Note that the Windows version of USCFG is copied to the UPSTREAM directory on the server during the install.




- **TCP/IP:** If you are using SNA, proceed to page 14-33, otherwise select this radio button.
- **TCP/IP Host Address:** Enter the IP address of the MVS host.
- **TCP/IP Host Port:** Enter the port number used by FDR/UPSTREAM MVS. The default is **1972**.
- **TCP/IP PC Port:** Enter the port number other computers will use to contact UPSTREAM on this server. The default is **1972**.
- **Use a Registered Name for Host Initiation:** Check this box if you wish to register a name with FDR/UPSTREAM MVS that host and other workstation/server requests can use to find your workstation. You must register a name if you wish to use the auto-update facility. Note that checking this box may cause occasional errors (which can be ignored) if the workstation/server is updating its registration information when a remote request is received. You must enter a Registered Name if you check this box. The default is not checked.
- **Registered Name:** Enter any name, unique within FDR/UPSTREAM MVS, that can be used to allow the host and other PCs to find your workstation. You can enter up to 16 characters which can include embedded spaces. Note that if there are duplicate names no errors are reported; the most recently registered name is used.
- **Transmission Interval:** Enter a number which indicates how often (in minutes) you will reregister your registration name with FDR/UPSTREAM MVS. Most users will use the default of **0**, which causes the registration to happen just once on UPSTREAM startup. The main reason to specify a non-zero value is if you are using TCP/IP with the DHCP facility enabled and your IP address may change from time to time.

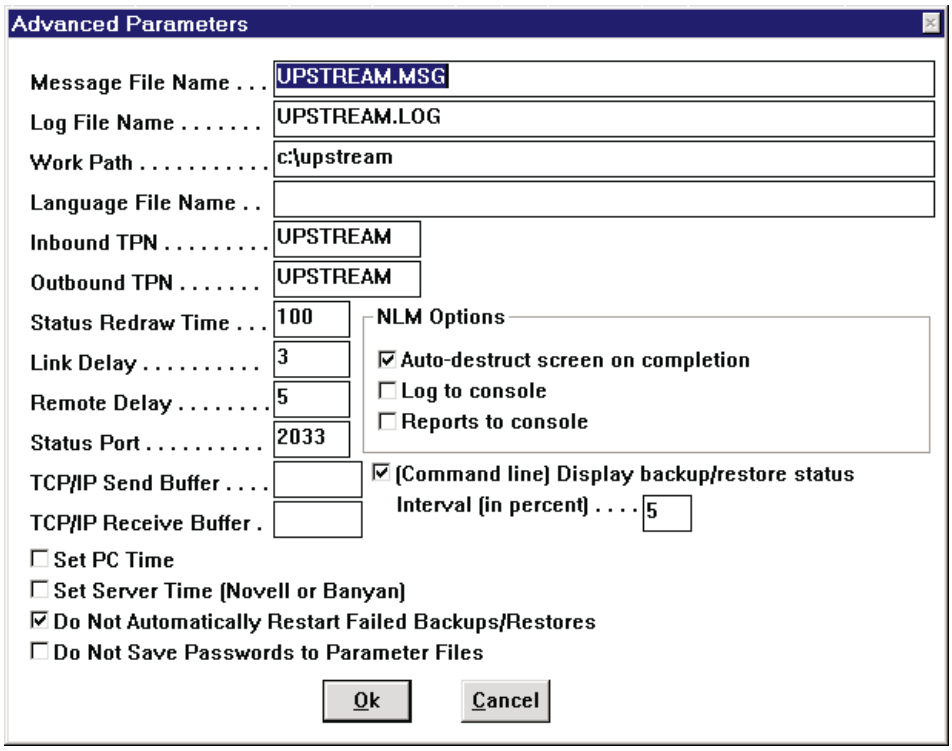
Messages Time Out, Allow Multiple Users, and NTFS File Time Storage on Host options are not relevant for the NLM version.

The UPSTREAM Java (End-User Restore) options are described in the *End-User Restore* chapter.

Press the **Ok** button (or the [ENTER] key) to displays the File Save dialog. Here you can specify the file name for the configuration file. Most users will use the default of **UPSTREAM.CFG** which will be saved in the default directory. Press the **Ok** button (or the [ENTER] key) to save the configuration file.

### 14.9.3. Advanced Configuration

To complete the required configuration, pull down the **Action** menu and select **Advanced** (you can also use the [ALT]V accelerator). This will display the Advanced Parameters dialog:




Most of the parameters can be left at their defaults. However, you **must** change the Work Path for NLM operation:

- **Work Path:** Since Novell servers do not generally reference files with drive letters, UPSTREAM uses UNC names for all file level operations. The default Work Path for the Windows version is the UPSTREAM directory (referenced by a drive letter).

  We recommend that you **delete** this field and leave it empty so that the default work path (the UPSTREAM directory) is automatically determined by UPSTREAM. If you wish you can specify a UNC name for the work path.

Several other parameters are also of interest for NLM operation. These include:

- ☐ **Status Port:** Used for NLM tracing and End-User Restores, the TCP/IP port number that UPSTREAM is listening on. Actually, UPSTREAM will use the specified port and the next two in sequence. Most users will leave the default of 2033.

- ☐ **TCP/IP Send Buffer** and **TCP/IP Receive Buffe**r: Setting these may improve performance. See the *Performance* chapter for more information.

- ☐ **(Command line) Display backup/restore status:** Check this box if you wish UPSTREAM to display the current completion of a backup/restore is percent to the screen/console. The default is checked.

- ☐ **Internal (in percent):** Enabled if Display backup/restore status above is checked, the percentage interval between updates. The default is updates every 5 percent.

- ☐ **Auto-destruct screen on completion:** Check this box if you wish the UPSTREAM screen to automatically go away when UPSTREAM terminates. If you do not check this box, you will see a <Press any key to close screen> message and you must press a key to have UPSTREAM terminate. Note that if you do not, the system console will be locked. The default is **checked**.

- ☐ **Log to console:** If you check this box, UPSTREAM will log all of its messages to the system console as well as to its own screen (and log). The default is not checked.

- ☐ **Reports to console:** If you check this box, UPSTREAM will write report lines to the system console as well as to its own screen (and report file). The default is not checked.

See the advanced configuration chapter for a description of all the parameters.

Press the **Ok** button to save your changes.

### 14.9.4. Novell Profiles

All backups must use Novell profiles, as even NLMs must login. During the install the Windows version of the SETNOV program for defining Novell profiles is copied to the UPSTREAM directory on the server. You must run SETNOV from the directory where UPSTREAM is run from. See page 14-8 for instructions on using SETNOV.

**NOTE: For the NLM version, you must specify Novell Profiles for all backups and restores.**

### 14.9.5. Running the UPSTREAM NLM

When UPSTREAM is installed using USSETUP it creates a script US.NCF. To use it to load UPSTREAM, simply enter from the server console:

```
US
```

You do not need to specify LOAD before it as it is an NCF.

We recommend that you load the UPSTREAM NLM upon server startup. Thus we suggest that you add this line to your AUTOEXEC.NCF.

If you wish to manually load UPSTREAM, there are a number of NLMs which must be loaded for FDR/UPSTREAM to operate. These will be automatically loaded when you invoke the US.NCF script. The required NLMs include:

- TCPIP
- CALNLM32
- CLXNLM32
- NETNLM32

New versions of these NLMs may have been installed with the UPSTREAM installation. If you are going to use SMS, there are additional required NLMs (either TSA410 or TSA500 as well as TSANDS). See the next section.

If you are going to be backing up NDS, you no longer need to install the USNDS.NLM. See the *NetWare Directory Services* section (14.7., 14.7.) for details on this process.

The **US.NLM** program is a command line only version of FDR/UPSTREAM, similar to **uscmd** for UNIX. It has the following attributes:

- All operations must be unattended. You must create parameter files which are then executed by **US.NLM**. A sample one is included **RMTPARM.DAT** which is used to listen for host initiates.
- **US.NLM** opens a screen of its own when it is started. All messages written to the UPSTREAM log or reports are also written to the screen. Report and log file messages can also be written to the system console (see above).

Most users will want to have their backup and restores controlled by the host or a remote PC. US.NCF will load UPSTREAM in this manner. To manually load UPSTREAM on the server for the servicing of host requests, enter from the system console:

```
LOAD SYS:UPSTREAM/US PARAMETER=RMTPARM.DAT
```

To unload US.NLM, go to the system console and enter:

```
UNLOAD US
```

You can also unload UPSTREAM by pressing the [ESC] key from the UPSTREAM screen group. If you press [ESC] during a backup or restore you can suspend the backup/restore without terminating UPSTREAM.

The NLM version of FDR/UPSTREAM can only use UNC names. Do not specify drive letters or server formatted specifications. UNC names are specified in the form:

```
\\<Server>\<volume>\<file specification>
```

If you wish you can use the old UNC form as well:

```
!:\<Server>\<volume>\<file specification>
```

For example, to backup all the files in the DIR directory on the SERVER server's SYS: volume, specify:

```
\\SERVER\SYS\DIR\*.*
```

**NOTE: For the NLM version, you must use UNC names for all file specifications and you must use Novell Profiles.**

### 14.9.6. Using the UPSTREAM NLM

There are a number of ways to request the UPSTREAM NLM perform functions:

- From the host. This is the method that most users will use. It takes advantage of host scheduling, the ISPF panels and more.
- From a client using End-User Restores. See the *End-User Restores* chapter for more information.

- From the console (or RCONSOLE). This method allows ad-hoc execution of UPSTREAM functions. You must manually build parameter files (or use an UPSTREAM PC to build the parameter files) and then use command line parameters to run UPSTREAM functions.
- From the scheduler. See below for a description of using the NLM scheduler.
- Using the program included in the UPSTREAM/SOS distribution, NWSERVER.EXE. This is an OS/2 or Windows NT program which allows a NLM or NCF to be executed from a workstation as well as a number of other functions. See the FDRSOS manual for more information.
- From a workstation, using the "Request Remote Function" Management menu option. You must use SNA on the workstation to connect via the host, or you can connect directly to UPSTREAM on the server using TCP/IP (by **not** checking the Through MVS checkbox).

### 14.9.7. USSTART Scheduler

Included with the NLM version of UPSTREAM is a command line version of the USSTART scheduler. This program allows you to time schedule execution of NLMs (including UPSTREAM).

See the *Automated Backups and Restores* section of the UPSTREAM manual for a description of configuring and using USSTART.

Use the USCFG configurator to configure scheduled events. The Windows version of the USCFG program is copied to the UPSTREAM directory on the server. After using USCFG, you must modify the configuration file manually using a text editor, making sure that **all file names are in UNC form**. The parameters you must manually modify are:

- CONFIGFILE - The name of this file.
- WORKPATH - Must be modified for UPSTREAM
- FREQPARM - A repeated parameter which represents the UPSTREAM parameter file to run. This parameter file must be marked ATTENDED N and must be specified without a pathname or in UNC form.
- STARTBATCH - A repeated parameter which represents the NLM to execute. It should always be fully qualified and in UNC form.

Note that USSTART creates a log, USSTART.LOG which can be monitored to determine status.

### 14.9.8. Jobs

Jobs can only be used for executing NLMs, not NCF files or console commands. Jobs must be specified to run NLMs using the UNC naming convention.

Since there are many reasons to want to execute NCF files and console commands a small NLM, USSYS.NLM is included with UPSTREAM which allows these to be executed as jobs. To execute an NCF file or console command, specify from the host a command line of:

```
\\<server>\<volume>\<path to USSYS>\ussys.nlm <Novell formatted path to the NCF file>
```

For example, if this is the SERVER server and you are executing TEST.NCF specify a command line of:

```
\\SERVER\SYS\UPSTREAM\USSYS.NLM SYS:UPSTREAM/TEST.NCF
```

### 14.9.9. Additional Notes

Some additional notes about the NLM version:

- There is an entry **<Volume Information>** automatically created at the root (if you have specified ROOTENTRY=Y, which is the default). This contains volume restrictions (if the Novell Directory Restrictions option is selected). For SMS this is the SYS: primary resource definition. If this "file" is restored, the volume information is actually restored.
- Physical Disk backups/restores require media manager IDs (see ListDisk below) and all volumes on that disk must be dismounted.

### 14.9.10. ListDisk

ListDisk is a separate utility NLM which allows you to determine which disks may be FDRSOS Local Backup Disks and the media manager IDs for physical disk backups/restores.. This is necessary as there is no administrative console which allows access to view the disks which are displayed and managed with the standard US program.

There are actually two versions of this program:

- LISTDISK.NLM. The full version. You must load VOLLIB.NLM (if available) before loading this program. This program resolves media manager IDs to NetWare volume names, and can be useful if you wish to perform physical disk backups/restores.
- LSTDSK2.NLM. A somewhat limited version, which does not require VOLLIB.NLM Since VOLLIB is not available in some NetWare environments (particularly v5), a separate program is provided. This program is sufficient for identifying FDRSOS disks.

Most users will run the program from the console:

```
LOAD SYS:UPSTREAM/LISTDISK
```

It will then ask you:

```
This program lists all the disks and their UPSTREAM names on a Novell server
Enter the name of a file to write this information to.
Press ENTER alone to get the info only written to the screen ->
```

If you enter a file name, the information is written to a file and to the screen. The file name must be in NetWare form. If you just press ENTER, the information is written to the screen.

Since this program is primarily intended for determination of FDRSOS disks, you will be asked to press ENTER after each FDRSOS disk encountered.

The following is a sample listing from LSTDSK2:

```
ListDisk - Lists all Novell disks.  Run at Thu Aug  6 09:53:19 1998
Disk ID #18 (this is also its NetWare Object ID)
   MM_Reserve_Object error #6 (probably mounted): Can't test for FDRSOS
Disk ID #21 (this is also its NetWare Object ID)
   Object 21 is not a FDRSOS disk
Disk ID #22 (this is also its NetWare Object ID)
   Object 22 is an FDRSOS disk (partition 1).  Set LOCALBACKUPDIR to: 1,22
Disk ID #23 (this is also its NetWare Object ID)
   Object 23 is not a FDRSOS disk
```

- #18 is most likely a mounted NetWare volume. If you wish to back this disk physically and need to relate the UPSTREAM media manager number to the volume name(s), run LISTDISK. In this case it happens to be the SYS: volume.

- #21 is an unallocated disk.
- #22 is an UPSTREAM FDRSOS disk. Set the LOCALBACKUPDIR parameter to 1,22 to use this disk.
- #23 is an unallocated disk.

### 14.9.11. Other Programs

There are a number of other programs which may help you use the NLM version of UPSTREAM:

- NWSERVER.EXE: This is a FDRSOS supplemental program which allows you to from an OS/2 or Windows NT workstation, load NLMs and NCFs, unload NLMs and mount and dismount volumes. See the FDRSOS documentation for details on its use.
- USLOGCLR.NLM: Cleans up UPSTREAM logs and reports. See the *Errors* chapter for its use.
- TRCTCP.EXE: A cooperative program, to be run on Windows NT workstations, for tracing the UPSTREAM NLM. It should only be used under the guidance of UPSTREAM technical support.

# 14.10. Novell SMS

Novell Storage Management Services (SMS) is designed as the standard method for backing up and restoring Novell volumes. All Novell features (name spaces, security attributes, etc.) are included in backups and restores. In many cases this can be the fastest method of backup. FDR/UPSTREAM v3.0.0 is designed to take advantage of these services.

You can use Novell SMS if you are using the NLM or Windows NT version of FDR/UPSTREAM.

The main disadvantage of UPSTREAM's SMS support is that the files backed up can only be restored to a Novell server. You cannot directly restore the data to a local disk. Data is transmitted and stored in SIDF form, and Novell compressed data is not decompressed.

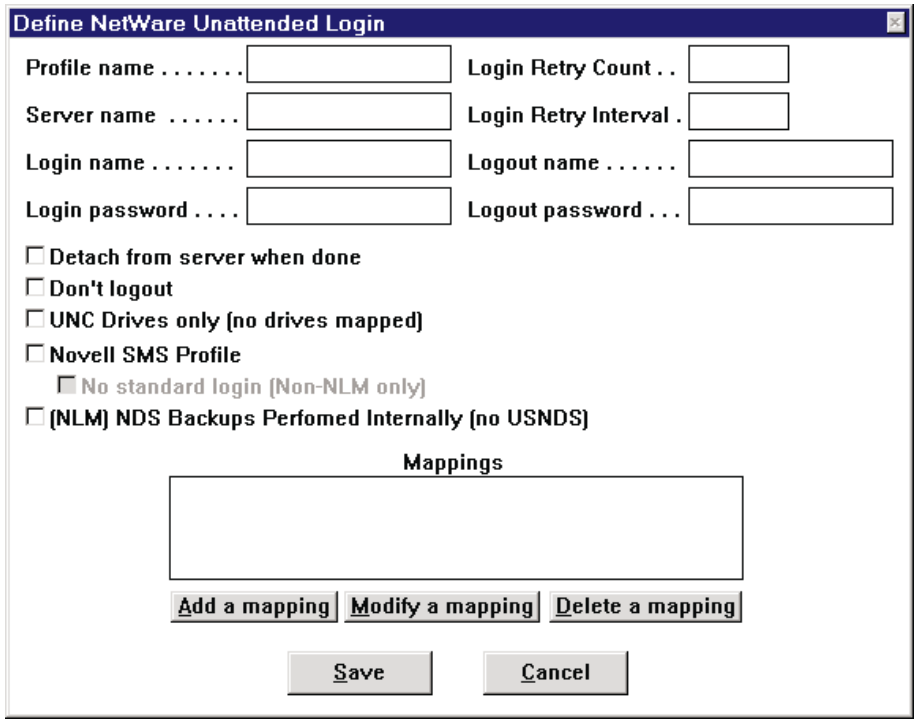
**WARNING: If you are using UPSTREAM with Novell's SMS and you are running NetWare v5, you must install Support Pack 2A and perform a first-time full. See Novell TID 2951603.**

To use SMS with the NLM version of FDR/UPSTREAM, you must load the appropriate Target Service Agent (TSA). You should obtain the latest version from Novell:

- TSA312 for NetWare 3.12
- TSA410 for NetWare 4.1 and 4.11
- TSA500 for NetWare 5.0

To use SMS with Windows NT, you must install the SMDR32.DLL from Novell. Note that at this time SMS performance with Windows NT is quite poor (less than 80K/sec.); you may choose to use it only for specific files/volumes for this reason.

You specify that a given backup or restore will use SMS, by specifying a SMS-only Novell Profile. In the SETNOV program, when you define a Novell Profile, there is a new option:




- ☐ **Novell SMS Profile:** If you check this box, this Novell Profile will indicate that this will be a SMS backup. All other checkboxes will be grayed. All of your file specifications must be specified with UNC names and the data will be transported and stored in SIDF format. The default is not checked.

- ☐ **No standard login (non-NLM only):** Grayed unless you have checked Novell SMS Profile (above). Check this box if you are running UPSTREAM on a Windows client and you do not want to interfere with an existing login.

**NOTE: When using a SMS Novell Profile, you must use UNC file specifications**

Other than specifying a SMS Novell Profile and using UNC names, there are no other usage requirements within UPSTREAM for SMS. Specify backups and restores as you normally do.

Non-file data options are slightly different for SMS:

- All non-file data for a directory or file is backed up and restored. This means that most of the UPSTREAM Novell non-file data options are ignored (File and Directory Information, Directory Restrictions, and Trustee Information).
- Set Archive Date is observed. UPSTREAM will set the ID of the backup user and the current date during the backup.
- If the FILESOPENFORUPDAT parameter is Y, UPSTREAM will open the file without a file lock, thus more files will be backed up, but the data may potentially be compromised.

The backup and restore size estimations may vary from the actual amount transmitted due to the SIDF formatting of the data. Thus, your completion percentages and host DASD size estimations may be off.

Files names whose path exceed 230 characters can be supported with SMS, however, UPSTREAM will truncate the name (putting in a checksum terminating name), making it difficult to identify the file for restore and it

cannot be renamed in the restore. You must enable the USSMSLONGNAMES environment variable. The USSMSFILEREPORT environment variable can be set to help you relate the UPSTREAM truncated name and the original file name.

There are a number of other significant SMS environment variables:

| Name | Default | Description |
|---|---|---|
| USSMSCHECKCRC | (Not defined) | (SMS) If defined, UPSTREAM will check the CRC (if generated in the backup with the USSMSGENCRC environment variable) for data integrity. This will degrade performance slightly |
| USSMSEXPANDCOMPRESS | (Not defined) | (SMS) If enabled, UPSTREAM will expand compressed data during SMS backups. This will result in slightly poorer performance. |
| USSMSFILEREPORT | (Not defined) | (SMS) If defined, UPSTREAM generate a report file (SMSFILES.LOG) which shows the UPSTREAM name and the Novell names used during backup and restore. |
| USSMSGENCRC | (Not defined) | (SMS) If defined, UPSTREAM will generate a CRC during backup for data integrity checking during restore (requires SMSCHECKCRC during the restore). This will degrade performance slightly. |
| USSMSLONGNAMES | (Not defined) | (SMS) If defined, UPSTREAM will support Novell names of up to 512 bytes by truncating the name and putting in a checksum name terminator. The mangled name may be difficult to restore, and cannot be restored to a new location. |
| USSMSNOLONGNAMESPACE | (Not defined) | (SMS) If defined, UPSTREAM will not attempt to record the long name (if any) for files or directories. This may improve performance slightly. |

# 14.11. UPSTREAM NLM with NetWare for SNA

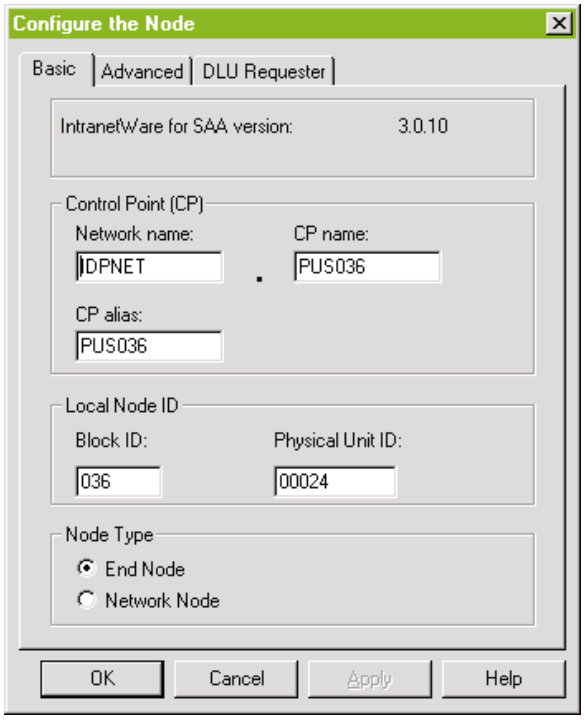
The NLM version of FDR/UPSTREAM can communicate with the host using the APPC services provided by the Novell/IBM product InternetWare for SAA. Only version 3.0.1 with service SAA30010 and JR12237 applied has been certified for FDR/UPSTREAM. This section will describe the configuration of InternetWare for SAA for use with the NLM version of FDR/UPSTREAM.

Begin configuration from a Windows 95/NT machine by running the **IWSAA Server Configuration** program in the **IntranetWare for SAA Management** group. Pull down the **File** menu and select **Open** to open an existing configuration file (recommended) or New to create a new configuration file.

Pull down the **Scenarios** menu and select **Advanced** to display the full list of configuration options.

### 14.11.1. Node Configuration

Highlight the **Configure Node** option. If you have a node already configured, you will see its name under the *Node:* title; press the **View/Change/Add** button to review the configuration (if you have a functional server, you will generally not want to modify the node configuration). If you do not have a node configured, press the New button




- ☐ **Network Name:** Enter your SNA network name.
- ☐ **CP Name:** Enter your PC's CP name (which is frequently your PU name).
- ☐ **CP Alias:** Most users enter the same value as the CP Name.

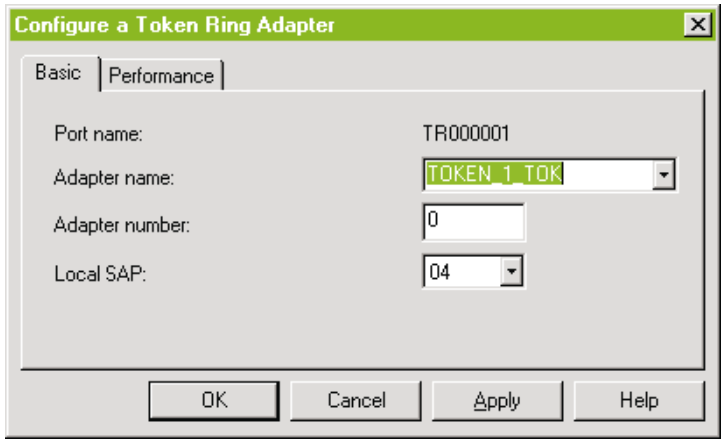
- **Local Node ID:** If you are connecting through a 37xx, 3172 or OSA adapter, enter your complete XID. The first three digits (Block ID) are the IDBLK and the second 5 digits (Physical Unit ID) are the IDNUM definition on VTAM for your PU.

- **Node Type:** Most users will press **End Node**.

The values in Advanced and DLU Requester tab can be left at their defaults. Press the **Ok** button to return to the Node Configuration dialog.

### 14.11.2. Data Link Adapters Configuration

Highlight **Configure Data Link Adapters**, and highlight the network adapter type that you will be using in the Adapters list. If you have already configured this adapter, its name will appear in the bottom list. If you have an existing configuration you can press **View/Change/Add** to review its configuration (again, if you have a working server you will generally not modify it). Otherwise, press **New** to create the configuration definition. The following screen is specific to Token-Ring.




- **Adapter name:** Most user will use the default value.

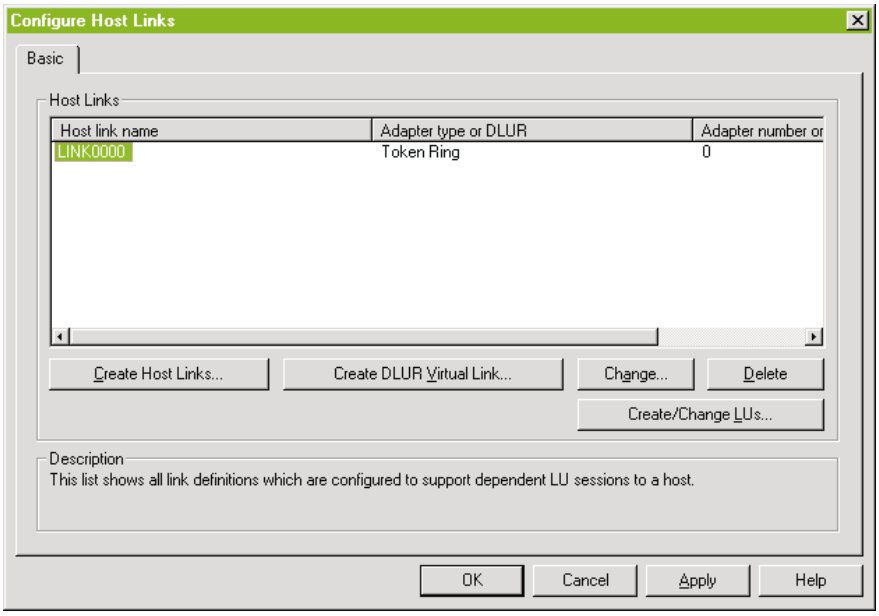
- **Adapter number:** Most users will select **0** (the primary adapter).

- **Local SAP:** Most users will use the default of **04**.

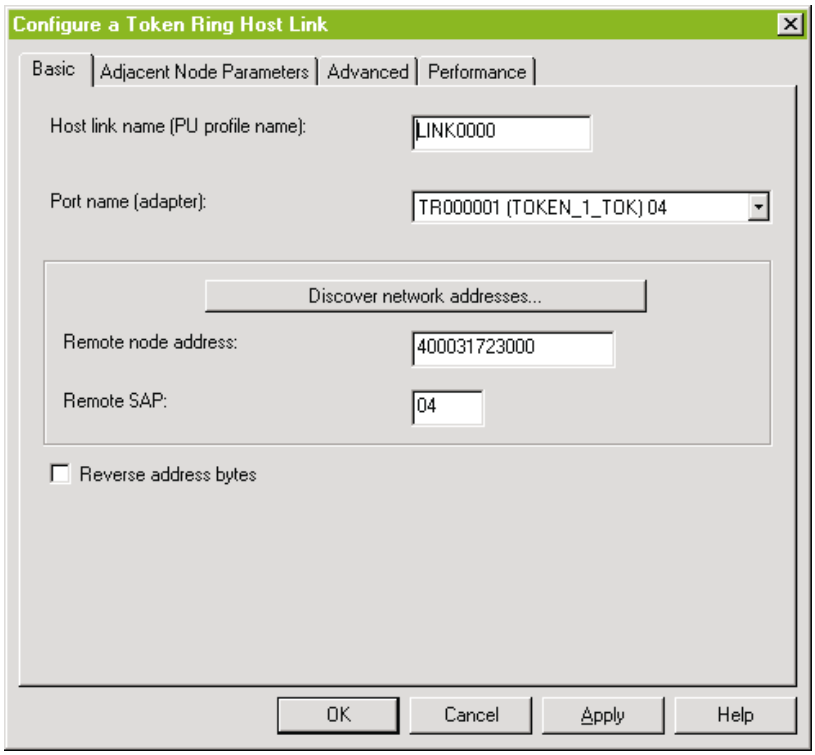
Most users will not need to configure the values in the performance tab. Press the **Ok** button to return to the Node Configuration dialog.

### 14.11.3. Host Link Configuration

Highlight **Configure Host Links**. If you have already configured UPSTREAM's host link, its name will appear in the bottom list. If you have an existing configuration you can press **View/Change/Add** to review its configuration (again, if you have a working server you will generally not modify it). Otherwise, press **New** to create the host link definition.




If you are creating a new host link, press the **Create Host Links** button. If you are viewing or modifying an existing host link, highlight the link and press the **Change** button.

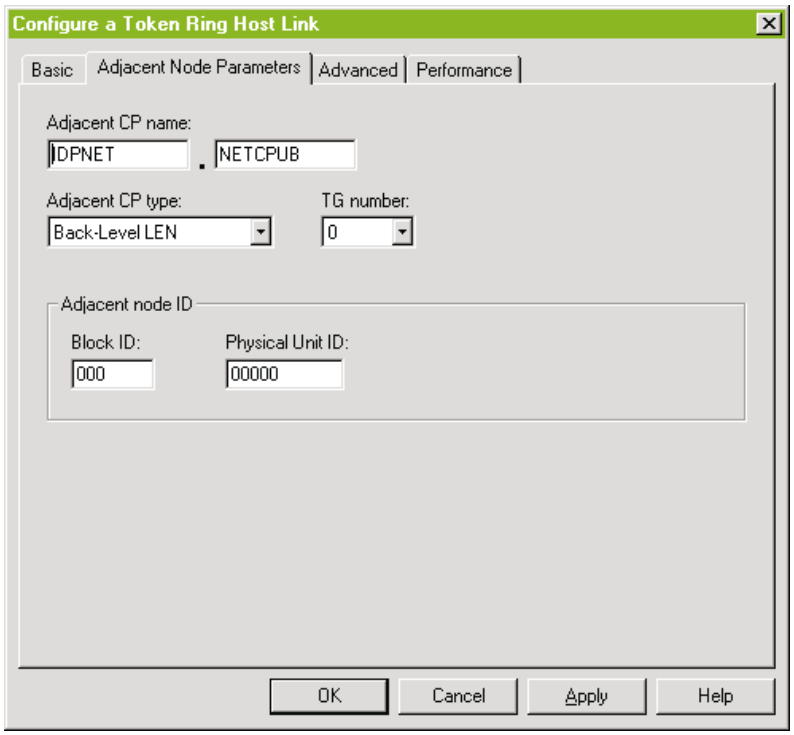



- **Host link name:** Most users will use the supplied name.
- **Port name:** Most users will use the supplied name.

- **Remote Node Address:** Enter the network address of the host device you will be connecting to. Most users will enter the locally administered Token-Ring or Ethernet address of their host device.

- **Remote SAP:** Most users will use the default of 04.

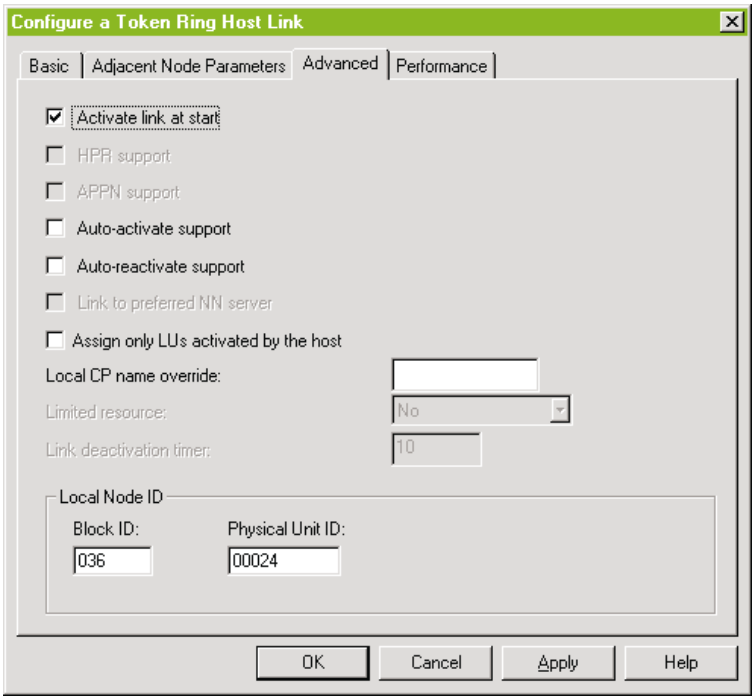
Press the **Adjacent Node Parameters** tab:




- **Adjacent CP name**: Enter your SNA Network name in the first field and your mainframe's control point name in the second field. The mainframe's control point name is the value specified for the SSCPNAME parameter in the ATCSTR00 member of SYS1.VTAMLST.

- **Adjacent CP Type:** We recommend that whenever possible you use the simplest connection type such as **Back-Level LEN**.

The remaining parameters you can leave at defaults. Press the Advanced tab.



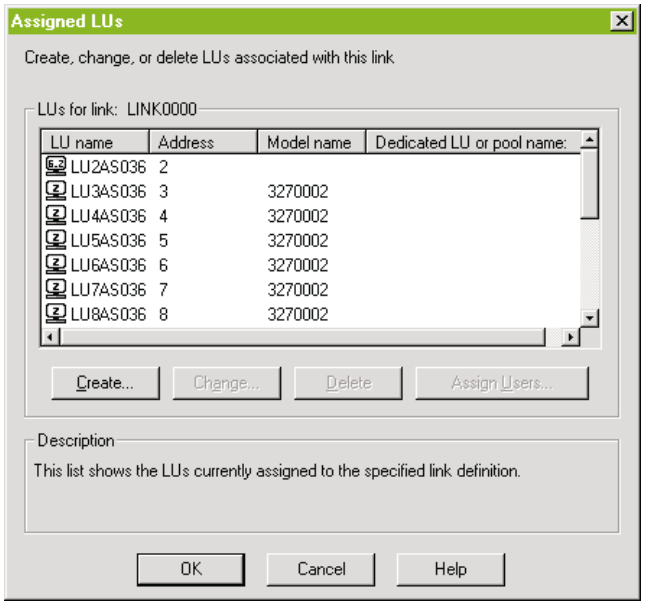

The fields significant for UPSTREAM are:

- **Activate link at start:** We recommend that you **check** this box.
- **APPN support:** We recommend disabling APPN (and its associated facilities) whenever possible for simplicity. Thus, you should **not check** this box.
- **Local Node ID:** If you are connecting through a 37xx, 3172 or OSA adapter, enter your complete XID. The first three digits (Block ID) are the IDBLK, and the second 5 digits (Physical Unit ID) are the IDNUM definition on VTAM for your PU.

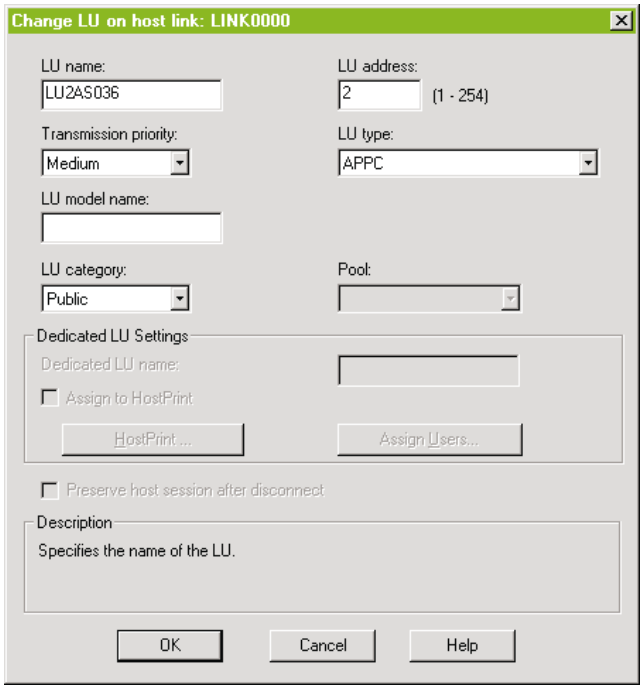
The parameters in the Performance tab can be left at their defaults. Press the **Ok** button to return to the Configure Host Links dialog.

### 14.11.4. Local LU Configuration

To configure local LU's, from the Configure Host Links dialog, press the **Create/Change LUs** button.




To create a new LU definition, press the **Create** button; to modify an existing definition, press the **Change** button.




The parameters significant for UPSTREAM are:

- **LU name:** Enter the LU name configured on the host for your PC to use with FDR/UPSTREAM.

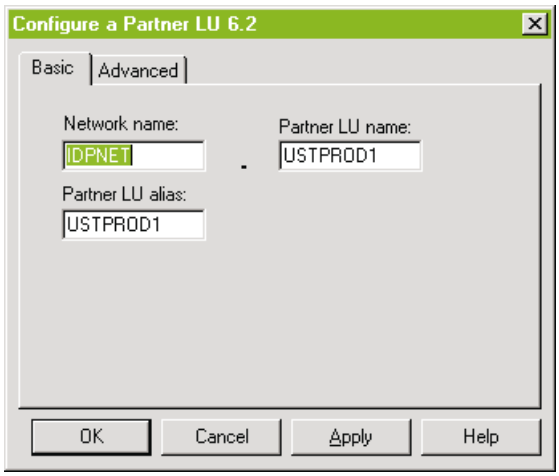
- **LU address:** Enter the LU number (LOCADDR) configured in VTAM for your LU. Note that this must be non-zero (a dependent LU).

- **LU type:** You must select **APPC** for FDR/UPSTREAM LUs.

Press the **Ok** button to create the LU. Press the **Ok** button again to return to the Node Configuration dialog.

### 14.11.5. Partner LU Configuration

Highlight **Configure Partner LU 6.2** and press the **New** button to create the Partner LU 6.2 definition for UPSTREAM.

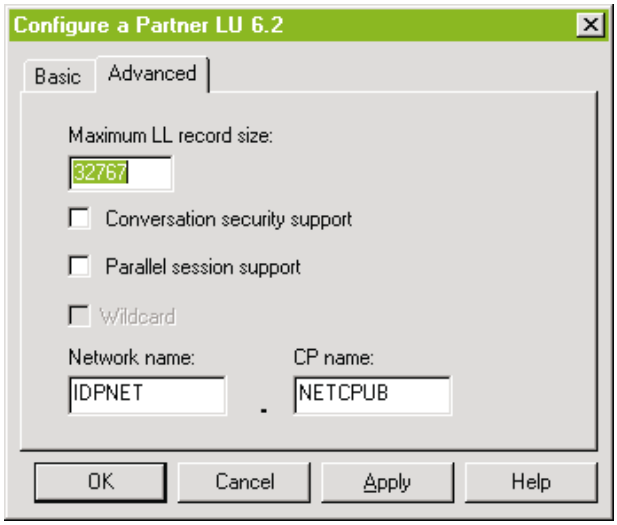



- **Network name:** Enter the SNA network where UPSTREAM/MVS resides.

- **Partner LU name:** Enter the UPSTREAM applid. Most users will enter UPSTREAM.

- **Partner LU alias:** We recommend that you use the same value as the Partner LU name. Thus most users will use **UPSTREAM**.

Press the Advanced tab.

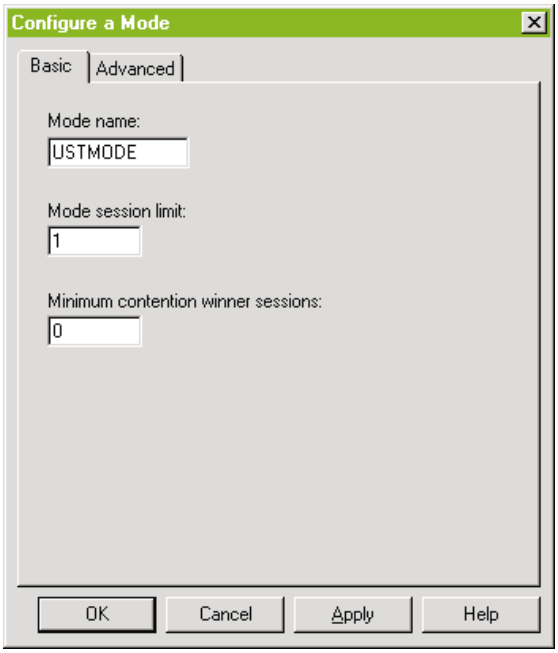



- **Maximum LL record size:** Enter **32767**.
- **Conversation security support:** Do **not check** this box.
- **Parallel session support:** We recommend using dependent LUs, so do **not check** this box.
- **Network name:** Enter your SNA network name.
- **CP name:** Enter the mainframe's control point name. This value is specified as the SSCPNAME parameter in the ATCSTR00 member of SYS1.VTAMLST.

Press the **Ok** button to return to the SNA Node Configuration dialog.

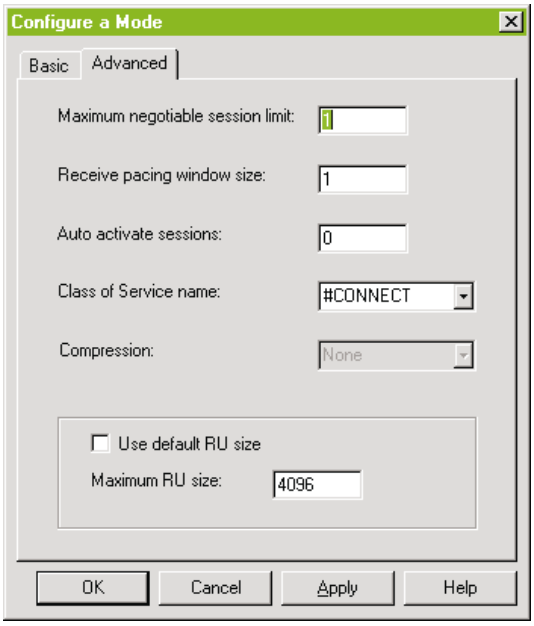
### 14.11.6. Mode Configuration

Highlight **Configure Modes**. You can use the default mode of #INTER (which is already configured). If you choose to do so, then skip to the next section. However, if you wish you can configure the UPSTREAM default mode USTMODE or some other mode. If you have already configured your mode, press **View/Change/Add** to review its configuration, otherwise, press **New** to create the new mode definition.




- **Mode name:** Enter the mode name you wish to use. The UPSTREAM supplied mode name is **USTMODE**; many users also use the system provided mode name of **#INTER**.
- **Mode session limit:** If this is a dependent LU, you must enter **1**.
- **Minimum contention winner sessions:** If this is a dependent LU you can enter either **1** or **0**.

Press the Advanced tab.

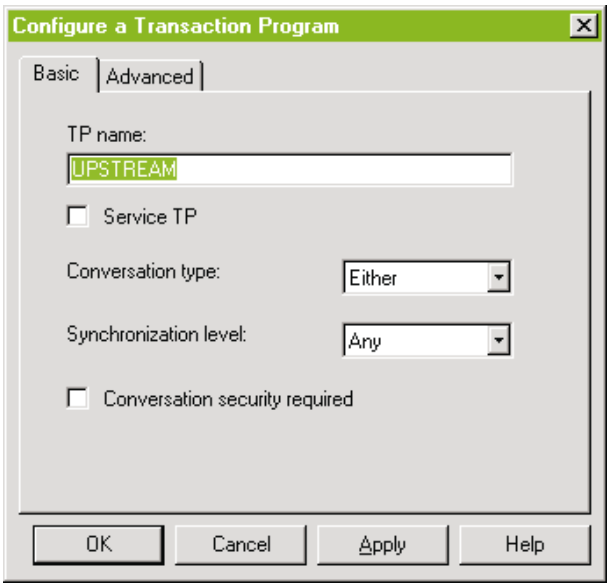


- ☐ **Maximum negotiable session limit:** Dependent LUs must specify **1**.
- ☐ **Receive packing window size:** This is a performance tuning parameter. We recommend **8**.
- ☐ **Use default RU size:** Most users will **check** this box. However, if you wish to specify a lower RU size, do not check this box and specify the RU size below.
- ☐ **Maximum RU size:** If you did not check Use default RU size above, you can specify an RU size. We recommend **4096** whenever possible.

Press the Ok button to return to the SNA Node Configuration dialog.

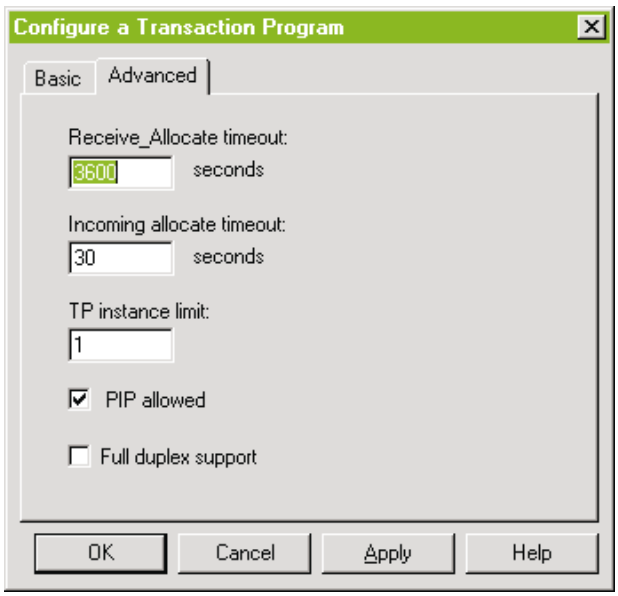
### 14.11.7. Transaction Program Configuration

Highlight **Configure Transaction Programs**. If you are creating the UPSTREAM transaction program press the **New** button.




- ☐ **TP Name:** Enter **UPSTREAM** in UPPER case. This value must correspond to the TPNAME parameter specified in your host job and the INTPN parameter specified in the UPSTREAM PC configuration.
- ☐ **Service TP:** Do **not check** this box.
- ☐ **Conversation type**: Select **Either**.
- ☐ **Synchronization level:** Select **Any**.
- ☐ **Conversation security required:** Do **not check** this box.

Press the **Advanced** tab.




- ☐ **Receive_Allocate timeout:** Enter **3600** seconds.
- ☐ **Incoming allocate timeout:** Enter **30** seconds.
- ☐ **TP instance limit:** Enter **1**.
- ☐ **Full duplex support:** Do **not check** this box.

Press the **Ok** button to return to the SNA Node Configuration dialog.

### 14.11.8. Completing the Server Configuration

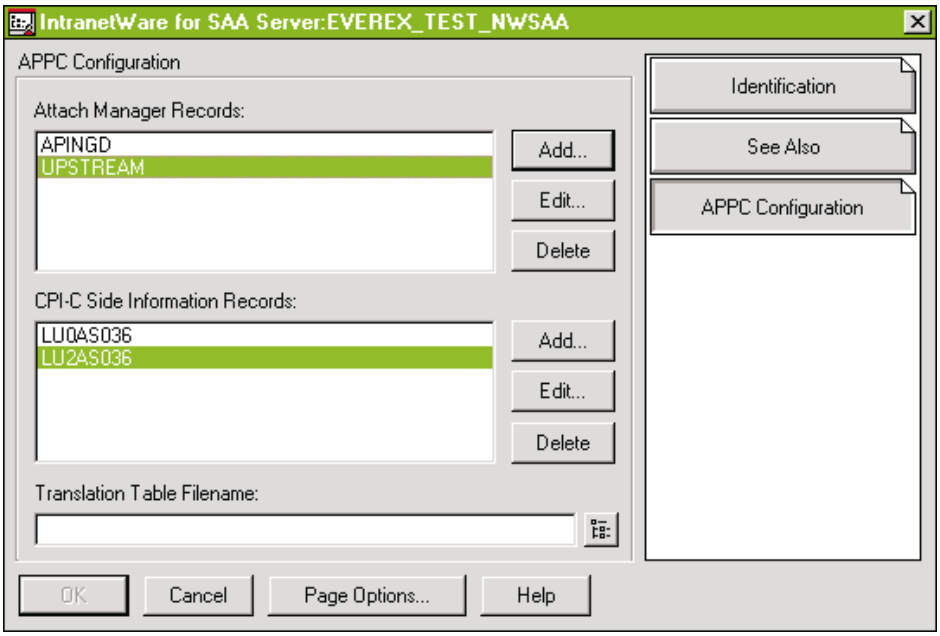
To complete the server configuration program, pull down the **File** menu and select **Save.** If you are creating a new configuration file, you will be asked for the name. Specify any name which will help you remember this configuration. You can now exit the Server Configuration program as this part of your configuration is now complete.

The next phase of configuration is performed using NWADMIN.

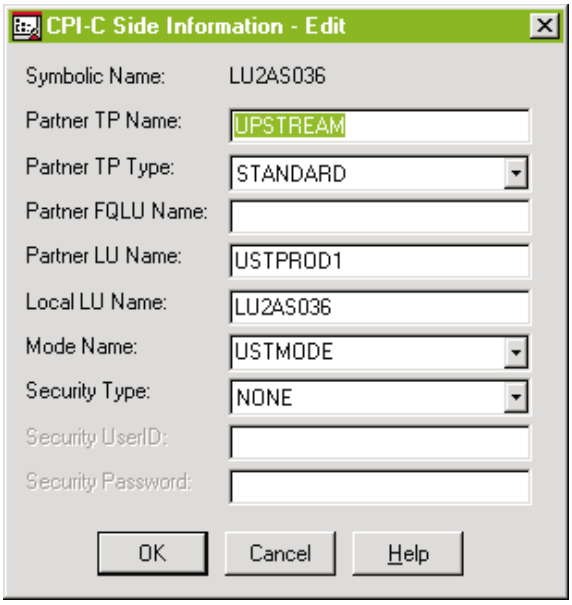
### 14.11.9. NWADMIN Configuration

NWADMIN is used to configure a number of NetWare for SAA server features. Execute NWADMIN (or NWADMNNT or NWADMN32 depending upon your environment).

Expand the context in which your NetWare for SAA server is installed. This icon for a NetWare for SAA server usually has the name of your server with an _NWSAA suffix (for example SERVER_NWSAA). Double-click this icon to see it's properties and press the **APPC Configuration** button.




Create a CPI-C Side Information record. Press the **Add** button in the **CPI-C** Side Information frame.

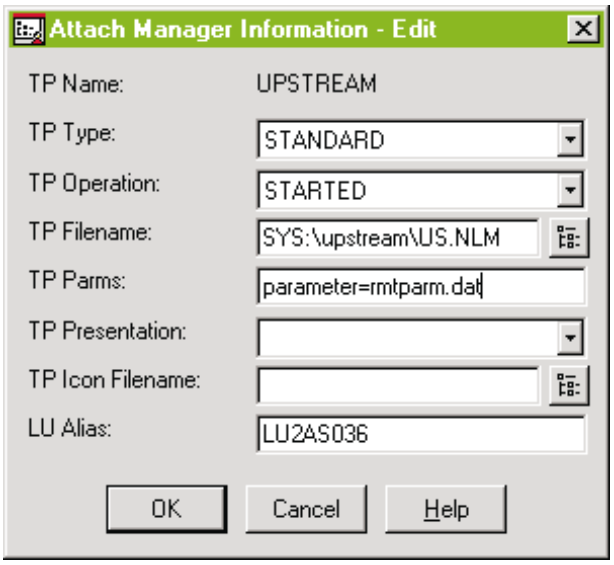



- **Symbolic Name:** Most users will enter the same name as their Local LU Name. This value is used as the **Local LU Alias** in the UPSTREAM configuration.
- **Partner TP Name:** Enter **UPSTREAM** in UPPER case.
- **Partner TP Type:** Select STANDARD.
- **Partner FQLU Name:** Most users can leave this field blank.

- ☐ **Partner LU Name:** Enter the UPSTREAM applid. Most users will enter **UPSTREAM**.
- ☐ **Local LU Name:** Enter the LU name configured for your PC to use for FDR/UPSTREAM.
- ☐ **Mode Name:** Enter the mode name configured for UPSTREAM. Most users will use either USTMODE or #INTER.
- ☐ **Security Type:** Select **NONE**.

Press the **Ok** button to save your configuration and return to the NetWare for SAA configuration screen.

To configure the Attach Manager Record, press the **Add** button in the Attach Manager Record button frame.




**TP Name:** Enter **UPSTREAM** in UPPER case.

**TP Type:** Select **STANDARD**.

**TP Operation:** Select **STARTED** to have it attach manager started.

**TP Filename:** Enter the fully qualified name, in NetWare format, of the location of the UPSTREAM program. Most users will enter **SYS:UPSTREAM\US.NLM**.

**TP Parms:** Enter **PARAMETER=RMTPARM.DAT**

**LU Alias:** Most users will enter their Local LU Name.

Press the **Ok** button to save your configuration and return to the NetWare for SAA Configuration screen. Press the **Ok** button to complete your configuration and return to NWADMIN. You can now exit NWADMIN.

### 14.11.10. Starting the Attach Manager

The configuration above assumes that the UPSTREAM NLM is loaded upon the receipt of a host request. To have the NetWare for SAA Attach Manager load UPSTREAM, you must load the Attach Manager.

Most users will have a SAAUP.NCF file in their SYS:SYSTEM\NWSAA directory. Add the following line to the end of that file:

```
LOAD ATTMGR
```

You have completed the NetWare for SAA configuration for UPSTREAM. We recommend that you take NetWare for SAA down and restart it before attempting to run UPSTREAM.

### 14.11.11. Configuring FDR/UPSTREAM.

From a Windows 3.1, Windows 95/98 or Windows NT workstation, run the UPSTREAM configurator (USCFG.EXE) from the SYS:UPSTREAM directory.

Press the **SNA...** radio button to have UPSTREAM attach to the host using SNA rather than TCP/IP.

- ☐ **Local LU Alias:** Enter the Symbolic name configured in the NWADMIN CPI-C Side Information dialog. For most users this is their local LU alias.

- ☐ **Partner LU Alias:** This value is not used but must be filled in. Most users will enter UPSTREAM.

- ☐ **Mode Name:** This value is not used, but must be filled in. Most users will enter #INTER.

- ☐ **Use a Registered Name for Host Initiation:** Check this box if you wish to register a name with FDR/UPSTREAM MVS that host and other workstation/server requests can use to find your workstation. You must register a name if you wish to use the auto-update facility. Note that checking this box may cause occasional errors (which can be ignored) if the workstation/server is updating its registration information when a remote request is received. You must enter a Registered Name if you check this box. The default is not checked.

- ☐ **Registered Name:** Enter any name, unique within FDR/UPSTREAM MVS, that can be used to allow the host and other PCs to find your workstation. You can enter up to 16 characters which can include embedded spaces. Note that if there are duplicate names no errors are reported; the most recently registered name is used.

- ☐ **Transmission Interval:** Enter a number which indicates how often (in minutes) you will reregister your registration name with FDR/UPSTREAM MVS. Most users will use the default of **0**, which causes the registration to happen just once on UPSTREAM Startup. The main reason to specify a non-zero value is if you are using TCP/IP with the DHCP facility enabled and your IP address may change from time to time.

Messages Time Out and Allow Multiple Users are not relevant for the NLM version.

Press the **Ok** button (or the [ENTER] key) to displays the File Save dialog. Here you can specify the file name for the configuration file. Most users will use the default of **UPSTREAM.CFG** which will be saved in the default directory. Press the **Ok** button (or the [ENTER] key) to save the configuration file.

See the documentation earlier in these update notes for a description of Advanced Configuration parameters that must be specified.

This completes the configuration of UPSTREAM for NetWare for SAA. Proceed back to page 14-24 (section 14.9.3., 14.9.3.) to continue the UPSTREAM configuration with Advanced Configuration.

### 14.11.12. Notes

There are a number of issues related to the NLM version of FDR/UPSTREAM for NetWare for SAA:

- Host initiated requests will cause US.NLM to be automatically started. You should not add US.NLM to your STARTUP.NCF.
- You will still need to add the other required NLMs (CLXNLM32.NLM, etc.) to your STARTUP.NCF. You will need TCPIP.NLM as well.

# 14.12. Novell Auto-Recall

Auto-Recall is a facility where when a file has been migrated (moved to other storage), a stub of the file is left behind. If a user accesses the file, then the file is restored to its original media in its original form.

FDR/UPSTREAM supports auto-recall for Novell servers. This comprehensive facility features:

- Auto-detection of files to migrate based on last access date. When specifying files to migrate you can either specify specifically the files to migrate or specify a wildcarded range of files and ask UPSTREAM to only migrate those files which hadn't been accessed for a given number of days. This allows you to use FDR/UPSTREAM as a tool to keep your disks free of unused data.
- Transparent recall. When a file is accessed by any user in any operating system, FDR/UPSTREAM will automatically recall the file.
- User notification. A user on a Windows 95, Windows NT or OS/2 based system can be notified of the recall event and decide whether to allow the recall to proceed or fail.
- Recalls by FDR/UPSTREAM on Novell servers, Windows 95, Windows NT or OS/2 systems.
- Specification of recall retention periods and automatic cleanup of expired migration stubs.

### 14.12.1. Introduction

Before beginning on migration/auto-recall planning you should have a basic understanding of FDR/UPSTREAM and how it functions in a Novell environment.

There are several steps to a migration/recall:

- ☐ Migrating the files. Determining the files to migrate and performing the migration. This is performed by FDR/UPSTREAM. You can use the NLM version on the server directly or on a separate workstation (DOS, OS/2, Windows, Windows NT). Once you choose a method you should plan on sticking with it. We highly recommend using the NLM version of UPSTREAM. The **File Migration** facility is recommended for performing these migrations, and it is further recommended that you specify a File Migration only profile.

- ☐ Detecting a recall request. FDR/UPSTREAM includes a NLM (NetWare Loadable Module) which runs on the server: USRECALL.NLM. When installed, this NLM will determine if a file must be recalled when it is opened by a workstation application or user for read or read/write by examining it's last access date (Jan. 2, 1981 which is set by UPSTREAM during a Novell migration). This NLM has many configuration options for the processing of auto-recall requests including:
  - Whether the user should be held until the recall completes or the file open should fail and the recall proceed in the background. We strongly recommend that the recall not hold the user. The Novell requestor is single threaded and the workstation will be effectively locked until the recall is satisfied. Also, if the recall takes too long (there are requestor parameters on some versions of the client to set this, but the default is 3 minutes), the user's connection to the server is broken.
  - Whether the user can be notified of recall requests and accept or deny them.
  - How long a recall request should be held for (its timeout).

- ☐ (Optionally) Notifying the user. If the user's workstation is Windows 95, Windows NT or OS/2 and has loaded the FDR/UPSTREAM Auto-Recall notification program (USNOTIFY.EXE) on his workstation the user will be notified of the recall request and have the opportunity to either accept the recall or reject it.

- ☐ (Optionally) Sending status. Status can be sent to the user via NetWare broadcasts, which work regardless of whether the USNOTIFY program is loaded.

- ☐ Recall the file. If the recall can proceed, and your are running the NLM version of UPSTREAM for recalls, then USRECALL will immediately start UPSTREAM to perform the restore. If you are using a client for the recalls, then USRECALL.NLM will send the request to NWRECALL.EXE, the FDR/UPSTREAM Auto-Recaller which will start FDR/UPSTREAM to perform the recall.

  Once the recall has completed, the user, if blocked waiting for the recall to complete, will be freed. If the recall fails for any reason, the user will be returned a NetWare file open error.

- ☐ Clean up expired files. This is performed during the migration.

Communications between the server NLM and the recaller or notification workstation uses the Novell TLI facility which runs over IPX/SPX or TCP/IP. Communications configuration is performed on the server.

### 14.12.2. Migration

When planning for the migration, some issues to consider related to host storage are:

- Files to be migrated should be stored in a separate backup profile from your regular backups. If you choose to use the same backup profile you need to realize that there will be excessive overhead in host FILE_INFO storage as a record for a migrated file is created for each new full backup.
- Migration should be performed somewhat infrequently. Again, the overhead in the FILE_INFO cluster is quite high.
- You may choose to use deferred merges to keep data stored on disk in case of early user recall.

Some Novell server notes and warnings include:

**NOTE: If you intend to migrate using the last access date, you must check the Novell or HPFS Reset Last Access Date checkbox in the Backup/Spec Detail/More... dialog for ALL backups. Otherwise the last access date will always be the last backup date.**

**WARNING: For ALL UPSTREAM backups of a server containing migrated files, you MUST check the Novell Auto-Recall Profile checkbox in the Backup/More... dialog. If you do not, an UPSTREAM backup will cause a recall of all migrated files.**

**NOTE: When checking the Novell Auto-Recall Profile, you can also check Delete expired auto-recall files. When performing a migration for auto-recall you specify a retention period. FDR/UPSTREAM sets the file's creation date to today's date plus the retention period. If you check this box the next backup will cause the stub to be deleted.**

**NOTE: Auto-recall of migrated files must come from a single backup profile for each server. You cannot use more than one backup profile to hold migrated files for a given server.**

**WARNING: (If you're using NWRECALL) You may get hangs if you allow the FDR/UPSTREAM machine to perform auto-recalls. This machine should only be used to restore recalled files.**

There are three different ways to migrate files for auto-recall using FDR/UPSTREAM:

- File Migration: This is a separate option for specifying files to migrate. This is the recommended method (see later in these notes for a description of this new facility). Note that you must check the **Novell Migration** checkbox in the spec detail dialog.
- Migration. When performing Full Merge backups, the Migrate... option is enabled in the Backup, Spec Detail dialog. When you press this radio button you can specify that all migrated files be Novell Migrated (a stub left and auto-recallable) rather than deleted by checking the **Novell Migration** checkbox. The other migration options are discussed in the Migration section of the FDR/UPSTREAM Program chapter in the manual.
- Specify files be included in any non-merge backup and then (in the Backup/Spec Detail/More... dialog) in the Backup File Deletions frame, press the All Files in Spec radio button and check the Novell Migration checkbox. This will cause the files to be marked for auto-recall rather than explicitly deleted. Note that the expiration of the files is specified using the RETAIN parameter not available on this screen. You should set it by editing the parameter file manually or in the Migration Spec Detail frame. If using this method you must still check the **Novell Auto-Recall Profile** checkbox in the Backup/More... dialog.

For most users we recommend:

- Use a separate backup profile for migration than for your regular backups.
- Use Migration rather than file deletion.
- Migrate those files which have not been accessed for more than 180 days.
- Perform migrations monthly or less often.
- Retain migrated files for 90 days.
- Use deferred merge and do not run the merge utility for several days to allow users to recall files.

If your users will be using the Windows Explorer to browse directories, note that it opens a number of files (based on their extension) to obtain their icons for display. This will cause spurious recalls. There is a feature in migration specifications **Add ext. to stub** which causes UPSTREAM to add the predefined extension .UPSTREAM_MIGRATED to all migrated files which will suppress these recalls. We recommend using this feature in your migrations whenever the Windows Explorer may be used. You will need to note that you have specified it in the recaller configuration (below).

### 14.12.3. The Components

The NetWare Auto-Recall diskette (or \UPSTREAM\NWRECALL directory) on the CD contains the following files:

| File Name | Description |
|---|---|
| \NOTIFY\OS2\UPSTREAM.MSG | UPSTREAM message file for OS/2 user notification. |

| File Name | Description |
|---|---|
| \NOTIFY\OS2\USNOTIFY.EXE | User notification program for OS/2 users accessing migrated files on Novell servers. |
| \NOTIFY\WINDOWS\UPSTREAM.MSG | UPSTREAM message file for Windows 95 and Windows NT user notification. |
| \NOTIFY\WINDOWS\USNOTIFY.EXE | Users notification program for Windows 95 and Windows NT users accessing migrated files on Novell servers. |
| \RECALL\OS2\NWRECALL.EXE | The UPSTREAM auto-recaller program that runs on the UPSTREAM OS/2 machine. This is a full-screen (non-PM) program. |
| \RECALL\WINDOWS\NWRECALL.EXE | The UPSTREAM auto-recaller program that runs on the UPSTREAM Windows 95 or UPSTREAM Windows NT machine. |
| \SERVER\USLOGCLR.NLM | Log clearing program (NLM version) |
| \SERVER\USRECALL.NLM | UPSTREAM auto-recaller server module. |
| \SERVER\USSETUP.NLM | UPSTREAM auto-recaller server setup program. |

### Auto-Recall Components

The figure below shows you the components in FDR/UPSTREAM auto-recall:

On the user's machine the components are:

- **The user's program**. Any program or method that the user uses to access a file on the server. This can include such simple facilities as the TYPE command.

- **USNOTIFY**. This is an OS/2 or Windows 95 or NT program which notifies the user when the recall request has occurred on this machine and allows the user to select whether the recall will occur. We recommend its use when possible so that when a recall is in progress, the user does not think that the application has hung.

On the Novell file server the components are:

- **USRECALL**. A server NLM which monitors file system open calls, notifies users (through communications to USNOTIFY on user's machines) and requests recalls to NWRECALL (on the FDR/UPSTREAM PC).

- **UPSTREAM (US.NLM).** This is the recommended method.

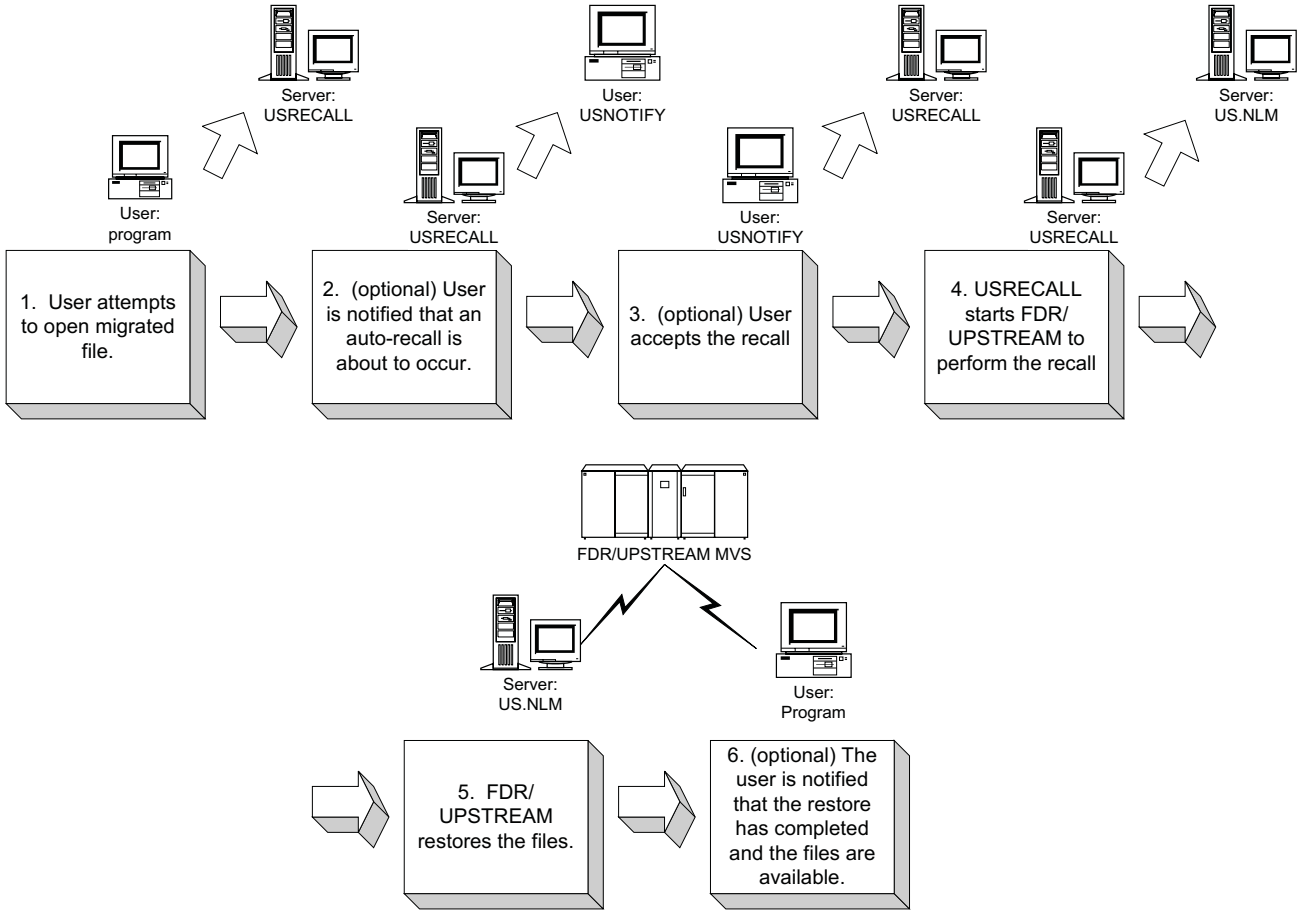
If you are not using US.NLM, you'll need a separate FDR/UPSTREAM machine. On that FDR/UPSTREAM PC, the components are:

- **NWRECALL**. An OS/2 or Windows 95 or NT program which allows must be always running and receives the auto-recall requests from USRECALL on the server and starts FDR/UPSTREAM PC to perform the recall.

- **FDR/UPSTREAM**. Performs the restore (recall).

Communications between USNOTIFY on the user's machine and USRECALL on the server and between USRECALL on the server and NWRECALL on the FDR/UPSTREAM machine uses the Novell standard TLI interface. This interface can utilize the SPX, SPX-2, or TCP/IP protocols, though most users will use SPX-2 or TCP/IP for performance reasons.

The process of auto-recall is shown in the following figure:




The auto-recall process is (assuming the NLM version of UPSTREAM):

- ☐ 1. The user attempts to open a migrated file. When the migration was performed a stub was left for the file. When the user attempts to open this file, USRECALL on the server intercepts the open request and holds it until the recall is started (and optionally completed or fails).

- ☐ 2. (Optional) User is notified that an auto-recall is about to occur (via a broadcast message).

  If USNOTIFY is running on the user's machine, USRECALL will send a message to it. USNOTIFY will beep, and ask the user if the recall should be performed.

- ☐ 3. User accepts the recall. If the user says NO (in USNOTIFY) or the notification request times out, the file open request fails. If the user says YES or USNOTIFY is not running, then the recall is performed. USRECALL has been configured to not have the user wait for the recall, the file open fails (with a file in use error message) and the user will be optionally notified when the recall has completed.

- ☐ 4. USRECALL starts FDR/UPSTREAM on the server. If a number of recalls occur simultaneously, then they will be serviced at the same time.

- ☐ 5. FDR/UPSTREAM restores the files. FDR/UPSTREAM performs a normal file restore directly to the server.

☐ 6. The file restore is completed and the data is available. The user's file open request will be satisfied and the program can proceed normally.

### 14.12.4. Installation and Configuration of the Server Component

Auto-recall can be performed on NetWare v3.12, v4.1x or v5.x servers. Due to the nature of NetWare (with its fragility with NLMs and operating system hooks), we recommend that you isolate a server for testing before you place it in production, upgrade to the latest levels of Novell software, and install and test auto-recall before placing the server back in production. Note that server upgrades and PTFs are available on their FTP site at ftp.novell.com/pub/updates. We recommend the following updates be applied before installing the auto-recall server component:

- For NetWare 3.12 servers: 312PTA.EXE, CDUP4.EXE, LANDR9.EXE, LIBUPB.EXE, MON176.EXE, NAM312.EXE, SMSUP6.EXE, STRTL5.EXE and VRPUP1.EXE.
- For NetWare 4.10 servers: 410PT6.EXE, 41NDS9.EXE, CDUP4.EXE, INS224.EXE, LANDR9.EXE, LIBUPB.EXE, NAM41A.EXE, SMSUP6.EXE, SRVMN1.EXE and STRTL5.EXE.
- For NetWare 4.11 servers: 411SP1B.EXE, LIBUPB.EXE.

**WARNING: You must update your server to assure reliable operation of your server and auto-recall**

To install the server component you can copy the files from the \NWRECALL\SERVER directory on the CD or the \SERVER directory on the NetWare Auto-Recall Diskette to the SYS:SYSTEM directory of your server from a workstation, or from the server you can run the USSETUP program.

To run the USSETUP program from diskette, insert the NetWare Auto-Recall diskette in the A: drive and enter from the System Console:

```
load a:server\ussetup
```

To run the USSETUP program from the CD, insert the CD in your CD drive and enter from the System Console (assuming that the CD drive is drive D:):

```
load d:\upstream\nwrecall\server\ussetup
```

You will be asked if you wish to run the program (Enter 'Y'). If installing from CD, you will be asked for the CD directory. Enter **D:\NWRECALL\SERVER**. The program will copy the contents of the directory to the SYS:SYSTEM directory, refer you to this manual and ask you to press a key to return to the System Console.

Load the prerequisite NLMs. Note that these NLMs should be loaded in your AUTOEXEC.NCF file before you load USRECALL.

- TLI.NLM for all interfaces.
- SPXS.NLM for SPX or SPX-2.
- TCPIP.NLM for TCP/IP.

To configure USRECALL, you must load the program:

```
load usrecall
```

This will display the Auto-Recall console.

```
                    FDR/UPSTREAM Auto Recall System
Process #1                          Process #2
Status  : Idle                      Status  : Idle

                          Messages
```

It is divided into 3 sections:

- Connected recallers. The top boxes named Process indicate the recallers that are currently connected and their status.
- A user entry line (in the middle).
- A messages display. All messages are written to the file USRECALL.LOG; the most recent few messages are displayed in this window. Note that this window is used to display help information while you are entering configuration values.

When you enter for the first time, you will be prompted in the user entry line:

```
Configuration file not found.  Create (Y or N) ->
```

Press **Y** and enter to begin configuring.

The user entry line will now prompt you:

```
SPX (Y or N) ->
```

The messages field will now indicate that you have entered configuration. It will also display help for the question. **SPX: SPX is only recommended in an environment where you know that SPX-2 will not work. The default is NO. Most users will press** N **and enter.**

**Note: If you will be configuring to use FDR/UPSTREAM on the server, you must complete the steps in section 14.12.5., 14.12.5. (page 14-57) before you can specify the directory that UPSTREAM is installed in.**

The following table describes the options requested during configuration, their defaults and some descriptive help. You can press ENTER to accept the default or prior value. Note that some options only have to be entered if they are relevant; for example you don't have to enter IP parameters if you are only using SPX.

| Item | Default | Description |
|---|---|---|
| SPX | N | SPX: SPX is only recommended in an environment where you know that SPX-2 will not work. The default is NO. |
| (SPX only) SPX Socket Number | 4226 | SPX Socket Number: The SPX socket number must be a unique socket number on the server. We recommend using the default value unless you know it is incorrect. The default is 4226. |
| (SPX only) Use SAP (SPX) | Y | Use SAP: SAP (Service Advertising Protocol) allows this program to be found easily by workstations but has the disadvantage of increased network overhead. We recommend using it whenever possible. The default is YES. |
| (SPX only) SPX SAP Service Type | bf74 | SAP Service Type: When USRECALL is using SAP to advertise its services, a service type is required to identify the call of service. Most users will leave it at the default value. |
| SPX-2 | Y | SPX-2: The recommended communications protocol for most Novell networks. Disable only if you must use SPX or TCP/IP. The default is YES. |
| (SPX-2 only) SPX-2 Socket Number | 4225 | SPX-2 Socket Number: The SPX socket number must be a unique socket number on the server. We recommend using the default value unless you know it is incorrect. The default is 4225. |
| (SPX-2 only) Use SAP (SPX-2) | Y | Use SAP: SAP (Service Advertising Protocol) allows this program to be found easily by workstations but has the disadvantage of increased network overhead. We recommend using it whenever possible. The default is YES. |
| (SPX-2 only) SPX SAP Service Type | bf75 | SAP Service Type: When USRECALL is using SAP to advertise its services, a service type is required to identify the call of service. Most users will leave it at the default value. |
| TCP/IP (TLI) | N | TCP/IP (TLI): TCP/IP (using the TLI interface) requires Novell TCP/IP on the recall or notify workstation. The default is NO. |
| (TCP/IP only) TCP/IP Socket Number | 1973 | TCP/IP Socket Number: The TCP/IP socket number must be a unique socket number on the server. We recommend using the default value unless you know it is incorrect. The default is 1973. |
| (TCP/IP only) Use SAP (TCP/IP) | Y | Use SAP: SAP (Service Advertising Protocol) allows this program to be found easily by workstations but has the disadvantage of increased network overhead. We recommend using it whenever possible. The default is YES. |
| (TCP/IP only) TCP/IP SAP Service Type | bf76 | SAP Service Type: When USRECALL is using SAP to advertise its services, a service type is required to identify the call of service. Most users will leave it at the default value. |
| Process Timeout (in minutes) | 30 | Process Timeout: The number of minutes that a process will persists without communications from the recall or notify PC. The default is 30. |
| Support auto-recall | Y | Auto-recall: A facility where a FDR/UPSTREAM machine must be registered and ready to recall migrated files from the host on user demand. The default is YES. |

| Item | Default | Description |
|---|---|---|
| Use NLM version of UPSTREAM for recalls | Y | Use NLM version of UPSTREAM for recalls. Enter 'Y' if you wish to use UPSTREAM on this server for the recalls; 'N' if you wish to use a Windows or OS/2 PC. The default is Y. |
| Wait for recall | Y | Wait for recall: Select 'Y' if you wish to have the user wait for the file to be recalled. Select 'N' to have the file open fail, and the recall occur later. Selecting 'N' is always safer, but less responsive. The default is Y. |
| Send status to users | Y | Send status to users: Select 'Y' if you wish users notified when a recall is requested and completes. This option is only available when you do not wait for recalls. The default is Y. |
| Broadcast delay | 2 | Broadcast delay. To avoid empty broadcast messages, UPSTREAM will wait for the given number of milliseconds before actually sending the message. The default is 2. |
| Stub has extension | N | Stub has extension: Select 'Y' if you checked the "Add ext. To stub" option when you performed the migration (NOVELLMIGRATEADDEXT Y). This option is only available when you do not wait for recalls. The default is 'N' |
| Support user notification | Y | Support user notification. This facility has some overhead, but if enabled, users (when running USNOTIFY) can deny recalls. Select 'Y' to enabled, 'N' to disable. |
| (Notify only) User must accept | N | User must accept. This requires that the user be attached and must approve all recalls before they will be performed. The default is 'N'. |
| Auto-recall time-out | 300 | Auto-recall time-out. Specify the maximum number of seconds before a recall request is denied if it is not satisfied. The default is 300 (5 minutes). |
| Number of simultaneous opens | 10 | Number of simultaneous opens: Specify the number of file opens that can be serviced at one time. A larger number improves server performance at the expense of additional memory. The default is 10. |
| UPSTREAM directory<br>(NLM UPSTREAM only) | SYS:UPSTREAM | UPSTREAM directory: Enter the directory where you have installed UPSTREAM. For example, SYS:UPSTREAM. |
| Restore complete directory | N | Restore complete directory: Enable this option if you wish all the migrated files in a directory restored when you recall a single file. The default is N. |
| Number of auto-recall volumes | 1 | Number of auto-recall volumes. Specify the number of volumes that you wish to support auto-recall for. For each volume there will be certain information that you will be required to enter. The default is 1. |
| (For each volume) Volume name | (none) | Volume name. Enter the NetWare volume name that FDR/UPSTREAM will perform the auto-recall for. For example, SYS. |
| (For each volume) FDR/UPSTREAM Drive Letter<br>(non NLM UPSTREAM only) | F | FDR/UPSTREAM Drive Letter. Enter the drive letter that FDR/UPSTREAM uses when it backs up this volume. For example, O. Use '!' if you use UNC names in the backup. |

**NOTE: Auto-recall usually uses UNC names (specify '!' for the drive letter).**

If the required NLMs are loaded, the program will be ready to accept recall requests.

To terminate USRECALL (if it is terminating normally), press the [ESC] key. You will be asked:

```
End program (Y or N) ->
```

After a few seconds hesitation, you will be asked:

```
Modify config file (Y or N) ->
```

If you wish to modify your configuration, you can do it whenever you terminate USRECALL.

### 14.12.5. Configuration of the recaller for NLM UPSTREAM

If you configured to use the NLM version of UPSTREAM, continue with this section; if you configured to use a workstation version of UPSTREAM, proceed to section 14.12.6., 14.12.6.

USRECALL requires a predefined parameter file NWRECALL.DAT in the UPSTREAM directory which contains parameters for performing requested restores from the host. To define NWRECALL.DAT you should enter FDR/UPSTREAM on a workstation, perform a single file restore using the same backup profile as you will use for the recalls and save the parameter file as NWRECALL.DAT in the UPSTREAM directory on the server. In particular you should be careful to define:

- The correct backup profile used for the migration.
- A Novell Profile if needed.
- Any reporting options you wish.
- Local backup if you used it for the migration.
- The non-file data types you wish restored.

Since NWRECALL will specify the files to restore, the actual file saved to NWRECALL.DAT is not important.

**NOTE: If a recall is denied, that file cannot be recalled for 1 minute.**

After you have USRECALL configured and running on the server, proceed to section 14.12.7., 14.12.7. (page 14-59).

### 14.12.6. Installation and Configuration of the Recall Component (non NLM UPSTREAM)

NWRECALL is a Windows (16-bit program that will only work in Windows 95 or Windows NT) or an OS/2 full-screen program that sits as a front end to FDR/UPSTREAM.

NWRECALL for Windows has the same restrictions as FDR/UPSTREAM; it requires the requestor from Novell, dated Oct 96 or later.

NWRECALL for OS/2 requires the 32-bit Novell requestor supplied with NetWare v4.11 or CLOS2N.EXE on Novell's web site.

To install NWRECALL, merely copy NWRECALL.EXE from:

- \RECALL\OS2 for an OS/2 installation from diskette.
- \UPSTREAM\NWRECALL\RECALL\OS2 for an OS/2 installation from CD.
- \RECALL\WINDOWS for a Windows 95 or NT installation from diskette.
- \UPSTREAM\NWRECALL\RECALL\WINDOWS for a Windows 95 or NT installation from CD.

Most of the configuration of NWRECALL is actually performed in FDR/UPSTREAM.

NWRECALL requires a predefined parameter file NWRECALL.DAT which contains parameters for performing requested restores from the host. To define NWRECALL.DAT you should enter FDR/UPSTREAM, perform a single file restore using the same backup profile as you will use for the recalls and save the parameter file as NWRECALL.DAT. In particular you should be careful to define:

- The correct backup profile used for the migration.
- A Novell Profile if needed.
- Any reporting options you wish.
- Local backup if you used it for the migration.
- The non-file data types you wish restored.

Since NWRECALL will specify the files to restore, the actual file saved to NWRECALL.DAT is not important.

NWRECALL.EXE has the following command line syntax:

```
NWRECALL.EXE [switches...] [parameters...]
```

The switches are:

- /List: Lists all the recall servers on the network.
- /Trace: Activates command line tracing. Use this only when requested by FDR/UPSTREAM tech support.

The parameters are:

- RECALLSERVER=<server name> This is a required parameter specifying the name of the Novell server which is running USRECALL that you will be performing recalls for.
- WHOLEDIR=<Y or N> If you specify N, only those files requested will be recalled; if you specify Y all migrated files in the directory will be restored. The default is N.
- RECALLCOMMTYPE=<0 for SPX, 1 for SPX-2, and 2 for TCP/IP> Use the type specified on the server in USRECALL. The default is 1.
- RECALLCHECKINTERVAL=<interval> Specify the number of seconds between recall checks. The default is 15 seconds.
- CONFIGFILE=<config file name> Specify the name of the UPSTREAM configuration file. The default is UPSTREAM.CFG.
- PARAMETER=<parameter file name> Specify the name of the template UPSTREAM parameter file with parameters for recalls. The default is NWRECALL.DAT.

Most users will specify:

```
NWRECALL RECALLSERVER=<server name>
```

You must have NWRECALL running at all times that you have USRECALL running on the server. We recommend that is be placed in your STARTUP.CMD file for OS/2 or STARTUP group for Windows 95 or NT.

NWRECALL writes to the FDR/UPSTREAM log (UPSTREAM.LOG) all informative messages and this is where you should look for problem determination.

**WARNING: Do not perform recalls from the machine running the recall component as it will hang.**

**NOTE: If a recall is denied, that file cannot be recalled for 10 seconds.**

### 14.12.7. The User Component

If you wish to notify users recalls when they occur and allow them to not perform the process, you will need to install and configure the USNOTIFY program on all workstations. Note that this process is mandatory if you specified the "User must accept" option when configuring USRECALL on the server.

USNOTIFY for Windows has the same restrictions as FDR/UPSTREAM; it requires the requestor from Novell, dated Oct 96 or later.

USNOTIFY for OS/2 requires the 32-bit Novell requestor supplied with NetWare v4.11 or CLOS2N.EXE on Novell's web site.

We recommend that USNOTIFY be installed on a common directory on the server where the auto-recalls are performed. A good place might be SYS:PUBLIC/USNOTIFY. Merely copy the files with all subdirectories from the diskette or CD. For a diskette install, you might specify:

```
XCOPY A:\NOTIFY\*.* F:\PUBLIC\USNOTIFY\*.* /s
```

or a CD install you might specify:

```
XCOPY D:\UPSTREAM\NWRECALL\NOTIFY\*.* F:\PUBLIC\USNOTIFY\*.* /s
```

This will create the following directory structure:

```
\USNOTIFY
   \WINDOWS
   \OS2
```

The \WINDOWS directory contains the Windows 95 and NT version of USNOTIFY, the \OS2 directory contains the OS/2 version of USNOTIFY.

USNOTIFY is a PM or Windows program with the following command line syntax:

```
USNOTIFY [switches...] [parameters...]
```

The switches are:

- /List: Lists all the recall servers on the network.
- /Trace: Activates command line tracing. Use this only when requested by FDR/UPSTREAM tech support.

The parameters are:

- RECALLSERVER=<server name> This is a required parameter specifying the name of the Novell server which is running USRECALL that you will be performing recalls for.
- RECALLCOMMTYPE=<0 for SPX, 1 for SPX-2, and 2 for TCP/IP> Use the type specified on the server in USRECALL. The default is 1.
- CONFIGFILE=<config file name> A file name where you can specify parameters for this program (an alternate to the command line).
- LOGFILE=<log file name> Specify the name of the log file where USNOTIFY writes messages to. The default is UPSTREAM.LOG.

- MESSAGEFILE=<message file name> Specify the name of the UPSTREAM message file. The default is UPSTREAM.MSG.

**WARNING: During a recall, you may not be able to read or write to the server drive. Do not run USNOTIFY from a server drive as it may hang your machine when it attempts to read from the executable, write to the log, and read from the message file**

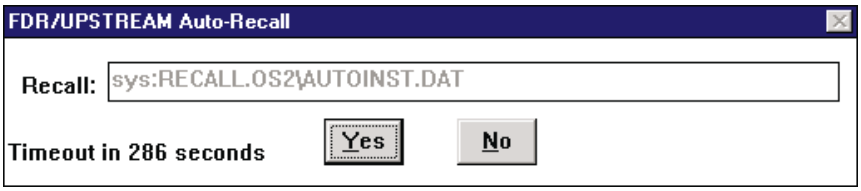
Since you must run USNOTIFY from a user's local drive, we recommend that you add statements to user's login profile to copy the files from the common directory to user's local directories. For example:

```
copy f:\public\usnotify\windows\*.* c:\usnotify\*.*
```

At the user's workstation, you will need to add USNOTIFY to the user's STARTUP.CMD (for OS/2) or Startup group (Windows 95 or NT). For the command line, most users will specify:

```
USNOTIFY RECALLSERVER=<server name>
```

When an auto-recall request is attempted, the notified user will see:




If the user selects Yes, the auto-recall will be performed. If the user selects No or waits until the time out has expired, then the recall will not be performed and the file open will fail.

If the user requests that the file not be recalled, the question (notification) may occur more than once as multiple name spaces are attempting to open the file.

# 15 IBM LAN Server Considerations

FDR/UPSTREAM addresses IBM OS/2 LAN Server extensively. Due to the well integrated nature of IBM LAN Server in OS/2, much of what is required is to back up and restore the file system itself. However, being a file server implies that both planning and specific server considerations must be performed. Some of these include:

- General server backup planning. Which files to back up, dealing with other files and databases, etc.
- Backing up system files.
- Backing up HPFS 386 (ACLs)
- Disaster Recovery

Note that due to the way that IBM supports LAN Server, you must use the OS/2 version of FDR/UPSTREAM to completely back up and restore an IBM LAN Server. Note that the old Microsoft LAN Manager (v1.x) is almost identical in requirements and support to IBM LAN Server.

You can use UNC (Universal Naming Convention) names to back up network attached systems. This chapter explains how to use UNC names with FDR/UPSTREAM.

# 15.1. UNC Names for OS/2

Universal Naming Convention (UNC) names are used within OS/2 to name network resources. For files, this naming convention has the advantages of:

- A single name to represent a file, regardless of where it is in the network.
- It is not drive letter oriented.

UNC names can be used for in virtually any OS/2 command (TYPE, DEL, COPY, etc.), as well as the network oriented (NET) commands. A UNC name has the form:

```
\\<Machine name>\<Share name>\<directory specification>
```

You can also use the FDR/UPSTREAM modified syntax:

```
!:\<Machine name>\<Share name>\<directory specification>
```

The exclamation point (!) drive letter indicates to FDR/UPSTREAM that it is a UNC name.

Thus, you can specify in a backup, restore, view or just about any FDR/UPSTREAM function the UNC name of the resource, freeing you from any drive dependencies.

For example, to back up all the files on machine RON in the share name CDRIVE, you would specify:

```
\\RON\CDRIVE\*.*
```

The use of UNC names still requires that you be logged on to the machine with adequate security.

# 15.2. Planning

IBM LAN Servers allow PCs to share disks, printers and other resources. FDR/UPSTREAM supports all versions of IBM LAN Server up to and including v5.x.

You can run UPSTREAM either on the server itself, or on a LAN attached workstation. If you are running UPSTREAM on the server, all you have to do is merely back up/restore the local drives (C:, D:, etc.). This is the recommended method for backing up/restoring a server as it has the maximum performance (the data does not require transmission across the LAN).

If you are running UPSTREAM on a LAN attached workstation, you must attach a drive to the server (using the NET USE command). While this is not the recommended method (due to performance considerations), this method offers some benefits in manageability. Note that if you use this method you should not generally attempt to back up more than one server in a backup profile.

### 15.2.1. Planning what and when to backup

IBM LAN Servers tend to be very large. This may require a complex plan. You should consider:

- The real performance of FDR/UPSTREAM. You may need to optimize FDR/UPSTREAM to handle this large amount of data. The performance chapter can help you get the best from your environment.
- What your “window” is. This is the number of hours during which you can do backups. For many users, complete backups are done only on weekends. Effective utilization of your time window helps you get the most from FDR/UPSTREAM.
- How often you *need* to perform complete backups. You may want to perform complete backups daily, but an analysis of your requirements may show that weekly or even monthly complete backups are adequate based on a realistic appraisal of your needs and the use of incrementals. Or you may find that complete backups should be performed over a period of several days (by backing up individual directories).
- How many machines to use in the backup. Multiple servers are often best backed up by multiple PCs.

### 15.2.2. Open files

The second aspect of planning should be to assure that all required files are closed when the backup is performed. This is best done manually be requiring that all users detach from their applications before leaving each night.

# 15.3. Backing up system files

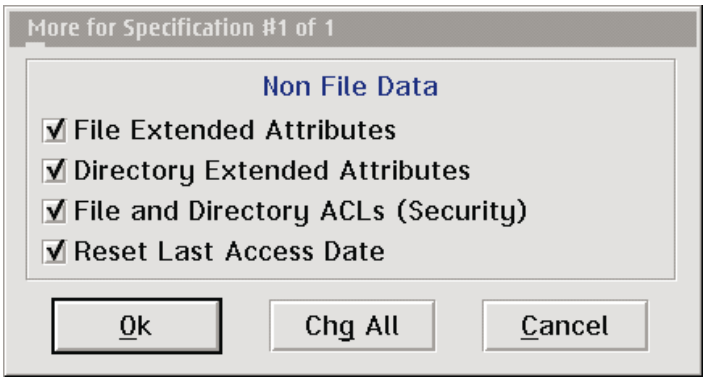
### 15.3.1. IBM LAN Server and Microsoft LAN Manager

If you will be backing up OS/2 system files which include OS2.INI, OS2SYS.INI or LAN system files which include NET.ACC you should know the following:

- The size of these files is dynamic. It may appear that you are not backing them up correctly because the sizes change, but this is normal and you should not generally be concerned.
- Restoring system files can be tricky. See the next section for specific information on how to restore these files.

### 15.3.2. HPFS 386

One of the distinguishing characteristics of HPFS 386 is that security information is stored in the file system, as well as in the NET.ACC file. The information is stored in the form of Access Control Lists (ACLs). You specify that you wish they be included in a backup, by entering the files to be backed up, pressing the **Spec Detail** button, the **More..** button and then the **OS/2..** button to display the More Specification dialog.




There are several options specific to OS/2 server backup:

- ☐ **File Extended Attributes:** For a complete server backup you should include extended attributes.
- ☐ **Directory Extended Attributes**: For a complete server backup you should include extended attributes.
- ☐ **File and Directory ACLs (Security):** Check this button if you wish OS/2 ACLs (Access Control Lists) included in the backup or restore. The default is checked. Checking this option reduces performance significantly, so only use it if you are using HPFS 386 and ACLs (through the NET ACCESS command).
- ☐ **Reset Last Access Date:** Check this button if you are using FDR/UPSTREAM migration or some other facility which examines the last access date and you are also using HPFS or HPFS 386. The default is checked. Checking this option has a performance impact.

# 15.4. Restoring system files

The OS/2 system files OS2.INI and OS2SYS.INI and the LAN system files such as NET.ACC can be difficult to restore due to the fact that the operating system keeps these files open and locked at all times.

If you have any questions or problems restoring system files, call FDR/UPSTREAM technical support.

### 15.4.1. Restoring OS/2 system files

To restore the OS/2 system files OS2.INI and OS2SYS.INI you will need to do the following:

- ☐ Restore them to a different path by using the destination option (C:\OS2*.INI to C:\OS2INI*.INI).
- ☐ Edit your CONFIG.SYS to change the PROTSHELL= line to reflect the new directory.
- ☐ For these changes to take effect, you will need to shutdown the system and restart it.

### 15.4.2. Restoring IBM & Microsoft LAN system files

To restore the LAN Server and LAN Manager system files (like NET.ACC) you will need to do the following:

- ☐ Restore it to a different path by using the destination option (C:\IBMLAN\ACCOUNTS\NET.ACC to C:\IBM-LAN\ACCOUNTS\NET.TMP).
- ☐ If you are using LAN Manager:
  - Run NET STOP WORKSTATION
  - If you are running Local Security, use the LAN Manager Installer to Detach your server.
- ☐ If you are running LAN Server:
  - Modify your CONFIG.SYS to REM out the line DEVICE=C:\IBMLAN\NETPROG\RDRHELP.SYS which will disable the LAN Server program.
  - Reboot your machine to disable the program completely.
- ☐ Copy the restored files (COPY NET.TMP to NET.ACC).
- ☐ If you are using LAN Manager:
  - If you were running Local Security, use the LAN Manager Installer to reattach your server.
  - Restart the server using NET START SERVER.
- ☐ If you are using LAN Server:
  - Edit your CONFIG.SYS to remove the REM from the RDRHELP.SYS device driver line.
  - Shutdown and restart the system

### 15.4.3. Restoring HPFS 386 ACLs

Check the OS/2 LAN Server ACLs box in the <More> dialog from the restore file spec dialog to enable restores of ACLs. Note that ACLs are maintained for both files and directories.

In most cases you will restore data and ACLs simultaneously.

However, if you are only maintaining ACLs for directories, you can use the DIRSONLY parameter (which you specify by entering a double backslash in the List and Restore facility) to restore directories only and thus restore ACLs without restoring the files associated with them.

### 15.4.4. Disaster Recovery

FDR/UPSTREAM offers a way to completely recover an OS/2 workstation/server. See the *FDR/UPSTREAM ULTra* chapter for a complete description of this facility.

# 16 Windows NT/2000 Considerations

FDR/UPSTREAM provides a complete backup, restore and disaster recover solution for Windows NT/2000 servers and workstations. Adequate planning is required to ensure that the specific requirements of your installation are addressed. This planning starts by addressing the following considerations:

- Planning the Backup/Restore Process
- Backing Up a Windows NT Machine
- Restoring a Windows NT Machine
- Recovering a Windows NT Machine

Only the 32-bit Windows version of FDR/UPSTREAM has the capability of backing up the critical system information in a Windows NT system. This critical system information consists of:

- Long file and directory names
- File and directory Access Control Lists (ACLs)
- Extended Attributes (EAs) and alternate data streams for files
- The Windows NT Registry
- Active Directory
- The Windows NT Event Logs

The NTFS file system has some issues involving daylight savings time. Setup in the configurator and for each job, these options are described here.

You can use UNC (Universal Naming Convention) names to back up network attached systems. This chapter explains how to use UNC names with FDR/UPSTREAM.

Techniques for using *Microsoft Cluster Server* (*Wolf Pack*) are also discussed

FDR/UPSTREAM is capable of backing up data which uses Unicode characters. Escape sequences are displayed from the host, but the original characters can be displayed on the PC if you perform special planning for them.

# 16.1. UNC Names for Windows NT

Universal Naming Convention (UNC) names are used within Microsoft Networking to name network resources. For files, this naming convention has the advantages of:

- A single name to represent a file, regardless of where it is in the network.
- It is not drive letter oriented.

UNC names can be used for in virtually any Windows NT command (TYPE, DEL, COPY, etc.), as well as the network oriented (NET) commands. A UNC name has the form:

```
\\<Machine name>\<Share name>\<directory specification>
```

You can also use the modified UPSTREAM form:

```
!:\<Machine name>\<Share name>\<directory specification>
```

The exclamation point (!) drive letter indicates to FDR/UPSTREAM that it is a UNC name.

Thus, you can specify in a backup, restore, view or just about any FDR/UPSTREAM function the UNC name of the resource, freeing you from any drive dependencies.

For example, to back up all the files on machine RON in the share name CDRIVE, you would specify:

```
\\RON\CDRIVE\*.*
```

The use of UNC names still requires that you be logged on to the machine with adequate security.

UNC name specifications can also be used for named pipes. This is particularly useful for MS SQL Server backups (see the *Databases* chapter). The form is:

```
\\<Machine name>\PIPE\<pipe name>
```

Using UNC names to back up local drives is slower than using their drive letters. When backing up local drives we recommend using a drive letter specification rather than a UNC specification.

# 16.2. Planning the Backup/Restore Process

Unlike some other operating systems, the ability to share disks, printers and other resources is built into Windows NT. No additional system software is required. To share a disk (or a specific directory on a disk) with another machine, a **Share** can be set up that will allow other Windows NT machines and machines running either the Microsoft LAN Manager or the IBM LAN Server to attach to the drive (or directory).

The backup, restore and disaster recovery tasks for each Windows NT computer in your installation is the same regardless of whether the computer is running Windows NT Server or Windows NT Workstation. In order to ensure that a Windows NT computer is completely backed up/restored, the Windows NT version of FDR/UPSTREAM must be run either on that computer or from another Windows NT computer that is attached via a share to the computer that is being backed up/restored.

When you run FDR/UPSTREAM on the computer that is being backed up/restored, all you have to do is merely back up/restore the local drives (C:, D:, etc.). This is the recommended method for backing up/restoring a server as it has the maximum performance (the data does not require transmission across the LAN).

When you run FDR/UPSTREAM on another computer (other than the one being backed up/restored) you must attach a drive to a share of the target computer (using the NET USE command) or use a UNC name (see the preceding section). While this is not the recommended method (due to performance considerations), this method offers some benefits in manageability. Note that if you use this method you should not generally attempt to back up/restore more than one computer at a time (using a single backup profile).

### 16.2.1. Planning what and when to backup

Your primary concern will most likely be backing up/restoring Windows NT Servers, however your Windows NT Workstations will need some consideration as well. Windows NT Servers tend to be very large. This may require a complex plan. You should consider:

- The real performance of FDR/UPSTREAM. You may need to optimize FDR/UPSTREAM to handle this large amount of data. The performance chapter can help you get the best from you environment.
- What your “window” is. This is the number of hours during which you can do backups. For many users, complete backups are done only on weekends. Effective utilization of your time window helps you get the most from FDR/UPSTREAM.
- How often you *need* to perform complete backups. You may want to perform complete backups daily, but an analysis of your requirements may show that weekly or even monthly complete backups are adequate based on a realistic appraisal of your needs and the use of incrementals. Or you may find that complete backups should be performed over a period of several days (by backing up individual directories).
- How many machines to use in the backup. Multiple servers are often best backed up by multiple PCs.

### 16.2.2. Open files

The second aspect of planning should be to assure that all required files are closed when the backup is performed. This is best done manually by requiring that all users detach from their applications before leaving each night.

FDR/UPSTREAM has been certified to work with St. Bernard Software's Open File Manager (OFM). When using this product you must **NOT check**, for all of your file specs, the Spec Detail, More... option **NTFS/HPFS/Novell Reset Last Access Date**. You should also go into OFM's Properties, File folder and add the UPSTREAM executable and work directories to the list of files ignored by OFM.

# 16.3. NTFS Daylight Savings Time

### 16.3.1. Introduction

The time stamps that Windows NT maintains for files on a drive formatted for NTFS are kept in Greenwich Mean Time (GMT). When you view the detail information for a file on such a drive via the Explorer, File Manager or MSDOS DIR command, the time stamps are converted to the local time of your computer according to your system's time settings. Users in differing time zones will see different time stamp information for the very same file. This phenomenon is a designed feature of Windows NT.

This same mechanism is used for LAN attached drives of other Windows 95/98/NT systems regardless of their file system type (including FAT).

Your system's date and time settings are maintained via the Date/Time applet of the Control Panel. One of the controls in the Date/Time applet is the "Automatically adjust clock for daylight saving changes" check box. When this check box is checked, the time stamps that the system displays for files on an NTFS drive are also adjusted for daylight savings time, as the machine's offset from GMT is increased by one hour. This will cause a file's time stamp to appear to change between the standard time part of the year and the daylight savings time part of the year.

If a file's time changes, FDR/UPSTREAM in full merge processing will assume that the file has changed and request the file from the PC. User's selecting automatic daylight savings time adjustment on NTFS and LAN attached drives would end up with effective first-time full backups twice a year.

In all prior versions of FDR/UPSTREAM, we encouraged users to not check the "Automatically adjust clock for daylight savings changes" checkbox on the machine performing the backups. By not checking this checkbox you avoid the problem of file's times changing. For daylight savings times adjustment we were recommending that you adjust the system's clock using UPSTREAM's facilities.

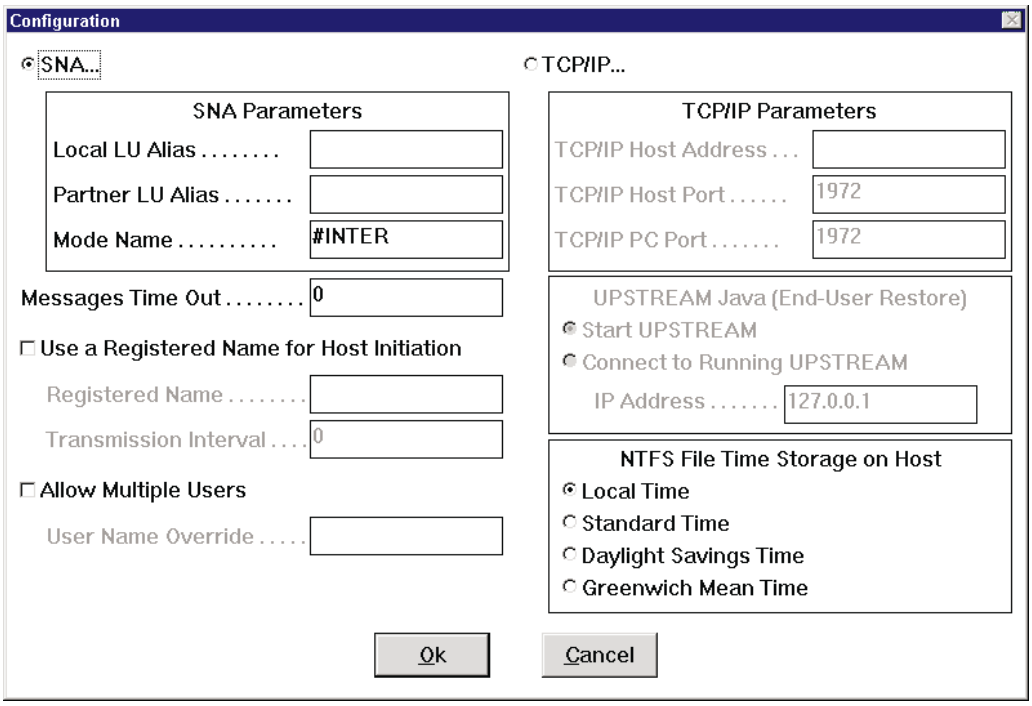
A number of applications, including Microsoft Exchange, do not work well when the system does not automatically adjust for daylight savings time. To address this problem we have made a number of changes to FDR/UPSTREAM.

There are now options for setting the time in the FDR/UPSTREAM configurator and in the FDR/UPSTREAM program itself. These options affect the way that the time is stored on the host and guarantee that the file will be displayed and restored consistently and that the effective first time-full's will be avoided.

These options are available in both the UPSTREAM configurator and in UPSTREAM itself. This allows you to set the way that UPSTREAM will handle NTFS and LAN times by default and override it for a particular backup. These changes only affect backups as they are automatically applied in inquiries and restores.

### 16.3.2. Setting Time Storage in the UPSTREAM Configurator

In the UPSTREAM configurator for 32-bit versions of UPSTREAM there is a frame **NTFS File Time Storage on Host**.




- **Local Time:** This is the default option. UPSTREAM will store files using the system's local time. If used on Windows 32-bit systems that do automatic daylight savings time adjustments, NTFS and LAN attached files will appear to have their dates change when daylight savings time is adjusted. We do not recommend this option in these cases.

- **Standard Time:** If you check this option, NTFS and LAN attached files will be stored on the host using local standard time, regardless of whether daylight savings time is in affect or not. We recommend this option to most new UPSTREAM users.

- **Daylight Savings Time:** If you check this option, NTFS and LAN attached files will be stored on the host using daylight savings time regardless of whether daylight savings time is in affect or not. We only recommend this option if it is currently daylight savings time and you wish to avoid a future effective "first-time full".

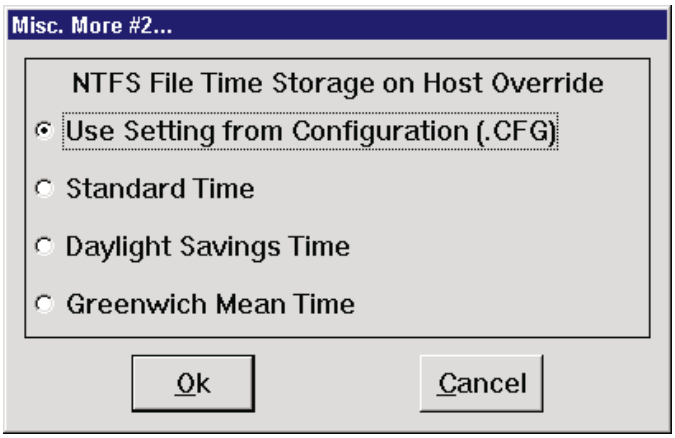
- **Greenwhich Mean Time:** If you check this option, NTFS and LAN attached will files be stored on the host using Greenwhich Mean Time (GMT) regardless of your timezone or daylight savings time. We recommend this option if your LAN or WAN extends across multiple time zones.

  For inquiries note that if for all options other than "Local Time", the file will be displayed in inquiries using the local time settings and will be restored with it's original modification time.

### 16.3.3. Overriding Time Storage in UPSTREAM

Most users will set the way that time is stored on the host for backups in the UPSTREAM configurator and leave it that way.

However, if you have backups which were stored using local time during daylight savings time and backups which were stored using local time during standard time, you can override the settings for a particular backup by pressing the **File Times** button in the **Backup** dialog **More...** dialog.




- **Use Setting from Configuration (.CFG):** This is the default option. During backups, UPSTREAM will store files using the time specified in the configuration file. This is recommended for most customers.

- **Standard Time:** Only recommended when legacy backups are present. If you check this option, for this backup, NTFS and LAN attached files will be stored on the host using local standard time, regardless of whether daylight savings time is in affect or not and regardless of the time conversion option selected in the configuration.

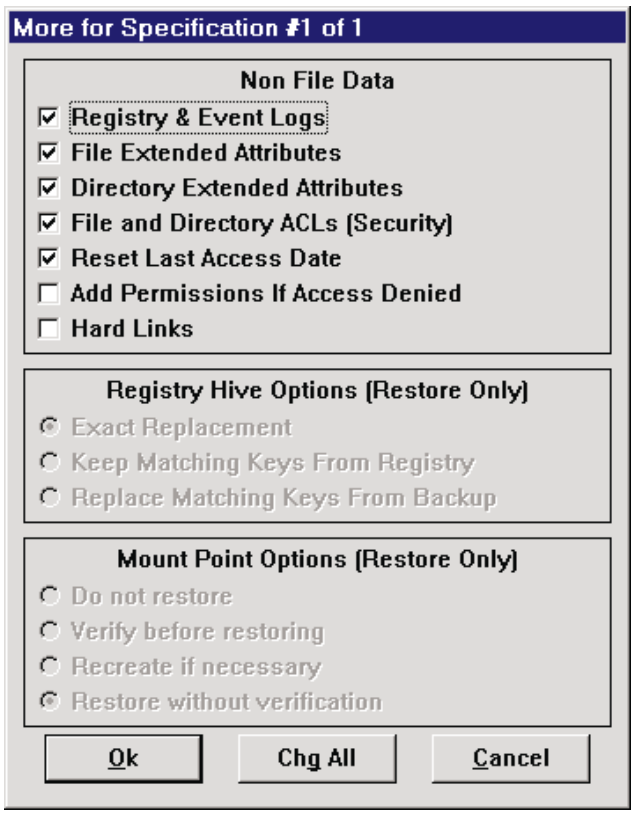
- **Daylight Savings Time:** Only recommended when legacy backups are present. If you check this option, for this backup, NTFS and LAN attached files will be stored on the host using daylight savings time regardless of whether daylight savings time is in affect or not and regardless of the time conversion option selected in the configuration.

- **Greenwhich Mean Time:** Only recommended when legacy backups are present. If you check this option, for this backup, NTFS and LAN attached will files will be stored on the host using Greenwhich Mean Time (GMT) regardless of your timezone or daylight savings time and regardless of the time conversion option selected in the configuration.

# 16.4. Backing Up a Windows NT Machine

FDR/UPSTREAM allows you to specify the types of information that are to be backed up on an individual file specification (disk) basis. The Windows NT file systems manage a number of types of information that are referred to as Non-File Data within FDR/UPSTREAM. You specify which types of Non-File Data you want included in a back up with the following procedure:

- On the Backup Parameters dialog enter a file specification for the disk to be backed up
- Press the **Spec Detail** button to display the File Specification #n of m dialog
- Press the **More...** button to display the More dialog:
- Press the Win NT... button to display the Windows NT/2000 non-file data dialog:




The types of Windows NT Non-File Data that can be selected are:

☐ **Registry & Event Logs:** Every Windows NT system has a registry and event log. By selecting this option FDR/UPSTREAM will back up the registry and event logs whose files are included in the file specification. WARNING: If one or more registry or event log files are not included in the file specification they will not be backed up. This could lead to an inconsistent system state if only a subset of the registry files are restored. For this reason it is strongly suggested that registry files be backed up only for file specifications that include the entire Windows NT system drive (C:*.*).

See page 16-26 for a description of enhanced registry restores.

**NOTE: You must authorize your workstation to backup the Windows NT registry. See the 32-bit Windows chapter for more information.**

- ☐ **File Extended Attributes:** The Windows NT File System (NTFS) has the ability of storing extra data along with the normal file data. This additional data can be Extended Attributes (EAs), alternate data streams or Access Control Lists (ACLs). By selecting this option FDR/UPSTREAM will back up the EAs and alternate data streams for the files included in the file specification. ACLs are handled separately.

- ☐ **Directory Extended Attributes:** Directories do not contain data or alternate data streams, but they may have EAs. By selecting this option FDR/UPSTREAM will back up the EAs for the directories included in the file specification.

- ☐ **File and Directory ACLs (Security):** NTFS can store Access Control Lists for each file and directory. By selecting this option FDR/UPSTREAM will back up the ACLs for every file and directory included in the file specification.

- ☐ **Reset Last Access Date:** FDR/UPSTREAM has the ability to back up files based on when they were last accessed. For this to be done correctly FDR/UPSTREAM itself must not be allowed to modify the last access date of the files it backs up. By selecting this option FDR/UPSTREAM will reset the last access date for each file after it is done backing it up.

- ☐ **Add Permissions if Access Denied:** Checking this option will cause UPSTREAM to attempt to add the user it is running as to any NTFS directories for files for which it is denied access because of security. The default is not checked.

All of these Non-File Data options (with the possible exception of **NTFS/HPFS/Novell Reset Last Access Date**) should be selected for a complete backup.

# 16.5. Enhanced NTFS Support

FDR/UPSTREAM supports a number of Window NT/2000 NTFS features including mount points, compressed files, sparse files, and encrypted files.

## 16.5.1. Mount Points

Mount Points in Windows 2000 allow you to graft new volumes onto the namespace of an existing volume. FDR/UPSTREAM includes support for backing them up (avoiding circular mount points), and a number of restore options including reestablishing the mount point, verifying any existing mount point and ignoring them.

To specify that mount points and the files within them be included in a backup, there is a new option in the Backup/Spec Detail... dialog: **Backup Mount Points**. This option can only be checked when Backup Subdirectories has also been checked. The default is unchecked. Mount points are only traversed when you check this option, so as to avoid backing up multiple volumes when only one is targeted..

**WARNING: Mount points and the files within them are only backed up if you enable Backup Mount Points.**

The parameter file value (and USTBATCH value) for including mount points in backups is:

```
MOUNTPOINTS Y
```

When restoring a mount point there is a new frame in the Windows NT non-file data dialog: **Mount Point Options (Restore Only)**:

- ☐ **Do not restore:** Press this radio button if you want to exclude mount point directories from the restore. Even though the correct volume may be mounted on the target mount point directory, the directory and the files and subdirectories underneath it will not be restored.

- ☐ **Verify before restoring:** Press this radio button if you want to verify that the correct volume is mounted on the target mount point directory before allowing the directory and the files and subdirectories underneath it to be restored. If the target mount point directory does not exist or the correct volume is not mounted on it, the directory will not be restored.

- ☐ **Recreate if necessary:** Press this radio button if you want to recreate the target mount point directory before restoring the mount point directory and the files and subdirectories underneath it. If the target mount point directory does not exist it will be created. If the correct volume exists in the system, but is not yet mounted on the target mount point directory the volume will be mounted. If the correct volume can be mounted on the target mount point directory the restore will continue, otherwise the mount point directory will not be restored.

- ☐ **Restore without verification:** Press this radio button if you want a mount point directory to be restored regardless of whether it has the correct volume mounted on it or not. If the target mount point directory does not exist it will be created, but nothing is done to ensure that the target mount point directory has the correct volume mounted on it. As long as the target mount point directory can be created, if necessary, the mount point directory and the files and subdirectories underneath it will be restored. This is the default.

### 16.5.2. Compressed Files

UPSTREAM will note when files are compressed in the backup; no user configuration is required by the user in order to have files recompressed during the restore.

UPSTREAM will compress a file during a restore if either of the following is true:

- 1. The file was compressed at the time that it was backed up.
- 2. The file was uncompressed at the time that it was backed up and the directory into which it was restore is compressed.

If a file is compressed during a restore and that is not the desired effect, you can simply uncompress it using the Windows Explorer or with the COMPACT /U command line command.

### 16.5.3. Sparse Files

Sparse files are a feature of NTFS version 5. NTFS 5 allows large files which might not normally fit on a single disk volume to be stored by writing only those parts of the file which contain actual data that is not binary zeroes. Any ranges within a sparse which are filled entirely with binary zeroes will not have disk space allocated for them. Instead, NTFS 5, maintains a table for the sparse file which indicates which ranges within the file are actually allocated disk space. Using this method, a sparse file will in most cases contain large sections of binary zeroes.

UPSTREAM takes advantage to this feature to only backup those ranges of each file that actually have disk space allocated to them. When UPSTREAM restores a sparse file to an NTFS 5 system, it recreates the allocated range table for the file just as it was at the time of the backup. When UPSTREAM restores a sparse file to a system that does not support sparse files, UPSTREAM will write the entire file and fill in binary zeroes for the unallocated ranges of the file.

No user configuration is required by the user to use this feature. UPSTREAM does this automatically.

**NOTE: Any sparse files that are backed up with US.EXE 3.1.2 or above must be restored with version 3.1.2 or above. Previous versions of US.EXE will not be able to properly restore these files, leading to corrupted data.**

### 16.5.4. Encrypted Files

NTFS 5 now supports encrypted files. A file that is encrypted, has its data scrambled by the Encryption File System (EFS) which is build into NTFS 5. EFS encrypts a file only once by creating a unique random File Encryption Key (FEK) for the file. This FEK is further uniquely encrypted for each user that is given access to the file so that each user has a unique set of public and private encryption keys that can be used by that user only to access the file.

It should be noted that file encryption is not the same thing as access control. In order for a user to be able to open an encrypted file, the user must have the appropriate access permissions and must also hold an encryption key with which they can decrypt the file.

UPSTREAM uses a special API provided by Microsoft, which allows it to backup and restore encrypted files in their native raw encrypted form. As such, that file data that is stored on the host is kept in its encrypted form, therefore protecting the backup data from unauthorized access.

UPSTREAM handles encrypted files through a special PlugIn named WinCrypt.dll. WinCrypt.dll performs all of the processing for encrypted files. US.EXE itself will refuse to deal with encrypted files if the WinCrypt.dll PlugIn is not specified. The user specifies the use of the WinCrypt.dll PlugIn by clicking the PlugIn… button on the Backup Parameters dialog and then selecting **Windows 2000 Encryption – ID #2** from the drop down PlugIn list on the resulting PlugIn dialog.

The user may also specify the use of the WinCrypt.dll PlugIn, by including the following parameter in the repeatable section of the UPSTREAM parameters in a host job.

```
SPECNUMBER ...
  :
PLUGIN WINCRYPT.DLL
PLUGINPARAMETERS
  :
```

The WinCrypt.dll PlugIn does not use any additional parameters and if any additional parameters are specified (a value assigned to the PLUGINPARMETERS parameter) they are ignored.

Other that specifying the use of the WinCrypt.dll PlugIn, no further action is required by the user.

**NOTE: Any encrypted files that are backed up with the WinCrypt.dll PlugIn must also be restored with the WinCrypt.dll PlugIn.**

# 16.6. Restoring a Windows NT Machine

FDR/UPSTREAM does special handling of some Windows NT features which will be apparent during an inquiry and restore:

- The file specification parameter **ROOTENTRY**, if its value is Y (and it is the default even for existing parameter file), a "fake" file is created under the root of the volume: **<Volume Information>**. This file entry contains the ACLs and other non-file data for the root of the volume. If you restore it to another directory, it will apply the ACLs and other non-file data to that directory. Since it appears to be a file (with an invalid file name) you can specify its restore from either the host or the PC.
- Characters in file names with extended unicode characters are displayed somewhat mangled in UPSTREAM displays but are restored properly. This support also allows UPSTREAM to backup and restore files with invalid file names (files with prefixes of device names, etc.).

FDR/UPSTREAM also allows you to specify the types of Non-File Data you want included in a restore with the following procedure:

- On the Inquire/Restore for Profile ... Using Backup MM/DD/YY HH:MM:SS dialog select the directories and/or files you want restored
- Press the <More...> button to display the More for Specification #n of m dialog

The Non-File Data that can be selected is the same as with a backup:

- Windows/NT File Extended Attributes
- Windows/NT Dir Extended Attributes
- Windows/NT ACLs (Security)
- Windows/NT Registry & Event logs
- Novell or NTFS Reset Last Access Date

**WARNING: When restoring registry files, it is strongly suggested that the full set of registry files be restored to prevent an inconsistent system state to occur. Whenever one or more registry files are restored, FDR/UPSTREAM will advise you to reboot the Windows NT machine for the restored registry files to take effect.**

# 16.7. Windows 2000 Active Directory

One of Windows 2000 most significant features is Active Directory a hierarchical, extensible network directory service. Domain controllers now use Active Directory in addition to the registry to hold their security and configuration information. One of its significant features is online backup; FDR/UPSTREAM now contains an agent which supports Active Directory fully. This feature is released in beta.

Starting with version 3.1.1 of FDR/UPSTREAM/PC, UPSTREAM now has the capability of backing up and restoring a Windows Active Directory database. This capability is made possible through the use of an FDR/UPSTREAM/PC feature called PlugIns and a PlugIn module named WinAD.dll. The WinAD PlugIn (WinAD.dll) uses the NT Directory Services (NTDS) Backup API to perform online backups and offline restores of the Windows Active Directory. This section describes the specifics of the WinAD.dll PlugIn module. It is assumed that you are familiar with the Windows Active Directory, its terminology and how to manage it.

The WinAD PlugIn can be used with UPSTREAM on any Windows 2xxx operating system machine on which the following DLL files exist: NTDSBMSG.dll, NTDSBCLI.dll, NTDSAPI.dll, NETAPI32.dll; this is true for all Windows 2000 domain controllers. Active Directory backups cannot be performed from Windows NT v4.0 machines.

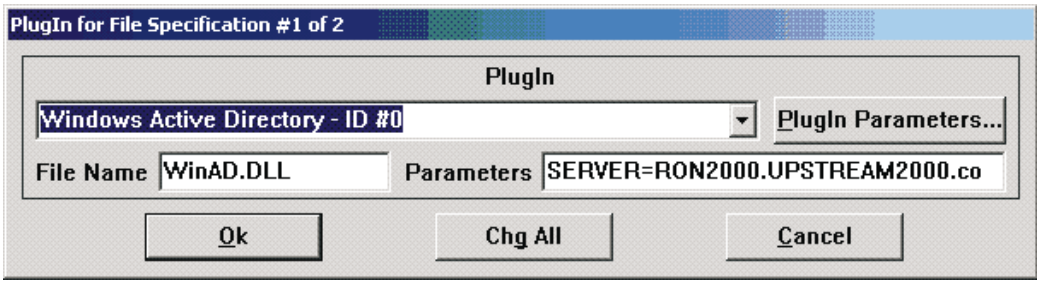
To backup the Active Directory, UPSTREAM can be run as an application under a user account that is a member of the Administrators group or as a service under the Local System account or a user account that is a member of the Administrators group. When run under an Administrator group account UPSTREAM can use the WinAD PlugIn to backup any number of Windows Active Directory servers as long as they reside in the same site as the machine on which UPSTREAM is run. The Windows Active Directory servers do not need to be members of the same Active Directory domain, only the same Active Directory site. When run under the Local System account UPSTREAM can use the WinAD PlugIn to backup the local Active Directory server only. The Local System account does not have the required authority to backup any other Active Directory server.

You will probably need to backup only one Active Directory server within each domain since the Active Directory database is replicated among all of the Active Directory servers (domain controllers) within the domain. The only requirement here is that you must restore the Active Directory back to the server that it was backed up from. So if there is a chance that a particular domain controller may be removed from the domain or demoted so that it is no longer a domain controller, you will want to make sure you have a backup from another server that will remain in the domain.

All WinAD PlugIn backups are full backups, even if you select Incremental merge as your backup type. The NTDS Backup API does not support incremental backup. Since a single Windows Active Directory may become quite large, you may decide to include the WinAD file specifications for your First-time full and Full merge backups only.

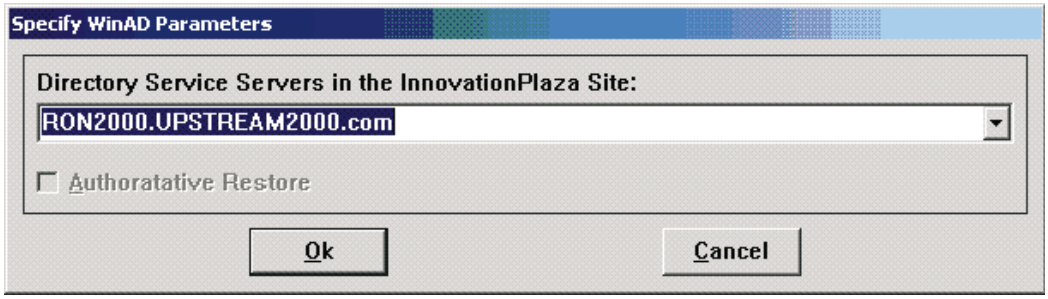
### 16.7.1. Backups

To specify the use of the WinAD PlugIn to back up a single Windows Active Directory server, pull down the **Action** menu and select **Backup**. The WinAD plugin will modify the file specification so it doesn't matter what you initially specify. Press the **PlugIn...** button to display the *PlugIn for File Specification* dialog.




The purpose of this dialog is to select the plugin you wish to use and enter the parameters specific to it. To select the Active Directory plugin, pull down the **PlugIn** combobox and select **Windows Active Directory - ID #0**. If this item is not available, note that you need WINAD.DLL in the PLUGIN directory directly beneath the UPSTREAM directory.

Press the **PlugIn Parameters...** button to specify the parameters specific to this plugin:




The Directory Service Servers combobox is populated with the DNS style names of all of the Active Directory servers in the local site. In the above example, **RON2000** is the name of an Active Directory server and **UPSTREAM2000.com** is the name of the domain in which it resides. Select the active directory server you wish to back up.

WinAD uses the NTDS Backup API to get the names of the individual NTDS files to be backed up and then packages these files together in a single virtual file spec named \\servername\WinAD\WinAD.bin. In the example above, the file name would be \\RON2000.UPSTREAM2000.com\WinAD\WinAD.bin. WinAD makes this virtual file appear as a real file to UPSTREAM which then backs it up in the normal manner.

When you press the **Ok** button to return to the PlugIn for File Specification dialog, the Parameters field is filled in with the values specified here.

The individual NTDS files should be excluded from your normal backups since they are locked by the operating system and cannot be backed up in the normal way. These files typically reside in the C:\WINNT\NTDS directory and can be excluded with an exclusion file specification of C:\WINNT\NTDS*.*. Or course this file specification will be different if the files reside elsewhere.

**NOTE: You should exclude C:\WINNT\NTDS from all file level backups.**

WinAD requires a specific set of file specification parameters which it sets automatically. As a result, once you specify the Active Directory plugin, the Backup Parameters dialog does not allow you to modify the Backup Specification field or press the Spec Detail… button to alter the rest of the file specification parameters.

The WinAD PlugIn may be used for multiple file specifications as long as the Active Directory server (DNS style) names are unique for each file specification. The WinAD PlugIn may also be used in conjunction with other file specifications that do not use PlugIns or use other PlugIns as long as the other PlugIns also allow this combination.

Due to the fact that Active Directory is an online database, it is not possible to get the exact size of the Active Directory backup in advance. So UPSTREAM gets the best estimate of its size in advance and doubles it. Thus the backup status display, DASD space allocation and FDRSOS local backup allocation will most likely be somewhat larger than the backup itself. If you find that this estimate is too large or small or you use the DASD Override parameter (DASDOVERRIDE) to adjust the overall backup size.

The NTDS Backup API requires that each WinAD backup contain an expiry token which controls how long the backup remains viable. Thus Active Directory may refuse to apply an old backup.

**Note: Active Directory may refuse to apply an old backup.**

The NTDS Backup API documentation states: "The token contains the backup date and time and the tombstone lifetime of the enterprise at the time the backup was created. At restore time, if the token is expired, the restore is denied. The token expires when the time elapsed since the backup exceeds the tombstone lifetime. Obviously backups will be taken at a more frequent interval than the tombstone lifetime."

The default tombstone lifetime is 60 days. This can be changed by setting a different value on the NTDS configuration object. Microsoft Knowledge Base article Q216993 explains how to do this. Whether you use the default tombstone lifetime or set a different value or it, you should plan on backing up your Windows Active Directory at more frequent intervals. Even though you may not be interested in changing your default tombstone lifetime, you should still read KB article Q216993 since it references other KB articles which contain other useful information such as the impact of performing authoritative restores on objects needed to synchronize with other domains.

### 16.7.2. Host Initiating Backups and Restores

For the Active Directory plugin, The UPSTREAM parameter, **PLUGIN** must be **WINAD.DLL**, and the PLUGINPARAMETERS is set as follows:

```
SERVER=servername [AUTHORITATIVERESTORE=yn]
```

where:

- **SERVER=servername** The DNS style name of an Active Directory server to be backed up or restored. DNS style server names are in the form:

  `servername.domainnamelvlN…domainnamelvl1`

- **AUTHORITATIVERESTORE=yn** An optional parameter that indicates whether restores should be authoritative or not. 'yn' is either Y or N. The default is N. This parameter is used only for restores.

Like all other UPSTREAM backups and restores, those that use the WinAD PlugIn may also be initiated from the host via a USTBATCH job. The parameters for such a backup or restore are the same as any other backup or

restore with the addition of the following parameters in the file specification section (i.e., after the SPECNUMBER parameter):

```
FILES \\servername\WinAD\WinAD.bin
PLUGIN WinAD.dll
PLUGINPARAMETERS SERVER=servername AUTHORITATIVERESTORE=yn
```

Where SERVER=servername and AUTHORITATIVERESTORE=yn are as described above. The correct format for the FILES parameter is not crucial since the WinAD PlugIn will override it anyway and force it to be \\servername\WinAD\WinAD.bin. It does this by getting the real server name from the PLUGINPARAMETERS value.

### 16.7.3. Restores

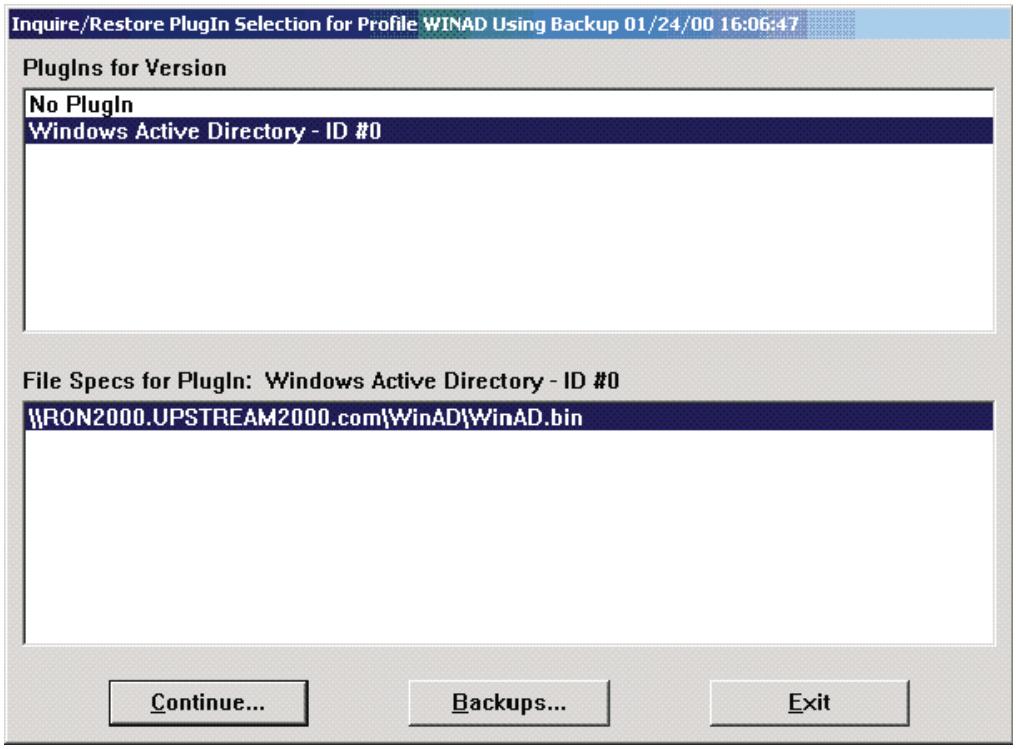
Unlike backups, in order to use the WinAD PlugIn to perform a restore of a Windows Active Directory server, UPSTREAM must be run on the server to be restored. Further, the restore may not target any other Windows Active Directory server. The file specification from which the server is to be restored, must have used the WinAD PlugIn to backup this server.

Another restriction is that the Windows Active Directory server must be running in **Directory Services Restore Mode** (i.e., offline mode). To put the Active Directory server in this offline mode you need to reboot it and select the Directory Services Restore Mode from one of the Safe Mode options available at boot time, by pressing the F8 key during the boot process.

**Note: You must be running in Directory Services Restore Mode to perform an Active Directory restore.**

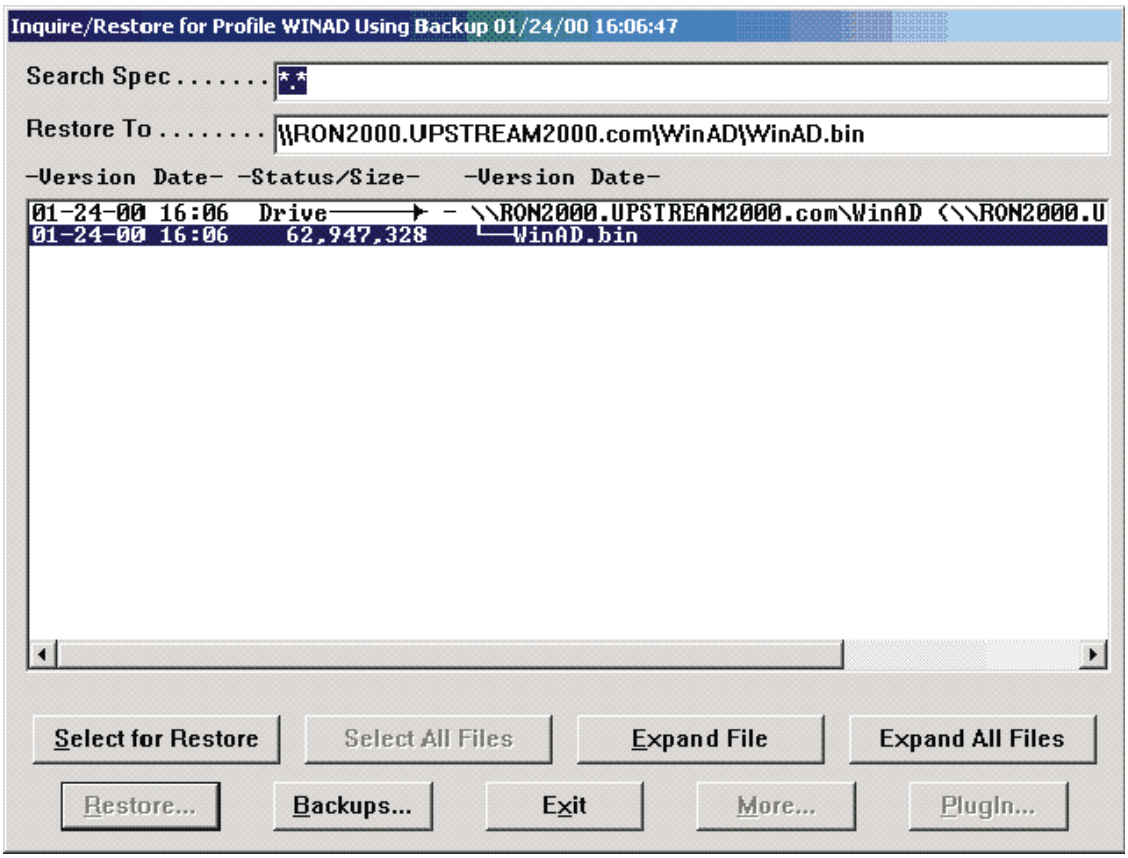
To start a restore from the server to be restored, pull down the **Action** menu and select **List and Restore**.

If the latest backup version of the current backup profile had multiple file specifications and one or more of these file specifications did not use the WinAD Plugin, the *Inquire/Restore PlugIn Selection for Profile ...*dialog will be displayed.



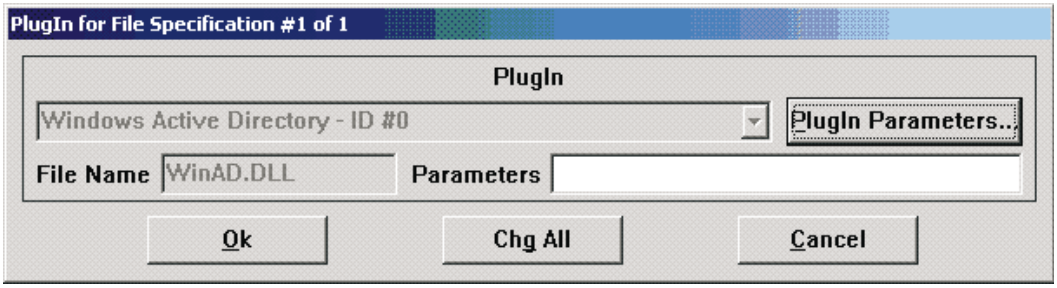

Highlight the **Windows Active Directory - ID#0** item in the **Plugins for Version** list and press the **Continue…** button.

If the backup version contains file specifications that all use the WinAD PlugIn or you press the Continue... button above, the standard list and restore dialog is displayed.

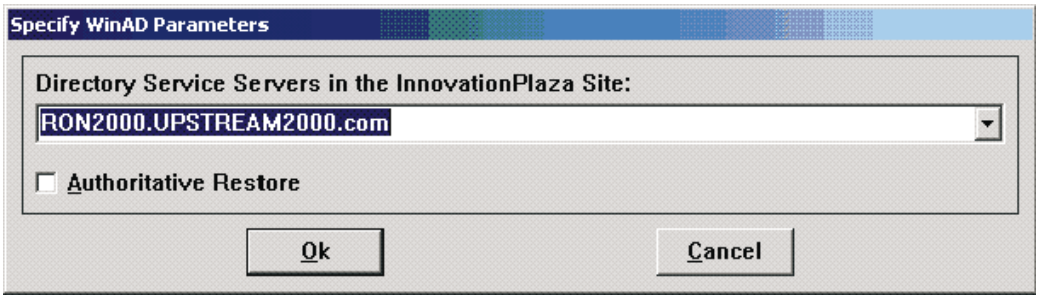



In this dialog you will see all of the top-level file specifications included in this backup version. Only files which use the active plugin will be displayed; since you specified the WinAD plugin when you entered. Files which used no plugin or other plugins will not be displayed without exiting and reentering.

Find the file specification for the Windows Active Directory server to be restored (i.e., the one that UPSTREAM should be running on now) and select the WinAD.bin file for that file specification. Press the **Select for Restore** button to have the PlugIn… button enabled. The More… button will not be enabled since the WinAD PlugIn requires complete control of the file specification parameters. Press the **PlugIn…** button to display the **PlugIn for File Specification …** dialog.




The PlugIn field is grayed and automatically selected to the Windows Active Directory plugin. Press the **PlugIn Parameters...** button to display the *Specify WinAD Parameters* dialog.




The server name drop down list will have only one entry, the DNS style name of the local Windows Active Directory server. You may either check or not check the Authoritative Restore check box depending on whether you want to have one or more objects in the Windows Active Directory restored authoritatively or not. This is discussed further in the **Authoritative Restore** section below.

Once you have set the WinAD PlugIn parameters to your liking, press the **Ok** button to return to the PlugIn for File Specification … dialog. The Parameters field is filled in with a set of parameters in the format SERVER=servername AUTHORITATIVERESTORE=yn. For example:

```
SERVER=RON2000.UPSTREAM2000.com AUTHORITATIVERESTORE=N
```

### 16.7.4. Authoritative Restore

A Windows Active Directory database is replicated among all of the Active Directory servers (domain controllers) in a given domain. When the Active Directory database is restored to any single Active Directory server, that database is as it was at the time it was backed up until the Active Directory server is started. At the time the Active Directory server is started, it undergoes a synchronization process with all of the other Active Directory servers in the domain. The result of this synchronization is that all of the objects with the highest Universal Serial Number (USN) are replicated to the other servers. This means that if any database object was modified between the time of the backup and the time of the restore, the modified object has a higher USN than the unmodified object that was restored from the backup and as such, the modified object will be replicated back to the database that was just restored, thereby nullifying the restore.

To cause one or more of the objects in a restored database to not be overwritten by this replication process, they must be marked as **Authoritatively Restored**. An object that is Authoritatively Restored has its USN increased (the default increase is 100,000) so as to win the replication battle with other like named objects from the Active Directory databases of the other Active Directory servers.

To restore one or more objects authoritatively, two important steps must be performed:

- 1. The FDR/UPSTREAM restore using the WinAD PlugIn must specify AUTHORITATIVERESTORE=Y
- 2. The NTDSUTIL.exe utility must be executed after the FDR/UPSTREAM restore finishes to mark the desired objects as authoritatively restored.

NTDSUTIL.exe is a command line only utility program, installed as part of the operating system. NTDSUTIL has many uses, one of which is to mark objects for authoritative restore. To start it, open a command line windows and type NTDSUTIL at the command prompt. The main **ntdsutil:** prompt will be displayed. At the ntdsutil: prompt enter **Authoritative Restore** and press ENTER. The prompt is changed to **authoritative restore**:. At the authoritative restore: prompt enter **Help** and press ENTER. A list of available subcommands will be displayed. To authoritatively restore all of the objects in the database, use one of the following subcommands:

- Restore database
- Restore database verinc %d

where %d is some number.

To authoritatively restore only a subset of the objects in the database, use one of the following subcommands:

- Restore subtree %s
- Restore subtree %s verinc %d

where %s is the fully qualified display name (DN) of either an end node object or a container object and %d is some number.

By default, NTDSUTIL.exe increases the USNs of the objects to be authoritatively restored by 100000. This can be overridden through the use of the verinc %d option on the Restore database and Restore subtree commands. To specify a different USN increase, replace %d with the desired USN increase. In most cases, the default USN increase of 100000 will work, but there may be some instances where a larger increase may be required. Unfortunately, there is no easy way to determine when a larger USN increase may be needed or what the exact USN increase should be to properly perform an authoritative restore for one or more objects. And since all objects have their own USNs, the USN increase used may work for some objects and not for others.

For example, to authoritatively restore a user object for a user named "Test User 1" in the "UPSTREAM2000.com" domain, enter the following:

```
Restore subtree "CN=Test User 1,CN=Users,DC=UPSTREAM2000,DC=com"
```

Note the use of double quotes(") around the object name since it contains spaces.

The input to NTDSUTIL can be piped in from a file using I/O redirection. You may want to do this if you have a large number of objects which you need to repetitively update. Use the following command line (assuming your input is in NTDSUTIL.IN):

```
NTDSUTIL.exe <NTDSUTIL.IN
```

The exact syntax rules for NTDSUTIL commands are unknown since very little documentation exists from Microsoft for this utility.

So, if the replication process effectively nullifies a restore, why would you ever want to perform a restore that was not an authoritative restore? A non-authoritative restore comes in handy when you are attempting to recover from a disaster in which you lost an entire Active Directory server. A non-authoritative restore of a server can be used to bring the server back up without necessarily causing any objects to revert back to the state they were in at the time of the backup.

# 16.8. Recovering a Windows NT Machine

A disaster is something that we all want to avoid, but when a disaster occurs it helps to be prepared. Windows NT and FDR/UPSTREAM were both created with the idea of disaster recovery in mind.

Physical disk backups/restores are another way to recover a Windows NT machine; see the *FDRSOS/Physical Disk* chapter for more information.

**Note: If you are restoring a single processor Windows NT backup to a multi-processor system or vice-versa, there are system file issues. See Microsoft KnowlegeBase article #Q156358 for more information.**

**Note: The procedures described in this section have been tested in a number of hardware and software environments. However, they differ substantially from the ones suggested by Microsoft. If you have problems with recovery see Microsoft KnowlegeBase article #Q139822 for a description of their procedures**

See page 16-26 for a description of enhanced registry restores.

### 16.8.1. Preparing the Disaster Recovery Package

When you first install a Windows NT system (both Server and Workstation) the installation procedure creates, by default (when the /b parameter is not used when starting the NT installation program; WINNT.EXE for non-NT systems and WINNT32.EXE for NT systems), three NT boot diskettes for you. Also during the installation procedure, you are asked if you want to create an Emergency Repair Disk (ERD). It is strongly suggested that you do so. The ERD contains your current system configuration. The three boot diskettes, the ERD and your Windows NT Server/Workstation CD are your tools for recovering from a disaster.

As your system configuration is modified it is important to periodically create a new ERD and store it with the rest of your disaster recovery package. To create a new ERD you can use the RDISK.EXE program found in the SYSTEM32 subdirectory of you main Windows NT system directory (in most cases C:\WINNT). For example:

```
C:\WINNT\SYSTEM32\RDISK.EXE
```

RDISK.EXE is easy to run and takes only a few minutes.

Microsoft periodically releases services packs to apply fixes and minor enhancements to Windows NT. If you decide to apply one of these service packs to your Windows NT system we recommend that you perform a backup in case there are problems applying it. You will have to also include the service pack in your disaster recovery plans. After applying a service pack:

- Note that the contents of the service pack will be included in your next UPSTREAM backup (as the archive bits are on).
- Create another Emergency Repair Disk and save it with your disaster recovery package.
- Copy the files that make up the service pack to diskettes (the recommended method). You can also place the service pack on one or more other machines on the network (if you have network access on recovered machines), or back up the contents of the service pack using UPSTREAM (if

you have UPSTREAM access on recovered machines). Which ever method you use, the service pack must be available with your disaster recovery package.

FDR/UPSTREAM requires a communications package through which it can communicate with the mainframe. This communications package is either TCP/IP which is built into Windows NT or one of the separately installed SNA products. If your installation uses one of these SNA products in conjunction with FDR/UPSTREAM to do its back ups and restores then the SNA product installation disk(s) or CD should also be included in you disaster recover package. You should also include a copy of the current configuration for the SNA product.

The last item to include in your disaster recovery package is a copy of FDR/UPSTREAM itself.

This disaster recovery package should be stored in a safe place and be readily available in the event of a disaster.

### 16.8.2. Procedure for Recovering a Windows NT Machine

During this discussion of the disaster recovery procedure the term **Target System** will be used to refer to the machine that is to be recovered and the term **Source System** will be used to refer to the machine on which FDR/UPSTREAM is run.

The very first step in any disaster recovery procedure is restoring the basic Windows NT system on the target system. This is accomplished by performing a normal Windows NT installation (really a reinstallation) which uses the three Windows NT boot diskettes you created during the initial installation.

If you are installing Windows NT Server, during the Windows NT installation process there are two stages in which you have to identify the type of Windows NT system you are installing. The first of these two stages asks you if you want the system to be a Primary Domain Controller (PDC), a Backup Domain Controller (BDC) or Stand Alone Server (member server). You should respond that the system will be a **Stand Alone Server** (regardless of the original server type).

In the second of these two installation stages you will be asked if the new machine will be part of a Workgroup or a Domain. Initially you should respond that the system will be part of a **Workgroup**. The FDR/UPSTREAM restoration of the Windows NT registry to this target system will cause the system to resume the role it had prior to the disaster.

If you have an Emergency Repair Disk (ERD) and it contains configuration information for one or more device drivers that are required for the restore, then perform the Windows NT Emergency Repair process. This is accomplished by rebooting the system with the three Windows NT boot diskettes, specifying that the system is to be repaired and then supplying the ERD when prompted. The Windows NT registry is the only component that you should need to repair in order to reapply the configuration information for one or more device drivers.

**WARNING: You must select the *Rename failed files* and *Replace immediately or after reboot* UPSTREAM restore options or apply all service packs before the restore.**

The rest of the disaster recovery procedure depends on whether TCP/IP is the communication protocol that FDR/UPSTREAM uses to communicate with the mainframe. If TCP/IP is used by FDR/UPSTREAM (TCP/IP must be installed on the mainframe) then continue with section 16.8.3., 16.6.3., otherwise continue with section 16.8.4., 16.6.4..

### 16.8.3. Using TCP/IP to Recover the Windows NT Target System

This is the easiest of the disaster recovery scenarios. Since TCP/IP is built into Windows NT it is immediately available to perform the rest of your disaster recover process. Proceed as follows:

- 1. Install FDR/UPSTREAM on the target system.
- 2. Use FDR/UPSTREAM on the target system to restore all of its disks.

To complete your recovery, proceed to section 16.8.5., 16.6.5.

### 16.8.4. Using a SNA Product to Recover the Windows NT Target System

If FDR/UPSTREAM uses a SNA connection to communicate with the mainframe then you must either install a SNA product that FDR/UPSTREAM can use on the target system or use a second Windows NT machine that has a SNA product that FDR/UPSTREAM can use already installed on it. If the SNA product installed on the second Windows NT machine is the SNA Server Client and the target system is the SNA Server gateway, the second machine cannot be used for SNA communications until the target system is recovered.

Is a second Windows NT machine available on the same LAN as the target system and has a SNA package that FDR/UPSTREAM can use installed on it (not SNA Server Client)? If yes, this machine will be referred to as the Source System, then use the following procedure:

- 1. If the source system does not have FDR/UPSTREAM installed on it, install it.
- 2. Create a share on the target system for each disk that is to be recovered. By default Windows NT creates a share named C$ for the C: drive.
- 3. Make sure that the source system has authority to access the share on the target system.
- 4. On the source system attach to the share of the target system. This can be done using the NET USE command.
- 5. Use FDR/UPSTREAM on the source system to recover each of the disks (via shares) of the target system.

If a second Windows NT machine is either not available or does not have a SNA product that FDR/UPSTREAM can use installed on it, then use the following procedure:

- 1. Install the SNA product on the target system.
- 2. Install FDR/UPSTREAM on the target system.
- 3. Use FDR/UPSTREAM on the target system to restore all of its disks.

To complete your recovery, proceed to section 16.8.5., 16.6.5..

### 16.8.5. After restoring a Windows NT Server

If the Windows NT system just recovered is a Windows NT Primary Domain Controller or a Backup Domain Controller the system may be out of sync with the other Domain Controllers in the domain. This situation occurs when the NETLOGON service writes one of the following entries to the System Event Log on any of the Domain Controllers in the domain:

- Event ID 3210: Failed to authenticate with <primary domain controller>, a Windows NT domain controller for domain <domain>
- Event ID 5722: The session setup from the computer <backup domain controller> failed to authenticate. The name of the account referenced in the security database is <account name>. The following error occurred: <error>.

The System Event Log entries will be written the first time the recovered server is rebooted.

To rectify this situation you will need to follow the instructions in the Windows NT Server documentation for resynchronizing the domain controllers.

# 16.9. Windows NT Registry Restore

### 16.9.1. Overview

Up until now, in order to restore any of the configuration information contained in a Windows NT registry hive file, you had to restore the entire hive file. This would result in the loss of any configuration information that was previously in the registry, but not in the backup of the registry hive file being restored.

In many cases this is desired (for example, if the registry is damaged). However, there are cases where it is not:

- You are using FDR/UPSTREAM to move your workstation/server to a new machine.
- You are replacing the disk in your existing machine.

In these cases the result would be a registry which does not properly reflect the drivers in your machine.

A new feature in FDR/UPSTREAM now allows you to control exactly which information is to be restored from the registry hive backup file without losing the configuration information that is already in the registry.

**WARNING: Read the *Registry Modification WARNING!* section before using this new feature.**

### 16.9.2. Windows NT Registry Restore Restrictions

This new method for restoring only a certain subset of registry configuration information can be applied only to the SYSTEM registry hive. It cannot be used to restore the SAM, SECURITY or SOFTWARE hives or any of the specific user hives. The reasons for this are:

- This new restoration method is intended to provide a method of restoring crucial operating system configuration information, to allow for a clean reboot. All of the operating system configuration information is stored solely in the SYSTEM hive.
- Any of the configuration information stored in the other (non-SYSTEM) hives can be selectively restored using the registry editor (REGEDT32.EXE), once the operating system is back up and running properly.
- Only the SYSTEM hive has a built in mechanism for keeping multiple “Control Sets” of configuration information. This new restoration method modifies only the subkeys and values of the ControlSet*nnn* key which is aliased by the CurrentControlSet key. If you should select to restore too much or too little of the configuration information needed, you can always return to the “Last Known Good” configuration and start over. This is not possible with the other registry hives.
- The information stored in the SYSTEM hive, although complex in nature, is mostly documented in the Windows NT Server Resource Kit documentation. The configuration information stored in the SOFTWARE hive is in an uncontrolled format devised by numerous non-Microsoft software vendors. The format of the SAM and SECURITY hives is totally undocumented. This new restoration method is limited to the SYSTEM hive because only the SYSTEM hive is documented sufficiently to allow you to understand the ramifications of your modifications.

The SAM, SECURITY and SOFTWARE hives and the user specific hives can still be restored using the old Entire Replacement method.

The subkeys immediately under the SYSTEM key in the SYSTEM registry hive are:

- **ControlSet*nnn*** There are one or more of these keys each with a unique three-digit (*nnn*) number. FDR/UPSTREAM only allows the modification of the key that is aliased by the CurrentControlSet key as determined by the Select key values. FDR/UPSTREAM does not allow any of the non-current ControlSet*nnn* keys, subkeys or values to be modified in any way.
- **CurrentControlSet** This is actually an alias for one of the ControlSet*nnn* keys as determined by the Select key values. Since the backup file does not contain this key, FDR/UPSTREAM does not allow it to be modified in any way.
- **DISK** This key contains the crucial Fault Tolerant Disk Configuration information. FDR/UPSTREAM does not allow this key or its values to be modified in any way. Information on how to modify the Fault Tolerant Disk Configuration information stored in this key can be found in Microsoft Knowledge Base article #Q131658.
- **Select** The values of this key control which of the ControlSet*nnn* keys contains the current configuration and which contains the "Last Known Good" configuration. FDR/UPSTREAM does not allow this key or its values to be modified in any way.
- **Setup** The information in this key, its subkeys and values is used only during Windows NT Server installation. FDR/UPSTREAM does not allow this key, its subkeys or its values to be modified in any way.

### 16.9.3. Registry Modification WARNING!

**WARNING: This new Windows NT SYSTEM registry hive restoration method is very powerful and also very dangerous. If used correctly it will allow you to recover crucial system configuration information such as lost device driver information and the like. If used incorrectly it might make an already damaged system unbootable. For this reason, it is strongly suggested that you follow these guidelines:**

- Understand exactly which SYSTEM hive registry keys and values that you want to restore and why.
- Have a copy of the Windows NT Server Resource Kit available for reference.
- Always create a current Windows NT Emergency Repair Disk before using this new restore method.
- Never run a restore of the SYSTEM registry hive unattended. This may cause more key and value information to be restored than you really want resulting in a damaged or unbootable system. The one possible exception to this rule is when you are restoring the SYSTEM registry hive for a Windows NT system via the ULTra workstation program.
- Make sure that the **Maximum Registry Size (MB)** is configured high enough to accommodate the temporary SYSTEM key that FDR/UPSTREAM must create in order to perform the modification. The **Maximum Registry Size (MB)** should be at least twice the **Current Registry Size**. This can be modified using the **Virtual Memory** dialog (click the **Change** button on the **Performance** tab of the **SYSTEM** applet of the **Control Panel**)

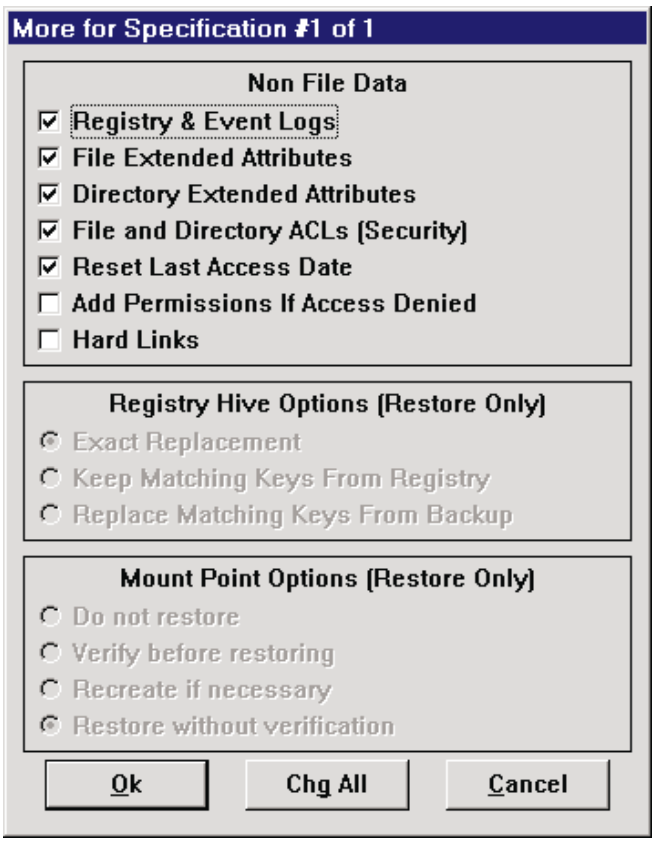
If you make a mistake while modifying the SYSTEM registry hive, you can avoid complete catastrophe with one of the following fixes:

- Reapply the Windows NT Emergency Repair Disk that was created before starting the SYSTEM registry hive restore procedure.

- Reboot using the “Last Known Good” configuration. Since FDR/UPSTREAM does not modify any of the Control Sets in the SYSTEM registry hive other than the current one, the “Last Known Good” configuration should be good enough to allow you to reboot the system to at least get back to where you started. If after modifying the SYSTEM registry hive, you have completed a successful reboot and have logged on to the system, Windows NT will have replaced the “Last Known Good” configuration with the modified configuration. You can still recover back to your previous “Last Known Good” configuration by correctly modifying the LastKnownGood value of the Select subkey. Refer to the Windows NT Server Resource Kit documentation for a discussion of the values of the Select key for further information.

### 16.9.4. How It Works

This new Windows NT SYSTEM registry hive restoration method is controlled by an FDR/UPSTREAM parameter named **NTREGRESTORE** and is on the Restore file spec, **More.., Win NT...** dialog:




Note that you must check the **Registry and Event Logs** checkbox for ANY registry restores to work and you must also specify the registry hive file in the restore. For many users, the system hive is in the file: C:\WINNT\SYSTEM32\CONFIG\SYSTEM.

The registry restore specific parameters are radio buttons in the **Registry Hive Restore Options** frame and are only in affect when the SYSTEM registry hive is being restored:

- ☐ **Exact Replacement**: The entire SYSTEM registry hive will completely replace the SYSTEM hive in the active registry. This is how FDR/UPSTREAM has always behaved prior to this new feature. Set NTREGRESTORE=0 in parameter files or from the host.

Most users will select this option if using UPSTREAM to restore a registry to the same machine it was backed up from and there were no hardware modifications.

- **Keep Matching Keys From Registry:** If selected, all of the keys and values that are in the active registry and not in the backup file will be kept from the registry. All of the keys and values that are in the backup file and not in the active registry are added from the backup file. All keys and values that are matched between the active registry and the backup file will be kept from the registry. Set NTREGRESTORE=1 in parameter files or from the host

  Most users will select this option if using UPSTREAM to restore a registry to a completely new machine (with hardware registry entries that you wish to preserve).

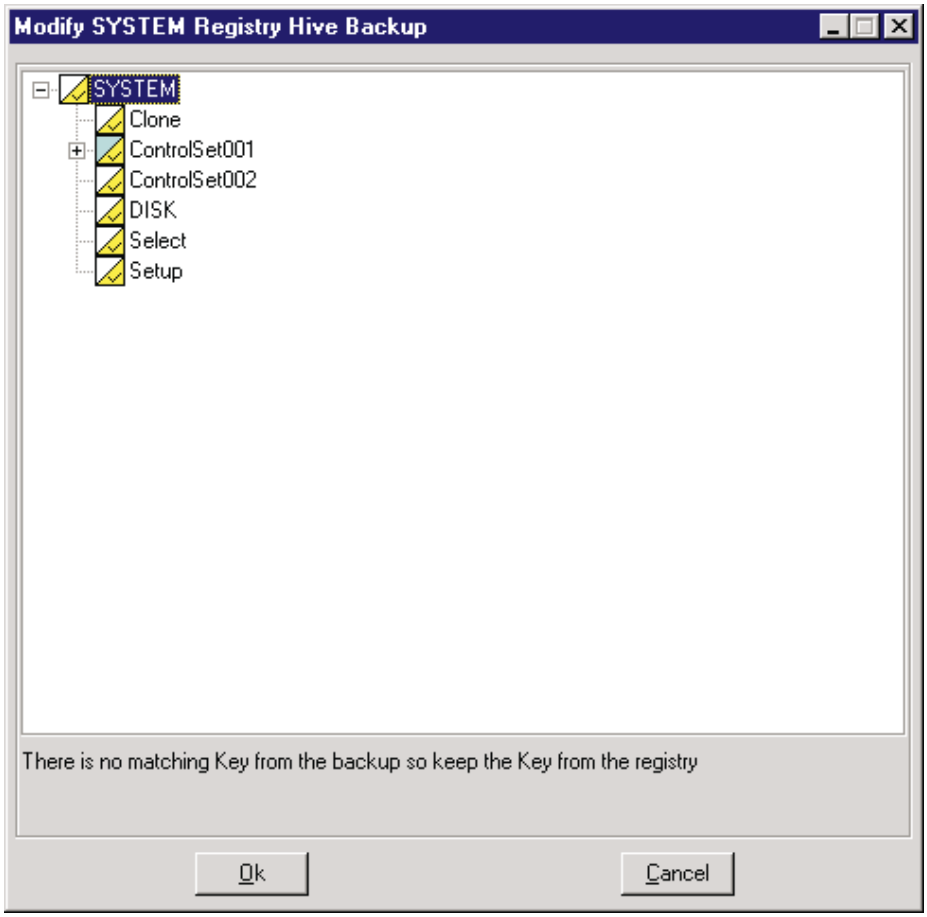
- **Replace Matching Keys from Backup:** All of the keys and values that are in the active registry and not in the backup file will be kept from the registry. All of the keys and values that are in the backup file and not in the active registry are added from the backup file. All keys and values that are matched between the active registry and the backup file will be replaced from the backup file. Set NTREGRESTORE=2 in parameter files or from the host

  Most users will select this option if using UPSTREAM to restore a registry to the same machine it was backed up from but one where the boot disk was replaced.

When FDR/UPSTREAM is configured to restore a non-SYSTEM registry hive file, it will restore the hive file using the Exact Replacement method as it always has. When FDR/UPSTREAM is configured to restore the SYSTEM registry hive file it will do so according to the restore method (NTREGRESTORE) selected. For both the Keep and Replace methods, FDR/UPSTREAM will load the SYSTEM registry hive backup file into a temporary SYSTEM key (really HARDWARE\SYSTEM) in the registry and then compare this temporary SYSTEM key to the active SYSTEM key. The result of this comparison is a set of information as to which keys and values are to be kept from the active registry and which keys and values are to be replaced and added from the backup file.

Once FDR/UPSTREAM knows which keys and values are to be replaced and added from the backup file it will either apply these changes to the registry automatically or display this information in a tree view window to be modified by you, depending on whether the restore is attended or unattended.

When the restore of the SYSTEM registry hive is attended, the recommended method, FDR/UPSTREAM will display the following **Modify SYSTEM Registry Hive Backup** dialog:




The **Modify SYSTEM Registry Hive Backup** dialog allows you to control exactly which keys and values will actually be replaced or added from the backup file. For instance, not all of the keys and values that will be set to be added from the backup file should be restored and not all of the keys and values that might be kept from the active registry are correct.

For every unique key and value found in the active SYSTEM registry hive and the backup file, an item is added to the SYSTEM key tree displayed in the dialog. This SYSTEM key tree can be expand and collapsed by clicking on the plus and minus icons next to each key entry (value entries do not have plus and minus icons next to them). Every key and value entry has an icon which indicates how the key or value is to be handled. Each of these icons have two sections with its own color as follows:

- The top section of the icon indicates if the key or value was found in the backup file or not. It is either **light blue** if the key or value was found in the backup file or **white** if the key or value does not exist in the backup file.
- The bottom section of the icon indicates if the key or value was found in the active SYSTEM registry hive or not. It is either **yellow** if the key or value was found in the active SYSTEM registry hive or **white** if the key or value does not exist in the active SYSTEM registry hive.

A description of the status of the key or value will be displayed directly under the SYSTEM key tree window. Each icon will also have a **check mark** on it to indicate which copy of the key or value (the backup file copy or the active SYSTEM registry hive copy) will be applied to the registry. An icon without a check mark means that neither copy of the key or value will be applied to the registry.

As you highlight keys and values in the SYSTEM key tree, a button will appear that you can click to toggle the check mark. If there is no button, then the check mark cannot be changed.

If you highlight a registry key with subkeys or values (it has a plus sign next to it), a checkbox will appear at the bottom of the dialog: **Subkeys and Values also**. If you check this box and then press the button, the entry will be toggled for all the entries below the highlighted one.

This check mark can be modified in one of the following ways:

- If the icon is **light blue** on the top and **yellow** on the bottom, this means that the key value exists in both the backup file and the active SYSTEM registry hive and that you may toggle between either keeping the key or value from the registry or replacing it from the backup by clicking the appropriate button on the dialog.
- If the icon is **light blue** on the top and **white** on the bottom, this means that the key or value exists only in the backup file and that you may toggle between either adding the key or value from the backup or not adding the key or value from the backup by clicking the appropriate button on the dialog.
- If the icon is **white** on the top and **yellow** on the bottom, this means that the key or value exists only in the active SYSTEM registry hive and that you may not modify how it will be handled. This key or value will always be kept from the registry.

Once you have selected which keys and values are to be replaced and added from the backup file, you can then click the **Ok** button to cause the SYSTEM registry hive to be modified accordingly. If you are unsure of the modifications that need to be made, you can click the **Cancel** button to safely abandon the modifications and leave the SYSTEM registry hive untouched.

### 16.9.5. ULTra

You can use the UPSTREAM Windows NT Registry Restore facility for restoring ULTra workstations. However, there is no prompted facility. The Keep and Replace options are used for all keys.

# 16.10. Microsoft Cluster Server

### 16.10.1. Overview

A recent addition to the *Enterprise Edition of Windows NT Server* is the *Microsoft Cluster Server,* formerly known as *Wolf Pack*. Cluster Server is a fault tolerant system that incorporates two (more in the future) Windows NT servers into a common unified server that appears to the rest of the network as a single server. If either of the real Windows NT server computers should encounter a problem, the services that are running on that server are automatically "failed over" to the other real server to provide fault tolerance for these services as seen by the rest of the network.

FDR/UPSTREAM can be configured as a service and, due to FDR/UPSTREAM's preexisting capability to restart failed backups, FDR/UPSTREAM is a perfect candidate to be run on a Cluster Server installation. Actually, the restart capability of FDR/UPSTREAM allows it to be restarted in a number of scenarios unrelated to a Cluster Server as well.

This section details the FDR/UPSTREAM/PC and FDR/UPSTREAM/MVS configuration options that allow failed backups and restores to be restarted automatically.

### 16.10.2. Restart Scenarios

FDR/UPSTREAM/PC can be run as a service on any Windows NT server. The Windows NT server can be a normal server or a "virtual server" hosted by a Cluster Server installation. This leads to the following scenarios:

- 1. FDR/UPSTREAM running on a virtual server (one hosted by Cluster Server), backing up or restoring its own server or another server, and the virtual server goes down.
- 2. FDR/UPSTREAM running on a stand alone server, backing up another server, which may or may not be a Cluster Server hosted virtual server, and the server being backed up from or restored to goes down.

Both scenarios have a number of common assumptions as discussed in the **All Restart Scenarios** section. Each scenario has unique processing which are discussed in the **Restart for Failed FDR/UPSTREAM Server** and **Restart for Failed Target Server** sections.

### 16.10.3. All Restart Scenarios

All restart scenarios are based on a number of assumptions as follows:

The backup or restore request must be initiated by a USTBATCH job on the mainframe and the USTBATCH job must have the RESTART parameter specified in its parameter list. The RESTART parameter is discussed further, below.

- FDR/UPSTREAM/PC (UPSTREAM) or the UPSTREAM TCP/IP Attach Manager (USATTMGR.EXE) must be configured to be started automatically when the server restarts. This is accomplished by configuring it as a Windows NT service or by placing it in the StartUp folder to be run as an application. For execution on a virtual server hosted by the Cluster Server, it must be configured to run as a service. If it is not running on a virtual cluster server and it is setup to be run as an application from the StartUp folder in Windows NT, the server must be configured to automatically log on to the user account for which the Startup folder is configured.

- If FDR/UPSTREAM/PC is running on a virtual cluster server, the communication protocol that is used between FDR/UPSTREAM/PC and FDR/UPSTREAM/MVS must be TCP/IP. Also, either UPSTREAM or USATTMGR.EXE must be configured as a "Generic Service" resource and it must have a direct dependency on "Network Name" resource for the virtual cluster server.
- FDR/UPSTREAM/PC must be configured to not automatically restart failed backups or restores (NORESTART=Y in the UPSTREAM.CFG file).

Regardless of the scenario, the USTBATCH job must specify the RESTART parameter. The RESTART parameter has the following format:

```
RESTART numretries,retryinterval
```

Where:

- **numretries** is the number of times that the USTBATCH job will retry the failed backup or restore.
- **retryinterval** is the number of minutes that USTBATCH will wait between retries.

The RESTART parameter is a "single-use" parameter that must appear in the USTBATCH parameter list before any "multiple-use" parameters such as COMMAND, TARGLU, TARGNAME, TCPTARG, TPNAME, or WSPARM. For further information on the USTBATCH RESTART parameter, refer to chapter 8 of the FDR/UPSTREAM/MVS manual.

With each scenario, when the backup or restore fails, FDR/UPSTREAM/PC will terminate the communication with the mainframe. After 10 minutes (default) of not receiving any data from the PC, UPSTREAM/MVS (the UPSTREAM started task on the mainframe) will conclude that a communications error has occurred and it will inform the USTBATCH job that requested the backup or restore that the request failed and that it should attempt to restart it if possible.

If the USTBATCH job determines that the request can be restarted (as specified by the RESTART parameter), the USTBATCH job will send a request back down to the PC to restart the backup (ACTION=1) or restart the restore (ACTION=8), whichever action is appropriate.

### 16.10.4. Restart for Failed FDR/UPSTREAM Server

This scenario happens when the server that FDR/UPSTREAM/PC is running on goes down or FDR/UPSTREAM/PC itself dies without the server going down. Since FDR/UPSTREAM/PC is no longer running, the mainframe will get a communications time out which will signal it to inform the USTBATCH job to attempt a restart.

If the server that FDR/UPSTREAM/PC is running on is a virtual server (hosted by Cluster Server), the Cluster Server will either restart the UPSTREAM or USATTMGR service on the same machine it was running on or fail over the virtual server to another node of the cluster. In either case UPSTREAM.EXE or USATTMGR.EXE will restart and wait for another request from the mainframe.

### 16.10.5. Restart for Failed Target Server

This scenario happens when the server that FDR/UPSTREAM/PC is backing up from or restoring to goes down. In this case UPSTREAM will encounter an error on one or more files as it attempts to open, read from or write to them. When a file error is encountered, UPSTREAM will check to see if the server connection is still active. If the server connection is no longer active UPSTREAM will get a network connection error and then do one of the following:

- If the server is a Novell or Banyan server, UPSTREAM will immediately determine that the server has gone down and will not retry the network connection.

- If the server is not a Novell or Banyan server, UPSTREAM will retry the server network connection once a second for up to 30 seconds (configurable by the USFILESERVERERRORRETRY environment variable) before determining that the server has gone down.

If UPSTREAM determines that the server has gone down, it will immediately stop processing the request and terminate communications with the UPSTREAM started task on the mainframe. This will trigger the restart processing on the mainframe.

If during the server error retry interval, the server responds to the network connection test, UPSTREAM will continue with the backup or restore. In this case the file which originally encountered the error will be skipped.

If the server that UPSTREAM is dealing with is a virtual cluster server, it could happen that the Cluster Server will fail over the virtual server to another node of the Cluster Server and restart it before UPSTREAM has exhausted its server error retry interval. In this case, UPSTREAM can continue its normal processing with only a few failed files.

# 16.11. Unicode Characters

Historically characters have been stored using 8 bits. It was realized some time ago, that 8 bits is insufficient for storing characters for all languages or other special requirements. A number of variants have been used, but the most recent is Unicode.

Unicode uses 16 bits per character and Windows NT was designed from the ground up to use it. The first 256 values in Unicode (the low order 8 bits) map to the standard character mappings. The remaining values are what we term Unicode characters.

FDR/UPSTREAM supports the backup and restore of files with Unicode characters in the file name. However, since the host only knows how to deal with 8 bit characters, an escape sequence is used to map characters which are outside the 8 bit range. The format for this escape sequence is:

```
|<4 digit hex value>
```

The following file name contains 8 bit characters for the path and a 3 character Unicode file name:

```
C:\UPSTREAM\|433a|5c75|7073
```

Despite the displayed name, the file name is always restored correctly. From the host side, you must specify the mangled (escape sequence embedded) name.

This support also allows UPSTREAM to backup and restore files with invalid file names (files with prefixes of device names, etc.).

The Windows NT version of FDR/UPSTREAM is able to display of these characters. Note that keyboard entry of these characters depends upon the installed software on your system.

To enable unicode displays, you must first install a font on your system which is capable of displaying these characters. A monospace font is required. Also, this facility does not work for Windows 95/98, as these operating systems do not support unicode.

For each font there is a character set. You must specify the character set for the font. The character set names available for a given font can be determined with products like BitStream's Font Navigator, the LISTFONT program on the UPSTREAM FTP site, or you can use trial-and-error trying fonts for a character set that you know you need.

Then set the following environment variables. These values can be set in USENV.DAT:

| Name | Default | Description |
|---|---|---|
| USFILECHARSET | 0 | (Windows NT) If defined, specifies the Windows NT character set number for the USFILEFONT specified font. Values are:<br>0: ANSI_CHARSET<br>1 : DEFAULT_CHARSET<br>2 : SYMBOL_CHARSET<br>77 : MAC_CHARSET<br>128 : SHIFTJIS_CHARSET<br>129 : HANGEUL_CHARSET<br>130 : JOHAB_CHARSET<br>134 : GB2312_CHARSET<br>136 : CHINESEBIG5_CHARSET<br>161 : GREEK_CHARSET<br>162 : TURKISH_CHARSET<br>177 : HEBREW_CHARSET<br>178 : ARABIC_CHARSET<br>186 : BALTIC_CHARSET<br>204 : RUSSIAN_CHARSET<br>222 : THAI_CHARSET<br>238 : EASTEUROPE_CHARSET<br>255: OEM_CHARSET |
| USFILEFONT | (Not defined) | (Windows NT) The file specification font to use. This can be a monospace or proportional font. Format:<br><size>.<font name><br>For example, 12.Terminal<br>The default is the System font. |
| USMONOCHARSET | 255 | (Windows NT) If defined, specifies the Windows NT character set number for the USMONOFONT specified font. Values are:<br>0: ANSI_CHARSET<br>1 : DEFAULT_CHARSET<br>2 : SYMBOL_CHARSET<br>77 : MAC_CHARSET<br>128 : SHIFTJIS_CHARSET<br>129 : HANGEUL_CHARSET<br>130 : JOHAB_CHARSET<br>134 : GB2312_CHARSET<br>136 : CHINESEBIG5_CHARSET<br>161 : GREEK_CHARSET<br>162 : TURKISH_CHARSET<br>177 : HEBREW_CHARSET<br>178 : ARABIC_CHARSET<br>186 : BALTIC_CHARSET<br>204 : RUSSIAN_CHARSET<br>222 : THAI_CHARSET<br>238 : EASTEUROPE_CHARSET<br>255: OEM_CHARSET |
| USMONOFONT | (Not defined) | (Windows NT) The monospace font to use. Format:<br><size>.<font name><br>For example, 12.Terminal<br>The default is the system monospace font. |
| USUSELINEDRAW | (Not defined) | UPSTREAM will automatically disable the use of line drawing characters (used predominantly in list and restore) if USMONOCHARSET is defined. By defining this variable to any value, UPSTREAM will use line drawing characters. |

For example, to enable the font MingLiU (a monospace font) which contains the CHINESEBIG5_CHARSET, set the following environment variables:

```
SET USMONOFONT=16.MingLiU
SET USMONOCHARSET=136
SET USFILEFONT=16.MingLiU
SET USFILECHARSET=136
```

Once these variables are defined, almost all file oriented fields will display properly. In a few cases (edit controls), the mangled name will be displayed. However, when displayed in static or list controls, they will then display correctly.

### 16.11.1. UTF-8

The default UPSTREAM approach for Unicode is quite portable. However, the format that is used internally is verbose (5 bytes for each character outside the 8-bit ASCII range) and may cause some files to be excluded from the backup because their name length has exceeded UPSTREAM maximums.

To address this problem, a more compact way of encoding unicode file names has bee adopted which uses the Unicode Translation Format (UTF-8). This method uses 1, 2 or 3 bytes for each character.

The method uses all the bit combinations possible in a byte and thus requires that you use the enhanced translation tables: ANS2ATOE.TAB for ASCII-to-EBCDIC and ANS2ETOA.TAB for EBCDIC-to-ASCII. If you attempt to enable UTF-8 with translation tables which are not one-to-one, UPSTREAM will refuse to perform the operation.

You must also do a first-time full as this method is incompatible with UPSTREAM unicode (which will still be supported). You must never mix backups; all backups for a given profile must either use UPSTREAM unicode or UTF-8.

In the Translation frame in the UPSTREAM Backup/More... dialog there are two radio buttons which allow you to select your unicode type: **UPSTREAM Unicode** and **UTF-8**. The parameter file option is **UTF8** which can have the value ‘Y’ (to enable UTF-8) or ‘N’ (for UPSTREAM unicode).

This page intentionally left blank

# 17 Banyan Considerations

## 17.1. Overview

FDR/UPSTREAM supports a wide variety of Banyan VINES® features. In particular, FDR/UPSTREAM allows the backup and restore of StreetTalk® names .

Banyan StreetTalk names included are:

- Backup and restore of files within a file service, by specifying the StreetTalk service name (with or without wildcards).
- Both file and directory Access Rights Lists.
- Services. All server based services are backed up with certain startup information, descriptions and the like. On restore, services are transparently recreated and started.
- Groups.
- Users and their profiles and security settings (including locational and time restrictions).
- Banyan extended attributes.
- Nicknames.

A complete server can be backed up with a single specification.

Server date and time can also be optionally synchronized to the host date and time when performing backups (see the Advanced Configurator section).

To use these facilities you must purchase the LAN version of FDR/UPSTREAM for Banyan.

To back up a Banyan VINES file server there are several issues which you must address:

- Planning.
- Backups by StreetTalk name
- Restores by StreetTalk name.

This chapter discusses these issues.

# 17.2. Planning

Banyan servers allow PCs to share disks, printers and other resources. FDR/UPSTREAM allows the backup and restore of most Banyan server features through the specification of StreetTalk names or server names.

Planning to backup a Banyan server requires:

- Understanding StreetTalk and FDR/UPSTREAM's extended StreetTalk naming convention.
- Security considerations.
- Planning what and when to back up.
- Open files
- Restrictions

It is assumed that you understand Banyan features and facilities and that you know the organization and naming conventions of the servers that you wish to back up.

### 17.2.1. StreetTalk

StreetTalk is Banyan's internal method of specifying all entities stored on a server. The format of a StreetTalk name is:

```
<Item>@<Group>@<Organization>
```

FDR/UPSTREAM has extended this naming convention further by adding file names after the StreetTalk name to allow specification of files within file services.

```
<Item>@<Group>@<Organization>\<file specification>
```

If there are no file services defined, then the <file specification> is ignored.

Any one of the four entities above can have wildcards. For example, if you wished to back up all the files and services in the "Test Group" group in the "Test Org" organization, you would specify:

```
*@Test Group@Test Org\*.*
```

The first step in planning a backup strategy is to determine which items, groups, organizations and files you wish to back up.

FDR/UPSTREAM runs on a workstation, not on a server. Since StreetTalk allows you to identify objects regardless of their location (through the use of wildcards), you can use a single workstation to back up several servers.

### 17.2.2. Complete Server Backup

The extended naming convention can also be used to back up a complete server. The format for specifying a complete server is:

```
&<server name>\<file specification>
```

For example, if you wished to backup the PAYROLL server (including all files within all file services), you would specify:

```
&PAYROLL\*.*
```

FDR/UPSTREAM will find all the groups on the server and back up all the StreetTalk information for those groups. FDR/UPSTREAM will then search for all services that are maintained on that server.

The data is stored on the mainframe using the standard Banyan StreetTalk names. Thus you must perform restores using the StreetTalk name, not the server name.

### 17.2.3. Security

Membership in the AdminList of a group allows you access to the items in the group. The MLIST program allows you to manipulate lists, including the AdminList. You must define a user which is in the AdminList of all the groups you wish to back up. It is recommended that you not put lists in the AdminList of a group.

Access Rights Lists determine security within a file service (which is itself a group item). The SETARL program lets you allows access to files or directories in a file service. If you have defined limited security to files or directories in a file service, you will have to set up a user who has write access (which may require an entry in the Extended List) to all the files you wish to backup.

You must be logged on as a user which is both a member of the AdminList of any group that you wish to back up and has write access, as determined by the Access Rights List of a file or directory for files within a service.

To assure that *all* the Banyan specific information that you need is backed up as well as managing issues caused by the large size of many Banyan server networks, backing up Banyan file servers require special considerations not found when backing up single workstations. These issues are discussed below.

### 17.2.4. Planning what and when to backup

Banyan file servers tend to be very large. This may require a complex plan. You should consider:

- The real performance of FDR/UPSTREAM. You may need to optimize FDR/UPSTREAM to handle this large amount of data. The performance appendix can help you get the best from your environment.
- What your “window” is. This is the number of hours during which you can do backups. For many users, complete backups are done only on weekends. Effective utilization of your time window helps you get the most from FDR/UPSTREAM.
- How often you *need* to perform complete backups. You may want to perform complete backups daily, but an analysis of your requirements may show that weekly or even monthly complete backups are adequate based on a realistic appraisal of your needs and the use of incrementals. Or you may find that complete backups should be performed over a period of several days (by backing up individual directories).
- How many machines to use in the backup. Multiple servers are often best backed up by multiple PCs.

### 17.2.5. Open files

The next aspect of planning should be to assure that all required files are closed when the backup is performed. This is best done manually be requiring that all users detach from their applications before leaving each night.

### 17.2.6. Reducing StreetTalk Information

If you wish, you can reduce the amount of StreetTalk information that FDR/UPSTREAM includes in a backup in the following ways:

- User location and time restrictions are not included.
- StreetTalk attributes are not included.

You may want to do this to save on MVS space, improve backup performance, or eliminate problems if you are getting errors accessing any of the above features.

Enable this feature by setting the environment variable **STLITE** to any value. For example, on the command line before running FDR/UPSTREAM enter:

```
SET STLITE=Y
```

OS/2 users can put this in the CONFIG.SYS if you are starting FDR/UPSTREAM from the host or remote PC.

### 17.2.7. Restrictions and Warnings

FDR/UPSTREAM will back up the vast majority of Banyan server features. However, there are a small minority of features that FDR/UPSTREAM cannot back up. These include:

- Mail entries. The mail service will be backed up, but the actual mail itself will not.
- Service information. Several types of services require that you make certain specifications specific to that service. For example, SNA services requires PU and LU definitions. FDR/UPSTREAM does not back these up. For most of these features, the amount of configuration information is actually quite small and can usually be written down.
- You cannot use the List and Restore facility to restore StreetTalk information. You must use the old Restore facility.

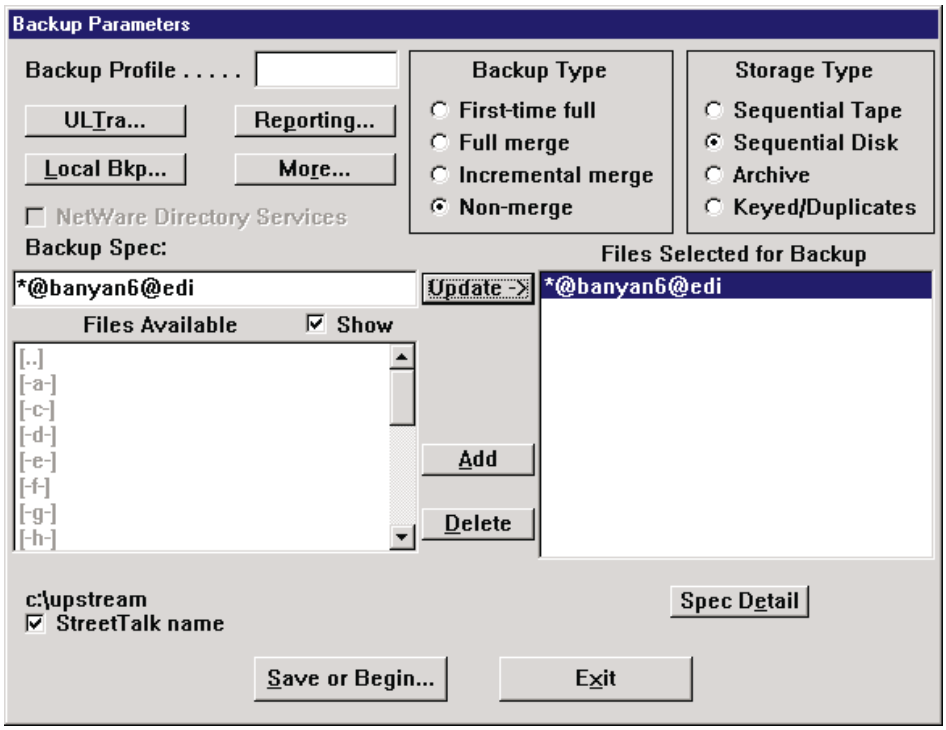
Some warnings:

- If you are recreating a file service, you may see FDR/UPSTREAM message number 2632 indicating that the service is not responding. In most cases the restore will continue and work.

  In some cases you may see a FDR/UPSTREAM message number 2645 indicating that the file service was unavailable when FDR/UPSTREAM attempted to dynamically map the drive. This occurs because the server has not completed creating the file service when FDR/UPSTREAM asks for the drive to be mapped. This will be followed by a FDR/UPSTREAM Message number 2140 indicating that the restore cannot continue. You should rerun the restore as specified to restore the files within the file services.
- If you are using FDR/UPSTREAM and the Banyan services extensively in OS/2 you may run our of RPC ports. This is an internal Banyan error and requires a reboot.
- If you are backing up StreetTalk only (no file services included) to sequential disk, the size will be allocated incorrectly and most likely the backup will fail. The Advanced FDR/UPSTREAM section discusses ways to avoid problems with sequential disk backup under and over allocations.
- Windows NT cannot map some file services. UPSTREAM will normally report a fatal error if a file service cannot be mapped. If you wish UPSTREAM to continue, specify the environment variable **USBANIGNOREMAPERROR** (set to any value).

# 17.3. Backups by StreetTalk name

Banyan server backups proceed as standard backups (see Your First Backup chapter). You select the Backup dialog and you specify overall backup parameters which include options specific to Banyan server backups .




In the **Backup Spec** field, enter a StreetTalk name and check the **StreetTalk name** check box. By checking this box, you have told FDR/UPSTREAM that you are entering a modified StreetTalk name. When you check the StreetTalk name check box the list box of file names becomes grayed and unavailable.

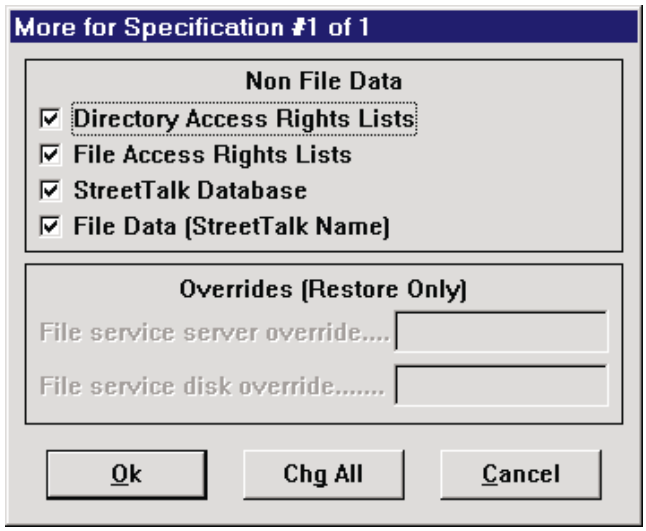
This name can have wildcards in the StreetTalk portion and in the file name portion. In the example above, all the StreetTalk items in the “banyan6” group in the “edi” organization will be included as well as all the files in the root directory of each of the file services.

For backups, you can also specify a complete server by prefixing the server name with an ampersand (‘&’). For example, to back up the PAYROLL server, enter &PAYROLL*.* in the Backup Spec field.

The remaining parameters in the dialog refer to the files in all the file services that match the specified StreetTalk name. In the example above, the archive bit will not be reset, the files will be backed up regardless of the initial state of the archive bit (incremental), there will be no date limit, no subdirectories will be checked off of the root and no hidden files will be included.

If you press the Exclude radio button, and you specify a StreetTalk name (with wildcards), the StreetTalk names which match your specification will be excluded from the backup. If you wish to exclude files in file services, you should use the generic drive letter (‘#’) to specify files on any drive.

You selectively specify Banyan features in the **Spec Detail...**, **More...**, **Banyan...** dialog.




Each of the Banyan check boxes are significant (the values in the figure above are the default values, not necessarily the recommended values):

- ☐ **Directory Access Rights Lists:** When you check this box, FDR/UPSTREAM will include the Access Rights Lists for all directories encountered in the backup or restore. The default is checked and most Banyan users will want to check it.

- ☐ **File Access Rights Lists:** When you check this box, FDR/UPSTREAM will include the Access Rights Lists for all files encountered in the backup or restore. As this has quite a bit of transfer and storage overhead associated with it, unless you use file Access Rights Lists specifically, you should not check it. The default is checked; you may want to uncheck it to improve performance.

- ☐ **StreetTalk Database:** Even if you check the StreetTalk check box, you will need to check this box as well if you wish to include the StreetTalk information (users, groups, etc.) in the backup or restore. If you do not check this box, only the files in the file services will be backed up or restored. The default is checked.

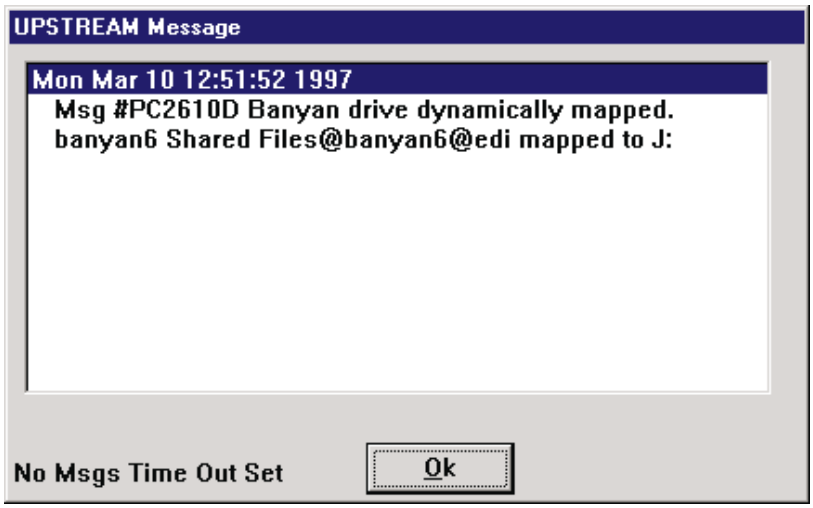
- ☐ **File Data (StreetTalk name):** If you check this box the files in the file services will be included in the backup or restore. Otherwise, only the StreetTalk database will be included in the backup or restore. The default is checked and most Banyan users will want to check it.

The fields in the **Overrides (Restore Only)** are grayed unless you are specifying a restore:

- ☐ **File service server override (BANYANSERVER parameter):** Only used for restores, if entered, specifies that a file service should be created on a different server than the one that it was originally backed up from. The default is blank, which indicates that the service should be restored to the original server.

- ☐ **File service disk override (BANYANDISK parameter):** Only used for restores, if entered, specifies that a file service should be created on a different disk than the one that it was originally backed up from. This field is case sensitive and should be entered with the leading slash (for example: “/disk1"). The default is blank, which indicates that the service should be restored to the original disk.

A StreetTalk backup that includes files services will cause FDR/UPSTREAM to dynamically mount drives . In most cases you will not need to be concerned with this; it is merely informational.



# 17.4. Restores by StreetTalk name

FDR/UPSTREAM restores and inquiries of Banyan StreetTalk information is easy and transparent. This section will show you the differences between a standard inquiry and restore and a Banyan inquiry and restore. It will be helpful to review the UPSTREAM Program chapter's discussion of the Restore and Inquiry (old) function so as to help you understand the non-Banyan operation.

## 17.4.1. Introduction

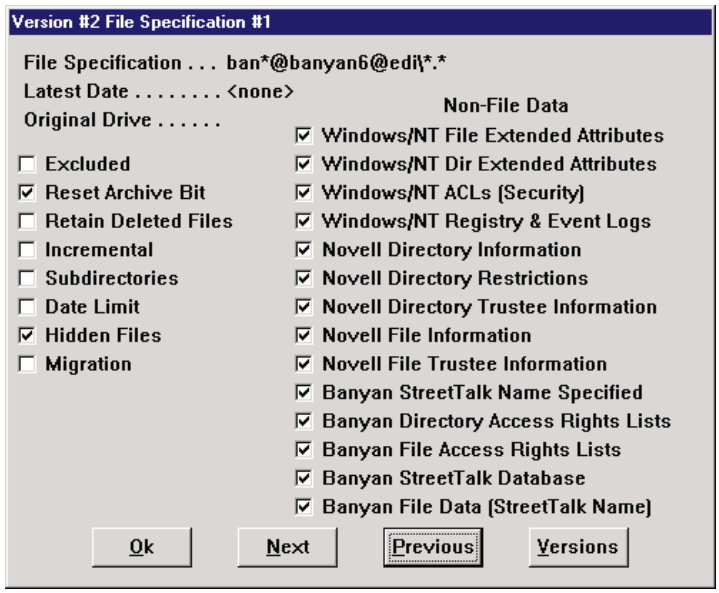
FDR/UPSTREAM storage of Banyan information is in two parts:

- **The StreetTalk information.** This includes the StreetTalk database (users, service definitions, etc.) and all of its attributes (login location limits, service definitions, login location limits, etc.). This is stored on the host using the Banyan 3 part name. These names are actually stored inverted (@<org>@<group>@<item>) to allow wildcarded searches but are specified in the normal way. You can only perform inquiries and restores of StreetTalk information using the Restore and Inquiry (old) facility.
- **Files within a file service.** These are your DOS, Windows, OS/2, UNIX, etc. application files. They are stored on the host using the format: !:\<StreetTalk name\<path and file name>. For example, you can restore the files in the "Test Service@Banyan6@Org" file service using the file spec: "!:\Test Service@Banyan6@Org*.*:" You can use either "List and Restore" or "Restore and Inquiry (old)" to perform restores of file data using the StreetTalk name. Note that the file service must already exist (but not necessarily attached; UPSTREAM will map the drive internally) to use this format.

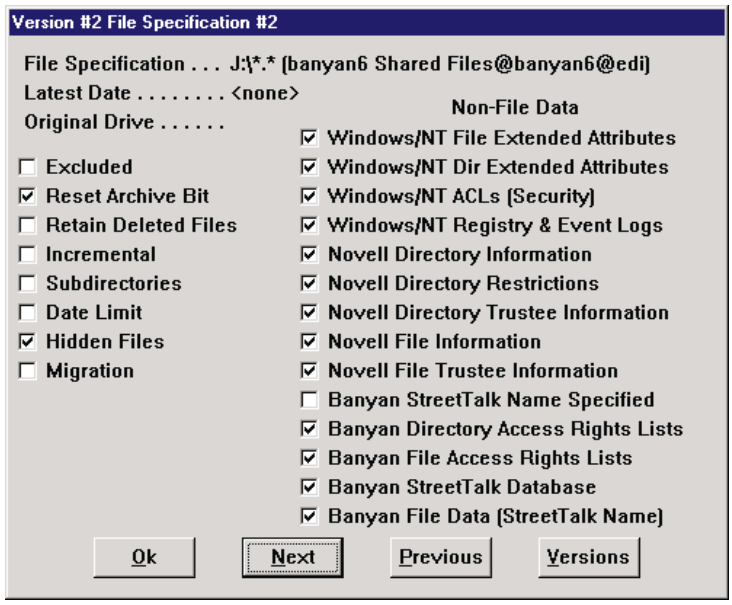
This section will discuss the "Restore and Inquiry (old)" facility (which is the more complete) and then go onto describing restore of file data using "List and Restore" (which is somewhat easier to use).

## 17.4.2. Version Inquiries

Version inquiries of Banyan backups that include StreetTalk file services are slightly different than standard inquiries. To perform a version inquiry, follow the Restore and Inquiry (old) procedures for entering the first restore screen. Press the **<Inquire Backups>** button when you have entered the relevant parameters. Then select the version to view in the list box and press the **<Details>** button to see the version information, then highlight the first file spec and press the **<Details>** button.




Note that the file specification is your original Backup Spec and that the Banyan StreetTalk Name box is checked. For every file service that is included in your original specification, you will have additional File Specs which were automatically created by FDR/UPSTREAM. You can see these by pressing the **<Next>** button.

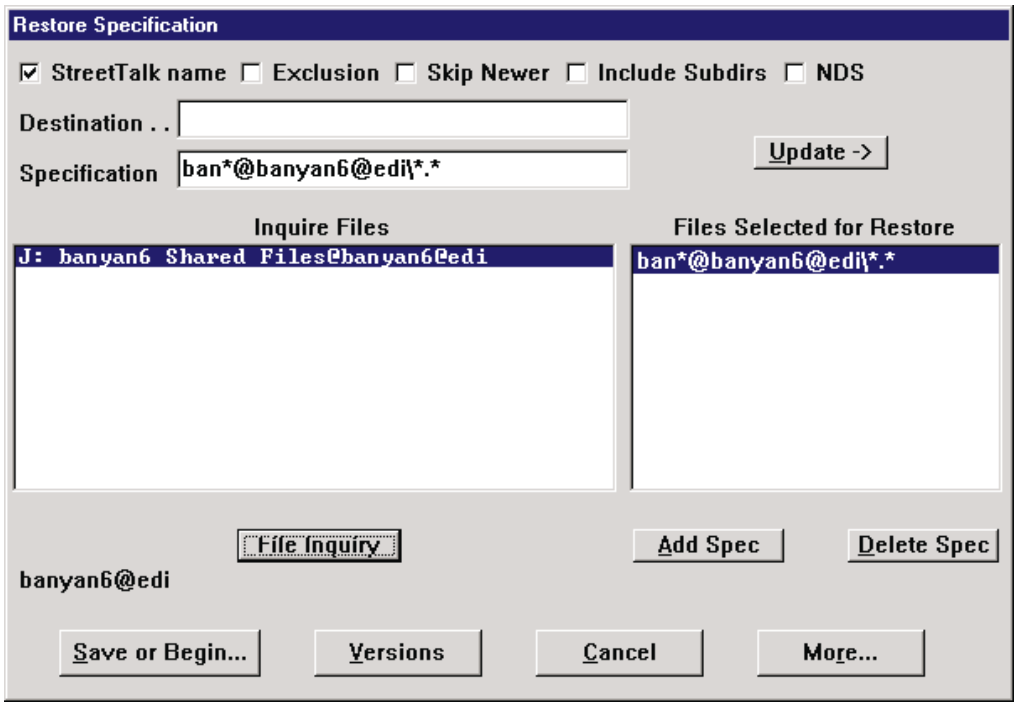



Note that the dynamically created drive letter is followed by the file specification portion of the original StreetTalk name. Also, the file service name is specified in parens, in inverted name order. Note that you can not use the dynamically created drive letter for the restore.

### 17.4.3. File Inquiries

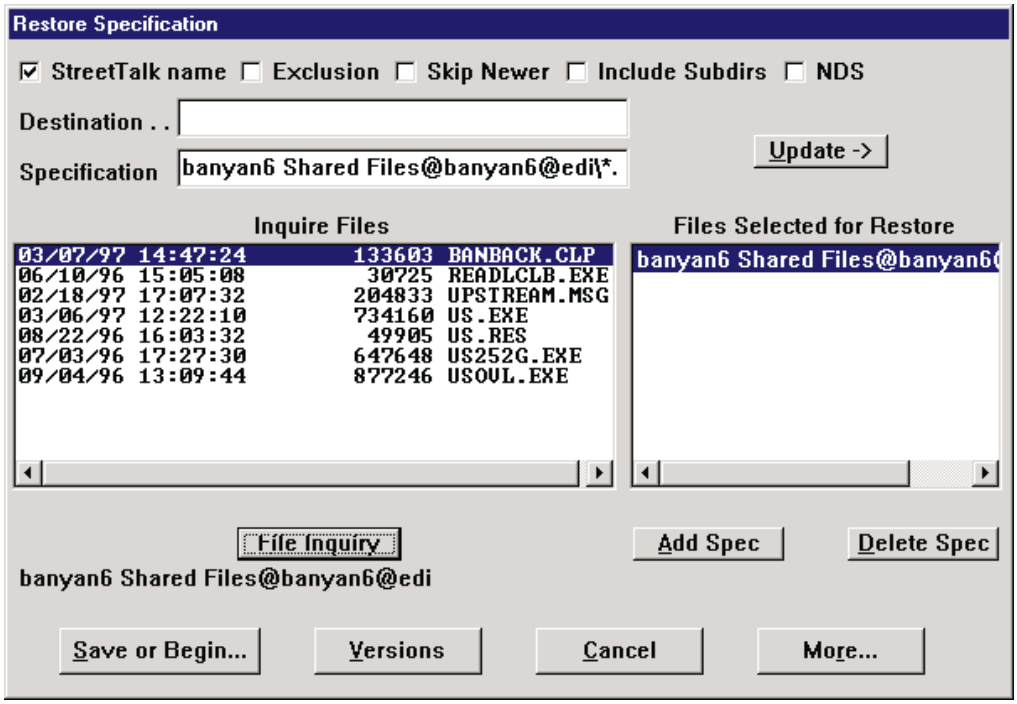
File inquiries are performed in the restore file specs dialog. Enter the restore dialog, perform a version inquiry and select a version. Then press the **<File Inquiry>** button to enter the file specs dialog.

As for the backup, if you check the StreetTalk name(s) check box, you can enter a modified StreetTalk name. If you enter a modified StreetTalk name with one or more wildcards in the StreetTalk portion of the name, you will see the StreetTalk names of the names that match that specification. .




You can use the mouse or the arrow keys to select specific StreetTalk names listed in the Inquire Files list box.

If you specify a complete StreetTalk file service name then the wildcards (if any) that are specified in the file name are used for the inquire.




As with standard file inquiries, you can use the mouse or arrow keys to select further entries (such a subdirectories or parent directories) to inquire upon.

### 17.4.4. StreetTalk name Restores

As for backups, the **More...** button allows you the ability to select whether you wish to restore file service data, StreetTalk database information and Access Rights Lists. If you are restoring file services you can press the **Banyan...** button which allows you to indicate a different server or disk for the service(s) to be restored to.

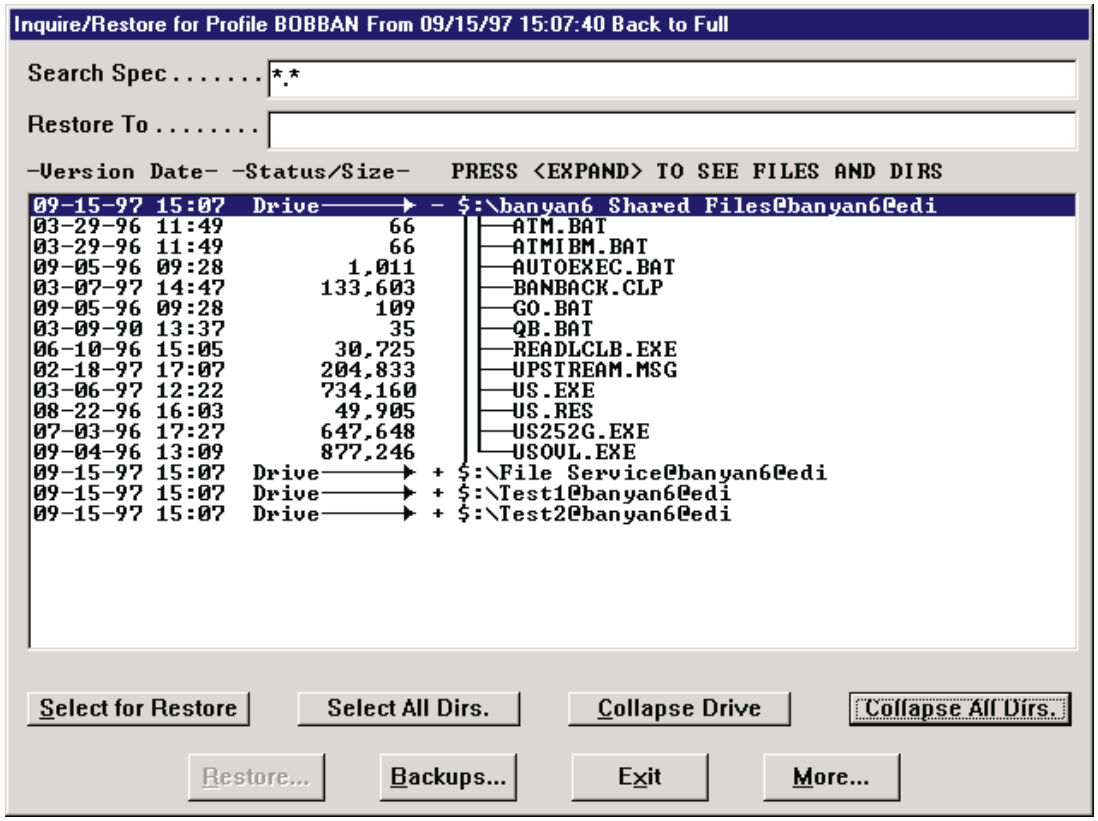
The **Restore Destination** field can be used in various ways to control how and where StreetTalk information and files are restored.

- If you do not specify a Restore Destination, the StreetTalk names and file services will be restored to their original locations.
- If you specify a Restore Destination which is a local drive (not a modified StreetTalk name) and you do specify a modified StreetTalk name for the Restore Specification, then the files within the file services will be redirected and the StreetTalk database information will not be restored. Note that the number of wildcards in the file portion of the StreetTalk name have to match the number of wildcards in the local drive specification.
- If you specify a different StreetTalk name in the Restore Destination than is in the Restore Specification then FDR/UPSTREAM will dynamically mount the specified file service. You cannot use wildcards to specify the StreetTalk name in either the Restore Specification or Destination, only in the file portion of the name. StreetTalk database information will not be restored.
- If you specify the same StreetTalk name in the Restore Destination as in the Restore Specification, you can optionally rename the file portion of the modified StreetTalk name.

A StreetTalk restore which includes file services will perform an automatic file inquiry and may also perform an automatic version inquiry as well if you check the Latest Version flag. Then the StreetTalk information will be restored followed by the file information.

### 17.4.5. List and Restore

Below is a sample Banyan "List and Restore".




Note that Banyan list and restores use the '$' drive letter and the first directory level is the StreetTalk file service name. List and Restores of Banyan file service data works in the same way as standard List and Restores with the following notes:

- The file service must already be created. If the service does not exist the restore will fail (use "Restore and Inquiry (old) if you need to create the service).
- You can redirect (using Restore To) to other StreetTalk file services using the same naming convention.
- You can redirect to local drives.
- You can use this restore format in unattended restores or host initiated restores.
- The "StreetTalk name" flag is automatically turned off. StreetTalk is assumed when the '$' drive letter is defined for restores.

# 18 Disaster Recovery

## 18.1. Introduction

FDR/UPSTREAM flexibility is demonstrated as its use not only as a backup/restore utility, but it also integrates very nicely into an organization's disaster recovery plans.

This chapter addresses some of the relevant issues of FDR/UPSTREAM and disaster recovery planning and implementation. At the end of this chapter is a worksheet to help get you set up.

This chapter discusses many phases of disaster recovery, assuming the loss of both the mainframe and the PC or file server. If your organization has plans which cover one or more of these issues already, you should still read these sections to be sure that all of the significant issues are covered.

An important method for disaster recovery, physical disk backups, is discussed only briefly in this chapter, you should see the *UPSTREAM/SOS* and *Physical Disk* chapters for more information.

# 18.2. When a Disaster Occurs

A disaster is defined as an event which causes the physical destruction or loss of access to your normal working environment. FDR/UPSTREAM is a superior product for the recovery of a PC or file server which is lost in the event of a disaster.

At the time when a disaster occurs, recovery of a PC or LAN file server consists of the following:

- Recovery of the MVS hardware and system software.
- Recovery of FDR/UPSTREAM MVS.
- Accessibility to FDR/UPSTREAM MVS of your PC data.
- Recovery of the communications environment.
- Recovery of the PC and/or LAN file server hardware and system software.
- Recovery of your PC or LAN File Server.

### 18.2.1. Recovery of MVS

This is usually covered in your standard disaster recovery plan and is outside the scope of this chapter.

### 18.2.2. Recovery of FDR/UPSTREAM MVS

FDR/UPSTREAM MVS software can be recovered using a standard host dump/restore facility (FDR, etc.) or can be reloaded from the original tapes. If you will be recovering FDR/UPSTREAM from the original tapes, you will need the authorization ZAP and all update ZAPs at the disaster location.

The issues involved with the initial FDR/UPSTREAM installation should be resolved in advance including sufficient space for the control files and PC file data, authorized libraries, etc.

### 18.2.3. Accessibility of UPSTREAM MVS to your PC Data

There are two (or three in some cases) control clusters and a set of disk and tape files which need to be available for disaster recovery.

For the control files, you can choose to either save and restore them in their entirety if you have the original tapes. If you choose to use copies of the original tapes, reinitialize the control files from scratch and use the RE-GEN utility to re-enter the control information from the backup tapes and disk files.

We strongly recommend that you use the **Vaulting** facility described in the FDR/UPSTREAM MVS manual as a method of generating off-site tapes. This facility not only makes the copies of the sequential disk and tape datasets for transport to the off-site disaster recovery site, but also saves the control information in such a format that the REGEN of the data is very fast.

The PC data which is saved on sequential disk may be recovered using a standard dump/restore utility (such as FDR) or may be individually copied to tape and recopied to disk at the disaster site individually.

For PC data which is saved on sequential tape or ARCHIVE backups which have been archived to tape, you may take the original tapes or if you have copies of your tapes use the REGEN utility to modify (or reenter) the control information to reflect changed VOLSERs and tape boundaries; again the vaulting facility is the recommended method.

Your decisions must be influenced by the following concerns:

- The REGEN process can take some time, particularly when used against un-vaulted datasets. While it has been optimized for high performance, the need to perform potentially millions of insertions through a large number of disk or tape files may take an unacceptably long time.
- When using vaulting, it is recommended that you take the original control clusters to the disaster site as a large number of modifications of clusters is much faster than a large number of insertions.
- REGEN (including vaulting) does not work with KEYED or ARCHIVE backups which are resident in the FILE DATA cluster.
- You can take your original tapes to the disaster site or copies of the tapes (made with IEBGENER or some similar utility. Tapes which were copied using IEBGENER, must be re-entered into FDR/UPSTREAM MVS through the REGEN utility as FDR/UPSTREAM MVS maintains exact tape information (VOLSER) which is lost in a copy.
- Sequential disk files (GDG or non-GDG) only require a REGEN if they go to a different device type or have a different file name.
- If you send the original tapes to the disaster site, you will only have copies at the standard site. This makes local recoveries difficult as you will have to REGEN them whenever you wish to perform a restore.

Basically the issues you must decide are:

- Take the original control files or rebuild them from scratch.
- Take copies of tapes or the originals.

We recommend:

- Take vaulted copies of disk and tape datasets containing PC data to the disaster site. Originals are required for restores and for merge backup processing and while the REGEN may take some time, improved performance in normal operation is generally preferable.
- Take full dump copies of control files and PC data files to the disaster site. This saves time in disaster recovery and has no impact on normal operations.

### 18.2.4. Recovery of your Communications Environment

FDR/UPSTREAM PC and MVS systems must be able to communicate. But the method of communications does not have to be the same as you use in your standard production environment.

You will need the connectivity hardware and the software configured properly in all nodes. This means VTAM, 3174 (if any), and PC configurations must be in place and tested in advance.

### 18.2.5. Recovering the PC Hardware and Software

At your disaster site you must have access to hardware which you can install FDR/UPSTREAM on (which supports your communications environment) and hardware for the LAN file server.

Recovery of the FDR/UPSTREAM PC requires the availability and installation of the operating system (DOS, OS/2, Windows or Windows/NT). In all cases this must be performed using the operating system vendor's installation tools.

Note that this step, and many of the subsequent steps can be skipped through the use of physical disk backups. See the *FDRSOS/Physical Disk* chapter for more information.

Installation of FDR/UPSTREAM will usually be performed from diskette. Communications software will usually be installed using the software vendor's standard methodologies. Configuration files can be set up in advance and taken on diskette to the disaster site.

Specific information for LAN server recovery by vendor is provided later in this chapter.

### 18.2.6. Recovery of your PC or File Server

Once you have the FDR/UPSTREAM PC installed and configured you can restore your PC disk or server files. Again, server control information is discussed later in this chapter.

When recovering a PC or file server you can run multiple simultaneous restores if you run multiple copies of FDR/UPSTREAM and your data is stored on the host in either the FILE DATA clusters (KEYED or ARCHIVE backups which haven't been archived to tape) or sequential disk. This may help in faster recovery.

**NOTE: You may want to isolate the most critical data and selectively restore it first to allow users to begin work as soon as possible.**

# 18.3. Recovery Issues

Each operating system has certain issues which are specific to it relating to disaster recovery.

### 18.3.1. Novell NetWare

If you are running FDR/UPSTREAM on a workstation, you must recover a workstation which will run FDR/UPSTREAM first. This workstation must have the Novell requestor as well as host communications.

If you are running FDR/UPSTREAM on the server, you must first install the server operating system before you can install FDR/UPSTREAM and begin the recovery. The only exception to this is if you have a physical disk backup of the disk, you can use the DOS ULTra recovery procedures to perform a physical disk restore thus recovering the operating system. See the Novell chapter for more information.

The operating systems for NetWare v3.x servers do not have to be completely recovered before FDR/UPSTREAM restores can begin. FDR/UPSTREAM can recover the entire server once the first two disks have been installed and the LAN and disk systems have been configured. See the Novell chapter for more information.

Also, you must restore the bindery files for a NetWare v3.x server or the NetWare Directory Services for a NetWare v4.x server before you begin restoring data to assure that trustees and like information are properly restored. Again, see the Novell chapter for more information.

Note that for a NetWare v4.x, or v5.x server you must install the USNDS NLM (for non-UPSTREAM NLM restores) before you can restore Directory Services.

### 18.3.2. IBM or Microsoft LAN Server or OS/2 Workstations

You can either run the ULTra OS/2 recovery methodology (see the ULTra chapter) or if you are running FDR/UPSTREAM on a single PC or for some other reason can not use the ULTra recovery methodology, you must manually recovery the OS/2 operating system and host communications be running before beginning the UPSTREAM restore. We also recommend the standard IBM LAN Server install as it makes modifications in numerous configuration files which manual modification would be dangerous.

To restore the security system, restore NET.ACC in the \IBMLAN\ACCOUNTS directory. See the IBM LAN Server chapter for instructions on activating this file.

At this point you can restore the user’s files and HPFS386 access rights.

### 18.3.3. Microsoft NT and NT Advanced Server

FDR/UPSTREAM requires that NT and host communications be running before beginning the restore. We recommend that you use the standard NT recovery procedures which include the use of an Emergency Repair Disk and a copy of the latest service pack installed on your system. This will insure that your LAN, disk and other drivers are properly configured.

Note that it is unnecessary to install the service pack you were running, but you must check the **Rename failed**

**files** and **Replace immediately or after reboot** options in the UPSTREAM restore panel just before beginning the restore.

At this point reinstall, configure and test your host connectivity software (SNA Server or TCP/IP) and reinstall and configure FDR/UPSTREAM. Recover your registry hives first and then your user files. This process is discussed fully in the Windows (32-bit) and *Windows NT Server Considerations* chapters.

If you wish, you can use a single NT PC to recover the files for multiple servers.

### 18.3.4. Banyan Vines

Since FDR/UPSTREAM does not run on the server, you recover a workstation which will run FDR/UPSTREAM first. This workstation must have the Banyan requestor as well as host communications.

Each server to be recovered must be operational. Load the operating system for the server using the standard Banyan facilities, giving each server its original name. Once the server is up and running, log in from the FDR/UPSTREAM PC (as the default administrator). You can now restore the server data. Restores must be performed by StreetTalk name to assure that the StreetTalk database is properly rebuilt.

Some services such as SNA services must be reconfigured (though they will be recreated). Users will be properly defined with all security, but they will have no password. As they login they should be encouraged to create a new password

### 18.3.5. Windows 95/98

You can either run the ULTra Windows 95/98 recovery methodology (see the ULTra chapter) or if you are running FDR/UPSTREAM on a single PC or for some other reason can not use the ULTra recovery methodology, we recommend that you manually recover the Windows 95/98 operating system including the use of the recovery diskette created during the install to properly recover device support.

You must then install and configure your host connectivity and install and configure FDR/UPSTREAM. Once you have FDR/UPSTREAM operational, you can use it to recover your applications

# 18.4. Recovery Worksheets

The following table lists MVS issues which should be dealt with for proper disaster recovery using FDR/UPSTREAM.

| Description | Value |
|---|---|
| FDR/UPSTREAM MVS software to be recovered using original tapes or standard backups. | |
| VTAM APPL definition for FDR/UPSTREAM MVS available. | |
| Authorized library available. | |
| FDR/UPSTREAM MVS configuration table available. | |
| Control files to be recovered using standard backups. | |
| Tapes containing PC data copied using IEBGENER or the Vaulting facility (requiring REGEN) or originals at disaster site. | |
| Sequential datasets containing PC data retain original names and device types, or some change (requiring REGEN). | |
| Communications definitions for PC connections defined and known (including 3174 and other hardware specific definitions). | |

**Table 1**
**FDR/UPSTREAM MVS DR Considerations**

The following table checklists PC side considerations for disaster recovery.

| Description | Value |
|---|---|
| PC hardware available. | |
| Operating system diskettes or CDs available. | |
| Operating system corrective service packs (if needed) | |
| Server hardware available (if separate). | |
| Server operating system diskettes or CDs available. | |
| Server operating system corrective service packs (if needed) | |

| Description | Value |
|---|---|
| Host connectivity hardware and software available. | |
| Host connectivity parameters available. | |
| Critical data determined for quickest recovery. | |

**Table 2**
**FDR/UPSTREAM PC DR Considerations**

# 19 UPSTREAM/SOS

## 19.1 Introduction

FDRSOS and UPSTREAM/SOS are designed to backup Open Systems data stored on EMC$^2$ Symmetrix 3000-series and 5000-series Storage Subsystems. The backups are directed to the UPSTREAM/MVS which are then stored on host DASD or tape.

This product suite has been made possible through a teaming agreement between Innovation Data Processing and EMC Corporation. Under this agreement, EMC has produced the Symmetrix 3000-series and 5000-series ICDA (Integrated Cached Disk Array) Storage Subsystems and the Enterprise Storage Platform (ESP) option. This combination allows access to Open Systems data on the Symmetrix from both SCSI and S/390 channels.

Normal MVS access methods cannot read or write data on the Open Systems volumes via the S/390 channel. However, under its part of the teaming agreement, Innovation Data Processing has produced FDRSOS and UPSTREAM/SOS to utilize special I/O techniques to read the Open Systems volumes in the Symmetrix and transmit backup data across the S/390 channel for storage at the MVS host. The S/390 channel is used in preference to slower network links like SNA/APPC or TCP/IP.

At the end of this chapter are SOS and physical disk notes specific to a number of different operating systems including:

- Windows NT (page 19-24).
- Novell (page 19-36).
- AIX (page 19-41).
- HPUX (page 19-46).
- Solaris (page 19-49).

### 19.1.1 Why Use FDRSOS and UPSTREAM/SOS

An Open System workstation/server, with data on the Symmetrix, and which has a network connection to the MVS host, could of course utilize a standard MVS-based LAN backup package (like FDR/UPSTREAM) to do its backups to MVS.

Data would be read from the Symmetrix and transmitted over to the MVS host across the SNA/APPC or TCP/IP network link. Performance would greatly depend on the bandwidth of the network connection, as well as other elements making up the network link (e.g. network card, 3172/3174/3745 control unit performance, network loading etc., etc.).

FDRSOS and UPSTREAM/SOS utilize the network connection only for the synchronization between the software running at both ends of the link. The actual backup data goes across the S/390 channel connecting the Symmetrix to the MVS host. This channel may be Escon or Parallel bus/tag.

The advantage of FDRSOS and UPSTREAM/SOS, when compared with regular FDR/UPSTREAM, is speed. The bandwidth of a S/390 channel far exceeds that of most SNA/APPC or TCP/IP connections.

### 19.1.2 Introducing FDRSOS

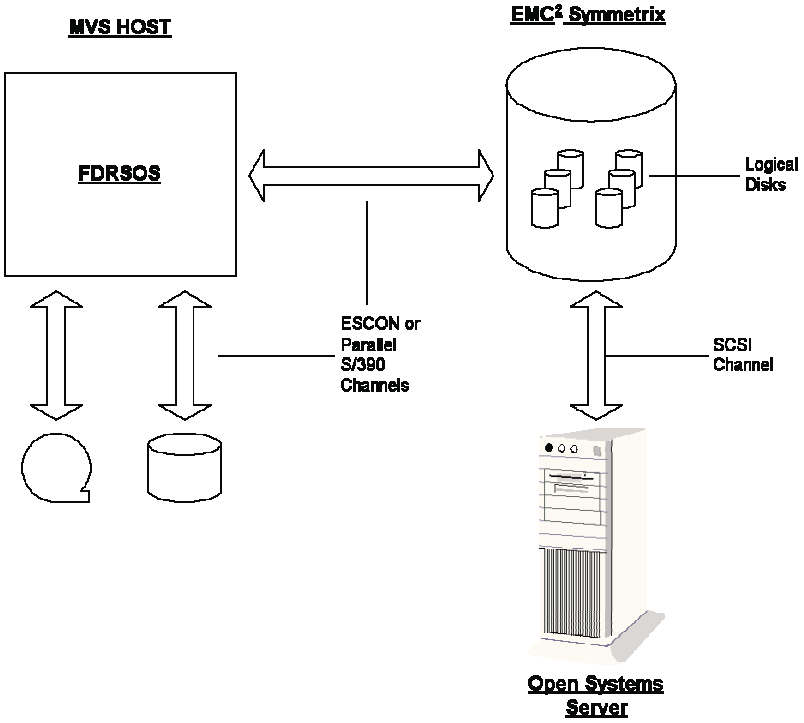
Let's first take a look at the FDRSOS component of the suite.

Running under MVS, FDRSOS can be used to backup and restore logical disk volumes in the Symmetrix, which are being used as SCSI disks by a Unix, PC/LAN Server or PC/Workstation.

As described in the Introduction, the backups/restores of this Open Systems data are transmitted at very high speeds by FDRSOS across the Symmetrix's S/390 channel (Escon or Parallel) to the MVS host. FDRSOS backups can be output directly to MVS tape or DASD.

FDRSOS uses the Data Management strengths of IBM's S/390 hardware and MVS software to provide high-speed, well-managed backups of Open System's data.

The following diagram shows FDRSOS components:




For FDRSOS to be able to read the Open System logical disk volumes in the Symmetrix via the S/390 channel, the following tasks need to have been carried out

- The Enterprise Storage Platform (ESP) option installed on the Symmetrix.
- The S/390 channel connection (Escon or Parallel) made to the MVS host.
- The Open Systems Logical Disk Volumes 'defined' to MVS.

Normally (but not always) the 9/23Gb physical disk drives in the Symmetrix are sub-divided into smaller Logical Volumes for use by the Open Systems. Volumes of 2-4Gb in size are often created and it is these Logical Volumes which FDRSOS is able to backup and restore.

Each separate Logical Volume must first be defined to the MVS I/O configuration (IOGEN). This is a simple one time task. The Logical Volume is given a standard MVS address and it can be generated as either a 3380 or 3390 (it is not important to FDRSOS). The OFFLINE keyword is specified so that the volume remains OFFLINE to MVS during an IPL.

MVS itself cannot recognize an Open System Logical Volume and any attempt to bring one ONLINE would produce various MVS error messages. However, once a Logical Volume has been generated into the MVS I/O Configuration, FDRSOS is then able to access it (by its MVS address) using the special I/O techniques mentioned in the Introduction. FDRSOS is essentially able to access the volume - even though it remains OFFLINE to MVS.

FDRSOS is then able to write a label/volser, with a 'LABEL' command, to a reserved area on the Logical Volume. The 'LABEL' command also places the label/volser into the MVS UCB for the Logical Volume. The label, which is a standard MVS 6-character volser, is not used or recognized by the Open System. However, the presence of the volser in the UCB allows FDRSOS (through JCL) to access the offline volume referencing the volser instead of the MVS device address.

After an MVS IPL, the volser would be removed from the UCB (which is recreated at each IPL), so FDRSOS also provides a 'VARYON' command (not to be confused with MVS Vary On) which re-reads the volser from the Logical Volume and places it back into the UCB.

So, in summary, a Logical Volume must be LABEL'd before it can be accessed for backup/restore by FDRSOS. Then, after each IPL (or before each backup/restore) the FDRSOS 'VARYON' command must be run to ensure that the volser has been placed into the MVS UCB.

Once these functions have been completed, FDRSOS is then capable of backing up and restoring either the whole Logical Volume or selected tracks (not datasets – see FDR/Upstream/SOS later). FDRSOS can also ERASE and PRINT the data on the volume.

### 19.1.3 FDRSOS - Summary

To summarize FDRSOS's benefits:

- FDRSOS backups/restores can be taken at very high-speed – without suffering from traditional network connection bottlenecks. And, often more importantly, FDRSOS backups/restores can be taken without themselves further adding to network utilization and congestion.
- The Logical Volume backups, which are taken by FDRSOS, are ideal for Disaster Recovery protection. FDRSOS provides a truly viable Disaster Recovery solution for Open Systems data stored on the Symmetrix.
- FDRSOS backups can be written to MVS DASD or Tape. If on tape, the backups can be controlled by the MVS Tape Management System (TMS) that can optionally control offsite vaulting and retention requirements for these backups.

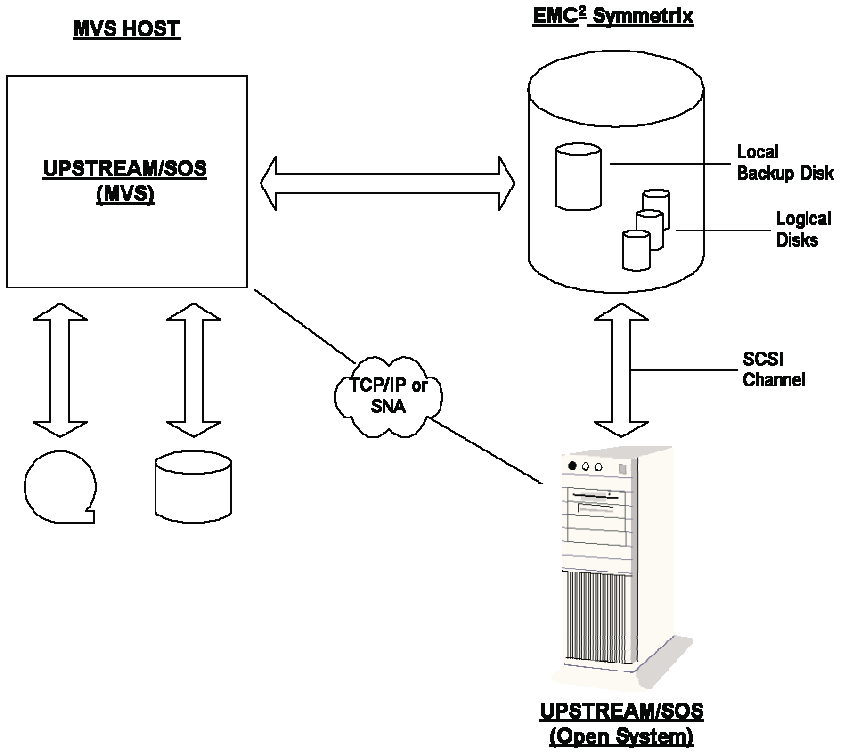
The FDRSOS backups of Open Systems data effectively become integrated into the corporate Disaster Recovery plan, along with existing MVS DASD backups. This allows for a consolidated and controlled Disaster Recovery Plan – the Open Systems data can be restored at the same time (and with the same well-documented procedures) as the MVS data.

### 19.1.4 Introducing UPSTREAM/SOS

FDR/Upstream/SOS provides FILE LEVEL support to the suite. It introduces an Incremental Backup scheme in addition to the Logical Volume backups taken by FDRSOS.

Like FDRSOS, UPSTREAM/SOS utilizes the S/390 channel between the Symmetrix and the MVS host for its data transfer. This differentiates it from other MVS-based LAN backup systems (like FDR/UPSTREAM) which utilize slower SNA/APPC or TCP/IP network connections for their data transfer.

The following diagram summarizes UPSTREAM/SOS operations:




**Note: UPSTREAM/SOS only uses the network connection for sending control/communication information between its MVS and Workstation/Server components. All data transfer goes across the S/390 channel connection.**

As you can see from the diagram, UPSTREAM/SOS consists of the following two components:

- **UPSTREAM/SOS (MVS), which resides on the MVS host.**
- **UPSTREAM/SOS (Open System)**, which resides on the Workstation/Server that owns the data on the Symmetrix.

The MVS component controls the creation, retention and expiration of UPSTREAM/SOS backups that reside on MVS Tape or DASD. The recording mechanism used by UPSTREAM/SOS for its backups is also resident on the MVS host and is controlled by the MVS component.

The Workstation/Server component, running under the Open System, carries out the incremental selection (and subsequent handling of Archive bits etc) of the Open System data stored on the Symmetrix. It uses the specialized file handling services inherent in the various Open Systems to select and process the files for daily incremental backup.

Once it has selected the relevant files for backup, the Workstation/Server component writes its backup data across a SCSI connection to a specially formatted 'FDRSOS Local Backup Disk' in the Symmetrix. You can see an example of this Local Backup Disk in the previous diagram. It is created by an FDRSOS job using the 'LOCALBACKUP TYPE=INIT' command.

While the Workstation/Server component is writing to the Local Backup disk, the MVS Component 'follows behind' and reads the backup data from the Local Backup disk via the S/390 channel. It does this using the same special I/O techniques employed by FDRSOS. It then writes the backup to MVS Tape or DASD, as required.

When the backup begins, UPSTREAM/MVS allocates the space on the local backup disk for the backup to be stored. Since each backup has its own space, multiple backups can be stored in the local backup disk. Multiple backups can be run simultaneously and can even come from different machines which have access to that disk.

Space for the backup is allocated based on:

- The estimated size of the backup.
- The maximum size specified for that profile (a default will be used if there is no specific profile definition).
- If space is short, then the size can be reduced based on a specified percentage.

UPSTREAM/SOS will wrap through the space allocated, reusing the space after the host has written the data to host disk or tape, if the amount of space allocated is insufficient for the backup (and you don't disallow it). There are some performance disadvantages in wrapping (generally 2 seconds in each wrap), wrapping allows you to backup data which is much larger than the local backup disk. If your backup wraps, the backup is deleted from the local backup disk when the backup has completed.

**NOTE: Data written to the local backup disk is always written to host disk or tape.**

Space on the local backup disk is freed when:

- You have exceeded the specified number of backups to store on the local backup disk (by profile)
- If you are short on space and have allowed UPSTREAM/SOS to delete prior backups (oldest first).

Restores work somewhat differently than backups. If the data exists on the local backup volume, it is restored directly from it without having to come from host disk or tape resulting in the fastest possible performance. However, if the data is no longer on the local backup volume, the data is restored from host disk or tape over the network.

You can specify the UPSTREAM/SOS parameter defaults when you format the UPSTREAM/SOS volume (using FDRSOS). You can later manage and maintain values for individual backup profiles and system defaults using UPSTREAM on the Workstation/Server.

### 19.1.5 UPSTREAM/SOS - Summary

To summarize UPSTREAM/SOS:

- The use of the FDRSOS Local Backup Disk and the S/390 channel means that it is truly viable to take regular (daily) incremental backups of very large Open Systems Volumes in the Symmetrix and store them on the MVS host.
- The UPSTREAM/SOS incremental backups can be used to complement the weekly Full FDRSOS backups – allowing both Volume Restores and File Restores at the home site, as required.
- UPSTREAM/SOS backups can also be included, along with FDRSOS backups, in the corporate Disaster Recovery plan. This allows for a more comprehensive (i.e. up-to-date) recovery of Open Systems data in the event of a disaster.
- UPSTREAM/SOS offers performance and feature advantages that may make it the viable solution for all of your full and incremental backup needs.

The following section describes the setup of UPSTREAM/SOS using both FDRSOS and UPSTREAM. Subsequent sections describe the use of UPSTREAM/SOS for backups and its integration with FDRSOS for fulls and incrementals.

# 19.2 UPSTREAM/SOS Setup

There are a number of steps in the setup of UPSTREAM/SOS.

- Get FDR/UPSTREAM installed and operational on both the host and workstation/server platform. This is described earlier in this manual (in the platform chapters) and the FDR/UPSTREAM MVS manual.
- Planning the Local Backup Disk
- Identify, from both the host and open systems side the disk you wish to use as your local backup disk.
- Use FDRSOS to format that disk.
- Identify the workstation/server name for the disk.
- Identify the local backup disk.
- Test and automate your backups.

### 19.2.1 Planning the Local Backup Disk

Planning the number of local backup disks and their sizes can be a somewhat complex matter which can be affected by the type and size of backups you perform, the availability of resources, etc.

In a perfect world with no monetary or resource constraints and for maximum performance we would recommend that:

- Each concurrently operating copy of UPSTREAM would have its own local backup disk on separate controller. This reduces controller and head contention when you have multiple simultaneous backups running.
- The local backup disk be large enough so that it never needs to wrap during a backup and that it can be large enough to hold an entire cycle (full and all incrementals).

However, since this perfect scenario is often not possible, some reasonable compromises include:

- When possible, multiple concurrently running copies of UPSTREAM have their own local backup disk. Head contention is the largest offender in performance degradation with UPSTREAM/SOS.
- Allow wrapping in all cases where recovery times are not critical. We generally recommend that a single backup allocation be at least 100MB, and 500MB to 1000MB is better for large backups.

When in doubt about sizing and disk allocation questions feel free to contact UPSTREAM technical support.

Generally the biggest performance bottleneck with UPSTREAM/SOS is reading the data from the disk. Thus we recommend that whenever possible that UPSTREAM be run on the machine that is physically attached to the disk. Also, UNC names will degrade performance on PC backups; use the drive letter for best performance.

### 19.2.2 Identify and Label the Local Backup Disk

It is quite important that you identify the disk you wish to use for local backups correctly from both the host and open systems side to be sure that you are not overwriting any existing data. Your EMC representative should be able to help identify the disk on both sides.

Note that on the open systems side you will need to know the disk number (for Windows NT and OS/2), the location in the /dev directory for UNIX; for Novell, the volume can only be determined after it has been formatted (see the *Novell Considerations* chapter for more information).

The local backup disk can not be a member of a metavolume. Metavolumes are seen by FDRSOS and UPSTREAM/MVS as multiple volumes and are not suitable for use as local backup disks.

For Windows NT, the disk can be identified after it has been labeled using the HOSTDISK program (described below).

The FDRSOS LABEL command includes an option, PRINT=STATUS, which can help in identifying the type of existing data on the disk. We recommend that this option be used before labeling and strongly recommend its use before formatting.

Use the FDRSOS LABEL command (TYPE=SOS) label the disk. The following information is from the FDRSOS manual and describes the LABEL command, we recommend that you see the FDRSOS manual for any updates.

**FDRSOS LABEL STATEMENT**

```
LABEL                    TYPE=SOS
                         ,BCV=IGNORE
                         ,PRINT=STATUS
                         ,PRINT=(STATUS,DIR)
                         ,PRINT=UCB
```

This statement requests a volume labeling operation. It must be the first statement in the input; only one LABEL statement is allowed per execution. LABEL must be followed by one or more MOUNT statements with the UNIT= and SETVOL= operands to specify the Open System volumes to be labeled.

The LABEL function must be executed against each Open System volume before it can be used by other FDRSOS functions. It assigns a volume serial to a volume and records that serial in an area of the volume reserved by EMC for FDRSOS use. You only need to execute LABEL against each Open System volume when:

- the Open System volume has not previously been used by FDRSOS
- you need to change an Open System volume serial
- the EMC hardware has been reconfigured changing the size or location of the Open System volumes so that the original volume serials have been lost
- a new EMC Symmetrix subsystem is replacing the original system (such as a replacement subsystem at a disaster site).

LABEL also stores the volser in the UCB of the Open System device so that it can be used in a DISKx DD statement in other FDRSOS steps. However, that volser is lost when your MVS system is reIPLed; after an IPL, the FDRSOS VARYON function must be executed before any FDRSOS backup, restore or print is performed.

The LABEL function is also useful as a diagnostic tool, to verify that your hardware and software configuration is correct so that the Open System volume can successfully be accessed by FDRSOS. Compared to other FDRSOS functions, LABEL will give additional diagnostic messages if it cannot access the volume. In fact, if a LABEL statement is used with a MOUNT statement with only UNIT= on it (no SETVOL= operand), it will validate access to the volume but will not change its volume serial.

Note: LABEL is not normally used with BCVs. Since BCVs are exact duplicates of their associated primary volume, they will have the same internal volser as that primary. However, it is possible to LABEL a BCV (with BCV=IGNORE) so that it can be used as a spare volume, e.g., a target for restore of an FDRSOS backup.

Operands:

- **TYPE=SOS** Must be specified on the LABEL Statement.

- **BCV=IGNORE** Normally, FDRSOS will identify all Symmetrix devices which are BCVs (Business Continuance Volumes). Since their usual purpose is to act as a mirror of another standard volume which can be detached for backup, they usually do not have their own volume serials and LABEL has no effect if executed against them. However, BCVs can be used as spare primary volumes.

- **BCV=IGNORE** will allow BCVs to be labeled with a volume serial you specify and used as a normal Open System volume.

- **PRINT= STATUS** requests that FDRSOS attempt to identify the type of Open System volume being labeled. If possible, it will display the type of Open System which created it, and other pertinent information about the format and contents of the volume.

- **PRINT=(STATUS,DIR)** in addition to the PRINT=STATUS displays, for some Open System platforms it will display the files and subdirectory names in the root directory of each volume or logical volume.

- **PRINT=UCB** prints the MVS UCB (Unit Control Block) and associated control blocks for each unit address specified. This is a diagnostic tool, used primarily to investigate disk access problems.

  Note: PRINT=STATUS and PRINT=UCB must be specified separately if both are required, e.g., PRINT=(STATUS,DIR),PRINT=UCB

  Note that the disk must be varied pseudo-online before it can be accessed by UPSTREAM/SOS.

### 19.2.3 HOSTDISK (Windows NT)

HOSTDISK is a Windows NT console program that helps you relate the Windows NT disk number (as used in the disk administrator and UPSTREAM/SOS) to the VOLSER placed on the disk by the FDRSOS label program.

What it does is use EMC specific SCSI commands to read the CE (customer engineer) specific tracks. These tracks consists of disk area which is not used by programs, but is for EMC specified use. EMC has allocated a range of space on these tracks for Innovation which FDRSOS uses to hold the host volume name.

The HOSTDISK program is on the FDRSOS floppy in the \NT directory and on the UPSTREAM CD in the \SOS\NT directory.

**WARNING: When run on BCV volumes, HOSTDISK may either hang or report the label for the last disk it was connected to. Thus, we recommend that it not be used for BCV volumes.**

HOSTDISK is a Windows NT console program which is generally run from a command prompt. The format for this program is:

```
HOSTDISK #<disk number> [/detail] [/trace]
```

Where:

- <disk number> is the physical disk number from 0 to the total number of disks.

- /detail is an optional parameter which displays some detail disk and adapter information.
- /trace is an optional parameter which should only be enabled under direction of Innovation Technical Support.

As input you must specify the Windows NT physical disk number. These numbers can be determined by running the Windows NT **Disk Administrator** program, in the Administrative Tools group available when you press the Start button. These are numbered from 0 to the number of physical disks in your system.

The Disk Administrator displays each disk (starting with number 0) in sequence followed by the allocation for that disk, which can be zero or more drive letters. Note that a number of physical drives can be combined into a volume set.

For example, by using the disk administrator you see that Disk #2 is your F: drive, you can see the host volume name by specifying

```
HOSTDISK #2
```

Which would display:

```
HostDisk - Determines host information for a given EMC drive
Test physical drive #2
FDRSOS MVS label: E#01E7
```

If you use the detail switch by specifying:

```
HOSTDISK #2 /D
```

You would see:

```
HostDisk - Determines host information for a given EMC drive
Test physical drive #2
Details enabled
Drive details for drive #2:
   Removable     : No
   Wide 16-bit   : Yes
   Wide 32-bit   : No
   Vendor ID     : EMC
   Product ID    : SYMMETRIX
   Revision Level: 5265
FDRSOS MVS label: E#01E7
```

### 19.2.4 Format the Local Backup Disk

After you have identified the disk it must be formatted for use by FDRSOS using the FDRSOS LOCALBACKUP statement.

We highly recommend the use of the FDRSOS LABEL PRINT=STATUS command to verify that it is the correct disk.

The following description of the LOCALBACKUP FDRSOS statement is from the FDRSOS manual. See the FDRSOS manual for updates and additional information:

**LOCALBACKUP STATEMENT**

```
LOCALBACKUP TYPE=INIT|UPDATE        ,MAX#PROF=nnn
LB          ,BCV=IGNORE             ,MAXBACKUPSIZE=nnnn
            ,DELMIGRATED=YES|NO     ,MAXFILESIZE=nnn|UNLIMITED
            ,DYNADDPROF=YES|NO      ,RETAINLB=YES|NO
            ,MINALLOC%=nnn          ,WRAP=YES|NO
```

This statement is used to initialize EMC Symmetrix disk volumes for use with FDR/UPSTREAM as FDRSOS local backup volumes, or to update default parameters on an existing FDRSOS local backup volume.

The disk(s) to be processed are identified by one or more MOUNT statements which follow. These disks must have been previous labeled by a FDRSOS LABEL statement. You must not change the volume serial of a local backup volume once it is in use; if you do so, all existing backups on the volume will be lost.

The operands on the LOCALBACKUP statement set options stored on the FDRSOS local backup volume which control FDR/UPSTREAM use of the local backup volume. For TYPE=INIT, all the operands (or their defaults) are used to set the options on the new local backup volume; TYPE=UPDATE will update options on an existing local backup volume and only those operands which appear on the LOCALBACKUP statement will be modified (other options keep their previous values).

Except for DYNADDPROF= and MAX#PROF=, all the LOCALBACKUP operands provide defaults for processing the FDR/UPSTREAM profiles which have backups on the FDRSOS local backup volume. Any profile newly added to the local backup volume will get these defaults. Facilities are provided with FDR/UPSTREAM on the Open System to add and delete profiles, change the values associated with any profile and change the defaults.

TYPE=INIT will normally initialize the entire volume for FDRSOS local backup use, but you can specify a SIZE= operand on each MOUNT statement to limit the space used to less than the physical size of the volume. The volume is initialized as a DOS disk partition with additional data used by local backup; SIZE= causes only the first part of the volume to be formatted as a DOS partition; the remainder of the disk can be defined from the Open System as additional partitions for other uses.

To avoid overlaying valid data, TYPE=INIT will fail if the disk currently has any file system format which is recognized by FDRSOS, including local backup data. If you want to take a volume which previously held data and use it for FDRSOS local backups, you will need to ERASE at least the first 1000 blocks of data (see ERASE in Section 210.05).

TYPE=UPDATE will not normally change the size of the existing FDRSOS local backup volume. However, if you specify a SIZE= operand on a MOUNT statement, and the value is larger than the SIZE= used to initialize the volume, the local backup volume will be updated to use the new size for local backups. Note that there is no checking to be sure that the additional space is not already used for other data. TYPE=UPDATE will fail if the disk is not already formatted for local backups.

**SUN SPARC SYSTEMS:**

When the local backup disk is attached to a SUN SOLARIS system running on a SUN SPARC processor, there are special procedures (they do not apply to SUN SOLARIS on an INTEL-type system):

- Unless the disk is brand new, never before used, you should erase the disk completely with the FDRSOS ERASE function.
- Use the “format” command to format the disk. “format” will list the disks available to the system; select the disk to be used for local backups. The following bullets use subcommands of the “format” command.
- Use the “volname” subcommand to assign a volume name of “FDRSOS” to the volume.
- Use the “partition” subcommand to delete all partitions on the volume except the “backup” partition which must be partition 2. For every partition whose “tag” is other than “unassigned”, you must modify that partition to mark it “unassigned” and change its starting and ending cylinders to 0 (zero). You must delete the partitions in reverse numeric order (highest numbered partition first).
- Use the “label” subcommand to write the new format to the disk.

- When done you can use the "verify" subcommand to verify that the volume is correctly initialized. The output will look something like this:

```
format> verify

Primary label contents:

Volume name = <  FDRSOS>
ascii name  = <EMC-SYMMETRIX-5264 cyl 1103 alt 2 hd 15 sec 64>
pcyl        = 1105
ncyl        = 1103
acyl        =    2
nhead       =   15
nsect       =   64
Part      Tag    Flag     Cylinders        Size            Blocks
  0 unassigned    wm       0               0         (0/0/0)          0
  1 unassigned    wm       0               0         (0/0/0)          0
  2     backup    wu       0 - 1102      517.03MB    (1103/0/0) 1058880
  3 unassigned    wm       0               0         (0/0/0)          0
  4 unassigned    wm       0               0         (0/0/0)          0
  5 unassigned    wm       0               0         (0/0/0)          0
  6 unassigned    wm       0               0         (0/0/0)          0
  7 unassigned    wm       0               0         (0/0/0)          0
```

- Use the FDRSOS LOCALBACKUP function to make the formatted disk as a FDRSOS local backup.

**Warning: if you do not follow this procedure, in this order, the local backup disk will be usable from non-SUN systems but not from the SUN system. Disks initialized with this procedure will be usable on the SUN and most other Open Systems.**

Operands include:

- ☐ **TYPE=** Identifies the type of operation and must be specified on the LOCALBACKUP Statement.
  - **INIT** – performs a full initialization of a new FDRSOS local backup volume. The operands on this statement, or their defaults, are used to set defaults on the local backup volume for use with new FDR/UPSTREAM profiles.
  - **UPDATE** – updates an existing FDRSOS local backup volume with new default values. Only values for the operands you specify are changed; omitted operands will retain their previous values.
- ☐ **BCV=IGNORE** If the volume you are trying to initialize is defined as a BCV (Business Continuance Volume) in the Symmetrix configuration, even if it is not currently ESTABLISHed as a copy of any primary volume, FDRSOS will normally reject any attempt to initialize the BCV for local backups. If you are sure that you want to use the BCV for local backups, and will never ESTABLISH that BCV as a copy of another volume, specify BCV=IGNORE to bypass the check and initialize it for local backups.

  **Note:** if a BCV was initialized for local backups with BCV=IGNORE, all subsequent VARYON statements executed against that volume must also specify BCV=IGNORE. Innovation recommends that the Symmetrix configuration be updated to make the volume a non-BCV to avoid these requirements.
- ☐ **DELMIGRATED=** If UPSTREAM/SOS is unable to find sufficient space on the FDRSOS local backup volume for a new backup, this controls what action it will take.

- **YES** –delete backups on the volume for the current profile which have been copied (migrated) to MVS tape or disk by FDR/UPSTREAM, then try the allocation again.
- **NO** – migrated backups will not be automatically deleted. If there is insufficient free space on the local backup volume you may need to manually delete backups. Default is NO.

☐ **DYNADDPROF=**

- **YES** – if you do a backup to this FDRSOS local backup volume under an UPSTREAM/SOS profile which has not previously been used on this volume, it will be automatically added to the control records on the volume. The backup characteristics of the profile will be initially set to the values specified by other operands on the LOCALBACKUP statement, but they can be modified by FDR/UPSTREAM on the Open System in the FDRSOS Local Backup Admin panel.
- **NO** – Only UPSTREAM/SOS profile names which have been manually added to the control records on this FDRSOS local backup volume can do backups to the volume. Profile names are added by UPSTREAM/SOS on the Open System in the FDRSOS Local Backup Admin panel. Default is YES.

☐ **MINALLOC%=** When UPSTREAM/SOS allocates space for a backup on a FDRSOS local backup volume, the actual space required is not known since compression will reduce the requirement but cannot be predicted. If available, the uncompressed size is allocated and any unused space released at the end. But if that much space is not available, it may allocate a smaller backup file anticipating that the backup may fit. This operand controls the minimum percentage of the uncompressed size which can be allocated. You may need to adjust it depending on your results with the FDR/UPSTREAM compression.

nnn – specifies the minimum percentage of the total uncompressed size which must be allocated for the backup to the local backup volume. Valid values are 10 to 100.

Default is 50.

☐ **MAX#BACKUPS=** This controls the maximum number of backups which may be retained on the FDRSOS local backup volume for a given UPSTREAM/SOS profile. Under a given UPSTREAM/SOS profile, backups older than the maximum will automatically be deleted to reclaim space when a new backup is created.

nnn – specifies the number of backups per profile allowed. Valid values are 1 to 255.

Default is 10, unless RETAINLB=NO then 0.

☐ **MAX#PROF=** This controls the maximum number of FDR/UPSTREAM profiles which may have backups recorded on this FDRSOS local backup volume. Profiles are added automatically the first time that they do a backup to this local backup volume if DYNADDPROF=YES was specified or defaulted; profile names can also be added manually using FDR/UPSTREAM on the Open System.

nnn – specifies the number of backups per profile allowed. Valid values are 1 to 100.

Default is 100.

☐ **MAXBACKUPSIZE=** This specifies the maximum size in megabytes (MB) of a backup that will be allowed on this FDRSOS local backup volume. If the total uncompressed size of the backup exceeds this size, FDR will allocate the maximum size on the local disk. If WRAP=YES is specified or defaulted, FDR will reuse the backup internally to send all the remaining files through the local disk to MVS. If WRAP=NO is specified, the remaining files will be sent over the network.

Note: If RETAINLB=NO is specified, MAXBACKUPSIZE need not be over the default of 100MB. Performance may suffer if a value under 50MB is specified for a large backup.

nnnn – the maximum backup size in megabytes (MB). The value must be from 1 to 4095.

Default is 100 MB.

- **MAXFILESIZE=** This specifies the maximum size in megabytes (MB) of an individual Open System file that will be allowed on this FDRSOS local backup volume. Files which exceed the size will be transmitted to FDR/UPSTREAM over your network instead, although smaller files may be written to the local backup.

  nnnn – the maximum file size in megabytes. The value must be from 0 to 4096. A value of zero indicates no limit.

  Default is 0 MB (unlimited).

- **RETAINLB=** specifies whether the local backup is to be retained after the backup completes. As a default the local copy will be retained if the backup was not restarted and it was large enough to contain all the files written (did not wrap).
  - **YES** – specifies to keep the local backup.
  - **NO** – specifies that the local backup is to be deleted after a successful backup. If the backup fails and is restartable the local backup will be retained for the first restart.

    Default is YES.

- **WRAP=** specifies whether FDR/UPSTREAM on the PC/Open System can re-use the backup file on the local disk if there is insufficient space to contain the entire backup.
  - **YES** – FDR/UPSTREAM can re-use the backup. The backup will be deleted at the end of the backup if it wraps.
  - **NO** – FDR/UPSTREAM cannot re-use the backup. If the backup fills up, all the remaining files will be sent through the network.

    Default is YES.

### 19.2.5 Identify the Local Backup Disk

When requesting backups or restores, the local backup disk can be specified on either the host or the workstation by the UPSTREAM/SOS local backup disk name. You can specify the local backup disk on the host either by the local backup disk name or by the host VOLSER. For UNIX systems we discourage the use of the VOLSER as it may hang UPSTREAM for a long time if a disk has a reserve on it.

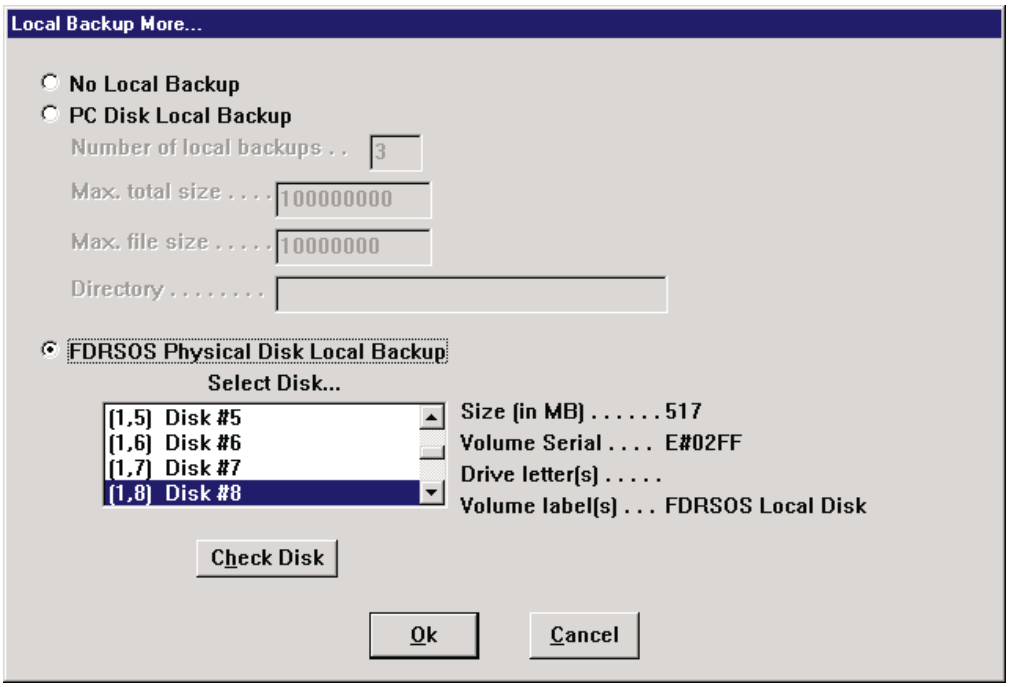
For PC and UNIX operating system the best way to determine this name is in the UPSTREAM program. For Novell (NLM), you must use the LSTDSK2 program (see the *Novell Considerations* chapter for the description of this program).

For UNIX you must be the root user to verify, specify or use FDRSOS Local Disks as only root as direct disk access privileges.

The local backup disk name can be specified and selected in many of the UPSTREAM screens by pressing the **Local Bkp...** button. For this example, we'll use the one in the backup panel. When you enter UPSTREAM:

- Pull down the **Action** menu and select **Backup**.
- Press the **Local Bkp...** Button.

On PC platforms, you'll see the following panel:




Press the **FDRSOS Physical Disk Local Backup** radio button. This will activate the Select Disk... list which contains the list of disks on the system. As you highlight each disk, some information about the disk will appear on the right of the list box.

The **Volume Serial** field is the host volume serial number and will contain a value only if this is a formatted FDRSOS Local Backup Disk. You can press the **Check Disk** button to validate that this is a usable local backup disk.

The value in parens, which for most PC operating systems is a {number},{number} is the Disk Name which must be entered in the host ISPF panel (the parameter title is LOCALBACKUPDIR). In the example above, the value is 1,8.

For UNIX you'll see the following panel:

```
                    Local Backup...

  ( ) No Local Backup
  ( ) Local Disk Backup
      Number of local backups..[3  ]
      Max. total size.....[10000000]
      Max. file size......[10000000]
      Directory...........[                    ]
  (x) FDRSOS Physical Disk Local Backup
             Select Disk...
       (4,/dev/rhdisk8)  /dev/rhdis
       (4,/dev/rhdisk9)  /dev/rhdis
       (4,/dev/rhdisk10)  /dev/rhdi
       (4,/dev/rhdisk11)  /dev/rhdiv
             <  Check Disk  >

      <   Ok   >              < Cancel >
```

The local backup disk name is the number 4 followed by a comma (,) followed by it's directory entry. Press the **FDRSOS Physical Disk Local Backup** radio button, tab into the Select Disk... list box, highlight the disk you believe is the correct FDRSOS local backup disk and press the **Check Disk** button. If the disk is an FDRSOS Local Backup Disk, the message will say "This disk can be used for local backups."

The complete value in parens (such as 4,dev/rhdisk8 in the AIX example above) is the Disk Name which must be entered in the host ISPF panel (the parameter title is LOCALBACKUPDIR).

### 19.2.6 Test and Automate Your Backups

We always recommend that your first local backup test be performed from the UPSTREAM Workstation/Server rather than from the host as this reduces the number of steps to the process.

In the prior step you selected the local backup disk to be used. You can press the Ok button to return to the backup dialog and select a few files to be included in the backup. You know that the backup worked correctly and that the local backup disk was used based on the UPSTREAM log file entry. If it gives you a number of files and bytes stored in the local backup file then you have been using it correctly.

If you will be host initiating the backup, you can now take the local backup disk name and enter it in the USTBATCH, Backup, More dialog in the **Disk Name** field (note that you must also select the **FDRSOS Physical Disk Local Backup** option).

### 19.2.7 Performance Tuning

There are only a limited number of things you can tune for UPSTREAM/SOS. Some of these include:

- Reducing the number of wraps. The UPSTREAM log will display the number of times that a backup wrapped through the data. Note that each wrap costs around 2 seconds of time. Thus a 5 minute backup that wrapped 20 times could be made significantly faster by increasing the amount of space available in the local backup disk.
- Reducing head contention. Try to run only one backup through the local backup disk at a time.

- Differing levels of compression. High compression may speed up backups or it may slow them down. You should try testing with None, Fast and High3 (high1 and high2 perform at almost the same speeds as high3).
- Get close to the data. UPSTREAM/SOS performance is most frequently bottlenecked by disk access. It is often better to run regular UPSTREAM on LAN attached disks than use UNC names or shares to access them with UPSTREAM/SOS.

Your best tool for performance tuning is the UPSTREAM log. At the end of a backup it will report:

- Bytes per second. This is bytes from the source disk transported to the host. Our experience has shown that good performance is 2-3 MB/sec for PCs and 2-5 MB/sec for UNIX systems. As always, your performance will vary based on the type of the data, average file size, contention for disk and CPU resources, etc.
- Number of wraps. Each wrap costs a minimum of 2 seconds. Whenever possible try to reduce the number of wraps.
- Number of files and data bytes. Bytes divided by Files results in the average file size.

...and see the *Performance* chapter for other useful suggestions for optimizing performance.

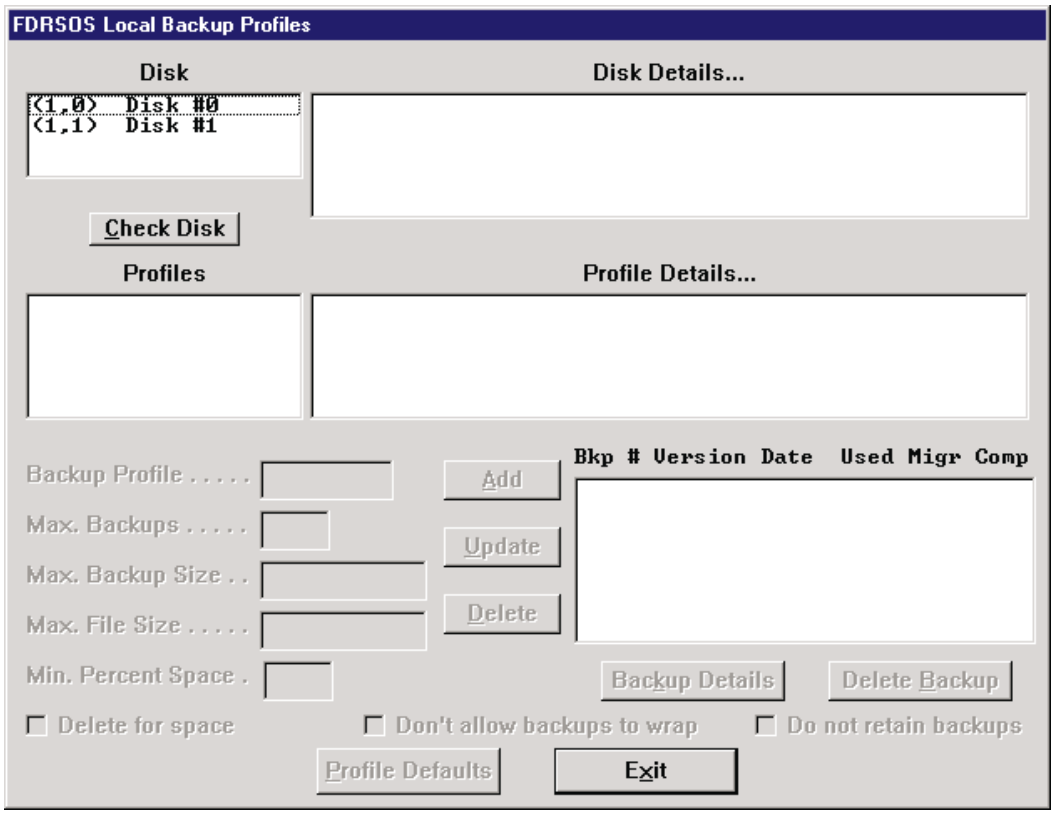
# 19.3 FDRSOS Local Backup Admin

The FDRSOS Local Backup Admin option allows you to:

- List your physical disks and determine the disks that are configured for FDRSOS Local Backups.
- Display information about a given FDRSOS Local Backup disk.
- Display/add/modify/delete local backup parameters for given backup profiles.
- Define the defaults for unconfigured backup profiles.
- Display the list of backups for a given backup profile.
- Delete the local backup, without deleting the actual backup on host disk.
- Display storage details about a local backup.

You must use Local Backup Admin to add new profiles to the FDRSOS local backup volume if the FDRSOS operand DYNADDPROF=NO was specified when the volume was formatted. Only if DYNADDPROF=YES was given or defaulted will new profiles be added automatically using the global defaults.

To use it, pull down the **Management** menu in FDR/UPSTREAM and select the **FDRSOS Local Backup Admin** option.



- **Disk:** Highlight the physical disk (they are automatically listed using the FDR/UPSTREAM format) that you wish to view/administer. Double-clicking a disk with the mouse has the same affect as pressing the <Check Disk> button.

- **Check Disk:** Press this button to check to see if the highlighted disk is an initialized FDRSOS local backup disk. If it is, Disk Details, Profiles, Profile Details and backup information is automatically filled in.

- **Disk Details:** Automatically filled in when you press the <Check Disk> button, this list displays information about the disk including free space, MVS VOLSER, when it was last updated, volume size, and various internal information.

- **Profiles:** This is a list of profiles currently defined for this disk. Whenever you change the highlighted profile, the Profile Details, Backup information and definitions will change to reflect the highlighted profile.

- **Profile Details:** Automatically filled in with the highlighted profile information including last backup date, number of backups and various internal information.

- **Backups:** This list box contains some information about individual backups stored for a profile including the backup number, version date, space used and whether it has been migrated. Details about space allocation for a highlighted backup can be displayed by pressing the <Backup Details> button or by double-clicking a backup entry. The backup highlighted in this list can be deleted by pressing the <Delete Backup> button.

The following fields are used to allow you to add/view/modify definitions for specific profiles.

- **Backup Profile:** Enter the backup profile name you wish to add. This field is filled in when you highlight a profile.

- **Max. Backups:** Enter the maximum total number of backups you wish to store on local disk. When this number has been exceeded, the oldest backup is deleted. Ignored if you check *Do not retain backups*.

- **Max. Backup Size:** Enter the maximum number of bytes for a given backup that you wish to store on the local backup disk. When this size has been exceeded, the backup will wrap unless you check *Don't allow backups to wrap* in which case all remaining data is transmitted to the host over the network..

- **Max. File Size:** Enter the maximum size of a file in bytes (before compression) of files you wish to store on the local backup disk. If a file is larger than this size, it is transmitted to the host over the network. If you specify 0, a maximum is not enforced. We only recommend specifying a non-zero value if you are using local backups storage to reduce restore time and wish to keep a few large files from taking all available space.

- **Min. Percent Space:** Enter the minimum percentage of space that must be available for the backup to proceed. If this amount of space is not available or cannot be made available the backup will be denied. Enter any value from 10 to 255 percent.

- **Delete for space:** Check this box if you wish to have backups deleted when space is required, even if the maximum number of backups has not been reached. The default is not checked.

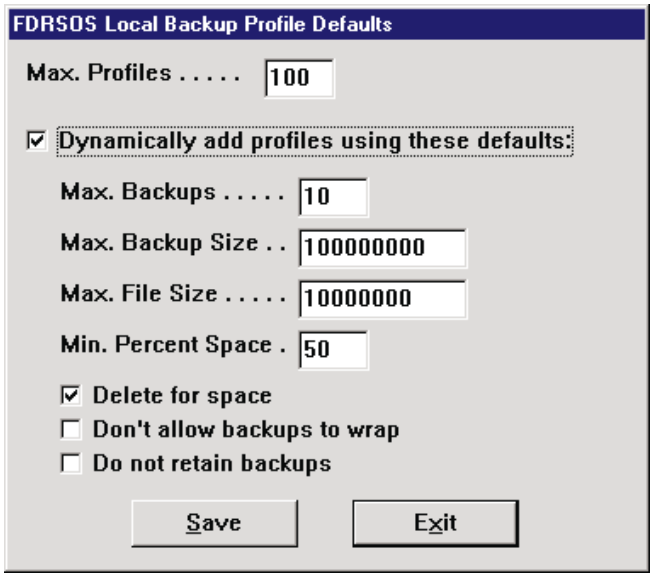
- **Don't allow backups to wrap:** If you check this box, and the amount of space allocated is exceeded then the remaining data is transmitted and the local backup is retained. If you do not check this box and if the amount of space allocated is exceeded then the space allocated is reused (wrapped), no data is transmitted over the network and the local backup is deleted when complete. Not checking this box can significantly improve performance. The default is not checked.

- **Do not retain backups:** If you check this box and a backup does not wrap, then it is deleted anyway. This preserves the maximum amount of storage for local backups, thus slightly optimizing for best backup performance at the cost of restore performance. The default is not checked.

Use of the push buttons requires that host communications be active:

- **Add:** Allows you to add a profile definition to the disk.
- **Update:** Allows you to update an existing profile definition. The profile must be highlighted in the Profile list.
- **Delete:** Allows you to delete an existing profile definition. The profile must be highlighted in the Profile list.
- **Backup Details:** This button is enabled when you highlight a backup in the backup list. When you press it or double-click a backup in the backup list, information about space allocation for a specific backup is written to a file, USLCLBKP.TMP in the work path and the file viewer is displayed to view its contents. In most cases the information in this file is of use only to UPSTREAM technical support.
- **Delete Backup:** This button is enabled when you highlight a backup in the backup list. When you press it, the SOS local disk storage for the backup is deleted, but the host disk/tape is not deleted and the backup remains cataloged. We recommend that you only delete backups which have been migrated or merged forward (with the COPYINCR option). Before the backup is deleted you are warned about the dangers.
- **Profile Defaults:** Press this button to set the definitions for profiles which are not explicitly added in this screen. See below for more on Profile Defaults.
- **Exit:** Press this button to leave the dialog.

When you press the **Profile Defaults** you see the following dialog:




- **Max Profiles:** Specify the maximum number of profiles that can be added to this disk. If there are more than this number currently, no more can be added, but the current ones will continue to work.

☐ **Dynamically add profiles using these defaults:** Check this box if you wish to allow profiles which have not been specifically defined to be added. The remaining fields on this dialog will be enabled only if you check this box.

The remaining fields work as described above for defining specific profiles.

# 19.4 UPSTREAM and FDRSOS

### 19.4.1 Introduction

FDR/UPSTREAM can be used in concert with FDRSOS to utilize FDRSOS' ability to provide high speed backups and restores of complete EMC attached disk drives with FDR/UPSTREAM's ability to provide incremental backups and restores.

In the recommended scenario, FDRSOS is used regularly (generally weekly) to provide a backup of your EMC drives. FDR/UPSTREAM incrementals are run daily to provide recovery to a given point.

Whenever possible, we recommend the regular use of FDR/UPSTREAM full merge backups so that selective file restores as well as FDRSOS high speed disaster recovery restores can be performed. However, if tape drive or time issues force it, you can use FDR/UPSTREAM incremental merges exclusively.

When a disaster occurs, you restore, using FDRSOS, the entire drive. Using FDR/UPSTREAM, you then restore the files modified since the FDRSOS backup.

Two options in FDR/UPSTREAM have been added to facilitate this feature:

- A backup option in the File Spec More dialog allows you to create a "FDRSOS Timestamp File".
- A restore option allows you to specify a restore back to this timestamp file.

To complement the FDRSOS facility, FDR/UPSTREAM can be used to:

- Create physical disk backups for later physical disk restore.
- Restore FDRSOS backups over the network.

### 19.4.2 FDRSOS Timestamp File

There is an option in the Backup, File Spec, More dialog: **Write FDRSOS Timestamp**. If checked, FDR/UPSTREAM will place a FDRSOS Timestamp file in the directory specified for the backup spec, or in any user specified directory.

A FDRSOS Timestamp file is zero length, has standard system attributes, and is of the form:

```
<Backup Profile>.SOS
```

Where <Backup Profile> is the specified backup profile name.

If you do not specify a FDRSOS Timestamp directory the file will be placed in the directory specified for the backup. For example, if you specify C:*.*, the FDRSOS Timestamp file will be placed in C:\. For UNIX systems the Timestamp file will be placed in the top level of each file spec as well as in the top level any file systems mounted below each file spec.

For restores, FDR/UPSTREAM searches for the FDRSOS Timestamp file in the directory specified for the restore, and works it's way upwards until it reaches the root. For example, if you wish to restore C:\Dir1\Dir2\Dir3\File.DAT, FDR/UPSTREAM will search for the FDRSOS Timestamp file in C:\Dir1\Dir2\Dir3, then C:\Dir1\Dir2, then C:\Dir1, and finally C:\ until the file is found. If you specify a particular directory, that directory will be used exclusively.

The important information stored in a FDRSOS Timestamp file is its modification date/time. The date of the file is the host defined version date of the backup and it is used during a restore as the date/time of the last UPSTREAM backup before the disaster recovery FDRSOS restore.

You should check this box for all disks which are included in both FDR/UPSTREAM and FDRSOS backups. The user specified path can be left blank in most cases except those where security or other reasons make it difficult to store files in the directory specified for the backup. In this case you will need to verify that this directory is properly specified for both the backup and the restore.

### 19.4.3 Highlighted Back to FDRSOS Full

There is a radio button option in the restore parameters dialog, **Highlighted Back to FDRSOS Full**. If selected, the workstation/server software will extract the modification date/time of the FDRSOS Timestamp file and the host software will transmit files in backups which were performed since that date.

Thus, the FDRSOS Timestamp file is a signature on the disk of the last FDR/UPSTREAM backup. When FDRSOS is run, it will place this signature back as part of its normal processing and FDR/UPSTREAM will use it to determine the date/time of it's last backup.

Note that the FDRSOS Timestamp file should not be removed. FDR/UPSTREAM will not include these files in normal backups. If you perform a non-UPSTREAM restore of files which would include the FDRSOS Timestamp file, you should either specifically exclude it or recognize that you are placing the FDRSOS state of the disk for FDR/UPSTREAM at an earlier date.

There is a personalization option to disallow FDRSOS features.

### 19.4.4 UPSTREAM and Physical Disks

FDR/UPSTREAM can be used to restore FDRSOS created backups as well as create its own physical disk backups. See the *Physical Disk* chapter for more information about these features.

# 19.5 FDRSOS Windows NT Considerations

### 19.5.1 Introduction

FDRSOS includes a facility where a Windows NT attached EMC drive (one or more) can be locked throughout an FDRSOS backup or restore. This facility also assures that if the operating system definition of the drive is changed because you have restored a different drive to it, the operating system information about the drive is refreshed.

This facility is useful when implementing FDRSOS during:

- A backup when you wish to assure that the drive(s) are not being accessed and you wish to guarantee the integrity of the data
- A restore when you wish to assure that no users are going to be affected during the restore process.
- A restore when you are restoring an older version of an entire drive (or drives) or when you are moving the backed up contents of a drive to a different drive and wish the operating system to be notified without having to shut down the operating system.

The drive can remain locked until:

- A user presses a key.
- A predefined timeout occurs.
- Another program is run that tells the first program to unlock the drive.

Note that when restoring a drive to a different location, the drives must be of the same size.

There are two programs in this facility: UNMOUNT and REMOUNT.

**Note: During a restore, UNMOUNT must be running and the drive must be locked to assure that the operating system definitions for the drive are reset. If the program is abnormally closed, the drive will be unlocked.**

There is also a program to determine the host volume name of an EMC disk labeled by FDRSOS. This program, HOSTDISK displays the host volume label when supplied the Windows NT physical disk number.

### 19.5.2 Installation

The FDRSOS disk consists of only a few files. Merely create a directory and copy the files into them:

```
md \sos
copy a:\nt\*.* c:\sos\*.*
```

or for a CD installation:

```
md\sos
copy d:\sos\nt\*.* c:\sos\*.*
```

The files are (relative to the root of the diskette or the \SOS directory on the CD):

| File Name | Description |
|---|---|
| GENDATA.EXE | A test data generation program provided to help you generate a large amount of data quickly. |
| NT\HOSTDISK.EXE | Displays the host volume, as labeled by FDRSOS when supplied the Windows NT physical disk number. |
| NT\REMOUNT.EXE | Allows you to, from a separate program at a later time, unlock a previously locked set of drives. |
| NT\UNMOUNT.EXE | Allows you to lock and dismount one or more drives. |
| NT\UNMOUNTX.EXE | An internal program, not to be called directly. |

**FDRSOS Windows NT Drive Locking**
**Diskette Contents**

The newest version of all Innovation Data Processing workstation/server software is available on the FDRSOS diskette, FDR/UPSTREAM CD or by request from your sales representative.

# 19.6 Windows NT Volume Sets

Windows NT provides a facility where a number of disk partitions across one or more physical disks can be grouped together to form a single logical drive. Microsoft calls this a volume set.

The definition of a volume set is not stored on the volume, but in the Windows NT registry, in the DISK subkey (HKEY_LOCAL_MACHINE\SYSTEM\DISK). Thus, when using FDRSOS to backup a volume set, you must be sure to:

- Backup all the physical drives in the set. Note that HOSTDISK.EXE (described below) may help you to identify these disks. We recommend that the volume set be UNMOUNTed (see the UNMOUNT program below) or the system be down when the volume set is backed up. This is not required but recommended to assure file and system integrity.
- Backup the Windows NT registry.

To backup the Windows NT registry you can use the Windows NT program RDISK, or a full featured Windows NT backup product such as FDR/UPSTREAM.

There are a number of different scenarios for restoring volume sets:

- Restoring to their original location. This assumes that you are restoring to the same system and have registry backups.
- Restoring to a different machine.
- Restoring to a different location on the same machine. On the original machine, restoring the disks of a volume set to a different group of physical disks. This procedure allows you to be able to copy files off of an FDRSOS backup without having to backlevel your existing disks.

**WARNING: This entire process is VERY DANGEROUS and should be practiced on non-production machines by trained personnel before using it on production systems.**

### 19.6.1 Restoring to their original location

To restore a volume set to its original machine use the following procedure:

- Restore the registry. You must at the minimum restore the DISK subkey of the SYSTEM registry hive. You can use the Windows NT setup utility and your emergency repair disks, or a full featured backup/restore product such as FDR/UPSTREAM. If you are using FDR/UPSTREAM you should restore the \WINNT\System32\Config\SYSTEM file and reboot your machine.
- With the machine powered off, perform the FDRSOS restore of all the disks in the volume set.
- Restart your machine. Your volume set drive letter should be restored.

If you have any questions, you should contact Innovation Technical Support.

### 19.6.2 Restoring to a different machine

The following procedure can be used to restore a set of disks to a different machine than the one it was backed up from or to restore them to the original machine if you do not have a backup of the DISK key in the registry.

The target disks must be exactly the same size as the source disks. Note that the Windows NT Disk Administrator may display these disks with slightly different sizes. If the disks appear to be different sizes, use the FDRSOS PRINT=STATUS to verify that the sizes are the same.

Note that this procedure is very similar to the procedures described in Chapter 22 of the *Microsoft Windows NT Workstation Resource Kit*, in the section titled *FTEdit - Recovering Volume Sets and Stripe Sets*. You will need the programs included on the Resource Kit CD including DISKMAP, FTEDIT and DSKPROBE.

On the machine which contains the original backup, you will need to know the following:

- The physical disk numbers of the disks in the volume set.
- The signatures of each of the disks.
- Their order in the volume set by partition and signature.
- The DISK key disk number.

There are a number of steps to this process.

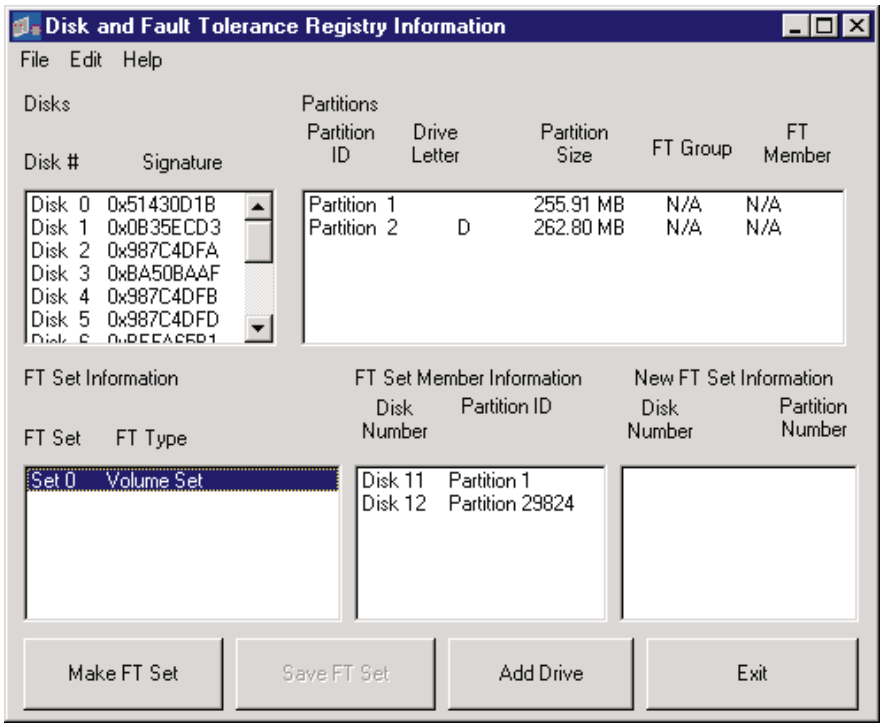
Run the **Windows NT Disk Administrator** by selecting it from the Administrative Tools group of the Programs menu of the Start button. If the Disk Configuration button is pressed, you will see the physical disks on your system. Note the Physical Disk number of the disks in your volume set.

The Windows NT Resource Kit CD contains a number of programs which are used in this process. The command line program **DISKMAP** is used to be able to obtain the disk signature (which Windows NT places on a disk to uniquely identify it) for a given physical disk number. From a DOS prompt, run the program DISKMAP, with the physical drive number of the disk you wish to examine. For example, obtain the signature for disk #13, enter:

```
DISKMAP /D13
```

The signature is on the 4th line, left justified. You will need the signatures for all the disks in the volume set.

Next, execute the Windows NT Resource Kit program **FTEDIT**.



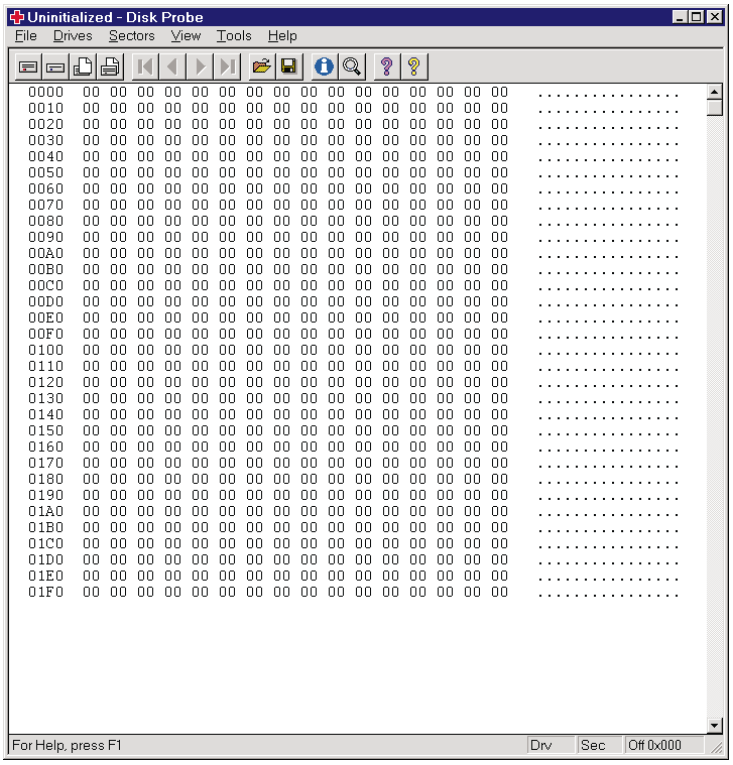

In the top left listbox (Disks), disks are listed by their order in the DISK key in the registry - not by their physical disk number on your system. In the bottom left list box (FT Set Information) highlight a volume set. The bottom center listbox (FT Set Member Information) will list the disk number (by registry DISK number) and the partition that the disk is in. From the FT Member Set Information you get the DISK key disk number, which you then use to obtain the Signature for the disk from the Disks list.

You will need the order of the disks and partitions in the volume set by their signature.

On the machine that you are restoring to, we recommend that BEFORE you perform the restore, you clear out the boot sectors/partition tables on the disks and run Disk Administrator to have the DISK key reflect that the disk is uninitialized. This is an optional step but one which will assure that the system is not confused by prior disk information.

Run the Disk Administrator, and note the physical disk numbers of the target disks and verify that they are the same size as the original disk. If they are slightly different sizes, this may be due to the way that Windows NT calculates sizes; use the FDRSOS PRINT=STATUS command to obtain their exact sizes.

Run the Windows NT Resource Kit program DSKPROBE from a DOS prompt. DSKPROBE will be used to initialize the boot sector/partition table to all zeros.




Pull down the **Drives** menu and Select **Physical Drive**. Highlight the Physical Drive you wish to initialize, **uncheck** the **Read only** box in the Handle 0 frame, and press the **Set Active** button. Press the **Ok** button to return to the edit screen. Pull down the **Sectors** menu and select **Read**. Enter a Starting Sector of **0**, a number of sectors as **1** and press the **Read** button. On the DSKPROBE main screen, type in 00 for every byte in the sector. When all bytes are zeroed out, pull down the **Sector** menu, select **Write**, validate that the handle and all other parameters are correct and press the **Write it** button. Exit DSKPROBE.

Run Disk Administrator, do **not** allow it to write a signature for the modified disks and exit the program normally to have it update the DISK key in the registry with the modified information.

Run the FDRSOS restore of all the disks in the Volume Set. You must either not specify a VOLRESET statement or specify it as VOLRESET=YES (which keeps the data on the volumes from being modified by FDRSOS).

Run the Disk Administrator to have it update the DISK key with the new disk signatures.

Run FTEDIT from a DOS prompt to create the volume set. Press the **Make FT Set** button and press the **Volume Set** radio button. Identify the first disk by signature in the Disks list and highlight the disk. In the Partitions list, double-click the partition of the disk used for the volume set which will add it to the Volume Set Information frame. Repeat this process for all the disks in the volume set. It is critical that they be added in the same order as they were in their initial configuration. Press the **Save FT Set** button to save your changes to the registry. Exit FTEDIT.

Restart your system.

Enter the disk administrator, highlight the volume set, and using the right mouse button, select **Assign Drive Letter** on the pop-up menu. Assign a drive letter to the volume set.

The Volume Set should now be created successfully. You should verify that files from a variety of locations on the disk are fully accessible.

### 19.6.3 Restoring to a different location on the same machine

The procedure is almost the same as Restoring to a different machine with the following exceptions:

- When you run Disk Administrator after running the DSKPROBE and before performing the FDRSOS restore, allow it to write the signature to the disk.
- In the FDRSOS restore, you must specify VOLRESET=NO to assure that the volumes are restored with different signatures.

### 19.6.4 Notes

Some notes on this facility:

- The DISK key in the registry (HKEY_LOCAL_MACHINE/SYSTEM/DISK) can actually get so mangled that backups and restores of it will not work correctly. In these cases you will need to use the registry editor to delete the DISK key, enter Disk Administrator to rebuild the key, and then use FTEDIT to rebuild the volume sets.
- You may need to activate/deactivate extended LUN support in the SCSI BIOS on your target system to have it match the original system to have the disks be visible with the correct size.

# 19.7 UNMOUNT.EXE

This is the program that can lock one or more drives and then forces an operating system refresh of the drive information when it is unlocked. It is a 32-bit Console program. If you wish this program can be run as a service; contact Innovation Data Processing for more information.

Unless you specify the /p option, UNMOUNT must be left running during the backup or restore to assure that the drive is locked. During testing you should verify that the drive is locked during SOS operations by attempting an access to the drive on the Windows NT machine (with a DIR command for example) and you should get an "Access is denied" error.

This program will fail if **ANY** programs are accessing the drive. You must assure that all users and programs have closed all open files on the drive to be locked before running the program. The program will write an error to the screen and return a non-zero program return code if there are any errors.

Run this program from an MS-DOS prompt or a batch file. It's format is:

UNMOUNT <drive letter:> ... [/n<name>] [/k] [/w<time>] [/p[<pgm>]

Where:

- **<drive letter:>** is a list of one or more space separated drives to be locked. For example: **C:** or **E: F: G:**. You must specify at least one drive letter.
- **/n<name>** is an optional parameter which allows you to specify the semaphore name used when running REMOUNT. You only need to specify this parameter if you will be running REMOUNT and you wish to run, simultaneously, more than one copy of UNMOUNT.EXE at a time. For example: **/nREMOUNT2**.
- **/k** is an optional parameter that locks the drive until the user presses a key.
- **/w<time>** is an optional parameter that locks the drive for a given number of seconds. For example, for 5 minutes, specify **/w300**.
- **/p[<pgm>]** is an optional parameter that causes UNMOUNT to terminate when the drive is locked. The drive will remain locked until REMOUNT is run. The optional program parameter (<pgm>) allows you to specify a different program name than UNMOUNTX; for example, to specify UNMOUNTX on the D: drive, specify /pD:\UNMOUNTX.EXE. Most user will not use the optional <pgm> parameter and will specify **/p** alone.

  The /p option is particularly useful, as UNMOUNT will return a program return code which can indicate to you whether the drive was successfully locked.
- **/f** is an optional parameter which will have UNMOUNT merely open the drives specified, flush all the buffers to disk and return. This is useful if you know that you can't actually unmount the drive but wish to get all the integrity you can.

If you wish to lock the drives D, E and F until REMOUNT is run, specify:

```
UNMOUNT D: E: F:
```

If you wish to lock the drive D: until a user presses a key, specify:

```
UNMOUNT D: /k
```

If you wish to lock D and E, but unlock them with REMOUNT at separate times, specify:

```
UNMOUNT D: /nDDRIVE
UNMOUNT E: /nEDRIVE
```

If you wish to create a batch file that unmounts the C: drive, checks the return code and returns, it might look like:

```
UNMOUNT C: /p
if ERRORLEVEL 1 goto ERROR
Echo Successful lock - can begin SOS backup
goto SOS
:ERROR
Echo Unsuccessful lock - perform the SOS backup later
goto SOSLATER
...
```

# 19.8 REMOUNT.EXE

Run this program when you have run UNMOUNT and wish UNMOUNT to unlock the drive and refresh the operating system's view of it. It must be run in a separate MS-DOS prompt session as UNMOUNT.

Its form is:

REMOUNT [/n<sub>name]</sub>

Where:

- **/n<name>** is an optional parameter and is the same name specified when UNMOUNT was run.

In the example above, where UNMOUNT was run to lock D: E: F:, to unlock the drive, run:

```
REMOUNT
```

If the example above where you wish to unmount the drives separately run:

```
REMOUNT /nDDRIVE
REMOUNT /nEDRIVE
```

Both UNMOUNT and REMOUNT return 1 if they fail and 0 if they succeed.

# 19.9 HOSTDISK.EXE

HOSTDISK.EXE is a program which displays the host volume, as labeled by FDRSOS, for a disk which can be seen both by Windows NT and the host.

What it does is use EMC specific SCSI commands to read the CE (customer engineer) specific tracks. These tracks consists of disk area which is not used by programs, but is for EMC specified use. EMC has allocated a range of space on these tracks for Innovation which FDRSOS uses to hold the host volume name.

**WARNING: When run on BCV volumes, HOSTDISK may either hang or report the label for the last disk it was connected to. Thus, we recommend that it not be used for BCV volumes.**

HOSTDISK is a Windows NT console program which is generally run from a command prompt. The format for this program is:

```
HOSTDISK #<disk number> [/detail] [/trace]
```

Where:

- <disk number> is the physical disk number from 0 to the total number of disks.
- /detail is an optional parameter which displays some detail disk and adapter information.
- /trace is an optional parameter which should only be enabled under direction of Innovation Technical Support.

As input you must specify the Windows NT physical disk number. These numbers can be determined by running the Windows NT **Disk Administrator** program, in the Administrative Tools group available when you press the Start button.

The Disk Administrator displays each disk (starting with number 0) in sequence followed by the allocation for that disk, which can be zero or more drive letters. Note that a number of physical drives can be combined into a volume set.

For example, by using the disk administrator you see that Disk #2 is your F: drive, you can see the host volume name by specifying

```
HOSTDISK #2
```

Which would display:

```
HostDisk - Determines host information for a given EMC drive
Test physical drive #2
FDRSOS MVS label: E#01E7
```

If you use the detail switch by specifying:

```
HOSTDISK #2 /D
```

You would see:

```
HostDisk - Determines host information for a given EMC drive
Test physical drive #2
Details enabled
Drive details for drive #2:
   Removable     : No
   Wide 16-bit   : Yes
   Wide 32-bit   : No
```

```
   Vendor ID     : EMC
   Product ID    : SYMMETRIX
   Revision Level: 5265
FDRSOS MVS label: E#01E7
```

# 19.10 FDRSOS NetWare Considerations

### 19.10.1 Introduction

This appendix describes the procedures for the backup and restore of NetWare volumes for NetWare v4x and v5x.

FDRSOS includes a facility where Novell NetWare attached EMC drives (or any type of drive) can be mounted/dismounted throughout an FDRSOS backup or restore. While you can always manually mount/dismount a volume from the system console, this facility allows the process to be automated from a workstation and/or from the server. This facility also allows a workstation to load or unload an NLM and run an NCF server batch file on NetWare v4.x and v5.x servers.

This facility is useful when implementing FDRSOS during:

- A backup when you wish to assure that the drive(s) are not being accessed.
- A restore when you wish to assure that no users are going to be affected during the restore process.

There is an NLM which allows a mount or dismount without user intervention (regardless of open files): NWMOUNT.NLM and a workstation program (available for Windows NT and OS/2 at this time and other operating systems in the future) NWSERVER which allows you to run server functions from a workstation.

### 19.10.2 Programs

The FDRSOS NetWare disk consists of only a few files in directories appropriate to the operating system they are to be run in. The files are (relative to the root of the diskette or the \SOS directory on the CD-ROM):

| File Name | Description |
|---|---|
| GENDATA.EXE | A test data generation program provided to help you generate a large amount of data quickly. |
| NOVELL\NLM\NWMOUNT.NLM | Allows you to mount or dismount from the server a volume without user prompts. This file must be installed in the server's SYS:SYSTEM directory or some directory in the SEARCH path. |
| NOVELL\NLM\VOLLIB.NLM | A Novell supplied library which loads facilities required by NWMOUNT. |
| NOVELL\NT\NWSERVER.EXE | A 32-bit Windows NT program which allows NLMs and NCF files to be executed as well as volumes mounted and dismounted on NetWare v4.x servers. |
| NOVELL\OS232\NWSERVER.EXE | A 32-bit OS/2 program which allows NLMs and NCF files to be executed as well as volumes mounted and dismounted on NetWare v4.x servers. |

**FDRSOS NetWare Drive Management Files**

# 19.11 Backups and Restores

We recommend that backups of NetWare volumes be performed with all the volumes in a disk dismounted for maximum integrity. However, as this is not always possible, in most cases you can still perform backups which will restore correctly. We recommend, however, that you perform periodic baseline backups with the volumes dismounted.

If you have multi-disk volumes you must backup and restore all the disks in the volume at the same time.

For restores you must always dismount the volumes before performing restores. It is not necessary, nor is it recommended that the volume be defined to NDS before the restore. This will force NetWare, when the restore has completed, to refresh it's internal image of the volume.

If you wish, you can restore a volume to a new name by specifying VOLRESET=NO. In this case, the volume will be renamed by FDRSOS by adding a "_S" suffix. Once the restore is complete, the volume can be mounted, even if it is a multi-disk volume.

# 19.12 NWMOUNT.EXE

This is a VERY DANGEROUS program which allows, from the console, the ability to mount or dismount a volume without any user prompts. If you run the server console command DISMOUNT <volume> and there are open files, you will be prompted and given an opportunity to not dismount the volume at this time. NWMOUNT allows you to dismount the volume without the prompt, facilitating automation.

Run this program from the system console (or from a workstation using NWSERVER described below). VOLLIB.NLM must be loaded before NWSERVER. It's format is:

LOAD NWMOUNT <volume> [/Mount] [/Dismount]

Where:

- **<volume>** Is the NetWare server's volume name that you wish to mount or dismount. Do not include the trailing colon.
- **/Mount** requests that the specified volume be mounted. You should be sure that the SOS backup/restore has completed before performing this function.
- **/Dismount** requests that the specified volume be dismounted. As stated above, this is very dangerous.

For example, if you wish to dismount the VOL1 volume from the server console, specify:

```
LOAD NWMOUNT VOL1 /Dismount
```

If you wish to remount the volume, specify:

```
LOAD NWMOUNT VOL1 /Mount
```

You may need to load the system module VOLLIB.NLM before NWMOUNT will load successfully.

Note that if you have dismounted the SYS volume, you can not run NWMOUNT to remount it as the NWMOUNT.NLM is not available (it is on an volume that is currently unavailable). However, you can use NWSERVER (described below) to remount the SYS volume from a workstation.

NWMOUNT creates a separate screen to display messages in. If there is an error, this screen remains displayed until a key is pressed to give you an opportunity to read the messages. All significant messages are also written to the system console (for console logging purposes).

There are other advanced switches including:

- **/List** allows you to list all the volumes on the server (whether mounted or not, whether valid or not) with a significant amount of detail. Do not specify <volume> with this option.
- **/One** allows you to list volume detail for a single, specified volume.
- **/F<file name>** allows all output to be written to the specified file name instead of the screen. The file name should be specified in NetWare format immediately after the /F option (for example: /FSYS:NWMOUNT.OUT). This option can be combined with any other option.
- **/R<new volume name>** allows you to rename <volume> to <new volume name>. Since this option does not interact with directory services, and since there are problems renaming a volume which has a duplicate volume name, we recommend using this option only after consultation with Innovation Technical Support.

# 19.13 NWSERVER.EXE

NWSERVER.EXE is a Windows NT or OS/2 program which allows you to perform a number of server related functions from a workstation.

Its form is:

NWSERVER <server> <function> ...parameters... [/t]

Where:

- **<server>** Is the NetWare server name that you wish to access. This must always be the first parameter and is required.
- **<function>** One of the function types below. Subsequent parameters are specific to the function type.
- **[/t]** An optional parameter that you can specify after the last function parameter to enable tracing.

The functions supported are:

- **NCF** Specify this function if you wish to run an NCF batch file on the server. The single required parameter is the fully qualified path name of the NCF file that you wish to run. For example:

  ```
  NWSERVER SERVER1 NCF SYS:SYSTEM\TEST.NCF
  ```

- **NLM** Specify this function if you wish to load an NLM on the server. The single required parameter is the fully qualified path name of the NLM that you wish to load. Note that you can not pass parameters to the NLM. For example:

  ```
  NWSERVER SERVER1 NLM SYS:SYSTEM\USNDS.NLM
  ```

- **UNLOAD** Specify this function if you wish to unload a pre-loaded NLM on the server. The single required parameter is the name of the NLM that you wish to unload (this parameter is not usually fully qualified). For example:

  ```
  NWSERVER SERVER1 UNLOAD USNDS.NLM
  ```

- **MOUNT** Specify this function if you wish to mount a specified volume. The single required parameter is the volume name (without the trailing colon). This function is particularly useful for automating the mounting of the SYS volume when you used NWMOUNT to dismount it. For example:

  ```
  NWSERVER SERVER1 MOUNT SYS
  ```

- **DISMOUNT** Specify this function if you wish to dismount a specified volume. The single required parameter is the volume name (without the trailing colon). Note that this function is not terribly useful, as if there are ANY open files on the volume, the console will display a list of these files and wait for user intervention to acknowledge the breaking of the connection to these files. For example:

  ```
  NWSERVER SERVER1 DISMOUNT VOL1
  ```

Note that all NWSERVER functions require that you be logged in with console operator rights.

NWSERVER returns a zero program return code if the function could be initiated. For MOUNT, DISMOUNT and UNLOAD, this indicates whether the actual function was successful. For NCF, and NLM this indicates whether the function was started, but not the final return code.

# 19.14 Automation

To automate the process of dismounting, a volume for backup most users will create two batch files on their workstation (one for mounting and one for dismounting), and one NCF batch file on their server (for dismounting).

For example, through host automation which can run an application on a workstation (FDR/UPSTREAM is such a facility) you wish to run a job which will dismount a volume before beginning an EMC backup. Create a batch file on the workstation similar to the following:

```
Echo This batch file DISMOUNTs VOL1 on SERVER1
Echo It should only be called from the host.
NWSERVER SERVER1 NCF SYS:SYSTEM\VOL1DOWN.NCF
```

**Sample batch file to dismount a volume**

Note that your host facility should check the program return code from NWSERVER to see if the NCF file was correctly executed.

You would then write an NCF file on the server which would unmount the volume:

```
NWMOUNT VOL1 /Dismount
```

**Sample VOL1DOWN.NCF**

When the SOS backup has completed, run a batch file to remount the dismounted volume:

```
Echo This batch file remounts VOL1 on SERVER1
NWSERVER SERVER1 MOUNT VOL1
```

**Sample batch file to remount a volume**

Again, you will want to check the program return code to verify that the mount was successful; if not you will want to take manual action to examine the problem.

# 19.15 FDRSOS AIX Considerations

### 19.15.1 Introduction

To use FDRSOS to back up or restore AIX attached EMC drives there are recommended procedures which help to guarantee that the data is complete and correct and that the operating system is properly notified.

Recommended procedures are provided for:

- Backup.
- Restoring disks to their original locations.
- Restoring one or more physical disks to different locations and scratching the original disks.
- Restoring a single volume volume group as a copy on the same system.
- Restoring a multiple volume volume group as a copy on the same system.

Note that coordination for the sequencing of host and workstation/server automated procedures is provided by FDR/UPSTREAM. FDR/UPSTREAM's USTBATCH host facility allows you to integrate workstation/server batch jobs into your host scheduling facilities. And FDR/UPSTREAM's host job execution facility allows host batch jobs to be executed from workstation/server automation. This allows you to coordinate the workstation/server procedures below with the execution of FDRSOS on the host. See chapter 8 (USTBATCH) in the FDR/UPSTREAM MVS manual and chapter 23 (Advanced FDR/UPSTREAM) in the FDR/UPSTREAM Workstation/Server manual for more information.

### 19.15.2 Recommended Backup Procedure

☐ Unmount ALL active file systems in the volume group and/or shutdown any applications using the physical disk(s) or logical volume(s) on the physical disk(s) in RAW mode. You can use smit **umountfs** or the **umount** command to unmount file systems.

If the file systems can not be dismounted, you should insure that all cached I/O has been flushed out to the disk. Also, you should insure that no one is writing to the file system during the backup.

**WARNING: If you do not unmount the file system before a backup or restore, the data will be corrupt.**

☐ If the physical disk(s) are in a volume group, deactivate the volume group. You can use smit **varyoffvg** or the **varyoffvg** command to deactivate a volume group. Again, if you can not deactivate the volume group, flush all I/Os to disk and verify that no users are writing to the file system.

☐ Run the SOS backups.

☐ Activate the volume group if the physical disk(s) are in a volume group. You can use smit **varyonvg** or the **varyonvg** command to activate a volume group.

☐ Remount the file systems. You can use smit **mountfs**, smit **mountg** (if the file systems have been assigned to a group), or the **mount** command to mount a file system.

- ☐ Restart the applications (if any) that were using the physical disk(s) or logical volume(s) in RAW mode.

### 19.15.3 Restoring one or more physical disks to original locations

- ☐ Unmount ALL active file systems in the volume group and/or shutdown any applications using the physical disk(s) or logical volume(s) on the physical disk(s) in RAW mode that are to be used for the restore. You can use smit **umountfs** or the **umount** command to unmount file systems.

> **WARNING: If you do not unmount the file system before a backup or restore, the data will be corrupt.**

- ☐ If the physical disk(s) are in a volume group, deactivate the volume group. You can use smit **varyoffvg** or the **varyoffvg** command to deactivate a volume group.
- ☐ Run the SOS restore. If you are restoring a multiple volume volume group, you MUST restore all of the volumes in the volume group.
- ☐ Activate the volume group if the physical disk(s) are in a volume group. You can use smit **varyonvg** or the **varyonvg** command to activate a volume group.
- ☐ Remount the file systems. You can use smit **mountfs**, smit **mountg** (if the file systems have been assigned to a group), or the mount command to mount a file system.
- ☐ Restart the applications (if any) that were using the physical disk(s) or logical volume(s) in RAW mode.

### 19.15.4 Restoring one or more physical disks to different locations and scratching the original disks.

- ☐ Unmount ALL active file systems in the volume group and/or shutdown any applications using the physical disk(s) or logical volume(s) on the physical disk(s) in RAW mode. You can use smit **umountfs** or the **umount** command to unmount file systems.

> **WARNING: If you do not unmount the file system before a backup or restore, the data will be corrupt.**

- ☐ If the physical disk(s) are in a volume group, deactivate the volume group. You can use smit **varyoffvg** or the **varyoffvg** command to deactivate a volume group.
- ☐ If the physical disk(s) are in a volume group, export the volume group. You can use smit **exportvg** or the **exportvg** command to export a volume group.
- ☐ Clear the physical volume id's (PVID's) from both the original and target physical disk(s) BEFORE running the restore. This is VERY important. AIX will not tolerate duplicate physical volume id's. To clear a PVID, use the following form of the chdev command:

```
chdev -l hdisk# -a pv=clear
```

hdisk# represents the name of the physical volume such as hdisk5.

- ☐ Run the SOS restore. If you are restoring a multiple volume volume group, you MUST restore all of the volumes in the volume group.

- ☐ If the physical volume(s) are in a volume group, you must assign the physical volume id's of the restored physical volume(s). Note that physical volume id's will be the physical volume id's of the original volumes. Use smit **chgdsk** for EACH target volume. Make sure you change ***ASSIGN physical volume identifier*** to **yes**. AIX will use the physical volume identifier found on the restored volume.

- ☐ If the physical volume(s) are in a volume group, import the volume group. You can use smit **importvg** or the **importvg** command to import a volume group.

- ☐ Remount the file systems. You can use smit **mountfs**, smit **mountg** (if the file systems have been assigned to a group), or the **mount** command to mount a file system.

Restart the applications (if any) that were using the physical disk(s) or logical volume(s) in RAW mode.

### 19.15.5 Restoring a single volume volume group as a copy on the same system.

- ☐ Ensure that target volume has been assigned a physical volume id BEFORE running the restore. Use smit **chgdsk** to display the physical volume id of the target volume. If it already has one, then cancel out of this smit screen. If not, change ***ASSIGN physical volume identifier*** to **yes** and perform the change.

- ☐ Run the SOS restore using the VOLRESET=NO option. SOS will preserve the physical volume id. To allow you mount the file systems on the copy at the same time as the originals, SOS will change the mount points of all file systems during the restore by appending _sos to the original mount point.

  For example, if the original volume group had a file system with a mount point of /myfilesys, SOS will change it to /myfilesys_sos. This change will be picked up by AIX when the volume group is imported.

- ☐ Import the volume group. You can use smit **importvg** or the **importvg** command to import a volume group. Note that you can not use the name of the original volume group. You must assign a new name.

- ☐ You can now mount the file systems on the new volume group. Remember that the mount points will be have _sos appended to the original mount point.

### 19.15.6 Restoring a multiple volume volume group as a copy on the same system.

- ☐ Clear the physical volume id's (PVID's) from target physical disk(s) BEFORE running the restore. To clear a PVID, use the following form of the chdev command:

```
chdev -l hdisk# -a pv=clear
```

  hdisk# represents the name of the physical volume such as hdisk5.

- ☐ Run the SOS restore using the VOLRESET=NO option. You MUST restore all of the volumes in the volume group. SOS will assign new physical volume id's to all of the volumes in the volume group. To allow you to mount the file systems on the copy at the same time as the originals, SOS will change the mount points of all file systems during the restore by appending _sos to the original mount point.

  For example, if the original volume group had a file system with a mount point of /myfilesys, SOS will change it to /myfilesys_sos.

This change will be picked up by AIX when the volume group is imported.

- ☐ You must assign the physical volume id's of the restored physical volume(s). Note that physical volume id's will be the physical volume id's set by SOS. Use smit **chgdsk** for EACH target volume. Make sure you change ***ASSIGN physical volume identifier*** to **yes**. AIX will use the physical volume identifier found on the restored volumes.

- ☐ Import the volume group. You can use smit **importvg** or the **importvg** command to import a volume group. Note that you can not use the name of the original volume group. You must assign a new name.

- ☐ You can now mount the file systems on the new volume group. Remember that the mount points will be have _sos appended to the original mount point.

### 19.15.7 hostdisk

**hostdisk** is a program which displays the host volume, as labeled by FDRSOS, for a disk which can be seen both by AIX and the host.

What it does is use EMC specific SCSI commands to read the CE (customer engineer) specific tracks. These tracks consists of disk area which is not used by programs, but is for EMC specified use. EMC has allocated a range of space on these tracks for Innovation which FDRSOS uses to hold the host volume name.

**WARNING: When run on BCV volumes, HOSTDISK may either hang or report the label for the last disk it was connected to. Thus, we recommend that it not be used for BCV volumes.**

hostdisk is an AIX command line executable; you must be root to run it. There are a variety of ways to run it:

```
hostdisk /dev/<device name> [/d]
```

Reports the FDRSOS label for the disk. If you specify the /D (or /d) switch, Symmetrix details are displayed.

```
hostdisk /l
```

hostdisk will then go through all of your physical disks and report Symmetrix information including the FDRSOS label for each one.

```
hostdisk /a
```

hostdisk will report Symmetrix information for all the disks on the array that this system is connected to. FDRSOS labels will not be reported.

```
hostdisk /f
```

hostdisk will report extensive details for all the disks on the array that this system is connected to. FDRSOS labels will not be reported.

For example, if you wish to see the labels for all the Symmetrix disks on your system, enter:

```
hostdisk /l
```

Which would display:

```
HostDisk 3.1.1 - Determines host information for EMC drives
 - local view:
 Device                  Symm Num    Type  FDRSOS Label Emulation
-----------------------------------------------------------------
/dev/hdisk4              34(0x0022)  shared   E#01E4  FBA 1.01 GB
/dev/hdisk5              46(0x002e)  shared   E#02E6  FBA 1.01 GB
/dev/hdisk6              53(0x0035)  shared   E#02ED  FBA 1.01 GB
/dev/hdisk7              65(0x0041)  shared   E#01FC  FBA 0.51 GB
```

```
/dev/hdisk8              73(0x0049)  shared   E#02F4  FBA 0.51 GB
/dev/hdisk9 not available
```

For example, if you are looking for the local FDRSOS disk E#01E4, you will find it on /dev/hdisk4.

# 19.16 FDRSOS HP-UX Considerations

### 19.16.1 Introduction

To use FDRSOS to back up or restore HP-UX attached EMC drives, there are recommended procedures which help to guarantee that the data is complete, correct and the operating system is properly notified.

Recommended procedures are provided for:

- Backup.
- Restoring disks to their original locations.
- Restoring one of more physical disks to different locations or as a copy on the same system.

Note that coordination for the sequencing of host and workstation/server automated procedures is provided by FDR/UPSTREAM. FDR/UPSTREAM's USTBATCH host facility allows you to integrate workstation/server batch jobs into your host scheduling facilities. And FDR/UPSTREAM's host job execution facility allows host batch jobs to be executed from workstation/server automation. This allows you to coordinate the workstation/server procedures below with the execution of FDRSOS on the host. See chapter 8 (USTBATCH) in the FDR/UPSTREAM MVS manual and *Advanced FDR/UPSTREAM* chapter in the FDR/UPSTREAM Workstation/Server manual for more information.

### 19.16.2 Recommended Backup Procedure

☐ Unmount ALL active file systems in the volume group and/or shutdown any applications using the physical disk(s) or logical volumes(s) on the physical disk(s) in RAW mode. You can use SAM utilities or 'umount' command to unmount the file systems.

If the file systems cannot be dismounted, you should insure that all cached I/O has been flushed out to the disk. Also, you should insure that no one is writing to the file system during the backup.

**WARNING: If you do not unmount the file system before a backup or restore, the data will be corrupt.**

☐ If the physical disk(s) are in a volume group, deactivate the volume group. You can use SAM utilities or the 'vgchange' command to deactivate a volume group. Again, if you cannot deactivate the volume group, flush all I/Os to disk and verify that no users are writing to the file system.

☐ Run the SOS backups.

☐ Activate the volume group if the physical disk(s) are in a volume group. You can use SAM utilities or the 'vgchange' command to activate a volume group.

☐ Perform 'file check' on the unmounted file systems to insure integrity. For example:

```
fsck -F vxfs -o full ......
fsck ............
```

☐ Remount the file systems. You can use SAM utilities or the 'mount' command to mount a file system.

☐ Restart the applications (if any) that were using the physical disk(s) or logical volume(s) in RAW mode.

### 19.16.3 Restoring one or more physical disks to original locations

- ☐ Unmount ALL active file systems in the volume group and/or shutdown any applications using the physical disk(s) or logical volume(s) on the physical disk(s) in RAW mode that are to be used for the restore. You can use SAM utilities or the 'umount' command to unmount file systems.

**WARNING: If you do not unmount the file system before a backup or restore, the data will be corrupt.**

- ☐ If the physical disk(s) are in a volume group, deactivate the volume group. You can use SAM utilities or the 'vgchange' command to deactivate the volume group.
- ☐ Run the SOS restore. If you are restoring a multiple volume 'volume group', you MUST restore all of the volumes in the volume group.
- ☐ Activate the volume group if the physical disk(s) are in a volume group. You can use SAM utilities or the 'vgchange' command to activate a volume group.
- ☐ Perform 'file check' on the unmounted file systems to insure integrity. For example:

```
fsck -F vxfs -o full ......
fsck ............
```

- ☐ Remount the file systems. You can use SAM utilities or the 'mount' command to mount a file system.
- ☐ Restart the applications (if any) that were using the physical disk(s) or logical volume(s) in RAW mode.

### 19.16.4 Restoring one or more physical disks to different locations

- ☐ Unmount ALL active file systems in the volume group and/or shutdown any applications using the physical disk(s) or logical volume(s) on the physical disk(s) in RAW mode that are to be used for the restore. You can use SAM utilities or the 'umount' command to unmount file systems.

**WARNING: If you do not unmount the file system before a backup or restore, the data will be corrupt.**

- ☐ If the physical disk(s) are in a volume group, deactivate the volume group. You can use SAM utilities or the 'vgchange' command to deactivate the volume group.
- ☐ If the physical disk(s) are in a volume group, export the volume group. You can use SAM utilities or the 'vgexport' command to remove the volume group. The use of the 'vgexport' 'mapfile' parameter is recommended to retain the logical volume names for the 'vgimport'. Otherwise the system will create default logical volume names of 'lvol1, lvol2, etc.'.

```
vgexport -v -m mapfilename vg_xxx
```

- ☐ Run the SOS restore. If you are restoring a multiple volume 'volume group', you MUST restore all of the volumes in the volume group.
- ☐ Recreate the target volume group directory and target group file using the following commands:

```
cd /
mkdir dev/vg_xxxx                        vg_xxxx is volume group name
mknod /dev/vg_xxxx/group c 64 0xNN0000  NN is unique 'minor number'
                                         relating to volume group number
```

- ☐ Import volume group using SAM or the following command:

```
vgimport -v -m mapfilename /dev/vg_xxxx /dev/dsk/cxtydz /dev/dsk/cx2ty2dz2 ...
```

- ☐ Activate the volume group if the physical disk(s) are in a volume group. You can use SAM utilities or the 'vgchange' command to activate a volume group.

- ☐ Perform 'file check' on the unmounted file systems to insure integrity. For example:

```
fsck -F vxfs -o full ......
fsck ............
```

- ☐ Remount the file systems. You can use SAM utilities or the 'mount' command to mount a file system.

- ☐ Restart the applications (if any) that were using the physical disk(s) or logical volume(s) in RAW mode.

# 19.17 FDRSOS Solaris Considerations

### 19.17.1 Warning

**WARNING: ALL BACKUPS OF SUN VOLUME MANAGER DISKS SHOULD BE DONE WITH THE FILE SYSTEMS UNMOUNTED!**

This is required to "harden" the data and insure that all data is written to the disk, as described in Section 220.11 in the FDRSOS manual. If a backup is done with a file system then a restore of that backup may result in downlevel data or other errors.

### 19.17.2 Restoring to an alternate volume

If you wish to restore backups of SUN Volume Manager disks to spare disk volumes (as described in Section 220.14 of the FDRSOS manual), use this procedure:

☐ 1. If the spare volumes are currently known to the SUN volume manager, usually because you have previously used them as the target of a FDRSOS restore, you must unmount the file systems on those volumes and deport the volumes before doing the restore.

☐ 2. Do the FDRSOS restore, to the spare disk volumes, with the VOLRESET=NO parameter. FDRSOS will change the internal volume name and volume group ID to make them unique. It will also make the volume group name unique by overlaying the first character of the volume group name with an underscore; for example, if the group name was "testgroup", VOLRESET=NO will change it to "_estgroup".

If the underscore is not acceptable or does not result in a unique group name, you can specify the FDRSOS parameter "SUNGROUPID=xxx" on the MOUNT statement for the output volume. "xxx" is 1 to 3 characters; these characters will be made lower case (even if entered in upper case) and used to overlay the beginning of the group name. For example, SUNGROUPID=TMP will change "testgroup" to "tmptgroup". You must use the same SUNGROUPID value while restoring all volumes in the group.

If you specify PRINT=STATUS on the RESTORE statement, FDRSOS will display the group and volume names before and after the restore; the after display will show the modifications described above.

☐ 3. If the spare volumes were not previously managed by the SUN volume manager, you must refresh the volume manager so that it will recognize the new volumes. From the root user, issue:

```
vxdctl enable
```

☐ 4. Import the volume group using the new group name set by FDRSOS (step 2 above). You can use the GUI interface of the volume manager (vxva), the menu interface (vxdiskadm), or the vxdg command. For the latter, issue from the root user:

```
vxdg import _estgroup
```

☐ 5. Start the volumes in the group you just imported. You can either start specific volumes or all the volumes. You can use the GUI or menu interfaces of the volume manager, or the vxvol command. For the latter, issue from the root user:

```
vxvol -g _estgroup volume_name    (for a specific volume)
vxvol -g _estgroup startall       (for all volumes)
```

☐ 6. Mount the file system(s) on the started volume(s). You can use either the GUI interface of the volume manager, or the mount command.

To use the mount command, you should refer to /etc/vfstab for the options used to mount the original file system.

You will need to create a new mount point and use the new device name and new mount point to mount the file system. Volume manager device names for a given volume will be:

```
/Dev/vx/dsk/diskgroup/volumename
/dev/vx/rdsk/diskgroup/volumename
```

To mount a SUN (Veritas) file system on a volume named “vol01" which is in the disk group restored as _estgroup at mount point /test, issue this command:

```
mount -F vxfs /dev/vx/dsk/_estgroup/vol01 /test
```

At this point the files on the restored volumes should be accessible.

### 19.17.3 WARNING ON USE OF RESERVE=YES

We have found that the use of RESERVE=YES on DUMP statements may cause problems if the Open System using the volumes you are backing up is sensitive to a long term RESERVE on the volume. If the volume may still be in use on the Open System during the FDRSOS backup, you may need to omit RESERVE=YES (or specify RESERVE=NO). This is most likely to be a problem if the Open System volume involved is a system volume to the Open System (e.g., the boot volume).

In particular we have found that Sun Solaris systems will fail if their system volumes are reserved for long periods of time.

However, this may also be true for other Open System or for application-type volumes (such as data bases).

Certainly it will be true that doing a long-term RESERVE on a system or application volume will probably cause that system or application to hang until the backup is complete.

The default on a DUMP is RESERVE=NO.

### 19.17.4 Initializing the Local Backup disk on Sun SPARC

In order to use FDRSOS local backup volumes with FDR/UPSTREAM/SOS on a SUN SOLARIS system running on a SUN SPARC platform, a special procedure must be followed. This does not apply to SOLARIS running on an Intel-type platform.

☐ 1. the disk is brand new, never before used, you should erase the disk completely with the FDRSOS ERASE TYPE=FULL function.

☐ 2. from the SUN system, you must format the disk volume and specify a volume name of “FDRSOS”. To do so:

- Use the “format” command to format the disk. “format” will list the disks available to the system; select the disk to be used for local backups.
- Use the “volname” subcommand to assign a volume name of “FDRSOS” to the volume.
- Use the “partition” subcommand to delete all partitions on the volume **except the “backup” partition** which must be partition 2. For every partition whose “tag” is other than “unassigned”, you must modify that partition to mark it “unassigned” and change its starting and ending cylinders to 0 (zero).
- When done you can use the “verify” subcommand to verify that the volume is correctly initialized. The output will look something like this:

```
format> verify

Primary label contents:

Volume name   = < FDRSOS>
ascii name    = <EMC-SYMMETRIX-5264 cyl 1103 alt 2 hd 15 sec 64>
pcyl          = 1105
ncyl          = 1103
acyl          =    2
nhead         =   15
nsect         =   64
Part   Tag           Flag   Cylinders         Size                    Blocks
0      unassigned    wm     0             0             (0/0/0)              0
1      unassigned    wm     0             0             (0/0/0)              0
2      backup        wu     0 - 1102      517.03MB      (1103/0/0)     1058880
3      unassigned    wm     0             0             (0/0/0)              0
4      unassigned    wm     0             0             (0/0/0)              0
5      unassigned    wm     0             0             (0/0/0)              0
6      unassigned    wm     0             0             (0/0/0)              0
7      unassigned    wm     0             0             (0/0/0)              0
```

☐ 3. use the FDRSOS LOCALBACKUP function to make the formatted disk as a FDRSOS local backup.

**Warning: if you do not follow this procedure, in this order, the local backup disk will be usable from non-SUN systems but not from the SUN system. Disks initialized with this procedure will be usable on the SUN and most other Open Systems.**

This page intentionally left blank

# 20 FDR/UPSTREAM ULTra

## 20.1. Overview

The FDR/UPSTREAM ULTra (UPSTREAM LAN Transport) feature allows you to use a single FDR/UPSTREAM PC to back up and restore to the disks of workstations which are attached to the LAN and do not have FDR/UPSTREAM or any kind of host communications on them. Workstations can be grouped into profiles so that through a single PC or host request any number of workstations can be backed up or restored.

This is a sophisticated facility using LAN protocols (you can use IPX/SPX, NetBIOS or TCP/IP) to communicate between the FDR/UPSTREAM PC and the workstation. The workstations can be any combination of DOS, Windows, Windows NT or OS/2 PCs.

The FDR/UPSTREAM ULTra feature of FDR/UPSTREAM allows you to install a small DOS program, a PM program on OS/2 workstations or a Windows program on Windows and Windows NT workstations and have a FDR/UPSTREAM machine on the LAN backup and restore data to the workstation.

There are two components to the LAN Workstation feature of FDR/UPSTREAM:

- Workstation component (ULTRA). Install ULTRA (ULTRAD for DOS) on the workstation to allow it to be accessed by FDR/UPSTREAM. You must give ULTRA a workstation name so that it can be found by the requestor PC. You can optionally also give it a password so that you can restrict unauthorized users from accessing your PC.
- Requestor component (FDR/UPSTREAM or LANCOPY). The requestor specifies the name of the workstation and optionally the password. FDR/UPSTREAM accesses the workstation in the same way that it accesses a local disk. LANCOPY is a program provided to allow you to copy files to and from the workstation and perform directory listings.

As well as backups, restores and file transfers, ULTra can also be used to start programs on remote workstations either locally or host requested. This can be very helpful in such functions as software distribution.

FDR/UPSTREAM ULTra works by using IPX/SPX, NetBIOS or TCP/IP communications across the LAN. Thus, the FDR/UPSTREAM PC can attach to a workstation through the LAN regardless of whether the LAN supports SNA or not. You can use the FDR/UPSTREAM ULTra through FDDI, Ethernet, Arcnet, across NetWare internal bridges and just about any other way that LANs get access to their own features.

FDR/UPSTREAM ULTra comes on a separate diskette and is priced separately from the base FDR/UPSTREAM product. The current version supports generic NetBIOS, Novell IPX/SPX and TCP/IP networks. Contact your sales representative for pricing information.

# 20.2. DOS Workstations

To install the workstation component, you can either run the INSTALL.BAT file included on the workstation diskette, or create a directory and copy the files from the diskette to it. On the CD, the files are in the \ULTRA\DOS directory.

The DOS version is a command line program and supports IPX/SPX and NetBIOS only.

The DOS the workstation component (ULTRAD.EXE), is loaded in the following way:

```
ULTRAD <Workstation Name> [/p[password]]
```

- **Workstation Name:** Up to 31 characters uniquely naming the workstation on the LAN.
- **/P[password]:** You can optionally enter a password, or enter /P to have ULTRAD prompt you for the password (to avoid having it displayed).

For example, if you wish to load ULTRAD with the workstation name of TOM_SMITH and a password of PIE, enter:

```
ULTRAD TOM_SMITH /pPIE
```

or

```
ULTRAD TOM_SMITH /p
```

and then you'll be prompted for the password.

To exit ULTRAD, press the ESC key and confirm when prompted.

### 20.2.1. RESET.EXE

If you are using the NetBIOS interface for workstations or on the UPSTREAM PC and the adapter has not yet been reset for NetBIOS (the IBM LAN Support Program will often reset it, but many other NetBIOS implementations will not), you may need to use the included RESET.EXE program.

This program should be used with care as it resets the NetBIOS interface. If you have open LAN Server or other LAN connections which use NetBIOS, they will be broken. This program should only be used if you are not using other NetBIOS applications (including server/drive support).

For FDR/UPSTREAM, RESET.EXE should be placed in your U.BAT file, to be run even before APPC is loaded. If you are using ULTra, you should create a batch file to run RESET.EXE before starting ULTra (or use AUTOEXEC.BAT).

The format for RESET is:

```
RESET <adapter number> <session count> <command count>
```

Where:

- <adapter number>: The communications adapter number, either 0 for the primary adapter or 1 for the secondary adapter. A parameter is required.
- <session count>: The maximum number of simultaneously running sessions. The range is 0..254. The default is 5.
- <command count>: The maximum number of outstanding commands. The range is 0.254. The default is 15.

Note that you can disable either the NetBIOS or IPX/SPX connectivity types, by specifying environment variables USNOTLI=Y to disable IPX/SPX and USNONETBIOS=Y to disabled NetBIOS.

# 20.3. OS/2 Workstations

In OS/2 there is a single program for both IPX/SPX and NetBIOS, ULTRA.EXE.

To install the workstation component, insert the ULTra LAN Workstation diskette in you're a: drive, or the CD in your CD-ROM drive (changing to the \ULTRA\OS2 directory) and run the INSTALL program as follows:

```
INSTALL <Destination> <LAN WS Name>
```

Where:

- **<Destination>:** Is the fully qualified drive/path to where the ULTra software will be copied (the directory will be created if necessary). We recommend **C:\ULTRA**.

- **<LAN WS Name>:** The ULTra workstation name to be used, by UPSTREAM, to represent this user. We recommend using the user's first name.

If you wish, you can create a directory and copy the files to it. The install program just performs the copy and creates an UPSTREAM folder and ULTra icon (which you can do yourself).

The OS/2 workstation component (also named ULTRA.EXE) is loaded from an OS/2 full screen, a batch file (including STARTUP.CMD) or can be placed in the **Startup** folder. When it starts you will be switched to the presentation manager. The command line parameters are:

```
ULTRA <Workstation Name> [/p[password]]
```

- **Workstation Name**: Up to 31 characters uniquely naming the workstation on the LAN.

- **/P[password]:** You can optionally enter a password, or enter /P to have ULTRA prompt you for the password (to avoid having it displayed).

For example, if you wish to load ULTRA with the workstation name of TOM_SMITH and a password of PIE, enter:

```
ULTRA TOM_SMITH /pPIE
```

or

```
ULTRA TOM_SMITH /p
```

and then you'll be prompted for the password.

All ULTra activity is displayed in the main ULTra program window. The ULTra program window can be minimized to save desktop space. To terminate ULTra, close it by pulling down the system menu in the upper left hand corner of the window and selecting **Close**.

We recommend that you add ULTRA to your STARTUP.CMD or Startup group to complete the installation.

Note that there is a character mode version of the ULTRA program, ULTRADR.EXE, which is described in OS/2 recovery later in this chapter.

Note that you can disable either the NetBIOS, IPX/SPX or TCP/IP connectivity types, by specifying environment variables USNOTLI=Y to disable IPX/SPX, USNONETBIOS=Y to disable NetBIOS or USNOTCP=Y to disable TCP/IP.

There are two NetBIOS interfaces available in OS/2: the LAN Server interface and the LAPS interface. Both FDR/UPSTREAM and ULTra attempt to use the LAN Server interface first as it is the faster one. You can force FDR/UPSTREAM or ULTra to use the LAPS interface by specifying the environment variable USLAPS=Y.

ULTra can only use the IBM interface for TCP/IP in OS/2.

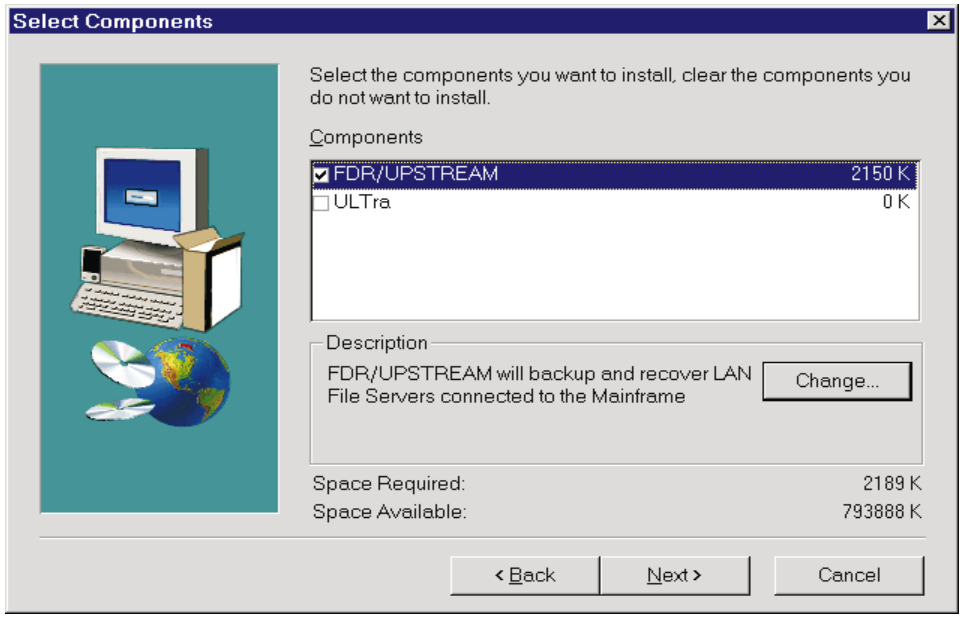
# 20.4. Windows Workstations

The installation and later configuration of the ULTra workstation component for Windows is performed from the SETUP program.

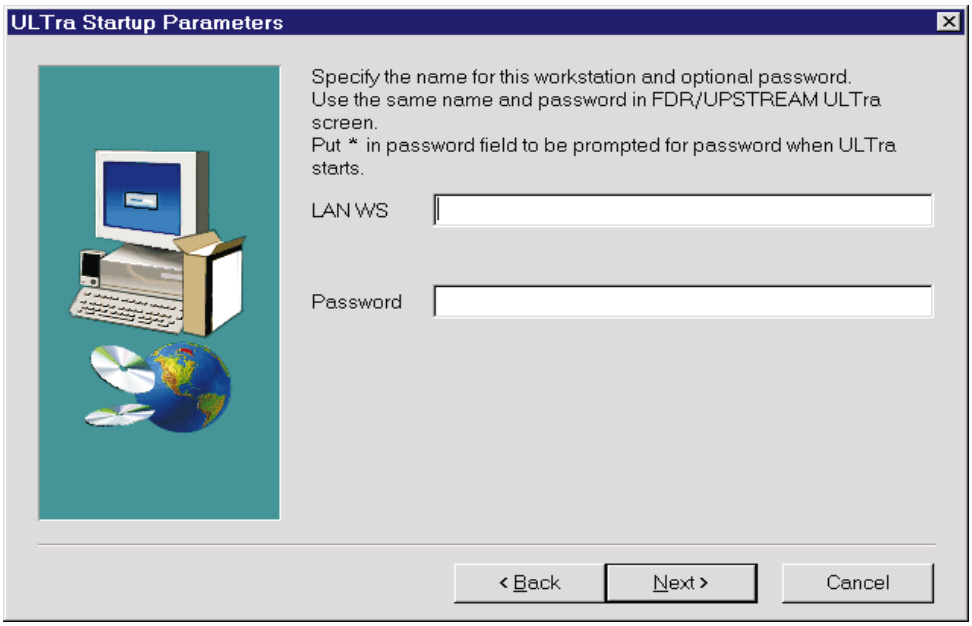
For a CD install enter <drive>:\SETUP. Many users will enter: **D:\SETUP**.

You will see a banner and then be asked for your Name and Company. UPSTREAM does not use this information, but it is required. Press the **Next>** button to continue.

You will then be asked to Choose Destination Location. If you do not wish to use the default directory of C:\UPSTREAM, press the Browse button to specify a different directory. Installing a new version of UPSTREAM over an existing version is safe as configuration, parameter and other important files are preserved. Press the **Next>** button when you are satisfied with the directory for UPSTREAM. This will display the Select Components dialog.




The FDR/UPSTREAM option is checked by default. If you wish to install the ULTra client software, then check that option. Press the **Next>** button. This will display the ULTra Startup Parameters dialog.




- **LAN WS:** Enter up to 31 characters uniquely naming the Workstation on the LAN. This field is required. The default name is the user name you specified at the start of the setup prefixed with WS.

- Password: If you wish to have this workstation assigned a password (which must be entered from the UPSTREAM machine which accesses it), enter it here. Leave this field blank for no password. Enter an asterisk ('*') if you wish the ULTra program to request the password when it is started.

All ULTra activity is displayed in the main ULTra program window. The ULTra program window can be minimized to save desktop space. To terminate ULTra, close it by pulling down the system menu in the upper left hand corner of the window and selecting **Close**.

Note that you can disable either the NetBIOS or IPX/SPX connectivity types, by specifying environment variables USNOIPXSPX=Y to disable IPX/SPX, USNONETBIOS=Y to disable NetBIOS or USNOTCP=Y to disable TCP/IP.

In Windows 3.1, if you are using the default NetBIOS parameters you will need to increase the size of the NetBIOS heap. You will need to increase these values further if you will be increasing either your LANBUFFER size or NUMECBS. In the SYSTEM.INI file, add or modify the NetHeapSize in the 386Enh section:

```
...
[386Enh]
...
NetHeapSize = 100
```

**NOTE: Window 95 ULTra running NetBIOS will use only the NetBIOS marked as the default protocol in the Network applet of the Control Panel**

# 20.5. Workstation Messages

ULTra maintains a log, ULTRA.LOG in the directory that ULTra was started from. The messages in the log are time-stamped and indicate a primary error code, sometimes a secondary error code and a return code. The error codes can be looked up in the UPSTREAM Messages chapter of this manual or the UPSTREAM.MSG file. The return codes are either Novell return codes or operating system return codes (depending upon their context).

Some of the error codes are merely notifications of activity. Error code 4000 indicates the time the TSR was loaded. 4001 indicates the time it was unloaded. 3005 is a normal connect. 3675 is a normal disconnect. Many other messages are merely notifications as well. All serious failures are logged on the requestor side as well as the workstation side.

The size of the workstation log can be reduced without deleting the entire file by running USLOGCLR. Since this is not the default log file name you must specify the name on the command line. For example, to remove all but the last 5 days worth of messages, enter:

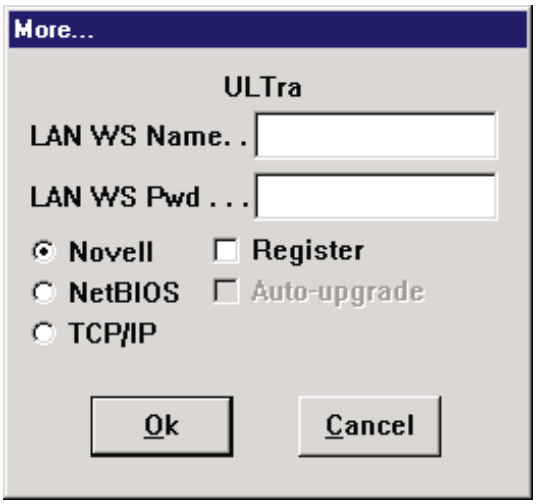
```
USLOGCLR 5 ULTRA.LOG
```

### 20.5.1. TCP/IP Verification file

As an additional level of security FDR/UPSTREAM ULTra for TCP/IP supports a verification file on the workstation. If the file **UPSTREAM.VRF** exists in the ULTra workstation's program directory, ULTra will only accept connections from UPSTREAM or LANCOPY machines with IP addresses specified in this file. The file is free-format; the address just has to be somewhere in the file.

# 20.6. The FDR/UPSTREAM Requestor Component

The FDR/UPSTREAM ULTra dialog lets your specify that a given backup, restore, file transfer or job request should be serviced by an ULTra workstation. The ULTra dialog is available from a number of FDR/UPSTREAM dialogs by pressing the <ULTra...> button.




- **LAN WS Name:** The LAN Workstation name of the ULTra machine you wish to connect to. This is assigned on the command line when ULTra was started on the workstation. If you place an at sign ('@') before the name an ULTra profile will be used (see the ULTra chapter for defining ULTra profiles); these are only valid for backups and restores. This is required for ULTra.

  When specifying a TCP/IP name on the ULTra workstation, the name specified on the ULTra command line is not used. You must either specify:

  - A name already defined in a name server, or the existing LAN name for the workstation.
  - The actual IP address of the workstation.

- **LAN WS Pwd:** The optional password assigned by the user of the LAN Workstation on the command line when they started ULTra.

The connection type radio buttons are:

- **Novell:** Check this radio button if you wish to connect to the LAN workstation using IPX/SPX.

- **NetBIOS:** Check this radio button if you wish to connect to the LAN workstation using NetBIOS.

- **TCP/IP:** Check this radio button if you wish to connect to the LAN workstation using TCP/IP.

The following checkboxes are for registration and auto-upgrades:

- **Register:** Check this box if you wish to register the ULTra workstation with the host so that the host can locate the workstation by registered name (rather than specific SNA or TCP/IP addressing). You must check this box to use the auto-upgrade facility. The default is not checked.

☐ **Auto-upgrade:** Check this box if you wish to automatically upgrade the ULTra software on the ULTra workstation. This facility requires sophisticated setup; see the *Management* chapter for more information.

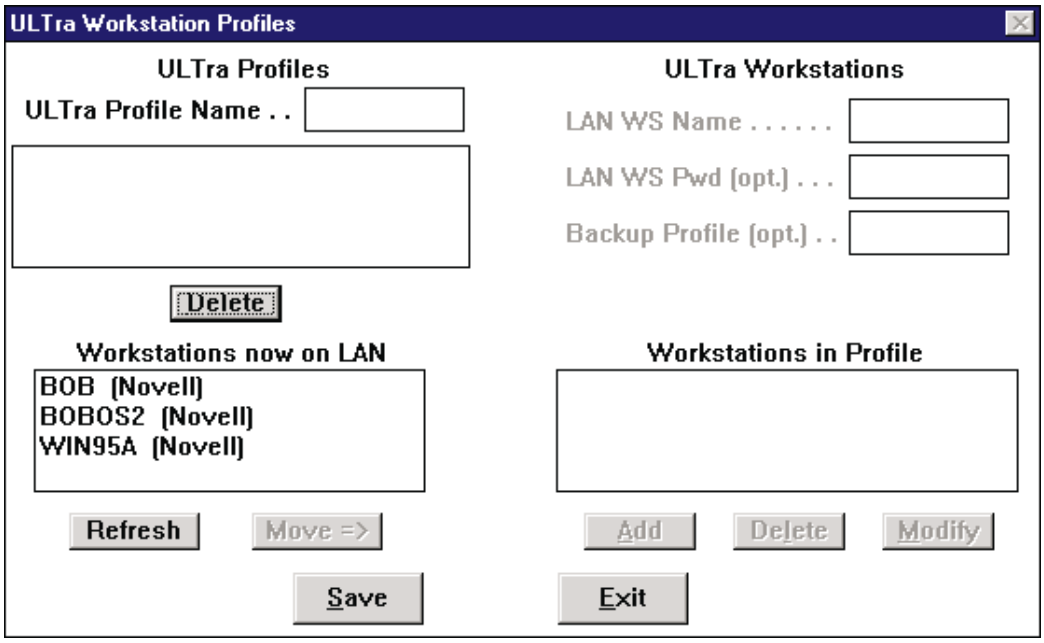
When you perform a backup or restore using FDR/UPSTREAM ULTra, the FDR/UPSTREAM PC contacts the workstation through the LAN. This brings up the status display on the workstation. Then as file I/O requests need to be processed they are routed to the workstation. The FDR/UPSTREAM PC just appears to be performing a normal backup or restore (though the LAN Workstation Name is on the status display). When the backup or restore is complete, the workstation's status display disappears and both PCs are ready for the next action.

In most cases you will want to back up a number of workstations the same way: i.e. the same file specifications, fulls on the same day, etc. To make this simple, FDR/UPSTREAM ULTra allows you to be able to group workstations together and specify a similar operation for each using an ULTra profile.

When you specify a backup or restore using an ULTra profile, the backup or restore operation is repeated multiple times, one backup or restore operation for each LAN Workstation name specified.

### 20.6.1. Creating an ULTra Profile

ULTra profiles are created in the SETNOV program (it is the **Novell and ULTra** icon if you are running OS/2 or Windows). In the entry dialog (titled *Server Profiles*), you will see a button: **<ULTra>**. When you press this button, a message box stating: *It will take 3-5 sec. to get information about active NetBIOS ULTra stations* followed by a message box stating: *It will take 3-5 sec. to get information about active TCP/IP ULTra stations*. Press these buttons in turn, and after a short wait the ULTra Workstation Profiles dialog will be displayed.




☐ **ULTra Profile Name:** Enter the name of an existing ULTra profile or a new profile. If you enter a name of an existing ULTra profile, the profiles in the Workstations in Profile list will change to reflect the workstations defined for that profile. If you enter a new profile name, you will be asked if you wish to create it. The list box underneath this field consists of the existing profiles; if you a highlight entry in the list box the ULTra Profile Name will change as well as the list of profiles in the Workstations in Profile list. Many of the fields in this dialog are grayed and unavailable if you do not have a value in this field. You can enter from 1 to 8 characters for the profile name.

The <Delete> and <Save> buttons allow you to respectively delete an existing profile or save the profile which is specified in the ULTra Profile Name field.

- **Workstations now on LAN:** If your PC is currently attached to the LAN, this list box displays the ULTra workstations which are active at this time. This can be useful in helping you define the entries in your ULTra profile.

  Pressing the <Refresh> button will have the list refreshed with the currently active ULTra workstations. Pressing the < Move=> > button causes the highlighted entry to be moved to the LAN WS Name field.

  Note that workstations may be in the list up to three times, with a different connectivity type. The connectivity type is for display only and is ignored when specifying the ULTra profile. The connectivity type used will be the type specified in UPSTREAM. Note that TCP/IP workstations, if not listed on a name server or with Microsoft networking may display an IP address. IP addresses are valid in ULTra.

- **LAN WS Name:** Enter the LAN Workstation Name which you wish to add to the ULTra profile currently specified. The LAN Workstation Name is a command line parameter to ULTra (you can also use the TCP/IP IP address). This value can be entered automatically by pressing the <Move> button. This is a required field.

- **LAN WS Pwd:** Enter the password specified by the user on the ULTra workstation. This value is encrypted before being stored. This is an optional field. If left blank it is assumed that the workstation has no password.

- **Backup Profile:** In most cases you will leave this field blank which will cause FDR/UPSTREAM to use the same Backup Profile name as LAN Workstation Name. If you wish though, you can specify a different Backup Profile name .

- **Workstations in Profile:** This list box contains all the workstations defined for this profile. Changing the highlighted entry will change the values in the edit fields above.

- **Add:** Press this button to add the specified LAN Workstation Name to the profile. Remember that you must press the <Save> button to save the entry to disk.

- **Delete:** Press this button to delete the specified LAN Workstation Name from the current profile. Remember that you must press the <Save> button to save the entry to disk.

- **Modify:** Press this button to update the LAN Workstation entry (to reflect a changed password or backup profile). Remember that you must press the <Save> button to save the entry to disk.

- **Ok:** Press this button to leave the dialog. Remember that you must press the <Save> button to save any profile changes to disk.

### 20.6.2. Using ULTra Profiles

To use an ULTra profile, specify a backup as you normally would. Since this backup reflects a group of workstations with slightly different configurations, you will want to make the backup specifications encompass the largest workstation.

For example: if you are backup up three workstations and two of them have a C: drive and the third has a C: and D: drive, your backup specifications should include both a C: and D: drive.

In the backup profile field you can enter any value as it will be overridden (but it remains required). In the LAN WS Name field (in the <More...> dialog), enter an "at" sign (@) and then the ULTra profile. For example, if you have an ULTra profile named USERS enter in the LAN WS Name field:

```
@USERS
```

When the backup begins, FDR/UPSTREAM will display message number 5003N which says that an ULTra profile backup is starting and indicates the LAN Workstation Name which will be used. The dialog will be displayed for 10 seconds (regardless of your Message Time Limit). Pressing the <Ok> button during this period allows you to abort all remaining backups or restores in the profile. Otherwise, when it times out, backups or restores will be started for each LAN Workstation Name in the profile.

Note that all the workstations in an ULTra profile must be attached via the same connectivity type (IPX/SPX, NetBIOS or TCP/IP), as specified in the <ULTra...> dialog.

# 20.7. The LANCOPY Requestor Component

LANCOPY is a utility program provided with FDR/UPSTREAM ULTra to allow you to copy files to and from a workstation which has ULTra loaded on it or perform directory listings of files on a workstation.

### 20.7.1. Copying files

The LANCOPY file copy facility of FDR/UPSTREAM ULTra allows a requesting PC to copy files to and from a workstation through the LAN without going through a file server. There are two formats for LANCOPY, one for copying files from the remote workstation and one for coping files from the local PC. To copy files from the remote workstation, the format of LANCOPY is:

```
LANCOPY <Workstation Name>  R<Drive>:<file spec>
        L<Drive>:<file spec> [/p<password>] [/s]
        [/i[NE | NO | T]]
```

- **Workstation Name:** The name of the workstation, specified when ULTra was loaded.
- **R<Drive>:<file spec>:** 'R' stands for remote. The remainder is the file specification on the remote computer.
- **L<Drive>:<file spec>:** 'L' stands for local. The remainder is the file specification on your computer.
- **/p<password>:** The optional password specified on the workstation.
- **/s:** Optionally specifies that subdirectories be included in the copy.
- **/iNE, /iNO or /T:** The connectivity type: Use /iNE for NetBIOS, /iNO for Novell IPX/SPX or /iT for TCP/IP. The default is /iNO.

Note that wildcards, if used, are required in both the local and remote file specifications.

For example, to copy all the files from the c:\temp directory on a workstation named TOM_SMITH to the d:\new directory on your machine, including subdirectories and a password of PIE enter:

```
LANCOPY TOM_SMITH rc:\temp\*.* ld:\new\*.* /pPIE /s
```

The form for a copy in the other directory (from your PC to the workstation) is:

```
LANCOPY <Workstation Name>  R<Drive>:<file spec>
        L<Drive>:<file spec> [/p<password>] [/s]
        [/i[NE | NO | T]]
```

For example, if you wished to reverse the movement of files from the previous example, specify:

```
LANCOPY TOM_SMITH ld:\new\*.* rc:\temp\*.* /pPIE /s
```

Note: The DOS version of LANCOPY will not copy the extended attributes or the long file names of an OS/2, Windows 95 or Windows NT workstation.

### 20.7.2. Directory Listings

If you wish to perform a directory listing of a workstation, the format is:

```
LANCOPY <Workstation Name> <file spec> /d [/p]
        [/i[NE | NO | T]]
```

- **Workstation Name:** The name of the workstation, specified when ULTra was loaded.

- **<file spec>:** The file specification on the remote computer that you wish to see a directory listing of (including wildcards).
- **/d:** Required to denote a directory listing.
- **/p<password>:** The optional password specified on the workstation.
- **/iNE or /iNO:** The connectivity type: Use /iNE for NetBIOS and /iNO for Novell IPX/SPX. The default is /iNO.

The DOS version of LANCOPY shows directory listings in standard DOS form. The OS/2 version shows directory listings in OS/2 HPFS form including extended attributes and long file names.

Note that all files are displayed including system and hidden files.

# 20.8. Tuning

There are a number of parameters to help you tune the LAN Workstation version and most work on both the requestor and the workstation. These parameters are set through environment variables (SET <variable>=<value>) which are set on the command line before you run the program. You may choose to add these to your AUTOEXEC.BAT file.

For example, in DOS, to reduce the amount of memory required on the workstation, enter the following on the command line before running ULTra:

```
SET LANBUFFER=1024
SET NUMECBS=5
```

| Name | Default | Description |
|---|---|---|
| ADAPTER | 0 | The NetBIOS adapter number to use. 0 is the primary adapter, 1 is the secondary adapter. |
| LANBALANCE | 2 | How the internal buffers are optimized. While this parameter can be specified on the requestor, it is only useful on the workstation.<br>0 = Mostly receive<br>1 = Mostly send<br>2 = Balance send and receive |
| LANBUFFER | 8192 | The size of the I/O buffer. A larger size will increase I/O performance at the expense of memory. Do not set this value lower than 512 or greater than 32767. |
| LANTIMEOUT | 0 | How long in seconds a communications request will be held for before timing out. The default of 0 means to never time out. |
| NOSAP | (not specified) | The default is to use the NetWare Service Advertising Protocol, where each workstation registers itself once a minute with every file server on the internetwork. You can disable this feature to reduce the amount of overhead on the internetwork by specifying a value for NOSAP. However, you will also lose the ability to cross bridges and routers. |
| NUMECBS | 10 | The number of event control blocks used in the data transfer. A larger number increases LAN performance at the expense of memory. Do not set this value lower than 5 or greater than 254. |
| SERVERTYPE | 29631 | When using the Service Advertising Protocol, this is the TYPE advertised by the workstation. It is specified in inverted byte format. |
| SOCKET | 9026 | The IPX socket used for communications. If you have a socket conflict, specify a different value. This value is in inverted byte order. |
| TIMEOUT | 20 | The number of seconds that a node will wait for a connection packet from the remote. You should always specify the same value on the requestor and workstation. |
| USDATAGRAMTO | 3 | The number of seconds before a datagram (broadcast) used in session establishment will time out. |

| Name | Default | Description |
|---|---|---|
| USLAPS | (not specified) | (OS/2 only) If specified, force the use of the LAPS interface instead of the LAN Server interface. |
| USNOIPXSPX | (not specified) | If specified, then the IPX/SPX interface will not be used for ULTra servers or requestors. |
| USNONETBIOS | (not specified) | If specified, then the NetBIOS interface will not be used for ULTra servers or requestors. |
| USNOTCP | (not specified) | If specified, then the TCP/IP interface for ULTra will not be used. |
| USNOTLI | (not specified) | If specified, then the TLI (advanced IPX/SPX) interface will not be used for ULTra servers or requestors. |
| USTCPDGSOCKET | 8996 | The TCP/IP socket UPSTREAM will use for datagram transmission. |
| USTCPSOCKET | 8995 | The TCP/IP socket UPSTREAM will use for connection-oriented transmission. |
| USUSE32BITNETBIOS | (not specified) | (OS/2) Causes FDR/UPSTREAM to use the 32-bit interface rather than the 16-bit interface. |
| USUSEWIN32ALTERNATEFILENAMES | (not specified) | If specified, then ULTra on a Windows 95 or Windows NT machine will use the mangled name (8.3) instead of the long file name. |

# 20.9. Recovering a Failed OS/2 Workstation

When an OS/2 PC or server fails, the process to recover the operating system, communications facilities, LAN services and more can require many hours and is extremely time consuming. FDR/UPSTREAM offers a way to recover an OS/2 workstation or server, quickly and in an unattended fashion with the ULTra product. This process can also be used to quickly and easily install OS/2 with complete operating system and applications to a new workstation.

This process works by utilizing a FDR/UPSTREAM OS/2 PC which has the full version of the software installed including host connectivity. The FDR/UPSTREAM PC performs a restore to the new PC using the ULTra facility using LAN protocols (NetBIOS or IPX/SPX).

The following discusses the recovery of an OS/2 workstation or server using ULTra with NetBIOS or IPX as the transport mechanism.

You can also use a similar method to recover a DOS or Windows workstation as well. The process is not described in detail as a single disk recovery of the operating system is quite simple.

You must prepare for an OS/2 recovery in the following ways:

- Perform regular FDR/UPSTREAM backups of your PC or server.
- Prepare customized utility (recovery) diskettes

Note that the following process assumes that your original PC and new PC will be using NetBIOS or IPX through an IBM Token-Ring card. Various notes are placed indicating changes you must make if you are using different card types, or if your original PC and new PC have different hardware configurations.

When you need to recover an OS/2 PC, perform the following:

- Boot with the Utility diskettes
- Run FDR/UPSTREAM ULTra on the new PC.
- On another PC on the LAN, run the full version of FDR/UPSTREAM, restoring the server.
- If this is an IBM LAN Server and you are running HPFS386, boot the server and rerun the restore with the full version of FDR/UPSTREAM.

### 20.9.1. Performing Regular Backups

To use the FDR/UPSTREAM OS/2 recovery facility you must regularly back up your PC or server. You can use either the full FDR/UPSTREAM product or the ULTra product. However, if this is an IBM LAN Server file server with HPFS386, you will want to use the full version of FDR/UPSTREAM as the ULTra product does not back up HPFS386 ACLs.

### 20.9.2. Preparing Recovery Diskettes

There are several steps to preparing your recovery diskettes:

- Create the diskettes
- Copying the NetBIOS or IPX support files.

- Modifying the CONFIG.SYS (for NetBIOS or IPX).
- Copy the ULTra recovery facilities
- Test.

### 20.9.3. Creating the Recovery Diskettes

From the OS/2 System folder, select the System Setup folder and start the Create Utility Diskettes program. You can also start this program from an OS/2 full-screen or Window by running C:\OS2\INSTALL\BOOTDISK or if you have OS/2 pre-installed by IBM run C:\OS2\INSTALL\PRELOAD\BOOTDISK. Note that if you have an early version of OS/2 v2.1, you may not have this program. See the following section if you do not have this option.

This program has a help button on entry to help you understand the process which we recommend you read. The following description is based on the use of 1.44MB diskettes which is recommended rather than one 2.88MB diskette.

If you have OS/2 pre-version 4, have 3 diskettes available; Warp v4 requires 4 diskettes. Follow the instructions for creating these diskettes.

For Warp pre-v4, the resulting diskettes are:

- Diskette #1 is the first bootable diskette. In a disaster you will begin your boot process with this diskette.
- Diskette #2 is the second bootable diskette. Use this diskette to continue your booting process.
- Diskette #3 is the utility diskette. It contains FORMAT and FDISK and is where you will copy the ULTra software to.

For Warp v4, the resulting diskettes are:

- Diskette #0 is the first bootable diskette. In a disaster you will begin your boot process with this diskette.
- Diskette #1 is the second bootable diskette.
- Diskette #2 is the third bootable diskette. This diskette contains the DLLs and other system files you must add to the diskette.
- Diskette #3 is the utility diskette. It contains FORMAT and FDISK and is where you will copy the ULTra software to.

It is a good practice at this time to test these diskettes by booting them. You should expect to see an OS/2 prompt after the final diskette boots. Try to access files and directories on your hard drive, and try to start some programs (ULTra for example) from the hard drive. If there is a problem, reboot from the hard disk, recreate the diskettes and retry.

After running BOOTDISK, do the following:

☐ Edit the CONFIG.SYS file on Diskette #2 (pre v4) and Diskette #1 (v4). Change:

```
PROTSHELL=SYSINST1.EXE
SET OS/2_SHELL=SYSINST1.EXE
```

☐ To:

```
PROTSHELL=CMD.EXE
SET OS/2_SHELL=CMD.EXE
```

- Note that the CONFIG.SYS file ma have the read-only attribute which could prevent you from editing it. You can remove the read-only attribute from the CONFIG.SYS file by entering the following from an OS/2 full screen or window:

```
ATTRIB A:\CONFIG.SYS -R
```

- Increase the free space on Diskette #2 (both versions) by entering the following from an OS/2 full screen or window:

```
DEL A:\BUNDLE
DEL A:\SYSINST1.EXE
DEL A:\SYSINST2.EXE
```

For Warp v4, delete the following additional files:

```
DEL A:\TEDIT.EXE
DEL A:\TEDIT.HLP
DEL A:\MOUSE.SYS
DEL A:\FDISK.COM
DEL A:\SIPANEL1.DLL
DEL A:\RMVIEW.EXE
DEL A:\RMINFO.DLL
```

You should have about 300K free on this diskette to proceed with the steps to complete the creation of the recovery diskettes.

### 20.9.4. Copying NetBIOS Support Files

Go to the following section if you are using ULTra with IPX.

To modify these diskettes to support NetBIOS, copy the following files from the C:\IBMCOM directory on your PC to Diskette #2:

```
copy c:\IBMCOM\LANMSGEX.EXE a:\
copy c:\IBMCOM\LANMSGDD.OS2 a:\
copy c:\IBMCOM\PROTMAN.OS2 a:\
copy c:\IBMCOM\PROTOCOL.INI a:\
```

Copy the following files from the C:\IBMCOM\DLL directory on your PC to Diskette #2:

```
copy c:\IBMCOM\DLL\ACSLAN.DLL a:\
copy c:\IBMCOM\DLL\ACSNETB.DLL a:\
copy c:\IBMCOM\DLL\LANMSGDL.DLL a:\
```

Copy the following files from the C:\IBMCOM\PROTOCOL directory on your PC to Diskette #2:

```
copy c:\IBMCOM\PROTOCOL\NETBIND.EXE a:\
copy c:\IBMCOM\PROTOCOL\NETBEUI.NIF a:\
copy c:\IBMCOM\PROTOCOL\NETBEUI.OS2 a:\
copy c:\IBMCOM\PROTOCOL\NETBIOS.OS2 a:\
```

Copy your LAN adapter driver and its NIF file to Diskette #2. These files are found on the diskette which came with your adapter card. Note that you will want to use the NDIS drivers rather than the Novell ODI drivers. For most IBM Token-Ring cards (except the Adapter II):

```
copy c:\IBMCOM\MACS\IBMTOK.OS2 a:\
copy c:\IBMCOM\MACS\IBMTOK.NIF a:\
```

Copy the following DLL from the C:\OS2\DLL directory for job support to Diskette #2:

```
copy c:\OS2\DLL\QUECALLS.DLL a:\
```

Copy the following message files in the C:\IBMCOM directory to Diskette #2 to provide readable bootup messages. If you run out of space creating the diskettes, you can remove any or all of these files.

```
copy c:\IBMCOM\BRZ.MSG a:\
copy c:\IBMCOM\ETHH.MSG a:\
copy c:\IBMCOM\LT0.MSG a:\
```

```
copy c:\IBMCOM\LT2.MSG a:\
copy c:\IBMCOM\LT0H.MSG a:\
copy c:\IBMCOM\LT1H.MSG a:\
copy c:\IBMCOM\LT2H.MSG a:\
copy c:\IBMCOM\LT3H.MSG a:\
copy c:\IBMCOM\LT4H.MSG a:\
copy c:\IBMCOM\MACH.MSG a:\
copy c:\IBMCOM\PRO.MSG a:\
copy c:\IBMCOM\UBIH.MSG a:\
```

Copy the following message file from the c:\OS2\SYSTEM directory to Diskette #2. Again, if you run out of space you can remove this file.

```
copy c:\OS2\SYSTEM\OSO001.MSG a:\
```

### 20.9.5. Copying IPX Support Files

Go to the following section if you are using ULTra with NetBIOS.

To modify these diskettes to support IPX, copy the following files from the C:\NETWARE directory on your PC to Diskette #2:

```
copy c:\NETWARE\IPXCALLS.DLL a:\
copy c:\NETWARE\NETAPI.DLL a:\
copy c:\NETWARE\NETSUB.DLL a:\
copy c:\NETWARE\NPCALLS.DLL a:\
copy c:\NETWARE\NWCALLS.DLL a:\
copy c:\NETWARE\NWCONFIG.DLL a:\
copy c:\NETWARE\SPXCALLS.DLL a:\
copy c:\NETWARE\NWREQOS2.MSG a:\
copy c:\NETWARE\LSL.SYS a:\
copy c:\NETWARE\IPX.SYS a:\
copy c:\NETWARE\SPX.SYS a:\
copy c:\NETWARE\ROUTE.SYS a:\
copy c:\NETWARE\TLI.DLL a:\
copy c:\NETWARE\TLI_SPX.DLL a:\
copy c:\NETWARE\TLI_TCP.DLL a:\
```

Copy the following device drivers from the c:\OS2\DLL directory:

```
copy c:\OS2\DLL\NLS.DLL a:\
copy c:\OS2\DLL\QUECALLS.DLL a:\
```

Copy the ODI driver for your LAN adapter card. For the IBM Token-Ring adapter (except the adapter II):

```
copy c:\NETWARE\TOKEN.SYS a:\
```

Copy your NET.CFG file:

```
copy c:\NET.CFG a:\
```

### 20.9.6. Modifying the CONFIG.SYS (NetBIOS)

If you are using IPX, proceed to the next section.

Once you have copied all the files, you must modify the CONFIG.SYS on Diskette #2 (pre v4) or Diskette #1 (Warp v4) to load the adapter support and NetBIOS.

Using a text editor (such as E), edit your CONFIG.SYS to add the following lines to the end of the file:

```
DEVICE=LANMSGDD.OS2 /I:a:\
DEVICE=PROTMAN.OS2 /I:a:\
DEVICE=NETBEUI.OS2
```

```
DEVICE=NETBIOS.OS2
RUN=NETBIND.EXE
RUN=LANMSGEX.EXE
```

At the very end of the file, add a line to load your LAN adapter driver (copied above). For most IBM Token-Ring cards, add:

```
DEVICE=IBMTOK.OS2
```

### 20.9.7. Modifying the CONFIG.SYS and NET.CFG (IPX)

If you are using NetBIOS, proceed to the next section.

Edit the CONFIG.SYS on Diskette #2 (pre v4) or Diskette #1 (Warp v4) to load the adapter and IPX support.

Using a text editor (such as E), edit your CONFIG.SYS to add the following lines to the end of the file:

```
DEVICE=A:\LSL.SYS
REM Replace the following line with your adapter
REM specific ODI driver
DEVICE=A:\TOKEN.SYS
DEVICE=A:\IPX.SYS
DEVICE=A:\SPX.SYS
DEVICE=A:\ROUTE.SYS
```

Again, using a text editor, edit the NET.CFG file on Diskette #2 in the following ways:

- ☐ If you are not using the ODI driver for your PC normally (most likely then you would be using a NDIS driver), you will need to properly configure the NET.CFG to use the ODI driver.
- ☐ Remove all block header statements and their subsequent modifiers, retaining the following:
  - Link Driver
  - Protocol
  - Link Support

An example NET.CFG would be:

```
LINK DRIVER TOKEN
   NODE ADDRESS 400000000001
   FRAME TOKEN-RING
   FRAME TOKEN-RING_SNAP
LINK SUPPORT
   BUFFERS 14 4202
PROTOCOL STACK SPX
   RETRY COUNT 200
```

### 20.9.8. Copy ULTra

Copy the full screen version of FDR/UPSTREAM ULTra to Diskette #3:

```
copy c:\UPSTREAM\ULTRADR.EXE a:\
copy c:\UPSTREAM\UPSTREAM.MSG a:\
```

### 20.9.9. Testing

Once you have the recovery diskettes built, you should test the diskettes to verify that they are correct.

Perform a normal shutdown of OS/2 from the desktop. Once the system has shut down, insert Diskette #1 into the A: drive and reboot the PC. After a short time, you will be asked to insert Diskette #2 to complete the boot process.

When the system reaches the command line (note that there is no presentation manager in the recovery system), you must wait for all disk activity to cease. There should be no errors during the boot process. For NetBIOS, if there are errors, they will be logged to the file LANTRAN.LOG on Diskette #2; there is no similar log for IPX.

After disk activity has ceased, make a new directory on the hard disk (we recommend C:\ULTRA), insert Diskette #3 and copy ULTRADR.EXE and UPSTREAM.MSG to this directory. You must then reinsert Diskette #2. Diskette #2 must be inserted during ULTra operations as it contains required libraries.

Make the A: drive the default drive. Run the ULTRADR program with a workstation name (by entering C:\ULTRA\ULTRADR <workstation name>). All status messages are written to the screen with a prompt suggesting that if you press any key, ULTRADR will terminate.

Go to an OS/2 PC with the full version of FDR/UPSTREAM. Restore the OS/2 directory, on the PC being tested (specifying the LAN Workstation name and a NetBIOS connection type in the <More...> dialog). If this process is successful, you are ready to recover this PC, otherwise contact FDR/UPSTREAM Technical Support. We recommend that you delete the C:\ULTRA directory so that it does not get included in regular backups.

**NOTE: If for some reason you are using recovery diskettes prepared on some other PC or the hard disk was reformatted, be sure that the file system type in which the diskettes were prepared and the new one are the same (i.e. all FAT or all HPFS).**

### 20.9.10. Recovering an OS/2 PC

The process for recovering an OS/2 PC is very much like the testing process:

- Boot the new PC with the 2 recovery diskettes.
- (If necessary) After disk activity has ceased, insert Diskette #3, run the FDISK and FORMAT programs. You will need to reboot after running FDISK.
- Create a C:\ULTRA directory, insert Diskette #3, and copy ULTRADR.EXE and UPSTREAM.MSG to the new directory. Reinsert Diskette #2.
- Make the A: drive the default.
- Run C:\ULTRA\ULTRADR with a workstation name on the command line.
- On the FDR/UPSTREAM PC, restore the PC.
- Reboot the PC.
- (IBM LAN Server and HPFS386) Rerun the full version of FDR/UPSTREAM to recover ACLs.

# 20.10. Recovering a Windows 95/98 Workstation

FDR/UPSTREAM ULTra can be used to provide a single diskette disaster recovery solution for Windows 95 workstations. Using the DOS version of FDR/UPSTREAM ULTra on the workstation to be recovered in combination with the complete FDR/UPSTREAM product on the LAN, the operating system, long file names, and everything necessary for complete system recovery can be achieved.

The process consists of the following:

- Create a recovery diskette.
- Save the long file name information and perform, using the DOS version of FDR/UPSTREAM ULTra, a recovery backup.
- Perform regular backups.
- When a disaster occurs, boot the recovery diskette and recover the base operating system.
- Recover long file names.
- Restore your remaining data.

The following completely describes the process.

### 20.10.1. Create a Recovery Diskette

A recovery diskette is a Windows 95 (DOS v7) bootable diskette containing device and LAN support, as well as FDR/UPSTREAM ULTra software.

The first step, is to format the recovery diskette using the /s option which transfers the operating system. From a Windows 95 MS-DOS prompt, enter:

```
C:\WINDOWS> format a: /s
```

You do not need to specify a particular volume label, so press the [ENTER] key to skip that step.

Copy the contents of the DOS version of ULTra to your recovery diskette. If you need to save space you only need ULTRAD.EXE, UPSTREAM.MSG (you can remove this one in a pinch) and in some cases RESET.EXE for NetBIOS. We also recommend that you copy the Windows 95 FORMAT and HIMEM programs to your recovery diskette:

```
C:\WINDOWS> copy c:\windows\command\format.com a:
C:\WINDOWS> copy c:\windows\himem.sys
```

If your new hard disks will not come properly partitioned, you will also have to copy the FDISK program.

At this point the process of creating the recovery diskette varies significantly depending upon your device support, LAN drivers, etc. The following steps were used to create a NetBIOS recovery diskette for an IBM Token-Ring environment.

- Copy the IBM LAN Support Program drivers to the diskette (this consisted of DXM.MSG, DXMA0MOD.SYS, DXMC0MOD.SYS, DXMMSG.001, DXMT0MOD.SYS).
- Create a CONFIG.SYS as follows. As described in the DOS ULTra installation section, you may need to use the RESET program and you may need DXMT0MOD parameter modifiers.

```
files=99
buffers=20
dos=high
```

```
device=a:\himem.sys
device=a:\dxma0mod.sys
device=a:\dxmc0mod.sys
device=a:\dxmt0mod.sys
```

The following steps were used to create an IPX/SPX recovery diskette for an IBM Token-Ring environment:

- Copy the IBM LAN Support Program drivers to the diskette, excluding the NetBIOS driver. This consisted of: DXM.MSG, DXMA0MOD.SYS, DXMC0MOD.SYS, and DXMMSG.001.
- Create a CONFIG.SYS as follows:

```
files=99
buffers=20
dos=high
device=a:\himem.sys
device=a:\dxma0mod.sys
device=a:\dxmc0mod.sys
```

- Install the DOS version of the NetWare drivers for your hardware environment to a PC with the same LAN hardware as your target PC. Copy the contents of the \NWCLIENT directory to an \NWCLIENT directory on your PC. Modify the STARTNET.BAT file so that it uses the A: drive instead of the drive that you installed your software to.
- Create an AUTOEXEC.BAT that runs the STARTNET.BAT file.

### 20.10.2. Perform a Recovery Backup

There is a program, LFNBK, in the Windows 95 distribution, which allows long file names on your disk to be converted to short file names, and the long file name information to be stored in a file for later recovery.

Copy this file to your Windows directory. From a MS-DOS prompt enter (assuming that the D: drive is your CD):

```
C:\WINDOWS> copy d:\admin\apptools\lfnback\lfnbk.exe
```

Run the LFNBK program, using the /b to back up the long file name information:

```
C:\WINDOWS> lfnbk /b
```

At this point, your system no longer has long file name information available. Note that before your PC will behave normally, you will need to rerun the LFNBK program with the /r option. To perform your recovery backup, insert your recovery diskette and reboot your PC.

Once the PC is rebooted in DOS and you have installed LAN support, run the ULTra program with the workstation name you wish to use.

At this point, go to the FDR/UPSTREAM Workstation/Server that will be used to backup/restore your Windows 95 PC. Perform a complete backup of the C: drive. Note that we recommend that you perform a first time full and use a separate backup profile for each Windows 95 PC that you are preparing a recovery plan.

After the backup has completed, reboot the Windows 95 PC, and run the long file name backup program from a MS-DOS prompt with the recovery option to restore your PC to normal:

```
C:\WINDOWS> lfnbk /r
```

### 20.10.3. Perform Regular Backups

You should perform regular backups either using the ULTra or complete version of FDR/UPSTREAM for Windows 95. This will assure that your applications and minor system changes are recorded and can be restored. If you perform major system changes or change your LAN hardware we recommend that you rebuild your recovery diskette and perform a new recovery backup.

### 20.10.4. Recovering Windows 95

At the time you install a replacement hard disk or procure a new computer, boot up the machine using your recovery diskette. You may need to partition the hard disk using FDISK or a similar utility. See the disk/computer manufacturers documentation for more information.

Before performing the following verify that there is no important data to be lost on your new disk. Then format the hard disk of your new hard disk using the system transfer option:

```
A:\> format c: /s
```

Load ULTra on your workstation and proceed to the FDR/UPSTREAM machine. Restore the entire contents of the C: drive.

Once the drive has been restored, reboot the computer.

### 20.10.5. Restoring Long File Names

When you reboot your PC your environment is not completely restored. Your folders will be incomplete and have truncated names. If you can not get to a MS-DOS prompt, use the **Run** command from the **Start** menu to run **C:\WINDOWS\LFNBK /r**

After the program has completed, your environment (including host connectivity if any) is restored.

### 20.10.6. Restore your Remaining Data

Perform a complete restore of your entire system to recover all of your application data as well as minor changes to your environment (registry and other system changes).

# 20.11. ULTra Workstation Compression

FDR/UPSTREAM ULTra supports workstation level compression. This offers better performance on slow LAN links as well as better network resource utilization.

With workstation compression, the compression that you specify on the UPSTREAM PC is actually performed on the workstation. This guarantees that the minimum amount of data is transmitted throughout all phases of communications.

There are a few cases where ULTra compression will not or should not be used:

- ULTra compression will not be used on DOS workstations. DOS workstations have insufficient memory for compression. Workstation compression is disabled automatically.
- When using high compression, the LAN link is fast and the workstation is particularly slow. Workstation compression would result in overall performance degradation.

To limit workstation compression on the workstation, specify the environment variable ULTRACOMPR.

| Name | Default | Description |
|---|---|---|
| ULTRACOMPR | 4 | The maximum compression level to perform on the workstation.<br>0 = No compression<br>1 = Fast Compression<br>2 = High Compression 1<br>3 = High Compression 2<br>4 = High Compression 3 |

To limit workstation compression on the UPSTREAM PC, use the standard UPSTREAM parameter ULTRA-COMP:

| Name | Default | Req. | Description |
|---|---|---|---|
| ULTRACOMP | 4 | No | The maximum compression level that the workstations are to perform. Higher levels of compression specified will be performed on the UPSTREAM PC.<br>0 = No compression<br>1 = Fast Compression<br>2 = High Compression 1<br>3 = High Compression 2<br>4 = High Compression 3 |

# 20.12. Additional ULTra Features

The ULTra facility and its capabilities are pervasive throughout the entire FDR/UPSTREAM product. Discussed in other sections of this manual are other features of FDR/UPSTREAM where ULTra is one of the components, but not the primary one. See the referenced chapters:

- **Registration:** FDR/UPSTREAM ULTra workstations can be configured to automatically register their LAN Workstation name with the host allowing an administrator to determine it's last access, FDR/UPSTREAM workstation connectivity information and more... See the *FDR/UPSTREAM Program* and *Management* chapters for more information.
- **Auto-Updates:** The FDR/UPSTREAM ULTra workstation software can be automatically updated through administrator control. There is some setup, but once defined, you can easily update the FDR/UPSTREAM software for a large number of workstations. See the *Management* chapter for more information.
- **File Transfer:** FDR/UPSTREAM offers a unique facility where it's powerful, high performance file transfer facility is available to ULTra workstations. See the *File Transfer* chapter for more information.
- **Job Execution:** Batch jobs and programs can be executed both on the FDR/UPSTREAM workstation and on ULTra workstations as well as remote ULTra termination. See the *Advanced FDR/UPSTREAM* chapter for more information.
- **File Viewing:** Text files residing on the local hard disk, LAN attached drives or ULTra systems can be viewed from a FDR/UPSTREAM machine. See the *FDR/UPSTREAM Program* chapter for more information.
- **Physical Disk/FDRSOS backups/restores:** You can backup and restore disks at the hardware level using ULTra. This is an extremely powerful feature, allowing complete disk restores of virtually all operating systems from a single floppy. See the *FDRSOS/Physical Disk* chapter for more information.
- **Windows NT Registry restores:** You can use ULTra to restore Windows NT registries. See the *Windows NT Server Considerations* chapter for more information.

# 21 Duplicate Files

Many workstations and servers have files which are duplicates of files on other workstations/servers. These include operating system files, applications, etc. Transmission and storage of these files can be quite costly.

FDR/UPSTREAM provides a way to avoid transmitting and storing these duplicate files. FDR/UPSTREAM supports a duplicate file repository of files (stored on host disk) which will be checked whenever a file is most likely a duplicate (based on when the file was last modified).

Files can be identified as duplicates either:

- Automatically: If you enable the automatic duplicate file identification feature in FDR/UPSTREAM MVS, the determination of whether a file should be stored in the duplicate file database is performed by the host software. This is a very powerful feature; you don't have to have any prior knowledge of files that may be stored in duplicate, but it has some additional overhead and takes a few backups for these files to be identified.
- Manually: You can explicitly back up files to the duplicate database. This has the advantage of minimizing overhead and allowing you to be able to take advantage of duplicate file handling on your first full server backup.

Identified duplicate files can be copied to the host backup disk file or tape if you wish, which provides a backup disk file or tape that completely represents the workstation/server. This method eases disaster site recovery concerns, as the duplicate file database does not have to be present and current. Or, identified duplicate files can remain in the repository, easing host storage requirements.

The duplicate database are those files which are stored as Keyed/Duplicate backups in the FILE_DATA cluster, using the backup profile USTDUPFL. To manually add files to the duplicate database, all you do is perform keyed backups using the USTDUPFL backup profile. Since these files are stored on DASD, we recommend the use of high compression for Windows and OS/2 environments (DOS memory requirements may limit is usefulness). When FDR/UPSTREAM adds these files automatically to the duplicate file database, high compression will be used (except in DOS).

FDR/UPSTREAM is the first product in its class which allows the reduction of transmitted data in restores. Duplicated files stored in the host duplicate file repository are transmitted to the workstation/server once and then written to the locations on the disk or server where the file is stored. This significantly reduces the amount of data transmitted and thus reduces time to a complete system recovery.

# 21.1. In Backups...

Once there are duplicates stored on the host, use of the duplicate facility requires almost no user intervention.

There is a new option in the FDR/UPSTREAM Backup <More...> panel: **Duplicate Checking**. If this box is checked, a following field is activated: **Changed more than xxx days ago**. The default is 30. Thus if a file was modified more than 30 days ago, and the archive bit is on, the file will be considered eligible for duplicate checking.

After you have set this up, you perform full or incremental merge backups normally (do not perform first-time full merge backups even on new workstations/servers). Files will be taken from the duplicate file database if they are not found in prior backups and they have been modified longer ago than you have configured for (30 days by default). The results are backups that can be significantly faster.

Note that when files are placed in the duplicate file repository, their non-file data is included along with them. Since in some cases this may include security information (which may not be duplicate), Innovation does not recommend using automatic duplicate file detection if you believe that non-file data (or even regular file data) may cause a false duplicate. In these cases you should use the manual method and only copy those files which you know to be real duplicate candidates.

# 21.2. In Restores...

FDR/UPSTREAM has a variety of features designed to reduce the amount of data read, transmitted and stored on backups including merge backups, duplicate file checking, etc. FDR/UPSTREAM (when running workstation/server and host versions 2.5.2 or greater) also allows the ability to reduce the amount of data transmitted to the workstation/server on a restore.

The way that it works is that when FDR/UPSTREAM MVS detects a request to restore multiple copies of a file stored in the duplicate repository, it will send multiple placeholder records to the workstation/server followed by the actual data. FDR/UPSTREAM Workstation/Server will then write the data to each of the locations for the file.

To take advantage of this facility, you must use the duplicate file facility for backups and store the files in the repository (select the **Don't Copy Duplicates to Backup** option in Profile Configuration or DUPLICATE=NOCOPY in the backup profile host configuration). The process is then automatic when using FDR/UPSTREAM MVS and Workstation/Server v2.5.2 or later. This process is automatically disabled for FDR/UPSTREAM ULTra as ULTra only support a single file write.

The FDR/UPSTREAM Workstation/Server parameter MAXDUPS allows you to specify the maximum number of duplicated files which the workstation/server will attempt to write at one time. The default is 10 (except for DOS which is 1). You can disable this facility by specifying a MAXDUPS of 1.

# 21.3. Maintenance and Notes

When performing inquiries and restores using USTDUPFL, the radio buttons have different meanings:

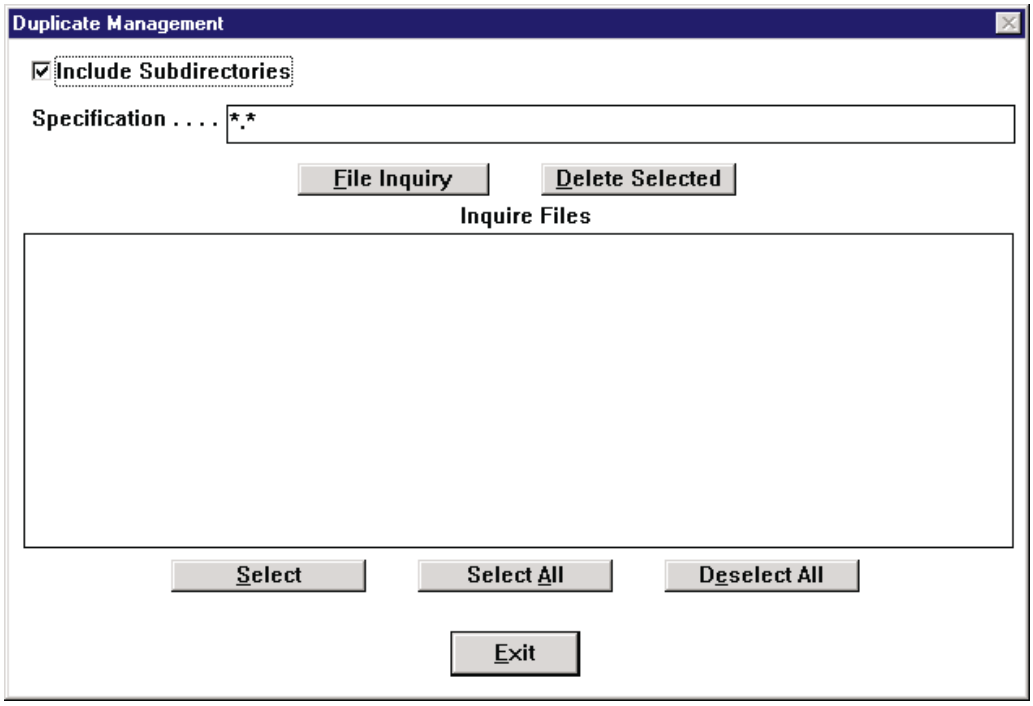
- **Only Highlighted Backup:** Only the backup version highlighted will be used for inquiries and restores. This is the method we recommend using when performing software distribution from the duplicate file database and when you wish to select backup versions for deletion.
- **Highlighted Back to Full:** For USTDUPFL, this means to look at the backup versions back from the highlighted backup to the very first backup stored.
- **Highlighted Back to Oldest:** For USTDUPFL, this means to examine all the backup versions from the highlighted backup, for files which are available specifically for duplicate management (i.e. have not exceeded 30 characters, were not deleted and re-added, etc.).

Note that if you will be using FDR/UPSTREAM for software distribution, we highly recommend using the USTDUPFL backup profile. Since these files will become duplicates through normal backups, placing them in the duplicate file database in advance allows you to take advantage of duplicate file support as soon as possible.

Under certain circumstances, files will be stored in USTDUPFL and are still unavailable for duplicate use. These include files which have file names (not including the directory path) greater than 30 characters, and files in backup versions which were deleted and then re-added.

You can remove manually performed duplicate backups in the standard way using profile management. Also, when you delete a backup, the files can not be made re-eligible for duplicate support.

To view and delete individual files in the duplicate database, there is an option in the **Remote** menu, **Duplicate Management.**




☐ **Include Subdirectories:** Check this box if you wish subdirectories searched when performing the file inquiry.

- **Specification:** Enter the specification to search. Like standard file inquiries, you can enter the drive/directory/file name with or without wildcards. In addition to this method, you can also enter a file name without the drive/directory prefix, allowing searches which are irrelevant to the original location of the file. Note that if you do not use the drive/directory prefix, the Include Subdirectories flag is automatically checked. The default is *.* and this field is required.

- **File Inquiry:** Press this button to perform the inquiry from the host using the Specification above.

- **Delete Selected:** Press this button to send the list of selected files and directories to the host for deletion. Pressing this button will prompt you for verification of the delete. When files/directories have been deleted, the file size field is replaced with the text [DEL] and a short size; the files are then deselected.

- **Inquire Files:** This list box contains the result of the search. If you double-click a file or directory or highlight it and press the <Select> button, a check mark (DOS or OS/2) or asterisk (Windows, UNIX) will appear in the first column. This indicates that the file or directory has been selected for delete. Double-clicking or highlighting and pressing the <Select> button on a file or directory already selected causes it to be deselected.

- **Select:** Press this button to select/deselect the file or directory highlighted in the list box for delete.

- **Select All:** Press this button to select **all** the files in the duplicate file repository for delete.

- **Deselect All:** Press this button to deselect all files that have been selected (but not yet deleted).

- **Exit:** Press this button to exit the dialog.

Note that if you check **Don't Copy Duplicates to Backup**, and you delete the backups using Profile Management or the files using Duplicate Management which contain files referenced, they are permanently deleted. Thus this method is recommended for use only with care.

This page intentionally left blank

# 22 Management

FDR/UPSTREAM offers a wide variety of management facilities both on the host and on the workstation/server. This chapter discusses some of the management features including:

- Profile Management. This facility allows a manager to view and delete single backups or all backups for a backup profile.
- Profile Configuration. Allows the display, creation, modification and deletion of backup profile definitions on the host.
- Status of FDR/UPSTREAM MVS. Allows the viewing of active processes within FDR/UPSTREAM MVS and several features including the termination of an active process.
- Host Reporting. FDR/UPSTREAM MVS supports a powerful reporting system. This facility is also available on the workstation/server.
- Personalization. This is a configuration feature of FDR/UPSTREAM where an administrator can determine (“personalize”) a copy of FDR/UPSTREAM on a workstation for specific functions.
- Registration Management. Allows an administrator to view, create, modify and delete host registration entries.
- FDR/UPSTREAM Software Auto-Updates. FDR/UPSTREAM can be configured to automatically update its own software (including FDR/UPSTREAM ULTra workstations). This facility uses registration and is described in this chapter.

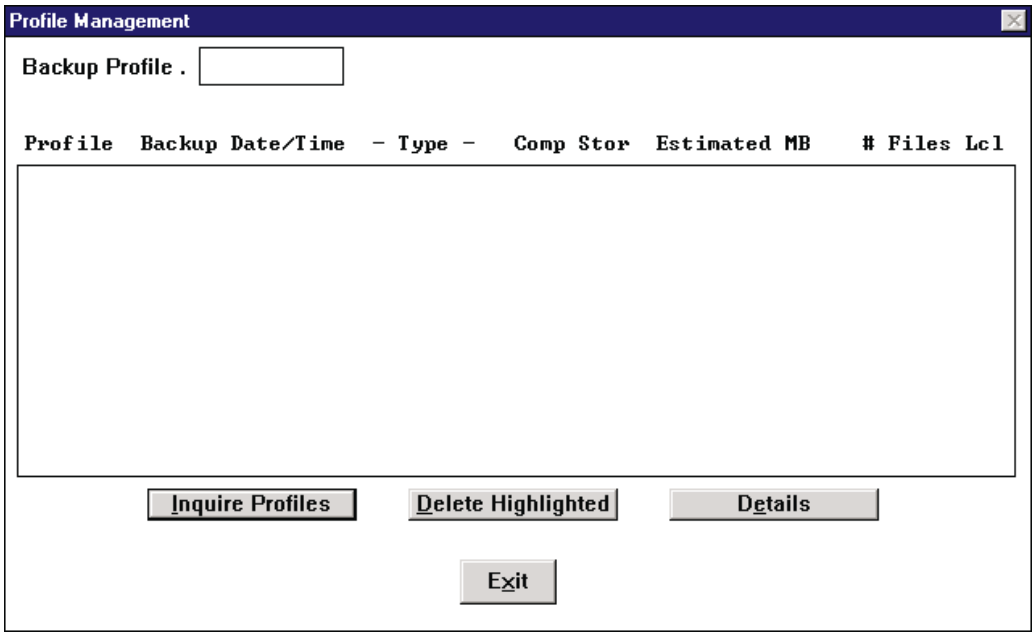
# 22.1. Profile Management

Profile Management is a facility of the FDR/UPSTREAM program US.EXE that allows authorized users to view and delete complete backups (versions), and delete all backups within a profile.

Care must be taken in using this facility, as the deletion of active data without the knowledge of the owner of that data is often a problem.

To assure that this facility is only used by those who are authorized two checks are performed. Standard FDR/UPSTREAM security is validated (see the Security chapter and the FDR/UPSTREAM MVS manual), and there is also a Personalization option for Profile Management. Note that the old method using the file **PROFMGT.NUL** is no longer used.

To access Profile Management, pull down the Management menu and select Profile Management. You will see the Profile Management dialog .




The parameters in this dialog are:

- **Backup Profile:** Enter the backup profile name you wish to see the backups for. The default is the backup profile specified in security validation.

  If you wish to see the versions for a group of profiles with a common prefix, enter the prefix name followed by an asterisk. For example, to see all the versions for the profiles SERVER1, SERVER2 and SERVER3, enter SERVER*. Leave the field blank or enter a single asterisk ('*') to view all backups.

- **Profiles List:** This list box contains the profiles and versions maintained by FDR/UPSTREAM MVS. This box is filled when you press the <Inquire Profiles> button. Highlighted entries are used by the <Delete Highlighted> and <Details> buttons. Displayed information includes (in a mono-space font, displayed in columns):

- The profile name (Profile). The name is displayed a single time for all backups for a profile, on its own line.
- The date/time the backup was begun (Backup Date/Time)
- The backup type (Type). Values displayed are Merge Full, Merge Inc., Keyed, Archive, Physical.
- Whether the backup was completed (Comp). The column has Intr if it was interrupted.
- The storage type (Stor), either Disk or Tape
- Estimated Megabytes (Estimated MB). The value is calculated by the workstation/server when the backup is begun and may not be exact.
- Number of files (# Files).
- A local backup file number if a local backup was specified.

☐ **Inquire Profiles:** Press this button to see the profiles and the versions contained in each profile currently maintained by FDR/UPSTREAM MVS. You must perform this inquiry to delete or view the profile information.

☐ **Delete Highlighted:** Press this button to delete the profile or version currently highlighted in the list box. You will be asked for confirmation before the function is done. During the deletes, a status dialog is displayed.

When the delete has finished, the entries are replaced with the word DELETED. These entries can no longer be viewed or otherwise modified. Later inquiries will no longer show the versions deleted.

☐ **Details**: Press this button to view the version currently highlighted in the list box. You must highlight a version, not a complete profile. The information displayed is the same as for an inquire versions done in the restore dialog.

☐ **Exit:** Press this button when you have finished.

# 22.2. Profile Configuration

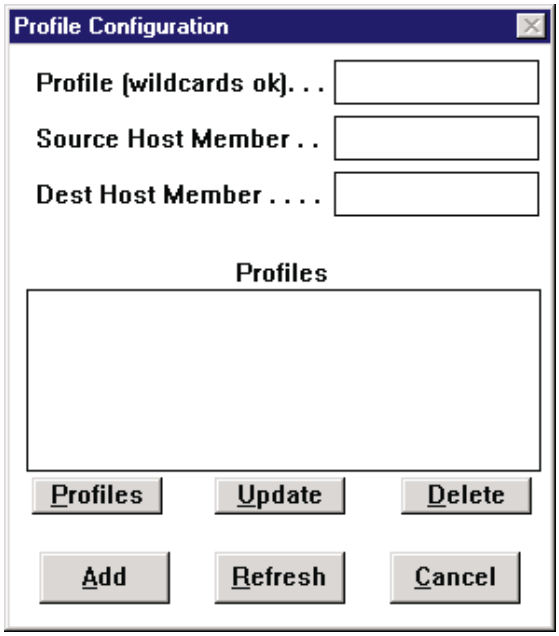
Each backup profile must be configured to FDR/UPSTREAM MVS either explicitly (where the configuration specifies the exact name) or implicitly( where the configuration specifies a global or prefix name). This configuration specifies such options as limitations, file prefixes and the like.

Backup Profile configurations can be modified on the host by modifying the configuration member and either using the FDR/UPSTREAM MVS configurator (USTCONFG) or through console commands. You can also modify them from your PC.

Care must be taken in using this facility, as improper modifications may cause working backup profiles to no longer operate correctly.

To assure that this facility is only used by those who are authorized two checks are performed. Standard FDR/UPSTREAM security is validated (see the Security chapter and the FDR/UPSTREAM MVS manual), and there is also a Personalization option for Profile Management. Note that the old method using the file **PROFMGT.NUL** is no longer used.

To access Profile Configuration, pull down the Management menu and select Profile Configuration. You will see the Profile Configuration dialog.




This is primarily a selection dialog where you select profiles or configuration members to modify, add or delete.

- **Profile:** If you wish to view or update an existing profile, enter a backup profile name or a part of a name and an asterisk wildcard and press the <Profiles> button. If you wish to add a new profile, enter a complete (non wildcarded) profile name in this field and press the <Add> button.

- **Source Host Member:** If you wish to add/update/delete backup profiles in the default host configuration member you can leave this field blank. This field is filled in with the default name when you press the <Profiles> button. If you wish to perform an action on another host member enter that member name.

- **Dest Host Member:** Leave this field blank (or accept the automatically entered value) unless you wish to create a new host configuration member, using the Host Member value (entered above) as the source and this value as the destination. Most users will rarely do this. During this process, if you add, update or delete a member the change will be reflected in the new member. Note that by specifying the same Host Member and Destination Host Member multiple times will continually erase the contents of the Destination Host Member. FDR/UPSTREAM will automatically change the Host Member to be the value of the Destination Host Member when you actually perform an add or update.

- **Profiles List:** This list, which is filled in after you press the <Profiles> button, contains the profiles which matched the value entered in the Profile field. If you double-click an entry it is the same as pressing the <Update> button. The highlighted entry is used when you press the <Update> or <Delete> buttons.

- **Profiles:** Press this button to retrieve from the host the profiles entered in the Profile field.

- **Update:** Press this button to view and/or update the profile information which is currently highlighted in the Profiles List.

- **Delete:** Press this button to delete the profile currently highlighted in the Profiles List.

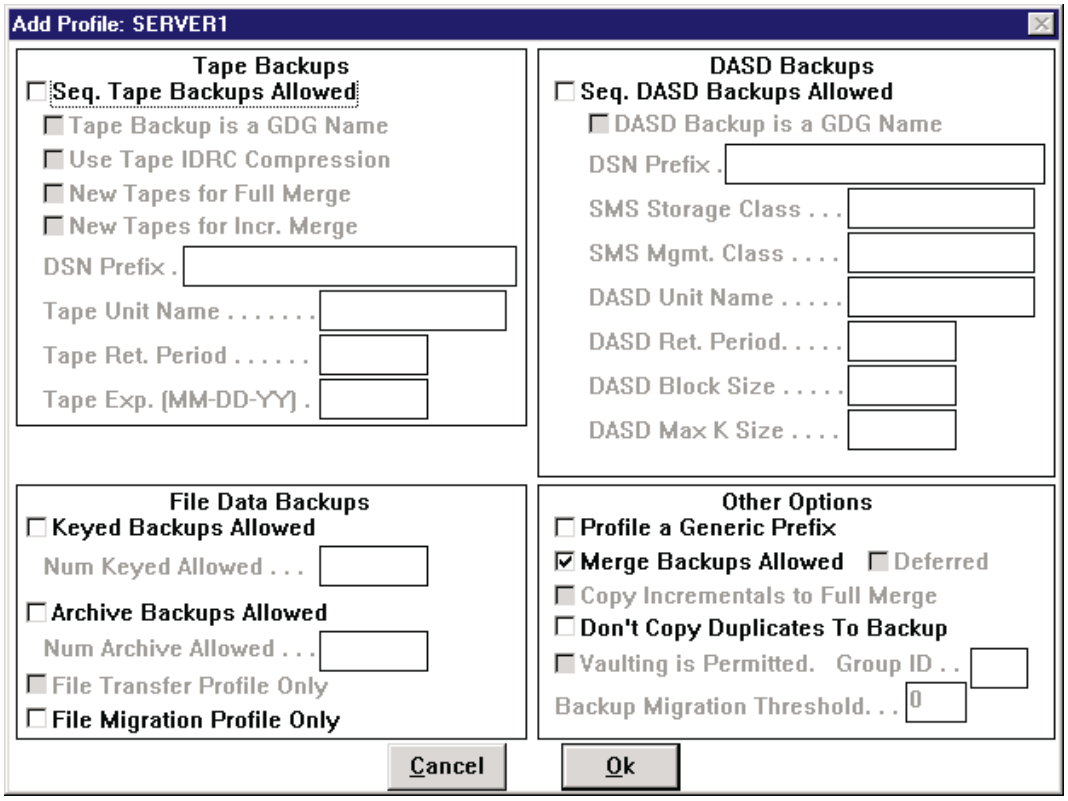
- **Add:** Press this button to add a new profile which will have the name specified in the Profile edit field. If you have an existing profile highlighted in the Profiles List, the values in that profile will be used as defaults for the new profile.

- **Refresh:** Press this button to have the active configuration in FDR/UPSTREAM MVS refreshed with the values changed in this facility. Additionally, if you change the Destination Host Member, that will become the active member.

- **Cancel:** Press this button to leave this dialog.

FDR/UPSTREAM will begin a conversation with the host when you press the <Profiles>, <Delete> or <Refresh> buttons. If you press the <Add> or <Update> buttons you will see the Profile Modification dialog .

Many of the fields in the Profile Modification dialog are unfamiliar to PC users. Pressing the [F1] button will provide help specific to the field you have highlighted.




The fields in the Tape Backups frame are:

- **Seq. Tape Backups Allowed:** Check this box if you wish to allow this profile to perform backups directly to tape. You must enter a tape DSN Prefix and a Tape Unit. Checking this box will activate the other parameters in the Tape Backups frame.

- **Tape Backup is a GDG Name:** Check this box if you wish tape backup datasets to be allocated as new generations of a GDG. This is not recommended, since FDR/UPSTREAM MVS will automatically uncatalog unneeded tapes and tape management systems will scratch expired backups. If used, the GDG base name specified in "Tape DSN Prefix" must be predefined in your MVS system catalogs before it can be used by FDR/UPSTREAM MVS; be sure you define sufficient generations in the GDG base to retain all required backups.

- **Use Tape IDRC Compression:** Check this box if you are using 3480/3490 cartridge drives and wish to have FDR/UPSTREAM MVS specify the TRTCH=COMP parameter when dynamically allocating the tape backup to request hardware (IDRC) compaction of the tape dataset. IDRC compaction may be used even you don't check this box if compaction is your system default.

- **New Tapes for Full Merge:** Check this box if you will be using MERGE BACKUP, both full and incremental backups are stored on tape and you do NOT wish the full backups appended to the tape used for incremental backups. Otherwise the full backup will be appended to the tape used for incremental backups. It is more efficient to NOT check this box.

- **New Tapes for Incr. Merge:** Check this box if you will be using MERGE BACKUP, both full and incremental backups are stored on tape and you do NOT wish incremental backups after the first backup stored on the same tape. Otherwise the first incremental backup will use a new tape and subsequent incremental backups will be appended to that tape. It is more efficient to NOT check this box.

- **Tape DSN Prefix:** This is a required field if you check the Seq. Tape Backups Allowed check box above. Specify a 1 to 35 character dataset name prefix that will be used to dynamically allocate the tape dataset for the backup. The prefix may include periods (".") to separate index levels and must meet MVS standards for dataset names.

  If you do not enable the tape GDG option in this profile, FDR/UPSTREAM will allocate the backup file as a non-GDG. The length of this value must not exceed 19 characters and FDR/UPSTREAM will add 3 additional index levels at the end of the name to create a unique dataset name: profile name, the date as "Dyymmdd", and the time as "Thhmmss".

  If the tape GDG option is enabled in the profile, the backup datasets will be allocated as new generations of a GDG; this field must specify the GDG base name and may be up to 35 characters long. The GDG base must be predefined in the appropriate MVS catalog along with the number of generations to keep. If the tape GDG option is enabled in a profile which is a prefix or has a profile name as GLOBAL and the LAST index level of this field matches the profile prefix name (or GLOBAL), then FDR/UPSTREAM will substitute the actual profile name used by the workstation. For example, if the profile has a prefix of ABC, is a GDG Name, and this field is BACKUP.ABC but the workstation uses profile name ABC123, the actual GDG name will be BACKUP.ABC123. This allows unique dataset names to be generated based on the actual profile name. The GDG bases for these modified names must be predefined, and the total length of the name with a maximum 8 character profile name cannot exceed 35 characters. If the last index does not match, the unmodified GDG name will be used for all actual profile names (in this case, GDG is not recommended).

- **Tape Unit Name:** Applies only to profiles where Seq. Tape Backups Allowed has been checked and specifies a MVS tape unit name (any value that will allocate a tape device when specified in a UNIT= parameter in JCL). This unit name will be used when dynamically allocating the backup dataset. This field is required when the Seq. tape backups are enabled.

- **Tape Ret. Period:** Applies only to profiles where the Seq. Tape Backups Allowed check box has been checked. The meaning is identical to the JCL parameter RETPD=; the number of days that a tape will be maintained before it is expired. This is optional; by default no expiration date or retention period is specified when the dataset is allocated. Either this field or "Tape. Exp." can be specified, not both. FDR/UPSTREAM MVS does not explicitly enforce these dates, but if you have a tape management system which does, FDR/UPSTREAM MVS will recognize that the dataset has been scratched or uncataloged during USTMAINT execution.

  WARNING: Be sure that the expiration date you specify causes the backups to be retained for a sufficient period. Once the backups are scratched by tape management systems, they are no longer available to FDR/UPSTREAM MVS and the next execution of USTMAINT will cause them to be deleted from UPSTREAM's records.

- **Tape Exp. (MM-DD-YY):** Applies only to profiles where the Seq. Tape Backups Allowed check box has been checked. The meaning is identical to the JCL parameter EXPDT=. This value must be specified in MM-DD-YY format; special expirations such as 99000 would be entered as 00-00-99. Either this field or "Tape Ret. Period" can be specified, not both. This field is optional; by default no expiration date or retention period is specified when the dataset is allocated. FDR/UPSTREAM MVS does not explicitly enforce these dates, but if you have a tape management system which does FDR/UPSTREAM MVS will recognize that the dataset has been scratched or uncataloged during USTMAINT execution. Most users will use tape Ret. Period rather than this field.

  WARNING: Be sure that the expiration date you specify causes the backups to be retained for a sufficient period. Once the backups are scratched by tape management systems, they are no longer available to FDR/UPSTREAM MVS and the next execution of USTMAINT will cause them to be deleted from UPSTREAM's records.

The fields in the DASD Backups frame are:

- **Seq. DASD Backups Allowed:** Check this box if you wish to allow this profile to perform backups to sequential host disk files (DASD). You must enter a DASD DSN Prefix, a DASD Unit or SMS Storage Class.

- ☐ **DASD Backup is a GDG Name:** Check this box if you wish the DASD backup datasets to be allocated as new generations of a GDG. This is recommended for backups on sequential disk, since GDG processing will automatically delete old generations. The GDG base name specified in "DASD DSN Prefix" must be predefined in your MVS system catalogs before it can be used by FDR/UPSTREAM MVS; be sure you define sufficient generations in the GDG base to retain all required backups.

- ☐ **DASD DSN Prefix:** This is a required field if you check the Seq. DASD Backups Allowed check box above. Specify a 1 to 35 character dataset name prefix that will be used to dynamically allocate the tape dataset for the backup. The prefix may include periods (".") to separate index levels and must meet MVS standards for dataset names.

  If you do not enable the DASD GDG option in this profile, FDR/UPSTREAM will allocate the backup file as a non-GDG. The length of this value must not exceed 19 characters and FDR/UPSTREAM will add 3 additional index levels at the end of the name to create a unique dataset name: profile name, the date as "Dyymmdd", and the time as "Thhmmss".

  If the DASD GDG option is enabled in the profile, the backup datasets will be allocated as new generations of a GDG; this field must specify the GDG base name and may be up to 35 characters long. The GDG base must be predefined in the appropriate MVS catalog along with the number of generations to keep. If the DASD GDG option is enabled in a profile which is a prefix or has a profile name as GLOBAL and the LAST level of this field matches the profile prefix name (or GLOBAL), then FDR/UPSTREAM will substitute the actual profile name used by the workstation. For example, if the profile has a prefix of ABC, a GDG Name, and this field is BACKUP.ABC but the workstation uses profile name ABC123, the actual GDG name will be BACKUP.ABC123. This allows unique dataset names to be generated based on the actual profile name. The GDG bases for these modified names must be predefined, and the total length of the name with a maximum 8 character profile name cannot exceed 35 characters. If the last index does not match, the unmodified GDG name will be used for all actual profile names (in this case, GDG is not recommended).

- ☐ **SMS Storage Class:** Applies only to profiles where the Seq. DASD Backups Allowed check box has been checked if your MVS system has SMS (System Managed Storage) enabled. It specifies a 1-8 character storage class name that will be passed to SMS during the dynamic allocation of the backup dataset; it will be used by SMS if the dataset becomes SMS managed (see SMS Storage Class). Consult your storage administrator or MVS system programmer for valid storage class names. Note that SMS may override or ignore your storage class even if you do not specify one in the profile. The operand is optional and defaults to no storage class name.

- ☐ **SMS Mgmt. Class:** Applies only to profiles where the Seq. DASD Backups Allowed check box has been checked if your MVS system has SMS (System Managed Storage) enabled. It specifies a 1-8 character management class name that will be passed to SMS during the dynamic allocation of the backup dataset; it will be used by SMS if the dataset becomes SMS managed (see SMS Storage Class). Consult your storage administrator or MVS system programmer for valid management class names. Note that SMS may override or ignore your management class even if you do not specify one in the profile. The operand is optional and defaults to no management class name.

- ☐ **DASD Unit Name:** Applies only to profiles where Seq. DASD Backups Allowed has been checked and specifies a MVS disk unit name (any value that will allocate a disk device when specified in a UNIT= parameter in JCL). This unit name will be used then dynamically allocating the backup dataset; there must be one or more volumes with a mount attribute of STORAGE included in that unit. Either this field, or SMS Storage Class is required when the Seq. DASD backups are enabled.

- ☐ **DASD Block Size:** Applies only to profiles where the Seq. DASD Backups Allowed check box has been checked and specifies the blocksize to be used when allocating those backups; it is no longer used as the actual blocksize so you generally do not need to specify it. Values from 1024 to 32760 are accepted. The parameter is optional and has a default of the value of DASDBLK= on the MAIN statement in this configuration (10752 if not specified there).

- **DASD Ret. Period:** Applies only to profiles where the Seq. DASD Backups Allowed check box has been checked. The meaning is identical to the JCL parameter RETPD=; the number of days that a file will be maintained before it is expired. This is optional; by default no retention period is specified when the dataset is allocated. FDR/UPSTREAM MVS does not explicitly enforce these dates, but if you have a DASD management system which does FDR/UPSTREAM MVS will recognize that the dataset has been scratched or uncataloged during USTMAINT execution.

  WARNING: Be sure that the expiration date you specify causes the backups to be retained for a sufficient period. Once the backups are scratched by DASD or disk management systems, they are no longer available to FDR/UPSTREAM MVS and the next execution of USTMAINT will cause them to be deleted from UPSTREAM's records.

The fields in the File Data Backups frame are:

- **Keyed Allowed:** Check this box if you wish to allow this profile to perform keyed (online) backups. These are backups in which the data is stored in the FILE_DATA cluster and rolled-off by FDR/UPSTREAM MVS when the maximum number entered is exceeded. You must enter a maximum number of backups to be stored.

- **Num Keyed:** Specify the number of Online (keyed) backups which will be retained for this workstation by FDR/UPSTREAM MVS. It can have a value from 0 to 4096; 0 prohibits the workstation from performing keyed backups. During the backup, if this count is exceeded, the oldest backup version associated with this profile is deleted. The operand is optional, with a default of 0. The host title is ONLINE=. This field is grayed unless you check the Keyed Backups Allowed check box above.

- **Archive Allowed:** Check this box if you wish to allow this profile to perform archived backups. These are backups in which the data is staged in the FILE_DATA cluster and then migrated to tape when you run the archive utility. The backups are rolled-off by FDR/UPSTREAM MVS when the maximum number entered is exceeded. You must enter a maximum number of backups to be stored.

- **Num Archive:** Specify the number of Archive (non-keyed) backups which will be retained for this workstation by FDR/UPSTREAM MVS. It can have a value from 0 to 4096; 0 prohibits the workstation from performing archive backups. During the backup, if this count is exceeded, the oldest archive version associated with this profile is flagged for deletion during the next execution of USTARCH, the archive utility. The operand is optional, with a default of 0. The host title is ARCHIVE=. This field is grayed unless you check the Archive Backups Allowed check box above.

- **File Transfer Profile Only:** Check this box if you wish to use this backup profile ONLY for file transfers. If you check this box, you cannot use this profile for backups. If checked the tape/DASD information specified above is used as the default values, thus you may choose to have multiple file transfer profiles, each with different attributes. This box is grayed unless you have checked either DASD or tape (above) and have not checked the Merge Backups Allowed checkbox.

- **File Migration Profile Only:** Check this box if you wish to use this backup profile ONLY for file migration. If you check this box you cannot use this profile for standard backups or file transfers. The default is not checked.

The fields in the Other Options frame are:

- **Generic Prefix:** Check this box if you wish the profile you are adding or updating to be used as a prefix profile name. Your profile name can be from 1 to 7 characters. Similar to the GLOBAL profile, the parameters in this profile will be used when the profile name entered at the workstation does not exist in the configuration, as long as the profile name starts with the characters specified. Checking this box allows you to define a number of profiles with a single entry. This may be used to define a set of profiles for the use of a single workstation, for various purposes, or may be used to define profiles for a set of workstations. In any case, be sure that a given profile name will be used only for a single purpose.

- **Merge Backups Allowed:** Check this box if you wish this profile to be used for MERGE BACKUP processing; the profile must also be enabled for DASD (sequential disk) or Tape backups. Profiles enabled for Merge can also be used to do non-merge backups. When you check this box, the Deferred and File Transfer boxes become ungreyed and available

  MERGE BACKUP is the recommended backup technique. It is more efficient than non-merge full and incremental backups and allows for simpler restores.

- **Deferred:** Check this box if you wish the merge backups to be deferred. This process causes FDR/UPSTREAM to defer the merging of prior tape information until the merge defer utility is run at a later time, thus reducing the number of tape mounts at the time the merge backup is performed. Unless you must reduce tape mounts during the merge backup process, it is recommended that you NOT check this option. Note that the merge defer utility must be run to assure that the tape is complete. The default is not checked.

- **Copy Incrementals to Full Merge:** Check this box if you will be using MERGE BACKUP with incremental backups stored on disk and full backups stored on tape and you wish the incrementals to be copied to the full backup tape.

- **Don't Copy Duplicates to Backup:** Check this box if you wish duplicate files to not be copied to the backup disk or tape file. That means that when a restore is requested, the file data will be restored from the duplicate file database. Checking this box will reduce the amount of storage required on the backup files, but will make maintenance of the duplicate file database more difficult, and reduce the performance of the restores. The default is not checked.

- **Vaulting is Permitted:** Check this box if you wish the backups stored in this profile to be eligible for vaulting. Vaulting is the process where copies of the backups are created to be sent to a disaster site for disaster recovery. Vaulting is described in full in the FDR/UPSTREAM MVS manual. The default is not checked.

- **Group ID:** You can specify a two character field to cause this profile to be eligible for vaulting when a USTVLTXX (where XX matches this suffix) is processed. If the suffix is not specified, then if vaulting is enabled, these backups can be included in any vault run.

- **Backup Migration Threshold.**: Specify the number of sequential DASD backups that you wish to keep on disk before they are migrated to tape using the USTMIGRT utility. Specify 0 if you wish to either not use the USTMIGRT utility or you wish these backups to not be migrated. The default is 0. The migration process is for the backups once they are on the mainframe. This process is particularly useful if you will be backing up a number of workstations and wish to efficiently use your tape drives while minimizing the amount of host DASD space you are using. You can use this process by specifying a non-zero number in this field and specifying a Sequential Disk backup in the backup screens. This process can result in slightly slower merges if you are using it for incrementals and can result in long delays if you run a number of fulls which require the same tapes.

The buttons are:

- **Ok:** Press this button to perform the add or update. You will be asked again if you are sure and if you respond YES, then the profile information is transmitted to the host and validated.

- **Cancel:** Press this button to abandon any changes you have entered and to return to the preceding profile configuration dialog.

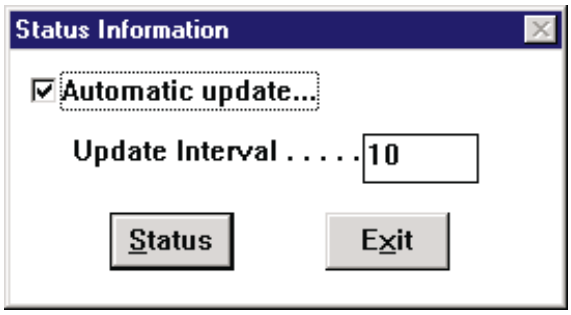
# 22.3. Status

FDR/UPSTREAM MVS process status information (activity) can be obtained from a workstation/server. Through this facility you can view the running FDR/UPSTREAM functions, check detailed information, cancel them and see general information concerning FDR/UPSTREAM MVS.

Note that this feature requires FDR/UPSTREAM MVS v2.4.5 and is disabled using personalization in the workstation/server distribution and must be enabled to be used.

To enter the status facility, from the Management menu, pull down the **Status of all FDR/UPSTREAM** option.

You will see the Status Information request dialog.

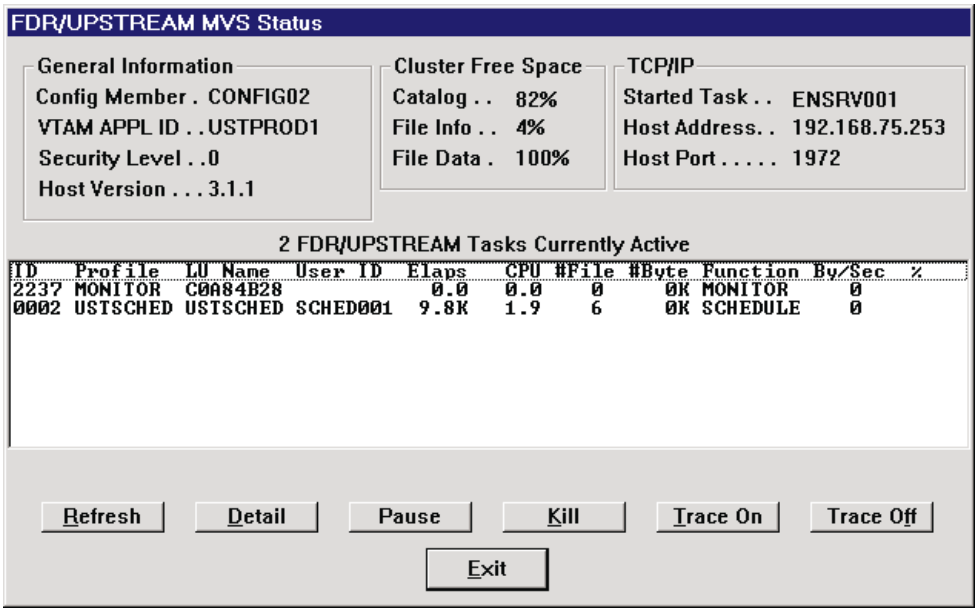



The fields are:

- **Automatic Update:** Check this box if you wish the status information refreshed automatically. This can be convenient, and the default is checked.

- **Update Interval:** How often (in seconds) automatic updates are performed. This field is required if Automatic Update is checked and grayed if Automatic Update is not checked. The default is 10 seconds.

Press the **<Ok>** button to perform the (initial) status inquiry.




Note that if you have automatic update on you will see a flashing communications display underneath the top set of frames.

There are 4 display frames:

- **General Information:** Displays the configuration member utilized by the host in the FDR/UPSTREAM MVS configuration, the VTAM APPL ID (which is the SNA partner LU name), the security level specified and the version of FDR/UPSTREAM MVS.

- **Cluster Free Space:** The percentages of available free space in the 3 primary clusters. Note the free space has a special meaning in both IAM and VSAM and that many users will not define a File Data cluster.

- **TCP/IP:** The name of the TCP/IP facility FDR/UPSTREAM MVS uses and the addresses workstations will use to connect to it. This frame can be ignored if you do not use TCP/IP in your environment.

- **Tasks:** Above the list box, is a count of the number of FDR/UPSTREAM tasks currently active. (or the text Communications Error if there was a problem accessing the host). In the list box is a single line description of the task (ID, Profile, LU Name, etc.). If you selected Automatic Update, this text above the list box and the contents of the list box are automatically, periodically refreshed. If you double-click on a task in the list box, you will see the detail display for that task (see below). Note that there is always at least one task active: a status task which is this display.

The buttons below the list box are:

- **Refresh:** Press this button to cause a manual refresh of the task information. If you have Automatic Update selected, the next automatic update will be the Update Interval number of seconds after you press this button.

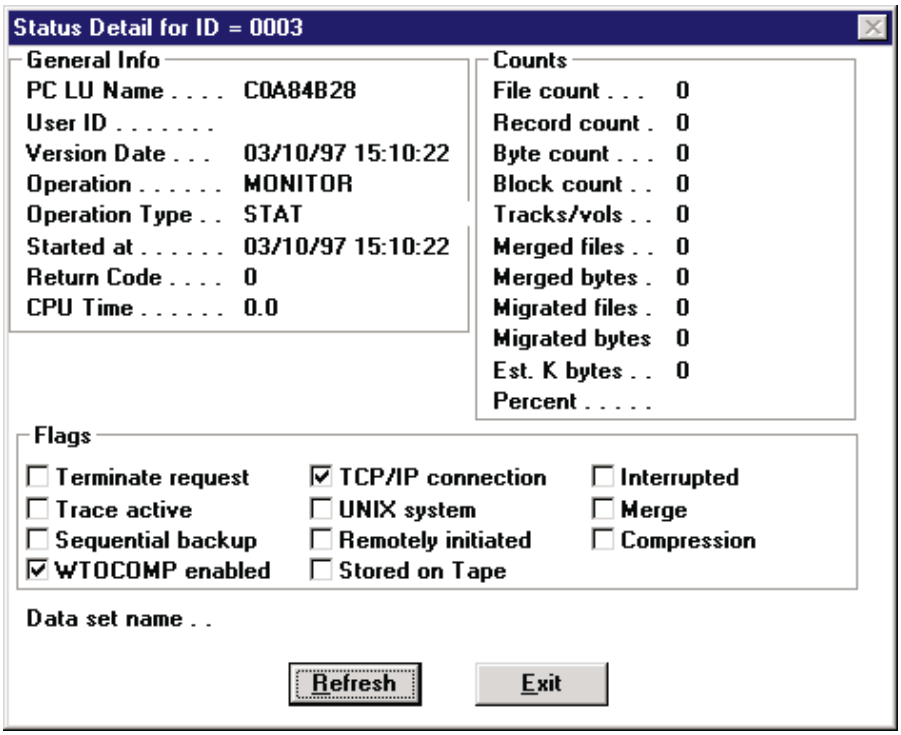
- **Detail:** Pressing this button displays detail information for the highlighted task in the task list (see below).

- **Kill:** Pressing this button will cause the task to be canceled by FDR/UPSTREAM MVS. You will be prompted for acknowledgment before the kill is performed. The remote PC will receive a message indicating that the process was canceled by request.

- **Trace On and Trace Off:** Press these buttons only on request of FDR/UPSTREAM technical support.

- **Exit:** Press this button to terminate the active status conversation with the host and return to the preceding dialog.

If you press the Detail button or double-click the mouse on a task you will see the task detail dialog.




Note that if you have automatic update on you will see a flashing communications display underneath the top set of frames.

There are three frames and a text field:

- **General Info:** Contains information such as the LU name, user name, version date (or time the task started if this is not backup/restore related), operation name and type, task start time, current return code and CPU time in seconds.

- **Counts:** Reports the status through a backup or restore. Of particular interest is fact that these counts will constantly increment (if you have automatic update on) during a backup or restore. The percent complete will be somewhat inaccurate as it does not take into account compression.

- **Flags:** Displays activated/not activated information such as whether the request is in termination, trace is active, sequential backup, WTOCOMP (console notify), TCP/IP type connection (vs. SNA), UNIX system (vs. PC), remotely initiated (vs. PC initiated), Stored on Tape (vs. disk), Interrupted, Merge (and whether this is a full or incremental merge), and the compression level.

- **Data set name:** The host data set name related to this task.

The buttons are:

- **Refresh:** Operates as for the previous dialog, causes the fields to be refreshed.

- **Exit:** Return to the previous dialog.

Note that if the task terminates and either a manual or automatic refresh takes place, an error is displayed and you are returned to the previous dialog.

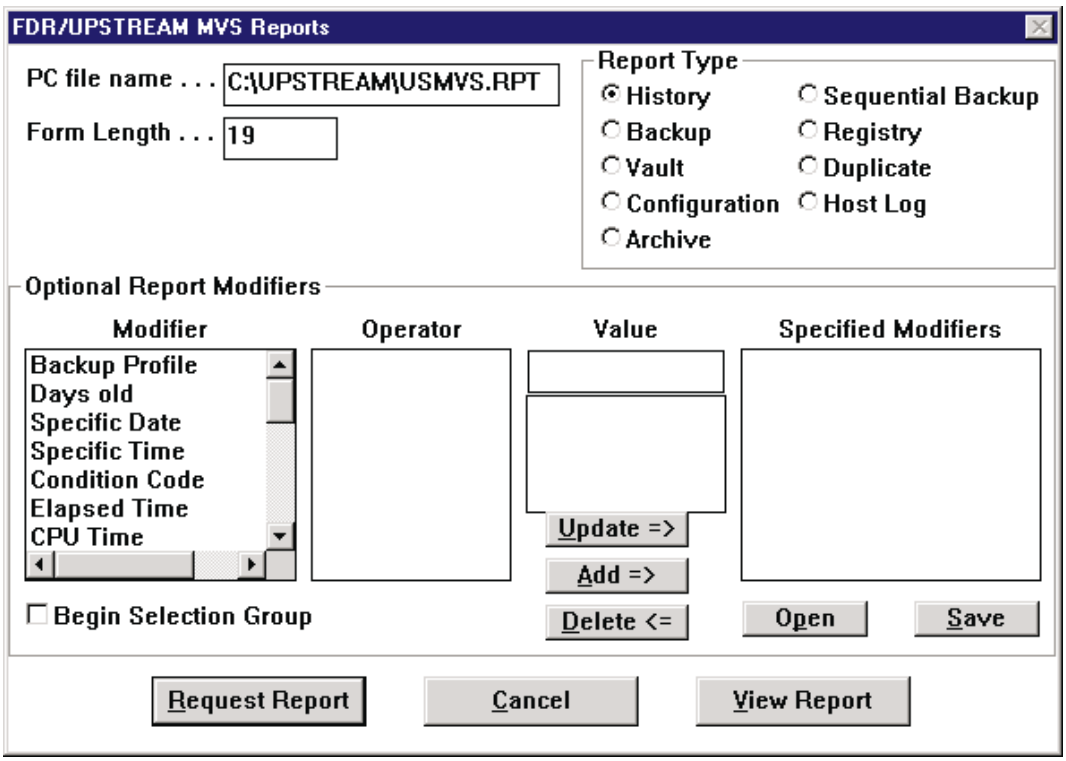
# 22.4. Reporting

FDR/UPSTREAM's comprehensive reporting facilities are now available from a FDR/UPSTREAM PC. Comprehensive filtering options allow you to tailor reports to your specific needs and these tailored reports can be saved and recalled for later use. You can even command line execute (or host request) specific reports.

Also, note that even with all of its power, these reporting facilities are a subset of the even more extensive reporting available through the batch report function of FDR/UPSTREAM MVS.

## 22.4.1. Running Simple Reports

To request a report, from the FDR/UPSTREAM main screen, pull down the Management Menu and select Host Reporting. You will see the FDR/UPSTREAM MVS Reports dialog.




The fields which are required are:

- **PC file name:** When the report is generated, the output is placed in the specified PC file and a file browser is automatically brought up so that you can view the results immediately. The default file name is USMVS.RPT in your work path.

- **Form Length:** After the specified number of lines a set of title lines are automatically drawn. The default is set so that a title is always displayed on your screen. Changing this to 0 causes the title to be written only once.

- **Report Type:** Press a radio button for the type of report you wish to generate. The options are:

- **History**: Generates a report from history records stored in the FDR/UPSTREAM MVS Catalog file. This is virtually all activity noted by FDR/UPSTREAM. The report notes (by Backup Profile when known), the date/time of the request, the resulting condition code, elapsed and CPU times, the LU name, the operation and various statistics.
- **Backup:** Generates a report of requested backups. This report also is from history records, but includes information on backup data set names and values in place of other fields in the History report. It reports on backups recorded by FDR/UPSTREAM which have no matching history records.
- **Vault:** Generates a report identical to the Backup report, but it will only select backup records which are flagged as having a vault (secondary) copy created by USTVAULT, and the backup data sets shown will be the vault (copy 2) backups.
- **Configuration:** Generates a report listing information from the FDR/UPSTREAM MVS configuration file. A USTCONFG DD statement pointing to the configuration file or member must be present when FDR/UPSTREAM MVS was started. The same information can be viewed (and modified) using the Profile Configuration facility.
- **Archive:** Generates a report on archive backup storage from information in the FDR/UPSTREAM MVS Catalog and File Information data files. It is similar to the previous utility program USTDLOC2.
- **Sequential Backup:** Generates a report of storage utilized for sequential backups.
- **Registry:** Lists the known registered names.
- **Duplicate:** Reports on the files stored in the duplicate file database.
- **Host Log:** FDR/UPSTREAM MVS maintains in memory a large number of its latest log entries (the exact amount is configurable in FDR/UPSTREAM MVS). This report can be very helpful in problem determination. Unlike viewing the running started task log, the log does not have to be flushed to see the latest entries.

When you have entered the required fields, press:

☐ **Request Report:** Pressing this button causes FDR/UPSTREAM to request and receive the report specified. When the report has been received, the file view facility is used to display the resulting report.

☐ **View Report:** Pressing this button causes FDR/UPSTREAM to use the file view facility to display the report previously requested (using the report name specified in the PC file name field).

☐ **Cancel:** Press this button to leave this dialog and return to the main FDR/UPSTREAM display.

The file view facility is tailored for low-memory utilization; lines outside a given range are not displayed until they are highlighted. Thus we recommend that you use the [PageUp], [PageDown] keys rather than the scroll-bar to page through reports. If you are using DOS, you will not be able to scroll horizontally; you must use a text editor outside of FDR/UPSTREAM to see the results to the right of the text displayed.

### 22.4.2. Limiting Reports

In an environment where FDR/UPSTREAM is quite active, the reports displayed may be too long for your needs. FDR/UPSTREAM allows you to specify report modifiers which allow you a virtually unlimited number of combinations of how reports are generated.

The method to limit your reports is:

- Specify the required report information (described above).

- Select a Modifier from the Modifier list. The Operator list will show the allowable operators and (depending on the Modifier selected), the Value list may show some suggested values.
- Select an Operator from the Operator list (equal, not equal, etc.).
- Enter a Value or select a Value from the Value list.
- Press the <Add> button to add the completed modifier to the Specified Modifiers list.

You can use the <Update> button to highlight an existing complete modifier in the Specified Modifiers list and then change its values. You can use the <Delete> button to remove a highlighted Specified Modifier.

The <Save> button allows you to save your report specifiers (User ID, Password, PC file name, Form Length, Report type and all modifiers) to a report selection file. You can save report specifiers for unattended operations (see below).

The <Open> button allows you to retrieve previously specified report information from a report selection file.

For example, if you wished a backup report specifying only those backups for the profile SERVER* that were performed in the last day, request a backup report with the following modifiers:

| Modifier | Operator | Value | Specified Modifiers |
|---|---|---|---|
| Backup Profile | Equal | SERVER* | Backup Profile.EQ.SERVER* |
| Days old | Less than | 1 | Days old.LT.1 |

### 22.4.3. How Modifiers Work

All modifiers in FDR/UPSTREAM MVS Reporting are used as exclusion statements; it is as if the modifiers are ANDed together. In the example above, you are requesting a backup report of all backups where the backup profile is equal to SERVER* and the days old is less than 1.

The exception to this rule is if you use the same modifier twice with the equal operator. For example, a backup report for backup profiles of SERVER* including (or) backup profiles of USER*.

If you wish to use the inclusion operator (OR) between modifiers, you can check the Begin Selection Group checkbox. Modifiers within a selection group are ANDed together, but the modifier immediately preceding the group is ORed with the modifiers in the group.

For example, if there are backups for SERVER* done within the last 2 days, but none previous to that, a backup report of using the modifiers Backup Profile.EQ.SERVER*, Days old.GT.2 would result in no entries. But if you check Begin Selection Group on Days old.GT.2, then you would see a report of all backups for SERVER*.

### 22.4.4. Unattended Reporting

You can run the reporting function unattended if you wish to repeat one or more reports on a regular basis. As this uses standard FDR/UPSTREAM parameter overrides, you can request that this be host initiated.

The ACTION parameter value of 7 specifies that a report is to be run and the report selection file should be specified in the REPORTNAME parameter. Note that if performed locally, you must also specify ATTENDED=N.

For example, if you wish to run a report from the command line (or a batch file), with a report selection file of RPTPARMS.MRT, enter:

```
US ACTION=7 REPORTNAME=RPTPARMS.MRT ATTENDED=N
```

Note that if you wish to run multiple reports unattended, you must run FDR/UPSTREAM multiple times.

The report modifiers are listed in the following table:

| Modifier | Report Type | Operators | Values | Description |
|---|---|---|---|---|
| Backup Profile | All | Equal<br>Not Equal | <= 8 characters | The backup profile (with wildcards) that you wish to include/exclude from the report. |
| Days old | History<br>Backup<br>Vault | All | A number | The number of days old that the event occurred. |
| Specific Date | History<br>Backup<br>Vault | All | A date in the form YY/MM/DD | A specified date. |
| Specific Time | History<br>Backup<br>Vault | All | A time in the form HH:MM:SS | A specified time. |
| Condition Code | History<br>Backup<br>Vault | Equal<br>Not Equal | A number: 0,4,8,12,16 or ABEND, SUSPEND, CANCEL, SYSTEM, USER, ZERO. | The result of the specified operation. |
| Elapsed Time | History<br>Backup<br>Vault | All | A number | The elapsed time, in tenths of minutes, recorded for the operation to complete. For example, 2 ½ minutes would be 25. |
| CPU Time | History<br>Backup<br>Vault | All | A number | Specifies MVS CPU time used, in thousands of a second (milliseconds) to complete the operation. For example, 1 second is 1000. |
| LU Name | History<br>Backup<br>Vault | Equal<br>Not Equal | <= 8 characters | A [wildcarded] SNA LU name or for TCP/IP, the network address coded as an 8-digit hexadecimal value (each pair of digits corresponds to one of the 4 values in the address, converted to hex). |
| User ID | History<br>Backup<br>Vault | Equal<br>Not Equal | <= 8 characters | A [wildcarded] host user ID. |

| Modifier | Report Type | Operators | Values | Description |
|---|---|---|---|---|
| Operation Name | History<br>Backup<br>Vault | Equal<br>Not Equal | <= 8 characters | The type of operation.<br>Utility operations: ARCHIVE, DELETE, MAINT, MAINTF, REGEN, REORG.<br>Termination: SHUTDOWN. Workstation operations: BACKUP, BACKUP M, RESTORE, RESTARTB, INQUIREV, INQUIREF, REMOVE F, REMOVE B, COMM MVS, COMM PC, VSAM TST, NON I/O, REPORT<br>Mainframe initiated: HOSTINIT. |
| Operation Type | History<br>Backup<br>Vault | Equal<br>Not Equal | <= 8 characters | Used with operation names to qualify the type of operations.<br>for backup: INCR, FULL, MERG, ARCH, KEYD.<br>for restore: TAPE, DASD<br>for mainframe initiated: MVS, PC<br>for utility: RPT |
| Backup Type | History<br>Backup<br>Vault | Equal<br>Not Equal | <= 8 characters | For backups, the type of backup. Some values are: KEYD, ARCH, DASD, TAPE. |
| Number of Blocks | History<br>Backup<br>Vault | All | A number | The number of blocks transmitted to/from the workstation. |
| Number of Files | History<br>Backup<br>Vault | All | A number | The number of files transmitted to/from the workstation. |
| Number of Bytes | History<br>Backup<br>Vault | All | A number | The number of bytes transmitted to/from the workstation. |
| Number of Merged Files | History<br>Backup<br>Vault | All | A number | The number of files which were merged forward from previous backups during a full merge backup. |
| Number of Merged Bytes | History<br>Backup<br>Vault | All | A number | The number of bytes which were merged forward from previous backups during a full merge backup. |
| Number of Merged Blocks | History<br>Backup<br>Vault | All | A number | The number of blocks which were merged forward from previous backups during a full merge backup. |
| Number of Migrated Files | History<br>Backup<br>Vault | All | A number | The number of migrated files which were merged forward from previous backups during a full merge backup. |
| Number of Tracks | History<br>Backup<br>Vault | All | A number | The number of DASD tracks that were used for a sequential DASD backup. |

| Modifier | Report Type | Operators | Values | Description |
|---|---|---|---|---|
| Number of Tapes | History<br>Backup<br>Vault | All | A number | The number of tape volumes that were used for a sequential tape backup. |
| File Name Mask | History<br>Backup<br>Vault | Equal<br>Not Equal | *.* | *.* indicates that files should be listed. |
| Host Config Member | Configuration | Equal<br>Not Equal | <= 8 characters | A specific configuration member name. |
| Task Modifier | Host Log | Equal<br>Not Equal | A number. | The four digit numeric FDR/UPSTREAM MVS assigned task identifier. |
| Include Subdirs | Backup | Equal | INCLUDE,EXCLUDE, ONLY | INCLUDE = Include subdirectories<br>EXCLUDE = Exclude subdirectories<br>ONLY = Only subdirectories |

# 22.5. Personalizing FDR/UPSTREAM

FDR/UPSTREAM PC can be personalized to allow an administrator to be able to suppress access to a wide variety of FDR/UPSTREAM functions. Some of these include: access to a specified directory, a hard-coded backup profile name, and more...

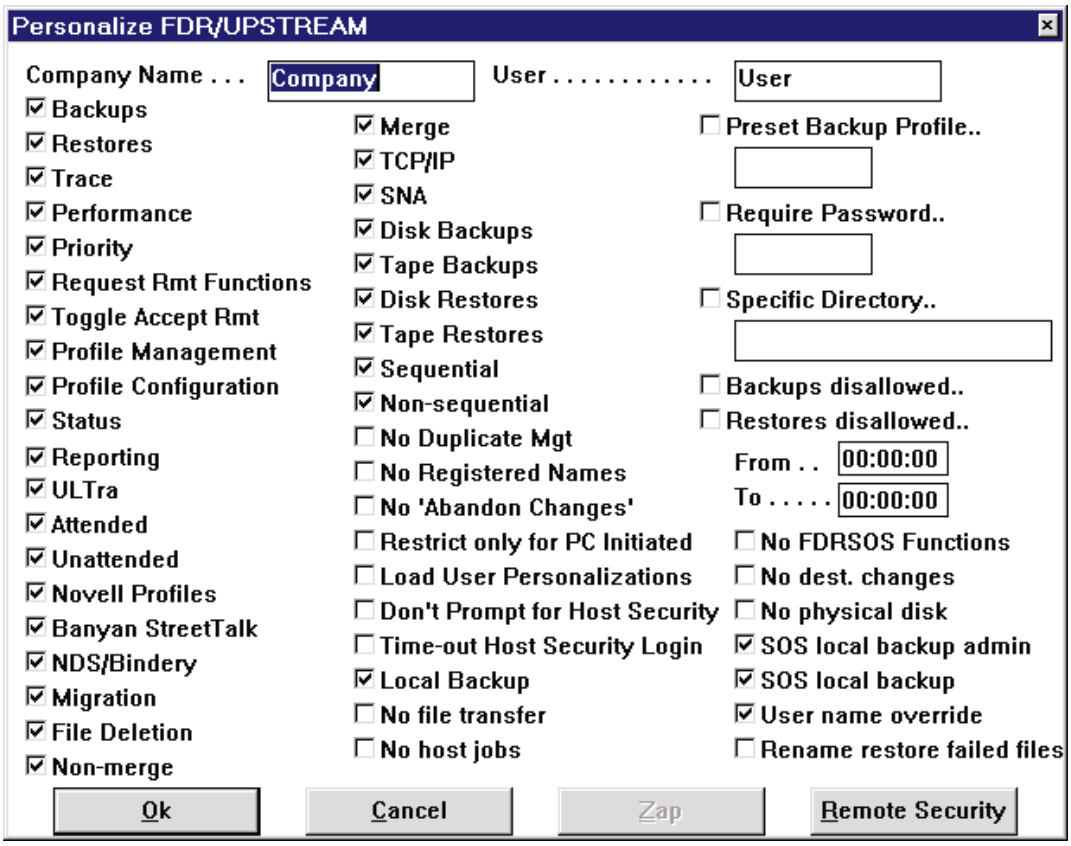
Personalization information is written to a file (US.SER) which must either be in the work path (as specified in the FDR/UPSTREAM Configurator Advanced Configuration) or in the same directory as the FDR/UPSTREAM program. This process is performed using the FDR/UPSTREAM Configurator.

To utilize this facility, the user must have both the configurator and a specially coded file SERIAL.DAT. If this user does not, then the specified customization is permanent. When upgrading to new versions of FDR/UPSTREAM, the personalization information will remain in effect.

To access the facility, enter the FDR/UPSTREAM Configurator (USCFG.EXE), press the <Cancel> button to exit the specification dialog, pull down the Action menu and select Personalize UPSTREAM.

You will then see a file open dialog. In most cases you will select the personalization file (US.SER) in the default directory which will allow you to edit personalization information.

If you have the SERIAL.DAT file in the directory, you will then see the Personalization dialog.




The Company Name and User are displayed in the About box in the File menu of FDR/UPSTREAM.

Most of the check boxes represent features and are self-explanatory. For example, if the Backups check box is unchecked then the Backups menu item in FDR/UPSTREAM is grayed, and an error will be displayed if the user attempts to perform a backup.

Specific use of the Security specific features are described in the Security chapter.

The three columns of check boxes are:

- ☐ **Backups:** Check this box if you wish to allow users to perform backups. If this box is not checked then the backup option in FDR/UPSTREAM is grayed and unattended backups will be denied.
- ☐ **Restores:** Check this box if you wish to allow users to perform restores. If this box is not checked then the restore option in FDR/UPSTREAM is grayed and unattended restores will be denied.
- ☐ **As of...Restores**: Check this box if you wish to allow users to perform as of...restores. If this box is not checked then the as of...restore option in FDR/UPSTREAM is grayed and unattended as of...restores will be denied.
- ☐ **Trace:** Check this box if you wish to allow this user to activate the internal FDR/UPSTREAM trace.
- ☐ **Performance**: Check this box if you wish to allow this user to run the FDR/UPSTREAM performance tests.
- ☐ **Priority:** Check this box if you wish to allow this user to modify the execution priority of FDR/UPSTREAM. This option is only valid in OS/2.
- ☐ **Request Rmt Functions:** Check this box if you wish to allow this user to request an FDR/UPSTREAM function of another PC.
- ☐ **Toggle Accept Rmt:** Check this box if you wish to allow this user to enable/disable accepting remote initiates. FDR/UPSTREAM will listen for remote initiates either way, but the user will be disabled from changing whether remote initiates will be accepted (they will always be accepted).
- ☐ **Profile Management**: Check this box if you wish to allow this user to run Profile Management which allows viewing and deleting of backups stored on the host.
- ☐ **Profile Configuration**: Check this box if you wish to allow this user to run Profile Configuration which allows viewing, modifying, and deleting host defined backup profile configuration information.
- ☐ **Status:** Check this box if you wish to allow this user to run the FDR/UPSTREAM MVS status facility which allows users to view currently active UPSTREAM functions, cancel them and toggle tracing.
- ☐ **Reporting:** Check this box if you wish to allow this user to perform FDR/UPSTREAM MVS reporting functions from the PC.
- ☐ **ULTra:** Check this box if you wish to allow this user to be able to activate the FDR/UPSTREAM ULTra facility which allows backups/restores of LAN attached workstations.
- ☐ **Attended**: Check this box if you wish to allow this user to perform attended FDR/UPSTREAM functions. If this box is not checked all FDR/UPSTREAM functions must be unattended.
- ☐ **Unattended**: Check this box if you wish to allow this user to perform unattended FDR/UPSTREAM functions. If this box is not checked all FDR/UPSTREAM functions must be attended.

- ☐ **Novell Profiles:** Check this box if you wish to allow this user to specify a Novell Profile which allows unattended login to a Novell file server based on login information specified in the SETNOV program.

- ☐ **Banyan StreetTalk:** Check this box if you wish to allow this user to specify a Banyan StreetTalk name for backup/restore purposes.

- ☐ **NDS/Bindery:** Check this box if you wish to allow this user to backup/restore the Novell bindery (if v3.x) or the Novell NetWare Directory Services (if v4.x).

- ☐ **Migration:** Check this box if you wish to allow this user to perform migration: the backup of unmodified files to the host and the subsequent deletion of those files.

- ☐ **Deletion:** Check this box if you wish to allow this user to allow FDR/UPSTREAM to perform file deletes.

- ☐ **Non-Merge:** Check this box if you wish to allow this user to perform non-merge backups.

- ☐ **Merge**: Check this box if you wish to allow this user to perform merge backups.

- ☐ **TCP/IP:** Check this box if you wish to allow this user to use TCP/IP to connect to the host.

- ☐ **SNA:** Check this box if you wish to allow this user to use SNA to connect to the host.

- ☐ **Disk Backups:** Check this box if you wish to allow this user to perform backups to disk (vs. tape).

- ☐ **Tape Backups:** Check this box if you wish to allow this user to perform backups to tape (vs. disk).

- ☐ **Disk Restores:** Check this box if you wish to allow this user to perform restores from disk.

- ☐ **Tape Restores :** Check this box if you wish to allow this user to perform restores from tape.

- ☐ **Sequential:** Check this box if you wish to allow this user to perform sequential (disk or tape) backups/restores. If you do not check this box, all backups are Keyed or Archive.

- ☐ **Non-sequential:** Check this box if you wish to allow this user to perform non-sequential (keyed or archive) backups/restores. If you do not check this box, all backups are sequential disk or tape.

- ☐ **No Duplicate Mgt:** Check this box if you wish to not allow this user to use the duplicate management facility which allows the viewing/deleting of files in the duplicate database (keyed backups in USTDUPFL).

- ☐ **No Registered Names:** Check this box if you wish to not allow this user to use the registered name facility which allows the viewing/updating of target names which logically identify the LU Name or IP Address/Port Number of a workstation/server.

- ☐ **No 'Abandon Changes':** Check this box if you don't want the user to see the *Abandon Changes* box when the <Cancel> button is pressed.

- ☐ **Restrict only for PC Initiated:** Check this box if you want ALL the personalization options to only apply to PC initiate functions; host initiated functions have no personalization defined restrictions.

- ☐ **Load User Personalizations:** Check this box if you want to have separate personalization files for each user, activated when they log in. The personalization file name is the user's host login name with the .ser extension in the work path directory. For example, for a user with the host login name of "TOM", you must have a TOM.ser in the

work path directory for that user to use UPSTREAM. This box should be checked for us.ser and all user personalization files if you will be using this option.

- ☐ **No Host Security:** Check this box if you wish to skip the initial host login dialog on UPSTREAM entry. If you do not have host security enabled, you should check this box.

- ☐ **Time-out Host Security Login:** Check this box if you wish a user's login to time out after 30 minutes of disuse. Note that the timer is only effective when at the main UPSTREAM screen, so your users should be encouraged to return to the main screen when they are done.

- ☐ **Local Backup:** Check this box if you wish to allow users to be able to specify and utilize local backup storage.

- ☐ **No File Transfer:** Check this box if you wish to disallow users from using the file transfer facility.

- ☐ **No Host Jobs:** Check this box if you wish to disallow the submission of host jobs from the workstation/server.

- ☐ **No FDRSOS Functions:** Check this box if you wish to disallow the use of FDRSOS Timestamp functions and physical disk functions..

- ☐ **No Dest Changes:** Check this box if you wish to disallow restores to a different location than where the backup was performed from. This is particularly useful if you wish to have the local workstation/server security system validate access to files. When possible, the UNC name is validated.

- ☐ **No physical disk:** Check this box if you wish to disallow FDRSOS/physical disk backups/restores.

- ☐ **SOS local backup admin:** Check this box if you wish to authorize access to the FDRSOS Local Backup Admin facility.

- ☐ **SOS local backup:** Check this box if you wish to authorize FDRSOS local backups.

- ☐ **User name override:** Check this box if you are running UPSTREAM in multi-user mode and wish to allow users to override their user name.

- ☐ **Rename restore failed files:** Check this box if you are running Windows NT and wish to have the option to have UPSTREAM automatically rename a locked file and/or replace it on a reboot. If this option is not checked, the fields in UPSTREAM will not display at all.

The right hand column consists of several more complex features:

- ☐ **Preset Backup Profile:** If you wish to customize FDR/UPSTREAM so that users can only perform backups and restores using a particular backup profile, check this box and enter the backup profile beneath it. In FDR/UPSTREAM, all backup profile edit fields are grayed and the specified entry is always used.

- ☐ **Require Password:** If you wish to secure access to FDR/UPSTREAM, check this box and enter a password in the edit field beneath it. When you enter FDR/UPSTREAM, you must enter this password to use the software.

- ☐ **Specific Directory:** If you wish to only allow access to a given directory, (and those files and directories underneath it), enter this directory entry here. This is a powerful feature as it allows the security within FDR/UPSTREAM MVS to be augmented. An example would be if you will be performing backups centrally and wish users to be able to perform their own restores, you would enter the primary directory that they have access to. Enter the directory with a terminating backslash; for example, F:\USERS\TOM\.

- **Backups Disallowed/Restores Disallowed:** If you check either of these boxes, enter a From and To time in the edit fields below. This allows you to be able to specify a range of times where backups and/or restores cannot be performed. You specify times using a 24 hour clock. For example, if you wish to restrict restores during the day (to limit tape mounts or excess line traffic), check the Restores Disallowed check box and enter a From time of 09:00:00 and a To time of 17:00:00.

Press the **Ok** button to write your changes to a FDR/UPSTREAM personalization file. The default is the same file as was opened when you entered this facility. You can also save to a different file, but note that FDR/UPSTREAM will require a file named US.SER in either the work path or the FDR/UPSTREAM directory of the workstation that is being personalized.

If you press the **Cancel** button you will leave the dialog without making any changes. The **Zap** button is for use only by FDR/UPSTREAM technical support.

The **Remote Security** button is intended to limit access to your machine by remote UPSTREAM users. See the *Security* chapter for more information.

Some additional notes on this facility:

- The special purpose file PROFMGT.NUL is no longer used to restrict access to profile management and profile configuration; this facility supersedes the need for this file.
- SERIAL.DAT can be generated to disallow access in USCFG to disabling certain facilities. Contact FDR/UPSTREAM technical support for more information.

# 22.6. Registration

Requesting a FDR/UPSTREAM function (backup, restore, etc.) of a workstation/server from the host or another workstation/server has required that you know the LU Name or the IP Address/Port Number of that workstation/server. LU Names/IP Addresses can be difficult to remember and there is no support for dynamic LUs or the TCP/IP DHCP facility.

This facility allows you to be able to register a target name that you can use to identify the workstation. This can be any name that easily identifies the workstation/server allowing host or other workstation requests to be easily located. Using this facility now allows you to locate workstations/servers even if they are using dynamic LUs or DHCP.

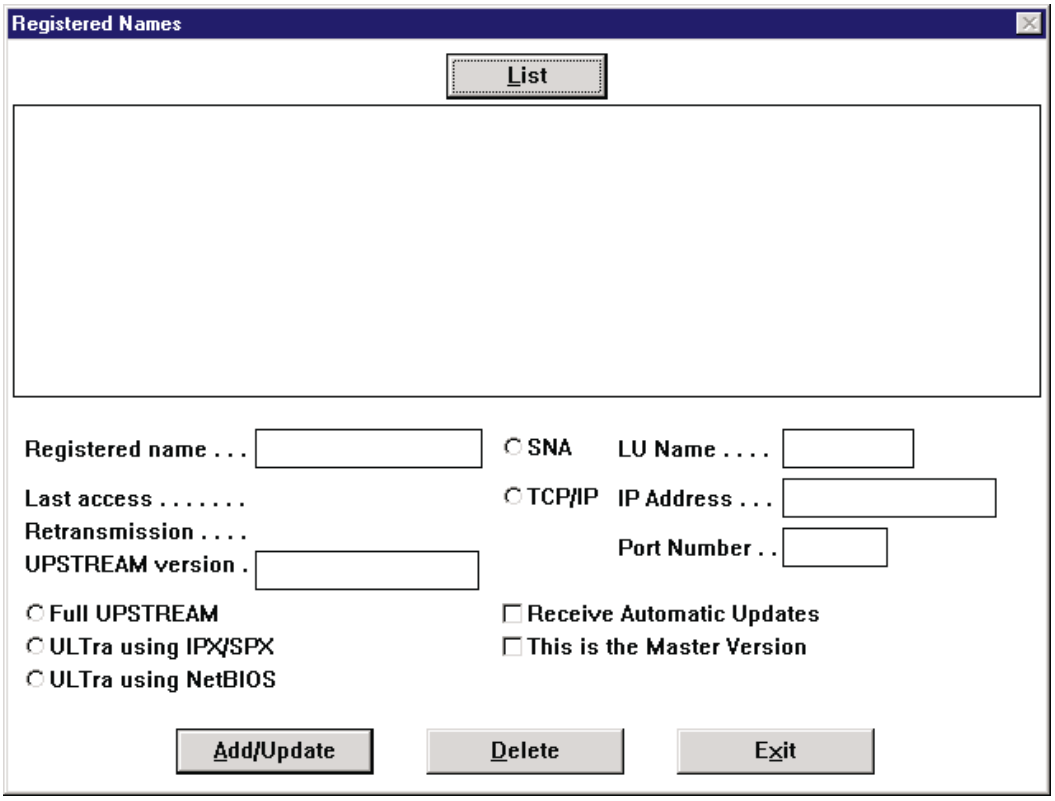
To register a target name you can:

- Have the workstation/server self-register. There is a new configuration option which allows you to define the target name during configuration.
- Register from the host. There is a new option in the FDR/UPSTREAM MVS ISPF panels REGISTRY which allows you to maintain target names.
- Register from a PC. There is a new option in the Remote Menu on the Workstation/Server (Registered Names) which allows you to maintain target names.

Registration is also the basis for the FDR/UPSTREAM Auto-Update facility described in the next section.

The workstation/server self-registration is defined in the Configurator. This process is described in the configuration chapters, depending upon the operating system type.

To modify FDR/UPSTREAM MVS registration from a workstation/server, pull down the **Remote** menu and select the **Registered Names** option.




- **List:** Press this button to request the list of registered workstations/servers. After pressing this button the list box is filled with a list of the names currently registered, their LU Name or TCP/IP address/port number, the last access (use), how often they retransmit if they use self-registration, whether this workstation is set up for auto-updates, whether it is the auto-update "master", whether it is an ULTra workstation and the ULTra connectivity type. See the following section for a description of the auto-update fields.

- **Registered Names List:** When you highlight an entry in this list, the fields under the list box are filled in with the registration information defined for this workstation/server. The fields under the list box are used to add, modify or delete registration.

- **Registered Name:** The name assigned to a given workstation/server. This field is filled in automatically when you highlight registration information in the Registered Names list box above. You can enter up to 16 characters and embedded spaces are allowed. This field is required.

- **SNA:** Press this radio button if the workstation/server is connected via SNA. You must enter a LU Name if you press this button.

- **LU Name:** Enter the SNA LU Name (not alias) used by the workstation/server. This field is required.

- **TCP/IP:** Press this radio button if the workstation/server is connected via TCP/IP. You must enter an IP Address and Port Number if you press this button.

- **IP Address:** Enter the IP Address used by the workstation server. This field is required. Note that if workstations/servers use DHCP, self-registration is the recommended mechanism.

- **Port Number:** Enter the port number used by the workstation/server for servicing FDR/UPSTREAM requests. The default used by most FDR/UPSTREAM users is 1972.

The remaining screen fields are used for auto-updates. See the next section for more information.

- **Add/Update:** Press this button if you wish to add or update the registration information entered below the list box.
- **Delete:** Press this button if you wish to delete the registered name specified in the Registered name field.
- **Exit:** Press this button to leave the dialog.

Note that there is another option in the **Remote** menu: **Resend Target Nam**e. This option is only enabled if you are using self-registration and it allows you to retransmit the registration information defined in the FDR/UP-STREAM configuration.

# 22.7. Automatic Updates

An automatic workstation/server update of FDR/UPSTREAM software is available which upgrades your software (including ULTra workstations) to an administrator specified version. After a one-time setup of the workstation/server, an administrator can request automatic updates of specified operating system versions of FDR/UPSTREAM.

Some features of this facility include:

- Automatic workstation detection of old versions.
- Administrator control of the production version, the workstations to receive the updates and when it is activated.
- Administrator verification of software updates.
- Support for multiple versions of FDR/UPSTREAM and multiple operating systems.
- Preservation of prior versions and fallback.
- Updates of active programs.
- ULTra workstation support.
- Automatic operating system type recognition for ULTra workstations.
- Support for ULTra profiles.

To use this facility for FDR/UPSTREAM workstation/servers, see the next section; for ULTra workstations, see the following section.

**NOTE: There are UNIX notes at the end of this section. UNIX system administrators should see those notes before beginning.**

### 22.7.1. FDR/UPSTREAM Updates

The process of automatic updates of FDR/UPSTREAM Workstation/Server is:

- An administrator certifies a version of software for automatic update. This version is placed in a predefined directory (based on operating system type) and is backed up to the host using a predefined backup profile (again, based on operating system type).
- An administrator, using the Registered Names facility, specifies a user as the Master Version for a specific version and operating system type of FDR/UPSTREAM.
- An administrator, using the Registered Names facility, specifies the workstation/servers by registered name to be automatically updated.
- When the workstation/server registers, the host indicates to it that it’s version is out of sync (it can be either older or newer to qualify to automatic updates).
- When the workstation/server is idle (has performed all local and remote requests), it performs an automatic restore to a separate directory (using the pre-setup parameter file AUTOINST.DAT).
- After the successful restore, a job is run (AUTOINST.BAT, AUTOINST.CMD or autoinst) to save the old software to a separate directory, and the new software is copied in.

FDR/UPSTREAM terminates to allow the copy of the new software to complete. The batch file can optionally restart FDR/UPSTREAM on completion.

- The next time FDR/UPSTREAM runs, it will register it's version to the host for administrator verification.

To set up a FDR/UPSTREAM workstation for automatic updates you must perform the following steps on each workstation.

☐ Install FDR/UPSTREAM v2.5.3 or later.

☐ Make sure that the workstation uses FDR/UPSTREAM registration and that you note the registered name.

☐ Create an **autoinst.dat** file in the WORKPATH directory which restores:

- From a predefined source directory (for example: FILES= C:\UPSTREAM\WINDIST*.* for Windows 3.1)
- To a new software directory (DESTINATION=C:\UPSTREAM\NEW*.*).
- Using the latest version.
- In the backup profile USTooo, where ooo is the operating system type (USTWIN for Windows 3.1).

A sample autoinst.dat is included in the \SAMPLES directory.

Operating system types are listed below. Note that even though currently the Windows version of FDR/UPSTREAM is also used for Windows 95 and Windows NT, these versions should be maintained separately as future plans call for separate versions of these products.

- **DOS** for all versions of DOS
- **OS2** for all versions of OS/2
- **Win** for Windows 3.x
- **W95** for Windows 95
- **NT** for Windows NT
- **AIX** for AIX UNIX.
- **SOL** for SPARC Solaris
- **S86** for Intel Solaris
- **HP** for HPUX.

☐ Create an installation job (**AUTOINST.BAT** for DOS, Windows and Windows NT, **AUTOINST.CMD** for OS/2 and **autoinst** for UNIX). This job should copy the current software to an "old" directory, copy the new software from the "new" directory and (optionally) restart FDR/UPSTREAM if it must be running or you wish it to automatically register immediately. A sample AUTOINST is included in the \SAMPLES directory. You may want to modify this sample to use the correct directory (if not C:\UPSTREAM) or restart FDR/UPSTREAM if the communications facilities do not start it.

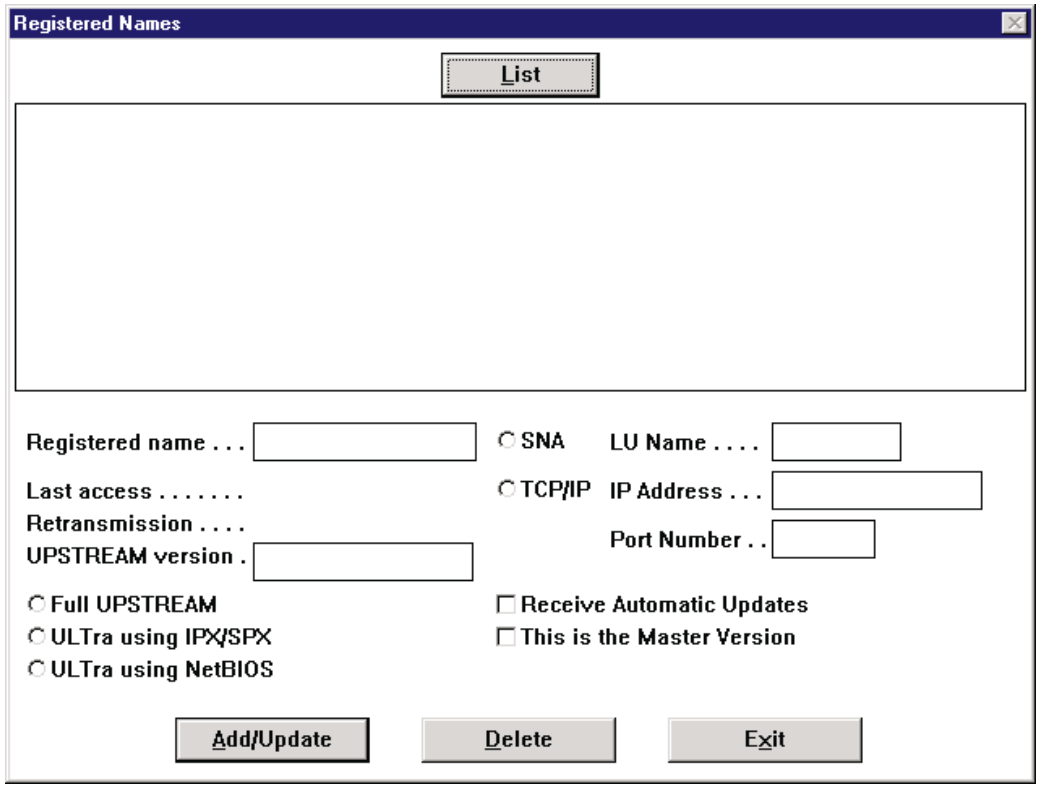
Note that in some operating systems (Windows 3.1, Windows 95/98 and Windows NT) the batch job will run before UPSTREAM has properly terminated. Thus in the sample AUTOINST.BAT, it runs a small program: SLEEP.EXE which waits the number of seconds specified on the command line (10 in the sample).

When an administrator wishes to automatically distribute software the software, it should be locally installed, tested and certified for your local environment. When ready to distribute, follow these steps:

- ☐ Copy the distribution diskette or CD to a subdirectory named for the operating system type (for Windows 3.1, C:\UPSTREAM\WINDIST*.*).

- ☐ Perform a non-merge, disk backup of this directory using the backup profile configured on the workstations (for example, USTWIN).

- ☐ In the FDR/UPSTREAM program (we recommend that you use the version that you are automatically distributing), select **Registered Names** from the **Remote** menu.




- ☐ Press the <List> button to list the FDR/UPSTREAM machines registered to the system.

- ☐ Highlight your name and the UPSTREAM version field which should match the version and operating system that you are distributing. If it does not, you can change it.

- ☐ Check the **Receive Automatic Updates** and **This is the Master Version** checkboxes.

- ☐ Review the list of workstations which have the **Full UPSTREAM** radio button pressed. Check the **Receive Automatic Updates** checkbox for workstations that you wish to receive the updates and uncheck it for those you don't wish to see receive the updates. From this point onwards the workstations will be eligible to receive updates when they register.

- ☐ You can later verify that the workstations have received the software by checking that the UPSTREAM version field has been properly updated.

  When a FDR/UPSTREAM workstation has been selected to receive automatic updates, and it registers the host software will notify the workstation that it's version number is not the same as the master version and it has been selected for automatic updates.

The workstation software then completes any locally requested processing and remote requests. When it is idle, it puts up a message dialog allowing the user 10 seconds to cancel the first step of the automatic update, which is the restore. If the user does not cancel the automatic update restore, the restore will run, using autoinst.dat.

When the restore has completed successfully, the user is given a final opportunity to cancel the automatic update process for the second step which is running the installation job. If the user does not perform this cancel, the installation job (autoinst) is run and FDR/UPSTREAM immediately terminates so that active programs can be overwritten. If you wish, you can have autoinst rerun FDR/UPSTREAM or perform any other function.

### 22.7.2. UNIX notes

To make UNIX automatic updates smooth, we provide samples:

- **autoinst.sample.dat** A sample parameter file (which you will need to rename to be **autoinst.dat**) for the automated restore step.
- **autoinst.sample.script** A sample script file (which you will need to rename to be **autoinst**) for the installation job step.

We further recommend the following:

- That UPSTREAM on all systems be installed in the directory suggested in the UNIX chapter. The samples assume that UPSTREAM is installed in this directory.
- That you create a set of directories to hold the old and new versions of FDR/UPSTREAM:
  (AIX) /usr/lpp/fdrupstream.old and /usr/lpp/fdrupstream.new
  (Solaris and HPUX) /opt/fdrupstream.old and /opt/fdrupstream.new

### 22.7.3. ULTra Updates

The automatic update of ULTra workstations is somewhat different that for full FDR/UPSTREAM workstations. You should understand the procedure for FDR/UPSTREAM machines before setting up for ULTra workstations.

The ULTra update facility uses the ULTra workstation name as the registered name, and performs the registration during a backup. This allows ULTra workstations to be included in automatic updates even if they use ULTra Profiles.

On each ULTra workstation that will be using automatic updates, you must perform the following steps:

☐ Install FDR/UPSTREAM ULTra v2.5.3 or later.

☐ Create an installation job (ULTINST.BAT for Windows and Windows NT, or ULTINST.CMD for OS/2). This job should copy the current software to an “old” directory, copy the new software from the “new” directory and (optionally) restart ULTra if it must be running. A sample ULTINST is included in the \SAMPLES directory. You may want to modify this sample to use the correct directory (if not C:\UPSTREAM).

Note that since ULTra for DOS does not support jobs, this step is not necessary; the restore must be performed directly to the ULTra directory.

On the FDR/UPSTREAM machine:

☐ Create a parameter file in the **WORKPATH** directory which restores:

- From a predefined source directory (for example: files= C:\UPSTREAM\ULTWIN*.* for Windows 3.1)
- To a new software directory (DESTINATION=C:\UPSTREAM\NEW*.*).
- Using the latest version.
- In the backup profile USTUooo, where ooo is the operating system type (USTUWIN for Windows 3.1).

The name of the DAT file, its backup profile and directories must be customized for each version of FDR/UPSTREAM ULTra that you will be updating. The format for the DAT file is **ULTooo.DAT** where ooo is the operating system type (see above); the format for the backup profiles is **USTUooo**.

Thus, if you support DOS, Windows and Windows 95 workstations, you must have, in the WORKPATH directory, 3 DAT files (ULTDOS.DAT, ULTWIN.DAT and ULTW95.DAT) each of which restores from a separate backup profile (USTUDOS, USTUWIN and USTUW95 respectively) and separate specification directories (C:\UPSTREAM\ULTDOS*.*, C:\UPSTREAM\ULTWIN*.* and C:\UPSTREAM\ULTW95*.* respectively). Sample DAT files are included in the \SAMPLES directory.

☐ In the backup <More...> dialog, there are two new checkboxes in the ULTra frame: **Register** and **Auto-upgrade**. If you press the Register checkbox, the LAN Workstation Name is used as the registered name and the version number and ULTra connection type is registered on the host. If you press the Auto-upgrade button (which is grayed unless you have pressed the Register checkbox) the workstation is automatically upgraded. This function is only performed during backups (not restores) and you can use ULTra profiles.

When the administrator is ready to perform an automatic update, the process is similar to FDR/UPSTREAM automatic updates:

☐ Copy the distribution diskette or CD to a subdirectory for the operating system type to be distributed (for example, C:\UPSTREAM\ULTWIN*.*).

☐ Perform a non-merge, disk backup of this directory using the backup profile configured on the workstations (for example, USTUWIN).

☐ In the FDR/UPSTREAM program, select **Registered Names** from the **Remote** menu.

☐ Press the <List> button to list the FDR/UPSTREAM machines registered to the system.

☐ Add or Update a dummy workstation name (for example ULTWIN), entering the operating system and version number in the **UPSTREAM version** field and pressing the ULTra connection type (**ULTra using IPX/SPX** or **ULTra using NetBIOS**). Enter your connection type (SNA or TCP/IP) and your LU Name or IP Address/Port Number.

☐ Check the **Receive Automatic Updates** and **This is the Master Version** checkboxes.

☐ Review the list of workstations which have one of the ULTra radio buttons pressed. Check the **Receive Automatic Updates** checkbox for workstations that you wish to receive the updates and uncheck it for those you don't wish to see receive the updates. From this point onwards the workstations will be eligible to receive updates when they register.

☐ You can later verify that the workstations have received the software by checking that the **UPSTREAM version** field has been properly updated.

When an ULTra workstation has been selected to receive automatic updates and it completes a backup, it will then register itself with the host. The host software will notify the workstation that it's version number is not the same as the master version and it has been selected for automatic updates.

The FDR/UPSTREAM machine then puts up a message dialog allowing the user 10 seconds to cancel the first step of the automatic update, which is the restore. If the user does not cancel the automatic update restore, the restore will run, using ULTooo.DAT (ULTWIN.DAT) and automatically replacing the LAN Workstation Name, Password and ULTra connection type with that used in the backup.

When the restore has completed successfully, the user is given a final opportunity to cancel the automatic update process for the second step which is running the installation job. If the user does not perform this cancel, the installation job (ultinst) is run and the ULTra software immediately terminates so that active programs can be overwritten. If you wish, you can have ultinst rerun FDR/UPSTREAM or perform any other function.

Note that since DOS ULTra does not allow the execution of jobs, a job is not run; the software will be updated the next time that it is loaded.

### 22.7.4. Roll-Back

If for some reason you wish to roll-back to a prior version of FDR/UPSTREAM the process is:

- The administrator should revert to the prior version.
- Uncheck Receive Automatic Updates.
- Delete the flawed version.
- Re-back up the version you wish to revert to (if it is not already the latest version).
- Check Receive Automatic Updates.
- ...or you can just copy the files from the OLD directory.

# 23 File Transfer

FDR/UPSTREAM's high performance, and reliability is now available for file transfers between workstation/servers and the host. File transfer allows native host files and workstation/server files to be interchanged outside of the backup/restore facility of FDR/UPSTREAM.

File transfer features on the workstation/server side allow:

- Selectable ASCII/EBCDIC translation.
- Selectable record blocking by carriage return/line feed (or just line feed for UNIX)with or without truncation or fixed record length blocking.
- File transfer directly to and from ULTra connected workstations.
- Full workstation/server or host scheduled automation.

File transfer features on the host side allow:

- Disk or Tape transfers.
- Automatic file creation for sends.
- GDGs.
- Integration with host security.
- Variable length records or, for sends only, fixed length and undefined records.
- Sequential files or PDS members.

This facility is particularly useful to transferring workstation/server logs and report files to the host. You can specify that the workstation/server delete the log or report file after it has been transmitted thus removing the need for USLOGCLR and allowing host interrogation of workstation/server activity.

# 23.1. Using File Transfer

### 23.1.1. Host Setup

To use file transfer, you must define a backup profile on the host which defines defaults for file transfer. File transfer profiles can not be used for backups and backup profiles can't be used for file transfer. There is a new parameter in Profile Configuration, File Transfer Profile Only which should be checked for backup profiles designated for file transfer (this field is grayed until you check Disk or Tape and uncheck Merge backups); a similar parameter is available on the ISPF panel: TRANSFER=YES.

The backup profile definition allows you to determine whether you wish to enable file transfers for disk and/or tape as well as default creation options (for workstation/server sends) such as whether created files are GDGs, the prefix names, storage classes, retention periods, etc. Once the backup profile has been defined and activated you can specify a file transfer.

### 23.1.2. Workstation-to-MVS Transfers

Since any workstation file may have variable-length records, a file transferred to MVS will be placed in a MVS disk or tape data set in variable format (RECFM=VB). The LRECL of the output data set will be the RECORDSIZE specified at the workstation when the transfer is initiated (+4 for the variable format length fields) and the BLKSIZE will be the value of DASDBLK in the associated file transfer profile which must be at least RECORDSIZE+8. The size of the individual records depends on options chosen, as described below.

When the transfer is initiated, the name of the input workstation file, transfer options, and disk or tape output is indicated. The data set name of the output MVS data set can optionally be specified; if omitted, the sequential disk or sequential tape name from the associated profile will be used.

If the output is a sequential data set or a GDG generation it will be allocated as a new data set with the DCB characteristics shown above and cataloged by FDR/UPSTREAM. The options in the file transfer profile for sequential disk or sequential tape backups will be used to allocate the data set (except for the name, if overridden). If you override the MVS name with a GDG name you must specify "(+1)" at the end of the name, e.g., "A.B.C(+1)". You can also output to a member of an existing PDS (partitioned data set) if you specify a member name at the end of the name, e.g., "A.B.C(MEMBER1)", but that PDS must have the proper DCB characteristics (RECFM=VB and an appropriate LRECL and BLKSIZE). The output PDS must be preallocated, FDR/UPSTREAM will never allocate a PDS.

If the file being transferred is a text file, you can request ASCII to EBCDIC translation; this is enabled by default.

Since text files are usually broken into records delimited by a CR/LF (just LF alone on UNIX systems), you can request that FDR/UPSTREAM scan for those delimiters and transmit the individual records (with the delimiters removed); this will result in a MVS file with the same records as the workstation file. This option is also enabled by default, but if it is not used the transmitted file is considered to be a continuous set of data bytes and delimiters are not removed. Since MVS requires that data sets consist of individual records, FDR/UPSTREAM will break the transmitted data in records of the size specified by RECORDSIZE. For example, if RECORDSIZE is 6000, the MVS data set will consist of variable length records, all of which are 6000 bytes in length (except the last).

If you are transmitting a non-text (binary) file, you must turn off the EBCDIC translation option and probably not use the record delimiter option. However, unless you have detailed knowledge of the format of the binary file, the transferred file will probably be useful only if you later transfer it back to a workstation.

### 23.1.3. MVS-to-Workstation Transfers

When transferring a file from MVS to a workstation, the MVS input data set may have any record format (fixed, variable, or undefined). It must be a sequential disk or tape data set (including GDG generations) or a member of a PDS. When the transfer is initiated, the name of the output workstation file and transfer options are specified. The data set name of the input MVS data set can optionally be specified and most of the time it must be given. If omitted, the name of the output MVS data set from the most recent transfer to MVS under the transfer profile name will be used as input, but this will work only if the option to record the transfer to MVS was chosen; this might be useful to transfer a file from one workstation and then transfer it back to one or more additional workstations. If no transfer has been recorded under the transfer profile name used, the input MVS name must be given.

FDR/UPSTREAM will automatically allocate the specified input data set using the MVS catalog. You do not need to specify if it is on tape or disk.

If the file being transferred is a text file, you can request EBCDIC to ASCII translation; this is enabled by default.

Since text files are usually broken into records delimited by a CR/LF (just LF alone on UNIX systems), you can request that FDR/UPSTREAM transmit the individual records from the MVS data set with the appropriate delimiters inserted; this will result in a workstation file with the same records as the MVS file. This option is also enabled by default, but if it is not used the transmitted file is considered to be a continuous set of data bytes and all bytes in the file will be transmitted without delimiters. Individual records in the MVS data set will be combined in the workstation file.

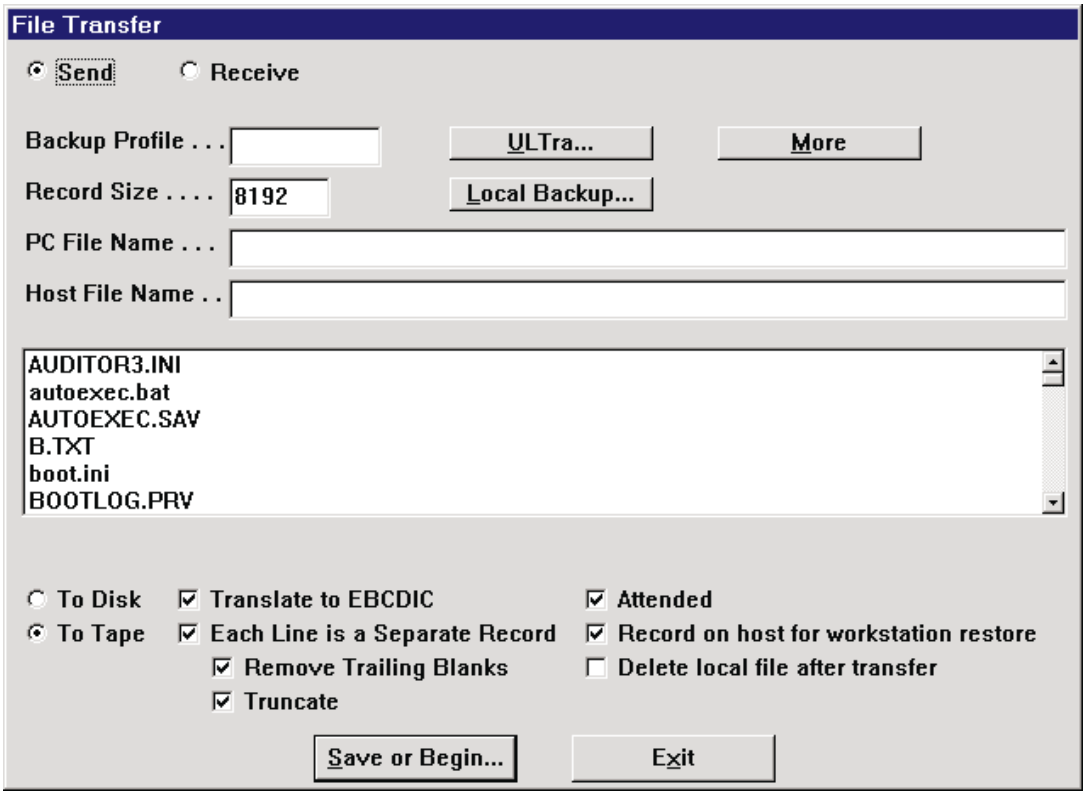
If you are transmitting a non-text (binary) file, you must turn off the EBCDIC translation option and probably not use the record delimiter option.

### 23.1.4. File Transfer Security

Because FDR/UPSTREAM file transfer can be used to transfer to or from any sequential MVS data set, security for file transfer is different from normal FDR/UPSTREAM security. If SECLVL=1 or more is specified in the FDR/UPSTREAM-MVS configuration, a security userid and password must be associated with the file transfer requires. All access to MVS data sets will be verified under that userid, so it must have CREATE authority to the MVS names for transfer to MVS, and READ authority for transfer to the workstation.

### 23.1.5. File Transfer Dialog

There is an option in the **Action** menu, **File Transfer** which can be used for workstation specification of file transfers, and a similar ISPF panel available through the USTBATCH facility.




- **Send/Receive:** Press the **Send** radio button if you wish to send a workstation/server file to the host; press the **Receive** radio button if you wish to receive a host file. The default is Send.

- **Backup Profile:** Specify the backup profile name which contains the defaults you wish to use for this file transfer. For receives, this can be any name not used for backups. This field is required and there is no default.

- **Record Size:** Record sizes can be very important in workstation/server sends as all host files are blocked into logical records. If you check Each Line is a Separate Record (below), then this is the longest line that is transmitted; otherwise the record size is the exact number of bytes for each record on the host. The default is 8192, but record sizes of 80 bytes (for text files) are also common.

- **ULTra...:** Press this button to specify file transfers to ULTra attached workstations. Note that you can specify ULTra profiles.

- **Local Backup:** Press this button to specify FDRSOS Local Backups (if you have purchased this facility). This button is only available for sends and the local backup file is immediately removed when the send has completed.

- **More...:** Press this button to specify additional file transfer parameters such as user defined translation tables, Novell Profiles, etc.

- **PC File Name:** Enter the name of the source file for Sends and the destination file for Receives. For sends, the file list below is used to help fill in this field. Wildcards are not allowed. The default is blank and is required.

- **Host File Name:** Enter the name of the destination file for Sends and the source file for Receives. You can leave this field blank if you wish to have the host assign a name using the FDR/UPSTREAM convention which is the profile defined prefix and a random suffix for non-GDGs.

Host file names are a maximum of 44 characters, are multi-level and have dot separators (for example BOB.TEST). Sequential files use these names. If you leave this field blank, the host will create the file name for sends, or will use the most recent recorded file transfer for receives.

GDGs (generation data groups) are host file names with a generation suffix number in parens. For sends, the suffix must be +1 (for example, BOB.GDG(+1)). For receives the suffix must be 0 or negative (for example, BOB.GDG(-1)).

PDSs (partitioned data sets) are a single large entity which holds a number of entries within it (somewhat similar to a directory) and are specified as host names with an 8 or less character entry in parens (for example, BOB.PDS(ENTRY)). FDR/UPSTREAM requires that the PDS be preallocated for sends, but the entry can either pre-exist or be created.

The default for host file name is blank and is required only for receives.

- ☐ **File List:** You can use the mouse or keyboard to help you select files to send in the same manner as selecting files for backup. The list is grayed for receives.

- ☐ **To Disk/Tape:** Select Disk if you wish to write your file on host disk; select Tape if you wish to write your file on host tape. This field is grayed for receives.

- ☐ **Translate to EBCDIC (ASCII):** Check this box if you wish to translate workstation/server readable ASCII to host readable EBCDIC for sends or vice-versa for receives. In most cases, check this box for text files and uncheck for binary files. The text of this message changes for sends and receives (Translate to EBCDIC for sends and Translate to ASCII for receives).

  Note that ASCII-to-EBCDIC translation may not be congruent (files transmitted and then receive may not be identical).

  FDR/UPSTREAM supports user loaded translation tables and we recommend their use in situations where data is not translated as expected. The default is checked.

- ☐ **Each Line is a Separate Record:** For sends, check this box if you wish to block workstation/server files into separate records based on lines (carriage return/line feed for PCs and line feed for UNIX systems); do not check this box if you wish to block the workstation/server file into records based on a fixed size (the record size). For receives, check this box if you wish to have FDR/UPSTREAM add a carriage return/line feed (line feed only for UNIX) after each received line; do not check this box if you don't want line delimiters added. Most users will check this box for text files and uncheck it for binary files. If this box is not checked, the two checkboxes below are grayed. The default is checked.

- ☐ **Remove Trailing Blanks:** Check this box if you wish trailing blanks on each line to be removed for both sends and receives. The default is checked.

- ☐ **Truncate:** Check this box if you wish records which are longer than the record size to be truncated (data which is too long is removed); do not check if you wish remaining characters in a line transmitted as the next record (data which is too long is transmitted, increasing the number of lines). This field is unavailable for receives. The default is checked.

- ☐ **Attended:** If you check Attended, it is assumed that this is an attended file transfer. Do not check this box if you are building a parameter file for unattended file transfers. The default is checked.

- ☐ **Record on host for workstation restore:** Check this box if you wish to have FDR/UPSTREAM MVS record this information for easy workstation recovery in which the workstation does not have to know the host file name. This field is grayed for receives. The default is checked.

- ☐ **Delete local file after transfer:** Check this box if you wish to delete the file after a successful file send. Note that this parameter is automatically unchecked after a send. The default is not checked.

A file transfer visually appears as a standard backup or restore with a status screen, logging and reporting.

### 23.1.6. Other File Transfer Features

File transfers can be automated in the same way as any other FDR/UPSTREAM function: from the command line, utilizing the workstation scheduler, from the host, etc.

Reporting is available in file transfer and is specified in a backup or restore <More...> dialog.

# 24 Physical Disk

## 24.1 Introduction

Physical disk backups are sector-by-sector backups of the disk itself regardless of the disk formatting and partitioning. These operate at the very lowest level of the disk.

These types of backups have a number of disadvantages including:

- Inability to restore single files. It is this reason alone why most users choose to use physical disk backups as disaster recovery tool only, and perform logical disk backups as their primary backup method.
- Inability to recognize unused or even unformatted areas of the disk. Thus physical disk backups are always the same size regardless of the data on the disk.
- Inability to deal with substantial changes in hardware. For example, for Windows NT if you restore a boot disk from a multi-processor system to a single-processor system it will not boot. There are a number of other similar issues - check with UPSTREAM technical support if you will be restoring to dissimilar hardware.

But their advantages in a number of areas make them a tool worth considering.

- Disaster recovery. In a complete disaster FDR/UPSTREAM has a number of techniques which allow a physical disk backup to be used for high speed system restore, often from a floppy disk on a “bare metal” system.
- Performance. Since the disk heads move across the disk sequentially, there is no head or rotational delays which may often significantly reduce performance.
- Databases. A number of database vendors store their data directly onto physical disks using their own labeling schemes. Thus a physical disk backup is the only way to backup this data.

Because of these advantages, physical disk backups can be a powerful tool in your backup strategy.

FDRSOS is a physical disk backup product. FDR/UPSTREAM has the ability to restore directly from FDRSOS backups providing an alternate path if your site does not have access to EMC hardware for the restore.

# 24.2 Physical Disks and FDRSOS

FDR/UPSTREAM can be used to perform physical disk backups and restores. Some of the features of this facility include:

- Complete system backups of an entire disk. This will include all operating system information, as well as all file regardless of their open or "in-use" state.
- Restores of FDRSOS backups using FDR/UPSTREAM. If your disaster site does not have the required hardware/software to restore your FDRSOS backups using FDRSOS, you can use FDR/UPSTREAM and its physical disk restore facility.
- ULTra support so that physical disk backups of workstations can be performed. With this you can (using the DOS version of ULTra) perform a single disk disaster recovery restore of a DOS, Windows, Windows NT or OS/2 workstation or server.
- You can even perform the backup or restore using a different operating system if you wish. For example, you could perform the backup using Windows NT, but perform the disaster recovery restore using DOS.
- Extremely high performance. Since FDR/UPSTREAM is not utilizing operating system access facilities but is instead using direct disk access there is virtually no disk I/O degradation due to rotational delay or seek time.
- FDR/UPSTREAM compression. As with standard backups/restores FDR/UPSTREAM compression can significantly improve performance. Since file I/O is no longer a significant performance bottleneck FDR/UPSTREAM compression is even more effective.
- Checkpoint restart. Complete disk backups automatically checkpoint at a user specified interval (the RESTORECHECKPOINT parameter in your parameter stream, whose default is every 120 seconds). Restores can also checkpoint restart at the checkpoints determined during the backup.
- Restores to disks with differing geometries and with disk managers.

Note that this facility performs physical, not logical disk backups. Only complete physical disks can be restored; you cannot use it for file level restores. This is not a replacement for standard FDR/UPSTREAM backups but is meant to be an extension of them allowing high speed disaster recovery restores. We recommend that you perform physical disk backups whenever you make significant system upgrades.

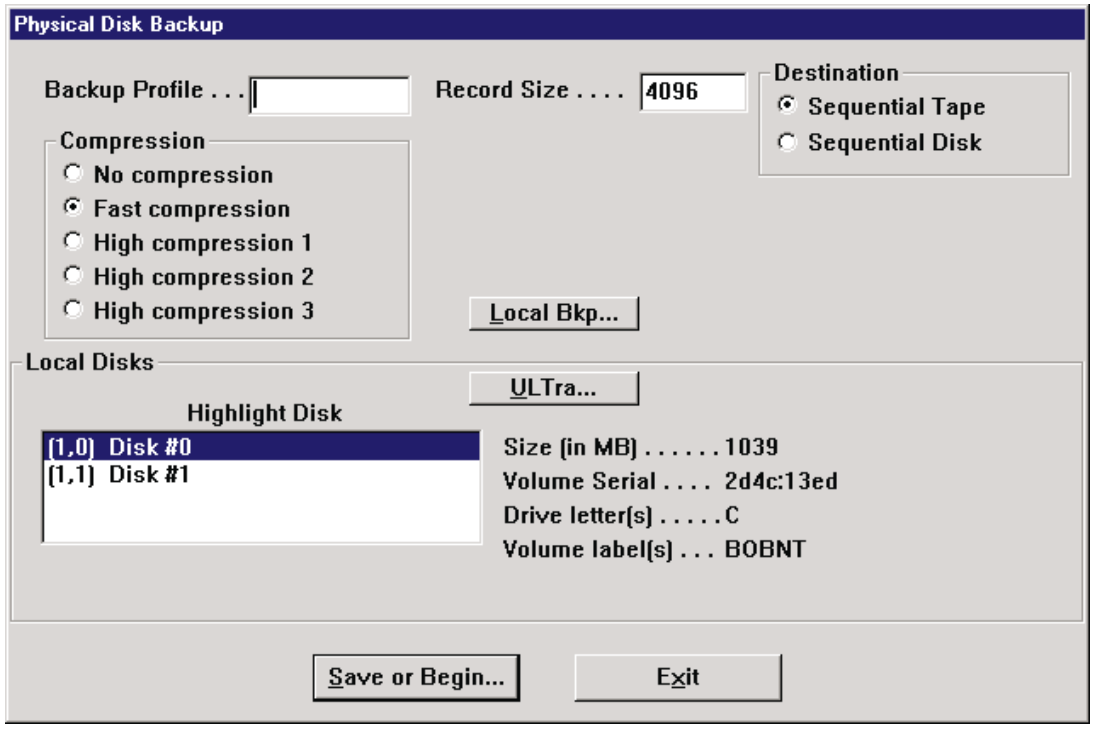
Note that physical disk backups take an image of the disk at the time of the backup. If files are open or change during the backup, the backup may be incomplete or even corrupt. We recommend that if you wish to guarantee the integrity of your backup that you use operating system commands or FDRSOS utilities (described in the FDRSOS manual) for flushing/locking the disk during physical disk backups.

There is a menu item, **Physical** which includes two menu options: **Physical disk backup** and **FDRSOS/Physical disk restore**.

**NOTE: If the disk you are restoring to has differing geometries than the original or either uses a disk manager, see section 24.3, 24.3, page 24-14.**

### 24.2.1 Physical Disk Backups

To specify a physical disk backup, pull down the **Physical** menu and select **Physical disk backup.** You will see the Physical disk backup dialog:




The parameters are:

- **Backup Profile:** You can specify any valid backup profile, however we recommend that you dedicate backup profiles specifically for physical disk backups.

- **Record Size:** Specify the file read size of the data, in multiples of 4096 bytes. We recommend a record size of 8192 or greater for good performance.

- **Compression**: Specify the level of compression you wish to use. Note that high compression may result in slower backups due to increased PC CPU utilization.

- **Destination:** Specify either disk or tape. The default is tape.

- **Local Bkp:** Press this button if you wish to specify local backup options.

- **ULTra:** Press this button to specify physical disk backups of ULTra LAN attached workstations.

The parameters in the Local Disks frame are relevant to the disk you will be backing up:

- **Highlight Disk:** Physical disks are denoted by an internally assigned number and name. When you highlight a disk, information about it is shown in the fields to the right of the list box (size, volume serial(s), drive letter(s), volume label(s)). Some of these fields may have assigned more than one value if a physical disk is divided into multiple partitions. If your disk is a member of a volume set, that will be noted as well.

  For UNIX systems, only the pathname of the available physical disks are displayed.

FDR/UPSTREAM is able to interpret FAT, HPFS and NTFS disks and provide some information about them to help you relate a physical disk to the data on it. However, there are many cases where the information cannot be displayed. If you have any questions about relating physical disks to your data, contact FDR/UPSTREAM technical support for assistance.

Press the **Save or Begin...** button to save your parameters and/or begin the physical disk backup.

Physical disk backups can be suspended for later restart and in most other ways operate as standard backups (see the Notes below).

### 24.2.2 FDRSOS/Physical Disk Restores

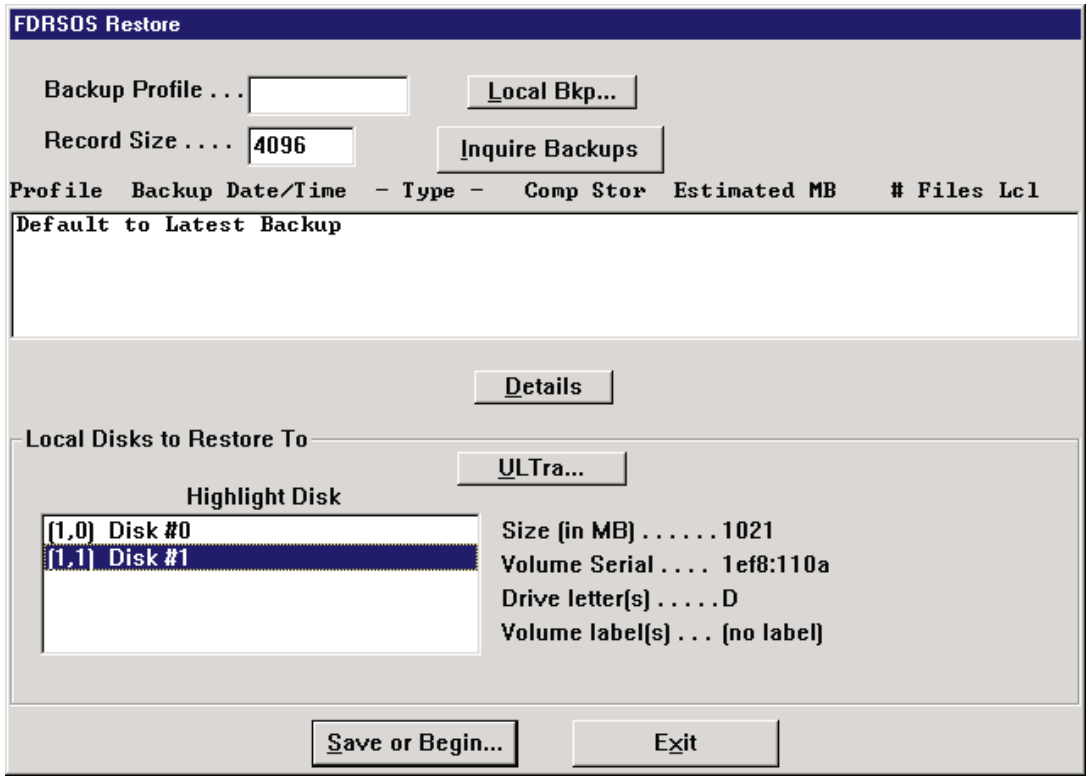
FDRSOS is a separate product licensed by Innovation Data Processing which allows high speed physical backups and restores of EMC Symmetrix SCSI disk arrays to and from an IBM mainframe. The attraction of the EMC Symmetrix is the ability to store and administer both open systems and IBM mainframe data on the same large device. The EMC disk array must have the ESP feature installed.

FDRSOS allows the open systems (UNIX, PC operating systems, etc.) disk to be backed up and restored to mainframe disk or tape.

FDR/UPSTREAM can be used in concert with FDRSOS allowing you the ability to restore FDRSOS backups to EMC or non-EMC disk drives using FDR/UPSTREAM's powerful communications engine.

To specify a physical disk restore or FDRSOS restore using FDR/UPSTREAM, pull down the **Physical** menu and select **FDRSOS/physical disk restore.** An automatic version inquiry will be performed and you will see the FDRSOS/physical disk restore dialog:

**WARNING: Physical disk or FDRSOS restores should ONLY be performed by administrators.**




The parameters are:

- **Backup Profile:** You can specify any valid backup profile which contains physical disk or FDRSOS backups.

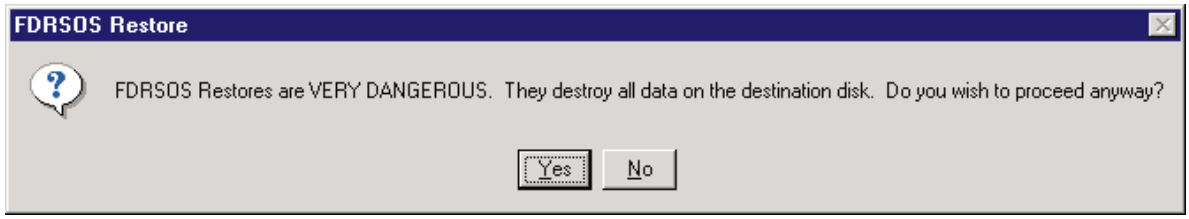
- **Record Size:** Specify the file blocking size of the data, in multiples of 4096 bytes. This is only used for FDRSOS restores (physical disk backups used the value specified for the backup). We recommend a record size of 8192 or greater for good performance.

The parameters in the Local Disks To Restore To frame are relevant to the disk you will be restoring (replacing):

- **ULTra...:** Press this button to restore to an ULTra LAN attached workstation.

- **Highlight Disk:** As for physical disk backups, this list box helps you relate physical disks to your data. As noted above, if you have any questions about relating physical disks to your data, contact FDR/UPSTREAM technical support for assistance.

Press the **Save or Begin...** button to save your parameters and/or begin the physical disk/FDRSOS restore. FDR/UPSTREAM will not allow you to perform the restore if the original disk is larger than the current disk and will warn you if the original disk is smaller than the current disk. You will also be warned with the following message:




**WARNING: FDRSOS or Physical Disk Restores are VERY DANGEROUS. They should only be performed by administrators who are very familiar with the consequences of physical disk restores. ALL data that exists on the disk will be destroyed. The warning above is the LAST warning and should be heeded.**

FDRSOS/physical disk restores can be suspended for later restart and in most other ways operate as standard restores (see the Notes below).

### 24.2.3 System Requirements

For DOS, Windows 3.1 and Windows 95, direct disk access is via DOS interrupts or ASPI calls. To utilize the ASPI interface, you must have an ASPI supported SCSI card in your PC and the appropriate vendor supplied ASPI driver. Note that you must use the 16-bit version of FDR/UPSTREAM with Windows 95.

For Windows NT and OS/2 access is via standard operating system calls which support all types of hard disks. Note that you must use the 32-bit version of FDR/UPSTREAM with Windows NT.

### 24.2.4 Disaster Recovery

Physical disk and FDRSOS backups are ideal for disaster recovery; indeed, that is their primary purpose. If you lose a disk on your workstation or server, this facility can make complete system recovery simple.

However, if you lose the boot disk of your workstation or server, it can be quite difficult to get FDR/UPSTREAM completely operational in a disaster environment. You have to apply the operating system, device drivers, communications support, etc. before you can run FDR/UPSTREAM to perform the restore. This method is recommended for non-UNIX environments only.

To simplify this process, we recommend the use of the DOS version of FDR/UPSTREAM ULTra. By placing DOS, LAN and low-level disk drivers on a single bootable floppy disk with FDR/UPSTREAM ULTra, you can use an operational FDR/UPSTREAM machine to direct the physical disk restore to the ULTra machine. It works regardless of operating system (except for UNIX); OS/2, Windows NT, Windows 95, etc. can be recovered using this method.

The next section describes a method of creating a DOS disk using Windows NT.

To create a disaster recovery DOS ULTra diskette, the steps in this process are:

- Create a bootable DOS diskette. See the appropriate DOS manual for instructions.
- Copy the appropriate low-level disk (ASPI) and LAN drivers to the disk as well as the ULTRAD.EXE DOS ULTra program.

- Modify your CONFIG.SYS and AUTOEXEC.BAT to properly install the LAN and disk drivers as well as ULTra.

For example, if you are preparing a disaster recovery disk for a machine with an IDE hard drive (which requires no special disk driver), an IBM token-ring card over NetBIOS, your CONFIG.SYS might be as follows:

```
REM Operating system statements
Device=a:\himem.sys
Dos=high
REM LAN drivers
Device=a:\dxma0mod.sys
Device=c:\dxmc0mod.sys
REM LAN NetBIOS support
Device=c:\dxmt0mod.sys
```

And your AUTOEXEC.BAT would simply be:

```
ULTRAD <workstation name>
```

To recover the machine:

- Replace the hardware with the same configuration as existed before the disaster.
- Boot the machine with the ULTra disk.
- From a working FDR/UPSTREAM machine, bring up the physical disk restore dialog, select the most recent physical disk backup from the failed machine, specify the ULTra LAN workstation name.
- Begin the restore. When the restore has completed, you can reboot the machine and the original configuration should be replaced.

We recommend that you test this process in your environment to assure that you have it set up correctly.

### 24.2.5 Windows NT ULTra Disaster Recovery Boot Disk Creation Procedure

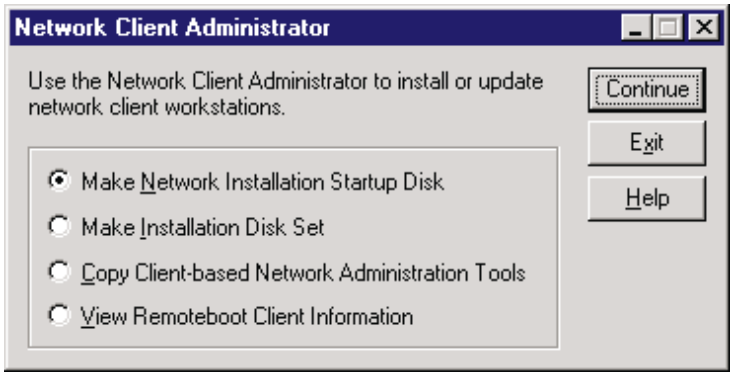
This section describes the method of using the Network Client Administrator in Windows NT to create a DOS bootable floppy for ULTra disaster recovery. This procedure is provided to ease your creation of a DOS bootable floppy if you have Windows NT available. The system that you recover does not have to be Windows NT, nor must you use this procedure to recover a Windows NT system. It is provided merely to ease the creation of a network aware diskette.

The purpose of the Network Client Administrator in Windows NT is to create bootable floppy diskettes that can be used to easily start the installation procedure for MS-DOS/Windows 3.x and Windows 95 on machines that will serve as clients to a Windows NT server. The procedure for creating a bootable floppy diskette to start FDR/UPSTREAM ULTra uses the Network Client Administrator to create a bootable floppy diskette for installing MS-DOS and then modifies the diskette to convert it into a FDR/UPSTREAM ULTra diskette. The MAKULTRA.EXE program supplied with FDR/UPSTREAM for Windows NT is the program that first invokes the Network Client Administrator (NCADMIN.EXE) to create the bootable floppy diskette and then modifies it.

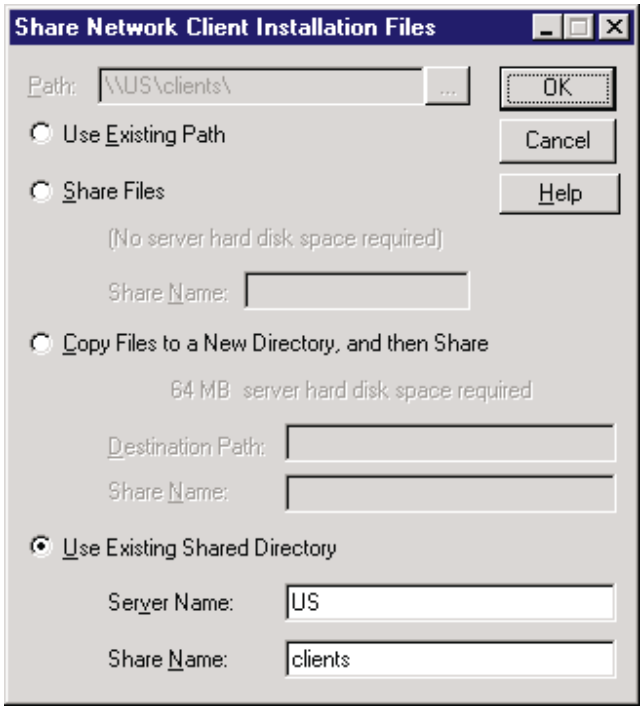
**Note that NCADMIN is a Windows NT Server program; it is not available for Windows NT Workstation.**

The procedure to create the diskette starts by running MAKULTRA.EXE.

☐ Start the MAKULTRA.EXE program from the main FDR/UPSTREAM directory. MAKULTRA.EXE will immediately invoke NCADMIN.EXE to present you with a number of dialogs starting with the "Network Client Administrator" dialog.




☐ On the "Network Client Administrator" dialog check the **Make Network Installation Startup Disk** radio button. Press the **Continue** button to display the "Share Network Client Installation Files" dialog.




☐ On the "Share Network Client Installation Files" dialog, if the "Use Existing Shared Directory" radio button is checked, it can be assumed that the Network Client installation files have already been copied to a Windows NT Server, so do the following:

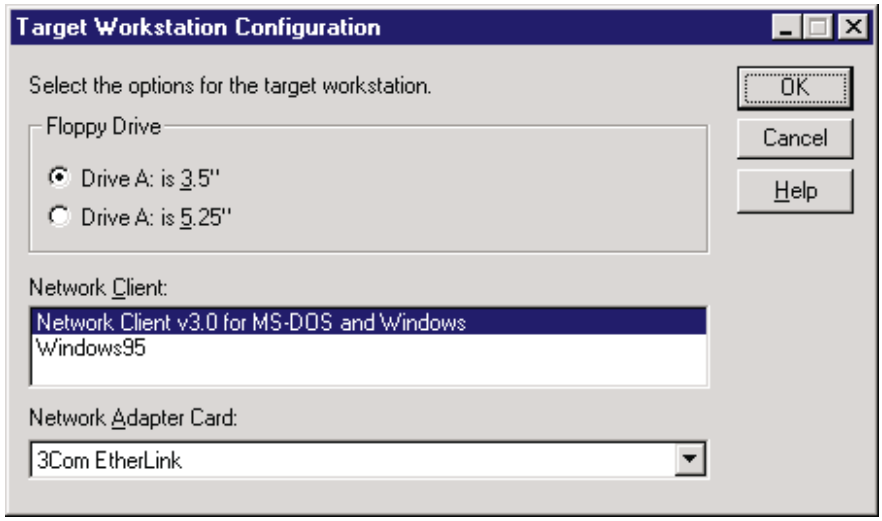
- Verify that the server name and share name in the "Server Name:" and "Share Name:" fields match the Server\Share to which the Network Client installation files were originally copied.

☐ If the "Use Existing Shared Directory" radio button is <u>not</u> checked, do the following:

- Insert your Windows NT Server installation CD in the CD-ROM drive.

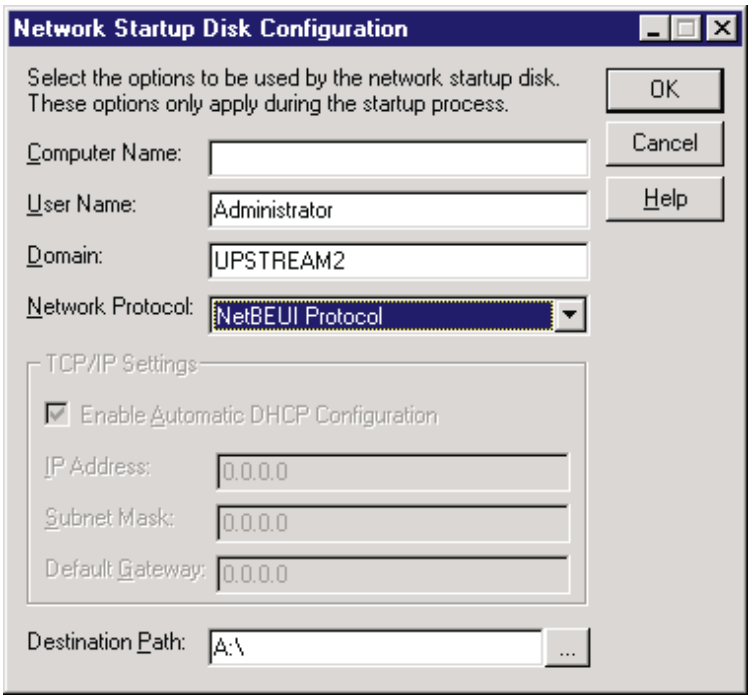
- Check the **Copy Files to a New Directory, and then Share** radio button.
- Enter "d:\CLIENTS" in the "Path:" field, where d: is the drive letter of your CD-ROM drive.
- Enter a path name to be created in the "Destination Path:" field. The drive letter of the destination path should be on the Windows NT machine that you will use as your disaster recovery base system. The path must not already exist since the copying procedure will create it.
- The default share name in the "Share Name:" field is **Clients**. DO NOT change this share name.

☐ Press the **Ok** button to display the "Target Workstation Configuration" dialog.



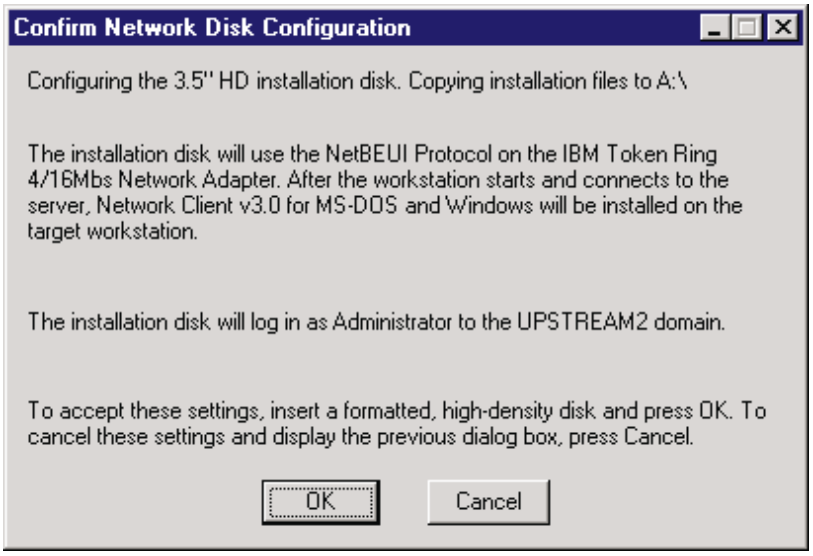

☐ On the "Target Workstation Configuration" dialog:

- Check the **Drive A: is 3.5** radio button if you want to create a 3.5 inch bootable floppy disk, otherwise check the **Drive A: is 5.25** radio button to create a 5.25 inch bootable floppy disk.
- Select **Network Client v3.0 for MS-DOS and Windows** from the "Network Client:" list.
- Select the appropriate network adapter type for the target computer from the "Network Adapter Card:" list.
- Press the **Ok** button to display the "Network Startup Disk Configuration" dialog.




☐ On the "Network Startup Disk Configuration" dialog:

- Enter the name to be used for the target computer in the "Computer Name:" field. The name **ULTRADR** is a good name for the target computer, but any name will do.
- Modify the user name and domain in the "User Name:" and "Domain:" fields if the defaults are not to your liking. The user name must be set up as a user account in the specified domain.
- Select either **TCP/IP Protocol** or **NetBEUI Protocol** from the "Network Protocol:" list. DO NOT select "NWLink IPX Compatible Protocol" since this protocol supports only IPX, not SPX, and ULTra needs both IPX and SPX protocols. The protocol that you select (either TCP/IP or NetBEUI) must be configured in the network settings for all of following machines (these machines may be the same machine or different machines):
    - The Windows NT Primary Domain Controller (or Backup Domain Controller) to which the target computer will log on.
    - The Windows NT Server that will be accessed to run FDR/UPSTREAM ULTra (ULTRA.EXE).
    - The machine (not necessarily Windows NT) on which FDR/UPSTREAM (US.EXE) will be run.
- If "TCP/IP Protocol" was selected from the "Network Protocol:" list, enter the appropriate information for the fields in the "TCP/IP Settings" group.
- The default destination path in the "Destination Path:" field is **A:\.** DO NOT change this destination path.
- Insert a bootable DOS floppy disk in the A: drive. A bootable floppy disk can be created on a MS-DOS or Windows 95 system with the "FORMAT A: /S" command.
- Press the **Ok** button to display the "Confirm Network Disk Configuration" dialog.




- ☐ On the "Confirm Network Disk Configuration" dialog:
  - Verify that the displayed configuration settings are correct.
  - Press the **Ok** button. The Network Client installation files will be copied to the Network Disk diskette. Once the Network Disk creation process is finished, a completion message box will be displayed to inform you if it was successful or not:
- ☐ Press the **Ok** button to return to the main "Network Client Administrator" dialog to redisplay the "Network Client Administrator" dialog.
- ☐ On the "Network Client Administrator" dialog press the **Exit** button to exit. A final dialog will be displayed with additional precautions.
- ☐ Read the precautions listed on this dialog.
- ☐ Press the **Ok** button to exit the Network Client Administrator.

Once you have exited the Network Client Administrator, MAKULTRA.EXE will verify that the diskette has been created successfully and will then modify it to invoke a program named ULTRAD.EXE instead of the MS-DOS setup program, SETUP.EXE. When MAKULTRA.EXE is finished you will have a diskette with which you can boot a damaged machine in order to then perform a raw disk restore. You should thoroughly test this diskette by booting from it a number of times to ensure that the network device drivers copied to it by NCADMIN.EXE are correct.

**WARNING: There is a known problem with some of the network drivers that are copied to the bootable diskette by NCADMIN.EXE. If you suspect that your diskette has not been properly created by NCADMIN.EXE, contact Innovation Data Processing Tech Support for a possible work around.**

Once created and tested, the ULTra Disaster Recovery boot disk should be kept in a safe place to be accessed in the event of a disaster or when needed to further test your disaster recovery plan.

### 24.2.6 Notes

The following are some issues to keep in mind for physical disk/FDRSOS backups and restores:

- If the disk you are restoring to has a different geometry than the one you were backing up or is using a disk manager, see page 24-14.
- You can disable physical disk access through personalization by either checking the “No physical disk” checkbox or the “No FDRSOS Functions” checkbox.
- You must enable record packing and the PACKRECSIZE must be greater than the record size.
- All physical disk backups/restores are automatically restartable and can be restarted in the same manner as standard backups/restores (through the Action menu for example). The RESTORECHECKPOINT parameter is used to determine how often checkpoints are taken for physical disk backups or FDRSOS restores. Physical disk restores are automatically checkpointed at the checkpoints assigned during the physical disk backup.
- DASDOVERRIDE can be used to modify the amount of storage allocated for physical disk backups. This can be particularly important if the disk is massively compressible.
- (OS/2 Backups) To force the OS/2 file system to write all cached data to HPFS drives, you can enter the following command from an OS/2 prompt:

  ```
  C:\OS2\CACHE.EXE /LAZY:OFF
  ```

  To enable HPFS disk caching after backup is done, enter the following command:

  ```
  C:\OS2\CACHE.EXE /LAZY:ON
  ```

- (Restores) The newly created hard drive becomes accessible after you reboot your system.

  If you restore to a drive of larger capacity the rest of the target drive becomes unusable. You can create secondary (logical) partitions on that drive to utilize the remainder of the disk space.
- (Restores) If you are restoring a non-boot drive of different characteristics than the original disk, you may be able to access the data.
- (Restores) If the original drive had Dynamic Drive Overlay (DDO) installed tat the time of the UPSTREAM Physical Disk backup, the same DDO software must be installed on the target drive even if it has different (larger) capacity.
- The FDR/UPSTREAM internal physical disk format is: 1,<disk number> for operating system accessed disks where the disk number is 0 based for Windows NT and OS/2 and 128 based for DOS and 16-bit Windows. For example, specify 1,0 for the first physical disk on an NT system.

  For ASPI attached disks the format is: 2,<host adapter>,<target>,<LUN>. For example 2,0,4,0 is an ASPI device, ASPI adapter #0, target ID #4, logical unit number 0.

  For UNIX raw disks the format is: 4,<physical disk mount point>.
- For host initiated physical disk backups and restores the following are relevant parameters (besides the standard parameters BACKUPPROFILE, COMPRESSLEVEL, DASDOVERRIDE, LATESTVERSION, PASSWORD, RECORDSIZE, RESTORECHECKPOINT, STORAGETYPE, USERID, and VERSIONDATE):

| Name | Default | Required | Description |
|---|---|---|---|
| ACTION | 1 | Yes | (New value) The function to be performed:<br>14 = Physical disk/FDRSOS restore.<br>15 = Physical disk backup. |

| | | | |
|---|---|---|---|
| SOSDISK | None | Yes | The source for backups and the destination for physical disk/FDRSOS restores, using the internal FDR/UPSTREAM physical disk format. |
| FILES | None | Yes (Restores) | For restores of physical disk backups, specify: <:\location*.* where location is the internal FDR/UPSTREAM physical disk form. For restores of FDRSOS backups, specify />_FDRSOS_BACKUP if the source was a UNIX disk or >:*.* if the source was a PC disk. |

# 24.3 Restores with Differing Geometries/Disk Managers

### 24.3.1 Overview

One of the features of FDR/UPSTREAM is the ability to perform backups and restores of entire physical disk drives. FDR/UPSTREAM has had this ability for a while, but due to a number of disk management issues, including issues relating to restores to different disk geometries and disk managers, a straight restore of a disk in most cases does not provide a total solution.

To properly address the various disk management issues, the physical disk restore must be performed according to a specific procedure that will ensure that these issues are handled correctly.

### 24.3.2 Disk Management Issues

There are a number of issues that must be addressed for a physical disk restore to be successful. The first of these issues is the geometry of the disk that is to be restored to.

The first sector of the disk (cylinder #0, track #0, sector #1) contains the Master Boot Record (MBR). The master boot record contains the Master Boot Program (MBP) and the partition table (PT). The MBP uses the PT to determine where on the disk the various partitions (individual disk volumes) reside. The entries in the PT are disk geometry specific and in most cases the geometry of the restore disk is different than the geometry of the disk that was backed up. This causes the MBP to interpret the PT incorrectly and therefore incapable of recognizing the partitions.

In order for the MBP to be able to correctly find the partitions, the partitions have to be aligned on cylinder boundaries according to the geometry of the restore disk. The entries of the PT also need to be modified to properly address these newly aligned partitions. Once this is done the MBP can correctly locate and recognize the partitions.

To perform this partition alignment process a new program named PartFix.exe has been developed. As its name states, it is to be used for fixing partitions. The operation of PartFix.exe is described in the **Using PartFix.exe** section.

The other issue to be addressed when performing a physical disk restore is the proper handling of any installed disk management software.

Due to the limitations of some of the legacy BIOS's is some older computers, the BIOS may not be able to access the total capacity of some of the newer larger capacity disk drives. To overcome these legacy BIOS limitations, most of the disk drive vendors supply disk management software with their drives. This disk management software comes from one of only two software vendors. These two disk management software vendors are:

- Ontrack Data International, Inc.
- Micro House International

Ontrack Data International, Inc. markets a product named Disk Manager. Disk Manager is a registered trade mark of Ontrack Data International, Inc. Micro House International markets a product named EZ-Drive. EZ-Drive is a registered trade mark of Micro House International.

Both of these software products get installed on new disk drives to allow the computer system to be able to access the full capacity of the disk. These disk manager programs work by trapping the Interrupt 13h calls that the

BIOS would normally handle, thereby bypassing the limitations of the BIOS. While these two disk manager program perform the same functions, they do so in slightly different ways. The workings of Disk Manager are described in the **Ontrack Disk Manager** section and the working of EZ-Drive are described in the **Micro House EZ-Drive** section.

The usual reason for performing a physical disk restore is to recover from some sort of a major hard disk failure. In most cases, the disk drive of the computer needs to be replaced. The chances of finding a disk drive with the exact same characteristics as the drive that failed are slim and in most cases you will need to replace the drive with another one that is usually larger and with a different geometry.

When you purchase a new disk drive larger than 8GB, it will usually come with either the Ontrack Disk Manager or the Micro House EZ-Drive software. These two disk management programs are mutually exclusive. This can lead to a possible conflict if the new drive comes with one of the disk management programs and needs to be installed in a computer that already has another disk drive that uses the other program. In this case you should not use the disk management software that came with the new drive, but instead use the disk management software that came with the drive that is not being replaced. Both of the disk management programs should be capable of working with any disk drive on the market. There is no firm requirement for which disk management program you must use, although the various disk drive vendors may have preferences as to which disk management program you use.

The installation diskette for both of these disk management programs should be bootable. If it is not, read the documentation that comes with the disk management software to determine how to create a bootable installation diskette.

Both of these disk manager programs work the same way. They supplant the BIOS of the computer to overcome any disk capacity limitation that the BIOS might have. They do this by installing themselves on track #0 of the disk. During the boot up process, the BIOS will load the program that is on track #0 of the disk. This is usually the standard MBP, but instead it is the disk manager program. The disk manager program then provides a virtual image of the disk to whatever operating system is found in the active partition of the disk. The way in which these two disk manager programs virtualize the disk are different as explained in the following sections.

### 24.3.3 Ontrack Disk Manager

The Ontrack Disk Manager software virtualizes the disk by moving the MBR from sector #1 of track #0 to sector #1 of track #1, installing itself on track #0 of the disk and then hiding track #0 from the operating system. The virtual image that the operating system sees starts at track #1. The operating system thinks that track #1 is really track #0 and can therefore not see the real track #0. The normal MBR for the disk is now in sector #1 of the virtualized track #0 so that the operating system does not know the difference.

Because of this disk virtualization, the operating system and therefore UPSTREAM, does not have access to the real track #0. UPSTREAM cannot back up track #0, and any physical disk backup of this disk will not contain the disk manager program. This situation is ok due to the way in which restores are performed.

The Disk Manager software diskette that comes bundled with a new disk drive is usually a specially modified version created specifically for the vendor of the disk drive. This diskette contains the actual Disk Manager program along with one or more programs to aid in the installation of Disk Manager. The Disk Manager program is the same for all disk drive vendors, while the installation program is unique to the disk drive vendor. The installation program will not work with a disk drive from another vendor. To get a generic version of the Disk Manager installation program that will work with any vendor's disk drive, go to the Ontrack web site at *www.ontrack.com* and purchase either *Disk Manager for DOS* or *Disk Manager for Windows*. Both of these products contain everything that is needed for installing Disk Manager on any disk from any vendor.

### 24.3.4 Micro House EZ-Drive

The Micro House EZ-Drive software virtualizes the disk by moving the MBR from sector #1 of track #0 to sector #2 of track #0, installing itself on track #0 of the disk (except for sector #1) and then hiding the real sector #1 from the operating system. The virtual image that the operating system sees is the real track #0, but with sector #1 and sector #2 being the same. The normal MBR for the disk is now in both sector #1 and sector #2 of track #0 and the operating system, which does not reference sector #2, does not know the difference.

Because of this disk virtualization, the operating system and therefor UPSTREAM, does not have access to the real sector #1 of track #0. When UPSTREAM backs up track #0 it is actually backing up the MBR twice (sector #1 and sector #2) and backing up only part of the EZ-Drive program (the part of EZ-Drive which is on the real sector #1 is hidden from UPSTREAM). This causes some complications during the restore process which requires a specific restore procedure to be followed.

The EZ-Drive software diskette that comes bundled with a new disk drive has the disk drive vendors logo on it (and in the software too). Even though the software displays a specific logo, you should be able to install the EZ-Drive program on any disk from any vendor. To get a generic version of EZ-Drive that does not have a specific disk drive vendor's logo on it, go to the Micro House website at *www.microhouse.com* and purchase the EZ-Drive program.

### 24.3.5 Using PartFix.exe

As explained in the *Disk Management Issues* section, a disk to be restored to will in most cases have a different disk geometry than the disk from which the backup was taken. To overcome these geometry differences, the partitions on the disk must be realigned on cylinder boundaries. This is where PartFix.exe comes in. PartFix.exe is a program that fixes the partitions on a disk to realign them on cylinder boundaries. It also modifies the PT in the MBR to reference the newly aligned partitions. PartFix.exe can also be used to consolidate the free space between partitions of a disk, thereby allowing the allocation of a new partition that is larger than what might otherwise be possible if the disk's free space was not consolidated. The full list of functions that PartFix.exe can perform are:

- Determine the current geometry and partition layout of a disk, without altering the disk.
- Realign (fix) the partitions of a disk on cylinder boundaries.
- Consolidate free space into a single large area of free space at the end of the disk.
- Null (write binary zeroes to) the unused sectors of the disk for security purposes.
- Backup track #0 (all but sector #1 and sector #2) to a disk file.
- Restore track #0 (all but sector #1 and sector #2) from a disk file.

PartFix.exe is a DOS program so it can be run from a bootable DOS diskette without having to rely on an operating system that has to be booted from the hard disk that is to be worked on. Windows NT, for example, will not allow PartFix to modify a disk that it has booted from. PartFix.exe is capable of dealing with disks that have any combination of operating system and data partitions with varying file systems. PartFix.exe works with partitions with the following file systems:

- FAT
- FAT32
- HPFS

- NTFS

When PartFix /h is entered from a DOS command line, it displays the following:

```
PartFix - Fixes the partitions of a physical disk.
The valid syntaxes for PartFix are as follows:

   PartFix DiskID [/f] [/h] [/l[filename]] [/q] [/s]
   PartFix DiskID /b[filename]] [/l[filename]]
   PartFix DiskID /r[filename]] [/l[filename]]

   Where:  DiskID   - Is the physical disk identification string.
           /b[filename]]   - Backs up track #0 of the disk.
           /f              - Causes the disk's partitions to be fixed.
           /h              - Displays this help text.
           /l[filename]    - Causes a log file to be written.
           /q              - Suppresses the sector moving and nulling status
                             display (quiet mode).
           /r[filename]]   - Restores track #0 of the disk.
           /s              - Nulls unused disk sectors after the move for security
                             purposes.

If you don't know the DiskID, use the /h option to display a list of
available DiskIDs.

If the /b, /f or /r parameters are not specified, PartFix will display the
current partition information for the specified physical disk.

The /b, /f and /r parameters are mutually exclusive.
```

To use PartFix.exe to display the current disk partition information, enter:

```
PartFix DiskID
```

To use PartFix.exe to realign the partitions and consolidate free space, enter:

```
PartFix DiskID /f
```

The DiskID parameter is the UPSTREAM physical disk ID. For PartFix it has two formats as follows:

- 1,nnn A disk accessed via the BIOS and OS interface
- 2,n,n,n A disk accessed via the SCSI/ASPI interface

For IDE and EIDE disks accessed via the BIOS and OS interface, nnn is the BIOS disk number. The first disk in the system has a BIOS disk number of 128, the second has 129 and so on. The disk number (nnn) can be 128 through 255.

For SCSI disks accessed via the ASPI interface, n,n,n are three numbers which specify the SCSI host adapter, the Target ID, and the LUN of the specified disk. For example, the SCSI disk on host adapter #0, Target ID #1 and LUN #2 will have a disk number of 0,1,2.

The /f parameter causes PartFix.exe to perform a partition fix, if it determines that one is required. The /s parameter can be used along with the /f parameter to cause unused sectors of the disk to be nulled for security purposes. The /s parameter is ignored if the /f parameter is not also specified.

The /h parameter causes PartFix.exe to display its syntax.

The /l parameter causes PartFix.exe to write information to a log file. If a log file name is not specified with the /l parameter, PartFix.exe will write to PartFix.log by default.

The /q parameter causes PartFix.exe to run in quiet mode. Sector numbers are not displayed as they are moved or nulled. The default is to display the sector numbers as they are being processed. The /q parameter will cause the operation to run slightly faster.

The /b parameter causes PartFix.exe to save the contents of track #0 (except for sector #1 and sector #2) to the specified file. If a file name is not specified with the /b parameter, PartFix.exe will write to PartFix.bin by default.

The /r parameter causes PartFix.exe to restore the contents of track #0 (except for sector #1 and sector #2) from the specified file. If a file name is not specified with the /r parameter, PartFix.exe will read from PartFix.bin by default.

### 24.3.6 An Interesting PartFix.exe Side Effect

Because PartFix.exe aligns the partitions on cylinder boundaries and each partition must also end on a cylinder boundary, PartFix.exe must usually pad each partition with some number of sectors. These padded sectors are filled with nulls (binary zeroes) and the total number of sectors is on average one half of a cylinder. The thing to note about these padded sectors is that they are unusable to the file system for which the partition is formatted. PartFix.exe does not change the "formatted" size of the partition only the allocated size. Therefore, each partition aligned by PartFix.exe will contain unusable space.

To recover these unusable padding sectors at the end of each partition, each partition will have to be reformatted. However this is not a necessity since the partitions will function normally after being aligned and padded.

### 24.3.7 SCSI Disks Vs (E)IDE Disks

PartFix.exe realigns disk partitions on cylinder boundaries so that the MBP can access them. If your computer system has a SCSI disk that it does not need to access via the BIOS interface, there is no reason to use PartFix.exe to realign the SCSI disk's partitions. An example of this would be a UNIX operating system which is installed on one or more SCSI disks and does not use the BIOS during the boot process.

For systems that have one or more SCSI disks, most SCSI adapters have a way to map the SCSI disks into disk images that the system BIOS can understand. In such a case you can install and boot from an operating system on a SCSI disk using the BIOS interface. If a SCSI adapter can map the disk into a BIOS disk, it probably also has a way of providing disk geometry translation. Disk geometry translation causes the BIOS to see disks using a "logical" geometry rather than the real "physical" geometry of the disk.

When a SCSI disk is mapped into the BIOS, FDR/UPSTREAM (US.EXE), ULTra (ULTra.exe) and PartFix.exe can access the disk via two completely different interfaces. These being the normal BIOS interface and the SCSI standard ASPI interface. For example, a system containing 1 IDE disk and 1 SCSI disk will appear to have three disks; 2 BIOS interface disks and 1 ASPI interface disk, even though the second BIOS interface disk and the ASPI interface disk are the same disk. These disks would be represented with the following DiskIDs:

- 1,128 1st BIOS interface disk (the 1st IDE disk)
- 1,129 2nd BIOS interface disk (the 1st SCSI disk)
- 2,0,0,0 1st ASPI interface disk (the 1st SCSI disk)

Since the main purpose of PartFix.exe is to realign the partitions so that the BIOS can access them, it is suggested that the BIOS interface be used when fixing a disk with PartFix.exe. Also, when disk translation is in effect, the two different interfaces (BIOS and ASPI) will provide PartFix.exe with two different disk drive geometries (a translated geometry from the BIOS interface and the real geometry from the ASPI interface). If

PartFix.exe fixes a disk's partition using the real geometry from the ASPI interface, the BIOS (which sees only the translated geometry) will not be able to access the partitions.

### 24.3.8 Performing a Physical Disk Restore

Given the requirements of the various disk management software (Disk Manager and EZ-Drive) and the geometry mismatch problems for new drives, physical disk restores must be performed according to a strict procedure. The general outline of this procedure is as follows:

- ☐ 1. Start with a disk drive that is at least the size of the disk that was backed up.
- ☐ 2. Install either Disk Manager or EZ-Drive on the disk using the installation instructions provided with the software.
- ☐ 3. Run PartFix.exe /b to save track #0 to a file that is not on the disk to be restored. This step is required only for disks that have the EZ-Drive program installed.
- ☐ 4. Perform the UPSTREAM physical disk restore.
- ☐ 5. Run PartFix.exe /f to realign the partitions on cylinder boundaries.
- ☐ 6. Run PartFix.exe /r to restore track #0 from the file to which it was saved. This step is required only for disks that have the EZ-Drive program installed.

Actually, performing all of these steps is more involved than the preceding procedure states. In most cases, these steps cannot be performed manually. This is where an ULTra Disaster Recovery (ULTraDR) diskette comes in. One of the programs supplied with the Windows NT version of UPSTREAM is named MakULTra.exe. The purpose of MakULTra.exe is to create a bootable ULTraDR diskette. MakULTra.exe is described in the **Windows NT ULTra Disaster Recovery Boot Disk Creation Procedure** section of the FDR/UPSTREAM/PC manual.

Once you have a disk management software installation diskette and the ULTra diskette in hand, you are ready to start the physical disk restoration process. The actual procedure for performing the restoration is as follows:

- ☐ 1. Boot from the disk management software (Ontrack's Disk Manager or Micro House's EZ-Drive) installation diskette and follow the online instructions for installing the software. Both the Disk Manager and EZ-Drive installation software will ask you to insert a bootable diskette in drive A:. At this point you should insert the ULTraDR diskette.
- ☐ 2. Remove the diskette from the A: drive and reboot the machine from the hard drive. For a disk drive that has the Ontrack Disk Manager installed, the system will display a message saying "Starting Ontrack…". For a disk drive that has the Micro House EZ-Drive installed, the system will display a message saying "EZ-BIOS: Initializing…".
- ☐ 3. When prompted press the appropriate key to inform the disk manager program that you want to continue booting from a diskette. The Disk Manager program will prompt you to press the space bar to boot from a diskette. The EZ-Drive program will prompt you to press the A key to boot from a diskette.
- ☐ 4. Insert the ULTraDR diskette in the A: drive and press the appropriate key to continue booting from the diskette. Again the Disk Manager program will prompt you to press the space bar to continue and the EZ-Drive program will prompt you to press any key to continue.

- ☐ 5. The AUTOEXEC.bat file on the ULTraDR diskette will start execution. You will be prompted to enter a valid Windows NT user account name or choose the default. In most cases the default will work, so just press the enter key.

- ☐ 6. You will then be prompted for the password for the specified Windows NT user account. Enter this password and press the enter key.

- ☐ 7. You will then be asked if you want to create a password list. Press the enter key to respond no. At this point the AUTOEXEC.bat will finish connecting to the Windows NT server and then start the actual disk restoration process by executing the following programs:

  **GetDisk.exe**. This program prompts you for the number of the disk drive that you want to restore to. AUTOEXEC.bat uses the return code from this program to determine the proper DiskID to be used for invoking PartFix.exe.

  **PartFix.exe DiskID /b /l** This will save track #0 to a file named PartFix.bin.

  **Pause** A message will be displayed informing you that ULTraD.exe is about to be executed and that you should press any key to continue and then start an UPSTREAM physical disk restore from another machine and target this ULTra workstation with the name provided (usually ULTRADR). Press any key to continue.

  **ULTraD.exe** This is the FDR/UPSTREAM workstation program. It restores the disk using the data passed to it by the main UPSTREAM program (US.EXE). Once the restore is finished, press the Esc key followed by the Y key. AUTOEXEC.bat will continue.

  **PartFix.exe DiskID /f /l** This realigns the partitions of the disk on cylinder boundaries.

  **PartFix.exe DiskID /r /l** This restores track #0 from the file named PartFix.bin.

  Once this procedure is finished, your disk has been properly restored and you are now ready to use it. Remove the diskette from the A: drive and reboot the machine.

### 24.3.9 Summary

PartFix.exe now completes the Disaster Recovery (DR) procedure. Using the FDR/UPSTREAM MakULTra.exe program, you can create a bootable DOS diskette from which you can run ULTra for DOS (ULTraD.exe). ULTraD.exe can then be used to restore a physical disk image, regardless of differing disk drive geometry (as long as the disk is at least as large as the backup). Once ULTraD.exe has finished restoring the disk image, PartFix.exe can be run to realign the partitions of the disk to accommodate the new disk geometry. Once this process is finished, your disk will have the same partitions (they might be slightly larger depending on the disk geometry) that it had when the original disk was backed up. You should then be able to boot off of the disk just as you were before the disk was backed up.

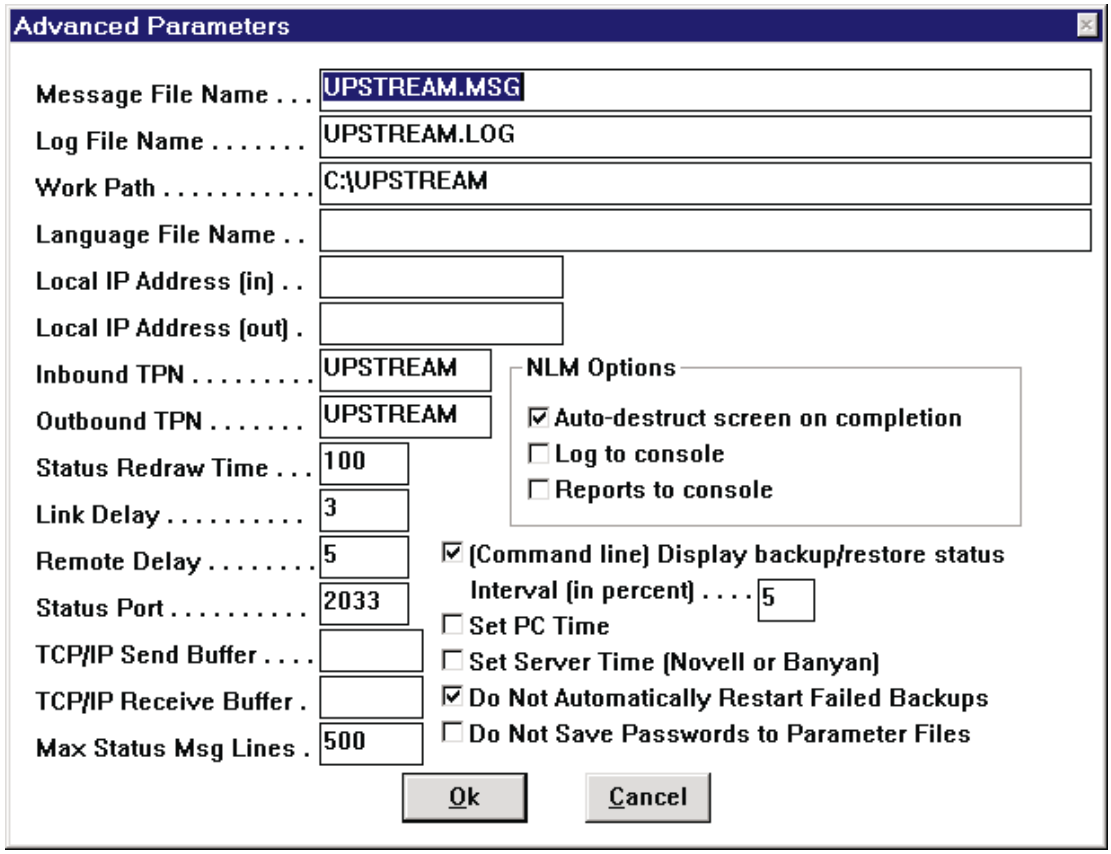
# 25 ADVANCED CONFIGURATION

There are several FDR/UPSTREAM options available in the advanced menu in the FDR/UPSTREAM configurator (USCFG.EXE). The first section of this chapter will describe these options.

All configuration options are changeable from the command line, the environment (using the SET command), from a file and from a dialog. What has been described up to this chapter has been setting parameters from a dialog. The second section of this chapter describes how to use the other ways.

# 25.1. Advanced Configuration Options

You specify advanced options in the configurator by pulling down the Action menu from the full screen and selecting Advanced. The accelerator for this is [ALT]V. This displays the Advanced Configuration Options dialog (see figure below). Some of these parameters are not used for some APPC's or TCP/IP or in various operating systems. See your APPC manual and the notes below for more information.




The meaning of the edit field parameters are:

- **Message File Name:** This is the name of the file which FDR/UPSTREAM predefined messages are read from. A reason for changing this name might be to have messages specific to your environment or to change the path from which it is obtained. This is a 80 character field, the default is UPSTREAM.MSG, and it is required.

- **Log File Name:** This is the name of the file which FDR/UPSTREAM writes significant system events to. A reason for changing this name might be to change the path. This is a 80 character field, the default is UPSTREAM.LOG, and it is required.

- **Work Path:** This is the drive and directory where temporary files are written. These files include the Backup Description File, which describes the files being backed up, and the data saved from the foreground environment by USSTART.EXE. Since this data could potentially be quite large, it is recommended that this path indicate a path not part of the backup. This is a 80 character field, the default is blank which uses the default directory, and it is optional.

- **Language File Name:** Enter the name of a supplied or user customized dialog language file. This file allows you to customize most of UPSTREAM's displays for non-English or internal display use. The supplied files are **japanese.lng** (Japanese language) or **german.lng** (German language). We recommend that the file name be fully qualified. If not specified, displays are not customized. See the *Advanced UPSTREAM* chapter for a detailed description of this facility.

- **Local IP Address (in):** Used only for multi-adapter computers, specify the IP address for the adapter that you wish to accept inbound UPSTREAM requests on. All requests for other adapters will result in "Connection refused" on the remote system. If you leave this field blank, UPSTREAM will accept remote requests on all adapters. The default is blank.

- **Local IP Address (out):** Used only for multi-adapter computers, specify the IP address for the adapter that you wish to have your outbound UPSTREAM requests on. If you leave this field blank, UPSTREAM will use the default adapter. The default is blank.

- **Inbound TPN:** The transaction program name that FDR/UPSTREAM uses when checking for and verifying remotely requested functions. This value must match the host parameter TPNAME. Not used for TCP/IP. Specify up to 8 characters and the default is UPSTREAM.

- **Outbound TPN:** The transaction program name that FDR/UPSTREAM uses when starting a conversation with the remote system. FDR/UPSTREAM MVS does not check this value, but other PCs do and it must match the Inbound TPN specified on those PCs if performing a PC-to-PC request (not through the host). There are almost no situations that require changing this value. Not used for TCP/IP. Specify up to 8 characters and the default is UPSTREAM.

- **Status Redraw Time:** This is a performance enhancing parameter. Specify a number which represents the number of milliseconds between backup or restore status screen display updates (if status screen displays are enabled). This parameter is not used for the command line versions of FDR/UPSTREAM. 0 indicates that status messages are updated continuously. For totally unattended environments, it is recommended that you specify no displays at all. For partially attended or performance test environments, it is recommended that this value be 2 or 3 seconds (2000 or 3000). 0 is the default and should be used when initially testing or where screen update performance is not impacting total system performance.

- **Link Delay:** The number of seconds between the activation of the link and the activation of the session on startup. This is also used as the maximum amount of time that an allocation failure - retry (primary return code = 3, secondary return code = 5) is retried. APPC/PC may require this on some Token-Ring connections and it may be useful on SDLC (in lieu of the "Dial remote now" message). Not recommended for AdaptSNA connections as it has its own link time out. Not used for TCP/IP. This is an integer from 0 to 255 and the default is 3.

- **Remote Delay:** The number of seconds that FDR/UPSTREAM waits after receiving a remotely initiated request before performing any other functions. Specify a higher number if you are using a slow line and you get rejected or timed out host requests. This is an integer from 0 to 65535 and the default is 5.

- **Status Port:** Used for End-User Restores and remote TCP/IP tracing. FDR/UPSTREAM actually uses the specified status port and the next one in sequence (a total of 2 ports used). If you are running multiple copies of FDR/UPSTREAM, status ports must be separated by at least 2. Disable binding to the status port by specifying 0. The default is 2033.

- **TCP/IP Send Buffer:** Allows you to adjust the internal TCP/IP buffering size for sends. See the Performance chapter for additional details. The default is blank (use system defaults).

- **TCP/IP Receive Buffer:** Allows you to adjust the internal TCP/IP buffering size for receives. See the Performance chapter for additional details. The default is blank (use system defaults).

- ☐ **Max Status Msg Lines:** Backup and restore status screens display a messages list box which contains the errors, warnings and other significant events encountered during the operation. Since list boxes in many environments can not contain infinite lines, you specify here the maximum number of lines in the list before the oldest messages are removed. You can specify 0 to indicate no limit (not recommended) or -1 to suppress logging to the screen which may improve speed slightly. The default is 500.

- ☐ ***(DOS only)*** **Maximum RU Size:** An RU is a request/response unit and is an atomic SNA transport value. This is an important performance tuning parameter. Larger values increase speed exponentially on high-speed links. We recommend the largest value possible after initial testing has verified connection. This can be an integer value from 256 to 32767. The default is 1024 and it is required. This field is not used by TCP/IP, AdaptSNA, NS/DOS or DCA/Microsoft Comm Server DOS requestors. AdaptSNA sets this parameter on the command line to 8022I.EXE.

- ☐ ***(DOS only)*** **Minimum RU Size:** This is the minimum value the APPC will negotiate down to. We generally recommend that the minimum be the same as the maximum for best performance. This can be an integer from 256 to 32767. The default is 1024 and it is required. This field is not used by TCP/IP, AdaptSNA, NS/DOS or DCA/Microsoft Comm Server DOS requestors. AdaptSNA sets this parameter on the command line to 8022I.EXE.

- ☐ ***(DOS only)*** **Receive Pacing:** This is another tuning parameter. We recommend as large a value as possible considering the capabilities of the APPC and memory after initial testing. This can be an integer value from 1 to 63. The default is 8 and it is required (for some APPC's). This field is not used by TCP/IP, AdaptSNA, NS/DOS or DCA/Microsoft Comm Server DOS requestors.

There are two communications check boxes. They are not used by TCP/IP, AdaptSNA, NS/DOS or DCA/Microsoft Comm Server DOS requestors.

- ☐ ***(DOS only)*** **Contention Winner:** Check this box is you wish your LU to request to be the contention winner. This is generally required for auto-activating the session. The default is checked.

- ☐ ***(DOS only)*** **Auto-activate Session:** Check this box if you wish your contention winner session to be automatically bound to the mainframe LU. This is generally recommended. The default is checked.

- ☐ ***(DOS only)*** **Test Connection:** Check this box if you wish to have FDR/UPSTREAM perform a test conversation as soon as the session establishment process has completed. This is particularly useful for APPC/PC connections when you wish to perform host initiation as session establishment is not automatic, and this process will force it. Not used for TCP/IP. The default is not checked.

The NLM Options are described in the Novell chapter.

The command line version of FDR/UPSTREAM specific options are:

- ☐ **(Command line) Display backup/restore status:** Check this box if you wish command line versions of UPSTREAM (US.NLM or USCMD for UNIX, Win32 or DOS) to display the current completion of a backup/restore is percent to the screen/console. The default is checked.

- ☐ **Internal (in percent):** Enabled if Display backup/restore status above is checked, the percentage interval between updates. The default is updates every 5 percent.

There are two clock setting check boxes. They are:

- ☐ **Set PC Time:** Check this box if you wish the PC's clock to be synchronized to the mainframe's clock when a backup is run. The clock is set using standard DOS calls; some PCs may reset their clock when they are rebooted or powered off. See your hardware users manual for more information. The default is not checked.

- ☐ **Set Server Time (Novell or Banyan):** Check this box if you wish your Novell NetWare or Banyan Vines server's clock to be synchronized to the mainframe's clock. The default is not checked.

The remaining checkboxes are:

- ☐ **Do Not Automatically Restart Failed Backups:** Check this box to keep FDR/UPSTREAM from automatically restarting a failed backup (failed backups can still be restarted manually or via host control). The default is checked.

- ☐ **Do Not Save Passwords to Parameter Files:** Check this box to keep FDR/UPSTREAM from writing passwords to parameter files as an additional security feature. The default is not checked.

There are two buttons. They are:

- ☐ **Ok:** Press this button to indicate that you are satisfied with your parameters. This will bring up the save parameters dialog. Pressing the ENTER key has the same effect.

- ☐ **Cancel:** Press this button to abandon your changes. Pressing the ESC key has the same effect.

# 25.2. Setting Configuration Parameters

You can set configuration parameters in several prioritized ways. Each priority level higher will override the value of a lower value. If two parameters are set the same way, then the newer will overwrite the older. The ways from lowest to highest are:

- **Default:** Used if a default is known and it is acceptable.

- **Parameter File:** This is a value obtained from the default parameter file (UPSTREAM.CFG) or a parameter file specified from a higher priority. For example, in UPSTREAM.CFG you will see a line like:

```
MESSAGEFILE upstream.cfg
```

- **Environment:** These are values set using the DOS SET=<name> command. You can set these values from the command line or from a batch file. For example, you could add a line to your AUTOEXEC.BAT that would say:

```
SET CONFIGFILE=C:\UPSTREAM\US1.CFG
```

- **Command Line:** When you run USCFG or US, add the parameter on the same line, parameters separated by spaces. For example, you could start FDR/UPSTREAM:

```
US CONFIGFILE=C:\UPSTREAM\US1.CFG
```

- **User (dialog):** When you enter parameters from a dialog, these values are ALWAYS used.

You set a parameter using a keyword (upper and lower case can be mixed), followed by a separator (a blank or an equal sign) followed by the value. Parameters from the DOS environment and the command line must use an equal sign as the separator. Parameters are listed in the order they appear in the file.

There are two types of parameters: configuration and frequency. Table 1 describes the configuration parameters. These parameters do not repeat.

Table 2 describes the frequency parameters. These parameters repeat for each frequency defined.

If you use the configurator, you can print out the results to see how configuration parameters can be specified.

| Name | Default | Req. | Description |
|---|---|---|---|
| ADAPTERADDRESS<br>(DOS APPC only) | 40000000000 | No | For IBM APPC/PC® Token-ring, the Token-ring address of the immediate node you are connected to. |
| AUTOACTIVATE<br>(DOS APPC only) | Y | No | Whether the session should be automatically activated. |
| CONFIGFILE | Upstream.cfg | Yes | The name (and optionally the path) of the configuration file to read parameters from. |
| DIALREMOTE<br>(DOS APPC only) | N | No | For IBM APPC/PC® SDLC, if 'Y' you will be prompted with a message window to dial the modem. |
| DLCINFO<br>(DOS APPC only) | NONE | No | For NetWare for SAA Custom configurations, any controller adapter configuration information (such as a channel address). |
| DLCNAME<br>(DOS APPC only) | CUSTOM | No | The DLCNAME used for Custom configurations. FDR/UPSTREAM will automatically insert ITRN or SDLC for IBM APPC/PC or Other definitions. For Custom NetWare for SAA definitions, you must enter CUSTOM. |
| DLCNUMBER<br>(DOS APPC only) | 0 | No | For NetWare for SAA Custom configurations, the DLC number. Use a value from 0 to 255. |
| DLCTYPE | 2 (APPC/PC Token-ring) | Yes | The DLC type for this PC:<br>0 = Non-IBM APPC/PC®<br>1 = IBM APPC/PC® SDLC<br>2 = IBM APPC/PC® Token-ring<br>3 = NetWare for SAA Custom<br>4 = TCP/IP (any vendor).<br>5 = (UNIX) Use side info profile. |
| DONTSAVEPASSWORD | N | No | If 'Y', passwords will not be saved to parameter files in UPSTREAM. |
| GATEWAYNAME | USGATEWAY | No | Not used at this time. |
| GATEWAYPASSWORD | None | No | Not used at this time. |
| GUICLIENTTCPADDRESS | 127.0.0.1 | No | (Java Client) One or more addresses (comma separated) of the UPSTREAM process that the Java client will connect to. |
| GUISTARTCLIENTLOCAL | Y | No | (Java Client) Whether the Java client will start UPSTREAM itself (Y) or connect to a running UPSTREAM process (N). If 'N', you must specify a GUICLIENTTCPADDRESS. |
| INPORT<br>(TCP/IP only) | 1972 | No | The IP port used to allow other computers to request functions (including host initiates). |

| Name | Default | Req. | Description |
|---|---|---|---|
| INTPN<br>(APPC only) | UPSTREAM | No | The transaction program name used for remote initiated functions. Specified on MVS using TPNAME= |
| INTPNPROF<br>(UNIX APPC only) | UPSTREAMP | No | The transaction program profile name defined in the SNA server configuration. |
| LANGUAGEFILE | None | No | If specified, the UPSTREAM dialog text replacement file used to customize UPSTREAM's dialogs (usually for non-English support). |
| LINKDELAY<br>(APPC only) | 3 | No | The number of seconds between an activate link and an activate session and the number of seconds that an ALLOCATION_FAILURE - RETRY will be retried. *Very* useful for APPC/PC® Token-Ring connections. |
| LOGFILE | upstream.log | Yes | The name (and optionally the path) of the log file to write the error and other messages to. |
| LULOCALADDRESS<br>(DOS APPC only) | 2 | Yes | The LU local address of this PC in decimal. 0 is used for independent LUs. Dependent LUs are defined from 1 to 255. Not used by AdaptSNA or NS/DOS. |
| LUNAME<br>(APPC only) | NONE | Yes | Your logical unit name. For OS/2, Windows, Windows NT and UNIX this is the local alias name. |
| MAXRUSIZE<br>(DOS APPC only) | 1024 | No | The maximum RU size. For APPC/PC® this must be the same as the minimum RU size. The range depends on your APPC. Not used for AdaptSNA, NS/DOS or DCA/Microsoft Comm Server DOS requestors. |
| MAXSTATUSMSGLINES | 500 | No | Backup and restore status screens display a messages list box which contains the errors, warnings and other significant events encountered during the operation. This specifies the maximum number of lines in the list before the oldest messages are removed. 0 indicates no limit (not recommended), -1 suppresses screen logging. |
| MESSAGEFILE | upstream.msg | Yes | The name (and optionally the path) of the message file to read the predefined messages from. |
| MESSAGETIMELIMIT | 0 | No | A number indicating the number of seconds that predefined messages should be displayed for:<br>-1 = Do NOT display any messages.<br>0 = Display messages until released.<br>*number* = Number of seconds. |
| MINRUSIZE<br>(DOS APPC only) | 1024 | No | The minimum RU size (after negotiation). For APPC/PC® this must be the same as the maximum RU size. The range depends on your APPC. Not used for AdaptSNA, NS/DOS or DCA/Microsoft Comm Server DOS requestors. |

| Name | Default | Req. | Description |
|---|---|---|---|
| MODENAME<br>(APPC only) | #INTER | Yes | The mode name you wish to use. |
| MULTIUSER<br>(Win32 and OS/2 only) | N | No | Whether you are running in single or multi-user mode. |
| NETNAME<br>(DOS APPC only) | NONE | No | The SNA network name this PC is defined within. Usually not required. |
| NORESTART | N | No | If specified as 'Y', restarts will not be attempted on UPSTREAM program entry. |
| OUTPORT<br>(TCP/IP only) | 1972 | Yes | The IP port used to contact the host. |
| OUTTPN<br>(APPC only) | UPSTREAM | No | The remote computer's transaction program name. |
| PACING<br>(DOS APPC only) | 8 | No | The receive pacing count. The range depends on your APPC. Not used for AdaptSNA, NS/DOS or DCA/Microsoft Comm Server DOS requestors. NEVER set pacing to zero with IBM APPC/PC. |
| PARTNERLUNAME<br>(APPC only) | NONE | Yes | The LU name of FDR/UPSTREAM MVS. For OS/2, Windows, Windows NT and UNIX this is the partner alias name. |
| PERCENTINTERVAL | 5 | No | If you have enable percentage completion status messages (USNLMOPTIONS 8 bit on), how often completion messages are displayed. |
| PCUSERNAME<br>(Win32 and OS/2 only) | NONE | No | A multi-user user name override. |
| PRIMARYADAPTER<br>(DOS APPC only) | Y | No | For IBM APPC/PC® Token-ring, 'Y' indicates that you will be using the primary Token-ring adapter, 'N' indicates that you will be using the secondary adapter. |
| PUNAME<br>(DOS APPC only) | NONE | No | The SNA physical unit name of this PC. Usually not required. |
| REMOTEDELAY | 5 | No | The number of seconds that FDR/UPSTREAM will wait after receiving a remotely initiated request before performing other functions. |
| SETPCTIME | N | No | Whether the PC clock should be synchronized to the mainframe clock when backups are run. |
| SETSERVERTIME | N | No | Whether the Novell NetWare or Banyan Vines server clock should be synchronized to the mainframe clock when backups are run. |

| Name | Default | Req. | Description |
|---|---|---|---|
| STATUSPORT | 2033 (for NLM, Win32 and UNIX)<br>0 (all others) | No | If specified, UPSTREAM will listen for status type requests. Used for tracing and the Java facilities, this is a base number; UPSTREAM actually uses three ports. |
| STATUSREDRAWINTERVAL | 100 | No | The number of milliseconds between backup or restore status screen refreshes. |
| TARGETNAME | None | No | The target (registered) name to transmit to the host. |
| TARGETNAMEINTERVAL | 0 | No | How often (in minutes) the registered name will be retransmitted to the host. 0 means to only transmit the name on UPSTREAM program entry. |
| TCPADDRESS<br>(TCP/IP only) | None | Yes | The IP address of the host. |
| TCPINADAPTER<br>(TCP/IP only) | Blank (all) | No | Allows you to specify the local IP adapter address which you will accept remote requests on. The default (blank) accepts requests on all adapters. |
| TCPOUTADAPTER<br>(TCP/IP only) | Blank (default) | No | Allows you to specify the local IP adapter address which will be used for outbound TCP/IP requests. The default (blank) uses the default system adapter. |
| TCPRECVBUFFER<br>(TCP/IP only) | None | No | Specify the total per-socket buffer space reserved for receives. This is unrelated to the size of a TCP window. |
| TCPSENDBUFFER<br>(TCP/IP only) | None | No | Specify the total per-socket buffer space reserved for sends. This is unrelated to the size of a TCP window. |
| TCPOPTIONLEVEL<br>(TCP/IP only) | 0 | No | All TCP/IP advanced options use 65535 except for TCP_NODELAY which uses 6. |
| TCPOPTIONNUMBER<br>(TCP/IP only) | 0 | No | If specified, the advanced TCP/IP option:<br>1: (TCP_NODELAY). Disables the Nagle algorithm for send coalescing. Use a BOOL OptionValue and an OptionLevel of 6.<br>1: (SO_DEBUG). Record debugging information. Use a BOOL OptionValue.<br>8: (SO_KEEPALIVE). Send keep alives. Use a BOOL OptionValue.<br>16: (SO_DONTROUTE). Don't route; send directly to interface. Use a BOOL OptionValue.<br>4097: (SO_SNDBUF). Specify buffer size for sends. Use an INT OptionValue.<br>4098: (SO_RCVBUF). Specify buffer size for receives. Use an INT OptionValue. |
| TCPOPTIONVALUE<br>(TCP/IP only) | 0 | No | Specify the new value for the option. For BOOL values, specify 1 to enable, 0 to disable; for INT values, specify the value you wish to set. |

| Name | Default | Req. | Description |
|---|---|---|---|
| TCPOPTIONVALUELENGTH<br>(TCP/IP only) | 0 | No | The number of bytes used by OptionValue, which is processor dependent. For most implementations use 4 for both BOOL and INT. |
| TESTCONNECT<br>(DOS APPC only) | N | No | If specified as 'Y', a test conversation will be initiated to the host. |
| TIMECONVERSION<br>(Win32) | 0 | No | During backups, how NTFS and LAN file modification times are stored on the host. Can be overridden using the overall parameter TIMEOVERRIDE.<br>0 = Local time.<br>1 = Standard time.<br>2 = Daylight savings time.<br>3 = Greenwich Mean Time. |
| USETARGETNAME | N | No | Whether a target (registered) name is to be transmitted on program entry. |
| USNLMOPTIONS | 9 | No | A bit map of command line/NLM options:<br>1 = (NLM) Auto-destruct screen on termination.<br>2 = (NLM) Log messages are also written to the system console.<br>4 = (NLM) Report and percentage completion messages are also written to the system console.<br>8 = Display percentage completion status messages. |
| WINNER<br>(DOS APPC only) | Y | No | Whether you wish this to be a contention winner session. Required for auto-activation. Not used by AdaptSNA, NS/DOS requestors. |
| WORKPATH | (UPSTREAM directory) | No | The name of a drive and directory where temporary and trace files will be written. It is recommended that this path NOT be part of any file spec to be backed up. |

**Table 1**
**Configuration Parameters**

| Name | Default | Req. | Description |
|---|---|---|---|
| DAY | NONE | Yes (monthly, quarterly, yearly) | The day of the month to run the monthly, quarterly or yearly frequency. A number from 1..31. |
| EXCLUSIVE | Yes | No | If you have two or more frequencies scheduled within an hour of each other, this determines if the subsequent one will be run. |
| FREQPARAM | upstream.dat | No | The first parameter passed on the command line to the program automatically activated. Generally this is the parameter file to be used. |
| FREQTYPE | 1 | Yes | The frequency interval:<br>1 = Daily<br>2 = Weekly<br>3 = Monthly<br>4 = Quarterly<br>5 = Yearly<br>6 = Weekdays |
| MONTH | 1 | Yes (quarterly, yearly) | For yearly frequencies, this is the month (1-12). For quarterly frequencies, this is the month in the quarter (1-3). |
| NUMFREQ | 1 | Yes | The header to this frequency. Each frequency begins with a NUMFREQ definition with an ascending number starting at 1. |
| STARTBATCH | US.EXE | Yes | The name of the program or batch file to be automatically started. |
| TIME | NONE | Yes | The time when the frequency will be scheduled. The format must be HH:MM:SS. |
| WEEKDAY | NONE | Yes (weekly) | The day of the week to run the weekly frequency. 0 = Monday, 1 = Tuesday, ..., 6 = Sunday. |

**Table 2**
**Frequency Parameters (Repeating)**

# 26 ADVANCED FDR/UPSTREAM

## 26.1. Overview

This chapter discusses some of the miscellaneous advanced features of the FDR/UPSTREAM program US.EXE that have not been covered in previous chapters.

- FDR/UPSTREAM returns program return codes which can be used to determine the success or failure of FDR/UPSTREAM functions.
- Remote FDR/UPSTREAM systems can run programs, and batch jobs on your workstation and you can request that programs and batch jobs be run on an ULTra workstation as well as the host.
- FDR/UPSTREAM functions can be specified remotely either from mainframe batch jobs or from a PC. This section describes how to initiate these functions from a PC.
- FDR/UPSTREAM has its own internal ASCII-to-EBCDIC translation table which it uses for communications parameters and file name specifications. Non-U.S. users can modify this table to support other single-byte character sets.
- Sequential disk backups require that the size of the MVS dataset be known in advance. Normally FDR/UPSTREAM will calculate the value close enough to work. There are additional tuning parameters which allow you to ensure that the dataset is allocated to the correct size.
- FDR/UPSTREAM uses fixed (mono-spaced) fonts for various displays. You can specify a specific font to be used if you wish.
- If you have a number of FDR/UPSTREAM parameter files, and you wish to change one or more parameters at a time in a number of files, a program, USMODIFY is provided to allow you to do this easily.
- FDR/UPSTREAM allows you to set its parameters in other ways than through the dialog panels. Use of these parameters allows you to tailor your environment to best suit your needs. This section describes how to do this and lists these parameters. It then goes on to describe how to make it easy for untrained users to take advantage of FDR/UPSTREAM.

# 26.2. Program Return Codes

FDR/UPSTREAM returns program return codes to indicate success or failure of particular FDR/UPSTREAM functions. Program return codes can be read from batch files using the ERRORLEVEL command or as return codes from calling programs.

FDR/UPSTREAM program return codes are coded as a bit map. The values are added together to produce the total returned value.

Note that when using ERRORLEVEL to check the program return code in a batch file that ERRORLEVEL returns success if the return code is the requested value or higher. Thus, a check for ERRORLEVEL 1, will return true if the program return code is greater than 0.

Table 1 shows the return code values.

| Meaning | Value |
|---|---|
| No errors | 0 |
| Backup failed | 1 |
| Restore failed | 2 |
| As of...Restore failed | 4 |
| Remote requested received failed | 8 |
| Remote requested failed | 16 |
| Running the requested job failed | 32 |
| Running the requested host report failed | 64 |
| Internal function (for example, version deletion) failed | 128 |

**Table 1**
**Program Return Codes**

For example, if a remote request and a backup both failed, you would get a return code of 9 (1 + 8).

A file is created in the WORKPATH or default directory, US.RET which holds a more comprehensive return code which adds in the values above, plus values indicating which functions were performed. Table 2 shows the added values.

| Meaning | Value |
|---|---|
| No functions performed | 0 |
| Backup completed | 256 |
| Restore completed | 512 |
| As of...Restore completed | 1024 |
| Remote request received | 2048 |
| Remote request performed | 4096 |
| Requested job started successfully | 8192 |
| Requested host report run successfully | 16384 |
| Internal function (for example version deletion) ran successfully. | 32768 |

**Table 2**
**Program Return Codes**

### 26.2.1. RETCODE.EXE

The program, RETCODE.EXE allows you to read the US.RET file and recover the original program return code to a fresh program return code (if it was lost due to running another program).

It also reads interprets the value of US.RET and displays the meanings on the screen. It can be quicker to use this program to determine if FDR/UPSTREAM ran successfully than checking the FDR/UPSTREAM log.

# 26.3. Job Execution

FDR/UPSTREAM includes a feature which allows remote computers (MVS and other workstation/servers) to run a batch file or program on your workstation/server or for you to submit existing host jobs. The workstation/server aspect of this facility is particularly useful, and is used, in the FDR/UPSTREAM Auto-Update facility (see the *Management* chapter for a detailed description of that facility).

You can also run batch jobs or programs on an ULTra attached workstation. These can be specified from the host, from the run job dialog, or automated from the FDR/UPSTREAM machine.

Finally, the job execution facility can be used to remotely terminate the FDR/UPSTREAM or ULTra software.

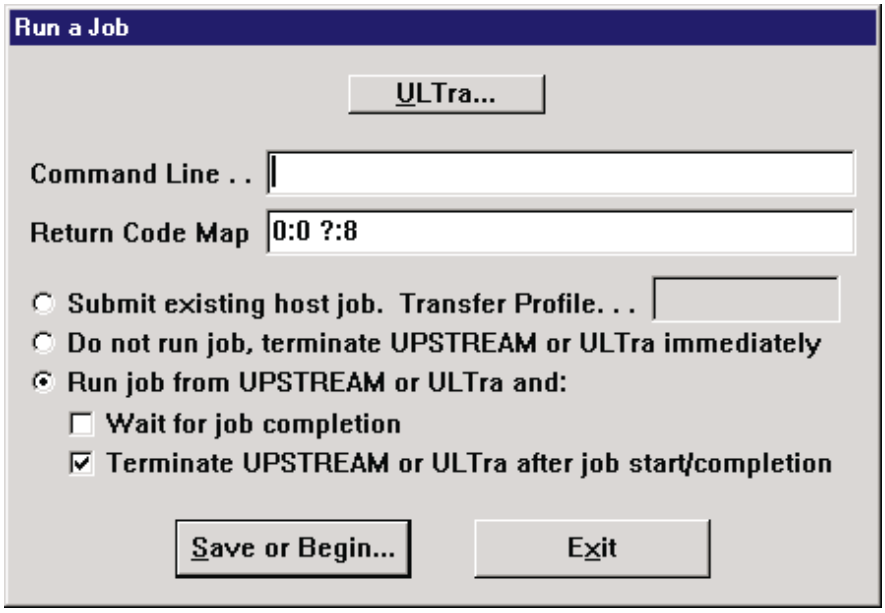
**WARNING: Jobs must not expect user input. Under most operating systems this will hang UPSTREAM which will require external intervention to kill both UPSTREAM and the job.**

### 26.3.1. Locally Specifying

FDR/UPSTREAM includes a dialog which allows you to specify the parameters necessary to run a job. Use this dialog:

- To submit a job stored on the host.
- To build a parameter file for workstation or host job automated execution.
- Run a job on an ULTra attached workstation.

To access this dialog, pull down the Action menu and select Run a Job. The accelerator for this is [ALT]J. See figure below.



- ☐ **ULTra...:** Press this button to specify parameters so that you can submit jobs or terminate ULTra on LAN attached workstations. See the *ULTra* chapter for more information.

- ☐ **Command line:** Enter the command line of the program or batch file you wish to run. We recommend using the fully qualified path to the program or batch file to run, followed by any command line parameters that you wish to use. This is usually required and the default is blank. This is the only required parameter for submitting host jobs.

- ☐ **Return Code Map:** This is used only for CONV=WAIT or CONV=KEEP host jobs running on a workstation/server, this parameter allows you to map the job return code to a return code consistent with the way that host return codes work. The syntax is described below. The default is 0:0 ?:8 which will map a workstation 0 return code to a host 0 return code and all other workstation return codes to a host return code of 8. This field is not used for host jobs.

The following radio buttons are:

- ☐ **Submit existing host job:** If you press this radio button, the command line specifies the name of an existing file on the host with properly formatted JCL, ready for submission. If you select this radio button the following field is enabled:
  - **Transfer Profile:** If you select Submit existing host job, you must enter a valid file transfer backup profile.
- ☐ **Do not run job, terminate UPSTREAM or ULTra immediately:** If you press this radio button, not job is run. This is merely an indication that UPSTREAM or ULTra should be terminated. This is not the default radio button and is rarely used.

- ☐ **Run job from UPSTREAM or ULTra and:** Pressing this radio button causes the job on the command line to be run and it is the default. If you press this radio button, the two check boxes beneath it are active.

The run job checkboxes are:

- ☐ **Wait for job completion:** If checked this causes FDR/UPSTREAM to wait in a suspended state until the job has completed. Otherwise, FDR/UPSTREAM will start the job and continue. The default is not checked.

- ☐ **Terminate UPSTREAM or ULTra after job start/completion:** If checked this causes FDR/UPSTREAM to terminate after the job has been started (if Wait for job completion has not been checked above) or terminate after the job has completed (if Wait for job completion has been checked above). If not checked, FDR/UPSTREAM will not terminate as a result of running the job (it may terminate for other reasons including a remote time limit expiration). The default is checked.

**WARNING: Most users will want to CHECK "Wait for job completion" and NOT CHECK "Terminate UPSTREAM or ULTra after job start/completion" as this is the expected behavior for jobs.**

The push buttons are:

- ☐ **Save or Begin....:** Press this button to save your selections to a parameter file for later execution and/or execute them now.

- ☐ **Exit:** Press to leave this dialog without saving any of you selections.

### 26.3.2. Job Return Codes

FDR/UPSTREAM MVS CONV=WAIT batch jobs return a return code indicating whether the requested function has successfully completed. For backups or restores, this return code indicates whether the backup or restore worked successfully (return code 0), had some warnings (return code 4) and so on. These return codes are used for host reporting and are often used with a scheduling system to determine whether to perform additional functions or notify an administrator.

This process becomes more complex when you are running a workstation job. Is the job successful if it merely runs? What constitutes working?

This problem is exacerbated by the differences in PC and UNIX system return codes and mainframe return codes. On the mainframe, there is a convention of how return codes work (0 = success, 4 = minor problems, 8 = failure, ...). If a workstation program returns a return code at all, it often is simply 0 for successes and non-zero for failure.

To help map workstation return codes to host return codes, a mapping facility is provided (Return Code Map on the workstation dialog or the parameter JOBRETURNCODEMAP). It's syntax is:

```
<workstation return code>:<host return code> ...
```

Where:

- **<workstation return code>** is the ERRORLEVEL or program return code from the application or batch file. You can specify:
  - A single numeric value: 0, 1, etc.
  - A range of values: 1-4, 8-12, etc.
  - A question mark (?): This indicates any value which is not directly specified as a single numeric value a value in a range.
- **<host return code>** is the value which the host job will return.

The combination of <workstation return code>:<host return code> can be repeated multiple times, separated by spaces or tabs.

For example, the default of 0:0 ?:8 will cause the host batch job to return:

- 0 if the workstation program returns a program return code of 0.
- 8 if the workstation program returns a program return code which is non-zero.
- 12 or 16 depending upon the type of failure if the workstation does not run the job at all (due to some type of communications or other failure).

### 26.3.3. Windows 95 Jobs

By default, when a Windows 95/98 job terminates the Window that the job was in remains open. To have the Window close automatically perform the following steps:

- Copy c:\windows\dosprmpt.pif to c:\windows_default.pif
- Using the Explorer, highlight _default.pif and bring up its **Properties**. In the **Program** tab, check **Close on exit** and press the **Ok** button.
- Reboot the computer. By default, job windows will automatically close

### 26.3.4. Host Initiation

Host initiation of jobs is via UPSTREAM parameters. The important ones are:

- **ACTION 5** Specifies that a job is to be run.
- **JOBOPTIONS** Usually specified as **3** to have UPSTREAM run the job, wait for it to complete and return its return code to the host. Allowing it to default to 0 will cause UPSTREAM to terminate.
- **JOBRETURNCODEMAP** See section 26.3.2.
- **FILES** The full command line for the job you wish to execute.

**WARNING: Do not allow JOBOPTIONS to default to 0 unless you specifically wish UPSTREAM to terminate.**

### 26.3.5. Killing Jobs

If you accidently specify a job which requires user input or your job hangs or ends up in an infinite loop, both UPSTREAM and the job will have to be killed manually. This is done differently depending upon your operating system; some of the less complex ones:

- UNIX. Use the ps command to get the process number and the kill command to kill the process.
- OS/2. Jobs can be killed (Closed) with a right mouse click from the Window List.
- NLM: UNLOAD <program> will kill the program.
- Windows NT: The Task Manager allows you to kill programs, unless it was started from UPSTREAM running as a service.

For Windows NT, if UPSTREAM is running as a service, you can use USTPCFG to create a service which starts the task manager program (usually c:\winnt\system32\taskmgr.exe). This program can then terminate jobs which are running as services.

# 26.4. Requesting a Remote UPSTREAM Function

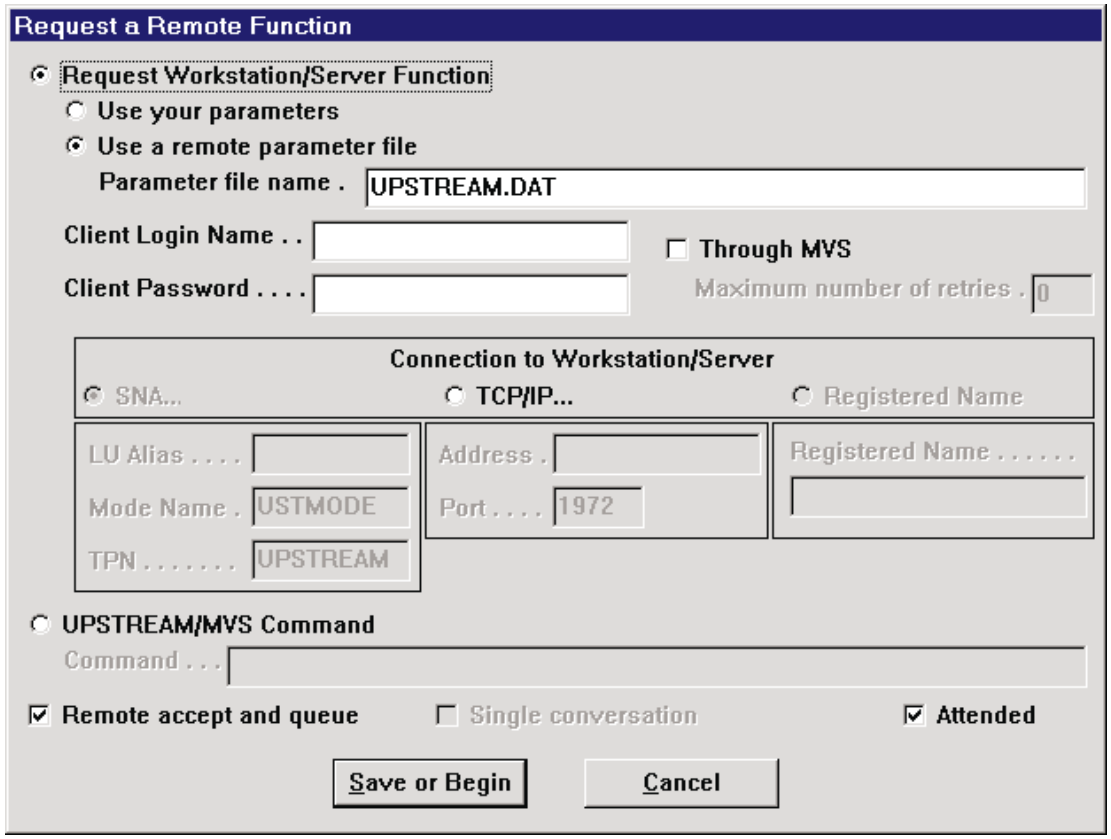
FDR/UPSTREAM allows you to specify backups or restores from MVS batch jobs or from other workstations/servers. Remote workstation/server specified functions can be done through FDR/UPSTREAM MVS or directly PC-to-PC (through TCP/IP, APPN or low-entry networking).

Remote PC specified functions can be saved and executed from parameter files. You can even use this facility to control all of your PCs from a central PC.

This facility can also be used to send UPSTREAM/MVS commands. These include such commands as FLUSHLOG, USTMAINT, etc.

Remote FDR/UPSTREAM functions are accomplished by sending FDR/UPSTREAM parameters to the remote workstation/server (specified in a dialog or using one of the other ways of entering FDR/UPSTREAM parameters), or using parameters (in a parameter file) on the remote workstation/server.

To specify remotely initiated functions, pull down the Remote menu from the main FDR/UPSTREAM screen and select Request Remote Function. You can also use the accelerator, [ALT]Q. You will see the Request a Remote Function dialog.




The main selection radio buttons are:

- ☐ **Request Workstation/Server Function:** Select this option if you are requesting a workstation/server function such as a backup, restore, job execution, etc. When selected, the fields indented below the option are made available for entry and the fields beneath UPSTREAM/MVS Command are grayed. This is the default option.

- ☐ **UPSTREAM/MVS Command:** Select this option to send a command to UPSTREAM/MVS. When selected, the Command field indented below it is made available and the options indented under Request Workstation/Server function are grayed.

The parameters for requesting a remote function are:

- ☐ **Use your parameters:** If you select this radio button, then the remote function will use the parameters that you specified in a backup, restore or as of...restore dialog, as if you were at that PC. Do not check this button if you wish to use a parameter file stored on the remote PC. If you wish to use a parameter file on your PC, use the Open command from the File Menu and enter a password in the appropriate dialog before executing this function. The default is not checked.

- ☐ **Use a remote parameter file:** If you select this radio button you are requesting the execution of a parameter file that currently exists on the remote system. This parameter file must be complete. If you select this option, you must also enter a parameter file name. The default is checked.

- ☐ **Parameter file name:** This field is grayed and unavailable unless you checked Use a remote parameter file (above). Enter the fully qualified file name of a FDR/UPSTREAM parameter file. The default is UPSTREAM.DAT.

If the system you are performing the request on has enabled Remote Security in Personalization requiring remote client computers to enter a User ID/password, enter your user ID and password on that machine in this fields below. Note that for new installations this is the default and must be entered even if you are using Novell Profiles. See the Security chapter for more information.

- ☐ **Client Login Name:** Enter your user name on the system you are connecting to if the remote system requires connecting computers to login. The default is blank.

- ☐ **Client Password**: Enter your password on the system you are connecting to if the remote system requires connection computers to login. The default is blank.

MVS connection options:

- ☐ **Through MVS:** If you check this box, your computer connects to FDR/UPSTREAM MVS, which in tern connects to the remote computer. All requests are directly forwarded by FDR/UPSTREAM MVS. Your connection is held open until the remote computer receives and accepts the request. If you check this option, you can also specify a Maximum number of retries. The default is checked.

  You can not check this box if your connection to the host is TCP/IP. If you do not check this box you will be directly connecting to the remote computer using TCP/IP or SNA low-entry networking or APPN. You can not use a registered name as that requires UPSTREAM/MVS.

- ☐ **Maximum number of retries:** This option is only available when Through MVS (above) is checked. You can set the number of times that FDR/UPSTREAM MVS will retry the connection to the remote PC before abandoning the attach. The retry interval is set on the mainframe. The PC is held (locked) until the number of retries have been exceeded. Specify a number from 0 to 255. The default is 0.

Connection to the Workstation/Server can be one of the following three options:

- ☐ **SNA...:** If you press this radio button, the remote computer is connected via SNA/APPC and you must also enter the Remote LU Name and Remote Mode Name parameters.

- ☐ **LU Alias:** Enter the LU Name of the PC you are attaching to. For direct PC-to-PC requests this is the alias of the remote PC. This field is required. The default is blank.

- ☐ **Mode Name:** Enter the Mode Name for the connection to the remote PC. For requests through FDR/UPSTREAM MVS, this mode name is only used for the connection from FDR/UPSTREAM MVS to the remote PC, and can be different than the mode name for the connection between your PC and FDR/UPSTREAM MVS. This field is required. The default is USTMODE.

- ☐ **TPN:** Enter the transaction program name posted on the remote PC. The default is UPSTREAM. This field is particularly important in APPCs where multiple copies of UPSTREAM are running and you wish to direct your request to a particular copy.

  or

- ☐ **TCP/IP..:** If you press this radio button, the remote computer is connected via TCP/IP and you must also enter the TCP/IP Address and Port parameters.

- ☐ **Address:** Enter the IP address of the remote PC. Use the dotted decimal notation. This field is required.

- ☐ **Port:** Enter the IP port that FDR/UPSTREAM uses on the remote PC, in decimal. This field is required.

  or

- ☐ **Registered Name...:** If you press this radio button, you know the registered name of the remote computer. This option is only available if you checked the Through MVS checkbox above.

- ☐ **Registered Name:** Enter the registered name of the remote computer as specified in the remote computer's configuration.

The parameters for an UPSTREAM/MVS command are:

- ☐ **Command:** Enter the UPSTREAM/MVS command, with all parameters, that you wish to execute. These include FLUSHLOG, USTMAINT, etc. This is a required field.

The check boxes beneath the connection type are:

- ☐ **Remote accept and queue:** If this button is checked and the remote computer is beginning a process it can't easily interrupt (a backup or restore), then the remote computer will queue it until it becomes free and will process the request then. If this box is not checked, the remote will reject the request if it can't service it immediately. The default is checked.

- ☐ **Single conversation:** If this button is checked then the conversation between FDR/UPSTREAM MVS and the target PC that requested the function will be used for the conversation for the backup and restore. Otherwise the request will be a separate conversation from the backup or restore. Checking the box is preferred as the host software can keep better track of the complete request for host reporting. This option requires FDR/UPSTREAM PC v2.4.0a or later and FDR/UPSTREAM MVS v2.4.0 or later and can only be used Through MVS. The default is not checked.

- ☐ **Attended:** If this button is checked this process (the remote request), even if saved to a parameter file, will be attended. If this button is not checked and you save these parameters to a parameter file, this process can be unat-

tended. The default is checked. **NOTE:** The remote action will be attended or unattended based on the parameters that are used, NOT this value. This value only affects the process of requesting the remote function.

- **Save or Begin...:** Press this button (or the [ENTER] key) to save the values entered above to a parameter file and/or begin the remote request..
- **Cancel:** Press this button (or the [ESC] key) to exit this dialog without saving or executing the request.

If you press the <Save and Begin> or <Begin> buttons after pressing <Save or Begin...>, you will be asked for the name of the parameter file to save this request to, and then you will see the status of the request. Do not be alarmed if the state continues to remain at "Confirm request" for some time, particularly if you specified a retry count. This is normal.

When the remote request has been submitted (but not serviced), you are returned to the FDR/UPSTREAM main window. In unattended mode, FDR/UPSTREAM will terminate.

# 26.5. User Defined Translation Tables

PC and most UNIX operating systems use the ASCII character set. The host needs file and a number of other names in EBCDIC so that they can be displayed and selected.

**NOTE: We recommend using one of the sample tables rather than the default ASCII-to-EBCDIC tables.**

FDR/UPSTREAM has its own internal ASCII-to-EBCDIC and EBCDIC-to-ASCII translation table which it uses for communications parameters and file name specifications. For users of FDR/UPSTREAM in the United States, this will work correctly for most file names. However, if you have a non-U.S. codepage loaded on your PC, have a non-standard name space loaded (such as a Macintosh file system) or have overseas users, characters which use values not defined in the ASCII-7 character set (which includes characters with accents and umlauts) may find that file names displayed incorrectly on the host or the client.

In particular, note that the internal UPSTREAM translation tables are not one-to-one. There are a number of characters that do not map back to their original values.

To remedy this situation, FDR/UPSTREAM supports user loadable ASCII-to-EBCDIC and EBCDIC-to-ASCII translation tables.

We have enclosed translation tables which address some of the following problems:

- There are a number of characters including non English characters (è, ö, etc.) and special locale specific characters (¢, £, etc.) which are available in the enhanced EBCDIC character set. The new translation tables allow access to these characters in file names when viewed in ISPF panels.
- Our default ASCII-to-EBCDIC and EBCDIC-to-ASCII tables are not anywhere near one to one. This means that a number of characters in ASCII were mapped to a single EBCDIC character. This can lead to multiple files mapping to the same name, errors attempting to view or display files in ISPF and files restored to a different file name than their original. The new tables are still not one to one (as this is impossible), but they are much closer.

The supplied tables map to EBCDIC (4), code page 037.

Within ASCII, there are a number of character sets. DOS, Windows 3.1, Windows 95, Novell, OS/2, AIX and a number of other operating systems use the OEM character set which includes line drawing characters. DOS, Windows 3.1 and other operating systems require code page support loaded for support of non English characters; see the operating system manuals for locale specific details.

Windows NT generally uses the ANSI character set (unless you have specified a different character set for display). ANSI has no line drawing characters and supports a larger number of locale specific characters. UPSTREAM for Windows NT has been designed to support exact display of file names.

To support both environments, we include in the **\samples** directory two sets of translation tables: oematoe.tab and oemetoa.tab for the OEM character set and ansiatoe.tab and ansietoa.tab for the ANSI character set.

To use these tables, we recommend that you copy the appropriate tables to the **usatoe.tab** and **usetoa.tab** files in the UPSTREAM directory. If UPSTREAM sees these files it will use them on program start.

If you wish to change translation tables the parameter file entries **ASCTOEBC** (ASCII-to-EBCDIC, default USATOE.TAB) and **EBCTOASC** (EBCDIC-to-ASCII, default USETOA.TAB) specify user loadable translation tables. You can specify the translation table file name in the Backup or Restore More... dialogs. Whenever a parameter file is opened or one of these values is received from a remote system (and a new table is specified), the tables are loaded immediately. Thus you can use different tables for backups than for file transfers.

When FDR/UPSTREAM loads a translation table it logs message #1225I with the table name in the message log.

Note that if you have existing backups, by changing your translation tables UPSTREAM/MVS may see these files as new files - causing a larger than normal number of files to be transmitted in phase 3 of a merge full backup.

The recommended tables are:

- **Windows NT/2000: ANS2ATOE.TAB** (ASCII-to-EBCDIC) and **ANS2ETOA.TAB** (EBCDIC-to-ASCII)
- **All other PC operating systems: OEM2ATOE.TAB** (ASCII-to-EBCDIC) and **OEM2ETOA.TAB** (EBCDIC-to-ASCII)
- **UNIX: unixatoe.tab** (ASCII-to-EBCDIC) and **unixetoa.tab**(EBCDIC-to-ASCII)

### 26.5.1. Building Your Own Translation Tables

To build your own translation table, follow these guidelines:

- Each file should have 256 lines, one line per character to translate.
- Each line represents a position. Line 32 (decimal) of the ASCII-to-EBCDIC file will represent the number what you wish the space character translated to.
- Specify the value to translate to in decimal or hexadecimal. If you are using hex, then precede each value with a 0x. For example to specify 2a hex, enter 0x2a (the hex letter digits can be upper or lower case).
- You may enter comments on each line if you separate the value and the comment with at least one space.
- Remember that conversions should be symmetric. If you define a value in the ASCII-to-EBCDIC table, don’t forget to define the converse value in the EBCDIC-to-ASCII table.
- The default ASCII-to-EBCDIC translation file is named USATOE.TAB.
- The default EBCDIC-to-ASCII translation file is named USETOA.TAB.

We recommend that you test translation by backing up and restoring to files using non-English characters specific to your language before putting these into production.

Note that characters in file names that are translated to non-printable EBCDIC characters will display incorrectly in the FDR/UPSTREAM MVS log if there is an error. There are no adverse affects in PC inquiries or restores.

There are a number of EBCDIC values which must not be used to avoid problems in UPSTREAM - these values should not be in your ASCII-to-EBCDIC table. These include (in hex), 00, 01, 02, 03 and FF. The ASCII values which should not be in your EBCDIC to ASCII table are (in hex) 00, and FF.

# 26.6. Sequential Disk Size Allocation

If you are using the Sequential Disk backup type, you may occasionally have problems in that FDR/UPSTREAM will allocate the size of the MVS dataset either too large, which can have negative impacts on your system as a whole (though any excess space is freed when the backup is complete), or too small which will keep the backup from working correctly.

UPSTREAM/SOS also uses the estimated size of the backup to decide how much space in the local backup volume to allocate. If this value is too small, it will wrap too many times resulting in poor performance.

Also the size estimate is used to display the percent complete on the backup or restore status screen (or command line). If the size estimate is wrong, the calculation will be incorrect.

FDR/UPSTREAM goes to great lengths in calculating the size of the MVS file where the data backed up is stored. Most users will have no problems and can ignore this section. However if you are having problems, this section can help you.

FDR/UPSTREAM PC transmits the number of bytes which it found in file data while building the backup file to FDR/UPSTREAM MVS which then converts this to the correct allocation in blocks. This value can be wrong for several reasons:

- Databases. For many database systems (SQL-BackTrack for example), there is no way to get the size estimate in advance.
- FDR/UPSTREAM PC does not by default include the number of bytes of non-file data (NetWare Directory Services, other Novell information, Banyan StreetTalk information or extended attributes), as this can potentially add significantly to the amount of time that it takes to build the backup file. In extreme cases this can be all or almost all of the data in the backup. In these cases the MVS file allocation may go into extents or may even fail.
- FDR/UPSTREAM PC does not include the savings of compression. If you are using high compression or your data compresses well this can cause FDR/UPSTREAM to over-allocate the size of the file.
- FDR/UPSTREAM MVS takes the value received from the PC, adds a certain amount for overhead and converts it into blocks. In most cases this is going to be very close to the amount of space required. Even so, it is not exact and there may be a small variation causing a slight over or underestimation of the size.

If you are finding that the file created by FDR/UPSTREAM to hold the sequential backup is either over or under allocated or your local backups are wrapping too many times, you can control this from FDR/UPSTREAM PC. We do not recommend solving this problem by modifying the DASD block size on FDR/UPSTREAM MVS.

There are two parameter file parameters designed to help you solve this problem.

- ☐ **CALCDASDSIZE:** The default is ‘N’. If ‘Y’, then FDR/UPSTREAM PC will calculate, during the backup file build, the total number of bytes of non-file data and add this to the size of the file data in its calculation that it sends to FDR/UPSTREAM MVS. Specify ‘Y’ if you are performing a backup with a large percentage of non-file data compared to file data and are willing to accept a significantly longer amount of time to build the backup file. This parameter can not be used for database backups.

  This parameter is not shown on the screens but can be modified in the parameter file, from the command line or from the environment (it is also documented later in this chapter).

- **DASDOVERRIDE:** Available on the Backup and Migration More... dialog. The default is 100%. Allows you to specifically tailor the calculation of the size of the backup. The calculated total referenced below either indicates the size of the file data only (if CALCDASDSIZE=N) or the size of the file data plus the non-file data. There are 4 forms of this parameter:

  - +<number>: Add the given number of bytes to the total calculated.
  - -<number>: Subtract the given number of bytes from the total calculated.
  - <number>%: Use the given percentage against the total calculated.
  - <number>: Use this number to override any calculated value.

  For example, if you are performing a backup of a Novell file server with only Directory Trustee Rights checked, and high compression, you may find that FDR/UPSTREAM consistently overallocates the file twice as large as it needs to be. Therefore, you would want to specify a DASDOVERRIDE of 50% (or 55% to allow some variation in the format of the data) to have it allocated correctly.

  Another example would be if you are backing up StreetTalk only (with no file services included). FDR/UPSTREAM would normally calculate the size of the file data as 0 causing the backup to fail. You can correct this problem by specifying CALCDASDSIZE as Y.

# 26.7. Hard Links

### 26.7.1. Introduction

Hard links are a feature in UNIX and Windows NT in NTFS where a file appears to be multiple places in the directory structure but the data is actually resides on disk in only one place. Thus a file has multiple names, but there is not a single "owner" of the file; there are multiple links to the same file. In UNIX, the **ln** command is used to create hard links from the command line. A similar **ln** command is available in the Windows NT Resource Kit for NTFS drives. All hard links must exist on the same disk - they cannot cross file system boundaries. Hard links are common in operating system files. UPSTREAM itself uses hard links in its terminal support - in the /termcap directory under the UPSTREAM directory in UNIX.

You can see if a file is a hard link using the directory listing command **ls -l** command. The second column is the *link count*, the number of pointers to the given data. You should ignore the link count for directories; hard links can only link files.

A UNIX Symbolic links is somewhat different - you create pointers in the directory to an existing file which really owns the data. Symbolic links can link directories and can cross file system or even LAN boundaries. UPSTREAM backs up the link as a pointer. The owning file or directory itself is only backed up when it is encountered in the file structure. UPSTREAM/UNIX has supported symbolic links since the product's inception.

### 26.7.2. Backups

There is a checkbox in the **Spec Detail**, **More...** (WinNT...) dialog for 32 bit-Windows and UNIX systems, **Hard Links** (**NTFS Hard Links** in 32-bit Windows). If checked, UPSTREAM will perform special handling for hard links during the backup. The default is checked for UNIX and not checked for 32-bit Windows because of the extra overhead.

**NOTE: Enabling hard links in 32-bit Windows will slow the build backup process. It is only recommended if you believe that there are hard links on your NTFS disk. It has no affect on UNIX backup performance (other to improve it).**

The file spec parameter is **HARDLINKS** and should be set to the value **Y** to include them on backups or restores.

### 26.7.3. Inquires and Restores

When you perform a file inquiry in the **us** program (either list and restore or restore and inquiry old), the size field displays the text **<H-LNK>** for hard links with a shortened size for the size of the data the link points to.

Since files may move on disk, UPSTREAM will only create hard links for files selected in a single restore. Thus if you have 10 files which were originally hard linked, and only restored 7 of them back to their original locations, you would have two sets of hard links, the original 3 (which were not restored) and the 7 which were restored.

Thus if you restore a single directory which contains files with hard links to other directories, the hard links will not be restored - there will be multiple copies of the file. You must specify all files linked together within a single restore to have the hard links correctly recovered.

You must check the Hard Links checkbox (or set the file spec parameter HARDLINKS Y) to include hard links in a restore; otherwise they will be skipped.

When you start a restore which includes hard links, all non-hard link files are restored first. If hard links are included in the restore (even if there is only one instance of a hard link) UPSTREAM then automatically redrives the restore process at least one more time. Thus for a single restore request, UPSTREAM will perform at least two actual restores. Again, this process requires no user intervention.

### 26.7.4. Notes and Warnings

- Backups and restores containing hard links can be marked restartable and can be restarted in any phase.
- Since a hard link is a pointer to a specific location on disk, UPSTREAM stores the data with a file name which is made up using the location on disk. For example, if on a UNIX system you have two hard links: \tmp\file1 and \tmp\file2, there will be a link count of two for each of the files. UPSTREAM will determine the device ID and file ID (the components of the location on disk) and construct a third file, internally named: <LNK:(8 character device ID):(16 character file ID)>. This file is hidden and is not included in any UPSTREAM file inquiries. However they are visible in host inquiries and host and client reports. They should not be directly selected for restore.
- If you have an UPSTREAM/MVS version before 3.12, CONV=WAIT batch jobs will terminate after the first pass has completed. UPSTREAM/MVS versions 3.12 or later will wait until the restore has run through all passes and return the correct return code.
- In hard link restores, there may be more than two passes depending on the number of hard links (data not directory entries) to be restored. Each hard link requires one file spec. By default UPSTREAM will use the maximum number of file specs in each pass (up to 65535 minus the number of original specs). You can reduce this number by specifying the environment variable USHARDLINKSET which will increase the number of passes.

# 26.8. Fixed Fonts

A fixed pitch font is vital for the treelike display in the new restore facility as well as columnar displays in Profile Management, Registered Named and more.

UPSTREAM will choose a reasonable fixed font in Windows and OS/2 that will work well in most circumstances. However, there is a new environment variable which allows you the ability to select the font pitch and name: USMONOFONT.

Note that UPSTREAM can only used installed fonts and they must be non-proportional (moonscape).

| Name | Default | Description |
|---|---|---|
| USMONOFONT | OEM_FIXED_FONT (Windows)<br>8.Courier (OS/2) | The fixed pitch font that will be used when UPSTREAM needs a fixed font. The format is: <size>.<name>. You can specify a size without specifying a name. |

Depending upon your display adapter driver, you may find that the none of the OS/2 fonts will display an adequate number of lines in the new restore panel. So we have included a font USFONT.FON in the UPSTREAM OS/2 distribution. To install a font in OS/2, use the Font Palette in the OS/2 System Setup icon view. Select Edit font... and press the Add button. Specify the drive/directory where USFONT exists and the file will be copied. Use the environment variable override (above) to use the new font.

See the *Windows NT Server Considerations* chapter for additional description about fonts and Unicode for Windows NT.

# 26.9. Customizing UPSTREAM Screens

FDR/UPSTREAM comes with a number of installable language files which will modify the appearance of UPSTREAM's screens and dialogs. This same facility is user customizable - you can modify virtually all of UPSTREAM's displays so that it will display text of your choice.

Non-English language support is provided for Japanese and German and is active for both the UPSTREAM program (us) and the UPSTREAM Configurator (uscfg). You can use this for all Windows, OS/2 and UNIX versions of UPSTREAM.

To install, enter the UPSTREAM Configurator (uscfg), cancel out of the first dialog, pull down the **Action** menu and select **Advanced**.

- **Language File Name:** Enter the name of a supplied or user customized dialog language file. This file allows you to customize most of UPSTREAM's displays for non-English or internal display use. The supplied files are **japanese.lng** (Japanese language) or **german.lng** (German language). We recommend that the file name be fully qualified. If not specified, displays are not customized.

  Virtually all text in UPSTREAM can be translated. This includes:

  - The welcome banner ("Welcome to FDR/UPSTREAM").
  - Menus (menus can not be customized in OS/2). You can add tabs and keyboard accelerators of your choice to menus.
  - Dialog titles.
  - Most controls including static text, frame, push buttons, checkboxes, and radio buttons. You can even add keyboard accelerators to buttons and checkboxes. This includes text dynamically updated by UPSTREAM during execution.

  The few items which can not be translated include list box entries and menus in OS/2.

### 26.9.1. Creating a Language File

A language file allows you to modify the text displayed in a static text field, a checkbox, radio button, push button, or menu (non-OS/2) to text of your choosing.

A language file is a plain text file. You must use a plain text editor which does not add formatting characters (like the Windows notepad) to create or modify these files.

Any line which does not begin with a double quote (") is considered a comment. Active lines have the format:

```
"<Original text>" "<Replacement text>"
```

For example, to replace the text *Backup Profile* with the text *Profil de sauvegarde* (the French version), the following line will be in your language file:

```
"Backup Profile" "Profil de sauvegarde"
```

To ease development of your own customized language file, a sample example.lng is included with UPSTREAM. We recommend that you use a different name for your file to avoid the loss of this file during an UPSTREAM upgrade.

There are a number of rules for this file:

- An original text string can only occur one time in the language file. Thus, in example.lng, to avoid conflicts, fields are commented out which occur in dialogs but are specified above in the file.
- Case is not sensitive in the original text string. Thus “Backup Profile” and “backup profile” are the same.
- All occurrences of the original text string will be replaced. Watch out for fields being changed in dialogs other than the one you think you are modifying.
- The length of dialog fields may require that you abbreviate your descriptions.
- All characters in the original text string must match for the field to be overridden. However, trailing dots (.) and spaces are not included in the comparison. Thus, the original text string “Backup Profile” will override “Backup Profile...” but will not override “Backup Profile Name”.
- You can enable keyboard “Accelerator Keys” for buttons and checkboxes (usually activated by the user by pressing [ALT] and the accelerator key). Merely prefix the character in the replacement text that you wish to use as the accelerator with an ampersand (’&’).

  For example, replacement text of “&Add” will make the letter ‘A’ an accelerator within all dialogs it occurs. You must not put an accelerator in the original text string (even if one is there already).
- You can use FDR/UPSTREAM’s modified unicodes to insert characters which may not be in the ASCII sequence of the font you are using. Contact Innovation Technical support for help in creating these

Menus are somewhat different as they may have embedded tabs between the menu item and a description of the accelerator. To add a tab to either the original or replacement text, use the digraph ‘\n’. You must match the number of tabs in the original string to have the items match. Thus, to override the “Backup” menu item, you must specify the original text string “Backup\tALT+B”.

As UPSTREAM evolves, there may be changes to the dialogs. However, this method is the most portable of techniques for handling language translation and general UPSTREAM customization.

# 26.10. USMODIFY

A new program USMODIFY is included with FDR/UPSTREAM to allow command line or batch file tailoring of any overall parameter within a parameter or configuration file or group of files. It is particularly useful for regular changes to passwords for all of your parameter files.

USMODIFY is a bound program: the same executable will work in both DOS and OS/2. The command line syntax is:

```
USMODIFY [/?] DATSPEC=<FileList> [@<Override File>]
              [parm[=[value]]...]CFGSPEC=<FileList>
```

Where:

- **/?** (or /h, -?, -h or no parameters at all) displays help text.
- **DATSPEC= <FileList>:** Designates a list of one or more FDR/UPSTREAM parameter file specifications (*.DAT) which may contain wildcards. The prefix of DATSPEC is the default if you do not specify a prefix. In the FileList, you can specify multiple files, each separated by a semicolon (';').
- **CFGSPEC= <FileList>:** Designates a list of one or more FDR/UPSTREAM configuration file specifications (*.CFG) which may contain wildcards. In the FileList, you can specify multiple files, each separated by a semicolon (';').
- **@<Override File>:** If you have a number of parameters that you wish to change (too many for the command line), then you can enter them in an override file, and specify it alone on the command line with the '@' prefix.
- **parm[=value]:** One or more override parameters. If you specify just the parameter title with no equal sign and no value, you will be prompted for the value. If you specify a parameter title with an equal sign but no value, a blank value will be used. Override parameters specified on the command line take precedence over parameters specified in an override file.

### 26.10.1. Example 1 - Changing Passwords

For example, if you wished to change the password in all of your parameter files and wish to be prompted for the new password, enter:

```
USMODIFY DATSPEC=*.DAT PASSWORD
```

You will then be prompted for the new password (which will not be displayed) and all of the parameter files will be updated. The list of files updated can be found in the UPSTREAM.LOG file.

### 26.10.2. Example 2 - Changing several parameters

If you wish to change your User ID, password, and backup profile name in two parameter files (DAY.DAT and WEEK.DAT), you could create an override file (OVERRIDE.MOD) as follows:

```
USERID NEWUSER
BACKUPPROFILE SERVER1
```

Then, from the command line, specify the following:

```
USMODIFY DATSPEC=DAY.DAT;WEEK.DAT @OVERRIDE.MOD PASSWORD
```

You will be prompted for the password and then the two parameter files will be updated with the results placed in UPSTREAM.LOG.

### 26.10.3. Notes

Some notes on this facility:

- The name of the log file is determined from the configuration file (UPSTREAM.CFG) and cannot be overridden. You should also have the UPSTREAM.MSG file available as it is used for logging.
- File specification level parameters (file name, archive bit, etc.) and frequency definition configuration parameters are likely to cause problems with this facility as there is no way to separate each specification or frequency. Thus, we do not recommend that you use USMODIFY to modify these parameters.

# 26.11. FDR/UPSTREAM Tuning

There are several ways to fine-tune FDR/UPSTREAM. These issues include:

- Setting the priority of the FDR/UPSTREAM program.
- FDR/UPSTREAM opens up to 3 files in advance in a backup, and reads up to 64K of data at a time. You can specify the number of files in advance and the size of this data buffer.
- FDR/UPSTREAM creates a temporary file during the backup. The maximum file size (including drive and path) impacts the size of the backup file. You can set this value.
- When idle, FDR/UPSTREAM uses a certain amount of CPU time. You can tune this amount of time.
- FDR/UPSTREAM supports a facility known a record packing where a number of logical records are combined into a very large single transmission record. You can vary the transmission record size.

Many of these fine-tuning options are controlled through environment variables. Environment variables can be set in the OS/2 session that you are running in or in the CONFIG.SYS, in which case it will be propagated to all OS/2 sessions. Environment variables are set using the SET <variable>=value.

More information about the environment variables defined in FDR/UPSTREAM can be found on page 26-41.

### 26.11.1. Program Priority (OS/2 and Windows NT only)

In OS/2 and Windows NT, each process (complete program) is given a priority by the operating system. This priority is used to determine how much of the CPU's processor time is dedicated for each task.

Extensive testing has shown that increasing the program priority level can have a significant performance improvement impact if the PC is not bottlenecked in disk or communications I/O. For example, OS/2 automatically increases the priority of the foreground process (the program with the focus), so you can get some priority improvement by just making FDR/UPSTREAM the active window.

On the other hand, an increased priority for FDR/UPSTREAM means that other tasks have less CPU time to execute producing unacceptable performance in other tasks. The ability to change your priority addresses both issues.

This priority is represented by two separate values: a priority class and a modifier priority level. The priority classes are Idle-time, Regular (which is the default), Time-critical and Fixed-high. The priority level is a modifier from 0-31 (OS/2) or 2-8 (Windows NT, 0 or 1 are taken as 8) which indicates how your process will be dispatched within the given level; the default is 1 for OS/2 and 6 for 32-bit Windows.

FDR/UPSTREAM allows two separate ways to modify the program priority:

- For this execution of FDR/UPSTREAM. To do this, pull down the Action menu and select Priority. Adjusting your priority does not affect future executions of FDR/UPSTREAM.
- For a given request. You can save the priority settings to a parameter file which will then be used whenever you open a parameter file or specify a file from the host.

Either method displays the priority dialog (see figure -).

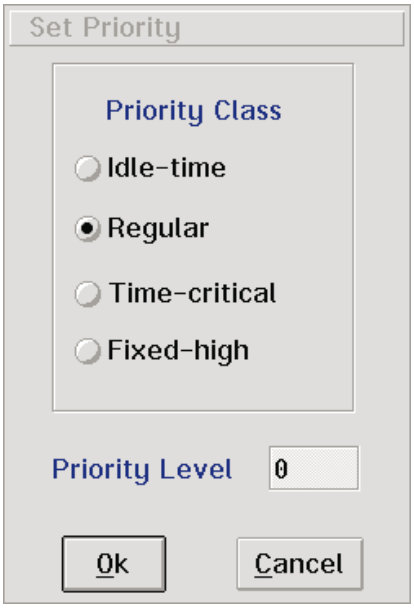



**Figure 26-1**
**Set Program Priority**

**Warning: Indiscriminate priority modifications can cause PCs to hang. Perform testing during off-hours or on PCs which can be rebooted.**

### 26.11.2. Backup fine tuning

When performing a backup, FDR/UPSTREAM will pre-open a certain number of files before it begins to back them up. In many environments this improves performance. FDR/UPSTREAM will also read data is very large blocks, up to 64K bytes at a time. You can set these values through environment variables:

- **NUMBACKUPTHREADS:** ***(OS/2 only)*** The file pre-opens are performed in a backup thread. Through this environment variable you set the number of files that the separate thread will pre-open The default is 3. Valid range is from 1-50. If you set this value to 1, there will be no file pre-opens.

- **BACKUPBUFFERSIZE:** ***(non DOS only)*** FDR/UPSTREAM takes 32000 and even divides it by the record size to determine the data read size. Thus if you specify a record size of 6000, FDR/UPSTREAM will always read data in 30000 byte pieces (it will still send them to the host in 6000 byte records). The default is 10000. Valid range is the record size up to 32000.

### 26.11.3. Backup file tuning

The FDR/UPSTREAM backup file (UPSTREAM.BKP) can grow extremely large when backing up a server with a large number of files. The size of this file is the product of the maximum possible file size (including drive and path) multiplied by the number of files, with some additional overhead.

If this file is becoming too large for your PC, or the default size is too small for the possible files size on your PC or server, you may need to change this value.

- **MAXFILENAMESIZE:** The maximum number of bytes that a file can be (including drive and path) to be included in the backup. The default is 230 bytes (80 bytes for DOS). The valid range is 20-230 bytes.

### 26.11.4. Record Packing

FDR/UPSTREAM Workstation/Server and MVS supports a facility known a record packing where multiple logical records are combined into a single, very large record. Testing has shown that there are significant performance improvements and a reduction in CPU utilization in TCP/IP and to a lesser extent SNA.

You can specify the record packing value on the Backup or Restore More... dialogs as **Packing Size** , or from the host or parameter files as PACKRECSIZE.

However, there are also situations where record packing will reduce performance (particularly for TCP/IP). When optimizing backup performance, you should try disabling record racking (by setting PACKRECSIZE to 0) to see if it improves performance.

Record packing is enabled by default for both backups and restores when using a high enough version of FDR/UPSTREAM on both the host and workstation/server sides (except for DOS where it must be explicitly enabled).

This size can be varied using the parameter PACKRECSIZE. The default record packing size is 32700. We do not recommend using a larger block size due to various system unknowns about memory and record management.

You can somewhat limit record packing by setting the PACKFLUSHAFTERFILE parameter to Y which causes UPSTREAM to send a packed record after each file. This may help somewhat in a high host CPU load environment.

# 26.12. FDR/UPSTREAM Parameters

As for the configurator, US.EXE allows you to set parameters in several prioritized ways. Each priority level higher will override the value of a lower value. If two parameters are set the same way, then the newer will overwrite the older. The ways from lowest to highest are:

- Default: Used if a default is known and it is acceptable.
- Parameter File: This is a value obtained from the default parameter file (UPSTREAM.DAT) or a parameter file specified from a higher priority. For example, in UPSTREAM.DAT you will see a line like:

```
DISPLAY Y
```

- Environment: These are values set using the DOS SET=<name> command. You can set these values from the command line or from a batch file. For example, you could add a line to your AUTOEXEC.BAT that would say:

```
SET PARAMETER=C:\UPSTREAM\US1.DAT
```

- Command Line: When you run US.EXE, you add the parameter on the same line, parameters separated by spaces. For example, you could start FDR/UPSTREAM:

```
US PARAMETER=C:\UPSTREAM\US1.CFG
```

- User (dialog): When you enter parameters from a dialog, these values are ALWAYS used.

You set a parameter using a keyword (upper and lower case can be mixed), followed by a separator (a blank or an equal sign) followed by the value. Parameters from the DOS environment and the command line must use an equal sign as the separator.

There are two types of parameters: overall and file spec. The following table describes the overall parameters. These parameters do not repeat.

The succeeding table describes the file spec parameters. These parameters repeat for each file spec defined.

If you use the dialogs in US.EXE and you save your parameters to a parameter file, you can print out the results to see how parameters can be specified.

| Name | Default | Required | Description |
|---|---|---|---|
| ACCEPTPCREMOTE | Y | No | Y = Accept and process remote requests originating from a PC (not a MVS batch job).<br>N = Reject remote requests from PCs. |
| ACCEPTREMOTE | N | No | Y = Accept and process remote requests.<br>N = Reject (but look for) remote requests. |
| ACTION | 1 | Yes | The function to be performed:<br>0 = Restore (or workstation receive file transfer)<br>1 = Backup (or workstation send file transfer)<br>2 = As of...Restore<br>3 = Wait for remote initiate<br>4 = Restart only<br>5 = Run a job<br>6 = Kill last restartable backup<br>7 = Run host report<br>8 = Restart restores only<br>9 = Kill restartable restore<br>10 = Submit a host job<br>11 = Migration<br>12 = Inquire Versions<br>13 = Performance test<br>14 = Physical disk/FDRSOS restore<br>15 = Physical disk backup<br>16 = Delete backup<br>17 = Register target name<br>18 = Inquire files |
| ACTIVATEONENTRY<br>(DOS only) | Y | No | Y = Activate the session on startup.<br>N = Do not start APPC on US.EXE start. |
| ASCTOEBC | USATOE.TAB | No | When specified, the user loadable ASCII-to-EBCDIC translation table gets loaded. |
| ATTENDED | Y | No | Y = It is assumed that there is a user present to make prompted decisions.<br>N = Unattended mode. It is recommended that you also specify a MESSAGETIMELIMIT as well. |
| BACKUPPROFILE | NONE | Yes | The profile name used for backups, restores and as of...restores. You can specify up to 8 characters. |
| BACKUPPROFILE2 | NONE | No | No longer used. |
| BACKUPVERIFY | N | No | Only used for full-merge backups. If Y, UPSTREAM will read, and compress the data, but not send it to the host. A checksum of the data will be compared against a checksum on the host. If a mismatch occurs, the file will be transmitted. As it degrades performance, it is only recommended with slow lines when you wish to verify non-file data. |

| Name | Default | Required | Description |
|---|---|---|---|
| BLANKTRUNC<br>(File Transfer only) | Y | No | (Only used if LINEBLOCK=Y)<br>Y = Trailing blanks at the end of each record are removed.<br>N = Trailing blanks are retained. |
| CALCDASDSIZE | N | No | N = If you are performing a sequential disk backup the amount of space allocate on MVS for the backup depends on the amount of file data found.<br>Y = If you are performing a sequential disk backup the amount of space allocated on MVS depends on the amount of file and non-file data found. |
| COMPRESSLEVEL<br>(Backups only) | 1 | No | Specifies the compression level:<br>0 = No compression<br>1 = Fast compression<br>2 = High compression 1<br>3 = High compression 2<br>4 = High compression 3 |
| CONTROLFILE | None | No | If USECONTROLFILE is active, the name of the control file/pipe for controlling UPSTREAM functions from an outside program. |
| DASDOVERRIDE<br>(Backups only) | 100% | No | Allows you to override the amount of space (bytes) requested on a sequential disk backup. 4 forms:<br>+<number>: Add the given number of bytes to the total calculated.<br>-<number>: Subtract the given number of bytes from the total calculated.<br><number>%: Use the given percentage to calculate the total.<br><number>: Use the given number to override any calculated value. |
| DISPLAY | Y | No | Y = Backup or restore status information continually updated.<br>N = No status display during the backup or restore. |
| DUALCOPY | N | No | Not used. |
| DUPDAYS<br>(Backups only) | 30 | No | If duplicate checking is enabled, the number of days since the file was modified and has the archive bit on before it can be considered eligible for duplicate handling. |
| DUPLICATE<br>(Backups only) | N | No | Whether you wish to use duplicate file checking.<br>N = No special duplicate file handling.<br>Y = UPSTREAM on the workstation will send up placeholder records for many files with the archive bit on for both fulls and incrementals (see DUPDAYS). |
| EBCTOASC | USETOA.TAB | No | When specified, the user loadable EBCDIC-to-ASCII translation table gets loaded. |
| EXCLUDELISTNAME | None | No | Enter an exclude list file name, formatted as described in the Exclude List section. |

| Name | Default | Required | Description |
|---|---|---|---|
| FAILJOB | None | No | If specified, this job will be run if the current function fails and UPSTREAM is in unattended or host initiated mode. |
| FILETRANSFER | N | No | Y = This is a file transfer (the ACTION parameter controls whether it is a send or a receive).<br>N = This is a backup or restore. |
| GETREMOTEFILES<br>(Backups only) | Y | No | Whether the “Show” checkbox is checked in the backup dialog. |
| HOLDTAPE | N | No | If ‘Y’, UPSTREAM will hold the tape for 2-3 minutes after a tape backup waiting for another one for the same profile to come in. This is primarily used for DataTools backups. |
| HOSTFILENAME<br>(File Transfer only) | None | No | If specified the name of the host file. If not specified, the FDR/UPSTREAM MVS will generate a name for workstation sends, or will use the latest recorded file transfer for workstation receives. |
| HOSTRECORD<br>(File Transfer workstation sends only) | Y | No | Y = Transferred files are recorded in the FDR/UPSTREAM MVS database for easy retrieval.<br>N = Transferred files are not recorded in the FDR/UPSTREAM MVS database. |
| HOSTSORT<br>(Restores only) | N | No | Y = You wish the host sort utility used for restores.<br>N = You do not wish the host sort utility used for restores. |
| INQOPTIONS<br>(Merge Inquiries and Restores) | 2 | No | (New value) A bit map of options used during an inquiry and restore:<br>0 = Inquiries and restores only show normal files and the highlighted backup.<br>1 = Inquires show migrated files as well as normal files.<br>2 = Inquires and restores use “Highlighted back to full”. This option must be on for “Highlighted back to FDRSOS” (32).<br>4 = Inquires operate from the currently highlighted backup to the first version “Highlighted back to oldest”<br>8 = (not used)<br>16 = Only display migrated files in an inquiry.<br>32 = Restore “Highlighted back to FDRSOS”. You must also have value 2 on as well “Highlighted back to full”.<br>64 = Expiration date is displayed for migrated files. |
| JOBOPTIONS<br>(Request job) | 0 | No | A bit map of options used when a job is requested and started:<br>0 = Start job and immediately terminate UPSTREAM.<br>1 = Start job and do not terminate UPSTREAM.<br>2 = Wait for job to be terminated.<br>4 = Not used<br>8 = No job, terminate the current UPSTREAM or ULTra program. |

| Name | Default | Required | Description |
|---|---|---|---|
| JOBRETURNCODEMAP<br>(Request job) | 0:0 ?:8 | No | For CONV=WAIT jobs, a mapping between the workstation return code and the host job return code. You can specify multiple mappings (including workstation return code ranges), the '?' used for all unassigned workstation return codes. |
| KEEPALIVE | 2 | No | For FDRSOS Local Backups, this parameter allows you to tune how often (in seconds) "Keep Alives" are transmitted to the host. Keep Alives are used to tell the host how much data the PC has written and allows the host to read the data from the disk as the PC is writing it. |
| LANINTERFACE<br>(ULTra) | 0 | No | The communications protocol used to access the ULTra workstation:<br>0 = IPX/SPX (TLI)<br>1 = NetBIOS<br>2 = TCP/IP |
| LANWSNAME<br>(ULTra) | NONE | No | (FDR/UPSTREAM ULTra) If you are using the LAN Workstation facility this is the name of the workstation that you are operating on behalf of, or if it is preceded with an '@' sign, it is the profile name which was set to a list of workstations to be used. |
| LANWSPASSWORD<br>(ULTra) | NONE | No | (FDR/UPSTREAM ULTra) If you are specifying a LANWSNAME and the workstation is password protected, then this is the password to enter. If parameter file stored, it is stored encrypted. |
| LATESTVERSION<br>(Restores only) | Y | No | Y = You wish to restore the latest version available for the backup profile.<br>N = You wish to use a specific version date. |
| LINEBLOCK<br>(File Transfer only) | Y | No | Y = Records are separated by CR/LF (LF only for UNIX) for workstation sends; CR/LF (LF for UNIX) is added at the end of each record for workstation receives.<br>N = All records are separated by the record size (workstation sends). |
| LINETRUNC<br>(File Transfer only) | Y | No | (Only used if LINEBLOCK=Y for workstation sends)<br>Y = Data exceeding the record size is truncated.<br>N = Data exceeding the record size is sent as a separate record. |
| LISTENFORREMOTE | Y | No | Y = Your PC will listen for remote requests.<br>N = Your PC will not listen for remote requests (should only be 'N' if you will NEVER receive a host or remote PC request). |
| LOCALBACKUP | 0 | No | 0 = Host storage is used exclusively for backups and restores.<br>1 = Whether during a backup files should be stored locally as well as the host; during a restore whether files should be taken from local storage whenever possible.<br>2 = Local backups are stored on shared EMC FDRSOS Local Backup disks. |

| Name | Default | Required | Description |
|---|---|---|---|
| LOCALBACKUPDIR | None | Yes (for FDRSOS backups) | If local backups are enabled to:<br>- Disk (LOCALBACKUP=1) the name of the directory where the local backup files will be stored.<br>- FDRSOS (LOCALBACKUP=2) the internal UPSTREAM name of the disk where the data will be stored. |
| LOCALBACKUPMAX<br>(Backups only) | 3 | No | The maximum number of local backup files (each backup is one file) stored on a workstation. |
| LOCALBACKUPMAXFILESIZE<br>(Backups only) | 10000000 | No | The largest file that will be stored locally if local backups are enabled. |
| LOCALBACKUPMAXSIZE<br>(Backups only) | 100000000 | No | The largest that a local backup file will grow to. |
| LOCALPASSWORD | None | No | The password of the remote user who wishes to logon to this system. |
| LOCALUSER | None | No | The local user name of the remote user who wishes to logon to this system. |
| LOGNONFATAL | 0 | No | 0 (or 'N') = Nonfatal errors during a backup or restore are not logged or displayed.<br>1 (or 'Y') = Nonfatal errors during a backup or restores are logged and displayed.<br>2 = Only messages during a backup which result in a skipped file are transmitted to the host. |
| MAXBACKUPTIME | 0 | No | The number of minutes before a backup or migration will terminate due to excessive time. 0 indicates no termination for time. |
| MAXDUPS | 10<br>(1 for DOS) | No | The maximum number of duplicate files that can be simultaneously written in a duplicate file restore. 0 or 1 disables duplicate file restores. |
| MAXKFILESIZE | 0 | No | Allows you to exclude files which are larger than the specified size (in 1024 byte multiples). 0 indicates no file size exclusion. |
| MAXRESTORETIME | 0 | No | The number of minutes before a restore will terminate due to excessive time. 0 indicates no termination for time. |
| MERGE<br>(Backups only) | 0 | No | The backup type<br>0 = No merge used<br>1 = Full merge<br>2 = Incremental merge<br>3 = First-time merge backup |
| MINIMIZE | N | No | Allows UPSTREAM, when running unattended, to start minimized. |

| Name | Default | Required | Description |
|---|---|---|---|
| MODIFYFILE | Y (UNIX)<br>N (all others) | No | Y = Incrementals are determined by the last date/time FDR/UPSTREAM was run (stored in the modification file).<br>N = Incrementals are determined by the archive bit. |
| NOVELLPROFILE | NONE | No | The profile name, set in SETNOV.EXE, referencing the server, user name and drive mappings to be mapped to your Novell file server. |
| NOVELLRECALL | Y | No | Y = This backup profile could be used to back up a Novell server which uses the FDR/UPSTREAM auto-recall facility.<br>N = This backup profile will never be used to backup a Novell server which uses the FDR/UPSTREAM auto-recall facility. |
| NTREGRESTORE | 0 | No | Windows NT registry restore options:<br>0 = “legacy”. Replace the registry with no additional prompting.<br>1 = “keep”. Keep existing registry entries, but prompt allowing user interaction if attended.<br>2 = “replace”. Replace registry entries, but prompt allowing user interaction if attended. |
| PACKFLUSHAFTERFILE | N | No | Y = Forces a packed record in a backup to be transmitted after each file.<br>N = Records are fully packed. |
| PACKRECSIZE | 32700<br>(0 for DOS) | No | The maximum number of bytes transmitted or received from the host. Specify 0 to disable record packing. |
| PARAMETER | upstream.dat | Yes | The name (and optionally the path) of the parameter file to read parameters from. |
| PASSWORD | NONE | No | Your password. This is usually required if the user ID is required. You can specify up to 32 characters. If FDR/UPSTREAM generates this field in a parameter file, then it is encrypted. In attended mode the password is not read from a parameter file (but can be accepted from the command line or environment). |
| PERFORMBITMAP | 96 | No | A bit map of performance tests you wish to run:<br>1 = CPU test<br>2 = Screen I/O test.<br>4 = File I/O (read) test.<br>8 = Backup, No I/O test<br>16 = VSAM performance test<br>32 = Raw communications test, PC send<br>64 = Raw communications test, MVS send.<br>128 = Backup - no communications |
| PERFORMNUMRECORDS | 100 | No | The number of records sent/received on raw communications performance tests. |
| PERFORMRECORDSIZE | 32700 | No | The size of records send/received on raw communications performance tests. |

| Name | Default | Required | Description |
|---|---|---|---|
| POSTJOB | None | No | If defined, this is the name of a program, batch file or script file which will be run after the unattended UPSTREAM function. |
| PREJOB | None | No | If defined, this is the name of a program, batch file or script file which will be run before the unattended UPSTREAM function. |
| PRTYCLASS<br>(OS/2, 32-bit Windows only) | 4 | No | The priority class for the requested action:<br>1 = Idle-time<br>2 = Regular<br>3 = Time-critical (VERY DANGEROUS)<br>4 = Fixed-high |
| PRTYLEVEL<br>(OS/2, 32-bit Windows only) | 1 (OS/2)<br>8 (32-bit Windows) | No | A number from 0 to 31 (OS/2) or 0 to 8 (32-bit Windows, where 0 and 1 are resolved as 8) modifying the priority class. |
| RECALLCLEANUP | N | No | Y = FDR/UPSTREAM will examine Novell auto-recall stub files to determine if any have expired, and if any have, they will be deleted.<br>N = Auto-recall stub files will not be deleted. |
| RECORDSIZE | 8192 | No | The data blocking size. This is a memory/performance tool. |
| REMOTEADDR<br>(Remote requests only) | None | No | If the remote PC is connected via TCP/IP, this is its IP address. |
| REMOTECONNECTTYPE<br>(Remote requests only) | 0 | No | The method use to send the request to the remote PC.<br>0 = The remote request is via FDR/UPSTREAM MVS and the LUNAME or TCP/IP address/port number are specified.<br>1 = The remote request is directly PC-to-PC.<br>2 = The remote request is via FDR/UPSTREAM MVS and a registered name is specified. |
| REMOTEFLAGS<br>(Remote requests only) | 0 | No | A bit map indicating how a PC-to-host-to-PC request will be handled.<br>1 = The request is queued on the remote PC.<br>2 = There is a single conversation between the host and the remote PC; the PC does not deallocate when the request is received.<br>4 = The requesting PC will wait until the process has completed on the remote PC.<br>8 = This is an UPSTREAM/MVS command rather than a PC-PC request. If specified you must also specify a REMOTEPARAMETERFILE with the command. |
| REMOTELOCALPARAMETERS<br>(Remote requests only) | N | No | Y = Use parameters defined on your PC.<br>N = Use a remote parameter file (and you must specify a REMOTEPARAMETERFILE). |
| REMOTELUNAME<br>(Remote requests only) | NONE | Yes | The LU name (or alias if DCA/Microsoft select PC-to-PC) of the remote system. |

| Name | Default | Required | Description |
|---|---|---|---|
| REMOTEMAXRETRIES<br>(Remote requests only) | 0 | No | If REMOTEPCTOPC=N, this is the number of times FDR/UPSTREAM MVS will attempt to connect to the remote PC. |
| REMOTEMODENAME<br>(Remote requests only) | USTMODE | No | The mode name used to contact the remote system. |
| REMOTEPARAMETERFILE<br>(Remote requests only) | upstream.dat | Yes (see above) | The parameter file on the remote PC to execute (if REMOTELOCALPARAMETERS=N).<br>If you specified REMOTEFLAGS with a value of 8, then this is the UPSTREAM/MVS command to execute. |
| REMOTEPORT<br>(Remote requests only) | 1972 | No | If the remote PC is connected via TCP/IP, this is its IP port. |
| REMOTEREQUEST | N | No | Y = You are requesting a function be performed on another PC.<br>N = You are requesting a local function.<br>Unless you are requesting another PC to perform a remote function specify 'N'. |
| REMOTETARGETNAME<br>(Remote requests only) | None | No | Fill in a value if you wish to find the remote computer by registered name rather than LU name or IP address. |
| REMOTETCP<br>(Remote requests only) | N | No | Y = The remote PC is connected via TCP/IP.<br>N = The remote PC is connected via SNA. |
| REMOTETIMEOUT<br>(Unattended wait for remote) | 0 | No | The number of minutes that the PC will wait in unattended mode for a remote initiated function. 0 indicates wait until a user aborts the wait. |
| REMOTETPN<br>(Remote requests only) | UPSTREAM | No | The transaction program name to be used in initiating contact with the remote system. |
| REPORTNAME | US.RPT | Yes (if reporting) | The name of the file to write reporting information to. |
| REPORTOPTIONS | 0 | No | A bit map describing any of the report features you wish to enable:<br>1 = Files backed up/restored<br>2 = Files skipped during the backup<br>4 = Files automatically deleted<br>8 = Inquire versions<br>16 = Inquire files<br>32 = Specified parameters.<br>64 = Inquire versions summary only. |
| RESTARTTYPE | 0 | No | Specifies the action to be performed, at a restart point (usually the next time FDR/UPSTREAM is run), if there is a restartable error during a backup. Restores are automatically restartable:<br>0 = Never restart.<br>1 = Restart failed files and incomplete backups<br>2 = Restart only incomplete backups. |
| RESTOREARCHIVEBIT | N | No | If 'Y', archive bits are set for files that are restored. |

| Name | Default | Required | Description |
|---|---|---|---|
| RESTORECHECKPOINT | 120 | No | The number of seconds between automatic checkpoints when performing a restartable restore or a restartable physical disk backup. |
| RESTOREFILEFAIL<br>(Windows NT only) | 0 | No | Allows you to specify what to do if a file is locked when you attempt to restore it:<br>0 = Fail the file<br>1 = Restore the file to a generated name.<br>2 = Restore the file to a generated name, and rename the file to its original name after a reboot. |
| SENDHOSTDETAILS | N | No | N = Only the first line of an error is sent to the host.<br>Y = All message lines of a message are sent except additional description lines from the message file. |
| SKIP<br>(Restores only) | 0 | No | For restores using “List and Restore”, a set of options of how to process existing files:<br>0 = Restore all files regardless of whether there are existing files.<br>1 = Restore only files where there is no existing file of the same name.<br>2 = Restore only files where the existing file’s modification date/time and size are not the same.<br>3 = Restore only files which have been marked for FDR/UPSTREAM NetWare auto-recall.<br>4 = Restore only files which have 0 length.<br>5 = Restore only files which are older than those stored on disk. |
| SORTBACKUP | N | No | Sorts the backup in the same order as the host uses. May result in slightly reduced FILE_INFO overhead. |
| STORAGETYPE<br>(Backups only) | 3 | No | How the data is stored on the mainframe.<br>0 = Archive backup. Will be merged to tape when the next archive is performed on the mainframe.<br>1 = Keyed/duplicate backup. Stored on mainframe disk until rolled off.<br>2 = Sequential disk. Stored on mainframe flat files.<br>3 = Sequential tape. Stored directly to mainframe tape. |
| TIMEOVERRIDE<br>(Win32) | 0 | No | Overrides the time settings specified in the configuration for a given backup:<br>0 = Local time<br>1 = Standard time<br>2 = Daylight savings time<br>3 = Greenwich Mean Time |
| TRACE | N | No | Use only when instructed by FDR/UPSTREAM technical support. |
| TRANSLATE<br>(File Transfers only) | Y | No | Y = ASCII/EBCDIC translation is performed on all data (assumes text).<br>N = No translation of the data (assumes binary). |

| Name | Default | Required | Description |
|---|---|---|---|
| ULTRACOMP<br>(ULTra) | 4 | No | The maximum level of compression supported by ULTra:<br>0 = No compression<br>1 = Fast compression<br>2 = High compression 1<br>3 = High compression 2<br>4 = High compression 3 |
| ULTREG<br>(ULTra Backups only) | N | No | Whether the LAN Workstation Name will be registered to the host. |
| ULTUPD<br>(ULTra Backups only) | N | No | Whether the ULTra workstation can participate in automatic updates. |
| USECONTROLFILE | N | No | If 'Y' you can control UPSTREAM functions from an outside program via a pipe or file. |
| USERID | NONE | No | Your security identifier. This may be required by some MVS systems (see your system administrator). You can specify up to 32 characters. |
| UTF8<br>(Win NT/2000) | N | No | 'Y" = UTF-8 unicode encoding<br>'N' = UPSTREAM unicode encoding. |
| VERSIONDATE<br>(Restores only) | NONE | No | For a restore, if you specified LATESTVERSION=N, then you are required to specify a complete version date. This is usually used with an inquire versions command. The version date is exactly 12 numeric characters. |
| XFERECORDSIZE<br>(File Transfers only) | 8192 | No | The record blocking size for file transfers. Users will often use 80 for text files as well. |

**Table 1**
**FDR/UPSTREAM Overall Parameters**

| Name | Default | Required | Description |
|---|---|---|---|
| ARCHIVEBIT<br>(Backups only) | Y | No | Y = The archive bit is reset for all files successfully backed up.<br>N = The archive bit is not reset. |
| BANYANDISK<br>(Banyan Restores only) | NONE | No | The disk name to be used when a file service is being created. If you specify nothing, then the service will be created on the disk which it originally came from. This field is case sensitive and should be the UNIX disk spec. For example “/disk1". |
| BANYANSERVER<br>(Banyan Restores only) | NONE | No | The server name to be used when a file service is being created. If you specify nothing, then the service will be created on the server which it originally came from. |
| DATELIMIT<br>(Backups only) | N | No | Allows inclusion or migration of files based on modification date. If you enable this parameter you must also specify a LATESTDATE.<br>0 (or N): This feature is disabled.<br>1 (or Y): Files after the LATESTDATE (and LATESTTIME for UNIX) are included/migrated.<br>2: Files before the LATESTDATE (and LATESTTIME for UNIX) are included/migrated. |
| DAYSOLD<br>(Backups only) | 180 | No | The number of days (less 1) that a file has not been accessed before inclusion in the backup or migration. |
| DELETED | N | No | Not used at this time. |
| DELETEPROMPTS<br>(Backups only) | 0 | No | 0 = No prompts; delete the files without waiting (unattended).<br>1 = Prompt for each file before deleting<br>2 = Prompt for the files in each directory before deleting. |
| DESTINATION<br>(Restores only) | NONE | No | This parameter allows you to specify that files be restored to a different file or path name from which they were originally backed up. The wildcard specifications must match the wildcard specifications in the FILES parameter. You can specify up to 128 characters. |
| DIRDELETE<br>(Backups only) | Y | No | Y = If automatically deleting files, remove directories which ad all the files deleted.<br>N = Leave the directories which were just emptied. |
| DIRSONLY<br>(Restores only) | N | No | Whether ONLY directories and no files should be restored:<br>Y = Restore only directories (no files)<br>N = Restore files and directories. |
| DRIVEALIAS<br>(Backups only) | None | No | If specified, the drive letter transmitted to the host in lieu of the one specified in the FILES parameter. Allows you to move drive mappings without affecting merge backups. Specify a single letter (no trailing colon). |

| Name | Default | Required | Description |
|---|---|---|---|
| FILEDATE | NONE | No | The last modified date of a specific file to be restored. For internal use only. |
| FILEDELETE<br>(Backups only) | N | No | Y = Delete the files which were successfully backed up.<br>N = Do not delete the files which were successfully backed up. |
| FILES | NONE | Yes | The file specification to be backed up or restored or the name of the job to run. This can include wildcards. (If physical disk/FDRSOS backup/restore) For restores of physical disk backups, specify: <:\location*.* where location is the internal FDR/UPSTREAM physical disk form. For restores of FDRSOS backups, specify />_FDRSOS_BACKUP if the source was a UNIX disk or >:*.* if the source was a PC disk. |
| FILESOPENFORUPDAT | N | No | If 'Y', Win32 and OS/2 backups will use the DENY_WRITE file open attribute. For AIX, files open for update will not be included at all. Not supported for other UNIX systems. |
| HARDLINKS | Y (UNIX)<br>N (Win32) | No | Enables/disables hard links support for backups or restores. |
| HIDDENFILES<br>(Backups only) | Y | No | Whether hidden and system files should be backed up as well as normal files. |
| INCREMENTAL<br>(Non-merge backups only) | N | No | Y = Only those files with the archive bit set (files which have changed) will be backed up.<br>N = All files specified will be backed up. |
| LASTACCESS<br>(Backups only) | N | No | Y = Only include files which have not been accessed for the number of days (or more) specified in the DAYSOLD parameter.<br>N = Don't restrict by last access date. |
| LATESTDATE<br>(Backups only) | NONE | No | This parameter is only used if you specify DATELIMIT=Y. This field must be 8 characters and specify the date in the format MM-DD-YY. |
| LATESTTIME<br>(UNIX Backups only) | NONE | No | The time, within the LATESTDATE to back up the files. In HH:MM:SS form. |
| MIGRATED<br>(Obsolete - Use MIGRBITS instead)) | N | No | Y = Include migrated files in the restore.<br>N = Include only specified files in the restore. |
| MIGRBITS<br>(Restores only) | 0 | No | How migrated files should be treated in a restore:<br>1 = Include migrated files in the restore.<br>2 = Include only migrated files (no regular files). |
| MOUNTPOINTS<br>(Windows 2000) | N | No | 'Y' if you wish to include a mount point and all of its files in a backup.<br>'N' to exclude the mount point and all files from the backup. |

| Name | Default | Required | Description |
|---|---|---|---|
| NDS | N | No | Y = This is a NetWare Directory Services backup specification.<br>N = This is a non-NDS spec. |
| NONFILEDATABITMAP | 64511 | No | An integer which defines the types of non-file data FDR/UPSTREAM will attempt to backup or restore. The separate values are coded as powers of 2 and are then added to reach the total value. The default is all options on except 1024.<br>1 = OS/2 or NT extended attributes (files)<br>2 = OS/2 or NT extended attributes (dirs)<br>4 = Novell directory info<br>8 = Novell directory restrictions<br>16 = Novell directory trustees<br>32 = Novell file info<br>64 = *Novell file trustees<br>128 = *Banyan access rights lists<br>256 = Novell, HPFS or NTFS reset last access date<br>512 = Novell set archive date<br>1024 = The name specified in the FILES parameter is a StreetTalk name.<br>2048 = Banyan StreetTalk included.<br>4096 = Banyan file service files.<br>8192 = Banyan file Access Rights Lists<br>16384 = HPFS or NTFS ACLs<br>32768 = Windows NT registry files. |
| NOVELLMIGRATE<br>(Migration specs only) | N | No | Y = Leave a Novell migration stub instead of deleting the file after the file has been successfully backed up.<br>N = Delete the file after it has been successfully backed up. |
| NOVELLMIGRATEADDEXT<br>(Migration specs only) | N | No | (Novell auto-recall) If you have NOVELLMIGRATE enabled and specify 'Y', this option adds the extension ".UPSTREAM_MIGRATED" to all migrated stub files to keep Windows Explorer from recalling files in directory listings. |
| NTFSADDPERMISSION | Y | No | If enabled, and an UPSTREAM directory or file access fails for security on a NTFS volume, the user that UPSTREAM is running as will be added to the ACLs for that directory or file. |
| PLUGIN | None | No | Allows you to specify the name of a DLL or shared library which can be used to extend UPSTREAM's functionality. PLUGINs must be in the \plugin directory directly beneath the UPSTREAM directory. |
| PLUGINPARAMETERS | None | No | Parameters specific to the plugin. See the documentation for the plugin for more details. |
| RESTOREMOUNTPOINTS<br>(Windows 2000) | 0 | No | Whether or how Windows 2000 mount points are restored:<br>0 = Do not restore<br>1 = Verify before restoring<br>2 = Recreate if necessary<br>3 = Restore without verification |

| Name | Default | Required | Description |
|---|---|---|---|
| RETAIN<br>(Migration specs only) | 90 | No | In a migration spec, the number of days that the file should be merged forward onto new full backups. |
| ROOTENTRY | Y | No | 'Y' = A fake file: <Volume Information> will be created for Windows NT and NLM backups containing non-file data for the volume itself.<br>'N' = This file is not created. |
| SKIPOLD<br>(Restores only) | N | No | Y = Only those files which are newer on the mainframe will be restored.<br>N = All files will be restored. |
| SOSDISK | None | Yes | The source for backups and the destination for physical disk/FDRSOS restores, using the internal FDR/UPSTREAM physical disk format. |
| SOSTIMESTAMP | N | No | Y = Create a FDRSOS Timestamp file during the backup which is used at restore time to determine if a FDRSOS restore has been done if the Highlighted Back to FDRSOS restore option is selected.<br>N = Do not create a FDRSOS Timestamp file. |
| SOSTIMESTAMPPATH | None | No | If SOSTIMESTAMP=Y, if specified, this is the path where the FDRSOS timestamp file is written (for backups) or read from (for restores). If not specified the file is written/read at the specified backup directory. |
| SPECNUMBER | 1 | Yes | The header to this file set. Each file set begins with a SPECNUMBER definition with an ascending number starting at 1. |
| SPECTYPE | 0 | No | 0 = File specification is files to be included in the backup or restore.<br>1 = File specification is files to be excluded from the backup or restore.<br>2 = File specification is files to be migrated during the backup. |
| SUBDIRECTORIES | Y | No | Y = All subdirectories under the specified one will be checked for files which match the file specification.<br>N = Only those files in the specified or default directory which match the file specification will be transferred. |

**Table 2**
**FDR/UPSTREAM File Spec Parameters**

# 26.13. Environment Variables

FDR/UPSTREAM can be controlled in a variety of ways through environment variables. Environment variables are values which are set using the SET <variable>=<value> command from the command line.

You can also specify these values interactively within FDR/UPSTREAM, from a FDR/UPSTREAM parameter file, from the host, or from a new environment file (USENV.DAT) as well as the environment.

To specify these values interactively or to save these values to a configuration or parameter file, pull down the Action menu and select **Set Environment** from within FDR/UPSTREAM. You can also use the [ALT]N accelerator. This will display the environment parameters dialog.

**NOTE: Several environment variables are tested before USENV.DAT file is read or cannot be changed from host jobs. If you have questions about a particular environment variable, contact FDR/UPSTREAM technical support.**

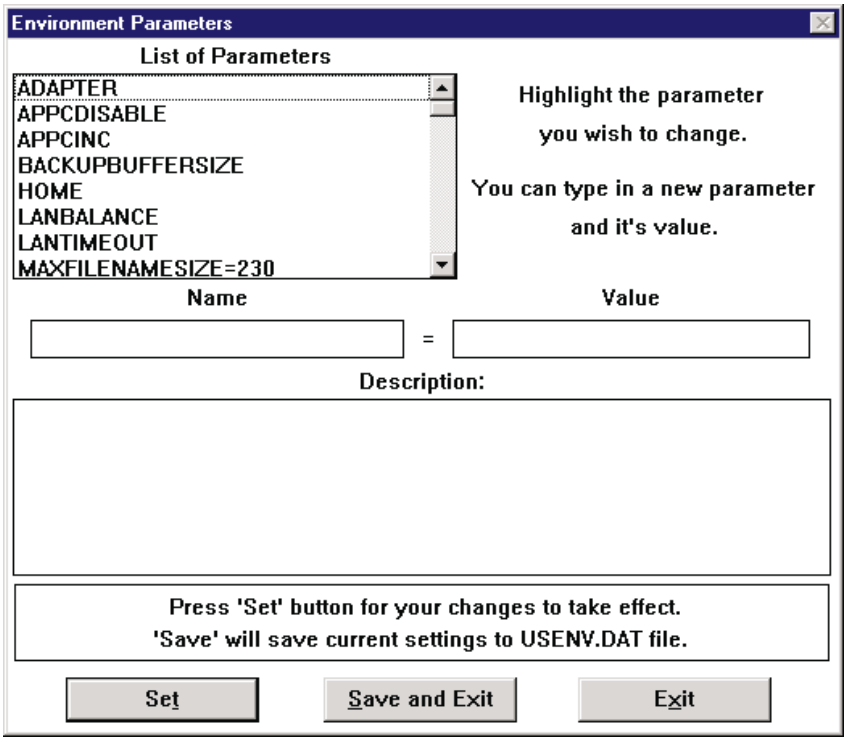



**Figure -**
**Set Environment Variables**

- **List of Parameters:** When you select a given environment parameter, the Name field is changed to display the selected name, the value has it's current value (blank indicates that it's not currently set) and the description contains a

description of the environment parameter. Note that parameters do not have to be in this list to be active, this list only contains those values with descriptions in the FDR/UPSTREAM help file (us.hlp).

- **Name:** The environment variable title.
- **Value:** This is the environment variable's value. If this value is blank, it reverts to its default.
- **Description:** Describes the environment variable. This list box is scrollable both horizontally and vertically (if necessary).
- **Set:** Press this button to use the specify name/value pair. When set, the value may be active immediately or may require a shutdown of FDR/UPSTREAM to become active, depending upon the variable. If the value needs to be retained between executions of FDR/UPSTREAM you should save it to a file.
- **Save and Exit:** Press this button to optionally save these settings. We recommend that you always use the default file name USENV.DAT.
- **Exit:** Press this button to leave the dialog without saving your settings to a file. Note that setting changes may already be in affect for this execution of UPSTREAM.

Environment variable settings most often are not in affect until UPSTREAM is restarted. Note that changes must be saved in parameter or configuration files to be placed in affect.

If you use USENV.DAT, it must be in the UPSTREAM directory as it is loaded immediately on UPSTREAM start (before configuration or parameter files).

Many of these parameters can be inserted into host jobs as well as defined locally and will now be recognized. At this time they must be manually inserted into the job stream but they are not positional and can be inserted anywhere. If they are inserted in host jobs, you should specify the USTBATCH parameter VERIFY=NO (above the workstation/server parameters) to keep USTBATCH from verifying these values against standard UPSTREAM parameters.

Many of these parameters must be actually defined in the environment. If you are unsure, contact UPSTREAM technical support.

Many of these values are listed in other sections. This table is given as a convenience to allow a single point of reference for these values.

| Name | Default | Description |
|---|---|---|
| ADAPTER<br>(ULTra NetBIOS) | 0 | The NetBIOS adapter number to use. 0 is the primary adapter; 1 is the secondary adapter. |
| APPCDISABLE | disabled | (DOS) If this variable exists, FDR/UPSTREAM will disable APPC/PC between calls. This may allow better session establishment. |
| APPCINC | disabled | (DOS) If this variable exists, FDR/UPSTREAM will use incomplete calls to APPC/PC which reduces the amount of time that FDR/UPSTREAM spends within each call. |

| Name | Default | Description |
|---|---|---|
| BACKUPBUFFERSIZE | 32768 | (OS/2, Windows, Windows NT and UNIX) The number of bytes requested in each file block read and write. Since BACKUPBUFFERSIZE is used for file I/O, the RECORDSIZE parameter is thus only used for blocking to the host, not in reading/writing data off the disk. Very large numbers may significantly degrade performance on Novell LANs. |
| HOME<br>(UNIX only) | None | The default UPSTREAM work path. |
| LANBALANCE | 0 (US)<br>1 (ULTra) | (ULTra) How the send/receive buffers are allocated by default:<br>0 = Mostly receive<br>1 = Mostly send<br>2 = Evenly |
| LANBUFFER | 4096 (OS/2 or Windows)<br>2048 (DOS) | (ULTra) The buffer size used for file I/O in LAN communications. |
| LANTIMEOUT | 0 | (ULTra) The receive/send timeout (in seconds) for both NetBIOS and IPX/SPX as well as both the UPSTREAM PC and the workstation. 0 indicates no timeout. |
| MAXFILENAMESIZE | 80 (DOS and Win 3.1))<br>230 (all others) | The maximum number of bytes that can be accepted in a file name. Range is 20...230. Smaller values reduce the backup file size, but may cause long names to be skipped. |
| NOMVSWILDCARDS | disabled | Use for successful inquiries and restores when using FDR/UPSTREAM PC v2.3.3 or later and FDR/UPSTREAM MVS v2.3.1 or earlier. |
| NOSAP | disabled | (ULTra) The default is to use the NetWare Service Advertising Protocol, where each workstation registers itself once a minute with every file server on the internetwork. You can disable this feature to reduce the amount of overhead on the internetwork by specifying a value for NOSAP. However, you will lose the ability to cross bridges and routers. |
| NUMBACKUPTHREADS | 3 | (OS/2 and Windows NT) The maximum number of file open threads that will be started and running. Specify 1 to disable the multithreaded facility. Max value is 50. |
| NUMECBS | 10 | The number of event control blocks used in the data transfer. A larger number increases LAN performance at the expense of memory. Do not set this value lower than 5 or greater than 254. |
| RMTWAIT | disabled | (OS/2) If this variable exists, multithreading will be disabled. Used for checking for remote allocates. |
| SERVERTYPE | 29631 | (ULTra) When using the Service Advertising Protocol, this is the TYPE advertised by the workstation. It is specified in inverted byte format. |
| SOCKET | 9026 | (ULTra) The IPX socket used for communications. If you have a socket conflict, specify a different value. This value is in inverted byte order. |

| Name | Default | Description |
|---|---|---|
| STLITE | disabled | (Banyan) If this variable exists, FDR/UPSTREAM will not include Banyan security information or Banyan extended attributes (OS/2 EAs are managed separately) in the backup. |
| TRCBUF | 1024 | The number of bytes used for trace buffering (trace buffering is disabled when TRCFLUSH is enabled). |
| TRCCONSOLE<br>(Win32 only) | disabled | If enabled, tracing is written to a separate window. |
| TRCFAST | disabled | If this variable exists, buffer data will not be traced. |
| TRCFLUSH | disabled | If this variable exists, the trace information is flushed to disk after each message. Slows the trace significantly but should be used if there is a chance that FDR/UPSTREAM will crash. |
| TRCMEM | disabled | If this variable exists, memory tracing will begin immediately upon FDR/UPSTREAM start. This tracing is extensive. The trace file(s) may be placed in different directories than if the trace is activated later. |
| TRCNOPIPE<br>(OS/2 and Win32 only) | disabled | If enabled, tracing is written in the same way as for other version of UPSTREAM. |
| TRCNOTCP<br>(NLM) | (disabled) | If enabled, TCP tracing will not be used. |
| TRCPRN | disabled | If this variable exists, trace information is written to LPT1:. |
| TRCSCR | disabled | (DOS) If this variable exists trace information is written to the screen rather than the trace files. |
| TRCSIZE | 100000 | The number of bytes that will be written to a trace file before it wraps to the alternate file. |
| TRCTCP<br>(NLM and Win32 only) | Enabled (NLM)<br>Disabled (Win32) | If enabled, tracing is accomplished via TCP/IP to a cooperating program (TRCTCP.EXE). |
| TRCTYPE | 0xffff (all tracing) | The tracing level. Allows you to selectively enable tracing. Specified as a bit map, this value can be specified in decimal or hex (if hex, there must be a leading 0x). Values are:<br>0x0001 : Novell<br>0x0002 : Banyan<br>0x0004 : ULTra<br>0x0008 : File<br>0x0010 : Host communications<br>0x0020 : Backup/restore/file transfer/submit job<br>0x0040 : Memory allocations<br>0x0080 : Block tracing (regardless of package)<br>0x0100 : Error logging<br>0x0200 : Parameter management<br>0x0400 : Win 32s |

| Name | Default | Description |
|---|---|---|
| ULTRACOMPR<br>(ULTra workstations only) | 4 | The maximum compression level to perform on the workstation.<br>0 = No compression<br>1 = Fast compression<br>2 = High compression 1<br>3 = High compression 2<br>4 = High compression 3 |
| UPSTREAMPATH<br>(UNIX only) | none | The path used to find the UPSTREAM resource and help files. |
| USAPPC | auto detect | (Windows) Which APPC will be used.<br>DCA = Use DCA IWW<br>NSA = Use NetSoft's DynaComm APPC<br>NSDOS = Use IBM's NS/DOS or NS/Windows<br>NWSAA = Use Novell's NetWare for SAA<br>RUMBA = Use Rumba APPC Tools<br>WINNT = Use Microsoft SNA Server (NT OS)<br>ATTACHMATE = Use Attachmate APPC. |
| USASYNCHOSTCOMM | Not defined | If defined, FDR/UPSTREAM will multitask its communications with the host. |
| USBACKUPOPENNOSHARE | disabled | If enabled, files backed up are opened denying other users access to them. |
| USBANIGNOREMAPERROR | Not defined | If defined, drive mapping errors will be logged but will not stop the backup. |
| USBLOCKWRITERESTORES | (Not defined) | (Windows NT) If defined, UPSTREAM will block writes for restores into BACKUPBUFFERSIZE (if defined in the environment) or 16K blocks. |
| USBUFFEREDFILEIO | (Not defined) | (Windows NT) If defined, UPSTREAM will use buffered I/O for file access. The default is non-buffered I/O which is slightly faster. |
| USCANCELTIMELIMIT | (Not defined) | For UPSTREAM error boxes where the Ok button changes to Cancel (for file deletions for example), the number of seconds to wait before timing out and automatically performing the process. This overrides the Messages Time Out value specified in the configurator. |
| USCLOSEREMOTECHECKINTERVAL | 250 | How often UPSTREAM checks for remote initiates (in milliseconds) during shutdown. |
| USCOMPRESSSTACK | 15000 | The size of the internal high decompression stack. Increase it if you get errors in high decompression; decrease to save memory. |
| USDATAGRAMTO | 5 | (SetNov.EXE) The number of seconds that SetNov will wait to detect NetBIOS connected active ULTra workstations. Increase this value if you do not see all your workstations when entering the ULTra profile facility. |
| USDELETETIME | 10 | The number of seconds between when the backup completes and file deletes begin that you can abort the entire deletion process. |

| Name | Default | Description |
|---|---|---|
| USDONTRESTOREDIRABOVE | disabled | (V2.5.6c) If enabled, UPSTREAM will not restore directories above when they are created. |
| USDONTTESTLOSTSERVER | (Not defined) | (Windows NT)(v3.0.1b) If defined, UPSTREAM will not attempt to detect when a server connection has been lost. |
| USFILEBACKUPSEMANTICS | (Not defined) | (Windows NT) If defined, UPSTREAM will use the BackupRead function instead of ReadFile to read normal file data. |
| USFILECHARSET | 0 | (Windows NT) If defined, specifies the Windows NT character set number for the USFILEFONT specified font. Values are:<br>0: ANSI_CHARSET<br>1 : DEFAULT_CHARSET<br>2 : SYMBOL_CHARSET<br>77 : MAC_CHARSET<br>128 : SHIFTJIS_CHARSET<br>129 : HANGEUL_CHARSET<br>130 : JOHAB_CHARSET<br>134 : GB2312_CHARSET<br>136 : CHINESEBIG5_CHARSET<br>161 : GREEK_CHARSET<br>162 : TURKISH_CHARSET<br>177 : HEBREW_CHARSET<br>178 : ARABIC_CHARSET<br>186 : BALTIC_CHARSET<br>204 : RUSSIAN_CHARSET<br>222 : THAI_CHARSET<br>238 : EASTEUROPE_CHARSET<br>255 : OEM_CHARSET |
| USFILEFONT | (Not defined) | (Windows NT) The file specification font to use. This can be a monospace or proportional font. Format:<br><size>.<Font name><br>For example, 12.Terminal<br>The default is the System font. |
| USFILEMAPPING<br>(Win32 only) | disabled | If enabled, file mapping is used to improve file access performance. See the USNOFILEMAP environment variables for modifying the behavior of file mapping. |
| USFILENOASYNC<br>(Win32 only) | disabled | (V2.5.6c) If enabled, UPSTREAM will not use asynchronous I/O to improve backup performance. |
| USFILEOPENRETRY | 1 | Specifies the maximum number of times that FDR/UPSTREAM will attempt to open a file if the file open fails. Specify if you believe that file opens may succeed if retried. Retries are separated by 1 second intervals. The default is 0 (no retries). This parameter must come from the environment (can not come from the environment file) |
| USFILESERVERERRORRETRY | 30 | The number of seconds that UPSTREAM will wait for the server to reconnect before determining that the backup/restore must be fatally terminated. |
| USFORCEDCA<br>(Windows Attachmate only) | disabled | If specified, ignores the return code from WinAPPCStartup and assumes proper install of the APPC software. |

| Name | Default | Description |
|---|---|---|
| USHARDLINKSET | 65535 | The maximum number of hard link files that can be restored in a secondary hard link restore pass. |
| USLAPS<br>(OS/2 NetBIOS ULTra) | disabled | If specified, force the use of the LAPS interface rather than the LAN Server interface. |
| USLISTINCR | 250<br>(25 for DOS) | The number of additional lines before/after the line which caused a List and Restore or Restore file list to be refreshed. |
| USLISTTHRESH | 10 | The number of lines between the highlighted item and the top or bottom of a List and Restore or Restore file list when the list is refreshed with previous or subsequent lines. |
| USLISTWINDOW | 500<br>(50 for DOS) | The maximum number of lines that will be displayed in a List and Restore or Restore file list. Note that there is a bug in OS/2 v2.1 where 1000 or more entries in any list box will make OS/2 unstable. |
| USLOGASYNCRETRIES | (Not defined) | A performance measurement tool. If defined, UPSTREAM will log the number of retries for each file - showing the amount of potential overlap. |
| USMAPFILEREGIONSIZEMB<br>(32-bit windows only) | 8 | The number of megabytes FDR/UPSTREAM will use for a file mapping buffer when it uses file mapping to improve backup performance. |
| USMAXBACKUPSIZE | (Not defined) | Restricts the maximum size of a backup in bytes. |
| USMAXCPU | (Not defined) | (Unix Systems Services) Sets the maximum CPU time. |
| USMAXFILEHANDLES<br>(OS/2 only) | 50 | The maximum number of simultaneously open files. This value may need to be increased if you specify a large NUMBACKUPTHREADS. |
| USMEMORYMESSAGEDISABLE<br>(v3.1.0b) | (Not defined) | If defined, UPSTREAM will take as much as 1MB less memory at the expense of slightly slower message logging. |
| USMEMSUBALLOCBLOCKSIZE<br>(OS/2 32-bit only) | 16384 | The size that UPSTREAM uses for internal memory suballocation. |
| USMEMSUBALLOCLOWTHRESHOLD<br>(OS/2 32-bit only) | 24 | The smallest memory block that UPSTREAM 32-bit memory allocation will allow. |
| USMEMSUBALLOCHIGHTHRESHOLD<br>(OS/2 32-bit only) | 2040 | The largest memory block that UPSTREAM 32-bit memory allocation will internally manage. |

| Name | Default | Description |
|---|---|---|
| USMONOCHARSET | 255 | (Windows NT) If defined, specifies the Windows NT character set number for the USMONOFONT specified font. Values are:<br>0: ANSI_CHARSET<br>1 : DEFAULT_CHARSET<br>2 : SYMBOL_CHARSET<br>77 : MAC_CHARSET<br>128 : SHIFTJIS_CHARSET<br>129 : HANGEUL_CHARSET<br>130 : JOHAB_CHARSET<br>134 : GB2312_CHARSET<br>136 : CHINESEBIT5_CHARSET<br>161 : GREEK_CHARSET<br>162 : TURKISH_CHARSET<br>177 : HEBREW_CHARSET<br>178 : ARABIC_CHARSET<br>186 : BALTIC_CHARSET<br>204 : RUSSIAN_CHARSET<br>222 : THAI_CHARSET<br>238 : EASTEUROPE_CHARSET<br>255: OEM_CHARSET) |
| USMONOFONT<br>(OS/2 and Windows only) | System mono-space font | The mono space font to use. Format:<br><size>.<Font name><br>Windows uses 12.Terminal |
| USMSGQDEPTH | 8 | (Windows) The application message queue depth. The default is 8; the range is 8..255. |
| USNO32<br>(Windows and Windows NT only) | None | If specified, disables all 32-bit file access. It is recommended that if you wish to disable Win 32s that you use USNO32FILE. |
| USNO32FILE<br>(Windows and Windows NT only) | None | If specified, disables 32-bit file access. |
| USNOACL | disabled | (OS/2) If specified, disables the loading of OS/2 ACL access. |
| USNOBAN | disabled | If specified, disables the loading of Banyan drivers. |
| USNODFSCHECK | Not defined | If defined, UPSTREAM will not performed DFS checks (used to determine if registry checks should be skipped for DFS shares). |
| USNODIRDELETE | Not defined | If defined, directories will not be removed during file deletions or migrations. |
| USNODLLCLEANUP | disabled | (Windows) Use when using a Windows APPC which does not properly handle its DLL being freed. |
| USNOFILEMAPLOCKEDFILES<br>(32-bit Windows only) | disabled | If enabled and USFILEMAPPING is defined, UPSTREAM will honor record locks during file reads. |
| USNOFILEMAPNOVELLFILES<br>(Win32 only) | disabled | If enabled and USFILEMAPPING is defined, UPSTREAM will not use file mapping on Novell drives. |
| USNOFILEMAPWINDOWS95FILES<br>(Win32 only) | disabled | If enabled and USFILEMAPPING is defined, UPSTREAM will not use file mapping on Windows 95 machines. |

| Name | Default | Description |
|---|---|---|
| USNOIPXSPX<br>(ULTra only) | disabled | If specified, then the IPX/SPX interface will not be used for ULTra servers/requestors. |
| USNONETBIOS<br>(ULTra only) | disabled | If specified, then the NetBIOS interface will not be used for ULTra servers/requestors. |
| USNONEWNWDLLS | disabled | (Novell OS/2 and Windows) Forces UPSTREAM to load only the old NetWare DLLs (NWCALLS, NWLOCALE, NWNET). |
| USNONOV | disabled | If specified, disables the loading of Novell drivers. |
| USNONOVERR | (Not defined) | If defined, UPSTREAM will not attempt to write or get error information for Novell errors. This may improve performance if you have a number of Novell errors you wish to suppress. |
| USNOOLDNWDLLS | disabled | (Novell OS/2 and Windows) Forces UPSTREAM to load only the new NetWare DLLs (CALoooss, LOCoooss, NEToooss, and CLXoooss where ooo is OS2 or WIN and ss is either 16 or 32). |
| USNOREUSEADDR<br>(v3.1.0b) | (Not defined) | (Non Windows) If defined, UPSTREAM will not enable the SO_REUSEADDR socket option. This may potentially lock out inbound sockets after UPSTREAM terminates. |
| USNORMT | disabled | Disables check for remote initiates. |
| USNOSETTREE | (Not defined) | (Novell) Keeps UPSTREAM from obtaining and setting the NDS tree on login. |
| USNOTCP | (Not defined) | (UPSTREAM) Disables TCP/IP for all functions (including the remote java interface).<br>(ULTra) If specified, then the TCP/IP interface will not be used for ULTra servers/requestors. |
| USNOTCPBLOCKINGHOOK | (Not defined) | (32-bit Windows)(v3.0.1b) If enabled, UPSTREAM will not install a TCP/IP blocking hook and will thus become much less responsive when blocked on a send or receive call. |
| USNOUNICODE | (Not defined) | (Windows NT) If specified, UPSTREAM will not use unicode names internally. This may cause files with non-ASCII characters to be skipped. |
| USNOUNICODETRANSLATION | (Not defined) | (Windows NT) If specified, UPSTREAM will not mangle file names which contain non-ASCII characters. |
| USNOVFORCECOMPRESS<br>(Novell only) | disabled | When set to any value, UPSTREAM will set the COMPRESS_IMMEDIATE attribute (forcing the file to be compressed) if the file is already compressed. This will assure that after a backup or a restore no additional disk space will be required. |
| USNOVREPAIRSTUBS | (Not defined) | (Novell auto-recall) If a stub file may have been damaged (for example, by accessing the files without having the recaller loaded), enabling this environment variable will have UPSTREAM fix the damaged stubs automatically. |

| Name | Default | Description |
|---|---|---|
| USNOVWAITTOSET | 0 | (Novell) Specify a number of seconds UPSTREAM will delay before resetting file date information to get around a NetWare v4 bug where last access dates can't be reset for compressed files. |
| USNOWINTCPBLOCKINGHOOK | (Not defined) | (Windows) If defined, UPSTREAM will disable the TCP/IP blocking hook which allows it to respond to the operating system while waiting for TCP/IP operations. |
| USOLDDESCFLAG | disabled | If specified causes FDR/UPSTREAM to send a 1 byte flag in the backup description rather than a 4 byte flag. This is only necessary if the host is reporting backup description flag length errors. |
| USPIPEOPENRETRY (32-bit Windows only) | 1 | Specifies the maximum number of times that FDR/UPSTREAM will attempt to open a pipe if the pipe open fails. Specify if you believe that pipe opens may succeed if retried. Retries are separated by 1 second intervals. The default is 0 (no retries). This parameter must come from the environment (can not come from the environment file) |
| USPGM | US.EXE | (DOS only) Name and path of the FDR/UPSTREAM child program to be run by the TCP/IP parent program. |
| USRAWDISKSKIPTYPES | disabled | If specified, these are the raw disk types to be skipped:<br>1 = Operating system disk access.<br>2 = ASPI<br>4 = UNIX raw disk. |
| USRAWNOOVERLAP (v2.5.6c) (Win32 only) | disabled | If enabled UPSTREAM will not use overlapped I/O's for raw disk access (physical disk and UPSTREAM/SOS). |
| USREADTOSKIP (32-bit Windows only) | Not defined | If defined, FDR/UPSTREAM will skip throughout data streams by actually reading the data rather than seeking throughout the data stream. This should only be enabled on systems with known problems with BackupSeek (NT v3.1 for example). |
| USREMOTECHECKINTERVAL | 15000 | How often UPSTREAM checks for remote initiates (in milliseconds). |
| USREMOTECHECKNODISPLAY | disabled | If enabled, the "Doing remote check" message is disabled. |
| USREMOTENTEVENTLOGS (32-bit Windows only) | disabled | If enabled, NT event logs on non-local systems will be included in the backup. |
| USRMTCNTLTRACE | (Not defined) | Enable only on request of technical support. |
| USSIRECORD | None | Allows you to specify a side info table entry name for CPIC. |
| USSMSCHECKCRC | (Not defined) | (SMS) If defined, UPSTREAM will check the CRC (if generated in the backup with the USSMSGENCRC environment variable) for data integrity. This will degrade performance slightly |
| USSMSEXPANDCOMPRESS | (Not defined) | If enabled, UPSTREAM will expand compressed data during SMS backups. This will result in slightly poorer performance and increased CPU overhead. |

| Name | Default | Description |
|---|---|---|
| USSMSFILEREPORT | (Not defined) | (SMS) If defined, UPSTREAM generate a report file (SMSFILES.LOG) which shows the UPSTREAM name and the Novell names used during backup and restore. |
| USSMSGENCRC | (Not defined) | (SMS) If defined, UPSTREAM will generate a CRC during backup for data integrity checking during restore (requires SMSCHECKCRC during the restore). This will degrade performance slightly. |
| USSMSLONGNAMES | (Not defined) | (SMS) If defined, UPSTREAM will support Novell names of up to 512 bytes by truncating the name and putting in a checksum name terminator. The mangled name may be difficult to restore, and cannot be restored to a new location. |
| USSMSNOLONGNAMESPACE | (Not defined) | (SMS) If defined, UPSTREAM will not attempt to record the long name (if any) for files or directories. This may improve performance slightly. |
| USSOSBUFFERSIZE | 32768 | The data size used for reads/writes to the local backup disk for UPSTREAM/SOS. |
| USSOSNOOVERLAP<br>(v2.5.6c) (Win32 only) | disabled | If enabled UPSTREAM will not use overlapped I/O's for UPSTREAM/SOS. |
| USSTDWINDOW | Not defined | If defined, UPSTREAM will use the prior method for waiting for remote functions. |
| USSUSPENDPERCENT | disabled | For testing purposes only. |
| USTAKEOWNERSHIP<br>(NT v3.0.1k) | disabled | Only active if **NTFSADDPERMISSION** is on, attempts to take ownership of the file or directory on behalf of the Administrators group if denied access for security. |
| USTAKESECURITY<br>(NT v3.1.0k) | disabled | Only active if **NTFSADDPERMISSION** is on and access is still denied, attempts to remove all existing ACLs and create a single ACL for full control by the Administrators group. |
| USTCP | Automatic | (OS/2 only) Allows you to specify the TCP/IP vendor. If not specified, UPSTREAM will automatically determine the vendor:<br>IBM : IBM TCP/IP v2.0 or greater.<br>NOVELL: Novell LAN Workplace for OS/2. |
| USTCPDGSOCKET<br>(ULTra only) | 8996 | The socket ULTra will use for datagram communications. |
| USTCPINT | 102 | (DOS only) TCP/IP parent program to UPSTREAM communications interrupt. |
| USTCPIPTRC | disabled | (OS/2 only) Enables low-level (USTCPIP.EXE) tracing. Trace file is USTCPIP.TRC. TRCFLUSH environment variable is used. |
| USTCPNAGLE | (Not defined) | (UPSTREAM/SOS, TCP/IP) If defined, UPSTREAM will use the Nagle algorithm to send "keep alive" messages to the host. |

| Name | Default | Description |
|---|---|---|
| USTCPSOCKET<br>(ULTra only) | 8995 | The socket number ULTra will use for connection oriented communications. |
| USTCPWINSOCKVERSION | 514 | (32-bit Windows) Enter the version of TCP/IP WINSOCK you wish to use. Version 1.1 is 257 (100 hex plus 1); version 2.2 is 514 (200 hex plus 2). |
| USTCPTRC | disabled | Enables mid-level UPSTREAM TCP/IP tracing. |
| USTRACE | disabled | Activates tracing before configuration/parameter processing. |
| USTRACELOADNETWARE | disabled | (Novell) Use only on instruction of UPSTREAM technical support. |
| USTRACEWIN32 | disabled | (Windows/NT) Use to enable Win32 Thunk tracing. |
| USUNICODEPAGE | 0 | (Windows NT) Allows you to specify the unicode page used for internal translation. The default is 0 (ANSI). Current Microsoft definitions include 1 for the OEM codepage and 2 for the Mac codepage. |
| USUSE16BITACCESS<br>(OS/2 32-bit only) | disabled | Forces use of the 16-bit OS/2 system access routines. |
| USUSE32BITNETBIOS<br>(OS/2 32-bit ULTra only) | disabled | If enabled, forces the use of the 32-bit NetBIOS interface. |
| USUSEINODETIMEFORINCR<br>(UNIX only) | disabled | If enabled, the I-Node time is used as well as the last modified time to indicate whether a file has changed (in incremental backups). NOTE: If you use this method and then perform restores, all restored files will be backed up on the next backup. If you restore a large number of files you should immediately after the restore perform a small non-relevant backup using the same backup profile to have the incremental date/time file updated. |
| USUSELINEDRAW | (Not defined) | UPSTREAM will automatically disable the use of line drawing characters (used predominantly in list and restore) if USMONOCHARSET is defined. By defining this variable to any value, UPSTREAM will use line drawing characters. |
| USUSEWIN32ALTERNATEFILENAMES | disabled | (Windows NT and Windows 95 ULTra only) UPSTREAM will use the mangled file name rather than the long file name. |
| USWINTCPBLOCKINGHOOK | (Not defined) | (32-bit Windows) If enabled, UPSTREAM will install a TCP/IP blocking hook and will thus become more responsive when blocked on a send or receive call. This parameter can not be specified if you are using remote status updates (Java client). |

# 27 SECURITY CONSIDERATIONS

## 27.1. Overview

FDR/UPSTREAM offers unique security features for a product of its type. All FDR/UPSTREAM functions require a security clearance. FDR/UPSTREAM security is controlled centrally on MVS and integrates into your in-house security package which can include ACF-2, RACF and TOPSECRET and locally through the personalization facility.

Using central security, there are two different levels of security. The lowest security level (level 1) is comparable to a TSO logon. It allows you access to FDR/UPSTREAM data storage. Level 1 security is recommended for environments where minimal security checks are sufficient.

Level 2 security allows you to perform comprehensive security checks. You can allow or not allow individual users access to data stored in particular backup profiles.

See the FDR/UPSTREAM MVS User’s Guide for more information concerning setting and maintaining data set security.

Requests of local UPSTREAM services can also be secured. This chapter discusses these methods.

# 27.2. User IDs and Passwords

The most common form of security protection for computer systems is a combined user ID and password. For FDR/UPSTREAM workstation/server, there are user ID and password fields on all screens which communicate with FDR/UPSTREAM MVS.

Both the user ID and the password are 8 characters. When you enter the user ID, the text is displayed; when you enter the password, the cursor moves but the characters you enter are not displayed.

When you save a parameter file which contains a password, it is stored to disk encrypted with a special algorithm which assures that none of the characters end up as control characters which avoids issues like errant line feeds and end of file markers.

The unattended nature of FDR/UPSTREAM requires that passwords be stored. If you wish to not store your password, there is an UPSTREAM advanced configurator option **Do Not Save Passwords to Parameter Files** which suppresses this feature.

Passwords must always be entered once when running in attended mode. This assures maximum security in attended mode and that changed passwords are reflected in unattended mode.

If you wish, you can specify a password unencrypted using the parameter entry schemes which can include parameter file, command line, and environment. FDR/UPSTREAM codes a flag with encrypted passwords so that it can tell when a password is unencrypted.

Thus, if you wish to integrate FDR/UPSTREAM with a security package of your own, or you wish to enter a different password when you run the FDR/UPSTREAM program, you can do this. For example, to start FDR/UPSTREAM with a different password than in the default data file (say TEST1), you could enter:

```
US PASSWORD=TEST1
```

# 27.3. Personalization

The personalization facility is an UPSTREAM configurator function which allows an administrator to limit access to specific UPSTREAM functions. It is described in detail in the Management chapter.

Some of its very powerful features include:

- Checkboxes to limit the access to virtually every UPSTREAM function.
- **Restrict only for PC Initiated:** If you specify this, all the personalization check boxes will apply only to workstation/server initiated functions; all host initiated functions will be accepted.
- **Load User Personalizations:** If you check this box, you must have separate personalization files for each user, activated when they log in. The personalization file name is the user's host login name with .ser extension in the work path directory. This is particularly useful for enforcing security on a multi-user system when running a single copy of FDR/UPSTREAM.
- **Time-out Host Security Login:** If you check this box, a user's login will time out after 30 minutes of inactivity.
- **No dest. Changes:** If you check this box, a user cannot redirect a restore to a different drive or directory. This allows your system's existing security system to enforce access to files. When possible, the UNC name (universal naming convention) name for the device is used to keep users from redirecting drives under the covers.
- **Preset Backup Profile:** Allows you to specify a specific backup profile, thus keeping the user from viewing or restoring data that they do not have permission to access.
- **Password:** Each copy of UPSTREAM can be locally password protected.
- **Specific Directory:** You can limit backups/restores to a specific directory (and below). This assures that your users are restricted to access to their home directories.

Since the personalization facility can be a powerful way to limit access to specific functions, we highly recommend it's use.

Remove access security within Personalization is discussed in the next section.

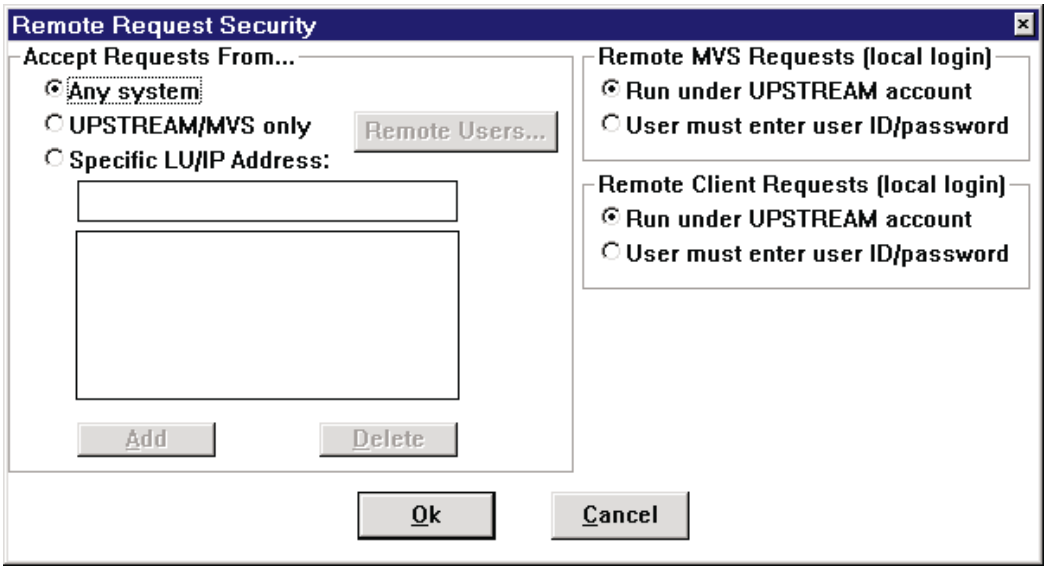
# 27.4. Remote Security

UPSTREAM typically must run as the "super-user" on your system to be able to perform backups, restores, run jobs, etc. This leaves a security hole which should be filled.

The most powerful facility in Personalization is available when you press the **Remote Security** button in the Personalization dialog in the UPSTREAM configurator. The Remote Security features in UPSTREAM allow you to secure your machine completely against unauthorized accesses.

Note that you are not required to use these new options and all existing functions will work with your current personalization files. However, new installations using the supplied default us.ser file require that client users must supply a local user ID/password (login).

Also note that most of these features require that UPSTREAM be run as a super-user (root for UNIX or Administrator for Windows NT/2000).




The **Accept Requests From...** frame indicates which systems remote requests can be accepted and processed from.

- **Any system.** UPSTREAM will accept remote requests from any system - there will be no filtering by LU name/IP address. This is the default.

- **UPSTREAM/MVS only:** UPSTREAM will accept remote requests only from the system defined in the UPSTREAM configurator.

- **Specific LU/IP Address:** Allows specification of which systems UPSTREAM will accept remote requests from. When you check this radio button the edit field and the list box below are activated.

  To add systems to include/exclude, enter the LU name, or IP address (in either dotted decimal or DNS name server form) in the edit field and press the **Add** button. To remove systems, highlight the system in the list and press the **Delete** button.

- **Remote Users...** This button is activated when you select the *UPSTREAM/MVS only* or *Specific LU/IP Address* options. If you have selected *Specific LU/IP Address* the dialog affects the system highlighted in the list box. This button displays a dialog which allows you to specify/modify additional options specific to this remote system (see below).

  The other two frames address the local system authorization that the user has after being granted access to UPSTREAM.

  UPSTREAM now supports impersonation for remote users given access. Since UPSTREAM must generally run as the super-user, supervisor, administrator, etc., you can now require that users perform a login (the **User must enter user ID/password** option) and then work under the restrictions of this login.

  For example, if you require that the user login and they wish to restore files in a location where they have no rights, the operating system will deny access to these files. This is particularly important for running jobs, as, by default, the jobs will run under UPSTREAM security.

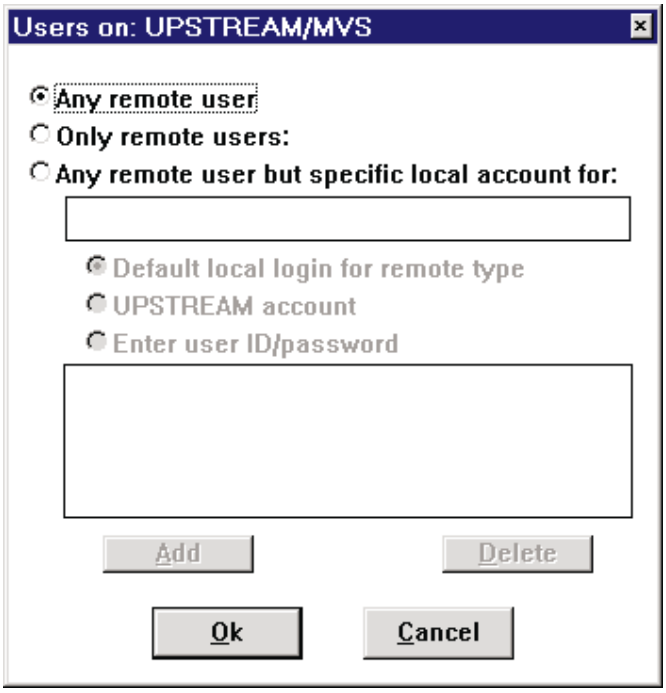
  Note that the default for UPSTREAM/MVS system requests is the **Run under UPSTREAM account** option. This is to allow existing applications to function. For new installations the default for client requests is to require the user to login.

  These frames specify defaults. You can modify the defaults for specific users/systems in the **Remote Users** dialog (below).

  The **Remote MVS Requests (local login)** frame specifies the security that remote requests from the system configured for UPSTREAM/MVS work under. The **Remote Client Requests (local login)** frame specifies the security that remote requests from any other system work under.

### 27.4.1. Remote Users

You can press the **Remote Users...** button when you select the *UPSTREAM/MVS only* or *Specific LU/IP Address* options. If you have selected *Specific LU/IP Address* the dialog affects the system highlighted in the list box. This button displays a dialog which allows you to specify/modify additional options specific to this remote system:




The user that is referred to in this dialog is the user currently logged onto the remote system

- **Any remote user:** Press this radio button if you wish to allow all users on the remote system access to UPSTREAM.

- **Only remote users:** Press this radio button to specify the remote users you wish to allow UPSTREAM access on this system.

- **Any remote user, but specific local account for:** Press this radio button to allow all remote users access to UPSTREAM but modify the login type of specific users.

If you check *Only remote users* or *Any remote user, but specific local account for*, then the edit field, the list box and the radio buttons become active. In the edit field, enter the name of the user on the remote system who you wish to specify access attributes.

The radio buttons modify the login requirements for the given user:

- **Default local login for remote type:** The login for this user will be as specified in the Remote Request Security dialog for the system type the user is connecting from (Client or MVS).

- **UPSTREAM account:** This user will run under the UPSTREAM account.

- **Enter user ID/password:** This user must logon.

Press the **Add** button to add the user and the login attributes to the list. When you highlight a user in the list the edit field displays the user's name and the radio buttons reflect the login attributes. You can remove a user from the list by highlighting the user and pressing the **Delete** button.

When you have completed your user changes press the **Ok** button to return to the Remote Request Security dialog. Press the **Cancel** button to exit without saving changes.

From the Remote Request Security dialog, press the Ok button to return to the Personalization dialog. Pressing the **Cancel** button will ignore all Personalization changes and return you to the Configuration main window.

### 27.4.2. Notes

There are several new overall parameter file parameters for Remote Request Security:

| Name | Default | Required | Description |
|---|---|---|---|
| LOCALPASSWORD | None | No | The password of the remote user who wishes to logon to this system. |
| LOCALUSER | None | No | The local user name of the remote user who wishes to logon to this system. For Windows NT, you can specify domain/user. |

There are a number of differences in the way that Remote Request Security is processed within UPSTREAM for each operating system. These include:

- **Windows NT:** If you enable Remote Request Security you must enable the **Login as a batch job** privilege for the UPSTREAM user in the Windows NT User Manager.

  By default, the login will use the local account database. To specify a login to any given domain (including the local one for Windows 2000), specify for the user:

  `domain/user`

- **NetWare:** Novell Profiles are still required for the NLM version to specify login attributes. However if personalization requires the user logon, the LOCALUSER and LOCALPASSWORD parameters must be specified.

  If you are using Windows NT and Novell Profiles, remote request security may be impossible to set up as both a Novell Profile login and a Windows NT login are required - and their naming conventions are dramatically different. In this case we recommend using the NLM version or a system for which there is no local security (Windows 95/98, or OS/2) for remotely secured requests.

- **Unix:** No special considerations.

- **All others:** Local logins are not supported. However, remote requests are still rejected for invalid LU name/IP address.

End-User Restores and the Request Remote Function dialog includes fields for entry of remote login name and password.

Note that you should secure the us.ser Personalization file with operating system security so that the Personalization options are not modified by users.

# 28 Running More Than One Copy

You may choose to run FDR/UPSTREAM in a multi-user/multi-processor way to:

- Improve performance on a FDR/UPSTREAM server by running more than one backup at a time.
- Allow multiple users on a server access to a single copy.
- Allow multiple users on a multi-user system access to the single copy.

In all multi-user or multi-tasking operating systems (Windows NT, Windows 95, OS/2, UNIX) you can run more than one copy simultaneously if all control and executable files are separated. The next section describes this method.

For OS/2 and Windows NT, there is a multi-user facility designed to handle all three cases above. We recommend in any situation where you wish to provide multi-user/multi-tasking functions in these operating systems that you use the enhanced multi-tasking described.

# 28.1. Separating Multiple Copies

One of the ways to improve performance with FDR/UPSTREAM is to run multiple copies at one time. This takes advantage of the operating system's multi-tasking abilities. While one copy of FDR/UPSTREAM is reading a file, another can be sending data. This technique can be used to ease administration as well: each LU can be dedicated for a particular file server. Note that there is rarely any performance benefit to running more than 3 copies at one time.

The technique is fundamentally to run multiple separate copies of FDR/UPSTREAM. If you are using Windows NT or OS/2 see the following section for a more comprehensive method than the one described here. While there are ways (in some operating systems) to get a single copy of FDR/UPSTREAM running the issues of host connectivity, temporary files, etc.. just make it simpler to have separate FDR/UPSTREAM directories.

Before beginning this setup, get a single copy of FDR/UPSTREAM fully operational in the way that you want to use it. Note that this chapter only applies to non-DOS environments.

### 28.1.1. VTAM configuration

This step is only necessary for SNA. In VTAM configure enough LUs for your PC for every copy of FDR/UPSTREAM that you wish to run. Note that in most cases you cannot share 3270 LUs with APPC LUs so that you will need to configure enough. Note the LU names and numbers (LU Local Addresses) for use later.

### 28.1.2. Installing the PC software

Create a new directory for each copy of FDR/UPSTREAM. For best performance, they should be on separate disk drives (though this is rarely possible). For example, if you wish to run a total of 3 copies of FDR/UPSTREAM and the first copy is on C:\UPSTREAM, copy the diskettes to new directories C:\US2 and C:\US3.

### 28.1.3. Communications configuration

This step is only necessary for SNA. In the communications configuration, configure a separate LU for each copy of FDR/UPSTREAM that you intend to run. For example, if you have defined a Local LU named LU5 for FDR/UPSTREAM and wish to run a total of 3 copies, add definitions for LU6 and LU7. Note the Local LU Alias' for use later.

Also, in the communications configuration, configure a separate Transaction Program definition for each copy. If you are using the transaction program name of UPSTREAM for the first copy, configure definitions UPSTREA2 and UPSTREA3 for 2 more copies. Note the Transaction Program Names (and don't forget to use upper case) for use later. It is particularly important to remember which Transaction Program Name associates with which directory.

When configuring Transaction Program definitions, you need the executable file name. Using this example, define them as C:\US2\US.EXE and C:\US3\US.EXE. It is all right to use the definition PARAMETER=RMTPARM.DAT for all copies.

Verify and save your communications configuration (in most cases you will need to stop and restart communications to have it truly activate successfully).

### 28.1.4. FDR/UPSTREAM Configuration

***(SNA only)*** In each of the FDR/UPSTREAM directories, run the Configurator (USCFG). Enter the new Local LU Alias (defined earlier in the communications configuration) in the configuration dialog and save to the configuration file. Remember that each copy of FDR/UPSTREAM must use a separate Local LU Alias.

From the main configuration screen, pull down the Action menu and select Advanced. Enter the transaction program name that you specified earlier in the Communications Configuration in the Inbound TPN field. Save to the configuration file.

***(TCP/IP only)*** In each of the FDR/UPSTREAM directories, run the Configurator (USCFG). Specify a separate **TCP/IP PC Port** for each copy. When you request a host function, you will use this port number to reference a given copy of FDR/UPSTREAM on the workstation (which must be up and running to be serviced).

If you wish, you can specify the same port for each copy and then check **Allow dynamic TCP/IP PC Port assignment.** This requires that you specify a separate registered name for each copy but has the advantage of easy, logical name separation of each copy of UPSTREAM for host initiation.

***(All Connection Types)*** For each copy, you may wish to specify a separate **Registered Name** for host initiation purposes.

From the main configuration screen, pull down the Action menu and select Advanced. Enter a separate directory for **Work Path** for each copy.

For Win32, NLM and UNIX, you will also need to specify unique **Status Port** values. Status Port entries must be separated by at least 2 as UPSTREAM uses the specified Status Port as a starting point. For example, for three copies you would specify 2033, 2036 and 2039. If you specify **Allow dynamic TCP/IP PC Port assignmen**t (above) then the values will be dynamically incremented when UPSTREAM starts if the port is in use. This may be a problem if you wish to target a specific copy of UPSTREAM in which case you should be sure that all ports are unique.

### 28.1.5. US.EXE Issues

Each copy of FDR/UPSTREAM is now ready to run. You should test a small backup to verify that you have set up the communications environment correctly. You may also wish to test them all running simultaneously to verify that all is working as expected.

Some issues of note when running FDR/UPSTREAM in production are:

- **Backup Profiles:** You MUST use separate backup profiles when running multiple backups at one time, regardless of whether it comes from one or more than one PCs.
- **Host initiation:** You must consistently use a combination of LU/Transaction Program Name (TPN) when host initiating or IP address/port number. For example, if you have 3 LUs (LU1, LU2 and LU3) you should have three TPNs (UPSTREAM, UPSTREA2, UPSTREA3). They should then be always used together; i.e.: LU1 and UPSTREAM, LU2 and UPSTREA2, and LU3 and UPSTREA3.
- **Novell Profiles:** FDR/UPSTREAM will mount Novell volumes on local drives. You will need to be sure that each copy of FDR/UPSTREAM is working with a separate set of drive mappings.

  Novell Profiles defined in SETNOV are visible only to the copy of FDR/UPSTREAM that is in

the same directory as the SETNOV program. Plan on either running SETNOV in every directory and duplicating definitions, or only backing up particular servers from particular directories.

- **Local scheduler:** If you will be locally initiating backups, then you may choose to run multiple copies of the USSTART program. While a single copy will work, there will be fewer frequencies and things will be a little earlier to manage.

# 28.2. Enhanced Multi-User Support

There are a number of cases where you may wish to install and configure FDR/UPSTREAM a single time and run it from multiple machines/multiple-processes:

- Installing FDR/UPSTREAM on a server and allowing multiple users on other machines to be able to run from that single copy (Server mode).
- If you wish to back up a number of servers/disks from a single machine simultaneously (Multi-Tasking mode).
- Installing FDR/UPSTREAM on a machine and having multiple users access it (Single-Processor mode).

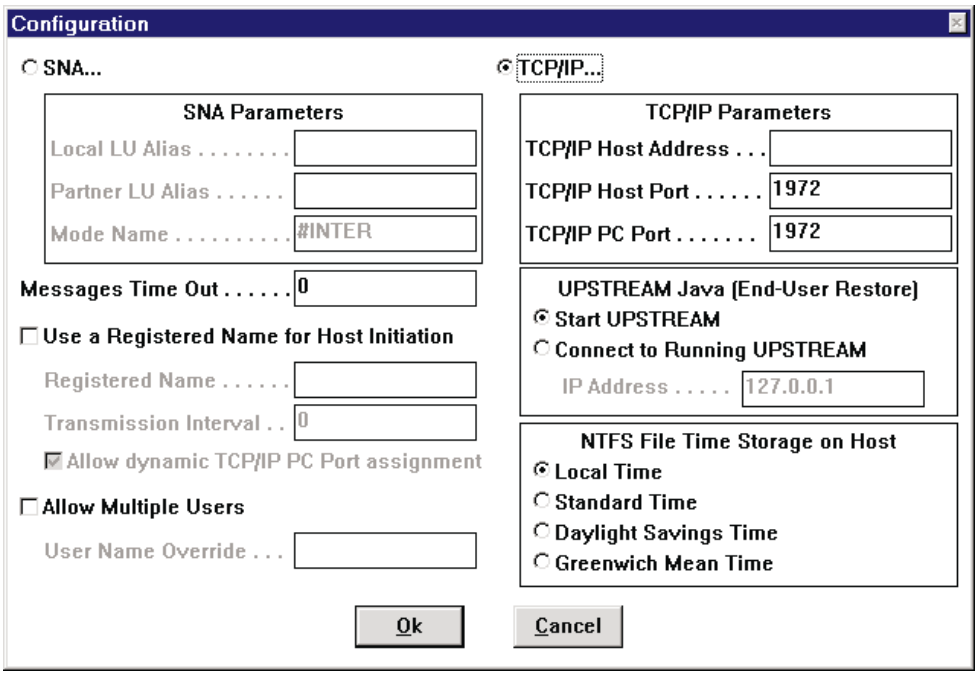
There are somewhat different issues on using this facility for locally initiated functions and host initiated functions. To make the host initiated functions more effective, we have added a TCP/IP attach manager which will start UPSTREAM when a TCP/IP request occurs.

If you are currently backing up multiple servers from a single machine using multiple but separated copies of FDR/UPSTREAM, that method will still work. However, the new method can make adding new servers much easier.

The multi-user functions described here only operate in PC multi-tasking operating systems (Windows 95, Windows NT and OS/2). Note that if you will only be using UPSTREAM in a single user environment, you should not enable multi-user functions as it adds a small amount of overhead.

### 28.2.1. Configuration

To configure for multi-user, run the UPSTREAM Configurator. On the first screen are two multi-user options:



- ☐ **Allow Multiple Users:** Check this box if you wish to enable multi-user functions. This has significant implications as UPSTREAM will create directories and relocate work files, log files, etc. If checked, the next time you run FDR/UPSTREAM Multi-User functions will be enabled.

- ☐ **User Name Override:** This field is grayed unless you check *Allow Multiple Users* . If left blank, the operating system determined user name is used; otherwise you can specify a different user name in this field. A user name entered in this field may only be used if you also check the *User name override* option in Personalization.

  When you configure UPSTREAM, the registered name is automatically overwritten to be the <machine name> (for SNA) or <machine name><port> for TCP/IP.

### 28.2.2. Concepts

For multi-user UPSTREAM the most important concept is that of the **User Name**. The operating system user name is used as the primary way to identify a particular execution of UPSTREAM. For host initiated functions, the user name of **HOST** is automatically assigned. The operating system name is used; if you have a file server name separate from the operating system name (for example, with Novell) the operating system name is used.

Each time UPSTREAM is started for a particular user, an **Instance Number** is assigned, starting from 1. This allows you to have multiple copies for the same user running at the same time. When an instance of UPSTREAM finishes, the number is available for reuse. The User Name and Instance Number are logged with each message and displayed in the UPSTREAM title bar.

When UPSTREAM is run in multi-user mode, it will automatically create a set of subdirectories under the UPSTREAM directory. Thus you must allow users read/write access to the UPSTREAM directory and all its subdirectories.

The directory structure automatically created is:

```
<UPSTREAM Dir>\<User name>\WORK<Instance>
```

Where:

- <UPSTREAM Dir> is your UPSTREAM directory. By default it is C:\UPSTREAM. This directory holds the UPSTREAM programs and the top level UPSTREAM.LOG file.
- <User name> is the operating system determined user name. This directory is the default directory for parameter files, and the log and report files are written here.
- WORK<Instance> is the text “WORK” followed by the 4 digit instance number, a new one created for each concurrent execution of UPSTREAM. The first user will cause WORK0001 to be created. This is the WORKPATH directory.

In multi-user mode, you run UPSTREAM:

- Attended. In this case host initiates are disabled and you run as described above.
- Unattended, Locally Initiated. Again, running as described above and host initiates are disabled.
- Waiting for Host Initiates (ACTION=3). This is what happens when UPSTREAM is started with PARAMETER=RMTPARM.DAT. The user name is HOST. There are specific communications requirements described below.

Communications issues for TCP/IP and SNA are discussed below.

### 28.2.3. SNA

Depending on the multi-user mode you are using, there are SNA specific issues:

- **Server mode:** Each user must have SNA installed and configured. They must all use the same Local LU Alias, Partner LU Alias and Mode Name. If each user will be supporting host initiates, you must obey the "Multi-Tasking" rules below.

- **Multi-Tasking and Single Processor mode:** Since UPSTREAM will use the same LU name for all processes, it must be an independent LU which supports parallel sessions. The mode name that you use must define enough contention winner sessions for all processes which may be running simultaneously. The mode name must be a parallel session mode which is never used for single sessions (VTAM cannot handle mixing parallel and single sessions to a started task for the same mode).

For host initiated functions, you must configure communications as above. The process performed is:

- The SNA attach manager will start the first copy of UPSTREAM automatically. UPSTREAM will immediately start another copy of itself to wait for subsequent host requests and then begin to process the received request and terminate when it is done.

- Each subsequent copy of UPSTREAM will wait until it receives a host request to process (in which case it will start another copy, process the request and terminate), or until all other copies of UPSTREAM have completed and the REMOTETIMEOUT has expired.

### 28.2.4. TCP/IP

For locally initiated TCP/IP functions, in all multi-user environments, you only need to configure the host IP address and port number.

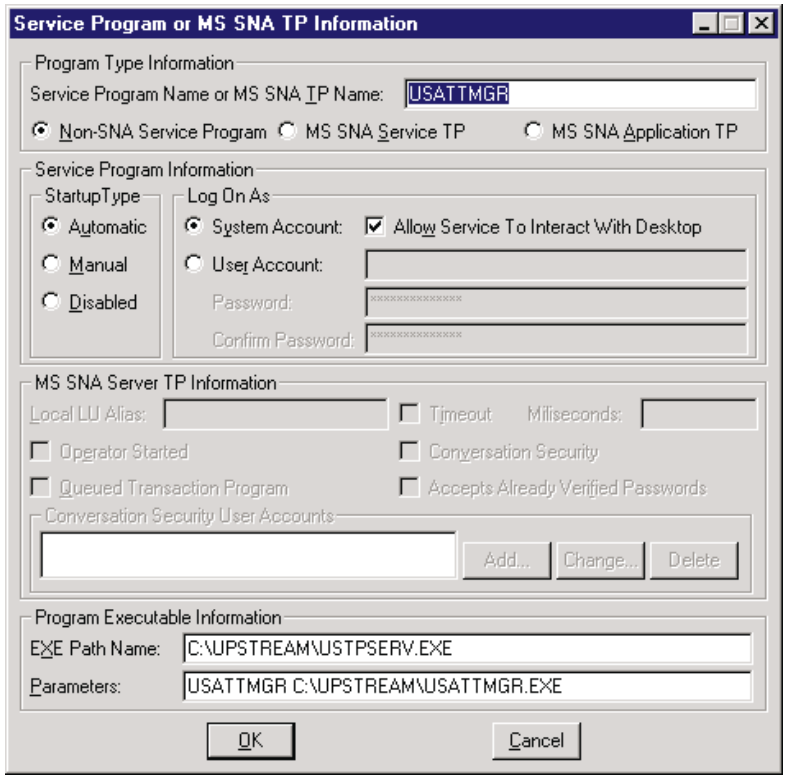
For remotely initiated TCP/IP functions, you MUST use the TCP/IP attach manager.

### 28.2.5. TCP/IP Attach Manager

The UPSTREAM TCP/IP Attach Manager (USATTMGR.EXE) is provided to support multi-tasking by starting a new copy of UPSTREAM automatically whenever a remote initiate is received through TCP/IP, similar to SNA attach managers. It is provided for 32-bit Windows and OS/2.

This program should be placed in your Startup group for OS/2 and Windows NT (if you wish to run it as an application). If you wish to run it as a service, you should run the UPSTREAM Transaction Program Configurator and create a new service for it:

- Press the **Add** button to add the new service definition. This will display the Service Program dialog.

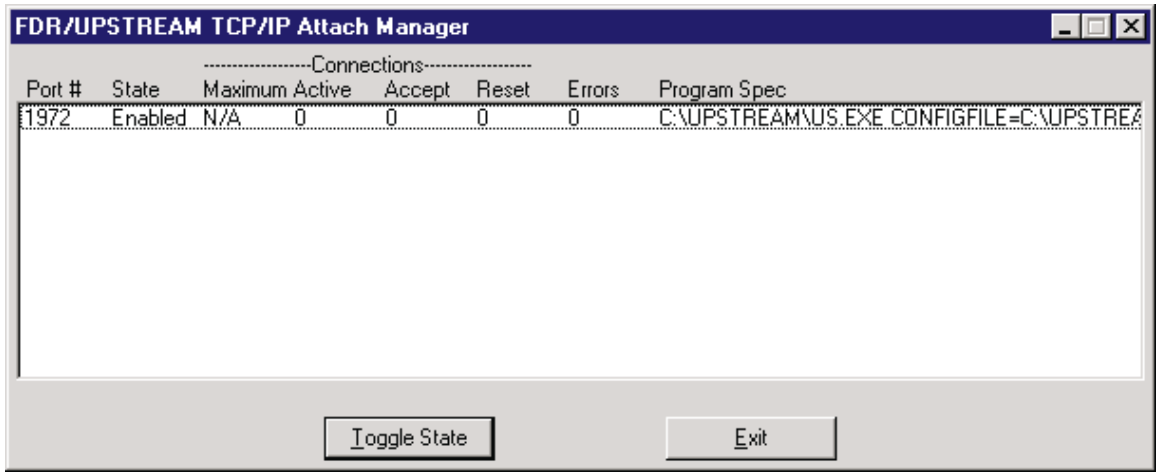



- **Service Program Name or MS SNA TP Name:** Enter **USATTMGR**
- Check **Non SNA Service Program**
- **Startup Type**: Check **Automatic**
- **Log On As:** You can either check System Account (if you are only backing up data on this machine), or User Account for backups of this or other machines. See the *Running As A Service* section in the *Windows NT* chapter.
- **EXE Path Name**: Must start USTPSERV.EXE. Most users will enter **C:\UPSTREAM\USTPSERV.EXE**.
- **Parameters:** Most users will enter no specific parameters to USATTMGR and will thus enter: **USATTMGR C:\UPSTREAM\USATTMGR.EXE**
- Press **Ok** to save your definition.

When the attach manager starts it will immediately start UPSTREAM to:

- Register the registered name with the host. Note that this is the <machine name> (for SNA) or the <machine name><port> for TCP/IP.
- Restart any failed backups or restores.

Then you will see the attach manager main display:




There is one line for each port number being listened on. When you highlight a port number and press the **Toggle State** button (or double-click a port number line) the state will be toggled from Enabled to Disabled. When disabled, remote initiates are not serviced (the host will get a Connection Refused error message). This should only be performed when you wish to explicitly refuse host initiates.

The attach manager maintains a log: **USATTMGR.LOG**. This log file can be viewed with a text editor or the UPSTREAM file viewer and shrunk with the USLOGCLR program.

Counters reflecting the maximum number of attachments supported, the number currently active, the number accepted, the number reset and the number of errors are displayed in the attach manager display. All attempts to start UPSTREAM are logged in the attach manager log as well as any errors.

The attach manager can be used to listen on more than one port. The command line for the attach manager to support this is:

```
USATTMGR [configfilename] | [portnumber,programspec,[maxconns]]
```

The 2 main parameters are space separated and may repeat:

- **configfilename** is an UPSTREAM configuration file name. This name is used to obtain the inbound port number and it is passed to UPSTREAM when it is invoked.
- If you specify **portnumber**, the default UPSTREAM configuration file name is used with the specified inbound port number. If you specify portnumber you must also specify programspec and may optionally specify maxconns. All 3 portnumber parameters are comma separated.

  **programspec** is the fully qualified name, with parameters, for UPSTREAM. Since command line parameters are usually specified, you will need to enclose them in double quotes.

  **maxconns** specifies the maximum number of remote host connections that are allowed. When exceeded, the attach manager closes any new connections and the host will see Connection Reset By Peer messages. This is a repeating parameter, space separated. If not specified, no limits are enforced.

Example command lines are:

- USATTMGR: Just specifying the attach manager without any command line parameters causes the attach manager to read UPSTREAM.CFG for the inbound port number, use it when invoking UPSTREAM and enforce no limits on the number of host initiates.

- USATTMGR 2000,"C:\UPSTREAM\US.EXE PARAMETER=10MINS.DAT": Will cause the attach manager to wait on port 2000 and will invoke UPSTREAM using the 10MINS.DAT file (which may, for example wait 10 minutes before terminating).
- USATTMGR CFG1972 1973,"C:\UPSTREAM\US.EXE PARAMETER=RMTPARM.DAT",2: Will cause the attach manager to listen on two ports: the one specified in CFG1972.CFG and 1973. When starting UPSTREAM it will use US.EXE with PARAMETER=RMTPARM.DAT; for port 1972 it will also add CONFIGFILE=CFG1972.CFG. Port 1972 will have an unlimited number of connections; port 1973 will allow no more than 2 connections.

### 28.2.6. Host Initiation

Registered names behave somewhat differently in multi-user mode than in single user mode:

- The name registered is <Machine name> for SNA and <Machine Name><Port> for TCP/IP. If the combined name is greater than 16 characters, the machine name is truncated. If you are unsure about your machine name, view the Registered name list in the Remote menu of UPSTREAM for the current active list.
- When UPSTREAM is run locally initiated, there is no registration. Registration only occurs when waiting for host initiation. Note that the UPSTREAM TCP/IP attach manager will automatically start UPSTREAM once to perform registration when it starts. For SNA, you may wish to start UPSTREAM once manually with PARAMETER=RMTPARM.DAT to force the registration.

Other host initiation notes are:

- Since a new copy of UPSTREAM is started EVERY time you request a function on a PC, there is no internal PC request queuing. All requests are immediately serviced. Thus if you wish to limit the number of requests performed simultaneously, you should limit them on the host (based on the completion of prior CONV=WAIT requests for example).
- For SNA host initiation in multi-tasking mode, you should always use the same LU/mode/TPNAME.
- In Multi-tasking or Single-Processor mode, if you specify a large number of requests you may run out of resources (memory, disk, drive letters, etc.).

### 28.2.7. Management

The following are a number of tips which will help you in managing a multi-user environment:

- UPSTREAM.LOG in the base UPSTREAM directory is primarily used to show when a copy of UPSTREAM is started and for which user. All other messages are written to the UPSTREAM.LOG file in the specific user's directory. USATTMGR.LOG in the base UPSTREAM directory contains attach manager messages.
- US.SER is loaded from the UPSTREAM directory. Any functions you wish disabled for the user community as a whole should be defined here. Remember that you should delete SERIAL.DAT from this directory to keep users from modifying US.SER.
- Restarted backups and restores are searched in all instance directories when UPSTREAM is started for a given user. Since host initiates always use the same user name (HOST), you do not lose the ability to restart backups/restores.
- The UPSTREAM.DAT and UPSTREAM.CFG files are first examined in the common UPSTREAM directory and then examined in the user's specific directory. This allows you to specify defaults and have the user be able to override specific values.

# 29 UPSTREAM SAR

## 29.1 Introduction

UPSTREAM SAR (Stand-Alone Restore) is a new feature of FDR/UPSTREAM where you can use a Windows NT SCSI attached tape drive to perform disaster recovery restores without UPSTREAM/MVS. Some of its features include:

- Restore of backups from any system including UNIX systems.
- File-by-file or physical disk restores.
- Support of virtually all SCSI tape drives. No tape driver is required.
- Browser based interface allows remote administration.
- No tape preparation required. Request the restore from your UPSTREAM client, pop in your host tape and your restore immediately begins.
- Support of all UPSTREAM/MVS tapes generated including vault tapes.
- Restores in locations where you do not have mainframe access or your mainframe access is slow.
- Tape drive-speed restores. For Windows NT there is no network overhead.
- Multi-tasking if you have multiple tape drives you can run multiple simultaneous restores.

Similar to UPSTREAM/MVS, UPSTREAM SAR runs as a single task on your Windows NT machine servicing a web-browser based console as well as multiple inquiries and restores.

UPSTREAM SAR does not maintain a database of the files on the backup; it does a sequential scan whenever a client request is made. This offers the feature that you can begin your restore immediately -without a database recovery step.

# 29.2 Installation and Configuration

### 29.2.1 Installation

The SAR files are in the \UPSTREAM\SAR\WINNT directory on the FDR/UPSTREAM CD. They are also available on the Innovation FTP site (ftp.fdrinnovation.com) in the /DOWNLOAD/UPSTREAM/SAR directory as a single self-extracting executable (SAR.EXE). Merely create a \SAR directory on your server and copy the files in.

The console requires the Java browser plug-in. The Java run-time included on the UPSTREAM CD also includes the browser plug-in. The first time your run the console, if you do not have the browser plug-in, it will ask if you wish to download it. If you wish, you can download the latest version on the web site: http://java.sun.com/products/plugin.

Files included in UPSTREAM SAR are:

| File Name | Description |
|---|---|
| *.class | A number of java classes used by the SAR console. |
| *.gif | Graphics inserted into web pages. |
| *.htm | Web pages. |
| SAR.EXE | The UPSTREAM SAR main program. |
| UPSTREAM.MSG | Predefined UPSTREAM messages. |
| USTASK.EXE | Performs the independent tasks (for internal use). |

### 29.2.2 Configuration

Configuration is performed within your web browser and requires that SAR be operating. Simply run it from a DOS window:

```
C:\SAR> SAR
```

SAR will display a message on the screen and write to its log (SAR.LOG):

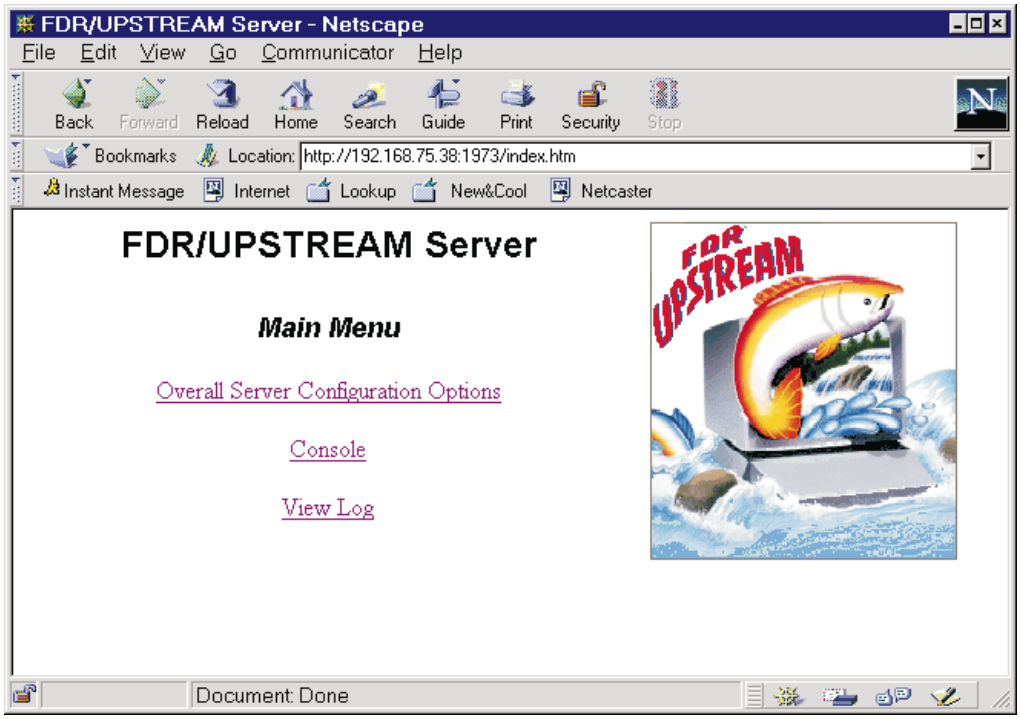
```
Thu Aug 12 17:10:03 1999
   Msg #PC9000I Entering SAR (v3.1.0)
```

The program must be kept running for UPSTREAM SAR to be available. If you wish, you can setup SAR to run as a service using the USTPCFG program. See the *Running as a Service* section of the 32-Bit Windows chapter of the FDR/UPSTREAM Workstation/Server User's Guide.

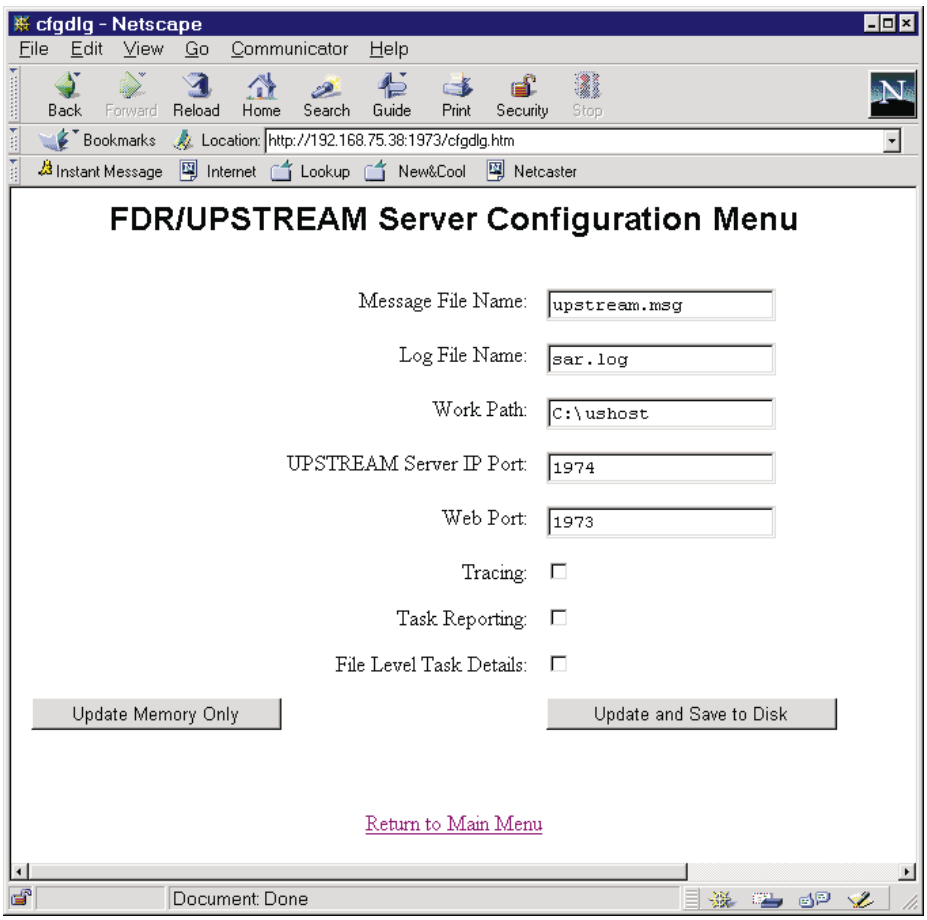
To begin configuration, go to your web browser, and enter the address:

```
http://127.0.0.1:1973/
```

It will contact SAR on your machine and display the FDR/UPSTREAM Server main menu.




To enter the configuration menu, click on the **Overall Server Configuration Options** hyperlink.




Similar in content to the UPSTREAM client configurator, the fields are:

- **Message File Name:** The name of the file where predefined UPSTREAM messages are stored. The default is **upstream.msg**
- **Log File Name:** The name of the UPSTREAM SAR log. The default is **sar.log**
- **Work Path:** Used for temporary files, the default is the current SAR directory (usually c:\SAR). You will usually use the default.
- **UPSTREAM Server IP Port:** The port number used for clients to connect. In the UPSTREAM configuration for your clients (USCFG.EXE) this is the **TCP/IP Host Port**. The default is **1974** so that it will not conflict with the default UPSTREAM client value if you are running them on the same machine.
- **Web Port:** This is the port number you used to connect to SAR with your web browser. The default is **1973**.
- **Tracing:** This check box allows you to enable/disable SAR tracing. The default is unchecked.
- **Task Reporting:** If you check this option, a report file will be created for each SAR task (inquiry, restore, etc.) which describes overall functions performed. This is in addition to the log. The name of the file is US<number>.RPT where the number is the task number displayed on the console and in the log. Checking this option can

create a large number of files. It is generally used in concert with the File Level Task Details checkbox below. The default is not checked.

- ☐ **File Level Task Details:** Displays file names and the reason why a file will be skipped or included in a file inquiry or restore. This can be useful in very large backups where you wish to only traverse the tape a single time and wish a complete file list. It can also be useful if you wish to understand why a particular file or directory was excluded or included in an inquiry or restore. Output is to the default program output (the console it was run within), or to the active report if you checked Task Reporting (above). The default is not checked.

When you have reviewed your options, you can press **Update and Save to Disk** to apply your changes immediately and save them to disk, or **Update Memory Only** to only apply the changes to the currently running SAR. If your changes are accepted, a message is displayed at the bottom of this page indicating the fields updated and whether it was written to disk.

Press the **Return to Main Menu** hyperlink to return to the previous menu.

**Note:** UPSTREAM SAR does not use the Windows NT tape drivers as it does direct SCSI access to the tape drive. Before using UPSTREAM SAR, verify that you do not have tape drivers installed in the Tape Devices applet of the Windows NT Control Panel.

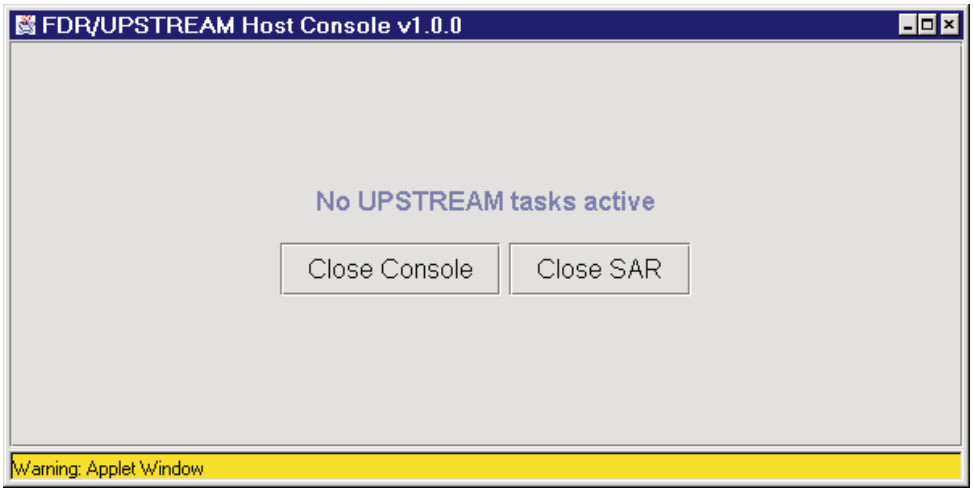
### 29.2.3 Install and Configure the UPSTREAM Client

Install and configure UPSTREAM on the machine where you wish to perform the restore; this can be any UPSTREAM workstation/server operating system including UNIX operating systems.

Note that you will have to specify in the UPSTREAM Configurator a **TCP/IP Host Address** of the machine where SAR is running (127.0.0.1 if it is the same machine) and a **TCP/IP Host Port** as specified in the **UPSTREAM Server IP Port** (default **1974**) in the UPSTREAM SAR configuration above.

# 29.3 Operations

We recommend that whenever an UPSTREAM client may be attached to SAR that you run the Console. From the main menu press the **Console** hyperlink to display the console. The console is a Java applet which will take a few seconds to load.

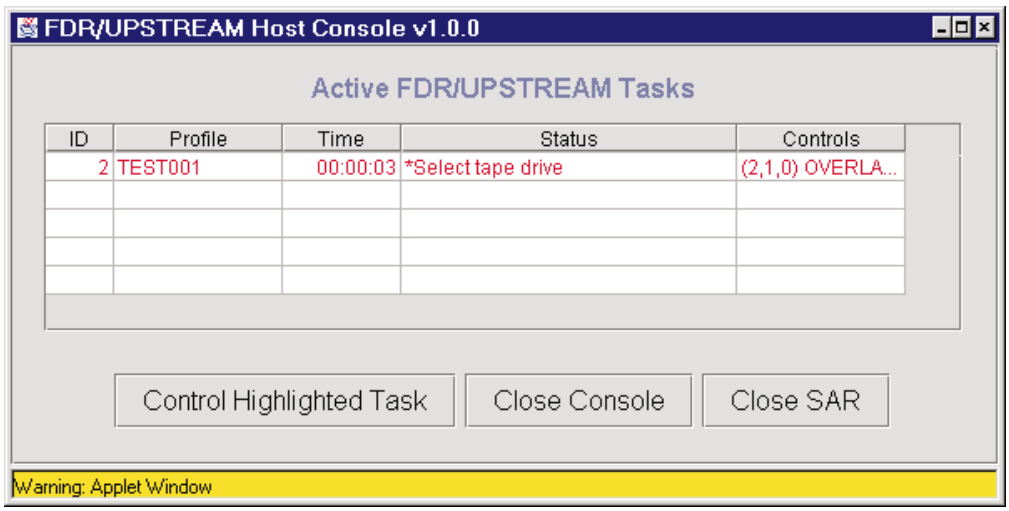



Until an UPSTREAM client is actively accessing SAR, it will display **No UPSTREAM tasks active**. The push buttons are:

- **Close Console:** This will merely close the console applet. Selecting the system menu close or pressing the Back button in your web browser has the same affect. If SAR is still running, you can redisplay the console by pressing the Refresh button on your browser.

- **Close SAR:** This will cleanly close the SAR program and then close the console applet.

When an UPSTREAM client attaches, a table is displayed on the console showing the function being performed (Inquire Versions, File Inquiry, Restore, etc.). If the item is red or has an asterisk ('*') in the first column of the Status column, then a request is being made of the console operator.




To satisfy the request, highlight the line where the request is being made and press the **Control Highlighted Task** button. This will display a dialog with choices which vary depending on the request made. If you wish to select one of the options, press the radio button and press the **Ok** button at the bottom. For example, if you have more than one tape drive on your system, the choices will include radio buttons listing the available tape drives and you will be required to select one. Press the **Cancel** button if you wish to return to the console without selecting any of the options.

The console may also ask you to mount the first or next tape you wish to do the inquiry or restore from. In this case you do not have to respond to the dialog, merely mount the tape. When SAR detects that the tape is mounted, the message in the console will change.

The choices on the dialog may vary but always include:

- ☐ **Terminate:** Press this button to have the task shut down cleanly at this time. A message will be sent to the UPSTREAM client.
- ☐ **Kill:** Use this button as a last resort; the executing task will be killed and a message sent to the UPSTREAM client.

Note that since SAR does not have a database of files stored on tapes that all inquiry and restore functions require that all tapes for a given backup be scanned. Thus you should try to limit the number of inquiries and restores that you perform.

When SAR finishes a inquiry or restore it will rewind the tape, but not eject it (in case you wish to do the next function using the same tape).

In a multi-tape inquiry or restore, when SAR has finished with a tape it will rewind the tape and eject it. You will then be requested to mount the next tape in the sequence. The tape will be ejected if it has the wrong data set name or sequence number.

UPSTREAM functions that supported at this time by SAR include:

- **Profile Management.** This is useful if you don't know the backup profile name which was used on the tape or want details about the backup stored on the tape. In UPSTREAM, enter a wildcard ('*') and press the **Inquire Profiles** button. Profile Management will scan the entire tape(s) and

may display multiple backup profiles (if this is a vault tape) and multiple backups (if there are more than one stored on the tape).

- **Version Inquiry.** Similar to Profile Management, Version Inquiry displays details about the backups stored on the tape when you know the backup profile name. Version Inquiry can be explicitly requested in *Restore and Inquiry (old)* by pressing the **Inquire Backups** button, or is implicitly performed in *List and Restore*. Again, this can be slow as it must scan all tapes.
- **File Inquiry.** File Inquiry displays the directory listing of files stored on the backup. Explicitly performed in *Restore and Inquiry (old)* when you press the **File Inquiry** button, or implicitly performed in *List and Restore* when you enter the screen or when you expand a drive or directory. File Inquiry honors the *Inquire and Restore Files From...* radio buttons (such as *Only Highlighted Backup*, *Highlighted Back to Full*, etc.). On a multi-backup tape, selecting Only Highlighted Backup can be the fastest, as it will stop when that backup is complete.

  File Inquiry operates in the same way as UPSTREAM/MVS. Items are sorted, with directories first and multiple versions of a particular file are displayed in a single request for Restore and Inquiry (old) and through an explicit request in List and Restore. Thus the entire tape is scanned before any files are sent to the UPSTREAM client.

  These can be quite slow as the entire backup must be scanned, which may include multiple tape mounts. You should try to do as few of these as possible.
- **Restore.** Again, available from *Restore and Inquiry (old*) or *List and Restore*, requires that the entire backup be scanned, even for single file restores. Thus, we recommend that you specify as many files or directories in a single restore request as you can to reduce the amount of clock time that the restore takes. Checkpoint restart is not supported; if you restart a restore it starts from the beginning.
- **Physical Disk Restore.** Available as the *FDRSOS/Physical Disk Restore* option of the *Physical* menu in FDR/UPSTREAM, you can **Inquire Backups** (a version inquiry) and perform Physical Disk Restores.
- **Performance:** Raw communications tests are available to allow you to tune communications performance.

### 29.3.1 In a Disaster

UPSTREAM SAR can perform restores over the LAN using TCP/IP. Thus the easiest way to recover a machine in a disaster situation is to have UPSTREAM SAR preconfigured on an operating Windows NT system. Then follow the disaster recovery procedures for FDR/UPSTREAM described in the Disaster Recovery chapter of the FDR/UPSTREAM Workstation/Server User's Guide and configure it to communicate to UPSTREAM SAR rather than your MVS host.

If you wish to run UPSTREAM SAR on the machine being recovered, it's requirements are:

- Windows NT operating system installed and operational.
- A SCSI attached tape drive. You must have the SCSI device driver loaded (no tape driver).
- A network adapter with the TCP/IP protocol stack bound to it.

Then follow the instructions for installing UPSTREAM SAR and FDR/UPSTREAM.

### 29.3.2 Problems

SAR writes all messages to its log and to the screen where it is being run. If you have problems you will want to check this log for any messages. There is a menu option for viewing the SAR log file in the FDR/UPSTREAM Server main menu. The most recent messages are at the bottom of the log. Press the **Refresh** button in your browser to update the log. Most errors are also transmitted to the UPSTREAM client.

When upgrading, note that many browsers will cache any information received from a web server (including SAR). We recommend using the browser commands to clear the cache after upgrading.

This page intentionally left blank

# 30 BMC SQL-Backtrack

## 30.1 Introduction

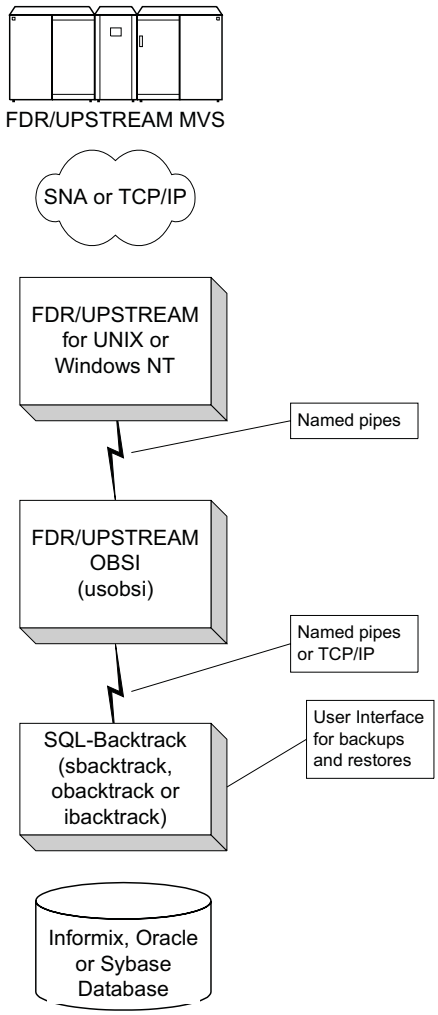
FDR/UPSTREAM provides support for BMC's DataTools SQL-Backtrack for a number of their environments including (but not limited to):

- Sun Solaris - Sybase, Informix and Oracle databases
- HP HPUX- Sybase, Informix and Oracle databases
- IBM AIX- Sybase, Informix and Oracle databases
- Compaq Tru64
- Windows NT- Oracle databases

SQL Backtrack is a BMC product providing complete backup and recovery of your databases. This includes issuing the appropriate commands to the database system, tracking backups, automatic restoration and more.

SQL-Backtrack provides the user interface including automation and tracks the data. Products which are written to their Open Backup Stream Interface (OBSI) then provide the backup storage. FDR/UPSTREAM is supplied with an OBSI interface program which can be used in conjunction with SQL-Backtrack to back up and restore your databases.

The following figure describes the process.




- You set up SQL-Backtrack to perform the backup/restore.
- It (using SQL-Backtrack defined interprocess communications) sends the data to the FDR/UPSTREAM OBSI program (usobsi).
- It starts the main FDR/UPSTREAM program and communicates with it using named pipes.
- FDR/UPSTREAM communicates the data with the host using SNA or TCP/IP.

After the installation and configuration has been completed, all of this is performed transparently and all you need to be concerned with is the SQL-Backtrack interface.

If you are using a UNIX operating system (AIX, Solaris, HPUX) see the following UNIX section. If you are using Windows NT, the proceed to section 30.3below.

### 30.1.1 Backups to Tape

Since SQL-BackTrack calls UPSTREAM any number of times for a given backup request (for large Oracle databases it can call UPSTREAM hundreds of times), UPSTREAM allows these backups (which are really a single logical backup) to be placed on a single host tape.

When configuring the backup profile in the host configuration, you must use the default of **NONEWTAPE=INCR**.

When creating your usobsi.dat file on the workstation, you must specify that the backup is to **tape** and that it is a **non-merge** backup.

There is a client parameter **HOLDTAPE**. This is set during the Pool configuration within SQL-BackTrack for the usobsi OBSI. If you specify 'Y' and your backups are to tape, then UPSTREAM/MVS will hold the tape in the drive and not rewind it for 2-5 minutes, assuming that another backup request will be following. Note that HOLDTAPE is the default.

After this is configured, all SQL-BackTrack backups for this pool will go to the same tape. To start a new tape, you will need to perform a small backup (of any data, but it must work), using the same backup profile to DISK with the HOLDTAPE parameter set to Y (you must modify your parameter file manually to set this). This backup notifies FDR/UPSTREAM MVS that it will need to start a new tape and FDR/UPSTREAM MVS will immediately release the tape.

This disk backup must remain on disk (not be deleted) until after the next tape backup for this backup profile. We recommend that this separate backup be a job immediately following the original backup request.

For example, to host initiate a SQL-BackTrack backup which will use a single tape for the backup and start a new tape for the next backup, you will submit two jobs:

- A "run job" request (ACTION=5) to run the script generate by SQL-BackTrack.
- A backup request of a single file (us.ret for example), with **HOLDTAPE Y** using the same backup profile to disk.

If you specify the HOLDTAPE=Y parameter for backups, it also is in affect for restores; the tapes will be re-wound between SQL-BackTrack restores but will not be released for 2-5 minutes after the last restore.

Note that you will need a way (based on retention period or GDGs) to remove disk backups (after the subsequent tape backup has been run).

Note that the tape is not released until ALL backups on the tape have been deleted (either by SQL-BackTrack or manually).

# 30.2 UNIX

There are several files included with FDR/UPSTREAM which are relevant to SQL-BackTrack backups:

- **usobsi_install**: This is a script file which installs FDR/UPSTREAM OBSI facilities.
- **usobsi**: This is the OBSI program which is called by SQL-BackTrack to backup/restore your databases.
- **usobsi_obsi.help:** The usobsi message file. It is distributed as usobsi.msg
- **usobsi.log**: Dynamically created, logs significant events related to backups/restores.
- **usobsi.dat**: An UPSTREAM parameter file that you must pre-create, it specifies your backup profile and whether your backups go to disk or tape as well as the full variety of UPSTREAM options.

Before running the install script, you should perform the following:

- Completely install SQL-BackTrack. See the SQL-BackTrack Installation Notes and Users Guide for specific instructions; contact BMC for license information and technical support for SQL-BackTrack. Specifically, you must set the **DTBASE** and **DT_xBACKTRACK_HOME** environment variables.
- Install, configure and test FDR/UPSTREAM. You should verify that it can perform standard backups and restores.
- Set the **UPSTREAMPATH** environment variable. This environment variable must be set to the path where the UPSTREAM executables reside and it must be set whenever the usobsi program is executed..
- Create a **usobsi.dat** parameter file. This parameter file defines UPSTREAM parameters to usobsi for both backups and restores. It can be named anything, but is named usobsi.dat by convention.

  You create usobsi.dat by running the UPSTREAM program **us** and specifying the options you wish to use in the backup dialog and saving the parameters (without beginning a backup) to the usobsi.dat parameter file.

  You must specify a **backup profile** for backups and restores using usobsi and you should specify whether you wish to backup to disk or tape. While a number of parameters are overwritten (file name, whether it is attended, etc.), there are a variety of optional parameters including compression, reporting, local backup, extended logging, etc. which you may choose to specify.

**NOTE: If you are backing up to disk or you are using an UPSTREAM/SOS Local Backup disk, you must set a DASDOVERRIDE in usobsi.dat. SQL-BackTrack does not notify UPSTREAM of the size of the backup so it must be set manually.**

To install the OBSI facility, execute **usobsi_install** from the UPSTREAM directory. You must enter whether SQL-BackTrack is for installed for Informix, Oracle or Sybase databases. It will then automatically create the following structure (the ‘x’ indicates the database type: ‘I’ for Informix, ‘O’ for Oracle, and ‘S’ for Sybase):

- /DT_xBACKTRACK_HOME/links/obsi.usobsi: directory entry.
- /DT_xBACKTRACK_HOME/links/obsi.usobsi/bin : directory entry

- /DT_xBACKTRACK_HOME/links/obsi.usobsi/bin/usobsi: symbolic link to usobsi in the UPSTREAM directory.
- /DT_xBACKTRACK_HOME/links/obsi.usobsi/msgs: directory entry
- /DT_xBACKTRACK_HOME/links/obsi.usobsi/msgs/usobsi_obsi.help: symbolic link to the usobsi message file.

SQL-BackTrack requires a *Pool* definition for an OBSI which is used to hold the parameters required by the OBSI. To configure the pool definition:

- ☐ Change to your SQL-BackTrack directory and run the SQL-BackTrack program. For example, for Sybase enter:

```
cd $DT_SBACKTRACK_HOME/bin
sbacktrack
```

- ☐ Select option **1** (Create a control directory for physical or logical backups). This will bring you to the "Create a New Control Directory" menu.

- ☐ Select option **1** (Create new control files for backups). Follow the instructions and return to the "Create a New Control Directory" menu.

- ☐ Select option 2 (Define/Modify backup pool information). This will bring you to the "Choose a Backup Pool" menu. FDR/UPSTREAM's OBSI does NOT support striping, do not define more than one backup pool. We recommend that you use suggested name of default by selecting option **1**.

- ☐ Choose the OBSI type: select the option **usobsi** (usually option #**3**). You will then be asked for USOBSI pool definition options. For these options, you can enter the '?' to get help.

- ☐ **Enter UPSTREAM parameter file?** Most users will enter the fully qualified location for **usobsi.dat.** Note that this parameter file must be pre-created (see above).

- ☐ **Enter UPSTREAM configuration file?** Most users will enter the fully qualified location for **upstream.cfg**.

- ☐ **Enter UPSTREAM program name?** Most users will enter the fully qualified location for the **uscmd** program.

- ☐ **Enter UPSTREAM work path?** Most users will enter the UPSTREAM directory. Note that the work path must match the one specified in the active UPSTREAM configuration file.

- ☐ **Enter hold tape?** See section 30.1.1 (page 30-2) for a description of the implications of this feature. The default is 'Y' to keep the backups on a single tape. Note that we have subsequent backup recommendations.

- ☐ **Enter NODELETE. 'Y' suppresses UPSTREAM backup deletion from DataTools (Y or N)?** FDR/UPSTREAM can ignore backup deletion by DataTools. This may be desirable if you wish to have UPSTREAM/MVS manage backup retention and with to avoid spurious error messages. The default is 'N'.

  **NOTE:** DataTools will still think that the backup has been deleted and restores from these backups may be problematic.

- ☐ **Enter UPSTREAM OBSI IPC Type?** Enter the type of interprocess communications which should be used between SQL-BackTrack and usobsi. You can enter 'socket', 'tcpip' or 'pipe'. This is a performance tuning pamameter. In most cases the default is 'socket' but most often the best performance is 'pipe'.

  You will then be asked to verify that the options are correct. If they are you will be returned to the "Create a New Control Directory" menu. Select 'c' to cancel, SQL-BackTrack will create the required files and return to the main menu.

At this point you can use SQL-BackTrack to perform backups/restores.

Note that UPSTREAM does not support SQL-BackTrack backup striping; do not select this option.

As SQL-BackTrack progresses, it will write progress messages to the screen and from time-to-time start UPSTREAM. Note that UPSTREAM may be started several times.

UPSTREAM will write to the upstream.log file; USOBSI will write to a separate log file, **usobsi.log**. If you have problems, you should check both log files.

### 30.2.1 Using UPSTREAM/SOS Local Backup Disks

As noted above, if you are using FDR/UPSTREAM/SOS local backup disks, you must set a DASDOVERRIDE so that UPSTREAM will request a disk area on the local backup of adequate size. DASDOVERRIDE should be specified in your usobsi.dat parameter file and you specify this value in the Backup, More... dialog. We recommend that you specify at least 50000000 (50 million) bytes or more, assuming that your backups are this big.

UPSTREAM must be run as root to use UPSTREAM/SOS in UNIX. Thus, when setting up the usobsi.dat file you will need to run as root and you need to specify the local backup disk you wish to use in the Backup More... dialog.

In most cases you cannot run DataTools as root so we recommend that you set the set user ID bit for the usobsi program, which will cause it and all programs it runs (specifically uscmd ) to run as root. The procedure for this is:

- su root
- cd <upstream directory>
- For Sun or HP: chown root:root usobsi
  or for AIX: chown root:system usobsi
- chmod u+s,g+s usobsi

### 30.2.2 Automation

In the SQL-BackTrack backup screens you can select an option to “Generate a backup script”. This script will automatically invoke FDR/UPSTREAM to perform your backups. It can be executed:

- Manually
- From a UNIX scheduler such as cron or FDR/UPSTREAM’s USSTART.
- From the host, using FDR/UPSTREAM’s “Run a PC Job” option with the USTBATCH facility.

If you use host initiation and CONV=WAIT, FDR/UPSTREAM maintains two active conversations: one for reporting to the host the status of the job and the second for the backup. This will work for TCP/IP and for SNA if you use independent LUs. If you use dependent LUs for SNA, you must setup two copies of UPSTREAM and perform your first host request using the copy of UPSTREAM that does not use.

# 30.3 Windows NT

There are several files included with FDR/UPSTREAM which are relevant to SQL-BackTrack backups:

- **usobsi.exe**: This is the OBSI program which is called by SQL-BackTrack to backup/restore your databases.
- **usobsi.msg:** The usobsi message file.
- **usobsi.log**: Dynamically created, logs significant events related to backups/restores.
- **usobsi.dat**: An UPSTREAM parameter file that you must pre-create, it specifies your backup profile and whether your backups go to disk or tape as well as the full variety of UPSTREAM options.

The software is distributed on the CD or the Windows-2 diskette and is installed in the UPSTREAM directory.

Before running the install script, you should perform the following:

- Completely install SQL-BackTrack. See the SQL-BackTrack Installation Notes and Users Guide for specific instructions; contact BMC for license information and technical support for SQL-BackTrack. Specifically, you must set the **DTBASE**, **DT_OBACKTRACK_HOME**, and **DT_OBACKTRACK_PATH** environment variables.
- Install, configure and test FDR/UPSTREAM. You should verify that it can perform standard backups and restores.
- Create a **usobsi.dat** parameter file. This parameter file defines UPSTREAM parameters to usobsi for both backups and restores. It can be named anything, but is named usobsi.dat by convention.

  You create usobsi.dat by running the UPSTREAM program **US.EXE** and specifying the options you wish to use in the backup dialog and saving the parameters (without beginning a backup) to the usobsi.dat parameter file.

  You must specify a **backup profile** for backups and restores using usobsi and you should specify whether you wish to backup to disk or tape. While a number of parameters are overwritten (file name, whether it is attended, etc.), there are a variety of optional parameters including compression, reporting, local backup, extended logging, etc. which you may choose to specify.

**NOTE: If you are backing up to disk or you are using an UPSTREAM/SOS Local Backup disk, you must set a DASDOVERRIDE in usobsi.dat. SQL-BackTrack does not notify UPSTREAM of the size of the backup so it must be set manually.**

To install the OBSI facility, run the DataTools Config Utility (dtutil.exe). Press the **Add OBSI** button.

- ☐ **Name for OBSI module:** Enter **usobsi**.
- ☐ **Modules executable:** Enter the fully qualified file name to the usobsi.exe program. Most users will enter **c:\upstream\usobsi.exe**.
- ☐ **Modules home path:** Enter the directory to the usobsi.exe program. Most users will enter **c:\upstream**

Press the **Add** button to save your changes.

Within SQL-BackTrack you must define your profile and backup pool information. Run the SQL-BackTrack main program - **obacktrack**.

- ☐ Select option **1** "Create profile for physical backups or exports".
- ☐ Enter path for new or existing profile directory. Most users will select the default by pressing ENTER. This will bring you to the "Create a New BackTrack Profile Directory" menu.
- ☐ Select option **1** "Create a new profile for physical backups of an Oracle Database"
- ☐ Enter your Oracle SID (the default for Oracle is **ORCL**).
- ☐ Enter Oracle home directory (the default for Oracle is **C:\ORANT**).
- ☐ Enter your Oracle User name and Password. The default Username is SYSTEM and Password is MANAGER.
- ☐ Enter whether you wish to store the specified user. We recommend that whenever possible that you store this information to facilitate automation.
- ☐ Enter whether you wish backed up data compressed. We recommend that you let UPSTREAM perform your compression.
- ☐ Enter whether you wish backed up data encrypted. We recommend that you not use encryption.
- ☐ Enter minimum expiration value in days. SQL-BackTrack can expire backups for you. We recommend however, that you use host management and specify an unlimited value.
- ☐ RENAME or DELETE archivelog files after backup. We recommend that you allow SQL-BackTrack to delete these files.
- ☐ Enable physical incremental backups. We recommend that you allow these.
- ☐ Enter new or existing backup pool name. You can use the prompted value of default.
- ☐ Enter OBSI Backup Device Type: Select **usobsi** for the FDR/UPSTREAM OBSI.
- ☐ **Enter UPSTREAM parameter file?** Most users will enter the fully qualified location for **usobsi.dat.** Note that this parameter file must be pre-created (see above).
- ☐ **Enter UPSTREAM configuration file?** Most users will enter the fully qualified location for **upstream.cfg**.
- ☐ **Enter UPSTREAM program name?** Most users will enter the fully qualified location for the **US.EXE** program.
- ☐ **Enter UPSTREAM work path?** Most users will enter the UPSTREAM directory. Note that the work path must match the one specified in the active UPSTREAM configuration file. You will then be asked to verify that the options are correct.
- ☐ **Enter hold tape?** See section 30.1.1 (page 30-2) for a description of the implications of this feature. The default is 'Y' to keep the backups on a single tape. Note that we have subsequent backup recommendations.

- **Enter NODELETE. 'Y' suppresses UPSTREAM backup deletion from DataTools (Y or N)?** FDR/UPSTREAM can ignore backup deletion by DataTools. This may be desirable if you wish to have UPSTREAM/MVS manage backup retention and with to avoid spurious error messages. The default is 'N'.

  **NOTE:** DataTools will still think that the backup has been deleted and restores from these backups may be problematic.

- **Enter UPSTREAM OBSI IPC Type?** Enter the type of interprocess communications which should be used between SQL-BackTrack and usobsi. You can enter 'socket', 'tcpip' or 'pipe'. This is a performance tuning pamameter. In most cases the default is 'socket' but most often the best performance is 'pipe'.

- Do you wish to use parallel backup streams. FDR/UPSTREAM does not support these, so enter **n**.

  You will be asked to verify your options. If you enter y, then the appropriate files/directories are created for you. Press ENTER to return to the "Create a New BackTrack Profile Directory" menu. Select 'c' to cancel to return to the main menu.

  At this point you can use SQL-BackTrack to perform backups/restores. If you get SQL-BackTrack internal errors, you may need to run the "Synchronize Profile With Database" facility.

  As SQL-BackTrack progresses, it will write progress messages to the screen and from time-to-time start UPSTREAM. Note that UPSTREAM may be started several times.

  UPSTREAM will write to the upstream.log file; USOBSI will write to a separate log file, **usobsi.log**. If you have problems, you should check both log files.

  The SQL-BackTrack manuals describe automating the backup process. There are no special considerations for FDR/UPSTREAM unless you are host initiating using SNA dependent LUs. If you are, contact FDR/UPSTREAM technical support for assistance.

This page intentionally left blank

# 31 IBM DB2 (Universal Database)

The FDR/UPSTREAM UDB agent is a full featured DB2 backup facility:

- Supports full backups as a “vendor DLL”
- Both online and offline backups
- Tablespace level backups and restores.
- The database can be recreated directly from FDR/UPSTREAM backups.
- Includes a user exit for system generated incrementals if you are running in archive log mode.
- The user exit supports roll-forwards from user exit backups

FDR/UPSTREAM includes agents for IBM Universal Database (DB2) for Windows NT, OS/2 and AIX.

Note that this guide discusses a number of complex issues of backup and recovery which are more thoroughly discussed in the DB2 Administration Guide and we recommend that you read it before beginning UPSTREAM DB2 configuration. We further recommend that the database administrator be consulted throughout the installation and configuration process.

System requirements are:

- IBM Universal Database v5.0 or later (5.2 is recommended).

# 31.1 Installation and Configuration

There are two programs in the UPSTREAM UDB agent: USUDB.DLL for full backups and restores (usudb for UNIX), and a user exit for archiving and recovering logs (db2uext2.exe for Windows NT, db2uexit.exe for OS/2 and db2uext2 for UNIX). USUDB.DLL can be run from the UPSTREAM directory, however, the user exit must exist in a specific directory.

Note that once the user exit is copied in and ANY database is configured for a user exit, it will be called and expected to generate backups and restores (archives and retrieves). Thus it should not be copied in until database is configured and you have performed a test backup and restore with USUDB.DLL.

There are several aspects to configuration:

- 1. Installing, configuring and testing UPSTREAM
- 2. (Optional) Setting up the environment.
- 3. Setting up a template UPSTREAM parameter file.
- 4. (Optional) Setting up a backup profile mapping file.
- 5. Testing backups
- 6. (Optional) Configuring DB2 for the user exit

☐ 1. Installing UPSTREAM. You should install, configure and test UPSTREAM for standard file backups. If you intend to initiate UPSTREAM backups and/or restores from the host you should also test host initiates.

We strongly recommend that you specify the directories for the UPSTREAM message file and the UPSTREAM log file in the advanced options of the configurator.

☐ 2. Setting up the environment. The UPSTREAM DB2 exit uses a number of environment variables. It is highly recommended that you set the USUDBDIR environment variable to point to the UPSTREAM directory, but UPSTREAM will search for it and in most cases will find it.
Since DB2 starts with the system it can be somewhat difficult to set environment variables. In most cases you must restart your computer. In Windows NT, environment variables must be set from the System applet in the Control Panel. For OS/2, environment variables must be set in the CONFIG.SYS. For most UNIX environments, they must be set from the .profile of the DB2 user. The following table lists the environment variables for the UPSTREAM DB2 agent. Note that only USUDBDIR is recommended.

| Environment Variable | Default | Meaning |
|---|---|---|
| USUDBDIR | \UPSTREAM (PC)<br>/usr/lpp/fdrupstream (UNIX) | The directory where the agent will find the FDR/UPSTREAM programs. If this variable is not set, it will look for UPSTREAMPATH and if that is not set then it will search the defaults. |
| USUDBTRACE | (Not defined) | Should only be defined on the request of UPSTREAM technical support. Trace information is written to the USUDB.LOG file and can also be activated by the existence of a usudbtrc file in the UPSTREAM directory. |

| Environment Variable | Default | Meaning |
|---|---|---|
| USWAITDELAY | 120 | The number of seconds for a number of agent functions where it must wait. Generally only needs to be set if tape mounts may exceed 2 minutes. |

Since the UPSTREAM DB2 agent runs as a shared library or DLL, it actually runs under the account of DB2 itself. If you wish to test the UPSTREAM DB2 and can't reboot your machine, you can create a file, **USPATH** (all upper case, no extension), which will simply hold the path to UPSTREAM. For UNIX, this file must be in the $HOME directory of the user that DB2 logs into; for PCs it is the default system directory (for Windows NT, it is usually c:\winnt\system32).

(UNIX) For example, if you installed UPSTREAM in the /usr/lpp/fdrupstream directory and the home directory for DB2 is /db2/home, then your USPATH file would be a single line in the /db2/home directory:

```
/usr/lpp/fdrupstream
```

(Windows NT) For example, if you installed UPSTREAM in the d:\upstream directory, USPATH would be a single line in the c:\winnt\system32 directory:

```
D:\upstream
```

☐ 3. Setting up a template parameter file. Run FDR/UPSTREAM, go into the backup dialog and set up the parameters which you wish to specify for your DB2 backups. These can include virtually every UPSTREAM function, but we highly recommend that you carefully consider your choices for the following:

- Tape or Disk (storage type)
- Compression
- FDRSOS Local Backups
- Reporting

Parameters which are not used include:

- Backup Profile (configured below)
- Full or incremental (backup type)

When you have completed your configuration save it to the parameter file **usudb.dat** in the UPSTREAM directory.

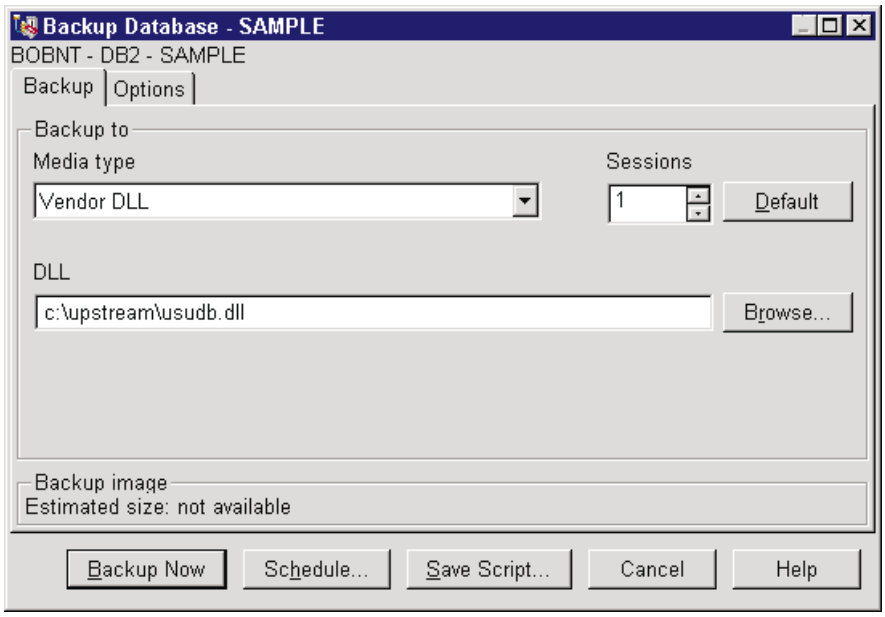
If you wish to have incremental backups (transaction log backups) use different UPSTREAM parameters (storage type, reporting options, etc.), create a separate parameter file for incrementals and name it **usudbi.dat**.

☐ 4. (Optional) Setting up a backup profile mapping file. By default, UPSTREAM will use the name of your database as the backup profile name. This may work for many users. However, if you wish to have the same database on more than one machine you will need to use a backup profile mapping file.
This file is a simple text file **usudb.prf** (in the UPSTREAM directory) which is merely a listing of database names followed by one or more spaces and the backup profile. You must use a text editor which adds no formatting such as the Windows notepad. For example, to map the database SAMPLE to backup profile PC1SMPL and IMAGES to PC1IMGS, you would have a usudb.prf which looks like:

```
SAMPLE PC1SMPL
IMAGES PC1IMGS
```

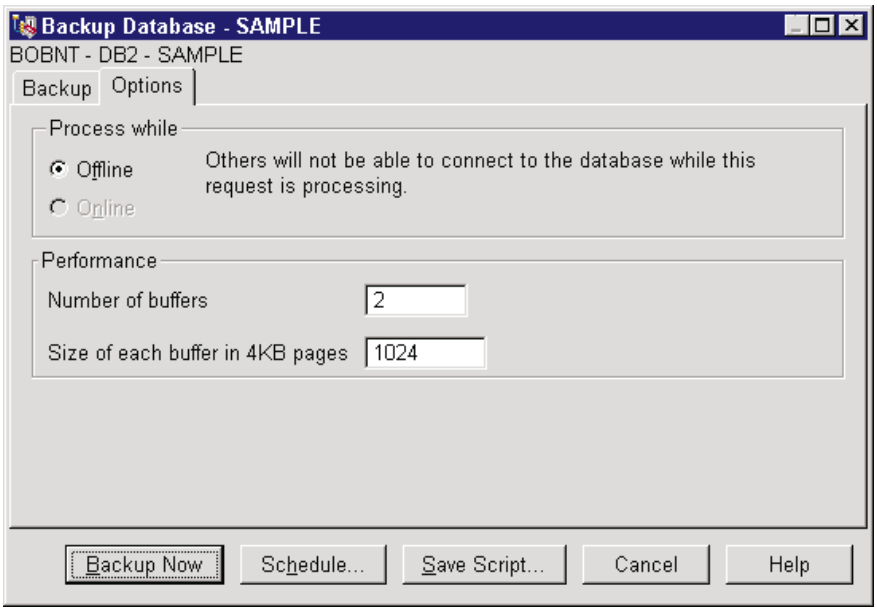
☐ 5. Testing backups. For PC operating systems, you can test backups from the DB2 Control Center. For UNIX operating systems you must use the DB2 command line (see below).

With the **Control Center** running, expand so that you can see the database you wish to test with, highlight it, pull down the **Selected** menu, select **Backup** and then **Database...**




- **Backup Type:** Select **Vendor DLL**
- **Sessions:** You must select **1**. UPSTREAM only supports single session backups/restores.
- **DLL:** Enter the fully qualified file and path name to the UPSTREAM DB2 backup agent. Most users will enter **C:\UPSTREAM\USUDB.DLL**

Press the **Options** tab:



- **Process while:** Unless you enable your database for forward recovery or have installed a user exit (user exit installation is described below), and have performed a full online backup you can only select **Offline**.
- **Performance:** The number of buffers and buffer sizes are tuning parameters and can be generally left at their defaults.

When you have completed the specification, press the **Backup Now** button to begin the backup.

If you wish you can perform the test backup from the DB2 command line. To start the DB2 command line from PC operating systems, you may need to run the **db2cmd** program (installed in the \sqllib\bin directory) and then run **db2**. To start the command line from UNIX systems, you may be able to run **db2** directly, or may have to run **CmdLine** which sets up environment variables and then executes db2. Contact your database administrator for specific steps.

```
DB2 BACKUP DATABASE <database name> LOAD <UPSTREAM library>
```

For example, to backup the database sample from an NT machine with UPSTREAM in the C:\UPSTREAM directory, enter:

```
DB2 BACKUP DATABASE SAMPLE LOAD c:\upstream\usudb.dll
```

The usudb library will be executed by DB2 and UPSTREAM started. Since these programs run as services, no screen will be displayed (except for OS/2). The success or failure of the backup will be logged in the DB2 Journal by DB2 and details can be found in the **usudb.log** file the upstream.log files. If you have problems, you will need to examine these two logs.

☐ 6. User exit installation and configuration. User exit programs allow UPSTREAM to store and recover database archive logs. Generally, this is recommended as it allows online database backups to be taken, reduces the number of full database backups that need to be taken (as archive logs are effectively incrementals of the full database) and allows UPSTREAM to be the central repository for all database data.
Note that you can only have one user exit program, and once installed, it will be responsible for all archive and retrieve operations for all databases.

User exit program must be installed in a specific directory. For PCs copy the **db2uext2.exe** (db2uexit.exe for OS/2) to the \sqllib\bin directory. For UNIX copy the **db2uext2** file to the $INSTHOME/sqllib/bin directory.

To have the system enabled for user exits, you can modify the database configuration in the Control Center (for purchase) or on the DB2 command line (all systems).

If you wish to use the Control Center to modify the configuration, highlight the database you wish to enable user exits for, pull down the **Selected** menu and select **Configure**. Press the Logs tab, scroll down the list and highlight **Invoke user exit for log file archiving** and press the Ok button. You will be notified that all applications must disconnect before the changes will be in affect.

If you wish to use the DB2 command line (see above for instructions on starting the DB2 command line) enter:

```
DB2 UPDATE DATABASE CONFIGURATION FOR <database> USING USEREXIT ON
```

Once all users have disconnected, the user exit will be enabled.

# 31.2 Automation

The DB2 command line facility makes the generation of script files for host or local control of backups quite natural.

For PC environments, all you have to do is prefix the command with DB2CMD (which will handle environment settings). For example, a PC batch file to backup the SAMPLE database might contain a single line:

```
DB2CMD DB2 BACKUP DATABASE SAMPLE ONLINE LOAD C:\UPSTREAM\USUDB.DLL WITHOUT PROMPTING
```

If you wished to backup only the SALES tablespace, your script might contain (this is all one line):

```
DB2CMD DB2 BACKUP DATABASE SAMPLE TABLESPACE SALES ONLINE LOAD C:\UPSTREAM\USUDB.DLL
WITHOUT PROMPTING
```

For UNIX environments, you must set your environment, either in the script file itself or in the .profile for the user and then run the **db2** program. If you can't easily set the environment, you will need to create a script which contains the environment options. We recommend that you use the DB2 CmdLine script as a sample, adding statements to the db2 command at the end of that script. For example, your script might look like the following:

```
# Statements required to run DB2 scripts (you'll have to modify these)
DB2DIR="/usr/lpp/db2_05_00"
DB2INSTANCE=db2admin
export DB2INSTANCE
INSTHOME=/db2/home
PATH=${PATH}:${INSTHOME}/sqllib/bin:${INSTHOME}/sqllib/adm
PATH=${PATH}:${INSTHOME}/sqllib/misc
export PATH
# The backup request (you'll need to modify this)
db2 BACKUP DATABASE SAMPLE LOAD /usr/lpp/fdrupstream/usudb WITHOUT PROMPTING
```

If you will be host initiating these backups, you will need to use the **Run a PC Job function** of USTBATCH. We recommend that you specify on the command line of the job to execute, simply the fully qualified name of the script or batch file to run. We also recommend that you check **Run job from UPSTREAM or ULTra** and **Wait for job completion**.

Due to length limitations in USTBATCH, we recommend that these backups be performed from script or batch files.

See the DB2 Administration guide for other information including security restrictions and requirements.

# 31.3 Recovery

The entire process of database restore and roll-forward recovery is fully described in the DB2 Administration Guide and we recommend that you follow the instructions outlined there.

When you restore a database using the control panel, you can select the backup to restore from by the DB2 backup timestamp.

If you are not using the Control Center or are creating the database on a new system from the backup, you will need the DB2 backup timestamp. The DB2 backup timestamp is generated by DB2; you can not use the UPSTREAM version date.

You can obtain the backup timestamp by performing a file inquiry for the backup profile that you are using for your database backups. The file name (on PCs) is:

```
\\.\PIPE\SQL<version>\DB2\<database>\<timestamp>
```

For example, with DB2 v5.20 and the database SAMPLE, a file name may be:

```
\\.\PIPE\SQL05020\DB2\SAMPLE\19981211151720
```

Which gives us a backup timestamp of 19981211151720 (December 11, 1998 3:17:20 PM).

For UNIX the database name is:

```
<UPSTREAM directory>/SQL<version>.<user>.<database>.<timestamp>
```

For example, if UPSTREAM is in the /usr/lpp/fdrupstream directory, the version 5.0, the database SAMPLE, and the user db2admin, the following line has a backup timestamp of 19981215163725 (December 15, 1998 4:37:25 PM):

```
/usr/lpp/fdrupstream/SQL05000.db2admin.SAMPLE.19981215163725
```

In UNIX, restores must be performed using the db2 command. Thus to restore the above database, you would enter:

```
db2 RESTORE DATABASE SAMPLE LOAD /usr/lpp/fdrupstream/usudb TAKEN AT 19981215163725
```

For both PCs and UNIX, (unless otherwise specified) once the restore has completed the database in roll-forward pending mode. To complete the restore, use the ROLLFORWARD commend. For example, for the SAMPLE database:

```
db2 ROLLFORWARD DATABASE SAMPLE TO END OF LOGS AND COMPLETE
```

Note that in a rollforward, DB2 will request a number of log files generating a sequence of restores. It may actually ask for log files past the last one backed up (which it does to detect the end of the logs). In the usudb.log, there will be messages stating that it had no files to restore and this is expected.

# 31.4 (UNIX) Using UPSTREAM/SOS Local Backup Disks

UPSTREAM must be run as root to use UPSTREAM/SOS in UNIX. Thus, when setting up the usudb.dat file you will need to run as root and you need to specify the local backup disk you wish to use in the Backup More... dialog.

In most cases you cannot run DB2 as root so we recommend that you set the set user ID bit for the dbuscmd program (which then starts uscmd), which will cause it to run as root. The procedure for this is:

- su root
- cd <upstream directory>
- For Sun or HP: chown root:root dbuscmd
  or for AIX: chown root:system dbuscmd
- chmod u+s,g+s dbuscmd

# 32 Lotus Notes

In Lotus Notes and Domino, the organization of the data is as records (Notes) in database files (with the extension *.NSF and *.NTF).

In Notes R4 and in some cases Notes R5 (depending on how you have transaction logging set up), the databases can be backed up in their entirety from the file level; basically just by backing up the contents of the \DATA directory.

If you have very large databases, whenever a user modifies a single Note in a database the archive bit will be set and even for incrementals, the entire database will be backed up. This may keep you from being able to perform daily incremental backups.

Thus FDR/UPSTREAM provides a facility for extracting only the changed Notes in a Notes or Domino Database using the program LNINCR. Page 32-2 describes this facility.

If you have Notes R5, Domino will keep databases open much more often and depending upon the transaction logging options may cache sections of the database in the transaction log. Thus fulls at the file level may not be safe. Also, since they now support archive logging, backups of the transaction log will result in even less data being backed up than with the LNINCR program.

So only programs written to the Notes Backup API are recommended for Notes R5. FDR/UPSTREAM includes an agent for the Notes R5 Backup API for both full and incremental backups. See page 32-11for a description of this facility.

# 32.1 Creating a Notes Incremental

FDR/UPSTREAM provides the ability to perform an incremental backup of Lotus Notes databases. With most backup products, backup of a Lotus Notes database would result in a complete backup of the database (file) even if only a single note was modified. With FDR/UPSTREAM only those modified notes (records) are included in the backup.

FDR/UPSTREAM can also use Notes' powerful LAN facilities to create incrementals of server databases via Domino servers. A Notes password intercept facility is provided so that passwords can be provided for unattended access.

The requirements are:

- Lotus Notes or Domino version 4.5 or higher. All Notes or Domino databases must be version 4.5 or higher.
- A Windows 95, Windows NT or OS/2 workstation which has Lotus Notes installed and permissions to the databases.

Note that if you are using NotesR5 we recommend that you use our Notes R5 database agent rather than this facility.

### 32.1.1 Process

A single program LNINCR.EXE (which stands for Lotus Notes INCRemental) is provided on the supplemental diskette of UPSTREAM Windows or OS/2. On the CD it is in the Windows, Win32 or OS2 directories. This program is used to generate a separate Notes database which includes only the changed notes since the last time the program was run. After a restore, this program is then used (with different command line parameters) to apply the incrementals into the original database.

LNINCR.EXE, when run to generate an incremental database will create two files per database:

- <database>.upd - This is an update control file which contains the date of the last incremental and the incremental number.
- <database>.<num> - <num> is internally generated (from the update control file) and is the incremental changes since the last run. When LNINCR is run for backup, the prior backup is deleted.

The process is as follows:

- When you run a full, first run LNINCR, specifying on the command line that this is a full. This will cause the last incremental to be deleted and the control file updated. Then run the full backup, including your databases to be backed up.
- When you run each incremental, run LNINCR first for each database to generate the incremental. Then exclude the databases from the backup.

### 32.1.2 LNINCR.EXE

The command line to LNINCR.EXE is:

```
LNINCR <DB File Name> <Output path> [options...]
```

Where:

- **DB File Name** For local backups this is the fully qualified file name (file and path) for the Lotus Notes database you wish to back up. This can include wildcards. For example: C:\NOTES\DATA\MAIL*.NSF. For server backups, file specifications should be based on the \NOTES\DATA directory. For example: MAIL*.NSF.
- **Output path** is the drive and path (but not file) specification of where you wish to store your incrementals. For example: C:\NOTES\INCR.

If no options are specified an incremental will be performed. Remember that you must use the /f option for your full run before you can perform an incremental run.

Options can be specified in upper or lower case. The options used in normal operation are:

- **/f** Full run. You must use the /f option at the time of the full before you can run an incremental.
- **/s** Include Subdirectories. Use this option if you wish to have the wildcard spec applied to all subdirectories beneath the specified directory. The default is to only process those files in the specified directory. Note that the subdirectory structure is created in the output path.
- **/r<number>** Restore the incremental <number> stored in the output path. For example /r1 of database AKORSUN.NSF will apply the incrementals stored in AKORSUN.1.
- **/vi<server>** Specify that database access use Notes LAN facilities through the specified server.
- **/p<password>** If using the /vi option above, this is the password for access to the server. You must install the USEXTPWD.DLL UPSTREAM password intercept DLL on your machine for this to work.

The lesser used options are:

- **/b** Copy non-data notes. When specified this option will copy the non-data notes (regardless of modification date). This allows you to be able to use Notes to view a LNINCR generated incremental database.
- **/x:** UNIX Domino server. If enabled, it will be assumed that you are backing up or restoring a UNIX Domino server. Thus, you must use the /vi<server> switch with this option. See the *UNIX Domino Servers* section below.
- **/d<mod date>** Overrides the date stored in the update file. The mod date is specified in YYMMDDHHMMSS form. For example: /d970516173257 is 5/16/97 at 5:32:57 PM.
- **/n** No delete. The prior incremental file is not deleted. Without this switch the default is to delete the prior incremental.
- **/l** Do not update the incremental file. This creates a differential. Note that the last incremental number is reused. Without this switch the default is to update the incremental update file.
- **/a** Suppress the copying of database ACL information.
- **/e** Suppress the copying of database replication information.
- **/t** Enable command line tracing.
- **/o** Note-by-note copy. This is slower but provides a count of notes copied, is somewhat more complete and indicates in the trace (if enabled) some minimal information about each note.
- **/rc** Restore a single database incremental specifying the complete names.
- **/c** Create a backup of an entire database during an incremental if the database has not been seen before. For restores, create the database if the database does not exist.

- **/u** Enables enhanced return codes. With this option enabled, LNINCR reports a return code of 0 to indicate that all databases succeeded, a return code of 1 to indicate that some databases succeeded and a return code of 2 indicates a complete failure or no databases succeeded.

Note that when you run LNINCR it will copy the ACLs and replication information even if there are no modified notes.

LNINCR.EXE logs events to both the screen and to a log file USLN.LOG. You can use USLOGCLR.EXE to reduce the size of USLN.LOG.

After LNINCR has completed, there may be a short time before the database is actually closed for the operating system. While Notes can access the database, if LNINCR is run there may be errors deleting the prior incremental.

### 32.1.3 An example backup scenario

If you wish to back up all the Notes databases in the C:\NOTES\DATA directory, and all of its subdirectories, you'll need to:

- Create a directory for your incrementals (C:\NOTES\INCR)
- Copy LNINCR.EXE to it
- Create the following job which is a batch file: **NOTEFULL.BAT** (NOTEFULL.CMD for OS/2):

```
C:
CD\NOTES\INCR
LNINCR C:\NOTES\DATA\*.NSF C:\NOTES\INCR /f /s
```

The /f switch indicates that this is a full run, and the /s indicator requests all subdirectories.

You'll need to run NOTEFULL.BAT immediately before you run your full backup. You can add it to your PC schedule or run it from the host as a PC job. You must include the databases in your full backup file specifications.

You will need to create a batch job to create the incrementals, **NOTEINCR.BAT** (NOTEINCR.CMD for OS/2):

```
C:
CD\NOTES\INCR
LNINCR C:\NOTES\DATA\*.NSF C:\NOTES\INCR /s
```

You'll need to run NOTEINCR.BAT immediately before you run your incrementals. Your incremental specifications will need to:

- Include C:\NOTES\INCR*.*
- Exclude all the databases specifically or exclude the C:\NOTES\DATA directory if it would normally be included in your backup specifications.

### 32.1.4 An example restore scenario

To restore a notes database to a given point you need to:

- Restore the full database.
- Restore all the incrementals to the incremental directory.
- Run LNINCR with the /r<number> option for each incremental stored. These should be done in ascending order.

For example, to restore C:\NOTES\DATA\ADMIN.NSF to its state after two incrementals were run, you would:

Make sure that Notes is not holding ADMIN.NSF. You may need to bring down Notes.

- Restore C:\NOTES\DATA\ADMIN.NSF
- Restore C:\NOTES\INCR\ADMIN.1 and C:\NOTES\INCR\ADMIN.2
- Run LNINCR:

```
LNINCR C:\NOTES\DATA\ADMIN.NSF C:\NOTES\INCR /r1
LNINCR C:\NOTES\DATA\ADMIN.NSF C:\NOTES\INCR /r2
```

If you are running Windows NT, you can apply Notes incrementals with the LNREST program. See the *Applying Notes Incrementals* (section 32.1.12, page 32-8) below.

### 32.1.5 Using Domino

LNINCR can also use Notes' LAN facilities to create incrementals of databases via Domino Servers. In many cases this is not necessary, however if you find that you are getting errors attempting to access databases locally you may wish to try this facility.

LNINCR is used the same way with the following exceptions:

- You must specify the /vi<server> option.
- <DB File Name> should be specified relative to the \NOTES\DATA directory.
- Your password on the server will be requested. You can enter your password manually, or install USEXTPWD.DLL (see below) and then specify the password on the command line (/p<password>).

Note that fulls will still have to be performed directly on the databases using mapped drives.

For example, to back up all the databases on the Notes server SERVER1, into the directory C:\NOTES\INCR, and your password is ME, specify.

```
LNINCR *.NSF C:\NOTES\INCR /viSERVER1 /pME
```

Restore operate in the similar way. To restore version 1 of NAMES.NSF, specify:

```
LNINCR *.NSF C:\NOTES\INCR /viSERVER1 /pME /r1
```

### 32.1.6 UNIX Domino Servers

The LNINCR program will only operate on 32-bit Windows and OS/2 platforms. However, since Domino is a server itself, you can use the PC version and request the entire database or only the changed Notes be transmitted and saved on the PC for backup by UPSTREAM.

You can use this facility in two ways:

- Use UPSTREAM on the UNIX box to backup the full database and LNINCR on a PC to obtain incrementals. This is generally the best method as it offers the highest performance.
- Use UPSTREAM on the PC to perform both the fulls and incrementals. While somewhat slower, this method offers the advantages of going through the Domino server for all transactions, achieving the highest level of integrity.

Procedures for the two methods are described below.

**NOTE: The Domino user that runs LNINCR on a PC must be authorized for all functions (database creation, replication, etc.).**

### 32.1.7 UNIX fulls/PC Incrementals

Performing the full consists of two steps:

- ☐ Actually backing up the *.NSF files in your Notes data directories (including subdirectories) on your UNIX machine using FDR/UPSTREAM.

- ☐ Running the LNINCR program on the PC which will be performing the incrementals, notifying it that the full was done. Assuming that you are backing up the server AIX3 and the incrementals will be stored in the d:\aix directory, use the following command:

```
LNINCR *.nsf d:\aix /viAIX3 /x /s /f /u
```

The switches mean:

- /viAIX3: /vi means that you are attaching to a Domino server and its name is AIX3.
- /x: This is a UNIX system.
- /s: Include subdirectories
- /f: A full was just performed. All prior incrementals will be removed and the last backup time modified in the update file.
- /u: Enable enhanced return codes.

The process for an incremental is:

- ☐ Using LNINCR, request incremental of the Notes databases on the server. For example, using the names above:

```
LNINCR *.nsf d:\aix /viAIX3 /x /s /u
```

- ☐ When the job has completed and has returned a return code of 0 or 1 backup the directory where the incrementals were created.

To perform a restore:

- ☐ Restore the databases on the UNIX machine using UPSTREAM.

- ☐ Restore the incremental databases using UPSTREAM on the PC.

- ☐ Then apply the incrementals from the PC using LNINCR directly or LNREST (see above).

### 32.1.8 Using the PC for both fulls and incrementals

The process for a full is:

- ☐ Using LNINCR, request a full of the Notes databases on the server. For example, if the server is AIX3 and you will be storing the files on D:\AIX, run a job with the following command line (note that case is important in UNIX):

```
LNINCR *.nsf d:\aix /viAIX3 /x /s /w /u
```

The switches mean:

- /viAIX3: /vi means that you are attaching to a Domino server and its name is AIX3.
- /x: This is a UNIX system.

- /s: Include subdirectories
- /w: Perform a whole database backup.
- /u: Enable enhanced return codes.

☐ When the job has completed and has returned a return code of 0 (all databases successful), or 1 (some databases failed), backup the directory where the files were copied. In the above example, this would be d:\aix.
The process for an incremental is:

☐ Using LNINCR, request incremental of the Notes databases on the server. For example, using the names above:

```
LNINCR *.nsf d:\aix /viAIX3 /x /s /u
```

☐ When the job has completed and has returned a return code of 0 or 1 backup the directory where the incrementals were created.

To perform a restore:

☐ Restore the full and all the incrementals you wish to apply to the PC; be sure you have adequate disk space.

☐ Apply the full. You can use LNINCR directory or LNREST (above). If the full already exists you will want to specify the /w command to keep it from applying the non-data notes (or check the *Skip non data notes* checkbox); otherwise specify the /c option (or check the *Create if it doesn't exist (whole backups)* checkbox) to have the database created and have the non-data notes applied.

☐ Apply the incremental databases normally.

### 32.1.9 Monitoring

LNINCR writes all of its output to the screen as well as the USLN.LOG file. This includes the names of each of the databases as wildcards and subdirectories are resolved as well as other status information. This can be quite voluminous. We suggest that you periodically edit the USLN.LOG file and search for all entries which are preceded by **Error:.**

These entries indicate unexpected problems in processing. Also, at the end of each run, the number of databases successfully processed as well as the number that failed will also be logged.

LNINCR returns a program return code. This will be non-zero (indicating failure) only if there was a fatal error prohibiting all processing or if the number of successful databases processed is 0. Thus you must check the log as well as the program return code to verify that all your important databases are properly processed.

### 32.1.10 USEXTPWD.DLL

USEXTPWD is a Notes Extension Manager application which LNINCR knows how to access to intercept Notes' password request prompt and continue, using the password specified on the LNINCR command line. It must be installed into your NOTES.INI file to be properly accessed.

First, copy the USEXTPWD.DLL from the \UPSTREAM\OS2 or \UPSTREAM\WIN32 directory on the CD to your main Notes directory (usually C:\NOTES).

In your NOTES.INI file (usually in the C:\NOTES directory or your primary system directory (C:\WINNT for Windows NT for example), add the following line:

```
EXTMGR_ADDINS=USEXTPWD.DLL
```

USEXTPWD.DLL writes to the screen and to a log file (USEXTPWD.LOG) whenever it is loaded and it logs whenever a password is used. This log can be cleaned using USLOGCLR and should be checked if you have unexpected password errors.

### 32.1.11 Migrating Old Notes

There is a command line option which allows you to migrate old data notes. This can be useful, for example, in generically migrating old email messages to a separate Notes database which can be migrated using UPSTREAM migration.

The command line option is: /m<days> where any notes which are <days> old will be migrated. When you specify this option, the /b option (include non-data notes) will be set automatically.

For example to migrate notes older than 90 days in the file C:\NOTES\DATA\BOB.NSF to the directory C:\MIGRATE enter:

```
LNINCR C:\NOTES\DATA\BOB.NSF C:\MIGRATE /m90
```

### 32.1.12 Applying Notes Incrementals

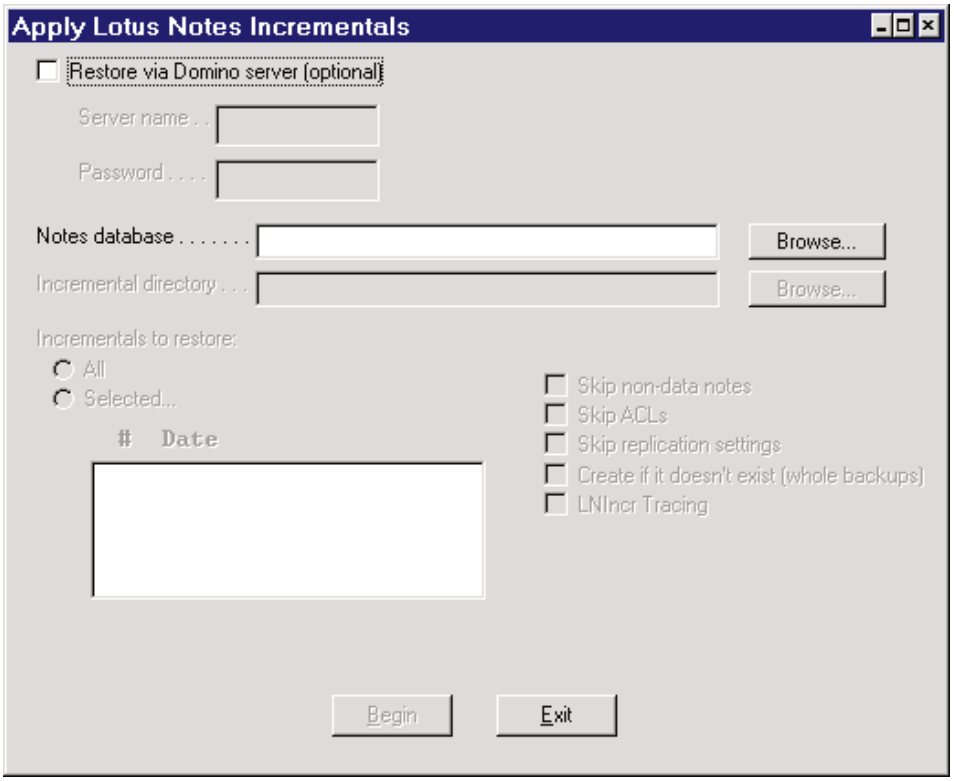
FDR/UPSTREAM now includes a graphical user interface for applying Lotus Notes Incrementals. Complementing the existing LNINCR.EXE which is designed to extract and insert changed notes (creating and applying incrementals), the new facility allows easy application of changed notes during a recovery. This facility is only available for 32-bit Windows.

This new program, LNREST.EXE, is run when you need to recover a Notes database to a specific point in time. It is significantly easier than manually running LNINCR multiple times. It is designed to be used to apply one or more incrementals from a single database.

Run LNREST after performing the following steps using UPSTREAM:

- Restore the full backup of the Notes database.
- Restore the incrementals, generated by LNINCR, that you wish to apply.

Note the directories where you performed the restores and then run the LNREST.EXE program This program must be in the UPSTREAM directory with LNINCR.




- **Restore via Domino server (optional):** Check this box if you wish to restore via a Domino server; otherwise Notes will be applied directly. The default is not checked. Ask your Notes administrator for the best method. If you check this box the fields below are enabled. **Server name** is a required field and it is the Domino server you wish to use for the restore. If USEXTPWD.DLL has been installed, you should enter a password in the **Password** field; otherwise Notes will prompt you for the password.

- **Notes database:** Enter the fully qualified file name of the Notes database for which you wish to apply the incrementals. If you did not check *Restore via Domino server* you can press the **Browse...** button next to the edit field to help you enter the file name. If your restore is via a Domino server, enter the name of the database relative to the Notes DATA directory (use backslashes even for UNIX servers). Only when the file name is valid and you have exited the edit field will the *Incremental directory* field be enabled.

- **Incremental directory:** Enter the directory name (not the file names) where you restored the incrementals to. You can press the **Browse...** button next to the edit field to help you enter the directory name. Only when you have specified a directory which contains incrementals which match the Notes database and exited the edit field will the remaining fields be enabled.

- **Incrementals to restore:** Press the **All** radio button to apply all the incrementals in the directory; press the **Selected...** radio button to select specific incrementals to apply.

  If you press the *Selected...* radio button you must highlight at least one incremental in the list box below. The selection list box is filled in automatically with incrementals from the selected directory of the specified database. Each line lists the incremental number and the date it was taken. This is a multiple-selection list box, where you select entries with the mouse and they are displayed highlighted.

- **Skip non-data notes:** Check this box if you included non-data notes in your incrementals or you otherwise with to skip non-data notes. The default is not checked.

- ☐ **Skip ACLs:** Check this box if you wish to skip Access Control Lists, which are used for security. The default is not checked.
- ☐ **Skip replication settings:** Check this box if you wish to skip replication settings. The default is not checked.
- ☐ **Create if it doesn't exist (whole backups):** If you used the /w option in LNINCR and you are using LNINCR to recreate the database (rather than UPSTREAM), check this box. All other users should leave it unchecked.
- ☐ **LNIncr tracing:** Check this box on request of UPSTREAM technical support.

When you have filled in the required fields, the **Begin** button will be enabled. When you press Begin, you will be asked if you wish to begin applying the incrementals. Press the **Ok** button to begin.

The incrementals are applied by successive calls to LNINCR. You will see both a status screen in LNREST showing you the current incremental being applied and the time of the run, and you will see a Console display which will flash up showing the status with each call to LNINCR.

If a call to LNINCR fails, the process will stop and a message box will be displayed which will ask you if you wish to run NOTEPAD to view the USLN.LOG file. When you exit the NOTEPAD display you will be returned to the LNREST dialog.

If the run is successful, a message box will display the number of databases applied and then you will be returned to the LNREST dialog.

When you press the **Begin** button, your settings are saved to the file LNREST.DFT. Most of your settings will be recovered (except for specified selections and the password) the next time you enter LNREST.

# 32.2 Lotus Notes R5

FDR/UPSTREAM now supports Notes R5 databases using the Lotus Notes backup API. This means that UPSTREAM can perform on-line full backups of databases (even if in use) as well as incremental backups by backing up Notes transaction logs - essential if you are using transaction logging (which Lotus highly recommends). This feature is currently in beta.

## 32.2.1 Transaction Logging

Notes R5 introduces the concept of transaction logs. Notes uses them for 3 purposes:

- To avoid the use of the Fixup task. Transaction logs allow internal database recovery much quicker on system failure.
- Improves performance as database updates are deferred.
- Allows incremental backups, as you just backup the transaction logs.

Because of the way that transaction logging works, the Lotus Notes Backup API requires that Notes databases be processed quite differently than your normal file backups both for fulls and incrementals.

**NOTE: All the *.nsf, *.ntf, and *.box databases files and the *.lfh and *.txn transaction log files in the \Lotus\Domino\Data directory and its subdirectories should be excluded from your normal backups and processed using the UPSTREAM Notes R5 backup agent.**

The UPSTREAM Notes R5 backup agent uses the Notes backup API. Through its use, full backups are able to access all databases, even if in use and are guaranteed to have all transactions committed.

The Notes backup API requires that you perform your backups locally on the Domino server.

While you can exclude specific databases from transaction logging, once you enable transaction logging all databases will use it by default.

Incremental backups are simply backups of the transaction logs. There are only one set of transaction logs for a given Domino server; all databases use the single set of logs. Notes actually creates transaction logs in multiple 64MB files. Thus the smallest incremental is 64MB. A transaction log can **not** be archived **until** it has been filled and Notes has switched to a new transaction log. This would result in incrementals which don't back up any data (resulting in transactions which don't get backed up for several days). The UPSTREAM NotesR5 backup agent deals with this limitation (described in the FORCELOG parameter below).

## 32.2.2 Steps

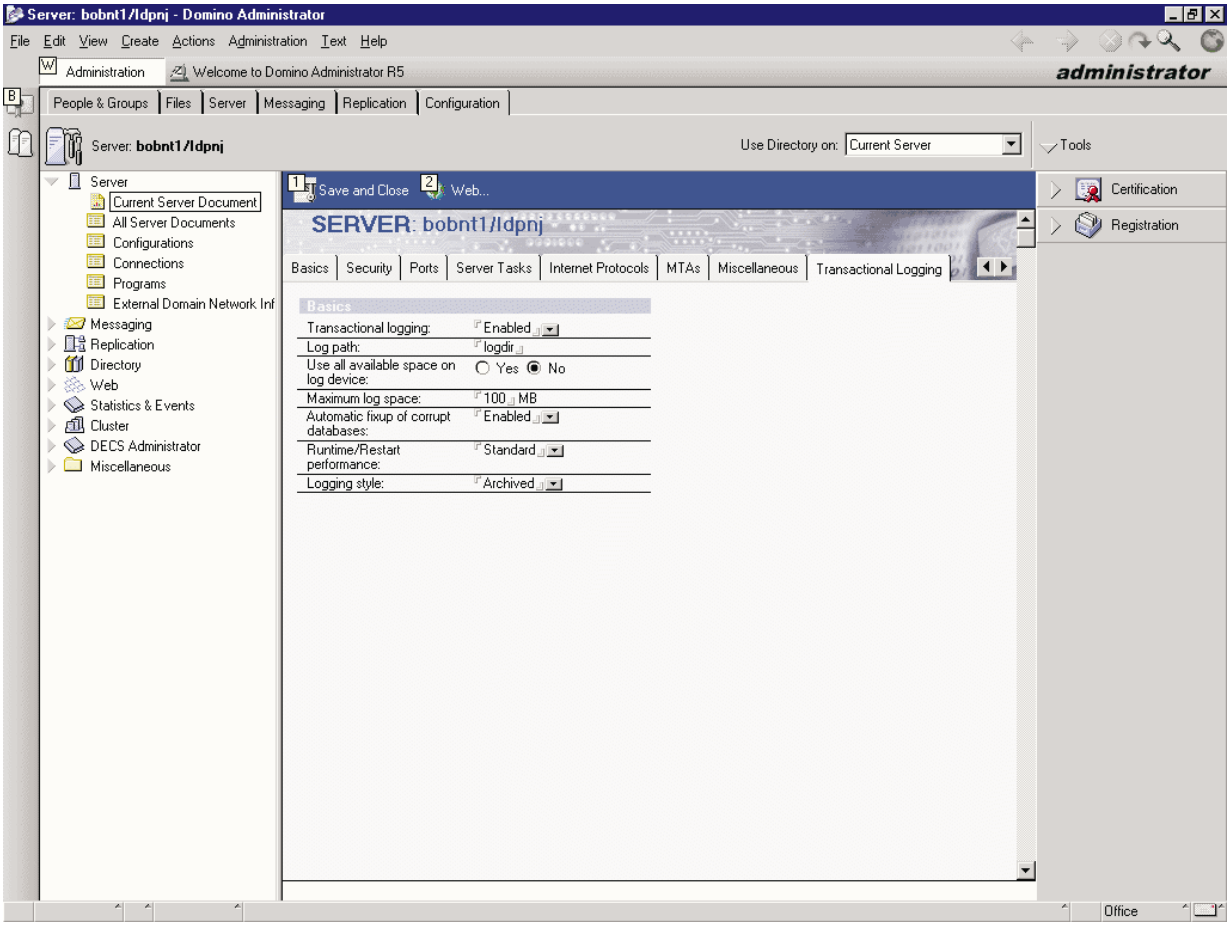
The following are the steps to using the Notes R5 database agent:

- Exclude the Notes databases and transaction logs from your normal production backups (see above).
- Verify that you have a large amount of free disk space in your UPSTREAM Work Path, equal to the largest Notes database.

- Run the Domino Administrator and set up transaction logging (below).
- Verify that the \Lotus\Domino directory is in your PATH and ahead of your \Lotus\Notes directory. For Windows NT, use the Environment tab of the System Applet in the Control Panel. Make sure that <drive>:\Lotus\Domino is in the System Variables PATH environment variable.
- Create a USForceLog.nsf in the \Lotus\Domino\Data directory if you will be using the FORCELOG option (see below).
- Setup UPSTREAM parameter files (if PC initiating) or host jobs (if host initiating) using the correct file specs and plug in parameters (see below).

### 32.2.3 Domino Administrator Setup

The Lotus Domino Administrator program allows you to define and modify transaction logging parameters. In the Configuration tab, highlight the server document and select the Transactional Logging sub-tab. We recommend the following options:




☐ **Transactional logging:** It must be set to **Enabled**.

☐ **Log path:** We recommend that you use the default of **logdir**.

- ☐ **Use all available space on log device:** We recommend that you set this option to **No** as setting it to yes may lead to system instability.

- ☐ **Maximum log space:** There is a bug in Notes where the minimum transaction log size is actually 192MB; specifying a smaller value will result in 192MB being used (see Lotus TechNote #173422). Setting this to a larger value is recommended so long as you leave some free space on the disk.

- ☐ **Logging style:** We recommend that you specify **Archived** to allow database recovery.

  The database instance ID (DBIID) allows association between databases and transactions in the transaction logs. When Notes assigns a new DBIID to a database, it can no longer recover transactions from its transaction logs. It does this in the following circumstances:

  - You enable transaction logging for the first time.
  - You run the Compact server task with any options.
  - You run the Fixup task on corrupt databases.
  - You change the log path or maximum log size after initial setup and use.
  - You move a Domino Release 5 database from one logged server to another logged server or from an unlogged server to a logged server.

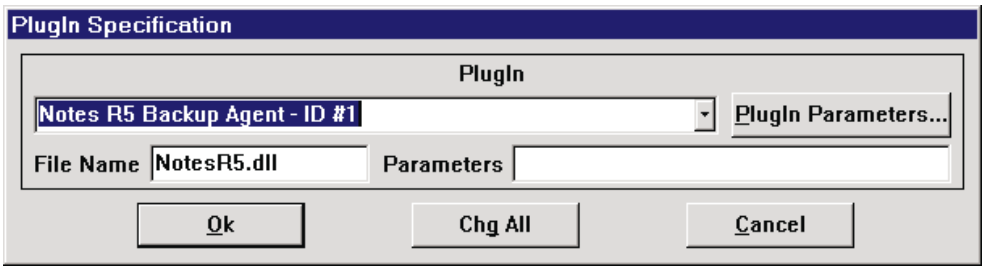
  We strongly recommend that you perform a full backup after any of these conditions occur. Thus after saving the parameters above, you should plan on a full backup.

### 32.2.4 UPSTREAM Plugins

The UPSTREAM Notes R5 backup agent is the first of many applications to use an UPSTREAM "plugin". A plugin is a DLL or shared library which is loaded into UPSTREAM when needed and extends UPSTREAM's capabilities without the use of an external program. A single backup can include files which may or may not use plugins and plugin types can be mixed. Plugins only work in Windows NT in this release.

There are only two new parameters when using an UPSTREAM plugin: **PLUGIN** which specifies the name of the plugin file (without the directory but with the extension), and **PLUGINPARAMETERS** which is a single string which may consist of any number of parameters to be used by the plugin. Plugins must be installed in the **\plugin** subdirectory immediately below the UPSTREAM directory.

From the UPSTREAM user interface, there is a new button **PlugIn...** in the main backup specification dialog:

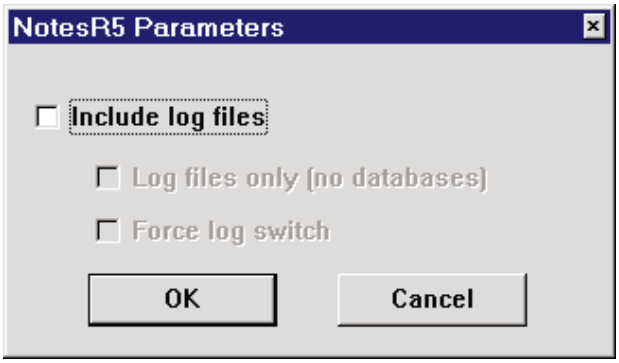



- ☐ **PlugIn:** This is a combo box, select **No PlugIn** if you do not wish to use plugins for this file specification. For the Notes R5 plugin select **Notes R5 Backup Agent - ID #1**.

- **File Name:** This is the file name of the plugin and should not generally be changed. For the Notes R5 plugin it is **NotesR5.DLL**.

- **Parameters:** You can manually enter or view the plug in parameters as modified by pressing the **PlugIn Parameters...** Button. The parameters are described below.

- **PlugIn Parameters...:** Many plugins provide a dialog which allow you to specify the parameters for the plugin. If there is not a dialog available, the button will be greyed and you must enter the parameters manually. For the Notes R5 plugin for Windows NT the dialog is below:




- **Include log files:** Check this box if you wish the backup or restore to also include log files. We recommend log files be included with all Notes R5 backups. The parameter for this is **LOGFILES=Y**.

- **Log files only (no databases):** Check this box if you wish the file spec to be ignored for this backup and only transaction logs included. This checkbox is grayed if this is a restore or if this is a backup until you press Include log files above. This is recommended for incrementals. The parameter is **LOGFILES=O** (letter 'o' not a zero).

- **Force log switch:** Since transaction logs can not be backed up until they are full, checking this box causes UPSTREAM to generate transactions which will cause the transaction log to switch.

  This is done by constantly copying a file **USForceLog.nsf** to ustmp.nsf (in the Notes data directory) using the Notes API call to copy databases. You must manually copy and/or create a USForceLog.nsf to use this facility. The parameter is **FORCELOG=Y**. This checkbox is grayed for restores or for backups until you press Include log files above.

  If you are host initiating a you must specify, in the repeating section of the PC parameters (those that begin with SPECNUMBER) the following parameters. Note that if you are running UPSTREAM/MVS v3.1.1 or earlier you must set VERIFY=NO to allow unknown PC parameters to be accepted.

```
PLUGIN NOTESR5.DLL
PLUGINPARAMETERS <parameters>
```

  The PLUGINPARAMETERS <parameters> values are:

| Title | Default | Meaning |
|---|---|---|
| FORCELOG | N | Y: The transaction log will be forced to switch so that it can be backed up. You must specify LOGFILES Y or LOGFILES O for this option to be valid and you must create a USForceLog.nsf in the Domino data directory..<br>N: The transaction log will not be forced to switch. |

| Title | Default | Meaning |
|---|---|---|
| LOGFILES | N | N: Log files are not included in the backup or restore.<br>Y: Log files are included in the backup or restore.<br>O: The file specification is ignored for this file spec and ONLY log files are included in this backup. |

You can specify a full-merge for full backups, but all the files will be transmitted as there is no inherent way to determine if a database has been changed (even by modification date or archive bit) as there may be transactions which are pending.

**Restart is not supported for full or incremental Notes R5 backups.**

Thus, for full-backups we'd recommend the following JCL (assuming that the Domino data directory is C:\Lotus\Domino\Data):

```
ACTION 1
BACKUPPROFILE <profile>
STORAGETYPE 3   * TAPE
MERGE 3         * FIRST-TIME FULL
LOGNONFATAL Y
*
SPECNUMBER 1
FILES C:\LOTUS\DOMINO\DATA\*.NTF
PLUGIN NOTESR5.DLL
PLUGINPARAMETERS LOGFILES=N
*
SPECNUMBER 2
FILES C:\LOTUS\DOMINO\DATA\*.NSF
PLUGIN NOTESR5.DLL
PLUGINPARAMETERS LOGFILES=N
*
SPECNUMBER 3
FILES C:\LOTUS\DOMINO\DATA\*.BOX
PLUGIN NOTESR5.DLL
PLUGINPARAMETERS LOGFILES=N
```

For incremental backups, if you wish to enable the FORCELOG option, we'd recommend the following:

```
ACTION 1
BACKUPPROFILE <profile>
STORAGETYPE 3   * TAPE
MERGE 2         * INCREMENTAL
LOGNONFATAL Y
*
SPECNUMBER 1
FILES C:\LOTUS\DOMINO\DATA\*.NTF   * IGNORED
PLUGIN NOTESR5.DLL
PLUGINPARAMETERS LOGFILES=O FORCELOG=Y
```

### 32.2.5 Restores

If you restore a database from a full backup it is guaranteed to be complete, as of the date/time of the backup. To restore one or more databases from a full, merely select them in List and Restore, press the PlugIn button and specify the NotesR5.DLL plugin with no plug in parameters. You will need to be sure that Domino and Notes

do not have them open. After closing a database which was open via Domino, you may have to wait a few minutes for Domino to actually close it. You can specify a new destination if you wish.

To restore databases as of the latest incremental, you must specify LOGFILES=Y for the plug-in parameters. The database should not be renamed (specify no Destination).

There are two steps that UPSTREAM performs in log file restores. First it restores the database(s). Then it calls Notes, providing it with the names of the databases and asks it to apply the logs. If the transaction logs are not currently on disk, it will ask UPSTREAM to perform the restore(s). When it completes, the databases should be properly recovered.

If a database has problems (for example, the DBIID has changed), Notes will stop the recovery without completing it. Thus we recommend breaking down your log file restores into multiple restore requests so that the failure in one will not require you to repeat the process multiple times.

### 32.2.6 Errata

The following come from experiences with Notes:

- Do NOT backup all files in the Domino data directory using the UPSTREAM Notes R5 plugin as it may result in UPSTREAM crashing (in a Notes API call). You should only backup the Notes database files (*.nsf, *.ntf, and *.box).
- Any failure in UPSTREAM during a Notes backup or restore may hang UPSTREAM or Notes when they attempt to come up later. You must either reboot the machine, or stop all the Notes/Domino processes and manually terminate (using Task Manager) all other Notes processes especially nlogasio.exe.
- If you perform two log file backups in a row one right after another with the FORCELOG=Y option specified, you may get “in-use” errors accessing the temporary database (ustmp.nsf). You should retry the backup as Domino will free it up shortly.
- In Windows NT, the UPSTREAM restore status window during a log file restore is not associated with the UPSTREAM main window in the same way as it is during standard restores. This is due to the fact that the Notes log file restore facility calls UPSTREAM in a callback procedure from a different thread. This results in the status display not being centered or minimized correctly.
- Note that a FORCELOG backup that follows a FORCELOG backup which wasn’t able to completely backup the log(s) will result in the log being forced to switch again. This will greatly increase the size of your log backup. We recommend monitoring your log backups to verify that they complete.

# 33 Microsoft Exchange

Microsoft Exchange is an E-mail management system which is hosted on a Windows NT Server system.

FDR/UPSTREAM includes an exchange agent which uses the Microsoft Exchange Client API for performing backups and restores. This is the method that Microsoft documents and expects backup vendors to use and is based on the idea that DS (directory database) and MDB (Information Store) will be backed up and restored in their entirety. Most users will use this facility; it is described on beginning on page 33-2

The objects stored in the Exchange databases are arranged in a hierarchical structure. The hierarchical structure in the DS database is referred to as the Directory Information Tree (DIT) while the hierarchical structure in the MDB database is a set of mailboxes each with a tree of mail folders.

FDR/UPSTREAM contains a database agent program named MSExchMB.exe which combines the hierarchical structures in the DS and MDB database into a single hierarchical structure and backs it up as though it were a file system. This new functionality allows the individual objects, mailboxes and folders to be backed up as a hierarchy of individual entities which can then be restored either individually or as a group. See page 33-17 for a description of the facility.

# 33.1 Microsoft Exchange Backups

### 33.1.1 Overview

Microsoft Exchange is an E-mail management system which is hosted on a Windows NT Server system. FDR/UPSTREAM includes an exchange agent which uses the Microsoft Exchange Client API for performing backups and restores of each of the two Exchange databases (DS and MDB) in their entirety. This is the backup method recommended and supported by Microsoft.

# 33.2 Installation and Configuration

### 33.2.1 Prerequisites

The main component for using FDR/UPSTREAM to backup and restore the Microsoft Exchange databases is a program named **MSExch.EXE**. This is a Windows NT console application that can be executed from a Windows NT command line or from the *Start* or *Run* dialog box and provides all of the functionality required to perform backups and restores for the Microsoft Exchange databases.

MSExch.EXE is a front end program to UPSTREAM/PC (US.EXE) that uses US.EXE and the Microsoft Exchange Client API to backup and restore the Exchange databases. Depending on how it is configured and the version of UPSTREAM/PC (US.EXE) you are using, you can have the backups and restores performed using either disk files or named pipe connections

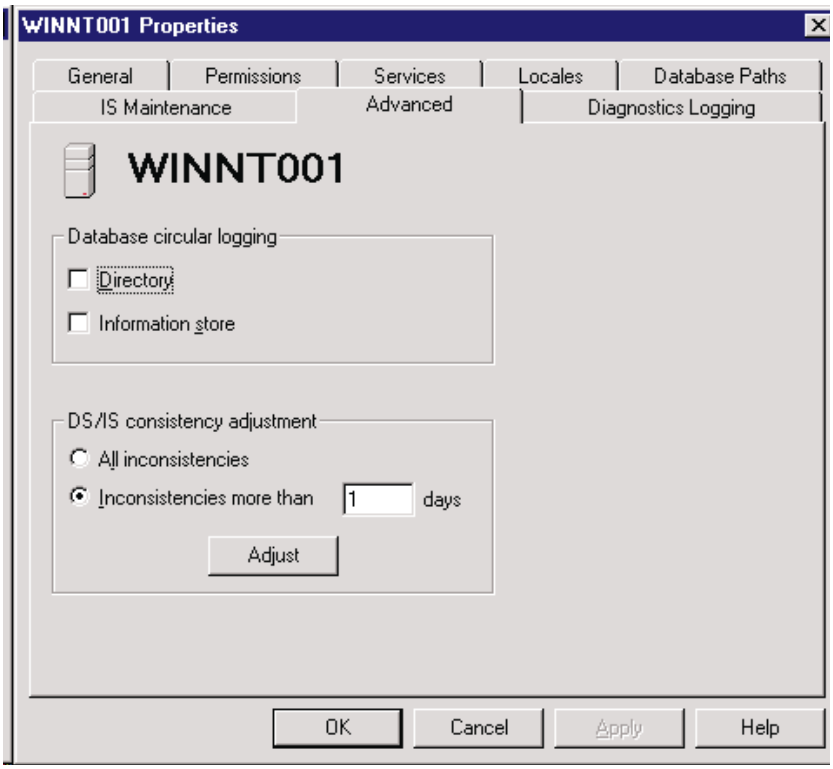
Since named pipes are much more efficient than disk when performing backups and restores, a named pipe is the suggested type of media to be used.

### 33.2.2 Planning

In Microsoft Exchange version 5.0 there are two databases that have to be backed up. These are the **Directory** database (named “Exchange DS Database” here) and the **Information Store** database (named “Exchange MDB Database” here). The backup plan that you create should deal with backing up both of these databases.

Full and incremental backups can be performed on both of the Microsoft Exchange databases while they are active (i.e. currently in use). This means that you do not have to plan any down time for the databases while they are being backed up.

A full backup of a databases can be performed at any time. An Incremental backup can be performed only if “Circular Logging” has been disabled for the database. Circular logging can be set on neither, one or both of the databases independently of the other. To enable or disable circular logging for either database, use the Microsoft Exchange Administrator program, select the Exchange server that owns the database(s) you want to enable or disable circular logging for, select the properties option for the Exchange server in question and select the **Advanced** tab on the **Properties** dialog. Following is the **Advanced** page of the **Properties** dialog for a server named “WINNT001”:




To allow incremental backups of the Directory database you should uncheck the **Directory** check box.

### 33.2.3 Microsoft Exchange Configuration

Other than setting the appropriate circular logging options for the two Exchange database, there are no other Microsoft Exchange configuration steps to perform.

### 33.2.4 FDR/UPSTREAM Configuration

There are a number of FDR/UPSTREAM related steps that need to be performed. These are as follows:

- 1. Determine the MVS storage requirements for the full and incremental database backups.
- 2. Create a FDR/UPSTREAM for MVS backup profile enabled for merge backups. There are no special requirements for the options used for this backup profile other than the enabling of the merge backup option.
- 3. A plan for vaulting the FDR/UPSTREAM for MVS backup datasets for the backup profile should be created to ensure that a failure of MVS storage media does not prevent restoration of one or both of the Microsoft Exchange databases.
- 4. Perform the MSExch.EXE configuration process to prepare MSExch.EXE to backup and restore both of the Exchange databases. This is done by supplying the values for a number of configuration parameters.

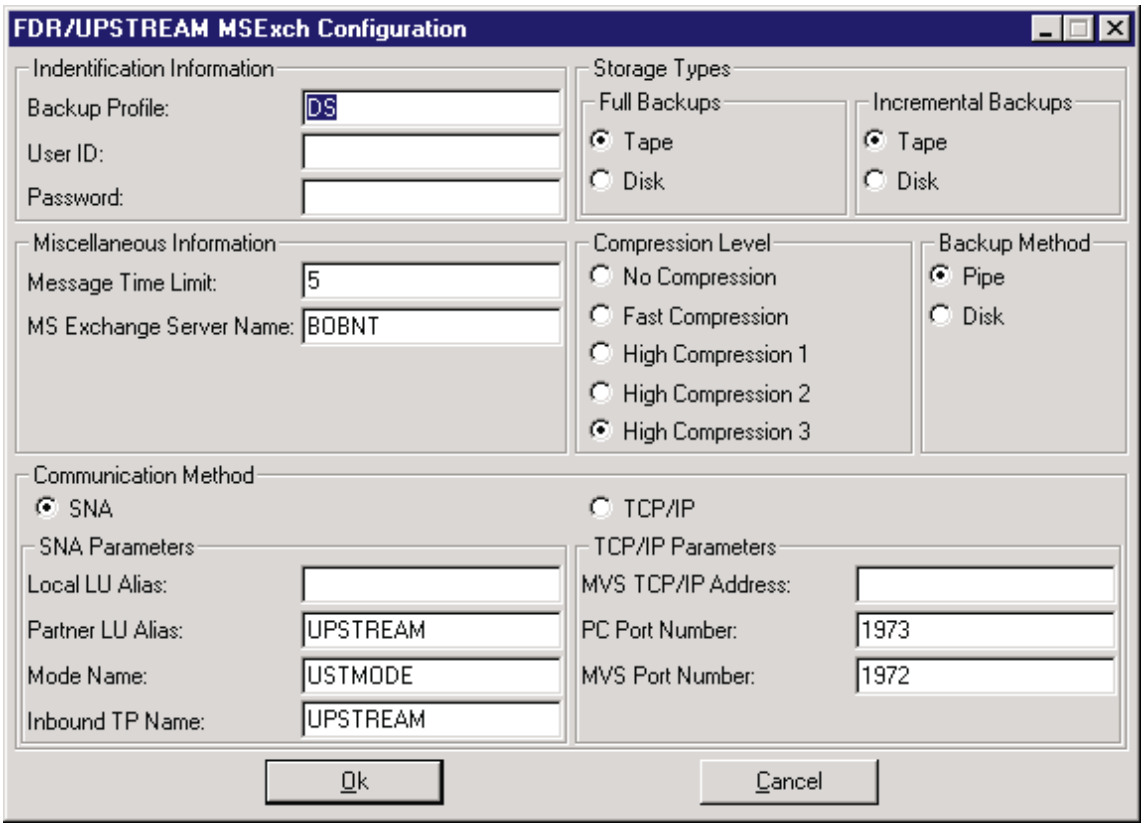
The first three steps listed above are no different from those for any of the servers you are already using FDR/UPSTREAM to back up. The last step is the special MSExch.EXE configuration. To configure a Microsoft Exchange database to be backed up using MSExch.EXE, execute the following two commands from a Windows NT command line:

```
MSExch CONFIGURE DS
MSExch CONFIGURE MDB
```

DS refers to the Directory database and MDB refers to the Information Store database.

The MSExch CONFIGURE command must be executed once for each of the two Exchange databases.

When executed, the MSExch CONFIGURE command will create a subdirectory structure under C:\UPSTREAM (assuming that this is the name of the directory in which MSExch.EXE was installed) for the specified database and then create a file named MSExch.CFG. The MSExch.CFG file contains a number of parameters that subsequent executions of MSExch.EXE will use to perform its functions. Default values will be supplied for most of these parameters, but other parameters need to have specific user- defined values supplied for them. Once invoked to perform the configuration process, MSExch.EXE will display the following configuration dialog:




Most fields are required, and you may need to modify some to best fit your environment:

- **Backup Profile:** The name of the backup profile defined in the UPSTREAM/MVS configuration dataset. The directory database and the information store must use different backup profiles.
- **User ID:** Your host user ID. This is required only if you have security enable for FDR/UPSTREAM MVS.
- **Password:** Your host password. This is only required if you are required to enter a host user ID above.
- **Storage Types/Full Backups:** The MVS storage type to be used to back up the full database. This can be either disk or tape. The default is tape.

- **Storage Types/Incremental Backups:** The MVS storage type to be used to back up the transaction logs. This can be either disk or tape. The default is tape.

- **Message Time Limit:** The number of seconds that UPSTREAM/PC will display a message before it times out. This can be either -1(for no message display) or a number in the range 1 - 20.

- **MS Exchange Server Name:** The name of the MS Exchange server that owns the database.

- **Compression Level:** The FDR/UPSTREAM compression level to which the database and log data will be compressed as it is backed up to the host. This can be either No Compression, Fast Compression, High Compression 1, High Compression 2, or High Compression 3. The default is High Compression 3.

- **Backup Method:** The method by which backups and restores to the database are performed. This can be either pipe or disk. The pipe method is the most efficient and is the default.

- **Communication Method:** The communication protocol that UPSTREAM/PC should used to communicate with UPSTREAM/MVS. This can be either SNA or TCP/IP.

  You must enter the following if you are connecting with SNA:

- **Local LU Alias:** The SNA LU alias assigned to UPSTREAM/PC. This LU alias must be different than the one used in your normal UPSTREAM/PC configuration if you will be initiating the MSExch.EXE command from UPSTREAM/MVS.

- **Partner LU Alias:** The SNA LU alias assigned to UPSTREAM/MVS.

- **Mode Name:** The SNA mode name to be used by UPSTREAM/PC to communicate with UPSTREAM/MVS.

- **Inbound TP Name:** The SNA Transaction Program (TP) name for UPSTREAM/PC.

  You must enter the following if you are connecting with TCP/IP:

- **MVS TCP/IP Address:** The TCP/IP address assigned to UPSTREAM/MVS.

- **PC Port Number:** The TCP/IP port number assigned to UPSTREAM/PC. This port number must be different than the one used in your normal UPSTREAM/PC configuration if you will be initiating the MSExch.EXE command from UPSTREAM/MVS.

- **MVS Port Number:** The TCP/IP port number assigned to UPSTREAM/MVS.

Once all of the required parameter values are supplied and verified, MSExch.EXE creates or modifies the MSExch configuration file (MSExch.CFG) and creates an UPSTREAM/PC configuration file (UPSTREAM.CFG) and three UPSTREAM/PC parameter files (DBBKUP.DAT, LOGBKUP,DAT and RESTORE.DAT).

The C:\UPSTREAM\MSExch directory is common to both of the Microsoft Exchange databases that you will use MSExch.EXE to backup and restore. This directory contains **MSExch.LOG** file which details all of the backup and restore activity performed by MSExch.EXE for all databases.

The C:\UPSTREAM\MSExch\DS directory contains the MSExch.EXE configuration file (MSExch.CFG) and the FDR/UPSTREAM configuration and parameter files (UPSTREAM.CFG, DBBKUP.DAT, LOGBKUP.DAT, RESTORE.DAT).

**WARNING: If you choose to modify the FDR/UPSTREAM files outside of the MSExch CONFIGURE process note that your changes will be lost the next time a MSExch CONFIGURE process is performed and will have to be reapplied.**

The C:\UPSTREAM\MSExch\DS\CtlFiles directory is used by MSExch.EXE to create work files. This directory name is also listed in the UPSTREAM.CFG file as the WORKPATH parameter which means that FDR/UPSTREAM itself will use it for its work files as well.

Finally the C:\UPSTREAM\MSExch\DS\BkpFiles directory is used for storing Microsoft Exchange backup files temporarily, only if disk files are used to perform the backups or restores.

If you wish to use UPSTREAM/SOS for your backups see page 33-13 for a description of additional configuration procedures.

**SNA Note: You will notice that the comment for the UPSTREAMLocalLUAlias parameter listed above states: *This LU Alias must be different than the one used in your normal UPSTREAM/PC configuration if you will be initiating the MSExch.EXE command from the host*. The reason for a unique LU Alias has to do with the way in which MSExch BACKUP is run when it is initiated from the host via a USTBATCH job. The Host Initiation of MSExch.EXE section covers this topic in detail.**

# 33.3 Usage

The MSExch.EXE file provides all of the functionality needed to use FDR/UPSTREAM to perform backups and restores for both Microsoft Exchange databases.

The proper syntax for MSExch.EXE is:

```
MSExch BACKUP DatabaseName FULL
MSExch BACKUP DatabaseName INCREMENTAL
MSExch RESTORE DatabaseName VersionNumber [NOSTOP] [NOSTART]
MSExch INQUIRE DatabaseName
MSExch CONFIGURE DatabaseName
```

Where:

- **DatabaseName** is the name of the database to be backed up or restored. This must be either "DS" or "MDB".
- **VersionNumber** is the UPSTREAM version number for the full or incremental backup to be restored. If the version number specified is an incremental backup, the previous full backup for the database will be identified and a restore will be performed for each of the versions from the full backup up to and including the incremental backup version specified.

The RESTORE optional modifiers:

- **NOSTOP** By default, MSExch will stop the appropriate service before beginning the restore. The service must be stopped before the restore will work. If you wish to verify that the restore is going to the correct server, you can specify this option and then manually stop the service before the restore.
- **NOSTART** By default, MSExch will restart the service after the restore. You must restart all of the services before restarting the machine. However, if you will be restoring both MDB and DS, you must not restart the services between restores (you must wait until the last restore has completed).

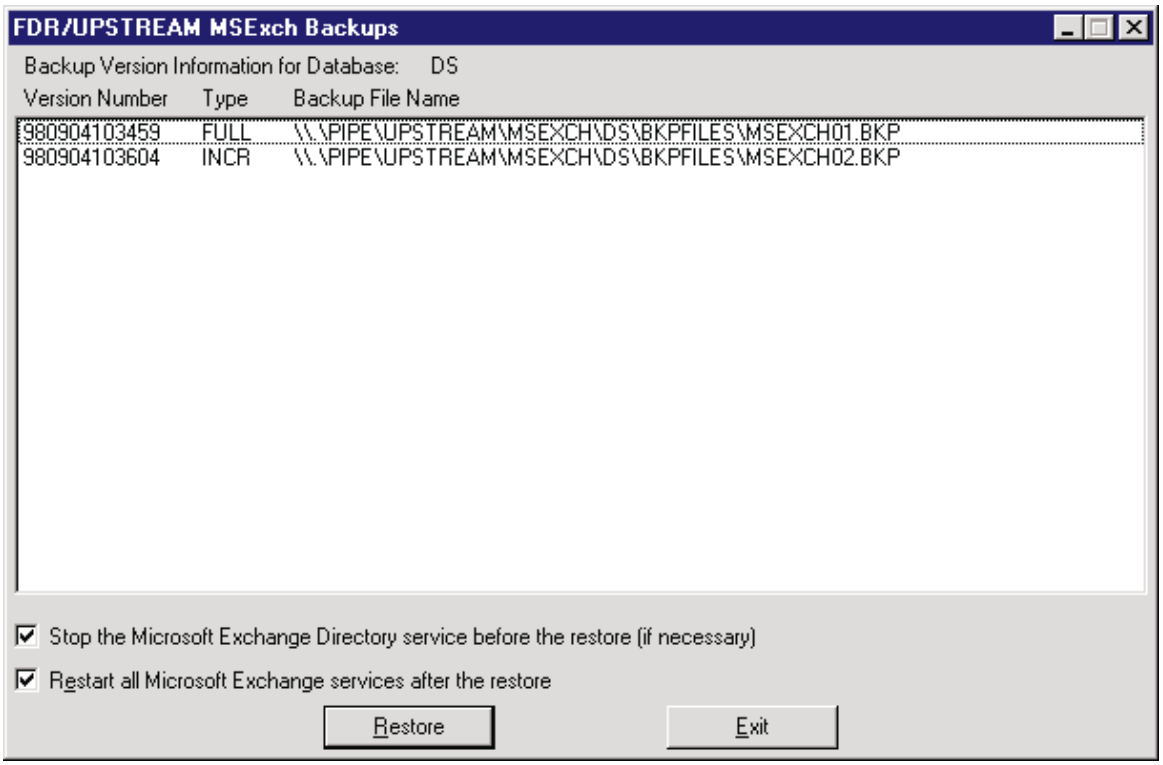
The database name supplied to MSExch.EXE must be either "DS" or "MDB". "DS" refers to the **Directory** database and "MDB" refers to the **Information Store** database. Before performing any backups or restores you need to check the state of the appropriate Exchange service as follows:

- Before executing "MSExch.EXE BACKUP DS" you must make sure that the "**Microsoft Exchange Directory**" service is running.
- Before executing "MSExch.EXE RESTORE DS" you must make sure that the "**Microsoft Exchange Directory**" service is stopped.
- Before executing "MSExch.EXE BACKUP MDB" you must make sure that the "**Microsoft Exchange Information Store**" service is running.
- Before executing "MSExch.EXE RESTORE MDB" you must make sure that the "**Microsoft Exchange Information Store**" service is stopped.

To perform a full database backup of a database, you would execute a 'MSExch BACKUP DatabaseName FULL' command. To perform an incremental backup of only a database's log files you would execute a 'MSExch BACKUP DatabaseName INCREMENTAL' command.

If you are going to restore both DS and MDB you must not restart the appropriate service before restoring both databases. However, when all restores are complete you must restart the appropriate service(s) before you restart the machine. Also, you must not perform a registry restore before you restart the appropriate service(s).

To perform a restore of a database with or without the restoration of any of its log files you would first use the 'MSExch INQUIRE DatabaseName' command to display a list of available FDR/UPSTREAM backups and their version numbers. The MSExch INQUIRE process will display the following dialog:




The two checkboxes are:

- **Stop the Microsoft Exchange Directory Service before the restore (if necessary):** Since the directory service must be stopped for the restore to be successful, this checkbox is checked by default.

- **Restart all Microsoft Exchange services after the restore:** Microsoft Exchange will report spurious errors if the services are not restarted after a restore, but are automatically started as the result of a reboot. Checking this box will keep these errors from occurring. You should not check this box if you are going to be restoring the other Exchange database before you restart the machine. This checkbox is checked by default.

To restore from a particular backup version, you first select the version to be restored and press the **Restore** button or just double-click on the version entry.

If you wish, you can command line execute the restore. For example, to restore the Directory service with UPSTREAM version date 980224110744, enter:

```
MSExch RESTORE DS 980224110744
```

Since the specified version number is from an incremental backup, MSExch will first restore from the previous full backup (version 980224110704) and then restore version 980224110744.

The method by which MSExch.EXE performs these backups and restores (either via a disk file or a named pipe), and the other parameters that control how MSExch.EXE and UPSTREAM/PC (US.EXE) operate, are determined at the time that the database is configured for MSExch.EXE as explained in the **FDR/UPSTREAM Configuration** section (section 33.2.4).

Each execution of a MSExch command causes MSExch.EXE to log its activity in a file named MSExch.LOG. This file is maintained in the C:\UPSTREAM\MSExch directory and has a format similar to a regular UPSTREAM.LOG file. In other words, each entry in the MSExch.LOG file starts with a time stamp. Over time, the MSExch.LOG file can become quite large. Therefore, to manage its size you can use the USLOGCLR.EXE program found in the C:\UPSTREAM directory to discard the activity older than a specified number of days. For example, the following command will cause the activity in the MSExch.LOG file older than 30 days to be discarded:

```
C:\UPSTREAM\USLOGCLR 30 C:\UPSTREAM\MSExch\MSExch.LOG
```

The return codes that MSExch.EXE can return are as follows:

- 0 - If the requested function was performed successfully.
- 4 - If a miscellaneous MSExch.EXE error occurred.
- 8 - If an UPSTREAM/PC (US.EXE) error occurred.
- 12 - If one or more of the MSExch.EXE parameters were missing or invalid.

# 33.4 Operation

MSExch.EXE can be implemented in a production environment in a number of ways. Some of these are:

- Executing MSExch.EXE on the same server that Microsoft Exchange executes or on a different server within the same Windows NT domain.
- Executing MSExch.EXE in a logged on user environment or in a service process.
- Initiating a MSExch.EXE backup or restore from the host or initiating it from a Windows NT server either automatically or through some automated scheduler.

MSExch.EXE can be executed on any machine within the Windows NT domain in which Microsoft Exchange is deployed. The only requirement is that MSExch.EXE must be installed in and executed from the same directory as the UPSTREAM/PC US.EXE program (typically C:\UPSTREAM). MSExch.EXE finds the Microsoft Exchange server via the MSExchServerName parameter in the MSExch.CFG file that is created during the MSExch CONFIGURE process.

**If MSExch.EXE is executed on the server on which the MS Exchange services are running, MSExch.EXE must be run using the same Windows NT user account as the MS Exchange services.**

Check the Startup/Log On As information for each of the MS Exchange services from the Control Panel/Services applet to determine under which Windows NT user account the MS Exchange services run, and then run the MSExch.EXE BACKUP and RESTORE commands under this same Windows NT account.

MSExch.EXE can be executed either in the context of a logged on user or as a Windows NT service. When run as a service it must be executed under a user-specified User Account and not the System Account; if run on the MS Exchange machine it must be run under the same user account as Exchange uses. This restriction is associated with the Microsoft Exchange security system. This user-specified User Account must be defined in Microsoft Exchange with permissions to the database in question. If the MSExch.EXE service is executed in the context of the System Account or the User Account has not been granted the appropriate permissions, MSExch.EXE will not be able to backup or restore the database.

The greatest flexibility of MSExch.EXE is the method used to initiate it. If MSExch.EXE is to be initiated from a Windows NT server, it can be executed manually, via the Windows NT scheduler or via any other automated mechanism.

Most FDR/UPSTREAM customers like to have the host control all FDR/UPSTREAM operations. Because of this, MSExch.EXE can be initiated from the host as well. To accomplish this, a second communication path between the Windows NT server and the host has to be established. In a SNA environment this means an additional LU and in a TCP/IP environment an additional TCP/IP port number. Host initiation is covered in the next section.

# 33.5 Host Initiation of MSExch.EXE

MSExch.EXE can be initiated from the host via a USTBATCH job. A sample set of USTBATCH parameters for invoking MSExch.EXE follow:

```
APPLPREF=UPSTR
USAPPL=UPSTREAM
LOGMODE=USTMODE
TARGLU=PCLU1
TPNAME=UPSTREAM
MAXRETRY=0
CONV=WAIT
ACTION=5
JOBOPTIONS=2
JOBRETURNCODEMAP=0:0 4:8 12:12 ?:8
FILES=C:\UPSTREAM\MSEXCH.EXE BACKUP DS FULL
/*
```

Using this sample set of USTBATCH parameters, the backup process goes something like this:

- 1. The USTBATCH job is submitted for execution.
- 2. USTBATCH starts a conversation on LU UPSTR001 (APPLPREF=UPSTR) with the UPSTREAM started task on the host (USAPPL=UPSTREAM) and requests that a conversation with the Windows NT server (TPNAME=UPSTREAM) be started using the LU assigned to the Windows NT server (TARGLU=PCLU1).
- 3. The UPSTREAM TP on the Windows NT server is started, FDR/UPSTREAM for Windows is invoked and completes the connection.
- 4. The request to execute a job (ACTION=5) is passed down to FDR/UPSTREAM for Windows along with the program specification for the job (FILES=C:\UPSTREAM\MSEXCH.EXE BACKUP DS FULL).
- 5. FDR/UPSTREAM for Windows will invoke MSEXCH.EXE, wait for MSEXCH.EXE to finish execution and return a return code, and then terminate itself (JOBOPTIONS=2). Once MSEXCH.EXE is finished, FDR/UPSTREAM for Windows will map the MSEXCH.EXE return code into a return code that the USTBATCH job can interpret (JOBRETURNCODEMAP=0:0 4:8 12:12 ?:8).
- 6. During the time that FDR/UPSTREAM for Windows is waiting for MSEXCH.EXE to finish, it will still have an active conversation with the host on the LU named PCLU1. When MSEXCH.EXE executes, it will build a FDR/UPSTREAM parameter file to execute via a second copy of US.EXE (FDR/UPSTREAM).

During the execution of the second copy of US.EXE (step #6 above), a second conversation with the host is initiated via the LU alias configured on the UPSTREAMLocalLUAlias parameter in the MSExch.EXE configuration file (MSExch.CFG). If you are using SNA and not using parallel sessions the UPSTREAM Local LU Alias parameter must have a LU alias for a LU name that is different from the one specified in the USTBATCH JCL (for example not PCLU1). The reason for this is that the first LU will be still be in use for the conversation that caused the MSExch.EXE job to be executed in the first place. The second LU is needed to start a conversation that will be used by the backup.

The scenario outlined here works the same way for TCP/IP except that TCP/IP does not have a concept similar to a TP (Transaction Program). This means that FDR/UPSTREAM for Windows must already be executing and waiting for the job request to be passed down from the host. Also since FDR/UPSTREAM should continue

to execute after MSExch.EXE has finished its execution, the JOBOPTIONS parameter should be JOBOPTIONS=3.

More information about remote host initiation, particularly TCP/IP conversations, can be found in the FDR/UPSTREAM for MVS manual.

# 33.6 MS Exchange and UPSTREAM/SOS

Before starting the reconfiguration of the MSExch DS and MDB backups, you must perform a number of steps as follows:

- 1. Perform the initial configuration for the DS and MDB by executing the MSEXCH CONFIGURE DS and MSEXCH CONFIGURE MDB commands (see section 33.2.4).
- 2. Perform the appropriate configuration steps for the FDR/UPSTREAM/SOS local EMC disks as described in the UPSTREAM/SOS chapter.

Once the prerequisite steps have been performed, you are now ready to reconfigure the FDR/UPSTREAM/PC template parameter files. When you execute the MSEXCH CONFIGURE DS and MSEXCH CONFIGURE MDB commands, the following FDR/UPSTREAM template parameter files are created:

```
C:\UPSTREAM\MSExch\DS\DBBKUP.DAT
C:\UPSTREAM\MSExch\DS\LOGBKUP.DAT
C:\UPSTREAM\MSExch\MDB\DBBKUP.DAT
C:\UPSTREAM\MSExch\MDB\LOGBKUP.DAT
```

Although not complete (unable to be used to perform real backups), these parameter files can be further modified with the main FDR/UPSTREAM/PC program, US.EXE. To do this, start US.EXE and perform the following steps for each of the template parameter files:

☐ 1. Pull down the **File** menu from the main UPSTREAM screen and select **Open**. This displays the **File Open** dialog.

☐ 2. Double-click on the **[MSExch]** entry in the list box to go to down to the MSExch subdirectory.

☐ 3. Double-click on **[DS]** or **[MDB]** entry in the list box to go down to the DS or MDB subdirectories.

☐ 4. Double-click on the **DBBKUP.DAT** or **LOGBKUP.DAT** entry in the list box to open the parameter file. This displays either the Host Security Validation dialog or the Backup Parameters dialog. If the Host Security Validation dialog is displayed, reenter your password and press the Validate button to revalidate your security information. The Backup Parameters dialog will then be displayed.

☐ 5. Click on the **Local Bkp…** button. This displays the **Local Backup More…** dialog.

☐ 6. Press the **FDRSOS Physical Disk Local Backup** radio button. This causes the Select Disk… list box to be filled in with a list of physical disk IDs.

☐ 7. Select the disk ID of the EMC disk that was configured by the UPSTREAM/SOS setup step which was performed as one of the prerequisites.

☐ 8. Press the **Check Disk** button to verify that this is the correct disk.

☐ 9. Press the **Ok** button. The Backup Parameters dialog will be redisplayed.

☐ 10. Press the **Save or Begin…** button. This will display the Save Parameters/Backup dialog.

☐ 11. Press the **Save** button to save your parameters back to the original parameter file.

Once these steps have been performed for each of the template parameter files listed above, you are now ready to perform your MSExch backups as you normally would. Now when FDR/UPSTREAM backs up the data it will do so using the EMC local disks as a backup medium.

**WARNING: If you ever need to execute the MSEXCH CONFIGURE DS or MSEXCH CONFIGURE MDB commands again, you will then also have to reconfigure the template parameter files as described above.**

# 33.7 Additional Information

Microsoft has provided a number of documents that deal with the subject of Disaster Recovery for a MS Exchange server.

The most complete document dealing with MS Exchange Disaster Recovery is **Chapter 4 MS Exchange Server Disaster Recovery** found in the BackOffice Resource Kit:

BackOffice Resource Kit
Backoffice Resource Kit Part 2
Exchange Server Resource Guide, Supplement 1
Chapter 4 MS Exchange Server Disaster Recovery

A number of Knowledge Base articles are also available on this subject:

- Q148993 - Cannot Perform Forklift Upgrade Using UPDATE.EXE
- Q149950 - How to Search for Microsoft Exchange Articles by Topic
- Q154481 - README.TXT Microsoft Exchange 5.0 U.S. Service Pack 1
- Q154491 - DS/IS Fails to Re-create Mailboxes in Subcontainers
- Q154792 - Exchange and Schedule+ White Papers and Their Locations
- Q157562 - How To Merge Two .PST Files
- Q158699 - README.TXT Microsoft Exchange 4.0 U.S. Service Pack 4
- Q163589 - Restoring from an OST after Deleting the Mailbox
- Q164805 - Contents of This Public Folder Currently Unavailable
- Q168735 - README.TXT: Microsoft Exchange 5.0 U.S. Service Pack 2
- Q168892 - MTA Reports Failure on Ecbios.dll During Startup
- Q169859 - Event ID 1081 and Service Specific Error 0x00000057
- Q170473 - MTA Error 2194, Usually After Recovery
- Q170810 - Service Specific Error 4021 Starting Information Store
- Q174693 - Exchange Organization Name Is Case Sensitive
- Q174763 - Propagate These Properties… Option Does Not Appear
- Q175469 - Information Store Server Specific Error 2
- Q177635 - How to Set Up a Disaster Recovery Server for Dir.edb
- Q180403 - Error Message Trying to Install Exchange 5.5 Upgrade Only
- Q182979 - Function & Effects of the DS/IS Consistency Adjustment
- Q184186 - Recovering Exchange from a Corrupted Directory
- Q185005 - How To Restore a 4.0 Directory To a 5.0 Server
- Q185078 - Recommendations for Successful Disaster Recovery
- Q188645 - Deleted Directory Objects Remain Deleted

- Q190713 - Key Management Server Backup and Disaster Recovery

Two of these Knowledge Base articles reference a set of MS Exchange Disaster Recover documents:

- Q158699 - README.TXT Microsoft Exchange 4.0 U.S. Service Pack 4
- Q168735 - README.TXT Microsoft Exchange 5.0 U.S. Service Pack 2

The documents referenced by these two KB articles are:

- EDRV4P1.DOC - Microsoft Exchange Disaster Recovery Part 1
- EDRV4P2.DOC - Microsoft Exchange Disaster Recovery Part 2

# 33.8 Backup and Restore of Individual Microsoft Exchange Objects

### 33.8.1 Overview

Microsoft Exchange is an Email management system which is hosted on a Windows NT Server system. The information managed by MS Exchange is stored as objects in two databases, the Directory Services (DS) database and the Mailbox DataBase (MDB). The objects stored in these two databases are arranged in a hierarchical structure. The hierarchical structure in the DS database is referred to as the Directory Information Tree (DIT) while the hierarchical structure in the MDB database is a set of mailboxes each with a tree of mail folders.

FDR/UPSTREAM/PC contains a database agent program named MSExchMB.exe which combines the hierarchical structures in the DS and MDB database into a single hierarchical structure and backs it up as though it were a file system. This new functionality allows the individual objects, mailboxes and folders to be backed up as a hierarchy of individual entities which can then be restored either individually or as a group.

This section describes the functionality of the FDR/UPSTREAM MSExchMB database agent program. It assumes that you are familiar with the basic operation of FDR/UPSTREAM and does not attempt to describe the FDR/UPSTREAM fundamentals. This section assumes that you have at least some familiarity with the functionality and structure of MS Exchange.

### 33.8.2 MSExchMB and FDR/UPSTREAM

MSExchMB.exe is a FDR/UPSTREAM/PC database agent program. It is a Windows NT console application program that can be executed from a Windows NT command line or from the **Start | Run** dialog box. MSExchMB also provides a number of dialogs to assist you in specifying backups and restores.

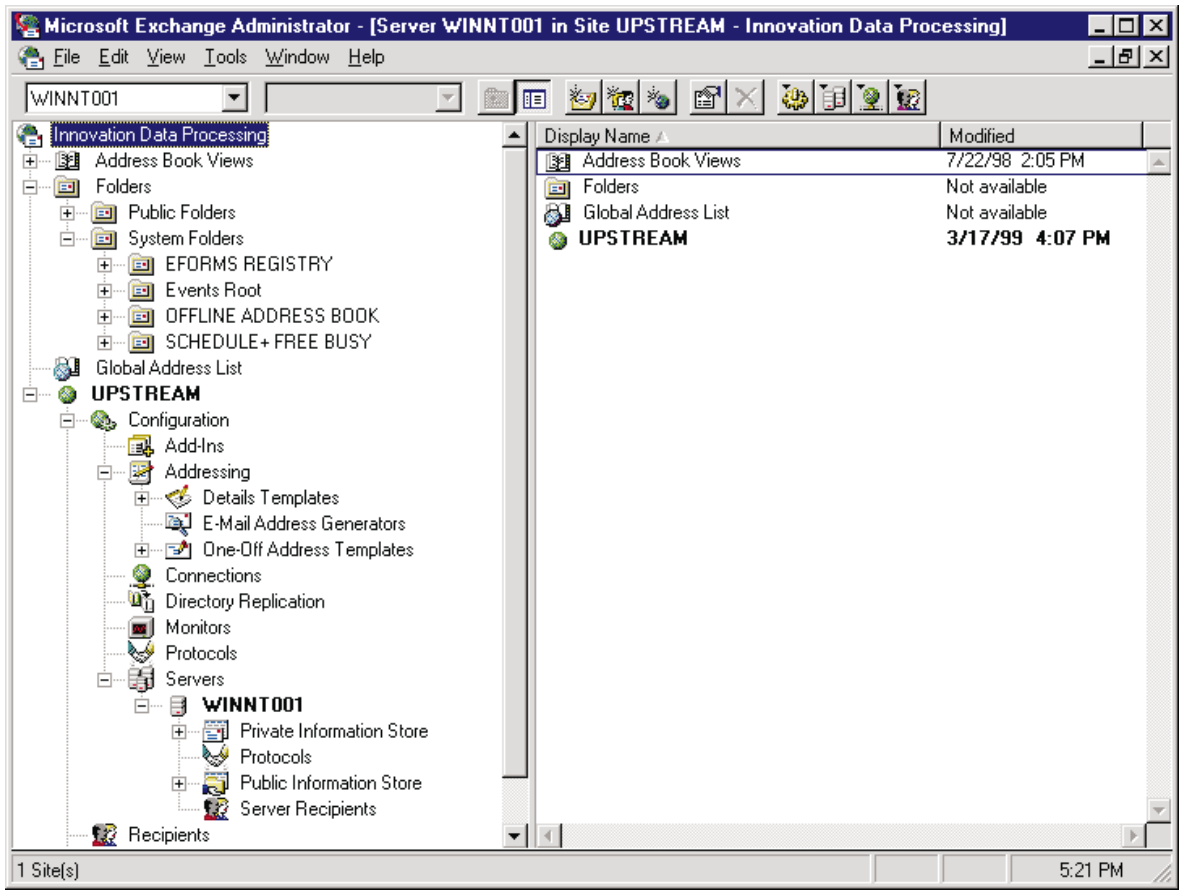
MSExchMB is a front end program to FDR/UPSTREAM/PC (US.EXE) that uses FDR/UPSTREAM/PC and two MS Exchange APIs (DAPI - Directory Access Program Interface and MAPI - Message Application Program Interface) to backup the DS and MDB databases as though they were a single file system.

MSExchMB is executed first to perform backups, restores and version inquiries. It then invokes FDR/UPSTREAM/PC as a subprogram to perform the actual backup, restore or inquiry functions. MSExchMB emulates the FDR/UPSTREAM/PC ULTRA.EXE program and communicates with FDR/UPSTREAM/PC via TCP/IP to make the combined DS and MDB hierarchy appear to FDR/UPSTREAM/PC as though it were a normal disk based file system. As far as FDR/UPSTREAM/PC is concerned, it is using ULTra to back up or restore a normal file system.

Because of this relationship no additional FDR/UPSTREAM/PC configuration is required. The only requirement for FDR/UPSTREAM/PC is that it must be version 3.1.0 or above. The configuration steps for MSExchMB and FDR/UPSTREAM/MVS are discussed in the *Configuration* section below.

### 33.8.3 The DS, MDB and MSExchMB Hierarchies

As explained in the *Overview* section, the Directory Services (DS) database and Mailbox DataBase (MDB) are the two databases in which MS Exchange stores its objects. Most of the objects stored in the DS can be viewed and maintained using the MS Exchange Administrator application. An example of a partial Directory Information Tree as shown by the MS Exchange Administrator program follows:

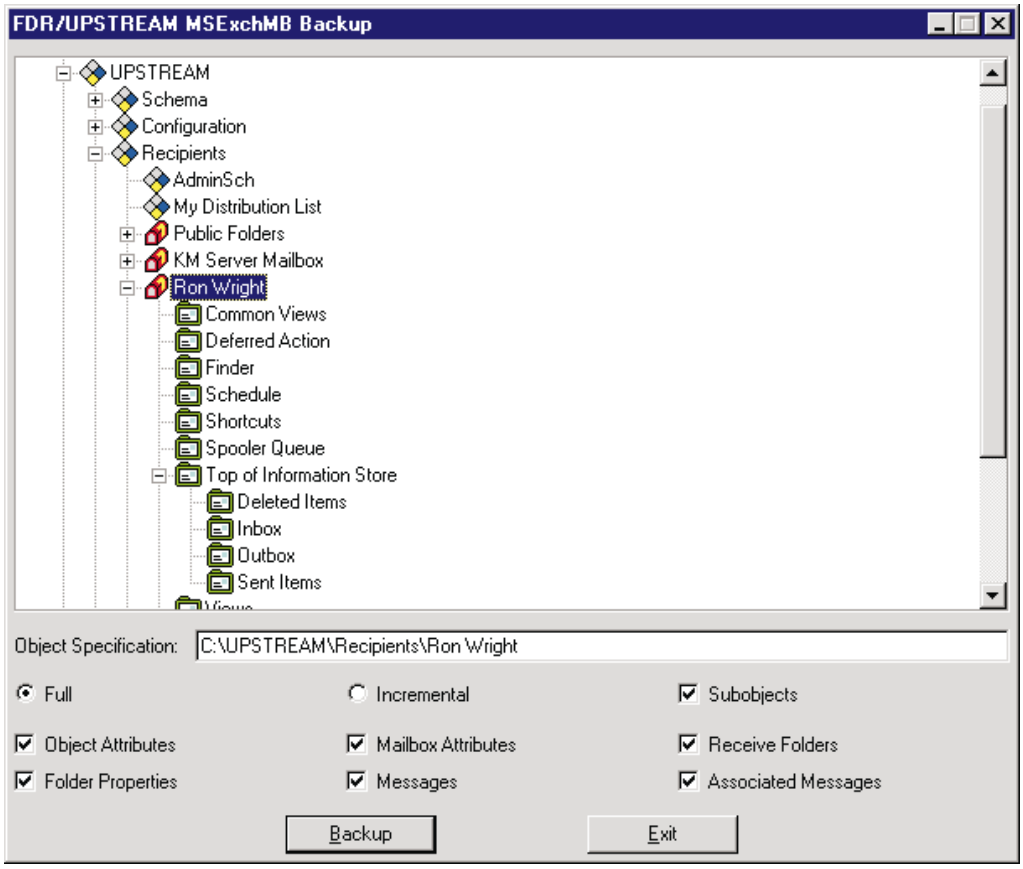



There are some objects in the DS that are not shown by the MS Exchange Administrator program, such as the Schema object (and its subobjects) which is a subobject of the site object (for example, Innovation Data Processing\UPSTREAM\Schema).

MS Exchange maintains a separate MDB database for each MS Exchange server. The information stored in a MDB database is maintained as a hierarchy of mailbox, folder and message objects belonging to individual recipients (users). To view and maintain the objects in a single recipient's mailbox, a MS Exchange client program such as Outlook 97 can be used.

These two databases are related by individual mailbox entries. At the bottom of the DIT are one or more recipient container objects which contain one or more recipient objects. The default recipient container object in the DIT is named "Recipients". Each of the individual recipient objects in the recipient container objects has its own hierarchy of folder and message objects in the MDB.

The UPSTREAM program MSExchMB uses this relationship between recipient objects and their mailbox objects to build a single hierarchy containing the entire DIT (Directory Information Tree) with the individual mailbox object hierarchies grafted onto the individual recipient objects. An example of this combined object hierarchy can be seen in the following MSExchMB Backup dialog display:




In this display the relationship between the DS and MDB can be seen starting at the **Innovation Data Processing\UPSTREAM\Recipients\Ron Wright** mailbox object. Throughout the rest of this document, the term recipient and mailbox will be used interchangeably. Under the Ron Wright mailbox object is a hierarchy of folder objects. If you were to view this folder object hierarchy in Outlook 97, you would not see the entire hierarchy shown here. This is because Outlook 97 hides all of the folder objects which are not part of the **Top of Information Store** folder object tree. In Outlook 97, the **Top of Information Store** folder object is shown as the root folder for the mailbox.

You may notice that the hierarchy presented by MSExchMB does not exactly match the hierarchy presented by the MS Exchange Administrator program. Specifically, the **Innovation Data Processing\Folders** object presented by the MS Exchange Administrator program is presented by MSExchMB as the **Innovation Data Processing\UPSTREAM\Recipients\Public Folders** object. This is due to the fact that the MS Exchange Administrator program takes some liberties in how it displays the objects in the DIT which is not exactly how the information is stored in the DS database.

The MSExchMB object hierarchy is described in further detail in the following **MSExchMB Object Hierarchy and Files** section.

The DIT has an object for each of the individual MS Exchange servers. Because all of the MS Exchange servers within a single MS Exchange site are part of the same DIT, MSExchMB has the capability to backup all of the MS Exchange servers within an individual MS Exchange site as a single backup.

### 33.8.4 MSExchMB Object Hierarchy and Files

As described in the **MSExchMB and FDR/UPSTREAM** section, MSExchMB makes the MS Exchange object hierarchy appear as a file system to FDR/UPSTREAM. To do this, each object in the hierarchy is represented as a file system directory. For example, the **Organization\Site\Recipients** object is represented as the **C:\Site\Recipients** directory and the **Organization\Site\Recipients\User\Top of Information Store\Inbox** folder object is represented as the **C:\Site\Recipients\User\Top of Information Store\Inbox** directory.

The actual data for any given object is represented as one or more files under the directory associated with the object. The names of the files used to backup the object's data is dependent on the type of object. MSExchMB has three different classifications of objects:

- **Object:** For all "generic" objects that are not mailbox or folder objects.
- **Mailbox:** For individual mailbox (recipient) objects.
- **Folder:** For individual mail folder objects belonging to a mailbox.

The data for an "Object" object is backed up by MSExchMB and stored on the host in a file named **Object Attributes.bin**. The data for a "Mailbox" object is backed up in two files named **Mailbox Attributes.bin** and **Receive Folders.bin**. And the data for a "Folder" object is backed up in three files named **Folder Properties.bin**, **Messages.bin** and **Associated Messages.bin**.

MSExchMB does not back up individual mail messages or associated messages (associated messages are used internally by MS Exchange) as unique objects, but instead groups all of the messages and associated messages within the same mail folder into the **Messages.bin** and **Associated Messages.bin** files respectively. The reason for this grouping of messages is to keep the number of file information records in the FDR/UPSTREAM/MVS FILEINFO cluster to a minimum.

Using this representation the following sample subset of files might be backed up from a MS Exchange site:

```
C:\Site\Recipients\Object Attributes.bin
C:\Site\Recipients\User\Mailbox Attributes.bin
C:\Site\Recipients\User\Receive Folders.bin
C:\Site\Recipients\User\Folder Properties.bin
C:\Site\Recipients\User\Messages.bin
C:\Site\Recipients\User\Associated Messages.bin
C:\Site\Recipients\User\Top of Information Store\Folder Properties.bin
C:\Site\Recipients\UserTop of Information Store\Messages.bin
C:\Site\Recipients\User\Top of Information Store\Associated Messages.bin
C:\Site\Recipients\User\Top of Information Store\Inbox\Folder Properties.bin
C:\Site\Recipients\User\Top of Information Store\Inbox\Messages.bin
C:\Site\Recipients\User\Top of Information Store\Inbox\Associated Messages.bin
```

In the preceding example you will notice that the C:\Site\Recipients\User directory has five files:

```
Mailbox Attributes.bin
Receive Folders.bin
Folder Properties.bin
Messages.bin
Associated Attributes.bin
```

This is because the C:\Site\Recipients\User directory is not only the directory for the mailbox object, but also the directory for the root folder object within the mailbox. The **Mailbox Attributes.bin** file contains the information for the mailbox object itself. The **Receive folders.bin** file contains information about the receive folder settings for the mailbox. The **Folder Properties.bin** file contains the information for the root folder object of the mailbox. The **Messages.bin** file is a grouping of the individual message objects in the root folder. And the **Associated Messages.bin** file is a grouping of the individual associated message objects in the root folder.

Of the six different types of object data files (Object Attributes.bin, Mailbox Attributes.bin, Receive Folders.bin, Folder Properties.bin, Messages.bin and Associated Messages.bin), the four non-message files (Object Attributes.bin, Mailbox Attributes.bin, Receive Folders.bin and Folder Properties.bin) do not contain any information such as a last modification time stamp. Because of this lack of a last modification time stamp, MSExchMB cannot determine if the data has changed since the last backup and therefore, these files will always be backed up regardless of whether the backup is a full or incremental backup.

The Messages.bin and Associated Messages.bin files will also always be backed up regardless of whether the backup is a full or incremental backup, but the messages and associated messages that they contain will either be the full set or a subset of the messages depending on the last modification time stamps for the messages themselves. Therefore, for a full backup, the **Messages.bin** file will contain all of the messages currently in the associated folder, but on an incremental backup, the **Messages.bin** file will contain only those messages that have been modified since the last time a backup was performed. The same rule applies to the associated messages in the **Associated Messages.bin** file.

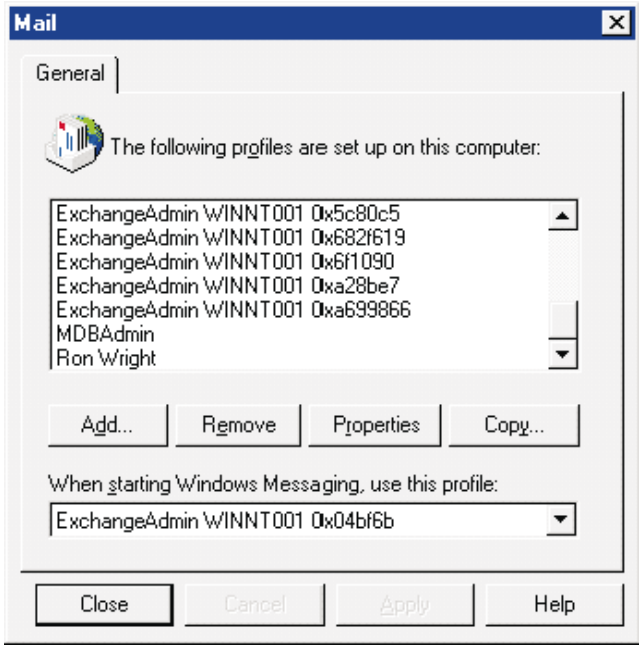
When it comes time to restore any of the MS Exchange objects, MSExchMB enforces a number of rules as follows:

- Generic object (i.e. non-mailbox and non-folder objects) data (Object Attributes.bin) may only be restored back to the same generic object that it was backed up from. This is due to the fact that although MSExchMB treats generic objects the same, they are really very diverse types of objects in MS Exchange and to restore one type of object information to another type of object makes no sense.
- Mailbox object data (Mailbox Attributes.bin and Receive Folders.bin) may only be restored back to the same mailbox object that it was backed up from.
- Folder object data (Folder Properties.bin, Messages.bin and Associated Messages.bin) may be restored from any folder to any other folder within the same mailbox except for the “Public Folders” mailbox.
- Folder object data for a folder within the “Public Folders” mailbox may only be restored back to the same folder from which it was backed up. The reason for this is that although the “Public Folders” mailbox may contain any number of user-defined folders to be used for user-defined purposes, there are a number of system-defined folders which contain specific system-defined information which should not be intermixed. An example of a system-defined folder is **Organization\Site\Public Folders\NON_IPM_SUBTREE\Events Root**.
- When the messages in a Messages.bin file are restored, they are added to the specified folder as new messages and will not overlay any messages that are already in the folder even if they are duplicates. The same rule applies to the associated messages in an Associated Messages.bin file.

# 33.9 Configuration

There are a number of configuration steps that need to be performed before you can use MSExchMB to perform your first backup. These are as follows:

☐ 1. Create a MS Exchange profile. Most users can use the **Mail** applet in the Windows NT Control Panel An example of the dialog for maintaining MS Exchange profiles follows:




If you do not have a Mail applet, you can create a profile by using Microsoft Outlook:

- Start Outlook (not Outlook Express), pull down the **Tools** menu and select the **Options...** submenu. Press the **Mail Services** tab, and in the Startup settings frame, press the **Prompt for a profile to be used** radio button. Press the **Ok** button and exit Outlook.
- Restart Outlook. This will display the Choose Profile dialog. Press the New... button to start the Microsoft Outlook Setup Wizard. Press the **Use the following service(s) radio button** only check the **Microsoft Exchange Server** checkbox and press the **Next>** button.
- Enter the name of a profile you wish to create and press the **Next>** button.
- Enter the name of the Exchange server and the name of the Exchange mailbox to use for this profile. Press the Next> button.
- Press the **No** radio button in response to **Do you travel with this computer** and press the **Next>** button.
- Press the **Finish** button to complete the creation of the profile.

☐ 2. Determine the MVS storage requirements for the full and incremental backups.

☐ 3. Create an FDR/UPSTREAM/MVS backup profile enabled for merge backups. There are no special requirements for the options used for this backup profile other than the enabling of the merge backup option.

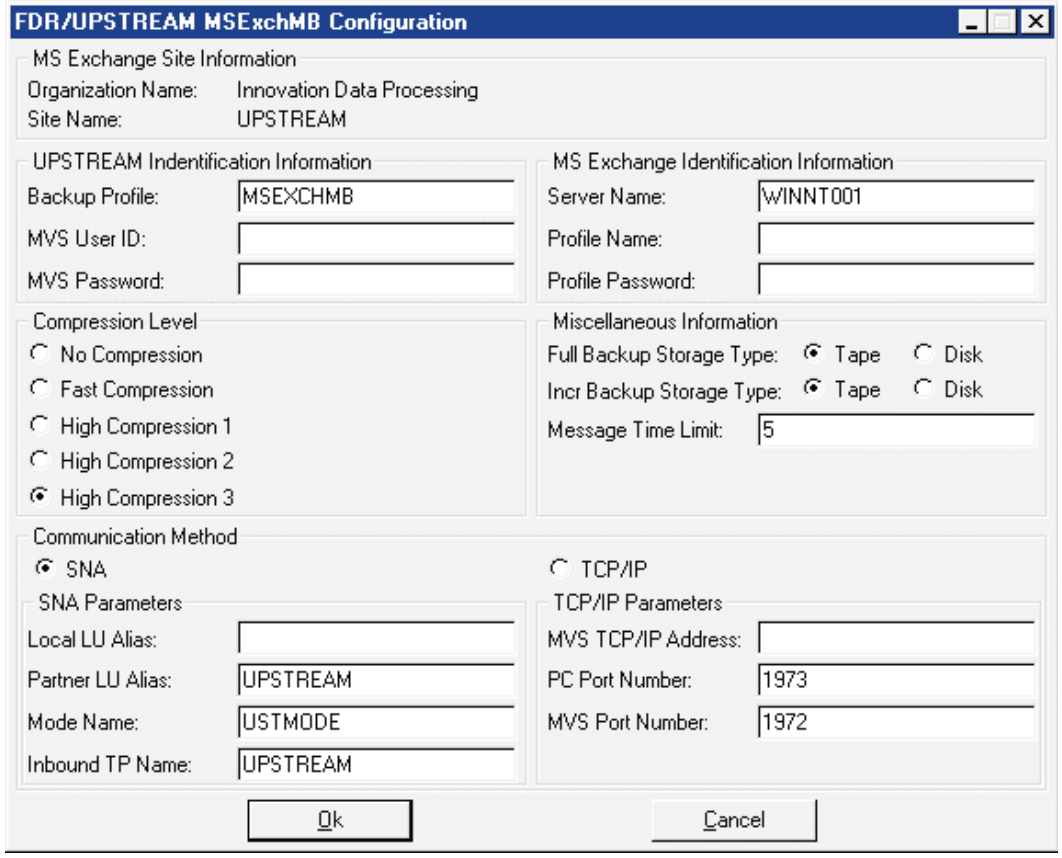
☐ 4. A plan for vaulting the FDR/UPSTREAM/MVS backup datasets for the backup profile should be created to ensure that a failure of MVS storage media does not prevent restoration your MS Exchange objects.

☐ 5. Perform the MSExchMB configuration process to prepare MSExchMB to backup and restore your MS Exchange objects. This is done by supplying the values for a number of configuration parameters.

Steps 2 through 4 listed above are no different from those for any of the servers you are already using FDR/UPSTREAM to back up. The last step is the special MSExchMB configuration. To get ready to perform your first MSExchMB backup, execute the following command from a Windows NT command line:

```
C:\UPSTREAM> MSExchMB CONFIGURE
```

When executed, the MSExchMB CONFIGURE command will create a subdirectory under C:\UPSTREAM (assuming that this is the name of the directory in which MSExchMB.exe was installed) named MSExchMB and then create a file named MSExchMB.cfg. The MSExchMB.cfg file contains a number of parameters that subsequent executions of MSExchMB will use to perform its functions. Default values will be supplied for most of these parameters, but other parameters need to have specific user-defined values supplied for them. Once invoked to perform the configuration process, MSExchMB will display the following configuration dialog:




You will need to fill in the fields that are blank and may have to change some of the other fields if the defaults are not to your liking. The fields on this dialog are detailed below:

☐ **Backup Profile:** The name of the backup profile defined in the FDR/UPSTREAM/MVS configuration dataset.

- ☐ **MVS User ID:** The user ID associated with the Backup Profile defined in the FDR/UPSTREAM/MVS configuration.

- ☐ **MVS Password:** The password for the user ID associated with the Backup Profile defined in the FDR/UPSTREAM/MVS configuration.

- ☐ **Server Name:** The name of the MS Exchange server from which MSExchMB will get the DIT.

- ☐ **Profile Name:** The name of the MS Exchange profile (created in step 1 of the Configuration above) to be used to access the individual MS Exchange MDB databases.

- ☐ **Profile Password:** The password (if any) associated with the profile name.

- ☐ **Compression Level:** The level to which the individual object data files will be compressed as they are backed up to the host. This can be No Compression, Fast Compression, High Compression 1, High Compression 2, or High Compression 3. The default is High Compression 3.

- ☐ **Full Backup Storage Type:** The MVS storage type to be used to back up the full MS Exchange tree. This can be either disk or tape. The default is tape.

- ☐ **Incr Backup Storage Type:** The MVS storage type to be used to back up the MS Exchange tree incrementally. This can be either disk or tape. The default is tape.

- ☐ **Message Time Limit:** The number of seconds that FDR/UPSTREAM/PC will display a message before it times out. This can be -1 (for no message display) or a number in the range 1 - 20. The default is 5.

- ☐ **Communication Method:** The communication protocol that FDR/UPSTREAM/PC should use to communicate with FDR/UPSTREAM/MVS. This can be either SNA or TCP/IP.

  If you specified SNA, you'll have to enter the following:

- ☐ **Local LU Alias:** The APPC LU alias assigned to FDR/UPSTREAM/PC in your communications configuration. This LU alias must be different than the one used in your normal FDR/UPSTREAM/PC configuration if you will be initiating MSExchMB commands from FDR/UPSTREAM/MVS.

- ☐ **Partner LU Alias:** The APPC LU alias assigned to FDR/UPSTREAM/MVS in your communications configuration.

- ☐ **Mode Name:** The APPC mode name to be used by FDRUPSTREAM/PC to communicate with FDR/UPSTREAM/MVS.

- ☐ **Inbound TP Name:** The SNA Transaction Program (TP) name for FDR/UPSTREAM/PC.

  If you specified TCP/IP, you'll have to enter the following:

- ☐ **MVS TCP/IP Address:** The TCP/IP address assigned to FDR/UPSTREAM/MVS.

- ☐ **PC Port Number:** The TCP/IP port number assigned to FDR/UPSTREAM/PC. This port number must be different than the one used in your normal FDR/UPSTREAM/PC configuration if you will be initiating MSExchMB commands from FDR/UPSTREAM/MVS. Most user will use the default of 1973.

- ☐ **MVS Port Number:** The TCP/IP port number assigned to FDR/UPSTREAM/MVS. Most users will use the default of 1972.

Once all of the required parameter values are supplied and verified, MSExchMB creates or modifies the MSExchMB configuration file (MSExchMB.cfg) and creates a FDR/UPSTREAM/PC configuration file (upstream.cfg) and three FDR/UPSTREAM/PC parameter files (FULLBKUP.dat, INCRBKUP.dat and RESTORE.dat). The directories and files created by the MSExchMB CONFIGURE process are:

```
C:\UPSTREAM\MSExchMB (directory)
C:\UPSTREAM\MSExchMB\FULLBKUP.dat (file)
C:\UPSTREAM\MSExchMB\INCRBKUP.dat (file)
C:\UPSTREAM\MSExchMB\MSExchMB.cfg (file)
C:\UPSTREAM\MSExchMB\RESTORE.dat (file)
C:\UPSTREAM\MSExchMB\UPSTREAM.CFG (file)
```

A sample MSExchMB.cfg file follows:

```
;-----------------------------------------------------------;
; MSExchMB Configuration                                    ;
;-----------------------------------------------------------;
UPSTREAMBACKUPPROFILE=MSEXCHMB
UPSTREAMUSERID=
UPSTREAMPASSWORD=
UPSTREAMFULLSTORAGETYPE=TAPE
UPSTREAMINCRSTORAGETYPE=TAPE
UPSTREAMCOMPRESSIONLEVEL=4
UPSTREAMMESSAGETIMELIMIT=1
UPSTREAMCOMMUNICATIONMETHOD=TCPIP
UPSTREAMLOCALLUALIAS=
UPSTREAMPARTNERLUALIAS=
UPSTREAMMODENAME=
UPSTREAMINBOUNDTPNAME=
UPSTREAMTCPIPADDRESS=192.168.75.253
UPSTREAMTCPIPPCPORTNUMBER=1973
UPSTREAMTCPIPMVSPORTNUMBER=1972
MSEXCHSERVERNAME=WINNT001
MSEXCHPROFILENAME=Ron Wright
MSEXCHPROFILEPASSWORD=
```

A file named MSExchMB.log will be written to the C:\UPSTREAM\MSExchMB directory. Detailed MSExchMB process information is logged in this file for each invocation of MSExchMB.

The C:\UPSTREAM\MSExchMB directory contains the MSExchMB configuration file (MSExchMB.cfg) and the FDR/UPSTREAM/PC configuration and parameter files (UPSTREAM.CFG, FULLBKUP.dat, INCRBKUP.dat, RESTORE.dat).

**WARNING: If you choose to modify the FDR/UPSTREAM/PC files outside of the MSExchMB CONFIGURE process you should be careful and have full knowledge of the impact of the modifications you are making. Also, each time a MSExchMB CONFIGURE process is performed, the manual changes made to the FDR/UPSTREAM/PC files will be lost because the MSExchMB CONFIGURE process will overwrite them.**

The C:\UPSTREAM\MSExchMB directory is also used as the directory in which to create work files. This directory name is also listed in the UPSTREAM.CFG file as the WORKPATH parameter which means that FDR/UPSTREAM/PC itself will use it for its work files as well.

# 33.10 Usage

The MSExchMB program provides all of the functionality needed to use FDR/UPSTREAM to perform backups and restores for your MS Exchange object hierarchy.

The proper syntax for MSExchMB is:

```
MSExchMB

MSExchMB BACKUP [SrcSpec FULL|INCR [NOSUB] [OA] [MA] [RF] [FP] [ME] [AM]]

MSExchMB RESTORE [VersNum SrcSpec [NOSUB] [BACKTOFULL] [TgtSpec] [OA] [MA] [RF] [FP]
[ME] [AM]]

MSExchMB INQUIRE

MSExchMB CONFIGURE

MSExchMB HELP|/H|-H|/?|-?
```

Where:

- **SrcSpec:** The MS Exchange object specification of where the backup is to start or where in the specified backup version the restore is to start. SrcSpec is a required backup or restore parameter, there is no default. For example:
  - **C:*.*** The root of the MS Exchange Directory Information Tree (DIT).
  - **"C:\ Address Book Views*.*"** The "Address Book Views" object only (quotes required because there are spaces in the name)
  - **C:\ExchangeSite#1*.*** The ExchangeSite#1 object only.
- **FULL|INCR**: Use either FULL or INCR in indicate if the backup should be a full backup or an incremental backup. Only the Messages (ME) and Associated Messages (AM) objects will be backed up on an incremental basis. If INCR is specified along with OA, MA, RF or FP, these objects will always be backed up in full. FULL or INCR is a required backup parameter, there is no default.
- **VersNum:** The FDR/UPSTREAM version number for the full or incremental backup to be restored. If the version number specified is an incremental backup and BACKTOFULL is also specified, the previous full backup will be identified and a restore will be performed for each of the versions from the full backup up to and including the incremental backup version specified. VersNum is a required restore parameter, there is no default.
- **NOSUB:** Use NOSUB if the subobjects of the specified source object (SrcSpec) are not to be backed up or restored. If NOSUB is not specified the default is to backup or restore the subobjects.
- **BACKTOFULL:** Use BACKTOFULL to restore from all of the backup versions from the last full backup up to and including the specified backup version. If BACKTOFULL is not specified, the default is to restore from the specified backup version only.
- **TgtSpec:** The MS Exchange object specification of where in the DIT the specified source object (SrcSpec) should be restored. For example:
  - **C:*.*** the root of the MS Exchange Directory Information Tree (DIT).
  - **"C:\ Address Book Views*.*"** The " Address Book Views" object only. Quotes are required because there are spaces in the name.

- **C:\ExchangeSite#1*.*** The ExchangeSite#1 object only.

If TgtSpec is not specified, the default will be the source object specification (SrcSpec). If TgtSpec and SrcSpec are both specified and do not match, both of the specs must reference subfolders within the same mailbox. Also, public folders may only be restored back to the same public folder. For example:

- SrcSpec "C:\Site\Recipients\Joe*.*"
  TgtSpec "C:\Site\Recipients\Joe\New Folder*.*"

Where "C:\Site\Recipients\Joe" is a mailbox object.

- ☐ **OA:** Use OA to backup or restore only the Object Attributes for the objects specified by SrcSpec.
- ☐ **MA:** Use MA to backup or restore only the Mailbox Attributes for the mailboxes specified by SrcSpec.
- ☐ **RF**: Use RF to backup or restore only the Receive Folders for the mailboxes specified by SrcSpec.
- ☐ **FP:** Use FP to backup or restore only the Folder Properties for the folders specified by SrcSpec.
- ☐ **ME:** Use ME to backup or restore only the Messages in the folders specified by SrcSpec.
- ☐ **AM:** Use AM to backup or restore only the Associated Messages in the folders specified by SrcSpec.

Some additional notes:

- If OA, MA, RF, FP, ME and AM are all not specified, the default will be to backup or restore all of the object types.
- The SrcSpec and TgtSpec (if specified) must start with "C:\". The last object name in the specs may or may not be followed with a "\", "*" or "*.*". The following examples can be used to reference the same object:

  ```
  "C:\Site\Recipients\User Mailbox Name"
  "C:\Site\Recipients\User Mailbox Name\"
  "C:\Site\Recipients\User Mailbox Name\*"
  "C:\Site\Recipients\User Mailbox Name\*.*"
  ```

- Use "MSExchMB" with no parameters to display a dialog from which you may choose an action. Use "MSExchMB BACKUP ..." to perform a backup. Use "MSExchMB RESTORE ..." to perform a restore. Use "MSExchMB BACKUP" or "MSExchMB RESTORE" with no additional parameters to display a dialog on which you may specify the backup or restore parameters. Use "MSExchMB INQUIRE" to display a dialog showing all of the backup versions from which you may start a restore. Use "MSExchMB CONFIGURE" to modify the MSExchMB configuration. Use "MSExchMB HELP" to display this help text.

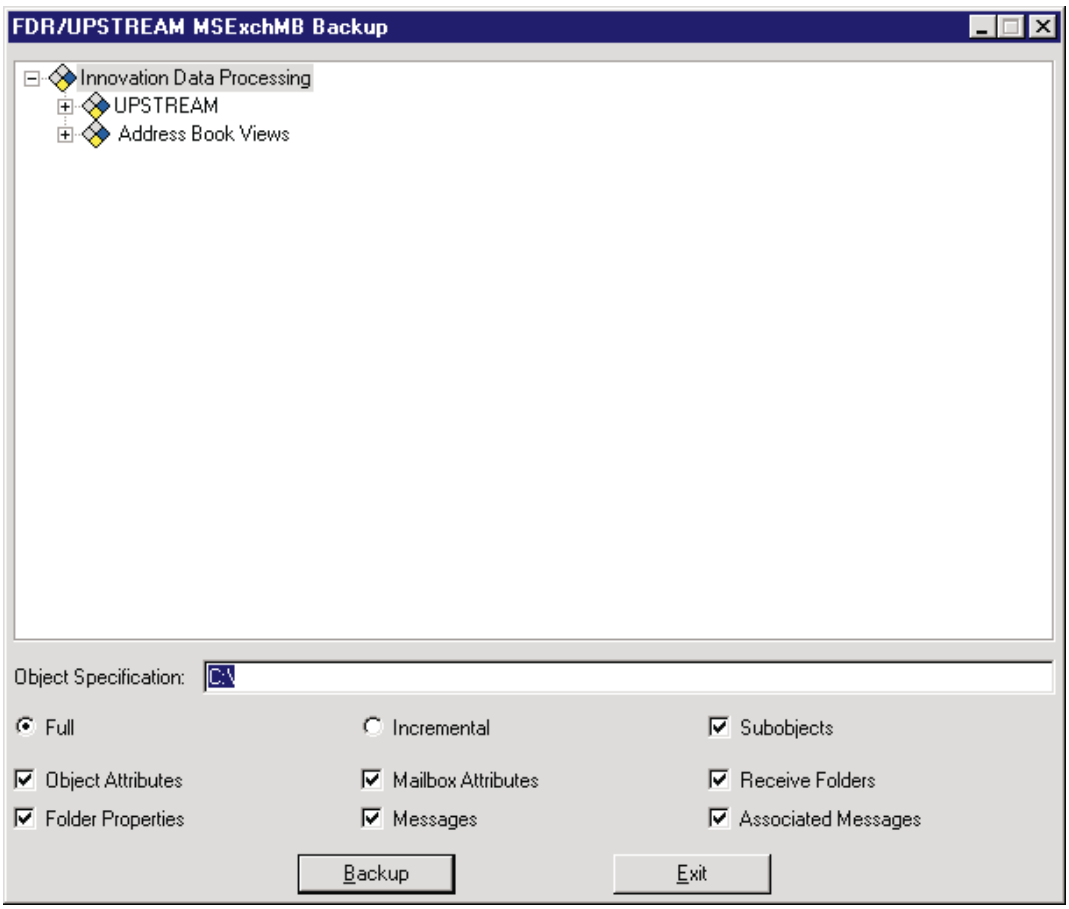
To perform a full backup of the MS Exchange hierarchy, you would execute:

```
MSExchMB BACKUP C:\*.* FULL
```

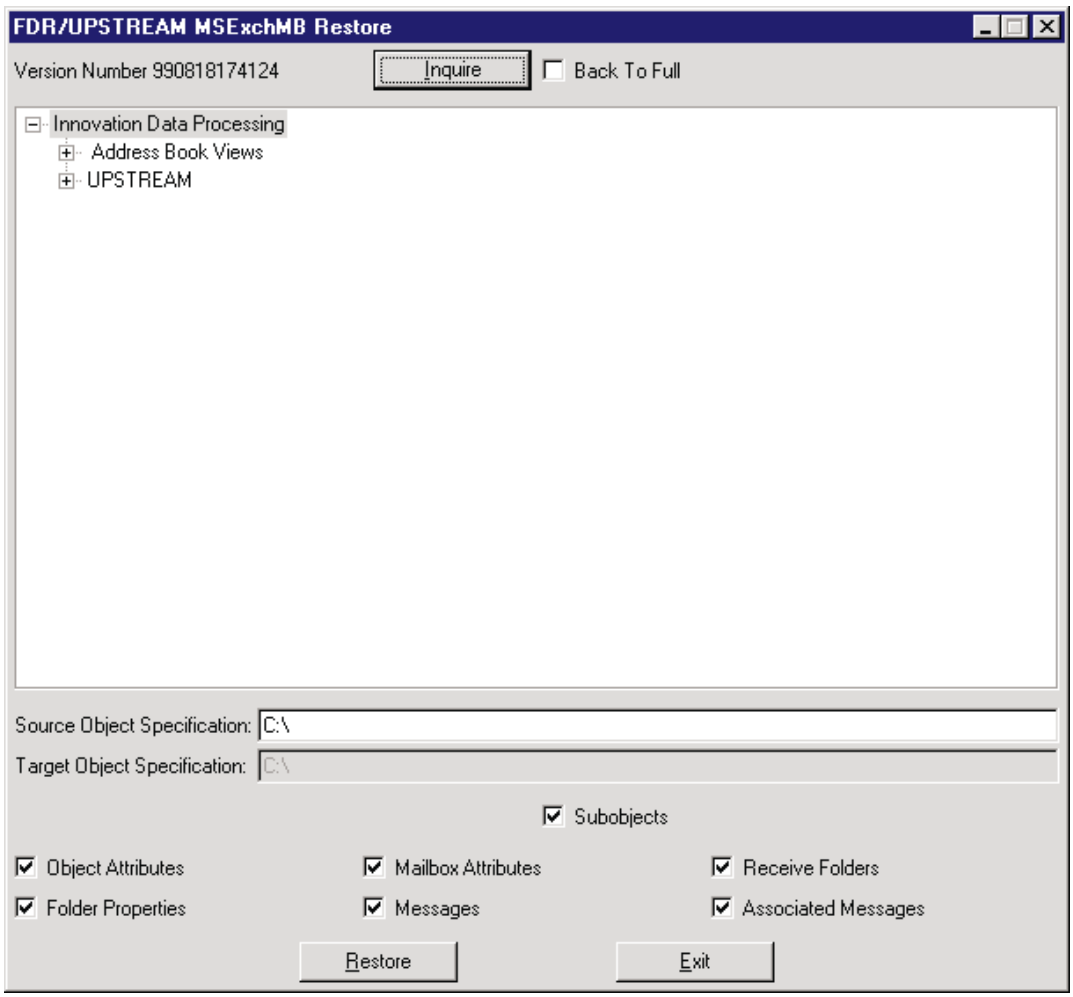
To perform an incremental backup of the MS Exchange hierarchy, you would execute:

```
MSExchMB BACKUP C:\*.* INCR"
```

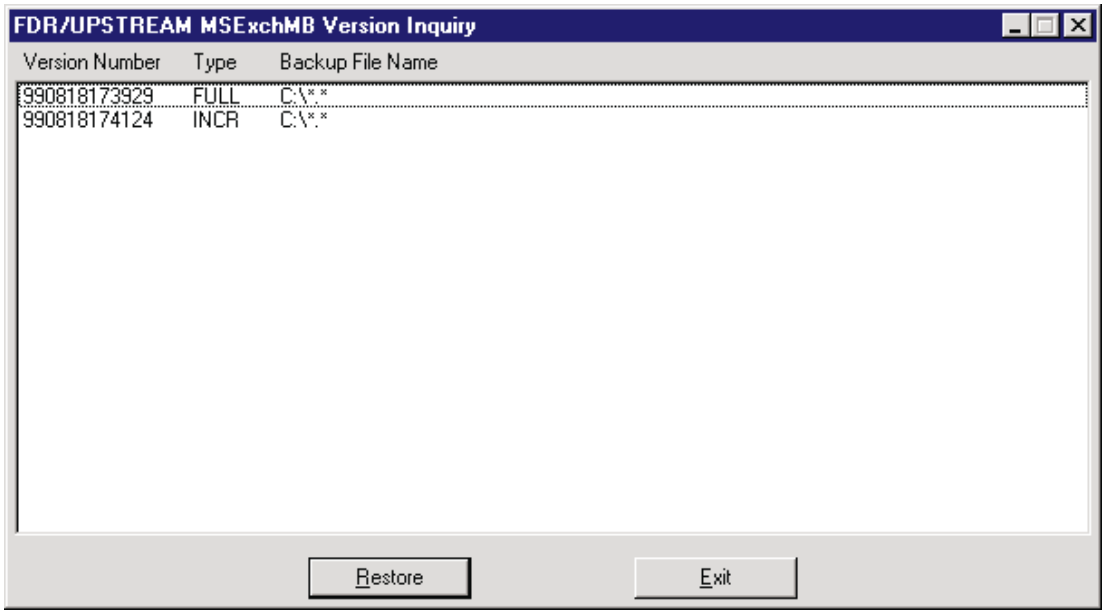
If you execute "MSExchMB BACKUP" with no additional parameters, the following dialog will be displayed for you to enter the required backup parameters:




If you execute "MSExchMB RESTORE" with no additional parameters, the following dialog will be displayed for you to enter the required restore parameters:




If you click the **Inquire** button on the **FDR/UPSTREAM MSExchMB Restore** dialog or execute the "MSExchMB INQUIRE" command, the following dialog will be displayed for you to choose a backup version to restore from as follows:




To restore from a particular backup version, you first select the version to be restored and click the **Restore** button or just double-click on the version number entry. If you already know the version number that you want to restore from you can invoke the MSEXCHMB RESTORE VersNum SrcSpec command directly. For example:

```
MSEXCHMB RESTORE 990818174124 C:\*.* BACKTOFULL
```

Since the specified version number is from an incremental backup and BACKTOFULL was specified, MSEXCHMB will first restore from the previous full backup version (version number 990818173929) and then restore from the incremental version (version number 990818174124).

If you execute MSExchMB from a command line with no parameters, the following dialog will be displayed:

From the preceding dialog you can choose the command you want to perform. The **Reinitialize** check box can be checked to cause MSExchMB to reinitialize its in-memory object hierarchy. This may be needed if the actual object hierarchy in the two MS Exchange databases changes between any two restores performed from a single running instance of MSExchMB.

Each execution of a MSEXCHMB command causes MSEXCHMB to log its activity in a file named MSExchMB.log. This file is maintained in the C:\UPSTREAM\MSEXCHMB directory and has a format similar to a regular upstream.log file. In other words, each entry in the MSExchMB.log file starts with a time stamp. Over time, the MSExchMB.log file will become quite large. Therefore, to manage its size you can use the USLOGCLR.exe program found in the C:\UPSTREAM directory to discard the activity older than a specified number of days. For example, the following command will cause the activity in the MSExchMB.log file older than 30 days to be discarded:

```
C:\UPSTREAM\USLOGCLR.exe 30 C:\UPSTREAM\MSEXCHMB\MSExchMB.log
```

The return codes that MSEXCHMB can return are as follows:

- 0 - If the requested function was performed successfully.
- 4 - If a miscellaneous MSEXCHMB error occurred.
- 8 - If a FDR/UPSTREAM/PC error occurred.
- 12 - If one or more of the MSEXCHMB parameters were missing or invalid.

# 33.11 Operation

MSEXCHMB can be implemented in a production environment in a number of ways. Some of these are:

- Executing MSEXCHMB on any MS Exchange server machine.
- Executing MSEXCHMB in a logged on user environment or in a service process.
- Initiating a MSEXCHMB backup or restore from the host or initiating it from a Windows NT server either automatically or through some automated scheduler.

MSEXCHMB can be executed on any MS Exchange server machine within a MS Exchange site (domain). The only requirement is that MSExchMB.exe must be installed in, and executed from, the same directory as US.EXE (typically C:\UPSTREAM). MSEXCHMB finds the MS Exchange server via the MSExchServerName parameter in the MSExchMB.cfg file that is created during the MSEXCHMB CONFIGURE process MSEXCHMB accesses the DS database of this MS Exchange server to get the DIT.

MSEXCHMB can be executed either in the context of a logged on user or as a Windows NT service. The only requirement for executing MSEXCHMB in the context of a service is that it must be executed under a user-specified User Account and not the System Account. This restriction is associated with the MS Exchange security system. This user-specified User Account must be defined in MS Exchange with permissions to the database in question. If the service that executes MSEXCHMB is started in the context of the “System Account” or the specific “User Account” has not been granted the appropriate permissions, MSEXCHMB will not be able to backup or restore the MS Exchange object hierarchy.

The greatest flexibility of MSEXCHMB is the method used to initiate it. If MSEXCHMB is to be initiated from a Windows NT server, it can be executed manually, via the Windows NT scheduler or via any other automated mechanism.

Most FDR/UPSTREAM customers like to have the host control all FDR/UPSTREAM operations. Because of this, MSEXCHMB can be initiated from the host as well. To accomplish this, a second communication path between the Windows NT server and the host has to be established. In a SNA environment this means an additional LU and in a TCP/IP environment an additional TCP/IP port number. Host initiation is covered in the next section.

# 33.12 Host Initiation of MSEXCHMB

MSEXCHMB can be initiated from the host via a USTBATCH job. A sample set of USTBATCH parameters for invoking MSEXCHMB follow:

```
APPLPREF=UPSTR
USAPPL=UPSTREAM
LOGMODE=USTMODE
TARGLU=PCLU1
TPNAME=UPSTREAM
MAXRETRY=0
CONV=WAIT
ACTION=5
JOBOPTIONS=2
JOBRETURNCODEMAP=0:0 4:8 12:12 ?:8
FILES=C:\UPSTREAM\MSEXCHMB.EXE BACKUP C:\*.* FULL
/*
```

Using this sample set of USTBATCH parameters, the backup process goes something like this:

- 1. The USTBATCH job is submitted for execution.
- 2. USTBATCH starts a conversation on LU UPSTR001 (APPLPREF=UPSTR) with the FDR/UPSTREAM/MVS started task on the host (USAPPL=UPSTREAM) and requests that a conversation with the Windows NT server (TPNAME=UPSTREAM) be started using the LU assigned to the Windows NT server (TARGLU=PCLU1).
- 3. The UPSTREAM TP on the Windows NT server is started, FDR/UPSTREAM/PC is invoked and completes the connection.
- 4. The request to execute a job (ACTION=5) is passed down to FDR/UPSTREAM/PC along with the program specification for the job (FILES=C:\UPSTREAM\MSEXCHMB.EXE BACKUP C:*.* FULL).
- 5. FDR/UPSTREAM/PC will invoke MSEXCHMB, wait for MSEXCHMB to finish execution and return a return code, and then terminate itself (JOBOPTIONS=2). Once MSEXCHMB is finished, FDR/UPSTREAM/PC will map the MSEXCHMB return code into a return code that the USTBATCH job can interpret (JOBRETURNCODEMAP=0:0 4:8 12:12 ?:8).
- 6. During the time that FDR/UPSTREAM/PC is waiting for MSEXCHMB to finish, it will still have an active conversation with the host on the LU named PCLU1. When MSEXCHMB executes, it will build a FDR/UPSTREAM/PC parameter file to execute via a second copy of FDR/UPSTREAM/PC.

During the execution of the second copy of FDR/UPSTREAM/PC (step #6 above), a second conversation with the host is initiated via the LU alias configured on the UPSTREAMLocalLUAlias parameter in the MSEXCHMB configuration file (MSExchMB.cfg). It is crucial that the UPSTREAMLocalLUAlias parameter have an LU alias for an LU name that is different from the one specified in the USTBATCH JCL (for example not PCLU1). The reason for this is that the first LU will be still be in use for the conversation that caused the MSEXCHMB job to be executed in the first place. The second LU is needed to start a conversation that will be used by the backup.

The scenario outlined here works the same way for TCP/IP except that TCP/IP does not have a concept similar to a TP (Transaction Program). This means that FDR/UPSTREAM/PC must already be executing and waiting for the job request to be passed down from the host. Also since FDR/UPSTREAM/PC should continue to execute after MSEXCHMB has finished its execution, the JOBOPTIONS parameter should be JOBOPTIONS=3.

More information about remote host initiation, particularly TCP/IP conversations, can be found in the FDR/UPSTREAM/MVS manual.

# 34 Microsoft SQL Server

## 34.1 Overview

The Microsoft SQL Server is a fully-functioning Relational Database Management System (RDBMS) which is hosted on a Windows NT Server system. It provides a number of backup and restore options that provide Database Administrators the flexibility they need to plan their database recovery strategy.

This section describes how FDR/UPSTREAM can be used to provide a dependable backup solution for Microsoft SQL Server. It discusses the various methods that Microsoft SQL Server provides for performing backups and restores and how these methods are used by FDR/UPSTREAM.

This section assumes that you are familiar with the basic operation of FDR/UPSTREAM and does not attempt to describe the FDR/UPSTREAM fundamentals.

# 34.2 Installation and Configuration

### 34.2.1 Prerequisites

The main component for using FDR/UPSTREAM to backup and restore a Microsoft SQL Server database is the program **MSSQL.EXE**. This program can be executed from a Windows NT command line or an UPSTREAM job and provides all of the functionality required to perform backups and restores for a Microsoft SQL Server database.

The Microsoft API allows backups to both files and pipes. Backups to a file is basically a staging process where the backup is stages to a flat file on disk requiring significant additional disk space and some degradation in performance. Back to a pipe is a memory to memory transfer, is quite fast and requires no additional disk space. We recommend pipe backups whenever possible however it requires SQL Server 6.0 or later.

MSSQL.EXE is used to coordinate the execution of a Transact-SQL script (via ISQL.EXE) and FDR/UPSTREAM to handle backups and restores. Depending on how it is configured and the version of FDR/UPSTREAM you are using you can have the backups and restores performed using either DISK or PIPE dump devices.

### 34.2.2 Planning

Chapter 12, **Backing Up and Restoring**, of the **Administrator's Companion 6.0** document for Microsoft SQL Server version 6.5 documents all of the relative concerns that need to be addressed when planning a backup and restore strategy for a Microsoft SQL Server database. This section will rehash a few of the points covered in the **Backing Up and Restoring** chapter.

The backup plan that you create should cover the following databases:

- The *master* database. This is the most important database maintained by Microsoft SQL Server since it contains the configuration information for all other databases.
- The *msdb* database. This database contains all of the information about scheduled events that are maintained by the Microsoft SQL Server Scheduler.
- The *distribution* database. This database holds information about database replication if the server is configured as a replication distributor.
- All user defined databases.

To ensure that these databases are consistent (i.e. are operating properly), you should plan on monitoring them periodically. The following Transact-SQL script commands can be used to check the consistency of a database:

- DBCC CHECKDB
- DBCC CHECKALLOC or NEWALLOC
- DBCC CHECKCATALOG

A backup of a Microsoft SQL Server database can be performed while the database is active. This means that you do not have to plan any down time for a database while it is being backed up.

The Microsoft SQL Server will maintain transaction logs for a database as long as the database was configured with a separate device to house them. When a database is created it is allocated to either a single database device or a database device and a log device. By creating a database on a single database device you limit the type

of backups you can perform for the database to full database backups only. By creating a database on a database device and a separate log device you then have the ability to perform either full database backups or incremental transaction log backups.

Most of the databases created by Microsoft SQL Server for its own use are created using a separate log device to hold the database transaction logs. The one exception to this rule is the *master* database. The *master* database does not have a separate log device so that all backups that you perform for the *master* database must be full database backups.

You should plan how often you want to perform full database backups and incremental transaction log backups. A typical database backup schedule involves performing full database backups once a week and incremental transaction log backups daily. In addition to the backups you perform according to this schedule, there are times when it is important to perform nonscheduled full database backup as follows:

- After initially creating the database.
- After performing a nonlogged operation.
- After creating an index.

Also, a full database backup for the *master* database should be performed after any of the following actions are performed:

- ALTER DATABASE
- CREATE DATABASE
- DISK INIT
- DISKsp_dropserver
- sp_dropremotelogi MIRROR
- DISK UNMIRROR
- DISK REMIRROR
- DISK RESIZE
- various DBCC options such as SHRINKDB
- sp_addlogin
- sp_addremotelogin
- sp_addsegment
- sp_addumpdevice
- sp_configure
- sp_dropdevice
- sp_droplogin
- sp_dropsegment
- sp_dropserver
- Sp_dropremotelogin
- Sp_extendsegment
- sp_logdevice

If ever you should need to restore a database, you must ensure that the database is not in use before proceeding. This can be accomplished by using the following Transact-SQL script commands:

- sp_dboption
- sp_who
- KILL

To restore a database, you must first restore from the latest full database backup and then restore from each subsequent incremental transaction log backup in the order they were backed up.

If the database you need to restore happens to be the *master* database or the *msdb* database, you should first read the **Backing Up and Restoring** chapter to be aware of the special considerations for these databases. The special considerations for the *master* and *msdb* databases are also covered in the **Restoring the Master Database** and **Restoring the Msdb Database** sections of this chapter respectively.

**NOTE: If you are using MS SQL 7.0 Full-Text Catalogs and Indexes, these will need to be backed up on a file-by-file basis - not with the agent discussed in this chapter. See KnowlegeBase Article Q240867 for more information.**

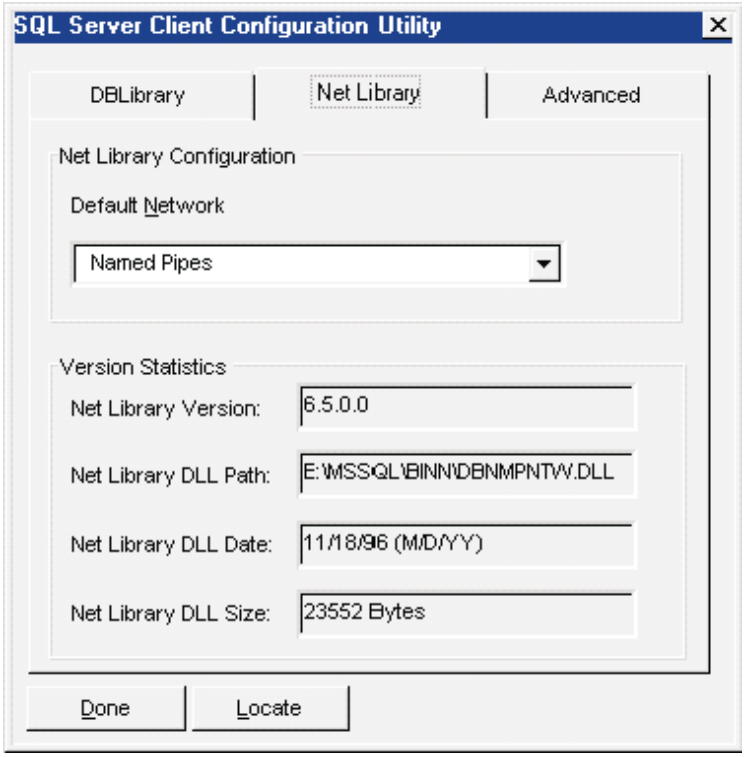
### 34.2.3 Microsoft SQL Server Configuration

In addition to the special considerations outlined in the **Planning** section, you must determine which type of connection you want to use between the SQL Server Transact-SQL process (ISQL.EXE), which is started by the FDR/UPSTREAM MSSQL.EXE process, and the main SQL Server service. The two types of connections that can be used are:

- An SQL Login connection using the special SQL Server SA (System Administrator) Login ID. This is not the recommended method since it requires that the SA Login password be stored in an ASCII text file.
- A trusted connection using the SQL Server's integrated security mode to authenticate the Windows NT account under which the FDR/UPSTREAM MSSQL.EXE and SQL Server Transact-SQL processes will run. This is the recommended method.

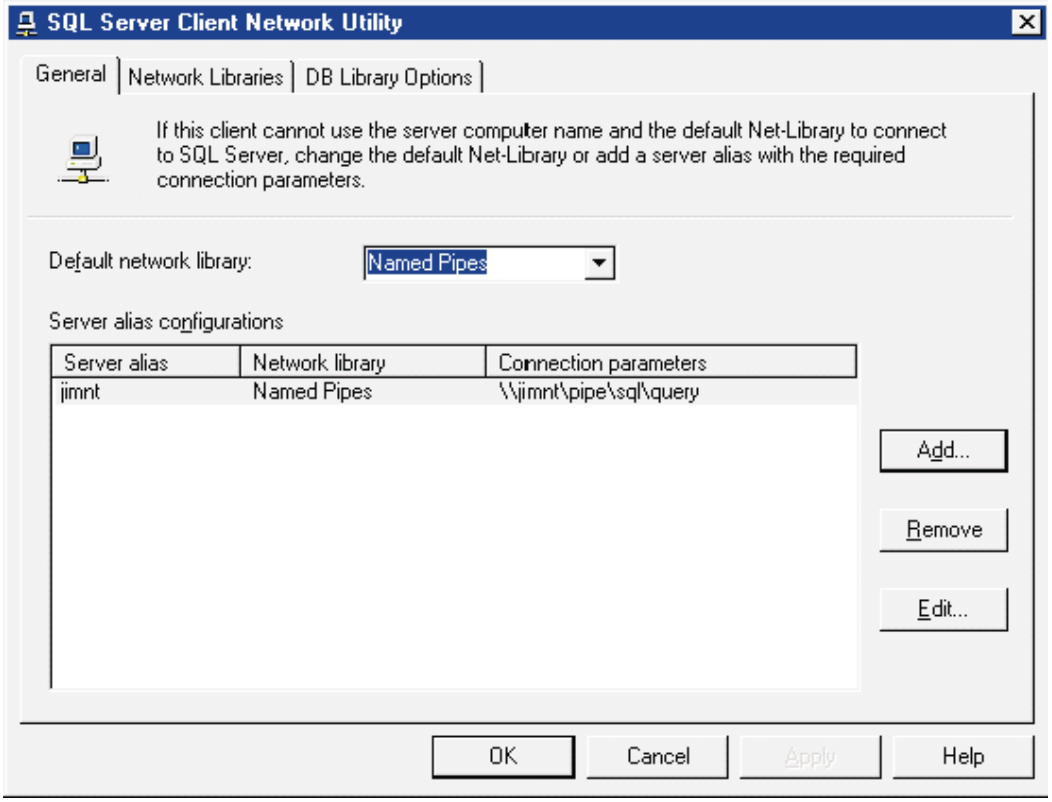
To use an SQL Login connection, you must know and supply the password for the SA Login ID to the MSSQL.EXE configuration process. This SA Login ID password is used by the FDR/UPSTREAM MSSQL.EXE process to start the Transact-SQL (ISQL.EXE) process and have it login to the SQL Server service as the System Administrator to perform the DUMP (backup) and LOAD (restore) functions. Because this password is stored in the MSSQL.CFG file, and this file is an ASCII text file, this is not the recommended type of connection.

If you plan on using the recommended type of connection, which is a trusted connection, there are two additional SQL Server configuration steps that you must perform. The first is to ensure that you have an appropriate Net Library configured for client access. In Microsoft SQL 6.5 and below, this is accomplished by running the SQL Server Client Configuration Utility application. This will display the following dialog:




Make sure that the Default Network setting is set to either Multi-Protocol or Named Pipes.

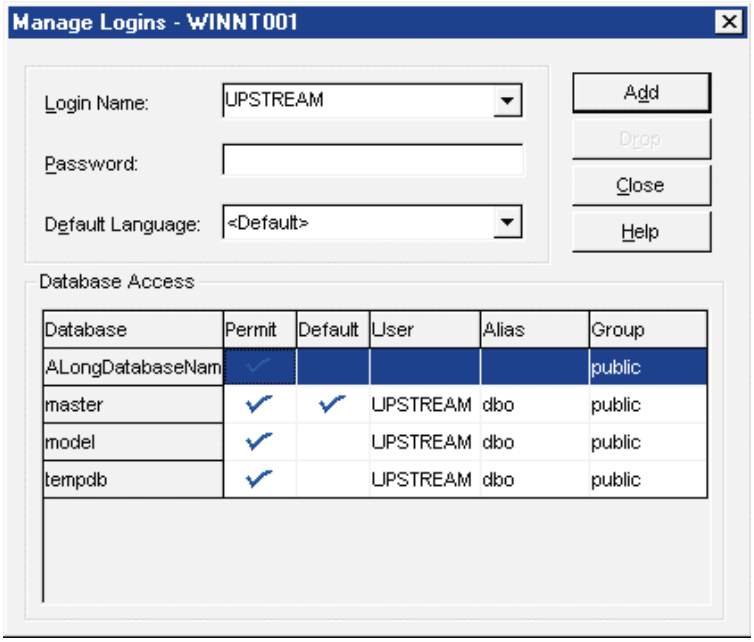
In Microsoft SQL 7.0 and above, use the Microsoft SQL Server 7.0 Profiler application to configure the Net Library. When the Profiler application is started, select the SQL Server Client Configuration Utility sub-menu item from the Tools menu. This will display the SQL Server Client Network Utility dialog as follows:



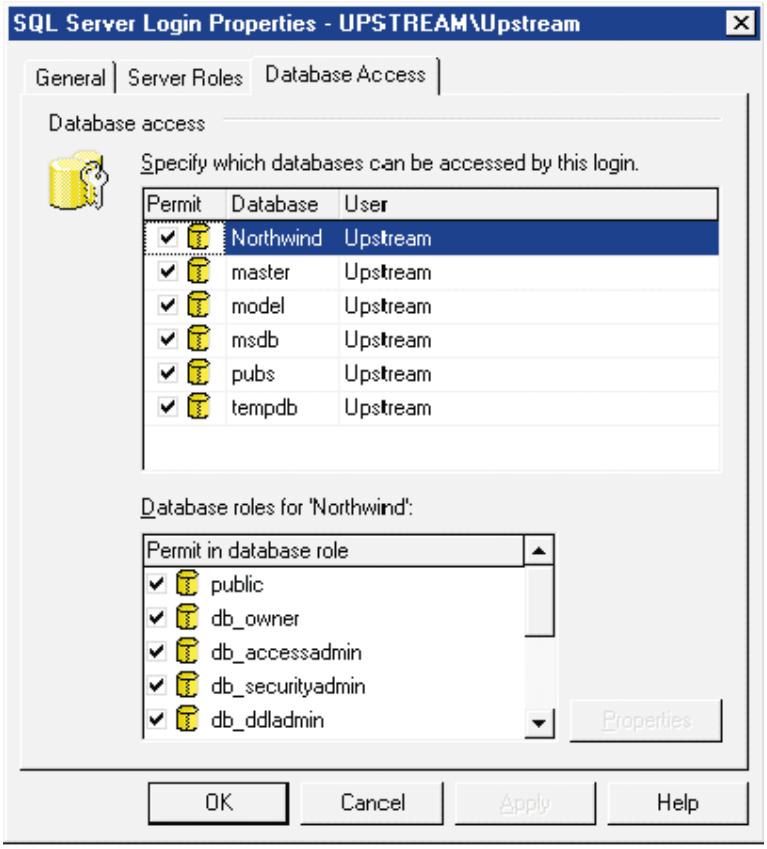

Make sure that the Default network library setting is set to either Multi-Protocol or Named Pipes.

The second SQL Server configuration step required to use a trusted connection is to create a database alias in each database for the Login ID (Windows NT account) that the FDR/UPSTREAM user will use to gain access to the database. The *Managing SQL Server Logins* section of Chapter 9, *Managing Security*, of the *Administrator's Companion 6.0* document for Microsoft SQL Server version 6.5 explains how to add and modify a Login ID. Before FDR/UPSTREAM (MSSQL.EXE) can be used to backup or restore a database, the Windows NT user account, under which FDR/UPSTREAM will be run, must be added as a Microsoft SQL Server Login ID. This Login ID then needs to be assigned an alias for each of the databases that are to be backed up and restored with FDR/UPSTREAM. The alias assigned to FDR/UPSTREAM's Login ID for each database should be 'dbo' (the owner of the database).

For example, assume that the Windows NT user account under which FDR/UPSTREAM will run is named 'UPSTREAM'. A Login ID of 'UPSTREAM' must be created with the default database set to the *master* database. And then for each database that the UPSTREAM Login ID is to backup or restore, an alias for that database must be assigned to be 'dbo'. In Microsoft SQL Server 6.5 this configuration is accomplished with the following dialog:




In Microsoft SQL Server 7.0 this configuration is accomplished with the following dialog:



By configuring a special Login ID for the FDR/UPSTREAM user account, the FDR/UPSTREAM process (MSSQL.EXE) that performs the backups and restores can establish a 'trusted connection' with the database in order to process it. The Login ID does not necessarily have to be 'UPSTREAM'. It can be any other Login ID that matches any Windows NT user account name that you choose to run FDR/UPSTREAM under. You may in fact create two or more Login IDs and may even create a separate unique Login ID for each database you want to backup and restore.

### 34.2.4 FDR/UPSTREAM Configuration

There are a number of FDR/UPSTREAM related steps that need to be performed. These are as follows:

- 1. Determine the MVS storage requirements for the full and incremental database backups.
- 2. Create a FDR/UPSTREAM for MVS backup profile enabled for merge backups. There are no special requirements for the options used for this backup profile other than the enabling of the merge backup option.
- 3. A plan for vaulting the FDR/UPSTREAM for MVS backup datasets for the backup profile should be created to ensure that a failure of MVS storage media does not prevent restoration of the Microsoft SQL Server database.
- 4. Perform the MSSQL.EXE configuration process to prepare MSSQL.EXE to backup and restore the database. This is done by supplying the values for a number of configuration parameters.

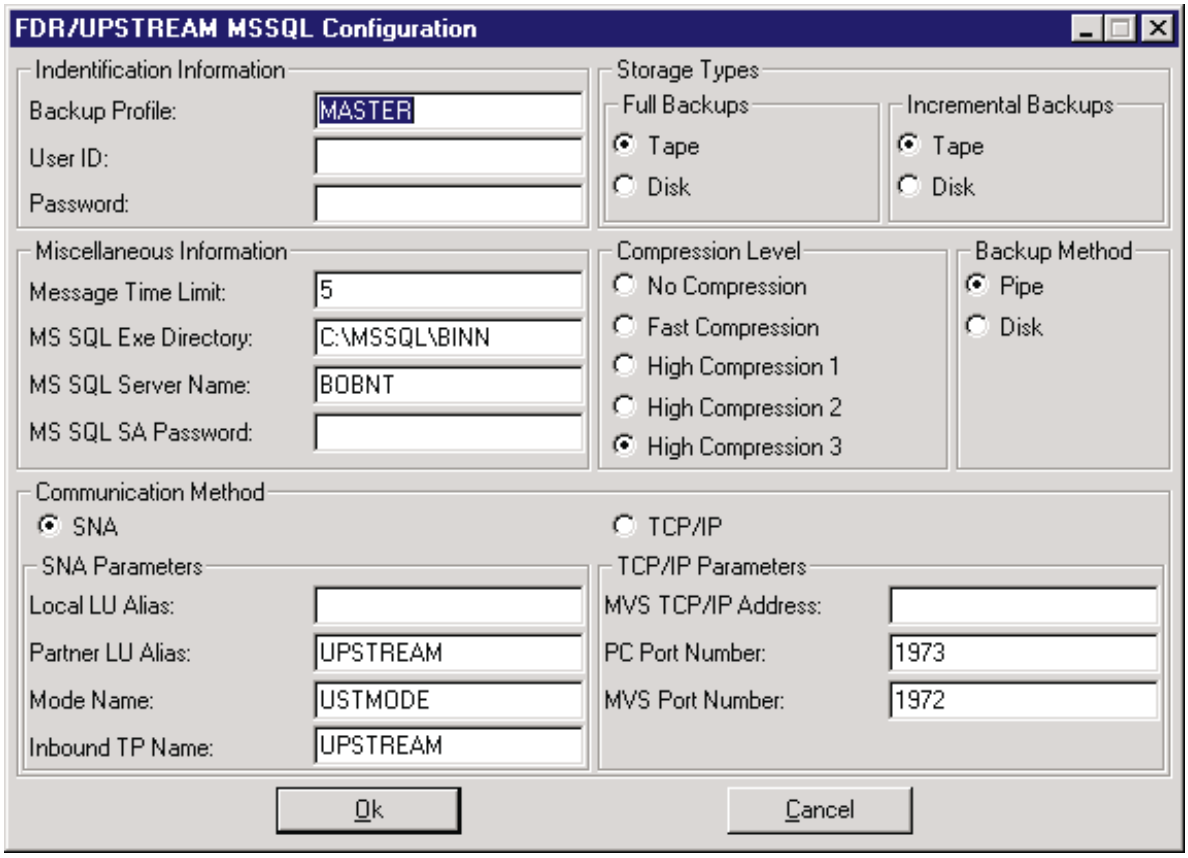
The first three steps listed above are no different from those for any of the servers you are already using FDR/UPSTREAM to back up. The last step is the special MSSQL.EXE configuration. To configure a Microsoft SQL Server database to be backed up using MSSQL.EXE, execute the following command from a Windows NT command line:

```
MSSQL CONFIGURE DatabaseName
```

Where *DatabaseName* is the name of the database to be configured for backups and restores using MSSQL.EXE.

The MSSQL CONFIGURE command must be executed at least once for each database you want to backup and restore using MSSQL.EXE. Two databases in particular, the *master* database and the *msdb* database, require special treatment and **SHOULD ALWAYS** be configured to be backed up and restored using MSSQL.EXE

When executed, the MSSQL CONFIGURE command will create a subdirectory structure under C:\UPSTREAM (assuming that this is the name of the directory in which MSSQL.EXE was installed) for the specified database and then create a file named MSSQL.CFG. The MSSQL.CFG file contains a number of parameters that subsequent executions of MSSQL.EXE will use to perform its functions. Default values will be supplied for most of these parameters, but other parameters need to have specific user- defined values supplied for them. Once invoked to perform the configuration process, MSSQL.EXE will display the following dialog:




Most of the fields are required. You may have to change some of the other fields if the defaults are not to your liking. The fields on this dialog are detailed below:

- **Backup Profile:** The name of the backup profile defined in the UPSTREAM/MVS configuration dataset.
- **User ID:** You host user ID if required.
- **Password:** Your host password for the user ID specified above.
- **Storage Types/Full Backups:** The MVS storage type to be used to back up the full database. This can be either disk or tape. The default is tape.
- **Storage Types/Incremental Backups:** The MVS storage type to be used to back up the transaction logs. This can be either disk or tape. The default is tape.
- **Message Time Limit:** The number of seconds that UPSTREAM/PC will display a message before it times out. This can be either -1(for no display) or a number in the range 1 - 20.
- **MS SQL Exe Directory:** The fully qualified name of the directory that contains the MS SQL ISQL.EXE program. This directory name may also be specified in standard UNC format.
- **MS SQL Server Name:** The name of the MS SQL server that owns the database.

- ☐ **MS SQL SA Password:** The password for the MS SQL Server System Administrator (SA) Login ID. Leave this field blank if you want to use a trusted connection for ISQL.EXE functions (this is the recommended method).

- ☐ **Compression Level:** The level to which the database and log data will be compressed as it is backed up to the host. This can be either No Compression, Fast Compression, High Compression 1, High Compression 2, or High Compression 3. The default is High Compression 3.

- ☐ **Backup Method:** The method by which backups and restores to the database are performed. This can be either pipe or disk. The pipe method is the most efficient.

- ☐ **Communication Method:** The communication protocol that UPSTREAM/PC should used to communicate with UPSTREAM/MVS. This can be either SNA or TCP/IP.

  If you specify the SNA Communications Method you will have to enter the following fields:

- ☐ **Local LU Alias:** The SNA LU alias assigned to UPSTREAM/PC. This LU alias must be different than the one used in your normal UPSTREAM/PC configuration if you will be initiating the MSExch.EXE command from UPSTREAM/MVS.

- ☐ **Partner LU Alias:** The SNA LU alias assigned to UPSTREAM/MVS.

- ☐ **Mode Name:** The SNA mode name to be used by UPSTREAM/PC to communicate with UPSTREAM/MVS.

- ☐ **Inbound TP Name:** The SNA Transaction Program (TP) name for UPSTREAM/PC.

  If you specify the TCP/IP Communications Method you will have to enter the following fields:

- ☐ **MVS TCP/IP Address:** The TCP/IP address assigned to UPSTREAM/MVS.

- ☐ **PC Port Number:** The TCP/IP port number assigned to UPSTREAM/PC. This port number must be different than the one used in your normal UPSTREAM/PC configuration if you will be initiating the MSExch.EXE command from UPSTREAM/MVS.

- ☐ **MVS Port Number:** The TCP/IP port number assigned to UPSTREAM/MVS.

  Once all of the required parameter values are supplied and verified, MSSQL.EXE creates a FDR/UPSTREAM configuration file and three FDR/UPSTREAM parameter files. The directories and files created by the MSSQL CONFIGURE process are:

  - C:\UPSTREAM\MSSQL(directory)
  - C:\UPSTREAM\MSSQL\ALongDatabaseName (directory)
  - C:\UPSTREAM\MSSQL\ALongDatabaseName\BkpFiles (directory)
  - C:\UPSTREAM\MSSQL\ALongDatabaseName\CtlFiles (directory)
  - C:\UPSTREAM\MSSQL\ALongDatabaseName\DBBKUP.DAT (file)
  - C:\UPSTREAM\MSSQL\ALongDatabaseName\LOGBKUP.DAT (file)
  - C:\UPSTREAM\MSSQL\ALongDatabaseName\MSSQL.CFG (file)
  - C:\UPSTREAM\MSSQL\ALongDatabaseName\RESTORE.DAT (file)
  - C:\UPSTREAM\MSSQL\ALongDatabaseName\UPSTREAM.CFG (file)

The C:\UPSTREAM\MSSQL directory is common to all of the Microsoft SQL Server databases that you will use MSSQL.EXE to backup and restore. This directory contains a MSSQL.LOG file which details all of the backup and restore activity performed by MSSQL.EXE for all databases.

The C:\UPSTREAM\MSSQL\ALongDatabaseName directory contains the MSSQL.EXE configuration file (MSSQL.CFG) and the FDR/UPSTREAM configuration and parameter files (UPSTREAM.CFG, DBBKUP.DAT, LOGBKUP.DAT, RESTORE.DAT).

**WARNING: If you choose to modify the FDR/UPSTREAM files outside of the MSSQL CONFIGURE process you should be careful and have full knowledge of the impact of the modifications you are making. Also each time a MSSQL CONFIGURE process is performed, the manual changes made to the UPSTREAM/PC files will be lost because the MSSQL CONFIGURE process will overwrite them.**

The C:\UPSTREAM\MSSQL\ALongDatabaseName\CtlFiles directory is used by MSSQL.EXE to create work files. This directory name is also listed in the UPSTREAM.CFG file as the WORKPATH parameter which means that FDR/UPSTREAM itself will use it for its work files as well.

Finally the C:\UPSTREAM\MSSQL\ALongDatabaseName\BkpFiles directory is used for storing Microsoft SQL Server backup files temporarily, only if disk files are used to perform the backups (DUMP) or restores (LOAD).

**Special Note:** You will notice that the comment for the UPSTREAMLocalLUAlias parameter listed above states: "This LU Alias must be different than the one used in your normal UPSTREAM/PC configuration if you will be initiating the MSSQL.EXE command from the host." The reason for a unique LU Alias has to do with the way in which MSSQL BACKUP is run when it is initiated from the host via a USTBATCH job. The **Host Initiation of MSSQL.EXE** section covers this topic in detail.

If you wish to use UPSTREAM/SOS for your backups see page 34-20 for a description of additional configuration procedures.

# 34.3 Usage

The MSSQL.EXE file provides all of the functionality needed to use FDR/UPSTREAM to perform backups and restores for a Microsoft SQL Server database. The proper syntax for MSSQL.EXE is:

```
MSSQL BACKUP [DatabaseName | DatabaseListFileName] DATABASE
MSSQL BACKUP [DatabaseName | DatabaseListFileName] LOG
MSSQL RESTORE DatabaseName VersionNumber [REPLACE]
MSSQL INQUIRE DatabaseName
MSSQL CONFIGURE DatabaseName
```

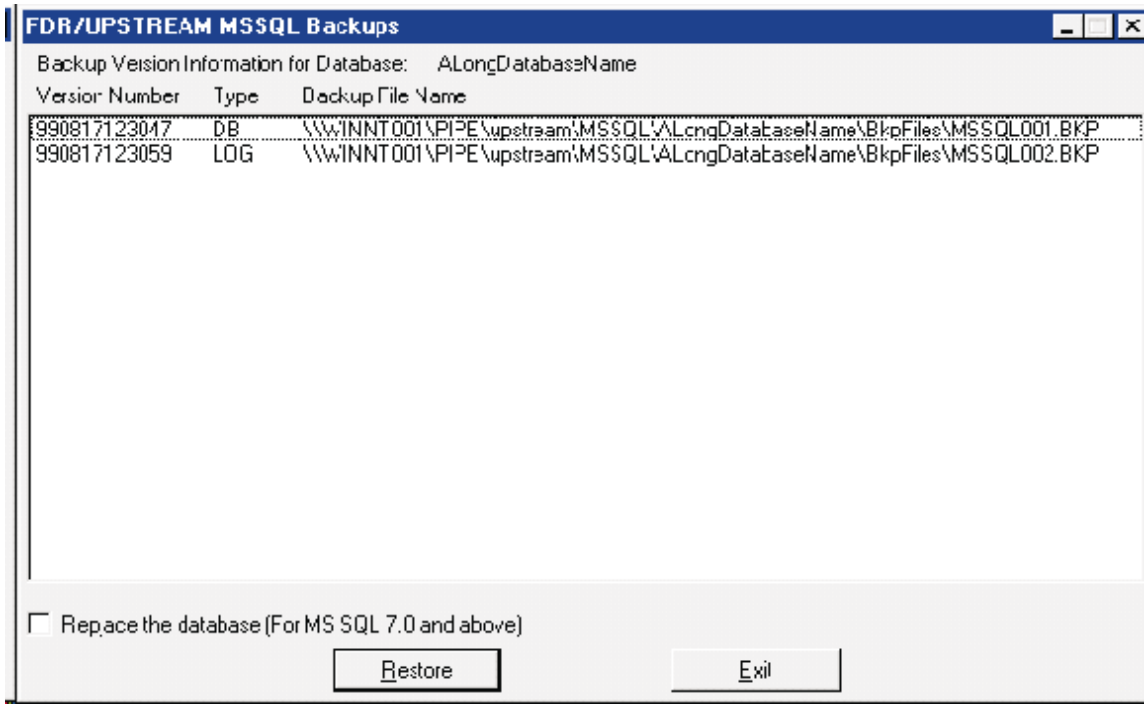
Where:

- DatabaseName is the name of the database to be backed up or restored.
- DatabaseListFileName is the name of a file containing the names of databases to be backed up.
- VersionNumber is the UPSTREAM version number for the database or log backup to be restored. If the version number specified is a log backup, the previous database backup for the database will be identified and a restore will be performed for each of the versions from the database backup up to and including the log backup version specified.
- REPLACE is an optional keyword for restore which will cause the database to be replaced during the restore. This keyword may need to be used for MS SQL 7.0 databases only. The restore will fail if this keyword is specified for a database prior to MS SQL 7.0.

To perform a full database backup of a database, you would execute a 'MSSQL BACKUP DatabaseName DATABASE' command. To perform an incremental backup of a database's transaction logs you would execute a 'MSSQL BACKUP DatabaseName LOG' command.

If you wish to backup a number of databases, place the names of these databases in a text file. This file must be a plain text file (no word processing formatting information) and be viewable with the TYPE command. Specify the name of this text file in place of the DatabaseName on the MSSQL BACKUP command for either the DATABASE or LOG. MSSQL will automatically create a **MSSQL.LST** file which can be used as a Database List File Name; whenever you perform a MSSQL CONFIGURE command, it will add the specified database to the MSSQL.LST file.

To perform a restore of a database with or without the restoration of any of its transaction log files you would first use the 'MSSQL INQUIRE DatabaseName' command to display a list of available FDR/UPSTREAM backups and their version numbers. The MSSQL INQUIRE process will display the following dialog:




To restore from a particular backup version, you first select the version to be restored and click the **Restore** button or just double-click the version entry. If you already know the version number that you want to restore from you can invoke the MSSQL RESTORE DatabaseName VersionNumber command directly. An example of this follows:

```
MSSQL RESTORE ALongDatabaseName 980224145305 REPLACE
```

Since the specified version number is from a log (incremental) backup, MSSQL will first restore from the previous database (full) backup (version 980224145239) and then restore version 980224145305.

The method by which MSSQL.EXE performs these backups and restores (either though a DISK or PIPE dump device), and the other parameters that control how Microsoft SQL Server and UPSTREAM/PC (US.EXE) operate, are determined at the time that the database is configured for MSSQL.EXE as explained in the **FDR/UPSTREAM Configuration** section.

Each execution of a MSSQL command causes MSSQL.EXE to log its activity in a file named MSSQL.LOG. This file is maintained in the C:\UPSTREAM\MSSQL directory and has a format similar to a regular UPSTREAM.LOG file. In other words, each entry in the MSSQL.LOG file starts with a time stamp. Over time, the MSSQL.LOG file can become quite large. Therefore, to manage its size you can use the USLOGCLR.EXE program found in the C:\UPSTREAM directory to discard the activity older than a specified number of days. For example, the following command will cause the activity in the MSSQL.LOG file older than 30 days to be discarded:

```
C:\UPSTREAM\USLOGCLR 30 C:\UPSTREAM\MSSQL\MSSQL.LOG
```

The return codes that MSSQL.EXE can return are as follows:

- 0 - If the requested function was performed successfully.
- 4 - If the execution of a Transact-SQL script via ISQL.EXE encountered an error.
- 8 - If FDR/UPSTREAM encountered an error.
- 12 - If one or more of the MSSQL.EXE parameters were missing or invalid.

# 34.4 Operation

MSSQL.EXE can be implemented in a production environment in a number of ways. Some of these are:

- Executing MSSQL.EXE on the same server that Microsoft SQL Server executes or on a different server within the same Windows NT domain.
- Executing MSSQL.EXE in a logged on user environment or in a service process.
- Initiating a MSSQL.EXE backup or restore from the host or initiating it from a Windows NT server either automatically or through some automated scheduler.

The Microsoft SQL Server supplied ISQL.EXE program can be executed on any machine within the Windows NT domain in which the Microsoft SQL Server itself is deployed. The only requirement is that MSSQL.EXE must be installed in and executed from the same directory as the FDR/UPSTREAM US.EXE program (typically C:\UPSTREAM). MSSQL.EXE finds the Microsoft SQL Server via the MSSQLServerName parameter in the MSSQL.CFG file that is created during the MSSQL CONFIGURE process.

MSSQL.EXE can be executed either in the context of a logged on user or as a Windows NT service. The only requirement for executing MSSQL.EXE in the context of a service is that it must be executed under a user-specified User Account and not the System Account. This restriction is associated with the Microsoft SQL Server security system. This user-specified User Account must be defined in Microsoft SQL Server as a Login ID which has an alias defined to 'dbo' (the database owner) of each database that MSSQL.EXE will be used to backup and restore. If the MSSQL.EXE service is executed in the context of the System Account or the User Account has not been defined as a Login ID to Microsoft SQL Server, MSSQL.EXE will not be able to execute the required Transact-SQL scripts needed to perform its functions.

The greatest flexibility of MSSQL.EXE is the method used to initiate it. If MSSQL.EXE is to be initiated from a Windows NT server, it can be executed manually, via the Windows NT scheduler, via the Microsoft SQL Server scheduler or via any other automated mechanism. Information about how to schedule tasks from Microsoft SQL Server can be found in Chapter 16, **Scheduling Tasks**, of the **Administrator's Companion 6.0** document for Microsoft SQL Server version 6.5.

Most FDR/UPSTREAM customers like to have the host control all FDR/UPSTREAM operations. Because of this, MSSQL.EXE can be initiated from the host as well. To accomplish this, a second communication path between the Windows NT server and the host has to be established. In a SNA environment this means an additional LU and in a TCP/IP environment an additional TCP/IP port number. Host initiation is covered in the next section.

# 34.5 Host Initiation of MSSQL.EXE

MSSQL.EXE can be initiated from the host via a USTBATCH job. A sample set of USTBATCH parameters for invoking MSSQL.EXE follow:

```
APPLPREF=UPSTR
USAPPL=UPSTREAM
LOGMODE=USTMODE
TARGLU=PCLU1
TPNAME=UPSTREAM
MAXRETRY=0
CONV=WAIT
ACTION=5
JOBOPTIONS=2
JOBRETURNCODEMAP=0:0 4:8 12:12 ?:8
FILES=C:\UPSTREAM\MSSQL.EXE BACKUP ALongDatabaseName DATABASE
/*
```

Using this sample set of USTBATCH parameters, the backup process goes something like this:

- 1. The USTBATCH job is submitted for execution.
- 2. USTBATCH starts a conversation on LU UPSTR001 (APPLPREF=UPSTR) with the UPSTREAM started task on the host (USAPPL=UPSTREAM) and requests that a conversation with the Windows NT server (TPNAME=UPSTREAM) be started using the LU assigned to the Windows NT server (TARGLU=PCLU1).
- 3. The UPSTREAM TP on the Windows NT server is started, FDR/UPSTREAM for Windows is invoked and completes the connection.
- 4. The request to execute a job (ACTION=5) is passed down to FDR/UPSTREAM for Windows along with the program specification for the job (FILES=C:\UPSTREAM\MSSQL.EXE BACKUP ALongDatabaseName DATABASE).
- 5. FDR/UPSTREAM for Windows will invoke MSSQL.EXE, wait for MSSQL.EXE to finish execution and return a return code, and then terminate itself (JOBOPTIONS=2). Once MSSQL.EXE is finished, FDR/UPSTREAM for Windows will map the MSSQL.EXE return code into a return code that the USTBATCH job can interpret (JOBRETURNCODEMAP=0:0 4:8 12:12 ?:8).
- 6. During the time that FDR/UPSTREAM for Windows is waiting for MSSQL.EXE to finish, it will still have an active conversation with the host on the LU named PCLU1. When MSSQL.EXE executes, it will build a Transact-SQL script file to execute via ISQL.EXE and build a FDR/UPSTREAM parameter file to execute via a second copy of US.EXE (FDR/UPSTREAM for Windows).

During the execution of the second copy of US.EXE (step #6 above), a second conversation with the host is initiated via the LU alias configured on the UPSTREAMLocalLUAlias parameter in the MSSQL.EXE configuration file (MSSQL.CFG). It is **crucial** that the UPSTREAMLocalLUAlias parameter have a LU alias for a LU name that is different from the one specified in the USTBATCH JCL (for example not PCLU1). The reason for this is that the first LU will be still be in use for the conversation that caused the MSSQL.EXE job to be executed in the first place. The second LU is needed to start a conversation that will be used by the backup.

The scenario outlined here works the same way for TCP/IP except that TCP/IP does not have a concept similar to a TP (Transaction Program). This means that FDR/UPSTREAM for Windows must already be executing and waiting for the job request to be passed down from the host or you must use the FDR/UPSTREAM TCP/IP

Attach Manager (see the Running More Than One Copy chapter for more information about the . Also since FDR/UPSTREAM should continue to execute after MSSQL.EXE has finished its execution, the JOBOPTIONS parameter should be JOBOPTIONS=3.

More information about remote host initiation, particularly TCP/IP conversations, can be found in the FDR/UPSTREAM for MVS manual.

# 34.6 SQL Server Restores

### 34.6.1 Restoring the Master Database

The *master* database is the most important database in a Microsoft SQL Server because it contains the configuration information for all of the other Microsoft SQL Server databases. Therefore, the procedure used to restore a damaged *master* database is different from the procedure used to restore user databases. If the *master* database becomes unusable, it must be restored from a previous full database backup (transaction log backups are not an option for the *master* database). All changes made to the *master* database after the last backup are lost when the backup is restored and therefore must be reapplied.

It is strongly recommended that the *master* database be backed up each time it is changed. This is best accomplished by prohibiting the creation of user-defined objects in the *master* database and by being aware of the Transact-SQL commands and stored procedures that modify it. Some of these commands and stored procedures are listed in the **Planning** section. If a user database is created, expanded or shrunk after the most recent backup of the *master* database and if it becomes necessary to restore the *master* database, then that user database and all data in it will be lost. Because of this, always backup the *master* database after creating, expanding, or shrinking user databases.

In most cases the reason for restoring the *master* database is to recover from a corrupted master device file. To restore a damaged *master* database, do the following:

- 1. Start the Microsoft SQL Server Setup program either from the icon in the Microsoft SQL Server folder or from the distribution media.
- 2. Respond to the on-screen instructions in Setup until the **Options** dialog box appears.
- 3. Check the **Rebuild Master Database** option and then press the **Continue** button.
- 4. When the confirmation dialog box appears choose **Resume**.
- 5. When the Rebuild Options dialog box appears use the **Sets…** and **Orders…** buttons to set the Character Set and Sort Order to what was previously used for the *master* database.
- 6. Once the Character Set and Sort Order are properly configured, press the **Continue** button.
- 7. When the **Installation Path** dialog box is displayed, set the **Drive** and **Directory** to what they were when Microsoft SQL Server was initially installed and then press the **Continue** button.
- 8. When the **Rebuild MASTER Device** dialog box is displayed, enter the **Drive**, **Directory** and **Size** of the MASTER device. The size of the master device should be at least as large as what it was the last time the *master* database was backed up.
- 9. Press the **Continue** button when you are finished with the **Rebuild MASTER Device** dialog box. Setup will then rebuild the *master* database.
- 10. When the rebuilding of the *master* database is complete (this may take a number of minutes) and the completion dialog box appears, press the **Exit** button.

Since MSSQL.EXE does not make use of a predefined disk or tape dump device, you do not have to worry about adding a dump device before performing a restore of the *master* database using MSSQL.EXE.

To restore the *master* database the Microsoft SQL Server must be started in single-user mode. If the MSSQLServer service is already executing, stop it. To start the Microsoft SQL Server in single-user mode execute the following command from a Windows NT command line:

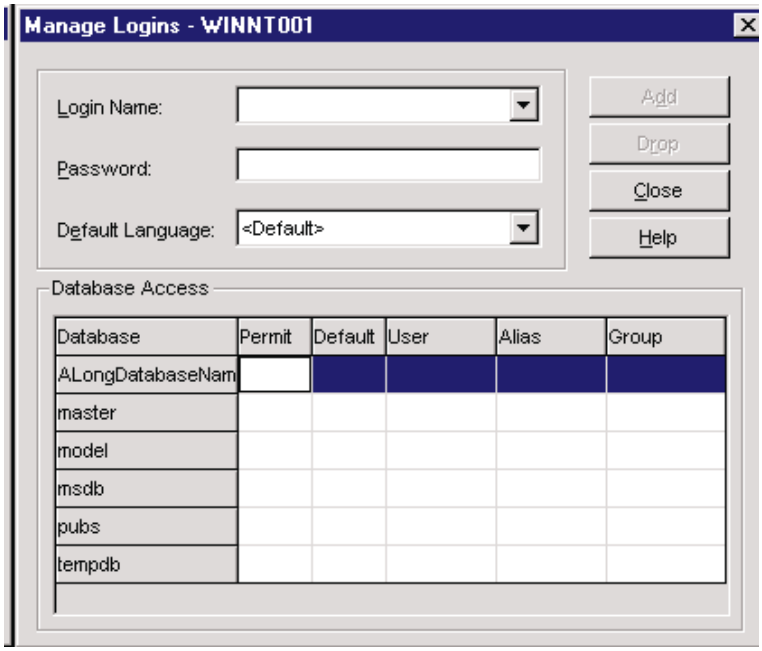
```
sqlservr /c /dmaster_device /m
```

Where:

- **/c** starts the Microsoft SQL Server independent of the Windows NT Service Control Manager.
- **/dmaster_device** specifies a physical name for the MASTER database device. For example:

  `/dc:\mssql\data\master.dat`
- **/m** Specifies single-user mode.

There is one final step that must be performed before executing the MSSQL RESTORE command for the *master* database and that is to create a Login ID for the Windows NT user account that MSSQL.EXE will run under to perform the restore. This new Login ID should have the *master* database as its default database and have an alias assigned for the *master* database to be 'dbo' (the owner of the *master* database). To create this new Login ID, start the **SQL Enterprise Manager** from the **Microsoft SQL Server** folder, expand the tree for the server whose *master* database is being restored, right-click on the Logins folder and then select **New Login…** from the popup menu to display the **Manage Logins** dialog box as follows:




After adding a Login ID is for the Windows NT user account under which MSSQL.EXE will be run, exist from the SQL Enterprise Manager. For more information about creating Login IDs to be used by MSSQL.EXE and FDR/UPSTREAM, refer to the **Microsoft SQL Server Configuration** section.

Now the 'MSSQL RESTORE MASTER VersionNumber' command can be executed. Substitute for VersionNumber the FDR/UPSTREAM version number of the last backup stored for the *master* database. This version number can be determined by issuing the 'MSSQL INQUIRE MASTER' command. Once the MSSQL.EXE RESTORE has completed you will notice that the ISQL.EXE finished with an error. This error is due to the fact that Microsoft SQL Server shuts itself down after the successful restoration of the *master* database and prematurely terminates the connection to ISQL.EXE.

Once the *master* database is restored you can continue with the restoration of any other databases that require it. One such database you may need to restore immediately is the *msdb* database. The special consideration for the *msdb* database are detailed in the next section.

For further information about the restoration of the *master* database refer to the **Restoring the *master* Database** section in the **Backing Up and Restoring** chapter of the **Administrators Companion 6.0** document.

### 34.6.2 Restoring the Msdb Database

The information contained in the *msdb* database is maintained by the Microsoft SQL Server scheduler which is part of the SQLExecutive service. Therefore, before restoring the *msdb* database, the SQLExecutive service must be stopped and then restarted after the restore has finished. Also, after a restoration of the *master* database you may need to expand the MSDBDATA device and allocate the expanded space to the *msdb* database before starting the restore for the *msdb* database.

The *msdb* database must have as much or more space allocated to it as was allocated to it before the *master* database was rebuilt.

For further information about the restoration of the *msdb* database refer to the **Restore the *msdb* Database** section in the **Backing Up and Restoring** chapter of the **Administrators Companion 6.0** document.

# 34.7 MS SQL Server and UPSTREAM/SOS

Before starting the reconfiguration of the UPSTREAM SQL server parameter files, perform the following prerequisites:

- 1. Perform the initial configuration for the server by executing the MSSQL CONFIGURE <database name> commands (see section 34.2.4).
- 2. Perform the appropriate configuration steps for the FDR/UPSTREAM/SOS local EMC disks as described in the UPSTREAM/SOS chapter.

Once the prerequisite steps have been performed, you are now ready to reconfigure the FDR/UPSTREAM/PC template parameter files. When you execute the MSSQL CONFIGURE <database name> command, the following FDR/UPSTREAM template parameter files are created:

```
C:\UPSTREAM\MSSQL\DatabaseName\DBBKUP.DAT (file)
C:\UPSTREAM\MSSQL\DatabaseName\LOGBKUP.DAT (file)
```

Although not complete (unable to be used to perform real backups), these parameter files can be further modified with the main FDR/UPSTREAM/PC program, US.EXE. To do this, start US.EXE and perform the following steps for each of the template parameter files:

☐ 1. Pull down the **File** menu from the main UPSTREAM screen and select **Open**. This displays the **File Open** dialog.

☐ 2. Double-click on the **[MSSQL]** entry in the list box to go to down to the MSExch subdirectory.

☐ 3. Double-click on **[Database Name]** entry in the list box to go down to the subdirectory for your database.

☐ 4. Double-click on the **DBBKUP.DAT** or **LOGBKUP.DAT** entry in the list box to open the parameter file. This displays either the Host Security Validation dialog or the Backup Parameters dialog. If the Host Security Validation dialog is displayed, reenter your password and press the Validate button to revalidate your security information. The Backup Parameters dialog will then be displayed.

☐ 5. Click on the **Local Bkp…** button. This displays the **Local Backup More…** dialog.

☐ 6. Press the **FDRSOS Physical Disk Local Backup** radio button. This causes the Select Disk… list box to be filled in with a list of physical disk IDs.

☐ 7. Select the disk ID of the EMC disk that was configured by the UPSTREAM/SOS setup step which was performed as one of the prerequisites.

☐ 8. Press the **Check Disk** button to verify that this is the correct disk.

☐ 9. Press the **Ok** button. The Backup Parameters dialog will be redisplayed.

☐ 10. Press the **Save or Begin…** button. This will display the Save Parameters/Backup dialog.

☐ 11. Press the **Save** button to save your parameters back to the original parameter file.

Once these steps have been performed for each of the template parameter files listed above, you are now ready to perform your MSSQL backups as you normally would. Now when FDR/UPSTREAM backs up the data it will do so using the EMC local disks as a backup medium.

**WARNING: If you ever need to execute the MSSQL CONFIGURE command again, you will then also have to reconfigure the template parameter files as described above.**

This page intentionally left blank

# 35 Oracle

Oracle Server is a fully-functioning Relational Database Management System (RDBMS). FDR/UPSTREAM supports four ways of backing it up.

- At the file level (or logical volume level for UNIX). This offers considerable flexibility and is quite powerful when used with the procedures we recommend. This method is described in detail starting on page 35-2.
- FDR/UPSTREAM can operate as an Oracle Media Manager Library product which allows it to be used with Oracle Recovery Manager (Oracle 8) or Oracle Enterprise Backup Utility (Oracle 7). See page 35-22.
- If you wish to avoid the complexity of Oracle's Recovery Manager but need more control and flexibility than simple file backups of Oracle databases, the **FDR/UPSTREAM Backup Management Suite for Oracle** databases may be your solution of choice. See page 35-30.
- BMC's SQL-BackTrack product. See that chapter for more information on the FDR/UPSTREAM agent.

# 35.1 File Level Backups

### 35.1.1 Overview

Oracle Server is a fully-functioning Relational Database Management System (RDBMS) which operates in essentially the same manner on all supported operating system platforms. FDR/UPSTREAM also operates in a platform independent manner and this makes it possible to describe fairly straightforward and detailed procedures for using FDR/UPSTREAM for backup and recovery of Oracle databases regardless of particular operating system they are running on. Within this section, samples are provided for fully tested FDR/UPSTREAM backup and recovery scenarios running on Windows NT and OS/2 ORACLE client systems with ORACLE database servers running under NetWare.

When you create an Oracle database, you should decide how you plan to protect the database against potential equipment failures or other data losses. If such planning is not considered before database creation, database recovery may not be possible.

In order to utilize FDR/UPSTREAM for the backup and recovery of ORACLE databases the following configuration planning decisions must be made.

- Establish Your ORACLE Archive Mode
- Select Your ORACLE Backup Mode
- Select Your UPSTREAM Backup Mode
- Identify Your ORACLE Database Components

These steps are outlined in the following sections. These decisions must be made prior to beginning your backups. If the are not, significant problems may arise at a later time when attempting to recover specific data.

If you have any questions about these planning items, feel free to contact UPSTREAM technical support for assistance.

### 35.1.2 Establishing Your Archive Mode

When establishing your ORACLE databases, your backup and recovery methodologies should be an integral part of your database design. Oracle supports two modes of logging for committed database transactions. They are:

- ARCHIVELOG mode
- NOARCHIVELOG mode

If a database is operating in ARCHIVELOG mode, previously taken backups of the REDO logs and database files coupled with the current online REDO log can recover the database right up to the time of any non-catastrophic failure.

When ARCHIVELOG mode is enabled, there are several important database recovery factors to consider:

- Full recovery is available in case of media failure
- Recovery to a past “point-in-time” is available
- You can take backups of the ORACLE DBMS while it is up or down

When NOARCHIVELOG mode is enabled, there are several important database recovery factors to consider:

- You will lose any changes since the last full backup
- You can return only to a previous full backup
- You can take backups only when the Oracle DBMS is down

If your database has already been constructed, you can determine which ARCHIVELOG mode your database is operating in with one of two ways:

- Open the Oracle Database Manager and click on the Parameters button. If the check box 'Support Recovery' option is ON and the Edit Database Parameters screen is checked, the database is running in ARCHIVELOG mode.
- Issue the following Oracle SQL command:

```
ARCHIVE LOG LIST
```

For more information on ARCHIVELOG mode and it's implications, please refer to the ORACLE "Server Administrator's Guide".

### 35.1.3 Selecting Your ORACLE BACKUP Mode

ORACLE supports two modes of operation for the backing up of it's database files. They are:

- Database **OFFLINE** mode
- Database **ONLINE** mode

**OFFLINE** mode can be utilized when your ORACLE database is operating in either ARCHIVELOG or NOARCHIVELOG mode previously described. **OFFLINE** mode requires that the ORACLE Database Server is brought down for the duration of the backup process.

**ONLINE** mode is only available when the ORACLE ARCHIVELOG mode is enabled. This mode allows the continuing operation of the ORACLE server while backups are being performed.

### 35.1.4 Selecting Your UPSTREAM BACKUP Mode

UPSTREAM supports two modes of performing backups of the ORACLE database and REDO LOG files. They are:

- **FULL** mode
- **INCREMENTAL** mode

**FULL** mode can be utilized with either of the ORACLE ARCHIVELOG modes described above. **FULL** mode causes all ORACLE database files to be backed up.

When **FULL** mode is enabled, there are several important factors to consider:

- All files will be backed up
- The output of this process will contain all the user data you will need to recover in the event of a failure.

**INCREMENTAL** mode can be utilized ONLY with the ORACLE ARCHIVELOG mode described above During **INCREMENTAL** backup you backup only archived REDO logs.

When **INCREMENTAL** mode is enabled, there are several important factors to consider:

- The **INCREMENTAL** backup will be used in concert with a previous **FULL** backup in order to recreate your ORACLE database(s)

- Backup times can be significantly reduced
- The output of this process must be combined with previously created **FULL** backups to recover the latest versions of your data

An **OFFLINE FULL** backup taken after an ORACLE shutdown can be used to recover to that particular point in time. The recovery process is straightforward and requires only that the **FULL** backup be restored.

If running in ARCHIVELOG mode, you can use your last **FULL** backup plus all of the archived REDO logs accumulated since that **FULL** backup (if they are lost, use your **INCREMENTAL** backups to restore them back) to recover to the most current database state.

Following a clean shutdown, all of the files that constitute a database are closed. Note that a full backup taken while the database is open, after an ORACLE DBMS crash, or shutdown abort is suspect due to the fact that the database files could have been in the process of being updated.

### 35.1.5 Identifying ORACLE Database Components

In order for the datafiles that represent an entire ORACLE database or individual database tablespace to be backed up, the file system components that contain these database components must be identified.

An ORACLE database components to consider for the backup purposes are:

- Database Files
- archived REDO LOG Files
- Control Files

The **Database** files contain the actual user data that is stored in the database by your company's application systems. One or more database files constitute a **Tablespaces** within the database. Within the **Tablespaces** are the **Tables** which hold the actual view of user data.

The **archived REDO LOG** files contain the history of application database update **Transactions**. The transactions, often referred to as **Units of Work,** are **atomic** actions performed against the database by application programs. This grouping of units of work is critical to maintaining database integrity and recoverability.

The **Control** files contain the description of the physical aspects of the databases. Information such as file system entities, files and directories, that contain the actual database data and REDO Log files.

### 35.1.6 Backing Up Your ORACLE Database

For illustrative purposes, the following sections outline some basic UPSTREAM/ORACLE backup scenarios:

☐ OFFLINE Database Backups:

- Database OFFLINE, NOARCHIVELOG or ARCHIVELOG Mode, FULL Backup

☐ ONLINE Database Backups (ARCHIVELOG Mode ONLY):

- Database ONLINE, Tablespace OFFLINE Backup
- Database ONLINE, Tablespace ONLINE Backup
- REDO LOG and Control file backups

These scenarios represent the backup options for ORACLE database users that will insure full database integrity. Any other scenarios should be carefully reviewed in order to limit your exposure to the loss of data.

The examples in the following sections have been extensively tested with an ORACLE database running as a NLM under NetWare 4.b. The samples are constructed to operate via MVS mainframe initiation. This represents how the majority of customers generally chose to perform these types of backups. Other operating environments may require slight modifications to the configurations and jobs provided to perform properly.

The name for Oracle Server Manager executable varies depending on your platform and Oracle version. We will use 'SVRMGR' in all the examples to refer to it. Use your platform and version specific documentation to obtain thrightth name for Server Manager executable file.

If you have difficulty, feel free to contact Innovation Technical Support for further assistance.

### 35.1.7 Database Backups - Overview

If your ORACLE database is operating in either **NOARCHIVELOG** or **ARCHIVELOG** mode, one of the available choices for backing it up is to take the entire database OFFLINE and back it up via the UPSTREAM FULL backup process. This process makes a complete copy of the associated ORACLE database files and backs them up to the UPSTREAM HOST system. The only recovery scenario available for this type of backup involves the restoration of the most recent full backup of the database.

Keep the following important factors in mind when constructing this type of backup configuration:

- Plan to take full Offline backups regularly, according to the amount of work that you can afford to lose.
- Schedule backups only for the time of day when you are sure that the Oracle database is shutdown for systemwide use
- Whenever you alter the physical structure of the database, immediately take a full database backup. An immediate backup protects the new structure of the database which will NOT be reflected in the previous full backup.

Note that if a full backup is taken while the database is still open, after a DBMS crash, or a shutdown abort, the results should be considered highly suspect due to the fact that database integrity can NOT be guaranteed.

### 35.1.8 OFFLINE Database Backup

The following list outlines the steps necessary to perform this type of backup:

**1) Determine which data and control files comprise your ORACLE Database**

**2) Shutdown the ORACLE Database Server**

**3) Perform the UPSTREAM FULL backup**

**4) Restart the ORACLE Database Server**

As has been the case throughout this manual, these steps are outlined for mainframe initiation. If you wish to initiate from the FDR/UPSTREAM workstation side, use the parameter files embedded in the JCL to construct PC parameter files. These examples are intended to represent simple approaches for performing the minimal of backups. You will need to review the platform, specific sections of the FDR/UPSTREAM documentation in order to be sure that these parameter files meet your requirements.

**Step 1) Identify the ORACLE Database and Control Files**

To determine which data files constitute your ORACLE database issue the following SQL command using an ORACLE SQL interface:

```
SELECT  TABLESPACE_NAME,  FILE_NAME  FROM  SYS.DBA_DATA_FILES;
```

You can also obtain this information directly using the Oracle Server Manager GUI.

The location of the control files is defined in the start up parameter file (CONTROL_FILES parameter) if it differs from the default location of subdirectory DATABASE of the default Oracle directory.

To determine which control files your ORACLE database is using, issue the following SQL command using an ORACLE SQL interface:

```
SHOW  PARAMETER  CONTROL_FILE
```

You may need to resolve any embedded Oracle parameters (like '%ORACLE_HOME%', which stands for the directory where Oracle was originally installed), in order to get the real path and file names of these control files.

**Step 2) Shutdown the ORACLE Database**

Before taking a **full Offline backup,** it will be necessary to shutdown the ORACLE database and to be sure that it was cleanly shutdown. You have two options for controlling this operation, you can use either the Oracle Database Manager GUI application to manually shut down the database or a mainframe initiated, predefined SQL procedure.

We will be outlining the second approach. This approach will allow for the automatic scheduling of the backup operation and not require manual intervention. The following example outlines minimal ORACLE database shutdown host and workstation components.

**Workstation Command File (STOPORC.CMD) :**

```
SVRMGR @STOPORC.SQL
```

**Workstation SQL File (STOPORC.SQL) :**

```
CONNECT INTERNAL/ORACLE@EVEREX;
SHUTDOWN IMMEDIATE;
EXIT;
```

**Host JCL:**

```
//*
//*        HALT ORACLE DATABASE OPERATION
//*
|//STOP     EXEC PGM=USTBATCH
//STEPLIB  DD   DISP=SHR,DSN=YOUR.UPSTREAM.LOAD.LIBRARY
//SYSPRINT DD   SYSOUT=*
//SYSUDUMP DD   SYSOUT=*
//USTPARM  DD   *
APPLPREF=UPSTR
USAPPL=UPSTREAM
MAXRETRY=1
CONV=WAIT
*
TARGLU=luname    or    TCPTARG=nn.nn.nn.nn
ACTION 5
JOBOPTIONS 3
```

```
*
SPECNUMBER 1
FILES C:\UPSTREAM\ORACLE\STOPORC.CMD
ENDPARM
/*
//
```

Use your operating system specific “Oracle Database Tools” guide for the exact command line interface parameters required to support your environment.

**Step 3) Perform the FDR/UPSTREAM FULL backup**

Once the ORACLE database has been shut down, you can perform the FDR/UPSTREAM backup operation. The sample below backs up a series of files that are contained in the ORACLE\DATABASE subdirectory of a Novell NetWare file server accessible via logical drive O:. These samples once again assume that the process will be mainframe initiated.

**Host JCL:**

```
//*
//*        BACKUP ORACLE DATABASE FILES
//*
//BACKUP   EXEC PGM=USTBATCH
//STEPLIB  DD   DISP=SHR,DSN=YOUR.UPSTREAM.LOAD.LIBRARY
//SYSPRINT DD   SYSOUT=*
//SYSUDUMP DD   SYSOUT=*
//USTPARM  DD   *
APPLPREF=UPSTR
USAPPL=UPSTREAM
MAXRETRY=1
CONV=WAIT
*
TARGLU=luname    or    TCPTARG=nn.nn.nn.nn
ACTION 1                          * Backup
STORAGETYPE 3                     * SEQUENTIAL TAPE
MERGE 3                           * First Time Full
BACKUPPROFILE ORACLE1             * Host Backup Profile Name
NOVELLPROFILE NET41SYS            * Novell Profile Name
*
SPECNUMBER 1
FILES O:\ORACLE\*.*               * All ORACLE Files
NONFILEDATABITMAP 147
ENDPARM
/*
//
```

The intent of this example is to illustrate the minimum number of necessary FDR/UPSTREAM control statements to perform a backup. The usage of any additional features will require added customization to be performed on the above sample.

**Step 4) Startup the ORACLE Database Server**

Once the backup, step 4, has completed successfully, the ORACLE database needs to be restarted. The following JCL will invoke an ORACLE SQL command to restart the database.

**Workstation Command File (STARTORC.CMD) :**

```
SQLDBA @STARTORC.SQL
```

**Workstation SQL File (STARTORC.SQL) :**

```
CONNECT INTERNAL/ORACLE@EVEREX;
STARTUP PFILE=C:\TESTS\INITORCL.ORA;
EXIT;
```

**Host JCL:**

```
//STARTORC EXEC PGM=USTBATCH
//STEPLIB  DD DISP=SHR,DSN=USTEST.UPSTREAM.LOAD
//SYSUDUMP DD SYSOUT=*
//USTLOG   DD SYSOUT=*
//USTPARM  DD *
APPLPREF=USTST
USAPPL=USTSAPPL
LOGMODE=USTMODE
MAXRETRY=0
CONV=WAIT
TARGLU=luname    or    TCPTARG=nn.nn.nn.nn
ACTION 5
ATTENDED Y
TRACE N
JOBOPTIONS 3
SPECNUMBER 1
FILES C:\TESTS\STARTORC.CMD
ENDPARM
/*
//
```

### 35.1.9 ONLINE Database Backups (ARCHIVELOG Mode ONLY)

If your requirements are such that your database must stay online 24 hours a day, seven days a week and you are unable to take **Full, Offline backups** regularly, the best backup strategy will be to utilize ONLINE database backups.

Depending on the availability of the datafiles at the time of the backup, you must decide what type of tablespace backup to perform:

- **Offline Tablespace Backups** are the best approach if you are able to disconnect the tables from the database for the duration of backup. By definition this type of backup disables user access to the affected tablespace(s) while the backup is in progress, however database activity for other components of the database remain unaffected.
- **Online Tablespace Backups** are the best approach if the tables must remain available for use while the backup is in progress. With this type of backup the affected tablespaces are placed in a special “BACKUP” mode while the backup takes place. During this time any changes to the tablespaces in question will be redirected to the ORACLE online REDO log files. The use of this type of backup should be avoided when the tablespaces to be backed up are being heavily utilized. While the backup is in progress, all the changes will be accumulated in the online REDO log files only, so it is possible that you may experience performance degradation and an overflow of the online REDO log files.

### 35.1.10 Tablespace OFFLINE Backups

When backing up in Offline Tablespace mode there are several database components that you will need to provide in order to perform a successful recovery. These components are:

- **Database Datafile Files**
- **ORACLE Control Files**

In order to perform Tablespace Offline type backups, the following criteria should be reviewed carefully:

- When the database is initially created or when you have decided to start backing it up, you need to perform a full Offline backup. This is the foundation for your backups, which provides copies of all datafiles and the control file of the database.
- Take partial backups of your database to update backed up information in the full Offline backup. The datafiles of extensively used tablespaces should be backed up frequently to reduce database recovery time, should recovery ever be required. The closer the last **FULL** backup is to the time of the failure, the fewer REDO log files that will need to be applied to recover the database.
- Every time you make a structural change to the database, take a control file backup.
- Take backups of archived REDO log files on a regular basis and after periods of high activity or important changes.

The following describes how to take **Tablespace Offline** backups of your ORACLE Database using FDR/UPSTREAM.

As has been the case throughout this manual, these steps are outlined for mainframe initiation. If you wish to initiate from the FDR/UPSTREAM workstation side, use the parameter files embedded in the JCL to construct PC parameter files. These examples are intended to represent simple approaches for performing the minimal of backups. You will need to review the platform, specific sections of the FDR/UPSTREAM documentation in order to be sure that these parameter files meet your requirements.

**Step #1) Determine Required Tablespaces**

The first step will be to determine the tablespaces that you are going to back up and obtain the list of datafiles for those tablespaces. You can do this either using the Oracle Server Manager or by issuing the following SQL command:

```
SELECT  TABLESPACE_NAME,  FILE_NAME,  FROM  SYS.DBA_DATA_FILES;
```

**Step #2) Place Database Tablespaces in OFFLINE Mode**

Use the following sample JOB to construct a platform specific command file that will place your selected ORACLE Tablespaces into OFFLINE mode. While in this mode the Tablespaces in question will be unavailable to the applications that normally access them.

**Host JCL:**

```
//BACKORC  JOB (ACCOUNTING INFO),'BACKUP ORACLE', CLASS=A
//* ******************************************************
//* *****         ISSUE ORACLE BACKUP COMMAND          *****
//* ******************************************************
//*
//*
//CMDBORC  EXEC PGM=USTBATCH
//STEPLIB  DD   DISP=SHR,DSN=USTEST.UPSTREAM.LOAD
//SYSUDUMP DD   SYSOUT=*
//USTLOG   DD   SYSOUT=*
//USTPARM  DD   *
APPLPREF=USTST
USAPPL=USTSAPPL
```

```
LOGMODE=USTMODE
MAXRETRY=0
CONV=WAIT
*
TARGLU=luname    or    TCPTARG=nn.nn.nn.nn
ACTION 5
ATTENDED Y
JOBOPTIONS 3
*
SPECNUMBER 1
FILES C:\TESTS\T16020\CMDBORC.CMD
ENDPARM
/*
//
```

**Operating System Command File (CMDBORC.CMD):**

```
SVRMGR  @CMDBORC.SQL
```

**SQL Command File (CMDBORC.SQL):**

```
CONNECT UPSTREAM/UPSTREAM@EVEREX;
—
—      Place ORACLE Database Tablespaces in BACKUP Mode
—
ALTER  TABLESPACE  USER_DATA        OFFLINE;
ALTER  TABLESPACE  TEMPORARY_DATA   OFFLINE;

ALTER  DATABASE  BACKUP  CONTROLFILE
       TO  'SYS:\ORACLE\CONTROL\IDPCTL1.BKP'  REUSE;
```

**Step #3) Backup Tablespaces**

The following sample JOB will backup the tablespaces whose names were obtained in step #1 of this procedure.

**Host JCL:**

```
//BACKORC  JOB (ACCOUNTING INFO),'BACKUP ORACLE', CLASS=A
//BACKUP   EXEC PGM=USTBATCH
//STEPLIB  DD   DISP=SHR,DSN=USTEST.UPSTREAM.LOAD
//SYSUDUMP DD   SYSOUT=*
//USTLOG   DD   SYSOUT=*
//USTPARM  DD   *
APPLPREF=USTST
USAPPL=USTSAPPL
LOGMODE=USTMODE
MAXRETRY=0
CONV=WAIT
*
TARGLU=luname    or    TCPTARG=nn.nn.nn.nn
ACTION 1
BACKUPPROFILE TEST001
NOVELLPROFILE EVEREX
USERID XYZ
MERGE 3
STORAGETYPE 2
```

```
ATTENDED Y
REPORTOPTIONS 6
REPORTNAME USORACLE.RPT
LOGNONFATAL Y
*
SPECNUMBER 1
FILES O:\ORACLE\DATABASE\USER_DATA.ORA    * ORACLE DATABASE FILE
SUBDIRECTORIES Y
SPECTYPE 0
ARCHIVEBIT N
SPECNUMBER 2
FILES O:\ORACLE\DATABASE\TEMP_DATA.ORA    * ORACLE DATABASE FILE
SUBDIRECTORIES Y
SPECTYPE 0
ARCHIVEBIT N
SPECNUMBER 1
SPECNUMBER 2
FILES O:\ORACLE\CONTROL\*.BKP       * BACKUP CONTROL FILE
SPECTYPE 0
ARCHIVEBIT N
ENDPARM
/*
//
```

**Step #4) Place Database in NORMAL Mode**

Use the following sample to construct a platform specific command file that will place your selected ORACLE Tablespaces back into NORMAL operating mode. This causes ORACLE to begin making Tablespace changes to the Database datafiles and no longer into the REDO logfiles.

**Host JCL:**

```
//BACKEND  JOB (ACCOUNTING INFO),'BACKUP ORACLE', CLASS=A
//* ******************************************************
//* *****       ISSUE ORACLE END BACKUP COMMAND        *****
//* ******************************************************
//*
//*
//CMDBORC  EXEC PGM=USTBATCH
//STEPLIB  DD   DISP=SHR,DSN=USTEST.UPSTREAM.LOAD
//SYSUDUMP DD   SYSOUT=*
//USTLOG   DD   SYSOUT=*
//USTPARM  DD   *
APPLPREF=USTST
USAPPL=USTSAPPL
LOGMODE=USTMODE
MAXRETRY=0
CONV=WAIT
*
TARGLU=luname    or    TCPTARG=nn.nn.nn.nn
ACTION 5
ATTENDED Y
JOBOPTIONS 3
```

```
*
SPECNUMBER 1
FILES C:\TESTS\T16020\CMDRORC.CMD
ENDPARM
/*
//
```

**Operating System Command File (CMDRORC.CMD):**

```
SVRMGR @CMDRORC.SQL
```

**SQL Command File (CMDRORC.SQL) :**

```
CONNECT UPSTREAM/UPSTREAM@EVEREX;
—
—      Take ORACLE Database Tablespaces Out of BACKUP Mode
—
ALTER  TABLESPACE  USER_DATA        ONLINE;
ALTER  TABLESPACE  TEMPORARY_DATA   ONLINE;
```

### 35.1.11 Tablespace Online Backups

When backing up in Tablespace Online mode, there are several database components that you will need to provide in order to perform a successful recovery. These components are:

- **Database Datafile backups**
- **ORACLE Control Files**

In order to perform tablespace type backups, the following criteria should be reviewed carefully:

- When the database is initially created or when you have decided to start backing it up, you need to perform a full Offline backup. This is the foundation for your backups, which provides copies of all datafiles and the control file of the database.
- Take partial backups of your database to update backed up information in the full Offline backup. The datafiles of extensively used tablespaces should be backed up frequently to reduce database recovery time, should recovery ever be required. The closer the last **FULL** backup is to the time of the failure, the fewer REDO log files that will need to be applied to recover the database.
- Every time you make a structural change to the database, take a control file backup.
- Take backups of archived REDO log files on a regular basis and after periods of high activity or important changes.

The following section describes how to take **Tablespace Online** backups of your ORACLE Database using FDR/UPSTREAM.

As has been the case throughout this manual, these steps are outlined for mainframe initiation. If you wish to initiate from the FDR/UPSTREAM workstation side, use the parameter files embedded in the JCL to construct PC parameter files. These examples are intended to represent simple approaches for performing the minimal of backups. You will need to review the platform, specific sections of the FDR/UPSTREAM documentation in order to be sure that these parameter files meet your requirements.

**Step #1) Determine Required Tablespaces**

The first step will be to determine the tablespaces that you are going to back up and obtain the list of datafiles for those tablespaces. You can do this either using the Oracle Server Manager or by issuing the following SQL command:

```
SELECT  TABLESPACE_NAME,  FILE_NAME,  FROM  SYS.DBA_DATA_FILES;
```

**Step #2) Place Database Tablespaces in BACKUP Mode**

Use the following sample JOB to construct a platform specific command file that will place your selected ORACLE Tablespaces into Backup mode. While in this mode any changes to the affected Tablespaces will go into the REDO LOG files, not into the Tablespace datafiles themselves.

**Host JCL:**

```
//BACKORC  JOB (ACCOUNTING INFO),'BACKUP ORACLE', CLASS=A
//* ******************************************************
//* *****         ISSUE ORACLE BACKUP COMMAND          *****
//* ******************************************************
//*
//*
//CMDBORC  EXEC PGM=USTBATCH
//STEPLIB  DD   DISP=SHR,DSN=USTEST.UPSTREAM.LOAD
//SYSUDUMP DD   SYSOUT=*
//USTLOG   DD   SYSOUT=*
//USTPARM  DD   *
APPLPREF=USTST
USAPPL=USTSAPPL
LOGMODE=USTMODE
MAXRETRY=0
CONV=WAIT
*
TARGLU=luname    or    TCPTARG=nn.nn.nn.nn
ACTION 5
ATTENDED Y
JOBOPTIONS 3
*
SPECNUMBER 1
FILES C:\TESTS\T16020\CMDBORC.CMD
ENDPARM
/*
//
```

**Operating System Command File (CMDBORC.CMD):**

```
SVRMGR  @CMDBORC.SQL
```

**SQL Command File (CMDBORC.SQL):**

```
CONNECT UPSTREAM/UPSTREAM@EVEREX;
—
—      Place ORACLE Database Tablespaces in BACKUP Mode
—
ALTER  TABLESPACE  USER_DATA        BEGIN BACKUP;
ALTER  TABLESPACE  TEMPORARY_DATA   BEGIN BACKUP;

—     Copy ORACLE Database Control File
—
ALTER  DATABASE  BACKUP  CONTROLFILE
       TO  'SYS:\ORACLE\CONTROL\IDPCTL1.BKP'  REUSE;
```

**Step #3) Backup the Tablespaces**

The following sample JOB will backup the tablespaces whose names were obtained in step #1 of this procedure.

**Host JCL:**

```
//BACKORC  JOB (ACCOUNTING INFO),'BACKUP ORACLE', CLASS=A
//BACKUP   EXEC PGM=USTBATCH
//STEPLIB  DD   DISP=SHR,DSN=USTEST.UPSTREAM.LOAD
//SYSUDUMP DD   SYSOUT=*
//USTLOG   DD   SYSOUT=*
//USTPARM  DD   *
APPLPREF=USTST
USAPPL=USTSAPPL
LOGMODE=USTMODE
MAXRETRY=0
CONV=WAIT
*
TARGLU=luname    or    TCPTARG=nn.nn.nn.nn
ACTION 1
BACKUPPROFILE TEST001
NOVELLPROFILE EVEREX
USERID XYZ
MERGE 3
STORAGETYPE 2
RECORDSIZE 6000
ATTENDED Y
REPORTOPTIONS 6
REPORTNAME USORACLE.RPT
LOGNONFATAL Y
*
SPECNUMBER 1
FILES O:\ORACLE\DATABASE\USER_DATA.ORA  * ORACLE DATABASE FILES
SUBDIRECTORIES Y
SPECTYPE 0

ARCHIVEBIT N
SPECNUMBER 2
FILES O:\ORACLE\DATABASE\TEMP_DATA.ORA   * ORACLE DATABASE FILES
SUBDIRECTORIES Y
SPECTYPE 0
ARCHIVEBIT N

SPECNUMBER 3
FILES O:\ORACLE\CONTROL\*.BKP       * BACKUP CONTROL FILE
SPECTYPE 0
ARCHIVEBIT N

*
ENDPARM
/*
//
```

**Step #4) Place Database in NORMAL Mode**

Use the following sample JOB to construct a platform specific command file that will place your selected ORACLE Tablespaces back into NORMAL operating mode. This causes ORACLE to begin making Tablespace changes to the Database datafiles.

**Host JCL:**

```
//BACKEND  JOB (ACCOUNTING INFO),'BACKUP ORACLE', CLASS=A
//* ******************************************************
//* *****       ISSUE ORACLE END BACKUP COMMAND        *****
//* ******************************************************
//*
//*
//CMDBORC  EXEC PGM=USTBATCH
//STEPLIB  DD   DISP=SHR,DSN=USTEST.UPSTREAM.LOAD
//SYSUDUMP DD   SYSOUT=*
//USTLOG   DD   SYSOUT=*
//USTPARM  DD   *
APPLPREF=USTST
USAPPL=USTSAPPL
LOGMODE=USTMODE
MAXRETRY=0
CONV=WAIT
*
TARGLU=luname    or    TCPTARG=nn.nn.nn.nn
ACTION 5
ATTENDED Y
JOBOPTIONS 3
*
SPECNUMBER 1
FILES C:\TESTS\T16020\CMDRORC.CMD
ENDPARM
/*
//
```

**Operating System Command File (CMDRORC.CMD):**

```
SVRMGR  @CMDRORC.SQL
```

**SQL Command File (CMDRORC.SQL) :**

```
CONNECT UPSTREAM/UPSTREAM@EVEREX;
—
—      Take ORACLE Database Tablespaces Out of BACKUP Mode
—
ALTER  TABLESPACE  USER_DATA        END BACKUP;
ALTER  TABLESPACE  ROLLBACK_DATA    END BACKUP;
ALTER  TABLESPACE  TEMPORARY_DATA   END BACKUP;
```

### 35.1.12 REDO LOGS and Control File Backup

**Step #1) Switch REDO LOG Files and Create a Backup Database Control File**

Use the following sample to construct a platform specific set of files that will backup your selected ORACLE Database Control File and switch REDO LOG files to incorporate the most recent database changes. It is important to backed up ORACLE Control File in every backup as it reflect the current database configuration.

**Host JCL:**

```
//BACKCTL  JOB (ACCOUNTING INFO),'BACKUP ORACLE', CLASS=A
//* ********************************************************
//* *****     BACKUP ORACLE DATABASE CONTROL FILE      *****
//* ********************************************************
//*
//*
//CONTROL  EXEC PGM=USTBATCH
//STEPLIB  DD   DISP=SHR,DSN=USTEST.UPSTREAM.LOAD
//SYSUDUMP DD   SYSOUT=*
//USTLOG   DD   SYSOUT=*
//USTPARM  DD   *
APPLPREF=USTST
USAPPL=USTSAPPL
LOGMODE=USTMODE
MAXRETRY=0
CONV=WAIT
*
TARGLU=luname    or    TCPTARG=nn.nn.nn.nn
ACTION 5
ATTENDED Y
JOBOPTIONS 3
*
SPECNUMBER 1
FILES C:\TESTS\T16020\BACKCTL.CMD
ENDPARM
/*
//
```

**Operating System Command File (BACKCTL.CMD):**

```
SVRMGR  @BACKCTL.SQL
```

**SQL Command File (BACKCTL.SQL) :**

```
CONNECT UPSTREAM/UPSTREAM@EVEREX;
—
—     Copy ORACLE Database Control File
—
ALTER  DATABASE  BACKUP  CONTROLFILE
       TO  'SYS:\ORACLE\CONTROL\IDPCTL1.BKP'  REUSE;

—
—     Switch ORACLE Database REDO Log Files
```

```
ALTER SYSTEM SWITCH LOGFILE;
```

**Step # 2) Backup the REDO Log and Control Files**

Use the following sample JOB to construct a platform specific command file that will backup your selected ORACLE Database REDO Log files. This step is important in order to insure that the Log files for the database just backed up contain any database changes that would need to be applied in case of a restore.

In order to guarantee that all committed transactions can be recovered, you have to have a full set of archived REDO log files available at the time of the recovery. You must save all the REDO log files from the time the last tablespace backup was taken and up to the recovery point-in-time. This is necessary in order to be able to provide the Oracle recovery tools with all the necessary REDO log files.

**Host JCL:**

```
//BACKREDO JOB (ACCOUNTING INFO),'BACKUP ORACLE', CLASS=A
//BACKUP   EXEC PGM=USTBATCH
//STEPLIB  DD   DISP=SHR,DSN=USTEST.UPSTREAM.LOAD
//SYSUDUMP DD   SYSOUT=*
//USTLOG   DD   SYSOUT=*
//USTPARM  DD   *
APPLPREF=USTST
USAPPL=USTSAPPL
LOGMODE=USTMODE
MAXRETRY=0
CONV=WAIT
*
TARGLU=luname    or    TCPTARG=nn.nn.nn.nn
TPNAME=UPSTREAM
ACTION 1
BACKUPPROFILE ORCREDO
NOVELLPROFILE EVEREX
USERID XYZ
MERGE 3
STORAGETYPE 2
RECORDSIZE 6000
COMPRESSLEVEL 0
RESTARTTYPE 0
ATTENDED Y
REPORTOPTIONS 6
REPORTNAME USORACLE.RPT
LOGNONFATAL Y
*
SPECNUMBER 1
FILES O:\ORACLE\LOGFILE\*.LOG       * REDO LOG FILES
SPECTYPE 0
ARCHIVEBIT N
*
SPECNUMBER 2
FILES O:\ORACLE\CONTROL\*.BKP       * BACKUP CONTROL FILE
SPECTYPE 0
ARCHIVEBIT N
```

```
*
ENDPARM
/*
//
```

### 35.1.13 Recovering Your ORACLE Database

In every database system, the possibility of a system or media failure is always present. In some cases you may also need to recover to a point in time in the past in order to undo erroneous operational or programmatic changes to a database. Before recovering a database, you must choose an appropriate recovery method. Regular database backups taken using UPSTREAM and the previously outlined backup procedures will provide you with all the necessary components to perform a successful recovery.

For illustrative purposes, this section outlines the following UPSTREAM/ORACLE database recovery scenarios:

- **NOARCHIVELOG (OFFLINE) Mode Recovery**
- **ARCHIVELOG (ONLINE) Mode Recovery**

These scenarios represent the sample database recovery options for ORACLE database users that performed backups, based upon the previously supplied backup scenarios, that will insure full database integrity. Any other scenarios should be carefully reviewed in order to limit your exposure to the loss of data.

### 35.1.14 NOARCHIVELOG (OFFLINE) Mode Recovery

If your database is running in NOARCHIVELOG mode, this is the only recovery option you have. This recovery scenario assumes that you used Database OFFLINE mode backup procedures previously outlined. During the backup, you took the ORACLE operating environment down and performed FULL backups of the database and its additional components (control files, etc.).

To perform this type of recovery the following steps must be taken:

- 1) Shutdown the ORACLE Database
- 2) Restore the ORACLE Database components
- 3) Restart the ORACLE Database with the RECOVERY option

**Step # 1) Shutdown the ORACLE Database**

Before performing an ORACLE OFFLINE Database recovery it will be necessary to shutdown the ORACLE database, if it is currently operating. You have two options for controlling this operation, you can use either the Oracle Database Manager GUI application to manually shut down the database or a predefined SQL procedure.

As with the processes outlined earlier, we will be outlining the second approach utilizing mainframe initiation. This approach will allow for the automatic scheduling of the recovery operation and not require manual intervention. The following example outlines a minimal ORACLE database shutdown of host and workstation components.

**Workstation Command File (STOPORC.CMD) :**

```
SVRMGR @STOPORC.SQL
```

**Workstation SQL File (STOPABRT.CMD) :**

```
CONNECT INTERNAL/ORACLE@EVEREX;
SHUTDOWN ABORT;
EXIT;
```

**Host JCL:**

```
//STOPORC  JOB (ACCOUNTING INFO),'STOP ORACLE', CLASS=A
//*
//*        HALT ORACLE DATABASE OPERATION
//*
//STOP     EXEC PGM=USTBATCH
//STEPLIB  DD   DISP=SHR,DSN=YOUR.UPSTREAM.LOAD.LIBRARY
//SYSPRINT DD   SYSOUT=*
//SYSUDUMP DD   SYSOUT=*
//USTPARM  DD   *
APPLPREF=UPSTR
USAPPL=UPSTREAM
MAXRETRY=1
CONV=WAIT
*
TARGLU=luname    or    TCPTARG=nn.nn.nn.nn
ACTION 5
JOBOPTIONS 3
*
SPECNUMBER 1
FILES C:\UPSTREAM\ORACLE\STOPABRT.CMD
ENDPARM
/*
//
```

Use your operating system specific “Oracle Database Tools” guide for the exact command line interface parameters required to support your environment.

**Step # 2) Restore the ORACLE Database Components**

**Host JCL:**

```
//RESTORE  EXEC PGM=USTBATCH
//STEPLIB  DD   DISP=SHR,DSN=USTEST.UPSTREAM.LOAD
//SYSUDUMP DD   SYSOUT=*
//USTLOG   DD   SYSOUT=*
//USTPARM  DD   *
APPLPREF=USTST
USAPPL=USTSAPPL
LOGMODE=USTMODE
MAXRETRY=0
CONV=WAIT
*
TARGLU=luname    or    TCPTARG=nn.nn.nn.nn
ACTION 0
BACKUPPROFILE TEST001
NOVELLPROFILE EVEREX
RECORDSIZE 6000
ATTENDED Y
LOGNONFATAL Y
```

```
*
SPECNUMBER 1
FILES O:\ORACLE\*.*              * ALL ORACLE FILES
SUBDIRECTORIES Y
SPECTYPE 0
*
ENDPARM
/*
//
```

**Step 4) Startup the ORACLE Database Server**

Once the database recovery has completed successfully, the ORACLE database needs to be restarted. The following JCL will invoke an ORACLE command to restart the database.

**Host JCL:**

```
//STARTORC EXEC PGM=USTBATCH
//STEPLIB  DD DISP=SHR,DSN=USTEST.UPSTREAM.LOAD
//SYSUDUMP DD SYSOUT=*
//USTLOG   DD SYSOUT=*
//USTPARM  DD *
APPLPREF=USTST
USAPPL=USTSAPPL
LOGMODE=USTMODE
MAXRETRY=0
CONV=WAIT
TARGLU=luname    or    TCPTARG=nn.nn.nn.nn
ACTION 5
ATTENDED Y
TRACE N
JOBOPTIONS 3
SPECNUMBER 1
FILES C:\TESTS\STARTRCV.CMD
ENDPARM
/*
//
```

**Workstation Command File (STARTRCV.CMD) :**

```
SQLDBA @STARTRCV.SQL
```

**Workstation SQL File (STARTORC.SQL) :**

```
CONNECT INTERNAL/ORACLE@EVEREX;
STARTUP OPEN RECOVER PFILE=C:\TESTS\INITORCL.ORA;
EXIT;
```

### 35.1.15 ARCHIVELOG (Online) Mode Recovery

When running in ARCHIVELOG mode, several recovery options are available to restore a damaged database to a transaction-consistent state:

- ☐ Complete media recovery:
  - Closed Database Recovery
  - Open-Database, Offline-Tablespace Recovery

- Open-Database, Offline-Tablespace, Individual Datafile recovery

☐ Incomplete media recovery:

- Cancel-Based Recovery
- Time-Based Recovery
- Change-Based Recovery

Consult your platform and version specific Oracle Server Administrator's Guide (Backup and Recovery Guide) for the applicable recovery procedures. FDR/UPSTREAM backups of Oracle database files and archived REDO logs will provide you with all the necessary data for performing those recovery operations.

# 35.2 Oracle Media Manager Support

FDR/UPSTREAM can now operate as an Oracle Media Manager Library product which allows it to be used with Oracle Recovery Manager (Oracle 8) or Oracle Enterprise Backup Utility (Oracle 7).

The Oracle Recovery Manager is an extremely full featured backup facility. Through its use you can use FDR/UPSTREAM to perform full, incremental and tablespace backups of databases, tablespaces and datafiles. Some of the features supported include backup sets, image copies, stored scripts, Recovery Manager reports, tags, integrity checking and more. All of the powerful features of recovery manager are now available to UPSTREAM users under both local and host control.

Because the Oracle Recovery Manager is so complex, we recommend that you become familiar with its use before referencing this documentation. See the *Oracle8 Backup and Recovery Guide* for a complete description of the Recovery Manager. Since backup and restore operations are controlled there and since UPSTREAM is merely a Media Manager Library the description of backups and restores is left to that guide.

For simplicity we refer only to Recovery Manager but the concepts apply both to Recovery Manager and Enterprise Backup Utility.

This feature is currently in beta.

### 35.2.1 Installation

Before beginning installation of FDR/UPSTREAM media manager software (**usorasbt**), make sure that you are familiar with Recovery Manager basic backup/recovery techniques. Recovery Manager is distributed with built in capabilities of doing disk backups. Prepare and run a test set of small backup and recovery jobs to verify that all your scripts work correctly.

Also make sure that your FDR/UPSTREAM is installed and properly configured, and you can run successful backups and restores.

### 35.2.2 Windows NT Installation

The UPSTREAM interface to Recovery Manager is the dynamic link library **orasbt.dll**. It is normally copied into your UPSTREAM directory during the UPSTREAM install togather with **usorasbt.cfg.sample** sample configuration files and **usora.dat.sample** sample FDR/UPSTREAM parameter file.

To install the UPSTREAM Media Manager library:

- Stop the Oracle service for your instance. Oracle opens this DLL during Oracle service start up and keeps it open until you stop Oracle. Go into the **Control Panel**, select the **Services** applet, highlight the **OracleService{instance}** for each database instance you have installed and press the **Stop** button.
- Rename the current orasbt.dll. Oracle has placed the default Media Manager Library into your Windows system directory (usually c:\winnt\system32). Use a command line rename command or Explorer to rename the file to some other name (such as orasbt.default) in case you wish to uninstall UPSTREAM at some later time.
- Copy **orasbt.dll** from the UPSTREAM directory to your Windows system directory.

- You can now restart the Oracle services using the Services applet in the Control Panel.

### 35.2.3 UNIX installation.

On UNIX systems three files included in the FDR/UPSTREAM distribution to support interface to Oracle Recovery Manager: shared library **libobk** (extension differs from system to system), **getret** utility internally used by **libobk** procedures, **usorasbt.cfg.sample** sample configuration file and **usora.dat.sample** sample FDR/UPSTREAM parameter file.

**WARNING: Starting with version 8.0.4, Oracle is usually shipped with the default media manager software installed. Examine your $ORACLE_HOME/lib directory for any files or symbolic links called libobk.*. Rename or remove those files before installing FDR/UPSTREAM media manager software.**

☐ Oracle 8 installation:

To include FDR/UPSTREAM as media manager software, you have to re-link Oracle with FDR/UPSTREAM library libobk included in the FDR/UPSTREAM directory during regular installation:

- Solaris (the shared library name is **libobk.so**, it is in FDR/UPSTREAM directory **/opt/fdrupstream**):

```
cd $ORACLE_HOME/rdbms/lib

make -f  ins_rdbms.mk  ioracle LLIBOBK="-R /opt/fdrupstream -L
/opt/fdrupstream -lobk"
```

- AIX: (the shared library name is **libobk.a**, it is in FDR/UPSTREAM directory **/usr/lpp/fdrupstream**):

```
cd $ORACLE_HOME/rdbms/lib

make -f  ins_rdbms.mk  ioracle LLIBOBK="-L/usr/lpp/fdrupstream
-lobk"
```

- HP-UX (the shared library name is **libobk.sl**, it is in FDR/UPSTREAM directory **/opt/fdrupstream**):

```
cd $ORACLE_HOME/rdbms/lib

make -f  ins_rdbms.mk  ioracle LLIBOBK="
/opt/fdrupstream/libobk.sl"
```

Make sure **libobk.sl** has all execute permissions set.

It is always recommended to shutdown your database before re-linking Oracle RDBMS.

☐ Oracle 8i installation:

Shutdown your Oracle database, go to **$ORACLE_HOME/lib** directory and substitute existing **libobk.*** symbolic link with the link to libobk shared library in your FDR/UPSTREAM directory:

- **libobk.so** for SUN Solaris;
- **libobk.a** for AIX;
- **libobk.sl** for HP-UX (make sure it has execute permissions set!).

After you startup the database, FDR/UPSTREAM media manager will be activated.

If you are getting error messages referencing libobk or shr.o double check the symbolic link **libobk.*** created, existence of **libobk** shared library in your FDR/UPSTREAM directory, and then call FDR/UPSTREAM Tech Support.

### 35.2.4 Configuring FDR/UPSTREAM for Recovery Manager

To start using FDR/UPSTREAM as your Oracle backup media management software you have to create/update a configuration file to point to the main FDR/UPSTREAM files. The sample configuration file **usorasbt.cfg.sample** is provided by installation in the FDR/UPSTREAM directory.

The **usorasbt.cfg** configuration file contains the following lines:

```
uspath c:\upstream  - FDR/UPSTREAM directory (/usr/lpp/fdrupstream for UNIX);
upstream uscmd.exe  - FDR/UPSTREAM executable file;
parameter usorasbt.dat     - the template parameter file  (see further note);
configfile upstream.cfg    - FDR/UPSTREAM configuration file;
logfile usorasbt.log       - (optional) file to log basic usorasbt backup/restore
                             activities. If you omit this parameter, a usorasbt log
                             will not be created.
```

If you do not specify the full path for the files in the configuration file, we assume that they are in the UPSTREAM directory specified in the **uspath** parameter.

Most users will place the **usorasbt.cfg** file in the FDR/UPSTREAM directory and then use environment variables UPSTREAMPATH or USORASBTCFG (see below) to point to it from Recovery Manager.

You must create a template parameter file for FDR/UPSTREAM to use when backing up Oracle. You can create it by starting FDR/UPSTREAM, going into the backup dialog, specifying the desired options, and saving the parameter file under the name you specified in the **usorasbt.cfg** configuration file **parameter**. Sample parameter file **usora.dat.sample** is provided during installation. Even though some settings in this file will be overwritten by **usorasbt**, you should specify:

- **Storage Type** for backups. If you perform disk backups, you have to go to 'More…' screen and specify a DASD override parameter. Oracle utilities do not provide us with the size of the backup to be performed, so you have to specify the number of bytes in the biggest backup set you expect.
- **Compression Level** (in "More…" screen).
- **Local Backup** parameters if you are using UPSTREAM/SOS.

Parameters which are automatically overridden by the UPSTREAM Recovery Manager facility includes:

- **Backup Profile.** The Oracle instance name is used. Recovery Manager determines when to delete backups and they will be deleted automatically via UPSTREAM so we recommend that in the host configuration for your Backup Profile you specify a retention period which is quite long so as not to defeat the Recovery Manager retention algorithms. You may need to configure a Backup Profile on the host by this name if the GLOBAL profile does not meet your needs.
- **File specifications.** UPSTREAM will generate the file names to be used.
- **Backup type.** You do not need to specify separate configuration files for fulls and incrementals as these will be set as appropriate.

- **Restart.** Restart is not supported.

☐ UNIX considerations.

FDR/UPSTREAM installation is usually performed by root user, so the owner of all the files and directories is root. Recovery Manager is running under the authority of the user who originally installed Oracle (Oracle owner), so FDR/UPSTREAM will be running under that user account. To avoid problems with read/write access for FDR/UPSTREAM running on behalf of Recovery Manager, do the following:

- make sure that FDR/UPSTREAM directory has read/write/execute access for everyone;
- add read access for everyone to **upstream.msg** file in FDR/UPSTREAM directory;
- check that **getret** is owned by root and has set-user-id bit set;
- make a copy of **upstream.cfg** file (**upstreamsbt.cfg**, for example),make sure that Oracle owner has full access to this new file, and make these changes:

  - in WORKPATH parameter specify the directory to which Oracle owner has read/write access;

  - in LOGFILE parameter specify the full path to the log file in the directory to which Oracle owner has read/write access

Also make sure that you set and exported **UPSTREAMPATH** environment variable pointing to the FDR/UPSTREAM directory.

If you are planning to use FDRSOS Local Backup facility of FDR/UPSTREAM (allows to send backup data to the host through specially prepared EMC disk instead of using LAN), you need to run FDR/UPSTREAM as root while backing up Oracle database. To make FDR/UPSTREAM run as root while working under Oracle owner account, make sure that FDR/UPSTREAM executable specified in **usorasbt.cfg** (usually **uscmd**) has root as an owner, and set set-user-id bit on it (**chmod 4751 uscmd**, for example).

### 35.2.5 Using Recovery Manager With UPSTREAM

RMAN and EBU provide **usorasbt** with the name of the database instance it is working with. We use this name as an FDR/UPSTREAM backup profile. You have to configure this backup profile before starting production backups, otherwise they will use your generic profile settings.

It is not recommended to remove FDR/UPSTREAM backups created by RMAN directly using FDR/UPSTREAM (bypassing RMAN). RMAN keeps internal track of his backups (either in his own database, or using control file, depending on how you configured it), and may rely on those backups you in the recovery process.

There is a set of environment variables which helps you to control FDR/UPSTREAM backup process:

- UPSTREAMPATH - specifies the directory where FDR/UPSTREAM software (including **usorasbt.cfg** file) is installed, no default value;
- USORASBTCFG – the full name of the **usorasbt** configuration file if not in the UPSTREAMPATH directory, no default value;
- USORASBTHISTORY – the number of entries in internal cache, where **usorback** keeps information about most recent backups, the default value is 100;
- USORASBTTO – the number of seconds **usorabst** waits for FDR/UPSTREAM to start up and open communication pipe, the default is 300 sec.;
- USORAUSWAITTO – **usorasbt** will wait for up to this number of seconds if it determines that another instance of **usorasbt** is performing FDR/UPSTREAM operation. If, by the end of this period, FDR/UPSTREAM is still busy, **usorasbt** fails with “device busy” condition. 3600 sec is the default value.

If you do not specify neither UPSTREAMPATH nor USORASBTCFG, **usorasbt** will try to find the **usorasbt.cfg** file in the default FDR/UPSTREAM directory (**c:\upstream** for Windows NT and **/usr/lpp/fdrupstream** or **/opt/fdrupstream** on UNIX)

When you create Recovery Manager scripts, you must specify the following parameters in channel allocation:

- **type 'sbt_tape'** This will instruct Recovery Manager to use media manager software installed (i.e. FDR/UPSTREAM), not internal disk backup support.
- **PARMS="ENV=(USORASBTCFG=<full path to usorasbt configuration file>[,env-name=env-value])"**
  or
  **PARMS="ENV=(UPSTREAMPATH=<UPSTREAM directory>[,env-name=env-value])"**

**WARNING: If the usoracbt.cfg configuration file is not found, your backup/recovery scripts will fail!**

Full information on the backup/restore process and possible errors is logged in the FDR/UPSTREAM log. Details on overall backup/restore activity is recorded in the file specified in the LOGFILE parameter in usorasbt.cfg.

### 35.2.6 Error Reporting.

Even though all the backup events, including errors, are logged in the log file specified in LOGFILE parameter of orasbt.cfg file, you will see them first in the Recovery Manager output:

```
Recovery Manager: Release 8.0.5.0.0 - Production

RMAN-06009: using target database controlfile instead of recovery catalog
RMAN-06005: connected to target database: ORCL

RMAN> run {
2> allocate channel ch1 type 'sbt_tape' debug=2;
3> backup format '\test%t%s%p' tablespace user_data;
4> }
5>
RMAN-03022: compiling command: allocate
RMAN-03023: executing command: allocate
RMAN-08030: allocated channel: ch1
RMAN-08500: channel ch1: sid=10 devtype=SBT_TAPE

RMAN-03022: compiling command: backup
RMAN-03023: executing command: backup
RMAN-08008: channel ch1: starting datafile backupset
RMAN-08502: set_count=41 set_stamp=392992363
RMAN-08010: channel ch1: including datafile 2 in backupset
RMAN-00569: ================error message stack follows================
RMAN-03007: retryable error occurred during execution of command: backup
RMAN-07004: unhandled exception during command execution on channel ch1
RMAN-10032: unhandled exception during execution of job step 1: ORA-06512: at line 88
RMAN-10035: exception raised in RPC: ORA-19624: operation failed, retry possible
```

```
ORA-19506: failed to create sequential file, name="\test392992363411", parms=""
ORA-27006: sbtremove returned error


OSD-00115: Message 115 not found;  product=RDBMS80; facility=SOSD  (OS083)
ORA-06512: at "SYS.DBMS_BACKUP_RESTORE", line 408
RMAN-10031: ORA-19624 occurred during call to DBMS_BACKUP_RESTORE.BACKUPPIECECREATE

Recovery Manager complete.
```

In the listing above error message 115 shows not found as it is not a Recovery Manager message (sometimes it is reported as OS error message). If the error number is in the 100 – 150 range, then it is most likely an **orasbt** error message number. Looking it up in the list below you can see that it's an UPSTREAM startup error and you should check if the UPSTREAM parameter in the usorasbt.cfg file has a valid full file spec for uscmd and that it is accessible for Oracle.

The **orasbt** error messages:

- 100 – internal memory allocation problems: check if your are running low on memory, call Tech Support;
- 102 – neither UPSTREAMPATH nor USORASBTCFG environment variables specified;
- 103 – unable to open template parameter file specified in usorasbt.cfg in PARAMETER: check if the file specification is correct and that it is accessible by Oracle;
- 104 – unable to create a new parameter file: orasbt attempts to create it's temporary files in the FDR/UPSTREAM working directory specified in WORKPATH parameter in FDR/UPSTREAM configuration file – CONFIGFILE parameter from usorasbt.cfg file. Check if Oracle has write access to that directory;
- 105 – error in reading from a template parameter file: see error 102 comments;
- 106 – error in writing to a new parameter file: see error 104 comments;
- 108 – (UNIX only) communication pipe to FDR/UPSTREAM not opened for inquiry versions: check other messages in usorasbt.log and upstream.log for FDR/UPSTREAM start up problems;
- 109 – (UNIX only) errors closing communication pipe to FDR/UPSTREAM: see error 108 comments;
- 110 – FDR/UPSTREAM reported an error: check usorasbt.log and upstream.log for error messages;
- 111 – (NT only) unable to open us.ret file: FDR/UPSTREAM creates us.ret file with the operation return code and some additional information in the working directory (WORKPATH parameter of FDR/UPSTREAM configuration file specified in CONFIGFILE parameter of usorasbt.cfg file). Check if that directory and file and accessible by Oracle;
- 111 – (UNIX only) unable to open a pipe to getret program: check if getret executable is installed, check usorasbt.log file, call Tech Support;
- 112 – (NT only) unable to read us.ret file: see error 111 comments;
- 112 – (UNIX only) unable to read from pipe to getret program: see error 111 comments;
- 113 – FDR/UPSTREAM failed the requested operation: see usorasbt.log and upstream.log files for details;
- 114 – unable to read version number from us.ret file: usually indicates problems with FDR/UPSTREAM. Check usorasbt.log and upstream.log for details.

- 115 – errors in FDR/UPSTREAM startup: check if UPSTREAM parameter of usorasbt.cfg file has a valid full file spec for uscmd and that it is accessible for Oracle;
- 116 – (UNIX only) error creating an FDR/UPSTREAM process: call Tech Support;
- 117 – file name for backup file generated by Recovery Manager exceeds 244 characters: respecify backup format parameter in your Recovery Manager script;
- 118 – FDR/UPSTREAM is busy with other Recovery Manager backup/restore operations: you can only run one FDR/UPSTREAM backup or restore at a time. usorasbt waits for up to predefined period of time (specified by USORAUSWAITTO environment variable, the default value is 10 min.) for FDR/UPSTREAM to become available before reporting this error. If your individual backups take more than 10 minutes, specify USORAUSWAITTO environment variable (see previous section) to 1.5 – 2 times the maximum duration of your individual Recovery Manager backups;
- 119 – unable to create a data pipe to FDR/UPSTREAM: check usorback.log and upstream.log for errors in FDR/UPSTREAM start up or running;
- 120 – errors opening data pipe to FDR/UPSTREAM: see error 119 comments;
- 121 – errors while waiting for FDR/UPSTREAM to open data pipe: see error 119 comments;
- 122 – wait for FDR/UPSTREAM to open data pipe connection timed out: usorasbt waits for up to 60 seconds for FDR/UPSTREAM to open data pipe connection. If it may take more than that for FDR/UPSTREAM to start up, adjust this wait time out by specifying USORASBTUSTO environment variable (see previous section);
- 123 – (NT only) error setting blocking mode for data pipe: call Tech Support;
- 124 – (NT only) error flushing data pipe to FDR/UPSTREAM: call Tech Support;
- 125 – FDR/UPSTREAM ended while usorasbt was waiting on data pipe: check usorasbr.log and upstream.log for FDR/UPSTREAM startup and run errors;
- 126 – (NT only) errors obtaining FDR/UPSTREAM exit code: check usorasbt.log, upstream.log and call Tech Support;
- 127 – errors writing to FDR/UPSTREAM data pipe: check usorasbt.log, upstream.log and call Tech Support;
- 128 – unable to access usorasbt.cfg file: check if you specified the right USORASBTCFG or UPSTREAMPATH environment variables (see previous section), check if Oracle has read access to usorasbt.cfg file;
- 129 – unable to read from usorasbt.cfg file: check if you have read access to the file;
- 130 – unable to access FDR/UPSTREAM configuration file specified in CONFIGFILE parameter in usorasbt.cfg file: check if the full file name is correct and if Oracle has read access to the file;
- 131 – backup profile name is invalid: backup profile name is your Oracle database instance name, it should be no longer than 8 alphanumeric characters (excluding $, #, @) and starts with an alphabetic character;
- 132 – the required UPSTREAM parameter not found in usorasbt.cfg file;
- 133 – the required CONFIGFILE parameter not found in usorasbt.cfg file;
- 134 – the required PARAMETER parameter not found in usorasbt.cfg file;
- 135 – the USPATH parameter not found in usorasbt.cfg file and UPSTREAMPATH environment variable is not specified (see previous section);
- 136 – FDR/UPSTREAM directory is not accessible for Oracle;

- 137 – (UNIX only) error obtaining data pipe flags: call Tech Support;
- 138 – (UNIX only) error setting data pipe flags: call Tech Support;
- 139 – error reading FDR/UPSTREAM data pipe: check usorback.log and upstream.log for errors in FDR/UPSTREAM start up or running;
- 140 – wrong backup profile reported in inquiry versions output: call Tech Support;
- 141 – no version information obtained from FDR/UPSTREAM: usually caused by FDR/UPSTREAM problems. Check usorasbt.log and upstream.log files for errors in FDR/UPSTREAM start up and running;
- 142 – (NT only) error opening inquire versions output: FDR/UPSTREAM creates a temporary report file in FDR/UPSTREAM working directory (WORKPATH parameter of FDR/UPSTREAM configuration file specified in CONFIGFILE parameter of usorasbt.cfg file). Check if this directory is accessible by Oracle. Check usorasbt.log and upstream.log for errors in FDR/UPSTREAM startup and run. Call Tech Support;
- 142 – (UNIX only) usorasbt reads versions listing directly from FDR/UPSTREAM output. Check usorasbt.log and upstream.log for errors in FDR/UPSTREAM startup and run;
- 143 – error accessing inquiry versions output: see error 142 comments for the appropriate OS.

# 35.3 Backup Management Suite for Oracle databases.

### 35.3.1 Overview.

If you don't want to deal with the complexity of RMAN and/or Enterprise Manager, and are having problems scheduling file-based backups of Oracle databases this is an easy to use, powerful solution for your Oracle backup/recovery needs.

The FDR/UPSTREAM backup for Oracle databases includes these products: a Backup Management tool (GUI written in Java) and command line utilities – scheduling utility USORMGR, backup utility USORBACK, restore utility USORREST and supplemental CONNSTR program.

The FDR/UPSTREAM backup for Oracle databases primarily targets databases running in ARCHIVELOG mode. In this case you can take full advantage of FDR/UPSTREAM backup suite options, like ONLINE (hot) backups, incremental backups of REDO logs, and perform full database and tablespace media recovery.

If your database is running in NOARCHIVELOG mode the only way to perform backup/recovery is to take full offline backups, and, in case of media failure or any other disastrous conditions, restore all the database files back and re-enter all the work performed since the last backup.

This version of the FDR/UPSTREAM Backup Management Suite for Oracle databases is released in beta. Future versions will support a number of UNIX platforms as well. Multithreaded and partitioned databases are not supported.

### 35.3.2 FDR/UPSTREAM configuration.

Before you run any of the FDR/UPSTREAM backup for Oracle tools be sure that FDR/UPSTREAM software is properly installed, configured and fully functional.

You must create a dedicated backup parameter file for Oracle backups. It will be used as a template for generating actual backup parameter file. You should specify parameters like 'Storage Type', 'Non-File Data' settings, Reporting options, Local Backup (if you wish to use it), compression level and alike.

There are some parameters that are dynamically updated by backup tools, so your settings for those parameters will have no effect. These parameters are (parameter names in the parameter file):

PARAMETER
ACTION
LISTENFORREMOTE
FILES
DESTINATION
MERGE
VERSIONDATE
LATESTVERSION
INQOPTIONS
BACKUPPROFILE
ATTENDED
NORESTART
SPECNUMBER
CONTROLFILE

USECONTROLFILE
SUBDIRECTORIES
ARCHIVEBIT
INCREMENTAL
LOGNONFATAL
SKIPOLD

You can find a sample FDR/UPSTREAM parameter file **usora.dat.sample** provided by installation.

If you are planning to run backups from Backup Manager Tool (GUI) we recommend setting these options in your FDR/UPSTREAM configuration file (usually, **upstream.cfg**):

USNLMOPTIONS 8
PERCENTINTERVAL 5

This will allow you to watch the progress of backup/restore operations in the Messages window.

### 35.3.3 UNIX considerations.

According to our recommendations, the FDR/UPSTREAM installation is supposed to be run as the root user to allow UPSTREAM full backup/restore access to all files on your system.

You don't have to be root to perform Oracle database backup/recovery operations (check **NOTE** later in this section), that's why we recommend that you install the FDR/UPSTREAM Oracle backup tools in a separate directory to which the Oracle SYSDBA user responsible for backups has full access (**/usr/lpp/orabackup**, for example).

It is recommended that you install the Backup Management Suite for Oracle Databases as the owner of the Oracle database files. Backups and restores should be run as this user as well.

To install the Backup Management Suite for Oracle databases:

- Create a directory which the Oracle database administrator has ownership and full access.
- Copy the **usorback.tar** file from the **/usbeta/usorback/<operating system>** directory of the CD to this directory.
- untar the file:

  ```
  tar -xvf usorback.tar
  ```

We recommend that you create a copy of a working **upstream.cfg** file in the FDR/UPSTREAM directory (**oraupstream.cfg**, for example) and make the following changes to this new FDR/UPSTREAM configuration file:

- LOGFILE parameter should specify the UPSTREAM log file, fully qualified with the full path to your Oracle backup tools directory (**/usr/lpp/orabackup**). For example:

  ```
  LOGFILE /usr/lpp/oraback/upstream.log
  ```

- WORKPATH parameter should point to the directory to which the Oracle SYSDBA has read/write access;
- Set USNLMOPTIONS and PERCENTINTERVAL according to the previous recommendations.

Create a template parameter file (**usora.dat**, for example) in the Oracle backup tools directory (**/usr/lpp/orabackup)** according to the previous recommendations.

Use these files (**/usr/lpp/fdrupstream/oraupstream.cfg** and **/usr/lpp/orabackup/usora.dat**) in the configuration below when asked for FDR/UPSTREAM configuration and parameter files.

**NOTE:** If you are planning to run Oracle backups from the user account that doesn't own the Oracle data files or your database data is allocated on raw devices, FDR/UPSTREAM must run as superuser to be able to get to data files and/or perform low level disk I/O operations on raw devices. To accomplish this check if **uscmd** file in FDR/UPSTREAM directory has root (or bin) as owner and set "set-user-ID" bit on it (**chmod 4751 uscmd**, for example).

### 35.3.4 Backup Management Tool (GUI interface).

The purpose of the Backup Management tool is to give you a simple but powerful GUI to backup your Oracle data files and to create backup schedules. It is a GUI supplement to the command line utilities from this suite.

### 35.3.5 Prerequisites.

The Backup Management tool is a Java application. It requires Java Runtime Environment (JRE) be installed. For the Oracle database connection it utilizes the JDBC OCI interface provided by Oracle. You have to have Oracle Client with JDBC OCI drivers installed from Oracle version 8.0.4 or later (earlier versions didn't have JDBC support). The JDBC OCI driver provided by Oracle (up to Oracle 8.1.5) requires JRE 1.1 (shipped with Oracle 8.1), it will not work with JRE 1.2 (JAVA2).

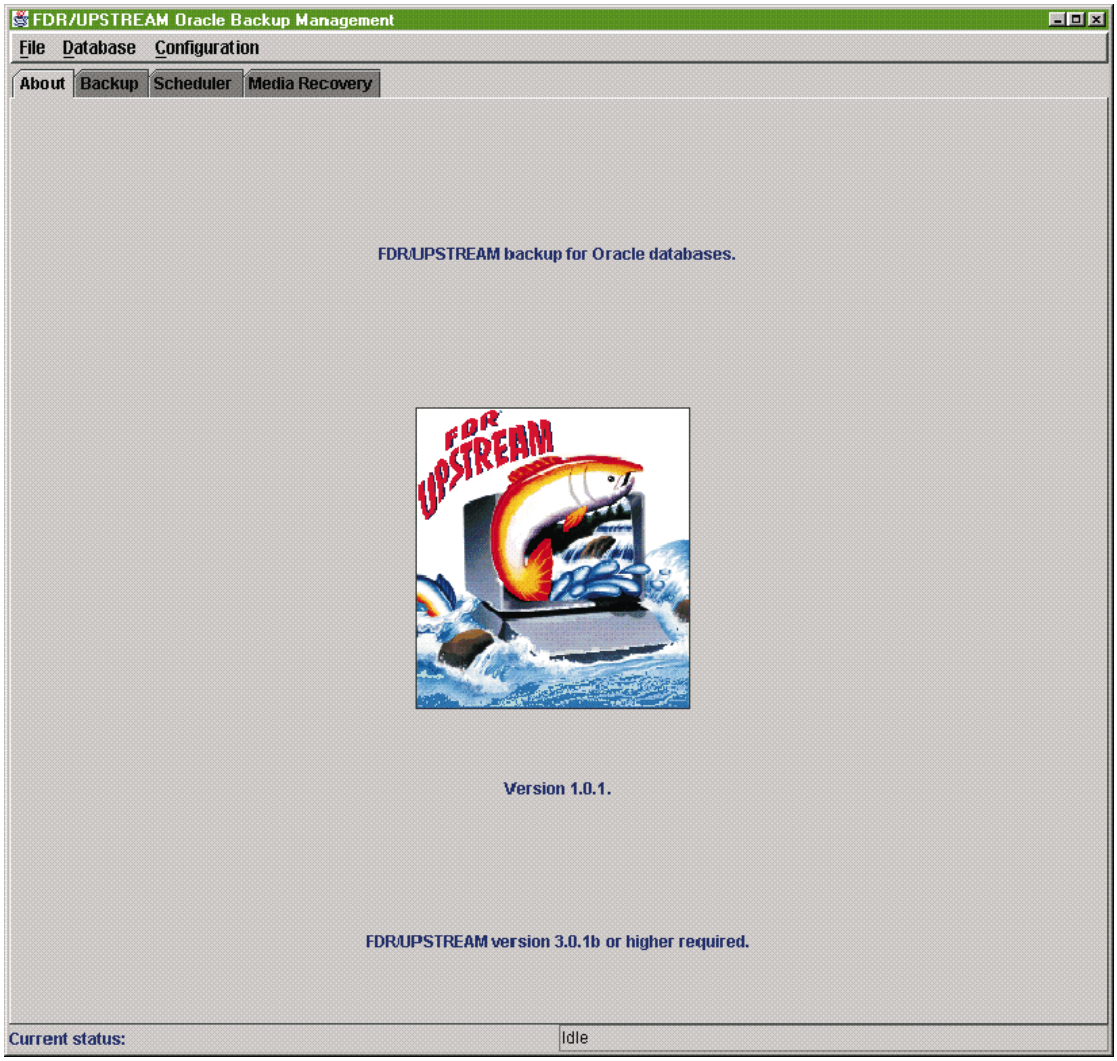
**NOTE for UNIX Oracle 8.0.4 customers:** Contact Oracle technical support for bug fixes. For AIX reference bug #660475; for HPUX reference bug #660333. When you receive the Oracle bug fix, make sure that you set the execute permission on the JDBC driver.

You can access and backup Oracle7 databases, if you have the Oracle8 client with JDBC OCI support installed.

This version of FDR/UPSTREAM backup for Oracle tools requires that these tools and FDR/UPSTREAM software to be installed on the Oracle server machine.

### 35.3.6 Start up and configuration.

To start FDR/UPSTREAM Backup Management for Oracle switch to the directory where you installed it and type ***usora*** (***usora.cmd*** on Windows NT).

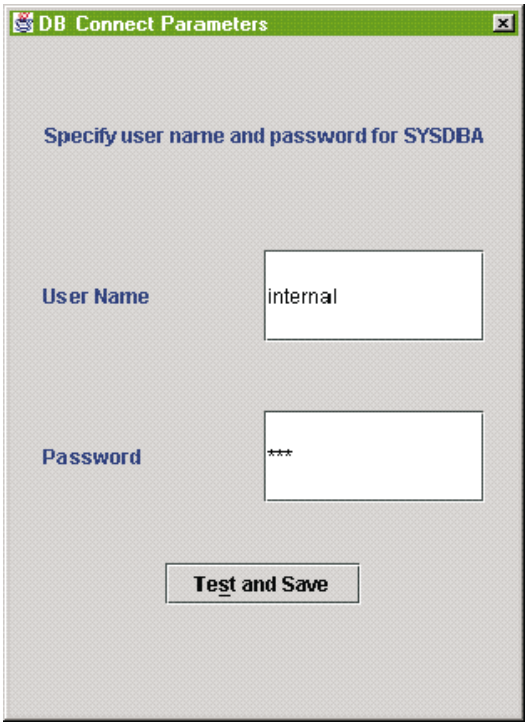



This command file starts the Java virtual machine and has reference to some local JAR files and ***classes111.zip*** provided by Oracle. Please, take a moment and check if the file names in **usora** (**usora.cmd** on Windows NT) match the directory structure of installed Oracle software.

Before you can start using Oracle Database Management tools, you have to specify database connection parameters and configuration settings.

### 35.3.7 Database connection parameters.

Pull down the **Database** menu and select **Connect parameters** to open the **DB Connect Parameters** dialog.




Specify the user name and password for DBA account here.

When you press the **Test and Save** button, the Backup Management tool will try to connect to Oracle using parameters provided. It will also check whether the specified user has DBA privileges.

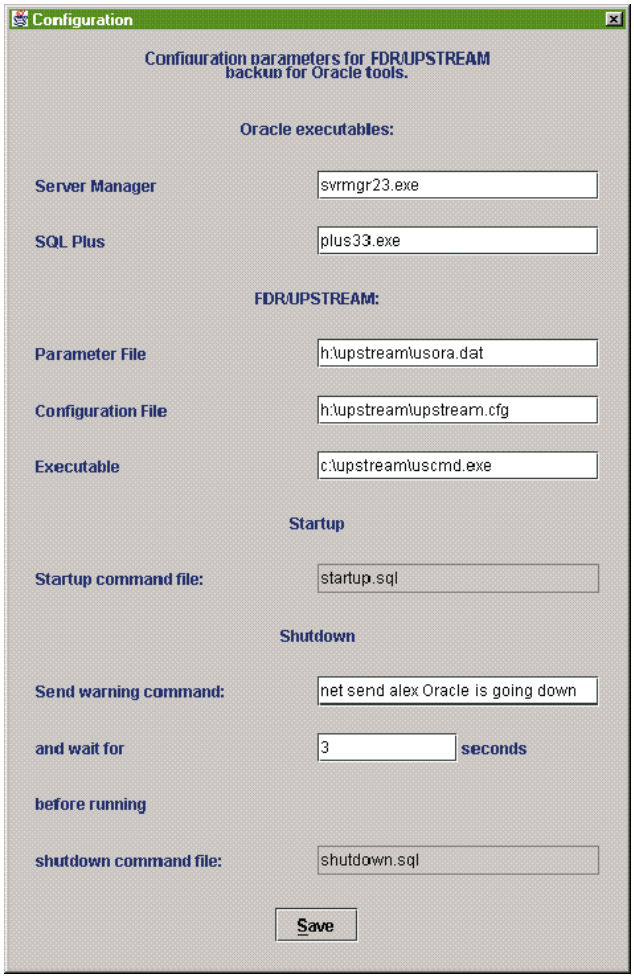
If connection is not successful, an error message window will pop up with the message from Oracle indicating the problem. Press the **Ok** button and change the connect values. The status line will read 'Connection test FAILED!' to remind you about the problem to fix.

If the attempt is successful, the dialog will go away and the status line will show 'Connection test succeeded'.

Information from this dialog is saved in the **connstr.dat** file in an encoded format and is used by all FDR/UPSTREAM Oracle Backup Management tools.

### 35.3.8 Configuration settings.

Pull down the **Configuration menu** and select **Create/Modify** to open the **Configuration** dialog. In this dialog you specify settings that all FDR/UPSTREAM Oracle Backup Management tools use.




You have to specify names for Oracle Server Manager and SQL Server tools. These names may differ from one version of Oracle to another. If they are not in the PATH, specify the full path to those programs.

Also we need to know the full path to the FDR/UPSTREAM template parameter file mentioned above, the full path to FDR/UPSTREAM configuration file, and the full name of the FDR/UPSTREAM executable file. We recommend that you specify **uscmd** so as not to have the overhead of the FDR/UPSTREAM user interface.

Pay attention to Startup and Shutdown sections. Specify the command to send a message before the database shuts down, and set the time it will wait after sending this warning message and before actually going into shutdown procedure.

Both Startup and Shutdown sections remind you to check **startup.sql** and **shutdown.sql** provided and tune them according to your needs.

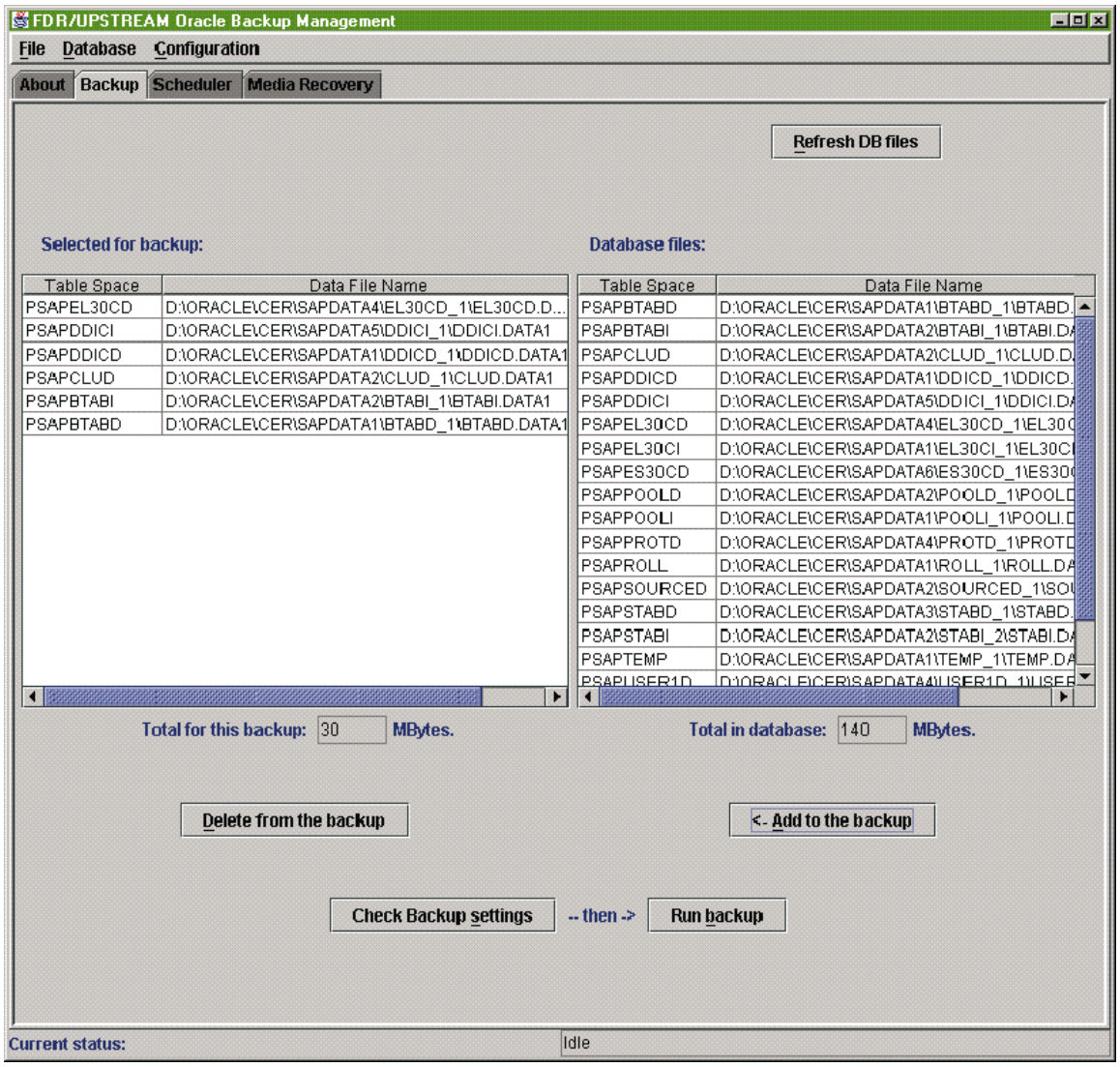
Press the **Save** button to create/modify the **usorback.dat** file with the new values. If some of the files specified do not exist or have no full path, you will get self-explanatory error or warning messages.

### 35.3.9 Working with Oracle Backup Management Tool.

When the Backup Management workbook starts, the **About** tab is opened. It has the FDR/UPSTREAM logo and some additional information about the currently running version of Database Manager.

### 35.3.10 Database Backups.

Press the **Backup** tab to create and run Oracle database backups using FDR/UPSTREAM.

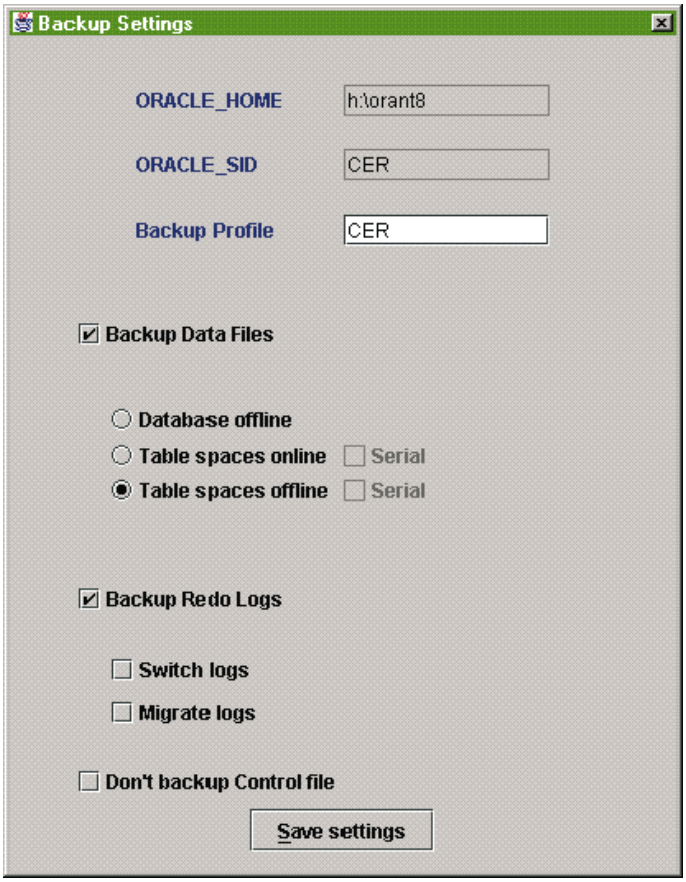



When you press the **Backup tab** the status line (at the bottom of the workbook) changes to 'Accessing database…' and Database Manager Tool opens the connection to Oracle database instance using setting from **DB Connection Parameters** dialog.

The table on the right side shows all the data files of your database, table spaces they belong to and their sizes. By highlighting rows in this table and clicking on **Add to the backup** button, you add the desired data files to the **Selected for backup** table, which keeps the list of all the data files included in the backup. **Total for this backup** counts the number of Mbytes selected for the backup.

If you wish to remove databases from a backup, highlight rows in **Selected for backup** table and click on **Remove from the backup** button.

After you finish creating the list of data files to back up, press the **Check Backup Settings** button. This will open the **Backup Settings** dialog.




This dialog allows you to specify the way database backup will be taken. Values for ORACLE_HOME and ORACLE_SID environment variables are displayed here for your reference. These values will be added to the backup script generated.

- **Backup Profile**. The UPSTREAM backup profile for these backups. The default is your ORACLE_SID environment variable value.

- **Backup Data Files**. Determines whether data files will be included in the backup. If you check this, you must specify how the data files will be backed up.

  - **Database offline. usorback** utility will shut down the database instance before going into backup (it will send the warning message and wait as defined in **Configuration** dialog), and then start up the database after the backup process is finished. Check and update **startup.sql** and **shutdown.sql** files provided if you have special startup/shutdown requirements;

  - **Table spaces online**. **usorback** utility will put table spaces requested for the backup in BACKUP state before backing them up. After the backup is over, USORBACK will put them back into their normal state. While a table space is in BACKUP state, users can access it, but all the updates are recorded and kept only in the active redo logs. To reduce the stress on the redo logs you can check the **Serial**

checkbox. In this case **usorback** will switch table spaces to BACKUP state and back, on a file by file basis;

- **Table spaces offline**. **usorback** utility will put the table spaces requested for the backup offline before backing them up. After the backup process is over, **usorback** will put them back online. If the **Serial** checkbox is checked, an OFFLINE/ONLINE switch is performed on file-by-file basis minimizing the OFFLINE time for the table spaces scheduled for the backup.

If the table space is READ-ONLY or is in OFFLINE state, **usorback** will not attempt to change its state, but will back it up.

☐ **Backup Redo Logs** Check this box if you wish to include redo logs in your backup. In this case you will have additional options:

- **Switch logs** instructs **usorback** to switch redo logs before going into backup process;
- **Migrate logs** forces **usorback** to delete redo logs from your hard drive in case of a successful backup.

☐ **Don't backup Control File**. It is recommended that you keep a backup of the Oracle control file with every backup. Thus we recommend that you not check this box.

Press the **Save settings** button to save these values and close the dialog. These settings are stored in **usorback.cfg** file in the current directory and are used by all the tools in the suite. The sample configuration file provided by installation is **usorback.cfg.sample.**

There are additional details about these parameters in the **usorback** section below.

Now you are ready to start the backup you defined. When you press the **Run backup** button, Backup Manager creates two files:

- **USORABKP.CMD** Sets the environment variables for ORACLE_HOME and ORACLE_SID and calls **usorback** utility with all the parameters.
- **USORABKP.LST** with a list of data files to back up.

You can use these files as a reference for the backup. Then Backup Manager executes **USORBKP.CMD** and opens the **Messages from the started program** window. Here you can watch the ongoing backup process.

Also you can see the **Cancel** window with a pumping red button. If you think that something is going wrong with the backup, click this button to interrupt the backup process. Be advised that in this case you will have to check/change the status of table spaces involved.

**NOTE: If you click on Messages from the started program window, it may hide Cancel dialog. You can find it by moving Messages… window around.**

The bottom **Current status** line will tell you whether the backup is still running.

Even though all the messages are logged in the **usorback.log** and **upstream.log** files, you have an option to save/append all the messages from **Messages …** windows to the log file of your choice using **Save to File…** and **Append to File…** buttons. Press the **Close** button to close **Messages from the started program** window. If you're planning to run another backup and keep messages, just move the **Messages…** window out of your way to of the **Backup** frame, specify and run a new backup. Messages from this new run will append to the previous ones.

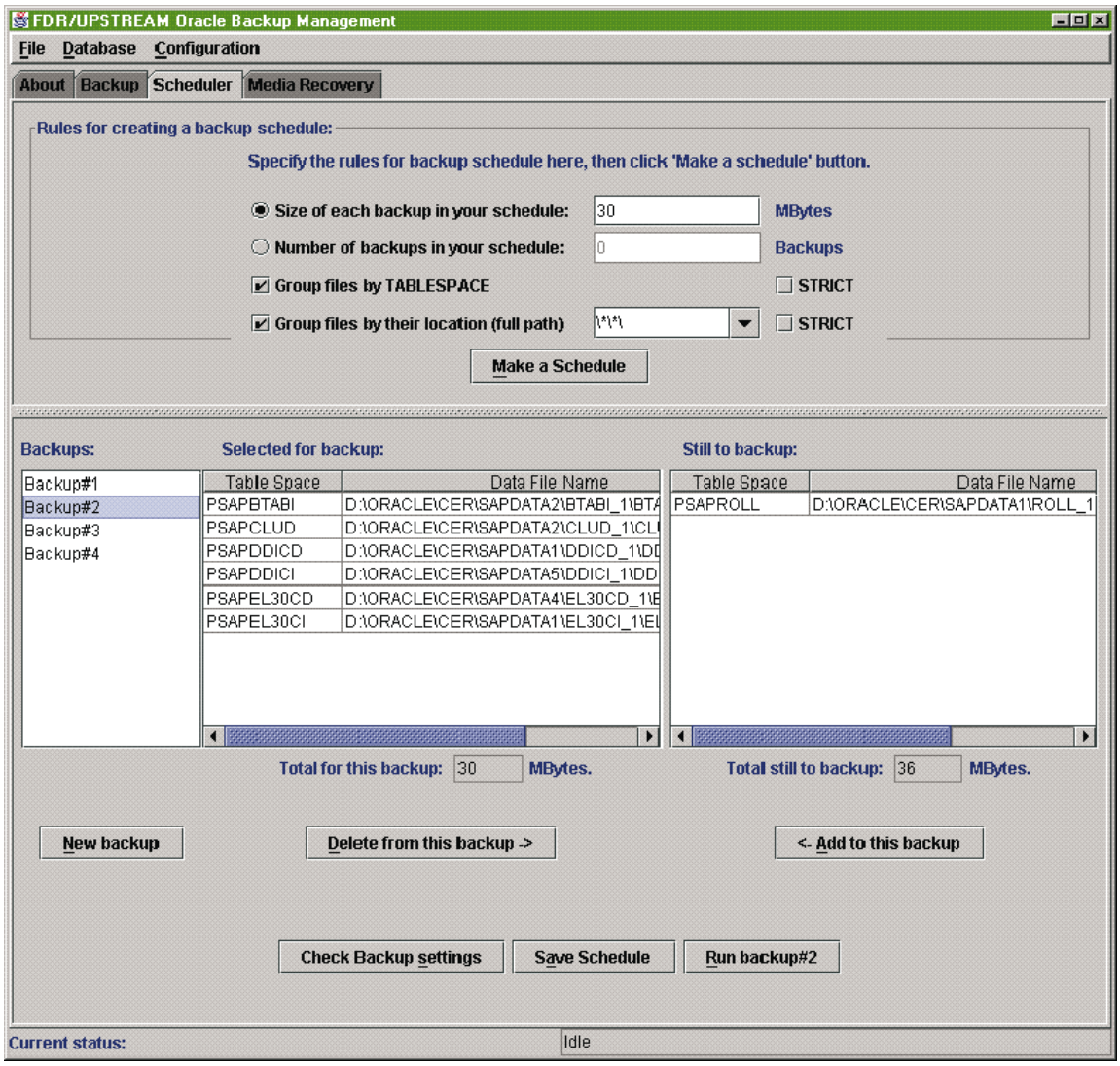
Between backups you can change your database connection by pulling down the **Database** menu, selecting **Connect parameters** and changing values in that dialog. Then press the **Refresh DB files** button to obtain the list of data files from the new database instance.

**NOTE: Backup Manager will retain Backup settings from the previous run, so you have to press the Check Backup Settings button to review and set new backup parameters. It is always recommended having different backup profile names for different databases.**

You can always check the last backup performed by this facility by reviewing **USORBKP.CMD** and **USORABKP.LST** files.

### 35.3.11 Creating a backup schedule.

Press the **Scheduler** tab to create a set of partial backups if your time table doesn't allow to take a full database backup at one time.




When you open the **Scheduler** folder, Database Manager attempts to read the existing schedule. If it doesn't exist (you run this facility for the first time, for example) the folder opens with default settings and empty tables.

The job of creating a database backup schedule consists of two parts. First of all configure the rules (constraints) for the partial backups to be created:

- ☐ **Size of each backup in your schedule** gives you an opportunity to limit the size (in Mbytes) of every individual partial backup.
- ☐ **Number of backups in your schedule** allows you to specify how many partial backups should cover the whole database.
- ☐ **Group files by TABLESPACE.** If you check this checkbox, the **usormgr** utility will try to keep data files from the same table space together in the backup; by checking **STRICT** you force it not to combine data files from different table spaces in one backup.
- ☐ **Group files by their location (full path).** If you check this checkbox, **usormgr** will try to keep together data files based on their location. Use the drop down box (or type in) the pattern to specify how many directory levels of data

file full names (full paths) should match. Checking **STRICT** forces **usormgr** to put in one backup ONLY data files which have the same directory path of the length defined by the pattern.

Read more about the scheduler constraints in the **usormgr** section.

After the rules are defined, press the **Make a Schedule** button. It will save the scheduler constraints in **CONSTR.DAT** file and start **usormgr** utility to build the backup schedule. As always, you can watch the progress and results in **Messages from the started program** window, you can stop the process using **Cancel** dialog; you can save the messages to the log of your own (even though they are logged to **usormgr.log**). At the bottom of the screen the **Current status** line tells you whether the **usormgr** is still running.

Unless there were major problems with **usormgr**, you will have tables in the bottom part filled in.

You will have the list of created **Backups**, and for every highlighted backup from the list (just click on it) you will see the set of data files with the table spaces they belong to and their sizes under **Selected for backup**.

If there were data files which **usormgr** was not able to distribute based on the rules you defined earlier, they would appear in the **Still to backup** table.

Regardless of whether you have or don't have files in the **Still to backup** table, you can use the **Remove from this backup** and **Add to this backup** buttons to redistribute data files between backups. If you need, click on the **New backup** button to create a new initially empty backup.

**Check Backup settings** opens the same dialog as in the **Backup** folder. These settings apply to all backups in the list. If you want to change backup settings for some individual backups, highlight and right click on that backup under **Backups** and select **Change backup settings for the highlighted backup**. It will open **Backup Settings** dialog where you can make changes for this particular backup. Make sure that it shows the proper backup number. All your database backups are supposed to go to the same **Backup Profile**, that's why you are not allowed to change this field here.

If you right click the mouse on a backup, a menu pops up which allows you to choose **Add files to the highlighted backup** if you want to add some non-database files to this particular backup.

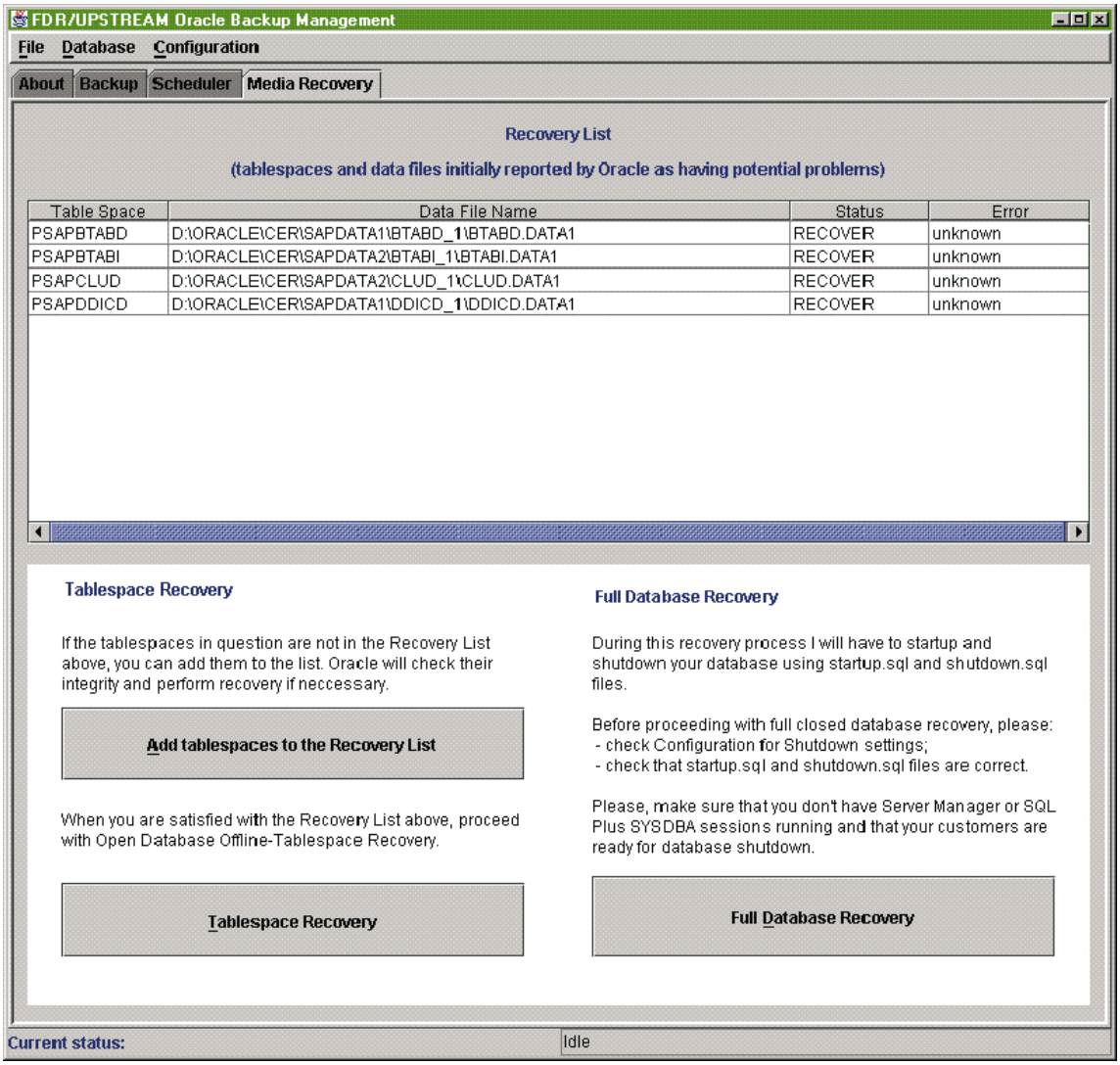
After you finish configuring your database backup schedule, press the **Save Schedule** button. This will create a set of file pairs in the current directory: **USORA_xx.CMD** and **USORA_xx.LST**, where **xx** is the backup number these files constitute. The **CMD** files are the command files that start **usorback** with the appropriate set of parameters and a reference to the **LST** file with the list of data files to backup.

Now you can schedule submission of these command files by specifying /R[un] switch for **usormgr** utility, use Run Job facility of FDR/UPSTREAM, or use your favorite scheduler.

You can also start the backups created by clicking on **Run Backup#n** button. In this case Backup Manager will start the **usorback** utility from the appropriate **CMD** file and open **Messages…** dialog to show the backup progress.

### 35.3.12 Performing a database media recovery.

If you experience data media problems, or your data files became corrupted by any other hardware/software failure, press the **Media Recovery** tab.




The Recovery List displays the tablespaces and datafiles which are considered by Oracle to have potential problems or are manually added by you.

While opening the **Media Recovery** folder, The Backup Manager will try to access your database to retrieve information about potentially problem data files from the V$RECOVER_FILE view. Your database instance has to be started, but not necessarily mounted or opened to have access to these views.

If you want to exclude data files from the list, highlight them and right click on the **Recovery List** table and choose **Remove highlighted files**.

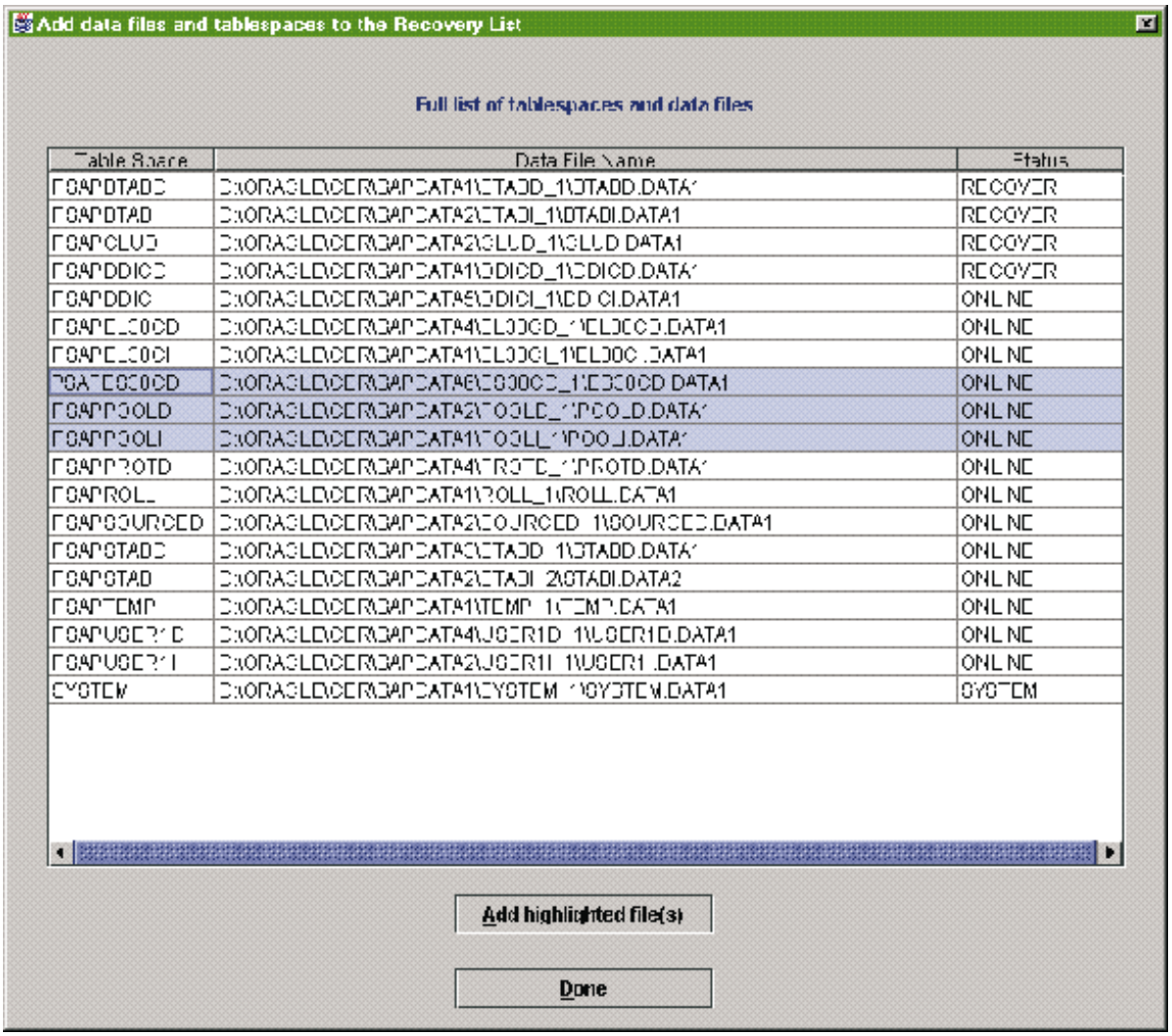
Depending on the condition of your database and information in the Recovery list, you have to choose between Tablespace and Full Database recovery.

### 35.3.13 Open Database Offline-Tablespace Recovery.

These methods apply only to databases running in ARCHIVE log mode. If your database is running in NOARCHIVELOG mode, go to the Full Recovery scenario.

If your database is operational and you have problems only with some of the tablespaces, proceed with Tablespace recovery (this section), so your users can still work with the undamaged part the database.

To add tables to the Recovery List, from the Media Recovery tab, press the **Add Tablespaces to the Recovery List** button.

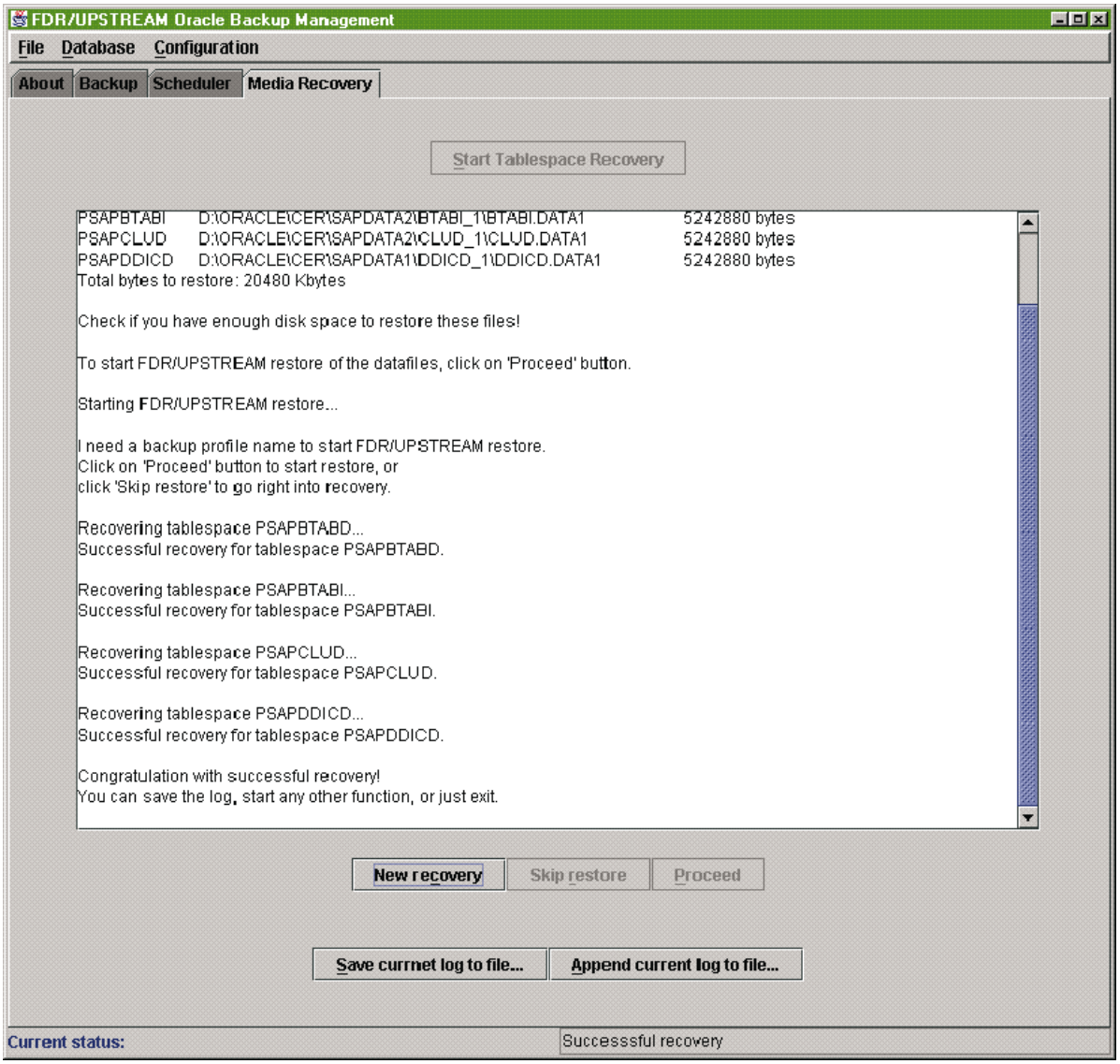



Highlight files you want to include in the recovery list and press the **Add Highlighted file(s)** button. After you finish with the selection, click on **Done** button. Check the **Recovery List** to be sure that is has all the tablespaces and data files you want to recover.

After you assembled the list which looks right for you, click on **Tablespace Recovery** button to start the recovery process.

Now you have the last chance to leave your database intact by recovery process and return back to the previous view by clicking the **Cancel** button. The **Cancel** button will remain active during the whole process, so you will be able to stop it at any time, but you will most likely have to make manual database changes to have selected tablespaces operational again.

To start the recovery process press the **Start Tablespace Recovery** button.




Only OFFLINE tablespaces can be recovered, so if some of the tablespaces you selected for recovery are still ONLINE, you will be asked if you want to switch them OFFLINE. If you choose not to switch the tablespace OFFLINE, it will be excluded from the recovery process.

You will be presented with the list of the data files to restore. If you want to try recovery without restoring data files, press the **Skip restore** button. If you press the **Proceed** button, FDR/UPSTREAM will restore data files in the list from the most recent available backup of those files. To be able to access backup of those files you will be prompted for the backup profile name of the backup. The default is the current ORACLE_SID value.

If for some reason the restore process failed for any of the files to be restored, you will have an option either to fix the problem (configuration parameter errors, mainframe FDR/UPSTREAM connection problems, etc.) and start the restore again – (by pressing the **Retry** button), or skip the restore operation and try to recover anyway (by pressing the **Proceed** button).

During recovery Oracle may figure out that it needs some archived redo logs to apply to tables in recovery which are missing, because you migrated them out. If you backed up archived redo logs using FDR/UP-

STREAM (by means of Backup Manager, **usorback** utility, or any other way) press the **Proceed** button. If you used other tools to migrate redo logs, use them to return the required for recovery redo logs in the list back, and when you are done, press the **I am ready** button.

After redo logs are back, the recovery process will be started again.

This recovery with request for additional redo logs loop may repeat a number of times.

After all the redo logs are applied and all the requested tablespaces recovered, you will get 'Congratulations with successful recovery' message and the status line will read 'Successful recovery'.

Recovered tablespaces that were ONLINE before we started the recovery will be automatically put ONLINE at the end of the recovery. If some of the tablespaces were OFFLINE when we started their recovery, you will be prompted for each such tablespace whether you want to have it ONLINE, or leave OFFLINE.

At the end you should save/append the current log messages to the log file of your choice using the **Save current log to file…** or **Append current log to file…** buttons.

**WARNING: We do not log these messages to any default log file, so you will lose this log if you do not save it here!**

### 35.3.14 Full closed database recovery.

If your database is so damaged, that it is not possible to open it, use this scenario.

During full closed database recovery your database will need to be shutdown and started several times. Oracle's Server Manager will be run using the **startup.sql** and **shutdown.sql** scripts. If you have additional parameters in your regular startup or shutdown procedures, please apply them to the default **startup.sql** and **shutdown.sql** scripts supplied during installation.

If your database is down you will be prompted to indicate whether you are running in ARCHIVE or NOARCHIVE log mode.

For NOARCHIVE log mode the only possible way to recover a database is to restore the most recent full backup, and then apply all the changes made since that backup was taken.

If your database is running in ARCHIVE log mode, you are able to perform a full up to date recovery if all the necessary archived redo logs are available.

First of all, information about damaged data files and redo logs required for full recovery using will be obtained from the V$RECOVER_FILE and V$RECOVERY_LOG views. If this information is not immediately available, your database will be shutdown start up with OPEN RECOVERY option.

If the result is successful recovery, you will get a message 'Congratulation with successful recovery' and the status line will read 'Successful recovery'. The last thing to do will be to restart and open your database in a regular way. You will be prompted to restart the database using the **startup.sql** script, or you can opt to do it manually.

If the recovery attempt was not successful, Oracle records information about damaged files and archived redo logs in V$RECOVER_FILE and V$RECOVERY_LOG views.

After obtaining this information you will be asked for the backup profile name to perform the FDR/UPSTREAM restore for those files, and then the recovery attempt will be repeated.

You will be prompted before going into every step of this recovery procedure, so you can prepare resources (disk space to restore files to, tapes with the backup, etc.). If FDR/UPSTREAM restore fails for any of the files to be restored, you have an option to either rerun the restore (after changing configuration settings, backup profile name, fixing connectivity problems, etc.), or try to perform the recovery from the current state.

The restore – recovery – restore loop may repeat a number of times until all the damaged files and redo logs are successfully restored and applied for the full database recovery to succeed. At that time you will be greeted with 'Congratulations with successful recovery' message and status line will read 'Successful recovery'. After that you'll be prompted whether to automatically restart the database in a regular way using **startup.sql** or you can restart it later manually.

At the end you should save/append the current log messages to the log file of your choice using the **Save current log to file…** or **Append current log to file…** buttons.

**WARNING: We do not log these messages to any default log file, so you will lose this log if you do not save it here!**

### 35.3.15 Scheduling utility (USORMGR).

The purpose of the USORMGR command line utility is to create a backup schedule for your database: a set of command files and data file lists. Each command file in the set calls USORBACK utility with the appropriate set of parameters and a reference to a data file list to perform the database backup.

After the set is created, you can run scheduled backups in a number of different ways:

- use USORMGR /R format. In this case USORMGR will run command files from the schedule (one per call) starting with the first one. After going through the whole list it will start from the beginning again, and so on;
- use FDR/UPSTREAM 'Run Job' facility to run USORMGR /R ;
- use FDR/UPSTREAM 'Run Job' facility to run a specific command file from the schedule;
- use your favorite scheduler to run command files from the schedule.

USORMGR full command line format (all options must be on a single line):

```
USORMGR  /S[chedule] | /D[ynamic] | /R[un] [,]
CONSTR = <file_name0> [,]
[/BATCH] [,]
[/TRACE] [,]
[PROFILE=<name>[,]]
[TYPE=OFFLINE|FILE_OFFLINE[_SERIAL]|FILE_ONLINE[_SERIAL[,]]
[REDO=NONE | [SWITCH_]SAVE|MIGRATE[,]]
[/NO_CONTROL_FILE[,]]
[NDBFILES=@<file_name3>[,]]
[CONFIG=<file_name4>[,]]
[JAVA[,]]
[/Q[,]]
```

The parameters are:

- **/S**[chedule] | **/D**[ynamic] | **/R**[un]. Type of action:
  - **/S**[chedule]. Schedule a set of backups based on constrains defined;
  - **/D**[ynamic] - create a new dynamic backup based on the set of files been backed up and the current constrains defined;
  - **/R**[un] - run the next backup from the set previously created in schedule mode.
- **CONSTR = <file_name>**. The file name for the set of constrains to use when grouping database files into backup sets.
- **/BATCH**. Forces USORMGR to run in a batch mode, so it doesn't place any messages to the console, and fails if interaction required. All the messages are logged as usual in the **usorback.log** file.
- **/TRACE**. Enables tracing for debugging purposes.
- **/JAVA**. Indicates that the scheduler was called from the Backup Manager (Java GUI). In this case PROFILE and TYPE parameters are not required, and it runs without user interaction, like in BATCH mode, but with full console output, which is intercepted by Backup Manager.

  The rest of the parameters are USORBACK parameters, and defined with USORBACK command line format. These parameters are used to create USORBACK command files.

  There are four types of constraints you can define in the file specified by the CONSTR parameter:

- **SIZE** = x…xM/G. Specifies the size if each incremental backup in either megabytes (x…xM) or gigabytes (x…xG). Exclusive with the PERIOD constraint.
- **PERIOD** = nn. Number of incremental backups in the full set required to backup all the data files in the database. Exclusive with the SIZE constraint.
- **FILES** = [/ | \ * [/ | *[…]]]. The directory pattern for data files to be backed up together. Files with the same directory structure of the specified number of directory levels will be placed into the same incremental backup set first. Use the appropriate for your platform name separator.
- **BY_TABLESPACE**. This parameter forces USORMGR to place data files from the same table space into one incremental backup set first.
- **STRICT** If you add this keyword to FILES=… or BY_TABLESPACE constraint, USORMGR will not mix files from different table spaces or with different directory structure (within the levels defined in FILES) into the same incremental backup set.

  It is not necessary to specify more than one constrains. If more than one constraint specified USORMGR attempts to create incremental backup sets with files compliant with all constraints specified.

  If running in a schedule mode, USORMGR creates two sets of files:

  - **USORA_NN.LST** - data file lists for incremental backups;
  - **USORA_NN.CMD** - command files with the call for USORBACK with the DBFILES parameter referencing the appropriate data file list (**USORA_NN.DAT**) and all the rest of USORBACK parameters specified at start up.

  When running with /R switch, USORMGR keeps track of the command files run by changing the name of the command file it is about to run to **USORARNN.CMD**. Next time USORMGR /R is called, it finds the **USORAR*.CMD** file renames it back to its original name, then finds the next command file, renames it to

**USORARNN.CMD** format and runs it. So, between runs, you have only one file with the name format **USORARNN.CMD**, and that's the last command file ran by USORMGR.

This method works only if you have a stable database environment, when the set of table spaces and data files doesn't change, and sizes of the data files do not increase or decrease too much. If you have a constantly changing database, you should consider the dynamic mode.

When run in the dynamic mode, USORMGR analyzes the size and structure of the database each time it runs. It also keeps track of the data files it already backed up by creating the same pairs of **USORA_NN.LST** and **USORA_NN.CMD** files. So, when USORMGR called in dynamic mode, it obtains information about the database, rules out all the data files been backed up before, and then created a new **USORA_NN.LST** and **USORA_NN.CMD** file based on backup constrains defined, and runs this newly created backup.

When USORMGR in dynamic mode realizes that all the data files in your database have already been backed up by previous runs, it logs an information message, wipes out all the previous command and data files lists (files **USORA_NN.LST** and **USORA_NN.CMD**), and starts the new cycle again.

### 35.3.16 Backup utility (USORBACK).

The purpose of USORBACK command line utility is to run a database backup based on the specified parameters by calling FDR/UPSTREAM.

USORBACK full command line format:

USORBACK [PROFILE=<name>[,]]
[TYPE=OFFLINE|FILE_OFFLINE[_SERIAL]|FILE_ONLINE[_SERIAL[,]]
[TABLES=NONE | ALL | @<file_name1>[,]]
[DBFILES=@<file name2>[,]]
[DBLIST=@<file_name3>[,]]
[REDO=NONE | [SWITCH_]SAVE|MIGRATE[,]]
[/NO_CONTROL_FILE[,]]
[NDBFILES=@<file_name3>[,]]
[CONFIG=<file_name4>[,]]
[/Q [,]]
[/TRACE]

The USORBACK parameters are:

- **PROFILE=**<name> Optional parameter. Specifies the backup profile name for the database backup. If not specified, USORBACK uses the currently active Oracle database instance name from environment variable ORACLE_SID.

- **TYPE=** Optional parameter, specifies the type of the database backup. You must specify TYPE if you requested data file backup by specifying TABLES, DBFILES or DBLIST parameters.
  - **OFFLINE** USORBACK will shutdown the database before backing up the requested data files.
  - **FILE_OFFLINE[_SERIAL]** USORBACK will put the table spaces requested for the backup offline before backing them up. After the backup process is over, USORBACK will put them back online. If _SERIAL option specified the OFFLINE/ONLINE switch is performed on file-by-file basis minimizing the OFFLINE time for the table spaces scheduled for the backup.
  - **FILE_ONLINE[_SERIAL]** USORBACK will put table spaces requested for the backup in BACKUP state before backing them up. After the backup is over, USORBACK will return them back. While a

table space is in BACKUP state, users can access it, but all the updates are recorded in the active redo logs only. To reduce the stress on redo logs you can use additional _SERIAL options. In this case USORBACK will switch table spaces to BACKUP state and back on file by file basis.

- **TABLES=** Optional parameter, specifies a file which contains the set of table spaces to back up:
  - **ALL** USORBACK will backup all the data files in your database.
  - **@file_name** USORBACK will read the list of table spaces to back up from the file specified. The list of table spaces in the file may consist of more than one line, use either spaces or commas as separators. USORBACK uses **sys.dba_data_files** view to obtain the data file names associated with the specified table spaces.
  - **NONE** The default value.
- **DBFILES=@<file_name>** Optional parameter, specifies the file with the list of database data files to back up. The list may consist of more than one line, use spaces or commas as separators. All the file names must be fully qualified. USORBACK uses **sys.dba_data_files** view to retrieve the list of table spaces to which data files belong.
- **DBLIST=@<file name>** Optional parameter, specifies the file with the list of database and non database files to back up. Each line specifies one file and has three fields delimited by a space: table space name, file name, size in Mbytes. The DBLIST parameter and the list is usually created by the Scheduler.
- **REDO=** Optional parameter, specifies whether USORBACK should backup archived redo logs.
  - **SAVE | MIGRATE** Instructs USORBACK to backup offline redo logs. If MIGRATE specified, the offline redo logs will be deleted after successful backup (not by UPSTREAM, but by USORBACK itself).
  - **SWITCH_** option instructs USORBACK to switch the current redo log before starting the database backup.
  - **NONE** Do not backup redo log files. The default value.
- **/NO_CONTROL_FILE** This switch instructs USORBACK not to backup the database control file. As default the Oracle control file is being backed up every time you specify data files backup.
- **NDBFILES=@<file_name>** Optional parameter, specifies the file name for the list of non-database files you may want to backup together with the database backup. The list may consist of more than one line, use spaces or commas as delimiters. All the file names must be fully qualified.
- **CONFIG=<config_file>** Optional parameter. Specifies the file with USORBACK configuration parameters. If not specified, USORBACK uses the default file **usorback.cfg** in the current directory.
- **/Q** Optional switch. Quiet mode. If specified suppresses all the output from USORBACK to the console. USORBACK doesn't require any operator intervention or responses, so if you do not want it to send any messages to the console, specify /Q switch. USORBACK still logs all the messages in **usorback.log** file.
- **/TRACE** Optional switch. Forces USORBACK to record a trace file, **usorback.trc** for debugging purposes.

Configuration file parameters (the default file **usorback.cfg** is placed in the installation directory):

- **SVRMGR=** The name of the command line version of Oracle server manager. The default for UNIX platforms is **svrmgrl**, for Windows NT differs depending on the Oracle version, but usually has a **svrmgr**xx format where xx is it's own version number (in Oracle8.1 is finally called **svrmgrl**).

- **SQLPLUS=** The name of the command line version of SQL Plus utility. The default for UNIX platforms is **sqlplus**, for Windows NT it differs depending on the Oracle version, but usually has **plus**xx format (in Oracle8.1is finally called **sqlplus**).

- **UPSTREAM=** The full path to FDR/UPSTREAM command line executable. This is the required parameter.

- **CONFIGFILE=** The full path to the FDR/UPSTREAM configuration file. This is the required parameter.

- **PARAMETER=** The full path to the template FDR/UPSTREAM parameter file. This is the required parameter.

- **WAIT=** The interval in seconds between sending a warning message that Oracle database is going down and the start of database shutdown process. The default value is 60 seconds. The command sending warning message should be placed in the file **OFFLINE.CMD** with **net send** command (Windows NT), **wall** (for UNIX) or any other applicable command(s) that will send the warning message to ORACLE users indicating that the database is going down.

- **PIPE=** Optional (internal) parameter. Specifies the name for the status named pipe to FDR/UPSTREAM.

To be able to obtain information about table spaces and their data files and some additional database initialization parameters, and to be able to control the state of the database and it's table spaces USORBACK has to connect to the database with SYSDBA privileges. For security purposes we use encoded values of your connect parameters. To provide USORBACK with those values and encode them you can use CONNSTR utility (if you are not using FDR/UPSTREAM Backup Manager). We recommend running it from the same directory where USORBACK resides.

Additional files required:

- **startup.sql** – the startup command for your database. USORBACK uses this command to start up the database if needed. If USORBACK starts the database for internal purposes (to obtain information about table spaces, data files or redo logs) it modifies the startup command provided with EXCLUSIVE option. The provided default **startup.sql.sample** file contains the following command:

```
STARTUP
```

- **shutdown.sql** – the shutdown command for your database. USORBACK uses this command to shut down the database for OFFLINE backups. The provided default **shutdown.sql.sample** file contains the following command:

```
SHUTDOWN IMMEDIATE
```

- **OFFLINE.CMD** – the command to send a message to all users that the Oracle database is going down. USORBACK sends this message if you requested OFFLINE backup but the database is up at that time. The configuration parameter WAIT specifies the number of seconds USORBACK waits after issuing the message and before going into database shutdown procedure.

For Windows NT you can use NET SEND command in format:

```
NET SEND <name | * | /DOMAIN[:name] | /USERS> <message>
```

For UNIX **OFFLINE.CMD** you can use **wall** command:

```
/etc/wall  -a <message>
```

### 35.3.17 Restore utility (USORREST).

The purpose of USOREST utility is to restore the list of files specified by calling FDR/UPSTREAM.

The USORREST full command line format is:

USORBACK [PROFILE=<name>[,]]
[FILES=@<file_name>[,]]
[CONFIG=<config_file[,]]
[/TRACE]
[/Q]

The USORREST parameters are:

- **PROFILE=** Optional parameter. Specifies the backup profile name for the database backup. If not specified, USORBACK uses the currently active Oracle database instance name from environment variable ORACLE_SID.

- **FILES=@<file_name>** Required parameter, specifies the file name for the list of files you want to restore. The list may consist of one or more lines. Each line should specify a file name to restore in double quotes and an optional new name under which to restore this file in double quotes too. All the file names must be fully qualified.

- **CONFIG=<config_file>** Optional parameter. Specifies the file with USORBACK configuration parameters. If not specified, USORREST uses the default file **usorback.cfg** in the current directory.

- **/Q** Optional switch; quiet mode. If specified suppresses all the output from USORREST to the console. USORREST doesn't require any operator intervention or responses, so if you do not want it to send any messages to the console, specify /Q switch. USORBACK still logs all the messages in **usorrest.log** file.

- **/TRACE** Optional switch. Forces USORBACK to record a trace file **usorback.trc** for debugging purposes.

USORREST doesn't interact with the Oracle database. It calls FDR/UPSTREAM to restore files specified, checks the results and logs the results to the **usorrest.log** file. USORREST is usually called by Backup Manager during recovery operations.

### 35.3.18 Database connect parameters (CONNSTR).

Use this utility when you intend to use the command line USORBACK or USORMGR utilities outside the GUI interface. CONNSTR allows you to provide these utilities with the Userid/password combination for your database. This information is stored in the **connstr.dat** file in an encoded format. Information in this file is shared with the Backup Management tool, so you can access and change connect parameters either way.

### 35.3.19 Additional considerations for using command line utilities.

Backup profile name.

The main identifier of the backup is its backup profile name. You have to pay attention to how it is used with your **usorback** backups. **usorback** tries to obtain the backup profile name for the current backup from the PROFILE parameter. If it is not specified, **usorback** gets the value of environment variable ORACLE_SID and makes it your backup profile name. If this environment variable not defined or this name is not valid for the backup profile name, USORBACK exits without doing a backup.

If you are planning to have different backups for the same database (data files and redo logs, for example) and want to keep them separate, you have to explicitly specify the PROFILE parameter.

Supported backup types.

OFFLINE backups.

If your database happened to be OFFLINE USORBACK will start the database (in EXCLUSIVE mode) to obtain information about data files and table spaces. After that USORBACK will shutdown the database (using **shutdown.sql**) and proceed with the backup. If at the time you started USORBACK the database was up, it starts it up after the backup is done using the **startup.sql** provided.

USORBACK doesn't support redo log backup for OFFLINE backups. If you have enough time for a full offline backup, probably it is a good idea to prepare a special parameter file with all the data files, control files, etc., and run a regular FDR/UPSTREAM backup.

ONLINE backups.

USORBACK supports two types of ONLINE database backups:

- 1. OFFLINE table space backups. USORBACK changes the state for all the table spaces you specified (directly - using the TABLES parameter, or indirectly - using the DBFILES parameter) to OFFLINE before going into backup, and changes it back to ONLINE after the backup is complete. To reduce the OFFLINE time for the table spaces you can specify _SERIAL option. In this case USORBACK changes the state of the table space to OFFLINE before starting the backup of the file(s) for this particular table space, and returns it back ONLINE right after the backup of the file(s) for this table space is finished.
- 2. ONLINE table space backups. USORBACK changes the state of all the table spaces you specified for backup (directly or indirectly) to BACKUP before going into backup, and returns them back after the backup is complete. All the tables are still accessible during the backup, but it puts a lot of stress on redo logs, because all the changes to those tables go to the logs. To reduce this stress you can specify _SERIAL option. In this case USORBACK keeps table space in BACKUP state only for the time while it backs up the data file(s) for that table space.

Control file backup.

If you don't specify /NO_CONTROL_FILE switch USORBACK takes control file backup when you specify data file backup. USORBACK uses the Server Manager command:

```
ALTER DATABASE BACKUP CONTROL FILE TO <file_name>
```

to backup the control file to the directory it was started from, and then back it up from there.

Redo log backups.

You can take archived redo log backup together with the data file backup or as a separate backup.

If you specify SWITCH_ option USORBACK will switch the current log file before starting the backup.

All the files in the archive log location with the names following the format for archive logs are included in the backup.

If you specify MIGRATE instead of SAVE, USORBACK deletes those backed up files if the backup was successful.

Non-database files backup.

You can include additional non-database files in the same backup. Specify the list of these files in the NDBFILES parameter. The list must consist of fully qualified file names without wildcards.

### 35.3.20 Automation of Oracle Database Backups.

There are two steps you can take to automate Oracle backups using FDR/UPSTREAM Oracle Backup tools.

The **first step** is to create script(s) with the call(s) to **usorback** utility with the required set of parameters and a list of data files or table space names. After the script(s) are created you can either start them manually, or use any available scheduler to start them at the desired time.

- **For UNIX customers:** The **usorback** utility is shipped with the set-user-id and set-group-id bits on. This allows it to work properly with the Oracle tools when started by a scheduler or using the FDR/UPSTREAM Run Job facility. Make sure that the effective user who invokes the backup scripts (most likely it is the superuser root) is a member of the Oracle **dba** group (check your **/etc/group** file). Double check that the **usorback** file belongs to the **dba** group and its owner is a member of the **dba** group as well.

  In some installations the default name of the Oracle DBA group may differ from the default **dba** name. Obtain the correct Oracle DBA group name from your Oracle database administrator.

- **For Windows NT customers:** The user who runs the backup/restore scripts must be a member of the ORA_DBA group. If you are planning to run FDR/UPSTREAM or the FDR/UPSTREAM Attach Manager as a service, we recommend creating a special account for UPSTREAM and running the service under that account. See the *Transaction Program Definition for a Service* section in the *32-bit Windows* chapter for more information.

**NOTE: If usorback's owner is not a member of the dba group then usorback operations will fail with the error "Server Manager connect error: ORA-01031: insufficient privileges" or "ORA-01017: invalid username/password, login denied"**

If you created a backup schedule using **usormgr** utility or from the GUI, you will see a set of **USORA_nn.CMD** scripts.

Before running scripts, check if you have the right settings in the **usorback.cfg** file. It is strongly recommended that you specify full path names for Server Manager and SQL Plus executables, especially when you will run these scripts using a scheduler. In this case you can not be certain about your environment variables and those executables may not be in the PATH. For the same reason you should specify full file names (with path) for all files in your scripts and explicitly set at least two Oracle environment variables before calling **usorback.** For example:

```
export ORACLE_HOME=/ora01/oracle/8.1.5
export ORACLE_SID=ORCL
```

Also be sure that you created the **connstr.dat** file with the connect parameters (using either **connstr** utility or the GUI).

The following are some useful examples (check the full syntax of the **usorback** command line utility in the USORBACK section.). In all the examples the command line should be a single line.

- Full database backups.

```
/usr/lpp/orabackup/usorback profile=<backup profile> tables=all
type=file_online_serial config=/usr/lpp/orabackup/usorback.cfg
```

- Backup a subset of tablespaces and archived redo logs.

```
/usr/lpp/orabackup/usorback profile=<backup profile>
tables=@/usr/lpp/orabackup/tables.dat type=file_online redo=switch_save
config=/usr/lpp/orabackup/usorback.cfg
```

Create a **tables.dat** file in the same directory as the list of tablespaces you wish to backup. For example:

```
system, user, temp
```

**usorback** will also switch and backup all archived redo log files.

☐ Backup a subset of data files.

```
/usr/lpp/orabackup/usorback profile=<backup profile>
dbfiles=@/usr/lpp/orabackup/dbfiles.dat type=file_online
config=/usr/lpp/orabackup/usorback.cfg
```

Create a **dbfiles.dat** file in the same directory with the list of data files you want to backup, its content may look like this:

```
d:\oracle\oradata\oracle8\user01.dbf
d:\oracle\oradata\oracle8\oemrep01.dbf
d:\oracle\oradata\oracle8\rbs01.dbf
```

Remember, that if you don't specify the **redo** parameter, archived redo logs are not included in the backup as a default.

☐ Backup archived redo logs and clean up.

```
/usr/lpp/orabackup/usorback profile=<backup_profile> redo=switch_migrate
config=/usr/lpp/orabackup/usorback.cfg
```

**usorback** will switch and backup all archived redo log files and, if the backup is successful, will delete them to clear space for further archives.

In this case there will be no data files in your backup, because the default value for **tables** is **none.**

The **second step** is to prepare a USTBATCH job to invoke Oracle backups from the mainframe side if you want your Oracle backups to be mainframe initiated.

You can use the ISPF panels (option 3 – Run a PC Job from USTBATCH panel) or create batch job following the instructions in the UPSTREAM MVS manual (use ACTION 5 in the batch job). Don't specify any ULTra or LAN interface parameters. On the Command Line specify the full path to the **usorback** script (use FILES parameter in the batch job). In the job options section check only "Run job from UPSTREAM or ULTra and:", do not(!) check "Wait for job completion" (use JOBOPTIONS 1 in the batch job).

Remember that for mainframe initiated jobs to be run you have to have FDR/UPSTREAM running on the UNIX side (the FDR/UPSTREAM daemon running or you must manually start UPSTREAM). For Windows NT you have to have FDR/UPSTREAM running (either as a service or manually started), the UPSTREAM TCP/IP attach manager or SNA server running.

### 35.3.21 Parallel Backups and Multiple Databases

To perform parallel backups:

- You are not allowed to run more than one backup using the same backup profile name. Create partial backup sets (distribute data files from different physical media to different sets, if possible)

and assign different backup profile names to those sets (like ORCL1, ORCL2, etc.) so you will be able to run these backups in parallel.

- See the Running More Than One Copy of UPSTREAM chapter in the FDR/UPSTREAM Workstation Server guide for a description of other issues which must be addressed when running multiple concurrent FDR/UPSTREAM operations.

To use more than one database:

- You must set the environment variables ORACLE_HOME and ORACLE_SID for a database **before** you run the usora program (Java GUI program). It generates scripts with these environment variables explicitly defined; note that usorback and usorrest (and Oracle itself) require that these environment variables be set to point to the correct database instance.
- If you can not use the same username/password for all database instances, you have to create a separate usorback directory with a properly defined constr.dat file for each.

Parallel backups and multiple database instance backups will be more fully addressed in future releases.

This page intentionally left blank

# 36 SAP R/3 Support

## 36.1 Introduction

FDR/UPSTREAM now supplies an agent for backing up Oracle based SAP R/3 databases. Systems supported include Windows NT, AIX, Solaris and HPUX.

SAP R/3 backup agents (including UPSTREAM) are referred to as external Media Management Software (MMS).

Backups and restores are requested using SAP utilities (sapdba, brbackup, brarchive, etc.). These utilities will drive the FDR/UPSTREAM SAP backup agent to perform the actual backups and restores. Virtually all of the extended UPSTREAM functionality can be used including reporting, FDR/UPSTREAM/SOS local backups, etc.

# 36.2 Installation and Configuration

Before beginning installation of the SAP agent, you will need to have Oracle, SAP R/3, and FDR/UPSTREAM installed and operational.

For Windows NT, you should be logged in using the account setup for SAP R/3 backup. When you install UPSTREAM, you must press the **Change...** button in the Select Components dialog and check the **SAP/Oracle external MMS** option to have the necessary files installed.

For UNIX in many cases you will want to use the account setup for SAP R/3 backup. However, you must use **root** if:

- SAP R/3 is running on a raw logical or physical volume.
- You wish to use FDR/UPSTREAM/SOS.
- You regularly use root for UPSTREAM backups/restores.

For UNIX, the UPSTREAM SAP agent (backint), by default is installed with the SUID (set user ID) bit enabled. This will cause backint to run with effective users rights of the owner of backint. If this bit is set, **both** backint and UPSTREAM will run using the effective user rights of the owner of backint. Is you wish to run your backups as root or a specific user, you will need to set the owner of backint to be the user you wish to use and you will need to have the SUID bit enabled. Both of these can be displayed with an *ls -l* command. Instructions for modifying the owner and the SUID bit are in the DataTools section, of the Databases chapter of the UPSTREAM manual.

Before performing the UPSTREAM SAP installation, you will need to create a parameter file template which will be used for these backups and restores. We recommend that you use the UPSTREAM user interface, go into the backup panels and modify or create a set of parameters to meet your environment's needs. Some of the parameters you may wish to change include:

- Compression
- Reporting
- Local backup settings (particularly for FDR/UPSTREAM/SOS local backups).

Some of the parameters which have no affect include (and thus don't have to worry about the values of):

- Backup Profile (though you must specify one). Backup Profiles are further discussed below.
- File specifications (though you must specify one)
- Restart (SAP backups are never restartable).
- Backup Type (determined by the type of backup you request using SAP utilities).

When you have completed reviewing your parameters, save them to a parameter file. We recommend the name **USSAP.DAT**.

In these instructions, there are cases where you must specify something specific to your environment (such as the Oracle SID for SAP), which are also operating system environment variables. If you see a value surrounded by percent signs, such as %ORACLE_SID%, you should use the environment variable specified. For example, if your ORACLE_SID is CER, and these instructions suggest the file name init%ORACLE_SID%.utl, you will use the file name initCER.utl.

Change to the UPSTREAM directory and run the UPSTREAM SAP agent installation program **ussapins**. The ussapins installation program is only for convenience. It merely creates the file init%ORACLE_SID%.utl file. Instructions for creating this are at the end of this section.

When you run ussapins you will see the following:

```
You are about to install FDR/UPSTREAM MMS for SAP on this computer.

To avoid installation complications we recommend:
1. Log in using account prepared for SAP/Oracle database administration
   (ORACLE_SID and ORACLE_HOME environment variables must be set);
2. Run this program from FDR/UPSTREAM directory.

WARNING: Empty input in most cases will
         terminate this installation.

   Do you want to continue (Y/N)?
```

Enter '**Y**' to begin the installation. The installation program will verify that you have the ORACLE_SID and ORACLE_HOME environment variables set and if they are not, ask you their values.

It will then ask you if you are installing from the UPSTREAM directory. Since this is the recommended directory, enter '**Y**'.

You will be further prompted for:

- (Windows NT only) the drive letter where the SAP utilities are installed. Most users will enter **C:**.
- To verify the directory where SAPDBA, BRBACKUP and other SAP utilities are. Enter '**Y**' if you are satisfied with the location.
- The name of the UPSTREAM executable. Most users will press [ENTER] to accept the default.
- The name of the UPSTREAM configuration file. Most users will press [ENTER] to accept the default.
- The name of the UPSTREAM parameter file. Most users will use the default of **USSAP.DAT** (see above for instructions on creating this file).

It will then create the UPSTREAM BACKINT parameter file and report it's name (initCER.sap) for example. It will also modify the SAP parameters files of init%ORACLE_SID%.sap and init%ORACLE_SID%.dba and create backup versions of these files.

It will also copy the **backint** program (or for UNIX create a symbolic link to the copy in the UPSTREAM directory) to the directory where the SAP utilities (like SAPDBA, BRBACKUP, BRARCHIVE, etc.) reside.

Finally, it will also tell you the backup profile name for this database. If the ORACLE_SID is an acceptable backup profile name, it will be used. Otherwise, it will dynamically create a backup profile name and report it at this time. There are special considerations for host backup profile configuration, described in the next section.

### 36.2.1 Host Backup Profile Configuration

**NOTE: The Backup Profile Name you must use is your Oracle SAP database instance name.**

When the SAP utilities drive UPSTREAM to perform a backup, they actually start UPSTREAM twice:

- The first time to backup the dataspaces or redo log files.
- The second time to backup of control files and SAP logs

These two backups will generate separate files on the host. If you are using GDGs to store your backups, you must allow for this.

If you are backing up to tape, UPSTREAM will automatically take action to keep a single incremental or full from using two tapes. To facilitate this, you must create your backup profile using some specific options:

If you are configuring your backup profile from the PC:

- Check “Seq. DASD Backups Allowed” even if you are backing up to tape only. UPSTREAM will create a dummy backup to disk during the fulls (described below).
- Do not check “New Tapes for Full Merge”
- Do not check “New Tapes for Incr. Merge”
- Check “Merge Backups Allowed”

From the host ISPF panels:

- DASD: YES
- NEWTAPEF: NO
- NEWTAPEI: NO
- MERGE: YES

From the FDR/UPSTREAM USTCONFG utility:

- DASD
- NONEWTAPE=FULL
- NONEWTAPE=INCR
- MERGE=YES.

Even if you intend to backup all your database data to tape, enable DASD backups in the configuration. With this FDR/UPSTREAM configuration, BACKINT, when creating parameter file for FDR/UPSTREAM, will set HOLDTAPE=Y to prevent the backup tape from being dismounted, so the second and third (if used) backup will go to the same tape without operator intervention. FDR/UPSTREAM holds the tape for 5 minutes, so if there is more than a 5 minute delay between backups, there will be a mount request for the tape used for the first backup.

When the first backup starts, a dummy backup is run to force this backup to go to a new tape. This initialization step creates a dummy file **DUMMY.FIN** in FDR/UPSTREAM directory and performs a backup of this file to DASD.

If you use GDGs allocate 2 generations for every backup you want to keep. If you do not use GDGs, keep in mind that FDR/UPSTREAM maintenance utility does not delete data sets on DASD and does not release tapes with deleted backups.

If you send your backups to DASD, there is no initialization step and no dummy backups.

# 36.3 Backups and Restores

Backups and restores of SAP/Oracle databases are run using from SAP utilities. You can use their full featured database administration program **sapdba** or call the command line utilities **brbackup**, **brarchive** or **brrestore** directly to perform appropriate backup/restore operations.

Most users will use the command line utilities for backups as they work best for automation. They are fully described in the *SAP Database Administration Guide for Oracle* and you should refer to that document for a detailed description of the utilities:

- brbackup: The SAP brbackup tool allows an online or offline backup of the control file, of data files in individual or all tablespaces and if necessary of the online redo log files. This is analogous to full backups. Moreover, brbackup saves the profiles and logs relevant for the backup. Note that brbackup is not the appropriate tool to backup complete files systems (i.e. A lot of small files and directories).
- brarchive: The SAP tool brarchive allows you to archive the offline redo log files that are the online redo log files saved to the archiving directory by Oracle. This is analogous to an incremental backup.
- brrestore: Restores backups generated by brbackup and brarchive.

These utilities will prompt you a number of times to confirm the operation they are about to perform, depending on the operation you requested and the state of your database instance. When you feel comfortable with the way the utilities work and decide on how and when you want your backups run (full or partial, online or offline, backup of data tables or redo logs), you can start automating your backups.

### 36.3.1 Automating your backups

There are two steps to automate your SAP database backups using FDR/UPSTREAM:

- 1. Create a set of scripts using the **brbackup** or **brarchive** utilities to automate the backup execution process.
- 2. Determine which side (host or PC/UNIX) will be performing the backups and appropriately schedule them.

You must create a script which calls the **brbackup** or **brarchive** utilities with the appropriate set of parameters. Since these utilities request user input for confirmation (in some cases even more than once), you will need a separate file (a response file) which contains the input expected. Input redirection, which is specified with the less-than sign ('<') is used to redirect the response file to the SAP utility. Most likely you will have to create a set of simple scripts for different types of backups.

For example, to perform full offline backup in Windows NT you would create two text files: a script file **sapfull.cmd** with the utility call and the response file **sapfull.rsp).**

**sapfull.cmd** would contain:

```
brbackup -u CER/system -d util_file -t offline -m all<sapfull.rsp
```

In this command, you have specified the command line options:

- **-u CER/system**: -u is the option to specify the oracle user name and password. In this case, it is the user *CER* and the password *system*.

- **-d util_file**: -d is the device specification. *util_file* specifies a 3rd party MMS. You must specify this option.
- **-t offline**: This is an *offline* backup. *online* backups are also supported.
- **-m all**: -m specifies the dataspaces, files, etc. to be included in the backup. *all* indicates a complete backup. One of their samples is PSAPBTABD.

We recommend that you create a test these backups manually, noting the user input required. In the example above, SAP asks: *Please enter 'cont' to continue, 'stop' to cancel the program:*

Thus, **sapfull.rsp** would contain:

```
cont
```

An example brarchive incremental might include two text files **sapincr.cmd** and **sapincr.rsp**. **sapincr.cmd** would be:

```
brarchive -u CER/system -d util_file -s<sapincr.rsp
```

In this command, you have specified the command line options:

- **-u CER/system**: -u is the option to specify the oracle user name and password. In this case, it is the user *CER* and the password *system*.
- **-d util_file**: -d is the device specification. *util_file* specifies a 3rd party MMS. You must specify this option.
- **-s**: Specifies that this is a backup of offline redo log files.

Again, we recommend that you create a test these backups manually, noting the user input required. In the example above, SAP asks: *Please enter 'cont' to continue, 'stop' to cancel the program:*

Thus, **sapincr.rsp** would contain:

```
cont
```

To execute your scripts depends on which side initiates (starts) the backups:

- ☐ *PC/UNIX initiated backups.* You can use any available scheduler (including the one shipped with FDR/UPSTREAM) to start a backup script

- ☐ *Host initiated backups.* You can initiate your database backups from the mainframe side using FDR/UPSTREAM's ability to run jobs on the target machine. In this case after you prepare a set of non-interactive scripts, prepare and schedule FDR/UPSTREAM mainframe batch jobs to start these scripts at the desired time.

  Note that the host request is to run a job not a backup. The backup will run and complete before the job terminates.

  All SAP utility programs return program return codes. These are:

  - 0: Successful backup/restore
  - 1: Backup/restore ended with warnings. All files were backed up/restored.
  - 2: Backup/restore canceled during the initialization phase by a user or other signal.
  - 3: Errors occurred during the initialization phase by a user or other signal.
  - 4: Backup/restore was canceled by a user or other signal during processing.
  - 5: Backup/restore was started, but not completed because errors occurred during processing.
  - 6: Internal termination of backup/restore.

  If you host initiate your backups, we recommend that you use the default JOBRETURNCODEMAP which will map all non-zero return codes to 8 which can be used to indicate that the backup did not run successfully.

### 36.3.2 Restores

Both **brrestore** and **sapdba** can be used for restores. However, **sapdba** is the recommended tool as it will walk you through the steps of a complete and partial database restore and recovery.

The actual restore is only one of many steps in SAP database recovery. Thus we recommend that you read carefully the *BC SAP Database Administration Guide* for a description of the restore procedures.

If you wish you can use **brrestore** to restore data files, control files and online redo log files saved by the brbackup/brarchive utilities. You can specify files, tablespaces, complete backups, and specific log sequence numbers of redo log files to be restored. The brrestore program automatically identifies the correct backup and files needed for the restore. brrestore checks whether the required free disk space is available to allow the files to be restored and restores the directory and link structure automatically.

Before you start brrestore, take your database instance down. You can not restore into an active database.

For example, if you wished to perform a complete restore you would enter:

```
brrestore -d util_file -b last -m all
```

### 36.3.3 Problems

BACKINT creates a log, **backint.log** in the directory **backint** was run from. In this file **backint** logs the time and the parameters it was started with, the set of files to be backed up/restored, the parameters FDR/UPSTREAM is started with, and the results of the FDR/UPSTREAM operation. In case of an error in FDR/UPSTREAM it is always recommended to check FDR/.UPSTREAM log on both PC and mainframe sides. If there are any problems, you should check this file as well as the UPSTREAM client and host log files.

If a tape backup failed, check **backint.log** for message: “Finalizing was successful”. If it is not there, the tape will remain mounted for up to 5 minutes and subsequent backups will be appended to this tape. To release the tape and allow subsequent backups to use a new tape, you should attempt to remedy any errors which are logged in the backint.log file or the UPSTREAM logs. Then you can manually run a non-merge backup of the **DUMMY.FIN** file (or any file for that matter) using your Oracle database instance name (or its alias if required) as a backup profile name.

Note that UPSTREAM can not support file names greater than 230 characters.

SAP itself also keeps track of all the backup/restore operations performed:

- brbackup logs are stored in the */oracle/%ORACLE_SID%/sapbackup* directory. The file *back%ORACLE_SID%.log* keeps the list of individual backup logs listing dates and return codes.
- brrestore stores its logs in the same directory (*oracle/%ORACLE_SID%/sapbackup*), but there is no cumulative log for restores.
- brarchive logs are stored in the */oracle%ORACLE_SID%/saparch* directory. *arch%ORACLE_SID%.log* keeps the list of all redo logs which have been backed up with the date/time, brachive log name and some additional information.

### 36.3.4 Internals

This sections describes the format of a number of files used by the UPSTREAM BACKINT agent.

The format of the init%ORACLE_SID%.utl (initSAP.utl) file is:

```
UPSTREAM=<FDR/UPSTREAM executable>  /* full name with the path if not in PATH
*/
USPATH=<full path to UPSTREAM directory>
CONFIGFILE=<UPSTREAM configuration file>
PARAMETER=<UPSTREAM parameter file>
[PIPE = <unique name>]        /*  NamedPipe name to be used to
                      communicate with UPSTREAM.
                      In UNIX environment it must point to
                      an existing directory.
                      Optional parameter. The default is:
                  <UPSTREAM-directory>/BACKINT.*/
[TRACE]                 /* activates tracing, use ONLY when
                     advised by FDR/UPSTREAM tech support */
```

**sapinst** updates two SAP profile files. They can be found in **%ORACLE_HOME%\database** (Windows NT) or **%ORACLE_HOME%/dbs** (UNIX) directory.

In SAP backup profile file **init%ORACLE_SID%.sap sapinst** sets these two parameters:

- **Util_par_file=<initSAP.utl** path> This is the full path (including drive letter) of BACKINT parameter file created in the install.
- **backup_dev_type=util_file**

The original (unchanged) version **init%ORACLE_SID%.sap** is copied to the same location with the extension **.sa1**(or **.sa***n*, where *n* is the next available number, so up to 9 previous versions of this file are preserved).

In SAP profile file **init%ORACLE_SID%.dba sapinst** sets the parameter:

- **Backup_util_name=FDR/UPSTREAM** This name will appear in all SAP reports.

The original (unchanged) version **init%ORACLE_SID%.dba** is copied to the same location with the extension **.db1**(or **.db***n*, where *n* is the next available number, so up to 9 previous versions of this file are preserved).

SAP defines that the database ID (**%ORACLE_SID%**) can be of up to 16 characters. Since this is a fundamental name, FDR/UPSTREAM attempts to use it as the backup profile name.

Backup Profile names are limited to 8 alpha-numeric and national ( @,#,$ ) characters. If installation program sees that **%ORACLE_SID%** is longer than 8 characters or contains invalid characters, it prompts for an alias to be used as an FDR/UPSTREAM backup profile. When you supply the installation with the valid alias, it stores the **%ORACLE_SID%** and the given alias in the **sapalias.dat** file in the FDR/UPSTREAM directory. BACKINT will use that file to substitute the database ID obtained from SAP utilities with its alias each time it invokes FDR/UPSTREAM. Your backup profile name is the alias defined in this file; for example USALIAS1.

The format of **sapalias.dat** file is:

```
/* Computer generated file, DO NOT EDIT!/* Comment lines start with "/*" */
ORACLE_DATABASE_ID&1                /* Original Oracle database ID */
USALIAS1                            /* The name FDR/UPSTREAM will use */
…                                   /* More pairs of NAME - ALIAS */
ORACLE_DATABASE_ID&n                /* Original Oracle database ID */
USALIASn                            /* The name FDR/UPSTREAM will use. */
```

If for any reason you need to edit **sapalias.dat** file, be very careful, because BACKINT doesn't verify information it reads from that file. Wrong values supplied for database ID and alias may cause backups to fail or destroy your previous backups.

# 37 Errors

## 37.1. Overview

Communications packages, by their very nature, generate a large number of text messages, return codes, and the like. FDR/UPSTREAM provides superior message handling allowing you to understand and solve whatever problems occur.

Many of the FDR/UPSTREAM messages that are reported are accompanied by TCP/IP return codes, operating system messages an more. If you have purchased a communications product from a vendor other than Innovation Data Processing, you should have their manual available to the system administrator for problem determination.

This section discusses how messages are displayed, how they are stored, and what they mean.

Note that the FDR/UPSTREAM messages are in the next chapter.

# 37.2. Problem Reporting

Our customers are very important to us. Our goal is always to provide prompt and courteous service.

Should you have any questions regarding the installation, implementation, or use of the FDR/UPSTREAM program product, please feel free to contact Innovation Data Processing Technical Support group listed below.

In the event you are having difficulty with FDR/UPSTREAM, please retain all the error information you can gather and contact the Innovation Data Processing Technical Support group listed below as soon as possible. We will make every effort to resolve the difficulty in the shortest possible time.

**Innovation Data Processing, Technical Support**
**275 Paterson Ave.**
**Little Falls, NJ 07424**

**Phone: (973) 890-7300**
**Fax: (973) 812-7384**
**FTP: ftp.fdrinnovation.com (register on www.innovationdp.com)**

# 37.3. How Messages are Displayed

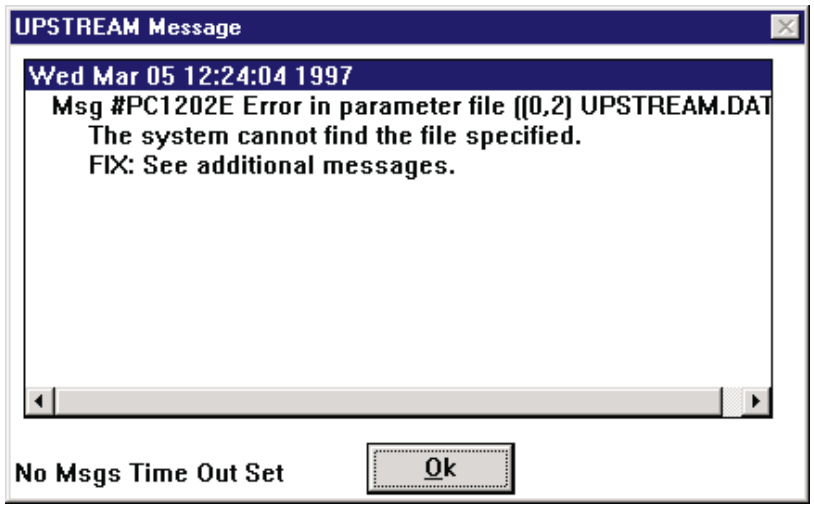
Messages generated in the FDR/UPSTREAM program (US.EXE) and the configurator (USCFG.EXE) are displayed as message dialogs with two buttons, <Ok> and <Hold>. When you press the <Ok> button, the message window goes away, when you press the <Hold> button any messages time-out is ignored and the message remains on the window until you press the <Ok> button.

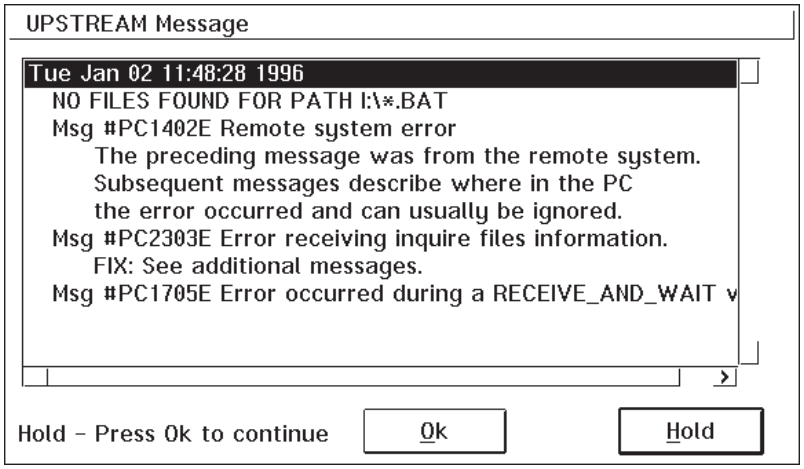
The message dialog can also go away after a given amount of time if you specified a Messages Time Out in the FDR/UPSTREAM configurator. The amount of time remaining is displayed in the lower left corner of the message dialog; if you do not specify a Messages Time Out then *No Msgs Time Out Set* is displayed. You can also disable messages entirely (though this is not recommended - it can be confusing when using in an attended mode) with a Messages Time Out value of -1.

UPSTREAM displays a Messages list box in the status dialog for backups, restores, file transfers and physical disk backups/restores. The standard UPSTREAM Message window is not displayed; messages are written directly to this list box. This allows you to be able to view prior messages while the operation is in progress with very little performance overhead. Thus “Messages Time Out” is much less important during these operations as it’s only used during setup and completion. We still recommend that it be set to a non-zero value (so that message windows get timed out), but it can be higher (for example, 30 seconds). If you are running in attended mode the UPSTREAM log viewer will be automatically displayed if a backup or restore fails.

There is a new parameter in the UPSTREAM Configurator/Advanced options: **Max Status Msg Lines.** Since list boxes in many environments can not contain a very large number of lines, you specify here the maximum number of lines in the list before the oldest messages are removed. You can specify 0 to indicate no limit (not recommended) or -1 to suppress screen logging (which may improve speed slightly). The default is 500 and the configuration parameter is MAXSTATUSMSGLINES.

Messages may come from two different sources: FDR/UPSTREAM locally and FDR/UPSTREAM MVS. Figures below shows a message from FDR/UPSTREAM workstation/server and a message generated by FDR/UPSTREAM MVS and displayed by FDR/UPSTREAM locally. Note that the host message is in upper case and that it is always accompanied by workstation/server message #1402.






Both of the figures above show FDR/UPSTREAM displaying more than one message at a time in a single message window. This allows you to know as much as possible about the environment to assist you in your problem determination.

Messages are truncated to fit in 55 characters for display and transmission to the host. The full message is written to the log.

# 37.4. The Message Log

The message log is a file where significant system messages are written. These messages may or may not have been written to the screen.

The message log file is a standard text file, and you can display it, print it, edit it or read it with standard text file programs (TYPE, PRINT, EDIT, etc.). The name of the log is configurable in the configurator. The default name and directory is:

```
C:\UPSTREAM\UPSTREAM.LOG
```

The figure below shows an example of messages in the message log.

```
Mon Jun 17 15:25:41 1991
   Msg #PC2050I Backup started
Mon Jun 17 15:26:10 1991
   Msg #PC2051D Backup successful
   5 files 7988 bytes 469 chars/sec
Wed Jun 19 12:08:07 1991
   Msg #PC2150I Restore started
Wed Jun 19 12:08:12 1991
   WORKSTATION NAME FAILED CONFIGURATION VERIFICATION
   Msg #PC1402E Remote system error
        The preceding message was from the remote system.
        Subsequent messages describe where in the PC
        the error occurred and can usually be ignored.
   Msg #PC2102E Error occurred during a restore receive descript
   Msg #PC1705E Error occurred during a RECEIVE_AND_WAIT verb
Wed Jun 19 12:08:42 1991
   Msg #PC2153I Restore failed
```

**Sample Message Log (UPSTREAM.LOG)**

If there is an error writing to log file during the backup, UPSTREAM will retry for 5 seconds and then write those messages (along with the reason that it couldn't write to the log file) to an alternate log file UPSERR.ERR. This process is repeated for subsequent errors writing to the log file.

If UPSTREAM can't write to UPSERR.ERR, a MessageBox is displayed which shows the message file error number for the location of the failure.

Error and Warning messages are also sent to the host if there is an active conversation. You can also send the detail for reported messages to the host (rather than just the first line) for backups by setting the parameter **SENDHOSTDETAILS** to Y. Additional message text from the message file is not sent. You can set this from the "More..." dialog, by checking **Send message details to host**. The default is not checked.

# 37.5. USLOGCLR (Clearing the Log)

USLOGCLR is a program distributed with FDR/UPSTREAM which helps you maintain the message log (UPSTREAM.LOG, USSTART.LOG and USNDS.LOG) and the report files. Since the message log and the report files are standard text files, it will grow forever as messages continue to be added. Therefore, Innovation Data Processing distributes a program which shrinks the log and keeps it to a manageable size.

USLOGCLR allows you to reduce the size of the log by the number of days worth of log information that you wish to maintain. You run USLOGCLR from the command line with command line parameters. We recommend that you add USLOGCLR to your AUTOEXEC.BAT before the call the USSTART.

The syntax for USLOGCLR is:

```
USLOGCLR <Number of days old> <Log file name>
```

Where:

- **<Number of days old>** is a number from 0 to 32767 which indicates that entries which have been in the log longer than these number of days will be purged.
- **<Log file name>** is an optional parameter which is the name of the log or report file to clean. If you do not specify anything, the default of UPSTREAM.LOG is used.

If you run USLOGCLR.EXE without any parameters it displays a brief description of its calling conventions.

For example, if you wish to clean out all but the last 14 days of information from the default log, you would run USLOGCLR as follows:

```
USLOGCLR 14
```

As USLOGCLR runs, it writes the log entries it wishes to save to a temporary file, and then deletes the original log and renames the temporary file. Therefore, it is required that you have a certain amount of free disk space.

USLOGCLR writes to the screen the number of lines removed and the number of lines remaining. This information can be redirected with standard DOS redirection if you do not wish any display.

USLOGCLR is available for all operating systems UPSTREAM runs on.

# 37.6. The Message File

Almost all FDR/UPSTREAM messages for both the configurator (USCFG.EXE) and the FDR/UPSTREAM program (US.EXE) are stored in the message file. The default name for the message file is UPSTREAM.MSG and you can change the name in the advanced configurator.

The message file is a standard DOS text file which can be viewed, printed or edited with standard DOS facilities (TYPE, PRINT, EDLIN, etc.). The format for messages in the log is:

```
[NUMBER][SEVERITY] [TEXT]
   [ADDITIONAL TEXT]
   ...
   [ADDITIONAL TEXT]
{ NEXT MESSAGE }
```

Where:

- **NUMBER:** The message number is a 4 digit number that the programs will look for when they have a particular message to log.
- **SEVERITY:** The severity is a single letter indicating what to do with the message. If the severity is a lower case letter and the message is displayed, then the message will not time out regardless of the Message Time Limit setting. There are several severities which include:
  - I: Informational message. These messages are only written to the log. They are not displayed or sent to the remote system. An example is a message indicating that there was an error during the restore and the message was already displayed.
  - N: Display but don't log. These messages are only displayed on the screen. They are not written to the log or sent to the remote system. Examples are configurator messages which do not need to be written to the log.
  - D: Display and log. These messages are displayed and written to the log but not sent to the remote system. Examples include statistics messages after transfers are complete.
  - W: Warning. These messages indicate that a significant, but not necessarily fatal event, has occurred. These messages are logged, displayed and sent to the remote system. An example would be during a restarted backup, when the backup must restart from the beginning. This is significant, but the operation can continue.
  - E: Error. These messages indicate that an error has occurred. These are usually (but not always) fatal. These messages are logged, displayed and sent to the remote system. Examples would be file errors during a backup.
- **TEXT:** This is text describing the message.
- **ADDITIONAL TEXT:** Any additional lines of text you wish logged or displayed (up to a total for the window of 10 lines). Each line of text must have a character in column 1.

There are several advantages with this scheme:

- You can change the message text. If you wish to customize the messages for your installation you can. This also simplifies foreign language issues.
- You can change the message severity. For example, if you wished that statistics not be displayed to users, you could change the severity from 'D' to 'I'.
- You can find messages in your message file. If you are performing problem determination for a user, you can use your text editor to find the message associated with a message number in your copy of the message file.
- Program size is decreased. FDR/UPSTREAM does not contain the text for these messages in its code.

If the message file is not found, or there is an error reading the message file, the message is handled as if it had severity 'E', and you will see a message logged in place of the normal text which can include:

☐ Error reading msg file: <error text>

This means that there was a file error reading through the message file. The file error text describes the DOS error.

☐ Message not found.

The message requested by FDR/UPSTREAM was not found in the message file.

☐ Error opening msg file: <error text>

There was an error when FDR/UPSTREAM attempted to open the file to log a message. The file error text describes the error encountered.

☐ Message file not defined

This means that the message file name was not defined or removed from the advanced configurator.

If you choose to modify the message file, remember the following guidelines:

- Each message can be no more than 56 characters long.
- There can be no more than 10 lines of message text in a message window. The safest route is to not add any more additional message text than exists currently.
- New messages begin with a number in column 1.
- The end of each message's additional text is denoted with a non-blank in column 1.

# 37.7. DOS Memory

DOS, with its 640K limitation, is inherently always short of memory. FDR/UPSTREAM with its complex functionality is also a large memory consumer, so you may run into memory errors.

The FDR/UPSTREAM program, US.EXE (it was known as USOVL.EXE in prior versions) takes advantage of extended memory if an extended memory manager is loaded (such as HIMEM.SYS). You should run FDR/UPSTREAM in an environment with at least 1MB of extended memory on a 286 PC or higher to take advantage of this facility. If you need to run FDR/UPSTREAM on a 8088 PC or have no extended memory on your PC, contact Innovation Data Processing for a special version of FDR/UPSTREAM.

FDR/UPSTREAM's unattended operations program USSTART unloads other applications currently running which will help in freeing memory. Remember that when testing with FDR/UPSTREAM that you should have as much memory as possible free as USSTART will unload other applications in unattended mode.

Memory errors come in two types: FDR/UPSTREAM message boxes explaining that there was a problem performing a function due to a memory shortage, or a window system message beeping and indicating "Out of memory". For the latter, it is recommended that you reboot your machine and work on how to reduce FDR/UPSTREAM's consumption of memory.

FDR/UPSTREAM can take less memory by any of the following methods:

- Use the command line version of FDR/UPSTREAM (see below).
- Reduce the record size. There must be a buffer in memory to hold the record.
- Turn off compression. When compression is requested, FDR/UPSTREAM allocates TWO buffers, of the size of the record size.
- Reduce the number of file specs. Each file spec takes a certain amount of memory.
- In your APPC, reduce the pacing counts and RU sizes. For APPC/PC this will allow you to reduce the workspace size.

DOS version 5 (and above) and memory managers will help you increase the amount of memory available within the DOS memory space.

### 37.7.1. USCMD.EXE

USCMD.EXE is a separate version of FDR/UPSTREAM. This version does not have any user interface at all. Thus is it only suitable for host initiated requests or other unattended operations. Besides reducing memory requirements, you may also choose to use it if you do not want your users performing attended operations. USCMD is available on request for most operating system versions of FDR/UPSTREAM (UNIX, Windows NT, etc.).

To use it you must either:

- Request that it wait for a host initiated request. You can use the default RMTPARM.DAT parameter file, but first must modify it to specify a REMOTETIMEOUT=0 to keep it from automatically terminating.

- Specify its functions entirely on the command line. This is usually best performed by using US.EXE to build a parameter file (unchecking the ATTENDED option) and then specifying the parameter file on the command line to USCMD.

The default distribution uses a batch file U.BAT which then calls US.EXE. You must either modify U.BAT to call USCMD.EXE directly, or rename USCMD.EXE to US.EXE.

# 37.8. TCP/IP Messages

TCP/IP Messages are displayed as FDR/UPSTREAM messages #4800-4899 - see the next chapter for a listing of these and all other FDR/UPSTREAM messages.

Since each TCP/IP implementation uses different message numbers, FDR/UPSTREAM maps these messages into the UNIX ‘E’ messages and then to FDR/UPSTREAM messages in the above range.

For details about remedies for a specific message you will need to see your TCP/IP documentation. These messages are also briefly described in the *Performance* chapter.

# 37.9. Operating System Messages

Operating system messages are those messages which the operating system reports when an action is attempted that fails, usually file access. These messages can be reported in two ways.

- US.EXE, USCFG.EXE and ULTRA.EXE will attempt to find the error text associated with the message and display the text as an additional message. If the text is unknown, then the error number itself is displayed.
- USSTART.EXE reports ALL operating system errors with the number alone.

OS/2 operating system numbers can be interpreted by entering from an OS/2 Window or Full screen:

```
HELP SYS<number>
```

Windows NT operating system numbers can be interpreted by entering from a DOS window:

```
NET HELPMSG <number>
```

If the text is not displayed or you have questions concerning message interpretation call FDR/UPSTREAM technical support for assistance.

# 37.10. Novell Errors

Novell return codes are reported when FDR/UPSTREAM attempts to perform some specific Novell function (retrieve or set non-file data, login to a server etc.). FDR/UPSTREAM will specifically denote the return code as a Novell return code.

Novell return codes are reported as hexadecimal values whose meanings are described below (the decimal value is in parens next to the hexadecimal values). In some cases there are more than one meanings for a particular return code. Often the context (the function being performed) will point you to the meaning. Contact Innovation Technical Support for any questions you may have concerning these return codes.

Requester/Shell Errors

| Hex. | Dec. | Constant | Desc. |
|---|---|---|---|
| 0x8800 | 0 | SHELL_ERROR | |
| | | VLM_ERROR | |
| | | ALREADY_ATTACHED | Attach attempted to server with valid, existing connection |
| 0x8801 | 1 | INVALID_CONNECTION | Request attempted with invalid or nonattached connection handle |
| 0x8802 | 2 | DRIVE_IN_USE | OS/2 only (NOT USED) |
| 0x8803 | 3 | CANT_ADD_CDS | Map drive attempted but unable to add new current directory structure |
| 0x8804 | 4 | BAD_DRIVE_BASE | Map drive attempted with invalid path specification |
| 0x8805 | 5 | NET_READ_ERROR | Attempt to receive from the selected transport failed |
| | | NET_RECV_ERROR | Attempt to receive from the selected transport failed |
| 0x8806 | 6 | UNKNOWN_NET_ERROR | Network send attempted with a nonspecific network error |
| 0x8807 | 7 | SERVER_INVALID_SLOT | Server request attempted with invalid server connection slot |
| | | BAD_SERVER_SLOT | Server request attempted with invalid server connection slot |
| 0x8808 | 8 | NO_SERVER_SLOTS | Attach attempted to server with no connection slots available |
| 0x8809 | 9 | NET_WRITE_ERROR | Attempt to send on the selected transport failed |
| | | NET_SEND_ERROR | Attempt to send on the selected transport failed |
| | | CONNECTION_IN_ERROR_STATE | Client-32 |
| 0x880A | 10 | SERVER_NO_ROUTE | Attempted to find route to server where no route exists |
| 0x880B | 11 | BAD_LOCAL_TARGET | OS/2 only |
| 0x880C | 12 | TOO_MANY_REQ_FRAGS | Attempted request with too many request fragments specified |

| | | | |
|---|---|---|---|
| 0x880D | 13 | CONNECT_LIST_OVERFLOW | Too many connections to fit in the list size specified |
| 0x880E | 14 | BUFFER_OVERFLOW | Attempt to receive more data than the reply buffer had room for |
| 0x880F | 15 | NO_CONN_TO_SERVER | Attempt to get connection for a server not connected |
| | | NO_CONNECTION_TO_SERVER | Attempt to get connection for a server not connected |
| 0x8810 | 16 | NO_ROUTER_FOUND | OS/2 only |
| 0x8811 | 17 | BAD_FUNC_ERROR | Attempted function to non-existent or illegal function |
| | | INVALID_SHELL_CALL | Attempted function to non-existent or illegal function |
| 0x8812 | 18 | SCAN_COMPLETE | |
| | | LIP_RESIZE_ERROR | Client-32 |
| 0x8813 | 19 | UNSUPPORTED_NAME_FORMAT_TYPE | |
| | | INVALID_DIR_HANDLE | Client-32 |
| 0x8814 | 20 | HANDLE_ALREADY_LICENSED | |
| | | OUT_OF_CLIENT_MEMORY | Client-32 |
| 0x8815 | 21 | HANDLE_ALREADY_UNLICENSED | |
| | | PATH_NOT_OURS | Client-32 |
| 0x0816 | 22 | INVALID_NCP_PACKET_LENGTH | |
| | | PATH_IS_PRINT_DEVICE | Client-32 |
| 0x8817 | 23 | SETTING_UP_TIMEOUT | |
| | | PATH_IS_EXCLUDED_DEVICE | Client-32 |
| 0x8818 | 24 | SETTING_SIGNALS | |
| | | PATH_IS_INVALID | Client-32 |
| 0x8819 | 25 | SERVER_CONNECTION_LOST | |
| | | NOT_SAME_DEVICE | Client-32 |
| 0x881A | 26 | OUT_OF_HEAP_SPACE | |
| 0x881B | 27 | INVALID_SERVICE_REQUEST | |
| | | INVALID_SEARCH_HANDLE | Client-32 |
| 0x881C | 28 | INVALID_TASK_NUMBER | |
| | | INVALID_DEVICE_HANDLE | Client-32 |
| 0x881D | 29 | INVALID_MESSAGE_LENGTH | |
| | | INVALID_SEM_HANDLE | Client-32 |
| 0x881E | 30 | EA_SCAN_DONE | |
| | | INVALID_CFG_HANDLE | Client-32 |
| 0x881F | 31 | BAD_CONNECTION_NUMBER | |
| | | INVALID_MOD_HANDLE | Client-32 |
| 0x8820 | 32 | ASYN_FIRST_PASS | |
| | | NWE_MULT_TREES_NOT_SUPPORTED | Attempted to open a connection to a DS tree other than the default tree. |
| 0x8821 | 33 | INVALID_DEVICE_INDEX | |

| | | | |
|---|---|---|---|
| 0x8822 | 34 | INVALID_CONN_HANDLE | |
| 0x8823 | 35 | INVALID_QUEUE_ID | |
| 0x8824 | 36 | INVALID_PDEVICE_HANDLE | |
| 0x8825 | 37 | INVALID_JOB_HANDLE | |
| 0x8826 | 38 | INVALID_ELEMENT_ID | |
| 0x8827 | 39 | ALIAS_NOT_FOUND | |
| 0x8828 | 40 | RESOURCE_SUSPENDED | |
| 0x8829 | 41 | INVALID_QUEUE_SPECIFIED | |
| 0x882A | 42 | DEVICE_ALREADY_OPEN | |
| 0x882B | 43 | JOB_ALREADY_OPEN | |
| 0x882C | 44 | QUEUE_NAME_ID_MISMATCH | |
| 0x882D | 45 | JOB_ALREADY_STARTED | |
| 0x882E | 46 | SPECT_DAA_TYPE_NOT_SUPPORTED | |
| 0x882F | 47 | INVALID_ENVIR_HANDLE | |
| 0x8830 | 48 | NOT_SAME_CONNECTION | Internal server request attempted across different server connections |
| 0x8831 | 49 | PRIMARY_CONNECTION_NOT_ SET | Attempt to retrieve default connection with no primary connection set |
| | | NO_PRIMARY_SET | Attempt to retrieve default connection with no primary connection set |
| 0x8832 | 50 | NO_CAPTURE_SET | Capture information requested on port with no capture in progress |
| | | NO_CAPTURE_IN_PROGRESS | Capture information requested on port with no capture in progress |
| 0x8833 | 51 | BAD_BUFFER_LENGTH | len requested on a GetDNC or SetDNC was too large. |
| | | INVALID_BUFFER_LENGTH | len requested on a GetDNC or SetDNC was too large. |
| 0x8834 | 52 | NO_USER_NAME | |
| 0x8835 | 53 | NO_NETWARE_PRINT_SPOOLER | Capture requested without local print spooler installed. |
| 0x8836 | 54 | INVALID_PARAMETER | Attempted function with invalid function parameter specified. |
| 0x8837 | 55 | CONFIG_FILE_OPEN_FAILED | OS/2 only. |
| 0x8838 | 56 | NO_CONFIG_FILE | OS/2 only. |
| 0x8839 | 57 | CONFIG_FILE_READ_FAILED | OS/2 only. |
| 0x883A | 58 | CONFIG_LINE_TOO_LONG | OS/2 only. |
| 0x883B | 59 | CONFIG_LINES_IGNORED | OS/2 only. |
| 0x883C | 60 | NOT_MY_RESOURCE | Attempted request made with a parameter using foreign resource. |
| 0x883D | 61 | DAEMON_INSTALLED | OS/2 only. |
| 0x883E | 62 | SPOOLER_INSTALLED | Attempted load of print spooler with print spooler already installed. |
| 0x883F | 63 | CONN_TABLE_FULL | Tried to alloc a connection handle with no more local connection table entries. |

| | | | |
|---|---|---|---|
| | | CONNECTION_TABLE_FULL | Tried to alloc a connection handle with no more local connection table entries. |
| 0x8840 | 64 | CONFIG_SECTION_NOT_FOUND | OS/2 only. |
| 0x8841 | 65 | BAD_TRAN_TYPE | Attempted function on a connection with an invalid transport selected. |
| 0x8841 | 65 | INVALID_TRANSPORT_TYPE | Attempted function on a connection with an invalid transport selected. |
| 0x8842 | 66 | TDS_TAG_IN_USE | OS/2 only. |
| 0x8843 | 67 | TDS_OUT_OF_MEMORY | OS/2 only. |
| 0x8844 | 68 | TDS_INVALID_TAG | Attempted TDS function with invalid tag. |
| 0x8845 | 69 | TDS_WRITE_TRUNCATED | Attempted TDS write with buffer that exceeded buffer. |
| 0x8846 | 70 | NO_DIRECTORY_SERVICE_ CONNECTION | |
| | | SERVICE_BUSY | Attempted request to a busy, partially asynchronous function. |
| 0x8847 | 71 | NO_SERVER_ERROR | Attempted connect failed to find any servers responding. |
| 0x8848 | 72 | BAD_VLM_ERROR | Attempted function to nonexistent or not-loaded overlay. |
| 0x8849 | 73 | NETWORK_DRIVE_IN_USE | Attempted map to network drive already mapped. |
| 0x884A | 74 | LOCAL_DRIVE_IN_USE | Attempted map to local drive already in use. |
| 0x884B | 75 | NO_DRIVES_AVAILABLE | Attempted map to next available drive when none available. |
| 0x884C | 76 | DEVICE_NOT_REDIRECTED | The device is not redirected. |
| 0x884D | 77 | NO_MORE_SFT_ENTRIES | Maximum number of files was reached. |
| 0x884E | 78 | UNLOAD_ERROR | Attempted unload failed. |
| 0x884F | 79 | IN_USE_ERROR | Attempted re-use of already in use connection entry. |
| 0x8850 | 80 | TOO_MANY_REP_FRAGS | Attempted request with too many reply fragments specified. |
| 0x8851 | 81 | TABLE_FULL | Attempted to add a name into the name table after it was full. |
| 0x8852 | 82 | SOCKET_NOT_OPEN | Listen was posted on unopened socket. |
| 0x8853 | 83 | MEM_MGR_ERROR | Attempted enhanced memory operation failed. |
| 0x8854 | 84 | SFT3_ERROR | An SFT III switch occurred mid-transfer. |
| 0x8855 | 85 | PREFERRED_NOT_FOUND | Preferred directory server not established, but another directory server was returned. |
| 0x8856 | 86 | DEVICE_NOT_RECOGNIZED | Determine if the device is not used by VLM application; pass to next redirector, if any. |
| 0x8857 | 87 | BAD_NET_TYPE | The network type (Bindery or Directory Services) does not match the server version. |
| 0x8858 | 88 | ERROR_OPENING_FILE | Generic open failure error, invalid path, access denied, etc. |
| 0x8859 | 89 | NO_PREFERRED_SPECIFIED | No preferred name specified. |
| 0x885A | 90 | ERROR_OPENING_SOCKET<br>REQUESTER_FAILURE | Error opening a socket.<br>Client-32 |
| 0x885B | 91 | RESOURCE_ACCESS_DENIED | Client-32 |
| 0x8861 | 97 | SIGNATURE_LEVEL_CONFLICT | |

| | | | |
|---|---|---|---|
| 0x8862 | 98 | NO_LOCK_FOUND | OS/2 - process lock on conn handle failed, process ID not recognized |
| 0x8863 | 99 | LOCK_TABLE_FULL | OS/2 - process lock on conn handle failed, process lock table full |
| 0x8864 | 100 | INVALID_MATCH_DATA | |
| 0x8865 | 101 | MATCH_FAILED | |
| 0x8866 | 102 | NO_MORE_ENTRIES | |
| 0x8867 | 103 | INSUFFICIENT_RESOURCES | |
| 0x8868 | 104 | STRING_TRANSLATION<br>STRING_TRANSLATION_NEEDED | <br>Client-32 |
| 0x8869 | 105 | ACCESS_VIOLATION | |
| 0x886A | 106 | NOT_AUTHENTICATED | |
| 0x886B | 107 | INVALID_LEVEL | |
| 0x886C | 108 | RESOURCE_LOCK_ERROR | |
| 0x886D | 109 | INVALID_NAME_FORMAT | |
| 0x886E | 110 | OBJECT_EXISTS | |
| 0x886F | 111 | OBJECT_NOT_FOUND | |
| 0x8870 | 112 | UNSUPPORTED_TRAN_TYPE | |
| 0x8871 | 113 | INVALID_STRING_TYPE | |
| 0x8872 | 114 | INVALID_OWNER | |
| 0x8873 | 115 | UNSUPPORTED_AUTHENTICATOR | |
| 0x8874 | 116 | IO_PENDING | |
| 0x8875 | 117 | INVALID_DRIVE_NUM | |
| 0x8880 | 128 | SVC_ALREADY_REGISTERED | |
| 0x8881 | 129 | SVC_REGISTRY_FULL | |
| 0x8882 | 130 | SVC_NOT_REGISTERED | |
| 0x8883 | 131 | OUT_OF_RESOURCES | |
| 0x8884 | 132 | RESOLVE_SVC_FAILED | |
| 0x8885 | 133 | CONNECT_FAILED | |
| 0x8886 | 134 | PROTOCOL_NOT_BOUND | |
| 0x8887 | 135 | AUTHENTICATION_FAILED | |
| 0x8888 | 136 | INVALID_AUTHEN_HANDLE | |
| 0x8889 | 137 | AUTHEN_HANDLE_ALREADY_EXISTS | |
| 0x8890 | 144 | DIFF_OBJECT_ALREADY_AUTHEN | |
| 0x8891 | 145 | REQUEST_NOT_SERVICEABLE | |
| 0x8892 | 146 | AUTO_RECONNECT_SO_REBUILD | |
| 0x8893 | 147 | AUTO_RECONNECT_RETRY_REQUEST | |
| 0x8894 | 148 | ASYNC_REQUEST_IN_USE | |
| 0x8895 | 149 | ASYNC_REQUEST_CANCELED | |
| 0x8896 | 150 | SESS_SVC_ALREADY_REGISTERED | |

| | | | |
|---|---|---|---|
| 0x8897 | 151 | SESS_SVC_NOT_REGISTERED | |
| 0x8899 | 153 | PREVIOUSLY_AUTHENTICATED | |
| 0x889A | 154 | RESOLVE_SVC_PARTIAL | |
| 0x889B | 155 | NO_DEFAULT_SPECIFIED | |
| 0x889C | 156 | HOOK_REQUEST_NOT_HANDLED | |
| 0x889D | 157 | HOOK_REQUEST_BUSY | |
| | | HOOK_REQUEST_QUEUED | |
| 0x889E | 158 | AUTO_RECONNECT_SO_IGNORE | |
| 0x889F | 159 | ASYNC_REQUEST_NOT_IN_USE | |
| 0x88A0 | 160 | AUTO_RECONNECT_FAILURE | |
| 0x88A1 | 161 | NET_ERROR_ABORT_APPLICATION | |
| 0x88A2 | 162 | NET_ERROR_SUSPEND_APPLICATION | |
| 0x88A3 | 163 | NET_ERROR_ABORTED_PROCESS_GROUP | |
| 0x88A5 | 165 | NET_ERROR_PASSWORD_HAS_EXPIRED | |
| 0x88A6 | 166 | NET_ERROR_NETWORK_INACTIVE | |
| 0x88E6 | 230 | REPLY_TRUNCATED | NLM |
| 0x88FF | 127 | SHELL_FAILURE | Either an unknown error, or the shell is not present. |
| | | VLM_FAILURE | Either an unknown error, or the VLM is not present. |

## Server Errors

| Hex. | Dec. | Constant | Desc. |
|---|---|---|---|
| 0x8901 | 001 | ERR_INSUFFICIENT_SPACE | If you are running NetWare v3.11 this error code often indicates that you need to apply service. Otherwise, This is often an internal program error within UPSTREAM. Please contact tech support. |
| 0x8906 | 006 | | Bad server name |
| 0x890a | 010 | NLM_INVALID_CONNECTION | |
| 0x890f | 015 | | Connection aborted |
| 0x8910 | 016 | | Connection timeout |
| 0x8914 | 020 | ERR_NO_MORE_ENTRY | |
| 0x8977 | 119 | ERR_BUFFER_TOO_SMALL | |
| 0x8978 | 120 | ERR_VOLUME_FLAG_NOT_SET | The service requested is not available on the selected volume. |
| 0x8979 | 121 | ERR_NO_ITEMS_FOUND | |
| 0x897A | 122 | ERR_CONN_ALREADY_TEMP | |
| 0x897B | 123 | ERR_CONN_ALREADY_LOGGED_IN | |
| 0x897C | 124 | ERR_CONN_NOT_AUTHENTICATED | |
| 0x897D | 125 | ERR_CONN_NOT_LOGGED_IN | |
| 0x897E | 126 | NCP_BOUNDARY_CHECK_FAILED | |

| | | | |
|---|---|---|---|
| 0x897E | 127 | ERR_LOCK_WAITING | |
| 0x8980 | 128 | ERR_LOCK_FAIL | |
| | | FILE_IN_USE_ERROR | Attempt to open or create a file already open. |
| 0x8981 | 129 | NO_MORE_FILE_HANDLES | No more file handles available; the network file handle table is full. |
| 0x8982 | 130 | NO_OPEN_PRIVILEGES | Attempt to open a file without the open privilege. |
| 0x8983 | 131 | IO_ERROR_NETWORK_DISK | Hard disk input/output error on a NetWare volume; a bad sector has been encountered and could be fatal. |
| 0x8984 | 132 | NO_CREATE_PRIVILEGES | Attempt to create a file without the create privilege. |
| 0x8985 | 133 | NO_CREATE_DELETE_PRIVILEGES | Attempt to create an already existing file without the create/delete privileges. |
| 0x8986 | 134 | CREATE_FILE_EXISTS_READ_ONLY | Attempt to create a file with the same name as an already existing file with read-only status. |
| 0x8987 | 135 | WILD_CARDS_IN_CREATE_FILE_NAME | Attempt to create a file using an ambiguous filename. |
| 0x8988 | 136 | INVALID_FILE_HANDLE | Attempt to close or perform I/O on a file with an invalid file handle (i.e. trying to read from a file that has been closed). |
| 0x8989 | 137 | NO_SEARCH_PRIVILEGES | Attempt to search a directory without search privileges in that directory. |
| 0x898A | 138 | NO_DELETE_PRIVILEGES | Attempt to delete a file without file deletion privileges in that file’s directory. |
| 0x898B | 139 | NO_RENAME_PRIVILEGES | Attempt to rename a file without renaming privileges in that file’s directory. |
| 0x898C | 140 | NO_MODIFY_PRIVILEGES | Attempt to modify a file without attribute modification privileges in that file’s directory. |
| 0x898D | 141 | SOME_FILES_AFFECTED_IN_USE | Attempt to delete, rename, or set file attributes using an ambiguous filename while some of the files specified by the filename are in use by another workstation. |
| 0x898E | 142 | FILE_IN_USE | Attempt to delete, rename, or set file attributes using an ambiguous filename while some of the files specified by the filename are in use by another workstation. |
| 0x898F | 143 | SOME_FILES_AFFECTED_READ_ONLY | Attempt to delete, rename, or set file attributes using a filename when some of the files specified have read-only status. |
| 0x8990 | 144 | NO_FILES_AFFECTED_READ_ONLY | Attempt to delete, rename, or set file attributes using a filename when all of the files specified have read-only status. |
| 0x8991 | 145 | SOME_FILES_RENAMED_NAME_EXISTS | Attempt to rename files using an ambiguous filename, when one or more files matching the new filename specification already exist. |
| 0x8992 | 146 | NO_FILES_RENAMED_NAME_EXISTS | Attempt to rename a file using a filename, when all of the files matching the new filename specification already exist. |
| 0x8993 | 147 | NO_READ_PRIVILEGES | Attempt to read a file without read privileges to that file. |
| 0x8994 | 148 | NO_WRITE_PRIVILEGES_OR_ READONLY | Attempt to write to a file without write privileges to that file, or if the specified file has read-only status. |
| 0x8995 | 149 | FILE_DETACHED | Attempt to read or write to a detached file. |
| 0x8996 | 150 | SERVER_OUT_OF_MEMORY | Attempt to write to file server which does not currently have enough free dynamic memory to process this request. |
| | | ERR_TARGET_NOT_A_SUBDIRECTORY | |

| | | | |
|---|---|---|---|
| 0x8997 | 151 | NO_DISK_SPACE_FOR_SPOOL_FILE | The network operating system has determined that the network disk doesn't have enough space left for spool files. |
| 0x8998 | 152 | VOLUME_DOES_NOT_EXIST | The network operating system has tried to access a volume but cannot find the volume in the system definition files. |
| 0x8999 | 153 | DIRECTORY_FULL | |
| 0x899A | 154 | RENAMING_ACROSS_VOLUMES | Attempt to rename across volumes; attempt to rename a file and move the renamed file from its current volume into another volume. The rename command may move the file between directories on the same volume; however, using rename to move a file between volumes is not allowed. |
| 0x899B | 155 | BAD_DIRECTORY_HANDLE | Attempt to use an invalid directory handle. This occurs if the network has been brought down and brought back up without rebooting the workstation. |
| 0x899C | 156 | INVALID_PATH | |
| | | NO_MORE_TRUSTEES | No more trustees are listed in the directory. |
| 0x899D | 157 | NO_MORE_DIRECTORY_HANDLES | No more directory handles available; the directory handle table is full. Each user may have up to 255 directory handles. |
| 0x899E | 158 | INVALID_FILENAME | Attempt to create a file using invalid characters within the name of the file. |
| 0x899F | 159 | DIRECTORY_ACTIVE | Attempt to delete a directory that is being used by another workstation. |
| 0x89A0 | 160 | DIRECTORY_NOT_EMPTY | |
| 0x89A1 | 161 | DIRECTORY_IO_ERROR | A nonrecoverable I/O error has occurred on the disk in the directory area. This error has occurred in both copies of the directory and is fatal. |
| 0x89A2 | 162 | READ_FILE_WITH_RECORD_LOCKED | Attempt to read a file where data is physically locked. |
| 0x89A3 | 163 | ERR_TRANSACTION_RESTARTED | |
| 0x89A4 | 164 | ERR_RENAME_DIR_INVALID | |
| 0x89A5 | 165 | ERR_INVALID_OPENCREATE_MODE | |
| 0x89A6 | 166 | ERR_ALREADY_IN_USE | |
| 0x89A7 | 167 | ERR_INVALID_RESOURCE_TAG | |
| 0x89A8 | 168 | ERR_ACCESS_DENIED | |
| 0x89BE | 190 | INVALID_DATA_STREAM | |
| 0x89BF | 191 | INVALID_NAME_SPACE | |
| 0x89C0 | 192 | NO_ACCOUNTING_PRIVILEGES | |
| 0x89C1 | 193 | LOGIN_DENIED_NO_ACCOUNT_ BALANCE | Attempt to log in by a bindery object without an accounting balance, and accounting is enabled. |
| 0x89C2 | 194 | LOGIN_DENIED_NO_CREDIT | Attempt to log in to account with no credit available. |
| 0x89C3 | 195 | ERR_TOO_MANY_HOLDS | |
| 0x89C4 | 196 | ACCOUNTING_DISABLED | |
| 0x89C5 | 197 | INTRUDER_DETECTION_LOCK | Attempt to log in after the system had locked the account because of intruder detection. |
| 0x89C6 | 198 | NO_CONSOLE_OPERATOR | Attempt to use console without operator privileges. |
| | | NO_CONSOLE_PRIVILEGES | |

| | | | |
|---|---|---|---|
| 0x89D0 | 208 | ERR_Q_IO_FAILURE | |
| 0x89D1 | 209 | ERR_NO_QUEUE | |
| 0x89D2 | 210 | ERR_NO_Q_SERVER | |
| 0x89D3 | 211 | ERR_NO_Q_RIGHTS | |
| 0x89D4 | 212 | ERR_Q_FULL | |
| 0x89D5 | 213 | ERR_NO_Q_JOB | |
| 0x89D6 | 214 | ERR_NO_Q_JOB_RIGHTS | |
| 0x89D7 | 215 | ERR_Q_IN_SERVICE | |
| | | PASSWORD_NOT_UNIQUE | Attempt to change password to a previously used password when the unique requirement is specified for the account. |
| 0x89D8 | 216 | ERR_Q_NOT_ACTIVE | |
| | | PASSWORD_TOO_SHORT | Attempt to change password to a password with fewer characters than the required minimum specified for the account. |
| 0x89D9 | 217 | ERR_Q_STN_NOT_SERVER | |
| | | LOGIN_DENIED_NO_CONNECTION | Attempt to log in using an account which has limits on the number of concurrent connections and that number has been reached. |
| | | ERR_MAXIMUM_LOGINS_EXCEEDED | |
| 0x89DA | 218 | ERR_Q_HALTED | |
| | | UNAUTHORIZED_LOGIN_TIME | |
| 0x89DB | 219 | UNAUTHORIZED_LOGIN_STATION | Attempt to log in from an unauthorized station using an account with limits to a specific network and/or station. |
| | | ERR_Q_MAX_SERVERS | |
| 0x89DC | 220 | ACCOUNT_DISABLED | Attempt to log in using an account which has expired or has been disabled by the Supervisor. |
| 0x89DD | 221 | TALLY_CORRUPT | |
| 0x89DE | 222 | PASSWORD_HAS_EXPIRED_NO_GRACE | Attempt to log in using an account password which has expired and all grace logins have also expired. |
| 0x89DF | 223 | PASSWORD_HAS_EXPIRED | Attempt to log in using an expired account password but the login was allowed because the account had a grace login. |
| 0x89E0 | 224 | LOGIN_NO_CONN_AVAIL | |
| 0x89E7 | 231 | E_NO_MORE_USERS | |
| 0x89E8 | 232 | NOT_ITEM_PROPERTY | Attempt to use an item not associated with this property group or an item which has been deleted from this group. |
| | | WRITE_PROPERTY_TO_GROUP | Attempt to write a data segment to a group property using the call to write a property value. |
| 0x89E9 | 233 | MEMBER_ALREADY_EXISTS | Attempt to redundantly add an object to a group property. |
| 0x89EA | 234 | NO_SUCH_MEMBER | |
| 0x89EB | 235 | NOT_GROUP_PROPERTY | Attempt to use a non-group property. |
| 0x89EC | 236 | NO_SUCH_SEGMENT | Attempt to use a nonexistent segment. Note that segments must be written sequentially when a property is first created, but may be read and written in any order after they already exist. |

| | | | |
|---|---|---|---|
| 0x89ED | 237 | PROPERTY_ALREADY_EXISTS | |
| 0x89EE | 238 | OBJECT_ALREADY_EXISTS | |
| 0x89EF | 239 | INVALID_NAME | Request made with an object or property name containing illegal characters. Illegal characters in names are control characters, the comma, colon, semicolon, slash, backslash, question mark, asterisk, and tilde. |
| 0x89F0 | 240 | WILD_CARD_NOT_ALLOWED | Attempt to use a wildcard character or wild object type in a call where wildcards are not allowed. |
| 0x89F1 | 241 | INVALID_BINDERY_SECURITY | Attempt to assign a security level of a bindery object or property to be higher than the user's security level. This would make the object or property inaccessible to the user. |
| 0x89F2 | 242 | NO_OBJECT_READ_PRIVILEGE | Attempt to access object information or scan the object's properties by a station without the necessary security to access this information. |
| 0x89F3 | 243 | NO_OBJECT_RENAME_PRIVILEGE | Attempt to rename an object without the necessary security. Only the Supervisor can rename objects. Note that if the station does not have the proper security to see that the object exists, then NCP_NO_SUCH_OBJECT is returned. |
| 0x89F4 | 244 | NO_OBJECT_DELETE_PRIVILEGE | Attempt to delete an object by a station without the necessary security to delete the object. Only the Supervisor can delete objects. Note that if the station does not even have the proper security to see that the object exists, then NCP_NO_SUCH_OBJECT is returned. |
| 0x89F5 | 245 | NO_OBJECT_CREATE_PRIVILEGE | Attempt to create an object by a station without the necessary security to create or change an object. Only Supervisors are allowed to create objects. |
| 0x89F6 | 246 | NO_PROPERTY_DELETE_PRIVILEGE | Attempt to delete a property by a station without the necessary security privilege to delete a property from the give object. Note that if the station does not have the proper security to see that the property exists, then NCP_NO_SUCH_PROPERTY is returned. |
| | | NOT_SAME_LOCAL_DRIVE | |
| 0x89F7 | 247 | NO_PROPERTY_CREATE_PRIVILEGE | Attempt to create a property by a station without the necessary security to create or change a property for the object. |
| | | TARGET_DRIVE_NOT_LOCAL | |
| 0x89F8 | 248 | NO_PROPERTY_WRITE_PRIVILEGE | Attempt to write by a station without the necessary write security to change the property data. |
| | | ALREADY_ATTACHED_TO_SERVER | |
| | | NOT_ATTACHED_TO_SERVER | |
| 0x89F9 | 249 | NO_FREE_CONNECTION_SLOTS | |
| | | NO_PROPERTY_READ_PRIVILEGE | Attempt to read by a station without the necessary read security to access the property data. |
| 0x89FA | 250 | NO_MORE_SERVER_SLOTS | |
| | | TEMP_REMAP_ERROR | Attempt to use an unknown path. |
| 0x89FB | 251 | INVALID_PARAMETERS | Attempt to use an invalid parameter (drive number, path, or flag value) during a set drive path call. |
| | | NO_SUCH_PROPERTY | |
| 0x89FC | 252 | INTERNET_PACKET_REQT_CANCELED | |

| | | | |
|---|---|---|---|
| | | UNKNOWN_FILE_SERVER | Attempt to attach to a server using an invalid server name. |
| | | MESSAGE_QUEUE_FULL | |
| | | NO_SUCH_OBJECT | Attempt to use an object which doesn't exist, or the calling station doesn't have the proper security to access the object. Note that the object name and type must both match for the object to be found. |
| 0x89FD | 253 | LOCK_COLLISION | |
| | | BAD_STATION_NUMBER | Attempt to use a bad (undefined, unavailable, etc.) station number. |
| | | INVALID_PACKET_LENGTH | The requesting packet did not have a 30 byte packet header as the first fragment, or its total length exceeded 576 characters. |
| | | UNKNOWN_REQUEST | |
| 0x89FE | 254 | BINDERY_LOCKED | Attempt to use a bindery which is temporarily locked by the Supervisor. |
| | | TRUSTEE_NOT_FOUND | |
| | | DIRECTORY_LOCKED | |
| | | INVALID_SEMAPHORE_NAME_LENGTH | Attempt to open a semaphore with an invalid semaphore name length. Semaphores use strings that are from 1 to 127 bytes long. |
| | | PACKET_NOT_DELIVERABLE | Currently unable to deliver packet for any of the following possible reasons: (1) The destination node is on another network, and no router could be found with a path to the destination network, or (2) the destination node address is on the local network, and hardware detects that the destination node address is nonexistent or inactive, or (3) the destination node is the same machine as the source node, and there is no pending list |
| | | SERVER_BINDERY_LOCKED | |
| | | SOCKET_TABLE_FULL | Attempt to open a socket when the socket table already has 50 entries marked as open. |
| | | SPOOL_DIRECTORY_ERROR | |
| | | SUPERVISOR_HAS_DISABLED_LOGIN | Attempt to log in when the Supervisor has disabled logins from the console or the bindery was locked. |
| | | TIMEOUT_FAILURE | Failure caused by the timeout limit expiring before the request was fulfilled. |
| 0x89FF | 255 | BAD_PRINTER_ERROR | Attempt to use a bad (undefined, unavailable, etc.) printer. |
| | | BAD_RECORD_OFFSET | Attempt to use an invalid offset value during physical locking calls. |
| | | CLOSE_FCB_ERROR | Error closing file. |
| | | FILE_EXTENSION_ERROR | Attempt to use file with a bad (undefined, unavailable, etc.) extension. |
| | | FILE_NAME_ERROR | Attempt to use file with a bad (undefined, unavailable, etc.) name. |
| | | HARDWARE_FAILURE | |
| | | INVALID_DRIVE_NUMBER | |
| | | DOS_INVALID_DRIVE | Attempt to use an invalid (undefined, unavailable, etc.) drive. |

| | |
|---|---|
| INVALID_INITIAL_SEMAPHORE_VALUE | Attempt to open a semaphore with an invalid semaphore value. The semaphore value must be positive, and must be initialized to a value from 0 to 127. |
| INVALID_SEMAPHORE_HANDLE | Attempt to examine, wait, or signal a semaphore with an invalid semaphore handle. The semaphore handle is obtained through the open a semaphore call. |
| IO_BOUND_ERROR | Attempt to write beyond the end of file or disk. |
| NO_FILES_FOUND_ERROR | No files were found that matched the search specification. |
| NO_RESPONSE_FROM_SERVER | |
| NO_SUCH_OBJECT_OR_BAD_ PASSWORD | Attempt to use an unfound object, or attempt to use a bad (undefined, unavailable, etc.) password. On a login call, this indicates the password was correct, but it has expired and all grace logins have been used up. On a change password call, it indicates that the old password given was correct, but the account is not allowed to change the password (typical of the GUEST account). |
| PATH_NOT_LOCATABLE | Attempt to find an unknown path during a get full path call. |
| QUEUE_FULL_ERROR | Attempt to use a queue with 99 entries in it (99 is the maximum number of entries that can be placed in each print queue). |
| REQUEST_NOT_OUTSTANDING | |
| SOCKET_ALREADY_OPEN | Attempt to redundantly open a socket whose specified socket number is already open. |
| LOCK_ERROR | Attempt to use a locked file. |

## Directory Services OS Errors

| Hex | Dec. | Constant | Desc. |
|---|---|---|---|
| 0xFFFFF | -001 | DSERR_INSUFFICIENT_SPACE | |
| 0xFFFFFFFB | -005 | NIL_NAME_OR_NULL_LENGTH | For login problems this error can be returned if there is no tree name in the context handle or if there is an empty string "\0" specified for the tree. Enable the UPSTREAM environment variable USSETNOTREE to avoid UPSTREAM's setting the default tree. |
| 0xFFF89 | -119 | DSERR_BUFFER_TOO_SMALL | The data to be passed back is too large for the buffer you have declared. |
| 0xFFF88 | -120 | DSERR_VOLUME_FLAG_NOT_SET | |
| 0xFFF87 | -121 | DSERR_NO_ITEMS_FOUND | You made a bindery request for items not found. |
| 0xFFF86 | -122 | DSERR_CONN_ALREADY_TEMPORARY | Attempted to convert a temporary connection into a temporary connection. |
| 0xFFF85 | -123 | DSERR_CONN_ALREADY_LOGGED_IN | Attempted to log in to a server you were already logged into. |
| 0xFFF84 | -124 | DSERR_CONN_NOT_AUTHENTICATED | Attempted connection for call without being authenticated. |
| 0xFFF83 | -125 | DSERR_CONN_NOT_LOGGED_IN | Attempted to log out of a connection you are not logged into. |

| | | | |
|---|---|---|---|
| 0xFFF82 | -126 | DSERR_NCP_BOUNDARY_CHECK_ FAILED | NCP subfunction size does not match the actual size of data sent. |
| 0xFFF81 | -127 | DSERR_LOCK_WAITING | Time-out occurred before file was locked. |
| 0xFFF80 | -128 | DSERR_LOCK_FAIL | Attempted to open or create a file that is already open. |
| 0xFFF7F | -129 | DSERR_OUT_OF_HANDLES | No more file handles available; the network file handle table is full. |
| 0xFFF7E | -130 | DSERR_NO_OPEN_PRIVILEGE | Attempted to open a file without the open privilege. |
| 0xFFF7D | -131 | DSERR_HARD_IO_ERROR | Hard disk input/output error on a NetWare volume; a bad sector has been encountered and could be fatal. |
| 0xFFF7C | -132 | DSERR_NO_CREATE_PRIVILEGE | Attempted to create a file without the create privilege. |
| 0xFFF7B | -133 | DSERR_NO_CREATE_DELETE_PRIV | Attempted to create an already existing file without the create/delete privileges. |
| 0xFFF7A | -134 | DSERR_R_O_CREATE_FILE | Attempted to create a file with the same name as an already existing file with read-only status. |
| 0xFFF79 | -135 | DSERR_CREATE_FILE_INVALID_NAME | A file name contains invalid characters. |
| 0xFFF78 | -136 | DSERR_INVALID_FILE_HANDLE | Attempted to close or perform I/O on a file with an invalid file handle (i.e. trying to read from a file that has been closed). |
| 0xFFF77 | -137 | DSERR_NO_SEARCH_PRIVILEGE | Attempted to search a directory without search privileges in that directory. |
| 0xFFF76 | -138 | DSERR_NO_DELETE_PRIVILEGE | Attempted to delete a file without file deletion privileges in that file’s directory. |
| 0xFFF75 | -139 | DSERR_NO_RENAME_PRIVILEGE | Attempted to rename a file without renaming privileges in that file’s directory. |
| 0xFFF74 | -140 | DSERR_NO_SET_PRIVILEGE | Attempted to modify a file without attribute modification privileges in that file’s directory. |
| 0xFFF73 | -141 | DSERR_SOME_FILES_IN_USE | Attempted to delete, rename, or set file attributes using an ambiguous filename while some of the files are in use by another workstation. |
| 0xFFF72 | -142 | DSERR_ALL_FILES_IN_USE | Attempted to delete, rename, or set file attributes using a filename when the file or files are in use by another workstation. |
| 0xFFF71 | -143 | DSERR_SOME_READ_ONLY | Attempted to open read-only files. |
| 0xFFF70 | -144 | DSERR_ALL_READ_ONLY | Attempted to delete, rename, or set file attributes using a filename when all of the files specified have read-only status. |
| 0xFFF6F | -145 | DSERR_SOME_NAMES_EXIST | Attempted to rename files using an ambiguous filename, when one or more files matching the new filename specification already exist. |
| 0xFFF6E | -146 | DSERR_ALL_NAMES_EXIST | Attempted to rename a file using a filename, when all of the files matching the new filename specification already exist. |
| 0xFFF6D | -147 | DSERR_NO_READ_PRIVILEGE | Attempted to read a file without read privileges for that file. |
| 0xFFF6C | -148 | DSERR_NO_WRITE_PRIVILEGE | Attempt to write to a file without write privileges to that file, or if the specified file has read-only status. |
| 0xFFF6B | -149 | DSERR_FILE_DETACHED | Attempt to read or write to a detached file. |

| | | | |
|---|---|---|---|
| 0xFFF6A | -150 | DSERR_NO_ALLOC_SPACE | Attempt to write to a file server that does not currently have enough free DRAM to process this request. |
| | | DSERR_TARGET_NOT_A_SUBDIR | |
| 0xFFF69 | -151 | DSERR_NO_SPOOL_SPACE | The network OS has determined that the network disk doesn't have enough space left for spool files. |
| 0xFFF68 | -152 | DSERR_INVALID_VOLUME | The network OS cannot find the requested volume in the system definition files. |
| 0xFFF67 | -153 | DSERR_DIRECTORY_FULL | Attempted to write to a volume without available directory space. |
| 0xFFF66 | -154 | DSERR_RENAME_ACROSS_VOLUME | Attempted to rename a file and move it from its current volume into another volume. The rename command may move the file between directories on the same volume; however, using rename to move a file between volumes is not allowed. |
| 0xFFF65 | -155 | DSERR_BAD_DIR_HANDLE | Attempted to use an invalid directory handle. This occurs if the network has been brought down and back up without rebooting the workstation. |
| 0xFFF64 | -156 | DSERR_INVALID_PATH | |
| 0xFFF64 | -156 | DSERR_NO_SUCH_EXTENSION | No more trustees are listed in the directory. |
| 0xFFF63 | -157 | DSERR_NO_DIR_HANDLES | No more directory handles available; the directory handle table is full. Each user may have up to 255 directory handles. |
| 0xFFF62 | -158 | DSERR_BAD_FILE_NAME | Attempted to create a file using invalid file name characters. |
| 0xFFF61 | -159 | DSERR_DIRECTORY_ACTIVE | Attempted to delete a directory that is being used by another workstation. |
| 0xFFF60 | -160 | DSERR_DIRECTORY_NOT_EMPTY | Attempted to delete a directory that contains files or other directories. |
| 0xFFF5F | -161 | DSERR_DIRECTORY_IO_ERROR | A non-recoverable I/O error has occurred on the disk in the directory area. This error has occurred in both copies of the directory and is fatal. |
| 0xFFF5E | -162 | DSERR_IO_LOCKED | Attempt to read a file where data is physically locked. |
| 0xFFF5D | -163 | DSERR_TRANSACTION_RESTARTED | |
| 0xFFF5C | -164 | DSERR_RENAME_DIR_INVALID | |
| 0xFFF5B | -165 | DSERR_INVALID_OPENCREATE_MODE | |
| 0xFFF5A | -166 | DSERR_ALREADY_IN_USE | |
| 0xFFF59 | -167 | DSERR_INVALID_RESOURCE_TAG | |
| 0xFFF58 | -168 | DSERR_ACCESS_DENIED | |
| 0xFFF42 | -190 | DSERR_INVALID_DATA_STREAM | |
| 0xFFF41 | -191 | DSERR_INVALID_NAME_SPACE | |
| 0xFFF40 | -192 | DSERR_NO_ACCOUNTING_PRIVILEGES | |
| 0xFFF3F | -193 | DSERR_NO_ACCOUNT_BALANCE | Attempt to log in by a bindery object without an accounting balance, and accounting is enabled. |
| 0xFFF3E | -194 | DSERR_CREDIT_LIMIT_EXCEEDED | Attempt to log in to account with no credit available. |
| 0xFFF3D | -195 | DSERR_TOO_MANY_HOLDS | |
| 0xFFF3C | -196 | DSERR_ACCOUNTING_DISABLED | |
| 0xFFF3B | -197 | DSERR_LOGIN_LOCKOUT | Attempt to log in after the system had locked the account because of intruder detection. |

| | | | |
|---|---|---|---|
| 0xFFF3A | -198 | DSERR_NO_CONSOLE_RIGHTS | Attempt to use console privileges without operator privileges. |
| 0xFFF30 | -208 | DSERR_Q_IO_FAILURE | |
| 0xFFF2F | -209 | DSERR_NO_QUEUE | |
| 0xFFF2E | -210 | DSERR_NO_Q_SERVER | |
| 0xFFF2D | -211 | DSERR_NO_Q_RIGHTS | |
| 0xFFF2C | -212 | DSERR_Q_FULL | |
| 0xFFF2B | -213 | DSERR_NO_Q_JOB | |
| 0xFFF2A | -214 | DSERR_NO_Q_JOB_RIGHTS | |
| | | DSERR_UNENCRYPTED_NOT_ALLOWED | |
| 0xFFF29 | -215 | DSERR_Q_IN_SERVICE | |
| | | DSERR_DUPLICATE_PASSWORD | Attempt to change password to a previously used password when the unique requirement is specified for the account. |
| 0xFFF28 | -216 | DSERR_Q_NOT_ACTIVE | |
| | | DSERR_PASSWORD_TOO_SHORT | Attempt to change password to a password with less characters than the required minimum specified for the account. |
| 0xFFF27 | -217 | DSERR_Q_STN_NOT_SERVER | |
| | | DSERR_MAXIMUM_LOGINS_EXCEEDED | Attempt to log in using an account which has limits on the number of concurrent connections and that number has been reached. |
| 0xFFF26 | -218 | DSERR_Q_HALTED | |
| | | DSERR_BAD_LOGIN_TIME | Attempt to log in during an unauthorized time of day specified for the account. |
| 0xFFF25 | -219 | DSERR_Q_MAX_SERVERS | |
| | | DSERR_NODE_ADDRESS_VIOLATION | Attempt to log in from an unauthorized station using an account with limits to a specific network and/or station. |
| 0xFFF24 | -220 | DSERR_LOG_ACCOUNT_EXPIRED | Attempt to log in using an account which has expired or has been disabled by the Supervisor. |
| 0xFFF22 | -222 | DSERR_BAD_PASSWORD | Attempt to log in using an account password which has expired and all grace logins have also expired. |
| 0xFFF21 | -223 | DSERR_PASSWORD_EXPIRED | Attempt to log in using an expired account password but the login was allowed because the account had a grace login. |
| 0xFFF20 | -224 | DSERR_NO_LOGIN_CONN_AVAILABLE | |
| 0xFFF18 | -232 | DSERR_WRITE_TO_GROUP_PROPERTY | Attempt to write a data segment to a group property using the call to write a property value. |
| 0xFFF17 | -233 | DSERR_MEMBER_ALREADY_EXISTS | Attempt to redundantly add an object to a group property. |
| 0xFFF16 | -234 | DSERR_NO_SUCH_MEMBER | Attempt to use an object which is not a member of the defined group property. |
| 0xFFF15 | -235 | DSERR_PROPERTY_NOT_GROUP | Attempt to use a non-group property. |
| 0xFFF14 | -236 | DSERR_NO_SUCH_VALUE_SET | Attempt to use a nonexistent segment. Note that segments must be written sequentially when a property is first created, but may be read and written in any order after they already exist. |

| | | | |
|---|---|---|---|
| 0xFFF13 | -237 | DSERR_PROPERTY_ALREADY_EXISTS | |
| 0xFFF12 | -238 | DSERR_OBJECT_ALREADY_EXISTS | |
| 0xFFF11 | -239 | DSERR_ILLEGAL_NAME | Request made with an object or property name containing illegal characters. Illegal characters in names are control characters, the comma, colon, semicolon, slash, backslash, question mark, asterisk, and tilde. |
| 0xFFF10 | -240 | DSERR_ILLEGAL_WILDCARD | Attempt to use a wildcard character or wild object type in a call where wildcards are not allowed. |
| 0xFFF0F | -241 | DSERR_BINDERY_SECURITY | Attempt to assign a security level of a bindery object or property to be higher than the user's security level. This would make the object or property inaccessible to the user. |
| 0xFFF0E | -242 | DSERR_NO_OBJECT_READ_RIGHTS | Attempt to access object information or scan the object's properties by a station without the necessary security to access this information. |
| 0xFFF0D | -243 | DSERR_NO_OBJECT_RENAME_RIGHTS | Attempt to rename an object without the necessary security. Only the Supervisor can rename objects. Note that if the station does not have the proper security to see that the object exists, then NCP_NO_SUCH_OBJECT is returned. |
| 0xFFF0C | -244 | DSERR_NO_OBJECT_DELETE_RIGHTS | Attempt to delete an object by a station without the necessary security to delete the object. Only the Supervisor can delete objects. Note that if the station does not even have the proper security to see that the object exists, then NCP_NO_SUCH_OBJECT is returned. |
| 0xFFF0B | -245 | DSERR_NO_OBJECT_CREATE_RIGHTS | Attempt to create an object by a station without the necessary security to create or change an object. Only Supervisors are allowed to create objects. |
| 0xFFF0A | -246 | DSERR_NO_PROPERTY_DELETE_ RIGHTS | Attempt to delete a property by a station without the necessary security privilege to delete a property from the give object. Note that if the station does not have the proper security to see that the property exists, then NCP_NO_SUCH_PROPERTY is returned. |
| 0xFFF09 | -247 | DSERR_NO_PROPERTY_CREATE_ RIGHTS | Attempt to create a property by a station without the necessary security to create or change a property for the object. |
| 0xFFF08 | -248 | DSERR_NO_PROPERTY_WRITE_RIGHTS | |
| 0xFFF07 | -249 | DSERR_NO_PROPERTY_READ_RIGHTS | Attempt to read by a station without the necessary read security to access the property data. |
| 0xFFF06 | -250 | DSERR_TEMP_REMAP | Attempt to use an unknown path. |
| 0xFFF05 | -251 | DSERR_UNKNOWN_REQUEST | Attempt to use an invalid parameter (drive number, path, or flag value) during a set drive path call. |
| | | DSERR_NO_SUCH_PROPERTY | Attempt to use a property which doesn't exist for the specified object. |
| 0xFFF04 | -252 | DSERR_MESSAGE_QUEUE_FULL | |
| | | DSERR_TARGET_ALREADY_HAS_MSG | |
| | | DSERR_NO_SUCH_OBJECT | Attempt to use an object which doesn't exist, or the calling station doesn't have the proper security to access the object. Note that the object name and type must both match for the object to be found. |
| 0xFFF03 | -253 | DSERR_BAD_STATION_NUMBER | Attempt to use a bad (undefined, unavailable, etc.) station number. |
| 0xFFF02 | -254 | DSERR_BINDERY_LOCKED | Attempt to use a bindery which is temporarily locked by the Supervisor. |
| | | DSERR_DIR_LOCKED | |

| | | | |
|---|---|---|---|
| | | DSERR_SPOOL_DELETE | |
| | | DSERR_TRUSTEE_NOT_FOUND | |
| 0xFFF01 | -255 | DSERR_HARD_FAILURE | |
| | | DSERR_FILE_NAME | |
| | | DSERR_FILE_EXISTS | |
| | | DSERR_CLOSE_FCB | |
| | | DSERR_IO_BOUND | Attempt to write beyond the end of file or disk. |
| | | DSERR_NO_SPOOL_FILE | |
| | | DSERR_BAD_SPOOL_PRINTER | Attempt to use a bad (undefined, unavailable, etc.) printer. |
| | | DSERR_BAD_PARAMETER | |
| | | DSERR_NO_FILES_FOUND | No files were found matching the search specification. |
| | | DSERR_NO_TRUSTEE_CHANGE_PRIV | |
| | | DSERR_TARGET_NOT_LOGGED_IN | |
| | | DSERR_TARGET_NOT_ACCEPTING_ MSGS | |
| | | DSERR_MUST_FORCE_DOWN | |

## Directory Services Client Errors

| Hex. | Dec. | Constant | Desc. |
|---|---|---|---|
| 0xFFFFFED3 | -301 | ERR_NOT_ENOUGH_MEMORY | Client workstation does not have memory to allocate. |
| 0xFFFFFED2 | -302 | ERR_BAD_KEY | Trying to pass a bad key parameter for a context call. See NWDSDC.H for the correct parameter. |
| 0xFFFFFED1 | -303 | ERR_BAD_CONTEXT | Trying to pass a bad context parameter to a Directory Services function. Call NWDSCreateContext first and use its return value as the context parameter. |
| 0xFFFFFED0 | -304 | ERR_BUFFER_FULL | Ran out of room trying to add data to an input buffer. |
| 0xFFFFFECF | -305 | ERR_LIST_EMPTY | Passed an empty list (a null pointer) to NWDSPutAttrVal for one of the following syntax types: SYN_CI_LIST SYN_OCTET_LIST. |
| 0xFFFFFECE | -306 | ERR_BAD_SYNTAX | Tried to pass a bad syntax ID. |
| 0xFFFFFECD | -307 | ERR_BUFFER_EMPTY | Tried to get data from an empty buffer. |
| 0xFFFFFECC | -308 | ERR_BAD_VERB | Initialized the buffer with a verb not associated with the API call. |
| 0xFFFFFECB | -309 | ERR_EXPECTED_IDENTIFIER | The name being parsed is not typed. |
| 0xFFFFFECA | -310 | ERR_EXPECTED_EQUALS | An equal sign is expected in the name. |
| 0xFFFFFEC9 | -311 | ERR_ATTR_TYPE_EXPECTED | The name being parsed is a multi-AVA and must be typed (All AVAs must be either typed or not typed). |
| 0xFFFFFEC8 | -312 | ERR_ATTR_TYPE_NOT_EXPECTED | The name being parsed is a multi-AVA and must not be typed (All AVAs must be either typed or not typed). |
| 0xFFFFFEC7 | -313 | ERR_FILTER_TREE_EMPTY | Tried to delete an empty filter. |
| 0xFFFFFEC6 | -314 | ERR_INVALID_OBJECT_NAME | (1) Tried to pass a NULL string for object name to the API call or (2) Tried to pass a name containing both leading and trailing dots. |

| | | | |
|---|---|---|---|
| 0xFFFFFEC5 | -315 | ERR_EXPECTED_RDN_DELIMITER | An RDN delimiter (.) was expected and not found during the name parse. |
| 0xFFFFFEC4 | -316 | ERR_TOO_MANY_TOKENS | Too many trailing delimiter dots in name; only three context levels and four trailing dots in name are permitted. |
| 0xFFFFFEC3 | -317 | ERR_INCONSISTENT_MULTIAVA | AVA type passed in is wrong. |
| 0xFFFFFEC2 | -318 | ERR_COUNTRY_NAME_TOO_LONG | Country name identifiers are only allowed one character. |
| 0xFFFFFEC1 | -319 | ERR_SYSTEM_ERROR | Internal error. |
| 0xFFFFFEC0 | -320 | ERR_CANT_ADD_ROOT | Tried to restore an object at the root. |
| 0xFFFFFEBF | -321 | ERR_UNABLE_TO_ATTACH | Could not connect to the specified server. |
| 0xFFFFFEBE | -322 | ERR_INVALID_HANDLE | Invalid iteration handle. |
| 0xFFFFFEBD | -323 | ERR_BUFFER_ZERO_LENGTH | Tried to call NWDSAllocBuf with a zero-length size. |
| 0xFFFFFEBC | -324 | ERR_INVALID_REPLICA_TYPE | Attempted to pass in a replica type that was not a MASTER, SECONDARY, or READONLY |
| 0xFFFFFEBB | -325 | ERR_INVALID_ATTR_SYNTAX | Attempted to pass in an invalid attribute syntax ID. |
| 0xFFFFFEBA | -326 | ERR_INVALID_FILTER_SYNTAX | Attempted to pass in an invalid filter syntax ID. |
| 0xFFFFFEB8 | -328 | ERR_CONTEXT_CREATION | Failed to create a context--usually because unicode tables were not loaded. |
| 0xFFFFFEB7 | -329 | ERR_INVALID_UNION_TAG | The server returned an infotype parameter that did not agree with the infotype you passed in. |
| 0xFFFFFEB6 | -330 | ERR_INVALID_SERVER_RESPONSE | Returned from NWDSGetSyntaxID. |
| 0xFFFFFEB5 | -331 | ERR_NULL_POINTER | Entered a NULL pointer, a real pointer was expected. |
| 0xFFFFFEB4 | -332 | ERR_NO_SERVER_FOUND | |
| 0xFFFFFEB3 | -333 | ERR_NO_CONNECTION | Internal error--contact Novell Customer Support. |
| 0xFFFFFEB2 | -334 | ERR_RDN_TOO_LONG | The RDN exceeded 128 characters. |
| 0xFFFFFEB1 | -335 | ERR_DUPLICATE_TYPE | Multi-AVAs--AVAs cannot contain same type. |
| 0xFFFFFEB0 | -336 | ERR_DATA_STORE_FAILURE | |
| 0xFFFFFEAF | -337 | ERR_NOT_LOGGED_IN | |
| 0xFFFFFEAE | -338 | ERR_INVALID_PASSWORD_CHARS | Entered password characters that are invalid. |
| 0xFFFFFEAD | -339 | ERR_FAILED_SERVER_AUTHENT | Attempted server authentication failed. |
| 0xFFFFFEAC | -340 | ERR_TRANSPORT | Transport failed. |
| 0xFFFFFEAB | -341 | ERR_NO_SUCH_SYNTAX | Attempted to use an invalid syntax. |
| 0xFFFFFEAA | -342 | ERR_INVALID_DS_NAME | (1) An empty string passed in for a name or (2) a NULL pointer. |
| 0xFFFFFEA9 | -343 | ERR_ATTR_NAME_TOO_LONG | Attribute name exceeded 32 characters. |
| 0xFFFFFEA8 | -344 | ERR_INVALID_TDS | Tagged Data Store is either uninitialized or corrupted. Usually, NWDSLogin was not first called. |
| 0xFFFFFEA7 | -345 | ERR_INVALID_DS_VERSION | |
| 0xFFFFFEA6 | -346 | ERR_UNICODE_TRANSLATION | A unicode translation error returned from one of three functions: NWDSListPartitions, NWDSSyncPartition, and NWDSSyncSchema. |
| 0xFFFFFEA5 | -347 | ERR_SCHEMA_NAME_TOO_LONG | Schema name exceeded 32 characters. |

| | | | |
|---|---|---|---|
| 0xFFFFFEA4 | -348 | ERR_UNICODE_FILE_NOT_FOUND | Unicode file could not be found in the defined search algorithm defined in NWInitUnicodeTables. |
| 0xFFFFFEA3 | -349 | ERR_UNICODE_ALREADY_LOADED | (DOS-only) NWInitUnicodeTables attempted to call unicode tables more than once. |
| 0xFFFFFEA2 | -350 | ERR_NOT_CONTEXT_OWNER011 | |
| 0xFFFFFEA1 | -351 | ERR_ATTEMPT_TO_AUTHENTICATE_0 | |
| 0xFFFFFEA0 | -352 | ERR_NO_WRITABLE_REPLICAS | Returned by NWDSLogout: On logout, the server logs out of the monitor connection. Subsequently the API call tries to find a writable replica of that monitor connection's partition, but can't. |
| 0xFFFFFE9F | -353 | ERR_DN_TOO_LONG | The name passed in exceeded 256 characters. |
| 0xFFFFFE9E | -354 | ERR_RENAME_NOT_ALLOWED | Attempt to move an object to the same place in the tree that it was in. See NWDSMoveObject. |

## Directory Services Agent Errors

| Hex. | Dec. | Constant | Desc. |
|---|---|---|---|
| 0xFFFFFDA7 | -601 | ERR_NO_SUCH_ENTRY | Object passed in could not be found. Check context relative to the passed-in name. |
| 0xFFFFFDA6 | -602 | ERR_NO_SUCH_VALUE | The requested attribute value could not be found. |
| 0xFFFFFDA5 | -603 | ERR_NO_SUCH_ATTRIBUTE | The requested attribute could not be found. |
| 0xFFFFFDA4 | -604 | ERR_NO_SUCH_CLASS | The class does not exist. |
| 0xFFFFFDA3 | -605 | ERR_NO_SUCH_PARTITION | The name of the passed-in partition could not be found. |
| 0xFFFFFDA2 | -606 | ERR_ENTRY_ALREADY_EXISTS | Attempted to add object at the same level in the tree as a pre-existing object of the same name. |
| 0xFFFFFDA1 | -607 | ERR_NOT_EFFECTIVE_CLASS | Attempted to create an object of a base class that is not an effective class. |
| 0xFFFFFDA0 | -608 | ERR_ILLEGAL_ATTRIBUTE | Attempted to add an attribute illegal for that object class. |
| 0xFFFFFD9F | -609 | ERR_MISSING_MANDATORY | Attempted to add an object missing a mandatory attribute. |
| 0xFFFFFD9E | -610 | ERR_ILLEGAL_DS_NAME | Server found a problem with a name passed in by the client. |
| 0xFFFFFD9D | -611 | ERR_ILLEGAL_CONTAINMENT | Attempted to add an object violating the schema's containment roles for that type of object. |
| 0xFFFFFD9C | -612 | ERR_CANT_HAVE_MULTIPLE_ VALUES | Attempted to add more than one value to a single-value attribute. |
| 0xFFFFFD9B | -613 | ERR_SYNTAX_VIOLATION | |
| 0xFFFFFD9A | -614 | ERR_DUPLICATE_VALUE | Attempted to add the same attribute-value combination to an object. |
| 0xFFFFFD99 | -615 | ERR_ATTRIBUTE_ALREADY_EXISTS | Attempted to add an attribute that already exists. |
| 0xFFFFFD98 | -616 | ERR_MAXIMUM_ENTRIES_EXIST | The server has reached the maximum entries in its data base. |
| 0xFFFFFD97 | -617 | ERR_DATABASE_FORMAT | |

| | | | |
|---|---|---|---|
| 0xFFFFFD96 | -618 | ERR_INCONSISTENT_DATABASE | The server has detected an inconsistent database—-usually the number of entries in a container does not match the number stored in the containers entry. |
| 0xFFFFFD95 | -619 | ERR_INVALID_COMPARISON | Attempted to (1) compare two attributes that are not comparable or (2) use an invalid compare syntax. |
| 0xFFFFFD94 | -620 | ERR_COMPARISON_FAILED | |
| 0xFFFFFD93 | -621 | ERR_TRANSACTIONS_DISABLED | |
| 0xFFFFFD92 | -622 | ERR_INVALID_TRANSPORT | The type of transport passed in to the server is not supported by the server. |
| 0xFFFFFD91 | -623 | ERR_SYNTAX_INVALID_IN_NAME | |
| 0xFFFFFD90 | -624 | ERR_REPLICA_ALREADY_EXISTS | Name passed in for replica already exists. |
| 0xFFFFFD8F | -625 | ERR_TRANSPORT_FAILURE | |
| 0xFFFFFD8E | -626 | ERR_ALL_REFERRALS_FAILED | Server has no objects matching request and has attempted to contact x other servers to find the object. None of those servers respond. |
| 0xFFFFFD8D | -627 | ERR_CANT_REMOVE_NAMING_ VALUE | Attempted to delete the naming attribute. Rename the object, then delete the attribute. |
| 0xFFFFFD8C | -628 | ERR_OBJECT_CLASS_VIOLATION | |
| 0xFFFFFD8B | -629 | ERR_ENTRY_IS_NOT_LEAF | Attempted to delete an entry containing subordinates, which the API call cannot do. First delete the subordinates. |
| 0xFFFFFD8A | -630 | ERR_DIFFERENT_TREE | |
| 0xFFFFFD89 | -631 | ERR_ILLEGAL_REPLICA_TYPE | |
| 0xFFFFFD88 | -632 | ERR_SYSTEM_FAILURE | |
| 0xFFFFFD87 | -633 | ERR_INVALID_ENTRY_FOR_ROOT | |
| 0xFFFFFD86 | -634 | ERR_NO_REFERRALS | Server has no objects that match request and has no referrals on which to search for the object. |
| 0xFFFFFD85 | -635 | ERR_REMOTE_FAILURE | Attempt to connect to remote server failed. |
| )xFFFFFD84 | -636 | ERR_UNREACHABLE_SERVER | |
| 0xFFFFFD83 | -637 | ERR_PREVIOUS_MOVE_IN_PROGRESS | |
| 0xFFFFFD82 | -638 | ERR_NO_CHARACTER_MAPPING | |
| 0xFFFFFD81 | -639 | ERR_INCOMPLETE_AUTHENTICATION | |
| 0xFFFFFD80 | -640 | ERR_INVALID_CERTIFICATE | |
| 0xFFFFFD7F | -641 | ERR_INVALID_REQUEST | Server did not understand request—-for example, verb sent by client could be wrong. |
| 0xFFFFFD7E | -642 | ERR_INVALID_ITERATION | Iteration handle passed in by client is wrong. |
| 0xFFFFFD7D | -643 | ERR_SCHEMA_IS_NONREMOVABLE | Attempted to delete Novell base schema. |
| 0xFFFFFD7C | -644 | ERR_SCHEMA_IS_IN_USE | Attempted to delete a schema entry that still contains an object using that schema entry. Delete that object or attribute, then delete the schema. |
| 0xFFFFFD7B | -645 | ERR_CLASS_ALREADY_EXISTS | Attempted to add a class already existing in the schema. |
| 0xFFFFFD7A | -646 | ERR_BAD_NAMING_ATTRIBUTES | |
| 0xFFFFFD79 | -647 | ERR_NOT_ROOT_PARTITION | Attempted a function required on the root partition and (1) client did not pass in the root partition name or (2) client has attempted to do the function somewhere besides the root partition. |

| | | | |
|---|---|---|---|
| 0xFFFFFD78 | -648 | ERR_INSUFFICIENT_STACK | Server ran out of stack. |
| 0xFFFFFD77 | -649 | ERR_INSUFFICIENT_BUFFER | Server ran out of memory. |
| 0xFFFFFD76 | -650 | ERR_AMBIGUOUS_CONTAINMENT | Attempted to create a schema definition for a class containing an ambiguous containment rule. |
| 0xFFFFFD75 | -651 | ERR_AMBIGUOUS_NAMING | Attempted to create a schema definition for a class containing an ambiguous containment name. |
| 0xFFFFFD74 | -652 | ERR_DUPLICATE_MANDATORY | Attempted to create a schema definition for a class containing a duplicate mandatory name. |
| 0xFFFFFD73 | -653 | ERR_DUPLICATE_OPTIONAL | Attempted to create a schema definition for a class containing a duplicate optional name. |
| 0xFFFFD72 | -654 | ERR_PARTITION_BUSY | |
| 0xFFFFFD71 | -655 | ERR_MULTIPLE_REPLICAS | |
| 0xFFFFFD70 | -656 | ERR_CRUCIAL_REPLICA | |
| 0xFFFFFD6F | -657 | ERR_SCHEMA_SYNC_IN_PROGRESS | Function could not be completed because schema sync was in progress. |
| 0xFFFFFD6E | -658 | ERR_SKULK_IN_PROGRESS | Function could not be completed because skulk was in progress. |
| 0xFFFFFD6D | -659 | ERR_TIME_NOT_SYNCHRONIZED | Servers are not synchronized. |
| 0xFFFFFD6C | -660 | ERR_RECORD_IN_USE | |
| 0xFFFFFD6B | -661 | ERR_DS_VOLUME_NOT_MOUNTED | |
| 0xFFFFFD6A | -662 | ERR_DS_VOLUME_IO_FAILURE | |
| 0xFFFFFD69 | -663 | ERR_DS_LOCKED | DS Database is locked; analogous to bindery being locked. |
| 0xFFFFFD68 | -664 | ERR_OLD_EPOCH | |
| 0xFFFFFD67 | -665 | ERR_NEW_EPOCH | |
| 0xFFFFFD66 | -666 | ERR_INCOMPATIBLE_DS_VERSION | |
| 0xFFFFFD65 | -667 | ERR_PARTITION_ROOT | Attempted a function that cannot be done on the root partition. |
| 0xFFFFFD64 | -668 | ERR_ENTRY_NOT_CONTAINER | Attempted to do an illegal function on a leaf object. |
| 0xFFFFFD63 | -669 | ERR_FAILED_AUTHENTICATION | Passed in a bad password. |
| 0xFFFFFD62 | -669 | ERR_INVALID_CONTEXT | |
| 0xFFFFFD61 | -671 | ERR_NO_SUCH_PARENT | |
| 0xFFFFFD60 | -672 | ERR_NO_ACCESS | Client has no rights to do the function returning the error. |
| 0xFFFFFD5F | -673 | ERR_REPLICA_NOT_ON | |
| 0xFFFFFD5E | -674 | ERR_INVALID_NAME_SERVICE | |
| 0xFFFFFD5D | -675 | ERR_INVALID_TASK | |
| 0xFFFFFD5C | -676 | ERR_INVALID_CONN_HANDLE | |
| 0xFFFFFD5B | -677 | ERR_INVALID_IDENTITY | |
| 0xFFFFFD5A | -678 | ERR_DUPLICATE_ACL | |
| 0xFFFFFD59 | -679 | ERR_PARTITION_ALREADY_EXISTS | |
| 0xFFFFFD58 | -680 | ERR_TRANSPORT_MODIFIED | |
| 0xFFFFFD57 | -681 | ERR_ALIAS_OF_AN_ALIAS | Attempted to alias an alias. |

| | | | |
|---|---|---|---|
| 0xFFFFFD56 | -682 | ERR_AUDITING_FAILED | |
| 0xFFFFFD55 | -683 | ERR_INVALID_API_VERSION | Library passed an invalid API version; for example, client may be using an old library. |
| 0xFFFFFD54 | -684 | ERR_SECURE_NCP_VIOLATION | |
| 0xFFFFFD53 | -685 | ERR_MOVE_IN_PROGRESS | |
| 0xFFFFFD52 | -686 | ERR_NOT_LEAF_PARTITION | |
| 0xFFFFFD51 | -687 | ERR_CANNOT_ABORT | |
| 0xFFFFFD50 | -688 | ERR_CACHE_OVERFLOW | |
| 0xFFFFFD4F | -689 | ERR_INVALID_SUBORDINATE_COUNT | |
| 0xFFFFFD4E | -690 | ERR_INVALID_RDN | |
| 0xFFFFFD4D | -691 | ERR_MOD_TIME_NOT_CURRENT | |
| 0xFFFFFD4C | -692 | ERR_INCORRECT_BASE_CLASS | |
| 0xFFFFFD4B | -693 | ERR_MISSING_REFERENCE | |
| 0xFFFFFD4A | -694 | ERR_LOST_ENTRY | |
| 0xFFFFFD49 | -695 | ERR_AGENT_ALREADY_REGISTERED | |
| 0xFFFFFD48 | -696 | ERR_DS_LOADER_BUSY | |
| 0xFFFFFD47 | -697 | ERR_DS_CANNOT_RELOAD | |
| 0xFFFFFD46 | -698 | ERR_REPLICA_IN_SKULK | |
| 0xFFFFFD45 | -699 | ERR_FATAL | |
| 0xFFFFFD44 | -700 | ERR_OBSOLETE_API | |
| 0xFFFFFD43 | -701 | ERR_SYNCHRONIZATION_DISABLED | |
| 0xFFFFFD42 | -702 | ERR_INVALID_PARAMETER | |

## DOS/Windows IPX/SPX errors

| Hex. | Dec. | Constant | Desc. |
|---|---|---|---|
| EC | 236 | | Connection terminated.<br>(Windows) SPX terminated poorly. |
| ED | 237 | | Connection failed.<br>SPX terminated poorly.<br>(Windows) SPX connection terminated. |
| EE | 238 | | Invalid connection. |
| EF | 239 | | Connection table full. |
| F0 | 240 | | IPX not installed. |
| F1 | 241 | | (Windows) IPX/SPX not initialized. |
| F2 | 242 | | (Windows) No DOS memory. |
| F3 | 243 | | (Windows) No free ECB. |
| F4 | 244 | | (Windows) Lock failed. |
| F5 | 245 | | (Windows) Over the maximum limit. |
| F6 | 246 | | (Windows) IPX/SPX previously initialized. |

| | | |
|---|---|---|
| F9 | 249 | ECB Cannot be canceled |
| FA | 250 | No local target identified. |
| FC | 252 | Request canceled.<br>SPX connection closed. |
| FD | 253 | Bad packet. Given packet did not have a 30-byte packet header as the first fragment or its total length exceeded 567 bytes.<br>Packet overflow. |
| FE | 254 | Socket table full.<br>Packet not deliverable. |
| FF | 255 | ECB not in use<br>Socket not open.<br>Socket already open.<br>Hardware failure.<br>(Windows) SPX not installed.<br>(Windows) SPX socket not opened. |

## IPX/SPX for OS/2

| Hex. | Dec. | Constant | Desc. |
|---|---|---|---|
| 8001 | 32769 | (-32767) | Socket table full. |
| 8002 | 32770 | (-32766) | Bad packet. |
| 8004 | 32772 | (-32764) | Packet not found. |
| 8006 | 32774 | (-32762) | Receive overflow. |
| 8007 | 32775 | (-32761) | Canceled |
| 9001 | 36865 | (-28671) | IPX timeout |
| 9002 | 36866 | (-28670) | No route |
| 9003 | 36867 | (-28669) | Socket in use. |
| 9004 | 36868 | (-28668) | Socket not open. |
| A002 | 40962 | (-24574) | Socket table full.<br>Out of resource. |
| A0FF | 41215 | (-24321) | SPX ECB in use. |
| A1F9 | 41465 | (-24071) | Cancel failed. |
| A1FF | 41471 | (-24065) | ECB not found. |
| A2ED | 41709 | (-23827) | Connection failed. |
| A2EE | 41710 | (-23826) | SPX connection not found. |
| A2FD | 41725 | (-23811) | Connection failed.<br>Bad packet. |
| A3EF | 41967 | (-23569) | Connection table full. |
| A402 | 41986 | (-23550) | Bad connection status. |
| A601 | 42497 | (-23039) | Invalid fragment list. |

# 37.11. NetBIOS Errors

NetBIOS return codes are reported when FDR/UPSTREAM attempts to perform an ULTra function over NetBIOS and it fails. FDR/UPSTREAM will specifically denote the return code as a NetBIOS return code.

NetBIOS return codes are reported as hexadecimal values whose meanings are described below. Contact Innovation Technical Support for any questions you may have concerning these return codes.

- **01:** Invalid buffer length for SEND DATAGRAM, SEND BROADCAST DATAGRAM, ADAPTER STATUS, or SESSION STATUS.
  **Cause:** A Send Broadcast or Send Datagram command cannot send more than 512 bytes. For ADAPTER STATUS and SESSION STATUS, the buffer length specified was less than the minimum required.
  **Action:** Specify the correct size for the buffer and try again.

- **03:** Invalid command
  **Cause:** The command code used was incorrect.
  **Action:** Reissue the correct command code.

- **05:** Command timed out
  **Cause:** One of the following
  1. ADAPTER STATUS: The system time-out period has elapsed.
  2. SEND: The time-out period specified for the Call or Listen command has elapsed.
  3. RECEIVE: The time-out period specified for the Call or Listen command has elapsed.
  4. HANG UP: The time-out period has expired for any outstanding Send commands to complete.

  **Action:** Associate the action number below to the Cause above:
  1. ADAPTER STATUS: Make sure you are using the correct name.
  2. SEND: The session ended abnormally. Establish another session and reissue a Send command.
  3. RECEIVE: Reissue the command.
  4. HANG UP: The session ended abnormally.

- **06:** Message Incomplete
  **Cause:** Part of a message was not received because the specified buffer length is not large enough to receive the full message.
  **Action:** Reissue another Receive or Receive Any command to get the rest of the message before the remote node times out under session support. For Adapter Status, Session Status, Receive Datagram, and Receive Broadcast Datagram commands, the remaining data is lost.

- **08:** Invalid local session number
  **Cause:** The session number specified is not one of the active sessions.
  **Action:** Specify an active session and reissue the command.

- **09:** No resource available
  **Cause:** Not enough space available on the node.
  **Action:** Reissue the command at a later time. This is a temporary condition.

- **0A:** Session closed
  **Cause:** The session has been closed by either the local or remote node.
  **Action:** No action is required. For a pending SEND, RECEIVE, or RECEIVE ANY, this is the notification that the session has been closed. For HANG UP, the session was closed by the remote computer.

- **0B:** Command canceled
  **Cause:** Notification that the command was canceled. If the canceled command is SEND or CHAIN SEND the session is abnormally ended.
  **Action:** No action is required.

- **0D:** Duplicate name in local name table
  **Cause**: A name was specified that is already in the local name table.
  **Action**: Specify another name. For FDR/UPSTREAM ULTra, you can not use the IBM or Microsoft server workstation name as the ULTra name; you must select a different (or slightly modified) name.

- **0E:** Name table full
  **Cause:** The maximum number of names is in the name table. The maximum number of names depends on the specific NetBIOS implementation

you are using.
**Action:** Wait until a delete name occurs or deregistration is complete.

- ☐ **0F:** Command completed (name has active sessions and is now deregistered)
  **Cause:** The name to be deleted is active in a session now, but is deregistered. When the name is marked deregistered and has active sessions, it still occupies a slot in the name table. The name is unusable.
  **Action:** Close all sessions using that name so the Delete command can complete.

- ☐ **11**: Local session table full
  **Cause:** No entries are available in the session table. (The number of sessions for a local session table is user-specifiable and has maximum values depending on the specific NetBIOS implementation you are using).
  **Action:** One of the following
  - Wait until a session has closed.
  - Refer to the Reset command to alter values.

- ☐ **12**: Session open rejected
  **Cause**: No Listen command is outstanding on the remote node.
  **Action:** Wait until a Listen command is issued by the remote node.

- ☐ **13:** Invalid name number
  **Cause:** The name number is invalid
  **Action**: Use the original name number that was assigned to the name

- ☐ **14:** No answer (cannot find name called)
  **Cause:** The call name specified cannot be found or did not answer.
  **Action:** One of the following:
  1. Verify that the call name used is correct.
  2. Retry with the correct or a different call name.
  3. Reissue if the remote node is busy.

- ☐ **15**: Name not found
  **Cause:** One of the following has occurred:
  - The name specified was not in the name table.
  - An asterisk was specified in the first character position of the name field.
  - Hex 00 was specified in the first character position.
  - The name is deregistered.

  **Action:** Specify another name.

- ☐ **16**: Name in use on remote node.
  **Cause:** Unique names may be used only once on the network.
  **Action:** Specify another name.

- ☐ **17:** Name deleted
  **Cause**: The name that issued a Receive Datagram, Receive Broadcast Datagram, Listen or Receive Any command has been deleted.
  **Action:** No action required.

- ☐ **18:** Session ended abnormally
  **Cause**: One of the following has occurred:
  - The remote node is powered off.
  - The session SEND or CHAIN SEND has timed out.
  - SEND or CHAIN SEND was canceled.
  - HANG UP timed out while waiting for SEND or CHAIN SEND to complete.

  **Action:**
  - Check the remote node for status.
  - Reestablish the session for a Send, Chain Send, Receive, or Receive Any command.

- ☐ **19:** Name conflict detected
  **Cause**: Network protocol has detected two or more identical names on the network.
  **Action**: Every node on the network should delete that name immediately.

- ☐ **21**: Interface busy
  **Cause**: BIOS was called while executing an uninterruptible process.
  **Action:** Return from the interrupt level and try again later.

- **22**: Too many commands outstanding
  **Cause:** The number of outstanding commands is maximum.
  **Action**:
  - If not at the maximum number, refer to RESET.
  - If at the maximum number, try again later.

- **23:** Invalid number in NCB_LANA_NUM field
  **Cause**: A number other than hex 00 or hex 01 was specified.
  **Action:** Correct the number and try again. Specify hex 00 for the first adapter or hex 01 for the second adapter.

- **24**: Command completes while cancel is occurring
  **Cause**: An attempt was made to cancel a command that already completed.
  **Action**: No action required

- **26**: Command not valid to cancel
  **Cause**: An attempt was made to cancel a command tat is invalid to cancel.
  **Action**: The commands that are not valid to cancel are: ADD NAME, ADD GROUP NAME, DELETE NAME, SEND DATAGRAM, SEND BROADCAST DATAGRAM, SESSION STATUS, RESET, and CANCEL.

- **40:** Adapter malfunction
  **Cause**: A hardware problem was detected on the adapter.
  **Action:** Retry or reset the command. If you receive the return code again, perform the node diagnostic tests.

- **50 to F6:** Adapter malfunction
  **Cause**: A hardware problem was detected on the adapter.
  **Action**: Retry or reset the command. If you receive the return code again, perform the node diagnostic tests.

- **FF**: Command pending status
  **Cause**: The command is still pending
  **Action**: No action is required. See NCP_POST@ and NCB_RETCODE for a description of this return code.

# 38 FDR/UPSTREAM Messages

This section lists the messages that are contained in the FDR/UPSTREAM message file (UPSTREAM.MSG). Note that FDR/UPSTREAM usually logs several messages simultaneously.

FDR/UPSTREAM messages usually contain:

- A number, starting at 1001.
- A severity letter, described in the prior chapter
- A single line title
- Additional lines of text describing the message
- A FIX, which describes the action that you may take to help resolve the problem.

Many FDR/UPSTREAM messages have a fix which says to “See additional messages”. Often these additional messages will refer you to return codes from the APPC software (listed in the prior chapter), the operating system (again listed earlier in the prior chapter), or some other source (Banyan, etc.). When encountering messages of this type, please contact technical support for an interpretation and recommended action in these cases.

Window management errors (Error errors). These are messages which occur when attempting to display a message.

**PC1001E Error creating window data**
**Reason:** There was an error allocating data to display the error window.
**Action:** Free memory and try again.

**PC1003E Error setting window time-out timer**
**Reason:** There was an error setting the timer to allow an error message to time-out. Further messages will not time-out.
**Action:** Call technical support.

**PC1004E Error loading the error window dialog**
**Reason:** When attempting to display a message, the message display dialog was not found.
**Action:** Make sure that US.RES is in the same directory as UPSTREAM.

Log file errors (Error errors) These are messages which occur when attempting to display a message.

**PC1010E Error writing to the log file**
**Reason:** There was an error writing to the log file.
**Action:** See additional messages.

**PC1011E Error opening the log file**
**Reason:** There was an error opening the log file.
**Action:** See additional messages.

**PC1012E Error obtaining the message file name**
**Action:** Make sure that you have an upstream.cfg file specified and that it contains a MESSAGEFILE statement pointing to upstream.msg

**PC1013E Error opening upstream.msg**
**Action:** Verify that the upstream.msg file is accessible.

**PC1014E Insufficient memory**
**Reason:** Error messages will not be buffered.
**Action:** Free memory or close applications.

**PC1015E Error reading message file.**
**Action:** Verify that upstream.msg is accessible.

**PC1016E Message out of range**
**Action:** Call tech support.

**PC1020E Error allocating data to send error (OS/2)**
**Reason:** There was an error allocating data space to send the given error to the remote system.
**Action:** Close other programs or free disk space.

Error messages cannot be displayed when unattended

**PC1030D Cannot display messages while unattended**
**Reason:** UPSTREAM could not display the following message title and message while running in an unattended mode.

Informational messages. These are messages that are displayed in message boxes, and are often not fatal.

**PC1101D Communications not loaded.**
**Reason:** APPC or TCP/IP is not loaded. Unattended operations will not be performed.

**PC1102N Dial remote now.**
**Reason:** Dial the remote system now. Press the OK button when you are polling

Errors during initialization.

**PC1201E Error in configuration file**
**Action:** See additional messages.

**PC1202E Error in parameter file**
**Action:** See additional messages.

**PC1203E Required configuration parameter missing.**
**Reason:** A required configuration parameter that was expected is missing.
**Action:** See additional messages.

**PC1204E Required parameter missing.**
**Action:** See additional messages.

**PC1205E Unrecognized action command**
**Reason:** UPSTREAM detected a command that it could not recognize. Internal error.
**Action:** Call UPSTREAM technical support.

**PC1206W Restart only requested and nothing to restart**
**Reason:** The restart only action command was specified and there was not an outstanding backup to restart.

**PC1207E Run Job not supported in Windows**
**Reason:** Since Microsoft Windows does not have the concept of a batch or command file, the job function has no meaning.

**PC1208D Restart only action command specified**

**PC1209E Kill last restart and there wasn't one pending**

Return code file errors.

**PC1210E Error creating return code file**
**Reason:** There was a file error creating the US.RET file.
**Action:** Look up the return code in the operating system messages section of the UPSTREAM manual.

**PC1211E Error writing return code file**
**Reason:** There was a file error writing the return code in the US.RET file.
**Action:** Look up the return code in the operating system messages section of the UPSTREAM manual.

**PC1220W Error opening translation table**
**Reason:** There was an error opening the translation table listed below.
**Action:** See additional messages

**PC1221W Error reading translation table**
**Reason:** There was an error reading an entry from the translation table listed below.
**Action:** Verify that you have one line per entry. You must have a total of 256 lines. See additional messages.

**PC1225I Using translation table.**
**Reason:** The following translation table is now in use:

**PC1230W Restart restore requested and nothing to restart**
**Reason:** The restart only action command was specified and there was not an outstanding restore to restart.

**PC1231D Restart restore action command specified**

**PC1232E Kill restart restore and there wasn't one pending**

**PC1233W Restartable backup has been killed.**

**PC1234W Restartable restore has been killed.**

**PC1235D Running pre-process job:**

**PC1236D Running post-process job:**

**PC1237E Pre-process job failed**

**PC1238E Post-process job failed**

**PC1239E (OS/2) Error setting specified max handles**

**Reason:** The following OS/2 error occurred when attempting to use the USMAXFILEHANDLES environment variable.

**PC1240E Error allocating version date structure.**
**Reason:** The following version date will not be added to the return code file. In most cases this is not a problem.
**Action:** Free memory.

**PC1241E Error writing version date to return code file**
**Reason:** The following version date will not be added to the return code file. In most cases this is not a problem.
**Action:** See additional messages.

**PC1242D Running failed process job:**

**PC1243E Post-process job failed**

## Errors during normal operation.

**PC1250E Error creating internal message list**
**Reason:** There was an error creating the internal message list. This may cause the flow of screens to operate incorrectly.
**Action:** Free memory and try again.

**PC1251E Stack overflow**
**Reason:** There is a shortage of stack space for temporary memory. Internal error.
**Action:** Call Tech Support.

**PC1252I Windows message queue depth changed**
**Reason:** The environment variable was specified to change the UPSTREAM message queue depth. The value specified may be reduced due to Windows memory considerations

## Enter/exit UPSTREAM normal messages

**PC1271D User termination**
**Reason:** User requested termination of UPSTREAM.

**PC1272E External kill**
**Reason:** UPSTREAM will now terminate due to an external termination request.

**PC1273I Switching log files**
**Reason:** The log file name is being switched to the log file name that follows. All further messages will be written to the new log file.

**PC1274E Multiple User mode has been disabled**
**Reason:** Multiple user mode requires a user name and a computer name and this information could not be obtained from the operating system. Multiple user mode is therefore disabled.

**PC1275I Entering UPSTREAM v%s (%s) %s**

**PC1276I Exiting UPSTREAM**

**PC1277E Error setting termination timer**
**Reason:** UPSTREAM can't set the termination timer. It will terminate anyway but may be unstable in Windows.

**PC1278E Error resetting termination timer**
**Reason:** UPSTREAM can't reset the termination timer. It will terminate anyway but may be unstable in Windows.

**PC1279E Termination process took too long.**
**Reason:** UPSTREAM waited 60 seconds for pending remote allocate requests to terminate. UPSTREAM will terminate anyway but may be unstable in Windows.

## Errors in USModify

**PC1280I Entering USModify**

**PC1281I Exiting USModify**

**PC1282E USModify parameter error**
**Reason:** Multiple file spec parameters were specified.

**PC1283E USModify parameter error**
**Reason:** Multiple override file parameters were specified.

**PC1284N Please enter a value for the following parameter(s)**
**Reason:** Press the Esc key to enter a blank value.

**PC1285E USModify parameter error**
**Reason:** No configuration or parameter file specifications were specified.

**PC1286W USModify found no files**
**Reason:** No files matching the following file specification were found.

**PC1287E USModify error modifying configuration file**
**Action:** See additional messages.

**PC1288E USModify error modifying parameter file**
**Action:** See additional messages.

**PC1289I USModify successfully modified file**
**Reason:** The following file was successfully modified.

## Session management errors

**PC1301E Unknown session state**
**Reason:** Unexpected session state. Internal failure.
**Action:** Call technical support.

**PC1302E Session state did not change.**
**Reason:** The session state must always change. Internal failure.
**Action:** Call technical support.

**PC1303E Error displaying session status.**
**Reason:** There was an error in obtaining session status information from APPC.
**Action:** See additional messages and lookup return codes.

**PC1304D Activate DLC failure.**
**Reason:** If you are using AdaptSNA, this indicates that the link timer has timed out. The link will be continually retried until you press CANCEL or the link becomes active.

## Log exits

**PC1310I LU Log Exit**
**Reason:** The following type and subtype describe some error reported by APPC/PC concerning the LU.
**Action:** Can usually be ignored. See UPSTREAM manual for the meaning of the type and subtype.

**PC1311D PU Log Exit**
**Reason:** The following type and subtype describe some error reported by APPC/PC concerning the PU.
**Action:** A TYPE of 17 with a SUBTYPE of 0 is normal Other TYPEs and SUBTYPEs should be looked up in the Log Exits codes in the UPSTREAM User's Guide.

## Session state locations.

**PC1325E Error occurred at the session start point**

**PC1326E Error occurred during ATTACH_PU verb**

**PC1327E Error occurred during ATTACH_LU verb**

**PC1328E Error occurred during ACTIVATE_DLC verb**

**PC1329E Error occurred during "dial remote" prompt**

**PC1330E Error occurred during session activation**

**PC1331E Error occurred while waiting for session start**

**PC1332E Error occurred at session active location**

**PC1333E Error occurred during session deactivation**

**PC1334E Error occurred during DETACH_LU verb**

**PC1335E Error occurred during DETACH_PU verb**

**PC1336E Error occurred after session was stopped** General APPC errors

**PC1401E Unknown APPC function**
**Reason:** A request was made for an APPC function that was unknown. This is an internal error.
**Action:** Call technical support.

**PC1402E Remote system error**
**Reason:** The preceding message was from the remote system. Subsequent messages describe where in the PC the error occurred and can usually be ignored.
**Action:** See the host log (available through Host Reporting) for more details.

**PC1403I Allocation failure - retry...**
**Reason:** There was a retryable allocation error. UPSTREAM will retry this verb up to 10 times before reporting this as a fatal error.

**PC1404E Illegal force to send**
**Reason:** The remote requested a force to send state illegally. Internal error.
**Action:** Call tech support.

## Windows APPC errors

**PC1431E An APPC Startup error occurred**
**Reason:** An attempt to initialize an APPC interface failed. The name of the APPC interface and the error code follow.
**Action:** Ensure that your APPC software is properly configured.

## Abort messages. These are informational messages that are displayed in message boxes and allow the user to press ABORT.

**PC1501N Starting session**
**Reason:** You can press CANCEL to abort the session start function. This message will go away if there is an error or the session has started successfully.

**PC1502N Waiting for session to activate.**
**Reason:** You can press CANCEL to abort waiting for the session to start automatically. This message will go away if there is an error or the session has started successfully.

## General file errors.

**PC1546E File too large**
**Reason:** UPSTREAM attempted to perform direct file I/O on a file which is larger than 2GB in an environment which does not support this.
**Action:** Call tech support.

**PC1547I A previously unencrypted file is now encrypted**
**Reason:** A file that was on the backup in an unencrypted state is now encrypted on the disk after a restore. This is mostly the result of the unencrypted file being restored into a directory that is encrypted. Since this happened during an UPSTREAM restore, the file was encrypted by the user account under which UPSTREAM was running at the time. The name of the file and the user account under which UPSTREAM was running follow.
**Action:** Attempt to decrypt the file if need be using the same user account that UPSTREAM was running under. If you need furhter help, call tech support.

**PC1548E Special handling required for encrypted file/dir**
**Reason:** An encrypted file or directory was encounted that requires special handling. On a Windows 2000 system you must use the Windows 2000 Encryption PlugIn (WinCrypt.dll) to backup and restore encrypted files or directories.

**PC1549E File is too large for file system**
**Reason:** A sparse file on the backup has a size that is larger than what can be supported by the file system.
**Action:** See additional messages.

**PC1550E Can't allocate a sparse zero block**
**Reason:** There was an error allocating memory for a sparse zero data buffer.
**Action:** Free memory and try again.

**PC1551E Can't write sparse data past last range**
**Reason:** There was an attempt to write data past the end of the last allocated range of a sparse file.
**Action:** Call tech support.

**PC1552E (LANWS) Can't restore sparse file**
**Reason:** A sparse file exists on the backup which cannot be properly restored because ULTra does not have support for sparse files. The file may created, but its data will be altered from the file that was backed up.
**Action:** See additional messages.

**PC1553W (NT) Compressed files not supported**
**Reason:** A compressed file on the backup cannot be compressed during the restore because the file system does not support compressed files.

**PC1554W (NT) Sparse files not supported**
**Reason:** A sparse file on the backup cannot be made sparse during the restore because either the operating system or the file system does not support sparse files.

**PC1555E (NT) Can't get file size**
**Reason:** An error occurred while attempting to get the size of a sparse file.
**Action:** See additional messages.

**PC1556E (NT) Can't get single range**
**Reason:** An error occurred while attempting to get a single allocated range for a sparse file.
**Action:** See additional messages.

**PC1557E (NT) Can't allocate range buffer**
**Reason:** There was an error allocating memory for an allocated range buffer for a sparse file.
**Action:** Free memory and try again.

**PC1558E (NT) Can't get all ranges**
**Reason:** An error occurred while attempting to get all of the allocated ranges for a sparse file.
**Action:** See additional messages.

**PC1559E (NT) Can't set sparse**
**Reason:** An error occurred while attempting to make a file sparse.
**Action:** See additional messages.

**PC1560E (NT) Can't zero data**
**Reason:** An error occurred while attempting to initialize a sparse file to all zeroes.
**Action:** See additional messages.

**PC1561E (NT) Can't allocate sparse information**
**Reason:** There was an error allocating memroy for the sparse information for a file.
**Action:** Free memory and try again.

**PC1562E (NT) Can't set compression**
**Reason:** An error occurred while attempting to set the compression format for a file.
**Action:** See additional messages.

**PC1563E (NT) Can't get compression**
**Reason:** An error occurred while attempting to get the compression format for a file.
**Action:** See additional messages.

**PC1564E (NT) Can't allocate compression information**
**Reason:** There was an error allocating memroy for the compression information for a file.
**Action:** Free memory and try again.

**PC1565E Fatal file system error**
**Reason:** Access to the device has been lost. The remainder of your operation (backup/restore) will fail.
**Action:** Repair access to the device

**PC1566E Error flushing written data to disk**
**Action:** See additional messages.

**PC1567W (NT) File has Extended Attribute or ACL Stream**
**Reason:** ID header that is unrecognized by this version of Windows NT. This non-file data stream will be skipped without preventing any of the other non- file data streams from being restored.
**Action:** See additional messages.

**PC1568W (NT) File has Extended Attribute or ACL Stream**
**Reason:** data that is unrecognized by this version of Windows NT. This non-file data stream will be skipped without preventing any of the other non- file data streams from being restored.
**Action:** See additional messages.

**PC1569D (NT) Renaming locked file after reboot**
**Reason:** After rebooting, the file with the first file name following this message will be deleted and it will be replaced by the file with second file name following this message.

**PC1570E (NT) Too many file rename operations**
**Reason:** The number of restored temporary files to be renamed the next time the system boots is too many for the operating system to handle. Therefore, the following file name will not be renamed.
**Action:** Call tech support.

**PC1571E File exists after delete**
**Reason:** This is an operating system error.
**Action:** Call tech support.

**PC1572E (NT) Can't copy operations to new SYSTEM hive**
**Reason:** An error occurred while attempting to copy the PendingFileRenameOperations value from the current SYSTEM registry hive to the SYSTEM registry hive file that is to be restored. As a result any pending file renames or deletes will not be performed during the next system boot.
**Action:** See additional messages.

**PC1573E (NT) Can't create a temporary SYSTEM key**
**Reason:** An error occurred while attempting to create a temporary HKLM\HARDWARE\SYSTEM key in the registry. This key is needed in order to copy the PendingFileRenameOperations value from the current SYSTEM registry hive to the SYSTEM registry hive file that is to be restored.
**Action:** See additional messages.

**PC1574E (NT) Can't create a key, it already exists**
**Reason:** An error occurred while attempting to create a temporary HKLM\HARDWARE\SYSTEM key in the registry because this key already exists and should not. This key is needed in order to copy the PendingFileRenameOperations value from the current SYSTEM registry hive to the SYSTEM registry hive file that is to be restored.
**Action:** See additional messages.

**PC1575E (NT) Can't load the SYSTEM registry hive file**
**Reason:** An error occurred while attempting to load the SYSTEM registry hive file from the backup into the HKLM\HARDWARE\SYSTEM key in the registry. This is needed in order to copy the PendingFileRenameOperations value from the current SYSTEM registry hive to the SYSTEM registry hive file that is to be restored.
**Action:** See additional messages.

**PC1576E (NT) Can't open the Select key**
**Reason:** An error occurred while attempting to open the HKLM\HARDWARE\SYSTEM\Select key in the registry. This is needed in order to determine to which key, in the backup SYSTEM registry hive file, the PendingFileRenameOperations value from the current SYSTEM registry hive is to be copied.
**Action:** See additional messages.

**PC1577W (NT) Can't create a registry cleanup file**
**Reason:** An error occurred while attempting to create a registry cleanup file. This file is needed to delete the temporary HKLM\HARDWARE\SYSTEM key after the SYSTEM registry hive file to be restored has been modified. This is ok since the HKLM\HARDWARE\SYSTEM key will be deleted the next time the system is rebooted, but subsequent steps in the restore process may also encounter errors.
**Action:** See additional messages.

**PC1578E (NT) Can't query the Select key Current value**
**Reason:** An error occurred while attempting to query the Current value of the HKLM\\HARDWARE\SYSTEM\Select key. This is needed in order to determine to which key, in the backup SYSTEM registry hive file, the PendingFileRenameOperations value from the current SYSTEM registry hive is to be copied.
**Action:** See additional messages.

**PC1579E (NT) Can't open the Session Manager key**
**Reason:** An error occurred while attempting to open the "HKLM\HARDWARE\SYSTEM\ControlSet###\Control\Session Manager" key in the registry. This is the key to which the PendingFileRenameOperations value from the current SYSTEM registry hive is to be copied.
**Action:** See additional messages.

**PC1580E (NT) Can't copy PendingFileRenameOperation value**
**Reason:** An error occurred while attempting to copy the PendingFileRenameOperations value from the current SYSTEM registry hive to the "HKLM\HARDWARE\SYSTEM\ControlSet###\Control\Session Manager" key in the registry. This is needed so that the HKLM\HARDWARE\SYSTEM key can be saved to the temporary SYSTEM registry hive file that will be restored.
**Action:** See additional messages.

**PC1581E (NT) Can't save the temporary SYSTEM hive file**
**Reason:** An error occurred while attempting to save the "HKLM\HARDWARE\SYSTEM\ControlSet###\Control\Session Manager" key in the registry to the temporary SYSTEM SYSTEM registry hive file that will be restored.
**Action:** See additional messages.

**PC1582W (NT) Can't cleanup the temporary SYSTEM key**
**Reason:** An error occurred while attempting to destroy the subkeys and values of the HKLM\HARDWARE\SYSTEM key in the registry. This is ok since the HKLM\HARDWARE\SYSTEM key will be deleted the next time the system is rebooted, but subsequent steps in the restore process may also encounter errors.
**Action:** See additional messages.

**PC1583W (NT) Can't delete the temporary SYSTEM key**
**Reason:** An error occurred while attempting to delete the HKLM\HARDWARE\SYSTEM key in the registry. This is ok since the HKLM\HARDWARE\SYSTEM key will be deleted the next time the system is rebooted, but subsequent steps in the restore process may also encounter errors.
**Action:** See additional messages.

**PC1584E (NT) Can't alloc PendingFileRenameOperations buffer**
**Reason:** An error occurred while attempting to allocate a buffer to be used for modifying the PendingFileRenameOperations value in the registry.
**Action:** See additional messages.

**PC1585E (NT) Can't open the Session Manager key**
**Reason:** An error occurred while attempting to open the HKLM\SYSTEM\ControlSet###\Control\Session Manager key in the registry. This key contains the PendingFileRenameOperations value which controls the system's file rename and delete operations the next time that it boots.
**Action:** See additional messages.

**PC1586E (NT) Can't query PendingFileRenameOperations value**
**Reason:** An error occurred while attempting to query the PendingFileRenameOperations value which controls the system's file rename and delete operations the next time that it boots.
**Action:** See additional messages.

**PC1587E (NT) Can't set PendingFileRenameOperations value**
**Reason:** An error occurred while attempting to set the PendingFileRenameOperations value which controls the system's file rename and delete operations the next time that it boots. Therefore, all files restored to temporary file names will not be renamed.
**Action:** See additional messages.

**PC1588E (NT) Can't schedule Windows 9x file rename/delete**
**Reason:** An error occurred while attempting to write the file rename and delete operations to the WININIT.INI file of a Windows 95 or Windows 98 system. WININIT.INI controls Windows 9x's rename and delete operations the next time that it boots. Therefore, all files restored to temporary file names will not be renamed.

**PC1589E (NT) Can't schedule file rename operation**
**Reason:** An error occurred while attempting to schedule a file rename operation. This is an UPSTREAM internal error. The name of the file to be renamed follows:
**Action:** Contact UPSTREAM tech support.

**PC1590E (NT) Source file name for a rename is invalid**
**Reason:** An error occurred while attempting to schedule a file rename operation because the source file name of the file to be renamed is invalid. This is an UPSTREAM internal error. The source file name follows:
**Action:** Contact UPSTREAM tech support.

**PC1591E (NT) Target file name for a rename is invalid**
**Reason:** An error occurred while attempting to schedule a file rename operation because the target file name of the file to be renamed is invalid. This is an UPSTREAM internal error. The target file name follows:

**PC1592E (NT) Can't alloc file rename operation buffer**
**Reason:** An error occurred while attempting to allocate a buffer to be used for scheduling a file rename operation. The name of the file to be renamed follows:
**Action:** See additional messages.

**PC1593E (NT) Error renaming locked file**
**Reason:** There was an error renaming the temporary file to the final file name.
**Action:** See additional messages.

**PC1594W (NT) Error renaming locked file**
**Reason:** You requested that locked files be renamed or replaced and there was an error during the process.
**Action:** See additional messages.

**PC1595D (NT) Renaming locked file**
**Reason:** The first file name following this message is locked during a restore. The backup file will be restored to the second file name following this message which is a temporary file name.

**PC1596D (NT) Renaming locked file after restore**
**Reason:** The file names for the two files following this message have been swapped. The file with the first file name will be deleted on the next reboot.

**PC1597E (NT) Error reading regular data stream header**
**Action:** See additional messages.

**PC1598E (NT) Error reading regular data stream data**
**Action:** See additional messages.

**PC1599E Error writing pending data**
**Reason:** In a situation where data writes must be blocked into even multiples, there was an error writing pending data.
**Action:** See additional messages.

**PC1600E (UNIX) Error in lseek call**
**Reason:** While attempting to use lseek to seek, there was a system error.
**Action:** See additional messages.

**PC1601E Error creating file structure**
**Reason:** There was an error creating the internal file storage structure.
**Action:** Free memory and try again.

**PC1602E Error setting drive for directory create**
**Reason:** There was an error during a file open when the directory was attempting to be created, setting the default drive to the requested value.
**Action:** Be sure that the drive is ready then try again.

**PC1603E Error getting directory for directory create**
**Reason:** There was an error during a file open when the directory was attempting to be created, getting the current default directory for the specified drive.
**Action:** Be sure that the drive is ready then try again.

**PC1604E Error creating directory**
**Reason:** There was an error during a file open when the directory was attempting to be created.
**Action:** See additional messages.

**PC1605E Error setting directory after directory create**
**Reason:** There was an error during a file open when the directory was created setting the default directory to the directory successfully created.
**Action:** See additional messages.

**PC1606E Error opening file**
**Action:** See additional messages.

**PC1607E Error removing old EOF marker in a text file**
**Reason:** There was an error removing an old end-of-file marker in a text file when a file was opened for append.
**Action:** See additional messages.

**PC1608E Error reading old EOF marker in a text file**
**Reason:** There was an error reading the last byte of a text file opened for append.
**Action:** See additional messages.

**PC1609E Error seeking old EOF marker in a text file**
**Reason:** There was an error seeking to the last byte of a text file opened for append.
**Action:** See additional messages.

**PC1610E Error seeking for block read**
**Reason:** There was an error seeking for a location in a file for a block read.
**Action:** See additional messages.

**PC1611E Error reading a block**
**Reason:** There was an error reading a block of a file randomly.
**Action:** See additional messages.

**PC1612E Not enough data (unexpected EOF)**
**Reason:** During a block read, a block of a specific size was expected and the file did not contain enough data.
**Action:** The file has been corrupted. Delete the file and retry (if possible).

**PC1613E Error writing a string**
**Reason:** There was an error writing a string sequentially.
**Action:** See additional messages.

**PC1614E Error writing a string EOL**
**Reason:** There was an error writing the CR/LF combination after writing a string successfully.
**Action:** See additional messages.

**PC1615E Error seeking for block write**
**Reason:** There was an error setting the location for a block write.
**Action:** See additional messages.

**PC1616E Error writing a block of data**
**Action:** See additional messages.

**PC1617E Error deleting a file**
**Action:** See additional messages.

**PC1618E Error seeking to the end of a file**
**Action:** See additional messages.

**PC1619E Access of a LAN file.**
**Reason:** The file to be opened is a LAN file.
**Action:** Purchase the LAN version of UPSTREAM.

**PC1620E Error searching a directory (FindFirst OS2)**
**Reason:** There was an error searching the directory.
**Action:** See additional messages.

**PC1621E Error searching a directory (FindNext OS2)**
**Reason:** There was an error searching the directory.
**Action:** See additional messages.

**PC1622E Error searching a directory (FindFirstFile WinNT)**
**Reason:** There was an error searching the directory.
**Action:** Contact Tech Support.

**PC1623W Ignoring file with size over 4G (WinNT)**

**Reason:** File found while searching the directory.
**Action:** Exclude this file.

**PC1624W Invalid date and time for file (WinNT)**
**Reason:** File found while searching the directory. Assuming date and time of 01/01/1980 12:00:00a.

**PC1625E Error removing a directory**
**Action:** See additional messages.

**PC1626E (NT) Error getting file time**
**Action:** See additional messages.

**PC1627E (NT) Error setting file time**
**Action:** See additional messages.

**PC1628E (NT) Error reading Extended Attribute or ACL**
**Reason:** stream header
**Action:** See additional messages.

**PC1629E (NT) Error reading Extended Attribute or ACL**
**Reason:** stream data
**Action:** See additional messages.

**PC1630E (NT) Error writing Extended Attribute or ACL**
**Reason:** Stream ID header
**Action:** See additional messages.

**PC1631E (NT) Error writing Extended Attribute or ACL**
**Reason:** Stream data
**Action:** See additional messages.

**PC1632E (NT) Error skipping Extended Attribute or ACL**
**Reason:** Stream data
**Action:** See additional messages.

**PC1633W (NT) Error Extended Attribute stream too long**
**Reason:** An extended attribute stream for a file has a size greater than 4G and cannot be backed up.
**Action:** Exclude this file.

**PC1634E (NT) Error restoring non-file data**
**Reason:** The non-file data for a file or directory could not be restored because the “Restore files and directories” privilege could not be enabled.
**Action:** Ensure your account has the appropriate rights.

**PC1635E (NT) Error backing up directory non-file data**
**Reason:** The non-file data for a directory could not be backed up because the directory could not be opened.
**Action:** See additional messages.

**PC1636E (NT) Error restoring directory non-file data**
**Reason:** The non-file data for a directory could not be restored because the directory could not be opened.
**Action:** See additional messages.

**PC1637E Error allocating extra data buffer**
**Reason:** There was an error allocating the buffer to hold multi-file extra data.
**Action:** Reduce the number of duplicate files or free memory.

**PC1638E Extra data position too large**
**Reason:** Internal error. Call tech support.

**PC1639E (NT) Error restoring invalid non-file data**
**Reason:** The non-file data for a file or directory could not be restored because it is not formatted properly.
**Action:** Call technical support.

**PC1640E Non-file data for one or more file not restored**
**Reason:** The non-file data for one or more files was not restored, probably because it was backed up from a different operating system than the one it is being restored for.
**Action:** Call technical support.

**PC1641E Error allocating file find buffer**
**Reason:** There was an error allocating a buffer needed to perform a file search operation.
**Action:** Free memory and try again.

**PC1642E An attempt was made to read past the end of file**
**Reason:** There was an attempt to read past the end of a file during a backup.
**Action:** Call technical support.

**PC1643E Error remapping file view**
**Reason:** There was an error remapping a view for a memory mapped file.
**Action:** Call technical support.

**PC1644E Cannot determine pipe file size**
**Reason:** A UNC pipe file spec was provided for a backup without a DASDOVERRIDE parameter. The only way for UPSTREAM to determine the amount of data that might be read from a pipe is for the user to inform UPSTREAM via a DASDOVERRIDE parameter. The DASDOVERRIDE parameter was either not provided or did not specify an absolute number. UPSTREAM cannot backup the data from the pipe.
**Action:** Supply a DASDOVERRIDE parameter with an absolute number of bytes as a value.

**PC1645E (UNIX) Error in stat or lstat call**
**Reason:** While searching for files in a directory, there was a system error.
**Action:** See additional messages.

**PC1646E (UNIX) Error in getcwd call**
**Reason:** While searching for files in a directory, there was a system error.
**Action:** See additional messages.

**PC1647E (UNIX) Error in getcwd call**
**Reason:** While searching for files in a directory, there was a system error.
**Action:** See additional messages.

**PC1648E (UNIX) Error in getcwd call**
**Reason:** While searching for files in a directory, there was a system error.
**Action:** See additional messages.

**PC1649E (UNIX) Error in opendir call**
**Reason:** While searching for files in a directory, there was a system error.
**Action:** See additional messages.

**PC1650E (UNIX) Error in llseek call**
**Reason:** While attempting to use llseek to seek, there was a system error.
**Action:** See additional messages.

**PC1651E (NT) Can’t modify the SYSTEM hive backup file**
**Reason:** The restoration of the SYSTEM registry hive was either canceled by the user or an error occurred while attempting to modify the SYSTEM backup registry hive file that was restored to disk. The original backup file was not modified and was not applied to the registry. The name of the original SYSTEM backup registry hive file follows.
**Action:** See additional messages.

## NTReg.c errors.

**PC1652E (NT) Can’t allocate memory for a value name**

**PC1653E (NT) Can’t allocate memory for value data**

**PC1654E (NT) Can’t allocate memory for a value item**

**PC1655E (NT) Can’t open a key**

**PC1656E (NT) Can’t allocate memory for a key name**

**PC1657E (NT) Can’t allocate memory for a temp class name**

**PC1658E (NT) Can’t allocate memory for a temp key name**

**PC1659E (NT) Can’t allocate memory for a temp value name**

**PC1660E (NT) Can’t allocate memory for temp value data**

**PC1661E (NT) Can’t get a key’s information**

**PC1662E (NT) Can’t allocate memory for a class name**

**PC1663E (NT) Can’t allocate memory for security info**

**PC1664E (NT) Can’t get a key’s security info**

**PC1665E (NT) Can't allocate memory for a key item**

**PC1666E (NT) Can't enumerate a key's subkeys**

**PC1667E (NT) Can't enumerate a key's values**

**PC1668E (NT) Can't allocate memory for a matched value ref**

**PC1669E (NT) Can't allocate memory for a new value ref**

**PC1670E (NT) Can't allocate memory for a matched key ref**

**PC1671E (NT) Can't allocate memory for a new key ref**

**PC1672E (NT) Can't create a temporary SYSTEM key**

**PC1673E (NT) Can't create a key, it already exists**

**PC1674E (NT) Can't create a value**

**PC1675E (NT) Can't set a key's security**

**PC1676E (NT) Can't create a work key**

**PC1677E (NT) Can't create a work key, it already exists**

**PC1678E (NT) Can't load a registry backup file**

**PC1679E (NT) Can't find the backup registry Select key**

**PC1680E (NT) Can't find a backup reg ControlSetnnn key**

**PC1681E (NT) Can't find the active registry Select key**

**PC1682E (NT) Can't find a active reg ControlSetnnn key**

**PC1683E (NT) Can't allocate memory for a new class name**

**PC1684E (NT) Can't allocate memory for a NewSecurity**

**PC1685E (NT) Can't save the modified registry backup file**

**PC1686E (NT) Can't save the cleanup file**

**PC1687E (NT) Can't load the cleanup file**

**PC1688E (NT) Can't delete a key**

**PC1689E (NT) Can't delete the cleanup file**

**PC1690E (NT) Can't save value status**

**PC1691E (NT) Invalid value status**

**PC1692E (NT) Can't save key status**

**PC1693E (NT) Invalid key status**

**PC1694E (NT) Can't change value status**

**PC1695E (NT) Can't chang key status**

**PC1696E (NT) Can't add value to key tree**

**PC1697E (NT) Can't add key to key tree**

**PC1698E (NT) Can't create key tree**

**PC1699E (NT) Can't create key tree image list**

**PC1700E (NT) Can't load bitmap** Mid-level conversation errors.

**PC1701E Error occurred during a TP_STARTED verb**
**Reason:** If you are running OS/2 or Windows, a return code of 00010000 usually means a mismatch between the local LU alias and the communications manager local LU alias.

**PC1702E Error occurred during a TP_ENDED verb**

**PC1703E Error occurred during an ALLOCATE verb**

**PC1704E Error occurred during a SEND_DATA verb**

**PC1705E Error occurred during a RECEIVE_AND_WAIT verb**

**PC1706E Error occurred during a CONFIRM verb**

**PC1707E Error occurred during a CONFIRMED verb**

**PC1708E Error occurred during a DEALLOCATE verb**

**PC1709E Error occurred during a GET ALLOCATE verb**
**Reason:** There was an error checking for a remote request of UPSTREAM functions.
**Action:** (OS/2 or Windows) Verify that you have configured for remotely attachable programs correctly.

**PC1710E Error occurred during a TP_VALID verb**

**PC1711E Conversation type mismatch**
**Reason:** UPSTREAM received a remote allocate that was invalid.
**Action:** Allocate probably not destined for this node.

**PC1712E Bad sync level**
**Reason:** UPSTREAM received a remote allocate that was invalid.
**Action:** Allocate probably not destined for this node.

**PC1713E TPN not recognized**
**Reason:** UPSTREAM received a remote allocate that was invalid.
**Action:** Allocate probably not destined for this node.

**PC1714E Error testing remote allocate**
**Reason:** There was an error testing for remote allocates before beginning a local allocate.
**Action:** See additional messages

**PC1715E Expected data**
**Reason:** UPSTREAM received a state change when data was expected. Internal error.
**Action:** Contact Tech Support.

**PC1716E Error allocating test conversation**
**Action:** See additional messages.

**PC1717E Error deallocating test conversation**
**Action:** See additional messages.

**PC1718I Remote allocate support disabled**
**Reason:** UPSTREAM was started by the UPSTREAM Attach Manager to handle UPSTREAM user interface requests, you specified the environment variable USNORMT which intentionally disabled support for host initiates, or you are running UPSTREAM with multiple user support enabled.

**PC1719E Remote allocate checks are taking too long**
**Reason:** The internal UPSTREAM timer checks for remote requests of UPSTREAM functions every 5 seconds. The last check took more than 5 seconds so UPSTREAM is disabling this check.
**Action:** You should adjust your APPC software so that Remote Allocate checks return as quickly as possible (usually a value of 1).

**PC1720E Remote allocate checks seem locked**
**Reason:** UPSTREAM has has waited more than 1 minute for a remote allocate check to return. This indicates that your communications software has locked.
**Action:** A reboot would be suggested.

**PC1721D Remote allocate support was disabled**
**Reason:** UPSTREAM has disabled the support for host initiates due to a previous condition.
**Action:** Check the log to determine why host initiates were disabled.

**PC1725E Bad send state**
**Reason:** The conversation can not support the send request made. Internal error.
**Action:** Call technical support.

**PC1726E Bad receive state**
**Reason:** The conversation can not support the receive request made. Internal error.
**Action:** Call technical support.

**PC1727E Bad confirm state**
**Reason:** The conversation can not support the confirm request made. Internal error.
**Action:** Call technical support.

**PC1728E Bad confirmed state**
**Reason:** The conversation can not support the confirmed request made. Internal error.
**Action:** Call technical support.

**PC1729E Bad flush state**
**Reason:** The conversation can not support the flush request made. Internal error.
**Action:** Call technical support.

**PC1730E Bad send error state**
**Reason:** The conversation can not support the send error request made. Internal error.
**Action:** Call technical support.

**PC1731E Incomplete received.**
**Reason:** A receive and wait buffer was too small. Often this indicates a communications error either locally or on the host.
**Action:** Check the host log.

**PC1732E Bad flush send state**
**Reason:** The conversation can not support the flush request made. Internal error.
**Action:** Call technical support.

**PC1733E NULL receive buffer**
**Reason:** Internal error.
**Action:** Call technical support.

**PC1740E Cannot start a conversation**
**Reason:** A communications conversation cannot be started because neither APPC or TCP/IP is installed.

**PC1750E Can't allocate data to flush receives (OS/2).**
**Reason:** There was an error allocating data space to flush received data.
**Action:** Close other programs or free disk space.

**PC1751E Can't allocate data to flush receives (OS/2).**
**Reason:** There was an error allocating data space to flush received data to perform a confirmed.
**Action:** Close other programs or free disk space.

**PC1752E Can't allocate data to flush receives (OS/2).**
**Reason:** There was an error allocating data space to flush received data to completely end a conversation.
**Action:** Close other programs or free disk space.

**PC1753E Can't allocate record packing record.**
**Action:** Disable record packing or free memory.

**PC1754E Record packing error**
**Reason:** A data record was larger than the entire buffer received. Internal error.
**Action:** Call tech support.

**PC1755E Invalid packing type**
**Reason:** The remote specified a packing type not allowed.
**Action:** Call tech support.

**PC1756E Invalid packing type (standard)**
**Reason:** The remote specified a packing type not allowed.
**Action:** Call tech support.

**PC1757E Invalid packing type (fixed)**
**Reason:** The remote specified a packing type not allowed.
**Action:** Call tech support.

**PC1758E Invalid packing type (variable)**
**Reason:** The remote specified a packing type not allowed.
**Action:** Call tech support.

**PC1759E Length mismatch (rcvd %ld, data %ld)**
**Reason:** The length received does not match the length in the first two bytes of data. Internal protocol error.
**Action:** Call tech support.

**PC1760E Receive too small (%ld)**
**Reason:** All receives must be 2 bytes or more. Internal protocol error.
**Action:** Call tech support.

**PC1761E Packed receive too small**
**Action:** Retry your restore disabling record packing.

**PC1765E Too many conversations**
**Reason:** Internal error.
**Action:** Call tech support.

**PC1766E Invalid conversation number**
**Reason:** Internal error.
**Action:** Call tech support.

**PC1767E Invalid converation number**
**Reason:** Internal error.
**Action:** Call tech support.

**PC1768E No conversation ID set**
**Reason:** Internal error.
**Action:** Call tech support.

**PC1769E No conversation in progress**
**Reason:** Internal error.
**Action:** Call tech support

**PC1770E Invalid record packing conversation**
**Reason:** Internal error.
**Action:** Call tech support.

**PC1771E Set bad conversation number**
**Reason:** Internal error.
**Action:** Call tech support.

**PC1772E Bad conversation number**
**Reason:** Internal error.
**Action:** Call tech support.

**PC1773E Bad conversation number**
**Reason:** Internal error.
**Action:** Call tech support.

**PC1774E Bad conversation number**
**Reason:** Internal error.
**Action:** Call tech support.

**PC1775E Bad conversation number**
**Reason:** Internal error.
**Action:** Call tech support.

**PC1776E Bad conversation number**
**Reason:** Internal error.
**Action:** Call tech support.

**PC1777E Bad conversation number**
**Reason:** Internal error.
**Action:** Call tech support.

**PC1778E Bad conversation number**
**Reason:** Internal error.
**Action:** Call tech support.

**PC1779E Bad conversation number**
**Reason:** Internal error.
**Action:** Call tech support.

**PC1780E Bad conversation number**
**Reason:** Internal error.
**Action:** Call tech support.

**PC1781E Bad conversation number**
**Reason:** Internal error.
**Action:** Call tech support.

**PC1782E Not a packing conversation for receiving**
**Reason:** Internal error.
**Action:** Call tech support.

**PC1783E Not a packing conversation for sending**
**Reason:** Internal error.

**Action:** Call tech support.

## Backup file access errors.

**PC1801E Error writing description to the backup file**
**Reason:** There was an error writing the backup description record (record #1) to the internal backup file.
**Action:** See additional messages.

**PC1802E Error writing the specs to the backup file**
**Reason:** There was an error writing a file specification to the internal backup file.
**Action:** See additional messages.

**PC1803E Error writing file info to the backup file**
**Reason:** There was an error writing a file information record to the internal backup file.
**Action:** See additional messages.

**PC1804E Error writing description to the backup file**
**Reason:** There was an error writing the backup description record (record #1) to the internal backup file when the file information was completely written.
**Action:** See additional messages.

**PC1805E Error deleting backup file.**
**Reason:** There was an error deleting the internal backup file.
**Action:** See additional messages.

**PC1806E Error creating the backup file**
**Reason:** There was an error creating the internal backup file.
**Action:** See additional messages.

**PC1807E Error writing parameters to the backup file**
**Reason:** There was an error writing the non-repeating parameters to the internal backup file during its creation.
**Action:** See additional messages.

**PC1808D File spec specifies no files.**
**Reason:** The following backup file spec specifies a drive or mount point where no files could be found to be backed up. This usually occurs when a drive or mount point has been lost or is unavailable.
**Action:** Validate the drive or mount point connection.

**PC1809E No valid files to back up.**
**Reason:** There are no valid files in any of your file specs to backup. The backup will not be performed. Usually this occurs when one or more connections to drives or mount points have been lost.
**Action:** Validate the drive or mount point connection.

**PC1810W Backup specification not found.**
**Reason:** The backup specification directory was not found on the specified drive.
**Action:** Check your file set specification.

**PC1811I Error getting extended attribute size (OS/2)**
**Reason:** There was an error getting the size of the extended attributes. Extended attributes (if any) will not be backed up (but an attempt will be made to backup the data).
**Action:** The file may be in use - try later, or this may be a system file which can not be backed up.

**PC1812W File name too long**
**Reason:** UPSTREAM can only process file names which are less than or equal to the environment variable MAXFILENAMESIZE or 80 bytes for DOS and Windows 3.1, or 230 bytes for all other operating systems.
**Action:** Specify shorter file names or increase MAXFILENAMESIZE.

**PC1813E Error creating backup parameter file**
**Reason:** There was an error creating the parameter file which is associated with a backup file.
**Action:** See additional messages

**PC1814E Error reading backup file to delete**
**Reason:** There was an error reading the backup file for the purpose of deleting the parameter file attached to it. There will be a file left on disk.
**Action:** See additional messages

**PC1815E Error deleting param file attached to backup**
**Reason:** There was an error deleting the parameter file attached to the backup file. There will be a file left on disk.
**Action:** See additional messages

**PC1816E Error searching for file spec (OS/2 dirs above)**
**Reason:** There was an error searching the file spec requested
**Action:** See return code.

**PC1817E Error searching for file spec (OS/2 files)**
**Reason:** There was an error searching the file spec requested
**Action:** See return code.

**PC1818E Error searching for file spec (OS/2 dir)**
**Reason:** There was an error searching the file spec requested
**Action:** See return code.

**PC1819I Directory too long**
**Reason:** The directory below is too long for reliable DOS access. The files in it will be skipped.
**Action:** Reduce the directory path length.

**PC1820E Error saving backup parameters**
**Reason:** There was an error saving the original backup parameters temporarily so that modified parameters can be used.
**Action:** See additional messages.

**PC1821E Error recovering backup parameters**
**Reason:** There was an error retrieving the parameters that you originally specified. The parameters (as displayed) are now incorrect.
**Action:** See additional messages.

**PC1822E Error adding a file spec**
**Reason:** There was an error setting the current file spec after adding an existing one.
**Action:** See additional messages.

**PC1823E Error copying to new file spec**
**Reason:** When creating a new file spec, there was an error copying parameters from an existing file spec.
**Action:** See additional messages.

**PC1824E StreetTalk specified but no definition found**
**Reason:** While you checked the StreetTalk check box, there were no file specs which were StreetTalk names.
**Action:** Respecify.

## Backup file validity errors.

**PC1825W Old backup file**
**Reason:** The backup file detected on disk is not usable because it hold a version which does not match the version of the software. The backup will begin from the beginning.

**PC1826W Backup file not completed**
**Reason:** The backup file detected on disk is not usable because it did not complete. The backup file will be regenerated and the backup will start from the beginning.

**PC1827E File specification is not valid for LAN WS**
**Reason:** The file specification has a Universal Naming Convention (UNC) prefix which is not allowed when a ULTra LAN Workstation is also specified.
**Action:** Correct the file specification and try again.

**PC1828E File specification has invalid network name**
**Reason:** The file specification has a Universal Naming Convention (UNC) prefix which contains an invalid network name.
**Action:** Correct the file specification and try again.

**PC1829E Invalid UNC name**
**Reason:** The file specification contains an invalid UNC name.
**Action:** Respecify.

**PC1830E Record size too small**
**Reason:** To backup StreetTalk information, you must specify at least a 2048 byte record size (4096 with high compression).
**Action:** Respecify.

**PC1831E Error opening a file to calculate DASD size**
**Reason:** There was an error opening a file to calculate the size of the sequential disk file to be created for this back up on MVS.
**Action:** See additional messages.

**PC1832E Error accessing non-file data for DASD calc**
**Reason:** There was an error accessing non-file data so as to be able to calculate the total number of bytes that will be used to create the Sequential Disk file on MVS for this backup. In most cases this won't be a problem but if you have alot of these errors the file may be too small or go into secondary extents.
**Action:** See additional messages.

**PC1833E Error accessing non-file data for DASD calc**
**Reason:** There was an error accessing non-file data so as to be able to calculate the total number of bytes that will be used to create the Sequential Disk file on MVS for this backup. In most cases this won't be a problem but if you have alot of these errors the file may be too small or go into secondary extents.
**Action:** See additional messages.

**PC1834E Error creating new file spec.**
**Reason:** There was an error allocating memory for a file specification during the backup file build.
**Action:** Free memory and retry or specify fewer file specifications.

**PC1835E Error creating a directory level**
**Reason:** There was an error allocating memory for a directory level during the backup file build.
**Action:** Free memory and retry or specify the subdirectories explicitly.

**PC1836E Error creating a subdirectory**
**Reason:** There was an error allocating memory for a subdirectory during the backup file build.
**Action:** Free memory and retry or specify the subdirectories explicitly.

**PC1837E (Banyan) No data to back up.**
**Reason:** You specified that a server be backed up and the result was no data at all.
**Action:** Respecify.

**PC1838W (Banyan) Server specified has no data**
**Reason:** The server that you specified contains no groups to be backed up.
**Action:** Respecify.

**PC1839D (Banyan) Dynamic group detection:**

**PC1840E Volume doesn't support last access date**
**Reason:** You requested a restricted backup based on last access date. The volume you are backing up does not support this field. You must use an HPFS volume, Novell volume or Banyan volume formatted and accessed with OS/2 to use this facility.
**Action:** Respecify.

**PC1841E Only valid for full merge backups**
**Reason:** You can only specify a migration or retention of deleted files when performing a full merge backup.
**Action:** Respecify.

**PC1842W Can't migrate changed file.**
**Reason:** The following file has changed, yet it was specified for migration. This file will not be deleted.

**PC1843E Error opening incremental file**
**Reason:** There was an error opening to read the required last backup file used for incrementals.
**Action:** See additional messages.

**PC1844E Error opening incremental file**
**Reason:** There was an error opening to write the required last backup file used for incrementals.
**Action:** See additional messages.

**PC1845E Error reading incremental file.**
**Reason:** There was an error reading the required incremental file.
**Action:** See additional messages.

**PC1846E Error writing incremental file.**
**Reason:** There was an error writing the required incremental file.
**Action:** See additional messages.

**PC1847E Parameter inconsistancy**
**Reason:** You specified DATELIMIT but did not specify both a LATESTDATE and a LATESTTIME.
**Action:** Respecify.

**PC1848E Latest date incorrect**
**Reason:** The format must be YY:MM:DD.
**Action:** Respecify.

**PC1849E Latest time incorrect**
**Reason:** The format must be HH:MM:SS.
**Action:** Respecify.

**PC1850E Not AIX journaled file system**
**Reason:** The file specification (below) is not part of the normal AIX journaled file system. Only JFS files may be backed up with UPSTREAM.
**Action:** Respecify.

**PC1851D Skipping non journaled file system**
**Reason:** The following directory was included in the file specification, but is not a journaled file system and thus will not be included in the backup.

**PC1852W Skipping files not owned by the effective User**
**Reason:** You must be running with root user authority to backup files you do not own.

**PC1853D Error opening incremental file**
**Reason:** There was an error opening to read the required last backup file used for incrementals. It will be assumed that a full backup has not been done before. All files will thus be marked has having NOT been changed and the host will request all files not matching previous backups or in USTDUPFL.

**PC1854E Error allocating memory**
**Reason:** There was an error allocating memory for the backup restore file.
**Action:** Free memory or disk and retry.

**PC1855E Unexpected EOF**
**Reason:** Unexpected end of file reading the backup/restore file.
**Action:** Internal error. Call tech support.

**PC1856I Backup not completely started.**
**Reason:** This backup can not be restarted.

**PC1857I Restore not completely started.**
**Reason:** This backup can not be restarted.

**PC1858W Path name too long.**
**Reason:** The following path name is too long and its subdirectories will not be traversed.
**Action:** The MAXFILENAMESIZE environment variable can be increased up to 230 bytes.

**PC1859E ioctl failed for raw device.**
**Reason:** The ioctl used to get raw device information failed.

**PC1860E Open failed for raw device.**
**Reason:** Could not get raw device information.

**PC1861E Raw device too large.**
**Reason:** Can not back up devices over 2gig on AIX 3.2.

**PC1862E Banyan migration include not found.**
**Reason:** The specification below was not found in the include specs. Internal error.
**Action:** Call tech support.

**PC1863E Not local UFS or Veritas file system**
**Reason:** The file specification (below) is not part of a local Solaris UFS or Veritas file system. Only files in a local file system may be backed up with UPSTREAM.
**Action:** Respecify.

**PC1864D Skipping non UFS or Veritas file system**

**Reason:** The following directory was included in the file specification, but is not a Solaris UFS or Veritas file system and thus will not be included in the backup.

**PC1865E read_vtoc failed for raw partition**
**Reason:** Could not get partition info for raw device.

**PC1866D File exceeds maximum size**
**Reason:** The following file exceeds the MaxKFileSize specified.

**PC1867E Not local HFS or VxFS file system**
**Reason:** The file specification (below) is not part of a local HPUX HFS or VxFS file system. Only files in a local file system may be backed up with UPSTREAM.
**Action:** Respecify.

**PC1868D Skipping non HFS or VxFS file system**
**Reason:** The following directory was included in the file specification, but is not a HPUX HFS or VxFS file system and thus will not be included in the backup.

**PC1869E Unexpected EOF**
**Reason:** Unexpected end of file reading the backup/restore incremental date file.
**Action:** Internal error. Call tech support.

**PC1870W Backup file corrupt**
**Reason:** The existing backup file is not usable. It will be deleted and any prior restarts will not be serviced.

**PC1871E Bad UNC file specification**
**Reason:** A UNC file specification must consist of three components: \\MACHINE\SHARE\FILE_SPEC. One or more of these components are missing.
**Action:** Respecify

**PC1872E Not HFS file system**
**Reason:** The file specification (below) is not part of the normal HFS file system. Only HFS files may be backed up with UPSTREAM.
**Action:** Respecify.

**PC1873D Skipping non HFS file system**
**Reason:** The following directory was included in the file specification, but is not a HFS file system and thus will not be included in the backup.

**PC1874E Unable to obtain file system type**
**Reason:** An error occurred obtaining the file system type for the file specification (below).
**Action:** Probable internal error. Call tech support.

**PC1875D Skipping directory from unknown file system type**
**Reason:** An error occurred obtaining the file system type for the following directory and thus will not be included in the backup.

**PC1876E Not local UFS or AdvFS file system**
**Reason:** The file specification (below) is not part of a local Tru64 UNIX UFS or AdvFS file system. Only files in a local file system may be backed up with UPSTREAM.
**Action:** Respecify.

**PC1877D Skipping non UFS or AdvFS file system**
**Reason:** The following directory was included in the file specification, but is not a Tru64 UNIX UFS or AdvFS file system and thus will not be included in the backup.

**PC1878D Skipping zero length Tru64 UNIX partition**
**Reason:** The following raw device was included in the file specification which refers to a zero length or unallocated disk partition.

**PC1879D Skipping partition on unlabeled disk**
**Reason:** The following raw device was included in the file specification which refers to a partition other than c on an unlabeled disk. Only the c partition can be backed up on an unlabeled disk.

**PC1880E Exceeded maximum backup size**
**Reason:** Your backup exceeded the maximum size that your administrator has allowed for you.
**Action:** Contact your UPSTREAM administrator.

**PC1881E (Novell Migration) Need Long Name Space**
**Reason:** You specified a Novell Migration with the add extension option enabled. This requires that the LONG name space be loaded on the NetWare partition.
**Action:** Add the LONG name space to the partition.

**PC1882E Error flushing backup file to disk.**
**Action:** See additional messages.

**PC1883E Error adding entry to sort list.**
**Reason:** This is usually a memory error.
**Action:** Free memory or close programs.

**PC1884E Error creating sort file**
**Action:** See additional messages.

**PC1885E Error starting sort**
**Reason:** This is generally a memory shortage.
**Action:** Close applications or free memory.

**PC1886E Error deleting sort file**
**Action:** See additional messages.

**PC1887E Empty sort tree**
**Reason:** Internal error.
**Action:** Call tech support.

**PC1888E Error writing sort file**
**Action:** See additional messages.

**PC1889E Error reading sort file**
**Action:** See additional messages.

## Exclude errors.

**PC1890E Unable to allocate exclude file spec storage**
**Action:** Close some other applications and try the UPSTREAM function again.

**PC1891E Invalid exclude file spec**
**Reason:** An exclude file spec must be a UNC file spec (\\server\share or !:\server\share) or a fully qualified non-UNC file spec that contains a drive letter followed by ":\".
**Action:** Fix the exclude file spec and try the UPSTREAM function again.

## Intercomputer structure build/parse errors.

**PC1900E Build structure overflow**
**Reason:** When building a record for transmission, the data overflowed the structure passed in.
**Action:** Specify a larger record size.

**PC1901E Unexpected received data type**
**Reason:** A data record was received which contained data which was unexpected. Internal error.
**Action:** Call technical support.

**PC1902E Parse structure overflow**
**Reason:** When extracting received data, the data overflowed the buffer available.
**Action:** Specify a larger record size.

**PC1903E Field size overflow**
**Reason:** When extracting received data, the data overflowed the field available. Internal error.
**Action:** Call technical support.

**PC1904E Field not exact size**
**Reason:** When parsing received data, a data record was not the size expected. Internal error.
**Action:** Call technical support.

**PC1905E Received length too large**
**Reason:** When parsing received data, a data field was larger than the buffer to hold it.
**Action:** Specify a larger record size.

**PC1906E Received data area not created**
**Reason:** The received data buffer was not created before a request to parse data was received. Internal error.
**Action:** Call technical support.

**PC1907E Build structure overflow during fold**
**Reason:** When building a record for transmission, when attempting to fold lower case to upper case, the data overflowed the structure passed in.
**Action:** Specify a larger record size.

**PC1908E Invalid date format**
**Reason:** When converting a date from normal to Julian format, the date was not in the correct format (MM-DD-YY).
**Action:** Internal error. Call tech support.

**PC1909E (UNIX) Received invalid flag length field**
**Action:** Internal error. Call tech support.

**PC1910E (UNIX) Received invalid flag length field**
**Action:** Internal error. Call tech support.

**PC1911E (UNIX) Received invalid flag length field**
**Action:** Internal error. Call tech support.

**PC1912E (UNIX) Internal invalid flag length field**
**Action:** Internal error. Call tech support.

**PC1913E (Status) Received bad opcode**
**Action:** Internal error. Call tech support.

**PC1914E (Backup Build) Position out of range**
**Action:** Internal error. Call tech support.

## Backup state errors.

**PC2000E Error occurred during a backup build file**

**PC2001E Error occurred during a backup start conv**

**PC2002E Error occurred during a backup send description**

**PC2003E Error occurred during a backup received started**

**PC2004E Error occurred during a backup send file info**

**PC2005E Error occurred during a backup send file data**

**PC2006E Error occurred during a backup confirm**

**PC2007E Error occurred during a backup end conversation**

**PC2008E Error occurred at the end of a backup**

**PC2010E Error occurred during a restart read file**

**PC2011E Error occurred during a restart start conv**

**PC2012E Error occurred during a restart send restart**

**PC2013E Error occurred during a restart receive desc.**

**PC2014E Error occurred while deleting files.**

**PC2015E Error occurred during the host merge.**

**PC2020E Unwritten record unexpected.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC2021E Error reading backup file**
**Reason:** While attempting to reset the archive bits for duplicate files at the end of the backup there was an error reading the backup file.
**Action:** See I/O messages.

**PC2025E Unknown backup state**
**Reason:** Internal error.
**Action:** Call technical support.

**PC2026E Error allocating record data**
**Reason:** Memory was not available to allocate the record for the backup.
**Action:** Either specify a smaller record size or turn off compression.

**PC2027E Compression error**
**Reason:** Internal error.
**Action:** Call technical support.

**PC2028E Error reading file attributes**
**Reason:** This error may affect resetting the archive bit but the file will be included in backup/restore.
**Action:** See additional messages.

**PC2029W Error setting file attributes**
**Action:** See additional messages.

**PC2030E Backup file unusable for restarted backup**
**Reason:** The backup never started. It must be rerun.

**PC2032E Restarted backup mismatch**
**Reason:** The remote version date does not match the version date in the version file. The backup is not restartable.

**PC2033E Error recovering parameters during restart**
**Reason:** There was an error recovering the original parameters specified at backup time.
**Action:** See additional messages

**PC2034E Error using backup file specifications**
**Reason:** There was an error using the file specifications stored in the backup file. The backup is not restartable.

**PC2035E Error saving parameters during restart**
**Reason:** There was an error saving the current parameters to restore the parameters at the backup time.
**Action:** See additional messages

**PC2036E Error creating the specification hold area**
**Reason:** Memory ran short while attempting to create a structure to hold file specifications.
**Action:** Free memory, specify a smaller record size or turn off compression.

**PC2037D Error retrieving original transfer specs**
**Reason:** There was an error retrieving the transfer specifications that were in place before the restarted backup was attempted. This should not affect the success or failure of the transfer.

**PC2038E Error retrieving original transfer parms**
**Reason:** There was an error retrieving the transfer parameters that were in place before the restarted backup was attempted. This should not affect the success or failure of the transfer.

**PC2039E Remote saw transfer as completed**
**Reason:** The remote did not recognize that the backup had failed even though the PC thinks that it did. The backup is thus not restartable.

**PC2041E File open error aborted the backup**
**Reason:** The backup aborted because a file to be backed up was not available for open.

**PC2043E File read error aborted the backup**
**Reason:** The backup aborted because a file to be backed up was not available for read.

**PC2044W Error creating backup timer**
**Reason:** There was an error creating the backup timer. The backup should continue normally, however you will not be able to suspend the backup.

**PC2045E Extended attribute sizes not equal (OS/2)**
**Reason:** The extended attributes of the file has changed since the backup file information was created.
**Action:** Retry the backup.

**PC2046E Can't allocate extended attribute buffer (OS/2)**
**Reason:** There was an error allocating the data space for the extended attribute buffer.
**Action:** Free memory by unloading other programs or by freeing additional disk space.

**PC2047E Error getting extended attributes length (OS/2)**
**Reason:** There was an error getting the length of the extended attributes for the file.
**Action:** Verify that the file still exists.

**PC2048E Error getting extended attribute name (OS/2)**
**Reason:** There was an error extracting the name of the extended attribute.
**Action:** Verify that the file has not been opened by another application.

**PC2049E Error getting extended attributes (OS/2)**
**Reason:** There was an error extracting the extended attributes.
**Action:** Verify that the file has not been opened by another application.

**PC2050I Backup started**

**PC2051D Backup successful**

**PC2052D Backup complete with some ignored failures**

**PC2053D Backup failed**

**PC2054I Restarted backup started**

**PC2055W Backup suspended**

**PC2056I File transfer send started**

**PC2057D File transfer successful**

**PC2058D File transfer complete with some ignored failures**

**PC2059D File transfer failed**

**PC2060W File transfer suspended**

**PC2061E Suspend for specified percent complete (%ld)**

**PC2062E Suspend because time limit exceeded (%ld)**

**PC2063D Failed due to bad file specification**

**PC2064W Backup canceled**

**PC2070E Backup/Restore comm terminated fatal server error**
**Reason:** The communications with the host was terminated due to a fatal LAN error with the server that was being backed up from or restored to. The name of the server follows.
**Action:** Restore the LAN connection to the server and restart the failed backup or restore.

**PC2071E Backup/Restore comm terminated fatal ULTra error**
**Reason:** The communications with the host was terminated due to a fatal ULTra workstation error with the server that was being backed up from or restored to. The name of the server follows.
**Action:** Restore the LAN connection to the ULTra workstation and restart the failed backup or restore.

**PC2072E Backup/Restore comm terminated reason unknown**
**Reason:** The communications with the host was terminated for some unknown reason. The backup or restore failed. name of the server follows.
**Action:** Other messages contain the exact reason for the failure. Correct the problem and restart the failed backup or restore.

**PC2075E Original backup not completely started**
**Reason:** None of the files from the original backup ever reached the host.
**Action:** Restart the backup.

**PC2076D PC and/or Server time adjusted to MVS time**

**PC2077E Error creating the delta file.**
**Reason:** There was an error creating the file containing the time change. This will affect the USSTART program if it is currently running.
**Action:** See additional messages. If USSTART is running, stop and restart.

**PC2078E Restart not specified.**
**Reason:** There was a backup file, but the original backup was not specified as restartable.

**PC2079E Past restart point**
**Reason:** The backup has passed the point that a restart can be attempted.
**Action:** The backup has been committed “as is”. Future backups will pick up any data that was not included in this one.

**PC2080D Automatic delete to begin**

**PC2081D Automatic file deletion complete**

**PC2082E Error starting backup open file thread**
**Reason:** There was an error starting the backup open file thread.
**Action:** Contact tech support.

**PC2083E Timeout waiting for file open thread**
**Reason:** There was a timeout waiting for the file open thread to open the file.
**Action:** Contact tech support.

**PC2084E (OS/2) Error allocating memory**
**Reason:** There was an error allocating memory to hold information for file open threads.
**Action:** Specify a smaller number (NUMBACKUPTHREADS) or free memory or disk space.

**PC2085D A previous backup dataset was unavailable**
**Reason:** When performing the merge, the host attempted to dynamically allocate a dataset from a previous backup and it failed. Files which were on this backup will be requested from the PC.
**Action:** See the host log.

**PC2086E One of your file specs is empty.**
**Action:** Enter a file specification in every file spec.

**PC2087E Backup profile name invalid**
**Reason:** The backup profile name you specified can not be used to create a file name on the host and is thus invalid.
**Action:** Make sure the length is less than or equal to 8 and that the first character is an alphabetic character or a ‘$’, ‘#’ or ‘@’.

**PC2088E NDS file specs must be first**
**Reason:** You must specify all NetWare Directory Services file specs before non-NDS specs.
**Action:** Respecify

**PC2089D Dummy profile specified**
**Reason:** FDR/UPSTREAM will back up the file information only; no file data will be backed up and restores are prohibited. These profiles are only for testing.

**PC2090E Unknown duplicate flags**
**Reason:** FDR/UPSTREAM MVS sent duplicate flags to your machine which were unrecognized.
**Action:** Upgrade FDR/UPSTREAM on your machine.

**PC2091W Skipped %ld files**

**PC2092E Error saving parameters to add migration specs.**
**Action:** See additional messages.

**PC2093E Received bad position number.**
**Action:** Internal error. Call tech support.

**PC2094E Error creating backup open file event**
**Reason:** There was an error creating one of the synchronization events for the backup open file thread.
**Action:** Contact tech support.

**PC2095E A migration only backup was specified**
**Reason:** You specified a migration only backup and there were no migrated files.
**Action:** Respecify.

**PC2096E Storage type not allowed**
**Reason:** You may not specify a keyed or archived type backup for a migration backup.
**Action:** Specify a sequential disk or tape storage type.

**PC2097E Error reading backup description file**
**Reason:** This is not a restartable backup. The backup will be removed.

**PC2098D Directories will not be deleted.**
**Reason:** The USNODIRDELETE environment variable was specified which suppresses directory deletes.

**PC2099E Insufficient memory**
**Reason:** There was insufficient memory allocating space for backup verification.
**Action:** Close applications.

## Restore state errors.

**PC2100E Error occurred during a restore start conv.**

**PC2101E Error occurred during a restore send description**

**PC2102E Error occurred during a restore receive descript.**

**PC2103E Error occurred during a restore receive file**

**PC2105E Error occurred during a restore confirmed data**

**PC2106E Error occurred during a restore end conversation**

**PC2107E Error occurred during the completion of the rest.**

**PC2108E Error occurred during a restore restart.**

**PC2120E Dest specification is not valid for LAN WS**
**Reason:** The destination file specification has a Universal Naming Convention (UNC) prefix which is not allowed when a ULTra LAN Workstation is also specified.
**Action:** Correct the destination file specification and try again.

**PC2121E Dest specification has invalid network name**
**Reason:** The destination file specification has a Universal Naming Convention (UNC) prefix which contains an invalid network name. For the NLM version this happens when you don't specify a Novell Profile.
**Action:** Correct the destination file specification and try again or (NLM) use a Novell Profile.

**PC2122E Packing Size smaller than record size**
**Reason:** A file received has a larger record size than the packing size specified (PACKRECSIZE).
**Action:** Either increase the packing size or disable packing all together.

**PC2123E Unknown PlugIn file information**
**Reason:** The PlugIn which backed up the receive file is not the same PlugIn that is referenced by the user file specification.
**Action:** Call technical support.

**PC2124E PC text files disallowed with ULTra**
**Action:** Do not specify an ULTra restore with PC text files.

**PC2125E Unknown state during restore**
**Reason:** Internal error.
**Action:** Call technical support.

**PC2126W Error creating restore timer**
**Reason:** There was an error creating the restore timer. The restore should continue normally, however you will not be able to cancel the restore.

**PC2127E Error allocating data space**
**Reason:** There was insufficient memory to allocate the received data area.
**Action:** Free memory, or specify a smaller record size.

**PC2128E Error matching file info with specification**
**Reason:** Internal error matching the received file information with the user file specification.
**Action:** Call technical support.

**PC2129W Error opening existing file**
**Reason:** There was an error opening the existing file before the restore could be tried.
**Action:** Retry later.

**PC2130E Received data without information**
**Reason:** Restore data was received without an open file to write to. Internal error.
**Action:** Call technical support.

**PC2131E Unexpected received data type**
**Reason:** During a restore a record type other than data or information was received. Internal error.
**Action:** Call technical support.

**PC2132E Error creating directory**
**Action:** See additional messages.

**PC2133E Error allocating ext. attr. buffer (OS/2)**
**Reason:** There was an error allocating a buffer to hold the received extended attributes.
**Action:** Close other programs or free disk

**PC2134E Extended attribute overflow (OS/2)**
**Reason:** An extended attribute was larger than UPSTREAM can manage (32767 bytes for version 1.1).
**Action:** Reduce the size of the extended attributes

**PC2135E Error setting file extended attribute (OS/2)**
**Reason:** There was an error setting an extended attribute for a file.
**Action:** Verify that the file has not been opened by another application.

**PC2136W File restore errors logged on PC.**
**Reason:** Earlier file restore errors were logged by the PC. This message is to inform the mainframe that the restore was not completely successful.
**Action:** See PC log entries above.

**PC2137E Communications failed.**
**Reason:** The communications failed during the restore of the following file. This file may be corrupt.
**Action:** See PC log entries above.

**PC2138E Error saving parameters**
**Reason:** There was an error saving the original, user- specified parameters.
**Action:** See additional messages.

**PC2139E StreetTalk name specified not backed up**
**Reason:** The StreetTalk name that you specified as the original backup spec was not found.
**Action:** Respecify

**PC2140W StreetTalk destination should be mapped**
**Reason:** A drive which should have been mapped during the restore was not.
**Action:** This may happen when UPSTREAM creates a service and earlier reports the service not completely started or the backup had an error. Retry the restore.

**PC2141E Error reallocating data for merge**
**Reason:** A file was received which uses a larger record size than the previous file and the data space can't be allocated.
**Action:** Free memory and retry.

**PC2142E Error in fast de-compression**
**Reason:** There was a internal error in decompressing data that was compressed using fast compression.
**Action:** Contact Tech Support

**PC2143E Dummy profile disallowed**
**Reason:** Dummy profiles are for testing backups only; data generated can not be used for a restore.

**PC2144E Error writing EA.**
**Reason:** You are trying to restore file with OS/2 EA to a non-OS/2 ULTra workstation.
**Action:** If you really want to restore files/directories to a non-OS/2 workstation and loose there extended attributes, uncheck all of the OS/2 EA checkboxes in the 'More...' dialog.

**PC2145E Exceeded maximum duplicate files.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC2146E Duplicate file transferred and integrity failure**
**Reason:** The file information for a duplicate file received had internal errors (it really should never have been a duplicate).
**Action:** Exclude this file from the restore.

**PC2147E Error saving restart parameters**
**Reason:** There was a file error saving the restart parameters.

**Action:** See additional messages.

**PC2148E Error saving restart description**
**Reason:** There was a file error saving the restart parameters.
**Action:** See additional messages.

**PC2149E Error recovering restore parameters**
**Reason:** During a restarted restore, there was an error recovering the original UPSTREAM parameters.
**Action:** See additional messages.

**PC2150I Restore started**

**PC2151D Restore successful**

**PC2152D Restore completed with some failures**

**PC2153D Restore failed**

**PC2154E Restore suspended by user**

**PC2155I Restore restarted**

**PC2156D Restore failed but restartable**

**PC2157I File transfer receive started**

**PC2158D File transfer receive successful**

**PC2159D File transfer completed with failures**

**PC2160D File transfer failed**

**PC2161E File transfer canceled by user**

**PC2162E Suspend because time limit exceeded (%ld)**

**PC2175E Restore wildcard mismatch.**
**Reason:** You specified a source and destination with incompatible wildcards.
**Action:** Respecify; if there's a wildcard in the source, then there must be a wildcard in the destination.

**PC2176E Restore StreetTalk specification error.**
**Reason:** There is an error in the StreetTalk file spec or a wildcard mismatch.
**Action:** Respecify the given file spec.

**PC2177E StreetTalk destination changed**
**Reason:** You are not allowed to specify a different destination StreetTalk name - only a different file or path name.
**Action:** Respecify.

**PC2178E StreetTalk wildcard illegal**
**Reason:** You specified a wildcard in the original StreetTalk name definition and a local drive letter. This does not allow a one-to-one mapping of a StreetTalk name and the destination drive.
**Action:** Respecify.

**PC2180E Raw device too large.**
**Reason:** Can not restore to devices over 2gig on AIX 3.2.

**PC2181E Destination is not a raw disk or partition.**
**Reason:** A character special device other than a raw disk or partition was specified for the destination.

**PC2182E ioctl failed for raw device.**
**Reason:** Could not get IOCINFO for raw device.

**PC2183E Open failed for raw device.**
**Reason:** Could not get IOCINFO for raw device.

**PC2184E Backup is not a raw disk or partition.**
**Reason:** Can not restore a regular file to a raw disk or partition.

**PC2185E Destination and backup are not the same type.**
**Reason:** The destination for the restore is a raw disk and the backup is from a raw partition, or the destination is a raw partition and the backup is from a raw disk.

**PC2186E Destination not same size as backup.**
**Reason:** Can not restore a backup of a raw device to a raw device of a different size.

**PC2187E Destination and backup blocksizes different.**
**Reason:** Can not restore a backup of a raw device to a raw device with a different blocksize.

**PC2188E Not root user.**
**Reason:** You must be the root user to restore to a raw disk or partition.

**PC2189E Raw device does not exist.**
**Reason:** A raw device must exist prior to restore.

**PC2190E Restore can't restart.**
**Reason:** You attempted to restart a non-restartable restore.
**Action:** Restart the restore manually.

**PC2191E Version not found**
**Reason:** During personalization destination checking the requested version date was not found.
**Action:** Specify a valid version date.

**PC2192E Drive not found**
**Reason:** During personalization destination checking the requested drive was not found or had been remapped.
**Action:** Specify a valid, non-remapped drive.

**PC2193E read_vtoc failed for raw device.**
**Reason:** Could not get partition info for raw device.

**PC2194E (Banyan) Invalid StreetTalk name.**
**Reason:** When you use the '$' drive letter for a StreetTalk restore, you must specify the StreetTalk name as the first directory level.
**Action:** Respecify.

**PC2195D Banyan spec mapped to normal specification.**

**PC2196D File skipped - larger than MAXKFILESIZE.**

**PC2197E Error restoring a NT registry hive file**
**Reason:** An error occurred while restoring one or more Windows NT registry hive files.
**Action:** See preceeding messages and check the ULTra log if applicable.

**PC2198E Error dynamically adding a file spec**
**Reason:** To add the "directories above" restore specifications, there was an internal error.
**Action:** See additional messages.

**PC2199E Error copying a file spec**
**Reason:** To add the "directories above" restore specifications, there was an error copying from the existing entry.
**Action:** See additional messages.

## Inquire version errors.

**PC2200E Error opening version information temporary file**
**Action:** See additional messages

**PC2201E Error allocating inquire version memory**
**Reason:** There was not enough memory to allocate space to inquire versions.
**Action:** Free memory

**PC2202E Error building start conversation record**
**Reason:** There was an error building the start conversation record to send to the remote.
**Action:** See additional messages.

**PC2203E Error sending start conversation for inq ver**
**Reason:** There was an error sending the start conversation record for an inquire versions request.
**Action:** See additional messages.

**PC2204E Error sending inquire versions record**
**Action:** See additional messages.

**PC2205E Error receiving inquire versions information**

**Reason:** There was an error receiving the non-repeating backup description from the remote.
**Action:** See additional messages.

**PC2206E Error sending inquire versions confirmed**
**Reason:** There was an error acknowledging receipt of all the version information.
**Action:** See additional messages.

**PC2207E Error ending the inquire versions conversation**
**Action:** See additional messages.

**PC2208E Error deleting inquire versions temp file**
**Action:** See additional messages.

**PC2209E Error ending the inquire versions conversation**
**Action:** See additional messages.

**PC2210E Error writing to inquire versions temp file**
**Reason:** There was an error writing to the inquire versions temporary file.
**Action:** See additional messages.

**PC2211E Error receiving inquire versions repeated info**
**Reason:** There was an error receiving the repeated backup description information.
**Action:** See additional messages.

**PC2212E Error writing inquire version repeated info**
**Reason:** There was an error writing the repeated backup description information to the temporary file.
**Action:** See additional messages.

**PC2213E Error reading temporary inquire versions file**
**Reason:** There was an error reading the inquire versions temporary file for non-repeated backup description information.
**Action:** See additional messages.

**PC2214E Error reading temporary inquire versions file**
**Reason:** There was an error reading the inquire versions temporary file non-repeated information when searching for repeated information.
**Action:** See additional messages.

**PC2215E Error reading temporary inquire versions file**
**Reason:** There was an error reading the inquire versions temporary file repeated information.
**Action:** See additional messages.

**PC2216D Expected more file descriptions.**
**Reason:** There was an unexpected end of data or non- repeated structure when receiving version information.
**Action:** Call technical support.

**PC2217E Can't delete inquire versions file.**
**Reason:** There was an error deleting the inquire versions information file (USVER.BKP).
**Action:** See additional messages.

**PC2218E Error receiving inquire versions profile name**
**Reason:** There was an error receiving the profile name for the profile management information request.
**Action:** See additional messages.

**PC2219D No versions stored for this profile**
**Reason:** Specify a different backup profile.

**PC2220E Unexpected EOF reading version file**
**Reason:** Internal error reading non-repeated section.
**Action:** Contact tech support.

**PC2221E Unexpected EOF reading version file**
**Reason:** Internal error reading repeated section.
**Action:** Contact tech support.

**PC2222E Unexpected EOF reading version file**
**Reason:** Internal error reading non-repeated section to read repeated section.
**Action:** Contact tech support.

**PC2223E Bad translation tables**
**Reason:** The files to be processed require UTF-8 capable, one-to-one translation tables and your translation tables are not one-to-one.
**Action:** Specify ANS2ATOE.TAB and ANS2ETOA.TAB translation tables.

**PC2224I UTF-8 enabled**
**Reason:** UTF-8 unicode translation was enabled in a prior backup so it has been enabled now.

**PC2225I UTF-8 disabled**
**Reason:** UTF-8 unicode translation was disabled in a prior backup so it has been disabled now.

**PC2226E UTF-8 not supported**
**Reason:** A UTF-8 backup was received and it is not supported by this version of UPSTREAM.

## Restore messages(continued).

**PC2250E (UNIX) Error restoring APF extended attribute**
**Action:** If the errno is 139 (Operation not permitted), the user does not have read access to the BPX.FILEATTR.APF FACILITY class. If errno is not 139, contact tech support.

**PC2251E (UNIX) Error restoring PROGCTL extended attribute**
**Action:** If the errno is 139 (Operation not permitted), the user does not have read access to the BPX.FILEATTR.PROGCTL FACILITY class. If errno is not 139, contact tech support.

**PC2252D (UNIX) USS external link**
**Reason:** UNIX Systems Services external links are skipped on non-UNIX Systems Services operating systems.

**PC2253W SMS Novell Profile and non-SMS file**
**Reason:** This occurs when the file is backed up without using Novell's SMS.
**Action:** Restore this file without using SMS

**PC2254W Non-SMS restore and an SMS file**
**Reason:** This occurs when the file is backed up using Novell's SMS and the restore is performed using a SMS Novell Profile.
**Action:** Restore this file using SMS.

**PC2255E Zero length Tru64 UNIX partition**
**Reason:** The destination raw device for restore refers to a zero length or unallocated disk partition.
**Action:** Specify or define a valid partition.

**PC2256E Invalid partition on unlabeled disk**
**Reason:** The destination raw device for restore refers to a partition other than c on an unlabeled disk.
**Action:** Specifiy the c partition or label the disk.

**PC2257I Error deleting old auto-recall stub**
**Reason:** There was a file system error deleting the old Novell auto-recall stub file.
**Action:** See additional messages.

**PC2258E Volume mount point directory not restored**
**Reason:** The directory on the backup is a volume mount point directory and you have specified with the RESTOREMOUNTPOINTS parameter that all volume mount point directory restores should be failed.

**PC2259E Target directory has different volume mounted**
**Reason:** The target directory is a volume mount point directory for a volume that is different than the volume that was mounted on the volume mount point directory on the backup.

**PC2260E Volume mount point not reestablished**
**Reason:** The directory on the backup is a volume mount point directory, the target directory exists and is not a volume mount point directory and you have specified with the RESTOREMOUNTPOINTS parameter that volume mount point directory restores should be failed if the target directory is not a volume mount point directory.

**PC2261E Error creating a volume mount point directory**
**Reason:** The directory on the backup is a volume mount point directory, an error occurred while creating the target directory and you have speci-

fied with the RESTOREMOUNTPOINTS parameter that volume mount point directory restores should be failed if the target directory cannot be created.

**PC2262E Volume mount point directory not created**
**Reason:** The directory on the backup is a volume mount point directory, the target directory does not exist and you have specified with the RESTOREMOUNTPOINTS parameter that mount point directory restores should be failed if the target directory is not already a volume mount point.

**PC2263E Directory not restored to volume mount point**
**Reason:** The directory on the backup is not a volume mount point directory, the target directory is a volume mount point directory and you have specified with the RESTOREMOUNTPOINTS parameter that the mount point directory restores should be failed outright or be failed if the volume mount point does not exist or cannot be created.

**PC2264E Error creating a volume mount point**
**Reason:** The directory on the backup is a volume mount point directory, an error occurred while creating the volume mount point for the target directory, failed and you have specified with the RESTOREMOUNTPOINTS parameter that volume mount point directory restores should be failed only if the volume mount point cannot be created.

**PC2265E Can't restore volume mount point to ULTra**
**Reason:** The directory on the backup is a volume mount point directory, the creation of the volume mount point for the target directory cannot be performed because the restore is targeted to ULTra and you have specified with the RESTOREMOUNTPOINTS parameter that mount point directory restores should be failed if the volume point cannot be created.

**PC2266E Can't restore volume mount point on non-Win2K system**
**Reason:** The directory on the backup is a volume mount point directory, the creation of the volume mount point for the target directory cannot be performed because this is a non-Windows 2000 system and you have specified with the RESTOREMOUNTPOINTS parameter that mount point directory restores should be failed if the volume point cannot be created.

**PC2267I Skipping files for failed mount point directory**
**Reason:** The restore of a directory has failed due to a condition involving volume mount points. The restore of all of the files and subdirectories in that directory and its subdirectories will be skipped.

**PC2268I Volume replaced on mount point directory**
**Reason:** The directory on the backup is a volume mount point directory for the volume shown below. During the restore the target directory was found to be a mount point directory for a different volume. This is not an error since you specified with the RESTOREMOUNTPOINTS parameter that mount point directory restores should continue even if the target directory has a different volume mounted on it.

**PC2269I Volume removed from mount point directory**
**Reason:** The directory on the backup is a volume mount point directory for the volume shown below. During the restore the target directory was found to not have a volume mounted on it. This is not an error since you specified with the RESTOREMOUNTPOINTS parameter that mount point directory restores should continue even if the target directory no longer has a volume mounted on it.

**PC2270I Volume mounted on mount point directory**
**Reason:** The directory on the backup is a not volume mount point directory. During the restore the target directory was found to be a mount point for a volume. This is not an error since you specified with the RESTOREMOUNTPOINTS parameter that non-mount point directory restores should continue even if the target directory has a volume mounted on it.

**PC2271E A UID for the user name is not defined.**
**Reason:** One or more files restored with the UID of the current user.
**Action:** Add a definition for the user name using the appropriate utility for your system.

**PC2272E A GID for the group name is not defined.**
**Reason:** One or more files restored with the current GID.
**Action:** Add a definition for the group name using the appropriate utility for your system.

**PC2273E A UID for the user name is not defined.**
**Reason:** One or more files restored with the UID of the file at the time of backup.
**Action:** Add a definition for the user name using the appropriate utility for your system.

**PC2274E A GID for the group name is not defined.**
**Reason:** One or more files restored with the GID of the file at the time of backup.
**Action:** Add a definition for the group name using the appropriate utility for your system.

## Inquire files errors.

**PC2300E Error starting inquire files conversation**
**Action:** See additional messages.

**PC2301E Error sending start conversation for inquire file**
**Action:** See additional messages.

**PC2302E Error sending inquire files request.**
**Action:** See additional messages.

**PC2303E Error receiving inquire files information.**
**Action:** See additional messages.

**PC2304N Receiving backed-up file information**
**Reason:** A remote inquire files process is in progress. You can press CANCEL to abort process. This message will go away if there is an error or the information has been completely received.

**PC2305E Can't create inquire files temp file**
**Reason:** There was an error creating the inquire files temporary file. Inquire files will not function until this is fixed.
**Action:** See additional messages.

**PC2306E Can't write to inquire files temp file**
**Reason:** There was an error writing to the inquire files temporary file. Inquire files will not function until this is fixed.
**Action:** See additional messages.

**PC2307E Can't reopen inquire files temp file**
**Reason:** There was an error reopening the inquire files temporary file to fill the list box. Inquire files will not function until this is fixed.
**Action:** See additional messages.

**PC2308E Can't read inquire files temp file**
**Reason:** There was an error reading the file information from the inquire files temporary file. Inquire files will not function until this is fixed.
**Action:** See additional messages.

**PC2309E Unexpected end of file - inquire files temp**
**Reason:** End of file was reached on the inquire files temporary file. This is a system error and inquire files will not function until this is fixed.
**Action:** Call UPSTREAM technical support.

**PC2310E Can't allocate data to inquire files (OS/2).**
**Reason:** There was an error allocating data space to perform the inquire files function.
**Action:** Close other programs or free disk space.

**PC2311E File spec not found.**
**Reason:** The StreetTalk part of the name could not be found in the version inquiry section.
**Action:** Respecify the StreetTalk part of the name, specify the drive letter directly or perform a version inquiry to verify that the StreetTalk name exists.

**PC2312E Version inquiry required**
**Reason:** To perform a file inquiry of a given version using a StreetTalk name, you must in the same execution of UPSTREAM, perform a version inquiry and select the version to use.
**Action:** Perform a version inquiry.

**PC2313E User requested cancel of inquire files.**

**PC2314E Error sending delete file request.**
**Action:** See communications return codes.

**PC2315E Error confirming delete file request.**
**Action:** Additional messages.

**PC2316E Internal date format error.**
**Action:** Call tech support.

## Help system errors.

**PC2400E Error opening help file**
**Action:** See additional messages.

**PC2401E Error reading help file**
**Action:** See additional messages.

## Novell errors.

**PC2470I (Novell Login) Logging in as specified user:**

**PC2471D (Novell Login) Error setting context**
**Reason:** There was an error setting the default NDS flags.
**Action:** The Novell return code which follows may indicate the real problem for a later error.

**PC2472D (Novell Login) Error setting context**
**Reason:** There was an error setting the default NDS tree.
**Action:** The Novell return code which follows may indicate the real problem for a later error.

**PC2473W (Novell) Error renaming file**
**Reason:** Usually used to properly case a long file name.
**Action:** See Novell return code.

**PC2474W (Novell) Error converting to DOS name space**
**Reason:** The following file may report other errors and certain Novell features may not work.
**Action:** See following Novell return code.

**PC2475W (Novell) File already migrated**
**Reason:** The following file has already been migrated.

**PC2476E (Novell) Extension makes name too long**
**Reason:** By adding the file extension, the name would be too long. The file has been backed up, but will not be deleted locally.
**Action:** Delete this file manually.

**PC2477E (Novell) Error setting volume restrictions**
**Action:** Look up the return code in the UPSTREAM manual.

**PC2478E (Novell) Error getting volume restrictions**
**Action:** Look up the return code in the UPSTREAM manual.

**PC2479E (Novell) Error getting volume number**
**Reason:** During a search for volume restrictions, the volume number could not be obtained.
**Action:** Look up the return code in the UPSTREAM manual.

**PC2480E (Novell) Error getting volume information**
**Reason:** During a search for volume restrictions, the volume name could not be obtained.
**Action:** Look up the return code in the UPSTREAM manual.

**PC2481E (Novell) Server expected and not found.**
**Reason:** Internal error. FIX: Call tech support.

**PC2482E (Novell) Server expected and not found.**
**Reason:** Internal error. FIX: Call tech support.

**PC2483E (Novell) Server expected and not found.**
**Reason:** Internal error. FIX: Call tech support.

**PC2484E (Novell) Server expected and not found.**
**Reason:** Internal error. FIX: Call tech support.

**PC2485E (Novell) Server expected and not found.**
**Reason:** Internal error. FIX: Call tech support.

**PC2486E (Novell) Insufficient memory**
**Reason:** There was a memory shortage allocating memory for a server record.
**Action:** Free memory or close programs.

**PC2487E (Novell) Error attaching to server**
**Reason:** There was an error attaching to the specified server using a UNC name.
**Action:** Look up the Novell return code in the UPSTREAM manual.

**PC2488E (Novell) Insufficient memory**
**Reason:** There was a memory shortage allocating memory for a volume record.
**Action:** Free memory or close programs.

**PC2489E (Novell) Error attaching volume**
**Reason:** When using a UNC name there was a Novell error attaching the specified volume.
**Action:** Look up the Novell return code in the UPSTREAM manual.

**PC2490E (Novell) Error attaching to server**
**Reason:** When using a UNC name there was a Novell error attaching to the specified server.
**Action:** Look up the Novell return code in the UPSTREAM manual.

**PC2491I (Novell) Error setting file/dir info**
**Reason:** There was a Novell error setting the file or directory information.
**Action:** Look up the following return code in the UPSTREAM manual.

**PC2492E (Novell) Error allocating a dir handle**
**Reason:** There was a Novell error allocating a temporary name space directory handle.
**Action:** See additional messages.

**PC2493E (Novell) Bad file name**
**Reason:** A file name was expected to have a '/','\' or ':'.
**Action:** Internal error. Call tech support.

**PC2494E (Novell) Not a Novell drive**
**Reason:** You requested Novell migration of a non-Novell drive. The files will not be deleted. You will not see any more error messages.
**Action:** Set NOVELLMIGRATE to N

**PC2495I (Novell) USNOVWAITTOSET defined and set to:**

**PC2496E (Novell) Error unhiding directory**
**Reason:** There was an error unhiding a directory that was hidden so that Novell directory information can be set.
**Action:** See additional messages.

**PC2497E (Novell) Error unhiding directory**
**Reason:** There was an error unhiding a directory that was hidden so that Novell directory information can be extracted.
**Action:** See additional messages.

**PC2498E (Novell NT) Error setting bindery attributes**
**Reason:** Error setting the bindery attributes immediately after a bindery close.
**Action:** See additional messages.

**PC2499E (Novell NT) Error setting bindery attributes**
**Reason:** Error setting the bindery attributes immediately before a bindery open.
**Action:** See additional messages.

**PC2500E Error closing the bindery.**
**Reason:** There was an error closing the Novell bindery.
**Action:** Verify that the server is still up and that there are no other programs accessing the bindery.

**PC2501E Error retrieving directory restrictions.**
**Reason:** There was an error retrieving the directory size restrictions value.
**Action:** The additional messages reference the Novell return code and the directory affected. Call UPSTREAM tech support for more info.

**PC2502E Error allocating a temporary directory handle**
**Reason:** Certain Novell access calls require the existence of a temporary directory handle. You must have a free, NetWare accessible drive letter.
**Action:** Free a drive letter with the map command.

**PC2503E Error accessing trustee information.**
**Reason:** There was an error accessing trustee information for a file or directory.
**Action:** The additional messages reference the Novell return code and the directory affected. Call UPSTREAM tech support for more info.

**PC2504E Error retrieving directory information.**
**Reason:** There was an error retrieving the directory information values.
**Action:** The additional messages reference the Novell return code and the directory affected. Call UPSTREAM tech support for more info.

**PC2505E Error retrieving file information.**
**Reason:** There was an error retrieving the file information values.
**Action:** The additional messages reference the Novell return code and the file affected. Call UPSTREAM tech support for more info.

**PC2506E Error retrieving file information for a write.**
**Reason:** There was an error retrieving the file information to write the received file information.
**Action:** The additional messages reference the Novell return code and the file affected. Call UPSTREAM tech support for more info.

**PC2507E Error writing file or directory information**
**Reason:** There was an error writing the file or directory values.
**Action:** The additional messages reference the Novell return code and the file or directory affected. Call UPSTREAM tech support for more info.

**PC2508E Error setting the directory restrictions.**
**Reason:** There was an error setting the directory size restrictions value.
**Action:** The additional messages reference the Novell return code and the directory affected. Call UPSTREAM tech support for more info.

**PC2509E Error setting trustees.**
**Reason:** There was an error setting file or directory trustee rights.
**Action:** The additional messages reference the Novell return code and the file or directory affected. Call UPSTREAM tech support for more info.

**PC2510E Error converting to a Novell name.**
**Reason:** There was an error converting the specified name to a NetWare specific name.
**Action:** The additional messages reference the Novell return code and the directory affected. Call UPSTREAM tech support for more info.

**PC2511E Error setting directory information.**
**Reason:** There was an error setting directory information values.
**Action:** The additional messages reference the Novell return code and the directory affected. Call UPSTREAM tech support for more info.

**PC2512E Error reopening the bindery**
**Reason:** There was an error reopening the bindery. This is a serious error, as no bindery activities can happen (logins, print access, etc).
**Action:** From the system console, using monitor, clear the connection for the UPSTREAM PC. Restore the bindery.

**PC2513E Error setting file attributes**
**Reason:** There was an error setting a file's attributes.
**Action:** Call UPSTREAM technical support.

**PC2514E Error creating save info buffer**
**Reason:** There was an error creating the buffer to save the novell file or directory attributes in.
**Action:** Free memory

**PC2515E Error (re)setting Novell information**
**Reason:** There was an internal error setting the archive date or resetting the last access date.
**Action:** Call UPSTREAM technical support.

**PC2516E Error getting your object ID**
**Reason:** There was an error getting the object ID to reset the archiver ID for the file or directory.
**Action:** Call UPSTREAM technical support.

**PC2517E Error setting the server date/time**
**Action:** See additional messages.

**PC2518E Error building NetWare name**
**Reason:** There was an internal error with one of the following names.
**Action:** Contact Tech Support.

**PC2519E Write directory entry size error**
**Reason:** Unexpected size received during write directory entry. Internal error.
**Action:** Contact Tech Support.

**PC2520E Write file entry size error**
**Reason:** Unexpected size received during write file entry. Internal error.
**Action:** Contact Tech Support.

**PC2521E Error scanning files**
**Reason:** There was a Novell error scanning the drive.
**Action:** See additional messages.

**PC2522E Novell information not saved previously**
**Reason:** UPSTREAM attempted to write Novell information out and it was not saved previously.
**Action:** May occur after a error accessing file or directory information. However if you get a large number of these without preceeding, related errors, call tech support.

**PC2523E Error reopening the bindery**
**Reason:** There was an error reopening the bindery during set of archive bit and/or archive date. This is a serious error, as no bindery activities can happen (logins, print access, etc).
**Action:** From the system console, using monitor, clear the connection for the UPSTREAM PC. Restore the bindery.

**PC2524E Error allocating memory**
**Reason:** There was an error allocating memory for the LRU retrieval of object IDs
**Action:** Free memory and retry.

**PC2525E (Novell) Error loading NetWare API**
**Reason:** There was an error loading the API module
**Action:** See additional messages.

**PC2526E (Novell) Error loading function**
**Reason:** There was an error loading a particular NetWare function.
**Action:** See additional messages.

**PC2527E (Novell) Error loading NetWare DLLs**
**Reason:** A complete set of NetWare DLLs could not be found and loaded. The NetWare DLLs are required to provide the necessary NetWare API functions used by UPSTREAM.
**Action:** Reinstall the NetWare client software for the environment in which UPSTREAM is to run.

**PC2530E (Novell NDS) NDS not loaded**
**Reason:** The USNDS NLM is not running on any server.
**Action:** Load USNDS.NLM and retry.

**PC2531E (Novell NDS) Error accessing NLM**
**Reason:** There was a Novell error accessing the USNDS.NLM.
**Action:** See additional messages.

**PC2532E (Novell NDS) USNDS reported an error**
**Reason:** The following text came from USNDS.NLM:

**PC2533E (Novell NDS) Error accessing NLM**
**Reason:** There was a Novell error accessing the USNDS.NLM during a Read NDS request.
**Action:** See additional messages.

**PC2534E (Novell NDS) USNDS reported an error**
**Reason:** The following text came from USNDS.NLM:

**PC2535E (Novell NDS) Error accessing NLM**
**Reason:** There was a Novell error accessing the USNDS.NLM during a Write NDS request.
**Action:** See additional messages.

**PC2536E (Novell NDS) USNDS reported an error**
**Reason:** The following text came from USNDS.NLM:

**PC2537E (Novell NDS) USNDS reported an error**
**Reason:** The following text came from USNDS.NLM:

**PC2538E (Novell NDS) Error accessing NLM**
**Reason:** There was a Novell error accessing the USNDS.NLM during a Start request.
**Action:** See additional messages.

**PC2539E (Novell NDS) Error accessing NDS**
**Action:** See additional messages.

**PC2540I (Novell) Error getting object ID (DS)**
**Reason:** When attempting to set Novell values, there was an error getting the object ID. Operations will continue.
**Action:** See additional messages.

**PC2541I (Novell) Error getting object ID**
**Reason:** When attempting to set Novell values, there was an error getting the object ID. Operations will continue.
**Action:** See additional messages.

**PC2542I (Novell) Error getting object name (DS)**
**Reason:** When attempting to set Novell values, there was an error getting the object name. Operations will continue.
**Action:** See additional messages.

**PC2543I (Novell) Error getting object name**
**Reason:** When attempting to set Novell values, there was an error getting the object name. Operations will continue.
**Action:** See additional messages.

**PC2544I (Novell) Error canonicalizing name**
**Reason:** When attempting to set Novell values, there was an error converting the retrieved name to the standard format. Operations will continue.
**Action:** See additional messages.

**PC2545E (Novell) Expected buffer.**
**Action:** Internal error. Call Tech Support.

**PC2546I (Novell) Error abbreviating name**
**Reason:** When attempting to set Novell values, there was an error converting the standard name to abbreviated format. Operations will continue.
**Action:** See additional messages.

**PC2547W (Novell) [Supervisor] not predefined**
**Reason:** The predefined number for the [Supervisor] object does not match what was found on the server. There will be additional messages.
**Action:** Contact tech support.

**PC2548W (Novell) [Public] not predefined**
**Reason:** The predefined number for the [Public] object does not match what was found on the server. There will be additional messages.
**Action:** Contact tech support.

**PC2549E (Novell and LAN WS) LAN WS Name and**
**Reason:** Novell Profile specify mutually exclusive sources/destinations of data.
**Action:** Delete undesired specification.

**PC2550E (Novell Login) No profiles found**
**Action:** Run SETNOV to create a NetWare profile.

**PC2551E (Novell Login) Profile version mismatch**
**Action:** Run SETNOV to re-create your profile.

**PC2552E (Novell Login) Memory shortage**
**Reason:** There was a memory shortage while trying to read the profile file.
**Action:** Free memory and retry.

**PC2553E (Novell Login) Profile read error**
**Reason:** There was an error reading the NetWare profile.
**Action:** Run SETNOV and regenerate the profiles.

**PC2554E (Novell Login) Old version volume error**
**Reason:** There was an error converting the old profile information to the new format.
**Action:** Run SETNOV and upgrade.

**PC2555E (Novell Login) Memory shortage**
**Reason:** There was a memory shortage reading the data from the old profile.
**Action:** Run SETNOV and upgrade.

**PC2556E (Novell Login) Definition error**
**Reason:** There was a definition error in the old profile information.
**Action:** Run SETNOV and upgrade.

**PC2557E (Novell Login) Profile not found**
**Reason:** The profile that you specified is not one defined in SETNOV.
**Action:** Respecify in UPSTREAM or SETNOV.

**PC2558E (Novell Login) Error attaching to server**
**Reason:** There was a Novell error attaching to the specified server.
**Action:** Verify (in SETNOV) that the server name is correct. If so, call tech support with the error number that follows.

**PC2559E (Novell Login) Error getting UPSTREAM path**
**Reason:** There was a Novell error retrieving the current UPSTREAM path defintion.
**Action:** Call tech support with the error number that follows.

**PC2560E (Novell Login) Memory shortage**
**Reason:** There was an error allocating the memory required to hold the NetWare status information
**Action:** Free memory and retry.

**PC2561E (Novell Login) NetWare not loaded**
**Reason:** A request to load a NetWare profile was made but NetWare is not loaded.
**Action:** Load NetWare or don't request a profile.

**PC2562E (Novell Login) NetWare not loaded**
**Reason:** There are no defined NetWare connection IDs. This usually means that NetWare is not loaded.
**Action:** Load NetWare

**PC2563E (Novell Login) Error retrieving path**
**Reason:** There was a Novell error determining the original path on a drive to be mapped.
**Action:** Call tech support with the error number that follows.

**PC2564E (Novell Login) Path conflicts**
**Reason:** The path that you specified and the UPSTREAM path on the same drive conflict.
**Action:** Either change the SETNOV definition for the drive, run UPSTREAM on a local drive, or remap the UPSTREAM directory.

**PC2565E (Novell Login) NetWare error logging in.**
**Reason:** There was an error logging into the selected server.
**Action:** Verify that the password is correct. Call tech support with the error number that follows.

**PC2566E (Novell Login) Can't establish UPSTREAM path**
**Reason:** There was a Novell error reestablishing that path definition for the drive UPSTREAM is running from.
**Action:** Call tech support with the error number that follows.

**PC2567E (Novell Login) Can't map target drive**
**Reason:** There was a NetWare error mapping the target drive path.
**Action:** Call tech support with the error number that follows.

**PC2568E (Novell Login) Can't login logout user name**
**Reason:** There was a NetWare error logging into the server with the logout name (defined in SETNOV).
**Action:** Call tech support with the error number that follows.

**PC2569E (Novell Login) Can't establish UPSTREAM path**
**Reason:** There was a Novell error reestablishing that path definition for the drive UPSTREAM is running from during a logout operation.
**Action:** Call tech support with the error number that follows.

**PC2570E (Novell Login) Can't logout UPSTREAM drive**
**Reason:** You can't detach from the drive that you were running UPSTREAM from.
**Action:** Remap the UPSTREAM drive or change your SETNOV mappings for this profile.

**PC2571E (Novell Login) Can't run from server**
**Reason:** In OS/2 you cannot run UPSTREAM from a server that you're backing up.
**Action:** Run UPSTREAM from the local drive.

**PC2572E (Novell Login) Can't save drive mappings**
**Reason:** There was an error allocating the memory to save the drive mappings. UPSTREAM will continue, but when the backup is done the drive mappings for the server may be lost.

**Action:** Free memory.

**PC2573E (Novell Login) Can't save drive mappings**
**Reason:** There was a NetWare error retrieving the existing drive mappings for a given server.
**Action:** Call tech support with the error number that follows.

**PC2574E (Novell Login) Can't restore drive mappings**
**Reason:** There was a NetWare error restoring the preexisting drive mappings for a given server.
**Action:** Call tech support with the error number that follows.

**PC2575E (Novell Login) Can't get connection status**
**Reason:** There was a NetWare error getting the connection status used to determine if we should login using bindery or directory services.
**Action:** Call tech support with the error number that follows.

**PC2576E (Novell Login) Can't get locale**
**Reason:** There was a NetWare error getting the locale information necessary to login using directory services.
**Action:** Verify that the directory with the Unicode tables is in the search path.

**PC2577E (Novell Login) Can't initialize unicode tables**
**Reason:** There was a NetWare error initializing the unicode tables necessary to login using directory services.
**Action:** Verify that the directory with the Unicode tables is in the search path.

**PC2578E (Novell Login) Can't create context**
**Reason:** There was a NetWare error creating the context necessary to login using directory services.
**Action:** Verify that directory service support is enabled in your requestor.

**PC2579D (Novell Login) Can't login**
**Reason:** There was a NetWare error logging in using directory services.
**Action:** Verify that the user name and password for this profile are correct and that you don't have any login restrictions on the user.

**PC2580E (Novell Login) Can't authenticate login**
**Reason:** There was a NetWare error authenticating the login using directory services.
**Action:** Verify that the user name and password for this profile are correct.

**PC2581E (Novell Login) Can't login logout user name**
**Reason:** There was a NetWare error logging into the server with the logout name (defined in SETNOV) using directory services.
**Action:** Verify that the user name and password for this profile are correct.

**PC2582E (Novell Login) Can't authenticate logout user name**
**Reason:** There was a NetWare error authenticating the logout user name (defined in SETNOV) using directory services.
**Action:** Verify that the user name and password for this profile are correct.

**PC2583E (Novell Login) Directory services required**
**Reason:** Novell directory services support is not loaded but is required to login to this server.
**Action:** Install directory services support on this PC.

**PC2584E (Novell Login) Error allocating dir handle**
**Reason:** There was an error allocating a new directory handle preparitory to mapping the new drive.
**Action:** See additional messages.

**PC2585E (Novell Login) Can't set locale**
**Reason:** There was a NetWare error setting the locale information necessary to login using directory services.
**Action:** Verify that the directory with the Unicode tables is in the search path.

**PC2586E (Novell Login) Can't get connection name**
**Reason:** There was a NetWare error getting the server's connection type.
**Action:** Report the following return code to tech support.

**PC2587E (Novell Login) Can't set tree**
**Reason:** There was a NetWare error setting the preferred directory services tree.
**Action:** Report the following return code to tech support.

**PC2588E (Novell Login) Server not NDS**
**Reason:** The server you specified does not use NetWare Directory Services.
**Action:** Specify a different server or use a bindery login name.

**PC2589D (Novell Login) Error logging out (DS).**
**Reason:** There was an error logging out from the server. Ususally this message can be ignored.

**PC2590D (Novell Login) Error logging out**
**Reason:** There was an error logging out from the server. Ususally this message can be ignored.

**PC2591I (Novell Login) Detaching from server:**

**PC2592W (Novell Login) Error detaching from server.**
**Reason:** There was a Novell error detaching from a server that UPSTREAM attached to.
**Action:** Look up the Novell error number in the UPSTREAM manual.

**PC2593I (Novell Login DS) Detaching from server:**

**PC2594W (Novell Login DS) Error detaching from server.**
**Reason:** There was a Novell error detaching from a server that UPSTREAM attached to.
**Action:** Look up the Novell error number in the UPSTREAM manual.

**PC2595D (Novell Login) Retrying attach**
**Reason:** In many circumstances the Novell Profile login will work if a complete disconnect and reconnect is attempted. Thus, you may see the same error messages repeated.

**PC2596E (Novell Login) Drive not mapped**
**Reason:** UPSTREAM got a good return code from the drive mapping but when it checked the drive status, it reported the following error.
**Action:** Call tech support.

**PC2597E (Novell Login) Drive not mapped**
**Reason:** UPSTREAM got a good return code from the drive mapping but when it checked the drive status, it reported the following status.
**Action:** Call tech support.

**PC2598E (Novell Login - SMS) Standard login required**
**Reason:** You checked the "No standard login" checkbox in the Novell Profile. This option is not allowed for the NLM version of UPSTREAM.
**Action:** Modify the Novell Profile.

**PC2599D (Novell Login) Error setting context**
**Reason:** There was an error setting the default name context.
**Action:** The Novell return code which follows may indicate the real problem for a later error.

## OS/2 specific file access errors.

**PC2600E (OS/2) Extended Attribute Record Overflow**
**Reason:** The extended attribute for the given file is larger than 65280 bytes.
**Action:** Reduce the size of the extended attribute.

**PC2601E (OS/2) Error accessing extended attributes**
**Reason:** There was an error accessing extended attributes for the given file.
**Action:** See additional messages.

**PC2602E (OS/2) Error setting extended attributes**
**Reason:** There was an error setting extended attributes for the given file.
**Action:** See additional messages.

**PC2603E (OS/2) Error saving file information**
**Reason:** There was an error retrieving information which should be available.
**Action:** See additional messages.

**PC2604E (OS/2) Saved file info doesn't match**
**Reason:** The information saved in the internal buffer for later updating doesn't match file or directory name to update. Internal error.
**Action:** Contact tech support.

**PC2605E (OS/2) Error setting file information**
**Reason:** There was an error setting information.
**Action:** See additional messages.

**PC2606E (OS/2) Error loading network function**
**Reason:** There was an error loading a required function to access the ACLs.
**Action:** See additional messages.

**PC2607E (OS/2) Error finding network library**
**Reason:** There was an error finding the network facilities.
**Action:** See additional messages.

**PC2608E (OS/2) Error listing all the drives**
**Reason:** There was an error listing the known mapped drives on this PC.
**Action:** See additional messages.

**PC2609E (OS/2) Error checking for ACLs**
**Action:** See additional messages.

**PC2614E (OS/2) Error getting share information**
**Reason:** An error occurred while retrieving information about a UNC share name.
**Action:** See additional messages.

**PC2615E (OS/2) Error allocating put memory.**
**Reason:** There was a memory shortage allocating memory required for OS/2 duplicate file handling.
**Action:** Either reduce the maximum number of duplicate files or free memory.

**PC2616E (OS/2) Remote server ACLs not supported**
**Reason:** While checking for ACLs for a file on a remote server UPSTREAM found that the remote server does not support ACL checking. ACL checking for all other files on this remote server will be skipped. This is the only message of this kind that will be issued for this remote server.
**Action:** See additional messages.

**PC2620E (OS/2) Error deleting existing ACLs**
**Action:** See additional messages.

**PC2621E (OS/2) Error creating ACLs.**
**Action:** See additional messages

**PC2622E (OS/2) Error allocating data area to save info**
**Action:** Free memory.

**PC2623E (OS/2) Error setting file attribute**
**Reason:** There was an error setting a file's attribute.
**Action:** See additional messages.

**PC2624W (OS/2) ACLs disabled**
**Reason:** You specified ACLs be backed up but you also specified that ACLs be disabled using the USNOACL environment variable. The process will continue.

**PC2625E (OS/2) Error allocating EA buffer memory.**
**Reason:** There was a memory shortage allocating memory required for OS/2 extended attribute handling.
**Action:** See additional messages and free memory.

## Banyan specific file access errors.

**PC2610D Banyan drive dynamically mapped.**

**PC2611D Banyan version 4 detected**
**Reason:** There are limited capabilities in backing up a Banyan version 4 system. See the UPSTREAM manual for a description of what these are.

**PC2612D Banyan "StreetTalk Lite" enabled**
**Reason:** You enabled the environment variable STLITE which causes UPSTREAM to not back up the StreetTalk security information or the StreetTalk attributes. This will result in faster backups as data that is rarely used will be excluded.

**PC2626E (Banyan) Error setting the access rights list**
**Reason:** There was an error setting the access rights list for the given directory.
**Action:** See additional messages.

**PC2627E (Banyan) Record size buffer too small**
**Reason:** The record size specified does not leave enough space for the access rights list.
**Action:** Make the buffer size larger than 335.

**PC2628E (Banyan) Access rights list bad**
**Reason:** The received access rights list is incorrect.
**Action:** Internal error. Call UPSTREAM tech support.

**PC2630E (Banyan) Memory shortage**
**Reason:** There was an error allocating memory to hold temporary information.
**Action:** Free memory and retry. You may also want to try setting the LOCALMEM parameter to a high value (SET LOCALMEM=10000).

**PC2631E (Banyan) Error getting RPC port.**
**Reason:** Error getting the remote procedure call port for the given service.
**Action:** See additional messages. UPSTREAM will continue.

**PC2632E (Banyan) Error getting the server version.**
**Reason:** There was an error retrieving the server version. UPSTREAM will continue, assuming that the server mapped to the drive is v5.
**Action:** See additional messages.

**PC2633E (Banyan) Error setting an ARL.**
**Reason:** There was the following error setting the access rights list for the following name.
**Action:** See additional messages.

**PC2634E (Banyan) Error getting an ARL.**
**Reason:** There was the following error getting the access rights list for the following name.
**Action:** See additional messages.

**PC2635E (Banyan) Memory shortage.**
**Reason:** There was a memory shortage allocating enough memory to hold the Banyan session information.
**Action:** Free memory and retry. You may also want to try setting the LOCALMEM parameter to a high value (SET LOCALMEM=10000).

**PC2637E (Banyan) Error starting the Banyan session**
**Reason:** There was the following error starting the Banyan session.
**Action:** An 1801 error means that you have to log on to the server (run BAN).

**PC2638E (Banyan) Error getting the server port**
**Reason:** There was an error getting the RPC port information for a given server.
**Action:** See additional messages.

**PC2641E (Banyan) Error listing StreetTalk names**
**Action:** See additional messages.

**PC2642E (Banyan) Memory shortage.**
**Reason:** There was a memory shortage allocating enough memory to hold the service list.
**Action:** Free memory and retry. You may also want to try setting the LOCALMEM parameter to a high value (SET LOCALMEM=10000).

**PC2643E (Banyan) Error getting associate record 0.**
**Reason:** There was an error getting the main associated record (record #0) for the given name.
**Action:** See additional messages.

**PC2644E (Banyan) No free drive**
**Reason:** When UPSTREAM attempted to dyamically associate a drive letter with a StreetTalk file service, there wasn't a free (unused) drive letter available.
**Action:** Reduce the number of user mapped drives or specify a StreetTalk name that has fewer file services.

**PC2645E (Banyan) Error dyamically mapping a drive**
**Action:** See additional messages.

**PC2646E (Banyan) Error getting associate record 0.**

**Reason:** There was an error getting the main associated record (record #0) for the given name.
**Action:** See additional messages.

**PC2647E (Banyan) Error getting the server name**
**Reason:** There was an error getting the server name for a given AdminList entry.
**Action:** See additional messages.

**PC2648E (Banyan) Error getting user profile.**
**Action:** See additional messages.

**PC2649E (Banyan) Error getting base security info.**
**Action:** See additional messages.

**PC2650E (Banyan) Error getting login time limits.**
**Action:** See additional messages.

**PC2651E (Banyan) Error getting login location count.**
**Action:** See additional messages.

**PC2652E (Banyan) Error getting login locations**
**Action:** See additional messages.

**PC2653E (Banyan) Error getting login location limits.**
**Action:** See additional messages.

**PC2654E (Banyan) Error listing attributes**
**Action:** See additional messages.

**PC2655E (Banyan) Error getting attribute information.**
**Action:** See additional messages.

**PC2656E (Banyan) Error getting an attribute.**
**Action:** See additional messages.

**PC2657E (Banyan) Error getting the members of a list.**
**Action:** See additional messages.

**PC2658E (Banyan) Received structure too large.**
**Reason:** Internal error.
**Action:** Call Tech Support.

**PC2659E (Banyan) Error adding a nickname.**
**Action:** See additional messages.

**PC2660E (Banyan) Memory shortage.**
**Reason:** There was a memory shortage allocating enough memory to hold data used later...
**Action:** Free memory and retry. You may also want to try setting the LOCALMEM parameter to a high value (SET LOCALMEM=10000).

**PC2661E (Banyan) Error finding saved data**
**Reason:** There was an error locating a structure which was saved in memory. Internal error.
**Action:** Call Tech Support.

**PC2662E (Banyan) Error adding a list.**
**Action:** See additional messages.

**PC2663E (Banyan) Received unknown structure type.**
**Reason:** Internal error.
**Action:** Call Tech Support.

**PC2664E (Banyan) Error adding a group.**
**Action:** See additional messages.

**PC2665E (Banyan) Error getting the current user**
**Reason:** There was an error retrieving the current user's StreetTalk name, used as the first entry in the AdminList of the newly created group.
**Action:** See additional messages.

**PC2666E (Banyan) Error adding current user**
**Reason:** There was an error adding the current user to the AdminList of a newly created group.
**Action:** See additional messages.

**PC2667E (Banyan) Error creating a service.**
**Action:** See additional messages.

**PC2668E (Banyan) Error setting an attribute.**
**Action:** See additional messages.

**PC2669E (Banyan) Error adding a list member.**
**Action:** See additional messages.

**PC2670E (Banyan) Error adding a user.**
**Action:** See additional messages.

**PC2671E (Banyan) Error setting base security.**
**Action:** See additional messages.

**PC2672E (Banyan) Error setting login times**
**Action:** See additional messages.

**PC2673E (Banyan) Error setting locational limits**
**Action:** See additional messages.

**PC2674E (Banyan) Error starting the service.**
**Reason:** There was an error starting a newly created Banyan service.
**Action:** See additional messages.

**PC2675E (Banyan) Banyan not loaded.**
**Reason:** You specified a StreetTalk name but the Banyan services are not available.
**Action:** Install or start Banyan and retry.

**PC2676E (Banyan) Error loading function**
**Reason:** There was an error loading a required function. UPSTREAM will not be able to use any Banyan specific facilities.
**Action:** For OS/2, verify that VNSAPI.DLL is in the LIBPATH and that you are logged in. For Windows 3.x, verify that VNSAPI.DLL is in the PATH and that your are logged in. For Windows 95 & NT, verify that VNSAPI32.DLL is in the PATH and that you are logged in.

**PC2677E (Banyan) Error loading module.**
**Reason:** There was an error loading a required module. UPSTREAM will not be able to use any Banyan specific facilities.
**Action:** For OS/2, verify that VNSAPI.DLL is in the LIBPATH and that you are logged in. For Windows 3.x, verify that VNSAPI.DLL is in the PATH and that your are logged in. For Windows 95 & NT, verify that VNSAPI32.DLL is in the PATH and that you are logged in.

**PC2678E (Banyan) Map drive not free**
**Reason:** When dynamically mapping a drive, the drive which was free, is no longer available.
**Action:** Verify that you don't have other applications on your PC dynamically mapping drives.

**PC2679E (Banyan) Unknown structure**
**Reason:** When receiving StreetTalk information, an unknown structure type was received. Internal error.
**Action:** Contact Tech Support.

**PC2680E (Banyan) Memory shortage.**
**Reason:** There was a memory shortage allocating enough memory to hold data used later...
**Action:** Free memory and retry. You may also want to try setting the LOCALMEM parameter to a high value (SET LOCALMEM=10000).

**PC2681E (Banyan) Too many service fields**
**Reason:** No more than 14 service fields were expected and more were received. Internal error.
**Action:** Contact Tech Support.

**PC2682E (Banyan) Error listing to add a group**
**Reason:** A template group must be found when adding a new group. There was an error listing groups in your organization.
**Action:** See additional messages.

**PC2683E (Banyan) Error reading list members**
**Reason:** List members are stored in a temporary file. There was an error reading the file. Lists will not be complete.
**Action:** See additional messages.

**PC2684E (Banyan) Error delete list file**
**Reason:** List members are stored in a temporary file. There was an error deleting this file.
**Action:** See additional messages. You should delete the file manually (BANLIST.*).

**PC2685E (Banyan) Error creating list file.**

**Reason:** List members are stored in a temporary file. There was an error creating this file.
**Action:** See additional messages. Verify that the WORKPATH is available.

**PC2686E (Banyan) Error writing list file.**
**Reason:** List members are stored in a temporary file. There was an error writing to this file.
**Action:** See additional messages.

**PC2687E (Banyan) Error formatting name**
**Reason:** The following name could not be formatted for use as a StreetTalk name (used to start it as a service).
**Action:** See additional messages.

**PC2688W (Banyan) Old version ARL**
**Reason:** The given directory was backed up using a version of UPSTREAM before v2.3.0. The ARL will be ignored.
**Action:** If you require the ARL information, either use an older version of UPSTREAM or contact Tech Support.

**PC2690E (Banyan) Invalid StreetTalk name**
**Reason:** A StreetTalk name must be in a "Item@Group@Organization" form.
**Action:** Respecify

**PC2691E (Banyan) Invalid StreetTalk name**
**Reason:** A part of a StreetTalk name is too large.
**Action:** Respecify

**PC2692E (Banyan) Error getting group name**
**Reason:** Error formatting the group name to create the service.
**Action:** See additional messages.

**PC2693E (Banyan) Error getting server service**
**Reason:** There was an error retrieving the name of a server service for the specified server.
**Action:** See additional messages.

**PC2695E (Banyan) Error setting the server time**
**Action:** See additional messages

**PC2696E (Banyan) Error getting server time**
**Reason:** Error getting the server time so that it could be set.
**Action:** See additional messages

**PC2697E (Banyan) Error listing groups**
**Reason:** There was an error listing the groups on the given server.
**Action:** See additional messages.

**PC2698E (Banyan) Error getting the server name**
**Reason:** For the group found, there was an error finding the server name to compare against the requested server.
**Action:** See additional messages.

**PC2699E (Banyan) Service list destroyed**
**Reason:** During a group search the internal service list was destroyed. Internal error.
**Action:** Call technical support.

**PC2700E (Banyan) Memory shortage**
**Reason:** While allocating memory to list the groups on a server, there was insufficient memory.
**Action:** Free memory.

**PC2701D (Banyan) Mapping error is fatal**
**Reason:** You can have UPSTREAM skip this file service and continue by specifying the environment variable USBANIGNOREMAPERROR.

## Performance test errors.

**PC2800E VSAM I/O test error**
**Reason:** There was an error starting or receiving the results of a VSAM I/O test.
**Action:** See additional messages.

**PC2801W Performance Tests Results:**

**PC2802E File I/O test error**
**Reason:** There was a file I/O test error and the results shown in the statistics will be wrong.
**Action:** See additional messages.

**PC2803E Communications volume test error**
**Reason:** There was an error in the communications test and the results shown in the statistics will be wrong.
**Action:** See additional messages.

**PC2804E Error saving performance tests values.**
**Action:** See additional messages.

## As of date restore errors.

**PC2900W Nothing to restore.**
**Reason:** You requested an "As of...Restore" and there were not versions matching your specified criteria.
**Action:** Respecify.

**PC2901E Duplicate backup profile names**
**Reason:** You specified the same profile name in the full and incremental profile fields.
**Action:** Specify different names or leave the incremental profile field blank (required for merge backups).

## High compression errors.

**PC3000E High compression reinitialization error**
**Reason:** There was an error initializing for high compression. This is an unexpected error.
**Action:** Call UPSTREAM technical support.

**PC3001E High compression error**
**Reason:** There was an error attempting to compress a record using high compression.
**Action:** See additional message.

**PC3002E High compression initialization error**
**Reason:** There was an error initializing for high compression. You probably do not have enough memory.
**Action:** See additional message.

**PC3003E Error during high decompression**
**Reason:** There was an error decompressing a record which was compressed using high compression.
**Action:** Call UPSTREAM technical support.

**PC3004E Error reinitializing high decompression**
**Reason:** There was an error reinitializing during a restore for high compression. This is an unexpected error.
**Action:** Call UPSTREAM technical support.

**PC3005E Error initializing for high decompression**
**Reason:** There was an error initializing a restore for high compression. You probably do not have enough memory.
**Action:** See additional message.

**PC3006E High compression, non-file overage**
**Reason:** After compressing a block of non-file data, the data was too large to fit into a single record.
**Action:** Call UPSTREAM technical support.

**PC3007E High decompression, non-file overage**
**Reason:** After decompressing a block of non-file data, the data was too large to fit into a single record. This is an unexpected error.
**Action:** Call UPSTREAM technical support.

**PC3008E High decompression, non-file input exhausted**
**Reason:** While decompressing a block of non-file data, the data was exhausted without being completed. This is an unexpected error.
**Action:** Call UPSTREAM technical support.

## Remote initiate errors.

**PC3100N Waiting for remote initiate**
**Reason:** UPSTREAM is waiting for the remote system to start a function on the PC. You can press the CANCEL button to exit UPSTREAM.

**PC3101I Remote initiate received**
**Reason:** A remote initiated function will now be processed.

**PC3102D Remote initiate queued.**
**Reason:** A remote initiated function was received. It will be queued for processing when the current process is complete.

**PC3103E Error allocating data for remote initiate**
**Reason:** There was insufficient data space for processing the remote initiate request. Further remote initiates will be rejected.
**Action:** Exit UPSTREAM and free memory.

**PC3104E Remote initiated request rejected**
**Reason:** The remote initiate request is rejected due to a problem reported earlier.

**PC3105E Remote requested non-queued and PC busy**
**Reason:** The remote system requested immediate execution of a function and this machine is currently busy processing another function that can not be interrupted at this point.
**Action:** The remote will retry.

**PC3106E Error saving current parameters during remote**
**Reason:** There was an error saving the current parameters so that remotely initiated parameters could be processed.
**Action:** See additional messages.

**PC3107E Invalid parameter received (%s)**
**Reason:** A parameter received from a remotely initiated request was in error.

**PC3108E Error recalling parameters for execution**
**Reason:** There was an error retrieving saved remotely specified parameters for execution now.
**Action:** See additional messages.

**PC3109E Error saving parameters for remote execution**
**Reason:** There was an error saving the received parameters.
**Action:** See additional messages.

**PC3110E Error restoring original parameters**
**Reason:** After saving parameters for remote execution, there was an error restoring the original parameters.
**Action:** See additional messages.

**PC3111E Missing parameter (%s %s)**
**Reason:** The parameters that were remotely received are incomplete.
**Action:** See additional messages.

**PC3112E Error saving current parameters**
**Reason:** There was an error saving the current parameters so that a remote execution request can be processed.
**Action:** See additional messages.

**PC3113E Error restoring current parameters**
**Reason:** There was an error restoring the current parameters after a remote execution request was processed.
**Action:** See additional messages.

**PC3114E User requested reject of remote functions**
**Reason:** To reactivate remote functions, select the “Unattended Remote Functions” option from the Action menu.

**PC3115E Error saving remote parameter file name**
**Reason:** There was an error saving the remotely specified parameter file name.
**Action:** See additional messages.

**PC3116E Error reading remotely specified param file**
**Reason:** There was an error reading the parameter file specified by the remote system.
**Action:** Verify that the name is correct.

**PC3117E User requested reject of PC requests**
**Reason:** The user requested that PC initiation requests be rejected.

**PC3118E PC is busy**
**Reason:** The PC is busy processing another request and is not available to process a request where the calling facility (USTBATCH or another PC) will wait for completion.

**PC3119D Remote initiate queued.**
**Reason:** A remote initiated function was received. It will be queued for processing when the current process is complete rejected when the following timelimit has been exceeded (based on USQUEUETIMELIMIT).

**PC3120E Wrong PC**
**Reason:** This request was sent to a PC whose logical name does not match the logical name of this PC. The logical name received is:

**PC3121I Starting another instance of UPSTREAM (US.EXE)**
**Reason:** In order to be able to handle multiple simultaneous remote initiations from the host, another instance of US.EXE is being started to receive another remote initiation. The UPSTREAM command line follows:

**PC3122D Waiting for remote initiate, but not listening.**
**Reason:** You specified ACTION 3 (wait for remote request) in the parameter file, but you have LISTENFORREMOTE N. Activate “Listen for Remote Function” in “Remote” menu (set LISTENFORREMOTE Y), or specify a different ACTION.

**PC3123E Attach manager only facility - this is UPSTREAM**
**Reason:** UPSTREAM received a request which can only be handled by the UPSTREAM attach manager and it is thus denied.

**PC3124E Error occurred sending status port info**
**Reason:** While sending the status port information to a cooperating UPSTREAM application, this error occurred.
**Action:** See additional messages.

**PC3125E Error occurred during receipt of remote request**

**PC3126E Error occurred during receipt of parameters**

**PC3127E Error occurred during a CONFIRMED of remote**

**PC3128E Error occurred during the end of a conversation**

**PC3129E Error occurred while test for remote initiate**

**PC3130N Waiting for remote initiate**
**Reason:** UPSTREAM is waiting for the remote system to start a function. For PC operating systems (GUI), press the CANCEL button to terminate. For UNIX operating systems use CTRL-C or the kill command if running in the background to terminate. For all others, press the [ESC] key.

**PC3131N Waiting for remote initiate**
**Reason:** UPSTREAM is waiting for the remote system to start a function. Use CTRL-C or the kill command if running in the background to terminate.

**PC3132N Waiting for remote initiate**
**Reason:** UPSTREAM is waiting for the remote system to start a function. Press [ESC] to terminate.

**PC3135I UPSTREAM user interface request received**

**PC3140E Insufficient memory.**
**Reason:** There was insufficient memory when allocating the queue area to save the remote request. This job will not be processed.
**Action:** Free memory or close applications.

**PC3141E Rejected because UPSTREAM’s busy.**
**Reason:** The user specified a USQUEUETIMELIMIT of 0 which causes UPSTREAM to reject any CONV=WAIT or CONV=KEEP jobs if it is busy processing another event.

**PC3142E Error deleting queued request**
**Reason:** A queued request which subsequently failed could not be deleted and may end up being serviced.
**Action:** See additional messages.

**PC3143W Remote job timed out.**
**Reason:** One of your remote jobs timed out and will not be processed.

**PC3144E Error removing timed out job.**

**Reason:** A queued request which subsequently timed out could not be deleted and may end up being serviced.
**Action:** See additional messages.

**PC3145E Error accessing saved job.**
**Action:** See additional messages.

**PC3146E Invalid system for remote request**
**Action:** Add this systems to your list of valid remote systems in the UPSTREAM Configurator (Personalization).

**PC3147E Remote system didn't specify a local user**
**Action:** Upgrade the remote copy of UPSTREAM or update remote user personalization settings in the UPSTREAM configurator.

**PC3148E Remote system's user denied**
**Reason:** The user who's logged onto the requesting system has been denied access to UPSTREAM functions.
**Action:** Add this user to the remote system definition in user personalization settings in the UPSTREAM configurator.

**PC3149E Local user name not specified**
**Reason:** UPSTREAM personalization requires that you enter a local user name before accessing UPSTREAM functions on this system.
**Action:** Specify LOCALUSER and LOCALPASSWORD parameters.

**PC3150E Error switching to logged on security**
**Action:** See additional messages.

## Parameter file queuing errors.

**PC3200E Error allocating memory for parameter queuing**
**Reason:** There was an error allocating enough memory to enqueue a new parameter structure. The process requested will fail.
**Action:** Free a small amount of additional memory.

**PC3201E Error setting specs for parm save**
**Reason:** There was an error setting the current parameter information during a parameter save. The process requested will fail.
**Action:** See additional messages.

**PC3202E Error setting writing parameters for parm save**
**Reason:** There was an error writing the parameters during a parameter save. The process requested will fail.
**Action:** See additional messages.

## Remote request errors. Locational errors

**PC3300E Remote request start conversation error**
**Reason:** There was an error starting the conversation with the remote system.
**Action:** See the return codes.

**PC3301E Remote request send request error**
**Reason:** There was an error sending the remote request record.
**Action:** See the return codes.

**PC3302E Remote request send parameter error**
**Reason:** There was an error sending a remote request parameter.
**Action:** See the return codes.

**PC3303E Remote request confirm error**
**Reason:** The remote system did not accept the remote request.
**Action:** See additional messages

**PC3304E Remote request end conversation error**
**Reason:** There was an error terminating the remote request conversation.
**Action:** See additional messages

## Other remote request errors

**PC3350E Error saving parameters**
**Reason:** There was an error saving your current parameters so that they could be sent to the remote system.
**Action:** See additional messages.

**PC3351E Error opening parameter file**
**Reason:** There was an error opening the file that is used to hold the parameters that are sent for remote execution.
**Action:** See additional messages.

**PC3352E Error reading parameter file**
**Reason:** There was an error reading the parameter file that is used to hold the parameters for remote execution.
**Action:** See additional messages.

**PC3353E Error removing saved parameters**
**Reason:** There was an error removing the saved parameters that were sent to the remote.
**Action:** See additional messages.

**PC3354E Required parameter missing**
**Reason:** When attempting a remote request either the partner LU, mode name or remote TPN was missing.
**Action:** Enter all the required parameters

**PC3355E TCP/IP requested but not activated**
**Reason:** If you wish to connect using TCP/IP to a remote PC directly, you must use TCP/IP to connect to the host.
**Action:** Respecify.

**PC3356W Remote requests via MVS require SNA.**
**Reason:** Remote requests to workstation/servers require that you be connected to the host via SNA. This function will only work if you uncheck the Through MVS option and specify the workstation's IP address directly.

## Profile management errors.

**PC3400E Error allocating deletion buffer**
**Reason:** There was an error getting memory for the communications buffer for deleting profile info.
**Action:** Free memory

**PC3401E Error starting conversation**
**Reason:** There was an error starting the conversation to delete a version.
**Action:** See return codes

**PC3402E Error sending start conversation**
**Reason:** There was an error sending the request to begin a conversation to delete a version.
**Action:** See return codes

**PC3403E Error sending remove backup**
**Reason:** There was an error sending the remove backup command.
**Action:** See return codes

**PC3404E Error confirming remove backup**
**Reason:** There was an error validating the remove backup
**Action:** See return codes or remote message

**PC3405E Error ending the conversation**
**Reason:** There was an error ending the conversation when removing a backup.
**Action:** See return codes

**PC3410I Deleting the following backup**

**PC3411I Deleting all backups in the following profile**

## Host configuration errors.

**PC3450E Error allocating memory**
**Reason:** There was an error allocating memory for host configuration management.
**Action:** Free memory or restart program.

**PC3451E Error during start conversation error**
**Reason:** There was an error during host config access.
**Action:** See additional messages.

**PC3452E Error sending start conversation**
**Action:** See additional messages.

**PC3453E Error receiving profile.**
**Action:** See additional messages.

**PC3454E Error sending profile**
**Action:** See additional messages.

**PC3455E Error confirming send of profile**
**Action:** See additional messages.

**PC3456E Error ending conversation**
**Reason:** There was an error during host config access.
**Action:** See additional messages.

**PC3457E Error opening host config file**
**Reason:** There was an error opening the temporary file used to hold the host configuration entries requested.
**Action:** See additional messages.

**PC3458E Error writing host config file**
**Reason:** There was an error writing to the temporary file used to hold the host configuration entries requested.
**Action:** See additional messages.

**PC3459E NULL Structure**
**Action:** Internal error. Call Tech Support.

**PC3460E Error reading host config file**
**Reason:** There was an error reading an entry from the temporary file used to hold the host configuration entries requested.
**Action:** See additional messages.

**PC3461E Unexpected end of file.**
**Reason:** There was an unexpected end of file reading entries from the temporary file used to hold the host configuration entries requested.
**Action:** Call Tech Support.

**PC3462E Error deleting file.**
**Reason:** There was an error deleting the temporary file used to hold the host configuration entries requested.
**Action:** See additional messages.

**PC3463E Error finding entry in file**
**Reason:** There was an error finding a profile entry in the internal profile file to reflect the update requested.
**Action:** Call Tech Support.

**PC3464E Expected confirm or data**
**Reason:** While receiving profiles, neither a confirm or data was received.
**Action:** Call Tech Support.

## LAN Workstation access errors. Mid-level LAN access errors).

**PC3500E (LAN WS) Error allocating I/O buffer**
**Reason:** There was an error dymaically allocating the I/O buffer. Insufficient memory.
**Action:** Set LANBUFFER to a smaller value or free memory.

**PC3502E (LAN WS) Error in negotiation.**
**Reason:** The record size specified in UPSTREAM is too small (should be larger than 1024 bytes).
**Action:** Increase the 'Record Size' value in 'MORE' screen.

**PC3505I (LAN WS) An IPX/SPX connection has been established**

**PC3506I (LAN WS) A TLI connection has been established**

**PC3507I (LAN WS) A TCP/IP connection has been established**

**PC3510E (LAN WS) Expected an acknowledgment**
**Reason:** An acknowledgment was expected and something else was received.
**Action:** Contact Tech Support.

**PC3511E (LAN WS) Bad data received**
**Reason:** While expecting an acknowledgment from the parter, other data was received.
**Action:** Contact Tech Support.

**PC3512E (LAN WS) Error in ULTra performance test.**

**PC3513E (LAN WS) Send buffer alloc. error.**
**Reason:** Error allocating send buffer in ULTRa performance test.
**Action:** Stop other application to free memory.

**PC3514E (LAN WS) Error parsing performance test request.**
**Reason:** Internal interface error.
**Action:** Upgrade ULTra if earlier than v2.5.6.

**PC3515E (LAN WS) Out of files**
**Reason:** (Requestor Msg) There are no more internal files for file access.
**Action:** Contact Tech Support.

**PC3516E (LAN WS) Error during a send open file**
**Reason:** (Requestor Msg) There was an error during a send open file request to the workstation.
**Action:** See additional messages.

**PC3517E (LAN WS) Error during a receive open file**
**Reason:** (Requestor Msg) There was an error during the receive of the open file aknowlegment.
**Action:** See additional messages.

**PC3520E (LAN WS) Error sending a read file**
**Reason:** (Requestor Msg) There was an error during the send of a read file request.
**Action:** See additional messages.

**PC3521E (LAN WS) Error receiving data**
**Reason:** (Requestor Msg) There was an error receiving data from a read file request.
**Action:** See additional messages.

**PC3522E (LAN WS) Time out receiving data**
**Reason:** (Requestor Msg) There was a time out receiving data from the workstation.
**Action:** Verify that the workstation is still running. Increase the TIME-OUT on both sides.

**PC3523E (LAN WS) Received bad data**
**Reason:** (Requestor Msg) While receiving file data from the workstation, an unexpected data type was received.
**Action:** Contact Tech Support.

**PC3525E (LAN WS) Error sending a write file request**
**Reason:** (Requestor Msg) There was an error sending a write file request to the workstation.
**Action:** See additional messages

**PC3526E (LAN WS) Error sending data.**
**Reason:** (Requestor Msg) There was an error sending data to the workstation.
**Action:** See additional messages.

**PC3530E (LAN WS) Error sending a close file request**
**Reason:** (Requestor Msg) There was an error sending a close file request to the workstation.
**Action:** See additional messages.

**PC3531E (LAN WS) Error receiving the close file ack**
**Reason:** (Requestor Msg) There was an error receiving the acknowledgment to the close file request.
**Action:** See additional messages.

**PC3535E (LAN WS) Error sending directory search**
**Reason:** (Requestor Msg) There was an error sending the directory search request.
**Action:** See additional messages.

**PC3536E (LAN WS) Error receiving directory information**
**Reason:** (Requestor Msg) There was an error receiving dir- ectory information from the workstation.
**Action:** See additional messages.

**PC3537E (LAN WS) Error receiving directory information**

**Reason:** (Requestor Msg) There was a time-out or remote disconnect receiving directory information from the workstation.
**Action:** Verify that the workstation is still running

**PC3538E (LAN WS) Error in searching directory**
**Reason:** (Requestor Msg) The workstation reported an error in performing a directory search.
**Action:** The return code should be looked up in the operating system messages in the UPSTREAM manual.

**PC3539E (LAN WS) Unexpected data**
**Reason:** (Requestor Msg) A directory search response contained unexpected data.
**Action:** Contact Tech Support.

**PC3545E (LAN WS) Error sending a create dir request**
**Reason:** (Requestor Msg) There was an error sending a create directory request.
**Action:** See additional messages.

**PC3546E (LAN WS) Error receiving create dir ack**
**Reason:** (Requestor Msg) There was an error receiving a create directory acknowledgment.
**Action:** See additional messages.

**PC3547E (LAN WS) Error creating directory.**
**Reason:** (Requestor Msg) The workstation reported an error creating directory.
**Action:** Check the error code. Most likely the existing directory structure is incompatable with that you are restoring.

**PC3550E (LAN WS) Error sending set dir info**
**Reason:** (Requestor Msg) There was an error sending a set directory info request (to set attributes).
**Action:** See additional messages.

**PC3551E (LAN WS) Error receiving dir info ack**
**Reason:** (Requestor Msg) There was an error receiving the acknowledgment to the directory info request.
**Action:** See additional messages.

**PC3555E (LAN WS) Error sending set date command**
**Reason:** (Requestor Msg) There was an error sending the command to set a file or system date.
**Action:** See additional messages.

**PC3556E (LAN WS) Error in set date.**
**Reason:** (Requestor Msg) There was an error in the set date command.
**Action:** See additional messages.

**PC3557E (LAN WS) Error in delete file request**
**Reason:** (Requestor Msg) There was an error in processing the command to delete a file.
**Action:** Check if the file was opened by some other application; check the file attributes.

**PC3558E (LAN WS) Error receiving delete file ack**
**Reason:** (Requestor Msg) There was an error receiving the acknowledgment to the delete file command.
**Action:** See additional messages.

**PC3559E (LAN WS) Not EA block.**
**Reason:** (Requestor Msg) Expected EA block, but neither EA, nor error received. Protocol error.
**Action:** Call Tech Support.

**PC3560E (LAN WS) Error sending read EA request**
**Reason:** (Requestor Msg) There was an error sending the request to read an OS/2 extended attribute.
**Action:** See additional messages.

**PC3561E (LAN WS) Error receiving EA**
**Reason:** (Requestor Msg) There was an error receiving the OS/2 extended attribute for a file.
**Action:** See additional messages.

**PC3562E (LAN WS) Error receiving EA**
**Reason:** (Requestor Msg) There was a timeout or a disconnect during the receipt of an OS/2 extended attribute.
**Action:** See additional messages.

**PC3563E (LAN WS) Error in remove directory request**
**Reason:** (Requestor Msg) There was an error in processing the command to remove a directory.
**Action:** Check if the directory is not empty or is opened by some other application.

**PC3564E (LAN WS) Error receiving remove directory ack**
**Reason:** (Requestor Msg) There was an error receiving the acknowledgment to the remove directory command.
**Action:** See additional messages.

**PC3565E (LAN WS) Error sending write EA**
**Reason:** (Requestor Msg) There was an error sending a request to write an extended attribute.
**Action:** See additional messages.

**PC3566E (LAN WS) Error sending EA**
**Reason:** (Requestor Msg) There was an error sending an extended attribute.
**Action:** See additional messages.

**PC3567E (LAN WS) Error sending EA ack**
**Reason:** (Requestor Msg) There was an error sending the acknowledgment which ends the extended attribute.
**Action:** See additional messages.

**PC3568E LAN interface not active or available.**
**Action:** Check if you specified the right LAN interface (‘More’ screen), check your PC configuration.

**PC3570E (LAN WS) (Raw) Error sending install check**
**Action:** See additional messages.

**PC3571E (LAN WS) (Raw) Error receiving install response**
**Action:** See additional messages.

**PC3572E (LAN WS) (Raw) Error sending list disks**
**Action:** See additional messages.

**PC3573E (LAN WS) (Raw) Error receiving list disks response**
**Action:** See additional messages.

**PC3574E (LAN WS) (Raw) Error sending open**
**Action:** See additional messages.

**PC3575E (LAN WS) (Raw) Error receiving open response**
**Action:** See additional messages.

**PC3576E (LAN WS) (Raw) Error sending read**
**Action:** See additional messages.

**PC3577E (LAN WS) (Raw) Error receiving data read**
**Action:** See additional messages.

**PC3578E (LAN WS) (Raw) Bad data type received.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC3579E (LAN WS) (Raw) Error sending data write**
**Action:** See additional messages.

**PC3580E (LAN WS) (Raw) Error sending write data**
**Action:** See additional messages.

**PC3581E (LAN WS) (Raw) Error receiving write response**
**Action:** See additional messages.

**PC3582E (LAN WS) (Raw) Error sending existing request**
**Action:** See additional messages.

**PC3583E (LAN WS) (Raw) Error receiving existing response**
**Action:** See additional messages.

**PC3584E (LAN WS) (Raw) Error sending close request**
**Action:** See additional messages.

**PC3585E (LAN WS) (Raw) Error receiving close response**
**Action:** See additional messages.

**PC3586E (LAN WS) (Raw) Error sending shutdown request**
**Action:** See additional messages.

**PC3587E (LAN WS) (Raw) Error receiving shutdown response**
**Action:** See additional messages.

**PC3588E (LAN WS) Error sending a process file spec request**
**Reason:** (Requestor Msg) There was an error sending a process file spec request to the workstation.
**Action:** See additional messages

**PC3589E (LAN WS) Error receiving a process file spec response**
**Reason:** (Requestor Msg) There was an error receiving an acknowledgment to a process file spec request.
**Action:** See additional messages

**PC3590E (LAN WS) Error sending read extra data request.**
**Reason:** (Requester Msg) There was an error sending the request to read non-file data.
**Action:** See additional messages.

**PC3591E (LAN WS) Error receiving extra data.**
**Reason:** (Requester Msg) There was an error receiving non-file data for a file or directory.
**Action:** See additional messages.

**PC3592E (LAN WS) Error receiving extra data.**
**Reason:** (Requester Msg) There was an error reading non-file data on the ULTra workstation.
**Action:** See additional messages.

**PC3593 (LAN WS) Unexpected get extra data response**
**Reason:** (Requester Msg) Expected a non-file data block, but neither it nor an error was received. Protocol error.
**Action:** Call Tech Support.

**PC3594E (LAN WS) Error sending write extra data request.**
**Reason:** (Requester Msg) There was an error sending the request to write non-file data. Fix: See additional messages.

**PC3595E (LAN WS) Error sending extra data.**
**Reason:** (Requester Msg) There was an error sending non-file data for a file or directory.
**Action:** See additional messages.

**PC3596E (LAN WS) Error parsing get non-file data request.**
**Reason:** ULTra was not able to parse parameters in get non-file data request. Non-file data is skipped. Internal error. Fix: Call Tech Support.

**PC3597E (LAN WS) Error in compression level.**
**Reason:** The compression level requested by UPSTREAM is not supported by ULTra. Non-file data is skipped.
**Action:** Set ULTRACOMP parameter in the current para- meter file to the value not greater than you specified for ULTra. For DOS ULTra it is 0.

**PC3598E (LAN WS) Buffer too small (Non-file data).**
**Reason:** The record size used by UPSTREAM is larger than the buffer allocated by ULTra.
**Action:** Set environment variable LANBUFFER to the size of 'Record Size' parameter in UPSTREAM. Restart ULTra.

**PC3599E (LAN WS) Error sending non-file data.**
**Reason:** Error occurred while sending non-file data to UPSTREAM.
**Action:** See additional messages.

## Mid-level workstation errors

**PC3600E (LAN WS) Error sending a close file ack**
**Reason:** (WS Msg) There was an error sending the acknowledgment to a close file command.
**Action:** See additional messages.

**PC3601E (LAN WS) Write error.**
**Reason:** There was an error resoring a file.
**Action:** See additional messages.

**PC3602W (LAN WS) Error restoring file attributes.**
**Reason:** There was an error attempting to restore original date/time settings for the file.

**PC3603E (LAN WS) Error parsing close request.**
**Reason:** Sytem error.
**Action:** Call Tech Support.

**PC3605E (LAN WS) File requested not found**
**Reason:** The requested file operation referenced an unknown file handle. Internal error.
**Action:** Contact Tech Support.

**PC3610E (LAN WS) Parse open file request error**
**Reason:** (WS Msg) There was an error parsing an open file request. Internal error.
**Action:** Contact Tech Support.

**PC3611E (LAN WS) Out of files**
**Reason:** (WS Msg) The maximum number of files open was exceeded. Internal error.
**Action:** Contact Tech Support.

**PC3612E (LAN WS) Error opening the file.**
**Reason:** (WS Msg) There was an error opening the requested file.
**Action:** See additional messages.

**PC3613E (LAN WS) Intercepted error.**
**Reason:** (WS Msg) This error is most likely for one of the previous directory entries. See ULTra log for details.

**PC3615E (LAN WS) Error parsing read file request**
**Reason:** (WS Msg) Invalid data was found parsing a read file request. Internal error.
**Action:** Contact Tech Support.

**PC3616E (LAN WS) Error seeking in an open file**
**Reason:** (WS Msg) There was an operating system error seeking to a location in an open file.
**Action:** Look up the return code in the operating system messages section of the UPSTREAM manual.

**PC3617E (LAN WS) Error reading a file**
**Reason:** (WS Msg) There was an operating system error reading data from an open file.
**Action:** Look the return code up in the operating system messages section of the UPSTREAM manual.

**PC3618E (LAN WS) Error sending file data**
**Reason:** (WS Msg) There was an error sending file data.
**Action:** Call Tech Support.

**PC3619E (LAN WS) Error sending end of data ack**
**Reason:** (WS Msg) There was an error sending the end of data acknowledgment.
**Action:** Call Tech Support.

**PC3620E (LAN WS) Range check during read file.**
**Reason:** Internal error.
**Action:** Call Tech Support.

**PC3625E (LAN WS) Unexpected data during parse**
**Reason:** (WS Msg) While parsing a write file request, unexpected data was received. Internal error.
**Action:** Call Tech Support.

**PC3626E (LAN WS) Error seeking for file write**
**Reason:** (WS Msg) There was a file error seeking to write data in an open file.
**Action:** Look the return code up in the operating system messages section of the UPSTREAM manual.

**PC3627E (LAN WS) Error writing file data.**
**Reason:** (WS Msg) There was a file error writing data to an open file.
**Action:** Look the return code up in the operating system messages section of the UPSTREAM manual.

**PC3630E (LAN WS) Unexpected data during parse**
**Reason:** (WS Msg) While parsing a directory information request, unexpected data was detected.
**Action:** Call Tech Support.

**PC3631E (LAN WS) Unexpected data during parse**

**Reason:** (WS Msg) While parsing a directory search request, unexpected data was detected.
**Action:** Call Tech Support.

**PC3632E (LAN WS) Error sending directory info**
**Reason:** (WS Msg) There was an error sending directory information.
**Action:** Call Tech Support.

**PC3633E (LAN WS) Error sending dir info ack**
**Reason:** (WS Msg) There was an error sending a directory information acknowledgment.
**Action:** Call Tech Support.

**PC3634E (LAN WS) Error restarting directory search**
**Reason:** (WS Msg) There was an error restarting a directory search.
**Action:** Call Tech Support.

**PC3635E (LAN WS) Unexpected data during parse**
**Reason:** (WS Msg) While parsing a create directory request, unexpected data was detected.
**Action:** Call Tech Support.

**PC3636E (LAN WS) Error during a directory create.**
**Reason:** (WS Msg) The error occurred servicing a directory create request.
**Action:** See additional messages.

**PC3640E (LAN WS) Unexpected data during parse**
**Reason:** (WS Msg) When parsing a set information (attributes) command unexpected data was detected.
**Action:** Call Tech Support.

**PC3641E (LAN WS) Error during a set info ack**
**Reason:** (WS Msg) The error occurred when sending an acknowledgment to a set info (attributes).
**Action:** See additional messages.

**PC3645E (LAN WS) Unexpected data during parse**
**Reason:** (WS Msg) When parsing a set date request, unexpected data was detected.
**Action:** Call Tech Support.

**PC3646E (LAN WS) Error during a set date ack**
**Reason:** (WS Msg) The error occurred when sending an acknowledgment to a set date.
**Action:** See additional messages.

**PC3647E (LAN WS) Error setting the file date.**
**Reason:** (WS Msg) There was a file error setting the file's date.
**Action:** Look the return code up in the operating system messages section of the UPSTREAM manual.

**PC3650E (LAN WS) Error during receive of service**
**Reason:** (WS Msg) There was an error receiving the service request from the remote.
**Action:** See additional messages.

**PC3651E (LAN WS) Unknown service.**
**Reason:** (WS Msg) When waiting for a service request from the requestor, an unknown request was received.
**Action:** Make sure that the workstation and the requestor are at the same version levels.

**PC3652E (LAN WS) Timeout waiting for a request**
**Reason:** (WS Msg) A timeout was detected while waiting for a service request.
**Action:** Verify that the requestor is still running. Increase the TIMEOUT on both sides.

**PC3653E (LAN WS) Invalid response.**
**Reason:** Data received from ULTra workstation can not be interpreted. Most likely UPSTREAM and ULTRa got out of synch because of the previous errors.
**Action:** If problem persists call Tech Support.

**PC3675I (LAN WS) Normal disconnect**
**Reason:** (WS Msg) Disconnect from remote station processed.

**PC3676E (LAN WS) Error during a file deletion**
**Reason:** (WS Msg) The error occurred servicing a file delete request.
**Action:** See additional messages.

**PC3677E (LAN WS) Unexpected data during parse**
**Reason:** (WS Msg) When parsing a delete file information command unexpected data was detected.
**Action:** Call Tech Support.

**PC3678E (LAN WS) Error during a directory removing**
**Reason:** (WS Msg) The error occurred servicing a remove directory request.
**Action:** See additional messages.

**PC3679E (LAN WS) Unexpected data during parse**
**Reason:** (WS Msg) When parsing a remove directory information command unexpected data was detected.
**Action:** Call Tech Support.

**PC3680E (LAN WS) Error parsing read EA**
**Reason:** (WS Msg) There was an error parsing the data fields for a read OS/2 extended attribute request.
**Action:** Call Tech Support.

**PC3681E (LAN WS) Error searching EAs.**
**Reason:** (WS Msg) There was an error listing the extended attributes for a given file or directory.
**Action:** See additional messages.

**PC3682E (LAN WS) Error reading EA**
**Reason:** (WS Msg) There was an error reading a given extended attribute.
**Action:** See additional messages.

**PC3683E (LAN WS) Error sending EA**
**Reason:** (WS Msg) There was an error sending an extended attribute.
**Action:** See additional messages.

**PC3685E (LAN WS) Error parsing write EA**
**Reason:** (WS Msg) There was an error parsing the data fields for a write extended attribute request.
**Action:** Call Tech Support.

**PC3686E (LAN WS) Error receiving EA**
**Reason:** (WS Msg) There was an error receiving an extended attribute.
**Action:** See additional messages.

**PC3687E (LAN WS) Error receiving EA**
**Reason:** (WS Msg) There was a timeout or a disconnect while receiving an extended attribute.
**Action:** Verify the remote was operating. Increase the TIMEOUT on both sides.

**PC3688E (LAN WS) Error writing EA**
**Reason:** (WS Msg) There was an error writing an extended attribute.
**Action:** See additional messages.

**PC3689E (LAN WS) Error during a delete file ack**
**Reason:** (WS Msg) The error occurred when sending an acknowledgment to a delete file command.
**Action:** See additional messages.

**PC3690E (LAN WS) Error during a remove directory ack**
**Reason:** (WS Msg) The error occurred when sending an acknowledgment to a remove directory command.
**Action:** See additional messages.

## Mid level construction/parsing routines

**PC3691E (LAN WS) Data received too large**
**Reason:** The data element received is too large for the given space. Internal error.
**Action:** ULTra WS name specified shouldn't match any NT Server/Workstation name on your LAN. Contact Tech Support.

**PC3692E (LAN WS) Data length larger than the data**
**Reason:** The length specified for a data element is larger than the remaining entire block. Internal error.
**Action:** Contact Tech Support.

**PC3693E (LAN WS) Other than EA data recieved.**

**Reason:** Internal error.
**Action:** Contact Tech Support.

## Run job errors.

**PC3701I Running a remotely requested job.**
**Reason:** The following is the specification for a remotely requested job to be run immediately.

**PC3702E Remotely requested job file not found.**
**Reason:** The file for the job to be run was not found.
**Action:** Respecify

**PC3703I Remotely requested job execution status.**
**Reason:** A step in the execution of a remotely requested job finished. The job specification, step and return code follow.

**PC3704E The COMSPEC environment variable not found.**
**Reason:** The COMSPEC environment variable needed to run a remotely requested job was not specified in the configuration.
**Action:** For Windows NT add a "ComSpec=" line to the System Environment Variables list in the System applet of the Control Panel. For all other operating systems add a "SET COMSPEC=" statement to your CONFIG.SYS file (OS/2) or your AUTOEXEC.BAT file (all others).

**PC3705E Error parsing a remote job request.**
**Reason:** Invalid data was parsed to ULTra. Internal error.
**Action:** Check if the versions of UPSTREAM and ULTra are compatable. Call Tech Support.

**PC3706N Running a remotely requested job on ULTra WS.**
**Reason:** The following ULTra workstation name was obtained from the LAN WS Profile specified. You can abort the execution of the job by pressing the OK button now.

**PC3707E Could not acknowledge ULTra WS job request.**
**Reason:** An error occurred while attempting to receive an acknowledgement message from an ULTra workstation that was to run a remotely requested job.
**Action:** Make sure the ULTra workstation is up and running, and make sure you can access LAN.

**PC3708E Could not send a job request to an ULTra WS.**
**Reason:** An error occurred while attempting to send a remote job request to an ULTra workstation.
**Action:** Make sure the ULTra workstation is up and running, and make sure you can access LAN.

**PC3709E ULTra WS remote job request processing error.**
**Reason:** An unknown error occurred in an ULTra workstation while attempting to process a remotely requested job.
**Action:** Check the following messages.

**PC3710I Running a remotely requested job on ULTra WS.**
**Reason:** The following is the specification for a remotely requested job to be run on an ULTra workstation.

**PC3711E A DOS WS cannot run remotely requested job.**
**Reason:** Remotely requested jobs can not be run on an ULTra workstation that is running DOS.

**PC3712D Job Status: %s**
**Reason:** The status for a remotely requested job.

**PC3713E Could not connect to ULTra WS to run a job.**
**Reason:** An error occurred while attempting to connect to an ULTra workstation in order to run a remotely requested job.

**PC3714E Could not get a buffer to run ULTra WS job.**
**Reason:** An error occurred while attempting to allocate a communications buffer in order to run a remotely requested job on an ULTra workstation.

**PC3715E User aborted ULTra WS remotely requested job.**
**Reason:** A remotely requested job to be run on an ULTra workstation was aborted by the user before it was started.

**PC3716D The JOBRETURNCODEMAP parameter is invalid.**
**Reason:** The format of the JOBRETURNCODEMAP parameter is invalid. The return code returned to the host may not be what was expected.
**Action:** See the following JOBRETURNCODEMAP parameter and the program and host return codes, and then respecify the JOBRETURNCODEMAP parameter.

**PC3717I An error occurred starting a job.**
**Reason:** The OS/2 version of UPSTREAM encountered an error on a DosCreateQueue call to create a queue to be used for waiting for a job to finish execution.
**Action:** See the following 3724E message for the return code.

**PC3718I An error occurred starting a job.**
**Reason:** The OS/2 version of UPSTREAM encountered an error on a DosStartSession call to start the execution of a job.
**Action:** See the following 3724E message for the return code.

**PC3719I An error occurred waiting for a job.**
**Reason:** The OS/2 version of UPSTREAM encountered an error creating a job execution event on which to wait.
**Action:** See the following 3724E message for the return code.

**PC3720I An error occurred waiting for a job.**
**Reason:** The OS/2 version of UPSTREAM encountered an error on a DosReadQueue call to get the return code from the job execution.
**Action:** See the following 3724E message for the return code.

**PC3721I An error occurred starting a job.**
**Reason:** The Windows 95 or NT version of UPSTREAM encountered an error on a CreateProcess call to start the execution of a job.
**Action:** See the following 3724E message for the return code.

**PC3722I An error occurred waiting for a job.**
**Reason:** The Windows 95 or NT version of UPSTREAM encountered an error on a WaitForSingleObject call to get the return code from the job execution.
**Action:** See the following 3724E message for the return code.

**PC3723I An error occurred starting a job.**
**Reason:** The Windows 3.1 version of UPSTREAM encountered an error on a WinExec call to start the execution of a job.
**Action:** See the following 3724E message for the return code.

**PC3724E A Remotely requested job did not execute.**
**Reason:** A remotely requested job did not execute because of an error in one of the preexecution steps. The job specification, preexecution step and step error code follow.

**PC3725E Job format error. Too many parameters.**
**Reason:** There can be no more than 20 command line parameters in a job.
**Action:** Respecify.

## ULTra compression errors.

**PC3750E (LAN WS) Record size is too big.**
**Reason:** The record size used by UPSTREAM is larger than the buffer allocated by ULTra.
**Action:** Set environment variable LANBUFFER to the size of 'Record Size' parameter. Restart ULTra.

**PC3751E (LANWS) Xmit buffer sizes out of synch.**
**Reason:** Transmition buffer size is too small to accept compression. Most likely internal error.
**Action:** If you set environment variable LANBUFFER to less than 6000, set to nothing and try again. Call tech support.

**PC3752E (LAN WS) ULTra compression initialization error.**
**Action:** You probably do not have enough memory.

**PC3753E (LAN WS) ULTra Compression initialization error,**
**Action:** You probably do not have enough memory.

**PC3754E (LAN WS) ULTra does not support the specified**
**Reason:** compression level.

**Action:** Set ULTRACOMP parameter in the current para- meter file to the value not greater than you specified for ULTra. For DOS ULTra it is 0.

**PC3755E (LAN WS) Error allocating compression buffer.**
**Action:** Stop some application on ULTra side to free memory.

**PC3756E (LAN WS) ULTra compression initialization error.**
**Action:** You probably do not have enough memory.

**PC3757E (LAN WS) Error in high compression routine**
**Reason:** in ULTra(EA). Internal error.
**Action:** Call Tech support.

**PC3758E (LAN WS) Error in high compression routine**
**Reason:** in ULTra. Internal error.
**Action:** Call Tech support.

**PC3760E (LAN WS) Error in fast decompression routine**
**Reason:** in ULTra. Internal error or corrupted data.
**Action:** Call Tech support.

**PC3761E (LAN WS) Error in high decompression routine**
**Reason:** in ULTra. Internal error or corrupted data.
**Action:** Call Tech support.

**PC3762E (LAM WS) Range check in decompression buffer.**
**Reason:** Internal error or corrupted data.
**Action:** Call Tech support.

**PC3763E (LAN WS) Error in high compression routine.**
**Reason:** Error in compression of non-file data in ULTra. Internal error.
**Action:** Call Tech support.

**PC3764E (LAN WS) Non-file data was not backed up.**
**Reason:** Due to conditions on the ULTra workstation non-file data was not backed up.
**Action:** See ULTra log.

**PC3765E (LAN WS) Error in request for put non-file data.**
**Reason:** ULTra was not able to parse the request write non-file data. Internal error.
**Action:** Call Tech support,

**PC3766E (LAN WS) Error receiving non-file data.**
**Reason:** An error occurred while receiving non-file data.
**Action:** See additional messages.

**PC3767E (LAN WS Windows NT) Error restoring non-file data**
**Reason:** The non-file data for a file or directory could not be restored because the “Restore files and directories” privilege could not be enabled.
**Action:** Ensure the user account on ULTra workstation has the appropriate rights.

**PC3768E (LAN WS) Non-file data not restored.**
**Reason:** The non-file data for one or more files was not restored, probably because it was backed up from a different operating system than the one it is being restored to.
**Action:** Call technical support.

**PC3769E (LAN WS) Non-file data was not restored.**
**Reason:** Due to conditions on the ULTra workstation non-file data was not restored.
**Action:** See ULTra log.

**PC3770E (LAN WS) Error receiving ACK (non-file data).**
**Reason:** There was an error in receive for ACK with the results of non-file data restore.
**Action:** See Additional messages.

**PC3771E (LAN WS) Error restoring non-file data.**
**Reason:** ULTra reported an error in non-file data restore.

## DOS low level IPX/SPX initialization routines

**PC3800E (LAN WS) Error allocating memory**
**Reason:** There was a shortage of memory dynamically allocating the ECBs used in IPX/SPX communications.
**Action:** Reduce the number of ECBs (using SET NUMECBS=5) or otherwise free memory.

## Raw disk errors.

**PC3850E (LAN WS) (Raw) Error parsing installed**
**Reason:** Internal error.
**Action:** Call tech support.

**PC3851E (LAN WS) (Raw) Error checking installed**
**Action:** See additional messages

**PC3852E (LAN WS) (Raw) Error parsing list disks**
**Reason:** Internal error.
**Action:** Call tech support.

**PC3853E (LAN WS) (Raw) Error listing disks**
**Action:** See additional messages

**PC3854E (LAN WS) (Raw) Error parsing open**
**Reason:** Internal error.
**Action:** Call tech support.

**PC3855E (LAN WS) (Raw) Error opening the disk**
**Action:** See additional messages

**PC3856E (LAN WS) (Raw) Invalid handle**
**Reason:** Internal error.
**Action:** Call tech support.

**PC3857E (LAN WS) (Raw) Range error**
**Reason:** The version of ULTra that you are using does not support this feature.
**Action:** Upgrade

**PC3858E (LAN WS) (Raw) Insufficient memory**
**Reason:** There was insufficient memory on the workstation to read/write the requested amount of data.
**Action:** Free memory or reduce the record size.

**PC3859E (LAN WS) (Raw) Error reading the disk**
**Action:** See additional messages

**PC3860E (LAN WS) (Raw) Error sending data**
**Reason:** There was an error sending data read from the workstation’s disk.
**Action:** See additional messages

**PC3861E (LAN WS) (Raw) Error parsing read**
**Reason:** Internal error.
**Action:** Call tech support.

**PC3862E (LAN WS) (Raw) Error parsing open**
**Reason:** Internal error.
**Action:** Call tech support.

**PC3863E (LAN WS) (Raw) Error receiving write data**
**Reason:** Communications error.
**Action:** See additional messages.

**PC3864E (LAN WS) (Raw) Invalid data type received.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC3865E (LAN WS) (Raw) Write buffer overflow.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC3866E (LAN WS) (Raw) Write error.**
**Reason:** There was an error writing a block of data.
**Action:** See addtional messages.

**PC3867E (LAN WS) (Raw) Error parsing get existing**
**Reason:** Internal error.
**Action:** Call tech support.

**PC3868E (LAN WS) (Raw) Error getting existing data**
**Action:** See additional messages.

**PC3869E (LAN WS) (Raw) Comm error sending existing data**
**Action:** See additional messages.

**PC3870E (LAN WS) (Raw) Error parsing close**
**Reason:** Internal error.
**Action:** Call tech support.

**PC3871E (LAN WS) (Raw) Error closing**
**Action:** See additional messages

**PC3872E (LAN WS) (Raw) Error parsing shutdown**
**Reason:** Internal error.
**Action:** Call tech support.

**PC3873E (LAN WS) (Raw) Error during shutdown.**
**Action:** See additional messages

**PC3874E (LAN WS) (Raw) Invalid data received in write**
**Reason:** Internal error.
**Action:** Call tech support.

**PC3880E (LAN WS) Error parsing process file spec**
**Reason:** Internal error.
**Action:** Call tech support.

**PC3881E (LAN WS) Error processing file spec**
**Action:** See additional messages

**PC3882W (LAN WS) Only one registry file spec allowed**
**Reason:** The directory that contains the Windows NT/95 registry was included in two or more of the specified file specs. ULTra can process only one registry in a single backup/restore. Only the first file spec that includes the registry directory will backup/restore the registry successfully.
**Action:** See additional messages

## LANIPX.C errors (DOS low level IPX/SPX routines).

**PC3900E (LAN WS) SPX not installed.**
**Action:** Verify that IPX or the ODI drivers have been installed or that you are using a version of IPX new enough to support SPX.

**PC3901E (LAN WS) Error opening IPX socket**
**Reason:** There was a Novell error opening the IPX socket.
**Action:** Change the socket number (using the SOCKET environment variable) or note the return code and call Tech Support.

**PC3902E (LAN WS) Error opening SPX socket**
**Reason:** There was a Novell error opening the SPX socket.
**Action:** Change the socket number (using the SOCKET environment variable) or note the return code and call Tech Support.

**PC3903E (LAN WS) IPX not installed.**
**Action:** Verify that IPX or the ODI drivers have been installed.

**PC3904E (LAN WS) Error initializing IPX**
**Action:** Verify that IPX has been installed. Note the return code and call Tech Support.

**PC3905E (LAN WS) Error opening SAP socket**
**Reason:** There was a Novell error opening the SAP (Service Advertizing Protocol) socket.
**Action:** Either disable SAP use (through the NOSAP environment variable) or remove other SAP advertizers from this PC.

**PC3906E (LAN WS) Error loading function**
**Reason:** There was a Windows error opening a Novell function required.
**Action:** Verify that the Novell software is correctly installed.

**PC3907E (LAN WS) Error loading NetWare**
**Reason:** There was a Windows error loading the required library.
**Action:** Verify that the NWIPXSPX.DLL library is in the path.

**PC3908E (LAN WS) Error loading NWCALLS**
**Reason:** There was a Windows error loading the required library NWCALLS.DLL.
**Action:** Verify that the NWCALLS.DLL library is in the path.

**PC3910E (LAN WS) No free buffers**
**Reason:** All ECBs are currently in use. Internal error.
**Action:** Contact Tech Support.

**PC3911E (LAN WS) Error sending broadcast**
**Reason:** (WS Msg) Error sending the SAP broadcast.
**Action:** Reboot and retry. If the problem continues contact Tech Support.

**PC3912E (LAN WS) Timeout waiting for connection**
**Reason:** There was an time out during the connection process.
**Action:** Verify the other computer is still operating. Increase the TIMEOUT on both sides.

**PC3915E (LAN WS) Error sending FIND_WORKSTATION**
**Reason:** There was an error sending the request for the partner to identify itself.
**Action:** Verify the other computer is still operating.

**PC3920E (LAN WS) Workstation not found**
**Reason:** (Requestor Msg) The specified workstation name was not found in the server's bindery.
**Action:** Respecify the workstation name.

**PC3921E (LAN WS) Error finding workstation**
**Reason:** (Requestor Msg) The attempt to find the work- station resulted in an error.
**Action:** Note the return code and call Tech Support.

**PC3922E (LAN WS) Error finding workstation**
**Reason:** (Requestor Msg) The attempt to get the default connection ID to find the workstation resulted in an error.
**Action:** The connection to your server has been lost. Reestablish and retry.

**PC3925E (LAN WS) SPX connect error**
**Reason:** (Requestor Msg) There was an error attempting to establish the SPX connection.
**Action:** Note the return code and call Tech Support.

**PC3926E (LAN WS) Error receiving initial connect**
**Reason:** (WS Msg) There was an error receiving the initial IPX connect message.
**Action:** Note the return code and call Tech Support.

**PC3927E (LAN WS) Error listening for the broadcast**
**Reason:** (WS Msg) There was an error receiving the broadcast from the requestor.
**Action:** Note the return code and call Tech Support.

**PC3928E (LAN WS) Timeout listening for SPX connection**
**Reason:** (WS Msg) There was a timeout waiting for the SPX establishment message after the initial connect sequence was complete.
**Action:** Verify the other computer is still operating. Increase the TIMEOUT on both sides.

**PC3930E (LAN WS) Error sending negotiation record**
**Reason:** There was an error sending the negotiation record to the other computer.
**Action:** Note the return code and call Tech Support.

**PC3935E (LAN WS) Error receiving negotiation record**
**Reason:** There was an error receiving the negotiation record from the other computer.
**Action:** Note the return code and call Tech Support.

**PC3936E (LAN WS) Timeout waiting for negotiation**
**Reason:** Timed out waiting for the other computer to send the negotiation message
**Action:** Verify the other computer is still operating. Increase the TIMEOUT on both sides.

**PC3937E (LAN WS) Negotation failed**
**Reason:** There was a problem with the parameters specified which caused the other computer to reject the communications.
**Action:** Check the log on your other computer.

**PC3938E (LAN WS) Password mismatch**
**Reason:** (WS Msg) The password specified on the requestor does not match.
**Action:** Enter the correct password.

**PC3939E (LAN WS) Update your UPSTREAM.**
**Reason:** (Requestor Msg) The ULTra workstation determined that your UPSTREAM version is outdated.
**Action:** Upgrade your UPSTREAM to the currently available version (or at least to the version of that ULTra).

**PC3945E (LAN WS) Error listening for IPX connect**
**Reason:** (Requestor Msg) While listening to the response from the workstation there was an error.
**Action:** See additional messages.

**PC3946E (LAN WS) No response to IPX broadcast**
**Reason:** (Requestor Msg) The workstation was not found.
**Action:** Verify that the other computer is still operating.

**PC3950E (LAN WS) Error during SPX connect**
**Reason:** (Requestor Msg) There was a Novell error during The SPX connection sequence.
**Action:** Note the return code and call Tech Support.

**PC3951E (LAN WS) Error listening for SPX connect**
**Reason:** (WS Msg) There was an error waiting for the connection from the requestor.
**Action:** See additional messages.

**PC3952E (LAN WS) Time out during SPX connect**
**Reason:** (Requestor Msg) The workstation did not respond to the SPX connection message.
**Action:** Verify that the other computer is still operating. Increase the TIMEOUT on both sides.

**PC3955E (LAN WS) The IN-USE flag changed.**
**Reason:** The internal “in-use” flag was turned off and then got turned on again before action could be taken. Internal error.
**Action:** Contact Tech Support.

**PC3956E (LAN WS) Unexpected ECB complete**
**Reason:** When a receive ECB was expected a send was detected or vice-versa. Internal error.
**Action:** Contact Tech Support.

**PC3957E (LAN WS) Corrupted flow**
**Reason:** The data flow was corrupted due to the failure of the communications layer to observe interrupt disabling.
**Action:** Contact Tech Support.

**PC3960E (LAN WS) Error terminating SPX connection**
**Reason:** When the SPX connection was terminated a return code was received.
**Action:** Usually this message can be ignored if subsequent connections operate. However if you have problems, note the return code and contact Tech Support.

**PC3961E (LAN WS) Error canceling posted ECB**
**Reason:** There was an error canceling a posted ECB during the shutdown process.
**Action:** Usually this message can be ignored if subsequent connections operate. However if you have problems, note the return code and contact Tech Support.

**PC3970E (LAN WS) Error sending flow control**
**Reason:** There was an error sending a flow control message to the other PC.
**Action:** Note the return code and call Tech Support.

**PC3975E (LAN WS) Error flushing received data**
**Reason:** There was an error flushing received data in order to perform a send.
**Action:** Note the return code and call Tech Support.

**PC3976E (LAN WS) Time out waiting for a send to complete**
**Reason:** A time-out occurred waiting for a send to finish.
**Action:** Verify that the other computer is still operating. Increase the TIMEOUT on both sides.

**PC3980E (LAN WS) Time out flushing receives**
**Reason:** When flushing received preparatory to sending there was a time-out.
**Action:** Verify that the other computer is still operating. Increase the TIMEOUT on both sides.

**PC3981E (LAN WS) Send buffer lost**
**Reason:** A send ECB that was posted is no longer there. Internal error.
**Action:** Contact Tech Support.

**PC3982E (LAN WS) Time-out sending**
**Reason:** There was a time-out waiting for a send to complete before a new send could be posted.
**Action:** Verify that the other computer is still operating.

**PC3983E (LAN WS) Error receiving flow control**
**Reason:** There was an error receiving a flow control message from the remote system.
**Action:** Note the return code and contact Tech Support.

**PC3984E (LAN WS) Expected flow control message**
**Reason:** Received data from the remote computer instead of a flow control message. Internal error.
**Action:** Contact Tech Support.

**PC3985E (LAN WS) Expected flow control message**
**Reason:** A time-out or remote disconnect message was received instead of a flow control message.
**Action:** Verify that the other computer is still operating.

**PC3986E (LAN WS) Error parsing save file info request.**
**Reason:** ULTra was not able to parse parameters in get a save file info request. Non-file data is skipped. Internal error. Fix: Call Tech Support.

**PC3987E (LAN WS) Error parsing set file info request.**
**Reason:** ULTra was not able to parse parameters in get a save file info request. Non-file data is skipped. Internal error. Fix: Call Tech Support.

**PC3988E (LAN WS) Error saving file info.**
**Reason:** ULTra encountered a problem while attemping to save file information for subsequent restore. Fix: Check ULTra log.

**PC3989E (LAN WS) Error setting file info.**
**Reason:** ULTra encountered a problem while attemping to reset file information previously saved. Fix: Check ULTra log.

**PC3990 (LAN WS) Unexpected save file info response**
**Reason:** (Requester Msg) Expected an acknowledgement to a save file info request, but received something unexpected. Protocol error.
**Action:** Call Tech Support.

**PC3991 (LAN WS) Unexpected set file info response**
**Reason:** (Requester Msg) Expected an acknowledgement to a set file info request, but received something unexpected. Protocol error.
**Action:** Call Tech Support.

**PC3992E (LAN WS) Communication error receiving ack.**
**Reason:** (Requester Msg) There was a communication error while attempting to receive the response to a save file info request
**Action:** See additional messages.

**PC3993E (LAN WS) Error receiving save file info ack.**
**Reason:** (Requester Msg) There was an error reading the save file info response.
**Action:** See additional messages.

**PC3994E (LAN WS) Communication error receiving ack.**
**Reason:** (Requester Msg) There was a communication error while attempting to receive the response to a set file info request
**Action:** See additional messages.

**PC3995E (LAN WS) Error receiving set file info ack.**
**Reason:** (Requester Msg) There was an error reading the set file info response.
**Action:** See additional messages.

**PC3996E (LAN WS) Error sending save file info request.**
**Reason:** (Requester Msg) There was an error sending the request to save file info. Fix: See additional messages.

**PC3997E (LAN WS) Error sending set file info request.**
**Reason:** (Requester Msg) There was an error sending the request to set file info. Fix: See additional messages.

## DOS TSR Messages

**PC4000I (LAN WS) TSR loaded**

**PC4001I (LAN WS) TSR unloaded**

**PC4095I Remote Disconnect request.** OS/2 IPX/SPX low-level functions

**PC4100E (LAN WS) Error loading function**
**Reason:** There was an error loading a function from a dynamically loaded DLL module.
**Action:** See additional messages.

**PC4103E (LAN WS) DOSCALL1.DLL not found**
**Reason:** The required DOSCALL1.DLL module was not found when UPSTREAM attempted to dynamically load it.
**Action:** See additional messages.

**PC4104E (LAN WS) Error loading DOSCALL1.DLL**
**Reason:** There was an error attempting to dynamically load the DOSCALL1.DLL module.
**Action:** See additional messages.

**PC4105E (LAN WS) IPXCALLS.DLL not found**
**Reason:** The required IPXCALLS.DLL module was not found when UPSTREAM attempted to dynamically load it.
**Action:** See additional messages.

**PC4106E (LAN WS) Error loading IPXCALLS.DLL**
**Reason:** There was an error attempting to dynamically load the IPXCALLS.DLL module.
**Action:** See additional messages.

**PC4107E (LAN WS) SPXCALLS.DLL not found**
**Reason:** The required SPXCALLS.DLL module was not found when UPSTREAM attempted to dynamically load it.
**Action:** See additional messages.

**PC4108E (LAN WS) Error loading SPXCALLS.DLL**
**Reason:** There was an error attempting to dynamically load the SPXCALLS.DLL module.
**Action:** See additional messages.

**PC4109E (LAN WS) NWCALLS.DLL not found**
**Reason:** The required NWCALLS.DLL module was not found when UPSTREAM attempted to dynamically load it.
**Action:** See additional messages.

**PC4110E (LAN WS) Error loading NWCALLS.DLL**
**Reason:** There was an error attempting to dynamically load the NWCALLS.DLL module.
**Action:** See additional messages.

**PC4115E (LAN WS) Error dynamically allocating memory**
**Reason:** There was an error dynamically allocating required memory.
**Action:** Unload programs or free disk.

**PC4120E (LAN WS) Error opening SAP socket**
**Reason:** There was a Novell error opening the IPX socket using for SAP (Service Advertising Protocol) broadcasts.
**Action:** See additional messages.

**PC4121E (LAN WS) Error opening SPX socket**
**Reason:** There was a Novell error opening the SPX socket.
**Action:** Verify that UPSTREAM is not running on your PC in another screen group. Specify another socket.

**PC4122E (LAN WS) Error opening IPX socket**
**Reason:** There was a Novell error opening the IPX socket.
**Action:** Verify that UPSTREAM is not running on your PC in another screen group. Specify another socket.

**PC4123E (LAN WS) Error setting the SPX error check level.**
**Reason:** There was a Novell error setting the level where SPX errors are reported.
**Action:** Call Tech Support.

**PC4125E (LAN WS) Error getting a free buffer.**
**Reason:** Internal error.
**Action:** Call Tech Support.

**PC4130E (LAN WS) Error receiving connect request**
**Reason:** There was an IPX error receiving the connection request.
**Action:** See additional messages.

**PC4135E (LAN WS) Error sending SAP broadcast**
**Reason:** (WS Msg) There was an IPX error sending the Service Advertising Protocol (SAP) message to all server.
**Action:** See additional messages.

**PC4140E (LAN WS) Error getting local target**
**Reason:** (Requestor Msg) There was an error getting the immediate address of the target PC.
**Action:** See additional messages.

**PC4141E (LAN WS) Error sending FIND_WORKSTATION**
**Reason:** There was an error sending the request to find the other PC.
**Action:** See additional messages.

**PC4145E (LAN WS) Error during receive SPX connection**
**Reason:** (WS Msg) There was an error listening for the inbound SPX connection request.
**Action:** See additional messages.

**PC4146E (LAN WS) Error during receive SPX connection**
**Reason:** (WS Msg) There was an error after the system attempted to listen for the inbound SPX connection request.
**Action:** See additional messages.

**PC4147E (LAN WS) Time out during connection**
**Reason:** (WS Msg) There was a timeout or a disconnect request while attempting to receive an inbound SPX connection request.
**Action:** Verify that the remote is functioning. Increase the TIMEOUT on both sides.

**PC4150E (LAN WS) Error getting default connection ID**
**Reason:** (Requestor Msg) There was a Novell error getting the default connection ID.
**Action:** See additional messages.

**PC4151E (LAN WS) Workstation not found**
**Reason:** (Requestor Msg) The specified workstation was not found.
**Action:** Verify that ULTra is running on the requested workstation.

**PC4152E (LAN WS) Error finding requested workstation**
**Reason:** (Requestor Msg) There was a Novell server error searching for the address of the specified workstation.
**Action:** See additional messages.

**PC4153E (LAN WS) Error getting local target**
**Reason:** (Requestor Msg) Error finding the address of the nearest connection to the workstation.
**Action:** See additional messages.

**PC4155E (LAN WS) Error posting SPX listens**
**Reason:** There was an error posting SPX listen(s) (for data).
**Action:** See additional messages.

**PC4160E (LAN WS) Workstation not responding**
**Reason:** (Requestor Msg) The remote workstation is not responding to the connection request.
**Action:** Verify that the remote workstation is not on the other side of a bridge or use the SAP facility.

**PC4165E (LAN WS) Connection failed**
**Reason:** (Requestor Msg) The connection failed to the workstation immediately.
**Action:** See additional messages.

**PC4166E (LAN WS) Connection failed**
**Reason:** (Requestor Msg) The connection failed to the workstation.
**Action:** See additional messages.

**PC4167E (LAN WS) Connection timed out**
**Reason:** (Requestor Msg) The connection failed to the workstation either through a time-out or a disconnect.
**Action:** Verify that the workstation did not unload ULTra.

**PC4168E (LAN WS) Disconnect during connection**

**Reason:** (Requestor Msg) The connection failed to the remote workstation due to a disconnect request.
**Action:** Verify that the workstation did not unload ULTra.

**PC4170E (LAN WS) Error sending negotiation**
**Reason:** There was an error sending the negotiation message.
**Action:** See additional messages.

**PC4174E (LAN WS) Xmit Buffer too small.**
**Reason:** The transmition buffer is smaller than the backup record size.
**Action:** Set LANBUFFER environment variable on both UPSTREAM and ULTra PCs to the value of backup RECORD SIZE.

**PC4175E (LAN WS) Error receiving negotiation**
**Reason:** There was an error receiving the negotiation message.
**Action:** See additional messages.

**PC4176E (LAN WS) Time out during negotiation**
**Reason:** There was a time-out during the negotiation
**Action:** Verify that the remote is operating. Increase the TIMEOUT on both sides.

**PC4177E (LAN WS) Negotation failed.**
**Reason:** The negotiation message did not parse correctly. Internal error.
**Action:** Call Tech Support.

**PC4178E (LAN WS) Disconnect during negotation**
**Reason:** The remote disconnected during the negotiation phase.
**Action:** Restart the remote and retry.

**PC4179E (LAN WS) Password mismatch**
**Reason:** (WS Msg) The specified password did not match.
**Action:** Respecify the password and retry.

**PC4180E (LAN WS) Error receiving**
**Reason:** There was an error receiving data from the remote (immediately).
**Action:** See additional messages.

**PC4185E (LAN WS) Error setting SPX semaphore**
**Reason:** There was an OS/2 error setting the SPX wait for completion semaphore.
**Action:** Note the error and contact tech support.

**PC4190E (LAN WS) Error checking SPX semaphore**
**Reason:** There was an OS/2 error checking the SPX was for completion semaphore.
**Action:** Note the error and contact tech support.

**PC4191E (LAN WS) Semaphore not found.**
**Reason:** The semaphore does not match one of the internal data blocks. Internal error.
**Action:** Call Tech Support.

**PC4195E (LAN WS) Error terminating SPX connection**
**Reason:** There was a Novell error terminating the SPX connection.
**Action:** See additional messages.

**PC4200E (LAN WS) Error sending flow control**
**Reason:** There was an error sending a flow control message.
**Action:** See additional messages.

**PC4205E (LAN WS) Error finishing sending**
**Reason:** There was an error while waiting for all the send packets to complete.
**Action:** See additional messages.

**PC4206E (LAN WS) Timeout finishing sending**
**Reason:** There was a timeout during the process of waiting for send messages to complete.
**Action:** Increase the TIMEOUT on both sides.

**PC4210E (LAN WS) Timeout flushing receives**
**Reason:** While flushing receives there was a timeout.
**Action:** Verify that the remote is operating. Increase the TIMEOUT on both sides.

**PC4211E (LAN WS) Send buffer lost.**
**Reason:** A buffer in use could not be found. Internal error.
**Action:** Call Tech Support.

**PC4212E (LAN WS) Error sending packet.**
**Reason:** There was an immediate Novell error sending a packet to the remote.
**Action:** See additional messages.

**PC4213E (LAN WS) Time out sending a packet.**
**Reason:** There was a timeout waiting for a packet to be sent.
**Action:** Verify that the remote is operating. Increase the TIMEOUT on both sides.

**PC4214E (LAN WS) Error listing for flow control**
**Reason:** There was a Novell error listening for a flow control message.
**Action:** See additional messages.

**PC4215E (LAN WS) Expected a flow control message**
**Reason:** A flow control message was expected and something else was received. Internal error.
**Action:** Call Tech Support.

**PC4216E (LAN WS) No flow control**
**Reason:** A timeout or disconnect was received instead of a flow control message.
**Action:** Verify the remote is still operating.

## OS/2 USIPX.c errors

**PC4300E Error starting required timer**
**Reason:** There was an OS/2 system error starting an interval timer.
**Action:** Call Tech Support.

**PC4301E ULTra Normal load**
**Reason:** ULTra is now operational.

**PC4302E ULTra Normal unload**
**Reason:** ULTra is now shut down.

**PC4303W ULTra Forced Unload.**
**Reason:** ULTra was forced to shut down during backup/restore by operator.

**PC4305E Error starting processing thread**
**Reason:** There was an OS/2 system error starting the remote processing thread.
**Action:** Call Tech Support.

## NetBIOS errors

**PC4400E (LAN WS) Error in LISTEN command (NetBIOS).**
**Reason:** Usually this is the result of a previous error.
**Action:** See the explanation of the error code in the UPSTREAM manual.

**PC4401E (LAN WS) Error accepting connection (NetBIOS).**
**Reason:** from UPSTREAM.
**Action:** See the explanation of the error code in the UPSTREAM manual.

**PC4402E (LAN WS) Error terminating the NetBIOS session.**

**PC4403E (LAN WS) Error deleteing a NetBIOS session name.**

**PC4404E (LAN WS) Error adding session name (NetBIOS).**
**Reason:** Error adding a session name to a local NetBIOS table.
**Action:** See the explanation of the error code in the UPSTREAM manual.

**PC4405E (LAN WS) NetBIOS error sending data.**
**Action:** See the explanation of the error code in the UPSTREAM manual.

**PC4406E (LAN WS) NetBIOS error receiving data.**
**Action:** See the explanation of the error code in the UPSTREAM manual.

**PC4407E (LAN WS) Error canceling a wait on a NetBIOS session.**

**PC4408E (LAN WS) Error in NetBIOS connection.**
**Reason:** Error in NetBIOS connection to the remote station.

**PC4409E (LAN WS) Error registering UPSTREAM to NetBIOS.**

**PC4410E (LAN WS) TimeOut in Send (NetBIOS).**
**Action:** If your peer is still up and running, increase the LANTIMEOUT value on your side.

**PC4411E Communication error - no errors(dummy entry).**

**PC4412E Communication error - TimeOut.**

**PC4413E Communication error - Disconnected.**

**PC4414E Communication error - Send/Receive incomplete.**

**PC4415E Communication error - Status error.**

**PC4416I (LAN WS) A NetBIOS connection has been established.**

**PC4417E (LAN WS) Error allocating a NetBIOS receive buffer.**
**Reason:** There was an error dymaically allocating the NetBIOS receive buffer. Insufficient memory.
**Action:** Set LANBUFFER and/or NUNECBS to a smaller value or free memory.

**PC4418E (LAN WS) Error allocating a NetBIOS send buffer.**
**Reason:** There was an error dymaically allocating the NetBIOS send buffer. Insufficient memory.
**Action:** Set LANBUFFER and/or NUMECBS to a smaller value or free memory.

**PC4420E (LAN WS) DLL not found (NetBIOS).**
**Reason:** The specified NetBIOS DLL was not found. (it could be not an error if you are using another one or IPX/SPX interface):

**PC4421E (LAN WS) Error loading NetBIOS.**
**Reason:** Error loading NetBIOS interface functions. NetBIOS interface disabled.
**Action:** Use OS/2 HELP command to obtain the description of the error: HELP SYSxxx , where xxx is the error code provided.

**PC4422E (LAN WS)NetBIOS SUBMIT function was not loaded.**
**Reason:** NetBIOS interface disabled. Error code 127 means that the function wasn't found in NETAPI.DLL.
**Action:** Check version and installation errors for Comm. Manager. Call Tech Support.

**PC4423E (LAN WS) NetBIOS interrupt vector not found.**

**PC4424E (LAN WS) NetBIOS not present.**
**Reason:** NetBIOS not present (int 5C not initialized or not a valid NetBIOS interrupt).
**Action:** See the explanation of the error code in the UPSTREAM manual.

**PC4425E (LAN WS) NetBIOS interface is not active.**
**Reason:** This may not be an error if you are using another NetBIOS interface or an IPX/SPX interface.

**PC4426E (LAN WS) LAN support program.**
**Action:** Check if you have NETAPI.DLL in the \MUGLIB\DLL directory and that this directory is in the LIBPATH statement in your CONFIG.SYS. Also check if you have the LAN Requestor running.

**PC4427E LAPs NetBIOS.**
**Action:** Check if you have ACSNETB.DLL in the \MUGLIB\DLL directory and that this directory is in the LIBPATH statement in your CONFIG.SYS. Also check if you are loading NETBIOS.OS2 in CONFIG.SYS.

**PC4428 (LAN WS) WIN32 NetBIOS error.**
**Reason:** Error enumerating virtual adapters.
**Action:** Check if NetBIOS is install and properly configured. Check the return code in UPSTREAM manual. If you are not intend to use NetBIOS set environment variable USNONETBIOS=Y. Call Tech Support.

**PC4429 (LAN WS) Error in memory allocation.(WIN32 NetBIOS)**
**Reason:** There was not enough memory for the virtual adapter array.
**Action:** Check if you have too many applications running, close some and try again. Increase your page file size.

**PC4430 (LAN WS) Error in ADAPTER (WIN32 NetBIOS).**
**Reason:** The adapter specified in ADAPTER environment variable was not found in your configuration.
**Action:** NT 4.0: Go to Control Panel, Network, Services, NetBIOS interface and click on Properties button. For ADAPTER variable specify the Lana Number which stands for the Network Route starting with 'Nbf -'. NT 3.51: Go to Control Panel, Network, push Binding button. Select bindings for NetBIOS interface and move 'NetBIOS-NetBEUI' to the first line.

## TLI errors

**PC4431E (LAN WS) Error finding workstation (TLI/Sockets).**
**Reason:** (Requestor Msg) There was a Novell server error searching for the address of the specified TLI workstation.
**Action:** See additional messages.

**PC4432E (LAN WS) Error finding workstation (TLI/Sockets).**
**Reason:** (Requestor Msg) The attempt to get the default connection ID to find the workstation(TLI) resulted in an error.
**Action:** The connection to your server has been lost. Reestablish and retry.

**PC4435E (LAN WS) Error opening TLI.**
**Reason:** The attempt to open TLI handle failed. System error.
**Action:** Check the error code. Call tech support.

**PC4436E (LAN WS) Error binding TLI connection point.**
**Reason:** Attempt to bind TLI connection point/socket failed.
**Action:** Check the error code. Call tech support.

**PC4437E (LAN WS) Error in connect(TLI/sockets).**
**Reason:** Attempt to establish a connection to workstation failed.
**Action:** Check the error code. Call tech support.

**PC4438E (LAN WS) Error in connect (TLI/sockets).**
**Reason:** Workstation was found, but the connection request timed out.
**Action:** Check if ULTra workstation is still up and running. Call tech support.

**PC4439E (LAN WS) Receive timed out (TLI/sockets).**
**Reason:** The receive operation timed out.
**Action:** Check if the peer application is still up and running. Set LANTIMEOUT environment variable to the higher value.

**PC4440E (LAN WS) Receive error (TLI/Sockets).**
**Reason:** The receive operation failed.
**Action:** Check the error code. Call tech support.

**PC4441E (LAN WS) Send timed out (TLI/sockets).**
**Reason:** The send operation timed out.
**Action:** Check if the peer application is still up and running. If it is set LANTIMEOUT environment variable to the higher value.

**PC4442E (LAN WS) Send error (TLI/Sockets).**
**Reason:** The Send operation failed.
**Action:** Check the error code. Call tech support.

**PC4443E (LAN WS) T_LOOK failed (TLI)**
**Reason:** The attempt to retrieve the error code for the previous call failed.
**Action:** Check the error code. Call tech support.

**PC4444E (LAN WS) Workstation not found (TLI/sockets).**
**Reason:** (Requestor Msg) The specified workstation was not found.
**Action:** Verify that ULTra is running on the requested workstation.

**PC4445E (LAN WS) Error accepting connection (TSI/Sockets).**
**Reason:** While waiting for connection from UPSTREAM an error occurred.
**Action:** Check the error code. Call tech support.

**PC4446E (LAN WS) Error allocating memory (TLI/Sockets).**
**Reason:** There was an error allocating memory for databuffer which is used for TLI/sockets traffic.
**Action:** Free memory.

**PC4447E (LAN WS) Error in listen (TLI/Sockets).**
**Reason:** When preparing for incoming connection an error occurred.
**Action:** Check the error code. Call tech support.

**PC4448E (LAN WS) Error opening TLI handle (NoSAP).**

**Reason:** The attempt to open TLI handle for datagram exchange failed. System error.
**Action:** Check the error code. Call tech support.

**PC4449E (LAN WS) Error binding TLI/sockets.**
**Reason:** Attempt to bind TLI connection point/socket for datagram exchange failed.
**Action:** Check the error code. Call tech support.

**PC4450E (LAN WS) Receive datagram error (TLI/sockets, NoSAP).**
**Reason:** Receive datagram failed.
**Action:** Check the error code. Call tech support.

**PC4451E (LAN WS) Send datagram error (TLI/sockets)**
**Reason:** Send datagram failed (Broadcast WS ID, NoSAP FindWS).
**Action:** Check the error code. Call tech support.

**PC4452E (LAN WS) Error in POLL (TLI, NoSAP).**
**Reason:** Error while polling FindWS broadcasts.
**Action:** Check the error code. Call tech support.

**PC4454E (LAN WS) Error in POLL (TLI, NoSAP).**
**Reason:** While polling for FindWS broadcast unsupported status was retrieved.
**Action:** Check the error code. Call tech support.

**PC4455E (LAN WS) Unexpected condition on network (TLI).**
**Reason:** The session was interrupted by an underlying protocol.
**Action:** Check the error code. Call tech support.

**PC4456E (LAN WS) Connection error (Sockets).**
**Reason:** Connection to the remote WS failed.
**Action:** Check if the Novell interfaceis properly configured and functioning. Check if the remote WS is still up and running.

**PC4457E (LAN WS) Received block is too big (TLI/sockets).**
**Reason:** The length of the block to be received exceeds the negotiated size.
**Action:** Call tech support.

**PC4458E (LAN WS) Advertise error (Sockets).**
**Reason:** Attempt to advertise ULTra workstation to Novell failed.
**Action:** Check the error code. Call tech support.

**PC4459E (LAN WS) Error sending datagram (TCP/IP).**
**Reason:** Attempt to send workstation query response failed.
**Action:** Check the error code. Call tech support.

**PC4460E (LAN WS) Error opening TLI handle for SAP.**
**Reason:** Attempt to open TLI handle to advertise ULTra workstation to Novell failed.
**Action:** Check the error code. Call tech support.

**PC4461E (LAN WS) Error binding TLI connection point.**
**Reason:** Attempt to bind TLI conn.point to advertise ULTra workstation to Novell failed.
**Action:** Check the error code. Call tech support.

**PC4462E (LAN WS) Error sending SAP packet (TLI).**
**Reason:** Attempt to send SAP packet to advertise ULTra to Novell failed.
**Action:** Check the error code. Call tech support.

**PC4463E (LAN WS) Error allocating TLI structures.**
**Reason:** Attempt to allocate memory for TLI working structures failed.
**Action:** Check the error code. Call tech support.

**PC4464W (LAN WS) Error creating TCP socket (Sockets).**
**Reason:** Attempt to create a datagram socket failed.
**Action:** Check the error code. Call tech support.

**PC4465W (LAN WS) Error receiving datagram (TCP/IP).**
**Reason:** Attempt to receive workstation query broadcast failed.
**Action:** Check the error code. Call tech support.

**PC4466E (LAN WS) Error creating SPX socket (Sockets).**
**Reason:** Attempt to create a socket failed.
**Action:** Check the error code. Call tech support. b467E (LAN WS) Error in StartUp (Sockets). Attempt to prepare NetWare environment for sockets interface failed.
**Action:** Check the error code. Call tech support.

**PC4468E (LAN WS) Error opening IPX socket for SAP (Sockets).**
**Reason:** Attempt to open IPX socket to advertise ULTra for Novell failed.
**Action:** Check the error code. Call tech support.

**PC4469E (LAN WS) Error closing IPX socket for SAP(Sockets).**
**Reason:** Attempt to close IPX socket used to advertise ULTra for Novell failed.
**Action:** Check the error code. Call tech support.

**PC4470E (LAN WS) Error sending flow control (TLI/sockets).**
**Reason:** Attempt to send flow control packet failed.
**Action:** Check the error code. Call tech support.

**PC4471E (LAN WS) Error receiving flow control (TLI/sockets).**
**Reason:** Attempt to receive flow control packet failed.
**Action:** Check the error code. Call tech support.

**PC4472W (LAN WS) Error geting current state(TLI/Sockets).**
**Reason:** Attempt to get current communication state failed. Disconnect process will continue.

**PC4473E (LAN WS) Not a flow control (TLI/sockets).**
**Reason:** Flow control packet was expected, but some other packet was received. Communication error. Fix: Call tech support.

**PC4474E (LAN WS) Error receiving disconnect request (TLI).**
**Reason:** Unexpected disconnect condition was detected, but receive disconnect request failed.
**Action:** Check the error code. Call tech support.

**PC4475E (LAN WS) Error in disconnect (TLI).**
**Reason:** The orderly release connection condition was detected, but receive release failed.
**Action:** Check the error code. Call tech support.

**PC4476E (LAN WS) Allocate CALL failed (TLI).**
**Reason:** Attempt to allocate data structures for CALL command failed.
**Action:** Check the error code. Call tech support.

**PC4477E (LAN WS) Allocate INFO failed (TLI).**
**Reason:** Attempt to allocate INFO data structures failed.
**Action:** Check the error code. Call tech support.

**PC4478E (LAN WS) Allocate BIND failed (TLI).**
**Reason:** Attempt to allocate data structures for BIND command failed.
**Action:** Check the error code. Call tech support.

**PC4479E (LAN WS) Allocate UDATA failed (TLI, NoSAP).**
**Reason:** Attempt to allocate datagram data structures failed.
**Action:** Check the error code. Call tech support.

**PC4480E (LAN WS) Datagram size is too small (TLI, NoSAP).**
**Reason:** The supported datagram size is less than FindWS packet.
**Action:** Do not use NoSAP mode. Call tech support.

**PC4481E (LAN WS) Error opening TLI handle (Sockets, NoSAP).**
**Reason:** Attempt to open TLI handle for datagram exchange failed.
**Action:** Check the error code. Call tech support.

**PC4482E (LAN WS) Error binding TLI (Sockets, NOSAP).**
**Reason:** Attempt to bind TLI conn. point for datagram exchange failed.
**Action:** Check the error code. Call tech support.

**PC4483E (LAN WS) Error receiving datagram (Sockets, NoSAP).**
**Reason:** Attempt to receive datagram failed.
**Action:** Check the error code. Call tech support.

**PC4484 (LAN WS) Error sending datagram (Sockets, NoSAP).**
**Reason:** Attempt to receive datagram failed.
**Action:** Check the error code. Call tech support.

**PC4485E (LAN WS) Error setting socket options (Sockets, NoSAP).**
**Reason:** Attempt to set broadcast option failed.
**Action:** Check the error code. Call tech support.

## TCP/IP errors

**PC4486E (LAN WS) Error allocating memory for data buffer.**
**Reason:** There was an error allocating memory which is used for TCP/IP sockets traffic.
**Action:** Free memory.

**PC4487E (LAN WS) Receive timed out (TCP/IP).**
**Reason:** The receive operation timed out.
**Action:** Check if the peer application is still up and running. Set LANTIMEOUT environment variable to the higher value.

**PC4488E (LAN WS) Receive error (TCP/IP).**
**Reason:** The receive operation failed.
**Action:** Check the error code. Call tech support.

**PC4489E (LAN WS) Received block is too big (TCP/IP).**
**Reason:** The length of the block to be received exceeds the negotiated size.
**Action:** Call tech support.

**PC4490E (LAN WS) Send timed out (TCP/IP).**
**Reason:** The send operation timed out.
**Action:** Check if the peer application is still up and running. If it is set LANTIMEOUT environment variable to the higher value.

**PC4491E (LAN WS) Send error (TCP/IP).**
**Reason:** The Send operation failed.
**Action:** Check the error code. Call tech support.

**PC4492E (LAN WS) Error in StartUp (TCP/IP).**
**Reason:** Attempt to prepare TCP/IP environment for sockets interface failed.
**Action:** Check if you have TCP/IP interface configured. Call tech support.

**PC4493E (LAN WS) Error creating TCP/IP socket (TCP/IP).**
**Reason:** Attempt to create a socket failed.
**Action:** Check the error code. Call tech support.

**PC4494E (LAN WS) Error binding TCP/IP socket.**
**Reason:** Attempt to bind TCP/IP socket failed.
**Action:** Check the error code. Call tech support.

**PC4495E (LAN WS) Error in listen (TCP/IP).**
**Reason:** When preparing for incoming connection an error occurred.
**Action:** Check the error code. Call tech support.

**PC4496E (LAN WS) Error accepting connection (TCP/IP).**
**Reason:** While waiting for connection from UPSTREAM an error occurred.
**Action:** Check the error code. Call tech support.

**PC4497E (LAN WS) Error resolving WS name (TCP/IP).**
**Reason:** UPSTREAM was unable to get workstation IP address from the name provided.
**Action:** Check the following message and/or error code.

**PC4498E (LAN WS) Error in connect(TCP/IP).**
**Reason:** Attempt to establish a connection to workstation failed.
**Action:** Check the error code. Call tech support.

**PC4499E (LAN WS) Address verification failed (TCP/IP).**
**Reason:** TCP/IP ULTra didn't find the address of the UPSTREAM server tried to establish connection in the list of valid UPSTREAM servers (UPSTREAM.VRF).
**Action:** Check if you properly configured UPSTREAM.VRF file, or watch for intruders.

## Reporting Errors.

**PC4500E Error opening report file.**
**Action:** See additional messages.

**PC4501E Error writing time to report file.**
**Action:** See additional messages.

**PC4502E Error writing beginning message to report.**
**Action:** See additional messages.

**PC4503E Error getting text from message file**
**Action:** See additional messages.

**PC4504E Error writing a message to the report**
**Action:** See additional messages.

**PC4505E Error saving parameters for the report**
**Action:** See additional messages.

**PC4506E Error listing parameters for the report**
**Action:** See additional messages.

**PC4507E Error reading parameters for the report**
**Action:** See additional messages.

## Win32 system errors.

**PC4601W Win32 initialization error.**
**Reason:** The initialization of the Win32 WOW thunk layer could not be performed. UPSTREAM can still function as a 16 bit application, but all functionality dependent on the Win32 WOW thunk layer is not available.

**PC4602W File system initialization error.**
**Reason:** One or more of the Win32 file system functions are not available. UPSTREAM can still function, but long file name support, extended attribute support and possibly other support is not available.

**PC4603W The SeBackupPrivilege is disabled.**
**Reason:** UPSTREAM will not be able to backup Security information, Extended Attributes and alternate data streams for files and directories. FIX: Ensure your account has the Back up files and directories right granted to it.

**PC4604W The SeRestorePrivilege is disabled.**
**Reason:** UPSTREAM will not be able to restore Security information, Extended Attributes and alternate data streams for files and directories.
**Action:** Ensure your account has the Restore files and directories right granted to it.

**PC4605W The SeSecurityPrivilege is disabled.**
**Reason:** UPSTREAM may be able to backup and restore Security information, Extended Attributes and alternate data streams for files and directories, but not Security ACL information used for auditing access.
**Action:** Ensure your account is a member of the Administrators group.

**PC4606W The SeSystemtimePrivilege is disabled.**
**Reason:** UPSTREAM will not be able to synchronize the Windows NT system clock with the mainframe.
**Action:** Ensure your account has the Change the system time right granted to it.

**PC4607E Unable to allocate registry information**
**Reason:** storage for the following file.
**Action:** Close some other applications and try the UPSTREAM function again.

**PC4608E Unable to open the key for a hive file**
**Reason:** Unable to access one of the main registry hive keys in preparation for backing it up.
**Action:** See additional messages.

**PC4609E Unable to save the key for a hive file**
**Reason:** Unable to back up one of the main registry hive files.
**Action:** See additional messages.

**PC4610E Unable to replace the key for a hive file.**
**Reason:** Unable to restore one of the main registry hive files.
**Action:** See additional messages.

**PC4611E Unable to get the file name of a loaded hive**
**Reason:** An error occurred while enumerating the values of the "HKLM\SYSTEM\CurrentControlSet\Control\hivelist" key in the registry (HKLM = HKEY_LOCAL_MACHINE). These values contain the file names of the main registry hives that are currently loaded in the registry.

**PC4612E Unable to get list of user profile directories**

**Reason:** An error occurred while opening the "HKLM\SOFTWARE\Microsoft\Windows NT\CurrentVersion\ProfileList" key in the registry (HKLM = HKEY_LOCAL_MACHINE). This key contains subkeys which are the SIDs for each user that has a user profile directory on disk.

**PC4613E Unable to get the name of user's SID subkey**
**Reason:** An error occurred while enumerating the subkeys of the "HKLM\SOFTWARE\Microsoft\Windows NT\CurrentVersion\ProfileList" key in the registry (HKLM = HKEY_LOCAL_MACHINE). These subkeys have names that are the SIDs of the users defined to the system that have user profile directories on disk.

**PC4614E Unable to open a user's SID subkey**
**Reason:** An error occurred while opening one of the subkeys of the "HKLM\SOFTWARE\Microsoft\Windows NT\CurrentVersion\ProfileList" key in the registry (HKLM = HKEY_LOCAL_MACHINE). These subkeys have names that are the SIDs of the users defined to the system that have user profile directories on disk.
**Action:** See additional messages.

**PC4615E Unable to get the profile directory for a user**
**Reason:** An error occurred while reading the ProfileImagePath value for one of the SID subkeys of the "HKLM\SOFTWARE\Microsoft\Windows NT\CurrentVersion\ProfileList" key in the registry (HKLM = HKEY_LOCAL_MACHINE). The ProfileImagePath value contains the name of a directory on the disk that contains the user's profile. Part of the user's profile is a file named NTUSER.DAT which contains the user's registry hive.
**Action:** See additional messages.

**PC4616D Reboot the computer**
**Reason:** All or part of the registry on the local or remote computer has been restored or modified. The registry modifications will not take effect until the computer is restarted.

**PC4617D Backing up Registry and/or Event Log files**
**Reason:** One or more Registry and/or Event Log files are included in this backup.

**PC4618E Unable to get list of event log files**
**Reason:** An error occurred while opening the "HKLM\SYSTEM\\CurrentControlSet\\Services\\EventLog" key in the registry (HKLM = HKEY_LOCAL_MACHINE). This key contains subkeys which define the three sections of the event log (Application, Security and System). The File value for each of these subkeys contains the name of the event log file on disk.

**PC4619E Unable to get the name of an event log section**
**Reason:** An error occurred while enumerating the subkeys of the "HKLM\SYSTEM\\CurrentControlSet\\Services\\EventLog" key in the registry (HKLM = HKEY_LOCAL_MACHINE). These subkeys define the three sections of the event log (Application, Security and System). The File value for each of these subkeys contains the name of the event log file on disk.

**PC4620E Unable to open an event log section key**
**Reason:** An error occurred while opening one of the subkeys of the "HKLM\SYSTEM\\CurrentControlSet\\Services\\EventLog" key in the registry (HKLM = HKEY_LOCAL_MACHINE). These subkeys define the three sections of the event log (Application, Security and System). The File value for each of these subkeys contains the name of the event log file on disk.
**Action:** See additional messages.

**PC4621E Unable to get the name of an event log file**
**Reason:** An error occurred while reading the File value for one of the event log section subkeys of the "HKLM\SYSTEM\\CurrentControlSet\\Services\\EventLog" key in the registry (HKLM = HKEY_LOCAL_MACHINE). The event log subkeys are Application, Security and System. The File value contains the name of the event log file on disk.
**Action:** See additional messages.

**PC4622E Unable to open an event log file**
**Reason:** An event log file for one of the event log sections (Application, Security or System) could not be opened.
**Action:** See additional messages.

**PC4623E Unable to backup an event log file.**
**Reason:** An event log file for one of the event log sections (Application, Security or System) could not be backed up.
**Action:** See additional messages.

**PC4624D An event log file has been restored to a file**
**Reason:** with a different name. See the following messages for the event log file's original name and the name of the file to which it was restored.

**PC4625E (NT) Error allocating put memory.**
**Reason:** There was a memory shortage allocating memory required for NT duplicate file handling.
**Action:** Either reduce the maximum number of duplicate files or free memory.

**PC4626W The registry for the destination drive could**
**Reason:** not be opened.
**Action:** Ensure that the share name for the drive on the remote computer is not one of the NT predefined share names such as C$, D$, etc. You must define a share name ("CDRIVE" for example) that provides access to the remote computer's NT system drive with the Full Control permission given either directly to the your user account or to a group that your user account is a member of.

**PC4627E Event log file excluded**
**Reason:** An attempt to open an event log file on a remote computer for either backup or restore is disallowed by default.
**Action:** Set the USREMOTENTEVENTLOGS environment variable to Y and try the operation again.

**PC4628E Can't get the Win32 process ID**
**Action:** Contact tech support.

**PC4629E Can't get the Win32 process handle**
**Action:** Contact tech support.

**PC4630E Can't get the Win32 thread handle**
**Action:** Contact tech support.

**PC4631E Can't set the Win32 process priority**
**Action:** Contact tech support.

**PC4632E Can't set the Win32 thread priority**
**Action:** Contact tech support.

**PC4633E Can't get the Win32 process priority**
**Action:** Contact tech support.

**PC4634E Can't get the Win32 thread priority**
**Action:** Contact tech support.

**PC4635W Can't find a share name in the registry**
**Reason:** A share name was used to share a drive path on another Windows NT system, but a definition for this share name could not be found in the registry for that system. The usual cause of this problem is the use of one of the predefined Windows NT share names such as C$, D$, etc.
**Action:** Create an explicitly defined share name for the root of the drive you want to share and map a drive on your local system (the one on which UPSTREAM runs) to that share name instead of the system defined share names (i.e. C$, D$, etc.). Ex: On remote system: NET SHARE CDRIVE=C:\ On local system: NET MAP X: \\remote\CDRIVE

**PC4636W Path for share name is not the root**
**Reason:** A share name was used to share a drive path on another Windows NT system, but the path specified for the share on that system is not the root of its system drive (i.e. "%SystemDrive%\"). As a result UPSTREAM cannot back up or restore the registry files that may reside in this path or one of its subdirectories.
**Action:** Create an explicitly defined share name for the root of the drive you want to share and map a drive on your local system (the one on which UPSTREAM runs) to that share name. Ex: On remote system: NET SHARE CDRIVE=C:\ On local system: NET MAP X: \\remote\CDRIVE

**PC4637E Can't get the computer name**
**Reason:** An error occurred while attempting to get the name of the local computer from the system.
**Action:** See additional messages

**PC4638I Can't open the \MACHINE registry key**

**Reason:** An error occurred while attempting to open the \MACHINE registry key. This message can be ignored if the target drive is not a Windows NT system drive.
**Action:** See additional messages

**PC4639E Can't determine the operating system type**
**Reason:** An error occurred while attempting to open the two two registry keys that are used to determine the type of computer system. These two keys are: \SOFTWARE\Microsoft\Windows NT\CurrentVersion & \SOFTWARE\Microsoft\Windows\CurrentVersion
**Action:** See additional messages

**PC4640E Can't get the SystemRoot registry value**
**Reason:** An error occurred while attempting to read the SystemRoot registry value.
**Action:** See additional messages

**PC4641E Can't open the Shares registry key**
**Reason:** An error occurred while attempting to open the Shares registry key.
**Action:** See additional messages

**PC4642E Can't open the \MACHINE registry key**
**Reason:** An error occurred while attempting to open the \MACHINE registry key.
**Action:** See additional messages

**PC4643E Can't open the \USER registry key**
**Reason:** An error occurred while attempting to open the \USER registry key.
**Action:** See additional messages

**PC4644E Can't open the hivelist registry key**
**Reason:** An error occurred while attempting to open the SYSTEM\\CurrentControlSet\\Control\\hivelist registry key.
**Action:** See additional messages

**PC4645E ULTra cannot be used to access a remote system**
**Reason:** Your ULTra license does not give you permission to backup from or restore to a computer system that is a remote system to the system on which ULTra runs. The name of the remote computer system follows.
**Action:** Do not attempt to use ULTra to backup from or restore to a remote computer system.

**PC4646I Could not create the event log list**
**Reason:** A problem exists in the Windows NT registry which prevented UPSTREAM from creating a list of event log files. This does not prevent UPSTREAM from performing a backup of the registry or other non-event log files.
**Action:** Examine the registry to ensure that each of the subkeys of \HKEY_LOCAL_MACHINE\System\CurrentControlSet\Services\EventLog have a "File" value name with the name of a valid event log file as its value.

**PC4647E (WinNT) Insufficient memory**
**Reason:** There was insufficient memory allocating memory to hold file information for Windows NT.
**Action:** Close applications

**PC4648E (WinNT) Internal error**
**Reason:** An NT save info list entry was not found.
**Action:** Call tech support.

**PC4649E (WinNT) Error converting from Unicode.**
**Action:** See additional messages.

**PC4650E (WinNT) Error converting from Unicode.**
**Reason:** This error occurred converting a file name which actually contained Unicode characters.
**Action:** See additional messages.

**PC4651E (WinNT) Length exceeded converting from Unicode**
**Reason:** This file will not translate properly.
**Action:** Rename the file to include fewer non-ANSI codes.

**PC4652E (WinNT) Error converting to Unicode.**
**Action:** See additional messages.

**PC4653E (WinNT) Error converting to Unicode.**
**Reason:** This error occurred converting a file name which actually contained Unicode characters.
**Action:** See additional messages.

**PC4654D (WinNT) Error determining if we are NTFS**
**Reason:** While performing an initial directory search there was an error determining if the volume is NTFS.
**Action:** See additional messages.

**PC4655D (WinNT) Error determining if we are NTFS**
**Reason:** While performing an subsequent directory search there was an error determining if the volume is NTFS.
**Action:** See additional messages.

**PC4656D (WinNT) Error setting permissions**
**Reason:** There was an error adding the user to the permissions list for the file or directory.
**Action:** See additional messages.

**PC4657E (Win32) Error continuing file search**
**Action:** See additional messages.

**PC4658D (WinNT) Error determining NTFS drive status**
**Reason:** There was an NT error determining if this path was an NTFS drive.
**Action:** See additional messages.

**PC4659D (WinNT) Error loading permissions library**
**Action:** See additional messages.

**PC4660D (WinNT) Error loading permissions function.**
**Action:** See additional messages.

**PC4661D (WinNT) Error getting existing permissions.**
**Reason:** While attempting to add permissions for this user, there was an error getting the existing permissions.
**Action:** See additional messages.

**PC4662D (WinNT) Error getting user name**
**Reason:** While attempting to add permissions for this user, there was an error getting the name of the current user.
**Action:** See additional messages.

**PC4663D (WinNT) Error adding to ACL**
**Reason:** While attempting to add permissions for this user, there was an error adding the user's information to the existing ACL.
**Action:** See additional messages.

**PC4664D (WinNT) Error setting permissions**
**Reason:** While attempting to add permissions for this user to the file system, there was an error.
**Action:** See additional messages.

**PC4665D (WinNT) Error testing security**
**Reason:** While attempting to take security, there was a non-security related error.
**Action:** See additional messages.

**PC4666D (WinNT) Error setting permissions during open**
**Reason:** There was an error setting the permissions for a file after a failed file open.
**Action:** See additional messages.

**PC4667W (WinNT) Error creating hard link.**
**Reason:** Error writing hard link header.
**Action:** See file I/O message.

**PC4668W (WinNT) Error creating hard link.**
**Reason:** Error writing hard link name.
**Action:** See file I/O message.

**PC4669W (WinNT) Error creating hard link.**
**Reason:** Error writing hard link trailer.
**Action:** See file I/O message.

## Win32 system errors from USTPSERV.EXE.

**PC4680E Invalid parameters passed to USTPSERV**

**Reason:** The service name and program specification are required as the first two paramters to USTPSERV.EXE. FIX: Run the USTPCFG.EXE program, select the TP service for which this execution applies, change the EXE Path Name field to "d:\path\USTPSERV.EXE" and change the Parameters field to "servicename programfilespec [param1 ... paramN]"

**PC4681E USTPSERV could not start the service**
**Reason:** The service provided to USTPSERV.EXE could not be started by the Service Control Dispatcher. The name of the service and the error code follow:

**PC4682E The service started by USTPSERV did not complete**
**Reason:** The service provided to USTPSERV.EXE did not complete successfully. The name of the service and the error code follow:

**PC4683E USTPSERV could not register the SC Handler**
**Reason:** The Service Control Handler for the service provided to USTPSERV.EXE could not be registered. The name of the service and the error code follow:

**PC4684E USTPSERV could not set the service status**
**Reason:** The status for the service provided to USTPSERV.EXE could not be set. The name of the service and the error code follow:

**PC4685I USTPSERV started the program for the service**
**Reason:** The program for the service provided to USTPSERV.EXE was started successfully. The name of the service and the program specification follow:

**PC4686E USTPSERV could not start the program for the service**
**Reason:** The program for the service provided to USTPSERV.EXE could not be started. The name of the service and the program specification follow:

**PC4687I The service program started by USTPSERV terminated**
**Reason:** The program for the service provided to USTPSERV.EXE terminated normally. The name of the service and the program specification follow:

**PC4688W The service program started by USTPSERV was stopped**
**Reason:** The program for the service provided to USTPSERV.EXE was stopped prematurely. The name of the service and the program specification follow:

**PC4689E The service program started by USTPSERV did not terminate**
**Reason:** The program for the service provided to USTPSERV.EXE did not terminate. It may still be running. The name of the service and the program specification follow:

**PC4690E The service program started by USTPSERV ABENDED**
**Reason:** The program for the service provided to USTPSERV.EXE terminated abnormally. The name of the service and the program specification follow:

**PC4691I The service started by USTPSERV received a SC request**
**Reason:** The service provided to USTPSERV.EXE received a request from the Service Control Manager. The name of the service and the type of request received follow:

**PC4692E The program name is not a valid executable program**
**Reason:** The second or third parameter provided to USTPSERV.EXE must be the name of an executable program file, but the program name provided is not a valid 32-Bit or 16-Bit executable program file.

**PC4693I The service program started by USTPSERV was not waited on**
**Reason:** USTPSERV.EXE did not wait for the termination of the program for the service provided to it. The program is still running. The name of the service and the program specification follow:

**PC4694I Issuing a USCNTL request to kill the program**
**Reason:** USTPSERV.EXE is issuing a USCNTL request to kill the program for which it was started. The name of the service and the USCNTL command line follow:

**PC4695W Forcing program termination due to USCNTL failure**
**Reason:** The USCNTL request to kill the program was unsuccessful so USTPSERV.EXE will force the termination of the program's process. The name of the service and program to be terminated follow:

## OS/2 specific TCP/IP errors.

**PC4700E Error creating named pipe.**
**Reason:** There was an OS/2 error creating the named pipe necessary to communicate with the subprogram USTCPIP.
**Action:** The return code is the OS/2 error. Run HELP SYSxxxx with the return code for a full description.

**PC4701E Error allocating status named data area.**
**Reason:** There was an error allocating the data area necessary to communicate with the subprogram USTCPIP.
**Action:** The return code is the OS/2 error. Run HELP SYSxxxx with the return code for a full description.

**PC4702E Error starting USTCPIP.EXE**
**Reason:** There was an error starting the program USTCPIP.EXE.
**Action:** The return code is the error returned from the spawn call. See the Errors chapter in the UPSTREAM manual for a list of these return codes. Also try running the program USTCPIP manually - if it can't load the DLL SO32DLL make sure you have TCP/IP installed and started.

**PC4703E Error connecting to USTCPIP**
**Reason:** There was an error connecting the named pipe to the subprogram USTCPIP.
**Action:** The return code is the OS/2 error. Run HELP SYSxxxx with the return code for a full description.

**PC4704E Error reading named pipe.**
**Reason:** There was an error reading the named pipe used to communicate with USTCPIP.
**Action:** The return code is the OS/2 error. Run HELP SYSxxxx with the return code for a full description.

**PC4705E Error writing named pipe.**
**Reason:** There was an error writing to the named pipe used to communicate with USTCPIP.
**Action:** The return code is the OS/2 error. Run HELP SYSxxxx with the return code for a full description.

**PC4706E Internal TCP/IP error**
**Reason:** USTCPIP reported an internal error.
**Action:** The error text and return codes should help you diagnose the problem.

**PC4707E Error loading required TCP/IP function**
**Reason:** The function listed below could not be loaded.
**Action:** The return code lists the OS/2 error. Look up OS/2 errors by entering (from a command line) HELP SYSxxxx where xxxx is the return code.

**PC4708E Error loading required TCP/IP module**
**Reason:** The module listed below could not be loaded.
**Action:** The return code lists the OS/2 error. Look up OS/2 errors by entering (from a command line) HELP SYSxxxx where xxxx is the return code.

**PC4709E Novell TCP/IP not loaded**
**Reason:** The "loaded" call returned that Novell TCP/IP services are not available.
**Action:** Verify the LWP install is correct.

**PC4710E Error starting TCP/IP thread**
**Reason:** OS/2 would not allow the TCP/IP thread to begin.
**Action:** Shut down some other processes and retry.

**PC4711E Error setting semaphore**
**Reason:** There was an error setting a required semaphore before thread start.
**Action:** The return code lists the OS/2 error. Look up OS/2 errors by entering (from a command line) HELP SYSxxxx where xxxx is the return code.

**PC4712E Error setting semaphore**
**Reason:** There was an error setting a required semaphore used for the main thread.
**Action:** The return code lists the OS/2 error. Look up OS/2 errors by entering (from a command line) HELP SYSxxxx where xxxx is the return code.

**PC4713E Error clearing semaphore**

**Reason:** There was an error clearing a required semaphore used for the TCP/IP thread.
**Action:** The return code lists the OS/2 error. Look up OS/2 errors by entering (from a command line) HELP SYSxxxx where xxxx is the return code.

**PC4714E Error waiting on thread semaphore**
**Reason:** There was an error waiting on the TCP/IP thread semaphore.
**Action:** The return code lists the OS/2 error. Look up OS/2 errors by entering (from a command line) HELP SYSxxxx where xxxx is the return code.

**PC4715E Unknown TCP/IP request**
**Reason:** There was an internal error communicating between the main thread and the TCP/IP thread.
**Action:** Contact tech support.

## General TCP/IP errors.

**PC4750E TCP/IP communications error**
**Reason:** The following messages describes the error and the location within UPSTREAM where it happened.

**PC4751E (TCP/IP) sock_init failed.**
**Reason:** The sock_init call (which is used to init- ialize structures and detect the existance of TCP/IP) failed.
**Action:** Verify that TCP/IP is installed and operational.

**PC4752E (TCP/IP) socket failed.**
**Reason:** The socket call (which is used to begin communications) failed.

**PC4753E (TCP/IP) connect failed**
**Reason:** The connect call which is used to connect to the mainframe failed.

**PC4754E (TCP/IP) Error allocating internal memory**
**Reason:** There was an error allocating memory which is used for TCP/IP traffic.
**Action:** Free memory.

**PC4755E (TCP/IP) Receive buffer too small**
**Reason:** Internal error.
**Action:** Call Tech Support

**PC4757E (TCP/IP) Data size mismatch**
**Reason:** Expected to receive a block of a given size (based on the length prefix) and the data received was a different size.
**Action:** Call Tech Support.

**PC4758E (TCP/IP) Error during confirm**
**Reason:** Error having the host verify that the data was received correctly.
**Action:** See additional messages.

**PC4759E (TCP/IP) Error during confirmed**
**Reason:** Error notifying the host that the data has been correctly received.
**Action:** See additional messages.

**PC4760E (TCP/IP) Send sizes wrong**
**Reason:** There was an internal error matching the requested transmit size to the size encoded in the data. Internal error.
**Action:** Call Tech Support.

**PC4761E (TCP/IP) soclose failed**
**Reason:** The soclose call which is used to disconnect from the remote failed.

**PC4762E (TCP/IP) Exceeded max sockets**
**Reason:** FDR/UPSTREAM has no more room for the more sockets. Internal error.
**Action:** Call Tech Support.

**PC4763E (TCP/IP) Sending receive request**
**Reason:** Error occurred when sending a request to the host to receive.

**PC4764E (TCP/IP) socket failed.**
**Reason:** The socket call (which is used to begin communications for remote initiates) failed.

**PC4765E (TCP/IP) bind failed.**
**Reason:** The bind call (which is used to begin communications for remote initiates) failed. This usually occurs when another copy of UPSTREAM has already bound to the inbound TCP port. This message can be ignored unless you need to service remote requests.

**PC4766E (TCP/IP) ioctl failed.**
**Reason:** The ioctl call (which is used to begin communications for remote initiates) failed.

**PC4767E (TCP/IP) accept failed.**
**Reason:** The accept call (which is used to begin communications for remote initiates) failed.

**PC4768E (TCP/IP) Insufficient memory**
**Reason:** There was not sufficient memory to allocate the CreateTP structure which is necessary to properly process the received request.
**Action:** Free memory.

**PC4769E (TCP/IP) recv failed.**
**Reason:** The recv call (which is used to receive data from the remote) failed.

**PC4770E (TCP/IP) send failed.**
**Reason:** The send call (which is used to send data to the remote) failed.

**PC4771E (TCP/IP) Received no data.**
**Reason:** The data length received is too small to contain any data. Internal error.
**Action:** Call Tech Support.

**PC4772E (TCP/IP) Unknown APPC request**
**Reason:** The APPC request was unrecognized. Internal error.
**Action:** Call Tech Support.

**PC4773E (TCP/IP) listen failed.**
**Reason:** The listen call (which is used to listen for a remote request) failed.

**PC4774E (TCP/IP) Deallocate failed.**
**Reason:** The request to the remote to deallocate failed.

**PC4775E (TCP/IP) Receive of CONFIRMED failed**
**Reason:** The CONFIRM response was not properly received.

**PC4776E (TCP/IP) Expected a CONFIRMED**
**Reason:** While waiting for a CONFIRM response, an unexpected data type was received. Internal error.

**PC4777E (TCP/IP) linger failed.**
**Reason:** The linger call to request unsent data flushed failed.

**PC4778W (TCP/IP) Error occurred while flushing data.**
**Reason:** The error occurred while flushing data prior to closing the connnection.

**PC4779E (TCP/IP) Error occurred while setting blocking**
**Reason:** The error occurred when attempting to set the remotely received conversation as blocking.

**PC4780E (TCP/IP) Incomplete received**
**Reason:** The data record received is larger than the data buffer. Internal error.
**Action:** Call tech support.

**PC4781E (TCP/IP) Socket in use**
**Reason:** A socket call returned a socket number in use. There is a bug in your TCP/IP implementation.
**Action:** Call tech support.

**PC4782E (TCP/IP) Error in specified TCP/IP Option**
**Reason:** You specified in the UPSTREAM configuration to use a TCP/IP option and your TCP/IP reported the following error.

**PC4783E (TCP/IP) Invalid IP address or host name.**
**Reason:** The mainframe IP address is not valid or the name specified for the mainframe was not resolved.
**Action:** Return to UPSTREAM configurator and check the value of TCP/IP Address field. It should be either dotted IP address or the name of your mainframe computer running UPSTREAM.

**PC4784E (TCP/IP) Name server is not found and there**
**Reason:** is no such entry in the local HOST table.

**PC4785E (TCP/IP) The host specified is not found.**

**PC4786E (TCP/IP) The local server does not receive**
**Reason:** a response from an authorized server. Try again.

**PC4787E (TCP/IP) Unrecoverable error.**

**PC4788E (TCP/IP) The requested host name is valid, but**
**Reason:** does not have an internet address at the name server.

**PC4789D (TCP/IP) Shutdown error.**
**Reason:** TCP/IP reported an error during the shutdown. In most cases this will not affect the data transmission.

**PC4790D (TCP/IP) Error disabling Nagle algorithm**
**Reason:** There was a TCP/IP error disabling the Nagle algorithm used to improve performance.

**PC4791D (TCP/IP) Error enabling Nagle algorithm**
**Reason:** There was a TCP/IP error enabling the Nagle algorithm used to improve performance.

**PC4792E (TCP/IP) Error in setting send buffer**
**Reason:** You specified in the UPSTREAM configuration to set the send buffer size and your TCP/IP reported the following error.

**PC4793I (TCP/IP) Dynamically adjusted listening port**

**PC4794I (TCP/IP) CONFIRM connection closed.**
**Reason:** Generally can be ignored.

**PC4795E (TCP/IP) Error resolving local adapter address**
**Action:** Reconfigure your local outbound adapter address in the UPSTREAM advanced configuration.

**PC4796E (TCP/IP) Error binding adapter**
**Reason:** When connecting to a remote system, UPSTREAM had an error using the local adapter (not the host address) for the connect.
**Action:** Verify that you have specified the correct IP address for the local adapter in the advanced configuration options.

**PC4797E (TCP/IP) Error resolving local adapter address**
**Action:** Reconfigure your local inbound adapter address in the UPSTREAM advanced configuration.

## TCP/IP return codes.

**PC4800E Unknown return code.**
**Reason:** The following return code could not be interpreted.
**Action:** Call Tech Support.

**PC4801E (TCP/IP) Not owner**

**PC4802E (TCP/IP) No such process**

**PC4803E (TCP/IP) Interrupted system call**

**PC4804E (TCP/IP) No such device or address**

**PC4805E (TCP/IP) Bad file number**

**PC4806E (TCP/IP) Permission denied**

**PC4807E (TCP/IP) Bad address**

**PC4808E (TCP/IP) Invalid argument**

**PC4809E (TCP/IP) Too many open files**

**PC4810E (TCP/IP) Broken pipe**
**Reason:** Can be caused by some intemediate device (router, cable, etc.) going down. Can also be cause by the remote system going down completely (crashing).

**PC4811E (TCP/IP) OS/2 Error**

**PC4812E (TCP/IP) Operation would block**

**PC4813E (TCP/IP) Operation now in progress**

**PC4814E (TCP/IP) Operation already in progress**

**PC4815E (TCP/IP) Socket operation on non-socket**

**PC4816E (TCP/IP) Destination address required**

**PC4817E (TCP/IP) Message too long**

**PC4818E (TCP/IP) Protocol wrong type for socket**

**PC4819E (TCP/IP) Protocol not available**

**PC4820E (TCP/IP) Protocol not supported**

**PC4821E (TCP/IP) Socket type not supported**

**PC4822E (TCP/IP) Operation not supported on socket**

**PC4823E (TCP/IP) Protocol family not supported**

**PC4824E (TCP/IP) Address family not supported by protocol family**

**PC4825I (TCP/IP) Address already in use**
**Reason:** Usually caused by another copy of UPSTREAM actively listening on the inbound TCP/IP port.

**PC4826E (TCP/IP) Can’t assign requested address**

**PC4827E (TCP/IP) Network is down**

**PC4828E (TCP/IP) Network is unreachable**

**PC4829E (TCP/IP) Network dropped connection on reset**

**PC4830E (TCP/IP) Software caused connection abort**

**PC4831E (TCP/IP) Connection reset by peer**
**Reason:** Can be caused by a number of different problems. Check the UPSTREAM log on both sides. For Windows 95 or NT may be caused by Windows TCP/IP not properly handing delays (can be fixed by increasing the TcpMaxDataRetransmissions in the registry).

**PC4832E (TCP/IP) No buffer space available**
**Reason:** Memory or disk shortage.

**PC4833E (TCP/IP) Socket is already connected**

**PC4834E (TCP/IP) Socket is not connected**

**PC4835E (TCP/IP) Can’t send after socket shutdown**

**PC4836E (TCP/IP) Too many references: can’t splice**

**PC4837E (TCP/IP) Connection timed out**

**PC4838E (TCP/IP) Connection refused**
**Reason:** Most often caused by the remote application not running. Can also be caused by TCP/IP on MVS being recycled and UPSTREAM not being recycled.

**PC4839E (TCP/IP) Too many levels of symbolic links**

**PC4840E (TCP/IP) File name too long**

**PC4841E (TCP/IP) Host is down**

**PC4842E (TCP/IP) No route to host**

**PC4843E (TCP/IP) Directory not empty**

## DOS specific TCP/IP errors.

**PC4900E (DOS TCP/IP) Error allocating memory**

**Reason:** There wasn't enough memory to allocate the shared data buffer.
**Action:** Free memory.

**PC4901E (DOS TCP/IP) Invalid interrupt**
**Reason:** The interrupt number specified with the environment variable USTCPINT is outside the valid range.
**Action:** Specify an interrupt greater than 60.

**PC4902E (DOS TCP/IP) TCP/IP TSR not found**
**Reason:** The required UPSTREAM TCP/IP processing TSR program was not found at the specified interrupt.
**Action:** Load UPSTREAM TCP/IP TSR.

**PC4903E (DOS TCP/IP) Internal TCP/IP error**
**Reason:** The TSR reported an internal error.
**Action:** The error text and return codes should help you diagnose the problem.

## Windows specific TCP/IP errors.

**PC4950E (Win TCP/IP) Error loading function**
**Reason:** The function below is required but not found.
**Action:** Verify that WINSOCK.DLL or WSOCK32.DLL is in your PATH and is a high enough version.

**PC4951E (Win TCP/IP) WINSOCK.DLL not found**
**Reason:** WINSOCK.DLL or WSOCK32.DLL is required for TCP/IP access.
**Action:** Verify that it is in your path.

**PC4952E (Win TCP/IP) Error loading WINSOCK.DLL**
**Reason:** The following error occurred when loading WINSOCK.DLL or WSOCK32.DLL.
**Action:** Call Tech Support.

**PC4953E (Win TCP/IP) Sockets initialization error**
**Reason:** WSAStartup returned the following return code.
**Action:** See your TCP/IP documentation.

**PC4954E (Win TCP/IP) Error creating test socket**
**Reason:** UPSTREAM creates a test socket to test the functionality of the WinSock environment and the test creation failed.
**Action:** See return code.

**PC4955D (Win TCP/IP) Error in WSAAsyncSelect**
**Reason:** This is a shutdown error and can generally be ignored.
**Action:** See additional messages.

**PC4956D (Win TCP/IP) Timeout waiting for FD_CLOSE**
**Reason:** This is a shutdown error and can generally be ignored.

**PC4957D (Win TCP/IP) Set blocking error.**
**Reason:** This is a shutdown error and can generally be ignored.
**Action:** See additional messages.

## LAN Workstation profile errors.

**PC5000E (LAN WS Prf) Novell not installed**
**Reason:** Novell access is not available and required for the LAN Workstation profile facility.
**Action:** Install Novell

**PC5001E (LAN Ws Prf) Error opening profile**
**Reason:** There was a file system error opening the specified profile.
**Action:** Specify an existing profile.

**PC5002E (LAN WS Prf) Error reading profile**
**Reason:** There was an error reading the profile.
**Action:** See additional messages.

**PC5003N (LAN WS Prf) Beginning LAN WS Backup/Restore**
**Reason:** The following profile was obtained from the LAN WS Profile specified. You can abort the entire process by pressing the CANCEL button now.

**PC5004E (LAN WS Prf) User abort of LAN WS**
**Reason:** Backup/Restore.

**PC5005W (LAN WS) Files with long file names ignored**
**Reason:** One or more files or directories with long file names were found on the workstation. These files cannot be handled by the DOS or Windows 3.1 versions of UPSTREAM.
**Action:** If it is not an OS/2 workstation set the environment variable USUSEWIN32ALTERNATEFILENAMES=Y so that the alternate file names can be used.

## Status errors.

**PC5100E (Status) Error during start conversation**
**Action:** See additional messages

**PC5101E (Status) Error sending start conversation**
**Action:** See additional messages

**PC5102E (Status) Error sending status request**
**Action:** See additional messages

**PC5103E (Status) Error receiving response**
**Action:** See additional messages

**PC5104E (Status) Unexpected conversation state**
**Reason:** Internal error.
**Action:** Call tech support.

**PC5105E (Status) Error during Confirm**
**Action:** See additional messages.

**PC5106E (Status) Error during Confirmed**
**Action:** See additional messages.

**PC5107E (Status) Error during end of conversation**
**Action:** See additional messages.

## UNIX system errors.

**PC5200E (UNIX) Error occurred reading symbolic link**
**Action:** Internal error. Call tech support.

**PC5201E (UNIX) Error occurred creating symbolic link**
**Action:** Internal error. Call tech support.

**PC5202E (UNIX) Error occurred removing symbolic link**
**Action:** Internal error. Call tech support.

**PC5203E (UNIX) Statx error occurred while restoring**
**Reason:** a symbolic link
**Action:** Internal error. Call tech support.

**PC5204E (UNIX) Can not restore symbolic link**
**Reason:** An existing entry in the file system is not a symbolic link.
**Action:** Remove the existing file or directory IF AND ONLY IF you want the symbolic link to replace the existing file or directory and rerun the restore.

**PC5205E (UNIX) Error resetting last access date.**
**Action:** Internal error. Call tech support.

**PC5206E (UNIX) Unable to get memory for w_getmntent.**
**Action:** Internal error. Call tech support.

**PC5207E (UNIX) Filesystem mount entry not found.**
**Action:** Internal error. Call tech support.

**PC5208E (UNIX) Error occurred getting mount table.**
**Action:** Internal error. Call tech support.

## Host reporting errors

**PC5300E (Host Rpt) Error allocating memory**
**Action:** Free memory.

**PC5301E (Host Rpt) Error opening file**
**Reason:** There was an error opening the specified host reporting parameter file.
**Action:** See additional messages.

**PC5302E (Host Rpt) Error reading parameter**
**Action:** See additional messages.

**PC5303E (Host Rpt) Error opening file**
**Reason:** There was an error opening the specified host reporting parameter file for write.
**Action:** See additional messages.

**PC5304E (Host Rpt) Error writing parameter**
**Action:** See additional messages.

**PC5305E (Host Rpt) User canceled host report**

**PC5306E (Host Rpt) Error occurred during a start conv.**
**Action:** See additional messages.

**PC5307E (Host Rpt) Error occurred during send of start**

**PC5308E (Host Rpt) Error occurred during send of req.**

**PC5309E (Host Rpt) Error getting result count.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC5310E (Host Rpt) Error getting result string.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC5311E (Host Rpt) Error occurred during send of selection.**

**PC5312E (Host Rpt) Error occurred during receive.**

**PC5313E (Host Rpt) Unexpected conversation state.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC5314E (Host Rpt) Error occurred during a confirmed**

**PC5315E (Host Rpt) Error opening report file**
**Action:** See additional messages.

**PC5316E (Host Rpt) Error writing to report file.**
**Action:** See additional messages.

**PC5317E (Host Rpt) Error occurred during end conversation**

**PC5318W (Host Rpt) No information matched criteria**
**Action:** Respecify.

**PC5319E (Host Rpt) Error allocating memory**
**Action:** Free memory.

**PC5320E (Host Rpt) Error allocating memory**
**Action:** Free memory.

## Personalization errors.

**PC5400E (Per.) Personaliziation file not found.**
**Reason:** For UPSTREAM, the personalization file is US.SER and must be found either in the Work Path or in the UPSTREAM directory; in USCFG, the specified file was not found.
**Action:** You may be able to copy USSER to US.SER.

**PC5401E (Per.) Error reading the personalization file.**
**Action:** See additional messages.

**PC5402E (Per.) Personalization illegally modified**
**Action:** Reload UPSTREAM from the original diskettes.

**PC5403E (Per.) Error in access**
**Action:** Reload UPSTREAM from the original diskettes.

**PC5404E (Per.) Error in access**
**Action:** Reload UPSTREAM from the original diskettes.

**PC5405E (Per.) Error in access**
**Action:** Reload UPSTREAM from the original diskettes.

**PC5406E (Per.) Error in access**
**Action:** Reload UPSTREAM from the original diskettes.

**PC5407E (Per.) Error writing to the personalization file.**
**Action:** See additional messages.

**PC5408E (Per.) Error opening ZAP file.**
**Action:** See additional messages.

**PC5409E (Per.) Error reading ZAP file.**
**Action:** See additional messages.

**PC5410E (Per.) Error opening personalization file.**
**Reason:** The personalization file could not be opened. For UPSTREAM this is fatal - for USCFG, specify a different file.
**Action:** See additional messages.

**PC5411E (Per.) Error reading personalization file**
**Action:** Reload UPSTREAM from original media.

**PC5412E (Per.) Error reading personalization file**
**Action:** Reload UPSTREAM from original media.

**PC5413E (Per.) Corrupted personalization file.**
**Action:** Reload UPSTREAM from original media.

**PC5414E (Per.) Corrupted personalization file.**
**Action:** Reload UPSTREAM from original media.

**PC5415E (Per.) Corrupted personalization file.**
**Action:** Reload UPSTREAM from original media.

**PC5416E (Per.) Corrupted personalization file.**
**Action:** Reload UPSTREAM from original media.

**PC5417E (Per.) Corrupted personalization file.**
**Action:** Reload UPSTREAM from original media.

**PC5418E (Per.) Corrupted personalization file.**
**Action:** Reload UPSTREAM from original media.

**PC5419E (Per.) Insufficient memory**
**Action:** Close applications or free memory.

**PC5420E (Per.) Insufficient memory**
**Action:** Close applications or free memory.

**PC5421E (Per.) Error writing to the personalization file.**
**Action:** See additional messages.

**PC5422E (Per.) Error writing to the personalization file.**
**Action:** See additional messages.

**PC5423E (Per.) Missing systems record.**
**Action:** Call tech support.

**PC5424E (Per.) Missing user record.**
**Action:** Call tech support.

**PC5425E (Per.) Error writing to the personalization file.**
**Action:** See additional messages.

**PC5426E (Per.) Error reading personalization file.**
**Action:** Call tech support.

**PC5427E (Per.) Error reading personalization file.**
**Action:** Call tech support.

**PC5450E (Per.) Invalid personalization**
**Action:** Call tech support.

**PC5451E (Per.) Backups disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5452E (Per.) Restores disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5453E (Per.) As of...Restores disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5454E (Per.) Performance tests disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5455E (Per.) Remote requests disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5456E (Per.) Profile management disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5457E (Per.) Profile configuration disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5458E (Per.) Host status disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5459E (Per.) Host reporting disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5460E (Per.) ULTra disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5461E (Per.) Novell Profiles disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5462E (Per.) Banyan StreetTalk Disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5463E (Per.) Bindery/NDS Disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5464E (Per.) Migration Disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5465E (Per.) Deletion Disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5466E (Per.) Non-merge backups Disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5467E (Per.) Merge backups Disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5468E (Per.) TCP/IP Disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5469E (Per.) SNA Disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5470E (Per.) Sequential disk backups Disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5471E (Per.) Sequential tape backups Disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5472E (Per.) Sequential disk restores Disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5473E (Per.) Sequential tape restores Disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5474E (Per.) Backups Disallowed at this time**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5475E (Per.) Restores Disallowed at this time**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5476E (Per.) Password failed.**
**Reason:** The user entered an incorrect password and/or chose not to run UPSTREAM at this time.

**PC5477E (Per.) The specification is not allowed**
**Reason:** The file specification (which follows) is not allowed for your copy of UPSTREAM.
**Action:** Call your administrator.

**PC5478E (Per.) Attended operations Disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5479E (Per.) Unattended operations Disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5480E (Per.) Sequential backups disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5481E (Per.) Non-sequential backups disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5482E (Per.) File transfers disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5483E (Per.) Host jobs disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5484E (Per.) FDRSOS functions disallowed**

**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5485E (Per.) Destinations disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5486E (Per.) Physical disk access disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5487E (Per.) User name override disallowed**
**Reason:** Your copy of UPSTREAM has specifically disallowed this function.
**Action:** Call your administrator.

**PC5488E (Per.) Restore file fail rename disallowed**
**Reason:** You must enable this function using personalization to use it.
**Action:** Call your administrator.

## Target name errors

**PC5501E Error starting conversation**
**Reason:** There was an error starting a conversation to inform the host of your target name.
**Action:** See communications return codes.

**PC5502E Error allocating memory.**
**Reason:** There was an error allocating memory to inform the host of your target name.
**Action:** Free memory or disk.

**PC5503E Error sending target name info.**
**Reason:** There was an error sending the target name information to the host.
**Action:** See communications return codes.

**PC5504E Error in confirm of target name.**
**Reason:** There was an error confirming the transmission of the target name information to the host.
**Action:** See communications return codes.

**PC5505E Error ending conversion of target name.**
**Reason:** There was an error ending the conversation of the transmission of the target name to the host.
**Action:** See communications return codes.

**PC5506I Registered the following name to the host:**

**PC5507E Error sending target name start conversation**
**Reason:** There was a communications error sending the start conversation record to the host.
**Action:** See communications return codes.

**PC5508E Error receiving workstation information**
**Reason:** There was a communications error receiving the requested workstation information from the host.
**Action:** See communications return codes.

**PC5509D Automatic update.**
**Reason:** The local machine received an automatic update indication from the host. When terminating or idle, the automatic update will proceed.

**PC5510D Running Automatic Update.**
**Reason:** The following UPSTREAM control file (.dat) is being executed (usually a restore) as part of the automatic update process. If you press the CANCEL button, the auto-update will be skipped.

**PC5511D Running Automatic Update.**
**Reason:** The following UPSTREAM job (batch file or script) is being executed (usually a restore) as part of the automatic update process. If you press the CANCEL button, the auto-update process will be skipped.

**PC5512D ULTra automatic update.**
**Reason:** The ULTra version is not at the level of the master version. The automatic update will begin.

**PC5513D Running ULTra Automatic Update.**
**Reason:** The following UPSTREAM control file (.dat) is being executed (usually a restore) as part of the automatic update process. If you press the CANCEL button, the auto-update process will be skipped.

**PC5514D Running ULTra Automatic Update.**
**Reason:** The following UPSTREAM job (batch file or script) is being executed (usually a restore) as part of the automatic update process.

**PC5515E Auto-update parameter file error**
**Action:** See additional messages.

**PC5516E Error saving parameters**
**Reason:** When attempting to automatically update an ULTra workstation, there was an error saving the existing parameters.
**Action:** See additional messages.

**PC5517E ULTra auto-update parameter file error**
**Action:** See additional messages.

**PC5518E Error restoring parameters**
**Reason:** When attempting to restore parameters after an ULTra automatic update there was an error.
**Action:** See additional messages.

**PC5519E Error saving parameters**
**Reason:** When attempting to automatically update, there was an error saving the existing parameters.
**Action:** See additional messages.

**PC5520E Error restoring parameters**
**Reason:** When attempting to restore parameters after an automatic update.
**Action:** See additional messages.

**PC5521D User requested skip of auto-update**

## Inquiry/Restore errors

**PC5600W Error deleting file inquiry file.**
**Reason:** There was a file system error deleting the file used to store inquired files information.
**Action:** See additional messages.

**PC5601E Error opening inquiry file**
**Action:** See additional messages.

**PC5602E Error reading inquiry file**
**Action:** See additional messages.

**PC5603E Unexpected EOF in inquiry file**
**Action:** Internal error - contact tech support

**PC5604E Error deleting inquiry file.**
**Action:** See additional messages.

**PC5605E Error writing inquiry file.**
**Action:** See additional messages.

**PC5606E UNIX file system.**
**Reason:** You can not perform an inquiry of a UNIX backup from a PC.
**Action:** Inquire from a UNIX system.

**PC5607E PC file system.**
**Reason:** You can not perform an inquiry of a PC backup from a UNIX system.
**Action:** Inquire from a PC.

**PC5608D UNIX file skipped**
**Action:** You must delete these from a UNIX system.

**PC5609D PC file skipped**
**Action:** You must delete these from a PC system.

## Security validation errors.

**PC5650E Error allocating required memory.**
**Reason:** When performing security validation, there was a memory shortage.
**Action:** Free memory or disk and retry.

**PC5651E Error starting conversation**
**Reason:** When performing security validation, there was an error starting the conversation with the host.
**Action:** See the comm. return codes.

**PC5652E Error sending request**
**Reason:** When performing security validation, there was an error sending the security information.
**Action:** See the comm. return codes.

**PC5653E Security information confirm error**
**Reason:** If there is not a comm error, most likely there was an error with your security information.
**Action:** Reenter your security information.

**PC5654E Error ending conversation.**
**Reason:** There was an error ending the security validation conversation.
**Action:** See the comm. return codes.

**PC5660E Remote password invalid**
**Reason:** The password entered on the remote system is not the password personalized on the PC.
**Action:** Resubmit with the correct password.

**PC5665E (Win32 Sec.) Error logging in.**
**Reason:** There was a Windows NT error logging in a given local user.
**Action:** See Windows NT message above.

**PC5666E (Win32 Sec.) Error loading security library**
**Reason:** There was a Windows NT error loading a required security library.
**Action:** See Windows NT message above.

**PC5667E (Win32 Sec.) Error loading security function**
**Reason:** There was a Windows NT error loading a required security function.
**Action:** See Windows NT message above.

**PC5668I (Sec.) Logged on as the following user:**

**PC5669E (Win32 Sec.) Error impersonating user.**
**Action:** See previous messages.

**PC5670E (Win32 Sec.) Privilege lookup error**
**Reason:** There was an error looking up the following privilege's value.
**Action:** See Windows NT message.

**PC5671W (Win32 Sec.) Required privilege not enabled**
**Reason:** A required privilege can not be enabled. The UPSTREAM function may fail for security reasons later.
**Action:** See Windows NT message.

**PC5672E (Unix Sec.) User not defined**
**Reason:** The user that you specified is not defined in your Unix system.
**Action:** Specify a valid user.

**PC5673E (Unix Sec.) Must be root for remote logins**
**Reason:** UPSTREAM must be running as the root user to allow remote logins.
**Action:** Restart UPSTREAM as root.

**PC5674E (Unix Sec.) Invalid password**
**Action:** Respecify

**PC5675E (Unix Sec.) Error during logoff**
**Reason:** There was an error switching back to UPSTREAM security during the logoff.

**PC5676E (Unix Sec.) Error during logon**
**Reason:** There was an error switching back to the new login security during login.

**PC5677E (Unix Sec.) Error setting effective UID**

**PC5678E (Unix Sec.) Error setting effective GID**

**PC5679E (Unix Sec.) User not defined**
**Reason:** The user that you specified is not defined in your Unix system.
**Action:** Specify a valid user.

**PC5680E (Unix Sec.) User not defined**
**Reason:** The user that you specified is not defined in your Unix system.
**Action:** Specify a valid user.

**PC5681E (Sec.) Error during file existance check**

**PC5682E (Sec.) Error during directory search**

**PC5683E (Unix Sec.) User not defined**
**Reason:** The user that you specified is not defined in your Unix system.
**Action:** Specify a valid user.

**PC5684E (Unix Sec.) Invalid password**
**Action:** Respecify

## SMS errors.

**PC5701E (SMS) Error getting default connection ID**
**Reason:** There was a Novell error getting the default connection ID.
**Action:** Look up the return code in the Novell messages section of the UPSTREAM manual.

**PC5702E (SMS) Error scanning object**
**Reason:** There was a Novell error scanning for the SMS Server.
**Action:** Look up the return code in the Novell messages section of the UPSTREAM manual.

**PC5703E (SMS) Badly formatted IP address**
**Reason:** The IP address of the USSMS PC was incorrectly formatted. Internal error.
**Action:** Call UPSTREAM technical support.

**PC5704E (SMS) SPX address location error**
**Reason:** There was an error extracting from the bindery the SPX address of the given workstation.
**Action:** Look up the return code in the Novell messages section of the UPSTREAM manual.

**PC5705E (SMS) Can't allocate comm buffer area.**
**Reason:** Memory shortage.
**Action:** Free memory or disk and retry.

**PC5706E (SMS) TLI error in open.**
**Reason:** There was a TLI error in a t_open.
**Action:** See additional messages.

**PC5707E (SMS) TLI error in bind.**
**Reason:** There was a TLI error in a t_bind.
**Action:** See additional messages.

**PC5708E (SMS) TLI error in connect.**
**Reason:** There was a TLI error in a t_connect.
**Action:** See additional messages.

**PC5709E (SMS) Exceeded buffer for field**
**Reason:** While adding a field to a transmission buffer, we attempted to go past the end of the buffer. Internal error.
**Action:** Contact tech support.

**PC5710E (SMS) TLI error in receive.**
**Reason:** There was a TLI error in a t_rcv of data length.
**Action:** See additional messages.

**PC5711E (SMS) TLI error in receive.**
**Reason:** There was a TLI error in a t_rcv.
**Action:** See additional messages.

**PC5712E (SMS) Lost connection to server**
**Reason:** There was a TLI error in a t_snd.
**Action:** See additional messages.

**PC5713E (SMS) Received buffer too small**
**Reason:** The buffer received from the server was too small. Internal error.
**Action:** Call tech support.

**PC5714E (SMS) Unexpected received data type**
**Reason:** Your workstation received an unknown data type from the server. Internal error.
**Action:** Call tech support.

**PC5715E (SMS) Unexpected end of data**
**Reason:** Internal error.
**Action:** Call tech support.

**PC5716E (SMS) Field length exceeds received buffer**
**Reason:** Internal error.
**Action:** Call tech support.

**PC5717E (SMS) Received length exceeds field.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC5718E (SMS) Received file server message:**

**PC5719E (SMS) Insufficient memory**
**Reason:** Error allocating the buffer required for server transport.
**Action:** Specify a USSMSXMITSIZE environment variable or free memory.

**PC5720E (SMS) Server not found.**
**Reason:** The requested server was not found in the bindery.
**Action:** Verify the USSMS.NLM program is running and configured for the specified connection type.

**PC5721E (SMS) Error in disconnect**
**Reason:** There was a TLI error in t_sndrel.
**Action:** See additional messages.

**PC5722E (SMS) Insufficient memory**
**Reason:** Error allocating the buffer required to begin server transport.
**Action:** Free memory or disk.

**PC5723E (SMS) Not connected**
**Reason:** The SMS function you're attempting doesn't work unless you have connected to a server first.
**Action:** Select a server and connect.

**PC5724E (SMS) Invalid field length.**
**Action:** Call tech support.

**PC5725E (SMS) Error checking for received data**
**Reason:** When attempting to transmit data, there was a TLI error checking to see if there was any data to receive.
**Action:** See additional messages.

**PC5726E (SMS) Unexpected receive type**
**Reason:** The NLM send unexpected data.
**Action:** Internal error. Call tech support.

**PC5727E (SMS) Unexpected t_look data state.**
**Action:** Retry the operation.

**PC5728E (SMS) Error getting connection for server**
**Reason:** There was an error getting the connection handle for the specified server.
**Action:** See Novell return code

**PC5729E (SMS) Error getting connection reference**
**Reason:** There was an error getting the connection reference (default).
**Action:** See Novell return code

**PC5730E (SMS) Receive into buffer too small**
**Reason:** The specified buffer is too small.
**Action:** Internal error. Call tech support.

**PC5731E (SMS) Receive into buffer too small**
**Reason:** The specified buffer is too small.
**Action:** Internal error. Call tech support.

**PC5732E (SMS-Windows) Error starting TCP/IP**
**Reason:** There was an error starting TCP/IP for Windows.
**Action:** See additional messages.

**PC5733E (SMS-Windows) Error in socket call**
**Action:** See additional messages.

**PC5734E (SMS-Windows) Error in connect call**
**Action:** See additional messages.

**PC5735E (SMS-Windows) Error in recv call**
**Action:** See additional messages.

**PC5736E (SMS-Windows) Error in ioctlsocket call**
**Action:** See additional messages.

**PC5737E (SMS-Windows) Error in send call**
**Action:** See additional messages.

**PC5738E (SMS-Windows) Error in closesocket call**
**Action:** See additional messages.

## Auto-recall specific errors

**PC5750W (Auto Recall) Can't open server conn.**
**Reason:** Unable to open connection to file server.
**Action:** See Novell return code

**PC5751W (Auto Recall) Can't get file name**
**Reason:** For the requested name space, the file name could not be obtained.
**Action:** See Novell return code

**PC5752W (Auto Recall) The file name received is bad**
**Reason:** The received file name does not have a colon separator used to denote the volume name.
**Action:** Call tech support.

**PC5753W (Auto Recall) Unable to start UPSTREAM**
**Reason:** UPSTREAM could not be started to perform the auto-recall.
**Action:** See return code.

**PC5754W (Auto Recall) UPSTREAM restore failed**
**Reason:** The UPSTREAM restore failed.
**Action:** See UPSTREAM log.

**PC5755D (Auto Recall) Received recall request**

**PC5756D (Auto Recall) Recall successful**

**PC5757I (Auto Recall) Enter NWRecall**

**PC5758I (Auto Recall) Exit NWRecall**

**PC5759E (Auto Recall) Error opening input parameter file.**
**Reason:** The input parameter file could not be opened.
**Action:** See additional messages.

**PC5760E (Auto Recall) Error opening output parameter file.**
**Reason:** The output parameter file could not be opened.
**Action:** See additional messages.

**PC5761E (Auto Recall) Error reading input parameter file.**
**Action:** See additional messages.

**PC5762E (Auto Recall) Error writing output parameter file.**
**Action:** See additional messages.

**PC5763E (Auto Recall) Error reading input parameter file.**
**Reason:** Error occurred while skipping to the file spec info.
**Action:** See additional messages.

**PC5764E (Auto Recall) Error writing output parameter file.**
**Reason:** Error occurred while writing file spec info.
**Action:** See additional messages.

**PC5765E (Auto Recall) Error writing output parameter file.**
**Reason:** Error occurred while writing file spec data.
**Action:** See additional messages.

**PC5766I (Auto Recall) Deleted expired file:**

**PC5767W (Auto Recall) Error deleting expired file.**

**PC5768W (Auto Recall) Lost connection to server; retrying**

**PC5769W (Auto Recall) Recovered connection to server**

## Local backup errors.

**PC5901E (Lcl Bkp) Error deleting backup file.**
**Reason:** There was a file system error deleting the following backup file.
**Action:** See additional messages.

**PC5902E (Lcl Bkp) Error allocating memory**
**Reason:** There was a memory shortage allocating the internal local backup memory area.
**Action:** Free memory or disk.

**PC5903E (Lcl Bkp) Error opening local backup file.**
**Action:** See additional messages.

**PC5904E (Lcl Bkp) Error writing backup description.**
**Action:** See additional messages.

**PC5905E (Lcl Bkp) Write header out of order.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC5906E (Lcl Bkp) Read record out of order.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC5907E (Lcl Bkp) Record read exceeds buffer.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC5908E (Lcl Bkp) Error reading header**
**Action:** See additional messages.

**PC5909E (Lcl Bkp) Write record out of order.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC5910W (Lcl Bkp) Error writing a local backup record.**
**Reason:** UPSTREAM will save the local backup “as is”.

**PC5911E (Lcl Bkp) Find file out of order.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC5912E (Lcl Bkp) Error reading record**
**Reason:** There was an error doing a sequential search for a given record. Internal error.
**Action:** Call tech support.

**PC5913E (Lcl Bkp) Unexpected end of file**
**Reason:** Internal error.
**Action:** Call tech support.

**PC5914E (Lcl Bkp) Error reading record**
**Reason:** There was an error doing a direct search for a given record. Internal error.
**Action:** Call tech support.

**PC5915E (Lcl Bkp) Expected file not found.**
**Reason:** The following file was not found at the given location. Internal error.
**Action:** Call tech support.

**PC5916E (Lcl Bkp) Corrupted data.**
**Reason:** A record read was not a file info record (as required). Internal error.
**Action:** Call tech support.

**PC5917E (Lcl Bkp) Exceeded record**
**Reason:** Internal error.
**Action:** Call tech support.

**PC5918E (Lcl Bkp) Local backup isn’t restartable**
**Reason:** A consistancy error was detected in the local backup file. This file will be deleted.

**PC5919E (Lcl Bkp) Restore out of order.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC5920E (Lcl Bkp) Error updating the file header**
**Action:** See additional messages.

**PC5921E (Lcl Bkp) Error reading counter file.**
**Reason:** There was an error reading the local backup counter file (profile name.000).
**Action:** See additional messages.

**PC5922E (Lcl Bkp) Error opening local backup source**
**Reason:** During a local backup copy, there was an error opening the source file.
**Action:** See additional messages.

**PC5923E (Lcl Bkp) Error opening local backup dest**
**Reason:** During a local backup copy, there was an error opening the destination file.
**Action:** See additional messages.

**PC5924E (Lcl Bkp) Error reading local backup source**
**Reason:** During a local backup copy, there was an error reading the source file.
**Action:** See additional messages.

**PC5925E (Lcl Bkp) Error writing local backup dest**
**Reason:** During a local backup copy, there was an error writing the destination file.
**Action:** See additional messages.

**PC5926E (Lcl Bkp) Error deleting the local backup file**
**Reason:** There was an error deleting the local backup file when the local backup directory was changed.
**Action:** See additional messages.

## File transfer errors.

**PC6001E (File Xfer) Wildcards not allowed.**
**Reason:** You can not specify more than one file in a file transfer request.
**Action:** Respecify.

**PC6002E (File Xfer) Record packing required.**
**Reason:** You must enable record packing to use file transfer.
**Action:** Set the parameter PACKRECSIZE to a non- zero value.

## Host job submission errors.

**PC6100E Error allocating memory**
**Reason:** There was an error allocating memory for host job submission.
**Action:** Free memory or restart program.

**PC6101E Error during start conversation error**
**Reason:** There was an error during host job submission
**Action:** See additional messages.

**PC6102E Error sending start conversation**
**Action:** See additional messages.

**PC6103E Error sending non-repeated description**
**Action:** See additional messages.

**PC6104E Error sending repeated description**
**Action:** See additional messages.

**PC6105E Error receiving job submission info.**
**Action:** See additional messages.

**PC6106E Error in confirmed of host job.**
**Action:** See additional messages.

**PC6107E Error ending conversation.**
**Action:** See additional messages.

**PC6108I Submitting existing host job**

**PC6109D Host job submitted**

**PC6110I Host job submission failed**

## UPSTREAM/SOS integration errors.

**PC6200D Error creating SOS file**
**Reason:** Could not create the SOS timestamp file. SOS restores will have to be performed manually.
**Action:** See additional messages.

**PC6201D Could not find the SOS file.**
**Reason:** Expected the SOS file and it was not found.
**Action:** Verify the SOS Timestamp Path. If you removed the file, you will have to restore it.

**PC6202E Expected SOS timestamp file spec**
**Reason:** There were no SOS capable file specifications.
**Action:** Respecify the restore.

## UPSTREAM/Auto-Recall notification errors.

**PC6300E Expected connection not found**
**Reason:** When searching through the list of connected servers, your configured server was not found.
**Action:** Internal error; call tech support.

**PC6301E Connection number not found.**
**Reason:** When looking at the connected server an unexpected error was returned.
**Action:** Internal error; call tech support.

**PC6302E No longer connected**
**Action:** Restart the USRECALL NLM and USNOTIFY.

**PC6303I Enter USNotify**

**PC6304I Exit USNotify**

## FDRSOS - Raw disk errors.

**PC6400E (Raw Disk) Bad disk name. Use PC/UNIX name.**
**Reason:** You specified a raw disk access type not valid for your operating system.
**Action:** Specify the name as displayed on the PC/UNIX UPSTREAM disk display screens. For PCs: 1,{disk number}, or 2,{adapter},{targ},{LUN} (for DOS ASPI); for UNIX it's 4,{disk name}

**PC6401E (Raw Disk) Read of 0 sectors not allowed**
**Reason:** Internal error.
**Action:** Call tech support.

**PC6402E (Raw Disk) Attempt to read past end of disk**
**Reason:** Internal error.
**Action:** Call tech support.

**PC6403E (Raw Disk) Write of 0 sectors not allowed**
**Reason:** Internal error.
**Action:** Call tech support.

**PC6404E (Raw Disk) Attempt to write past end of disk**
**Reason:** Internal error.
**Action:** Call tech support.

**PC6405E (Raw Disk) Error allocating memory**
**Reason:** Insufficient memory allocating partition table memory.
**Action:** Free memory.

**PC6406E (Raw Disk) Error allocating memory**
**Reason:** Insufficient memory allocating a partition table list entry.
**Action:** Free memory.

**PC6407E (Raw Disk) DPMI error**
**Reason:** There was a DPMI error simulating a real mode interrupt.
**Action:** Report the following return code to tech support.

**PC6408E (Raw Disk) Not a UNIX physical disk**
**Reason:** The received physical disk is not in the form: or /.
**Action:** Respecify.

**PC6409E (Raw Disk) Not PC physical disk**
**Reason:** The received physical disk is not in the form: \ or :\
**Action:** Respecify.

**PC6412E (Raw Disk) Async write pending**
**Reason:** A write was requested with a pending async function still posted. Internal error.
**Action:** Call tech support.

**PC6413E (Raw Disk) Async read pending**
**Reason:** A read was requested with a pending async function still posted. Internal error.
**Action:** Call tech support.

**PC6500E (Raw Disk-Dos) Invalid access type**
**Reason:** While parsing the location information, the access type was invalid.
**Action:** Respecify the location.

**PC6501E (Raw Disk-Dos) Required field missing**
**Reason:** While parsing the location information, a required sub-field was missing.
**Action:** Respecify the location.

**PC6502E (Raw Disk-Dos) Disk not found**
**Reason:** The specified disk was not found. This message may be reported for a physical disk backup/restore or a FDRSOS local backup/restore.
**Action:** Respecify the location with a valid disk or disable FDRSOS Local Backups.

**PC6503E (Raw Disk-Dos) DOS error**
**Reason:** There was a DOS error when requesting the disk statistics.
**Action:** Call tech support with the following error.

**PC6504E (Raw Disk-Dos) Disk position error**
**Reason:** There was an error in the generated values for the disk read/write. Often caused by invalid BIOS or other system values.
**Action:** Call tech support.

**PC6505E (Raw Disk-Dos) DOS error in read/write**
**Action:** Call tech support with the following error.

**PC6506E (Raw Disk-Dos) Incomplete read**
**Reason:** The expected number of sectors were not read.
**Action:** Call tech support with the following error.

**PC6507E (Raw Disk-Dos) Insufficient memory**
**Reason:** There was insufficient memory during an attempt to read existing data (VolSer).
**Action:** Free DOS memory

**PC6600E (Raw Disk-ASPI) Error allocating memory**
**Reason:** Insufficient memory allocating identification comparison string.
**Action:** Free memory.

**PC6601E (Raw Disk-ASPI) Error opening ASPI driver**
**Reason:** There was a DOS error opening the ASPI driver
**Action:** Call tech support with the following error.

**PC6602E (Raw Disk-ASPI) Error getting ASPI entry point**
**Reason:** There was a DOS error getting the ASPI entry point.
**Action:** Call tech support with the following error.

**PC6603E (Raw Disk-ASPI) Invalid access type**
**Reason:** While parsing the location information, the access type was invalid.
**Action:** Respecify the location.

**PC6604E (Raw Disk-ASPI) Required field missing**

**Reason:** While parsing the location information, a required sub-field was missing.
**Action:** Respecify the location.

**PC6605E (Raw Disk-ASPI) Invalid host adapter**
**Reason:** Host adapter values range from 0..7.
**Action:** Respecify the location.

**PC6606E (Raw Disk-ASPI) Invalid Target ID**
**Reason:** SCSI target ID values range from 0..7.
**Action:** Respecify the location.

**PC6607E (Raw Disk-ASPI) Invalid LUN**
**Reason:** SCSI Logical Unit Number values range from 0..7.
**Action:** Respecify the location.

**PC6608E (Raw Disk-ASPI) Error allocating memory**
**Reason:** There was a memory shortage allocating required memory.
**Action:** Free memory.

**PC6609E (Raw Disk-ASPI) Error during adapter inquiry**
**Reason:** ASPI returned an error during a Host Adapter Inquiry command.
**Action:** Call tech support with the following error.

**PC6610E (Raw Disk-ASPI) Device not installed**
**Reason:** The specified SCSI device is not installed.
**Action:** Respecify or activate the device.

**PC6611E (Raw Disk-ASPI) Timed-out**
**Reason:** The command timed-out (exceeded the internal timelimit).
**Action:** Verify that the device is still active.

**PC6612E (Raw Disk-ASPI) Error allocating memory**
**Reason:** There was a memory shortage allocating required memory.
**Action:** Free memory.

**PC6613E (Raw Disk-ASPI) Command error**
**Reason:** The following information describes an error executing a SCSI command.
**Action:** Call tech support with the following info:

**PC6614E (Raw Disk-ASPI) Error allocating memory**
**Reason:** There was a memory shortage allocating required memory.
**Action:** Free memory.

**PC6615E (Raw Disk-ASPI) Drive info not returned**
**Reason:** The disk drive infomation for the specified SCSI disk could not be obtained.
**Action:** Respecify or activate the device.

**PC6700E (Raw Disk-NT) Invalid access type**
**Reason:** While parsing the location information, the access type was invalid.
**Action:** Respecify the location.

**PC6701E (Raw Disk-NT) Required field missing**
**Reason:** While parsing the location information, a required sub-field was missing.
**Action:** Respecify the location.

**PC6702E (Raw Disk-NT) Disk not found**
**Reason:** This message may be reported for a physical disk backup/restore or a FDRSOS local backup/restore.
**Action:** Respecify the location with a valid disk or disable FDRSOS Local Backups.

**PC6703E (Raw Disk-NT) OS error**
**Reason:** There was an OS error when opening the disk.
**Action:** Call tech support with the following error.

**PC6704E (Raw Disk-NT) Disk position error**
**Reason:** There was an error in the generated values for the disk read/write. Often caused by invalid BIOS or other system values.
**Action:** Call tech support.

**PC6705E (Raw Disk-NT) OS error in read/write**
**Action:** Call tech support with the following error.

**PC6706E (Raw Disk-NT) Incomplete read**
**Reason:** The expected number of sectors were not read.
**Action:** Call tech support with the following error.

**PC6707E (Raw Disk-NT) OS error**
**Reason:** There was an OS error when getting disk statistics.
**Action:** Call tech support with the following error.

**PC6708E (Raw Disk-NT) OS error**
**Reason:** There was an OS error while setting the file access location.
**Action:** Call tech support with the following error.

**PC6709E (Raw Disk-NT) OS error**
**Reason:** There was an OS error while flushing written data to disk.
**Action:** Call tech support with the following error.

**PC6710E (Raw Disk-NT) OS error**
**Reason:** There was an OS error when creating the event structure for asynchronous operations.
**Action:** Call tech support with the following error or disable async support by setting the USRAWNOOVERLAP environment variable to any value.

**PC6711E (Raw Disk-NT) OS error**
**Reason:** There was an OS error when resetting the event structure for asynchronous operations.
**Action:** Call tech support with the following error or disable async support by setting the USRAWNOOVERLAP environment variable to any value.

**PC6712E (Raw Disk-NT) OS error**
**Reason:** There was an OS error when performing asynch I/O.
**Action:** Call tech support with the following error or disable async support by setting the USRAWNOOVERLAP environment variable to any value.

**PC6713E (Raw Disk-NT) OS error**
**Reason:** There was an OS error when checking the status of a asynchronous operation.
**Action:** Call tech support with the following error or disable async support by setting the USRAWNOOVERLAP environment variable to any value.

**PC6714E (Raw Disk-NT) OS error**
**Reason:** There was an OS error when checking the completion status of a asynchronous operation.
**Action:** Call tech support with the following error or disable async support by setting the USRAWNOOVERLAP environment variable to any value.

**PC6715E (Raw Disk-NT) Size mismatch**
**Reason:** Expecting a given size, the I/O reported a differing size. It also occurred in an unexpected location.
**Action:** Call tech support.

**PC6716E (Raw Disk-NT) Size mismatch**
**Reason:** Expecting a given size, the I/O reported a differing size.
**Action:** Call tech support.

**PC6800E (Raw Disk-OS2) Invalid access type**
**Reason:** While parsing the location information, the access type was invalid.
**Action:** Respecify the location.

**PC6801E (Raw Disk-OS2) Required field missing**
**Reason:** While parsing the location information, a required sub-field was missing.
**Action:** Respecify the location.

**PC6802E (Raw Disk-OS2) Disk not found**
**Reason:** This message may be reported for a physical disk backup/restore or a FDRSOS local backup/restore.
**Action:** Respecify the location with a valid disk or disable FDRSOS Local Backups.

**PC6803E (Raw Disk-OS2) OS error**
**Reason:** There was an OS error when opening the disk.
**Action:** Call tech support with the following error.

**PC6805E (Raw Disk-OS2) OS error in read/write**
**Action:** Call tech support with the following error.

**PC6806E (Raw Disk-OS2) Incomplete read**
**Reason:** The expected number of sectors were not read.

**Action:** Call tech support with the following error.

**PC6807E (Raw Disk-OS2) OS error**
**Reason:** There was an OS error when getting disk statistics.
**Action:** Call tech support with the following error.

**PC6809E (Raw Disk-OS2) OS error**
**Reason:** There was an OS error checking for raw disk support.
**Action:** Call tech support with the following error.

**PC6810E (Raw Disk-OS2) Disk position error**
**Reason:** There was an error in the generated values for the disk read/write. Often caused by invalid BIOS or other system values.
**Action:** Call tech support.

**PC6811E (Raw Disk-OS2) Insufficient memory**
**Reason:** There was an error in allocating memory for the track table.
**Action:** Free disk or close applications.

**PC6850E (Raw Disk-NLM) Insufficient memory**
**Reason:** There was an error in AllocateResourceTag
**Action:** Unload unnecessary NLMs.

**PC6851E (Raw Disk-NLM) Registration error.**
**Reason:** There was an error in MM_Register_Application.
**Action:** See additional message.

**PC6852E (Raw Disk-NLM) Reserve error**
**Reason:** There was an error reserving the disk which is the necessary step to accessing it with raw disk facilities.
**Action:** See additional messages.

**PC6853E (Raw Disk-NLM) Error getting disk stats**
**Reason:** There was a media manager error in a MM_Return_Object_Generic_Info call.
**Action:** See additional messages.

**PC6854E (Raw Disk-NLM) Error in I/O**
**Reason:** There was a media manager error in a read write call.
**Action:** See additional messages.

**PC6855E (Raw Disk-NLM) Invalid access type**
**Reason:** While parsing the location information, the access type was invalid.
**Action:** Respecify the location.

**PC6856E (Raw Disk-NLM) Required field missing**
**Reason:** While parsing the location information, a required sub-field was missing.
**Action:** Respecify the location.

**PC6857E (Raw Disk-NLM) Media manager functions not loaded**
**Action:** Load the media manager functions and restart UPSTREAM.

**PC6858I (Raw Disk-NLM) Volume manager functions not loaded**
**Reason:** This is only informational; you may not need the volume manager.
**Action:** Load the volume manager functions (VOLLIB) and restart UPSTREAM.

**PC6900I FDRSOS restore started**

**PC6901D FDRSOS restore completed successfully**

**PC6902D FDRSOS restore completed with errors**

**PC6903D FDRSOS restore suspended by user**

**PC6904I Restarting an FDRSOS restore**

**PC6905D Restarted FDRSOS restore successful**

**PC6906D Restarted FDRSOS restore completed with errors**

**PC6910E During a FDRSOS restore start**

**PC6911E During a FDRSOS restore send description**

**PC6912E During a FDRSOS restore receive desc.**

**PC6913E During a FDRSOS restore receive file**

**PC6914E During a FDRSOS restore confirmed**

**PC6915E During a FDRSOS restore end**

**PC6920I Adjusted record size**
**Reason:** Record sizes must be a multiple of 4096.
**Action:** Respecify.

**PC6921E Record packing size invalid**
**Reason:** You must enable record packing (PACKRECSIZE non zero) and specify it to be larger than the record size.
**Action:** Respecify.

**PC6922E Insufficient memory.**
**Reason:** There was insufficient memory allocating a buffer for a raw disk restore.
**Action:** Free memory.

**PC6923E Too many file specifications**
**Reason:** For an FDRSOS restore you can only have one file specification.
**Action:** Respecify.

**PC6924E Unknown type**
**Reason:** During an FDRSOS restore, an unexpected type was received. Internal error.
**Action:** Call tech support.

**PC6925E Unexpected conversation state**
**Reason:** During an FDRSOS restore, the system entered an conversation state other than receive or confirm. Internal error.
**Action:** Call tech support.

**PC6926E Insufficient memory.**
**Reason:** There was insufficient memory allocating a raw disk handle buffer for a raw disk restore.
**Action:** Free memory.

**PC6927E Compression initialization error**
**Action:** See additional messages.

**PC6928E Fast decompression error**
**Reason:** Internal error.
**Action:** Call tech support.

**PC6929E High decompression error**
**Reason:** Internal error.
**Action:** Call tech support.

**PC6930E Buffer overwrite**
**Reason:** For Windows environments, record packing is required.
**Action:** Enable record packing

**PC6931E Unwritten data**
**Reason:** After high decompression, there was remaining data (not a multiple of 512) in the buffer. Internal error.
**Action:** Call tech support.

**PC6932E User suspended physical disk restore.**

**PC6933D Restored from an FDRSOS backup**

**PC6934E Bad file name**
**Reason:** The received file name had an invalid format. Internal error.
**Action:** Call tech support.

**PC6935E Error saving your original parameters**
**Reason:** During a restart, there was an error saving your original parameters.
**Action:** See additional messages.

**PC6936E Error reading restart information.**
**Reason:** There was an error reading the restart info.
**Action:** See additional messages.

**PC6937E Error reading restart parameters**
**Reason:** There was an error reading the restart info.
**Action:** See additional messages.

**PC6938E Restore not completely started**

**Reason:** This restore did not run long enough to be restartable.
**Action:** Restart manually.

**PC6939E Error sending restarted restore**
**Action:** Look up the communications error.

**PC6940E Restart file bad**
**Reason:** The last successful file received from the host has an invalid format. Internal error.
**Action:** Call tech support.

**PC7000I FDRSOS backup started**

**PC7001D FDRSOS backup completed successfully**

**PC7002D FDRSOS backup completed with errors**

**PC7003D FDRSOS backup suspended by user**

**PC7004I Restarting an FDRSOS backup**

**PC7005D Restarted FDRSOS backup completed successfully**

**PC7006D Restarted FDRSOS backup completed with errors**

**PC7010E During a FDRSOS backup start**

**PC7011E During a FDRSOS backup send description**

**PC7012E During a FDRSOS backup receive desc.**

**PC7013E During a FDRSOS backup receive file**

**PC7014E During a FDRSOS backup confirmed**

**PC7015E During a FDRSOS backup end**

**PC7020I Adjusted record size**
**Reason:** Record sizes must be a multiple of 4096.
**Action:** Respecify.

**PC7021E Record packing size invalid**
**Reason:** You must enable record packing (PACKRECSIZE non zero) and specify it to be larger than the record size.
**Action:** Respecify.

**PC7022E Insufficient memory.**
**Reason:** There was insufficient memory allocating a buffer for a raw disk backup.
**Action:** Free memory.

**PC7023E Too many file specifications**
**Reason:** For an FDRSOS backup you can only have one file specification.
**Action:** Respecify.

**PC7024E Insufficient memory.**
**Reason:** There was insufficient memory allocating a raw disk handle buffer for a raw disk backup.
**Action:** Free memory.

**PC7025E Insufficient memory.**
**Reason:** There was insufficient memory allocating a raw disk compression buffer for a raw disk backup.
**Action:** Free memory.

**PC7026E Compression initialization error**
**Action:** See additional messages.

**PC7027E High compression error**
**Action:** See additional messages.

**PC7028E User suspended physical disk backup.**

**PC7029E Error writing restart information**
**Action:** See additional messages.

**PC7030E Error saving restart information**
**Reason:** There was an error saving your parameters for a later potential restart.
**Action:** See additional messages.

**PC7031E Error writing restart information**
**Reason:** Error occurred starting the backup.
**Action:** See additional messages.

**PC7032E Error saving your original parameters**
**Reason:** During a restart, there was an error saving your original parameters.
**Action:** See additional messages.

**PC7033E Error reading restart information.**
**Reason:** There was an error reading the restart info.
**Action:** See additional messages.

**PC7034E Error reading restart parameters**
**Reason:** There was an error reading the restart info.
**Action:** See additional messages.

**PC7035E Backup not completely started**
**Reason:** This backup did not run long enough to be restartable.
**Action:** Restart manually.

**PC7036E Error sending restarted backup**
**Action:** Look up the communications error.

**PC7037E Restart file bad**
**Reason:** The last successful file received from the host has an invalid format. This usually occurs if a physical disk backup was not completely started.
**Action:** Manually restart the backup.

**PC7100E (Raw Disk-UNIX) Invalid access type**
**Reason:** While parsing the location information, the access type was invalid.
**Action:** Respecify the location.

**PC7101E (Raw Disk-UNIX) Required field missing**
**Reason:** While parsing the location information, a required sub-field was missing.
**Action:** Respecify the location.

**PC7102E (Raw Disk-UNIX) Disk not found**
**Reason:** This message may be reported for a physical disk backup/restore or a FDRSOS local backup/restore.
**Action:** Respecify the location with a valid disk or disable FDRSOS Local Backups.

**PC7103E (Raw Disk-UNIX) OS error**
**Reason:** There was an OS error when opening the disk.
**Action:** Call tech support with the following error.

**PC7104E (Raw Disk-UNIX) Invalid access position**
**Reason:** Attempt to access a physical disk randomly beyond 4GB. Internal error.
**Action:** Call tech support.

**PC7105E (Raw Disk-UNIX) OS error in read/write**
**Action:** Call tech support with the following error.

**PC7106E (Raw Disk-UNIX) Incomplete read**
**Reason:** The expected number of sectors were not read.
**Action:** Call tech support with the following error.

**PC7107E (Raw Disk-UNIX) OS error**
**Reason:** There was an OS error when getting disk statistics.
**Action:** Call tech support with the following error.

**PC7108E (Raw Disk-UNIX) Error reading**
**Action:** See attached UNIX error

**PC7109E (Raw Disk-UNIX) You must be the root user**
**Reason:** Access to raw disk functions requires that you be logged in as the root user.
**Action:** Login as the root user.

**PC7110E (Raw Disk-UNIX) Error writing**
**Action:** See attached UNIX error

**PC7111E (Raw Disk-UNIX) Unexpected EOF**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7112E (Raw Disk-UNIX) Disk too large**
**Reason:** For the version of the AIX operating system, this disk is too large (greater than 2GB).
**Action:** Specify another disk.

**PC7113E (Raw Disk-UNIX) ioctl call failed**
**Reason:** The ioctl call, used to determine the disk statistics for an AIX disk failed.
**Action:** See additional messages.

**PC7114E (Raw Disk-UNIX) open failed**
**Reason:** The device open for an AIX disk failed.
**Action:** See additional messages.

**PC7115E (Raw Disk-UNIX) ioctl call failed**
**Reason:** The ioctl call, used to determine the disk statistics for a Solaris disk failed.
**Action:** See additional messages.

**PC7116E (Raw Disk-UNIX) read_vtoc call failed**
**Reason:** The read_vtoc call, used to determine the disk statistics for a Solaris disk failed.
**Action:** See additional messages.

**PC7117E (Raw Disk-UNIX) open failed**
**Reason:** The device open for a Solaris disk failed.
**Action:** See additional messages.

**PC7118E (Raw Disk-UNIX) Logical Volume**
**Reason:** (HPUX) You specified a logical volume
**Action:** Respecify, specifying a physical volume.

**PC7119E (Raw Disk-UNIX) Error getting capacity**
**Reason:** (HPUX) There was an error getting the capacity of the physical volume.
**Action:** See additional messages.

**PC7120E (Raw Disk-UNIX) Not physical volume.**
**Reason:** (HPUX) The volume you specified is not a UNIX physical volume.
**Action:** Respecify.

**PC7121E (Raw Disk-UNIX) Error getting info**
**Reason:** (HPUX) There was an error getting disk info of the physical volume.
**Action:** See additional messages.

**PC7122E (Raw Disk-UNIX) open failed**
**Reason:** The device open for a HPUX disk failed.
**Action:** See additional messages.

**PC7123E (Raw Disk-UNIX) Error getting def partition table**
**Reason:** (Tru64) There was an error getting the default partition table for the disk.
**Action:** See additional messages.

**PC7124E (Raw Disk-UNIX) Error getting disklabel**
**Reason:** (Tru64) There was an error getting the disklabel for the disk.
**Action:** See additional messages.

**PC7125E (Raw Disk-UNIX) Error getting cur partition table**
**Reason:** (Tru64) There was an error getting the current partition table for the disk.
**Action:** See additional messages.

**PC7126E (Raw Disk-UNIX) open failed**
**Reason:** (Tru64) The raw device open failed.
**Action:** See additional messages.

**PC7127E (Raw Disk-UNIX) Not the c partition**
**Reason:** (Tru64) A raw device for a partition other than c was specified.
**Action:** Specify a raw device for the c partition.

**PC7128E (Raw Disk-UNIX) Error switching security**

FDRSOS Local backup messages.

**PC7200E (LclSOS) Error allocating memory**
**Reason:** Insufficent memory allocating sector memory.
**Action:** Free memory.

**PC7201E (LclSOS) Not a FDRSOS local backup disk**
**Reason:** There is not a FDRSOS local backup partition on the specified local disk.
**Action:** Initialize the disk

**PC7202E (LclSOS) Not a FDRSOS local backup disk**
**Reason:** There is not partition table on the specified disk.
**Action:** Initialize the disk

**PC7203E (LclSOS) Partition header invalid**
**Reason:** The FDRSOS local backup disk has been corrupted.
**Action:** Call tech support.

**PC7204E (LclSOS) Profile entry bad**
**Reason:** A profile entry was expected and invalid data was returned.
**Action:** Call tech support.

**PC7205E (LclSOS) Expected profile not found.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7206E (LclSOS) Record size too small.**
**Reason:** The internal profile information could not be read.
**Action:** Increase your record size.

**PC7207E (LclSOS) Range error**
**Reason:** Either the backup number or the number of backups is larger than expected. Internal error.
**Action:** Call tech support.

**PC7208E (LclSOS) Extent table range error.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7209E (LclSOS) Profiles don't match**
**Reason:** During an update operation, the following two profiles are required to be the same and aren't. Internal error.
**Action:** Call tech support.

**PC7210E (LclSOS) Profiles don't match**
**Reason:** During a read operation, the following two profiles are required to be the same and aren't. Internal error.
**Action:** Call tech support.

**PC7211E (LclSOS) You tried to add an existing profile**
**Action:** Respecify.

**PC7212E (LclSOS) Too many profiles**
**Reason:** You have exceeded the maximum number of profiles.
**Action:** Delete a profile.

**PC7213E (LclSOS) Internal length invalid**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7214E (LclSOS) Past valid profiles**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7215E (LclSOS) Internal sizes wrong.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7216E (LclSOS) Profile not found.**
**Reason:** You selected to delete a given profile and it was not found.
**Action:** Respecify.

**PC7217E (LclSOS) Backups exist.**
**Reason:** You selected to delete a given profile in which there are active backups defined.
**Action:** Use profile management to delete all active backups before deleting the profile.

**PC7218E (LclSOS) Extent not allocated.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7219E (LclSOS) Exceeded space available**
**Reason:** Your backup has exceeded the amount of space available and you configured this profile to terminate if this happened.
**Action:** Modify your profile or migrate/delete backups.

**PC7220E (LclSOS) Profile not found**
**Reason:** The backup profile you specified has not been configured using the "FDRSOS Local Backup Admin" facility.
**Action:** Configure and retry.

**PC7221E (LclSOS) Backup not found**
**Reason:** FDR/UPSTREAM MVS confirmed that it had created the backup definition, but it was not found. Internal error.
**Action:** Call tech support.

**PC7222E (LclSOS) Profile not found (restart)**
**Reason:** The backup profile you specified has not been configured using the "FDRSOS Local Backup Admin" facility or has been deleted.
**Action:** Configure and retry.

**PC7223E (LclSOS) Unexpected end of data**
**Reason:** While searching for the last restarted file, we read an end of data. Internal error.
**Action:** Call tech support.

**PC7224E (LclSOS) Corrupt data**
**Reason:** While searching for the last restarted file, we ran into corrupted data. Internal error.
**Action:** Call tech support.

**PC7225E (LclSOS) Corrupt data (2)**
**Reason:** While searching for the last restarted file, we ran into corrupted data. Internal error.
**Action:** Call tech support.

**PC7226E (LclSOS) Backup not found.**
**Reason:** While reading the disk a given backup was not found.
**Action:** Call tech support.

**PC7227E (LclSOS) Bad data on disk**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7228E (LclSOS) Record exceeds length**
**Reason:** The disk buffer exceeds the memory buffer. Internal error.
**Action:** Call tech support.

**PC7229E (LclSOS) Error creating temporary file**
**Action:** See additional messages.

**PC7230E (LclSOS) Error writing to the temp file**
**Action:** See additional messages.

**PC7231E (LclSOS) Error reading from the temp file.**
**Action:** See additional messages.

**PC7232E (LclSOS) Error reading from the temp file.**
**Action:** See additional messages.

**PC7233E (LclSOS) Invalid length.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7234E (LclSOS) Too many extents**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7235E (LclSOS) Out of space.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7236E (LclSOS) Range error during backup write.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7237E (LclSOS) Backup not found.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7238E (LclSOS) Error reopening temp file.**
**Action:** See additional messages.

**PC7239E (LclSOS) End of backup during a restart**
**Reason:** The last file was not found on the backup. This backup is not restartable.
**Action:** Restart manually.

**PC7240E (LclSOS) Record not written**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7241E (LclSOS) Profile not found in profile table**
**Action:** You need to either define a backup profile using the Admin facility or allow the host to dynamically create them.

**PC7242E (LclSOS) Backup started not written**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7243E (LclSOS) Unexpected end of file**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7244E (LclSOS) Expected a file info record.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7245E (LclSOS) File name mismatch**
**Reason:** The file name on local disk does not match the file name received.
**Action:** Call tech support.

**PC7246E (LclSOS) Profile not found**
**Reason:** While beginning a restore, the specified profile was not found on the local disk.
**Action:** Specify the proper profile or disable local backups.

**PC7247D (LclSOS) Exceeded backup size**
**Reason:** The maximum backup size has been exceeded; remaining data will be transmitted.

**PC7248D (LclSOS) No segments used**

**PC7249E (LclSOS) Volume greater than 2GB**
**Reason:** You are using a version of the operating system which does not support volumes greater than 2GB for random access reads/writes.
**Action:** Select another disk or upgrade the OS.

**PC7250E (LclSOS) VolSer not found**
**Action:** Specify a host vol ser which exists.

**PC7251W (LclSOS) %s found: %s**

**PC7252E (LclSOS) Error switching security context.**

## Profile update messages.

**PC7300E (LclSOS) Error starting conversation**
**Reason:** While attempting to update the partition table, there was an error communicating with the host to lock the partition table.
**Action:** See additional messages.

**PC7301E (LclSOS) Error sending lock request**
**Reason:** While attempting to update the partition table, there was an error communicating with the host to lock the partition table.
**Action:** See additional messages.

**PC7302E (LclSOS) Error confirming lock request (1)**
**Reason:** While attempting to update the partition table, there was an error communicating with the host to lock the partition table.
**Action:** See additional messages.

**PC7303E (LclSOS) Error releasing lock**
**Reason:** While attempting to update the partition table, there was an error communicating with the host to lock the partition table.
**Action:** See additional messages.

**PC7304E (LclSOS) Error ending conversation.**

**Reason:** While attempting to update the partition table, there was an error communicating with the host to lock the partition table.
**Action:** See additional messages.

**PC7305D (LclSOS) Adding the following profile**

**PC7306D (LclSOS) Deleting the following profile**

**PC7307D (LclSOS) Updating the following profile**

**PC7308D (LclSOS) Updating the profile defaults**

**PC7309D (LclSOS) Deleting the following backup**

**PC7310E (LclSOS) Open backup temp file error**
**Reason:** There was an error creating the temporary file used to store backup details.
**Action:** See additional messages.

**PC7311E (LclSOS) Open backup temp file error**
**Reason:** There was an error writing to the temporary file used to store backup details.
**Action:** See additional messages.

## SOS Local Backup - Backup messages

**PC7350E (SOS Bkp) Unable to allocate required memory.**
**Action:** Free memory.

**PC7351W (SOS Bkp) Out of space - sending remainder.**
**Reason:** During the backup, there was insufficient space to write all of the data. The remaining data will be transmitted.
**Action:** To avoid this problem, select a higher level of compression or increase the percentage of space allocated.

**PC7352E (SOS Bkp) Disallowed by personalization**
**Reason:** This workstation is disallowed for SOS local backup
**Action:** Respecify.

**PC7353W (SOS Bkp) Out of space - sending remainder.**
**Reason:** During the backup, there was insufficient space to write all of the data. The remaining data will be transmitted.
**Action:** To avoid this problem, select a higher level of compression or increase the percentage of space allocated.

**PC7354W (SOS Bkp) Out of space - sending remainder.**
**Reason:** During the backup, there was insufficient space to write all of the data. The remaining data will be transmitted.
**Action:** To avoid this problem, select a higher level of compression or increase the percentage of space allocated.

**PC7355W (SOS Bkp) Out of space - sending remainder.**
**Reason:** During the backup, there was insufficient space to write all of the data. The remaining data will be transmitted.
**Action:** To avoid this problem, select a higher level of compression or increase the percentage of space allocated.

**PC7356W (SOS Bkp) Unexpected out of space.**
**Reason:** After wrapping the buffer there was still a shortage of space. Internal error.
**Action:** Call tech support.

**PC7357W (SOS Bkp) Out of space - sending remainder.**
**Reason:** During the backup, there was insufficient space to write all of the data. The remaining data will be transmitted.
**Action:** To avoid this problem, select a higher level of compression or increase the percentage of space allocated.

**PC7358E (SOS Bkp) Confirm error reusing local disk.**

**PC7359D (SOS Bkp) Out of space - reusing...**
**Reason:** This profile has been configured so that if there is insufficient space on the local backup volume the space is reused. Note that this backup is not retained on the local backup volume, but is copied to standard host storage and immediately deleted.

## More general file errors.

**PC7401E (File) Async I/O disallowed.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7402E (File) Async I/O disallowed for ULTra**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7403E (File) Async read timeout**
**Reason:** An async I/O took too long.
**Action:** Verify your hardware for the action specified.

**PC7404E (File) Async write timeout**
**Reason:** An async I/O took too long.
**Action:** Verify your hardware for the action specified.

**PC7405E (File) Async read error**
**Reason:** There was a file error asynchronously reading the file.
**Action:** See additional messages.

**PC7406E (File) Async write error**
**Reason:** There was a file error asynchronously writing the file.
**Action:** See additional messages.

**PC7407E (File) Insufficient bytes read.**
**Reason:** Too few bytes were read. Internal error.
**Action:** Call tech support.

**PC7408D (File) Async I/O num retries:**
**Reason:** You specified the “USLOGASYNCRETRIES” environment variable to log the number of async retries which may be slowing down your system.

**PC7409E (File) Error waiting for async file I/O**
**Reason:** Windows NT File I/O error.
**Action:** See additional messages.

**PC7410E (File) Error getting file I/O result.**
**Reason:** Windows NT File I/O error getting the number of bytes in the I/O.
**Action:** See additional messages.

**PC7411E (File) Expected a complete record.**
**Reason:** During a read, it was expected that we would be able to read a complete record and insufficient bytes were actually read.
**Action:** Corrupted data. Call tech support.

**PC7412E (File) Error resetting event for an async read**
**Reason:** Internal error.
**Action:** See the following Windows NT error.

**PC7413E (File) Error resetting event for an async write**
**Reason:** Internal error.
**Action:** See the following Windows NT error.

**PC7414E (File) Error reading file**
**Reason:** There was a file error reading the specified file asynchronously.
**Action:** See the following Windows NT error.

**PC7415E (File) Error writing file**
**Reason:** There was a file error writing the specified file asynchronously.
**Action:** See the following Windows NT error.

**PC7416E (File) Error creating event for an async read**
**Reason:** Internal error.
**Action:** See the following Windows NT error.

**PC7417E (File) Error creating event for an async write**
**Reason:** Internal error.
**Action:** See the following Windows NT error.

## Status Pipe errors

**PC7430E (Control) Error opening pipe for read.**
**Reason:** There was an error opening named pipe for read from external process.

**PC7431E (Control) Error opening pipe for write.**
**Reason:** There was an error opening named pipe for write to external process.

**PC7432E (Control) Error sending negotiation record.**
**Reason:** There was a write error when UPSTREAM attempted to negotiate with external process.

**PC7433E (Control) Error receiving negotiation record.**
**Reason:** There was a read error when UPSTREAM attempted to negotiate with external process.

**PC7434E (Control) Error in responce to negotiation.**
**Reason:** While in negotiation UPSTREAM received unexpected data.

**PC7435E (Control) Error sending final record.**
**Reason:** There was a write error when UPSTREAM attempted to send final record to external process.

**PC7436E (Control) Error receiving final ACK.**
**Reason:** There was a read error when UPSTREAM attempted to receive ACK for final record from external process.

**PC7438E (Control) Error sending synchronization record.**
**Reason:** There was a write error when UPSTREAM attempted to send synchronization record to external process.

**PC7439E (Control) Error receiving synchronization ACK.**
**Reason:** There was a read error when UPSTREAM attempted to receive ACK for synchronization record from external process.

**PC7440E (Control) Error flushing backup data.**
**Reason:** There was an error when external process requested flushing of backup data.

**PC7441E (Control) External request to fail.**
**Reason:** External process requested UPSTREAM to fail the backup.

**PC7442E (Control) Error sending status record.**
**Reason:** There was a write error when UPSTREAM attempted to send status record to external process.

**PC7443E (Control) Error receiving status ACK.**
**Reason:** There was a read error when UPSTREAM attempted to receive ACK for status record from external process.

**PC7444E (Control) Error in responce.**
**Reason:** While waiting for the ACK record UPSTREAM received unexpected data.

**PC7445E (Control) Backup failed.**
**Reason:** Error in communications with external process caused UPSTREAM to fail the backup.

**PC7446E (Control) Error receiving DirInfo.**
**Reason:** There was a read error when UPSTREAM attempted to receive DirInfo record from external process.

**PC7447E (Control) Error in response.**
**Reason:** While waiting for the DirInfo record UPSTREAM received unexpected data.

## NLM specific messages.

**PC7501E (NLM) Directory search error.**
**Action:** See additional messages.

**PC7502E (NLM) SetInfo not found during close**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7503W (NLM) Novell Profile not specified**
**Reason:** UPSTREAM will work, however any Novell specific features (trustees, owners, etc.) will not be backed-up/restored.

**PC7504W (NLM) Error searching directory**
**Action:** See additional messages.

**PC7505E (NLM) Error getting DOS name for LONG name**
**Reason:** During an attempt to open a file that was not found (which was done to get around a Novell bug), there was an error getting the DOS name for the LONG name.
**Action:** See additional messages.

**PC7506W (NLM) DOS drive WORKPATH.**
**Reason:** You specified a WORKPATH (in the UPSTREAM Configurator - Advanced options) which writes it's temporary files to a DOS path. This is allowed, but may cause problems. We recommend only doing this after careful consideration.

## Remote control/status messages

**PC7600E (Rmt status) TCP/IP is not installed**

**PC7601E (Rmt status) socket call failed**
**Action:** See additional messages.

**PC7602D (Rmt status) REUSEADDR call failed**
**Reason:** This is merely a warning message.
**Action:** See additional messages.

**PC7603W (Rmt status) bind call failed**
**Reason:** There was a TCP/IP error opening the specified status port (or status port + 1). These ports are only used for the Java user interface and for remote tracing and are generally not required. This error is usually caused by another copy of UPSTREAM which already has these ports in use.
**Action:** Specify a different status port (in the UPSTREAM configurator - they must be separated by 3 numbers) or take down the other copy of UPSTREAM.

**PC7604E (Rmt status) listen call failed**
**Action:** See additional messages.

**PC7605E (Rmt status) iocntl for non-blocking failed**
**Action:** See additional messages.

**PC7606E (Rmt status) Insufficient memory**
**Action:** Close other applications.

**PC7607E (Rmt status) Service not found**
**Reason:** While removing the service the service type specified was not found.
**Action:** Call tech support.

**PC7608E (Rmt status) Insufficient memory**
**Reason:** Occurred allocating service data memory.
**Action:** Close other applications.

**PC7609E (Rmt status) accept call failed**
**Reason:** Remote status will now be disabled.
**Action:** See additional messages.

**PC7610E (Rmt status) Service not registered**
**Reason:** This is probably a version incompatibility.
**Action:** Upgrade your version of UPSTREAM.

**PC7611E (Rmt status) Exceeded data size.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7612E (Rmt status) Error occurred extracting type**
**Reason:** Insufficient received data. Internal error.
**Action:** Call tech support.

**PC7613E (Rmt status) Exceeded data buffer**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7614E (Rmt status) Exceeded data buffer**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7615E (Rmt status) Receive exceeded buffer**
**Reason:** Internal error.

**Action:** Call tech support.

**PC7616W (Rmt status) Remote error**
**Reason:** This error was reported from the remote system.
**Action:** See additional messages.

**PC7617E (Rmt status) Occurred during remote error**
**Action:** See additional messages.

**PC7618E (Rmt status) During check for remote request**
**Action:** See additional messages.

**PC7619E (Rmt status) During receive of remote request**
**Action:** See additional messages.

**PC7620E (Rmt status) socket call failed**
**Action:** See additional messages.

**PC7621D (Rmt status) REUSEADDR call failed**
**Reason:** This is merely a warning message.
**Action:** See additional messages.

**PC7622E (Rmt status) bind call failed**
**Reason:** There was an error accessing the specified port.
**Action:** See additional messages.

**PC7623E (Rmt status) listen call failed**
**Action:** See additional messages.

**PC7624E (Rmt status) iocntl for non-blocking failed**
**Action:** See additional messages.

**PC7625I (Rmt status) Remote disconnected**

**PC7626E (Rmt status) socket call failed**
**Reason:** You will not be able to passthrough messages from cooperating PC applications.
**Action:** See additional messages.

**PC7627W (Rmt status) Unable to reuse port.**
**Reason:** This is a warning message that can usually be ignored.

**PC7628W (Rmt status) bind call failed.**
**Reason:** You will not be able to passthrough messages from cooperating PC applications. There was a TCP/IP error opening the specified status port + 2. This port is used to passthrough communications from cooperating PC applications and is generally not required. This error is usually caused by another copy of UPSTREAM which already has this port in use.
**Action:** Specify a different status port (in the UPSTREAM configurator - they must be separated by 3 numbers) or take down the other copy of UPSTREAM.

**PC7629E (Rmt status) listen call failed.**
**Reason:** You will not be able to passthrough messages from cooperating PC applications.
**Action:** See additional messages.

**PC7630E (Rmt status) Set non-blocking failed**
**Reason:** You will not be able to passthrough messages from cooperating PC applications.
**Action:** See additional messages.

**PC7631E (Rmt status) Error checking for pending data**
**Reason:** You will not be able to passthrough messages from cooperating PC applications.
**Action:** See additional messages.

**PC7632E (Rmt status) Error receiving remote func.**
**Reason:** There was a communications error receiving the remote function type.
**Action:** See additional messages.

**PC7633I (Rmt status) Remote service request**
**Reason:** A remote service request was received and is now being processed.

**PC7634D (Rmt status) Remote passthrough request**
**Reason:** A request to pass data through to the host was received and is now being processed. Press the CANCEL button if you wish to abort this process.

**PC7635I (Rmt status) Remote service request processed**

**PC7636I (Rmt status) Remote service request failed**

**PC7637E (Rmt status) Receive failed**
**Reason:** The receive of data from the remote system failed.
**Action:** See additional messages.

**PC7638E (Rmt status) Unsupported function**
**Reason:** Internal error.
**Action:** Call UPSTREAM tech support.

**PC7639E (Rmt status) Error starting conversation**
**Reason:** There was an error starting the conversation with the host while passing data through from another application.
**Action:** See additional messages.

**PC7640E (Rmt status) Error sending data**
**Reason:** There was an error sending data to the host while passing data through from another application.
**Action:** See additional messages.

**PC7641E (Rmt status) Send failed**
**Reason:** The send of data from the remote system failed.
**Action:** See additional messages.

**PC7642E (Rmt status) Send error failed**
**Reason:** The send of an error message through to the host failed.
**Action:** See additional messages.

**PC7643E (Rmt status) Confirm failed.**
**Reason:** The send of a CONFIRM through to the host failed.
**Action:** See additional messages.

**PC7644E (Rmt status) Confirmed failed.**
**Reason:** The send of a CONFIRMED through to the host failed.
**Action:** See additional messages.

**PC7645E (Rmt status) Deallocate failed.**
**Reason:** Conversation end through to the host failed.
**Action:** See additional messages.

**PC7646E (Rmt status) Receive failed.**
**Reason:** A receive of data from the host to the application failed.
**Action:** See additional messages.

**PC7647E (Rmt status) Error during confirm**
**Action:** See additional messages.

**PC7648E (Rmt status) Unexpected data on confirm**
**Reason:** Internal error.
**Action:** Call tech support

**PC7649E (Rmt status) Error during deallocate**
**Action:** See additional messages.

**PC7650E (Rmt status) Error during host error**
**Reason:** There was an error sending a host error to the remote PC.
**Action:** See additional messages.

**PC7651E (Rmt status) Unexpected host type.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7652E (Rmt status) Error going into receive state**
**Action:** See additional messages.

**PC7653D (Rmt status) User aborted passthrough**

**PC7654I (Rmt status) Remote passthrough request**
**Reason:** A request to pass data through to the host was received and is now being processed.

**PC7655E (Rmt status) Unexpected service**
**Reason:** UPSTREAM received an unexpected service type. It will be rejected.
**Action:** Check for client version incompatibility.

**PC7656I Dynamically adjusted status port**

## Java client

**PC7660E (Java Client) Unexpected initial type**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7661E (Java Client) Error extracting negotiation**
**Reason:** There was an internal error extracting a field from the negotiation record.
**Action:** Call tech support.

**PC7662E (Java Client) Error creating negotiation**
**Reason:** There was an internal error inserting a field into the negotiation record or sending it.
**Action:** See additional messages.

**PC7663E (Java Client) Negotiation unexpected**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7664E (Java Client) Unexpected type**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7665E (Java Client) Unexpected state**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7666E (Java Client) Bad Run Function state**
**Reason:** A request to have UPSTREAM perform a function was received in an invalid internal state. Internal error.
**Action:** Call tech support.

**PC7667E (Java Client) Error in Run Function**
**Reason:** There was an error in the receive of the Run Function request from the client.
**Action:** See additional messages.

**PC7668E (Java Client) Error receiving parameter**
**Reason:** There was an error receiving a parameter line from the client workstation.
**Action:** See additional messages.

**PC7669E (Java Client) Error previously reported**

**PC7670I (Java Client) Closing client connection**

**PC7671E (Java Client) Bad parameter type**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7672E (Java Client) Error during acknowledgement**
**Reason:** While notifying the client that the parameters are acceptable, there was a comm error.
**Action:** See additional messages.

**PC7673E (Java Client) Error during monitor function**
**Reason:** This error occurred while the client was requesting status information of UPSTREAM
**Action:** See additional messages.

**PC7674E (Java Client) Error during function response**
**Reason:** This error occurred while the client was requesting status information of UPSTREAM
**Action:** See additional messages.

**PC7675E (Java Client) Error adding status information**
**Reason:** This error occurred while building or sending status information to the client.
**Action:** See additional messages.

**PC7676E (Java Client) Unexpected data type.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7677E (Java Client) Error during flow control**
**Reason:** An error was detected during the parse of flow control information.
**Action:** See additional messages.

**PC7678E (Java Client) Error during status**
**Reason:** An error was detected during the build or send of the status record to the client.
**Action:** See additional messages.

**PC7679E (Java Client) Error during response**
**Reason:** An error occurred while UPSTREAM was notifying the client of the result of the function requested.
**Action:** See additional messages.

**PC7680E (Java Client) Error during control request**
**Reason:** An error occurred while UPSTREAM was processing a control request from the client.
**Action:** See additional messages.

**PC7681E (Java Client) UPSTREAM Busy**
**Reason:** The request received can not be procesed at this time as UPSTREAM is busy with another process.
**Action:** Retry later.

**PC7682E (Java Client) All status ports unavailable**
**Action:** Contact tech support.

**PC7683E (Java Client) UPSTREAM Busy**
**Reason:** The requested UPSTREAM service is currently unavailable as it is busy processing request from another application.
**Action:** Try later.

## Novell SMS errors.

**PC7700E (SMS NLM) Error loading symbol**
**Reason:** The following symbol could not be found.
**Action:** Load SMDR and TSAvvv from the console

**PC7701E (SMS) Error connecting to TSA.**
**Action:** See additional messages.

**PC7702E (SMS) Error connecting to target service**
**Action:** See additional messages.

**PC7703E (SMS) The profile you specified is for SMS.**
**Reason:** This is not allowed. Specify another profile.

**PC7704I (SMS) Performing an SMS logon.**

**PC7705E (SMS) SMS not loaded in directory search**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7706E (SMS) Not a UNC name**
**Reason:** You are required to specify UNC names to use UPSTREAM's SMS facilities.
**Action:** Respecify.

**PC7707E (SMS) Bad UNC name**
**Reason:** The UNC name must include a server, volume and path (missing server).
**Action:** Respecify.

**PC7708E (SMS) Insufficient memory.**
**Reason:** There was insufficient memory allocating the server area.
**Action:** Close applications.

**PC7709E (SMS) Bad server**
**Reason:** You specified a server name which you are not connected to.
**Action:** Respecify.

**PC7710E (SMS) Bad UNC name**
**Reason:** The UNC name must include a server, volume and path (missing volume).
**Action:** Respecify.

**PC7711E (SMS) Bad UNC name**
**Reason:** The UNC name must include a server, volume and path (missing path).
**Action:** Respecify.

**PC7712E (SMS) Error creating search name.**

**Reason:** This is a SMS error.
**Action:** See additional messages.

**PC7713E (SMS) Error searching (beginning)**
**Reason:** This is a SMS error.
**Action:** See additional messages.

**PC7714E (SMS) Error searching (next)**
**Reason:** This is a SMS error.
**Action:** See additional messages.

**PC7715E (SMS) Error getting name in search**
**Reason:** This is a SMS error.
**Action:** See additional messages.

**PC7716E (SMS) Bad directory format**
**Reason:** File name returned in a search has an unexpected format.
**Action:** Call tech support.

**PC7717E (SMS) Bad directory format**
**Reason:** File name returned in a search has an unexpected format.
**Action:** Call tech support.

**PC7718E (SMS) SMS Timestamps not allowed with SMS**
**Action:** Deselect the SMS timestamp options.

**PC7719E (SMS) File transfer disallowed**
**Reason:** You can not use an SMS Novell Profile for file transfers.
**Action:** Use a non-SMS Novell Profile

**PC7720E (SMS) Invalid file open mode**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7721W (SMS) File not found in open.**
**Reason:** The file will be skipped.

**PC7722W (SMS) File open failed.**
**Reason:** SMS error.
**Action:** See additional messages.

**PC7723E (SMS) Read string not allowed**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7724E (SMS) Read pipe not allowed**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7725E (SMS) Write string not allowed**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7726W (SMS) Error assigning new name**
**Reason:** For a renamed file on a restore, there was an error adding the file.
**Action:** You must specify the file names in DOS name space compatible form (8.3).

**PC7727W (SMS) Error opening file for restore.**
**Reason:** SMS error.
**Action:** See additional messages.

**PC7728W (SMS) Error reading file.**
**Reason:** SMS error.
**Action:** See additional messages.

**PC7729W (SMS) Error writing file.**
**Reason:** SMS error.
**Action:** See additional messages.

**PC7730W (SMS) Error deleting file.**
**Reason:** SMS error.
**Action:** See additional messages.

**PC7731E (SMS) Extra data not defined for files.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7732E (SMS) Extra data not defined for files (put)**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7733E (SMS) Unexpected extra data type.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7734I (SMS) Error setting archive status.**
**Reason:** SMS message.
**Action:** See additional messages.

**PC7735E (SMS) Insufficient memory.**
**Action:** Close applications.

**PC7736E (SMS) Insufficient memory.**
**Action:** Close applications.

**PC7737E (SMS) Insufficient memory.**
**Action:** Close applications.

**PC7738E (SMS) Internal error**
**Action:** Call tech support.

**PC7739E (SMS) Truncated name not found.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7740I (SMS) Truncating file/dir name.**
**Reason:** The file name is greater than 230 characters and you activated SMS truncation, so UPSTREAM is truncating the name.

**PC7741E (SMS) Search not found.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7742W (SMS) Error extracting the long name**
**Reason:** The short name will be used instead.
**Action:** See additional messages.

**PC7743I (SMS) SMS File Report Activated**
**Reason:** You specified the USSMSFILEREPORT environment variable to activate the SMS file report, written to SMSFILES.LOG.

**PC7744W (SMS) SMS File Report Error**
**Reason:** There was an error opening the SMSFILES.LOG file.
**Action:** See additional messages.

**PC7745W (SMS) SMS File Report Error**
**Reason:** There was an error writing to the SMSFILES.LOG file.
**Action:** See additional messages.

**PC7746E (SMS) SMS backup and a non-SMS restore**
**Reason:** You are trying to restore a SMS backup without using SMS.
**Action:** Respecify using a SMS Novell Profile. The restore will proceed anyway so that backups which included a mix of SMS and non-SMS files can be restored. You may want to cancel this restore if this is not the case.

**PC7747E (SMS) Non-SMS backup and a SMS restore**
**Reason:** You are trying to restore a non-SMS backup with a SMS Novell Profile.
**Action:** Respecify using a non-SMS Novell Profile The restore will proceed anyway so that backups which included a mix of SMS and non-SMS files can be restored. You may want to cancel this restore if this is not the case.

**PC7748E (SMS) Error loading required SMS module**
**Action:** If this is the NLM version, you must load TSA410 (NetWare 4.x), or TSA500 (NetWare 5.x). For Windows NT you must have SMDR32.DLL installed.

**PC7749E (SMS) Name not found**
**Reason:** While restoring a file, the file name FID was not found in the data.
**Action:** Call tech support.

**PC7750E (SMS) Buffer overflow**
**Reason:** While restoring a file, the file name was not found in the data.
**Action:** Call tech support.

## Language file errors.

**PC7800W (Lang.) Error opening language file**
**Action:** See additional messages.

**PC7801W (Lang.) Error reading language file**
**Action:** See additional messages.

**PC7802D (Lang.) Duplicate English entry**
**Reason:** The entry you specified in English matches an existing entry.
**Action:** Modify your entry.

**PC7803W (Lang.) Insufficient memory.**
**Action:** Close applications.

**PC7804W (Lang.) Insufficient memory.**
**Action:** Close applications.

**PC7805W (Lang.) Insufficient memory.**
**Action:** Close applications.

**PC7806W (Lang.) English entry too short**
**Reason:** You must specify at least 2 characters for the english language entry.
**Action:** Modify your language file.

**PC7807W (Lang.) Language entry too short.**
**Reason:** You must specify at least 1 character for the english replacement text.
**Action:** Modify your language file.

**PC7808W (Lang.) Last quote missing in file**
**Reason:** The closing quote is missing from the replacement text field.
**Action:** Modify your language file.

**PC7809W (Lang.) Replacement missing in file**
**Reason:** The English text exists, but the replacement language text is missing.
**Action:** Modify your language file.

## Hard link errors.

**PC7900E (Hard links) Error creating tree**
**Reason:** There was a memory shortage creating the tree required for processing hard links.
**Action:** Free memory or close applications.

**PC7901E (Hard links) Error adding to tree**
**Reason:** There was a memory shortage adding to the tree required for processing hard links.
**Action:** Free memory or close applications.

**PC7902I (Hard links) Unable to open file**
**Reason:** The file open failed while checking hard link information.
**Action:** See messages above. Note that this error can generally be ignored.

**PC7903I (Hard links) Error getting hard link info**
**Reason:** When attempting to read the hard link information, there was a file system error.
**Action:** See messages below. Note that this error can generally be ignored.

**PC7904W (Hard links) Error deleting hard links file**
**Action:** See additional messages.

**PC7905E (Hard links) Error creating restore file**
**Action:** See additional messages.

**PC7906E (Hard links) Error flushing restore file**
**Action:** See additional messages.

**PC7907E (Hard links) Unexpected hard link**
**Reason:** After the first pass, a link was received. Internal error.
**Action:** Call tech support.

**PC7908E (Hard links) Invalid hard link non-file data**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7909E (Hard links) Bad non-file data subtype**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7910E (Hard links) Data size error**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7911E (Hard links) Error writing hard link file**
**Reason:** There was an I/O error writing the hard link temporary file.
**Action:** See file I/O errors.

**PC7912E (Hard links) Error reading hard links file**
**Reason:** There was an I/O error reading the hard links temporary file. No additional hard links will be restored.
**Action:** See file I/O errors.

**PC7913E (Hard links) Error rewriting description**
**Action:** See additional messages.

**PC7914E (Hard links) Insufficient memory**
**Reason:** While attempting to create a sub-tree in the tree of hard links, there was insufficient memory.
**Action:** Close applications or free memory.

**PC7915E (Hard links) Insufficient memory**
**Reason:** While attempting to add a hard link to the tree of hard links, there was insufficient memory.
**Action:** Close applications or free memory.

**PC7916E (Hard links) Insufficient memory**
**Reason:** While attempting to add a hard link file name to the hard links tree, there was insufficient memory.
**Action:** Close applications or free memory.

**PC7917E (Hard links) Unrestartable restore**
**Reason:** A fatal hard link error occurred earlier in the restore and thus it is non-restartable.
**Action:** Restart the restore from the beginning.

**PC7918E (Hard links) Empty link tree**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7919E (Hard links) Unexpected end of link tree**
**Reason:** Internal error
**Action:** Call tech support.

**PC7920D (Hard links) Restore complete**

**PC7921E (Hard links) Error saving original specs**
**Action:** See additional messages.

**PC7922E (Hard links) No tree items**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7923E (Hard links) Error recovering original params**
**Action:** See additional messages.

**PC7924E (Hard links) No subtree in restore**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7925E (Hard links) File name doesn’t match internal**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7926W (Hard links) Error deleting old link**
**Reason:** There was a file I/O error deleting a file we wish to hard link to.
**Action:** See file I/O error.

**PC7927W (Hard links) Link failed**
**Reason:** There was a file I/O error when attempting to perform the hard link on your UNIX system.
**Action:** See file I/O error.

**PC7928W (Hard links) Error opening link file**

**Reason:** There was a file I/O error when attempting to open a file to link it to another file.
**Action:** See file I/O error.

**PC7929E (Hard links) Insufficient memory**
**Reason:** When creating the tree to hold the hard links received, there was insufficient memory.
**Action:** Close applications or free memory.

**PC7930D (Hard links) Restoring hard links**
**Reason:** Now that regular data has been restored, UPSTREAM will restore hard links. This may be performed multiple times depending upon how many hard links were requested.

**PC7931E (Hard links) Expected file not found.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC7932E (Hard links) Original file name not found**
**Reason:** Internal errror.
**Action:** Call tech support.

**PC7933E (Hard links) Expected links and none found**
**Reason:** Internal errror.
**Action:** Call tech support.

**PC7934E (Hard links) Error saving original parameters**
**Action:** See additional messages.

**PC7935E (Hard links) Error recovering original parameters**
**Action:** See additional messages.

## Backup File access errors continued.

**PC8000E A user name for the UID is not defined.**
**Action:** Add a definition for the UID using the appropriate utility for your system.

**PC8001E A group name for the GID is not defined.**
**Action:** Add a definition for the GID using the appropriate utility for your system.

**PC8002E Bad translation tables for UTF-8**
**Action:** Specify UPSTREAM unicode or one-to-one translation tables (such as ANS2ATOE.TAB and ANS2ETOA.TAB).

**PC8003E Insufficient memory**
**Reason:** While saving file system information for SOS timestamp files, there was insufficient memory.
**Action:** Free memory or close applications.

**PC8004E Insufficient memory**
**Reason:** While saving file system information for SOS timestamp files, there was insufficient memory.
**Action:** Free memory or close applications.

**PC8005E Error seeking for write.**
**Reason:** While writing to the internal UPSTREAM backup file there was a file system error writing to the file.
**Action:** See additional messages.

**PC8006E Error seeking for read.**
**Reason:** While reading from the internal UPSTREAM backup file there was a file system error writing to the file.
**Action:** See additional messages.

## SAR error messages: Generic SAR error messages

**PC9000I Entering SAR (v%s)**

**PC9001I Exiting SAR**

**PC9002E TCP/IP not running**
**Reason:** TCP/IP is required for SAR. This is a fatal error.
**Action:** Contact tech support.

**PC9003E Error reading configuration file**
**Action:** See additional messages

## Web access errors.

**PC9100E Error beginning to listen for web requests**
**Action:** See additional messages.

**PC9101E Error checking for web requests**
**Action:** See additional messages.

**PC9102E Insufficient memory**
**Reason:** While allocating memory for a small internal buffer (Web requests) there was a memory shortage.
**Action:** Close applications or Free disk space.

**PC9103E Checking pending data.**
**Action:** See additional messages.

**PC9104E Receiving a web screen.**
**Action:** See additional messages.

**PC9105E Bad HTTP Command**

**PC9106E HTTP line too long.**

**PC9107E HTTP empty file.**

**PC9108E Error sending response**
**Action:** See additional messages.

**PC9109E Field not found**
**Action:** Call tech support.

**PC9110E Boolean field not initialized**
**Action:** Call tech support.

**PC9111E Value not found**
**Action:** Call tech support.

**PC9112E Value close quote not found**
**Action:** Call tech support.

**PC9113E No BODY in page**
**Action:** Call tech support.

**PC9114E Error adding extra message**
**Action:** Call tech support.

**PC9115E Bad hex parameter**
**Action:** Call tech support.

**PC9116E Bad parameter**
**Action:** Respecify.

**PC9117E Error writing configuration**
**Action:** See additional messages.

**PC9118E Bad UPSTREAM command**
**Action:** Contact tech support.

**PC9119E Console already connected**
**Reason:** You can only have one console connected at a time

**PC9120E Error sending to console**
**Action:** See additional messages.

**PC9121E Insufficient memory.**
**Reason:** Error allocating memory to store a console message.
**Action:** Free memory.

**PC9122E Console response received from non-console**
**Action:** Call tech support.

**PC9123E Console error received:**

**PC9124E Error beginning listening for console messages**
**Action:** See additional messages.

**PC9125E Error checking for new console tasks**
**Action:** See additional messages.

**PC9126E Insufficient memory.**
**Action:** Free memory or close applications.

**PC9127E Error checking for console data**
**Action:** See additional messages.

**PC9128E Error receiving console messages.**
**Action:** See additional messages.

**PC9129E Error sending console message.**
**Action:** See additional messages.

**PC9130E Error getting task ID from message**
**Reason:** There should have been a task ID in the console message. Internal error.
**Action:** Call tech support.

**PC9131E Error parsing console error messages.**
**Action:** Call tech support.

**PC9132E Unknown type**
**Action:** Call tech support.

**PC9133E Error resending console messages.**
**Action:** See additional messages.

**PC9134D Console requested termination**
**Reason:** SAR will now terminate.

**PC9135D Task killed by console request**

**PC9136W Error sent to console:**

**PC9137E Error sending console server info.**
**Action:** See subsequent messages.

## UPSTREAM host errors.

**PC9150E Error beginning to listen for UPSTREAM requests**
**Action:** See additional messages.

**PC9151E Error checking for UPSTREAM requests**
**Action:** See additional messages.

**PC9152E Insufficient memory.**
**Reason:** While allocating data to process a new request.
**Action:** Close programs or free memory.

**PC9153E Error sending console UPSTREAM response**
**Reason:** When a console application requested to perform an UPSTREAM function on the web port there was an error sending the response allowing it to proceed.
**Action:** See subsequent communications error.

## TCP/IP errors

**PC9200E Error in initial socket call**
**Reason:** To begin listening for requests, there was a TCP/IP error in the initial socket call.
**Action:** See additional messages.

**PC9201E Insufficient memory**
**Reason:** While allocating memory for a small internal buffer (TCP listen buffer) there was a memory shortage.
**Action:** Close applications or Free disk space.

**PC9202D Error in reusing address**
**Reason:** A non-fatal TCP/IP error occurred in the SO_REUSEADDR called to allow the socket to be quickly reused.
**Action:** See additional messages.

**PC9203E Error in binding to socket**
**Reason:** A fatal error occurred binding to a listening socket.
**Action:** Most likely this occurs when the listening socket is bound to another application.

**PC9204E Error in listening on socket**
**Reason:** A fatal error occurred listening on a socket.
**Action:** See additional messages.

**PC9205E Error in setting socket (non)blocking**
**Reason:** A fatal error occurred setting the blocking status of a socket.
**Action:** See additional messages.

**PC9206E Error accepting remote connect**
**Action:** See additional messages.

**PC9207E Insufficient memory**
**Reason:** While allocating memory for a small internal buffer (TCP accept buffer) there was a memory shortage.
**Action:** Close applications or Free disk space.

**PC9208E Error checking for pending receive data**
**Action:** See additional messages.

**PC9209E Error receiving data**
**Action:** See additional messages.

**PC9210E Error sending data**
**Action:** See additional messages.

**PC9211E Error disabling the Nagle algorithm.**
**Action:** See additional messages.

**PC9212E Error setting keep alives.**
**Action:** See additional messages.

**PC9213E Received length incorrect.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC9214E Error in initial socket call**
**Reason:** While attempting to connect via TCP/IP there was an error in the initial socket call.
**Action:** See additional messages.

**PC9215E Error in connect**
**Reason:** While attempting to connect via TCP/IP there was an error in the connect call.
**Action:** See additional messages.

**PC9216E Broken connection**
**Reason:** While attempting to send, the remote process disconnected.
**Action:** Restart the remote process and retry.

## Host communications errors

**PC9225E State error**
**Reason:** Internal error.
**Action:** Call tech support.

**PC9226E Remote system error**
**Reason:** The above message came from the remote system.

**PC9227E Expected data**
**Reason:** While expecting data, received a “receive” indicator. Internal error.
**Action:** Call tech support.

**PC9228E Expected data**
**Reason:** While expecting data, received a “deallocate” indicator. Internal error.
**Action:** Call tech support.

**PC9229E Expected data**
**Reason:** While expecting data, received a “confirm” indicator. Internal error.
**Action:** Call tech support.

**PC9230E Unexpected confirmed**

**Reason:** While expecting data, received a “confirmed” indicator. Internal error.
**Action:** Call tech support.

**PC9231E Unexpected data type on confirmed**

**PC9232E Insufficient memory**
**Reason:** While allocating memory for record packing
**Action:** Close applications or free memory.

**PC9233E Invalid packing type received.**
**Action:** Call tech support.

**PC9234E Length in packing block too large**
**Action:** Call tech support.

**PC9235E Pack record length too small**
**Action:** Call tech support.

**PC9236E Standard packing invalid**
**Action:** Call tech support.

**PC9237E Fixed packing invalid**
**Action:** Call tech support.

**PC9238E Variable packing invalid**
**Action:** Call tech support.

## Program execution errors

**PC9250E Error starting program**
**Action:** See additional messages.

**PC9251E Insufficient memory.**
**Reason:** There was a memory shortage allocating memory to track started task instances.
**Action:** Close applications.

**PC9252E Error checking for program**
**Reason:** While checking the execution status of a USTASK program, the following error was encountered.
**Action:** See additional messages.

**PC9253E Error terminating USTASK**
**Action:** See additional messages.

**PC9254I Normal USTASK start.**

**PC9255I Normal USTASK termination.**

**PC9256W USTASK failed**
**Action:** See earlier in this log.

**PC9257E Error attaching to console facility**
**Action:** See additional messages.

**PC9258W Task to kill not found.**
**Reason:** The console user requested a kill of a specific task which is not found. Most likely the task already terminated.

## Console access

**PC9275E Error connecting to console**
**Action:** See additional messages.

**PC9276E Error occurred during wait for console response.**
**Action:** See additional messages.

## Tape access errors

**PC9300E Insufficient memory.**
**Reason:** There was a memory shortage allocating memory to list tape drives.
**Action:** Close applications.

**PC9301E Error obtaining inquiry data for device**
**Reason:** There was a Windows NT error obtaining inquiry data for the following device.
**Action:** Contact your system administrator.

**PC9302E Insufficient memory.**
**Reason:** There was a memory shortage allocating memory to open the tape device.
**Action:** Close applications.

**PC9303E Error opening selected SCSI address**
**Action:** See additional messages.

**PC9304E Error accessing tape information by name**
**Action:** See additional messages.

**PC9305E Name is not a tape drive.**
**Reason:** The SCSI name you passed in is not a tape drive.
**Action:** Respecify the name.

**PC9306E Error reading block limits**
**Action:** See additional messages.

**PC9307E Error determining if a tape is mounted**
**Reason:** While determining tape information, there was an error determining if the tape is mounted.
**Action:** See additional messages.

**PC9308E Error testing if the tape unit is ready.**
**Action:** See additional messages.

**PC9309E Error rewinding tape getting tape info.**
**Action:** See additional messages.

**PC9310E Error rewinding tape.**
**Action:** See additional messages.

**PC9311E Error spacing over the tape.**
**Action:** See additional messages.

**PC9312E Error reading the tape position.**
**Action:** See additional messages.

**PC9313E Error reading from the tape.**
**Action:** See additional messages.

**PC9314E Tape not in host data format**
**Action:** Mount the correct tape.

**PC9315E Error reading tape label**
**Action:** See additional messages.

**PC9316E No host label on tape**
**Reason:** An end of file or end of medium was encountered while reading the host label on the tape.
**Action:** Mount the correct tape.

**PC9317E Host label not correct**
**Reason:** This tape is missing the prefix label of “VOL1" on the tape header.
**Action:** Mount a host tape.

**PC9318E Error reading tape label1**
**Action:** See additional messages.

**PC9319E No host label1 on tape**
**Reason:** An end of file or end of medium was encountered while reading the host label 1 on the tape.
**Action:** Mount the correct tape.

**PC9320E Host label 1 not correct**
**Reason:** This tape is missing the prefix label of “HDR1" on the tape header.
**Action:** Mount a host tape.

**PC9321E Tape not mounted**
**Action:** Mount a tape.

**PC9322E Error reading device configuration**
**Action:** See additional messages.

**PC9323E Tape target/LUN not found.**
**Reason:** During the open the specified target/LUN was not found on the specified SCSI device.
**Action:** Respecify.

**PC9324E Bad tape device name.**
**Action:** See additional messages.

**PC9325E Error ejecting tape**
**Action:** See additional messages.

**PC9326W Wrong tape**
**Reason:** The tape you used has a different host file name than the last one.
**Action:** Insert the correct tape.

**PC9327W Wrong tape**
**Reason:** The tape you used is the wrong tape in sequence
**Action:** Insert the next tape in the sequence.

## Intercomputer structure errors.

**PC9400E Insufficient data**
**Reason:** There must be at least 3 bytes passed to the parsing routines, and there was less.
**Action:** Call tech support.

**PC9401E Unexpected structure received.**
**Action:** Call tech support.

**PC9402E A required field is missing**
**Reason:** Occurred during string parsing.
**Action:** Call tech support.

**PC9403E Field exceeds data**
**Reason:** During a parse, the field position plus the field length is greater than the structure length.
**Action:** Call tech support.

**PC9404E Field length exceeds field**
**Reason:** The length of a field is larger than the size given to hold the data.
**Action:** Call tech support.

**PC9410E Buffer exceeded**
**Reason:** While constructing an intercomputer structure, the internal buffer length was exceeded.
**Action:** Call tech support.

## Intermediate host tape access errors.

**PC9500E Error reading first record**
**Action:** See additional messages.

**PC9501E Bad length on the tape.**
**Reason:** This tape may not be usable.
**Action:** Call tech support.

**PC9502E Bad record type on tape.**
**Action:** Verify that this is an UPSTREAM tape.

**PC9503E Insufficient memory**
**Action:** Close applications or free disk space.

**PC9504E Error parsing tape catalog record**
**Action:** See additional messages

**PC9505E Bad tape record length**
**Action:** Call tech support.

**PC9506W Not C record**
**Reason:** Repeating C records were supposed to follow the non-repeating C record. This is not a fatal error.

**PC9507E Error parsing tape catalog repeated record**
**Action:** See additional messages

**PC9508E Error parsing tape file info record**
**Action:** See additional messages

## SAR tasking errors.

**PC9600E Error getting socket from SAR**
**Reason:** Internal error.
**Action:** Call tech support.

**PC9601E Error receiving initial request**
**Action:** See additional messages.

**PC9602E Insufficient memory.**
**Reason:** Error allocating task buffer.
**Action:** Close applications or free memory.

**PC9603E Error occurred while getting initial task data**
**Action:** See additional messages.

**PC9604E No data to receive**
**Reason:** There was no data pending in the initial receive from the remote. Internal error.
**Action:** Call tech support.

**PC9605E Error parsing start conversation**
**Reason:** Internal error.
**Action:** Call tech support.

**PC9606E Error receiving task from remote**
**Reason:** After receiving the start conversation, there was an error receiving the remote request.
**Action:** See additional messages.

**PC9607E Unsupported task.**
**Reason:** The specified task is not currently supported.
**Action:** Request a supported task.

**PC9608E Unsupported initial task.**
**Reason:** The specified task is not currently supported.
**Action:** Request a supported task.

**PC9609D Host send performance test**

**PC9610D Host receive performance test**

**PC9611E Host send performance test**

**PC9612E Host receive performance test**

**PC9613D Security validation**

**PC9614E Security validation**

**PC9615D Performance test successful**

**PC9616D Security validation successful**

**PC9617D Registration request not supported**

**PC9618D Inquire versions**

**PC9619E Inquire versions**

**PC9620E No tape drives found.**

**PC9621E Drive selection during inquire versions**

**PC9622E User requested termination**

**PC9623E Error opening drive**

**PC9624E Unexpected end of tape file.**

**PC9625E Unexpected end of tape media.**

**PC9626E Unexpected tape record type.**

**PC9627E Backup profile on tape does not match request**

**PC9628E Version on tape does not match request**

**PC9629D File inquiry**

**PC9630E Error occurred during file inquiry**

**PC9631E No files matched specification**

**PC9632D File inquiry sent %ld files (skipped %ld files).**

**PC9633D Restore request**

**PC9634E Error occurred during restore**

**PC9635D Restore successful, sent %ld files (skipped %ld files).**

**PC9636E File transfer not supported**

**PC9637E Too many duplicates**
**Reason:** Internal error.
**Action:** Call tech support.

**PC9638E Insufficient memory**
**Reason:** During the file sort there were too many files for the amount of available memory.
**Action:** Free memory and/or disk, close applications, or use the USDNOINQUIRESORT environment variable.

**PC9639E Error parsing.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC9640E Insufficient memory**
**Reason:** During the restore there were too many files for the amount of available memory.
**Action:** Free memory and/or disk, close applications or specify a single version restore.

**PC9641E Insufficient memory**
**Reason:** During a file inquiry there were too many files for the amount of available memory.
**Action:** Free memory and/or disk, close applications or specify a single version restore.

**PC9642E Unknown console task**
**Reason:** The request was not known by this version of UPSTREAM.
**Action:** Verify that the console is running the same version of UPSTREAM as this facility.

**PC9643D Regen process**

**PC9644E Error occurred during a regen.**

**PC9645D Regen backup complete %ld files/dirs**

**PC9646D Regen tape complete %d backups %ld files/dirs**

**PC9647W Error sending status messages**
**Reason:** The process will continue.

## File info package errors.

**PC9700E Insufficient memory**
**Reason:** While allocating memory for a FileInfo handle, there was insufficient memory.
**Action:** Free memory and/or disk, or close applications.

**PC9701E Error creating database**
**Action:** See file I/O messages.

**PC9702E Error writing database header block**
**Action:** See file I/O messages.

**PC9703E Error deleting file info file**
**Action:** See file I/O messages.

**PC9704E Error writing backup description**
**Action:** See file I/O messages.

**PC9705E Error writing backup description**
**Action:** See file I/O messages.

**PC9706E No repeated list**
**Reason:** Internal error.
**Action:** Call tech support.

**PC9707E Error creating database index.**
**Action:** See file I/O messages.

**PC9708E Error allocating memory**
**Action:** Close applications or free memory.

**PC9709E Error writing file information**
**Action:** See file I/O messages.

**PC9710E Unqualified file name**
**Reason:** During an index operation an unqualified file name was detected.
**Action:** Call tech support.

**PC9711E Insufficient memory**
**Reason:** There was insufficient memory adding an index entry to the directory list.
**Action:** Close applications or free memory

**PC9712E Insufficient memory**
**Reason:** There was insufficient memory adding an index entry to the directory list.
**Action:** Close applications or free memory

**PC9713E Insufficient memory**
**Reason:** There was insufficient memory adding an index entry to the files list.
**Action:** Close applications or free memory

**PC9714E Error writing index header.**
**Action:** See file I/O messages.

**PC9715E Error writing index directory entry.**
**Action:** See file I/O messages.

**PC9716E Error writing index file entry.**
**Action:** See file I/O messages.

**PC9717E Error opening file info file.**
**Action:** See file I/O messages.

**PC9718E End of file in read of partial length**
**Action:** Call tech support.

**PC9719E Read data greater than the maximum**
**Action:** Call tech support.

**PC9720E End of file when expecting data**
**Action:** Call tech support.

**PC9721E Error reading file info header.**
**Action:** See additional messages.

**PC9722E Invalid structure type.**
**Action:** Call tech support.

**PC9723W Invalid header**
**Reason:** The header fields do not match the file. The file will be used anyway.

**PC9724E Invalid file version**
**Reason:** The file info file has a greater version than supported by this version of the program.
**Action:** Upgrade this program.

**PC9725E Error reading index header.**
**Action:** See additional messages.

**PC9726E Index does not match the file info file**
**Reason:** The file will be reindexed now.

**PC9727W Index not completed.**
**Reason:** The file will be reindexed now.

**PC9728E Error reading non-repeating description**
**Action:** See additional messages.

**PC9729E Error reading repeating description**
**Action:** See additional messages.

**PC9730E Error reading file info for reindex**
**Action:** See additional messages.

**PC9731E Error parsing file info during reindex**
**Action:** See additional messages.

**PC9732E Error searching for backups (first).**
**Action:** See additional messages.

**PC9733E Error searching for backups (next).**
**Action:** See additional messages.

**PC9734E Error adding file to index**
**Action:** Free memory or close applications.

**PC9735E Bad file info file name.**
**Reason:** Internal error.
**Action:** Call tech support.

**PC9736E Error deleting file info file.**
**Action:** See subsequent I/O messages.

**PC9737E Error deleting index file.**
**Action:** See subsequent I/O messages.

**PC9738E Error opening index of files**
**Action:** Verify that you have both the directory and file index files for a given file info file.

**PC9739E Error reading files index file**
**Action:** See subsequent I/O errors.

**PC9740W Files index file bad.**
**Reason:** An automatic reindex will be performed.

**PC9741W File info data size too large**
**Reason:** This file will be skipped (assumed to be bad).

## PlugIn errors

**PC9900W (PlugIn) Can't load PlugIn**
**Reason:** The specified PlugIn file cannot be loaded.
**Action:** Make sure the PlugIn is appropriate for the operating system you are using. If it is not, remove it from the PlugIn subdirectory.

**PC9901W (PlugIn) Can't Load Plugin Negotiate function**
**Reason:** The specified PlugIn file does not have a fpbPlugInNegotiate function. The PlugIn is being unloaded.
**Action:** Make sure the DLL file is indeed a UPSTREAM PlugIn module. If it is not, remove it from the PlugIn subdirectory.

**PC9902W (PlugIn) PlugIn negotiation process failed**
**Reason:** The negotiation process for the specified PlugIn file failed. The PlugIn is being unloaded.
**Action:** Make sure the PlugIn is appropriate for the operating system you are using. If it is not, remove it from the PlugIn subdirectory. See additional message for more information.

**PC9903W (PlugIn) PlugIn version mismatch**
**Reason:** The specified PlugIn file has a different version than US.EXE. The PlugIn is being unloaded.
**Action:** Obtain the version of the PlugIn module that matches the version of US.exe being used and copy it to the PlugIn subdirectory.

**PC9904E (PlugIn) Can't allocate memory for a PlugIn**
**Reason:** There is insufficient memory to allocate a PlugIn control block.
**Action:** See additional messages.

**PC9905E (PlugIn) PlugIn for file spec not found**
**Reason:** A file specification has referenced a PlugIn which is not loaded.
**Action:** See additional messages.

**PC9906E (PlugIn) Invalid ACTION parameter for PlugIn**
**Reason:** The ACTION parameter specified is invalid when used with a PlugIn.
**Action:** See additional messages.

**PC9907E (PlugIn) Restore file specs use two or more PlugIns**
**Reason:** The set of restore parameters has file specs that use two or more different PlugIns. A PlugIn restore may have any number of file specs, but all of the file specs must use the same PlugIn.
**Action:** Respecify.

**PC9908E (PlugIn) Invalid BACKUPVERIFY**
**Reason:** The ACTION parameter specified is invalid when used with a PlugIn.
**Action:** See additional messages.

**PC9909E (PlugIn) Invalid CALCDASDSIZE**
**Reason:** The CALCDASDSIZE parameter specified is invalid when used with a PlugIn.
**Action:** See additional messages.

**PC9910E (PlugIn) Invalid FILETRANSFER**
**Reason:** The FILETRANSFER parameter specified is invalid when used with a PlugIn.
**Action:** See additional messages.

**PC9911E (PlugIn) Invalid LANWSNAME**
**Reason:** The LANWSNAME parameter specified is invalid when used with a PlugIn.
**Action:** See additional messages.

**PC9912E (PlugIn) Invalid BANYANDISK**
**Reason:** The BANYANDISK file spec parameter specified is invalid when used with a PlugIn.
**Action:** Respecify.

**PC9913E (PlugIn) Invalid BANYANSERVER**
**Reason:** The BANYANSERVER file spec parameter specified is invalid when used with a PlugIn.
**Action:** Respecify.

**PC9914E (PlugIn) Invalid NDS**
**Reason:** The NDS file spec parameter specified is invalid when used with a PlugIn.
**Action:** Respecify.

**PC9915E (PlugIn) Function not exported**
**Reason:** A file handle is being used for a function which is not exported. Internal error.
**Action:** Call tech support.

**PC9916E (PlugIn) PlugIn mismatch**
**Reason:** A file handle is for a plugin which is not the active one. Internal error.
**Action:** Call tech support.

**PC9917E (PlugIn) Active plugin required.**
**Reason:** This function is using a plugin file handle but there is no active plugin. Internal error.
**Action:** Call tech support.

## WinAD PlugIn errors

**PC10001E (WinAD) PLUGINPARAMETERS are invalid**
**Reason:** One or more of the required parameters are missing or one or more of any of the parameters are invalid. The PLUGINPARAMETERS parameter follows.
**Action:** Supply a valid PLUGINPARAMETERS parameter, particularly the SERVER sub-parameter.

**PC10003W (WinAD) Unable to determine status of NTDS server**
**Reason:** The online status of the NTDS server as specified in the PLUGINPARAMETERS SERVER sub-parameter could not be determined. It will be assumed that the NTDS server is offline.

**PC10004E (WinAD) The NTDS server is not online**
**Reason:** The NTDS server as specified in the PLUGINPARAMETERS SERVER sub-parameter is not online. The NTDS server must be online to perform a backup.
**Action:** Make sure the NTDS server is booted in an Advanced Option mode other than "Directory Services Restore Mode" and then try the backup again.

**PC10005E (WinAD) Invalid file spec type**
**Reason:** Only include file specs may be specified for a backup or restore operation. Exclude and Migrate file specs are not allowed.
**Action:** Remove all Exclude and Migrate file specs from the backup or restore specification and try the backup or restore again.

**PC10006E (WinAD) Too many WinAD file specs for server**
**Reason:** More than one file spec using the WinAD PlugIn reference the same NTDS server.
**Action:** Make sure all of the file specs that use the WinAD PlugIn reference unique NTDS servers.

**PC10007E (WinAD) Error setting default file spec parameters**
**Reason:** One or more errors occurred while trying to set the default file spec parameters for a file spec using the WinAD PlugIn.
**Action:** Call tech support.

**PC10008E (WinAD) The specified version was interrupted**
**Reason:** The backup version specified by either the VERSIONDATE or LATESTVERSION parameters was interrupted can cannot be used for a restore.
**Action:** Specify a different backup version from which to perform the restore.

**PC10009E (WinAD) An error occurred reading file spec**
**Reason:** An internal UPSTREAM error occurred while reading one of the file spec definitions for a backup version.
**Action:** Call tech support.

**PC10010E (WinAD) The specified version has bad file spec**
**Reason:** The backup version specified by either the VERSIONDATE or LATESTVERSION parameters has a file spec definition that is different than what was expected.
**Action:** Call tech support.

**PC10011E (WinAD) Invalid number of file specs for version**
**Reason:** The backup version specified by either the VERSIONDATE or LATESTVERSION parameters does not have exactly one file spec definition for the WinAD backup.
**Action:** Call tech support.

**PC10012E (WinAD) A required DLL cannot be loaded.**
**Reason:** An error occurred while attempting to load a DLL. The name of the DLL follows. This DLL is required by the WinAD PlugIn (WinAD.dll) to perform NTDS backup and restore operations.
**Action:** The WinAD PlugIn is intended to be run on the Windows 2000 Server operating system only. If the operating system is not Windows 2000 Server, remove WinAD.dll from the PlugIn subdirectory of your main UPPSTREAM directory. If the operating system is Windows 2000 Server, call tech support.

**PC10013E (WinAD) A DLL function cannot be loaded.**
**Reason:** One or more of the API functions exported by one or more of the DLLs required by the WinAD PlugIn could not be loaded.
**Action:** Call tech support.

**PC10014E (WinAD) An error occurred preparing for backup**
**Reason:** A NTDS error occurred while preparing to perform a backup operation.
**Action:** Call tech support.

**PC10015E (WinAD) Error getting DB file name list**
**Reason:** A NTDS error occurred while getting the list of database file names.
**Action:** Call tech support.

**PC10016E (WinAD) Error getting log file name list**
**Reason:** A NTDS error occurred while getting the list of log file names.
**Action:** Call tech support.

**PC10017E (WinAD) An error occurred on a version inquiry**
**Reason:** An error occurred while performing a version inquiry operation to validate the specified backup version from which to restore.

**PC10018E (WinAD) An error occurred reading specified version**
**Reason:** An internal UPSTREAM error occurred while reading the version information for the specified backup version.
**Action:** Call tech support.

**PC10019E (WinAD) The specified version cannot be found**
**Reason:** The backup version specified by either the VERSIONDATE or LATESTVERSION parameters does not exist.
**Action:** Specify a different backup version from which to perform the restore.

**PC10020I (WinAD) Truncating the NTDS transaction logs**
**Reason:** The NTDS backup was successful. The NTDS transaction logs will now be truncated.

**PC10021E (WinAD) Error truncating transaction logs**
**Reason:** A NTDS error occurred while truncating the NTDS transaction logs after a successful backup.
**Action:** Call tech support.

**PC10022I (WinAD) Truncating of NTDS transaction logs skipped**
**Reason:** One or more errors occurred during an NTDS backup. The NTDS transaction logs will not be truncated.
**Action:** See additional messages.

**PC10023E (WinAD) Error ending backup**
**Reason:** A NTDS error occurred while ending the NTDS backup.
**Action:** Call tech support.

**PC10024E (WinAD) Error registering NTDS restore**
**Reason:** A NTDS error occurred while registering a NTDS restore.
**Action:** Call tech support.

**PC10025E (WinAD) Error completing failed restore registration**
**Reason:** A NTDS error occurred while completing a NTDS restore registration after a failed restore registration.
**Action:** Call tech support.

**PC10026I (WinAD) Completing NTDS restore registration**
**Reason:** A NTDS restore was registered successfully. The restore registration will now be completed.

**PC10027E (WinAD) Error completing ok restore registration**
**Reason:** A NTDS error occurred while completing a NTDS restore registration after a successful restore registration.
**Action:** Call tech support.

**PC10028I (WinAD) The NTDS restore will not be registered**
**Reason:** A NTDS error occurred while performing a restore. The NTDS restore registration will be skipped.
**Action:** Call tech support.

**PC10029E (WinAD) Error ending restore**
**Reason:** A NTDS error occurred while ending a NTDS restore.
**Action:** Call tech support.

**PC10030W (WinAD) File(s) still open after backup**
**Reason:** One or more NTDS backup connections were still open after the backup finished.
**Action:** Inspect the log thorouly and call tech support.

**PC10031W (WinAD) File(s) still open after restore**
**Reason:** One or more NTDS restore connections were still open after the restore finished.
**Action:** Inspect the log thorouly and call tech support.

**PC10032E (WinAD) Invalid backup open file mode**
**Reason:** An internal UPSTREAM error occurred while trying to open a WinAD PlugIn backup file with the wrong backup file mode.
**Action:** Call tech support.

**PC10033E (WinAD) Invalid restore open file mode**
**Reason:** An internal UPSTREAM error occurred while trying to open a WinAD PlugIn restore file with the wrong restore file mode.
**Action:** Call tech support.

**PC10034E (WinAD) Invalid operation type**

**Reason:** An internal UPSTREAM error occurred while trying to open a WinAD PlugIn backup file while in an invalid internal state.
**Action:** Call tech support.

**PC10035E (WinAD) Can't parse server name**
**Reason:** An internal UPSTREAM error occurred while trying to parse the NTDS server name from the PLUGINPARAMETERS value.
**Action:** Call tech support.

**PC10036E (WinAD) Invalid read file position**
**Reason:** An internal UPSTREAM error occurred while trying to read from a WinAD PlugIn file at a position other than the current file position.
**Action:** Call tech support.

**PC10037E (WinAD) Invalid whole record read request**
**Reason:** An internal UPSTREAM error occurred while trying to read a whole buffer from a WinAD PlugIn file. than the current file position.
**Action:** Call tech support.

**PC10038E (WinAD) Error closing NTDS database or log file**
**Reason:** A NTDS error occurred while closing a database or log file after reading from it.
**Action:** Call tech support.

**PC10039E (WinAD) Error opening NTDS database or log file**
**Reason:** A NTDS error occurred while opening a database or log file to prepare for reading from it.
**Action:** Call tech support.

**PC10040E (WinAD) Error reading NTDS database or log file**
**Reason:** A NTDS error occurred while reading a database or log file.
**Action:** Call tech support.

**PC10041E (WinAD) Insufficient memory for file handle**
**Reason:** While attempting to allocate memory for a file handle, there was insufficient memory.
**Action:** Close applications or free memory.

**PC10042E (WinAD) Can't bind to directory service**
**Reason:** A NTDS error occurred while binding to the nearest directory service.
**Action:** Call tech support.

**PC10043E (WinAD) Can't get site name**
**Reason:** A NTDS error occurred while getting the name of the local site.
**Action:** Call tech support.

**PC10044E (WinAD) Can't get list of servers for site**
**Reason:** A NTDS error occurred while obtaining a list of DS servers in the local site.
**Action:** Call tech support.

**PC10045E (WinAD) No servers in site**
**Reason:** A list of DS servers in the local site was obtained from NTDS, but the list does not contain any server names.
**Action:** Call tech support.

**PC10046E (WinAD) Invalid write file position**
**Reason:** An internal UPSTREAM error occurred while trying to write to a WinAD PlugIn file at a position other than the current file position.
**Action:** Call tech support.

**PC10047E (WinAD) Invalid backup header signature**
**Reason:** An internal UPSTREAM error occurred while trying to validate the backup header signature in a WinAD PlugIn backup file.
**Action:** Call tech support.

**PC10048E (WinAD) No NTDS database files in backup**
**Reason:** An internal UPSTREAM error occurred while trying to validate the number of individual NTDS database files in a WinAD PlugIn backup file.
**Action:** Call tech support.

**PC10049E (WinAD) An error occurred preparing for restore**
**Reason:** A NTDS error occurred while preparing to perform a restore operation.
**Action:** Call tech support.

**PC10050E (WinAD) Error getting DB locations**
**Reason:** A NTDS error occurred while getting the list database and log file locations.
**Action:** Call tech support.

**PC10051E (WinAD) Insufficient memory for restore file map**
**Reason:** While attempting to allocate memory for a restore file map, there was insufficient memory.
**Action:** Close applications or free memory.

**PC10052E (WinAD) Invalid file header signature**
**Reason:** An internal UPSTREAM error occurred while trying to validate a file header signature for a single NTDS file in a WinAD PlugIn backup file.
**Action:** Call tech support.

**PC10053E (WinAD) Cannot locate log file directory**
**Reason:** A NTDS error occurred while type to locate the log file directory name.
**Action:** Call tech support.

**PC10054E (WinAD) Cannot locate database file directory**
**Reason:** A NTDS error occurred while type to locate the database file directory name.
**Action:** Call tech support.

**PC10055E (WinAD) Cannot locate unknown file type directory**
**Reason:** A NTDS error occurred while type to locate the directory name for a file with an unknown file type.
**Action:** Call tech support.

**PC10056E (WinAD) Insufficient memory for DB name**
**Reason:** While attempting to allocate memory for a database file name, there was insufficient memory.
**Action:** Close applications or free memory.

**PC10057E (WinAD) Insufficient memory for new DB name**
**Reason:** While attempting to allocate memory for a new database file name, there was insufficient memory.
**Action:** Close applications or free memory.

**PC10058E (WinAD) Error creating NTDS database or log file**
**Reason:** A NTDS error occurred while creating a database or log file to prepare for writing to it.
**Action:** Call tech support.

**PC10059E (WinAD) Error writing NTDS database or log file**
**Reason:** A NTDS error occurred while writing a database or log file.
**Action:** Call tech support.

**PC10060I (WinAD) A NTDS log file was renamed**
**Reason:** A NTDS log file was renamed in preparation for a restore. Following are the old log file name and the new log file name. The renamed log file should be deleted manually after a successful NTDS restart.

**PC10061E (WinAD) Insufficient memory for backup context**
**Reason:** While attempting to allocate memory for a backup context, there was insufficient memory.
**Action:** Close applications or free memory.

**PC10062E (WinAD) Insufficient memory for restore context**
**Reason:** While attempting to allocate memory for a restore context, there was insufficient memory.
**Action:** Close applications or free memory.

**PC10063E (WinAD) Invalid file name query file handle**
**Reason:** An internal UPSTREAM error occurred while trying to get the file name for a non-WinAD PlugIn file.
**Action:** Call tech support.

**PC10064E (WinAD) Can't get list of NTDS sites**
**Reason:** A NTDS error occurred while obtaining a list of DS sites.
**Action:** Call tech support.

**PC10065E (WinAD) Can't determine local site DN**
**Reason:** A list of DS sites was obtained from NTDS, but the local site name was not found in this list.
**Action:** Call tech support.

**PC10066E (WinAD) The NTDS server is not offline**

**Reason:** The NTDS server as specified in the PLUGINPARAMETERS SERVER sub-parameter is not offline. The NTDS server must be offline to perform a restore.
**Action:** Make sure the NTDS server is booted in the "Directory Services Restore Mode" and then try the restore again.

**PC10067E (WinAD) OS not Windows 2000 Server**
**Reason:** The WinAD PlugIn is intended to be run on the Windows 2000 Server operating system only and the detected operating system is not Windows 2000 Server.
**Action:** Remove WinAD.dll from the PlugIn subdirectory of your main UPPSTREAM directory. If the operating system really is Windows 2000 Server, call tech support.

## NotesR5 plug-in errors

**PC10101E (NotesR5) Notes not loaded**
**Action:** See additional messages

**PC10102E (NotesR5) Function load error**
**Action:** See additional messages

**PC10103E (NotesR5) Initialization error**
**Action:** See additional messages

**PC10104E (NotesR5) File open bad mode**
**Reason:** Internal error.
**Action:** Call tech support.

**PC10105E (NotesR5) Insufficient memory**
**Reason:** During Notes plug-in processing.
**Action:** Free memory and/or disk, or close applications.

**PC10106E (NotesR5) Not a NotesR5 file**
**Reason:** Internal error.
**Action:** Call tech support.

**PC10107E (NotesR5) Error getting Notes data directory**
**Reason:** This is required for all UPSTREAM functions so, the plug in is disabled.
**Action:** See additional messages.

**PC10108E (NotesR5) Error translating file name**
**Action:** See additional messages.

**PC10109E (NotesR5) Error creating database**
**Action:** See additional messages.

**PC10110E (NotesR5) Error opening database**
**Action:** See additional messages.

**PC10111E (NotesR5) Error in NSFBackupStart**
**Action:** See additional messages.

**PC10112E (NotesR5) Error in getting logging status**
**Action:** See additional messages.

**PC10113I (NotesR5) Database is not logged**
**Reason:** Resulting backup file will not be recoverable.
**Action:** Use the notes administrator to make this a logged database or accept the inability to recover.

**PC10114W (NotesR5) Circular logging**
**Reason:** You have requested a notes backup with circular transaction logging. This will work, but UPSTREAM will not back up the transaction logs as they can not be recovered.
**Action:** Use the notes administrator to set standard logging or set LOGFILES=N in the plug-in parameters.

**PC10115E (NotesR5) Error getting transaction log style**
**Action:** See additional messages.

**PC10116E (NotesR5) Error beginning archive log list**
**Action:** See additional messages.

**PC10117E (NotesR5) Invalid LOGFILES parameter**
**Reason:** The form for LOGFILES is: LOGFILES=Y
**Action:** Respecify.

**PC10118E (NotesR5) Error getting first log file**
**Action:** See additional messages.

**PC10119E (NotesR5) Error getting next log file**
**Action:** See additional messages.

**PC10120E (NotesR5) Error creating log file spec**
**Action:** Call tech support.

**PC10121E (NotesR5) Error copying to new spec**
**Action:** Call tech support.

**PC10122E (NotesR5) Invalid LOG parameter**
**Reason:** This parameter should not be entered manually
**Action:** Respecify.

**PC10123E (NotesR5) Can't restart Notes backup**
**Action:** Start from the beginning

**PC10124W (NotesR5) Restart not supported**
**Reason:** UPSTREAM will disable restart for this backup.

**PC10125E (NotesR5) LOGFILES ONLY defined with logs**
**Reason:** You specified a LOGFILES ONLY specification and you already have logfiles specified.
**Action:** Respecify.

**PC10126E (NotesR5) Log file invalid on direct restore.**
**Reason:** You can not select log files to be restored directly; you must use the log files plug in parameters option. The file will be skipped.
**Action:** Respecify.

**PC10127E (NotesR5) Error translating log file name**
**Action:** See additional messages.

**PC10128E (NotesR5) Notes specified a log file not found**
**Action:** Call tech support.

**PC10129E (NotesR5) Wildcard in search**
**Reason:** Internal error.
**Action:** Call tech support.

**PC10130E (NotesR5) Error getting change info size**
**Action:** See additional messages.

**PC10131E (NotesR5) Error taking database offline**
**Action:** See additional messages.

**PC10132E (NotesR5) Error starting apply of log changes**
**Action:** See additional messages.

**PC10133E (NotesR5) Error getting changed info**
**Action:** See additional messages.

**PC10134E (NotesR5) Error in applying changed info**
**Action:** See additional messages.

**PC10135E (NotesR5) Error deleting temporary file**
**Action:** See additional messages.

**PC10136E (NotesR5) Could not create temp file**
**Reason:** The file name reported was the last name tried.
**Action:** See additional messages.

**PC10137E (NotesR5) Inconsistant versions**
**Reason:** The version of the Notes plugin does not match the version of UPSTREAM.
**Action:** Upgrade both to the latest version.

**PC10138E (NotesR5) Server not logging**
**Reason:** The server is not using transaction logging so your request to backup transaction logs can't be serviced.
**Action:** Turn on transaction logging on the server or turn off transaction logs for this backup.

**PC10139E (NotesR5) Insufficient memory**
**Reason:** While saving the transaction log file name, there was insufficient memory.
**Action:** Close applications or free memory.

**PC10140D (NotesR5) No transaction logs to archive**

**PC10141E (NotesR5) No transaction logs to archive**
**Reason:** There were no transaction logs to archive and you requested a transaction log only backup. No backup will be performed.
**Action:** Specify the FORCELOG=Y plug in parameter if you wish to guarantee that log file backups will always backup something.

**PC10142E (NotesR5) Log file not found**
**Reason:** Internal error.
**Action:** Call tech support.

**PC10143E (NotesR5) Error creating force log DB**
**Reason:** There was a notes error creating the database to force the log file to switch.
**Action:** See additional messages.

**PC10144E (NotesR5) Error opening force log DB**
**Reason:** There was a notes error opening the database to force the log file to switch.
**Action:** See additional messages.

**PC10145E (NotesR5) Error writing force log DB**
**Reason:** There was a notes error writing to the database to force the log file to switch.
**Action:** See additional messages.

**PC10146E (NotesR5) Error getting log file**
**Reason:** During a force of the log, there was an error getting the log file to archive.
**Action:** See additional messages.

**PC10147E (NotesR5) Error checking logged status**
**Reason:** During a force of the log, there was an error checking the logged status of the temporary database.
**Action:** See additional messages.

**PC10148E (NotesR5) Temp DB not logged**
**Reason:** During a force of the log, the temporary database that UPSTREAM created is not logged.
**Action:** Call tech support.

**PC10149D (NotesR5) Forcing log switch.**
**Reason:** This may be somewhat time consuming and there will be no display during this process.

**PC10150D (NotesR5) Setting up log backup.**
**Reason:** This may be somewhat time consuming and there will be no display during this process.

**PC10151E (NotesR5) Can't force log switch**
**Reason:** You must put a file usforcelog.nsf in the Domino data directory for the log force to function.
**Action:** Copy in a usforcelog.nsf.

**PC10152E (NotesR5) Error saving existing parameters**
**Reason:** While setting up a backup using the NotesR5 plugin, there was an error saving your existing parameters.
**Action:** Verify your workpath is correct.

**PC10153E (NotesR5) Error saving existing parameters**
**Reason:** While setting up a backup using the NotesR5 plugin, there was an error saving your existing parameters.
**Action:** Verify your workpath is correct.

**PC10154D (NotesR5) Error setting attributes**
**Action:** See additional messages.

**PC10155D (NotesR5) Error resetting file information**
**Action:** See additional messages.

**PC10156W (NotesR5) Error completing log backup**
**Reason:** While attempting to notify Notes that the log file backup had completed, there was an internal error.
**Action:** See additional messages.

**PC10157E (NotesR5) Can't restore log files only**
**Reason:** Log files must be applied to specific restored Notes databases.
**Action:** Respecify.

**PC10158E (NotesR5) Insufficient memory**
**Reason:** While attempting to save a restored database name for later log file application, there was insufficient memory.
**Action:** Close applications or free memory.

**PC10159W (NotesR5) Error bringing database online**
**Reason:** There was a Notes error bringing the specified database back online after a DB restore.
**Action:** See Notes return code.

**PC10160E (NotesR5) Insufficient memory**
**Reason:** While attempting to allocate memory for the list of databases to pass to the Notes log recovery facility there was insufficient memory.
**Action:** Close applications or free memory.

**PC10161W (NotesR5) Error bringing database online**
**Reason:** There was a Notes error bringing the specified database back online after applying transaction logs following a restore.
**Action:** See Notes return code.

**PC10162E (NotesR5) Error recovering databases from logs**
**Reason:** There was a Notes error recovering the selected databases from the logs.
**Action:** See Notes return code

**PC10163D (NotesR5) Recovery complete**

**PC10164E (NotesR5) Error setting field**
**Reason:** During log file recovery there was an error setting one of the UPSTREAM fields.
**Action:** Call tech support.

**PC10165E (NotesR5) Must not restore logs directly**
**Reason:** You specified that the internal name for a transaction log file be restored. Transaction logs can only be restored by selecting transaction logs in the plug in parameters.
**Action:** Respecify

**PC10166E (NotesR5) Beginning log file recovery.**
**Reason:** Subsequent restores are log files specified by Notes. For GUI 32-bit Windows, the restore dialogs will not be associated with the main window. This is due to the way that Notes requests log file and can not be avoided.

**PC10167E (NotesR5) Error saving plug in parameters**
**Action:** See additional messages.

**PC10168W (NotesR5) Error in a pending log force**
**Reason:** After completing the backup of the existing logs (which didn't require a force) when we attempt to restart the log search so that we can perform the force we got a Notes error.
**Action:** See additional messages.

**PC10169W (NotesR5) Log file name mismatch**
**Reason:** When we were preparing to force the logs, we discovered that we had to perform the force after the current existing logs were backed up. When we did the force the name we expected was not used. The log will be skipped.
**Action:** Rerun the log backup.

**PC10170W (NotesR5) Can't perform force**
**Reason:** When we were preparing to force the logs, we discovered that we had to perform the force after the current existing logs were backed up. Since not all the logs were successful, we can't perform the force.
**Action:** See prior errors.

**PC10171E No non pending log entries**
**Reason:** Internal error.
**Action:** Call tech support.

**PC10172D (NotesR5) Error getting attributes**
**Action:** See additional messages.

## WinCrypt PlugIn errors

**PC10201E (WinCrypt) OS not Windows 2000**
**Reason:** The WinCrypt PlugIn is intended to be run on the Windows 2000 operating system only and the detected operating system is not Windows 2000.

**Action:** Remove WinCrypt.dll from the PlugIn subdirectory of your main UPPSTREAM directory. If the operating system really is Windows 2000, call tech support.

**PC10202E (WinCrypt) A required DLL cannot be loaded.**
**Reason:** An error occurred while attempting to load a DLL. The name of the DLL follows. This DLL is required by the WinCrypt PlugIn (WinCrypt.dll) to perform encrypted backup and restore operations.
**Action:** The WinCrypt PlugIn is intended to be run on the Windows 2000 operating system only. If the operating system is not Windows 2000, remove WinCrypt.dll from the PlugIn subdirectory of your main UPPSTREAM directory. If the operating system is Windows 2000, call tech support.

**PC10203E (WinCrypt) A DLL function cannot be loaded.**
**Reason:** One or more of the API functions exported by one or more of the DLLs required by the WinCrypt PlugIn could not be loaded.
**Action:** Call tech support.

**PC10204E (WinCrypt) Insufficient memory for file handle**
**Reason:** While attempting to allocate memory for a file handle, there was insufficient memory.
**Action:** Close applications or free memory.

**PC10205E (WinCrypt) Error opening a file**
**Reason:** An error occurred while attempting to open a file for encrypted raw file processing.
**Action:** Call tech support.

**PC10206E (WinCrypt) Error creating a semaphore**
**Reason:** A semaphore required for the processing of an encrypted file could not be created.
**Action:** Call tech support.

**PC10207E (WinCrypt) Error starting a new thread**
**Reason:** A new thread required for the processing of an encrypted file could not be started.
**Action:** Call tech support.

**PC10208E (WinCrypt) Invalid read file position**
**Reason:** An internal UPSTREAM error occurred while trying to read from an encrypted file at a position other than the current file position.
**Action:** Call tech support.

**PC10209E (WinCrypt) Error reading a file**
**Reason:** An error occurred while reading from an encrypted file.
**Action:** Call tech support.

**PC10210E (WinCrypt) Invalid write file position**
**Reason:** An internal UPSTREAM error occurred while trying to write to an encrypted file at a position other than the current file position.
**Action:** Call tech support.

**PC10211E (WinCrypt) Error writing a file**
**Reason:** An error occurred while writing to an encrypted file.
**Action:** Call tech support.

**PC10212E (WinCrypt) Invalid file name query file handle**
**Reason:** An internal UPSTREAM error occurred while trying to get the file name for a non-WinCrypt PlugIn file.
**Action:** Call tech support.

**PC10213E (WinCrypt) Error getting file Security Descriptor**
**Reason:** An error occurred while attempting to get a security descriptor for an encrypted file.
**Action:** Call tech support.

**PC10214E (WinCrypt) Error setting file Security Descriptor**
**Reason:** An error occurred while attempting to set a security descriptor for an encrypted file.
**Action:** Call tech support.

**PC10215E (WinCrypt) Error getting dir Security Descriptor**
**Reason:** An error occurred while attempting to get a security descriptor for an encrypted directory.
**Action:** Call tech support.

**PC10216E (WinCrypt) Error setting dir Security Descriptor**
**Reason:** An error occurred while attempting to set a security descriptor for an encrypted directory.
**Action:** Call tech support.

**PC10217E (WinCrypt) Backup non-file data buffer to small**
**Reason:** A buffer to be used for backing up the security descriptor for an encrypted file or directory is too small to hold the entire security descriptor.
**Action:** Call tech support.

**PC10218E (WinCrypt) Restore non-file data buffer to small**
**Reason:** A buffer containing a group of non-file data streams for an encrypted file or directory is too small to contain a complete stream header.
**Action:** Call tech support.

**PC10219E (WinCrypt) Insufficient memory for SecDesc**
**Reason:** While attempting to allocate memory for a security descriptor, there was insufficient memory.
**Action:** Close applications or free memory.

**PC10220E (WinCrypt) Error (re)encrypting a directory**
**Reason:** A directory on the backup was encrypted, but an error occurred while attempting to encrypt the directory after the directory was restored.
**Action:** Call tech support.

# Index

## A


Abort 3-11,5-42 - 6-43,7-34
Access Control Lists 15-4
Accessed Before 12-10 - 12-11
Action Menu 12-4,12-6
  Backup 12-6
  List and Restore 12-6
  Performance 12-6
  Restore and Inquiry (old) 12-6
  Run a Job 12-6
  Set Priority 12-6
  Trace 12-6
Active Directory 16-14
AdaptSNA
  APPCCFG.EXE 7-23
  AutoSync Issues 7-30
  Coax Boards 7-30
  Coax issues7-29
  SDLC Boards 7-28
  SDLC Issues 7-28
  Token-ring 7-16,7-23
Add Button 8-8
Add ext. to stub 14-50
Add Spec Button 12-32
AIX 1-6,1-21
  FDRSOS 19-41
  Logical and Physical Volumes 4-27
All Files in Spec 12-10
Allow dynamic TCP/IP PC Port assignment 28-3
Allow Multiple Users 3-13,6-45
ANSIATOE.DAT 3-9
ANSIETOA.DAT 3-9
APPC
  1-4,1-19,3-15,4-8,5-10,5-15,6-9,6-15,7-1,7-10,7-16
  FDR/UPSTREAM Configuration5-43
APPC.BAT 6-6,7-5,7-9,7-22
  Eicon Technologies 7-33
APPC/PC 7-17
APPCCFG.EXE 7-9
APPCUNLD.BAT 6-6,7-5,7-9,7-22
APPN 5-19,26-8
Architecture 1-12
Archive Bit 12-20
ARTADMIN.EXE 3-47
ASCII-to-EBCDIC 26-12
Async 7-16
Attachmate Personal Client 6-18
Attended 12-19,26-27
Authoritative Restore16-20
Automatic Updates 12-3,22-29
  FDR/UPSTREAM 22-29
  Roll-Back 22-34
  ULTra Workstations 22-32
  UNIX Notes 22-32
Auto-Recall 1-8,1-11,14-48
AUTOSTRT.EXE 3-47
Auto-updates 1-7


## B


Backing up Servers 2-2
  On LAN Attached Workstations 2-2
  On the Server 2-4
Backing up Workstations 2-6
  FDR/UPSTREAM on Each Workstation 2-6
  FDR/UPSTREAM ULTra 2-6
backint 4-4,36-2
backint.exe 3-6
Backup 8-1 - 8-14
  Backup Parameters Dialog 8-4
  Details 9-5
  Restart 8-11
  Restart Failed 12-6
  Restart only option 26-27
  Status Screen 8-10
  StreetTalk 17-5
  Transfer Statistics 8-11
Backup Migration Threshold 22-10
Backup Profile 1-12,4-12,5-14 - 7-15,8-4 - 8-5,9-4,12-29,22-4
  Delete 22-2
Backup Profiles2-14
Backup Restart 12-17
Backup Spec 8-7
Backup Strategies 1-13
Backup Subdirectories 12-10
Backup Type 8-5
BACKUPBUFFERSIZE 11-3
  Environment tuning variable 26-24
Backups Button9-4
Backups List 9-5,12-30
Banyan 1-5,17-1 - 17-12,26-39
  Access Rights Lists 17-3,17-6
  Complete Server Backup 17-2
  Disaster Recovery 18-6
  Extended StreetTalk Name 17-2
  File Inquiries 17-10
  File Services 17-6
  Open Files 17-3
  Planning 17-3
  Restores 17-11

Restrictions and Warnings 17-4
Security 17-3
Set Server Time 25-5
Version Inquries 17-8
Banyan Restores 12-29
Bindery 14-5
BMC 30-1


## C


Cancel Button 8-8,12-33
Character Sets 16-35
Character special devices 4-27
CHARBAT.EXE 7-5
Checkpoint Restart
Physical Disk Backups 12-21
Restores 12-21
Chg All Button 12-11
Class of Service 5-25,5-38
CM/2
Connection Dialog 5-21
Connections List 5-20
Local LU Dialog 5-23
Local Node Characteristics 5-19
Mode dialog 5-24
Partner LU Dialog 5-22
Profile List Sheet 5-17
Token-Ring Adapter Parameters 5-18
Transaction Program definition 5-26
Command
UPSTREAM/MVS 26-10
Command Line 25-6,26-26
Command Line UPSTREAM 8-12
Communications Architecture 1-19
Communications Manager/2 5-16
Communications Menu 12-4,12-7
Activate Session 12-7
Deactivate Session 12-7
Session Status 12-7
Compression 1-5,12-18,26-28
CONFIG.NSD 6-6,7-5,7-21
Configuration 25-1 - 25-12
Advanced 25-2
APPC 3-12,6-44
Completing 3-13,6-45
Configuration File 25-6
Frequency Table 25-12
OS/2 5-10
Parameter Table 25-8,25-12
TCP/IP 3-12,6-44
UPSTREAM 3-11,5-42 - 6-43,7-34
Configurator
1-10,3-7,3-11,5-6,5-42,6-5,6-43,7-7,7-16,7-34,13-11
,22-21
APPC/PC SDLC 7-36
APPC/PC Token-ring 7-36
Save 7-39
Contention Winner 25-4
COPYINCR 2-20
cron 4-23
CRT_IDP.CMD 5-5
CUA 1-7
Customizing UPSTREAM Screens 26-19


## D


DASD
Size Allocation 26-14
DASD Override 12-21
DASDOVERRIDE 30-4,30-6 - 30-7
DataTools 3-4
Daylight Savings Time 16-5
Days Old 12-10 - 12-11
DB2 3-4,31-1 - 31-8
DCA 6-18
DEFINETP.NSD 6-6,7-5,7-22
Delete Button 8-8
Delete Empty Dirs Checkbox 12-27
Delete Expired Auto-Recall Files 12-19
Delete Spec Button 12-32
Delete Subdirs 12-11
Deleting Files 12-27
DESTBAT.EXE 7-5
Details Button 12-30
DHCP 22-26
Dialogs 3-11,5-42 - 6-43,7-34
Push Buttons 7-35
Radio Buttons 7-35
Text Entry 7-35
Differential 12-10
Directories Only Restore 9-2
Disaster Recovery 18-1 - 18-8
Disk Administrator 19-27
Display backup/restore status 25-4
Display Migrated Files 12-30
Distribution 1-7
Domino 32-5
DOS 1-6,7-1 - 7-40
Memory 37-9
Drive Alias 26-37
DSKPROBE 19-28
DTBASE 30-4,30-7
Duplicate Checking 12-20
Duplicate Files 1-4,1-17,8-4,8-6,21-1 - 21-6

Restores 1-5,1-18,21-3
Duplicate Management 12-8
DXMC0MOD.SYS 7-13
Dynamic LUs 22-26

## E

EBCDIC-to-ASCII 26-12
ECCFG.EXE 7-31
Efficiency 1-5
Eicon Technologies 7-16,7-31
End-User Restore 3-4
End-User Restores 1-11,10-1 - 10-16
Environment 25-6,26-26
Environment Variables 26-41
ERRORLEVEL 26-2
Errors 37-1 - 37-38
Ethernet 7-16
Event Log 3-32
Event Logs 16-8
Event Viewer 3-32
Exclude File 12-20
Exclude files 12-31
Exclude Files from Backup 12-9
Exclude List 12-21
Exclude Subdirs 12-10
EXCLUDE.LST 3-9,5-7,6-7,7-6
Exclusive 13-12
Exit 12-5
Exit UPSTREAM 8-9
Expand 9-3

## F

Failed Process Job 12-19
Fast Compression 12-18
FDR/UPSTREAM 12-1 - 12-34
Menus 12-4
When FDR/UPSTREAM Starts 12-2
FDRSOS 1-8,12-8,19-2,22-24
AIX 19-41
Finding AIX Volumes 19-44
Finding Windows NT Volumes 19-34
HPUX 19-46
Integration with UPSTREAM 19-22
LABEL Statement 19-8
LOCALBACKUP Statement 19-10
Restores Using FDR/UPSTREAM 24-4
Solaris 19-49
Timestamp File 19-22
Windows NT 19-24
FDRSOS Local Backup 12-23 - 22-24,23-4
DataTools 30-6
DB2 31-8
FDRSOS Local Backup Admin 12-8,19-18,22-24
FDRUPSTREAM 4-25
fdrupstream.config 4-24
File Attributes 14-6
File Deletions 12-27
File Inquiry Button 12-30
File List 9-3
File Menu 12-4
About 12-6
DOS 12-5
Exit 12-5
Open 12-4
Save 12-5
File Migration 12-6
File Overwrites 9-8
File Set 1-12
Table 26-37 - 26-38,26-40
File Transfer 1-6,12-6,23-1 - 23-6
Backup Profiles 22-9
Reporting 23-6
ULTra 23-4
Files
Restore 12-32
Viewing Saved 12-32
Files Available 8-7
First-time full 8-5
Fonts 16-35,26-18
Frame Size 11-6
FTEDIT 19-27
Full merge 8-6
Full Merge Backup Verify 12-20
Full Screen 3-11,5-42 - 6-43,7-34
FULLPWD.BAT 5-7

## G

GLOBAL Backup Profile 2-15
Grooming 1-8,12-12

## H

Hard Links 26-16
Hayes Modems 7-30
Help 3-11,5-42 - 6-43,7-34
US.HLP 3-7,5-5,6-5,7-7
USCFG.HLP 3-7,5-6,6-5,7-7
Hidden Files 12-10
High Compression 12-18
Highlighted Back to FDRSOS Full 9-5,12-30,19-23
Highlighted Back to Full 9-5,12-30

Highlighted Back to Oldest 9-5,12-30
Host Control
See: Remote initiated 26-8
Host Jobs 22-24
Host Reporting 12-8
Host Security Login 8-4,12-8
Host Sort 12-20
Host Storage Management 2-16
Host TCP/IP Address 5-43
hostdisk 19-44
HOSTDISK 19-9
HOSTDISK.EXE 19-34
Hot-key 1-11
How to Use FDR/UPSTREAM 2-1 - 2-20
HP 1-6
HPFS 386 15-4,15-6
HPUX 1-6
FDRSOS 19-46

## I

IBM
APPC/PC 7-17
SDLC 7-16
Token-ring 7-16
IBM Communications Server 5-28
Connection Dialog 5-34
Connections List 5-32
Finishing Configuration 5-40
Local LU Dialog 5-36
Local Node Characterisitics 5-31
Mode Definition 5-38
Partner LU Dialog 5-34
Profile List Sheet 5-29
Setup Program 5-28
Token-Ring Adapter 5-30
Transaction Program Definition 5-39
IBM LAN Server 1-5,15-1 - 15-6
Disaster Recovery 18-5
IBM Personal Communications
Windows 6-36
Windows NT Config 3-39
IBMAPPCF.EXE 7-32
IBMTCP.BAT 7-6
IBMTCP.EXE 7-6
I-Frame Size 5-18,5-31
Include Files in Backup 12-9
Including Subdirs 12-11
Incremental 12-10
Incremental Backups 1-7
Incremental merge 8-6
Independent LU 5-24,5-37
Informix 30-1
Inquire and Restore Files From 9-4,12-30
Inquire Backups 9-5,12-30
Inquire Files 1-10,12-32
StreetTalk 17-10
Inquire Versions 1-10,12-30
StreetTalk 17-8
INST2.BAT 7-5
INST3.BAT 7-5
install 4-25
INSTALL.BAT 7-5
INSTALL.CMD 5-5
INSTALL.EXE 6-5
Installation 3-2,3-6,5-2,5-5,6-2,6-5,7-2,7-5
Instance Number 28-6
Irma
Invokable TPs 6-26
Local LU Alias 6-22
Mode Name 6-25,6-31
Partner LU Alias 6-23
Problems 6-28
IRMA for the Mainframe 6-18
IRMA Workstation for Windows (IWW) 6-18
IWW
Local LU Definition 6-21
Mode Definition 6-24
Partner LU Definition 6-22
Token-Ring Configuration 6-18

## J

Java 10-1
Job Execution 26-4
Locally Specifying 26-4
Return Codes 26-6
Jobs 1-8
Host 1-8
Killing 26-7
PC 1-8
Submit Host 26-4 - 26-5,26-27
Windows 95 26-6

## K

Kill Restartable Backup 12-6
Kill Restartable Restore 12-6

## L

LAN Manager 15-5
LAN Server 15-1 - 15-6
LAN WS Name 26-30

LAN WS Password 26-30
LANCOPY.EXE 1-5,1-11,3-10,5-8,6-8,7-8
Language File Name 25-3,26-19
Last 12-10
Last Access Date 14-6
LFNBK.EXE 20-25
libobk 35-23
Link Delay 25-3
Link Name 5-21,5-34
LISTDISK 14-28
Listen for Remote Functions 12-7
LISTFONT 16-35
LNINCR.EXE 3-6,5-6,32-2
LNREST.EXE 32-8
Local Backup 1-4 - 8-5
    FDRSOS 1-8
Local Backups 12-23
Local IP Address 25-3
Locally Administered Address 3-17,5-12,5-21,5-34,6-11
Log File Name 25-2
Logging 1-7
Logout 12-8
Lotus Notes 3-4
    Applying Incrementals 32-8
    Incrementals 32-2
Lotus Notes and Domino 32-1 - 32-16
LSTDSK214-28
LU alias 3-12,5-43 - 6-44
LU Alias 5-22,5-24,5-35,5-37
LU Local Address 3-17,4-10,5-12,5-24,5-37,6-11 - 7-12
LU Name 3-17,4-10,5-12,5-22,5-24,5-35,5-37,6-11 - 7-12,26-10

## M

MAKULTRA.EXE 3-6
Management 22-1 - 22-34
Management Menu 12-4,12-8
Max Status Msg Lines 25-4
MAXFILENAMESIZE
    Environment tuning parameter 26-25
Maximum RU Size 25-4
Member 22-5
Merge
    Deferred 22-10
Merge Backups 1-4,1-13,8-1,8-4
Message File Access Errors 37-8
Message File Name 25-2
Messages
    Automatically continuing after 7-38
    Clearing the Log 37-6
    Controlling Display 37-7
    Display 37-3
    FDR/UPSTREAM 38-1 - 38-2
    File 37-7
    Limiting Status Display 25-4
    Log 37-5
    Modifying 37-8
    Number 37-7
    Operating System 37-12
    Sending Details to the Host 12-20
    Severity 37-7
    Skipped files only 12-20
    Suppressing Non-Fatal 12-20
    TCP/IP 37-11
    Text 37-7
    UPSTREAM.MSG 3-7,5-6,6-6 - 7-7
Messages Time Out 3-13,5-44 - 6-45,7-38 - 7-39
Methods 2-1
Microsoft
    LAN Manager 15-1
Microsoft Cluster Server 16-32
Microsoft Exchange 3-3,33-1 - 33-34
    Individual Objects 33-17
Microsoft LAN Manager
    Disaster Recovery 18-5
Microsoft NT Advanced Server
    Disaster Recovery 18-5
Microsoft SNA Server 3-20
Microsoft SNA Server v3.x,v4.x
    Connection3-21
Microsoft SQL Server 3-4,34-1 - 34-22
Migrated Files
    Display 9-4
    Display Expiration Dates 9-4
    Display Only 9-4
Migration 1-8 - 12-9,12-12,14-48,26-27
    Backup Profile Definition 22-9
    Including in Restores 12-27
Minimize 26-31
Minimized 13-17
Minimum RU Size 25-4
Mode name 3-12,5-43 - 6-44
Mode Name 3-17,4-10,5-12,5-25,6-11 - 7-12,7-21,26-10
MODE.NSD 7-21
Modify Date 12-10
Monospace Fonts 16-35
More Backup/Restore Parameters 12-17
More Button 8-5,12-33
More Specification Parameters 12-26
More... 9-3
More... Button 8-8
Mount Points 12-10
MPX4X.EXE 7-9

MS SNA Configuration 3-26
MS SNA Server
Windows Requestors 6-42
MSEXCH.EXE 3-6
MSExchMB 33-17
MSSQL.EXE 3-6
MSSQL.LST 34-12
MTU 11-9
Multiple Copies 28-1 - 28-10
Multi-User 25-9,28-5
MVS
Cluster Free Space 22-12
Kill Tasks 22-12
Reporting 22-15
Tasks 22-12
TCP/IP 22-12
Trace 22-12

## N

Named Pipes 16-2
NAU Address 5-24,5-37
NDS Backups
Without USNDS 14-14
NET USE 15-3
NET.ACC 15-4 - 15-5
NetBIOS 1-5,1-11,20-1
Return Codes 37-36
NetSoft 7-16
NetWare 1-5
NetWare Directory Services 1-5,8-7,12-31,14-13 - 26-14
NetWare for SAA 14-33
Network Name 3-17,5-12,5-19,5-22,5-32,5-35,6-11
Networking Services/DOS 7-13,7-20,12-3 - 25-4
Networking Services/Windows 6-36
NLM Version 14-20
SNA Support 14-33
Node ID 5-19,5-32
Non merge 8-6
Notes R5 32-11
Novell 1-5,1-11,3-7,5-6,6-6,6-13,7-7,14-1 - 14-60
Auto-Recall 14-48
Bindery 14-5
Disaster Recovery 18-5
IPX Driver Conflicts 7-13
NLM Version 14-20
Non-File Data 14-6
PC Setup 7-13
Return Codes 37-13
Set Server Time 25-5
SETNOV.EXE 1-11,14-8
USNOVELL.EXE 14-8
Novell Auto-Recall Profile 12-18
Novell Migration 12-11
Novell Profile 12-18,14-8,26-32
Novell SMS 1-5,14-30
NS/Windows
Configuration 6-36
NSACONF6.CFG 7-9
NSAMGR.EXE 7-9
NSAUNLD.EXE 7-9
NTFS
Daylight Savings Time 16-5
NTFSTIME.TXT 3-6
NUMBACKUPTHREADS
Environment tuning variable 26-24
NWMOUNT.EXE 19-38
NWRECALL 14-51
NWSERVER.EXE 14-27,19-39
NWTCP.BAT 7-6
NWTCP.EXE 7-6

## O

OBSI 30-1
OEMATOE.DAT 3-9
OEMETOA.DAT 3-9
Ok Button 8-8
Only Highlighted Backup 9-5,12-30
Only Migrated Files 12-30
Oracle 4-27,30-1,35-1 - 35-56
Backup Management Suite 35-30
Enterprise Backup Utility 35-22
File Level Backups 35-2
Media Manager 35-22
Recovery Manager 35-22
OS/2 1-6,5-1 - 5-46
FDR/UPSTREAM Specific Features 1-22
Workstation Recovery 20-18
OS2.INI 15-4 - 15-5
OS2SYS.INI 15-4 - 15-5
Overview 1-3

## P

Pacing 4-9 - 5-12,5-25,5-38,7-11 - 7-12,7-21
Packing Size 11-8,12-21
PACKRECSIZE 11-8
Parameter File 13-12,26-9,26-26
Parameter Files 1-10,2-12
Parameters
Table 26-27,26-30,26-32,26-36
Partner LU alias 3-12,5-43 - 6-44
Partner LU Name 3-17,4-10,5-12,6-11 - 7-12

Password 8-4,27-2
Changing 8-4
Passwords
Not Saving to Parameter Files 25-5
Performance 1-4,11-1 - 11-14,25-4
AdaptSNA 7-28
Token-Ring 11-13
Personalization 1-10,22-21,27-3
Physical Disk 1-8,12-8,20-28,22-24,24-1 - 24-20
ULTra DR Boot Disk Creation 24-7
Physical Menu 12-8
Physical Unit Name 5-19,5-32
PlugIn 8-8
Active Directory 16-14
Pool 30-5
Postprocess Job 12-19
Preprocess Job 12-19
Presentation Manager 1-6,13-14
Priority 26-23
Problem Reporting 37-2
Profile Configuration 12-8,22-4
Profile management 1-6
Profile Management 12-8,22-2
Profile Prefixes 2-15
PROFMGT.NUL 22-2,22-4
Program Return Code 3-7,5-6,6-6,7-6
Program Return Codes 26-2
Program to Run 13-12
Prompt for Dir Deletions Checkbox 12-27
Prompt for File Deletions Checkbox 12-27
PROTOCOL.INI 7-13

## R

R/3 (SAP) 36-1 - 36-8
Read and Verify Files 12-2
READNAME.EXE 7-9
Receive Pacing 25-4
Record Packing 12-21,26-25
Record Size 12-20
Registered Name 26-10
Registered Names 22-26
Registration 5-44 - 6-45,8-2 - 12-3,14-23,14-46,22-26
Registry 16-8,16-26
Remote 12-7
Remote Delay 25-3
Remote initiated 1-4,1-8,8-1 - 12-2
Checking 12-3
PC Controlled 26-8
Rejecting 12-7
Request from another PC 12-7
Unattended 12-7
Remote Initiated
Accept from 13-17
Sample Parameter File 3-7,5-5 - 5-6,6-5,6-16,7-7
Unattended 13-17
Remote Menu 12-4,12-7,13-17
Listen for Remote Functions 12-7
Registered Names 12-7
Request Remtoe Function 12-7
Resend Target Name 12-7
Remote Security 27-4
Remote Users 27-5
REMOUNT.EXE 19-33
Rename failed files 9-9
Rename restore failed files 22-24
Replace after reboot 9-9
Reporting 8-5,12-22
Host 1-8
Workstation 1-7
Resend Target Name 22-28
Reset Archive Bit 12-9
RESET.EXE 7-6,20-2
Restart 1-7,25-9,26-34
Unattended 26-27
Restart Backup 12-17
Restart Checkpoint Interval 12-21
Restart Restore 12-17
Restart Scenarios 16-32
Restarted Backups 12-3
Avoiding 25-5
Restarted Restores 12-3
Restore 9-1 - 9-10
Destination 12-32
Directories Only 9-2
Finalize 9-8
List and Restore 9-1
List Backups 9-4
Restart Failed 12-6
Specification 12-32
Specifying File Specs 12-31
StreetTalk 17-8
Subdirectories 12-31
Restore All Migrated Files 9-8
Restore and Inquiry (old) 12-29
Restore Migrated Files 12-16,12-27
Restore Restart 12-17
Restore To 9-2
RETCODE.EXE 3-7,5-6,6-6,7-6,26-3
Retention Period 12-11
RMTPARM.DAT 3-7,5-5 - 5-6,6-5,7-7,12-3
ROOTENTRY 14-28,16-13
RU Size 4-9,5-11,5-25,5-39,7-11,7-21,11-6,25-4
RUMBA 6-29
Configurator 6-29
Local LU 6-32

Local LU Alias 6-33
Mode 6-31
Partner LU Alias 6-32
Problems 6-35
Remote LUs 6-32
Running With 6-35
Token Ring Connection 6-29
Transaction Program 6-33
Running FDR/UPSTREAM PC 8-2


## S


SAA 1-4
SAP 3-4,7-24,36-1 - 36-8
Save or Begin Button12-30,12-33
Schedules 1-10 - 13-11
Daily 13-13
Monthly 13-13
Multiple 13-12
Quarter 13-13
Quarterly 13-13
Specifying 13-11
Time 13-12
Weekday 13-13
Weekly 13-13
Yearly 13-13
Scheduling 2-9
Host Scheduled 2-10
PC Scheduled 2-10
Remote PC Scheduled 2-11
SDLC 7-16
Search Spec 9-2
Security 4-12,7-15,27-1 - 27-8
ACF-2 1-5,27-1
Level 1 27-1
Level 2 27-1
RACF 1-5,27-1
Remote 26-9
TOPSECRET 1-5,27-1
Security Menu 8-4,12-4,12-8
Select 9-3
Selected for Restore 12-33
Sequential Disk
Size Allocation 26-14
SERIAL.DAT 3-7,5-5,6-5,7-7,22-21
Session 8-10,12-2,12-7,25-4
Session Limit 5-25,5-38
Set Archive Bit On Restore 12-20
Set Environment 26-41
Set PC Time 25-4,25-9
SETNOV.EXE 3-7,5-6,6-6 - 14-8
SETNOV.RES 7-7
Show 8-7
SIDEINFO.NSD 6-6,7-6,7-21
SIDF 14-13
Skip Button 8-4
Skip Old Files 12-31
SLEEP.EXE 3-9,5-6 - 6-7,22-30
SMS 14-13
Novell 14-30
SNA 1-19
SNA Server
Advanced Issues 3-32
SNA.BAT 7-7
SNA.EXE 7-32
SNACFG.EXE 3-32
Solaris 1-6,1-21
FDRSOS 19-49
Sort Backup 12-20
Spec Detail 8-8
Specify Button 12-33
Speed
See: Performance 11-1
SPX 1-5,1-11
SQL Server 34-1 - 34-22
SQL-Backtrack 30-1 - 30-10
SQL-BackTrack
Tape Notes 30-2
Status
FDR/UPSTREAM MVS 22-11
Status of all FDR/UPSTREAM 12-8
Status Port 10-3,25-3
Status Screen
Delaying updates 25-3
STLITE Environment Variable 17-4
Storage Type 8-6,26-35
Archived 8-6
Keyed/Duplicates 8-6
Sequential Disk 8-6
Sequential Tape 8-6
StreetTalk 1-5
StreetTalk name
Check box 17-5
Sun 1-6
Sybase 30-1


## T


Tape 1-7,1-10
TCP/IP 1-4,1-11,25-7
Adjusting Buffer Sizes25-3
Advanced Options 25-10
Attach Manager 28-7
Configuration 5-10,6-9,7-38
Dynamic listening ports 3-13,4-21,5-44
FDR/UPSTREAM Architecture 1-19

FDR/UPSTREAM Configuration5-43
IP Address 5-43
IP Port 5-44
Remote PC Access 26-8
Selecting Local Adapter 25-3,25-10
TCP/IP Buffer Sizes 11-8
TCP/IP Notes 11-9
TCP/IP Tuning 11-8
TcpMaxDataRetransmissions 11-9
Technical Support 37-2
Temporary Files 25-2
Test Connection 25-4
Time13-13
Time, Setting 1-8
TLI 20-17
Token-ring 3-17,5-12 -
5-13,5-21,5-34,6-11,7-16,7-18,7-23,11-11
DXMC0MOD.SYS 7-13
I-Frame Size 4-11,7-13
LAN Support Program7-13
Locally Administered Address (LAA) 4-10 - 7-13
RU Size 4-11,7-13
SAP 4-11,7-13
TPSTART.EXE 3-31
Trace
FDR/UPSTREAM 12-6
TRACE.EXE 7-6
TRACE.LOG 7-9
Transaction Program Name 5-26,5-39,25-3,25-8
Translation Tables 12-19
User-defined 26-12
TRCTCP.EXE 14-29
Tru64 1-21,4-1
TSA 14-30
TSR (Terminate-and-stay-resident) 1-10
Tuning 26-23
Twinax 7-16


## U


ULTra 1-5,1-11,8-5,24-3,24-5,26-5
DOS Workstations 20-2
Listing Active Workstations20-12
OS/2 Workstations 20-4
Profiles 1-11
TCP/IP 20-10,20-12
TCP/IP Verification File 20-9
Using ULTra Profiles 20-12
Windows Workstations 20-6
Workstation Compression 20-27
ULTra Profiles 2-7
Configuring in SETNOV 20-11
ULTRA.EXE 1-11,3-10,5-9,6-8
ULTRAD.EXE 3-7
ULTRADR.EXE 5-9
Unattended 1-4,1-10,12-3,12-19
Problems 13-15
Remote Initiated 13-17
Restart only 26-27
Status13-15
UNC14-2
UNC Names 15-2,16-2
Unicode 12-19,16-13,16-35
uninstall 4-25
Uninstall
32-bit Windows 3-5
Windows 6-4
Uninstalling
OS/2 5-3
Universal Database 31-1 - 31-8
UNIX 1-6,4-1 - 4-30
Owners and Groups 4-28
Unix Systems Services 1-21,4-28
Installation 4-7
Performance 4-28
UNMOUNT.EXE 19-31
Update Button 8-8,12-32
UPSERR.ERR 37-5
UPSTREAM
Advanced Options 26-1 - 26-52
Setting Parameters 26-26
UPSTREAM.CFG 5-45
UPSTREAM.VRF 20-9
UPSTREAM/MVS
Command 26-10
UPSTREAM/SOS 19-1 - 19-52
Admin 19-18
Performance 19-16,26-14
UPSTREAM/ULTra 3-10,5-9,6-8 - 7-9,20-1 - 20-28
UPSTREAMCFGFILE 4-25
UPSTREAMPARMFILE 4-25
UPSTREAMPATH 4-25,30-4
US.EXE 1-10,3-7,5-5,6-5 - 7-6,8-2,12-1,26-1
US.NLM 14-26
US.RES 7-6
US.RET 26-2
US.SER 3-8,6-5,7-7,22-21
USATOE.DAT 3-9,5-8,6-7 - 7-8
USATOE.TAB 26-13
USATTMGR.EXE 3-7,5-6 - 28-7
USCFG.EXE 1-10,5-42,7-34,13-11,22-21,25-1
USCFG.RES 7-7
uscmd 4-23,8-12
USCMD.EXE 7-6,37-9
USCNTL.EXE 3-7,5-6
usdaemon 4-24

USDELETETIME 12-27
User ID 8-4,27-2
User Name 28-6
User Name Override 22-24
USETOA.DAT 3-9,5-8,6-7 - 7-8
USETOA.TAB 26-13
useui.cfg 10-3
useui.dat 10-4
USEXTPWD.DLL 3-7,32-7
USEXTPWD.EXE 5-6
USFONT.FON 5-6
USIPX.EXE 7-9
usjrest 10-5
USLOAD.BAT 7-7
USLOAD.CMD 5-2,5-6
USLOGCLR.EXE 3-8,5-7,6-6 - 7-7,37-6
USLOGCLR.NLM 3-10,5-8,6-7 - 7-8
USMEM.EXE 7-6
USMODIFY.EXE 3-8,5-7,6-6 - 7-7
USNDS 14-11
USNDS.NLM 3-10,5-8,6-7 - 7-8
USNOTIFY 14-51
usobsi 4-6,30-4
usobsi.dat 30-4,30-7
usobsi.exe 30-7
USOBSI.EXE 3-8
usobsi.log 30-4,30-7
usobsi.msg 4-6,30-4,30-7
USOBSI.MSG 3-8
usobsi_install 4-6,30-4
usobsi_obsi.help 30-4
usorasbt 35-22
USOVL.EXE 37-9
USRECALL 14-51
ussapins 36-3
USSETUP.NLM 3-10,5-8,6-7 - 7-8
USSNACFG.ERR 3-32
USSNACFG.LOG 3-32
USSNACFG.SRC 3-32
USSNACFG.UPD 3-32
USSTART 14-27
Command Line Parameters 13-2
USSTART.EXE 1-10,3-8,5-7,6-5,7-7,13-14,25-2
Configuring abort 13-14
Problems 13-15
Status 13-15
USSTART.LOG 13-15
USTCPIP.EXE 5-7
USTDUPFL
Backup Profile 21-4
USTPCFG.EXE 3-2,3-8
USTPSERV.EXE 3-8,3-53
USVERIFY.EXE 5-7
USWIN32.DLL 6-5
UTF-8 12-19,16-37

## V

EXCLUDE.LST 8-4
Validate Button 8-4
Vaulting 18-2,22-10
Groups 22-10
Verify 12-20
Version Date 1-12
Version Details 9-6
View a File 22-16
View File 12-5
Vines 1-5,17-1
Volume Information 9-2,14-28,16-13
Volume Sets 19-26
VTAM 1-4,1-19,3-15,4-8,5-10,6-9 - 7-10

## W

WAIT.DAT 3-8
Window Counts 5-18,5-31,11-7
Windows 1-6,1-10,6-1 - 6-46,7-34
Windows 2000 3-1 - 3-58
Active Directory 16-14
Windows 95
Disaster Recovery 18-6,20-24
Windows 95 Recovery
Creating a Recovery Diskettte 20-24
Perform a Recovery Backup 20-25
Recovering Windows 95 20-26
Restoring Long File Names 20-26
Windows NT 1-6,3-1 - 3-58
Backing up another PC 3-52
Domain Controller 16-24
FDR/UPSTREAM Specific Features 1-20
FDRSOS 19-24
Hives 3-51
Registry 3-51
Registry Editor 3-51
Registry Restore 16-26
Security 3-48
Service 3-53
Service Backup of Network Drives 3-58
Service Packs 16-22
Volume Sets 19-26
Windows NT Advanced Server 1-5
Windows NT Server 16-1 - 16-38
Backing up 16-8
Disaster Recovery 16-22
Planning 16-3

Restoring 16-13
Windows Session Status 11-6
Windows/NT
Privileges 3-48
WINSOCK 1-6,1-20,1-23
Wolfpack 16-32
Work Path25-2
Workspace Size7-17 - 7-19
WORKSPC.EXE 7-6,7-17

## X

XID 4-10,5-19,5-32,7-12,7-25
IDBLK 4-10,7-12
IDNUM 4-10,7-12